LECTURER: Anna Androvitsanea **DATA ENGINEERING**

Database Engineering — Unit 1

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DATA ENGINEERING TOPIC OUTLINE

Foundation of Data Systems	1
Data Processing at Scale	2
Microservices	3
Governance & Security	4
Common Cloud Platforms & Services	5
DataOps	6

Introductory Round

- ► Who are you?
- Anna
- ▶ iu / Flightright GmbH
- ► Data Scientist and ML Engineer
- Have worked as a Civil Engineer and Archaeologist
- Data Scientist enthusiast

Role of Data Engineers and Data Scientists

- Exploring the distinct roles and responsibilities of data engineers and data scientists.
- Examining the interplay and collaboration between these two roles in a real-world project.
- Case Study: A successful collaboration between data engineering and data science teams in a retail analytics project.

Case Study Overview: Retail Analytics Project

- Introduction to the retail analytics project.
- Objectives and challenges of the project.
- Overview of the teams involved: Data Engineering and Data Science.

Role of the Data Engineering Team

- Responsibilities and tasks of the data engineering team in the project.
- ► Techniques and technologies used for data collection, storage, and preprocessing.
- How the team ensured data quality and integrity.

Role of the Data Science Team

- ► The data science team's approach to data analysis and modeling.
- Specific data science methods and tools used.
- Insights and predictions derived from the data.

Collaboration Between Teams

- ► How the teams worked together: communication and workflow strategies.
- Integration of data engineering outputs with data science models.
- Addressing challenges and adapting strategies in the collaborative process.

Outcomes and Achievements

- Key results and successes of the project.
- Impact of the collaboration on project outcomes.
- Lessons learned and best practices identified for future projects.

Unit 1 **FOUNDATIONS OF DATA SYSTEMS**

Study Goals

- Explain properties of well-designed data-intensive systems.
- Learn how to quantify the reliability of a system.
- Understand how to compare different approaches to system scalability.
- Discuss aspects of maintainability in the development of data-intensive systems.

EXPLAIN SIMPLY

- List three aspects that enable modern data-intensive data systems to process large amounts of data while running stably.
- Explain ways to adapt these systems to higher or lower performance requirements.
- 3. Discuss how these systems can be designed for straightforward operation in terms of **maintenance and evolution**.

RECAP OF THE UNIT'S KEY TOPICS

Reliability					
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- Reliability Metrics
- Hardware Fault
- Software Error
- Human Error

Scalability

- Load and Throughput
- Service Level Agreements (SLAs)
- Scale Up Scale Out

Maintainability

- Operability
- Simplicity
- Evolvability

Source of the text: Hartz, Walker & Mahar, 1997.

Properties of Well-Designed Data-Intensive Systems: Reliability

- Reliability: Ability to function correctly even in the face of adversity.
- Includes handling user errors, system faults, and environmental challenges.

Scalability

- Scalability: Capacity to handle growth and increased load effectively.
- Involves scaling up/out and managing load distribution efficiently.

Maintainability

- Maintainability: Ease of maintaining system operations and updates.
- ► Focuses on simplicity, good documentation, and automation of operational tasks.

Properties of Well-Designed Data-Intensive Systems **Reliability**

RELIABILITY-METRICS

Reliability Metrics



Source of the text: Wilkins, 2002. Source of the image: Müller-Kett (2022) based on Hartz et al., 1997.

Reliability Metric: Lines of Code (LOC/KLOC)

- ► Explanation: LOC (Lines of Code) and KLOC (Thousand Lines of Code) measure software size or complexity.
- ► Example: Using LOC to estimate effort or complexity of software projects. Larger projects typically have more LOC.

Reliability Metric: Function Point Metrics

- **Explanation:** Measures software functionality from the user's perspective.
- ► Example: Used in budgeting and planning, assessing the functionality delivered to users.

Project Management Metric: Development Time

- **Explanation:** Tracks the total time taken from project initiation to completion.
- ► **Example:** Used to assess project efficiency, schedule adherence, and predict future project timelines.

Process Metric: Number of Bugs in Testing Phase

- **Explanation:** Counts the number of defects found during the testing phase of software development.
- **Example:** Indicator of software quality before release; higher numbers may indicate issues in earlier development stages.

Fault Failure Metric: Mean Time To Repair (MTTR)

- **Explanation:** Measures the average time taken to repair a fault or failure in the system.
- ► Example: Used in maintenance and reliability analysis; shorter MTTR implies better system maintainability.

Fault Failure Metric: Mean Time Between Failures (MTBF)

- **Explanation:** Indicates the average time between failures in a system.
- ► **Example:** Used to gauge the reliability of a system; longer MTBF is desirable.

Fault Failure Metric: Rate of Occurrence of Failure (ROCOF)

- **Explanation:** Measures the frequency of failures in a system.
- **Example:** Useful for identifying trends in system reliability over time.

Fault Failure Metric: Probability of Failure on Demand (POFOD)

- **Explanation:** Assesses the likelihood of a system failing when required.
- **Example:** Critical for systems where reliability on demand is essential, like safety-critical systems.

Fault Failure Metric: Availability

- **Explanation:** Measures the proportion of time a system is operational and available for use.
- **Example:** High availability is crucial for systems requiring constant uptime, like web servers.

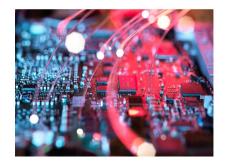
HARDWARE FAULT

Physical damage in one machine's components

- Redundancies (i.e., RAIDs)
- Redundancy across multiple machines

Power supply blackouts / Network disruptions

 Redundancies across multiple data centers/availability zones



Source of the text: Détienne, 2002. Source of the image: Microsoft Archive.

Hardware Faults

- Physical damage in one machine's components.
- Strategies to mitigate:
 - ► Implementing redundancies, like RAID systems.
 - Redundancy across multiple machines.

Understanding RAID Systems

- RAID (Redundant Array of Independent Disks) is a data storage technology.
- Combines multiple physical disk drive components into one or more logical units.
- Key Purposes:
 - Data redundancy: Protects data by duplicating it across multiple disks.
 - Improved performance: Can increase read and write speeds by distributing operations across several disks.
- Common RAID Levels:
 - RAID 0: Striped set without parity or mirroring.
 - RAID 1: Mirroring without parity or striping.
 - RAID 5: Block-level striping with distributed parity.

Redundancies

- Essential for mitigating hardware and network failures.
- Types of Redundancies:
 - ► In-device redundancies (like RAIDs).
 - Across multiple devices or systems.
 - Redundancies across data centers or availability zones.

Network Disruptions

- Causes and consequences of network disruptions.
- Mitigation strategies:
 - Redundancies across multiple data centers.
 - ▶ Diverse network routes to prevent single points of failure.

SOFTWARE ERROR

- Memory leakage
- Service stops working
- Cascading failure
- Software is a mental product and humans will introduce error to this



Source of the Image: Naylor & Joyner, 2014 Source of the Image: Microsoft Archive.

MENSCHLICHER ERROR

- Humans might introduce considerable potential for error into systems
- 32% of security incidents are caused by employees
- Provide efficient recovery tools
- Provide minimum opportunity for human error
- Intensive testing



Source of the text: BakerHostetler, 2017. Source of the Image: Microsoft Archive.

LOAD & THROUGHPUT

System Load

- Read-to-Write ratio
- · Number of online users
- · Requests per time

• System Performance Load

- Running times for read/write operations
- Throughput
- I/O-Rate: Input-Ouput Operations per Second (IOPS)
- Response Time

Properties of Well-Designed Data-Intensive Systems **Scalability**

System Load

- System Load refers to the volume of work handled by a system.
- Key Metrics:
 - ▶ Read-to-Write ratio: Balance of reading vs. writing operations.
 - Number of online users: Concurrent users interacting with the system.
 - Requests per time: Frequency of operations requested from the system.

System Performance Load

- ► Focuses on the efficiency of processing operations.
- Measures:
 - Running times for read/write operations.
 - How quickly the system can process tasks.

Throughput

- ► Throughput: Quantity of work a system can handle in a given time.
- Key Indicators:
 - ► I/O-Rate (Input-Output Operations per Second, IOPS).
 - Response Time: The time it takes for the system to respond to a request.

SCALE UP - SCALE OUT

- Optimize the software-side
- Scale the physical ressources



Source of the image: Alvarid (2022).

Scale Up: Optimizing Software and Resources

▶ **Definition:** Scale Up (Vertical Scaling) involves increasing the power of existing hardware or software.

Optimize the Software-Side:

- ► Enhancing the performance of the application to better utilize existing resources.
- Examples: optimizing algorithms, refining code for efficiency.

Scale the Physical Resources:

- Upgrading existing hardware capabilities: more RAM, faster CPU, larger storage.
- Impact: Improved performance without changing the system architecture.

Scale Out: Expanding Capacity Horizontally

- ▶ **Definition:** Scale Out (Horizontal Scaling) involves adding more nodes to a system to distribute the load.
- Optimize the Software-Side:
 - Designing software to efficiently run on multiple servers or nodes.
 - Examples: Implementing load balancing, ensuring data consistency across nodes.
- Scale the Physical Resources:
 - Adding more machines or instances to the existing pool.
 - Impact: Enhances capacity and reliability, allows for handling increased load without a single point of failure.

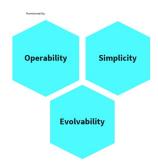
Properties of Well-Designed Data-Intensive Systems **Maintainability**

MAINTAINABILITY

Making it easy to install, use and modify the system

Minimizing the discomfort of legacy maintenance

- Corrective maintenance
- Adaptive maintenance
- Perfective maintenance
- · Preventive maintenance



Source of the image: Müller-Kett (2022).

OPERABILITY

Operators are responsible for

- Monitoring the system's health
- Installing security patches
- Predicting and solving potential problems
- Ensuring a stable production environment
- Preserving the knowledge about the system



Source of the text: Hamilton, 2007, p. 231-242. Source of the image: Müller-Kett (2022).

OPERABILITY

Making it easy for operators to use the system

- Providing transparent monitoring possibilities
- Supporting automation and integration with standard tools
- · Providing concise documentation
- Ensuring service/machine independence
- Providing default setups and self-healing features



Source of the text: Hamilton, 2007, p. 231-242. Source of the image: Müller-Kett (2022).

SIMPLICITY

Making the code as easy as possible and only as complex as it is necessary

Reducing...

- accidental complexity
- long code
- module interactions & dependencies
- · inconsistent naming

increasing the level of abstraction

Simplicity

Source of the image: Müller-Kett (2022).

Simplifying Code

- Aim: Make code as easy as possible, only complex as necessary.
- ▶ Benefits: Easier to understand, maintain, and less prone to errors.

Reducing Accidental Complexity

- Accidental Complexity: Unnecessary complexity not inherent to the problem.
- Strategies: Refactoring code, using design patterns, simplifying logic.

Streamlining Code Length and Modules

- Reducing Long Code: Break down into smaller, manageable functions.
- Managing Module Interactions: Minimize dependencies, use clear interfaces.

Consistent Naming and Abstraction

- Consistent Naming: Improves readability and maintainability.
- Increasing Level of Abstraction: Focus on the 'what' over 'how'.

EVOLVABILITY

Making changes to the system as easy as possible

- Similar to the term "agile"
- DataOps



Source of the Image: Müller-Kett (2022).

Evolvability in Data Systems

- ▶ Objective: Making changes to the system as easy as possible.
- Similar to the agile methodology: Adaptability and responsiveness to change.
- Example: Iterative development, where systems are continuously improved.

DataOps: Facilitating Evolvability

- ▶ DataOps: Agile and lean practices in data management.
- Example: Automating data pipelines to quickly adapt to new data sources.
- Benefits: Faster deployment, improved quality, and more efficient data use.

Example of a Data Pipeline

▶ **Objective**: Move and process data from source to destination.

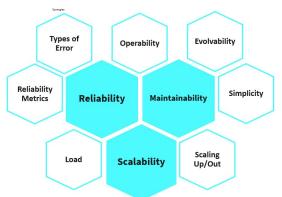
► Stages:

- 1. Extraction: Collect data from various sources (e.g., databases, online services).
- Transformation: Process data (e.g., cleaning, aggregating, formatting).
- 3. Loading: Load transformed data into a destination (e.g., data warehouse).

Use Case:

- Extract sales data from online transactions.
- Aggregate sales by region and calculate total revenue.
- Load processed data into a data warehouse for analysis.

SYNERGIES



Source of the Image: Milder-Yest (2022).

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Study Goals

- Explain properties of well-designed data-intensive systems.
- Learn how to quantify the reliability of a system.
- Understand how to compare different approaches to system scalability.
- Discuss aspects of maintainability in the development of data-intensive systems.

Study Goals: Key Aspects of Modern Data-Intensive Systems

Distributed Computing

- Utilizes multiple machines for efficient data handling.
- Improves resilience and scalability.

Advanced Data Storage Solutions

- ► Incorporates technologies like NoSQL databases.
- Facilitates efficient data management and retrieval.

► High-Performance Processing Frameworks

- Uses frameworks like Hadoop and Spark.
- Optimized for rapid analysis and processing of big data.

Study Goals: Quantifying System Reliability

- Understanding Key Reliability Metrics:
 - Mean Time Between Failures (MTBF).
 - ► Mean Time To Repair (MTTR).
 - System Availability and Uptime.
- Applying Reliability Metrics:
 - Calculating reliability scores.
 - ► Analyzing failure rates and recovery processes.
- Case Study: Examining real-world systems' reliability reports.

Study Goals: Comparing Approaches to System Scalability

- Understanding Scalability:
 - ▶ Definition and importance in data-intensive systems.
- Scalability Approaches:
 - Vertical Scaling (Scaling Up): Increasing the power of existing hardware.
 - Horizontal Scaling (Scaling Out): Adding more nodes to a system.
- Evaluating Scalability Strategies:
 - Cost-effectiveness, performance implications, and maintenance considerations.
 - Real-world examples and case studies for context and understanding.

Study Goals: Maintainability in Data-Intensive Systems

- ► Importance of Maintainability:
 - Key to long-term success and adaptability of systems.
- Aspects of Maintainability:
 - Code Simplicity and Clarity: Easy to understand and modify.
 - Documentation Quality: Comprehensive and up-to-date.
 - ▶ Automated Testing: Ensures reliability and ease of changes.
 - Modular Design: Allows independent development and updates.
- Strategies for Improvement:
 - Regular code reviews, refactoring, and keeping up with technological advancements.

EXPLAIN SIMPLY

- List three aspects that enable modern data-intensive data systems to process large amounts of data while running stably.
- Explain ways to adapt these systems to higher or lower performance requirements.
- 3. Discuss how these systems can be designed for straightforward operation in terms of **maintenance and evolution**.

EXPLAIN SIMPLY: Key Aspects for Processing Large Data Volumes

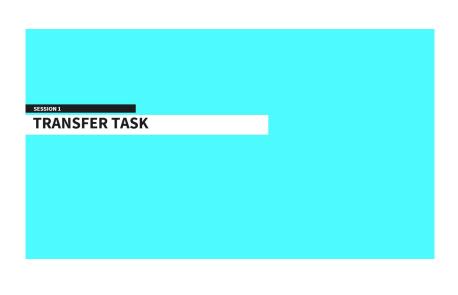
- Distributed Computing: Utilizing multiple machines for data processing.
- ► Advanced Storage Systems: Implementing scalable and efficient storage solutions like NoSQL.
- ► **High-Performance Processing**: Leveraging frameworks like Hadoop or Spark for fast data processing.

EXPLAIN SIMPLY: Adapting Systems to Performance Requirements

- ► **Scalability**: Vertical scaling (enhancing existing systems) and horizontal scaling (adding more systems).
- ▶ **Resource Optimization**: Tailoring resource allocation based on current load and performance targets.
- ▶ **Performance Tuning**: Adjusting configurations for optimal efficiency under varying workloads.

EXPLAIN SIMPLY: Designing for Maintenance and Evolution

- Modular Architecture: Facilitates updates and integration of new technologies.
- ► Automation and Testing: Ensures reliability and eases updates.
- ▶ **Documentation and Standardization**: Provides clarity and consistency for future development.



TRANSFER TASK

A start-up that sells **sustainable products in smaller stores** has been very successful in recent years. As a result, more stores are to be opened worldwide. As a Data Engineer, you will be tasked with **designing the data system that stores and processes data** about the products offered and their suppliers.

As a team, develop **key points** to ensure that this system will run appropriately effectively and perform well. For each of these points, think about **specific measures to be implemented** in the system.

TRANSFER TASK PRESENTATION OF THE RESULTS

Please present your results.

The results will be discussed in plenary.



TRANSFER TASK - SAMPLE SOLUTION RELIABILITY

- Reliability metrics are implemented in the system
- To ensure a fast implementation, the following metrics are implemented first (based on log file
 monitoring) and monitored in a dashboard, for example
- Product metrics (lines of code, function point metrics, test coverage metrics)
- · Process metrics (number of bugs in the test phase)
- Fault & Failure metrics (Availability, Rate of Occurrence of Failure (ROCOF), Mean Time To Repair (MTTR)
- To keep the system functional in case of hardware failures, it is implemented on multiple redundant computing units
 - Virtualization in containers
 - · Distribution of the system over several data centers
 - Distribution of the system over several geographically separated "Availability Zones"

TRANSFER TASK - SAMPLE SOLUTION SCALABILITY

- The system is implemented in collaboration with an established cloud provider
 - This way, we ensure that the system is dynamically scalable at all times
- We use both vertical and horizontal scaling to adapt the system to the system load
- To maintain a defined service level, the system is constantly monitored for load and throughput based on log files
- Scale Up Scale Out

TRANSFER TASK - SAMPLE SOLUTION MAINTAINABILITY

We ensure the **operability** of the system by....

- making the system easy to monitor in the form of a dashboard and automated alert mechanisms
- simplifying deployment through a predefined CI/CD pipeline
- providing clear documentation
- · isolate individual services from each other
- implementing predefined default settings that can be overridden if necessary

TRANSFER TASK - SAMPLE SOLUTION MAINTAINABILITY

We keep the system simple by...

- · regularly checking if the code can be simplified
- keeping the code as short as possible
- avoiding interactions between modules
- using abstraction (e.g. by self-contained functions and modules)
- set up rules for consistent naming

In addition, we design the system in such a way that it can be **easily modified or extended**

 for example, we implement all self-contained functionalities as independent functions or modules

LEARNING CONTROL QUESTIONS



- 1.Which task does not fall under the responsibility of data engineers?
 - a) Data Analysis
 - b) Removing corrupted data
 - c) Acquiring data from various sources
 - d) Optimizing databases for analysis



- 2. Which of the following choices is the term used to describe the probability of error-free software operation over a given period of time in a given environment?
 - a) Reliability
 - b) Maintainability
 - c) Scalability
 - d) Security



- 3. If some errors are found in the application and they need to be fixed, what kind of maintenance is used?
 - a) adaptive maintenance
 - b) perfecting maintenance
 - c) preventive maintenance
 - d) corrective maintenance

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