

# Numerical Relativity Project #2

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June 20th, 2018

## 0 Deriving the Equations

It is possible to derive the equations in the handout starting from the ADM equations (taken from Shapiro and Baumgarte). We may begin with some basic definitions before showing the full equations. First we define the matter source terms

$$\rho = n_a n_b T^{ab} \quad (0.1)$$

$$S^i = -\gamma^{ij} n^a T_{aj} \quad (0.2)$$

$$S_{ij} = \gamma_{ia} \gamma_{jb} T^{ab} \quad (0.3)$$

$$S = \gamma^{ij} S_{ij}, \quad (0.4)$$

where  $\gamma_{ij}$  is the spatial 3-metric,  $n^a \equiv -g^{ab} \omega_b = -g^{ab} \alpha \nabla_b t$  is the unit normal to the spatial hyperslices,  $T^{ab}$  is the stress-energy tensor, and  $S_{ij}$  is the spatial stress-energy tensor. It also helps to define the ADM line element

$$ds^2 = -\alpha^2 dt^2 + \gamma_{ij} (dx^i + \beta^i dt) (dx^j + \beta^j dt) \quad (0.5)$$

and the *extrinsic curvature*

$$K_{ab} \equiv -\gamma_a^c \gamma_b^d \nabla_{(c} n_{d)} = -\gamma_a^c \gamma_b^d \nabla_c n_d. \quad (0.6)$$

We'll also need the derivative operator

$$D_a f \equiv \gamma_a^b \nabla_b f, \quad (0.7)$$

for scalar  $f$ .

The ADM equations include: the *Hamiltonian constraint*

$$R + K^2 - K_{ij} K^{ij} = 16\pi\rho; \quad (0.8)$$

the *momentum constraint*

$$D_j (K^{ij} - \gamma^{ij} K) = 8\pi S^i; \quad (0.9)$$

the *evolution equation for the spatial metric*

$$\partial_t \gamma_{ij} = -2\alpha K_{ij} + D_i \beta_j + D_j \beta_i; \quad (0.10)$$

and the *evolution equation for the extrinsic curvature*

$$\begin{aligned}\partial_t K_{ij} = & \alpha(R_{ij} - 2K_{ik}K_j^k + KK_{ij}) - D_i D_j \alpha \\ & - 8\pi\alpha(S_{ij} - \frac{1}{2}\gamma_{ij}(S - \rho)) + \beta^k \partial_k K_{ij} + K_{ik} \partial_j \beta^k + K_{kj} \partial_i \beta^k.\end{aligned}\quad (0.11)$$

Before we begin, we first need to find the stress-energy tensor for the scalar field,  $T^{ab}$ . We may derive it beginning with the well-known Lagrangian of a massless scalar field  $\Phi$ :

$$\mathcal{L} = \frac{1}{2}g^{\mu\nu}\partial_\mu\Phi\partial_\nu\Phi, \quad (0.12)$$

so

$$\begin{aligned}T^\mu_\nu &\equiv \frac{\partial\mathcal{L}}{\partial(\partial_\mu\Phi)}\partial_\nu\Phi - \delta^\mu_\nu\mathcal{L} \\ T^{\mu\nu} &= g^{\gamma\nu}\frac{\partial\mathcal{L}}{\partial(\partial_\mu\Phi)}\partial_\gamma\Phi - g^{\gamma\nu}\delta^\mu_\gamma\mathcal{L} \\ &= g^{\gamma\nu}\left(\frac{1}{2}g^{\mu\nu}\delta^\mu_\nu\partial_\nu\Phi + \frac{1}{2}g^{\mu\nu}\partial_\mu\Phi\delta^\mu_\nu\right)\partial_\gamma\Phi - g^{\gamma\nu}\delta^\mu_\gamma\frac{1}{2}g^{\mu\nu}\partial_\mu\Phi\partial_\nu\Phi \\ &= g^{\gamma\nu}\left(\frac{1}{2}\partial^\mu\Phi + \frac{1}{2}\partial^\nu\Phi\right)\partial_\gamma\Phi - g^{\gamma\nu}\delta^\mu_\gamma\frac{1}{2}g^{\mu\nu}\partial_\mu\Phi\partial_\nu\Phi \\ &= \partial^\mu\Phi\partial^\nu\Phi - \frac{1}{2}g^{\mu\nu}\partial^\gamma\Phi\partial_\gamma\Phi,\end{aligned}\quad (0.13)$$

which agrees with the project description, equation 3.

Now let's use equation 0.5 to find the metric and inverse metric. Reading off the metric, we have

$$g_{ab} = \begin{pmatrix} -\alpha^2 + \beta_l\beta^l & \beta_i \\ \beta_j & \gamma_{ij} \end{pmatrix} \quad (0.14)$$

and since  $g_{\mu\lambda}g^{\lambda\nu} = \delta^\nu_\mu$ , the inverse metric is (note this differs in the off-diagonal terms from B&S, but agrees with online lecture notes)

$$g^{ab} = \begin{pmatrix} -\alpha^{-2} & \alpha^{-2}\beta^j \\ \alpha^{-2}\beta^i & \gamma^{ij} - \alpha^{-2}\beta^i\beta^j \end{pmatrix}. \quad (0.15)$$

We may now compute the unit normal to the spacelike hypersurfaces:

$$\begin{aligned}n^a &= -g^{ab}\alpha\nabla_b t \\ &= -g^{at}\alpha\nabla_t t \\ &= (\alpha^{-1}, \alpha^{-1}\beta^j),\end{aligned}\quad (0.16)$$

and similarly B&S gives  $n_a = (\alpha, 0, 0, 0)$  because  $n_a n^a = -1$  since  $n^a$  is a timelike vector. **Prove the 1-form is as stated?**

Now we assume the spherically symmetric spatial metric  $\gamma_{ij} = \psi^4 \text{diag}(1, r^2, r^2 \sin^2 \theta)$  in

which  $\beta^i = (\beta, 0, 0)$ . We can now use equation 0.10 to find the extrinsic curvature

$$\begin{aligned}
\partial_t \gamma_{ij} &= -2\alpha K_{ij} + D_i \beta_j + D_j \beta_i \\
&= -2\alpha K_{ij} + \gamma_i^l \gamma_j^m \nabla_l \beta_m + \gamma_j^m \gamma_i^l \nabla_m \beta_l \\
&= -2\alpha K_{ij} + \gamma^{nl} \gamma_{in} \gamma^{pm} \gamma_{jp} \nabla_l \beta_m + \gamma^{nm} \gamma_{jn} \gamma^{pl} \gamma_{ip} \nabla_m \beta_l \\
\partial_t \gamma_{rr} &= -2\alpha K_{rr} + \gamma^{nl} \gamma_{rn} \gamma^{pm} \gamma_{rp} \nabla_l \beta_m + \gamma^{nm} \gamma_{rn} \gamma^{pl} \gamma_{rp} \nabla_m \beta_l \\
&= -2\alpha K_{rr} + \gamma^{rr} \gamma_{rr} \gamma^{rr} \gamma_{rr} \nabla_r \beta_r + \gamma^{rr} \gamma_{rr} \gamma^{rr} \gamma_{rr} \nabla_r \beta_r \\
&= -2\alpha K_{rr} + 2\nabla_r \beta_r \\
&= -2\alpha K_{rr} + 2(\partial_r \beta_r - \Gamma_{rr}^\lambda \beta_\lambda) \\
&= -2\alpha K_{rr} + 2\left[\partial_r(\gamma_{rr} \beta^r) - \Gamma_{rr}^\lambda (\gamma_{\lambda q} \beta^q)\right] \\
&= -2\alpha K_{rr} + 2\left[\partial_r(\gamma_{rr} \beta) - \Gamma_{rr}^r (\gamma_{rr} \beta)\right] \\
&= -2\alpha K_{rr} + 2\left[4\psi^3 \psi' \beta + \psi^4 \beta' - (2\psi' \psi^{-1})(\psi^4 \beta)\right] \\
\partial_t(\psi^4) &= -2\alpha K_{rr} + 4\psi^3 \psi' \beta + 2\psi^4 \beta' \\
K_{rr} &= \frac{1}{\alpha} \left(2\psi^3 \psi' \beta + \psi^4 \beta' - 2\psi^3 \dot{\psi}\right)
\end{aligned} \tag{0.17}$$

similarly

$$\begin{aligned}
\partial_t \gamma_{\theta\theta} &= -2\alpha K_{\theta\theta} + D_\theta \beta_\theta + D_\theta \beta_\theta \\
r^2 4\psi^3 \dot{\psi} &= -2\alpha K_{\theta\theta} \\
K_{\theta\theta} &= -\frac{2r^2 \psi^3 \dot{\psi}}{\alpha},
\end{aligned} \tag{0.18}$$

and

$$\begin{aligned}
\partial_t \gamma_{\phi\phi} &= -2\alpha K_{\phi\phi} + D_\phi \beta_\phi + D_\phi \beta_\phi \\
\partial_t(\psi^4 r^2 \sin^2 \theta) &= -2\alpha K_{\phi\phi} \\
r^2 \sin^2 \theta 4\psi^3 \dot{\psi} &= -2\alpha K_{\phi\phi} \\
K_{\phi\phi} &= -\frac{2r^2 \sin^2 \theta \psi^3 \dot{\psi}}{\alpha}.
\end{aligned} \tag{0.19}$$

We also need the trace of the extrinsic curvature:

$$\begin{aligned}
K &= \gamma^{ij} K_{ij} \\
&= \gamma^{rr} K_{rr} + \gamma^{\theta\theta} K_{\theta\theta} + \gamma^{\phi\phi} K_{\phi\phi} \\
&= \psi^{-4} K_{rr} + \psi^{-4} r^{-2} K_{\theta\theta} + \psi^{-4} r^{-2} \sin^{-2} \theta K_{\phi\phi} \\
&= \psi^{-4} \frac{1}{\alpha} \left(2\psi^3 \psi' \beta + \psi^4 \beta' - 2\psi^3 \dot{\psi}\right) - \psi^{-4} r^{-2} \frac{2r^2 \psi^3 \dot{\psi}}{\alpha} \\
&\quad - \psi^{-4} r^{-2} \sin^{-2} \theta \frac{2r^2 \sin^2 \theta \psi^3 \dot{\psi}}{\alpha} \\
&= \frac{2\psi' \beta}{\psi \alpha} + \frac{\beta'}{\alpha} - \frac{6\dot{\psi}}{\psi \alpha}.
\end{aligned} \tag{0.20}$$

We can compute a straightforward sanity check using a common contraction of one of the ADM equations which also yields the trace of the extrinsic curvature (note below  $\gamma = \det[\gamma_{ij}]$ ):

$$\begin{aligned}
\partial_t \ln(\gamma^{1/2}) &= -\alpha K + D_i \beta^i \\
\partial_t \ln([\psi^4 \psi^4 r^2 \psi^4 r^2 \sin^2 \theta]^{1/2}) &= -\alpha K + D_i \beta^i \\
\partial_t \ln(\psi^6 r^2 \sin \theta) &= -\alpha K + D_i \beta^i \\
\frac{6\psi^5 \dot{\psi} r^2 \sin^2 \theta}{\psi^6 r^2 \sin^2 \theta} &= -\alpha K + D_i \beta^i \\
\frac{6\dot{\psi}}{\psi} &= -\alpha K + D_r(\beta^r) \\
&= -\alpha K + \gamma_r^b \nabla_b(\beta^r) \\
&= -\alpha K + \gamma^{ab} \gamma_{ra} \nabla_b(\beta^r) \\
&= -\alpha K + \gamma^{rr} \gamma_{rr} \nabla_r(\beta^r) \\
&= -\alpha K + \gamma^{rr} \gamma_{rr} (\partial_r \beta^r + \Gamma_{r\lambda}^r \beta^\lambda) \\
&= -\alpha K + \beta' + \frac{2\psi'}{\psi} \beta \\
-\alpha K &= \frac{6\dot{\psi}}{\psi} - \beta' - \frac{2\psi'}{\psi} \beta \\
K &= \frac{\beta'}{\alpha} + \frac{2\beta\psi'}{\psi\alpha} - \frac{6\dot{\psi}}{\psi\alpha},
\end{aligned} \tag{0.21}$$

which agrees with our result above.

## 0.1 Checking the $\psi$ Hyperbolic Equation

We are told that using our remaining coordinate freedom to choose *maximal slicing* results in the equation  $K = 0$ , so

$$\begin{aligned}
0 &= \frac{\beta'}{\alpha} + \frac{2\beta\psi'}{\psi\alpha} - \frac{6\dot{\psi}}{\psi\alpha} \\
&= \beta' + \frac{2\beta\psi'}{\psi} - \frac{6\dot{\psi}}{\psi} \\
&= \beta'\psi + 2\beta\psi' - 6\dot{\psi} \\
&= \frac{\beta'\psi}{6} + \frac{\beta\psi'}{3} - \dot{\psi},
\end{aligned} \tag{0.22}$$

rearranging once more gives

$$\boxed{\dot{\psi} = \frac{\beta'\psi}{6} + \frac{\beta\psi'}{3}} \tag{0.23}$$

which differs from the project handout.

Now we can compute the matter source quantities. Starting with  $\rho$ , we find

$$\begin{aligned}
\rho &= n_a n_b T^{ab} \\
&= n_t n_t T^{tt} + n_r n_r T^{rr} + n_\theta n_\theta T^{\theta\theta} + n_\phi n_\phi T^{\phi\phi} \\
&= \alpha^2 T^{tt} \\
&= \alpha^2 \left( \partial^t \Phi \partial_t \Phi - \frac{1}{2} g^{tt} \partial^\gamma \Phi \partial_\gamma \Phi \right) \\
&= \alpha^2 \left( g^{\lambda t} g^{\rho t} \partial_\lambda \Phi \partial_\rho \Phi - \frac{1}{2} g^{tt} g^{\mu\nu} \partial_\mu \Phi \partial_\nu \Phi \right) \\
&= \alpha^2 \left( g^{tt} g^{tt} \partial_t \Phi \partial_t \Phi + 2g^{rt} g^{tt} \partial_r \Phi \partial_t \Phi + g^{rt} g^{rt} \partial_r \Phi \partial_r \Phi \right. \\
&\quad \left. - \frac{1}{2} g^{tt} g^{tt} \partial_t \Phi \partial_t \Phi - g^{tt} g^{tr} \partial_t \Phi \partial_r \Phi - \frac{1}{2} g^{tt} g^{rr} \partial_r \Phi \partial_r \Phi \right) \\
&= \alpha^2 \left( \frac{1}{2} g^{tt} g^{tt} \partial_t \Phi \partial_t \Phi + g^{rt} g^{tt} \partial_r \Phi \partial_t \Phi + g^{rt} g^{rt} \partial_r \Phi \partial_r \Phi - \frac{1}{2} g^{tt} g^{rr} \partial_r \Phi \partial_r \Phi \right) \\
&= \alpha^2 \left( \frac{1}{2} (-\alpha^{-2}) (-\alpha^{-2}) \dot{\Phi}^2 + (\alpha^{-2} \beta) (-\alpha^{-2}) \Phi' \dot{\Phi} \right. \\
&\quad \left. + (\alpha^{-2} \beta) (\alpha^{-2} \beta) \Phi'^2 - \frac{1}{2} (-\alpha^{-2}) (\gamma^{rr} - \alpha^{-2} \beta^2) \Phi'^2 \right) \\
&= \frac{1}{2} \alpha^{-2} \dot{\Phi}^2 - \beta \alpha^{-2} \Phi' \dot{\Phi} + \alpha^{-2} \beta^2 \Phi'^2 + \frac{1}{2} (\psi^{-4} - \alpha^{-2} \beta^2) \Phi'^2 \\
&= \frac{1}{2} \alpha^{-2} \dot{\Phi}^2 - \beta \alpha^{-2} \Phi' \dot{\Phi} + \frac{1}{2} \alpha^{-2} \beta^2 \Phi'^2 + \frac{1}{2} \psi^{-4} \Phi'^2
\end{aligned} \tag{0.24}$$

Now we can compute the Ricci tensor. In the ADM formalism Christoffel symbols with upper index zero are equal to zero, resulting in the equation

$$R_{ij} = \partial_k \Gamma_{ij}^k - \partial_j \Gamma_{ik}^k + \Gamma_{ij}^k \Gamma_{kl}^l - \Gamma_{il}^k \Gamma_{jk}^l, \tag{0.25}$$

where the Christoffel symbols are

$$\Gamma_{jk}^i = \frac{1}{2} \gamma^{il} (\partial_k \gamma_{lj} + \partial_j \gamma_{lk} - \partial_l \gamma_{jk}). \tag{0.26}$$

For our spatial metric the surviving connection coefficients are

$$\begin{aligned}
\Gamma_{rr}^r &= \frac{1}{2} \gamma^{rl} (\partial_r \gamma_{lr} + \partial_r \gamma_{lr} - \partial_l \gamma_{rr}) \\
&= \frac{1}{2} \gamma^{rr} (\partial_r \gamma_{rr} + \partial_r \gamma_{rr} - \partial_r \gamma_{rr}) \\
&= \frac{1}{2} \gamma^{rr} (\partial_r \gamma_{rr}) \\
&= \frac{1}{2} \psi^{-4} (\partial_r \psi^4) \\
&= \frac{1}{2} \psi^{-4} (4 \psi^3 \psi') \\
&= \frac{2 \psi'}{\psi} \\
\Gamma_{r\theta}^\theta &= \frac{1}{2} \gamma^{\theta\theta} (\partial_r \gamma_{\theta\theta}) \\
&= \frac{1}{2} \frac{1}{\psi^4 r^2} (\partial_r \psi^4 r^2) \\
&= \frac{1}{2} \frac{1}{\psi^4 r^2} (4 \psi^3 \psi' r^2 + 2 \psi^4 r) \\
&= \frac{2 \psi'}{\psi} + \frac{1}{r} \\
\Gamma_{r\phi}^\phi &= \frac{1}{2} \gamma^{\phi\phi} (\partial_r \gamma_{\phi\phi}) \\
&= \frac{1}{2} \frac{1}{\psi^4 r^2 \sin^2 \theta} (\partial_r \psi^4 r^2 \sin^2 \theta) \\
&= \frac{1}{2} \frac{1}{\psi^4 r^2} (4 \psi^3 \psi' r^2 + 2 \psi^4 r) \\
&= \frac{2 \psi'}{\psi} + \frac{1}{r} \\
\Gamma_{\theta\theta}^r &= \frac{1}{2} \gamma^{rr} (-\partial_r \gamma_{\theta\theta}) \\
&= \frac{1}{2 \psi^4} (-\partial_r \psi^4 r^2) \\
&= \frac{1}{2 \psi^4} (-4 \psi^3 \psi' r^2 - 2 \psi^4 r) \\
&= -\frac{2 \psi' r^2}{\psi} - r \\
\Gamma_{\phi\phi}^r &= \frac{1}{2} \gamma^{rr} (-\partial_r \gamma_{\phi\phi}) \\
&= \frac{1}{2 \psi^4} (-\partial_r \psi^4 r^2 \sin^2 \theta) \\
&= \frac{1}{2 \psi^4} (-4 \psi^3 \psi' r^2 - 2 \psi^4 r) \sin^2 \theta \\
&= -\left( \frac{2 \psi' r^2}{\psi} + r \right) \sin^2 \theta,
\end{aligned} \tag{0.27}$$

Now we can do  $S_{ij}$ :

$$\begin{aligned}
S_{rr} &= \gamma_{ra}\gamma_{rb}T^{ab} \\
&= \gamma_{rr}\gamma_{rr}T^{rr} + \gamma_{r\theta}\gamma_{r\theta}T^{\theta\theta} + \gamma_{r\phi}\gamma_{r\phi}T^{\phi\phi} \\
&= (1)(1)T^{rr} \\
&= \partial^r\Phi\partial^r\Phi - \frac{1}{2}g^{rr}\partial^\gamma\Phi\partial_\gamma\Phi \\
&= g^{\mu r}g^{\nu r}\partial_\mu\Phi\partial_\nu\Phi - \frac{1}{2}g^{rr}g^{\mu\nu}\partial_\mu\Phi\partial_\nu\Phi \\
&= g^{tr}g^{tr}\partial_t\Phi\partial_t\Phi + 2g^{tr}g^{rr}\partial_t\Phi\partial_r\Phi + g^{rr}g^{rr}\partial_r\Phi\partial_r\Phi \\
&\quad - \frac{1}{2}g^{rr}g^{tt}\partial_t\Phi\partial_t\Phi - \frac{1}{2}2g^{rr}g^{tr}\partial_t\Phi\partial_r\Phi - \frac{1}{2}g^{rr}g^{rr}\partial_r\Phi\partial_r\Phi \\
&= g^{tr}g^{tr}\partial_t\Phi\partial_t\Phi + g^{tr}g^{rr}\partial_t\Phi\partial_r\Phi + \frac{1}{2}g^{rr}g^{rr}\partial_r\Phi\partial_r\Phi - \frac{1}{2}g^{rr}g^{tt}\partial_t\Phi\partial_t\Phi \\
&= (\alpha^{-2}\beta)^2\dot{\Phi}^2 + (\alpha^{-2}\beta)(\psi^{-4} - \alpha^{-2}\beta^2)\dot{\Phi}\Phi' \\
&\quad + \frac{1}{2}(\psi^{-4} - \alpha^{-2}\beta^2)^2\Phi'^2 - \frac{1}{2}(\psi^{-4} - \alpha^{-2}\beta^2)(-\alpha^{-2})\dot{\Phi}^2
\end{aligned} \tag{0.28}$$

and

$$\begin{aligned}
S_{\theta\theta} &= \gamma_{\theta a}\gamma_{\theta b}T^{ab} \\
&= \gamma_{\theta\theta}\gamma_{\theta\theta}T^{\theta\theta} \\
&= (r^2)(r^2)T^{\theta\theta} \\
&= (r^2)(r^2)\left(\partial^\theta\Phi\partial^\theta\Phi - \frac{1}{2}g^{\theta\theta}\partial^\gamma\Phi\partial_\gamma\Phi\right) \\
&= r^4\left(-\frac{1}{2}r^{-2}g^{\mu\nu}\partial_\mu\Phi\partial_\nu\Phi\right) \\
&= -\frac{1}{2}r^2\left(g^{tt}\partial_t\Phi\partial_t\Phi + 2g^{tr}\partial_t\Phi\partial_r\Phi + g^{rr}\partial_r\Phi\partial_r\Phi\right)
\end{aligned} \tag{0.29}$$

and

$$\begin{aligned}
S_{\phi\phi} &= \gamma_{\phi a}\gamma_{\phi b}T^{ab} \\
&= \gamma_{\phi\phi}\gamma_{\phi\phi}T^{\phi\phi} \\
&= (r^2\sin^2\theta)(r^2\sin^2\theta)\left(\partial^\phi\Phi\partial^\phi\Phi - \frac{1}{2}g^{\phi\phi}\partial^\gamma\Phi\partial_\gamma\Phi\right) \\
&= -\frac{1}{2}\frac{1}{r^2\sin^2\theta}r^4\sin^4\theta\left(g^{\mu\nu}\partial_\mu\Phi\partial_\nu\Phi\right) \\
&= -\frac{1}{2}r^2\sin^2\theta\left(g^{tt}\partial_t\Phi\partial_t\Phi + 2g^{rt}\partial_r\Phi\partial_t\Phi + g^{rr}\partial_r\Phi\partial_r\Phi\right)
\end{aligned} \tag{0.30}$$

## 0.2 Computing the Hamiltonian Constraint Equation

Now we can derive the Hamiltonian constraint equation. Beginning with the ADM equation, we have

$$\begin{aligned}
16\pi\rho &= R + K^2 - K_{ij}K^{ij} \\
&= \psi^{-4} \left[ -\frac{8\psi''}{\psi} - \frac{16\psi'}{\psi r} - \frac{2}{r^2} \right] - \alpha^{-2}\beta^2 \left[ -\frac{4\psi''}{\psi} - \frac{4\psi'^2}{\psi^2} - \frac{8\psi'}{\psi r} \right] \\
&\quad + \left[ \frac{\psi^{-4}\beta'}{\alpha} - \frac{6\dot{\psi}}{\alpha\psi} \right]^2 - K_{ij}K^{ij} \\
&= \psi^{-4} \left[ -\frac{8\psi''}{\psi} - \frac{16\psi'}{\psi r} - \frac{2}{r^2} \right] - \alpha^{-2}\beta^2 \left[ -\frac{4\psi''}{\psi} - \frac{4\psi'^2}{\psi^2} - \frac{8\psi'}{\psi r} \right] \\
&\quad + \frac{\psi^{-8}\beta'^2}{\alpha^2} - \frac{12\beta'\dot{\psi}}{\alpha^2\psi^5} + \frac{36\dot{\psi}^2}{\alpha^2\psi^2} - \gamma^{\mu i}\gamma^{\nu j}K_{ij}K_{\mu\nu} \\
&= \psi^{-4} \left[ -\frac{8\psi''}{\psi} - \frac{16\psi'}{\psi r} - \frac{2}{r^2} \right] - \alpha^{-2}\beta^2 \left[ -\frac{4\psi''}{\psi} - \frac{4\psi'^2}{\psi^2} - \frac{8\psi'}{\psi r} \right] \\
&\quad + \frac{\psi^{-8}\beta'^2}{\alpha^2} - \frac{12\beta'\dot{\psi}}{\alpha^2\psi^5} + \frac{36\dot{\psi}^2}{\alpha^2\psi^2} \\
&\quad - \frac{\psi^{-8}\beta'^2}{\alpha^2} + 4\frac{\psi^{-5}\beta'\dot{\psi}}{\alpha^2} - 4\frac{\psi^{-2}\dot{\psi}^2}{\alpha^2} - \frac{8\dot{\psi}^2}{\alpha^2\psi^2} \\
&= -\frac{8\psi^{-4}\psi''}{\psi} - \frac{16\psi^{-4}\psi'}{\psi r} - \frac{2\psi^{-4}}{r^2} + \frac{4\alpha^{-2}\beta^2\psi''}{\psi} + \frac{4\alpha^{-2}\beta^2\psi'^2}{\psi^2} + \frac{8\alpha^{-2}\beta^2\psi'}{\psi r} \\
&\quad + \frac{\psi^{-8}\beta'^2}{\alpha^2} - \frac{12\beta'\dot{\psi}}{\alpha^2\psi^5} + \frac{36\dot{\psi}^2}{\alpha^2\psi^2} - \frac{\psi^{-8}\beta'^2}{\alpha^2} + 4\frac{\psi^{-5}\beta'\dot{\psi}}{\alpha^2} - 4\frac{\psi^{-2}\dot{\psi}^2}{\alpha^2} - \frac{8\dot{\psi}^2}{\alpha^2\psi^2}
\end{aligned} \tag{0.31}$$

so

$$\begin{aligned}
&= -\frac{8\psi^{-4}\psi''}{\psi} - \frac{16\psi^{-4}\psi'}{\psi r} - \frac{2\psi^{-4}}{r^2} + \frac{4\alpha^{-2}\beta^2\psi''}{\psi} + \frac{4\alpha^{-2}\beta^2\psi'^2}{\psi^2} + \frac{8\alpha^{-2}\beta^2\psi'}{\psi r} \\
&\quad + \frac{\psi^{-8}\beta'^2}{\alpha^2} - \frac{12\beta'\dot{\psi}}{\alpha^2\psi^5} + \frac{36\dot{\psi}^2}{\alpha^2\psi^2} - \frac{\psi^{-8}\beta'^2}{\alpha^2} + 4\frac{\psi^{-5}\beta'\dot{\psi}}{\alpha^2} - 4\frac{\psi^{-2}\dot{\psi}^2}{\alpha^2} - \frac{8\dot{\psi}^2}{\alpha^2\psi^2}
\end{aligned} \tag{0.32}$$

## 0.3 Computing the Mass Aspect

We need to find the mass aspect in our coordinates, starting with the equation

$$m(r, t) = \frac{R}{2}(1 - g^{\alpha\beta}\nabla_\alpha R\nabla_\beta R), \tag{0.33}$$



where  $R = r\psi^2$ . Using the inverse metric above, we can expand the derivative term of the mass aspect out

$$\begin{aligned}
g^{\alpha\beta}\nabla_\alpha R\nabla_\beta R &= g^{tt}\partial_t R\partial_t R + 2g^{rt}\partial_t R\partial_r R + g^{rr}\partial_r R\partial_r R \\
&= (-\alpha^{-2})\partial_t R\partial_t R + 2(\alpha^{-2}\beta)\partial_t R\partial_r R + (\psi^{-4} - \alpha^{-2}\beta^2)\partial_r R\partial_r R \\
&= (-\alpha^{-2})[\partial_t(r\psi^2)]^2 + 2(\alpha^{-2}\beta)\partial_t(r\psi^2)\partial_r(r\psi^2) \\
&\quad + (\psi^{-4} - \alpha^{-2}\beta^2)[\partial_r(r\psi^2)]^2 \\
&= -\alpha^{-2}r^2[2\psi\dot{\psi}]^2 + 2(\alpha^{-2}\beta)r(2\psi\dot{\psi})(\psi^2 + 2r\psi\psi') \\
&\quad + (\psi^{-4} - \alpha^{-2}\beta^2)[\psi^2 + 2r\psi\psi']^2 \\
&= -4\alpha^{-2}r^2\psi^2\dot{\psi}^2 + 4\alpha^{-2}\beta r\psi\dot{\psi}(\psi^2 + 2r\psi\psi') \\
&\quad + (\psi^{-4} - \alpha^{-2}\beta^2)[\psi^4 + 4r\psi^3\psi' + 4r^2\psi^2\psi'^2] \\
&= -4\alpha^{-2}r^2\psi^2\dot{\psi}^2 + 4\alpha^{-2}\beta r\dot{\psi}\psi^3 + 8r^2\alpha^{-2}\beta\psi^2\dot{\psi}\psi' \\
&\quad + [\psi^{-4}\psi^4 + \psi^{-4}4r\psi^3\psi' + \psi^{-4}4r^2\psi^2\psi'^2] \\
&\quad - [\alpha^{-2}\beta^2\psi^4 + \alpha^{-2}\beta^2 2r\psi^3\psi' + \alpha^{-2}\beta^2 4r^2\psi^2\psi'^2] \\
&= -4\alpha^{-2}r^2\psi^2\dot{\psi}^2 + 4\alpha^{-2}\beta r\dot{\psi}\psi^3 + 8r^2\alpha^{-2}\beta\psi^2\dot{\psi}\psi' \\
&\quad + 1 + \psi^{-1}4r\psi' + \psi^{-2}4r^2\psi'^2 \\
&\quad + -\alpha^{-2}\beta^2\psi^4 - \alpha^{-2}\beta^2 2r\psi^3\psi' - \alpha^{-2}\beta^2 4r^2\psi^2\psi'^2
\end{aligned} \tag{0.34}$$

we can now notice that the provided mass aspect function does not depend on  $\dot{\psi}$ ; we can eliminate it using the evolution equation for  $\psi$ :

$$\dot{\psi} = \beta\left(\frac{\psi}{3r} + \psi'\right) + \frac{\psi\beta'}{6} \tag{0.35}$$

so

$$\begin{aligned}
g^{\alpha\beta}\nabla_\alpha R\nabla_\beta R &= -4\alpha^{-2}r^2\psi^2\left[\beta\left(\frac{\psi}{3r} + \psi'\right) + \frac{\psi\beta'}{6}\right]^2 \\
&+ 4\alpha^{-2}\beta r\left[\beta\left(\frac{\psi}{3r} + \psi'\right) + \frac{\psi\beta'}{6}\right]\psi^3 \\
&+ 8r^2\alpha^{-2}\beta\psi^2\left[\beta\left(\frac{\psi}{3r} + \psi'\right) + \frac{\psi\beta'}{6}\right]\psi' \\
&+ 1 + \psi^{-1}4r\psi' + \psi^{-2}4r^2\psi'^2 \\
&+ -\alpha^{-2}\beta^2\psi^4 - \alpha^{-2}\beta^2 2r\psi^3\psi' - \alpha^{-2}\beta^2 4r^2\psi^2\psi'^2 \\
&= -4\alpha^{-2}r^2\psi^2\left[\beta^2\left(\frac{\psi}{3r} + \psi'\right)^2 + \frac{\psi^2\beta'^2}{36} + 2\beta\left(\frac{\psi}{3r} + \psi'\right)\frac{\psi\beta'}{6}\right] \\
&+ 4\alpha^{-2}\beta r\left[\beta\left(\frac{\psi}{3r} + \psi'\right) + \frac{\psi\beta'}{6}\right]\psi^3 \\
&+ 8r^2\alpha^{-2}\beta\psi^2\left[\beta\left(\frac{\psi}{3r} + \psi'\right) + \frac{\psi\beta'}{6}\right]\psi' \\
&+ 1 + \psi^{-1}4r\psi' + \psi^{-2}4r^2\psi'^2 \\
&+ -\alpha^{-2}\beta^2\psi^4 - \alpha^{-2}\beta^2 2r\psi^3\psi' - \alpha^{-2}\beta^2 4r^2\psi^2\psi'^2 \\
&= -\frac{4\alpha^{-2}\beta^2\psi^4}{9} - \frac{8\alpha^{-2}r\psi^3\beta^2\psi'}{3} - 4\alpha^{-2}r^2\psi^2\beta^2\psi'^2 \\
&- \frac{4\alpha^{-2}r^2\psi^4\beta'^2}{36} - \frac{8\alpha^{-2}r\psi^4\beta\beta'}{18} - \frac{8\alpha^{-2}r^2\psi^3\beta\psi'\beta'}{6} \\
&+ \frac{4\alpha^{-2}\beta^2\psi^4}{3} + 4\alpha^{-2}\beta^2 r\psi^3\psi' + \frac{4\alpha^{-2}\beta r\psi^4\beta'}{6} \\
&+ \frac{8r\alpha^{-2}\beta^2\psi^3\psi'}{3} + 8r^2\alpha^{-2}\beta^2\psi^2\psi'^2 + \frac{8r^2\alpha^{-2}\beta\psi^3\psi'\beta'}{6} \\
&+ 1 + \psi^{-1}4r\psi' + \psi^{-2}4r^2\psi'^2 \\
&+ -\alpha^{-2}\beta^2\psi^4 - \alpha^{-2}\beta^2 2r\psi^3\psi' - \alpha^{-2}\beta^2 4r^2\psi^2\psi'^2
\end{aligned} \tag{0.36}$$

simplifying further we have

$$\begin{aligned}
&= -\alpha^{-2}\beta^2\psi^4 - \frac{4\alpha^{-2}\beta^2\psi^4}{9} + \frac{4\alpha^{-2}\beta^2\psi^4}{3} \\
&- \frac{8\alpha^{-2}r\psi^3\beta^2\psi'}{3} - \alpha^{-2}\beta^2 2r\psi^3\psi' + 4\alpha^{-2}\beta^2 r\psi^3\psi' + \frac{8r\alpha^{-2}\beta^2\psi^3\psi'}{3} \\
&- 4\alpha^{-2}r^2\psi^2\beta^2\psi'^2 - \alpha^{-2}\beta^2 4r^2\psi^2\psi'^2 + 8r^2\alpha^{-2}\beta^2\psi^2\psi'^2 \\
&- \frac{4\alpha^{-2}r^2\psi^4\beta'^2}{36} \\
&- \frac{8\alpha^{-2}r\psi^4\beta\beta'}{18} + \frac{4\alpha^{-2}\beta r\psi^4\beta'}{6} \\
&- \frac{8\alpha^{-2}r^2\psi^3\beta\psi'\beta'}{6} + \frac{8r^2\alpha^{-2}\beta\psi^3\psi'\beta'}{6} \\
&+ 1 + \frac{4r\psi'}{\psi} + \frac{4r^2\psi'^2}{\psi^2} \\
&= -\frac{\alpha^{-2}\beta^2\psi^4}{9} + 2r\alpha^{-2}\beta^2\psi^3\psi' - \frac{\alpha^{-2}r^2\psi^4\beta'^2}{9} + \frac{4\alpha^{-2}\beta r\psi^4\beta'}{18} \\
&+ 1 + \frac{4r\psi'}{\psi} + \frac{4r^2\psi'^2}{\psi^2}
\end{aligned} \tag{0.37}$$

so

$$\begin{aligned}
m(r, t) &= \frac{R}{2}(1 - g^{\alpha\beta}\nabla_\alpha R \nabla_\beta R) \\
&= -\left(-\frac{r\alpha^{-2}\beta^2\psi^6}{18} + r^2\psi^5\alpha^{-2}\beta^2\psi' - \frac{\alpha^{-2}r^3\psi^6\beta'^2}{18} + \frac{2\alpha^{-2}\beta r^2\psi^6\beta'}{18} \right. \\
&\quad \left. + 2r\psi r\psi' + 2rr^2\psi'^2\right) \\
&= \frac{r\psi^6}{18\alpha^2}(\beta^2 + r^2\beta'^2 - 2\beta r\beta') - 2r\psi r\psi' - 2rr^2\psi'^2 - r^2\psi^5\alpha^{-2}\beta^2\psi' \\
&= \frac{r\psi^6}{18\alpha^2}(\beta - r\beta')^2 - 2r^2\psi'( \psi + r\psi') - r^2\psi^5\alpha^{-2}\beta^2\psi' \\
&= \frac{r\psi^6}{18\alpha^2}(\beta - r\beta')^2 - 2r^2\psi'(r\psi)' - r^2\psi^5\alpha^{-2}\beta^2\psi'
\end{aligned} \tag{0.38}$$

## 1 Background

In this project we now aim to solve the equations of motion for a self-gravitating scalar field in spherical symmetry. The key difference between this project and the previous one is that we now care about how the scalar field modifies the spacetime it occupies, whereas in the previous project we used a static Schwarzschild spacetime.

The spherically symmetric line element in isotropic (conformally flat) form is given by

$$ds^2 = -(\alpha^2 - \psi^4\beta^2)dt^2 + 2\psi^4\beta dr dt + \psi^4[dr^2 + r^2(d\theta^2 + \sin^2\theta d\phi^2)], \tag{1.1}$$

where  $\alpha(r, t)$  is called the *lapse function*,  $\beta(r, t)$  is the *shift* (which comes from the norm of the *shift vector*  $\beta^i$  when we don't have spherical symmetry), and  $\psi(r, t)$  is the *conformal factor*.

In this formalism, the Klein-Gordon equation for the scalar field  $\Phi(r, t)$  may be written in terms of auxiliary variables  $\xi$  and  $\Pi$ , defined to be:

$$\xi(r, t) = \Phi' \quad (1.2)$$

$$\Pi(r, t) = \frac{\psi^2}{\alpha}(\dot{\Phi} - \beta\xi), \quad (1.3)$$

where throughout this document a dot ( $\dot{\phantom{x}}$ ) denotes a partial derivative with respect to  $t$ , and a prime ( $\prime$ ) denotes a partial derivative with respect to  $r$ . Using these auxiliary variables, we can derive a closed system of equations for the quantities of interest  $(\xi, \Pi, \psi, \alpha, \beta)$ .

The first equation of this system is the hyperbolic Klein-Gordon equation

$$\dot{\Pi} - \frac{1}{r^2\psi^4} \left[ r^2\psi^4 \left( \beta\Pi + \frac{\alpha\xi}{\psi^2} \right) \right]' + \frac{2}{3}\Pi \left[ \beta' + \frac{2\beta}{r} \left( 1 + \frac{3r\psi'}{\psi} \right) \right] = 0, \quad (1.4)$$

followed by the hyperbolic evolution equation for  $\xi$ :

$$\dot{\xi} - \left( \frac{\alpha\Pi}{\psi^2} + \beta\xi \right)' = 0, \quad (1.5)$$

the Hamiltonian constraint equation, which we will use as an elliptic constraint for  $\psi$

$$\psi'' + \psi' \frac{2}{r} + \frac{\psi^5}{12} \left[ \frac{1}{\alpha} \left( \beta' - \frac{\beta}{r} \right)^2 \right] + \pi\psi[\xi^2 + \Pi^2] = 0, \quad (1.6)$$

the momentum constraint equation, an elliptic equation for  $\beta$

$$\beta'' + \left( \beta' - \frac{\beta}{r} \right) \left[ \frac{2}{r} + \frac{6\psi'}{\psi} - \frac{\alpha'}{\alpha} \right] + \frac{12\pi\alpha\xi\Pi}{\psi^2} = 0, \quad (1.7)$$

and the maximal slicing condition yields an elliptic equation for  $\alpha$ :

$$\alpha'' + \alpha' \left[ \frac{2}{r} + \frac{2\psi'}{\psi} \right] - \alpha^{-1} \left[ \frac{2\psi^4}{3} \left( \beta' - \frac{\beta}{r} \right)^2 \right] - 8\pi\alpha\Pi^2 = 0. \quad (1.8)$$

## 2 Problem 1

This problem asks us to verify the boundary conditions on the quantities of interest  $(\xi, \Pi, \psi, \alpha, \beta)$  at  $r = 0$ , to ensure that they are regular and finite there.

Expanding out equation 1.4, we get:

$$\begin{aligned} 0 = \dot{\Pi} - & \left[ \frac{2\beta\Pi}{r} + \frac{4\psi'\beta\Pi}{\psi} + \beta'\Pi + \beta\Pi' + \frac{2\alpha\xi}{r\psi^2} + \frac{2\psi'\alpha\xi}{\psi^3} + \frac{\alpha'\xi}{\psi^2} + \frac{\alpha\xi'}{\psi^2} \right] \\ & + \frac{2}{3}\Pi \left[ \beta' + \frac{2\beta}{r} \left( 1 + \frac{3r\psi'}{\psi} \right) \right]; \end{aligned} \quad (2.1)$$

inspection of this equation implies that in order for it to be regular and finite at  $r = 0$ , we must require  $\beta\Pi \rightarrow 0$  and  $\alpha\xi \rightarrow 0$  as  $r \rightarrow 0$ . We need more equations to constrain the behavior further.

Expanding out equation 1.5 doesn't help us, so we can determine most of the other conditions simply by inspection of equations 1.6 - 1.8. The second term in equation 1.6 implies that  $\psi' \rightarrow 0$  as  $r \rightarrow 0$ , and the term inside both brackets and parentheses implies  $\beta \rightarrow 0$  as  $r \rightarrow 0$ . Equation 1.8's second term implies  $\alpha' \rightarrow 0$  as  $r \rightarrow 0$ . Now that we know these things, the constraint on  $\alpha'$  implies that  $\alpha$  will not be constrained, so from the paragraph above we know that  $\xi \rightarrow 0$ .

So far, we have:

$$\begin{aligned}\beta(r=0, t) &= 0 \\ \xi(r=0, t) &= 0 \\ \psi'(r=0, t) &= 0 \\ \alpha'(r=0, t) &= 0,\end{aligned}$$

but we still need a constraint on  $\Pi$  or  $\Pi'$  to fully constrain the system. Looking at the definition of  $\Pi$  in equation 1.3, we can see that as  $r \rightarrow 0$  the second term drops out, but we don't know anything about the first term. Let's try taking a derivative with respect to  $r$ , and see what falls out:

$$\begin{aligned}\Pi' &= \left[ \psi^2 \alpha^{-1} \dot{\phi} - \psi^2 \alpha^{-1} \beta \xi \right]' \\ &= 2\psi\psi'\alpha^{-1}\dot{\phi} - \psi^2\alpha^{-2}\alpha'\dot{\phi} + \psi^2\alpha^{-1}\dot{\phi}' \\ &\quad - 2\psi\psi'\alpha^{-1}\beta\xi + \psi^2\alpha^{-2}\alpha'\beta\xi - \psi^2\alpha^{-1}\beta'\xi - \psi^2\alpha^{-1}\beta\xi';\end{aligned}$$

applying the constraints we already know for when  $r \rightarrow 0$ , we find:

$$\begin{aligned}\Pi'(r=0, t) &= \psi^2\alpha^{-1}\dot{\phi}' \\ &= \psi^2\alpha^{-1}\partial_r(\partial_t\phi) \\ &= \psi^2\alpha^{-1}\partial_t(\partial_r\phi) \\ &= \psi^2\alpha^{-1}\partial_t(\xi) \\ &= \psi^2\alpha^{-1}\partial_t(0) \\ &= 0,\end{aligned}$$

so in summary, at  $r=0$ , we have the full set of conditions

$$\begin{aligned}\beta(r=0, t) &= 0 \\ \xi(r=0, t) &= 0 \\ \psi'(r=0, t) &= 0 \\ \alpha'(r=0, t) &= 0 \\ \Pi'(r=0, t) &= 0,\end{aligned}\tag{2.2}$$

as we were asked to show.

### 3 Problem 2

In this problem we are asked to derive boundary conditions to be applied at the outer boundary ( $r=R$ ) which do not couple matter variables ( $\Pi, \xi$ ) or space variables ( $\alpha, \beta, \psi$ )

amongst themselves or to each other. We are told to define the space variable boundary conditions such that they are consistent to  $O(1/r^2)$  with asymptotic flatness (which we should expect for large  $R$ ), which means we should have conditions with solutions of the form

$$\begin{aligned}\psi &= 1 + \frac{a_0(t)}{r} + O(1/r^2) \\ \alpha &= 1 + \frac{b_0(t)}{r} + O(1/r^2) \\ \beta &= 0 + \frac{c_0(t)}{r} + O(1/r^2),\end{aligned}\tag{3.1}$$

where the leading order behavior is determined by the asymptotic behavior of the space variable (e.g. by comparing the metric 1.1 to the Minkowski metric).

We have to enforce the above behavior on our variables somehow and write constraint equations. Taking a derivative with respect to  $r$  of the above equations, we find:

$$\psi' + \frac{\psi - 1}{r} = 0\tag{3.2}$$

$$\alpha' + \frac{\alpha - 1}{r} = 0\tag{3.3}$$

$$\beta' + \frac{\beta}{r} = 0,\tag{3.4}$$

which we will enforce as our outer boundary condition on these variables<sup>1</sup>.

Now we need boundary conditions for the matter variables  $\xi$  and  $\Pi$ . We are asked to force these variables to be consistent with the outgoing radiation condition to  $O(1/r^2)$ . Applying the outgoing radiation condition to the matter variables, we have:

$$\begin{aligned}0 &= \partial_t(r\xi) + \partial_r(r\xi) \\ &= r\partial_t\xi + r\partial_r\xi + \xi \\ &= r\dot{\xi} + r\xi' + \xi \\ &= \dot{\xi} + \xi' + \frac{\xi}{r}.\end{aligned}\tag{3.5}$$

following the exact same procedure for  $\Pi$ , we find

$$0 = \dot{\Pi} + \Pi' + \frac{\Pi}{r}.\tag{3.6}$$

Since we directly used the outgoing radiation condition to derive these equations, they are guaranteed to be consistent with the outgoing radiation condition to any order in  $1/r$ .

These boundary conditions have been verified by comparison with those coded up in the sample code for this project<sup>2</sup> and by taking the spherical-symmetry special case of the

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<sup>1</sup>I don't like the description for this problem very much. I think it's important to state that for these boundary conditions we're trying to write down constraint equations which force  $\{\psi, \alpha, \beta\}$  to obey equation 3.1.

<sup>2</sup>Sample code which solves problems 1-5: [http://physics.princeton.edu/~fpretori/group\\_resources/PiTP\\_2009/emkg\\_5.tar.gz](http://physics.princeton.edu/~fpretori/group_resources/PiTP_2009/emkg_5.tar.gz)

boundary conditions<sup>3</sup> in a paper describing an axisymmetric self-gravitating scalar field code [1], though in the latter they use Dirichlet boundary conditions for  $\alpha, \beta$  rather than the Robin conditions we use here.

## 4 Problem 3

In this problem we are asked to write code to solve the Klein-Gordon equation in the form of equations 1.4 - 1.5 to integrate  $\Pi$  and  $\xi$  for a flat space background. We are also asked to use equation 1.3 to integrate the scalar field  $\Phi$ . The problem statement tells us to use a second-order Crank-Nicolson scheme, and to implement Kreiss-Oliger (KO) dissipation near the origin.

To begin, we must note that the flat space background part of the problem statement implies that we can take the zeroth order behavior of equation 3.1 to set the values of the space variables, namely:  $\psi = \alpha = 1$  and  $\beta = 0$ .

Now we can go about discretizing the equations. Starting with equation 1.4, we get (for our centered difference):

$$\ddot{\Pi} - \frac{1}{r^2\psi^4} \left[ r^2\psi^4 \left( \beta\Pi + \frac{\alpha\xi}{\psi^2} \right) \right]' + \frac{2}{3}\Pi \left[ \beta' + \frac{2\beta}{r} \left( 1 + \frac{3r\psi'}{\psi} \right) \right] = 0 \quad (4.1)$$

## References

- [1] F. Pretorius and M. W. Choptuik, “Adaptive Mesh Refinement for Coupled Elliptic-Hyperbolic Systems”, J. Comput. Phys. 218 (2006)

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<sup>3</sup>The outer boundary conditions can be found in equation 9 of <https://arxiv.org/pdf/gr-qc/0508110.pdf>. These equations may be converted to spherical coordinates by noting that  $r = \sqrt{\rho^2 + z^2}$ , and converting the  $\rho$  and  $z$  derivatives to be  $r$  derivatives.