## Beginning Spark

Daniel Hinojosa

### Conventions in the slides

The following typographical conventions are used in this material:

Italic

Indicates new terms, URLs, email addresses, filenames, and file extensions.

#### Constant width

Used for program listings, as well as within paragraphs to refer to program elements such as variable or function names, databases, data types, environment variables, statements, and keywords.

**Constant width bold** Shows commands or other text that should be typed literally by the user.

#### Constant width italic

Shows text that should be replaced with user-supplied values or by values determined by context.

### **Shell Conventions**

All shells (bash, zsh, Windows Shell) are represented as %

% calendar

All Spark shells are represented as scala>

scala> spark.range(1,100)

### **Spark Intro**

### **Spark Intro**

- Big data processing framework
- · Variety of packages built upon Spark engine
- · Contains two API
  - Unstructured API
    - Lower Level
    - RDD
    - Accumulators
    - Broadcast Variables
  - Structured API
    - Higher Level
    - Optimized
    - DataFrames
    - Datasets
    - Spark SQL

### **Spark Architecture**

- The reason for existence is that one computer is too slow for processing data
- A cluster can provide faster processing in parallel.
- Spark is separated by:
  - A driver process
  - An executor process

### The Driver

- The driver node for your application
- Maintains information about the application
- Responds to external programs
- Analyzes work across executors
- · Distributes work across executors
- Schedules work across executors

### The Executor

- Executes code assigned to it by the driver
- Reports the state of the computation back to the driver

### **Two APIs**

- Structured (Dataframes, Datasets, SparkSQL)
  - Structured in table formats like Databases, Spreadsheets
- Unstructured (Resilient Distributed Datasets)
  - Functional Programming with Java objects and Scala case classes

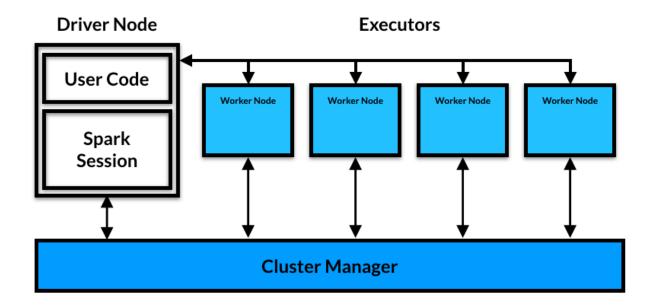
### **Spark Extras**

- Mlib Machine Learning with Spark
- GraphX for Graph Processing
- SparkR for working with Clusters using R

### **Cluster Manager**

- Controls the Physical Machines
- Allocates resources to Spark applications
- Cluster Managers can either be:
  - Sparks in-house cluster manager
  - YARN
  - Mesos
- · Known as Cluster Mode

### **Spark Architecture**



### **Local Mode**

- Instead of remote machines this will run on your internal box
- Easy for testing, in house demonstrations

### Languages

- Scala (Spark's default language)
- Python (Does everything that Scala does)
- Java
- SQL (Spark SQL is compliant SQL to interact with querying data)
- R/Spark R

### Setup

### Setup

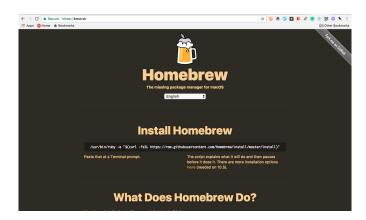
Before we begin it is assumed that all of you have the following tools installed:

- JDK 1.8 (latest java is 1.8.0\_144)
- Scala 2.12.3
- SBT 1.0.2
- Spark 2.2.0
- winutils (Windows Only)

To verify that all your tools work as expected

```
% javac -version
javac 1.8.0_144
% scala -version
Scala code runner version 2.12.3 -- Copyright 2002-2017, LAMP/EPFL
% java -version
java version "1.8.0_144"
Java(TM) SE Runtime Environment (build 1.8.0_1.8.0_144-b17)
Java HotSpot(TM) 64-Bit Server VM (build 25.65-b01, mixed mode)
% sbt sbtVersion
[info] Set current project to scala (in build file:/<folder_location>)
[info] 1.0.2
% spark-submit -version
Welcome to
    / --/-- --- / /--
-\ \/ - \/ - `/ --/ '-/
   /_{--}/._{-}/_{-}/_{-} version 2.2.0
Using Scala version 2.12.3, Java HotSpot(TM) 64-Bit Server VM, 1.8.0_144
Branch
Compiled by user jenkins on 2017-04-25T23:51:10Z
Revision
Url
Type --help for more information.
```

### Installing Java, Scala, Spark, SBT on a Mac Automatically with Brew



If you have a mac and brew installed, you can run the following and be done!:

```
% brew update
% brew cask install java
% brew install scala
% brew install sbt
% brew install apache-spark
```



This will require an install of Homebrew. Visit <a href="https://brew.sh/">https://brew.sh/</a> for details of installation if you want to use brew.



Depending on your company's software and security constraints, you may not be able to use brew

### If you don't have Java 8 installed

- Visit: http://www.oracle.com/technetwork/java/javase/downloads/index-jsp-138363.html
- Select: Accept License Agreement
- Download the appropriate Java version based on your architecture.

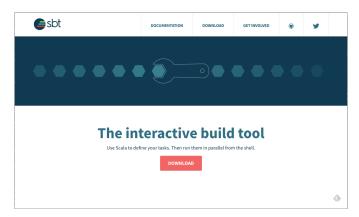
Linux ARM 32 Hard Float ABI	Linux ARM 64 Hard Float ABI
Linux x86	Linux x86
Linux x64	Linux x64
Mac OS X	Solaris SPARC 64-bit
Solaris SPARC 64-bit	Solaris x64
Solaris x64	Windows x86

### If you do not have Scala installed



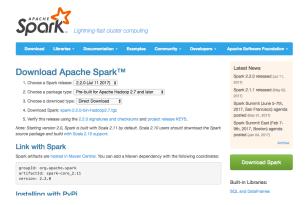
- Visit http://scala-lang.org
- Click the Download Button
- Download the appropriate binary for your system:
  - Mac and Linux will load a .tgz file
  - Windows will download an .msi executable
- For Mac and Linux you can expand with tar -xvfz scala-2.12.3.tgz

### If you do not have SBT installed



- Visit http://scala-sbt.org
- Click the Download Button
- Download the appropriate binary for your system:
  - Mac and Linux will load a .tgz, or a .zip file
  - Windows will download an .msi executable
- For Mac and Linux you can expand with tar -xvfz scala-2.12.3.tgz

### If you do not have Spark installed



- Visit https://spark.apache.org/downloads.html
- Click the spark-2.2.0-bin-hadoop2.8.1.tgz link to download
- For Mac and Linux, you can expand with tar -xvfz spark-2.2.0-bin-hadoop2.8.1.tgz to folder of your choosing
- For Windows, you will need a utility like WinZip to extract a tar.gz file to a folder of your choosing

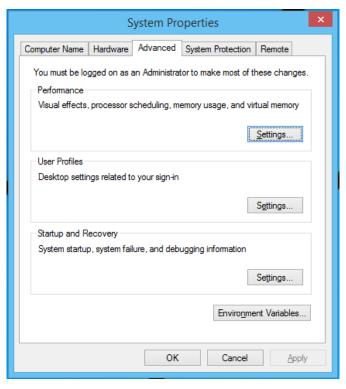
### Windows Users Only: Download winutils

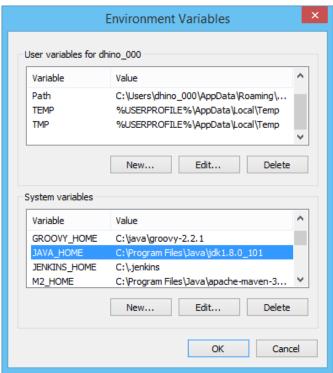
- Download *winutils.exe* from https://github.com/steveloughran/winutils/tree/master/hadoop-2.7.1/bin
- Place *winutils.exe* in a folder named *hadoop* anywhere you would like *C:\Program File\hadoop* or *C:\hadoop*.
- Note the location, since this will be your HADOOP\_HOME

More about the installation at this link: https://hernandezpaul.wordpress.com/2016/01/24/apache-spark-installation-on-windows-10/

# Windows Users Only: Setting up the Windows Environment Variables for Java

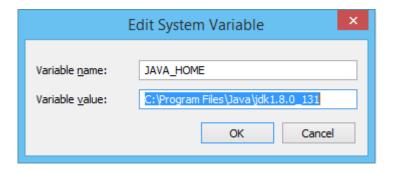
• Go to your *Environment Variables*, typically done by typing the Windows key(\*\*) and type env





### Windows Users Only: Setting up JAVA\_HOME

• Edit JAVA\_HOME in the System Environment Variable window with the location of your JDK

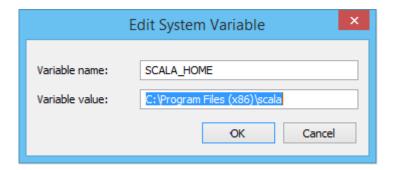




Using jdk1.8.0\_131 in the image. Your version may vary.

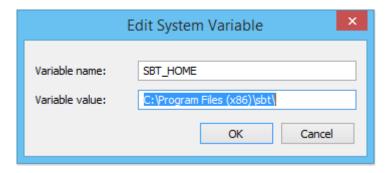
## Windows Users Only (Optional): Setting up SCALA\_HOME

- This setting is not necessary with Scala on Windows since the .msi file installs everything required
- If you do have problems where a tool is unable to locate Scala, set up an environment variable SCALA\_HOME



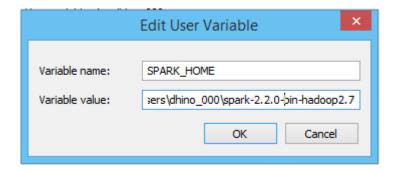
### Windows Users Only: Setting up SBT\_HOME

- This setting is not necessary since SBT on Windows since the .msi file installs everything required
- If you do have problems where a tool is unable to locate SBT, set up an environment variable SBT\_HOME



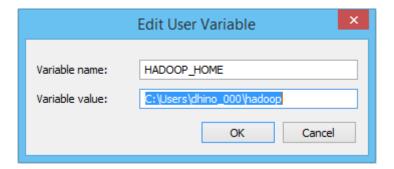
### Windows Users Only: Setting up SPARK\_HOME

- Set up an environment variable SPARK\_HOME and setting it to the unpackaged spark folder from your download.
- · Do not include bin
- Do not use the **%USERPROFILE**% variable as it may cause side effects



## Windows Users Only: Setting up HADOOP\_HOME

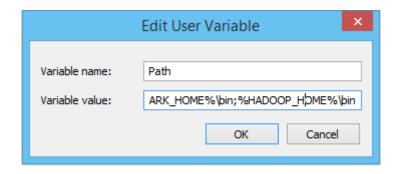
- Set up an environment variable HADOOP\_HOME and setting it where you created your hadoop directory
- · Do not include bin
- Do not use the **%USERPROFILE**% variable as it may cause side effects



### Windows Users Only: Setting up PATH

• Once you establish JAVA\_HOME, possibly SCALA\_HOME, SPARK\_HOME, HADOOP\_HOME, *append* to the PATH setting the following:

;%JAVA\_HOME%\bin;%SCALA\_HOME%\bin;%SPARK\_HOME%\bin;%HADOOP\_HOME%\bin



# Windows Users Only: Permissions for the folder C: \tmp\hive

- Unfortunately, there will be issues with Windows users when the run spark-shell
- Attempt to run spark-shell
- Notice if you receive an error stating that there is not enough permission on /tmp/hive
- Use winutils to change the permission to C:\tmp\hive by using the command

winutils.exe chmod 777 \tmp\hive

# Windows Users Only: Restart All Command Prompts And Try Again

```
C:\Users\dhino_000>javac -version
javac 1.8.0_131

C:\Users\dhino_000>javac -version
java version "1.8.0_131"
Java(TM) SE Runtime Environment (build 1.8.0_131-b11)
Java HotSpot(TM) 64-Bit Server VM (build 25.131-b11, mixed mode)

C:\Users\dhino_000>spark-submit --version

Welcome to

Using Scala version 2.11.8, Java HotSpot(TM) 64-Bit Server VM, 1.8.0_131

Branch
Compiled by user jenkins on 2017-06-30T22:58:04Z

Revision
Url
Type --help for more information.

C:\Users\dhino_000>scala -version
Scala code runner version 2.12.2 -- Copyright 2002-2017, LAMP/EPFL and Lightbend
, Inc.

C:\Users\dhino_000>
```



Changes won't take effect until you open a new command prompt!

# Mac Users Only: Editing your .bash\_profile or .zshrc

- If you are using the Bash shell, edit the your .bash\_profile in your home directory using your favorite editor
- If you are using the Zsh shell, edit the your .zshrc in your home directory using your favorite editor

For example, if using nano

```
% nano ~/.bash_profile
```



Replace *nano* with your favorite editor *vim*, *emacs*, *atom*, etc.

• Make sure the following contents are in your .bash\_profile

• If you already have a PATH, append the new values to the end.

```
export SPARK_HOME= <location_of_spark>
export SCALA_HOME= <location_of_scala>
export SBT_HOME= <location_of_sbt>
export JAVA_HOME=$(/usr/libexec/java_home)
export PATH=$PATH:$JAVA_HOME/bin:$SCALA_HOME/bin:$SBT_HOME
/bin:$SPARK_HOME/bin
```



If you used brew, many of these application will not require their PATH setup.

You can locate where scala and spark is by either doing

```
% which scala
% whereis scala
% which spark
% whereis spark
```

When done open a new terminal or if already on an open terminal type:

```
• For bash: source .bash_profile
```

• For zsh: source .zshrc

## Linux Users Only: Editing your .bash\_profile or .zshrc

- If you are using the Bash shell, edit the your .bash\_profile in your home directory using your favorite editor
- If you are using the Zsh shell, edit the your .zshrc in your home directory using your favorite editor

For example, if using nano

```
% nano ~/.bash_profile
```



Replace *nano* with your favorite editor *vim*, *emacs*, *atom*, etc.

- Make sure the following contents are in your .bash\_profile
- If you already have a PATH, append the new values to the end.

```
export SPARK_HOME= <location_of_spark>
export SCALA_HOME= <location_of_scala>
export SBT_HOME= <location_of_sbt>
export JAVA_HOME= <location_of_jdk>
export PATH=$PATH:$JAVA_HOME/bin:$SCALA_HOME/bin:$SBT_HOME
/bin:$SPARK_HOME/bin
```

When done open a new terminal or if already on an open terminal type:

• For bash: source .bash\_profile

• For zsh: source .zshrc

### **Overview of Abstractions**

The following are the main abstractions of Spark

- DataFrames
- Datasets
- SQL Tables
- Resilient Distributed Datasets

### **DataFrames**

- · Are the most efficient
- · Are available in all languages
- · A table with data rows and columns
- · Analogous to a spreadsheet or table
- · Distributed and spans over multiple machines!
- · Easiest to use, particularly for non-functional programmers

### **Partitions**

- For management, Spark breaks up data into chunks
- A Partition is a collection of rows that sit on one machine in a cluster
- Therefore a DataFrame contains 0 or more partitions
- DataFrame is the interface to all the computations and data stored on remote machines
- In local mode they are laid across a single instance

### Partition parallelism

- Partitions are operated on in parallel
- Unless they undergo a process called shuffling

### **Transformations**

- All data structures are immutable
- Any change receives a copy
- Therefore, any change will be done via a transformation
- Should be very familiar if you do functional programming like Scala
- Transformation of a DataFrame returns a DataFrame

### **Lazy Evaluation**

- · All changes do not run right away
- Transformations to DataFrames are calculated and evaluated only when needed
- Before execution a *plan* is automatically created before evaluation

### **Actions**

- To trigger the series of transformation we would need an action or terminal operation
- There are three kinds of actions:
  - View data in the console
  - Collect data
  - Output data to a file system or database
- Many terminal operations include:
  - 。 reduce
  - 。 collect
  - 。 count

### Running the Spark Shell

- You can run the Spark Shell using spark-shell
- The spark shell provides access to a SparkSession
- SparkSession
  - Starting point to the execution of Spark
  - Can be retrieved by calling spark in the SparkShell

### Lab: Starting up the Spark Shell

Step 1: Invoke the spark-shell for Scala:

```
% spark-shell
```

Step 2: Verify that you get the SparkSession by calling spark in the Spark shell:

> spark

Step 3: Where you would get something like the following:

```
res1: org.apache.spark.sql.SparkSession = org.apache.spark.sql.SparkSession@2a8081f5
```

### Lab: Creating our first job

**Step 1:** Create some data with spark.range from 1 to 100 and process it with map with finally return a DataFrame

The above example creates data in a raw form and then puts it into a DataFrame

### Lab: Evaluating a series of Data using Spark:

Step 1: Open up the spark shell.

**Step 2:** Enter the following which will create a range from 1 to 100, map, then filter, and then create a DataFrame

```
> val df = spark.range(1,100).map(x => x + 10).filter(x => x % 2 !=
0).toDF("numbers")

df: org.apache.spark.sql.DataFrame = [numbers: bigint]
```

**Step 3:** Next run count and this will evaluate the count. You may also see some extra process by doing so.

```
> df.count
res1: Long = 50
```

### Lab: show() the data

show() will show the DataFrame by default of the first 20 rows

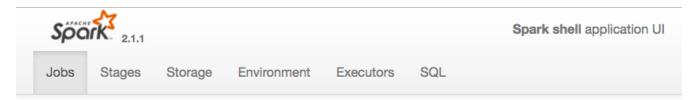
**Step 1:** Next show() the data to see what is left

```
> df.show()
```

### Spark UI

- At anytime, you can go to the Spark UI for a local node setup by going to http://localhost:4040
- The Spark UI contains information about Spark:
  - Environment
  - Jobs
  - Cluster Configuration and Performance
  - Storage

### Spark UI Example



### Spark Jobs (?)

User: danno

Total Uptime: 21.1 h Scheduling Mode: FIFO Completed Jobs: 2

Event Timeline

#### Completed Jobs (2)

Job Id	Description	Submitted	Duration	Stages: Succeeded/Total	Tasks (for all stages): Succeeded/Total
1	count at <console>:26</console>	2017/07/20 14:01:02	40 ms	2/2	5/5
0	count at <console>:26</console>	2017/07/20 14:00:43	2 s	2/2	5/5

### Lab: Stock Data From a CSV

To start things in Spark, let's start with some CSV data. Spark can use various forms of data

**Step 1:** Download data from https://raw.githubusercontent.com/dhinojosa/spark-training/master/goog.csv

**Step 2:** In the Spark Console, run the following, remember to use your own location of the file to look for the data

```
scala> val googleHistoryCSV = spark.read.csv("<downloads>/goog.csv")
googleHistoryCSV: org.apache.spark.sql.DataFrame = [_c0: string, _c1:
string ... 4 more fields]
```

#### Step 3: Take the first five elements of the data

```
scala> googleHistoryCSV.take(5)

res10: Array[org.apache.spark.sql.Row] =
Array([Date,Open,High,Low,Close,Volume], [19-Jun-
17,967.84,973.04,964.03,970.89,1224540], [18-Jul-
17,953.00,968.04,950.60,965.40,1153964], [17-Jun-
17,957.00,960.74,949.24,953.42,1165537], [14-Jul-
17,952.00,956.91,948.00,955.99,1053774])
```



take is a terminator operation

### Lab: Getting rid of the Data cruft

- Given the previous run, we see that Spark had accumulated the header row
- We also saw with the response that the data found was awkward: [\_c0: string, \_c1: string ... 4 more fields]
- We can clean both situations up by adding to options to our call
  - option("inferSchema", "true") determine the schema automatically
  - option("header", "true") the first row of data is the header

**Step 1:** Reread the the csv with a header and assuming a schema

**Step 2:** Next use show() to view the output of running the csv

### **Plans**

- Before any execution, a plan is always made
- The plan can views the previous analysis on the last DataFrame
- Shows the last transformation step

### Lab: Running the plan

**Step 1:** Given the googleHistoryCSV that has already been calculated, use to sort the high values and take the top 5

```
> val sortedGoogleHistoryCSV = googleHistoryCSV.sort("high")
```

**Step 2:** To view the plan, run explain()

```
> sortedGoogleHistoryCSV.explain()
```

Spark makes a plan before invocation to get the processing path

### Lab: Stock Data From JSON

**Step 1:** Download data from https://raw.githubusercontent.com/dhinojosa/spark-training/master/goog.json

**Step 2:** In the Spark Console, run the following, remember to use your own location of the file to look for the data

```
scala> val googleHistoryJSON = spark.read.json("<downloads>/goog.json")
```

Step 3: Take the first five elements of the data

```
scala> googleHistoryJSON.take(5)

res10: Array[org.apache.spark.sql.Row] =
Array([Date,Open,High,Low,Close,Volume], [19-Jun-
17,967.84,973.04,964.03,970.89,1224540], [18-Jul-
17,953.00,968.04,950.60,965.40,1153964], [17-Jun-
17,957.00,960.74,949.24,953.42,1165537], [14-Jul-
17,952.00,956.91,948.00,955.99,1053774])
```

### **Schemas**

- So far schemas have been assumed by the structure of our tables
- We can view the schemas of each of these DataFrame by calling schema
- A schema is a StructType made up of a number of fields called StructFields
- A StructField has:
  - A name,
  - A type
  - A boolean that specifies whether the column is nullable
- A schema can also contain other StructType (Spark's complex types).
- Can also be overridden by your own custom schema

### Lab: View the Schemas

```
googleHistoryCSV.schema
googleHistoryJSON.schema
```

### **SparkSQL**

- SparkSQL allows you to query data as if it was a SQL database
- A registration of the DataFrame is done using createOrReplaceTempView
- There is no performance loss from doing a query

### Lab: SparkSQL

Step 1: In the spark-shell establish a temp view

```
googleHistoryCSV.createOrReplaceTempView("google_stocks")
```

Step 2: In the spark-shell run a sql command

```
val badDays = spark.sql("SELECT Date, Open, Close FROM google_stocks
WHERE Close < Open SORT BY Date DESC")
badDays.show()</pre>
```

Step 3: In the spark-shell explain what happened

```
googleHistoryCSV.explain()
```

### Lab: Create an Equivalent Explanation

Step 1: In the spark shell create the following command that runs our equivalent

```
val badDays2 = googleHistoryCSV.select(col("Date"), col("Open"), col
("Close")).filter(col("Open") > col("Close")).orderBy(desc("Date"))
```

**Step 2:** Verify the output, by using show()

**Step 3:** Verify the steps taken on badDays2.explain() and they should look somewhat similar to badDays from the previous slide

### **Rows and Columns**

- Dataframes are described as rows and columns
- Rows and columns are established as objects in Spark

### **Columns**

• Embodied in the API as a Column type

### Rows

• Embodied in the API as a Row type

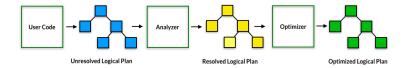
### **Plans**

### **Understanding Plans**

The ordering of how Spark operates is as follows:

- Write DataFrame/Dataset/SQL Code
- If valid code, Spark converts this to a Logical Plan
- Spark transforms this Logical Plan to a Physical Plan
- Spark then executes this Physical Plan on the cluster

### **Plans Diagram**



- Unresolved Logical Plan Taking the code and and creating a plan without consideration to actual table data
- Resolved Logical Plan Takes the unresolved logical plan, and the *catalog* of actual data and analyzes it into a *resolved logical plan*
- Optimized Logical Plan After it is resolved, it uses an optimizer to determine the best course to aggregate and operate on the data

### **Physical Planning**

- · After optimization of the plan, comes the physical planning
- This is called the Spark Plan
- Specifies how and where the Optimized Logical Plan will run by analyzing:
  - Costs
  - Best Physical Plan
- Becomes a series of RDD (Resilient Distributed Datasets) and Transformations

### Lab: Explain all the plans

Step 1: Using spark-shell, call explain(true) to explain badDays

Step 2: View the analysis which should look like the following

```
scala> badDays.explain(true)
== Parsed Logical Plan ==
'Sort ['Date DESC NULLS LAST], false
+- 'Project ['Date, 'Open, 'Close]
  +- 'Filter ('Close < 'Open)
      +- 'UnresolvedRelation <code>google_stocks</code>
== Analyzed Logical Plan ==
Date: string, Open: double, Close: double
Sort [Date#21 DESC NULLS LAST], false
+- Project [Date#21, Open#22, Close#25]
  +- Filter (Close#25 < Open#22)
      +- SubqueryAlias google_stocks
         +- Relation[Date#21,Open#22,High#23,Low#24,Close#25,Volume#26]
CSV
== Optimized Logical Plan ==
Sort [Date#21 DESC NULLS LAST], false
+- Project [Date#21, Open#22, Close#25]
  +- Filter ((isnotnull(Close#25) && isnotnull(Open#22)) && (Close#25 <
Open#22))
      +- Relation[Date#21,Open#22,High#23,Low#24,Close#25,Volume#26] csv
== Physical Plan ==
*Sort [Date#21 DESC NULLS LAST], false, 0
+- *Project [Date#21, Open#22, Close#25]
  +- *Filter ((isnotnull(Close#25) && isnotnull(Open#22)) && (Close#25
< Open#22))
      +- *FileScan csv [Date#21,Open#22,Close#25] Batched: false,
Format: CSV, Location:
InMemoryFileIndex[file:/Users/danno/Downloads/goog.csv],
PartitionFilters: [], PushedFilters: [IsNotNull(Close),
IsNotNull(Open)], ReadSchema:
struct<Date:string,Open:double,Close:double>
```

**Step 3:** Notice the differences between each of the plans

### Value Types

- Again, all of Spark is based on types
- To work with types in Scala, you must import import org.apache.spark.sql.types.\_
- To work with types in Java, you must import import org.apache.spark.sql.types.DataTypes

### Lab: Scala Value Types

Step 1: Start up the spark-shell

Step 2: Import import org.apache.spark.sql.types.\_

```
import org.apache.spark.sql.types._
```

Step 3: Create a ByteType

```
val b = ByteType()
```

### **Scala Table of Types**

Spark Type	Scala Value Type	Scala API
ByteType	Byte	ByteType
ShortType	Short	ShortType
IntegerType	Int	IntegerType
LongType	Long	LongType
FloatType	Float	FloatType
DoubleType	Double	DoubleType
DecimalType	java.math.BigDecima	DecimalType
StringType	String	StringType
BinaryType	Array[Byte]	BinaryType
TimestampType	java.sql.Timestamp	TimestampType
DateType	java.sql.Date	DateType
ArrayType	scala.collection.Se	<pre>ArrayType(elementType, [valueContainsNull]) **</pre>
МарТуре	scala.collection.Ma	<pre>MapType(keyType, valueType, [valueContainsNull]) **</pre>
StructType	org.apache.spark.sq l.Row	<pre>StructType(Seq(StructFields)) *</pre>
StructField	StructField with DataType contents.	<pre>StructField(name, dataType, nullable)</pre>

### **DataFrames**

- Table of data with rows and columns
- The list of columns and the types are called schemas
- Important A spark data frame can span multiple machines.
- The distribution for DataFrame on multiple machines is for performance
- The *partitioning scheme* is how the data is broken and can either be by:
  - column
  - non-deterministically

### DataFrame is Transformable

- Due to the DataFrame not actually holding data they are transformable
- You can:
  - Remove columns
  - Turn a column to a row
  - Turn a row into a column
  - Add columns
  - Add rows
  - Sort by columns
  - Sort by rows

### **Schemas**

- Schemas have by default are assumed by the structure of our tables
- We can view the schemas of each of these DataFrame by calling schema
- A schema is a StructType made up of a number of fields called StructFields
- A StructField has:
  - · A name,
  - A type
  - A boolean that specifies whether the column is nullable
- A schema can also contain other StructType (Spark complex types).
- Can also be overridden by your own custom schema which is preferred for production

### View the Schema of a DataFrame

A schema for a DataFrame can viewed with:

```
df.printSchema()
```

### **Customizing A Schema**

- import the types that you are requiring for Spark
- import org.apache.spark.sql.types.{StructField, StructType, StringType, LongType}
- Include them when calling read to get specific types

# Lab: Override our read with our own customized schema

**Step 1:** In the spark-shell, copy the following, and paste it into the spark-shell using :paste mode

```
val mySchema = new StructType(Array(
   new StructField("VOLUME", LongType, false),
   new StructField("HIGH", DoubleType, false),
   new StructField("LOW", DoubleType, false),
   new StructField("DATE", StringType, false),
   new StructField("CLOSE", DoubleType, false),
   new StructField("OPEN", DoubleType, false)))
```

#### **Step 2:** In the spark-shell, read in the csv once more only this time, using our custom schema

val googleHistoryCSV = spark.read.schema(mySchema).csv
("/Users/danno/Downloads/goog.json")

Step 3: Analyze the schema using printSchema and the schema method on the DataFrame

googleHistoryCSV.printSchema

googleHistoryCSV.schema

### **Columns**

- Embodied in the API as a Column type
- Can be obtained by either col or column function residing in org.apache.spark.sql.functions
- IMPORTANT We can program what we want and those columns don't really need to exist

```
import org.apache.spark.sql.functions.{col, column}

col("someColumnName")
column("someColumnName")
$"someColumnName"
'someColumnName"
```

### Columns direct from DataFrame

• Columns can also be called upon from the DataFrame directly

```
dataFrame.col("count")
```

### Access all the columns from a DataFrame

• All the columns can be accessed from a DataFrame using columns

```
df.columns
```

## Access all the columns from a googleHistoryCSV

Step 1: In spark-shell, determine all the column names that are currently in googleHistoryCSV

```
> googleHistoryCSV.columns
```

All the columns can be accessed from a DataFrame using columns

```
df.columns
```

### **Expressions**

- Transformations on one or more values of records on a DataFrame
- Is a function that can be imported import org.apache.spark.sql.functions.expr

### Obtaining a single column

• There is more than one way to get a column, and you can use an expression

```
import org.apache.spark.sql.functions.expr
expr("someColumn")
```

### Making complex expressions

- Expressions are dynamic, and you can do varying things
- For example: expr(col("High") + 5 < col("Low") 2)
- This creates directed acyclic graph
- · You can also place the entire expression into a String
- expr("HIGH + 5 < LOW 2")
- This creates the foundation as to why SparkSQL works

### Lab: Find all the rows using expressions

Step 1: In the spark-shell and given googleHistoryCSV already established enter the following:

```
val badDays3 = googleHistoryCSV.where(expr("CLOSE < OPEN"))</pre>
```

Step 2: show the results of badDays3

```
badDays3.show
```

### Rows

- Embodied in the API as a Row type
- You can add a row after the fact to a DataFrame

```
val newRow = Row("24-Jul-17", 967.84, 967.84, 960.33, 961.08, 1493955)
```

### Getting the first row from a DataFrame

• You can get the first row of a DataFrame by calling first or head

```
df.first
df.head
```

### parallelize

- When creating DataFrames on the fly we can use parallelize
- parallelize:
  - Takes a Seq with Row
  - Returns an RDD (Resilient Distributed Dataset) which is a lower level API for data manipulation

## Lab: Create your own DataFrame using and Row

**Step 1:** In spark-shell, copy and paste the following imports:

#### Step 2: Create a schema

```
val employeeSchema = new StructType(Array(
   new StructField("firstName", StringType, false),
   new StructField("middleName", StringType, true),
   new StructField("lastName", StringType, false),
   new StructField("salaryPerYear", IntegerType, false)
))
```

#### Step 3: Create some rows in a Seq

**Step 4:** Create a DataFrame using an alternate means using spark.createDataFrame and verify using show

```
val employeeDF = spark.createDataFrame(employees, employeeSchema)
employeeDF.show
```

## Creating a DataFrame on the cheap using toDF

- You can create a DataFrame on the spot using toDF from a Seq
- Doesn't work well with null
- Uses implicit in Scala to create the DataFrame

#### Sample Data

All example in this chapter use the <code>googleHistoryCSV</code> which we will rename for all example with <code>dataFrame</code> which was derived from:

```
val mySchema = new StructType(Array(
    new StructField("DATE", StringType, false),
    new StructField("OPEN", DoubleType, false),
    new StructField("HIGH", DoubleType, false),
    new StructField("LOW", DoubleType, false),
    new StructField("CLOSE", DoubleType, false),
    new StructField("VOLUME", LongType, false)))

val dataFrame = spark.read.schema(mySchema).option("header", true).csv
("/Users/danno/Downloads/goog.csv")

dataFrame.createOrReplaceTempView("google_data")
```

#### Sample Data Results

```
scala> dataFrame.show
+----+
     DATE OPEN HIGH LOW CLOSE VOLUME
+----+
| 19-Jul-17 | 967.84 | 973.04 | 964.03 | 970.89 | 1224540 |
| 18-Jul-17 | 953.0 | 968.04 | 950.6 | 965.4 | 1153964 |
| 17-Jul-17| 957.0|960.74|949.24|953.42|1165537|
| 14-Jul-17| 952.0|956.91| 948.0|955.99|1053774|
| 13-Jul-17 | 946.29 | 954.45 | 943.01 | 947.16 | 1294687 |
|12-Jul-17|938.68| 946.3|934.47|943.83|1532144|
| 11-Jul-17 | 929.54 | 931.43 | 922.0 | 930.09 | 1113235 |
|10-Jul-17|921.77|930.38|919.59| 928.8|1192825|
7-Jul-17|908.85|921.54|908.85|918.59|1637785|
| 6-Jul-17|904.12|914.94| 899.7|906.69|1424503|
| 5-Jul-17|901.76|914.51| 898.5|911.71|1813884|
3-Jul-17|912.18|913.94|894.79| 898.7|1710373|
30-Jun-17 | 926.05 | 926.05 | 908.31 | 908.73 | 2090226 |
29-Jun-17 | 929.92 | 931.26 | 910.62 | 917.79 | 3299176 |
|28-Jun-17| 929.0|942.75| 916.0|940.49|2721406|
|27-Jun-17|942.46|948.29|926.85|927.33|2579930|
|26-Jun-17| 969.9|973.31|950.79|952.27|1598355|
|23-Jun-17|956.83| 966.0| 954.2|965.59|1527856|
|22-Jun-17| 958.7|960.72|954.55|957.09| 941958|
|21-Jun-17|953.64| 960.1|950.76|959.45|1202233|
only showing top 20 rows
```

#### Labs all the way!

- Feel free to try out none, some, or all of the following to get a feel for what they do.
- Experiment using spark-shell

#### select

- select allows us to manipulate DataFrame to another DataFrame
- Easiest to pass the columns you wish to transform or use

```
scala> dataFrame.select("DATE").show(5)
+-----+
| DATE|
+-----+
|19-Jul-17|
|18-Jul-17|
|17-Jul-17|
|14-Jul-17|
|13-Jul-17|
-------
```

Spark SQL Equivalent:

```
scala> spark.sql("SELECT DATE FROM google_data").show(5)
```

## select multiple columns

• select can do multiple columns

```
scala> dataFrame.select("DATE", "VOLUME").show(5)
+-----+
| DATE | VOLUME |
+-----+
|19-Jul-17 | 1224540 |
|18-Jul-17 | 1153964 |
|17-Jul-17 | 1165537 |
|14-Jul-17 | 1053774 |
|13-Jul-17 | 1294687 |
+------+
only showing top 5 rows
```

Spark SQL Equivalent:

```
scala> spark.sql("SELECT DATE, VOLUME FROM google_data").show(5)
```

#### select Column Alternatives

All variants for selecting a column

```
import org.apache.spark.sql.functions.{expr, col, column}

df.select(
    df.col("DATE"),
    col("DATE"),
    column("DATE"),
    'DATE,
    $"DATE",
    expr("DATE")
).show(2)
```

#### selectExpr

- Combines both select and expr
- Accepts a list of String as expressions
- No need to include expr

# **Showing all the columns using \* in** selectExpr

• A \* can be used to show all the columns in a selectExpr

Spark SQL Equivalent:

```
scala> spark.sql("SELECT *, DATE as TRADEDATE FROM google_data").show(5)
```

#### Literals

- Literals are explicit values made to be included in a DataFrame
- This will inevitably be created into your preferred languages type

For Spark SQL, there is no lit function, just express the value

Spark SQL Equivalent:

```
scala> spark.sql("SELECT *, 30 as CONSTANT FROM google_data").show(5)
```

## Adding a column

- An alternative way to add a column is with withColumn
- Adds a column or replacing the existing column that has the same name.

withColumn takes a name, and a column definition or function

# Renaming Columns with withColumnRenamed

- A column can also be renamed with withColumnRenamed
- withColumnRenamed takes the old column name first, then the new name

#### **Removing Columns**

- Removing columns is done with drop
- The function can take 1 or more Strings for the column names

```
scala> dataFrame.drop("OPEN", "LOW").show(5)
+-----+
| DATE| HIGH| CLOSE| VOLUME|
+-----+
|19-Jul-17|973.04|970.89|1224540|
|18-Jul-17|968.04| 965.4|1153964|
|17-Jul-17|960.74|953.42|1165537|
|14-Jul-17|956.91|955.99|1053774|
|13-Jul-17|954.45|947.16|1294687|
+-----+-----+
only showing top 5 rows
```

#### **Casting**

- You can cast to a type by using the cast function
- Available in Scala and Spark SQL

Given the schema currently is all double:

```
scala> dataFrame.printSchema
root
|-- DATE: string (nullable = true)
|-- OPEN: double (nullable = true)
|-- HIGH: double (nullable = true)
|-- LOW: double (nullable = true)
|-- CLOSE: double (nullable = true)
|-- VOLUME: long (nullable = true)
```

We can convert say LOW to an int using `cast:

```
scala> dataFrame.withColumn("LOW", col("LOW").cast("int")).printSchema
root
|-- DATE: string (nullable = true)
|-- OPEN: double (nullable = true)
|-- HIGH: double (nullable = true)
|-- LOW: integer (nullable = true)
|-- CLOSE: double (nullable = true)
|-- VOLUME: long (nullable = true)
```

Spark SQL Equivalent:

```
scala> spark.sql("SELECT CAST(LOW as int) FROM google_data").printSchema
root
|-- LOW: integer (nullable = true)
```

#### **Filtering Rows**

- Filtering is a common functional programming construct
- It "weeds out" data from a container that doesn't meet the requirements
- Comes with two forms: where and filter
  - where takes either a Column or a String expression
  - ∘ filter takes a predicate A ⇒ Boolean

```
scala> dataFrame.filter(col("OPEN") < col("CLOSE")).show(5)</pre>
```

```
scala> dataFrame.where("OPEN < CLOSE").show(5)</pre>
```

Both the above will return ...

Spark SQL Equivalent:

```
scala> spark.sql("SELECT * from google_data WHERE CLOSE < OPEN").show(5)</pre>
```



There is no benefit to putting all filter logic in one block since Spark will inevitably calculate the best process regardless

#### **Distinct Data**

• Intuitively use distinct to obtain distinct data from where required

Given:

You can retreive the distinct data by doing the following:

Spark SQL Equivalent:

```
scala> spark.sql("SELECT DISTINCT(Country) from country_medal_count"
).show
```

## **Appending Rows to Existing Data**

- Data can be appended from one DataFrame into another using 'union'
- This is one the great features of Spark, two DataFrame can come from two different data sources
- Consider multiple datasource with similar data where you want to structure the data in the same way

## **Starting with Two Datasources**

Consider one DataSource:

And another that is somewhat different that came from a different source:

You also notice that the second you only need Spain. You can merge the following:

## Using union to bring them together

```
scala> countriesMedalCountDF.union(countriesMedalCountDF2.where("country
== 'Spain'")).show(20)
+-----+
                      Event|Gold|Silver|Bronze|
     Country
 -----
|United States| 100m Freestyle| 1| 0| | Spain| 100m Butterfly| 2| 1|
                                          1
       Japan100mButterfly03Spain100mFreestyle00ruguay100mBreaststroke01
                                          0
                                          3 |
     Uruguay | 100m Breaststroke | 0 |
| United States | 100m Breaststroke | 2 |
                                    2
                                          0
                                   1
       Spain | 100m Backstroke | 2|
                                          1
       Spain | 200m Breaststroke | 1|
                                    0 |
                                          0
       Spain | 500m Freestyle | 3|
                                    0
                                          0
       Spain | 1000m Freestyle | 2|
                                    1
```

## Sorting

- Sorting is done with sort or orderBy
- sort can either take a list of expressions or String that represents the Column

- orderBy is an alias so that you can express yourself differently
- The default is to sort in ascending order

```
dataFrame.sort("VOLUME").show(5)
dataFrame.orderBy("VOLUME", "HIGH").show(5)
dataFrame.orderBy(col("VOLUME"), col("HIGH")).show(5)
```

#### Sorting using functions asc and desc

- Both asc and desc are methods that accept a String and return Column
- Therefore can be used for sorting as a Column

```
scala> dataFrame.selectExpr("*").orderBy(desc("VOLUME")).show(5)
+----+
| DATE| OPEN| HIGH| LOW| CLOSE| VOLUME|
+----+
|10-Nov-16|791.17|791.17|752.18|762.56|4745183|
|28-Oct-16|808.35|815.49|793.59|795.37|4269902|
|29-Jul-16|772.71|778.55|766.77|768.79|3841482|
|12-Jun-17|939.56|949.36|915.23| 942.9|3763529|
|14-Nov-16| 755.6|757.85|727.54|736.08|3654385|
+-----+
only showing top 5 rows
```

## Getting the limit of the results

- The difference between this function and head is that head is an action
- limit on the other hand is lazy and returns a new Dataset.

```
scala> dataFrame.selectExpr("*").limit(5).show(5)
+-----+
| DATE| OPEN| HIGH| LOW| CLOSE| VOLUME|
+-----+
|19-Jul-17|967.84|973.04|964.03|970.89|1224540|
|18-Jul-17| 953.0|968.04| 950.6| 965.4|1153964|
|17-Jul-17| 957.0|960.74|949.24|953.42|1165537|
|14-Jul-17| 952.0|956.91| 948.0|955.99|1053774|
|13-Jul-17|946.29|954.45|943.01|947.16|1294687|
+-----+
```

## Repartition

- Just like indexing in RDBS, it would be a good idea in time to repartition often used columns into their own partitions
- This is done for performance and minimizing network traffic
- repartition can be set with either:
  - The number of partitions
  - The Column
  - Both

```
scala> val largeRange = spark.range(1, 1000000).toDF
largeRange: org.apache.spark.sql.DataFrame = [id: bigint]
scala> largeRange.rdd.getNumPartitions
res145: Int = 4
```

Repartitioning to 10 partitions

```
scala> val largeRangeDistributed = largeRange.repartition(10)
largeRangeDistributed: org.apache.spark.sql.Dataset
[org.apache.spark.sql.Row] = [id: bigint]
scala> largeRangeDistributed.rdd.getNumPartitions
res146: Int = 10
```

#### **Coalesce**

- To coalesce will also rearrange to a number of partitions
- It will make an attempt to bring down the number of columns where possible
- In the following example, the number of columns will likely be brought down to 4.

```
scala> val largeRange = spark.range(1, 1000000).toDF
largeRange: org.apache.spark.sql.DataFrame = [id: bigint]

scala> val coalescedRange = largeRange.repartition(10).coalesce(5)
coalescedRange: org.apache.spark.sql.Dataset[org.apache.spark.sql.Row] =
[id: bigint]

scala> coalescedRange.rdd.getNumPartitions
res148: Int = 4
```

#### **Collect**

- collect() will get all the rows from the DataFrame
- May come at a cost depending on the size of the result
- Will return an Array [Row] of your data

```
scala> val collectedData = dataFrame.collect
collectedData: Array[org.apache.spark.sql.Row] = Array([19-Jul-17,967.
84,973.04,964.03,970.89,1224540], [18-Jul-17,953.0,968.04,950.6,965.4
,1153964], [17-Jul-17,957.0,960.74,949.24,953.42,1165537], [14-Jul-17
,952.0,956.91,948.0,955.99,1053774], [13-Jul-17,946.29,954.45,943.01,
947.16,1294687], [12-Jul-17,938.68,946.3,934.47,943.83,1532144], [11-Jul-17,929.54,931.43,922.0,930.09,1113235], [10-Jul-17,921.77,930.38,919.
59,928.8,1192825], [7-Jul-17,908.85,921.54,908.85,918.59,1637785], [6-Jul-17,904.12,914.94,899.7,906.69,1424503], [5-Jul-17,901.76,914.51,898.5,911.71,1813884], [3-Jul-17,912.18,913.94,894.79,898.7,1710373], [30-Jun-17,926.05,926.05,908.31,908.73,2090226], [29-Jun-17,929.92,931.26,910.62,917.79,3299176], [28-Jun-17,929.0,942.75,916.0,940.49,2721406], [27-Jun-17,942.46,948.29,...
```

#### **User Defined Functions**

- If you don't have enough functions to work with? Create your own!
- Start with a standard function

```
def is_odd(x:Int):Boolean = x % 2 != 0
```

• Wrap it in a udf (User defined function)

```
val is_odd_udf = udf(is_odd(_:Int):Boolean)
```

• Use the udf

# **Joins**

- Spark can bring in separate DataFrames/Datasets and join them together
- Joins are *left* and *right*
- Matched by a key

# **Join Types**

inner joins	Keep rows with keys that exist in the left and right Dataframe
outer joins	Keep rows with keys in either the left or right DataFrame
left outer joins	Keep rows with keys in the left DataFrame
right outer joins	Keep rows with keys in the right DataFrame
left semi joins	Keep the rows in the left and only left DataFrame where the key appears in the right DataFrame
left anti joins	Keep the rows in the left and only the left DataFrame where they does not appear in the right DataFrame
cross joins	Match every row in the left DataFrame with every row in the right DataFrame

# Setting up the tables to join

Given the following:

```
val cities = Seq(
                  (1, "San Francisco", "CA"),
                  (2, "Dallas", "TX"),
                  (3, "Pittsburgh", "PA"),
                  (4, "Buffalo", "NY"),
                  (5, "Oklahoma City", "OK"),
                  (6, "New York City", "NY"),
                  (7, "Los Angeles", "CA"),
                  (8, "Omaha", "NE")).toDF("id", "city", "state")
val teams = Seq(
                  (1, 7, "Rams", "Football"),
                  (2, 7, "Dodgers", "Baseball"),
                  (3, 6, "Giants", "Football"),
                  (4, 1, "Giants", "Baseball"),
                  (5, 4, "Bills", "Football"),
                  (6, 3, "Pirates", "Baseball"),
                  (7, 1, "49ers", "Football"),
                  (8, 3, "Steelers", "Football")).toDF("id", "city_id",
"team", "sport_type")
```

## **Inner Join**

Create an inner join with the following:

```
scala> val innerjoin = cities.join(teams, cities.col("id") === teams.
col("city_id"))
```

The above returns the following:

```
scala> innerjoin.show
city|state| id|city_id| team|sport_type|
1| 49ers| Football|
1| Giants| Baseball|
 1|San Francisco| CA| 7|
  1|San Francisco| CA| 4|
                         3|Steelers| Football|
3|Pirates| Baseball|
 3| Pittsburgh| PA| 8|
 3 | Pittsburgh | PA | 6 |
       Buffalo | NY | 5 |
                           4| Bills| Football|
 7 | Los Angeles | CA | 2 | 7 | Los Angeles | CA | 2 |
                           6 | Giants | Football
                           7 | Dodgers | Baseball |
 7| Los Angeles| CA| 1|
                           7 | Rams | Football
```

#### **Outer Join**

Create an outer join with the following:

```
scala> val outerjoin = cities.join(teams, cities.col("id") === teams.
col("city_id"), "outer")
```

The above returns the following:

```
scala> outerjoin.show
city|state| id|city_id| team|sport_type|
  1|San Francisco| CA| 4| 1| Giants| Baseball|
  1|San Francisco| CA| 7|
                           1 49ers Football
 6 | New York City | NY | 3 | 3 | Pittsburgh | PA | 6 |
                           6 | Giants | Football
                           3 | Pirates | Baseball
                         3|Steelers| Football|
      Pittsburgh | PA | 8 |
 5|Oklahoma City| OK|null| null| null|
                                         null
        Buffalo NY
  4
                      5
                          4
                                Bills | Football
                          null null
  8
         Omaha| NE|null|
                                         null
  7 | Los Angeles | CA |
                      1
                          7 |
                                Rams Football
  7 | Los Angeles
                           7 | Dodgers | Baseball
                 CA 2
        Dallas
               TX null
                          null
                                 null
```

#### **Duplicate Keys**

- In the other example, keys have also been duplicated, id and id
- One id is for the city, the other is for team
- Use withColumnRenamed to establish desired field names

```
val outerjoin = cities.join(teams.withColumnRenamed("id", "team_id"),
cities.col("id") === teams.col("city_id"), "outer").withColumnRenamed
("id", "city_id").show
```

This gives the result of:

sport_type  +	'				city 	
·	Giants	·	4		San Francisco	
<b>Football</b>	49ers	1	7	CA	San Francisco	1
Football	<b>Giants</b>	6	3	NY	New York City	6
<b>Baseball</b>	Pirates	3	6	PA	Pittsburgh	3
<b>Football</b>	<b>Steelers</b>	3	8	PA	Pittsburgh	3
null	null	null	null	OK	Oklahoma City	5
Football	Bills	4	5	NY	Buffalo	4
null	null	null	null	NE	<b>Omaha</b>	8
Football	Rams	7	1	CA	Los Angeles	7
<b>Baseball</b>	Dodgers	7	2	CA	Los Angeles	7
null	null	null	null	TX	Dallas	2

# Clustering

# **Clustering Methodology**

- Clustering in Spark can be done with:
  - Standalone Mode
  - YARN
  - Mesos

# Glossary

Term	Description
Application	User program built on Spark. Consists of a driver program and executors on the cluster.
Application jar	A jar containing the user's Spark application. In some cases users will want to create an "uber jar" containing their application along with its dependencies. The user's jar should never include Hadoop or Spark libraries, however, these will be added at runtime.
Driver program	The process running the main() function of the application and creating the SparkContext
Cluster manager	An external service for acquiring resources on the cluster (e.g. standalone manager, Mesos, YARN)
Deploy mode	Distinguishes where the driver process runs. In "cluster" mode, the framework launches the driver inside of the cluster. In "client" mode, the submitter launches the driver outside of the cluster.
Worker node	Any node that can run application code in the cluster
Executor	A process launched for an application on a worker node, that runs tasks and keeps data in memory or disk storage across them. Each application has its own executors.
Task	A unit of work that will be sent to one executor
Job	A parallel computation consisting of multiple tasks that gets spawned in response to a Spark action (e.g. save, collect); you'll see this term used in the driver's logs.
Stage	Each job gets divided into smaller sets of tasks called stages that depend on each other (similar to the map and reduce stages in MapReduce); you'll see this term used in the driver's logs.