

## Problem 1

### 1.1

Consider the following function of  $n$ :

$$n^2 \quad \sum_{i=0}^n 5 \cdot i \quad n^3 \cdot \sqrt{\frac{1}{n^3}} \quad n^2 + 2^n \quad (\prod_{i=1}^9 i) \quad \left( \sum_{i=0}^{\log_2(n)} 2^i \right) + 1 \quad 7^{\ln(n)} \\ -\ln\left(\frac{1}{n}\right) \quad \ln(2^n) \quad 10 \quad n \log_2(n^7) \quad \sqrt{n^4} \quad n^n \quad 5n$$

Group the above functions that have identical growth rate and order these groups on increasing growth. Hence:

- If you place functions  $f_1(n)$  and  $f_2(n)$  in the same group, then we must have  $f_1(n) = \Theta(f_2(n))$ ;
- If you place function  $f_1(n)$  in a group ordered before the group in which you place function  $f_2(n)$ , then we must have  $f_1(n) = \mathcal{O}(f_2(n)) \wedge f_1(n) \neq \Omega(f_2(n))$ .

*Solution:*

Theorem 3.25 states the following:

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} \text{ is defined and is } \begin{cases} \infty \\ c, \text{ with } c > 0 \text{ a constant} \\ 0 \end{cases} \quad \begin{array}{l} \text{then } f(n) = \Omega(g(n)); \\ \text{then } f(n) = \Theta(g(n)); \\ \text{then } f(n) = \mathcal{O}(g(n)); \end{array}$$

The following are the rules of thumb to determine the order of growth of functions:

$$\begin{aligned}
c \cdot f(n) &= \Theta(f(n)) \\
\log_a(n) &= \Theta(\log_b(n)) \\
\lim_{n \rightarrow \infty} \frac{n^c}{n^{c+d}} &= \lim_{n \rightarrow \infty} \frac{1}{n^d} = 0 \rightarrow n^c = \mathcal{O}(n^{c+d}) \\
\log_2(n)^c &= \mathcal{O}(n^d) \quad \forall c > 0, d > 0 \\
n^c &= \mathcal{O}(d^n) \quad \forall c > 0, d > 1 \\
d^{\frac{n}{u}} &= \mathcal{O}(c^{\frac{n}{v}}) \quad \forall c \geq d \geq 1, u \geq v \geq 1 \\
\sum_{i=1}^m c_i \cdot n^{d_i} &= \mathcal{O}(n^{d_i}) \\
f(n) + g(n) &= \Theta(g(n)) \\
h(n) \cdot n(n) &= \mathcal{O}(h(n) \cdot g(n))
\end{aligned}$$

Let's start with analysing the functions that have the same growth rate:

- $n^2$ : polynomial growth,  $n^2 = \Theta(n^2)$  based on rule 3.
- $\sum_{i=0}^n 5 \cdot i$ : polynomial growth,  $\sum_{i=0}^n 5 \cdot i = 5 \cdot \frac{n(n+1)}{2} = \frac{5}{2}n^2 + \frac{5}{2}n$ , which is  $\Theta(n^2)$  based on rule 7.
- $n^3 \cdot \sqrt{\frac{1}{n^3}}$ : polynomial growth,  $= n^3 \cdot \frac{1}{n^{\frac{3}{2}}} \rightarrow \Theta(n^{\frac{3}{2}})$  based on rule 9, 3
- $n^2 + 2^n$ : exponential growth,  $= \Theta(2^n)$  based on rule 7
- $(\prod_{i=1}^9 i)$ : constant,  $= 1 \cdot 2 \dots 9$  based on rule 9
- $\sum_{i=0}^{\log_2(n)} 2^i + 1$ : linear, since the term is a geometrics series, such that  $\sum_{i=0}^{\log_2(n)} 2^i + 1 = \frac{1 \cdot (2^{\log_2(n)+1} - 1)}{2-1} + 1 = 2 \cdot 2^{\log_2(n)} = 2n$ . Therefore based on rule 1 we have  $\Theta(n)$
- $7^{\ln(n)}$ : polynomial, since  $7^{\ln(n)} = n^{\ln(7)}$ . Apply rule 3 we have  $7^{\ln(n)} = \Theta(n^{\ln(7)}) \rightarrow \mathcal{O}(n^2)$
- $-\ln(\frac{1}{n})$ : logarithmic, since  $-\ln(\frac{1}{n}) = \ln(n)$ . Apply rule 1 yield  $\Theta(\ln n)$ .
- $\ln(2^n)$ : linear, since  $\ln(2^n) = n \cdot \ln_2$  and rule 1 yield  $\Theta(n)$
- 10: constant
- $n \log_2(n^7)$ : polynomial, since  $n \log_2(n^7) = n \cdot 7 \log_2(n) = 7n \log_2(n)$ . Based on rule 9 and 1 yield  $\mathcal{O}(n^2)$
- $\sqrt{n^4}$ : polynomial growth,  $= n^2$  based on rule 3.
- $n^n$ : super-exponential.
- $5n$ : linear based on rule 1, gives  $\Theta(n)$

Thus the order from increasing rate:

- $10 \quad (\prod_{i=1}^9 i) \text{ (constant)}$
- $-\ln(\frac{1}{n}) \text{ (logarithmic)}$
- $\ln(2^n) \quad 5n \quad \sum_{i=0}^{\log_2(n)} 2^i + 1 \text{ (linear)}$
- $n^2 \quad \sum_{i=0}^n 5 \cdot i \quad n^3 \cdot \sqrt{\frac{1}{n^3}} \quad \sqrt{n^4} \quad n \log_2(n^7) \quad 7^{\ln(n)} \text{ (polynomial)}$
- $n^2 + 2^n \text{ (exponential)}$
- $n^n \text{ (super-exponential)}$

## 1.2

Consider the following recurrence

$$T(n) = \begin{cases} 7 & \text{if } n \leq 1; \\ 3T(n-2) & \text{if } n > 1. \end{cases}$$

Use induction to prove that  $T(n) = f(n)$  with  $f(n) = 7 \cdot 3^{\lfloor \frac{n}{2} \rfloor}$

*Solution:*

base case:

Given  $T(n) = f(n) = 7 \cdot 3^{\lfloor \frac{n}{2} \rfloor}$  apply for  $n = 0$  and  $n = 1$ :

- $n = 1 \rightarrow T(n) = 7 \cdot 3^{\lfloor \frac{1}{2} \rfloor} = 7 \cdot 3^0 = 7$
- $n = 0 \rightarrow T(n) = 7 \cdot 3^{\lfloor \frac{0}{2} \rfloor} = 7 \cdot 3^0 = 7$

Thus the base case holds.

induction hypothesis:

Assume  $T(n) = f(n)$  holds for  $n > 1$ . We will prove that  $f(n+2) = T(n+2)$  also holds.

$$\begin{aligned}
 f(n) &= T(n) \\
 T(n) = f(n) &= 3T(n-2) = 3f(n-2) = 3 \cdot 7 \cdot 3^{\lceil \frac{n-2}{2} \rceil} \\
 T(n+2) &= 3T(n)
 \end{aligned}$$

Therefore:

$$\begin{aligned}
 T(n+2) &= 3 \cdot 3 \cdot 7 \cdot 3^{\lceil \frac{n-2}{2} \rceil} = 7 \cdot 3^{\lceil \frac{n-2}{2} \rceil + 2} \\
 T(n+2) &= 7 \cdot 3^{\lceil \frac{n+2}{2} \rceil} = f(n+2)
 \end{aligned}$$

Therefore the induction hypothesis holds.

conclusion:

|  $T(n) = f(n) = 7 \cdot 3^{\lceil \frac{n}{2} \rceil}$  is true for all  $n \geq 0$ .

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## Problem 2

Consider the following **Count** algorithm

```

Algorithm Count(L, v):
Pre: L is an array, v is a value
i, c := 0, 0
while i neq |L| do
  if L[i] = v then
    c := c + 1
  end if
  i := i + 1
end while
return c.
Post: return the number of copies of v in L
  
```

### 2.1

Provide an invariant for the while loop at Line 2

*Solution:*

$$0 \leq i \leq |L|, c = \sum_{j=0}^{i-1} [L[j] = v]$$

## 2.2

Provide a bound function for the while loop at Line 2

*Solution:*

$$f(i) = |L| - i$$

## 2.3

Prove that `Count` algorithm is correct.

*Solution:*

Line 1: `i, c := 0, 0`

- $L[0, i)$  with  $i = 0$  is  $L[0, 0)$
- $L[0, 0)$  is empty, hence  $c = \sum_{j=0}^{i-1} [L[j] = v] = 0$
- bound function  $f(i) = |L| - i$  starts at  $|L|$ ,  $|L| \geq 0$

Line 2: `while i neq |L| do`

- bound function  $f(i)$  stops at 0
- invariant still holds, with  $i \neq |L|$

Now prove invariant holds again til reach the end of the `m-th` loop:

Line 3-5: `if L[i] = v then` case:

- $L[i] = v$  hence  $v \in L[0, i]$

- invariant still holds, with  $i \neq |L|$  and  $L[v] = v$
- $i_{\text{new}} = i + 1$  hence  $0 < i_{\text{new}} \leq |L|$  implies  $0 \leq i_{\text{new}} \leq |L|$  and  $f(i_{\text{new}}) = f(i) - 1$
- $c_{\text{new}} = c + 1 = \sum_{j=0}^{i-1} [L[j] = v] + 1 = \sum_{j=0}^{i_{\text{new}}} [L[j] = v]$
- $f(i)$  strictly decreases after each iteration,  $i_{\text{new}} := i + 1$

Therefore the invariant still holds within the if statement.

L7: `end while`

- $i = |L|$  hence  $f(i) = 0$ , the loop stops
- $c = \sum_{j=0}^{i-1} [L[j] = v] = \sum_{j=0}^{|L|-1} [L[j] = v]$

Therefore the invariant still holds at the end of the loop.

## 2.4

What is the runtime and memory complexity of `Count` algorithm?

*Solution:*

- L1 implies 2 instructions
- L2 implies 2 instructions  $|L| + 1$  times,
- L3-5 (if loop) implies 4 instructions  $|L|$  times
- L6 implies 2 instructions  $|L|$  times

Therefore number of work is  $5 + 8N$ , thus runtime complexity would be  $\Theta(5 + 8N)$ .

Memory complexity is  $\Theta(1)$ , since only 2 variables are used.

## 2.5

Provide an algorithm `FastCount(L, v)` operates on ordered lists `L` and computes the same results as `Count(L, v)` but with a  $\mathcal{O}(\log_2(|L|))$

*Solution:*

```
Algorithm FastCount(L, v):
Pre: L is an ordered array, v is a value
function binarySearchFirst(L, v)
    low, high := 0, |L| - 1
    results := -1
    while low ≤ high do
        mid := (low + high) / 2
        if L[mid] < v then
            low := mid + 1
        else if L[mid] > v then
            high := mid - 1
        else
            results := mid
            high := mid - 1
    end while
    return results
end function

function binarySearchLast(L, v)
    low, high := 0, |L| - 1
    results := -1
    while low ≤ high do
        mid := (low + high) / 2
        if L[mid] < v then
            low := mid + 1
        else if L[mid] > v then
            high := mid - 1
        else
            results := mid
            low := mid + 1
        end while
    return result
end function
```

```
firstIndex := binarySearchFirst(L, v)
if firstIndex = -1 then
    return 0
end if
lastIndex := binarySearchLast(L, v)
return lastIndex - firstIndex + 1
Post: return the number of copies of v in L
```