# Tel Aviv University, Faculty of Engineering

## **Syllabus for the course:**

0595.4495: Introduction to Computer Structure and Operating Systems

## **Spring 2017**

Lectures: Gadi Oxman, gdaliaox@post.tau.ac.il

**Recitations:** Kostya Berestizhevsky, kostyanoob@gmail.com

Credit points: 3.5 (3 hours lecture, 1 hour recitation)

Perquisites: 0595.1821 (Programming 2 – C), 0595.3561 (Digital Logic Systems),

0595.2510 (Data Structures and Algorithms).

Main topics: Instruction Set Architecture. Computer Arithmetic. The Processor.

Cache. Processes and Threads. Deadlocks. Memory Management. File Systems.

Input/Output.

## Course requirements:

Requirement	Details	Grade percentage
Homework exercises	Must submit 7 out of 8	15%
	exercises. The best 7 will	
	be used for grading.	
Midterm		25%
Final exam		60%

### **Bibliography:**

- 1. David A. Patterson, John L. Hennessy, "Computer Organization and Design", Morgan Kaufman Pub.,  $5^{\rm th}$  edition, 2014
- 2. Andrew S. Tanenbaum, Herbert Bos, "Modern Operating Systems", Pearson,  $4^{\rm th}$  edition, 2014
- 3. Remzi H. Arpaci-Dusseau, Andrea C. Arpaci-Dusseau, "Operating Systems: Three Easy Pieces", Arpaci-Dusseau Books, version 0.90, March 2015. Available Online: http://pages.cs.wisc.edu/~remzi/OSTEP/

### **Tentative Schedule**

	Subject	Date	Book
1	Introduction to CS	Mar 19	[1] Chapter 1
2	Instruction Set Architecture	Mar 26	[1] Chapter 2, Appendix A
3	Computer Arithmetic	Apr 2	[1] Chapter 3
Pesach		Apr 9	
vacation			
Pesach		Apr 16	
vacation			
4	The Processor I	Apr 23	[1] Chapter 4
5	The Processor II	Apr 30	[1] Chapter 4
6	The Memory Hierarchy	May 7	[1] Chapter 5
7	Introduction to OS	May 14	[2] Chapter 1
	Processes & Threads I		[2] Chapter 2
8	Processes & Threads II	May 21	[2] Chapter 2
9	Memory Management	May 28	[2] Chapter 3
	Midterm Exam	June 2	
10	File Systems	June 4	[2] Chapter 4
11	Input/Output	June 11	[2] Chapter 5
12	Deadlocks	June 18	[2] Chapter 6
13	Case study: The Linux OS	June 25	[2] Chapter 10
	Exam Moed A	July 7	
	Exam Moed B	July 30	

Detailed topics:

#### **Introduction to CS**

Computer Structure introduction: History of computers. Classes of Computers. Computer Hardware Review. Moore's law. Power trends. Flynn's Taxonomy. Amdahl's Law. CPI and the processor performance equation. Integrated circuit cost.

### **Instruction Set Architecture**

Operations. Operands. Signed and Unsigned Numbers. Encoding Instructions. Logical Operations. Branches. Addressing Modes. Procedures. Subroutines. Stack. Compiler. Assembler. Linker. DLL. RISC vs CISC.

### **Computer Arithmetic**

Addition. Subtraction. Multiplication. Division. Floating Point.

### **The Processor**

Datapath: PC, ALU, Registers, Mux. Control: Micro-coded and Hard-wired. Single Cycle Implementation. Pipelining. Classic 5-stage RISC pipeline. Structural Hazards. Data Hazards. Control Hazards. Resolving Hazards: Stalling, Forwarding.

### **Cache**

The memory hierarchy. Structure and operation of a direct mapped cache. Cache performance modeling equations. Associative caches. Replacement algorithms. Write policy. Multilevel caches. Software optimizations.

#### **Introduction to OS**

Operating Systems introduction: History of OS. Classes of OS. Concepts: Processes, Address Spaces, Files, I/O, Protection, The Shell. System Calls. OS structure.

#### **Processes and Threads**

The Process: Model, Creation, Termination, Hierarchies, States, Implementation, Modeling Multiprogramming.

The Thread: Usage, Classical Model, POSIX Threads. Implementation: User Space, Kernel Space, Hybrid. Scheduler Activations. Pop-Up Threads. Making Single-Threaded Code Multithreaded.

Interprocess Communication: Race Conditions. Critical Regions. Mutual Exclusion with Busy Waiting. Sleep and Wakeup. Semaphores. Mutexes. Monitors. Message Passing. Barriers. Avoiding Locks: Read-Copy-Update.

Scheduling: Batch Systems. Interactive Systems. Real-Time Systems. Policy vs Mechanism. Thread Scheduling.

Classical IPC Problems: The Dining Philosophers. The Readers and Writers.

#### **Memory Management**

Early computers. Address spaces abstraction: Swapping, Managing Free Memory.

Virtual Memory: Paging, Page tables, Speeding Up Paging, TLB, Page Tables for Large Memories.

Page Replacement Algorithms: Optimal, Not Recently Used, FIFO, Second-Chance, Clock Page, LRU, Working Set, WSClock.

Design Issues: Local vs Global Allocation. Load Control. Page Size. Separate Instruction and Data Spaces. Shared Pages. Shared Libraries. Mapped Files. Cleaning Policy. Virtual Memory Interface.

Implementation Issues: OS involvement. Page Fault Handling. Instruction Backup. Locking Pages. Backing Store. Separation Policy and Mechanism.

#### **File Systems**

Files: Naming. Structure. Types. Access. Attributes. Operations. File System Calls.

Directories: Single Level. Hierarchical. Path Names. Directory Operations.

Implementation: Layout. Files. Directories. Shared Files. Log Structured FS. Journaling FS. Virtual FS.

Management and Optimization: Disk Space. Backups. Consistency. Performance. Defragmenting Disks.

Examples: MS-DOS, Unix V7, CD-ROM.

### **Input/Output**

Hardware: Devices. Controllers. Memory Mapped I/O. Direct Memory Access. Interrupts.

Software: Goals. Programmed I/O. Interrupt-Driven I/O. I/O Using DMA.

I/O Software Layers: Interrupt Handlers. Device Drivers. Device Independent I/O. User Space I/O Software.

Disks: Hardware. Formatting. Arm Scheduling Algorithms. Error Handling. Stable Storage.

Clocks: Hardware. Software. Soft Timers.

User Interfaces: Keyboard, Mouse, Monitor, Input/Output Software.

### **Deadlocks**

Resources: Preemptable. Nonpreemptable. Acquisition. Condition for Resource Deadlocks. Deadlock Modeling. The Ostrich Algorithm. Deadlock Detection. Deadlock Recovery. Deadlock Avoidance: Resource Trajectories, Safe and Unsafe States, The Banker's Algorithm. Deadlock Prevention. Other Issues: Two-Phase Locking. Communication Deadlocks. Livelock. Starvation.

#### **Case Study: The Linux OS**

Unix History. Overview. Processes. Memory Management. Input/Output. File System. Security.