

From Algorithms to Programs:- *Case Study of Merge Sort*

SUNIL SITARAM SHELKE

MergeSort(A, low, high)

```
1 If(  $low < high$ )
2    $mid = \frac{low+high}{2}$ 
3   MergeSort( A, low, mid)
4   MergeSort( A, mid + 1, high)
5   Merge( A, low, mid, high)
```

Initial Call =

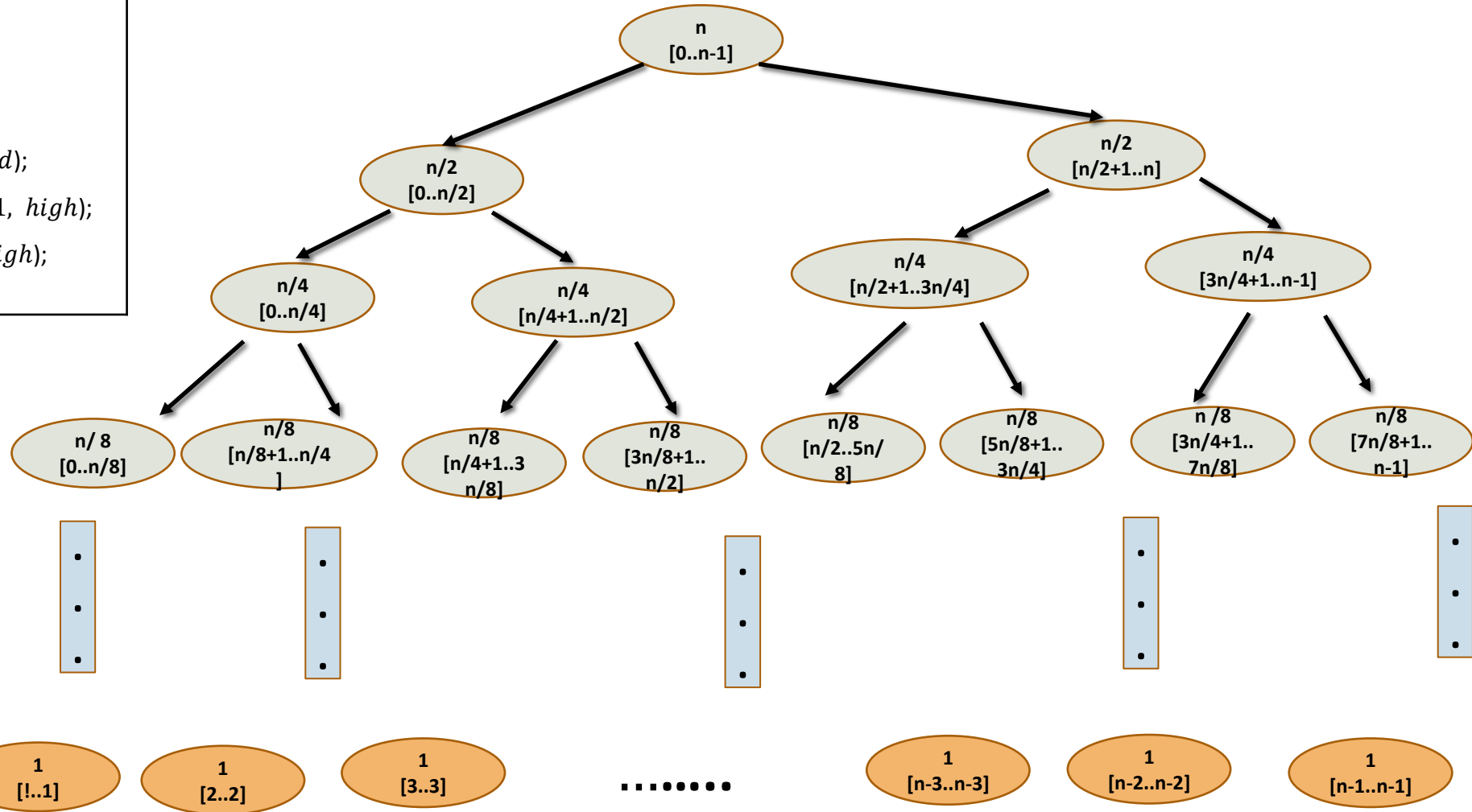
$MergeSort(A, 0, n - 1)$

Merge(A, low, mid, high)

```
1   Create temporary arrays Left and Right of lsize =  $mid - low + 1$  and rsize =  $high - mid$  elements each
2   Copy  $A[low..mid]$  into Left array and  $A[mid + 1..high]$  into Right array
3   For(  $x = 0, y = 0, k = low; x \leq lsize$  and  $y \leq rsize;$  )
4       if(  $Left[j] \leq Right[j]$ )
5            $A[k++] = Left[x]; x++;$ 
6       else
7            $A[k++] = Right[y]; y++;$ 
8   If( $x == lsize$ )
9       while( $y < rsize$ )
10           $A[k++] = Right[y++]$ 
11   Else
12       while( $x < lsize$ )
            $A[k++] = Left[x++]$ 
```

MergeSort :- Boundary Condition of Recursion and Useless Function Calls

```
void MergeSort( A, low, high)
1  if( low < high) {
2      mid = (low+high)/2;
3      MergeSort( A, low, mid);
4      MergeSort( A, mid + 1, high);
5      Merge( A, low, mid, high);
6  }
```



1

2

4

8

Height=
 $\log_2 n$

$2^{\log_2 n} =$
 n

Problems Created \cong
 $2n - 1$

Boundary condition problems of size 1 with no active work necessary

- Number of boundary condition problems = n Each of size = 1 (when $low == high$) require no active work to be done
- Total Number of function calls $\cong 2n - 1$ *Boundary condition function calls* = $n \cong 50\%$ total calls

```
void MergeSort(int * A, int low, int high) {
1  if( low < high) {
2      mid = (low + high)/2;
3      MergeSort( A, low, mid);
4      MergeSort( A, mid + 1, high);
5      Merge( A, low, mid, high);
6  }
7  }

// Before
// Number of function calls= 2n - 1
```



```
void MergeSort(int * A, int low, int high) {
1  mid = (low + high)/2;
2  if( low < mid) {
3      MergeSort( A, low, mid); }
4  if( mid + 1 < high) {
5      MergeSort( A, mid + 1, high);
6  }
7  Merge( A, low, mid, high);
8  }

// After Call Reduction : n - 1
// 50% increase in efficiency
```

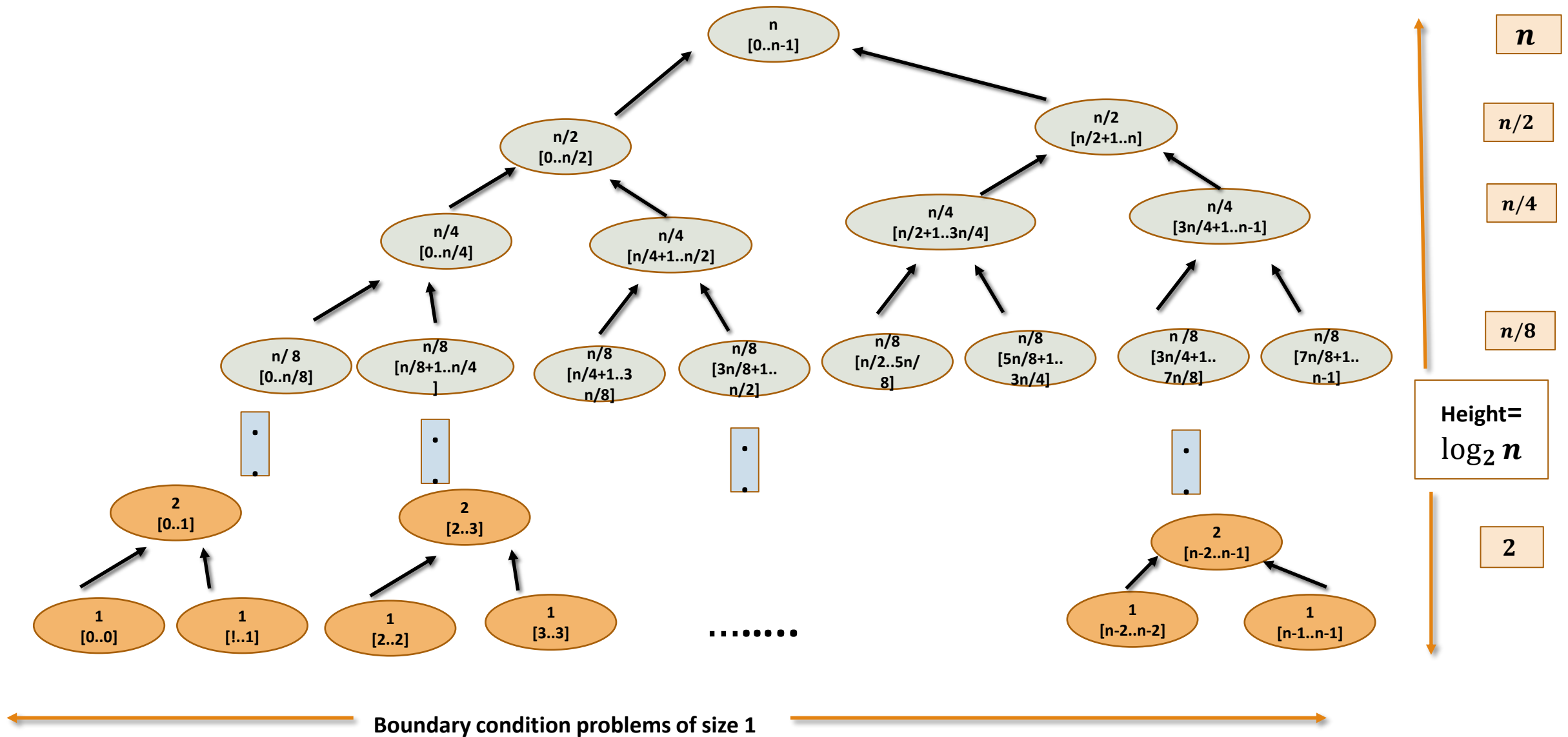


```
void MergeSort(int * A, int low, int high) {
1  mid = low + (high - low)/2;
2  if( low < mid) {
3      MergeSort( A, low, mid); }
4  if( mid + 1 < high) {
5      MergeSort( A, mid + 1, high);
6  }
7  Merge( A, low, mid, high);
8  }

// After avoiding overflow too
```

- Assume we consider positive values and *int* is of 4 bits. Max positive value ≤ 15
- Consider **low = 9** **high = 15** We have $mid = \frac{9+15}{2} = 24/2$
- Now $15 < 24$ so due to overflow we get $mid = \frac{8}{2} = 4$.. **WRONG !!**

Merge :- Handling Temporary Memory Required for Merging



```
Void Merge( int A, int low, int mid, int high ) {
```

```
1  int * Left, *Right, lsize = mid - low + 1, rsize = high - mid, x, y, k ;
```

```
    Left=( int *) malloc( lsize * sizeof(int) );
```

```
2    Right=( int *) malloc( rsize * sizeof(int) );  
    for( x = 0; x < lsize; x ++ )
```

```
3        Left[x] = A[low + x];  
    for( y = 0; y < rsize; y ++ )  
        Right[y] = A[mid + 1 + y];
```

```
4    for( x = 0, y = 0, k = low; x < lsize and y < rsize; ) {
```

```
5        if( Left[x] ≤ Right[y])
```

```
6            A[k ++] = Left[x ++];
```

```
7        else
```

```
8            A[k ++] = Right[y ++]; }
```

```
8    if(x == lsize)
```

```
10        while(y < rsize)
```

```
11            A[k ++] = A[y ++];
```

```
12    else
```

```
13        while(x < lsize)
```

```
            A[k ++] = A[x ++];
```

```
14    free(Left); free(Right); }
```



```
int * temp=( int *) malloc( n * sizeof(int) ); // Global Temp array
```

```
Void Merge( int A, int low, int mid, int high ) {
```

```
1    int lsize = mid - low + 1, rsize = high - mid, x, y, k ;
```

```
    for( x = 0; x < lsize; x ++ )
```

```
2        temp[x] = A[low + x];  
    for( y = mid + 1; y < (rsize + mid + 1); y ++ )  
        temp[y] = A[mid + 1 + y];
```

```
3    for( x = 0, y = mid + 1, k = low; x < lsize and y < (rsize + mid + 1); ) {
```

```
4        if( temp[x] ≤ temp[y])
```

```
5            A[k ++] = temp[x ++];
```

```
6        else
```

```
7            A[k ++] = temp[y ++]; }
```

```
8    if(x == lsize)
```

```
9        while(y < (rsize + mid + 1))
```

```
10            A[k ++] = temp[y ++];
```

```
11    else
```

```
12        while(x < lsize)
```

```
            A[k ++] = temp[x ++];
```

```
    }
```

Further improvements in implementation of Merge Sort

1. Early Recursion Termination:-

- Stop when problem size reduces to some tolerable value, say, $k > 1$.
- Invoke another sorting algorithm which is very fast for such small values for eg. **Insertion Sort** on small arrays
- Value of k can be chosen so as to maintain asymptotic complexity of traditional merge sort i.e $\Theta(n \log n)$

2. Bottom-Up/Iterative implementation:-

- **Recursive** implementation requires system **stack of height $O(\log n)$** to maintain recursion.
- **Iterative** left to right bottom up merging can be done with maintaining time complexity $\Theta(n \log n)$ by **avoiding system stack** requirement.

Thank You !!