# SOME CLOSED RANGE INTEGRAL OPERATORS ON SPACES OF ANALYTIC FUNCTIONS

Austin Anderson Department of Mathematics University of Hawaii Honolulu, Hawaii 96822 austina@hawaii.edu

Abstract: Our main result is a characterization of g for which the operator  $S_g(f)(z) = \int_0^z f'(w)g(w) dw$  is bounded below on the Bloch space. We point out analogous results for the Hardy space  $H^2$  and the Bergman spaces  $A^p$  for  $1 \le p < \infty$ . We also show the companion operator  $T_g(f)(z) = \int_0^z f(w)g'(w) dw$  is never bounded below on  $H^2$ , Bloch, nor BMOA, but may be bounded below on  $A^p$ .

Keywords: Volterra operator, Cesaro operator, integral operator, bounded below, closed range, Bloch, Hardy, Bergman, BMOA, multiplication operator

### 1. Introduction

We examine operators on Banach spaces of analytic functions on the unit disk in the complex plane. The operator  $T_g$ , with symbol g(z) an analytic function on the disk, is defined by

$$T_g f(z) = \int_0^z f(w)g'(w) dw.$$

 $T_g$  is a generalization of the standard integral operator, which is  $T_g$  when g(z)=z. Letting  $g(z)=\log(1/(1-z))$  gives the Cesáro operator. Discussion of the operator  $T_g$  first arose in connection with semigroups of composition operators. (see [11] for background) Characterizing the boundedness and compactness of  $T_g$  on certain spaces of analytic functions is of recent interest, as seen in [1], [2], [5] and [11], and open problems remain.  $T_g$  and its companion operator  $S_g f(z) = \int_0^z f'(w)g(w) dw$  are related to the multiplication operator  $M_g f(z) = g(z)f(z)$ , since integration by parts gives

$$M_g f = f(0)g(0) + T_g f + S_g f.$$

If any two of  $M_g$ ,  $S_g$ , and  $T_g$  are bounded, then so is the third. But in some situations one operator is bounded while two are unbounded. Boundedness of  $T_g$  on the Hardy and Bergman spaces and BMOA is characterized in [1], [2] and [11]. The pointwise multipliers of these and many other spaces are well known. See [12] for BMOA.

In this paper we examine the property of being bounded below for  $T_g$  and  $S_g$  on spaces of analytic functions. We examine aspects of the problems on Hardy and Bergman spaces, the Bloch space, and BMOA. In doing so we must assume the

Date: March 9, 2010.

The author was supported by NSF-DGE-0841223.

operators are bounded, and we study characterizations of the symbols for which the operators are bounded. Consideration of  $M_g$  is useful as well.

### 2. Preliminaries

The notation  $f \lesssim g$  will mean there exists a universal constant C such that  $f \leq Cg$ .  $f \approx g$  will mean  $f \lesssim g \lesssim f$ .

Let D be the unit disk in the complex plane. Let H(D) denote the set of analytic functions on D. For  $1 \le p < \infty$ , the Hardy space  $H^p$  on D is

$$\{f \in H(D): ||f||_p = \sup_{0 < r < 1} \int_0^{2\pi} |f(re^{it})|^p dt < \infty\}.$$

The space of bounded analytic functions on D is

$$H^{\infty} = \{ f \in H(D) : ||f||_{\infty} = \sup_{z \in D} |f(z)| < \infty \}.$$

We define weighted Bergman spaces, for  $\alpha > -1$ ,

$$A_{\alpha}^{p} = \{ f \in H(D) : \|f\|_{A_{\alpha}^{p}} = \int_{D} |f(z)|^{p} (1 - |z|^{2})^{\alpha} dA(z) < \infty \},$$

where dA(z) refers to Lebesgue area measure on D.

The Bloch space is

$$\mathcal{B} = \{ f \in H(D) : ||f||_{\mathcal{B}} = \sup_{z \in \mathbb{D}} |f'(z)|(1 - |z|^2) < \infty \}.$$

Note that  $\| \|_{\mathcal{B}}$  is a semi-norm. The true norm accounts for functions differing by an additive constant.

A complex measure  $\mu$  on D is called a (Hardy space) Carleson measure if there exists C>0 such that  $\mu(S(I))\leq C|I|$  for all arcs  $I\subseteq \partial D$ , where  $S(I)=\{re^{i\theta}:1-|I|< r<1,e^{i\theta}\in I\}$  is the Carleson rectangle associated with I, and |I| is the length of I. The smallest such C is called the Carleson constant for the measure  $\mu$ . Define, for  $f\in H(D)$ ,  $d\mu_f(z)=|f'(z)|^2(1-|z|^2)\,dA(z)$ . The space of analytic functions of bounded mean oscillation, BMOA, is the set of f for which  $\mu_f$  is Carleson. The BMOA norm  $\|f\|_*$  is comparable to the square root of the Carleson constant for  $\mu_f$ . The space of analytic functions of vanishing mean oscillation, VMOA, is the set of f for which

$$\lim_{|I| \to 0} \frac{\mu_f(S(I))}{|I|} = 0.$$

Zhu [14] is a good reference for background on all these spaces.

 $H^{\infty}$  is a subspace of BMOA, which in turn is a subspace of  $H^2$ .  $H^{\infty}$  is also a subspace of  $\mathcal{B}$ . The next lemma will be useful later when studying  $T_g$ .

**Lemma 2.1.** Let  $f_n(z) = z^n$ , n = 1, 2, ... .  $||f_n||_X \approx 1$  for all n and  $X = H^2, \mathcal{B}, BMOA$ .

*Proof:* It is well-known that  $||f_n||_{H^2} = 1$  for all n. Checking the Bloch norm with a calculation, we get  $||f_n||_{\mathcal{B}} \approx \sup_{0 < r < 1} nr^{n-1}(1-r) = (1-\frac{1}{n})^{n-1} \to 1/e$  as  $n \to \infty$ . Finally,  $1 = ||f_n||_{\infty} \lesssim ||f_n||_{BMOA} \lesssim ||f_n||_{H^2}$ . (see [14])  $\square$ 

When studying  $T_g$  and  $S_g$ , it is useful to be able to compare the norm of a function to the norm of its derivative. For  $p \geq 1$ ,  $\alpha > -1$ , the differentiation

operator and its inverse, the indefinite integral, are isometries between  $A^p_{\alpha}/\mathbb{C}$  and  $A^p_{\alpha+p}$ , i.e.,

$$||f||_{A^p_\alpha} \approx |f(0)| + ||f'||_{A^p_{\alpha+p}}.$$
 (2.1)

(see [14, 4.28]) Making the natural definition  $A_{-1}^2 = H^2$ , the identity holds for  $p=2, \alpha=-1$  as well. This is the well-known Littlewood-Paley identity,  $\|f\|_{H^2} \approx |f(0)| + \int_D |f'(z)|^2 (1-|z|^2) \, dA(z)$ .

On all the spaces mentioned, point evaluation is a bounded linear functional. The norm of point evaluation at z in  $A^p_{\alpha}$  is comparable to  $1/(1-|z|)^{(2+\alpha)/p}$ . (see [14, Theorem 4.14]) In  $\mathcal{B}$  and BMOA, the norm of point evaluation is comparable to  $\log(2/(1-|z|))$ . The following theorem is a generalization of a result on multipliers of Banach spaces in which point evaluation is a bounded. See, for example, [6, Lemma 11].

**Theorem 2.2.** Let X, Y be Banach spaces of analytic functions, and let  $\lambda_z^0$  and  $\lambda_z^1$  be linear functionals on X and Y defined by  $\lambda_z^0 f = f(z)$  and  $\lambda_z^1 f = f'(z)$ . Suppose  $\lambda_z^0$  and  $\lambda_z^1$  are bounded.

a) Suppose  $S_g$  maps X boundedly into Y. Then

$$|g(z)| \le ||S_g|| \frac{||\lambda_z^1||_Y}{||\lambda_z^1||_X}$$

b) Suppose  $T_g$  maps X boundedly into Y. Then

$$|g'(z)| \le ||T_g|| \frac{||\lambda_z^1||_Y}{||\lambda_z^0||_X}$$

*Proof:* Note that, for  $f \in X$ ,

$$|f'(z)||g(z)| = |\lambda_z^1 S_a(f)| \le ||\lambda_z^1||_Y ||S_a|| ||f||_X$$

Since  $\sup_{\|f\|_X=1} |f'(z)| = \|\lambda_z^1\|_X$ , taking the sup over  $\|f\|_X = 1$  of both sides gives

$$\|\lambda_z^1\|_X |g(z)| \le \|S_q\| \|\lambda_z^1\|_Y.$$

Hence a). Similarly,

$$|f(z)||g'(z)| = |\lambda_z^1 T_q(f)| \le ||\lambda_z^1||_Y ||T_q|| ||f||_X.$$

Taking the sup over f with norm 1, we get

$$\|\lambda_z^0\|_X |g'(z)| \le \|T_q\| \|\lambda_z^1\|_Y.$$

This completes the proof.  $\square$ 

Corollary 2.3. If X is a Banach space of analytic functions on which point evaluation of the derivative is a bounded linear functional, and  $S_g$  is bounded on X, then g is bounded.

In [6], we see a similar result for  $M_g$ , i.e., boundedness of  $M_g$  on a Banach space in which point evaluation is bounded implies g is bounded. On the Hardy and Bergman spaces on the disk, both  $M_g$  and  $S_g$  are bounded if and only if g is bounded. That this is necessary for  $S_g$  is Corollary 2.3. That it is sufficient follows from integration by parts since  $T_g$  and  $M_g$  are bounded if g is bounded. (see [1] and [2] concerning  $T_g$ ) A similar situation holds for  $\mathcal{B}$ .

**Proposition 2.4.**  $S_g$  is bounded on  $\mathcal{B}$  if and only if  $g \in H^{\infty}$ .

*Proof:* It is clear  $g \in H^{\infty}$  implies  $S_g$  is bounded, since

$$||S_g f||_{\mathcal{B}} = \sup_{z \in \mathbb{D}} (|f'(z)||g(z)|(1-|z|^2)) \le ||g||_{H^{\infty}} ||f||_{\mathcal{B}}$$

The converse follows from Corollary 2.3.  $\square$ 

#### 3. The property of being bounded below

An operator T is said to be bounded below if there exists C>0 such that  $||Tf|| \ge C||f||$  for all f.

It typically is the case for one-to-one operators on Banach spaces that boundedness below is equivalent to having closed range. The analogue of Theorem 3.2 for composition operators is found in Cowen and MacCluer [4]. We include the proof for  $T_q$  and  $S_q$ , essentially the same, for easy reference.

**Lemma 3.1.**  $T_g$  is one-to-one for nonconstant g.

*Proof:* If  $T_g f_1 = T_g f_2$ , taking derivatives gives  $f_1(z)g'(z) = f_2(z)g'(z)$ . Thus  $f_1(z) = f_2(z)$  except possibly at the (isolated) points where g vanishes. Since  $f_1$  and  $f_2$  are analytic,  $f_1 = f_2$ .  $\square$ 

When considering the property of being bounded below for  $S_g$ , we note that  $S_g$  maps any constant function to the 0 function. Thus, it is only useful to consider spaces of analytic functions modulo the constants.

**Theorem 3.2.** Let Y be a Banach space of analytic functions on the disk. For nonconstant g,  $T_g$  is bounded below on Y if and only if it has closed range.  $S_g$  is bounded below on  $Y/\mathbb{C}$  if and only if it has closed range on  $Y/\mathbb{C}$ .

*Proof:* Assume  $T_g$  is bounded below, i.e., there exists  $\varepsilon > 0$  such that  $||T_gf|| \ge \varepsilon ||f||$  for all f. Suppose  $\{T_gf_n\}$  is a Cauchy sequence in the range of  $T_g$ . Since  $||f_n - f_m|| \le ||T_gf_n - T_gf_m||$ ,  $\{f_n\}$  is also a Cauchy sequence. Letting  $f = \lim f_n$ , we have  $T_gf_n \to T_gf$ , showing  $T_gf_n$  converges in the range of  $T_g$ . Hence the range is closed.

Conversely, assume  $T_g:Y\to Y$  is closed range. Let  $\{f_n\}$  be a sequence in Y such that  $\|T_gf_n\|\to 0$ .  $T_g$  is one-to-one by Lemma 3.1. Let the closed range of  $T_g$  be X. X is a Banach space, and we can define the inverse  $T_g^{-1}:X\to Y$ . Suppose  $\{x_n\}$  converges to  $x=T_gh$  in X, and  $T_g^{-1}x_n$  converges to y in Y. Applying  $T_g$  to  $\{T_g^{-1}x_n\}$ , this means  $x_n$  converges to  $T_gy$ . Hence  $T_gy=T_gh$ . Since  $T_g$  is one-to-one, y=h, and  $x=T_g^{-1}y$ . By the Closed Graph Theorem,  $T_g^{-1}$  is continuous. Thus,  $\|f_n\|=\|T_g^{-1}(T_gf_n)\|\to 0$ , implying  $T_g$  is bounded below.

The same argument holds for  $S_g$  as well, but only on spaces modulo constants, since  $S_g$  is not one-to-one otherwise.  $\square$ 

We will show that  $T_g$  is never bounded below on  $H^2$ ,  $\mathcal{B}$ , nor BMOA. The sequence  $\{z^n\}$  demonstrates the result in each space, since the functions  $z^n$  have norm comparable to 1, independent of n. (Lemma 2.1)

**Theorem 3.3.**  $T_g$  is never bounded below on  $H^2$ ,  $\mathcal{B}$ , nor BMOA.

Proof: Let  $f_n(z) = z^n$ . For  $H^2$ ,

$$\lim_{n \to \infty} ||T_g f_n||^2 \approx \lim_{n \to \infty} \int_D |z^n|^2 |g'(z)|^2 (1 - |z|^2) \, dA(z)$$

We assume  $T_g$  is bounded, so  $g \in BMOA$  by a result of Aleman and Siskakis. [2] Thus  $\mu_g$  is a Carleson measure, allowing us to bring the limit inside the integral by the Dominated Convergence Theorem.

$$\lim_{n \to \infty} ||T_g f_n||^2 \approx \int_D \lim_{n \to \infty} |z^n|^2 |g'(z)|^2 (1 - |z|^2) \, dA(z) = 0.$$

Since  $||f_n||_2 = 1$  for all n,  $T_q$  is not bounded below.

If  $T_g$  is bounded on  $\mathcal{B}$ , then, by Theorem 2.2,  $|g'(z)|(1-|z|) = O(1/\log(1/(1-|z|)))$  as  $|z| \to 1$ .

$$||T_g f_n||_{\mathcal{B}} = \sup_{z \in D} |z^n||g'(z)|(1-|z|) \lesssim \sup_{0 < r < 1} r^n \frac{1}{\log(2/1-r)}.$$

Given  $\varepsilon > 0$ , there exists  $\delta < 1$  such that  $1/\log(2/(1-r)) < \varepsilon$  for  $\delta < r < 1$ . For large  $n, r^n < \varepsilon$  for  $0 < r < \delta$ . Thus,  $\lim_{n \to \infty} \|T_g f_n\|_{\mathcal{B}} = 0$ , and Lemma 2.1 implies  $T_g$  is not bounded below on  $\mathcal{B}$ .

On BMOA, Siskakis and Zhao proved  $T_g$  being bounded implies  $g \in VMOA$ . [11]

$$\lim_{n \to \infty} ||T_g f_n||_*^2 \approx \lim_{n \to \infty} \sup_{I} \frac{1}{|I|} \int_{S(I)} |z^n|^2 |g'(z)|^2 (1 - |z|^2) \, dA(z).$$

Let I be an arc in  $\partial D$ , and let  $\varepsilon > 0$ . Since  $g \in VMOA$ , there exists  $\delta > 0$  such that

$$\frac{1}{|J|} \int_{S(J)} |g'(z)|^2 (1-|z|^2) \, dA(z) < \varepsilon \text{ whenever } |J| < \delta.$$

If  $|I| > \delta$ , divide I into K disjoint intervals of length approximately  $\delta$ , so

$$I = \cup_{i=1}^K J_i, \delta/2 < |J_i| < \delta \text{ for all } i, \text{ and } \delta K \approx |I|.$$

Let  $S_{\delta}(I) = S(I) - \bigcup_{i} S(J_{i})$ . For large n,  $(1 - \delta/2)^{2n} \leq \varepsilon |I|$ , and to estimate the integral over  $S_{\delta}(I)$  we use the fact that  $\mu_{g}$  is a Carleson measure.

$$\begin{split} \frac{1}{|I|} \int_{S(I)} |z^n|^2 |g'(z)|^2 (1-|z|^2) \, dA(z) &= \frac{1}{|I|} \int_{S_{\delta}(I)} |z^n|^2 |g'(z)|^2 (1-|z|^2) \, dA(z) \\ &+ \frac{1}{|I|} \sum_{i=1}^K \int_{S(J_i)} |z^n|^2 |g'(z)|^2 (1-|z|^2) \, dA(z) \\ &\leq \frac{1}{|I|} (1-\delta/2)^{2n} \, C \|g\|_*^2 + \frac{1}{|I|} K \delta \varepsilon \lesssim \varepsilon \end{split}$$

for large n. Hence  $\lim_{n\to\infty} \|T_g f_n\|_* = 0$  and  $T_g$  is not bounded below on BMOA.  $\square$ 

In contrast to Theorem 3.3,  $T_g$  can be bounded below on weighted Bergman spaces. We state the result here, but the key is Proposition 3.5, proved afterward.

**Theorem 3.4.** Let  $1 \le p < \infty$ ,  $\alpha > -1$ .  $T_g$  is bounded below on  $A^p_{\alpha}$  if and only if there exist c > 0 and  $\delta > 0$  such that

$$|\{z \in D : |g'(z)|(1-|z|^2) > c\} \cap S(I)| > \delta |I|^2.$$

*Proof:* We must assume  $T_g$  is bounded on  $A^p_{\alpha}$ . By Theorem 2.2,  $g \in \mathcal{B}$ . (That this is also sufficient for  $T_g$  to be bounded on  $A^p_0$  is in [1].)  $T_g$  is bounded below on  $A^p_{\alpha}$  if and only if

$$||T_g f||_{A^p_\alpha}^p \approx \int_D |f(z)|^p |g'(z)|^p (1-|z|^2)^{\alpha+p} dA(z) \gtrsim ||f||_{A^p_\alpha}^p.$$

By Proposition 3.5, this is true if and only if there exist c > 0 and  $\delta > 0$  such that

$$|\{z \in D : |g'(z)|^p (1-|z|^2)^p > c\} \cap S(I)| > \delta |I|^2$$

for all arcs  $I \subseteq \partial D$ . If this holds for some p it holds for all p.  $\square$ 

The proof of [10, Proposition 5.4] shows this result is nonvacuous. Ramey and Ullrich construct a Bloch function g such that  $|g'(z)|(1-|z|) > c_0$  if  $1-q^{-(k+1/2)} \le |z| \le 1-q^{-(k+1)}$ , for some  $c_0 > 0$ , q some large positive integer, and  $k = 1, 2, \ldots$  Given a Carleson square S(I), let  $k_I$  be the least positive integer such that  $q^{-k_I+1/2} \le |I|$ . The annulus  $E = \{z : 1-q^{-(k_I+1/2)} \le |z| \le 1-q^{-(k_I+1)}\}$  intersects S(I), and

$$|E \cap S(I)| \approx |I|((1 - q^{-(k_I + 1)}) - (1 - q^{-(k_I + 1/2)})) = |I| \frac{q^{1/2} - 1}{q^{k_I + 1}} \ge \frac{(q^{1/2} - 1)}{q^{3/2}} |I|^2.$$

Setting  $c = c_0$  and  $\delta \approx 1/q$  show Theorem 3.4 holds for this example of g, and  $T_g$  is bounded below on  $A^p_{\alpha}$ .

We define  $H_0^p = H^p/\mathbb{C} = \{f \in H^p : f(0) = 0\}$ . The operator  $S_g$  can clearly be bounded below, since g(z) = 1 gives the identity operator. A result due to Luccking (see [4, 3.34]) leads to a characterization of functions for which  $S_g$  is bounded below on  $H_0^2$  and  $A_\alpha^p/\mathbb{C}$ . We state a reformulation useful to our purposes here.

**Proposition 3.5.** (Luecking) Let  $\tau$  be a bounded, nonnegative, measurable function on D. Let  $G_c = \{z \in D : \tau(z) > c\}$ ,  $1 \le p < \infty$ , and  $\alpha > -1$ . There exists C > 0 such that the inequality

$$\int_{D} |f(z)|^{p} \tau(z) (1 - |z|)^{\alpha} dA(z) \ge C \int_{D} |f(z)|^{p} (1 - |z|)^{\alpha} dA(z)$$

holds if and only if there exist  $\delta > 0$  and c > 0 such that  $|G_c \cap S(I)| \ge \delta |I|^2$  for every interval  $I \subset T$ .

The proof is omitted. Using the Littlewood-Paley identity we get the following:

**Corollary 3.6.**  $S_g$  is bounded below on  $H_0^2$  if and only if there exist c > 0 and  $\delta > 0$  such that  $|G_c \cap S(I)| \ge \delta |I|^2$ , where  $G_c = \{z \in D : |g(z)| > c\}$ .

We use Corollary 3.6 to construct a nonexample of boundedness below of  $S_g$  on  $H_0^2$ , and compare  $M_g$  on  $H^2$  to  $S_g$  on  $H_0^2$ . If g(z) is the singular inner function  $\exp(\frac{z+1}{z-1})$ ,  $S_g$  is not bounded below on  $H_0^2$ . To see this, fix  $c \in (0,1)$ .  $G_c$  is the complement in D of a horodisk, a disk tangent to the unit circle, with radius  $r = \frac{\log c + 1}{2(\log c - 1)}$  and center 1 - r. Choosing a sequence of intervals  $I_n \subset T$  such that 1 is the center of  $I_n$  and  $|I_n| \to 0$  as  $n \to \infty$ , we see

$$\frac{|G_c \cap S(I_n)|}{|I_n|^2} \to 0 \text{ as } n \to \infty,$$

meaning  $S_g$  is not bounded below on  $H_0^2$ .

 $M_q$  is bounded below on  $H^2$  if and only if the radial limit function of  $g \in H^{\infty}$ is essentially bounded away from 0 on  $\partial D$ . ([8] has this result as a special case of weighted composition operators.) Theorem 3.8 will show this is weaker than the condition for  $S_g$  to be bounded below on  $H_0^2$ . The example above of a singular inner function then shows it is strictly weaker. To prove Theorem 3.8 we use a lemma which allows us to estimate an analytic function inside the disk by its values on the boundary. Define the conelike region with aperture  $\alpha \in (0,1)$  at  $e^{i\theta}$  to be

$$\Gamma_{\alpha}(e^{i\theta}) = \left\{ z \in D : \frac{|e^{i\theta} - z|}{1 - |z|} < \alpha \right\}.$$

For a function  $g \in H(D)$ , define the nontangential limit function, for almost all  $e^{it}$ ,

$$|g^*(e^{it})| = \lim_{\Gamma_{\alpha}(e^{it})\ni z\to e^{it}} |g(z)|.$$

For any arc  $I \subseteq \partial D$  and  $0 < r < 2\pi/|I|$ , rI will denote the arc with the same center as I and length r|I|. We define the upper Carleson rectangle

$$S_{\varepsilon}(I) = \{ re^{it} : 1 - |I| < r < (1 - \varepsilon |I|), e^{it} \in I \}, \text{ and } S^{+}(I) = S_{1/2}(I).$$

**Lemma 3.7.** Given  $(1 >) \varepsilon > 0$  and a point  $e^{i\theta}$  such that  $|g^*(e^{i\theta})| < \varepsilon$ , there exists an arc  $I \subset \partial D$  such that  $|g(z)| < \varepsilon$  for  $z \in S_{\varepsilon}(I)$ .

*Proof:* We can choose  $\alpha$  close enough to 1 so that  $S_{\varepsilon}(I) \subset \Gamma_{\alpha}(e^{i\theta})$  for all Icentered at  $e^{i\theta}$  with, say, |I| < 1/4. If  $|g^*(e^{i\theta})| < \varepsilon$ , there exists  $\delta > 0$  such that

$$z \in \Gamma_{\alpha}(e^{i\theta}), |z - e^{i\theta}| < \delta \text{ imply } |g(z)| < \varepsilon.$$

Choosing I such that S(I) is contained in a  $\delta$ -neighborhood of  $e^{i\theta}$  finishes the proof.

**Theorem 3.8.** If  $S_q$  is bounded below on  $H_0^2$ , then  $M_q$  is bounded below on  $H^2$ .

*Proof:* Assume  $M_g$  is not bounded below on  $H^2$ . Let  $\varepsilon > 0$ . The radial limit function of g equals  $g^*$  almost everywhere, so there exists a point  $e^{i\theta}$  such that  $|q^*(e^{i\theta})| < \varepsilon$ . By Lemma 3.7, there exists S(I) such that  $|\{z: |q(z)| \ge \varepsilon\} \cap S(I)| \le \varepsilon$  $\varepsilon |I|$ . Since  $\varepsilon$  was arbitrary, this violates the condition in Proposition 3.5.

We now characterize the symbols g which make  $S_g$  bounded below on the Bloch space. It turns out to be a common condition appearing in a few different forms in the literature. The condition appears in characterizing  $M_g$  on  $A_0^2$  in McDonald and Sundberg [9]. Our main result is equivalence of (i)-(iii) in Theorem 3.9, and we give references with brief explanations for (iv)-(vi).

**Theorem 3.9.** The following are equivalent for  $q \in H^{\infty}$ :

- (i) g = BF for a finite product B of interpolating Blaschke products and F such that  $F, 1/F \in H^{\infty}$ .
  - (ii)  $S_q$  is bounded below on  $\mathcal{B}/\mathbb{C}$ .
  - (iii) There exist r < 1 and  $\eta > 0$  such that for all  $a \in D$ ,

$$\sup_{z \in D(a,r)} |g(z)| > \eta.$$

- (iv)  $S_g$  is bounded below on  $H_0^2$ .
- (v)  $M_g$  is bounded below on  $A_{\alpha}^p$  for  $\alpha > -1$ . (vi)  $S_g$  is bounded below on  $A_{\alpha}^p/\mathbb{C}$  for  $\alpha > -1$ .

*Proof:* (i)  $\Rightarrow$  (ii): Note that  $S_{g_1g_2} = S_{g_1}S_{g_2}$  for any  $g_1, g_2$ . It follows that if  $S_{g_1}$  and  $S_{g_2}$  are bounded below then  $S_{g_1g_2}$  is also bounded below. We will show that  $S_F$  and  $S_B$  are bounded below, implying the result for  $S_g$ .

It is necessary that  $g \in H^{\infty}$  for  $S_g$  to be bounded on  $\mathcal{B}$ . (Corollary 2.3) If F,  $1/F \in H^{\infty}$ , then

$$||S_F f|| = \sup_{z \in D} |F(z)||f'(z)|(1 - |z|^2) \ge (1/||1/F||_{\infty})||f||_{\mathcal{B}}.$$

Hence  $S_F$  is bounded below.

By virtue of the fact beginning this proof, we may assume B is a single interpolating Blaschke product without loss of generality. Let  $\{w_n\}$  be the zero sequence of B, so

$$B(z) = e^{i\varphi} \prod_{n} \frac{w_n - z}{1 - \overline{w}_n z}.$$

Denote the pseudohyperbolic metric

$$\rho(z,w) = \frac{|w-z|}{|1-\overline{w}z|}, \text{ for any } z,w \in D.$$

For the pseudohyperbolic disk of radius d>0 and center  $w\in D$ , we use the notation

$$D(w, d) = \{ z \in D : \rho(z, w) < d \}.$$

Let  $B_j$  be B without its jth zero, i.e.,  $B_j(z) = \frac{1-\overline{w}_jz}{w_j-z}B(z)$ . Since B is interpolating, there exist  $\delta > 0$  and r > 0 such that, for all j,  $|B_j(z)| > \delta$  whenever  $z \in D(w_j, r)$ . In particular, the sequence  $\{w_n\}$  is separated, so shrinking r if necessary, we may assume

$$\inf_{i \neq k} \rho(w_k, w_j) > 2r.$$

We compare ||f|| to  $||S_B f|| = \sup_{z \in D} |B(z)||f'(z)|(1-|z|^2)$ . Let  $a \in D$  be a point where the supremum defining the norm of f is almost achieved, say,  $|f'(a)|(1-|a|^2) > ||f||/2$ .

Consider the pseudohyperbolic disk D(a,r). Inside D(a,r) there may be at most one zero of B, say  $w_k$ . We examine three cases depending on the location and existence of  $w_k$ .

If  $r/2 \le \rho(w_k, a) < r$ , then

$$|B(a)| = \frac{|w_k - a|}{|1 - \overline{w}_k a|} |B_k(a)| > (r/2)\delta.$$

Thus we would have

$$||S_B f|| \ge |B(a)||f'(a)|(1-|a|^2) > (r/2)\delta||f||/2,$$

and  $S_q$  would be bounded below.

On the other hand, suppose  $\rho(w_k, a) < r/2$ . Consider the disk  $D(w_k, r/2)$ , which is contained in D(a, r). The expression  $1 - |z|^2$  is roughly constant on a pseudohyperbolic disk, i.e.,

$$\sup_{z \in D(a,r)} (1 - |z|^2) > C_r (1 - |a|^2) \text{ for some } C_r > 0.$$

 $C_r$  does not depend on a, and is near 1 for small r. By the maximum principle for f', there exists a point  $z_a \in \partial D(w_k, r/2)$  where

$$|f'(z_a)|(1-|z_a|^2) > |f'(a)|C_r(1-|a|^2) > C_r||f||/2.$$

(Since  $\rho(w_k, a) < r/2$  and  $\rho(z_a, w_k) = r/2$ , we have  $\rho(z_a, a) < r$ .) This shows that  $S_q$  is bounded below, for

$$||S_B f|| \ge |B(z_a)||f'(z_a)|(1-|z_a|^2)$$
  
>  $\rho(w_k, z_a)|B_k(z_a)|C_r||f||/2$   
>  $(r/2)\delta C_r||f||/2.$ 

Finally, suppose no such  $w_k$  exists. Then the function  $((a-z)/(1-\overline{a}z))B(z)$  is also an interpolating Blaschke product, and the previous case applies with  $w_k = a$ .

(ii)  $\Rightarrow$  (iii): Assume (iii) fails. Given  $\varepsilon > 0$ , choose r near 1 so that  $1 - r^2 < \varepsilon$ , and choose  $a \in D$  such that  $|g(z)| < \varepsilon$  for all  $z \in D(a, r)$ . Consider the test function  $f_a(z) = (a-z)/(1-\overline{a}z)$ . By a well-known identity,

$$(1 - |z|^2)|f_a'(z)| = 1 - (\rho(a, z))^2.$$

Thus  $f_a \in \mathcal{B}$  with  $||f_a|| = 1$  for all  $a \in D$ . (The seminorm is 1, but the true norm is between 1 and 2 for all a.) By supposition on g,

$$||S_{g}f_{a}|| = \sup_{z \in D} |g(z)||f'_{a}(z)|(1 - |z|^{2})$$

$$= \max \left\{ \sup_{z \in D(a,r)} |g(z)||f'_{a}(z)|(1 - |z|^{2}), \sup_{z \in D \setminus D(a,r)} |g(z)||f'_{a}(z)|(1 - |z|^{2}) \right\}$$

$$\leq \max \left\{ \sup_{z \in D(a,r)} |g(z)|||f_{a}||, \sup_{z \in D \setminus D(a,r)} |g(z)|(1 - r^{2}) \right\}$$

$$< \max \{ \varepsilon, ||g||_{\infty} \varepsilon \} \leq \varepsilon (||g||_{\infty} + 1)$$

Since  $||f_a|| = 1$  and  $\varepsilon$  was arbitrary,  $S_g$  is not bounded below.

(iii)  $\Rightarrow$  (i): Assuming (iii) holds, we first rule out the possibility that g has a singular inner factor. We factor  $g=BI_gO_g$  where B is a Blaschke product,  $I_g$  a singular inner function, and  $O_g$  an outer function. Let  $\nu$  be the measure on  $\partial D$  determining  $I_g$ , so

$$I_g(z) = \exp\left(-\int \frac{e^{i\theta} + z}{e^{i\theta} - z} d\nu(\theta)\right).$$

Let  $\varepsilon > 0$ . For any  $\alpha > 1$  and for  $\nu$ -almost all  $\theta$ , there exists  $\delta > 0$  such that

$$z \in \Gamma_{\alpha}(e^{i\theta}), |z - e^{i\theta}| < \delta \text{ imply } |I_g(z)| < \varepsilon.$$
 (3.1)

This is [7, Theorem II.6.2].  $\delta$  may depend on  $\theta$  and  $\alpha$ , but for nontrivial  $\nu$  there exists some  $\theta$  where (3.1) holds. Given r < 1, choose  $\alpha < 1$  such that, for every a near  $e^{i\theta}$  on the ray from 0 to  $e^{i\theta}$ , the pseudohyperbolic disk D(a,r) is contained in  $\Gamma_{\alpha}(e^{i\theta})$ . The disk D(a,r) is a euclidean disk whose euclidean radius is comparable to 1-a. For a close enough to  $e^{i\theta}$ ,

$$z \in D(a,r)$$
 implies  $|z - e^{i\theta}| < \delta$ .

Hence  $\sup_{z\in D(a,r)}|g(z)|<\varepsilon\|g\|$ . This violates (iii), so  $\nu$  must be trivial, and  $I_g\equiv 1$ . A similar argument handles the outer function  $O_g$ . If for all  $\varepsilon>0$  there exists  $e^{it}$  such that  $|O_g^*(e^{it})|<\varepsilon$ , we apply Lemma 3.7. The upper Carleson square in Lemma 3.7 contains some pseudohyperbolic disk that violates (iii), so  $O_g^*$  is essentially bounded away from 0. There exists  $\eta>0$ , such that  $|O_g^*(e^{it})|\geq\eta$  almost everywhere. Note  $1/O_g\in H^\infty$ , since for all  $z\in D$ ,

$$\log |O_g(z)| = \frac{1}{2\pi} \int_0^{2\pi} \log |O_g^*(e^{it})| \frac{1 - |z|^2}{|e^{it} - z|^2} dt \ge \log \eta.$$

We have reduced the symbol to a function g=BF, where  $F,1/F\in H^\infty$  and B is a Blaschke product, say with zero sequence  $\{w_n\}$ . We will show that the measure  $\mu_B=\sum (1-|w_n|^2)\delta_{w_n}$  is a Carleson measure, implying B is a finite product of interpolating Blaschke products. (see, e.g., [9, Lemma 21]) Let r<1 and  $\eta>0$  be as in (iii), so  $\sup_{z\in D(a,r)}|B(z)|>\eta$  for all a. Given any arc  $I\subseteq \partial D$ , we may choose  $a_I$  and  $z_I$  such that  $D(a_I,r)\subseteq S(I), z_I\in D(a_I,r), |B(z_I)|>\eta$ , and  $(1-|z_I|)\approx |I|$  as I varies.  $\mu_B(S(I))=\sum (1-|w_{n_k}|^2)$  where the subsequence  $\{w_{n_k}\}=\{w_n\}\cap S(I)$ . Assume without loss of generality that |I|<1/2, so  $|w_{n_k}|>1/2$  for all k. This ensures  $|1-\overline{w}_{n_k}z_I|\approx |I|$ . Thus we have

$$\frac{1}{|I|} \sum_{k} (1 - |w_{n_k}|^2) \approx \sum_{k} \frac{(1 - |z_I|^2)(1 - |w_{n_k}|^2)}{|1 - \overline{w}_{n_k} z_I|^2} 
= \sum_{k} 1 - (\rho(z_I, w_{n_k}))^2 
< 2 \sum_{k} 1 - \rho(z_I, w_k) 
\leq -\sum_{k} \log \rho(z_I, w_k) 
= -\log \prod_{k} \frac{|w_k - z_I|}{|1 - \overline{w}_k z_I|} 
= -\log |B(z)| \leq -\log \eta.$$

This shows  $\mu_B$  is a Carleson measure.

$$(i) \Leftrightarrow (iv) \Leftrightarrow (v) \Leftrightarrow (vi)$$

Bourdon shows in [3, Theorem 2.3, Corollary 2.5] that (i) is equivalent to the reverse Carleson condition in Corollary 3.6 above, hence (i)  $\Leftrightarrow$  (iv). This reverse Carleson condition also characterizes boundedness below of  $M_g$  on weighted Bergman spaces by Proposition 3.5. Thus (iv)  $\Leftrightarrow$  (v). A key connection is between  $S_g$  and  $M_g$  via the differentiation operator and equation (2.1), since  $(S_g f)' = M_g f'$ . The following diagram is commutative:

This explains (v)  $\Rightarrow$  (vi). Since  $A_{-1}^2 = H^2$ , we can combine (iv) and (vi) to say  $S_g$  is bounded below on  $A_{\alpha}^2/\mathbb{C}$  for  $\alpha \geq -1$ .  $\square$ 

## Concluding Remarks

We suspect the results about  $H^2$  can be extended to all  $H^p$ ,  $1 \le p < \infty$ , but without the Littlewood-Paley identity the proof is more difficult. Generalizing the results on Bloch to the  $\alpha$ -Bloch spaces can be done with adjusted test functions as in [13]. Finally, we have partial results concerning  $S_g$  being bounded below on BMOA, but have not completed proving a characterization like the one in Theorem 3.9.

I would like to thank my advisor Dr. Wayne Smith for his invaluable guidance.

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Department of Mathematics, University of Hawaii, Honolulu, Hawaii 96822  $E\text{-}mail\ address:}$  austina@hawaii.edu