

Fundamentals

SFWRENG 2CO3: Data Structures and Algorithms

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Collection types

A *collection type* is an *data type* used to manage a *collection of values*.

Typically an *abstract data type*: the implementation is hidden from the user.

Collection types are implemented via *data structures*.

Collection types: Bag

A *bag* B is a collection to which values can be added, but not removed:

$\text{ADD}(B, v)$ add value v to a bag B ;

$\text{EMPTY}(B)$ return true if bag B holds no values;

$\text{SIZE}(B)$ returns the number of values in B .

In addition, one can *iterate* over the values currently in bag B .

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Remark

Note that EMPTY can be implemented via SIZE .

Not all data structures provide an efficient SIZE , however!

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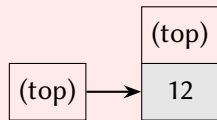
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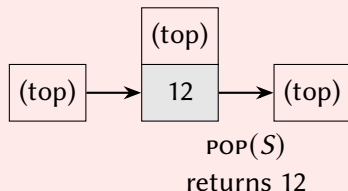
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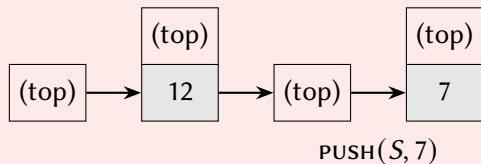
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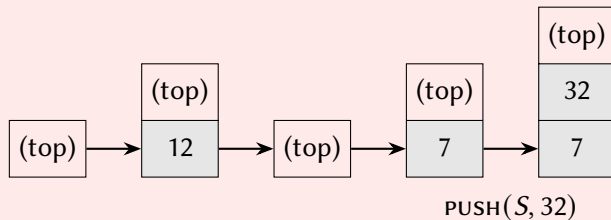
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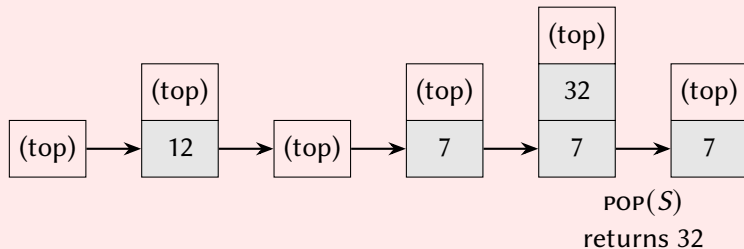
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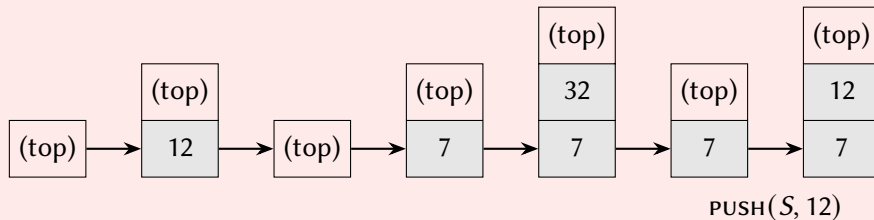
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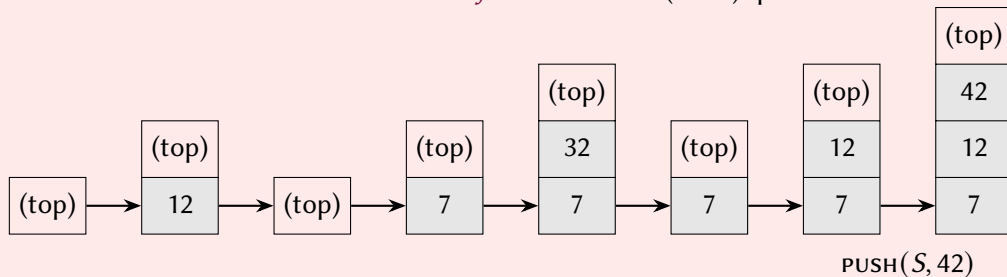
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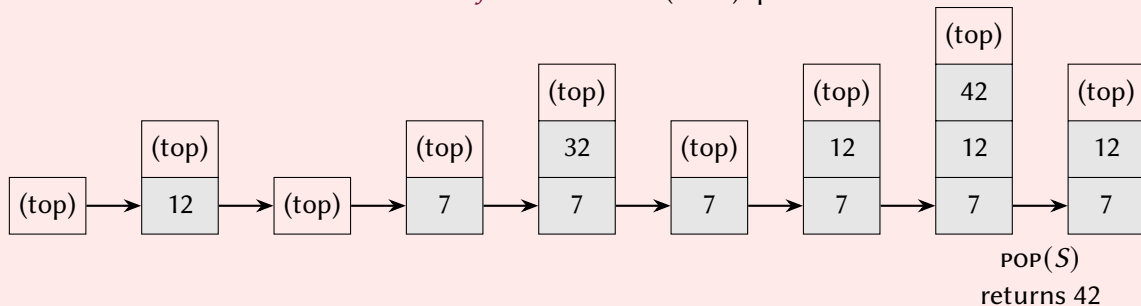
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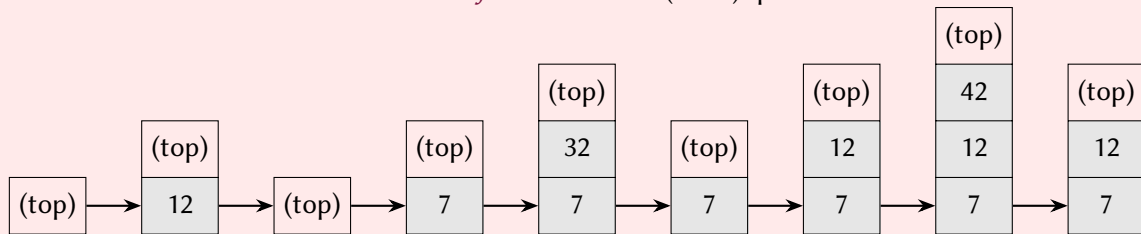
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Stacks are used *everywhere*: e.g., function calls are implemented via stacks.

Using stacks to evaluate expressions in postfix notation

Postfix notation is a notation in which *operators* follow their *operands*.

Example

- ▶ “1 2 +” is equivalent to $1 + 2$.
- ▶ “1 2 3 + -” is equivalent to $1 - (2 + 3)$.
- ▶ “1 2 + 3 -” is equivalent to $(1 + 2) - 3$.
- ▶ “1 2 3 + 4 5 · - /” is equivalent to $1 / ((2 + 3) - (4 \cdot 5))$.

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Expressions in postfix notation are very easy to evaluate using a stack.

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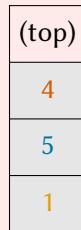
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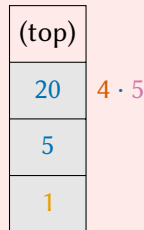
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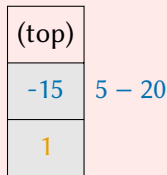
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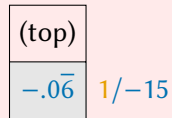
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Dijkstra's Two-Stack Algorithm (book) evaluates expressions in *infix* (normal) notation: this by building a postfix notation and simultaneously applying EVALUATEPN.

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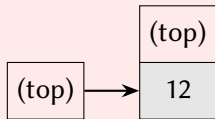
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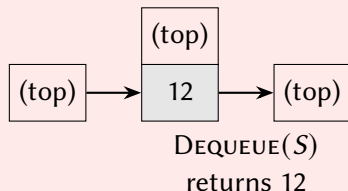
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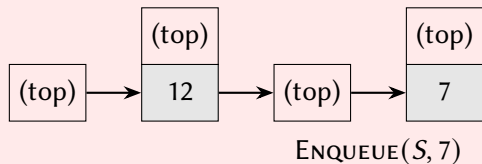
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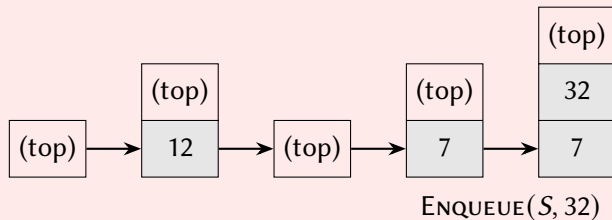
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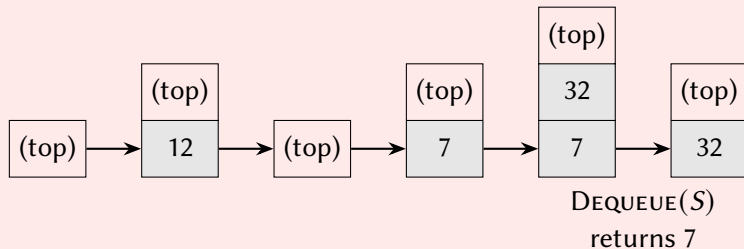
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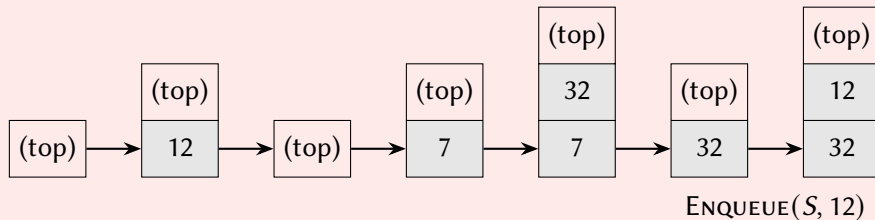
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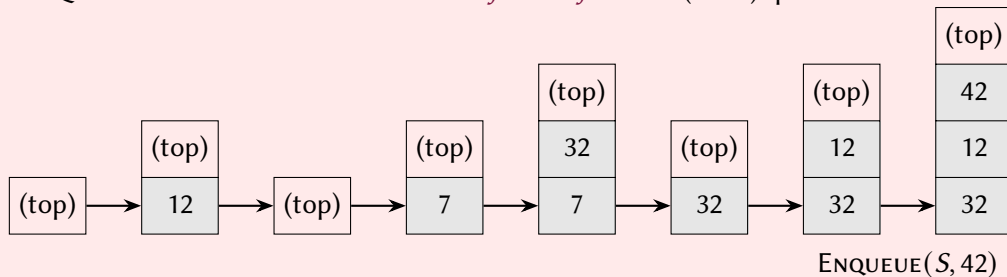
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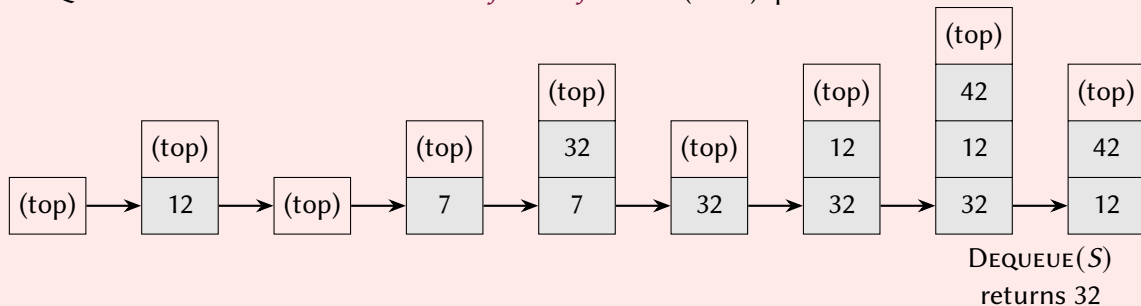
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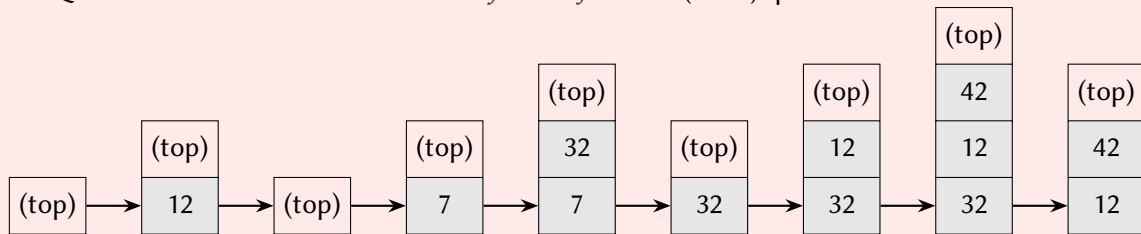
ENQUEUE(Q, v) add value v to the top of queue Q ;

DEQUEUE(Q) remove (and return) the value at the bottom of queue Q ;

EMPTY(Q) return true if queue Q holds no values;

SIZE(Q) returns the number of values in Q .

Queues are sometimes referred to as *first-in-first-out* (FIFO) queues.



Queues are used *everywhere*: e.g., communication buffers (for network packages, for tasks exchanged between producer-consumer threads, ...).

Data structures: Fixed-size ring buffers

Ring Buffer: a data structure that can hold up-to- N values.

entries $[0 \dots N)$ An array that can hold N values.

start The position in *entries* of the *first* value in the buffer.

length The current number of values in the buffer.

The values start at position *start* and wrap-around at the end of *entries*.

Data structures: Fixed-size ring buffers

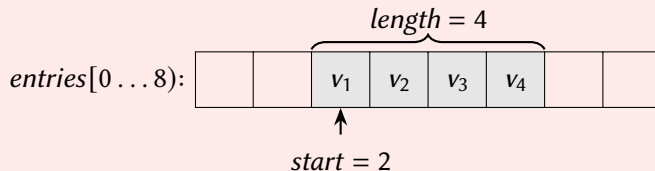
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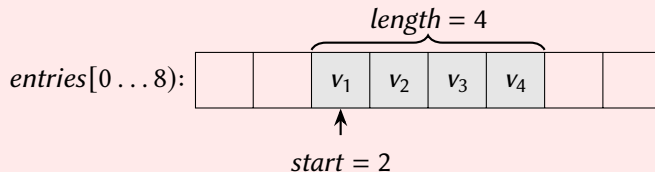
length The current number of values in the buffer.

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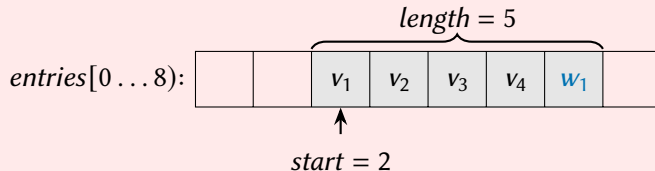
Algorithm **PUSHBACK**(R, v):

Input: R is a non-full ring buffer ($R.length \neq N$).

- 1: **if** $R.start + R.length < N$ **then**
- 2: $R.entries[R.start + R.length] := v$.
- 3: **else** Wrap-around the end of the list
- 4: $R.entries[R.start + R.length - N] := v$.
- 5: $R.length := R.length + 1$.

Data structures: Fixed-size ring buffers

Ring Buffer: a data structure that can hold up-to- N values.



Algorithm **PUSHBACK**(R, v):

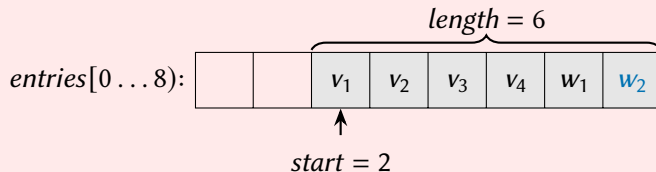
Input: R is a non-full ring buffer ($R.length \neq N$).

- 1: **if** $R.start + R.length < N$ **then**
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- 4: $R.entries[R.start + R.length - N] := v$.
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PUSHBACK(R, w_1);
PUSHBACK(R, w_2);
PUSHBACK(R, w_3);
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Data structures: Fixed-size ring buffers

Ring Buffer: a data structure that can hold up-to- N values.



Algorithm **PUSHBACK**(R, v):

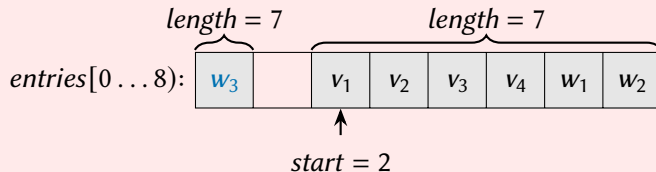
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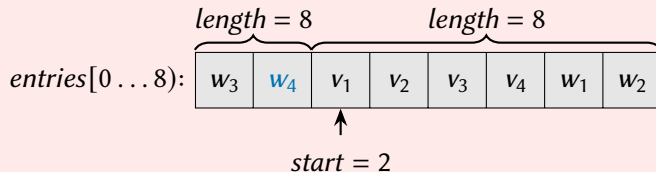
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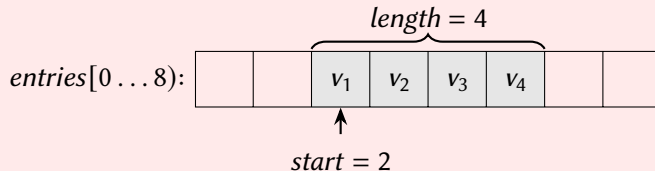
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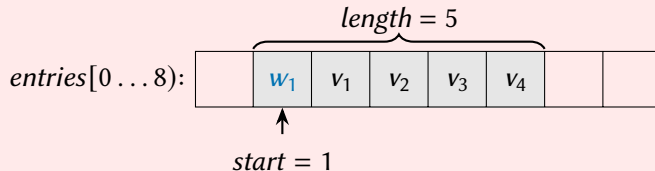
Algorithm **PUSHFRONT**(R, v):

Input: R is a non-full ring buffer ($R.length \neq N$).

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- 3: **else** Wrap-around the begin of the list
- 4: $R.start := N - 1$.
- 5: $R.entries[R.start], R.length := v, R.length + 1$.

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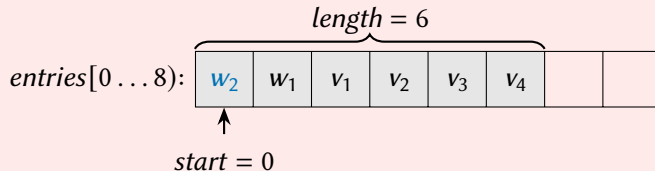
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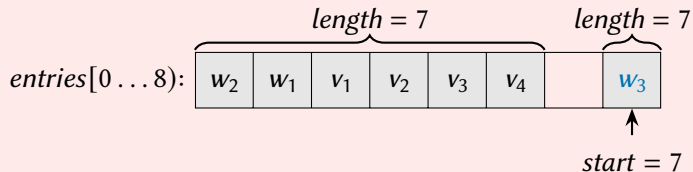
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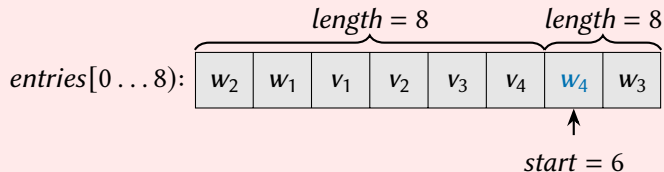
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Removing elements from the front or the back is similar:

POPFRONT(R) undoes **PUSHFRONT**.

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POPBACK(R) undoes **PUSHBACK**.

- ▶ Provides all stack operations in $\Theta(1)$.
- ▶ Provides all queue operations in $\Theta(1)$.
- ▶ Support *random access* efficiently.
- ▶ Can be used to implement a *double-ended queue*.

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- ▶ Provides all queue operations in $\Theta(1)$.
- ▶ Support *random access* efficiently.
- ▶ Can be used to implement a *double-ended queue*.
- ▶ *Drawback*: can hold at-most N values.

Singly linked lists

Linked Lists: a data structure that can hold a sequence of values, each stored in a *list node*.

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Each value in a linked list is held by a *list node*:

item The value held by the list node.

next A pointer to the next list node in the linked list, if any.

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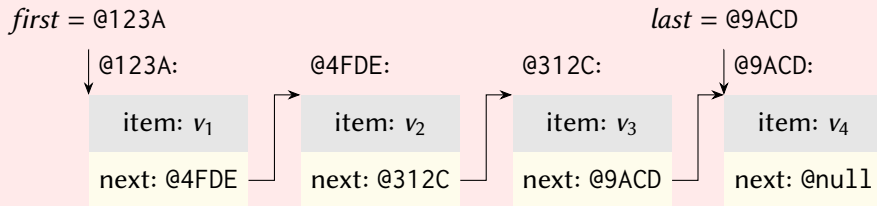
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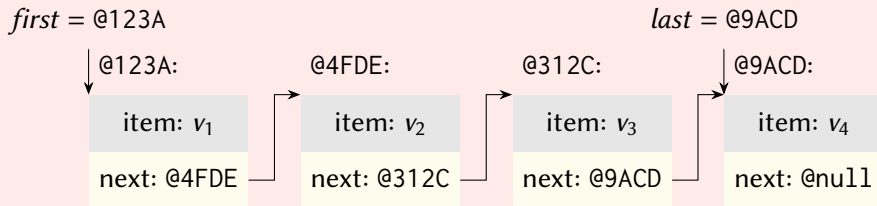
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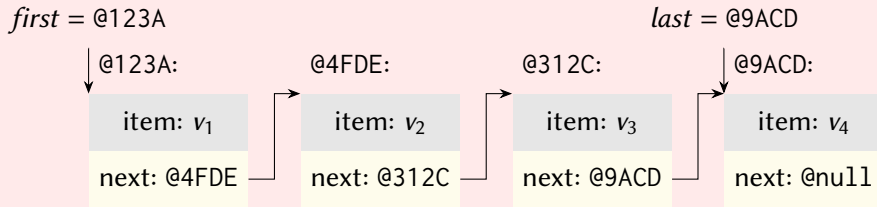
Algorithm **PUSHFRONT**(L, v):

Input: L is a linked list.

- 1: Create new list node n for value v .
- 2: $n.next := L.first$.
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- 4: **if** $L.last = @null$ **then** List L was empty
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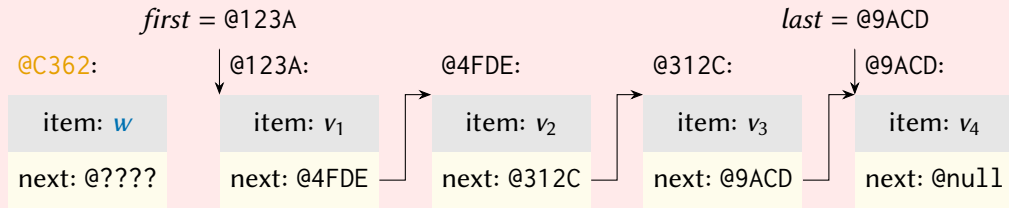
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PUSHFRONT(L, w).

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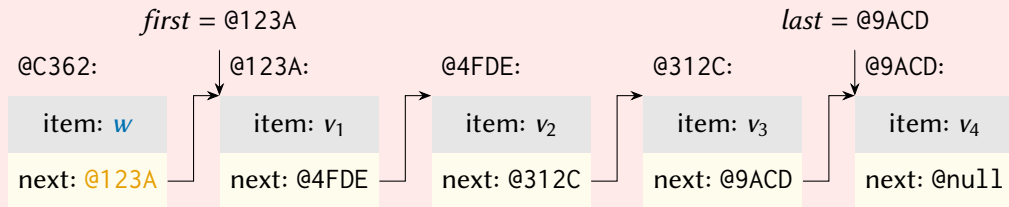
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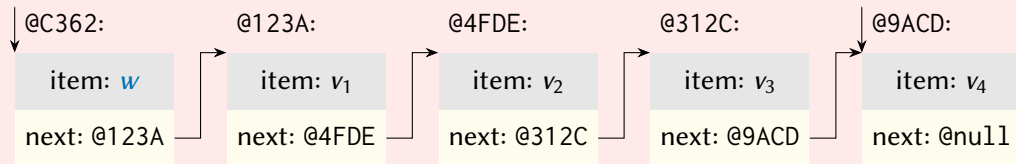
`PUSHFRONT(L, w)`.

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first = @C362

last = @9ACD



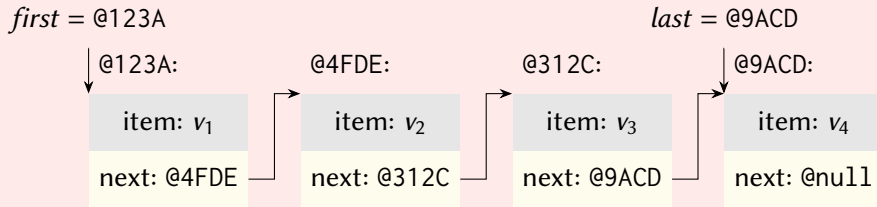
Algorithm PUSHFRONT(*L*, *v*):

Input: *L* is a linked list.

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- 2: *n.next* := *L.first*.
- 3: *L.first* := pointer to *n*.
- 4: **if** *L.last* = @null **then** List *L* was empty
- 5: *L.last* := pointer to *n*.

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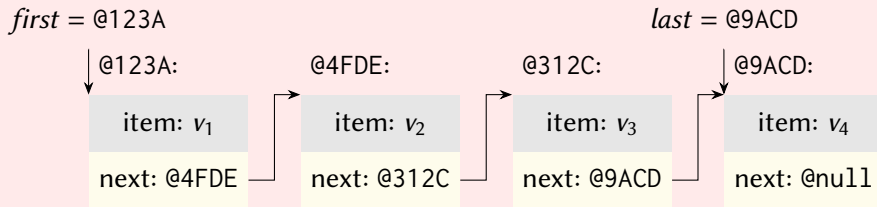
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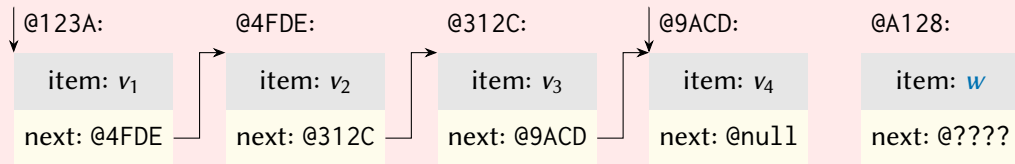
APPENDNODE($L, L.last, w$).

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first = @123A

last = @9ACD



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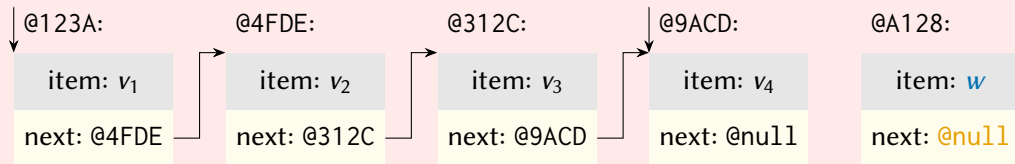
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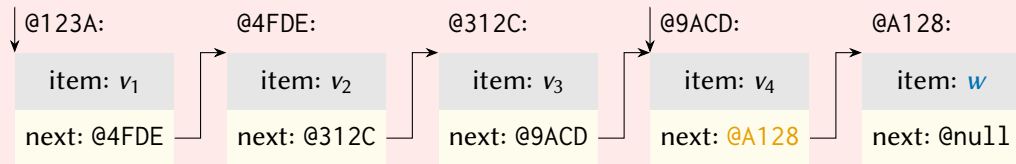
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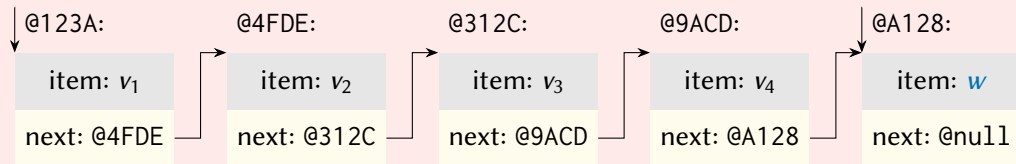
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$first = @123A$

$last = @A128$



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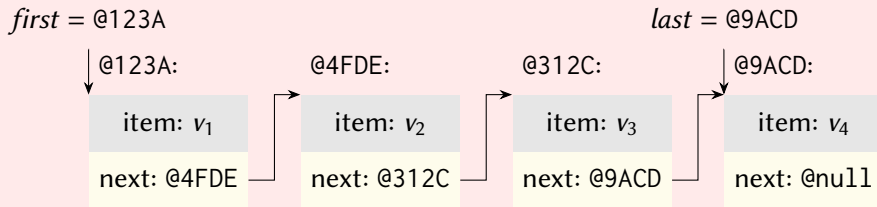
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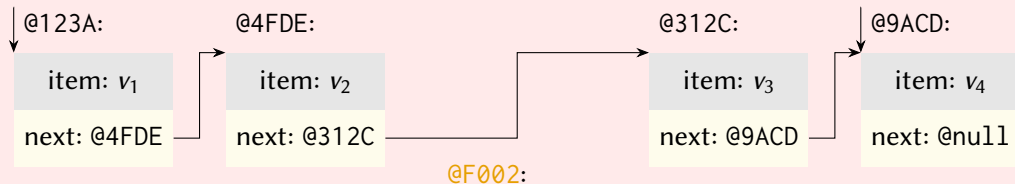
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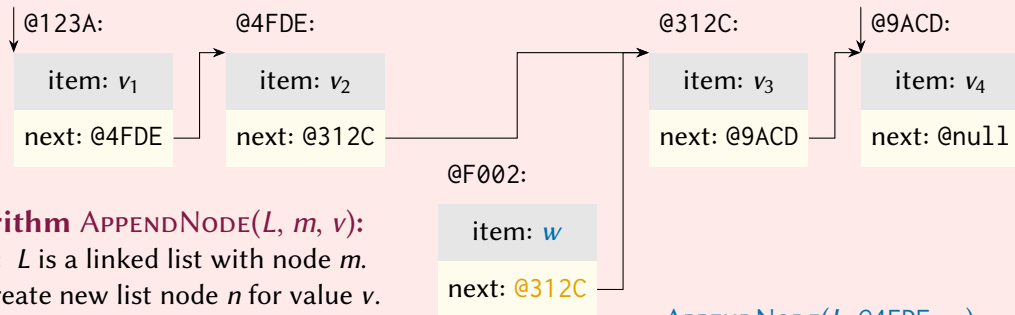
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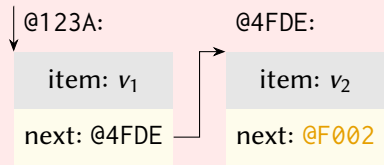
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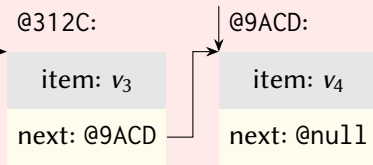
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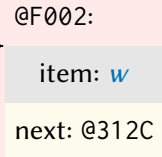
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Removing elements from the front or after a given node is similar:

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Make sure to free the memory associated with nodes.

In C++: use either `std::unique_ptr` or `std::shared_ptr` to free nodes *for* you.

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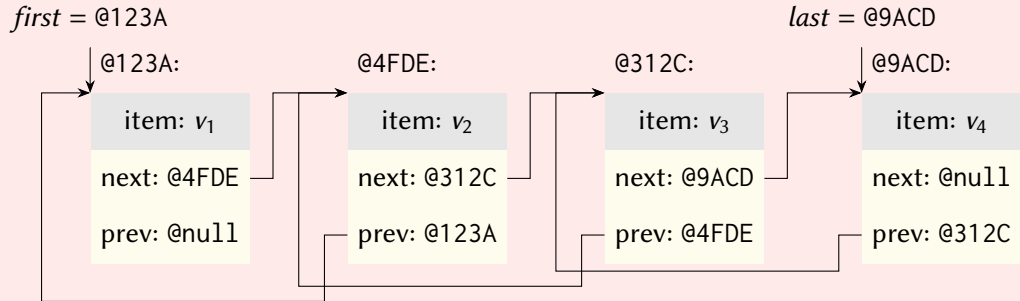
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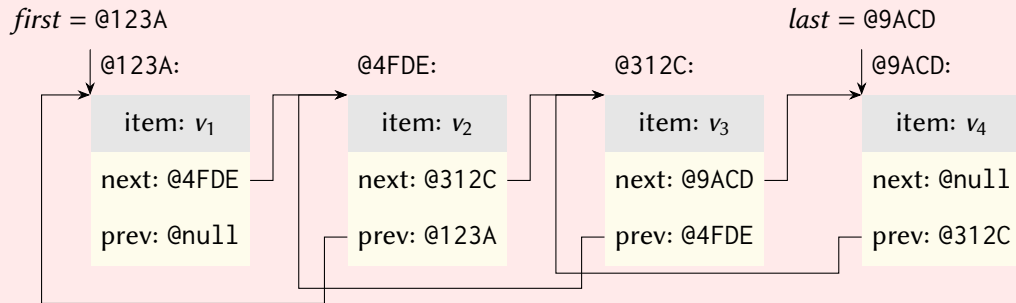
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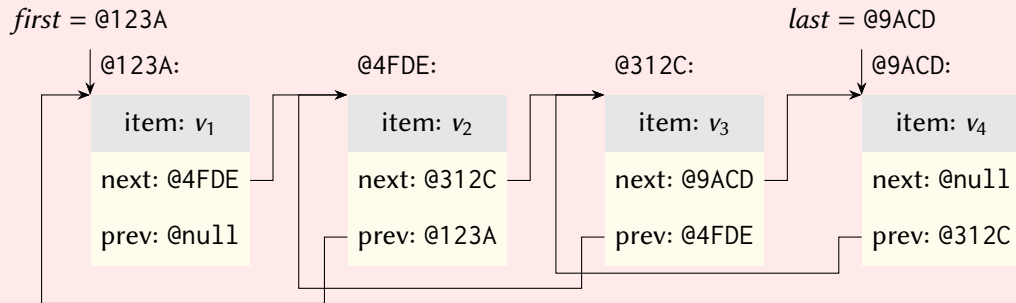
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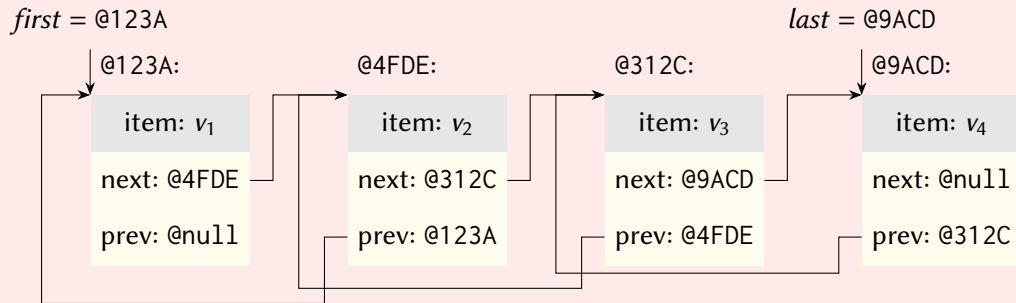
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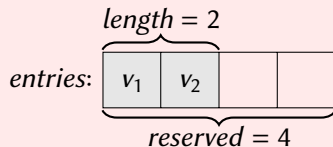
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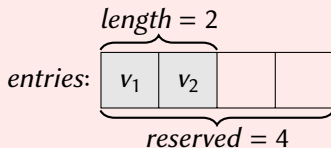
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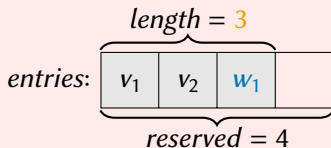
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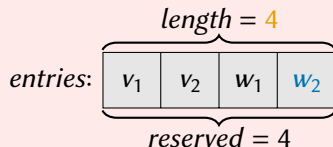
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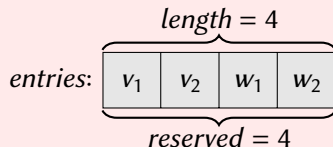
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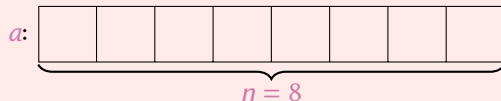
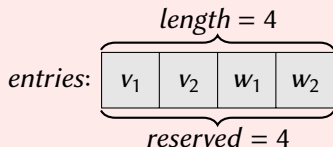
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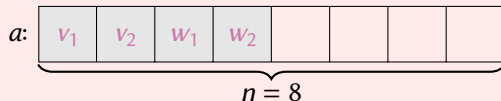
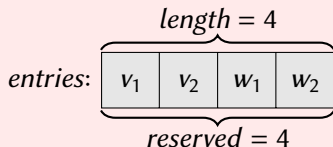
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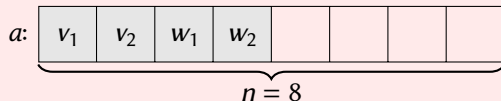
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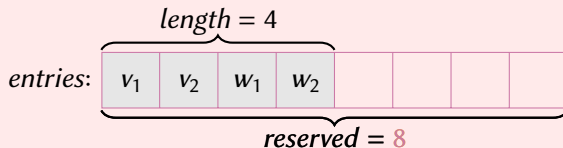
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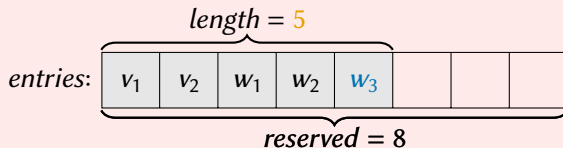
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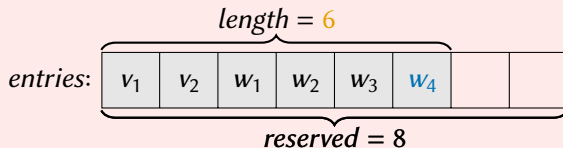
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Can we provide a better analysis?

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Amortized complexity is not average case complexity!

Average case complexity looks at the average cost of a *single* operation.

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After M PUSHBACKs on D : $D.length = M$ with $2^j < M \leq D.reserved = 2^{j+1}$.
Hence, we must have $j = \lfloor \log_2(M) \rfloor < \log_2(M)$.

Intermezzo: Complexity of PUSHBACK

Consider an empty dynamic array D with $D.reserved = 1$, and a sequence of M , $M > 0$, PUSHBACK operations.

- ▶ Cost of PUSHBACK: some base cost b plus the cost of INTERNALRESIZE.
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The above analysis can be generalized to include other operations, e.g., POPBACK.

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The above analysis can be generalized to include other operations, e.g., POPBACK.

To support POPBACK efficiently: do not *shrink* too soon:

either *never shrink* or shrink *if requested* or when $4 \cdot D.length < D.reserved$.

Dynamic arrays

Dynamic Array: a data structure that can hold an *array* of values that is resizes upon need.

Removing elements from the the back is similar:

POPBACK(D) undoes **PUSHBACK** (typically without shrinking).

Arbitrary inserts at position i , $i \neq D.length$, is costly:

Requires one to copy over by one position all values at-or-after position i .

What about the complexity?

PUSHBACK(D, v) is either $\Theta(1)$ or $\Theta(D.reserved)$ (if **INTERNALRESIZE** is called).

Can we provide a better analysis?

Amortized complexity of **PUSHBACK**: $\Theta(1)$.

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- ▶ Queue modifications in $\Theta(D.length)$.
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- ▶ Provides stack modifications in amortized $\Theta(1)$.
- ▶ Queue modifications in $\Theta(D.length)$.
- ▶ Support *random access* efficiently.
- ▶ *Drawback*: sometimes expensive *resizes*, no efficient queue operations.

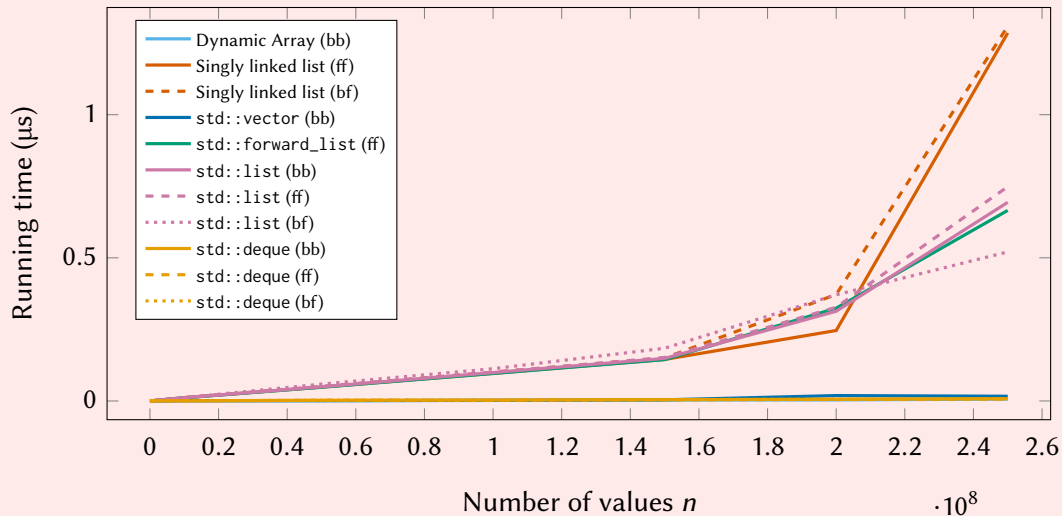
A summary of elementary containers

Data structure	Supports ADT			Random Access	Memory Usage
	Queue	Stack	Deque		
Ring Buffer	$\Theta(1)$	$\Theta(1)$	$\Theta(1)$	$\Theta(1)$	Always NT
Singly linked list	$\Theta(1)$	$\Theta(1)$			$T + P + M$ per value
Doubly linked list	$\Theta(1)$	$\Theta(1)$	$\Theta(1)$		$T + 2P + M$ per value
Dynamic array		$\Theta(1)$ (amortized)		$\Theta(1)$	$\leq M + 2T$ $\leq 2M + 3T$ (during resize)

T is the size of a value, P is the size of a pointer, M is the overhead per memory allocation.

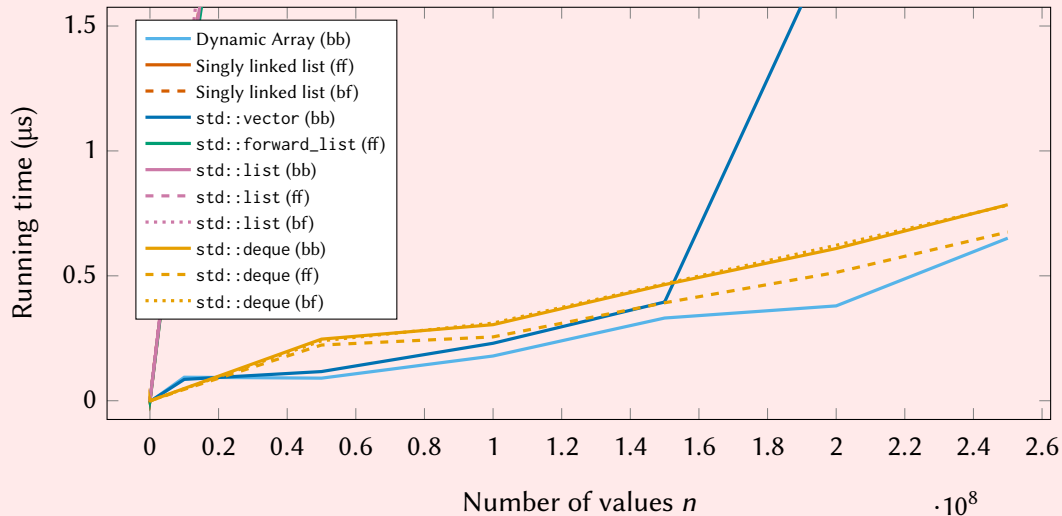
Comparing common containers

$\cdot 10^8$ Measured runtime complexity (adding and then removing n values)



Comparing common containers

$\cdot 10^6$ Measured runtime complexity (adding and then removing n values)



Elementary containers in practice

Data Collection or Structure	C++	Java
Ring Buffer		<code>java.util.ArrayDeque</code>
Singly Linked List	<code>std::forward_list</code>	
Doubly Linked List	<code>std::list</code>	<code>java.util.LinkedList</code>
Dynamic Array	<code>std::vector</code>	<code>java.util.ArrayList</code>
Other	<code>std::deque</code>	
Stack	<code>std::stack</code>	Use <code>ArrayDeque</code> or <code>ArrayList</code>
Queue	<code>std::queue</code>	Use <code>ArrayDeque</code>

Java provides `java.util.Vector` and `Stack` and `Queue` on top of `Vector`. These are ancient and their usage is *not recommended*. Use `ArrayList` or `ArrayDeque` instead!