

# Overview on object tracking

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# Generative & Discriminate methods

Discriminate methods (tracking-by-detection) aim to build a model that distinguishes the target object from the background.

{ ***Generative methods:** Kalman Filter, Particle Filter, ASMS*  
***Discriminative methods:** MOSSE, CSK, DCF, KCF, DSST, MDNet*

Generative methods describe the target appearances using generative models and search for the target regions that fit the models best.

# MOSSE\*

$$\textcircled{1} F = \mathcal{F}(f)$$

Input image

$$\textcircled{2} H = \mathcal{F}(h)$$

filter

$$\textcircled{3} g = f \otimes h$$

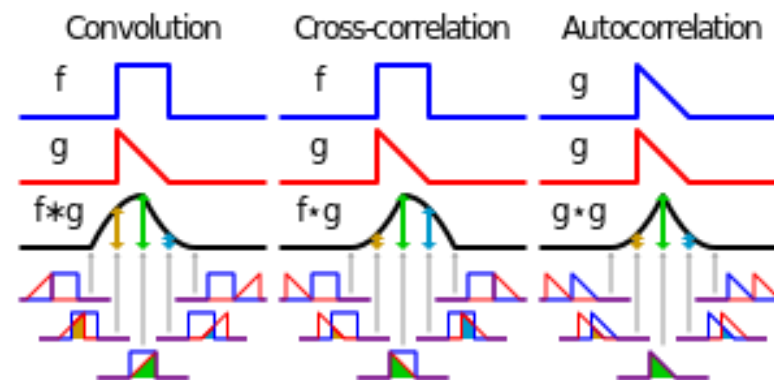
Time Domain



$$\textcircled{4} G = F \odot H^*$$

Frequency Domain

$\mathcal{F}$  denotes the Fast Fourier Transform 快速傅立叶变换



$\otimes$  denotes the convolutional product(卷积)  
 $\odot$  denotes the element-wise product(点积/数量积).

# MOSSE\*

$$\textcircled{1} F = \mathcal{F}(f)$$

$$\textcircled{2} H = \mathcal{F}(h)$$

$$\textcircled{3} g = f \otimes h \longrightarrow \textcircled{4} G = F \odot H^*$$

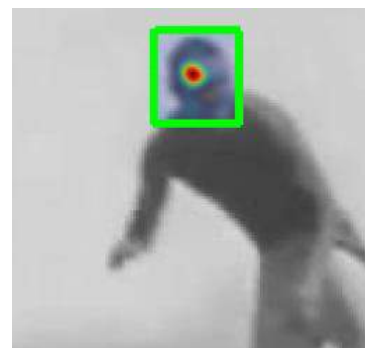
$$\textcircled{5} H = \min_{H^*} \sum_i^m |F_i \odot H^* - G_i|^2 \longrightarrow \textcircled{6} H_{\omega v} = \min_{H_{\omega v}} \sum_i^m |F_{i\omega v} H_{\omega v}^* - G_{i\omega v}|^2$$

← number of training samples

Minimizing sum of squared error (SSE)

傅里叶域中的所有操作都是按元素执行的，  
所以 $H$ 中的每个元素可以独立求解

$g_i$  is generated from ground truth such that it has a compact 2-d Gaussian shaped peak centered on the target in training image  $f_i$ .



# MOSSE\*

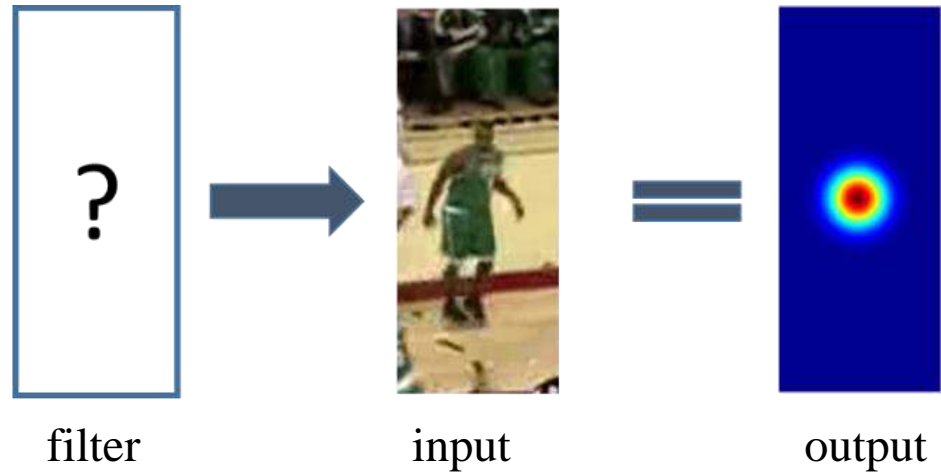
$$\textcircled{1} F = \mathcal{F}(f) \quad \textcircled{2} H = \mathcal{F}(h) \quad \textcircled{3} g = f \otimes h \longrightarrow \textcircled{4} G = F \odot H^*$$

$$\textcircled{5} H = \min_{H^*} \sum_i^m |F_i \odot H^* - G_i|^2 \longrightarrow \textcircled{6} H_{\omega v} = \min_{H_{\omega v}} \sum_i^m |F_{i\omega v} H_{\omega v}^* - G_{i\omega v}|^2$$

$$\longrightarrow \textcircled{7} 0 = \frac{\partial}{\partial H_{\omega v}} \sum_i |F_{i\omega v} H_{\omega v}^* - G_{i\omega v}|^2$$

$$\longrightarrow \textcircled{8} H_{\omega v} = \frac{\sum_i F_{i\omega v} G_{i\omega v}^*}{\sum_i F_{i\omega v} F_{i\omega v}^*} \longrightarrow \textcircled{9} H^* = \frac{\sum_i G_i \odot F_i^*}{\sum_i F_i \odot F_i^*} \quad \text{Final result}$$

# MOSSE\*



$$H_i^* = \frac{A_i}{B_i}$$

$$A_i = (1 - \eta)A_{i-1} + \eta G_i \odot F_i^*$$

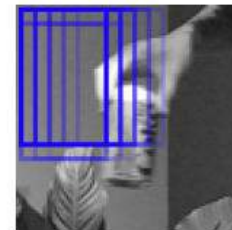
$$B_i = (1 - \eta)B_{i-1} + \eta F_i \odot F_i^*$$



Figure 8: This shows a sample from the **davidin300** sequence for the MOSSE filter. The frame number and PSR are shown in the upper left hand corner. Thin gray box around the face shows starting location of the tracking window for each frame. The thick red box shows the updated location of the track window. The red dot shows the center point of the tracking window and helps to determine if the windows has drifted off the original location. In the Taz video, failure detection is enabled and a red X is drawn in the window to indicate that a failure or occlusion has been detected. Across the bottom of the video are images the input (cropped from the grey rectangle), the updated filter from this frame, and the correlation output.

# CSK\*


Dense Sampling  
(all subwindows,  
proposed method)




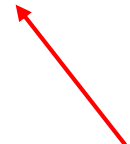

$(\mathbf{x}_1, y_1), \dots, (\mathbf{x}_m, y_m)$  are the training samples and labels,  $f(\mathbf{x})$  is the classifier.

$$\min_{w,b} \sum_{i=1}^m (y_i - f(\mathbf{x}_i))^2 + \lambda \|\mathbf{w}\|^2$$

该模型最终要确定的系数矩阵 $w$ ，对应于MOSSE中最终要确定滤波模板 $H$

$$\mathbf{w} = \sum_i \alpha_i \varphi(\mathbf{x}_i)$$


Representer theorem

$$\alpha = (K + \lambda I)^{-1} y$$


Kernel matrix Identity matrix

# CSK\*

①  $\alpha = (K + \lambda I)^{-1} y$

$$K = \begin{bmatrix} K(\mathbf{x}_1, \mathbf{x}_1) & \dots & K(\mathbf{x}_1, \mathbf{x}_j) & \dots & K(\mathbf{x}_1, \mathbf{x}_m) \\ \vdots & \ddots & \vdots & \ddots & \vdots \\ K(\mathbf{x}_i, \mathbf{x}_1) & \dots & K(\mathbf{x}_i, \mathbf{x}_j) & \dots & K(\mathbf{x}_i, \mathbf{x}_m) \\ \vdots & \ddots & \vdots & \ddots & \vdots \\ K(\mathbf{x}_m, \mathbf{x}_1) & \dots & K(\mathbf{x}_m, \mathbf{x}_j) & \dots & K(\mathbf{x}_m, \mathbf{x}_m) \end{bmatrix}$$

Permutation matrix

$$\mathbf{x}_m = P^m \mathbf{x}$$

$$K^{gauss}(\mathbf{x}_m, \mathbf{x}_j) = \exp\left(-\frac{1}{\sigma^2} \left( \|\mathbf{x}_m\|^2 + \|\mathbf{x}_j\|^2 - 2\mathcal{F}^{-1} \left( \mathcal{F}(\mathbf{x}_m) \odot \mathcal{F}^*(\mathbf{x}_j) \right) \right)\right)$$

$\odot$  denotes the element-wise product(点积/数量积).



# CSK\*

训练

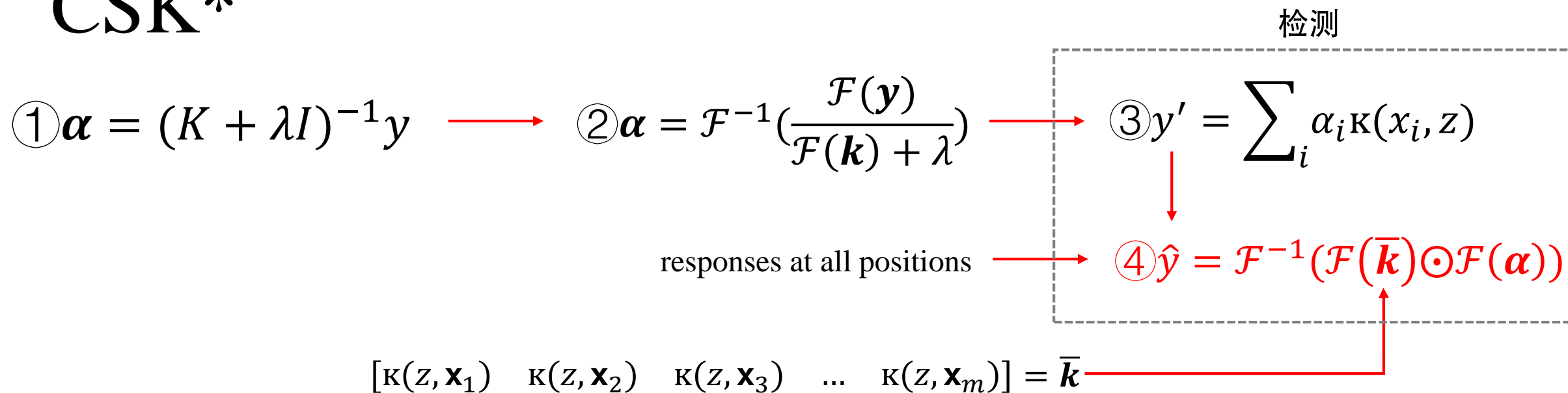
$$\textcircled{1} \alpha = (K + \lambda I)^{-1} y \longrightarrow \textcircled{2} \alpha = \mathcal{F}^{-1} \left( \frac{\mathcal{F}(y)}{\mathcal{F}(k) + \lambda} \right)$$

$$K = \begin{bmatrix} K(\mathbf{x}, \mathbf{x}_1) & K(\mathbf{x}, \mathbf{x}_2) & K(\mathbf{x}, \mathbf{x}_3) & \dots & K(\mathbf{x}, \mathbf{x}_m) \\ K(\mathbf{x}, \mathbf{x}_m) & K(\mathbf{x}, \mathbf{x}_1) & K(\mathbf{x}, \mathbf{x}_2) & \dots & K(\mathbf{x}, \mathbf{x}_{m-1}) \\ K(\mathbf{x}, \mathbf{x}_{m-1}) & K(\mathbf{x}, \mathbf{x}_m) & K(\mathbf{x}, \mathbf{x}_1) & \dots & K(\mathbf{x}, \mathbf{x}_{m-2}) \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ K(\mathbf{x}, \mathbf{x}_2) & K(\mathbf{x}, \mathbf{x}_3) & K(\mathbf{x}, \mathbf{x}_4) & \dots & K(\mathbf{x}, \mathbf{x}_1) \end{bmatrix} = C(k)$$

根据Theorem 1,  $K$ 矩阵为一个循环矩阵, 而循环矩阵可以在傅里叶域变成点积运算, 减少了计算量

**Theorem 1.** The matrix  $K$  with elements  $K_{ij} = \kappa(P^i \mathbf{x}, P^j \mathbf{x})$  is circulant if  $\kappa$  is a unitarily invariant kernel.

# CSK\*



# CSK\* 与MOSSE的关系

$$\mathbf{w} = \mathcal{F}^{-1} \left( \frac{\mathcal{F}(\mathbf{x}) \odot \mathcal{F}^*(\mathbf{y})}{\mathcal{F}(\mathbf{x}) \odot \mathcal{F}^*(\mathbf{x}) + \lambda} \right). \quad (17)$$

This is a kind of correlation filter that has been proposed recently, called Minimum Output Sum of Squared Error (MOSSE) [12, 15], with a single training image. It is remarkably powerful despite its simplicity.

Note, however, that correlation filters are obtained with classical signal processing techniques, directly in the Fourier domain. As we have shown, Circulant matrices are the key enabling factor to extend them with the Kernel Trick.

$$w = \mathcal{F}^{-1} \left( \frac{\mathcal{F}(x) \odot \mathcal{F}^*(y)}{\mathcal{F}(x) \odot \mathcal{F}^*(x) + \lambda} \right)$$

$$H^* = \frac{\sum_i G_i \odot F_i^*}{\sum_i F_i \odot F_i^*} \quad \leftarrow \text{MOSSE}$$

The MOSSE is a linear classifier that doesn't make use of kernel trick, but use the simplest kernel function which is just the dot-product  $\kappa(x, x') = \langle x, x' \rangle$  in the original space.

# CSK\* 程序主体部分

```
%extract and pre-process subwindow
x = get_subwindow(im, pos, sz, cos_window);

if frame > 1,
    %calculate response of the classifier at all locations
    k = dense_gauss_kernel(sigma, x, z);
    response = real(ifft2(alphaf .* fft2(k)));    %(Eq. 9)
    %target location is at the maximum response
    [row, col] = find(response == max(response(:)), 1);
    pos = pos - floor(sz/2) + [row, col];
end

%get subwindow at current estimated target position, to train classifier
x = get_subwindow(im, pos, sz, cos_window);

%Kernel Regularized Least-Squares, calculate alphas (in Fourier domain)
k = dense_gauss_kernel(sigma, x);
new_alphaf = yf ./ (fft2(k) + lambda);    %(Eq. 7)
new_z = x;

if frame == 1, %first frame, train with a single image
    alphaf = new_alphaf;
    z = x;
else
    %subsequent frames, interpolate model
    alphaf = (1 - interp_factor) * alphaf + interp_factor * new_alphaf;
    z = (1 - interp_factor) * z + interp_factor * new_z;
end
```

用训练好的位置  
滤波器更新位置

$$\hat{y} = \mathcal{F}^{-1}(\mathcal{F}(\bar{k}) \odot \mathcal{F}(\alpha))$$

$$\alpha = \mathcal{F}^{-1}\left(\frac{\mathcal{F}(y)}{\mathcal{F}(k) + \lambda}\right)$$

# CSK\* 核函数部分

```
function k = dense_gauss_kernel(sigma, x, y)
```

```
    xf = fft2(x); %x in Fourier domain
    xx = x(:)' * x(:); %squared norm of x
```

```
    if nargin >= 3, %general case, x and y are different
        yf = fft2(y);
        yy = y(:)' * y(:);
```

```
    else
        %auto-correlation of x, avoid repeating a few operations
        yf = xf;
        yy = xx;
    end
```

```
    %cross-correlation term in Fourier domain
```

```
    xyf = xf .* conj(yf);
```

```
    xy = real(circshift(ifft2(xyf), floor(size(x)/2))); %to spatial domain
```

```
    %calculate gaussian response for all positions
```

```
    k = exp(-1 / sigma^2 * max(0, (xx + yy - 2 * xy) / numel(x)));
```

```
end
```

$$K = \begin{bmatrix} K(\mathbf{x}, \mathbf{x}_1) & K(\mathbf{x}, \mathbf{x}_2) & K(\mathbf{x}, \mathbf{x}_3) & \dots & K(\mathbf{x}, \mathbf{x}_m) \\ K(\mathbf{x}, \mathbf{x}_m) & K(\mathbf{x}, \mathbf{x}_1) & K(\mathbf{x}, \mathbf{x}_2) & \dots & K(\mathbf{x}, \mathbf{x}_{m-1}) \\ K(\mathbf{x}, \mathbf{x}_{m-1}) & K(\mathbf{x}, \mathbf{x}_m) & K(\mathbf{x}, \mathbf{x}_1) & \dots & K(\mathbf{x}, \mathbf{x}_{m-2}) \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ K(\mathbf{x}, \mathbf{x}_2) & K(\mathbf{x}, \mathbf{x}_3) & K(\mathbf{x}, \mathbf{x}_4) & \dots & K(\mathbf{x}, \mathbf{x}_1) \end{bmatrix} = C(\mathbf{k})$$

$k$ 是一个向量

$$K^{gauss}(\mathbf{x}, \mathbf{x}_j) = \exp\left(-\frac{1}{\sigma^2} \left( \|\mathbf{x}\|^2 + \|\mathbf{x}_j\|^2 - 2\mathcal{F}^{-1} \left( \mathcal{F}(\mathbf{x}) \odot \mathcal{F}^*(\mathbf{x}_j) \right) \right)\right)$$

# CSK\* 程序主要流程

- Get Subwindow
- $\kappa^{gauss} = \exp(-\frac{1}{\sigma^2}(\|x\|^2 + \|x\|^2 - 2\mathcal{F}^{-1}(\mathcal{F}(x) \odot \mathcal{F}^*(x))))$
- $\text{new\_alphaf}(\alpha) = \mathcal{F}^{-1}(\frac{\mathcal{F}(y)}{\mathcal{F}(k) + \lambda})$
- $\text{new\_z} \leftarrow x$
- $\text{alphaf} \leftarrow \text{new\_alphaf}$
- $z \leftarrow x$

1<sup>st</sup> frame

- Get Subwindow
- $\kappa^{gauss} = \exp(-\frac{1}{\sigma^2}(\|x\|^2 + \|z\|^2 - 2\mathcal{F}^{-1}(\mathcal{F}(x) \odot \mathcal{F}^*(z))))$
- $\text{response}(\hat{y}) = \mathcal{F}^{-1}(\mathcal{F}(\bar{k}) \odot \mathcal{F}(\alpha))$
- Find max response
- Change position(*pos*)
- Get Subwindow(new subwindow according to the *pos*)
- $\kappa^{gauss} = \exp(-\frac{1}{\sigma^2}(\|x\|^2 + \|x\|^2 - 2\mathcal{F}^{-1}(\mathcal{F}(x) \odot \mathcal{F}^*(x))))$
- $\text{new\_alphaf}(\alpha) = \mathcal{F}^{-1}(\frac{\mathcal{F}(y)}{\mathcal{F}(k) + \lambda})$
- $\text{new\_z} \leftarrow x$
- $\text{alphaf} \leftarrow (1 - \text{interp\_factor}) * \text{alphaf} + \text{interp\_factor} * \text{new\_alphaf}$
- $z \leftarrow (1 - \text{interp\_factor}) * z + \text{interp\_factor} * \text{new\_z}$

2<sup>nd</sup>, 3<sup>rd</sup>, 4<sup>th</sup> .....frame

# CSK\* 程序主要流程

- Get Subwindow
- $\kappa^{gauss} = \exp(-\frac{1}{\sigma^2}(\|x\|^2 + \|x\|^2 - 2\mathcal{F}^{-1}(\mathcal{F}(x) \odot \mathcal{F}^*(x))))$
- $\text{new\_alphaf}(\alpha) = \mathcal{F}^{-1}(\frac{\mathcal{F}(y)}{\mathcal{F}(k) + \lambda})$
- $\text{new\_z} \leftarrow x$
- $\text{alphaf} \leftarrow \text{new\_alphaf}$
- $z \leftarrow x$

获取子窗口

计算核函数

通过核函数和已知响应计算 $\alpha$

给new\_z赋值

记录alphaf

给z赋值

- Get Subwindow
- $\kappa^{gauss} = \exp(-\frac{1}{\sigma^2}(\|x\|^2 + \|z\|^2 - 2\mathcal{F}^{-1}(\mathcal{F}(x) \odot \mathcal{F}^*(z))))$
- $\text{response}(\hat{y}) = \mathcal{F}^{-1}(\mathcal{F}(\bar{k}) \odot \mathcal{F}(\alpha))$
- Find max response
- Change position(pos)
- Get Subwindow(new subwindow according to the pos)
- $\kappa^{gauss} = \exp(-\frac{1}{\sigma^2}(\|x\|^2 + \|x\|^2 - 2\mathcal{F}^{-1}(\mathcal{F}(x) \odot \mathcal{F}^*(x))))$
- $\text{new\_alphaf}(\alpha) = \mathcal{F}^{-1}(\frac{\mathcal{F}(y)}{\mathcal{F}(k) + \lambda})$
- $\text{new\_z} \leftarrow x$
- $\text{alphaf} \leftarrow (1 - \text{interp\_factor}) * \text{alphaf} + \text{interp\_factor} * \text{new\_alphaf}$
- $z \leftarrow (1 - \text{interp\_factor}) * z + \text{interp\_factor} * \text{new\_z}$

再次获取子窗口

计算核函数 (z即为旧的x)

通过前一帧训练出来的 $\alpha$ 计算所有位置的响应y

找到最大的响应

根据最大响应获取新位置

根据新位置重新获取子窗口

计算核函数

更新 $\alpha$

记录x的新值

加权更新alphaf

加权更新z

# CSK\*



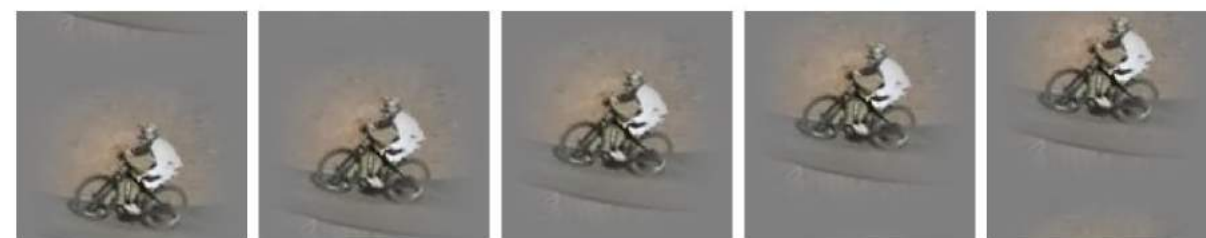
2D image

## Appendix A.3: Generalization of circulant forms

For a matter of clarity, all of our derivations have assumed that the images are one-dimensional. The 2D case, despite its usefulness, is also more difficult to analyze. The reason is that the 2D generalization of a circulant matrix, related to the 2D Fourier Transform, is a Block-Circulant Circulant Matrix (BCCM, ie., a matrix that is circulant at the block level, composed of blocks themselves circulant). All of the properties we used for circulant matrices have BCCM equivalents.

We will now generalize Theorem 1. A 1D image  $\mathbf{x}$  can be shifted by  $i$  with  $P^i \mathbf{x}$ . With a 2D image  $X$ , we can shift both its rows by  $i$  and its columns by  $i'$  with  $P^i X P^{i'}$ . Additionally, in an  $n^2 \times n^2$  matrix  $M$  composed of  $n \times n$  blocks, we will index the element  $i'j'$  of the block  $ij$  as  $M_{(ii'),(jj')}$ .

**Theorem 2.** The block matrix  $K$  with elements  $K_{(ii'),(jj')} = \kappa(P^i X P^{i'}, P^j X P^{j'})$  is a BCCM if  $\kappa$  is a unitarily invariant kernel.



+30

+15

Base sample

-15

-30

These shifted samples are for training



# DCF 线性可分情况下的模型训练

$(\mathbf{x}_1, y_1), \dots, (\mathbf{x}_m, y_m)$  are the training samples and labels,  $f(\mathbf{x})$  is the classifier.

$$\textcircled{1} \min_{\mathbf{w}} \sum_{i=1}^m (y_i - f(\mathbf{x}_i))^2 + \lambda \|\mathbf{w}\|^2$$

$$\textcircled{2} \mathbf{w} = (X^H X + \lambda I)^{-1} X^H \mathbf{y} \longrightarrow \textcircled{3} \mathbf{w} = \mathcal{F}^{-1} \left( \frac{\mathcal{F}(\mathbf{x}^*) \odot \mathcal{F}(\mathbf{y})}{\mathcal{F}(\mathbf{x}^*) \odot \mathcal{F}(\mathbf{x}) + \lambda} \right)$$

用第一帧训练出的模型

$$f(\mathbf{z}) = \mathbf{w}^T \mathbf{z}$$

训练

检测

$$X = \begin{bmatrix} x_1 & x_2 & x_3 & \dots & x_n \\ x_1 & x_1 & x_2 & \dots & x_{n-1} \\ x_{n-1} & x_n & x_1 & \dots & x_{n-2} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ x_2 & x_3 & x_4 & \dots & x_1 \end{bmatrix} = C(\mathbf{x}) = F \text{diag}(\mathcal{F}(\mathbf{x})) F^H$$

$\odot$  denotes the element-wise product(点积/数量积).

All circulant matrices are made diagonal by the Discrete Fourier Transform (DFT)

# KCF 线性不可分情况下的模型训练

$(\mathbf{x}_1, y_1), \dots, (\mathbf{x}_m, y_m)$  are the training samples and labels,  $f(\mathbf{x})$  is the classifier.

$$\textcircled{1} \min_{w, b} \sum_{i=1}^m (y_i - f(\mathbf{x}_i))^2 + \lambda \|\mathbf{w}\|^2$$

$$\textcircled{2} \mathbf{w} = \sum_i \alpha_i \varphi(\mathbf{x}_i)$$



Representer theorem

$$\textcircled{3} \boldsymbol{\alpha} = (\mathbf{K} + \lambda \mathbf{I})^{-1} \mathbf{y}$$

← Kernel trick



Kernel matrix Identity matrix

# KCF 线性不可分情况下的模型训练

$$\textcircled{1} \boldsymbol{\alpha} = (\mathbf{K} + \lambda \mathbf{I})^{-1} \mathbf{y} \longrightarrow \textcircled{2} \boldsymbol{\alpha} = \mathcal{F}^{-1} \left( \frac{\mathcal{F}(\mathbf{y})}{\mathcal{F}(\mathbf{k}^{xx}) + \lambda} \right) \longrightarrow \textcircled{3} f(\mathbf{z}) = \mathbf{w}^T \mathbf{z} = \sum_i \alpha_i \mathbf{K}(\mathbf{z}, \mathbf{x}_i)$$

用第一帧训练出的模型

response for a single input  $\mathbf{z}$

循环矩阵对角化  $\mathbf{K} = \begin{bmatrix} \mathbf{K}(\mathbf{x}, \mathbf{x}_1) & \mathbf{K}(\mathbf{x}, \mathbf{x}_2) & \mathbf{K}(\mathbf{x}, \mathbf{x}_3) & \dots & \mathbf{K}(\mathbf{x}, \mathbf{x}_m) \\ \mathbf{K}(\mathbf{x}, \mathbf{x}_m) & \mathbf{K}(\mathbf{x}, \mathbf{x}_1) & \mathbf{K}(\mathbf{x}, \mathbf{x}_2) & \dots & \mathbf{K}(\mathbf{x}, \mathbf{x}_{m-1}) \\ \mathbf{K}(\mathbf{x}, \mathbf{x}_{m-1}) & \mathbf{K}(\mathbf{x}, \mathbf{x}_m) & \mathbf{K}(\mathbf{x}, \mathbf{x}_1) & \dots & \mathbf{K}(\mathbf{x}, \mathbf{x}_{m-2}) \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ \mathbf{K}(\mathbf{x}, \mathbf{x}_2) & \mathbf{K}(\mathbf{x}, \mathbf{x}_3) & \mathbf{K}(\mathbf{x}, \mathbf{x}_4) & \dots & \mathbf{K}(\mathbf{x}, \mathbf{x}_1) \end{bmatrix} = \mathcal{C}(\mathbf{k}^{xx}) = \mathbf{F} \text{diag}(\mathcal{F}(\mathbf{k}^{xx})) \mathbf{F}^H$

训练样本向量  $\mathbf{x}_i = \mathbf{P}^i \mathbf{x}$

# KCF 非线性情况下的模型训练

$$\textcircled{1} \alpha = (K + \lambda I)^{-1} y \longrightarrow \textcircled{2} \alpha = \mathcal{F}^{-1} \left( \frac{\mathcal{F}(y)}{\mathcal{F}(\mathbf{k}^{xx}) + \lambda} \right) \longrightarrow \textcircled{3} f(z) = \mathbf{w}^T \mathbf{z} = \sum_i \alpha_i \mathbf{K}(z, \mathbf{x}_i)$$

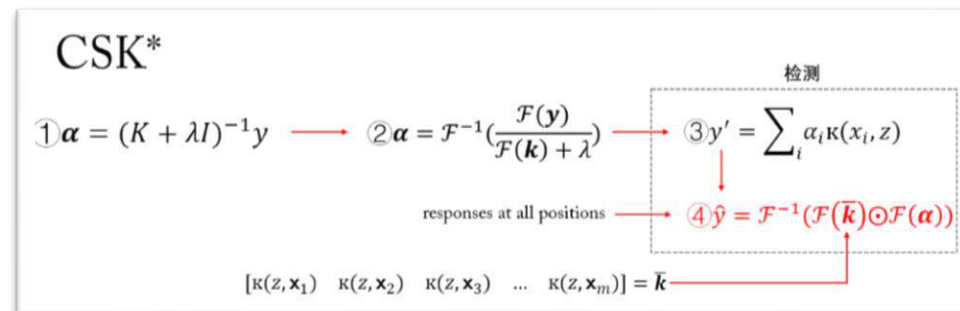
用第一帧训练出的模型

responses at all positions  $\longrightarrow$

$$\textcircled{4} f(z) = \mathbf{w}^T \mathbf{z} = \sum_i \alpha_i \mathbf{K}(z, \mathbf{x}_i) = \mathcal{F}^{-1}(\mathcal{F}(\mathbf{k}^{xz}) \odot \mathcal{F}(\alpha))$$

$$K^z = \begin{bmatrix} \mathbf{K}(z, \mathbf{x}_1) & \mathbf{K}(z, \mathbf{x}_2) & \mathbf{K}(z, \mathbf{x}_3) & \dots & \mathbf{K}(z, \mathbf{x}_m) \\ \mathbf{K}(z, \mathbf{x}_m) & \mathbf{K}(z, \mathbf{x}_1) & \mathbf{K}(z, \mathbf{x}_2) & \dots & \mathbf{K}(z, \mathbf{x}_{m-1}) \\ \mathbf{K}(z, \mathbf{x}_{m-1}) & \mathbf{K}(z, \mathbf{x}_m) & \mathbf{K}(z, \mathbf{x}_1) & \dots & \mathbf{K}(z, \mathbf{x}_{m-2}) \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ \mathbf{K}(z, \mathbf{x}_2) & \mathbf{K}(z, \mathbf{x}_3) & \mathbf{K}(z, \mathbf{x}_4) & \dots & \mathbf{K}(z, \mathbf{x}_1) \end{bmatrix} = C(\mathbf{k}^{xz}) = F \text{diag}(\mathcal{F}(\mathbf{k}^{xz})) F^H$$

和第10页CSK的推导是完全相同的，核矩阵也是一致的。



# KCF 多通道特征

```
function x = get_features(im, features, cell_size, cos_window)
```

```
if features.hog,
    %HOG features, from Piotr's Toolbox
    x = double(fhog(single(im) / 255, cell_size, features.hog_orientations));
    x(:, :, end) = []; %remove all-zeros channel ("truncation feature")
end

if features.gray,
    %gray-level (scalar feature)
    x = double(im) / 255;

    x = x - mean(x(:));
end

%process with cosine window if needed
if ~isempty(cos_window),
    x = bsxfun(@times, x, cos_window);
end
```

```
end
```

```
function H = fhog( I, binSize, nOrients, clip, crop )
```

```
if( nargin<2 ), binSize=8; end
if( nargin<3 ), nOrients=9; end
if( nargin<4 ), clip=.2; end
if( nargin<5 ), crop=0; end

softBin = -1; useHog = 2; b = binSize;

[M,O]=gradientMex('gradientMag',I,0,1);

H = gradientMex('gradientHist',M,O,binSize,nOrients,softBin,useHog,clip);

if( crop ), e=mod(size(I),b)<b/2; H=H(2:end-e(1),2:end-e(2),:); end

end
```

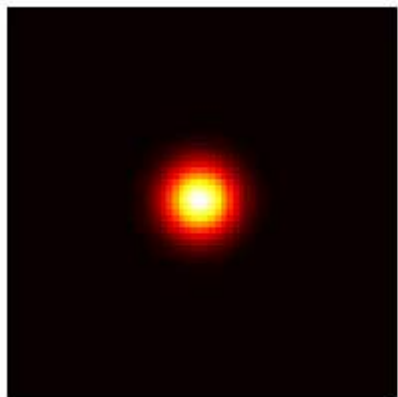
- KCF相比CSK的区别主要在于特征的选取不同：CSK以原始像素为特征；KCF以HOG/Gray为特征。
- [HOG](#)是 $3 * nOrients + 5 = 32$ 维的特征，也就是有32个通道。将每个cell的HOG特征并起来，那么一幅图像得到的结果就是一个立体块。
- 假设划分cell的结果是 $m \times n$ ，那么HOG提取结果就是 $m \times n \times 31$ （去掉了全零的通道）。通过cell的位移来获得样本，这样对应的就是每一通道对应位置的移位，所有样本的第 $l$ 通道都是由生成图像的第 $l$ 通道移位获得的。

# KCF

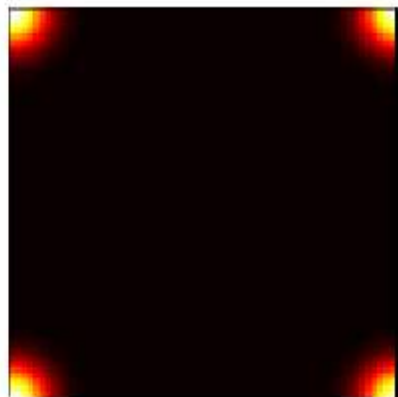
```
%store pre-computed cosine window  
cos_window = hann(size(yf,1)) * hann(size(yf,2))';
```



- ①输入的patch (原始像素/提取的特征) 首先会被余弦窗加权处理, 消除图像边缘的不连续处
- ②跟踪区域是目标大小的2.5倍, 为了提供额外的负样本
- ③训练样本由base sample通过循环移位得到
- ④中心目标的y值为1, 其他样本的y值则平缓的衰减到0



(a)



(b)

Figure 6: Regression targets  $y$ , following a Gaussian function with spatial bandwidth  $s$  (white indicates a value of 1, black a value of 0). (a) Placing the peak in the middle will unnecessarily cause the detection output to be shifted by half a window (discussed in Section A.1). (b) Placing the peak at the top-left element (and wrapping around) correctly centers the detection output.

## Inputs

- $x$ : training image patch,  $m \times n \times c$  通道数
- $y$ : regression target, Gaussian-shaped,  $m \times n$
- $z$ : test image patch,  $m \times n \times c$

## Output

- responses: detection score for each location,  $m \times n$

```
function alphaf = train(x, y, sigma, lambda)  
    k = kernel_correlation(x, x, sigma);  
    alphaf = fft2(y) ./ (fft2(k) + lambda);  
end  
  
function responses = detect(alphaf, x, z, sigma)  
    k = kernel_correlation(z, x, sigma);  
    responses = real(ifft2(alphaf .* fft2(k)));  
end  
  
function k = kernel_correlation(x1, x2, sigma)  
    c = ifft2(sum(conj(fft2(x1)) .* fft2(x2), 3));  
    d = x1(:)' * x1(:) + x2(:)' * x2(:) - 2 * c;  
    k = exp(-1 / sigma^2 * abs(d) / numel(d));  
end
```

# KCF 程序主体部分

```
for frame = 1:numel(img_files),
    %load image
    im = imread([video_path img_files{frame}]);
    if size(im,3) > 1,
        im = rgb2gray(im);
    end
    if resize_image,
        im = imresize(im, 0.5);
    end
    tic()
```

相比CSK的区别：引入了HOG特征

论文中响应的表达形式

$$\hat{f}(z) = \hat{k}^{xz} \odot \hat{\alpha}.$$

```
if frame > 1,
    %obtain a subwindow for detection at the position from last
    %frame, and convert to Fourier domain (its size is unchanged)
    patch = get_subwindow(im, pos, window_sz);
    zf = fft2(get_features(patch, features, cell_size, cos_window));

    %calculate response of the classifier at all shifts
    switch kernel.type
    case 'gaussian',
        kzf = gaussian_correlation(zf, model_xf, kernel.sigma);
    case 'polynomial',
        kzf = polynomial_correlation(zf, model_xf, kernel.poly_a, kernel.poly_b);
    case 'linear',
        kzf = linear_correlation(zf, model_xf);
    end
    response = real(ifft2(model_alphaf .* kzf)); %equation for fast detection

    %target location is at the maximum response. we must take into
    %account the fact that, if the target doesn't move, the peak
    %will appear at the top-left corner, not at the center (this is
    %discussed in the paper). the responses wrap around cyclically.
    [vert_delta, horiz_delta] = find(response == max(response(:)), 1) 找到响应最大的位置
    if vert_delta > size(zf,1) / 2, %wrap around to negative half-space of vertical axis
        vert_delta = vert_delta - size(zf,1);
    end
    if horiz_delta > size(zf,2) / 2, %same for horizontal axis
        horiz_delta = horiz_delta - size(zf,2);
    end
    pos = pos + cell_size * [vert_delta - 1, horiz_delta - 1];
end
```

用训练好的滤波器更新位置

```
%obtain a subwindow for training at newly estimated target position
patch = get_subwindow(im, pos, window_sz);
xf = fft2(get_features(patch, features, cell_size, cos_window));
```

```
%Kernel Ridge Regression, calculate alphas (in Fourier domain)
switch kernel.type
case 'gaussian',
    kf = gaussian_correlation(xf, xf, kernel.sigma); 通过第一帧训练滤波器
case 'polynomial',
    kf = polynomial_correlation(xf, xf, kernel.poly_a, kernel.poly_b);
case 'linear',
    kf = linear_correlation(xf, xf);
end
alphaf = yf ./ (kf + lambda); %equation for fast training
```

$$\hat{\alpha} = \frac{\hat{y}}{\hat{k}^{xx} + \lambda}$$

```
if frame == 1, %first frame, train with a single image
    model_alphaf = alphaf;
    model_xf = xf;
else
    %subsequent frames, interpolate model
    model_alphaf = (1 - interp_factor) * model_alphaf + interp_factor * alphaf;
    model_xf = (1 - interp_factor) * model_xf + interp_factor * xf;
end
```

滤波器更新

```
%save position and timing
positions(frame,:) = pos;
time = time + toc();
```

```
%visualization
if show_visualization,
    box = [pos([2,1]) - target_sz([2,1])/2, target_sz([2,1])];
    stop = update_visualization(frame, box);
    if stop, break, end %user pressed Esc, stop early

    drawnow
    pause(0.05) %uncomment to run slower
end
```

end



# KCF 程序主要流程

- Get Subwindow
- **Get features(hog/gray)**
- $K^{gauss} / K^{polynomial} / K^{linear}$
- $\text{alphaf}(\hat{\alpha}) = \frac{\mathcal{F}(y)}{\mathcal{F}(k^{xx}) + \lambda}$
- $\text{model\_alphaf} \leftarrow \text{alphaf};$
- $\text{model\_xf} \leftarrow \text{xf};$

1<sup>st</sup> frame

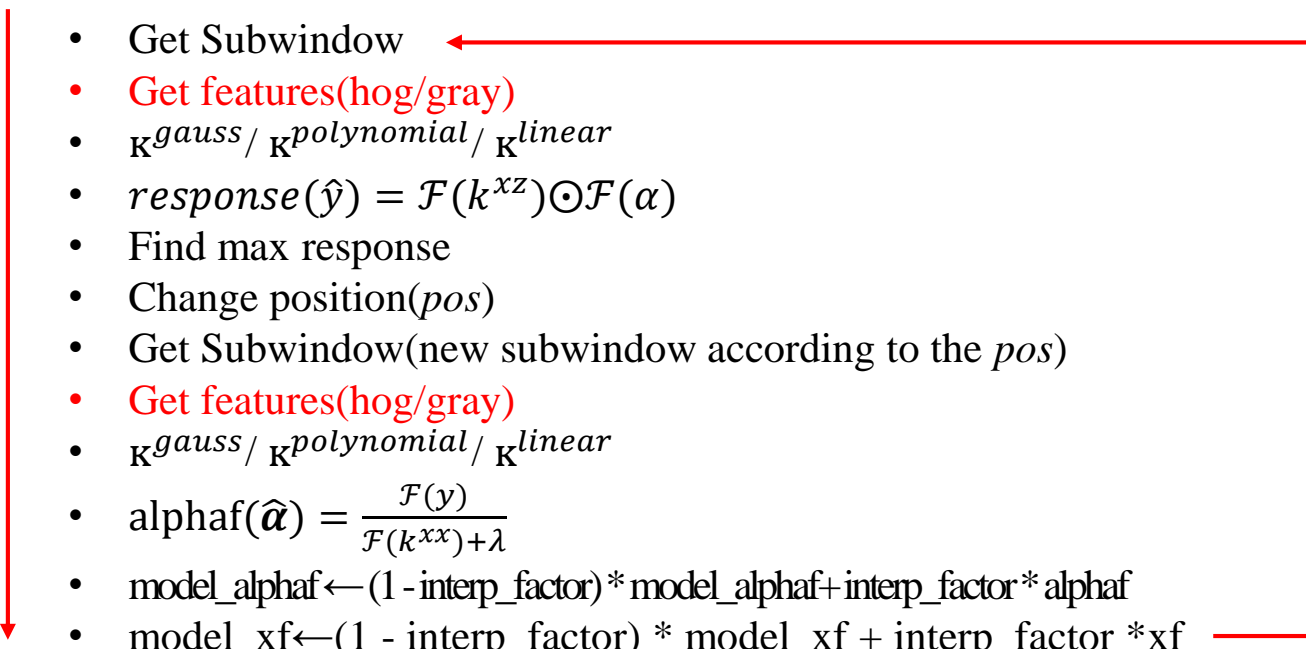
- Get Subwindow
- **Get features(hog/gray)**
- $K^{gauss} / K^{polynomial} / K^{linear}$
- $\text{response}(\hat{y}) = \mathcal{F}(k^{xz}) \odot \mathcal{F}(\alpha)$
- Find max response
- Change position( $pos$ )
- Get Subwindow(new subwindow according to the  $pos$ )
- **Get features(hog/gray)**
- $K^{gauss} / K^{polynomial} / K^{linear}$
- $\text{alphaf}(\hat{\alpha}) = \frac{\mathcal{F}(y)}{\mathcal{F}(k^{xx}) + \lambda}$
- $\text{model\_alphaf} \leftarrow (1 - \text{interp\_factor}) * \text{model\_alphaf} + \text{interp\_factor} * \text{alphaf}$
- $\text{model\_xf} \leftarrow (1 - \text{interp\_factor}) * \text{model\_xf} + \text{interp\_factor} * \text{xf}$

2<sup>nd</sup>, 3<sup>rd</sup>, 4<sup>th</sup> ..... frame



# KCF 程序主要流程

- Get Subwindow
- **Get features(hog/gray)**
- $K^{gauss} / K^{polynomial} / K^{linear}$
- $\text{alphaf}(\hat{\alpha}) = \frac{\mathcal{F}(y)}{\mathcal{F}(k^{xx}) + \lambda}$
- $\text{model\_alphaf} \leftarrow \text{alphaf};$
- $\text{model\_xf} \leftarrow \text{xf};$

- 
- Get Subwindow
  - **Get features(hog/gray)**
  - $K^{gauss} / K^{polynomial} / K^{linear}$
  - $\text{response}(\hat{y}) = \mathcal{F}(k^{xz}) \odot \mathcal{F}(\alpha)$
  - Find max response
  - Change position(pos)
  - Get Subwindow(new subwindow according to the pos)
  - **Get features(hog/gray)**
  - $K^{gauss} / K^{polynomial} / K^{linear}$
  - $\text{alphaf}(\hat{\alpha}) = \frac{\mathcal{F}(y)}{\mathcal{F}(k^{xx}) + \lambda}$
  - $\text{model\_alphaf} \leftarrow (1 - \text{interp\_factor}) * \text{model\_alphaf} + \text{interp\_factor} * \text{alphaf}$
  - $\text{model\_xf} \leftarrow (1 - \text{interp\_factor}) * \text{model\_xf} + \text{interp\_factor} * \text{xf}$

获取子窗口

**获取子窗口特征**

计算核函数

通过核函数和已知响应计算 $\alpha$

给model\_alphaf赋值

给model\_xf赋值

再次获取子窗口

**获取子窗口特征**

计算核函数

通过前一帧训练出来的 $\alpha$ 计算所有位置的响应y

找到最大的响应

根据最大响应获取新位置

根据新位置重新获取子窗口

**获取子窗口特征**

计算核函数

更新 $\alpha$

加权更新model\_alphaf

加权更新model\_xf

# KCF 运行情况

MATLAB R2017b - academic use

主页 绘图 APP 编辑器 发布 视图

新建 打开 保存 比较 转至 注释 断点 暂停 运行并前进 运行并计时

文件 导航 编辑 断点 运行

当前文件夹: D:\暂存处\学习\SummerIntern\tracking\Trackers\PROJECTS\A8-KCF-M-joao

名称 值

data  
João F. Henriques\_files  
choose\_video.m  
download\_videos.m  
external.txt  
fhog.m  
gaussian\_correlation.m  
gaussian\_shaped\_labels.m  
get\_features.m  
get\_subwindow.m  
gradientMex.mexa64  
gradientMex.mexw64  
João F. Henriques.html  
linear\_correlation.m  
load\_video\_info.m  
polynomial\_correlation.m  
precision\_plot.m  
readme.txt  
run\_tracker.m  
show\_video.m  
tracker.m  
videofig.m

```
39 %if the target is large, lower the resolution, we don't need that much
40 %detail
41 resize_image = (sqrt(prod(target_sz)) >= 100); %diagonal size >= threshold
42 if resize_image
43     pos = floor(pos / 2);
44     target_sz = floor(target_sz / 2);
45 end
46
47
48 %window size, taking padding into account
49 window_sz = floor(target_sz * (1 + padding));
50
51 % %we could choose a size that is a power of two, for better FFT
52 % %performance. in practice it is slower, due to the larger window
53 % window_sz = 2 .* nextpow2(window_sz);
54
55
56 %create regression labels, gaussian shaped, with a bandwidth
57 %proportional to target size
58 output_sigma = sqrt(prod(target_sz)) * output_sigma_factor /
59 yf = fft2(gaussian_shaped_labels(output_sigma, floor(window_s
60
61 %store pre-computed cosine window
62 cos_window = hann(size(yf,1)) * hann(size(yf,2))';
63
64
```

Tracker - ./data/Benchmark/Dog1/img/

命令窗口

不熟悉 MATLAB? 请参阅有关快速入门的资源。

run\_tracker  
Basketball - Precision (20px): 0.923, FPS: 73.19  
>> run\_tracker

tracker.m (函数)

Kernelized/Dual Correlation Filter (KCF/DCF) tracking.

tracker(video\_path, img\_files, pos, targ...

# DSST 多通道特征

$$\textcircled{1} F = \mathcal{F}(f) \quad \leftarrow \text{Input image} \quad \textcircled{2} H = \mathcal{F}(h) \quad \leftarrow \text{filter} \quad \textcircled{3} g = f h$$

$$\textcircled{4} \varepsilon = \left| \sum_{l=1}^d f^l h^l - g \right|^2 + \lambda \sum_{l=1}^d |h^l|^2 \quad l \text{表示特征的第} l \text{维 (通道)}$$

$$\begin{aligned} \longrightarrow \textcircled{5} H^l &= \frac{\boxed{G^* F^l}}{\boxed{\sum_{k=1}^d (F^k)^* F^k} + \lambda} \quad \begin{array}{l} \longrightarrow A^l \\ \longrightarrow B \end{array} \quad \textcircled{6} A_t^l = (1 - \eta) A_{t-1}^l + \eta G^* F_t^l \\ \textcircled{7} B_t &= (1 - \eta) B_{t-1} + \eta \sum_{k=1}^d (F^k)^* F^k \end{aligned}$$

$$\longrightarrow \textcircled{8} Y_t = \frac{\sum_{l=1}^d (A_{t-1}^l)^* Z_t^l}{B_{t-1} + \lambda} \quad \text{位置估计和尺度估计都是利用} \textcircled{8}$$

# DSST 程序主体部分

```
for frame = 1:num_frames,
    %load image
    im = imread([video_path img_files{frame}]);
```

```
tic;
```

```
if frame > 1
```

```
    % extract the test sample feature map for the translation filter
    xt = get_translation_sample(im, pos, sz, currentScaleFactor, cos_window);
```

```
    % calculate the correlation response of the translation filter
    xtf = fft2(xt);
    response = real(ifft2(sum(hf_num .* xtf, 3) ./ (hf_den + lambda)));
```

```
    % find the maximum translation response
    [row, col] = find(response == max(response(:)), 1);
```

```
    % update the position
    pos = pos + round((-sz/2 + [row, col]) * currentScaleFactor);
```

用训练好的位置  
滤波器更新位置

```
    % extract the test sample feature map for the scale filter
    xs = get_scale_sample(im, pos, base_target_sz, currentScaleFactor * scaleFactors, scale_window, scale_model_sz);
```

```
    % calculate the correlation response of the scale filter
    xsf = fft2(xs, [1, 2]);
    scale_response = real(ifft2(sum(sf_num .* xsf, 1) ./ (sf_den + lambda)));
```

```
    % find the maximum scale response
    recovered_scale = find(scale_response == max(scale_response(:)), 1);
```

```
    % update the scale
    currentScaleFactor = currentScaleFactor * scaleFactors(recovered_scale);
    if currentScaleFactor < min_scale_factor
        currentScaleFactor = min_scale_factor;
    elseif currentScaleFactor > max_scale_factor
        currentScaleFactor = max_scale_factor;
    end
```

用训练好的尺度  
滤波器更新尺度

```
% extract the training sample feature map for the translation filter
xl = get_translation_sample(im, pos, sz, currentScaleFactor, cos_window);
```

```
% calculate the translation filter
xlf = fft2(xl);
new_hf_num = bsxfun(@times, yf, conj(xlf));
new_hf_den = sum(xlf .* conj(xlf), 3);
```

```
% extract the training sample feature map for the scale filter
xs = get_scale_sample(im, pos, base_target_sz, currentScaleFactor * scaleFactors, scale_window, scale_model_sz);
```

$$\overline{G} F_t^l$$

$$\sum_{k=1}^d \overline{F}_t^k F_t^k$$

通过第一帧训练  
位置滤波器

```
% calculate the scale filter
xsf = fft(xs, [1, 2]);
new_sf_num = bsxfun(@times, ysf, conj(xsf));
new_sf_den = sum(xsf .* conj(xsf), 1);
```

```
if frame == 1
```

```
    % first frame, train with a single image
```

```
    hf_den = new_hf_den;
    hf_num = new_hf_num;
```

```
    sf_den = new_sf_den;
    sf_num = new_sf_num;
```

```
else
```

```
    % subsequent frames, update the model
```

```
    hf_den = (1 - learning_rate) * hf_den + learning_rate * new_hf_den;
    hf_num = (1 - learning_rate) * hf_num + learning_rate * new_hf_num;
    sf_den = (1 - learning_rate) * sf_den + learning_rate * new_sf_den;
    sf_num = (1 - learning_rate) * sf_num + learning_rate * new_sf_num;
```

```
end
```

```
% calculate the new target size
```

```
target_sz = floor(base_target_sz * currentScaleFactor);
```

```
%save position
```

```
positions(frame,:) = [pos target_sz];
```

```
time = time + toc;
```

```
%visualization
```

```
if visualization == 1
```

```
    rect_position = [pos([2,1]) - target_sz([2,1])/2, target_sz([2,1])];
```

```
    if frame == 1, %first frame, create GUI
```

```
        figure('Name', ['Tracker - ' video_path]);
```

```
        im_handle = imshow(uint8(im), 'Border','tight', 'InitialMag', 100 + 100 * (length(im) < 500));
```

```
        rect_handle = rectangle('Position', rect_position, 'EdgeColor','g');
```

```
        text_handle = text(10, 10, int2str(frame));
```

```
        set(text_handle, 'color', [0 1 1]);
```

```
    else
```

```
        try %subsequent frames, update GUI
```

```
            set(im_handle, 'CData', im)
```

```
            set(rect_handle, 'Position', rect_position)
```

```
            set(text_handle, 'string', int2str(frame));
```

```
        catch
```

```
            return
```

```
        end
```

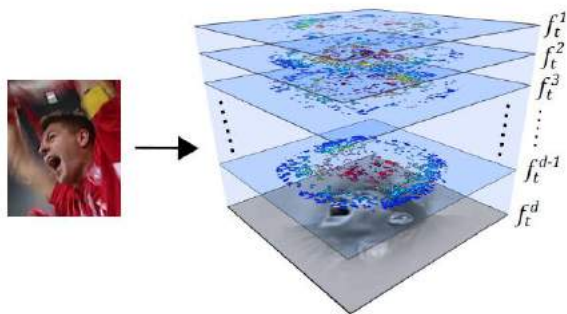
```
    end
```

```
drawnow
```

```
pause
```

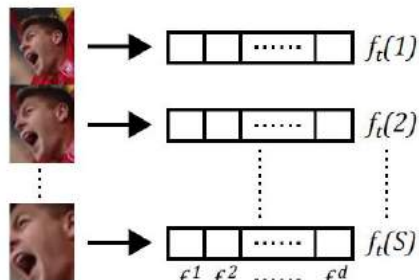
滤波器更新

# DSST



(a) Translation filter sample.

位置过滤样本 (d维)



(b) Scale filter sample.

尺度过滤样本 (d维)

初始化目标的预期高斯输出G

以目标旧位置为中心，采集一个尺寸为目标大小2倍大小的样本z

样本中每个像素点计算28维融合特征（1维原始灰度特征+27维fhog特征），乘以余弦窗作为输入z

$$Y_t = \frac{\sum_{l=1}^d (A_{t-1}^l)^* Z_t^l}{B_{t-1} + \lambda}$$

求max(y)得到目标新位置/新尺度

初始化目标的预期高斯输出G

以目标新位置为中心，提取33中不同尺度下的样本z

把每个样本resize成固定尺寸，分别提取31维fhog特征，每个样本的所有fhog特征再串联成一个特征向量构成33层金字塔特征，乘以1维hann窗后作为测试输出z

$$Y_t = \frac{\sum_{l=1}^d (A_{t-1}^l)^* Z_t^l}{B_{t-1} + \lambda}$$

**Input:**

Image  $I_t$ .

Previous target position  $p_{t-1}$  and scale  $s_{t-1}$ .

Translation model  $A_{t-1,trans}, B_{t-1,trans}$ .

Scale model  $A_{t-1,scale}, B_{t-1,scale}$ .

**Output:**

Estimated target position  $p_t$  and scale  $s_t$ .

Updated translation model  $A_{t,trans}, B_{t,trans}$ .

Updated scale model  $A_{t,scale}, B_{t,scale}$ .

**Translation estimation:**

- 1: Extract sample  $z_{t,trans}$  from  $I_t$  at  $p_{t-1}$  and  $s_{t-1}$ .
- 2: Compute correlation scores  $y_{t,trans}$  using (4).
- 3: Set  $p_t$  to the target position that maximizes  $y_{t,trans}$ .

**Scale estimation:**

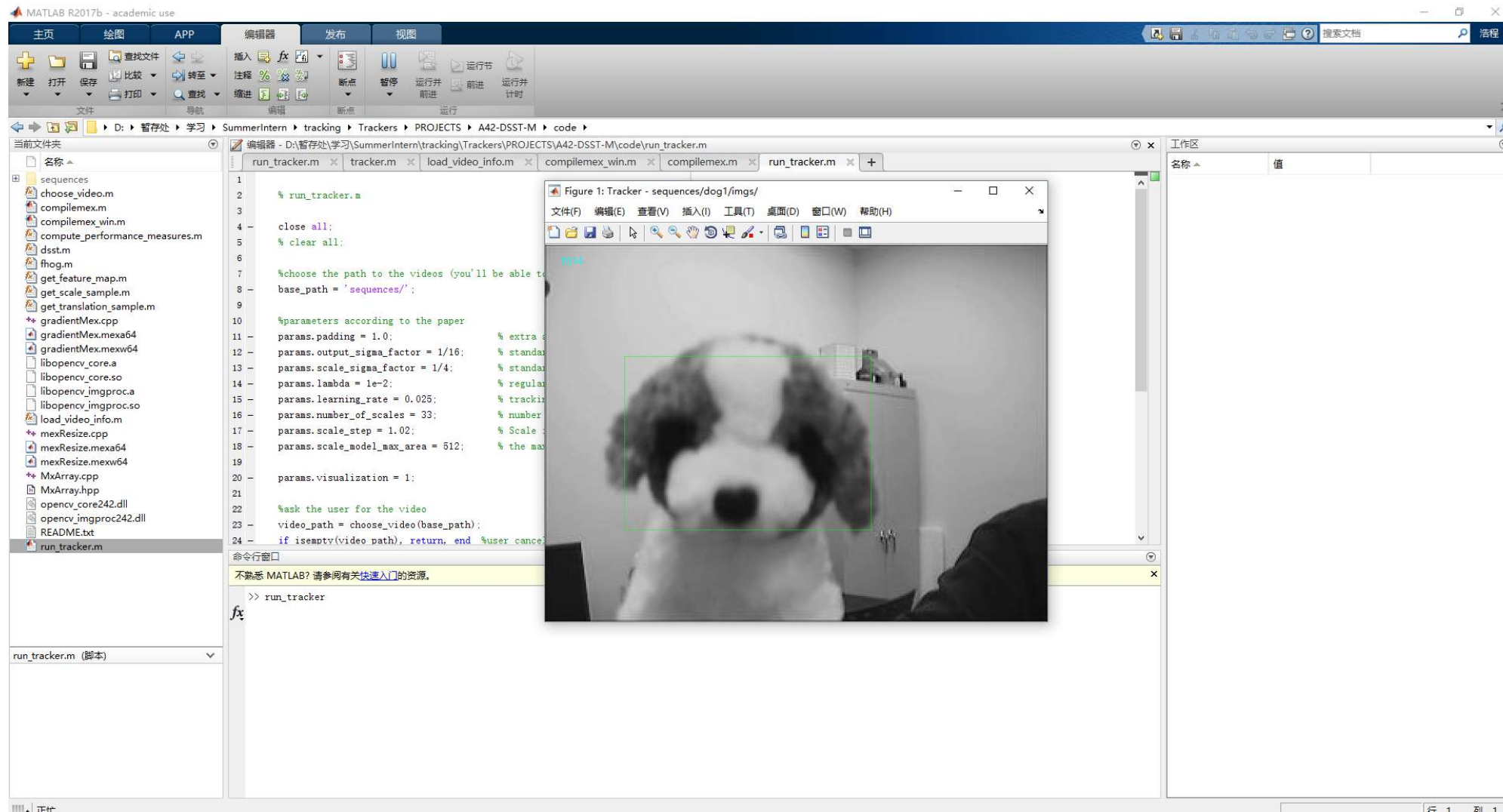
- 4: Extract sample  $z_{t,scale}$  from  $I_t$  at  $p_t$  and  $s_{t-1}$ .
- 5: Compute correlation scores  $y_{t,scale}$  using (4).
- 6: Set  $s_t$  to the target scale that maximizes  $y_{t,scale}$ .

**Model update:**

- 7: Extract samples  $f_{t,trans}$  and  $f_{t,scale}$  from  $I_t$  at  $p_t$  and  $s_t$ .
- 8: Update the translation model  $A_{t,trans}, B_{t,trans}$  using (3).
- 9: Update the scale model  $A_{t,scale}, B_{t,scale}$  using (3).



# DSST运行情况



# Correlation Filter 特点

MOSSE	=	相关滤波	+	单通道灰度特征	
CSK	=	MOSSE	+	RBF/高斯核函数	+ 循环移位稠密采样
DCF	=	CSK(线性核函数)	+	多通道HOG特征	
KCF	=	CSK	+	多通道HOG特征	
DSST	=	MOSSE	+	尺度更新	

# MDNet

“Training CNNs is even more difficult since the same kind of objects can be considered as a target in a sequence and as a background object in another. Due to such variations and inconsistencies across sequences, we believe that the ordinary learning methods based on the standard classification task are not appropriate, and another approach to capture sequence-independent information should be incorporated for better representations for tracking.”

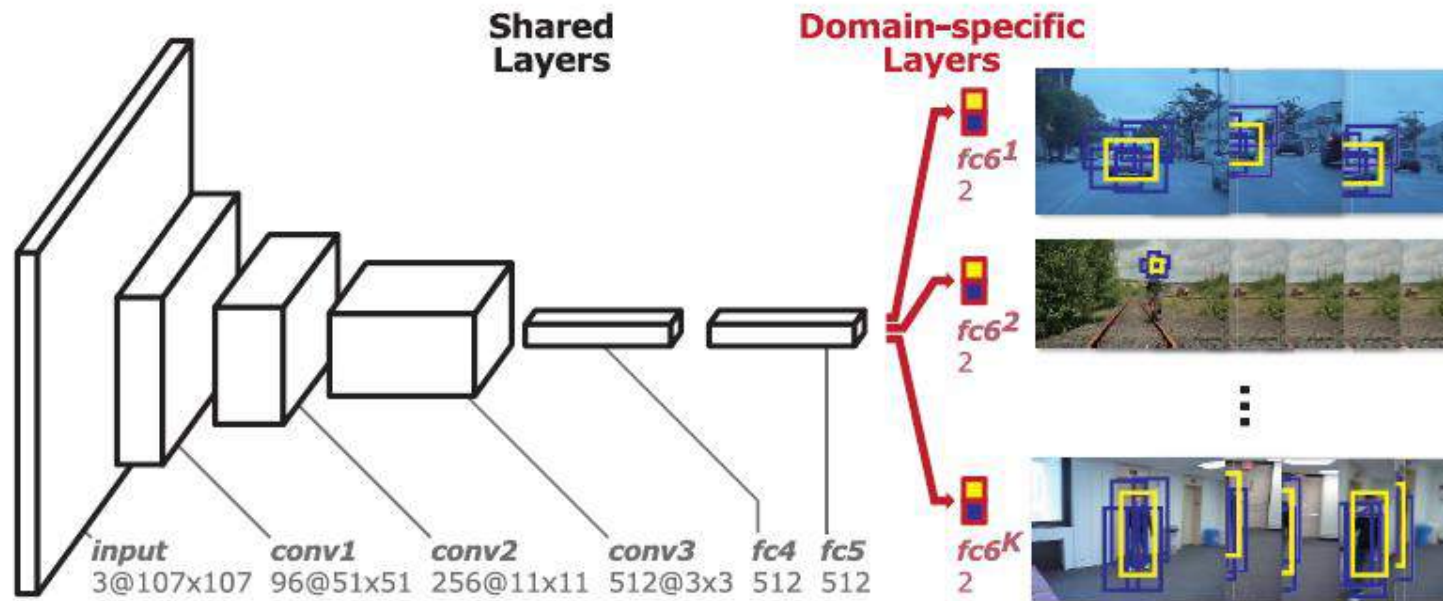


Figure 1: The architecture of our Multi-Domain Network, which consists of shared layers and  $K$  branches of domain-specific layers. Yellow and blue bounding boxes denote the positive and negative samples in each domain, respectively.



# MDNet

## Bounding box regression

N target candidates  $\mathbf{x}^1, \dots, \mathbf{x}^N$  are sampled around the previous target state. The optimal target state  $\mathbf{x}^*$  is given by finding the example with the maximum positive score.

$$\mathbf{x}^* = \arg \max_{\mathbf{x}^i} f^+(\mathbf{x}^i)$$

Positive score

If the quantity of samples exceeds capacity, then discard the old ones.

Full connected layers

## Algorithm 1 Online tracking algorithm

**Input** : Pretrained CNN filters  $\{\mathbf{w}_1, \dots, \mathbf{w}_5\}$

Initial target state  $\mathbf{x}_1$

**Output**: Estimated target states  $\mathbf{x}_t^*$

- 1: Randomly initialize the last layer  $\mathbf{w}_6$ .
- 2: Train a bounding box regression model.
- 3: Draw positive samples  $S_1^+$  and negative samples  $S_1^-$ .
- 4: Update  $\{\mathbf{w}_4, \mathbf{w}_5, \mathbf{w}_6\}$  using  $S_1^+$  and  $S_1^-$ ;
- 5:  $\mathcal{T}_s \leftarrow \{1\}$  and  $\mathcal{T}_l \leftarrow \{1\}$ .
- 6: **repeat**
- 7:   Draw target candidate samples  $\mathbf{x}_t^i$ .
- 8:   Find the optimal target state  $\mathbf{x}_t^*$  by Eq. (1).
- 9:   **if**  $f^+(\mathbf{x}_t^*) > 0.5$  **then**
- 10:     Draw training samples  $S_t^+$  and  $S_t^-$ .
- 11:      $\mathcal{T}_s \leftarrow \mathcal{T}_s \cup \{t\}$ ,  $\mathcal{T}_l \leftarrow \mathcal{T}_l \cup \{t\}$ .
- 12:     **if**  $|\mathcal{T}_s| > \tau_s$  **then**  $\mathcal{T}_s \leftarrow \mathcal{T}_s \setminus \{\min_{v \in \mathcal{T}_s} v\}$ .
- 13:     **if**  $|\mathcal{T}_l| > \tau_l$  **then**  $\mathcal{T}_l \leftarrow \mathcal{T}_l \setminus \{\min_{v \in \mathcal{T}_l} v\}$ .
- 14:     Adjust  $\mathbf{x}_t^*$  using bounding box regression.
- 15:     **if**  $f^+(\mathbf{x}_t^*) < 0.5$  **then**
- 16:       Update  $\{\mathbf{w}_4, \mathbf{w}_5, \mathbf{w}_6\}$  using  $S_{v \in \mathcal{T}_s}^+$  and  $S_{v \in \mathcal{T}_s}^-$ .
- 17:     **else if**  $t \bmod 10 = 0$  **then**
- 18:       Update  $\{\mathbf{w}_4, \mathbf{w}_5, \mathbf{w}_6\}$  using  $S_{v \in \mathcal{T}_l}^+$  and  $S_{v \in \mathcal{T}_s}^-$ .
- 19: **until** end of sequence

# MDNet 程序主体部分

```
%% Main loop
for To = 2:nFrames;
    fprintf('Processing frame %d/%d... ', To, nFrames);

    img = imread(images{To});
    if(size(img,3)==1), img = cat(3,img,img,img); end

    spf = tic;
    %% Estimation
    % draw target candidates
    samples = gen_samples('gaussian', targetLoc, opts.nSamples, opts, trans_f, scale_f);
    feat_conv = mdnet_features_convX(net_conv, img, samples, opts);

    % evaluate the candidates
    feat_fc = mdnet_features_fcX(net_fc, feat_conv, opts);
    feat_fc = squeeze(feat_fc)';
    [scores,idx] = sort(feat_fc(:,2),'descend');
    target_score = mean(scores(1:5));
    targetLoc = round(mean(samples(idx(1:5),:)));

    % final target
    result(To,:) = targetLoc;

    % extend search space in case of failure
    if(target_score<0)
        trans_f = min(1.5, 1.1*trans_f);
    else
        trans_f = opts.trans_f;
    end

    % bbox regression
    if(opts.bbreg && target_score>0)
        X_ = permute(gather(feat_conv(:,:,,idx(1:5))),[4,3,1,2]);
        X_ = X_(:,:,);
        bbox_ = samples(idx(1:5),:);
        pred_boxes = predict_bbox_regressor(bbox_reg.model, X_, bbox_);
        result(To,:) = round(mean(pred_boxes,1));
    end

    %% Prepare training data
    if(target_score>0)
        pos_examples = gen_samples('gaussian', targetLoc, opts.nPos_update*2, opts, 0.1, 5);
        r = overlap_ratio(pos_examples,targetLoc);
        pos_examples = pos_examples(r>opts.posThr_update,:);
        pos_examples = pos_examples(randsample(end,min(opts.nPos_update,end)),:);

        neg_examples = gen_samples('uniform', targetLoc, opts.nNeg_update*2, opts, 2, 5);
        r = overlap_ratio(neg_examples,targetLoc);
        neg_examples = neg_examples(r<opts.negThr_update,:);
        neg_examples = neg_examples(randsample(end,min(opts.nNeg_update,end)),:);
    end
end
```

Bounding box  
regression

# MDNet 程序主体部分

```
examples = [pos_examples; neg_examples];
pos_idx = 1:size(pos_examples,1);
neg_idx = (1:size(neg_examples,1)) + size(pos_examples,1);

feat_conv = mdnet_features_convX(net_conv, img, examples, opts);
total_pos_data{To} = feat_conv(:,:,pos_idx);
total_neg_data{To} = feat_conv(:,:,neg_idx);

success_frames = [success_frames, To];
if(numel(success_frames)>opts.nFrames_long)
    total_pos_data{success_frames(end-opts.nFrames_long)} = single([]);
end
if(numel(success_frames)>opts.nFrames_short)
    total_neg_data{success_frames(end-opts.nFrames_short)} = single([]);
end
else
    total_pos_data{To} = single([]);
    total_neg_data{To} = single([]);
end

%% Network update
if((mod(To,opts.update_interval)==0 || target_score<0) && To~=nFrames)
    if (target_score<0) % short-term update
        pos_data = cell2mat(total_pos_data(success_frames(max(1,end-opts.nFrames_short+1):end)));
    else % long-term update
        pos_data = cell2mat(total_pos_data(success_frames(max(1,end-opts.nFrames_long+1):end)));
    end
    neg_data = cell2mat(total_neg_data(success_frames(max(1,end-opts.nFrames_short+1):end)));

    fprintf('\n');
    [net_fc] = mdnet_finetune_hnm(net_fc,pos_data,neg_data,opts,...
        'maxiter',opts.maxiter_update,'learningRate',opts.learningRate_update);
end

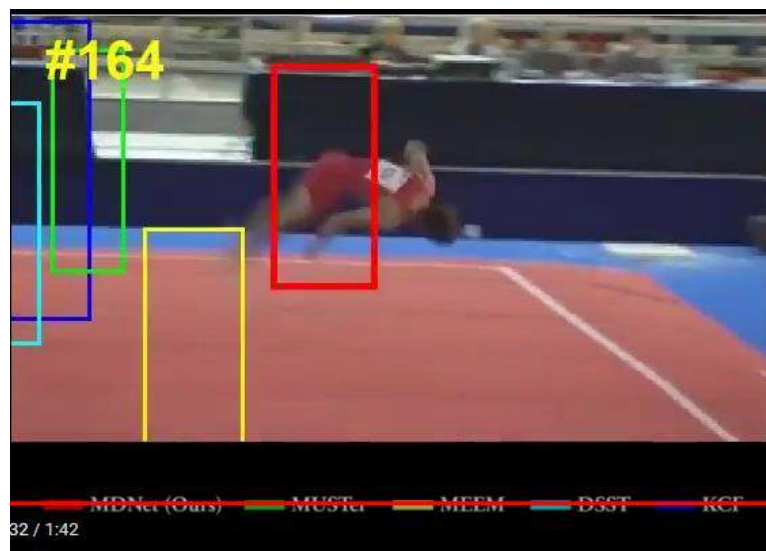
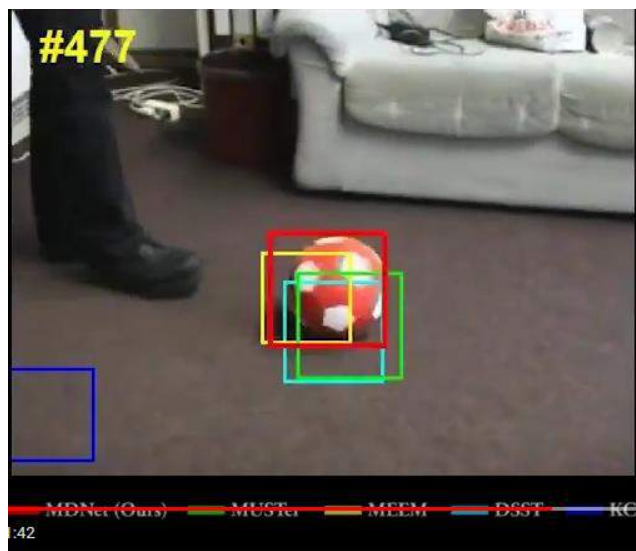
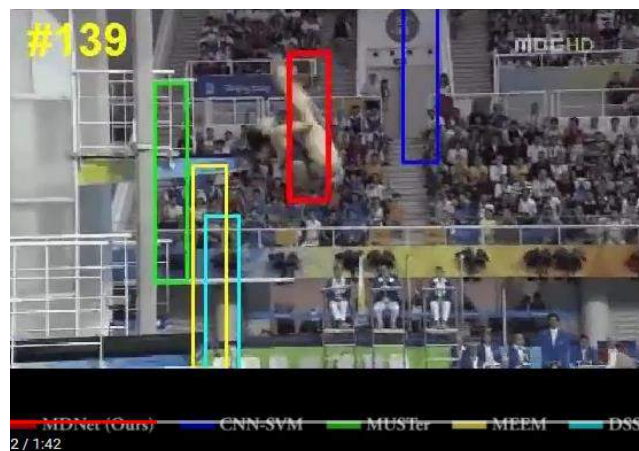
spf = toc(spf);
fprintf('%f seconds\n',spf);

%% Display
if display
    hc = get(gca, 'Children'); delete(hc(1:end-1));
    set(hd,'cdata',img); hold on;

    rectangle('Position', result(To,:), 'EdgeColor', [1 0 0], 'Linewidth', 3);
    set(gca,'position',[0 0 1 1]);

    text(10,10,num2str(To),'Color','y', 'HorizontalAlignment', 'left', 'FontWeight','bold', 'FontSize', 30);
    hold off;
    drawnow;
end
end
```

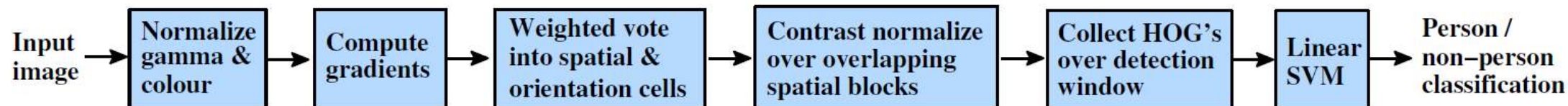
# MDNet 运行情况



1. KCF和DSST对于形变程度大的目标——跳水、体操运动员（或者说帧与帧之间变化较大），跟踪效果不是很好；
2. KCF和DSST等跟踪器在跟丢目标之后就无法再继续跟踪了；
3. DSST在human5 sequence中也发生了漂移，跟丢后也没能恢复跟踪；
4. 左上角bolt sequence中除了MDNet的其他跟踪器都跟错了目标，其原因很有可能是有其他与target非常相似的对象对跟踪器造成了干扰；
5. MDNet跟踪框可以根据目标尺度的变化改变大小



# HOG single channel



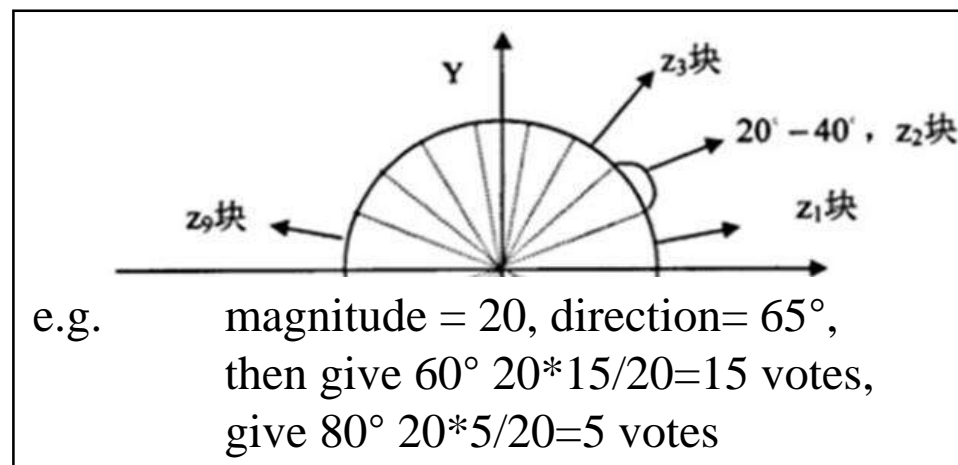
	$(x, y-1)$	
$(x-1, y)$	$(x, y)$	$(x+1, y)$
	$(x, y+1)$	

$$G_x(x, y) = H(x + 1, y) - H(x - 1, y)$$

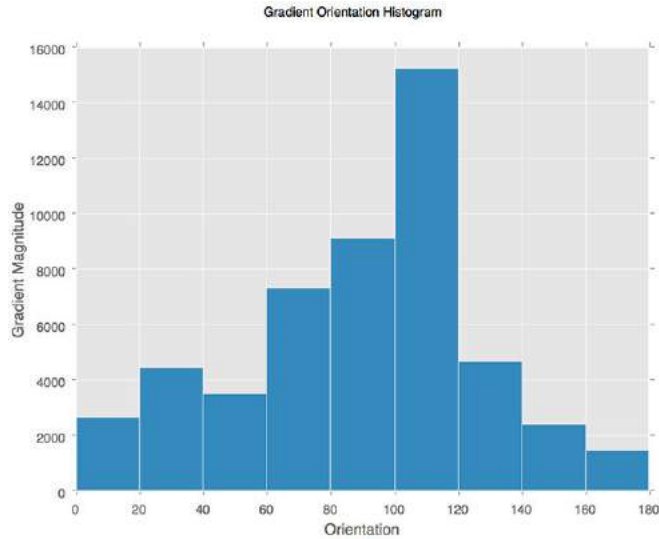
$$G_y(x, y) = H(x, y + 1) - H(x, y - 1)$$

$$\text{magnitude: } G(x, y) = \sqrt{G_x(x, y)^2 + G_y(x, y)^2}$$

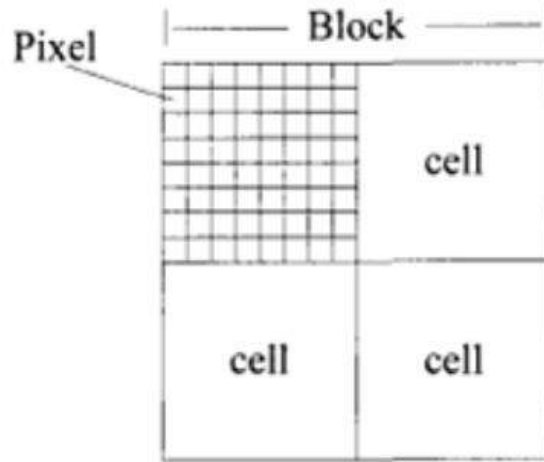
$$\text{direction: } \theta(x, y) = \tan^{-1} \frac{G_y(x, y)}{G_x(x, y)}$$



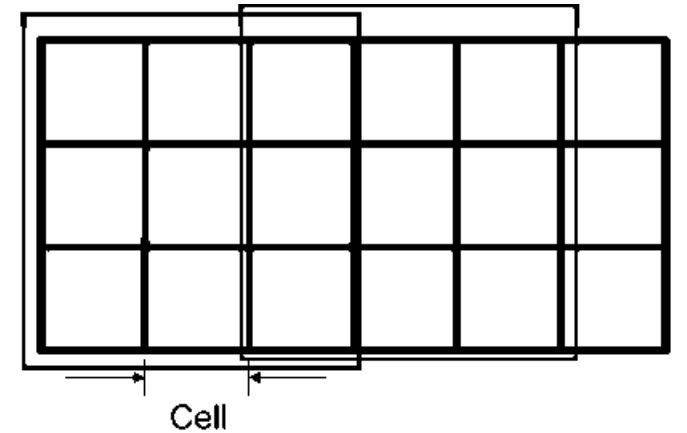
# HOG single channel



every cell has a histogram with 9 bins  
so we can get a 9-d vector for every cell



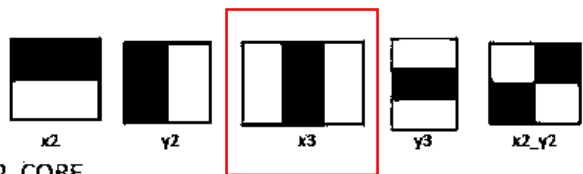
every block has 4 cells  
so we can get a 4\*9-d vector for every block



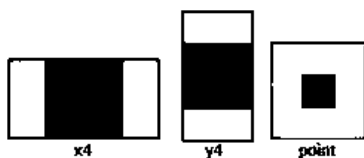
Assume the size of an image, a cell and a block are 64\*128(pixel), 8\*8(pixel) and 2\*2 (cell) respectively. The step of block is 1 (cell). So the image has a feature vector with  $(64/8-1)*(128/8-1)*4*9=3780$  dimensions.

# Haar

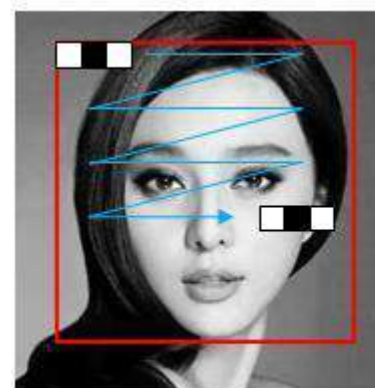
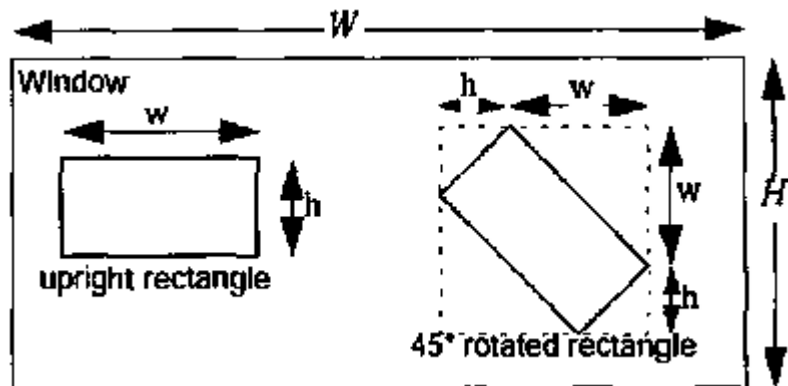
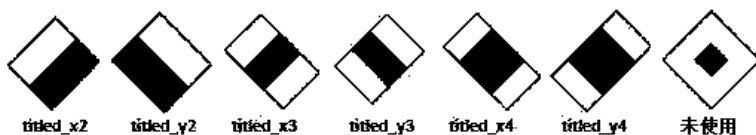
## 1. BASIC



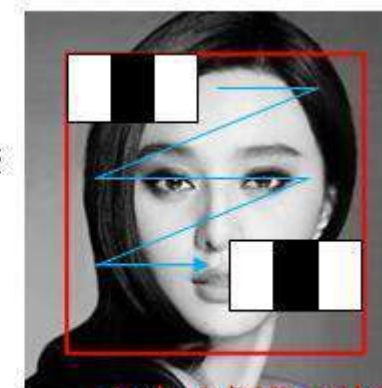
## 2. CORE



## 3. ALL(Titled)



特征平移+放大  
黑白面积比不变



红色方框代表检测窗口

The dimension of X3 feature in vertical direction

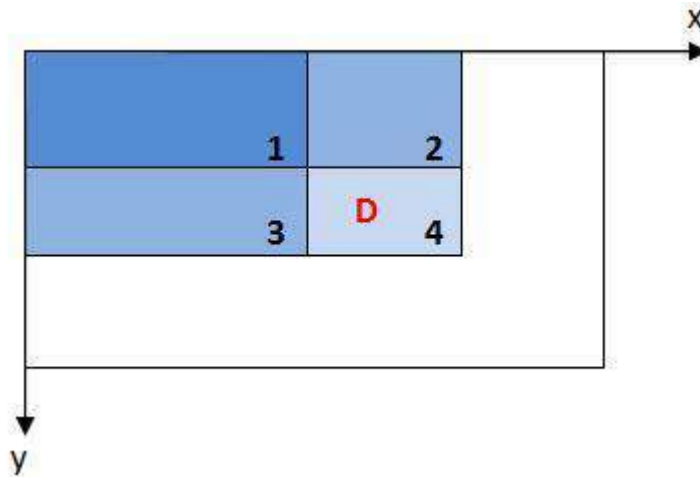
$$(H - h + 1) + (H - 2h + 1) + (H - 3h + 1) + \dots + (H - Y * h + 1) = Y[H + 1 - h(1 + Y)/2]$$

# Haar

$$featureValue(x) = weight_{all} \times \sum_{pixel \in all} pixel + weight_{black} \times \sum_{pixel \in black} pixel$$

$$sum(D) = sum(x_4, y_4) - sum(x_3, y_3) - sum(x_2, y_2) + sum(x_1, y_1)$$

Integral image





# Least squares method

$$\textcircled{1} y_i = \sum_{j=1}^n X_{ij} w_j \quad (i = 1, 2, 3, \dots, m)$$

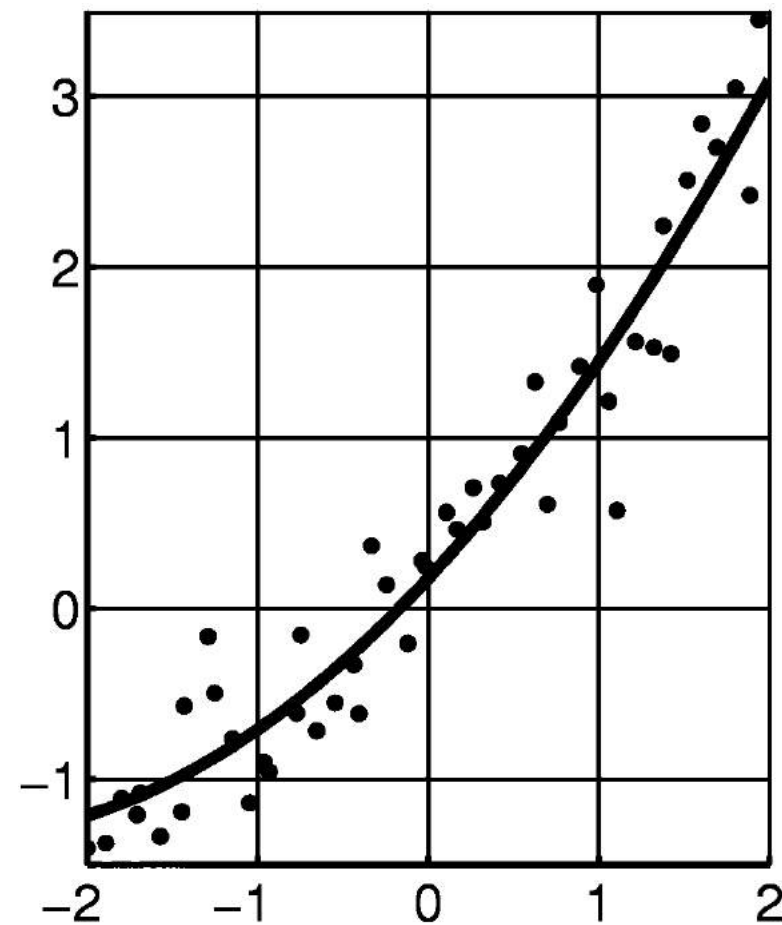
$$\textcircled{2} \mathbf{y} = X \cdot \mathbf{w}$$

$$X = \begin{bmatrix} X_{11} & X_{12} & \dots & X_{1n} \\ X_{21} & X_{22} & \dots & X_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ X_{m1} & X_{m1} & \dots & X_{mn} \end{bmatrix}, \quad \mathbf{w} = \begin{bmatrix} w_1 \\ w_2 \\ \vdots \\ w_n \end{bmatrix}, \quad \mathbf{y} = \begin{bmatrix} y_1 \\ y_2 \\ \vdots \\ y_n \end{bmatrix}$$

$$\textcircled{3} \hat{\mathbf{w}} = \arg \min_{\mathbf{w}} \|X \cdot \mathbf{w} - \mathbf{y}\|^2$$

$$\textcircled{4} \hat{\mathbf{w}} = (X^T X)^{-1} X^T \mathbf{y}$$

当 $X^T X$ 为满秩矩阵或正定矩阵时，可得④式

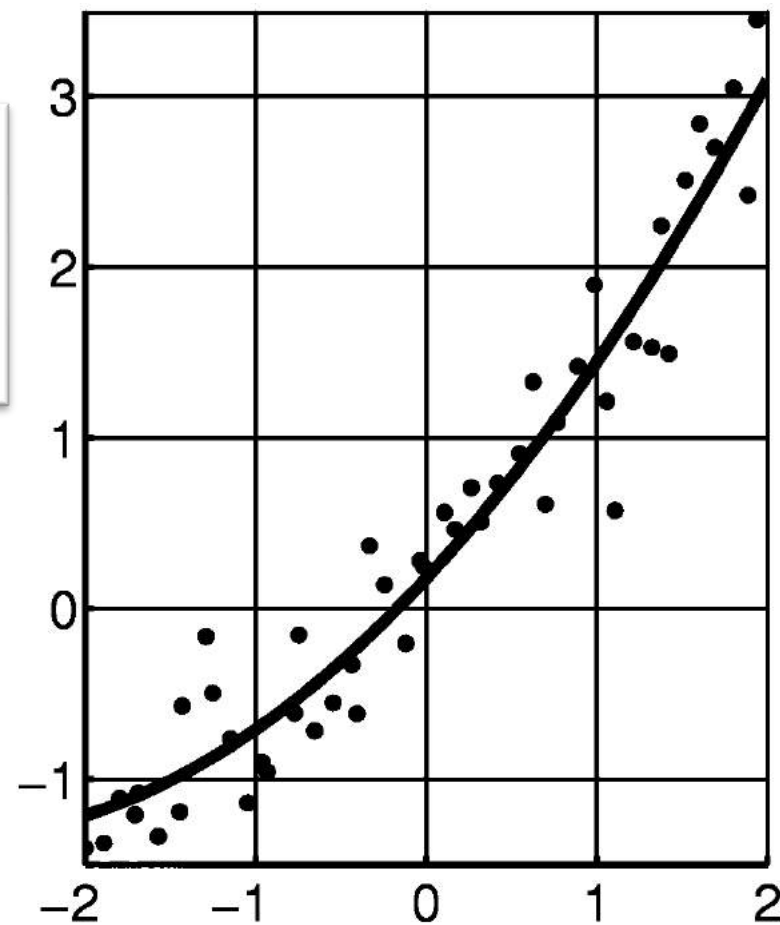


# Ridge Regression

然而, 现实任务中  $\mathbf{X}^T\mathbf{X}$  往往不是满秩矩阵. 例如在许多任务中我们会遇到大量的变量, 其数目甚至超过样例数, 导致  $\mathbf{X}$  的列数多于行数,  $\mathbf{X}^T\mathbf{X}$  显然不满秩. 此时可解出多个  $\hat{\mathbf{w}}$ , 它们都能使均方误差最小化. 选择哪一个解作为输出, 将由学习算法的归纳偏好决定, 常见的做法是引入正则化 (regularization) 项.

$$\hat{\mathbf{w}} = (X^T X)^{-1} X^T \mathbf{y}$$

$$S(\mathbf{w}) = \|X \cdot \mathbf{w} - \mathbf{y}\|^2 \rightarrow S(\mathbf{w}) = \|X \cdot \mathbf{w} - \mathbf{y}\|^2 + \lambda \|\mathbf{w}\|^2$$



# Kernel trick

例如：

$$\mathbf{x} = \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix}, \mathbf{x} \text{的高次变换为 } \varphi(\mathbf{x}) = \begin{pmatrix} x_1 x_1 \\ x_1 x_2 \\ x_1 x_3 \\ x_2 x_1 \\ x_2 x_2 \\ x_2 x_3 \\ x_3 x_1 \\ x_3 x_2 \\ x_3 x_3 \end{pmatrix}; \mathbf{z} = \begin{pmatrix} z_1 \\ z_2 \\ z_3 \end{pmatrix}, \mathbf{z} \text{的高次变换为 } \varphi(\mathbf{z}) = \begin{pmatrix} z_1 z_1 \\ z_1 z_2 \\ z_1 z_3 \\ z_2 z_1 \\ z_2 z_2 \\ z_2 z_3 \\ z_3 z_1 \\ z_3 z_2 \\ z_3 z_3 \end{pmatrix}$$

由于特征空间维数可能很高，直接计算 $\langle \varphi(\mathbf{x}), \varphi(\mathbf{z}) \rangle$ 通常是困难的；而有了核函数 $\kappa(\mathbf{x}, \mathbf{z}) = (\langle \mathbf{x}, \mathbf{z} \rangle)^2$ ，在特征空间的内积等于他们在原始样本空间中通过核函数计算的结果，跳过了复杂的中间步骤，计算量则大大减少，二者最终结果相同。

核矩阵

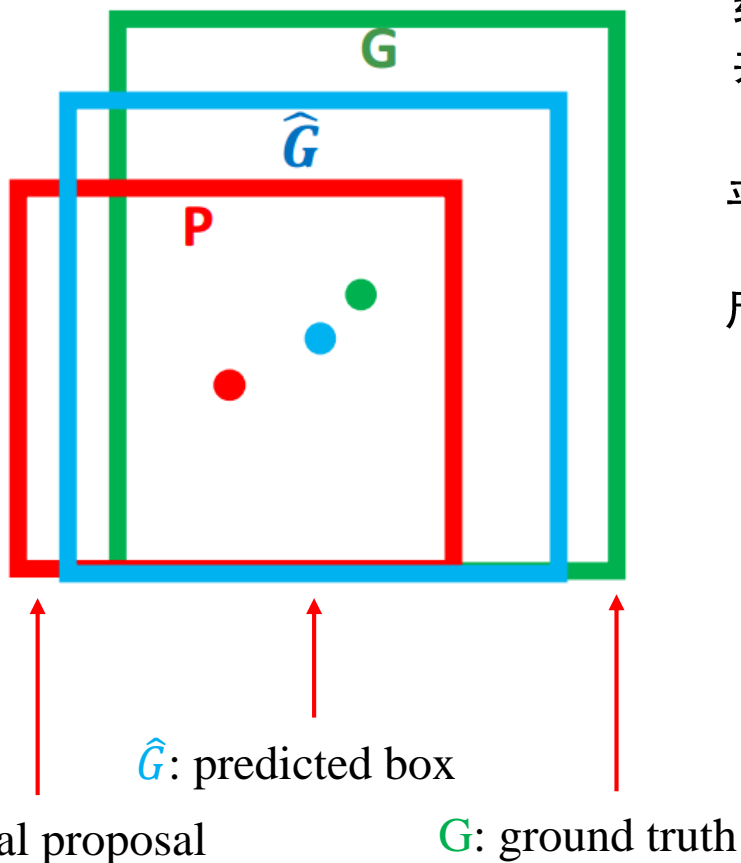
样本

$$K = \begin{bmatrix} \kappa(\mathbf{x}_1, \mathbf{x}_1) & \dots & \kappa(\mathbf{x}_1, \mathbf{x}_j) & \dots & \kappa(\mathbf{x}_1, \mathbf{x}_m) \\ \vdots & \ddots & \vdots & \ddots & \vdots \\ \kappa(\mathbf{x}_i, \mathbf{x}_1) & \dots & \kappa(\mathbf{x}_i, \mathbf{x}_j) & \dots & \kappa(\mathbf{x}_i, \mathbf{x}_m) \\ \vdots & \ddots & \vdots & \ddots & \vdots \\ \kappa(\mathbf{x}_m, \mathbf{x}_1) & \dots & \kappa(\mathbf{x}_m, \mathbf{x}_j) & \dots & \kappa(\mathbf{x}_m, \mathbf{x}_m) \end{bmatrix}$$

表 6.1 常用核函数

名称	表达式	参数
线性核	$\kappa(\mathbf{x}_i, \mathbf{x}_j) = \mathbf{x}_i^T \mathbf{x}_j$	
多项式核	$\kappa(\mathbf{x}_i, \mathbf{x}_j) = (\mathbf{x}_i^T \mathbf{x}_j)^d$	$d \geq 1$ 为多项式的次数
高斯核	$\kappa(\mathbf{x}_i, \mathbf{x}_j) = \exp\left(-\frac{\ \mathbf{x}_i - \mathbf{x}_j\ ^2}{2\sigma^2}\right)$	$\sigma > 0$ 为高斯核的带宽(width)
拉普拉斯核	$\kappa(\mathbf{x}_i, \mathbf{x}_j) = \exp\left(-\frac{\ \mathbf{x}_i - \mathbf{x}_j\ }{\sigma}\right)$	$\sigma > 0$
Sigmoid 核	$\kappa(\mathbf{x}_i, \mathbf{x}_j) = \tanh(\beta \mathbf{x}_i^T \mathbf{x}_j + \theta)$	$\tanh$ 为双曲正切函数, $\beta > 0, \theta < 0$

# Bounding Box regression



给定  $(P_x, P_y, P_w, P_h)$ , 寻找一个映射  $f$  使得  $f(P_x, P_y, P_w, P_h) = (\hat{G}_x, \hat{G}_y, \hat{G}_w, \hat{G}_h)$ , 并且有  $(G_x, G_y, G_w, G_h) \approx (\hat{G}_x, \hat{G}_y, \hat{G}_w, \hat{G}_h)$

平移  $(\Delta x, \Delta y), \Delta x = P_w d_x(P), \Delta y = P_h d_y(P) \rightarrow \hat{G}_x = P_x + \Delta x, \hat{G}_y = P_y + \Delta y,$

尺度缩放  $(S_w, S_h), S_w = \exp(d_w(P)), S_h = \exp(d_h(P)) \rightarrow \hat{G}_w = P_w S_w, \hat{G}_h = P_h S_h.$

推导出

$$t_x = \frac{G_x - P_x}{P_w}, t_y = \frac{G_y - P_y}{P_h}, t_w = \log\left(\frac{G_w}{P_w}\right), t_h = \log\left(\frac{G_h}{P_h}\right)$$

代入

$$w_* = \arg \min_{w_*} \sum_i^N (t_*^{(i)} - \hat{w}_*^T \varphi_5(P^{(i)}))^2 + \lambda \|\hat{w}_*\|^2$$

第  $i$  个样本真实的平移或缩放量

$w_*$  中的  $*$  可以是  $x, y, w, h$ ;  $\varphi_5(P^i)$  是从  $P^i$  中提取出的特征; 总共有  $N$  个训练样本;  $d_*(P)$  与  $t_*$  分别代表  $P$  到  $\hat{G}$  和  $P$  到  $G$  的平移或者缩放量

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