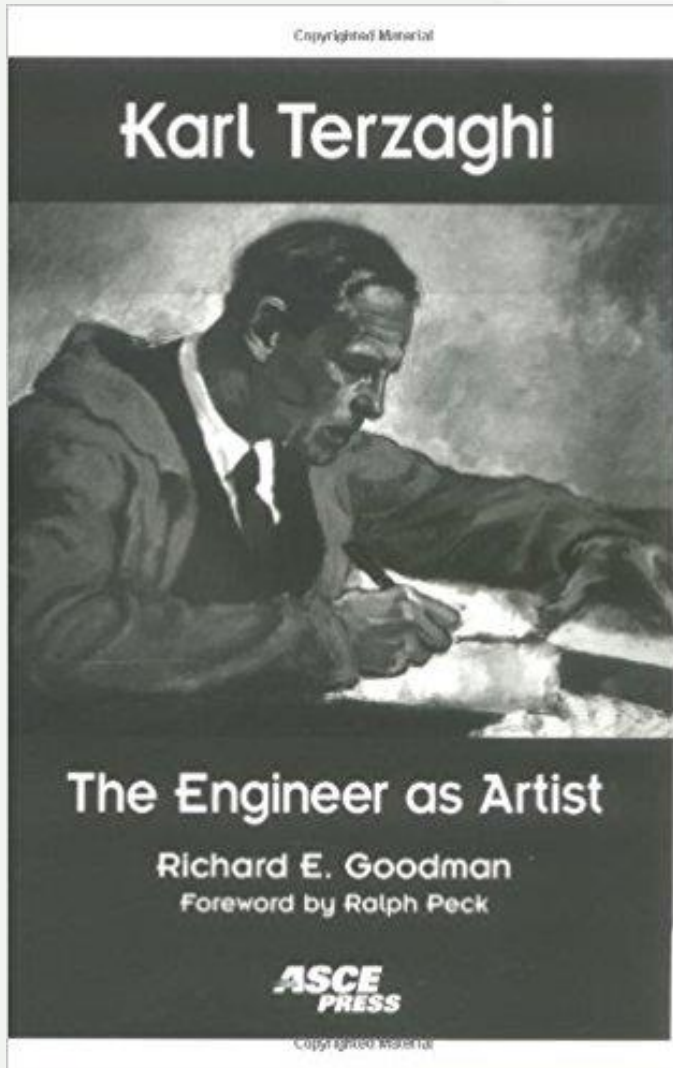


THE EFFECTIVE STRESSES PRINCIPLE IN COASTAL GEOTECHNICS

HISTORICAL DEVELOPMENT



- Keystone concept of modern soil mechanics.
- Development of the principle was begun by Terzaghi about 1920, and extended for several years (i.e. Skempton, 1960).
- Lucid statement of the principle given by Terzaghi (1936) at the First International Conference of Soil Mechanics and Foundation Engineering.

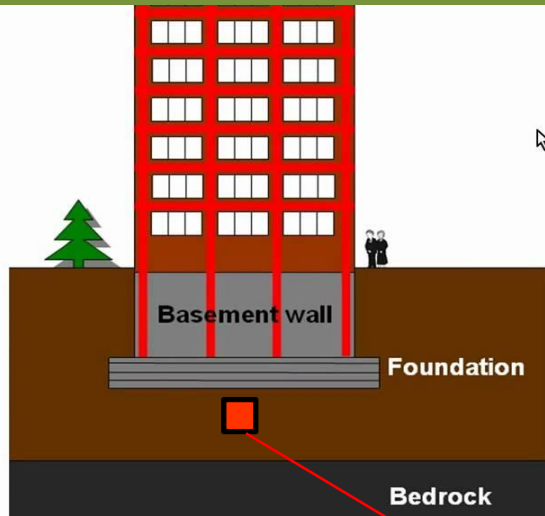
I AM YOUR FATHER !!!



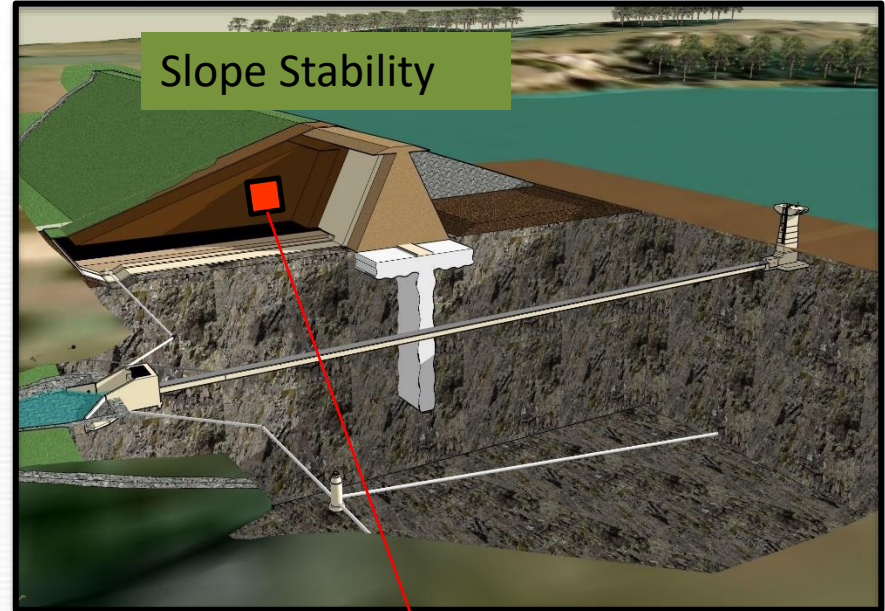
<https://www.youtube.com/watch?v=OAQp9qvQkNw>

IMPORTANCE

Bearing Capacity calculations



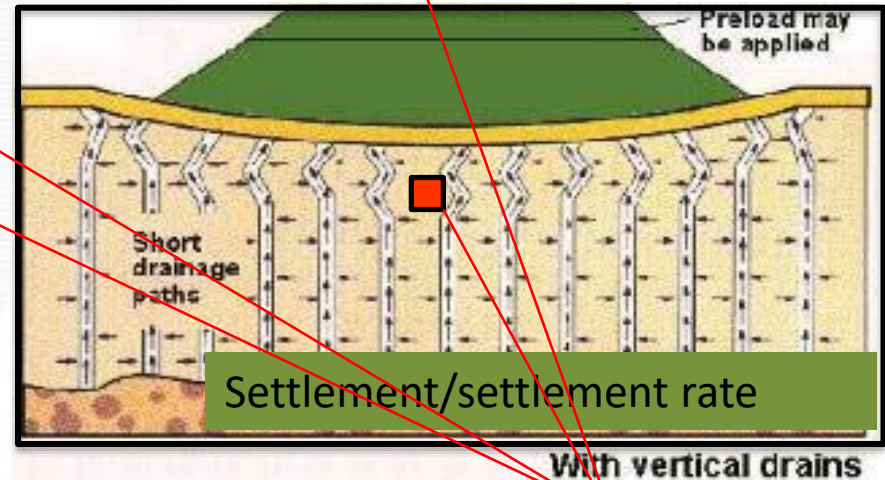
Slope Stability



Shear Strength/Stiffness

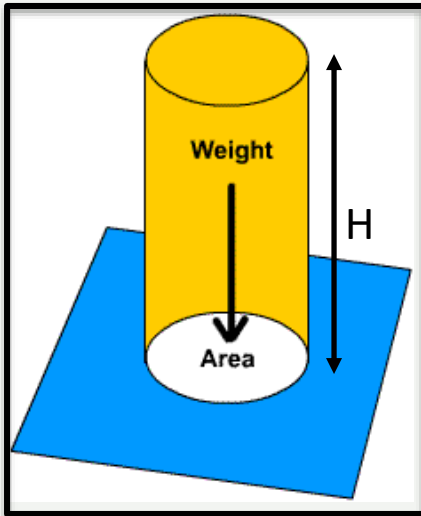


Settlement/settlement rate



The compressibility, deformation and strength properties of a **soil mass** are related with the effective stresses.

SOME CONCEPTS



Stress:

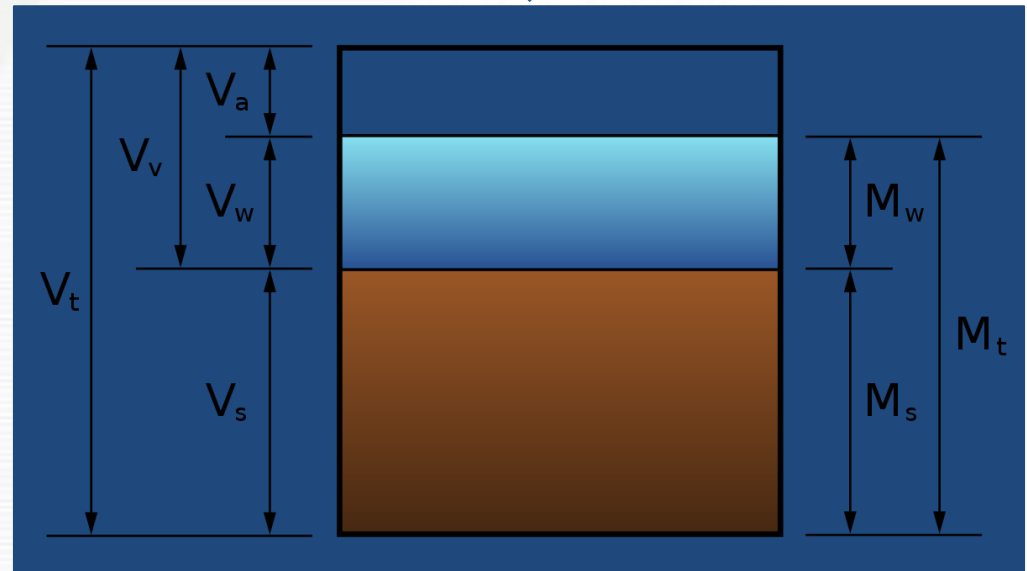
$$\sigma = \frac{\text{Weight}}{\text{Area}}$$

$$\sigma = \frac{\text{density} * g * \text{Volume}}{\text{Area}}$$

$$\sigma = \frac{\text{density} * g * \text{Area} * H}{\text{Area}}$$

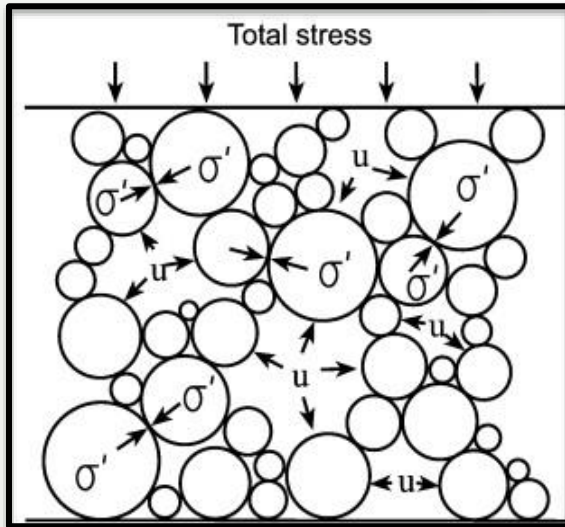
$\text{density} * g = \text{Unit weight} = \gamma$

$$\sigma = \gamma * H$$



A mass of soil is usually represented by 3 phases:
Solids, water and air.

THE PRINCIPLE



$$\sigma' = \sigma - u$$

σ' : effective stress

σ : Total stress

u : Pore water pressure

Terzaghi (1936)

“The stresses in any point of a section through a mass of soil can be computed from the total principal stresses σ which act in this point. If the voids of the soil are filled with water under stress u , the total principal stresses consist of two parts. One part, u , acts in the water and in the solid in every direction with equal intensity. It is called the neutral stress (or the pore water pressure). The balance $\sigma' = \sigma - u$ represents an excess over the neutral stress u , and it has its seat exclusively in the solid phase of the soil.

This fraction of the total principal stresses will be called the effective principal stresses All the measurable effects of a change of stress, such as a compression, distortion and a change of shearing resistance are exclusively due to the changes in the effective stresses... “



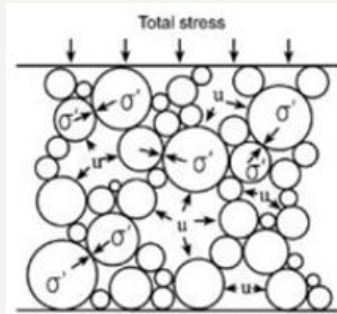
Karl Terzaghi: Founder of Modern Geotechnology. Photo courtesy of The MIT Museum

INTRODUCTION



Karl Terzaghi,
Father of modern
Soil Mechanics

- Effective stress, σ' is the normal stress to which soil particles are subjected.
- Effective stress is the portion of the total stress which is actually carried by the soil grains themselves (i.e. particle-to-particle contact).



σ' = effective stress (i.e. the amount of stress that the particles feel)

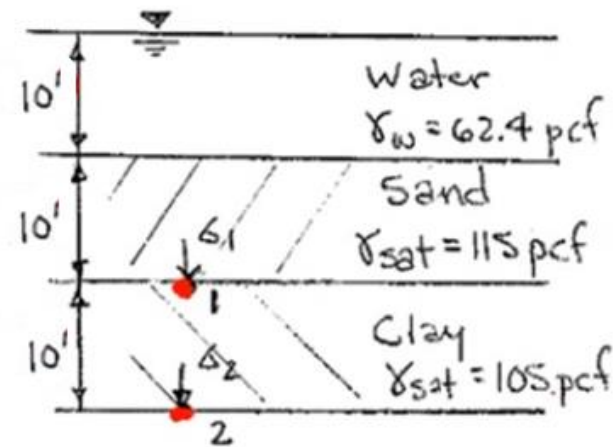
u = pore pressure

- Effective stress is critical for: **Shear strength determination**
Bear capacity calculation
Slope stability evaluation
Amount of settlement calculation
Rate of settlement calculation

TOTAL STRESS

- Total stress = σ = stress due to all forces on the soil sample (i.e., soil, water, and air)
- Example: Find the total stress at Points 1 & 2:

$$\begin{aligned}\sigma_1 &= (10')(\overset{\gamma_w}{62.4\text{pcf}}) + (10')(\overset{\gamma_{sat}}{115\text{pcf}}) \\ &= 1774 \text{ psf} \\ \sigma_2 &= (10)(\overset{\gamma_w}{62.4}) + (10)(\overset{\gamma_{sat}}{115}) + (10)(\overset{\gamma_{sat}}{105}) \\ &= 2824 \text{ psf}\end{aligned}$$



- While we must often calculate total stress, it does not correlate with the stress that the soil grains feel. The stress taken by the water and the air must be taken out first to get the effective stress.

SOME ANALOGIES/EXAMPLES

$$\sigma' = \sigma - u$$

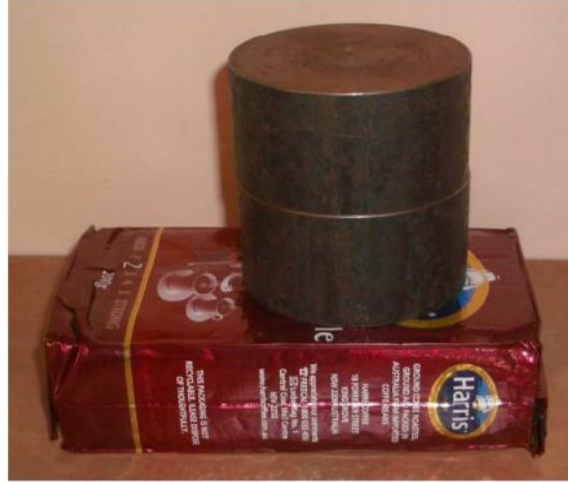


Figure 2.14 Typical vacuum-sealed brick of ground coffee supporting 2 kg.



Figure 2.15. Punctured brick of ground coffee supporting 2 kg.



Figure 2.16 Vacuum mattress with hand pump. (Source: Wikipedia 2009d.)

$$\sigma' = \sigma - u$$



(a)



(b)



(c)

Figure 2.17 Vacuum mattress operation. (a) Mattress is placed beneath patient. (b) Patient is secured. (c) Air is withdrawn from mattress via hand pump. (Source: Ferno UK Ltd. 2006.)

$$\downarrow \sigma' = \sigma - u \uparrow$$



increases

- Strength Reduces As Grain-to-Grain Soil Stress Decreases



liquefaction effects

FORCE TRANSMISSION

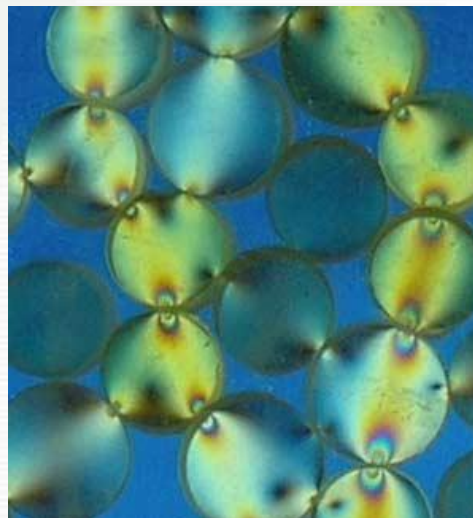


Force chain development in a 2D granular pure shear experiment

Jie Zhang and Robert F. Behringer
Department of Physics and CNCS, Duke University,
Durham, NC 27708 USA

This work is supported by [DMR0555431](#).

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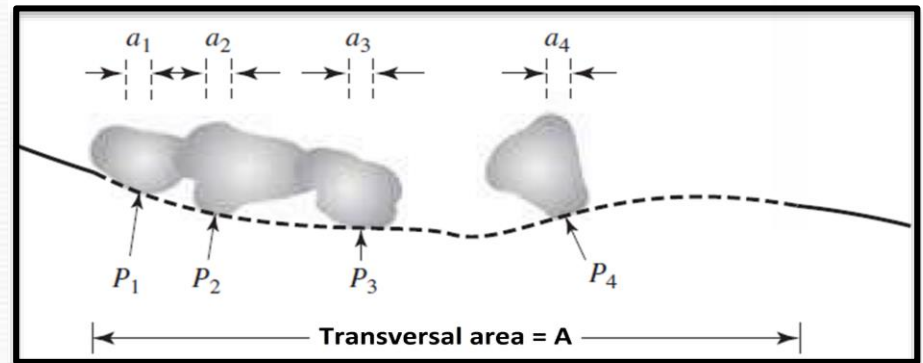
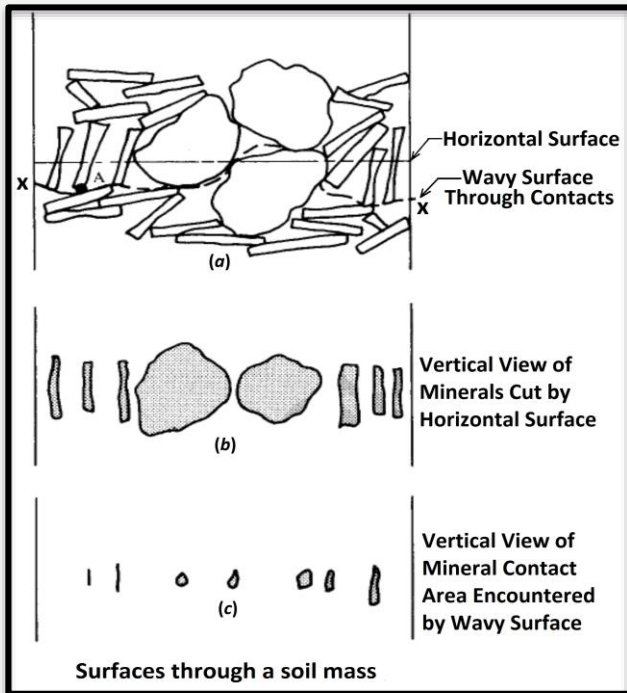
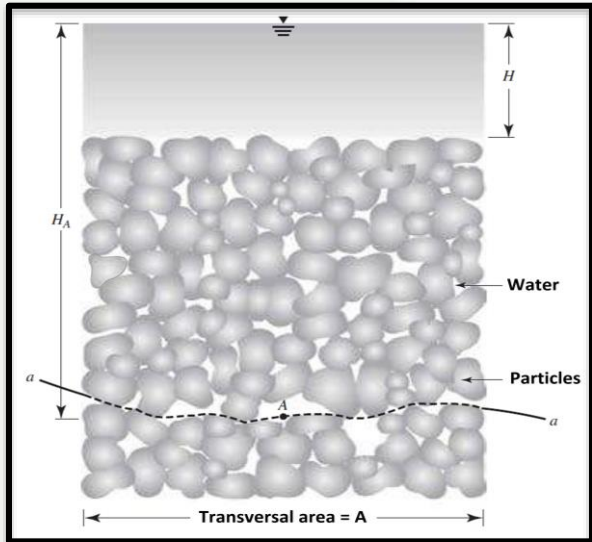


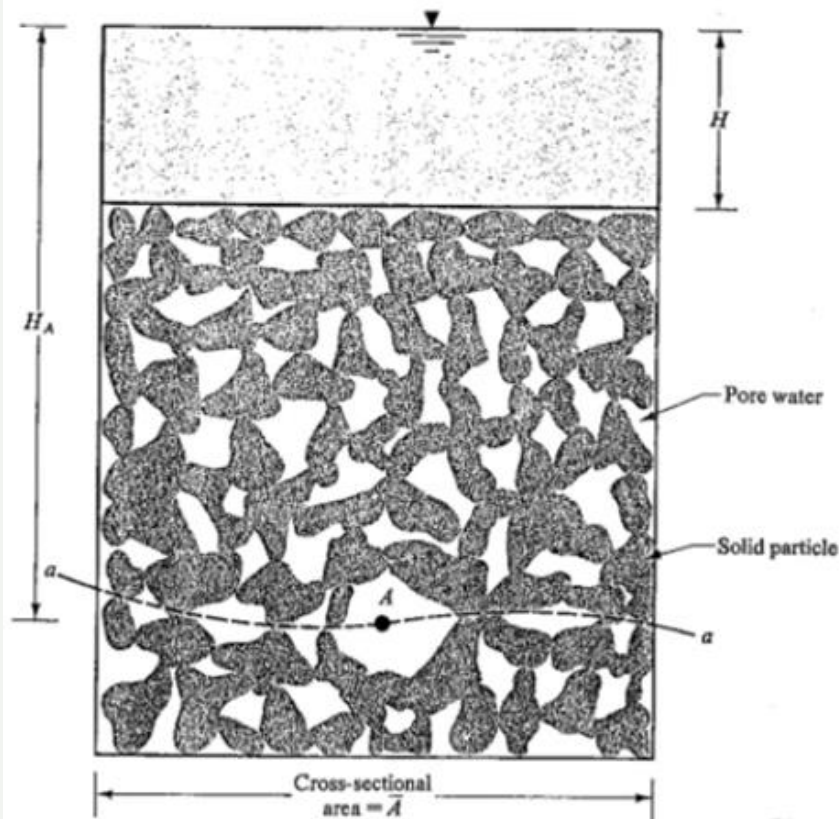
Zhang & Behringer (2011)



<https://www.youtube.com/watch?v=a-6YbkZJ5UY>

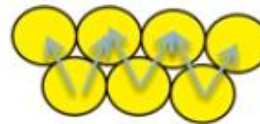
ILLUSTRATIVE DERIVATION





Consider forces on surface area, A , of wavy surface (a-a) passing through contact points of soil....

1. Forces due to grain-to-grain contact, F_S



(sum up vertical force components)

$$F_S = \sum_{i=1}^{\text{\# of contacts}} (F_{\text{vertical}})_i$$

2. Force due to pore water pressure, u

$$F_w = u \cdot A_w = u(A - A_S)$$

A = wavy surface area

A_w = portion of the area that is water

A_s = portion of the area that is soil-soil contact

EFFECTIVE STRESS

Sum forces in the vertical direction, $\sum F_v = 0$

$$\sigma \cdot A = F_s + F_w = F_s + u(A - A_s)$$

Divide through by A to isolate total stress:

$$\sigma = \frac{F_s}{A} + u \frac{(A - A_s)}{A}$$

Because $A_s \approx 0$: **Critical assumption**

$$\sigma = \frac{F_s}{A} + u, \text{ where } \frac{F_s}{A} = \sigma' = \text{EFFECTIVE STRESS}$$

$$\sigma' = \sigma - u$$