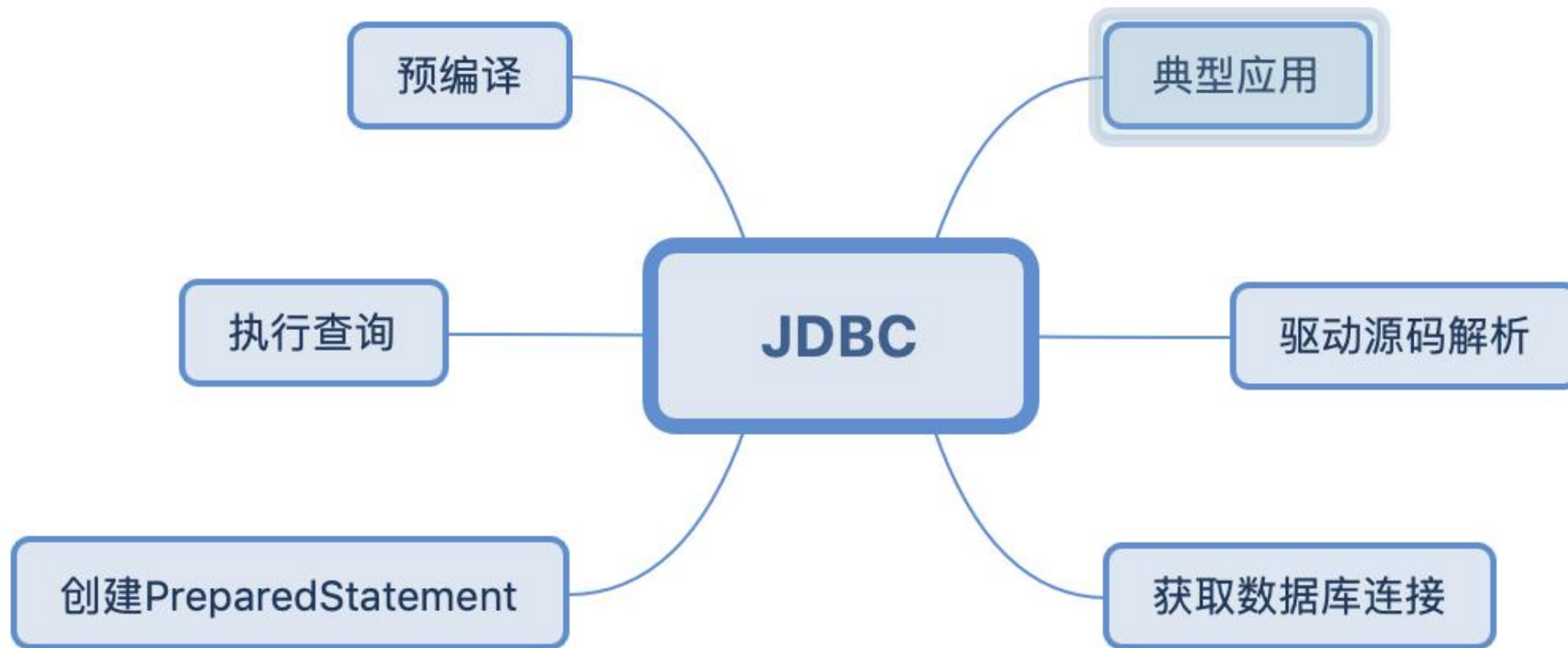


# Java高级工程师

## JDBC篇



# JDBC典型应用

# 建一个类,把数据库操作封到底层

```
public class DbBase {  
    private String url = "jdbc:mysql://localhost:3306/studb";  
    private Connection conn;  
    /**  
     * 加载数据库驱动  
     * 获取连接实例  
     */  
}
```

```
public class DbBase {  
    private String url = "jdbc:mysql://localhost:3306/studb";  
    private Connection conn;  
    /**  
     * 加载数据库驱动  
     * 获取连接实例  
     */  
}
```

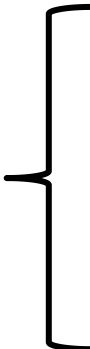
两个私有属性:Connection数据库  
连接实例  
url 数据库连接字符串

# Java是怎样连接数据库的?

频度:高

难度:中

通过率:低

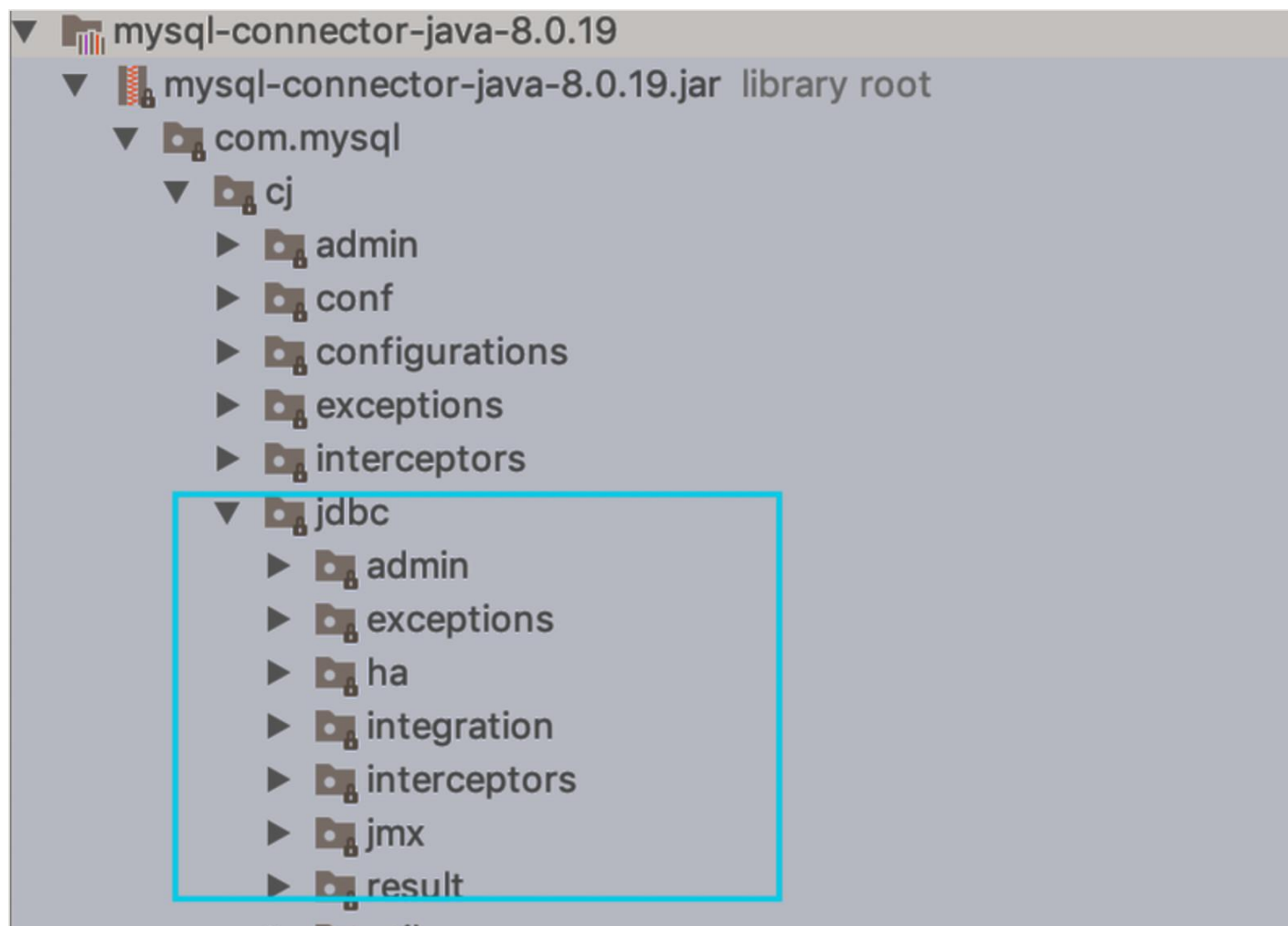
- 
- 通过DirveManager加载符合Jdbc标准的驱动  
(反射)
  - 通过connection接口约定获取数据库连接实例

```
*  
* @see DriverManager#getConnection  
* @see Statement  
* @see ResultSet  
* @see DatabaseMetaData  
*/  
public interface Connection extends Wrapper, AutoCloseable {
```



```
for(DriverInfo aDriver : registeredDrivers) {  
    // If the caller does not have permission to load the driver then  
    // skip it.  
    if(isDriverAllowed(aDriver.driver, callerCL)) {  
        try {  
            println("    trying " + aDriver.driver.getClass().getName());  
            Connection con = aDriver.driver.connect(url, info);  
            if (con != null) {  
                // Success!  
                println("getConnection returning " + aDriver.driver.getClass().getName());  
                return (con);  
            }  
        } catch (SQLException ex) {  
            if (reason == null) {  
                reason = ex;  
            }  
        }  
    } else {  
        println("    skipping: " + aDriver.getClass().getName());  
    }  
}
```

# 其实jdbc是厂商的驱动实现的



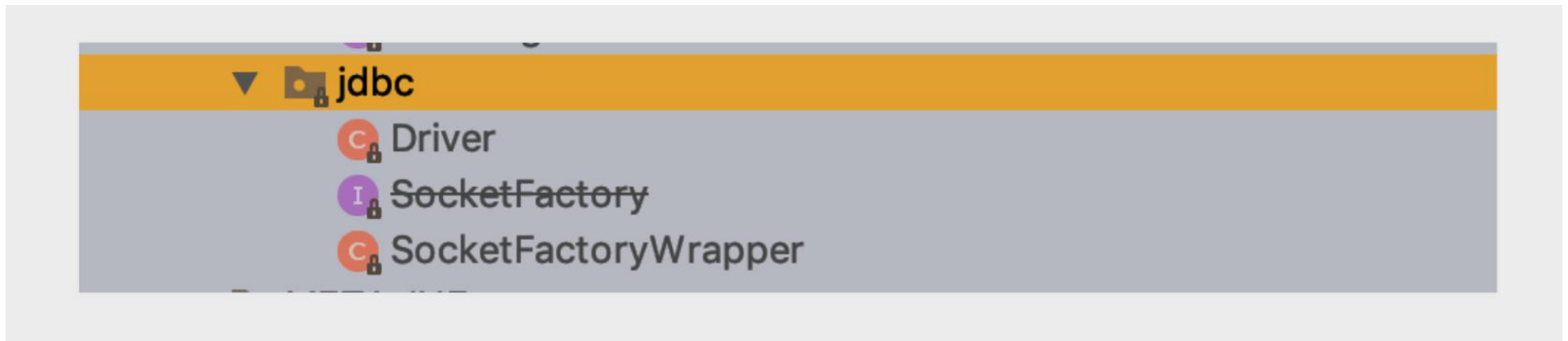
```
public class ConnectionImpl implements JdbcConnection, SessionEventListener, Serializable {  
    private static final long serialVersionUID = 4009476458425101761L;  
    private static final SQLPermission SET_NETWORK_TIMEOUT_PERM = new SQLPermission( name: "setNetworkTimeout");  
    private static final SQLPermission ABORT_PERM = new SQLPermission( name: "abort");  
    private JdbcConnection parentProxy = null;  
    private JdbcConnection topProxy = null;  
    private InvocationHandler realProxy = null;  
    public static Map<?, ?> charsetMap;
```

# 加载厂商驱动

```
/**
 * 加载数据库驱动
 * 获取连接实例
 */
public Connection getConnection(){
    // step1: 加载数据库厂商提供的驱动程序
    try {
        Class.forName("com.mysql.cj.jdbc.Driver");
        conn = DriverManager.getConnection(url, user: "cup", password: "c
    } catch (ClassNotFoundException e) {
        e.printStackTrace();
    } catch (SQLException e) {
        e.printStackTrace();
    }
    finally {
        return conn;
    }
}
```

# 这个驱动名怎么来?

```
/**
 * 加载数据库驱动
 * 获取连接实例
 */
public Connection getConnection(){
    // step1: 加载数据库厂商提供的驱动程序
    try {
        Class.forName("com.mysql.cj.jdbc.Driver");
        conn = DriverManager.getConnection(url, user: "cup", password: "cup");
    } catch (ClassNotFoundException e) {
        e.printStackTrace();
    } catch (SQLException e) {
        e.printStackTrace();
    }
    finally {
        return conn;
    }
}
```



```
public class Driver extends com.mysql.cj.jdbc.Driver {  
    public Driver() throws SQLException {  
    }  
  
    static {  
        System.err.println("Loading class `com.mysql.jdbc.Driver'. This is deprecated. The new dri  
    }  
}
```



```
/**
 * 执行查询
 */
public void execDb(){
    PreparedStatement pstmt = null;
    ResultSet rs = null;
    String sql = "SELECT * FROM student WHERE id = ?";
    if(conn == null){
        conn = this.getConnection();
    }
    try {
        //避免空指针异常
        if (null == conn){
            System.out.println("建立数据库连接错");
        }
        // step3: 创建Statement(SQL的执行环境)
        pstmt = conn.prepareStatement(sql);
        pstmt.setString( parameterIndex: 1, x: "1");
        //step5: 处理结果
        rs = pstmt.executeQuery();
        while (rs.next()){
            System.out.println("id="+rs.getString( columnLabel: "id"));
            System.out.println("name="+rs.getString( columnLabel: "name"));
        }
    } catch (SQLException e) {
        e.printStackTrace();
    }
}
```

# PreparedStatement是什么?

和Statement有什么区别?

频度:高

难度:中

通过率:低

# Statement

*The object used for executing a static SQL statement and returning the results it produces.*

# 解读

执行的是静态的sql语句

# 解读

**不会把用户非法输入的单引号用\反斜杠做转义**

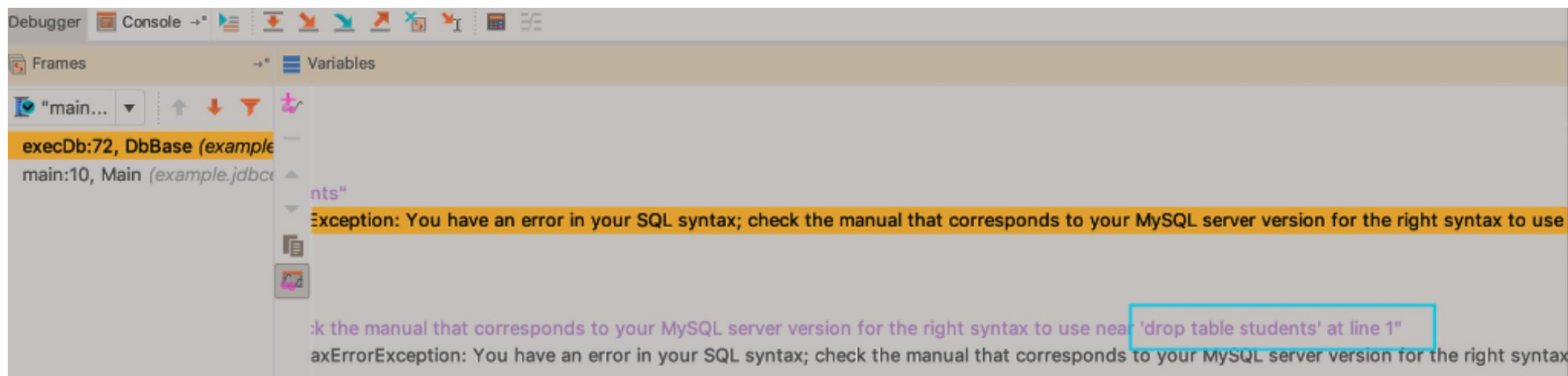
# 解读

有sql 注入的风险

- 注入一个危险的sql脚本
- 特意写错表名,防止真删了

```
sqlx = "SELECT * FROM student WHERE id ='1'+'';drop table students";  
stm = conn.createStatement();  
stm.execute(sqlx);
```

# 看看结果,其实已经去执行了





# PreparedStatement防止注入的机制

```
// step3: PreparedStatement(SQL的执行环境)
sql = "SELECT * FROM student WHERE id = ?";
pstmt = conn.prepareStatement(sql); conn: ConnectionImpl@1717 sql: "SELECT * FROM student WHERE id = ?"
//pstmt.setString(1,"1");
pstmt.setString( parameterIndex: 1, x: "'1';drop table students");
//step5: 处理结果
rs = pstmt.executeQuery(); pstmt: "com.mysql.cj.jdbc.ClientPreparedStatement: SELECT * FROM student WHERE id = ''1'';drop table students"

while (rs.next()){ rs: "com.mysql.cj.jdbc.result.ResultSetImpl@729d991e"
    System.out.println("id="+rs.getString( columnLabel: "id"));
    System.out.println("name="+rs.getString( columnLabel: "name"));
}
```

```
// step3: PreparedStatement(SQL的执行环境)
sql = "SELECT * FROM student WHERE id = ?";
pstmt = conn.prepareStatement(sql); conn: ConnectionImpl@1717 sql: "SELECT * FROM student WHERE id = ?"
//pstmt.setString(1,"1");
pstmt.setString( parameterIndex: 1, x: "'1';drop table students");
//step5: 处理结果
rs = pstmt.executeQuery(); pstmt: "com.mysql.cj.jdbc.ClientPreparedStatement: SELECT * FROM student WHERE id = ''1'';drop table students'"

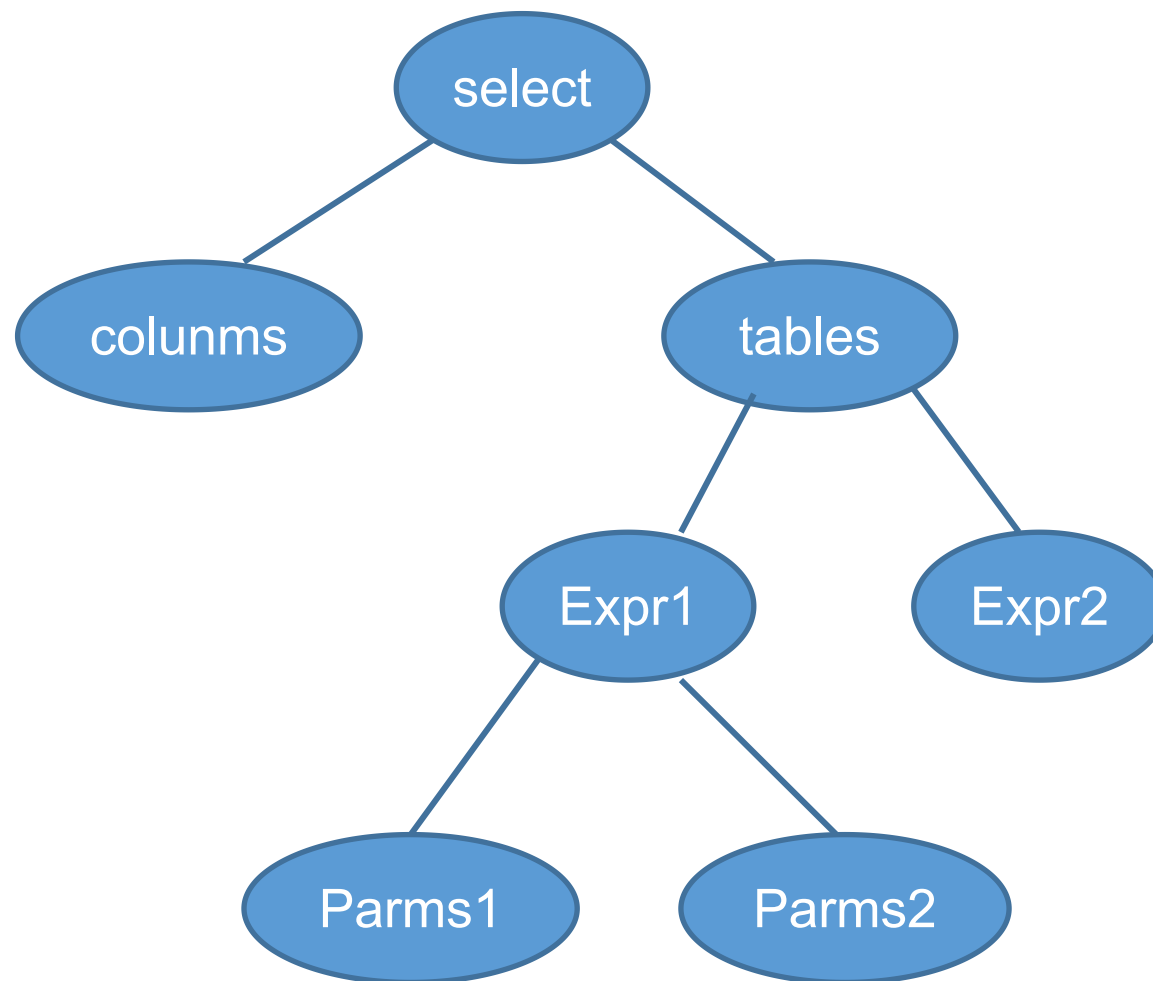
while (rs.next()){ rs: "com.mysql.cj.jdbc.result.ResultSetImpl@729d991e"
    System.out.println("id="+rs.getString( columnLabel: "id"));
    System.out.println("name="+rs.getString( columnLabel: "name"));
}
```

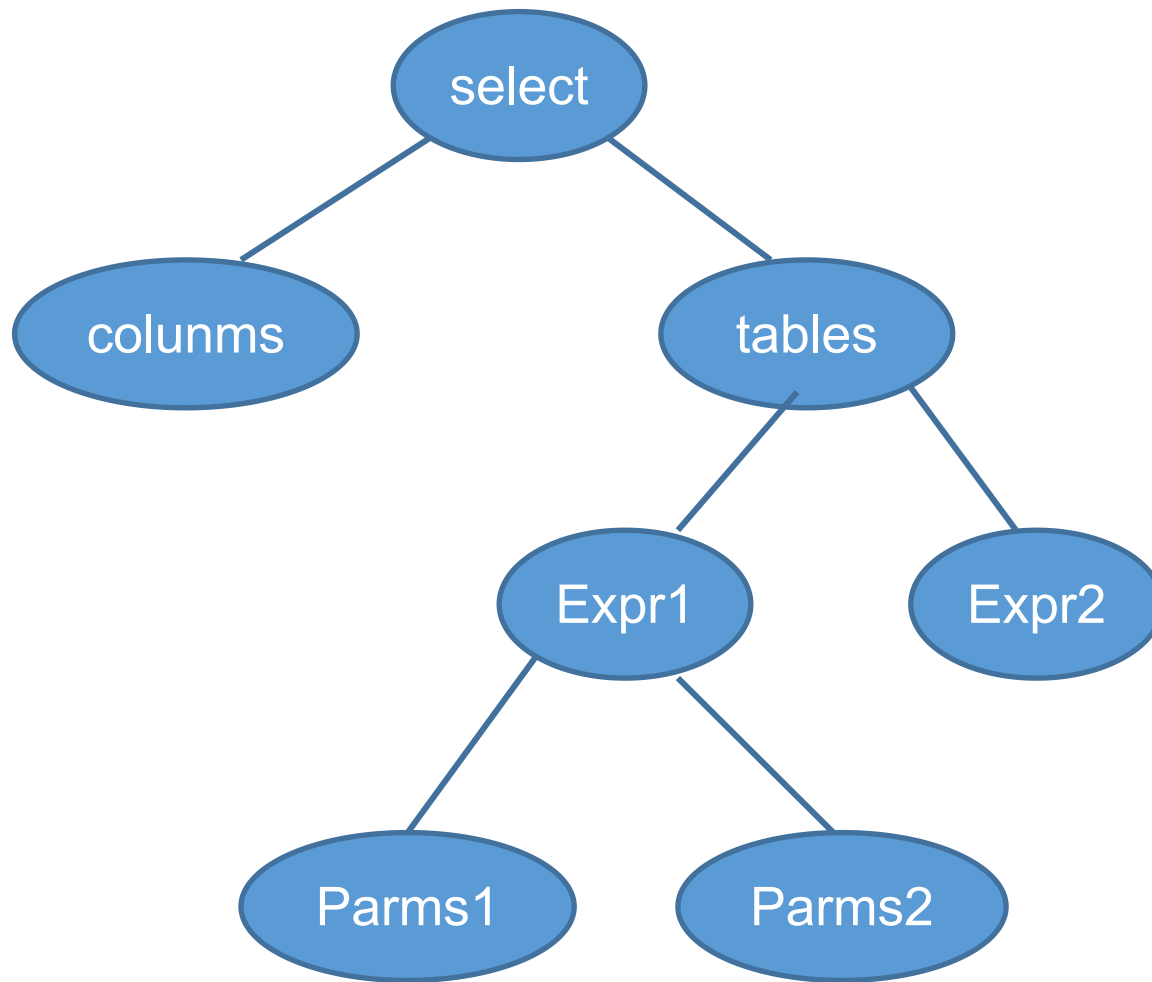
## 区别二

**PreparedStatement**是预编译的,对于批量处理可以大大提高效率. 也叫**JDBC**存储过程

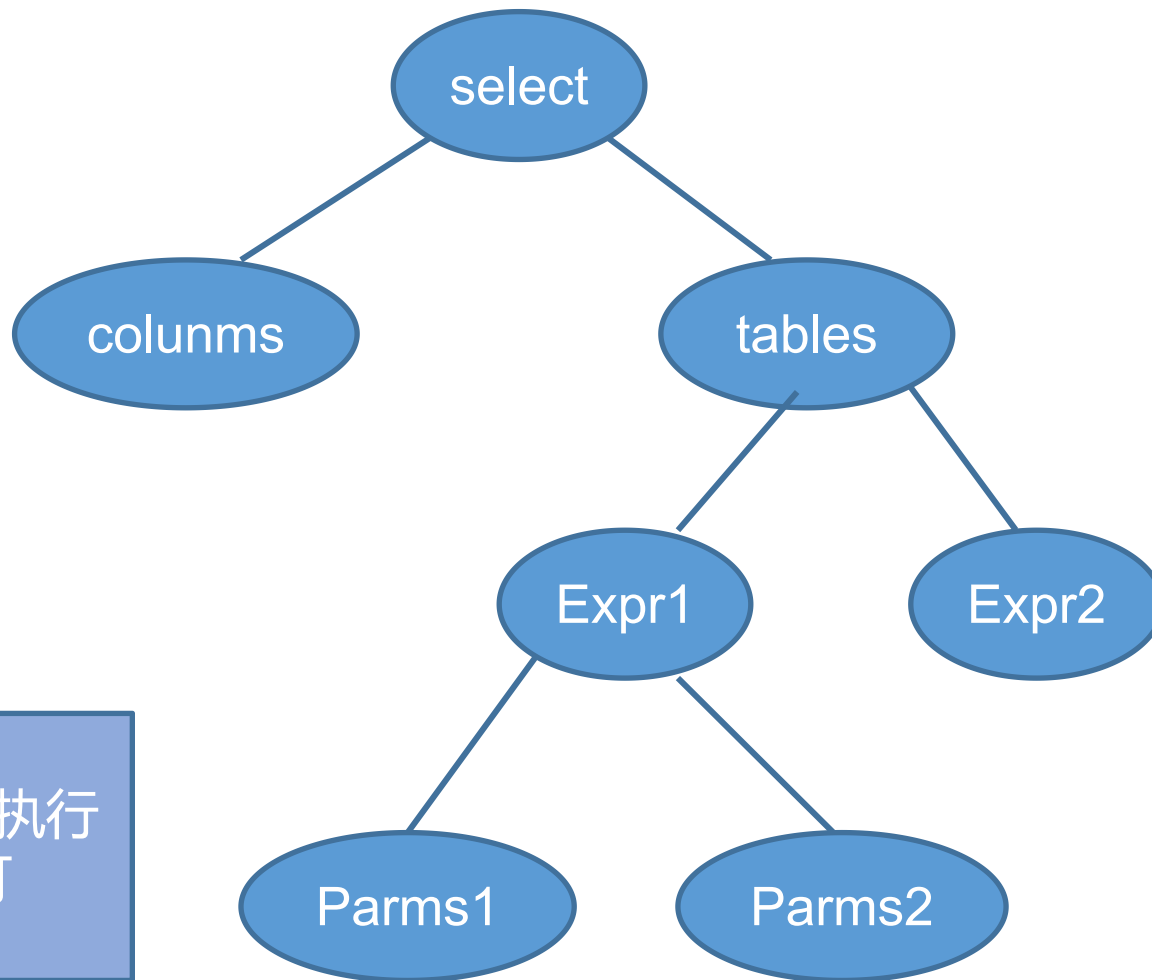
# 什么是预编译

通常的实现,sql在编译时形成一个语法树,例如,按左规则范式的方式:





开销主要在建树上



预编译就是建好了树,执行  
时给参数赋值即可

```
if ((Boolean)this.cachePrepStmts.getValue()) {  
    LRUCache var8 = this.serverSideStatementCache;  
    synchronized(this.serverSideStatementCache) {  
        pStmt = (ClientPreparedStatement)this.serverSideStatementCache.remove(new ConnectionImpl.CompoundCacheKey(this.database, sql));  
        if (pStmt != null) {  
            ((ServerPreparedStatement)pStmt).setClosed(false);  
            ((ClientPreparedStatement)pStmt).clearParameters();  
        }  
  
        if (pStmt == null) {
```



```
if ((Boolean)this.cachePrepStmts.getValue()) {  
    LRUCache var8 = this.serverSideStatementCache;  
    synchronized(this.serverSideStatementCache) {  
        pStmt = (ClientPreparedStatement)this.serverSideStatementCache.remove(new ConnectionImpl.CompoundCacheKey(this.database, sql));  
        if (pStmt != null) {  
            ((ServerPreparedStatement)pStmt).setClosed(false);  
            ((ClientPreparedStatement)pStmt).clearParameters();  
        }  
  
        if (pStmt == null) {
```

如果存在预编译结果,要把服务端的缓存删了,以免得到错误结果

```
try {  
    pstmt = ServerPreparedStatement.getInstance(this.getMultiHostSafeProxy(), nativeSql, this.database, resultSetType, resultSetConcurrency);  
    ((ClientPreparedStatement)pstmt).setResultSetType(resultSetType);  
    ((ClientPreparedStatement)pstmt).setResultSetConcurrency(resultSetConcurrency);  
} catch (SQLException var13) {  
    if (!(Boolean)this.emulateUnsupportedPstmts.getValue()) {  
        throw var13;  
    }  
  
    pstmt = (ClientPreparedStatement)this.clientPrepareStatement(nativeSql, resultSetType, resultSetConcurrency, processEscapeCodesIfNeeded: false)  
}
```

服务端初始化(预编译)异常,则  
走客户端预编译的过程

```
public Statement createState(int resultSetType, int resultSetConcurrency) throws SQLException {  
    try {  
        this.checkClosed();  
        StatementImpl stmt = new StatementImpl(this.getMultiHostSafeProxy(), this.database);  
        stmt.setResultSetType(resultSetType);  
        stmt.setResultSetConcurrency(resultSetConcurrency);  
        return stmt;  
    } catch (CJException var5) {  
        throw SQLExceptionsMapping.translateException(var5, this.getExceptionInterceptor());  
    }  
}
```

```
public Statement createStatement(int resultSetType, int resultSetConcurrency) throws SQLException {  
    try {  
        this.checkClosed();  
        StatementImpl stmt = new StatementImpl(this.getMultiHostSafeProxy(), this.data  
        stmt.setResultSetType(resultSetType);  
        stmt.setResultSetConcurrency(resultSetConcurrency);  
        return stmt;  
    } catch (CJException var5) {  
        throw SQLExceptionsMapping.translateException(var5, this.getExceptionIntercept  
    }  
}
```

从参数可以直接看到,  
没有预编译过程

## 再看一下执行sql语句的过程

```
1 if ((Boolean) locallyScopedConn.getPropertySet().getBooleanProperty(PropertyKey.cacheResultSetMetadata).getValue()) {  
    cachedMetaData = locallyScopedConn.getCachedMetaData(sql);  
}  
  
locallyScopedConn.setSessionMaxRows(maybeSelect ? this.maxRows : -1);  
this.statementBegins();  
rs = (ResultSetInternalMethods)((NativeSession) locallyScopedConn.getSession()).execSQL( callingQuery: this, sql, this.maxRows, (NativeP  
if (timeoutTask != null) {  
    this.stopQueryTimer(timeoutTask, rethrowCancelReason: true, checkCancelTimeout: true);  
    timeoutTask = null;  
}
```

## 再看一下执行sql语句的过程

```
if ((Boolean)locallyScopedConn.getPropertySet().getBooleanProperty(PropertyKey.cacheResultSetMetadata).getValue()) {  
    cachedMetaData = locallyScopedConn.getCachedMetaData(sql);  
}  
  
locallyScopedConn.setSessionMaxRows(maybeSelect ? this.maxRows : -1);  
this.statementBegins();  
rs = (ResultSetInternalMethods)((NativeSession)locallyScopedConn.getSession()).execSQL( callingQuery: this, sql, this.maxRows, (NativeP  
if (timeoutTask != null) {  
    this.stopQueryTimer(timeoutTask, rethrowCancelReason: true, checkCancelTimeout: true);  
    timeoutTask = null;  
}
```

没有预编译过程,  
执行一次,  
编译一次

# 预编译的好处

Sql结构不变,只有参数变时,效率很高

如下面的语句:

```
stmt.addBatch("INSERT INTO TABLE1 VALUES("11","12","13","","");  
stmt.addBatch("INSERT INTO TABLE1 VALUES("12","12","13","","");  
stmt.addBatch("INSERT INTO TABLE1 VALUES("13","12","13","","");  
stmt.addBatch("INSERT INTO TABLE1 VALUES("14","12","13","","");  
stmt.addBatch("INSERT INTO TABLE1 VALUES("15","12","13","","");  
stmt.addBatch("INSERT INTO TABLE1 VALUES("16","12","13","","");  
stmt.addBatch("INSERT INTO TABLE1 VALUES("17","12","13","","");  
stmt.addBatch("INSERT INTO TABLE1 VALUES("18","12","13","","");
```



```
while (rs.next()){  
    System.out.println("id="+rs.getString( columnLabel: "id"));  
    System.out.println("name="+rs.getString( columnLabel: "name"));  
}
```

```
/Library/Java/JavaVirtualMachines/jdk1.8.0_201.jdk/Contents/Home/bin/java ...  
id=1  
name=Jim  
  
Process finished with exit code 0
```

# 执行过程的几个知识点



## 乐观锁

查库存

上锁 减库存

库存不够,回滚

提交、解锁

## 悲观锁

锁余额

扣款

库存不够,回滚

提交,解锁

## 悲观锁

上锁  
减库存

提交、解锁

库存不够,回滚

## 乐观锁

上锁/扣款

余额不够,回滚

提交,解锁

## 悲观锁

上锁 减库存

提交、解锁

库存不够,回滚

puzzle

## 乐观锁

上锁/扣款

余额不够,回滚

提交,解锁

# 悲观锁的实现

```
String sql="select job,ename,sal from emp where job=? for  
update";
```



# 乐观锁的实现

在数据表中添加version字段

# 乐观锁的实现

**update order set price = 1, version = version + 1 where id  
= 1 and version = 0**

# 乐观锁的实现

**执行完成后，version字段值将变成1，第二人执行update:**

# 乐观锁的实现

**update order set price = 1, version = version + 1 where id  
= 1 and version = 0**

# 乐观锁的实现

**此时的version的值已经被修改为1，所以第二人修改失败，实现乐观锁控制。**

# 乐观锁存在的问题

死锁

# 死锁(事务A)

update order set price = 1 where id = 1

update order set price = 2 where id = 2

## 死锁(事务B)

update order set price = 1 where id = 2

update order set price = 2 where id = 1



# 死锁

事务A在执行完第一条update的时候，刚好事务B也执行完第一条update

# 死锁

此时，事务A中order表中的id = 1的行被锁住，事务B中order表中id = 2的行被锁住，两个事务继续往下执行

# 死锁

事务A中第二条update执行需要order表中id = 2的行数据，而事务B中第二条update执行需要id = 1的行数据，两条update往下执行的条件都需要对方事务中已经被锁住的行，于是陷入无限等待，形成死锁。

# 解决死锁

## 指定锁的执行顺序

## 事务A

update order set price = 2 where id = 2

update order set price = 1 where id = 1

## 事务B

update order set price = 1 where id = 2

update order set price = 2 where id = 1

# 总结

并发的事务锁记录时都按一样的顺序去上锁

# 数据库调优篇



```
mysql> explain select * from student where id = '1';
```

id	select_type	table	partitions	type	possible_keys	key	key_len	ref	rows	filtered	Extra
1	SIMPLE	student	NULL	ALL	NULL	NULL	NULL	NULL	2	50.00	Using where

1 row in set, 1 warning (0.00 sec)

# type列

连接类型。一个好的SQL语句至少要达到range级别。杜绝出现all级别。

# key列

使用到的索引名。如果没有选择索引，值是NULL。

# key\_len列

索引长度。

# rows列

扫描行数。该值是个预估值。

# extra列

详细说明。注意，常见的不太友好的值，如下：Using filesort,  
Using temporary。

# Sql语句优化

**SQL语句中IN包含的值不应过多**

# Sql语句优化

例如：select id from t where num in(1,2,3) 对于连续的数值，能用between就不要用in了



# Sql语句优化

**SELECT语句务必指明字段名称**

# Sql语句优化

SELECT\*增加很多不必要的消耗（CPU、IO、内存、网络带宽）；增加了使用覆盖索引的可能性；当表结构发生改变时，应用也需要更新。所以要求直接在select后面接上字段名。

# Sql语句优化

**当只需要一条数据的时候，使用limit 1**

# Sql语句优化

**如果排序字段没有用到索引，就尽量少排序**

# Sql语句优化

**如果限制条件中其他字段没有索引，尽量少用or**

# Sql语句优化

**尽量用union all代替union**

# Sql语句优化

**区分in和exists、not in和not exists**

区分in和exists主要是造成了驱动顺序的改变（这是性能变化的关键），如果是exists，那么以外层表为驱动表，先被访问，如果是IN，那么先执行子查询。所以IN适合于外表大而内表小的情况；EXISTS适合于外表小而内表大的情况。



关于not in和not exists，推荐使用not exists，不仅仅是效率问题，  
not in可能存在逻辑问题。

# 原sql语句

select colname ... from A  
表 where a.id not in (select b.id from B表)

# 高效的sql语句

```
select colname ... from A表 Left join B  
表 on a.id = b.id where b.id is null
```

# 使用合理的分页方式以提高分页的效率

```
select id,name from product limit 866613, 20
```

# 随着表数据量的增加，直接使用 limit分页查询会越来越慢。

以取前一页的最大行数的id，然后根据这个最大的id来限制下一页的起点。

# 优化的sql语句

上一页最大的id是866612则:

```
select id,name from product where id> 866612 limit 20
```

# 避免在where子句中对字段进行 null值判断

对于null的判断会导致引擎放弃使用索引而进行全表扫描。

# 不建议使用%前缀模糊查询

LIKE "%name" 或者 LIKE "%name%"，这种查询会导致索引失效而进行全表扫描。但是可以使用 LIKE "name%"。



# 使用全文索引解决

```
ALTER TABLE `dynamic_201606` ADD FULLTEXT INDEX `idx_u  
ser_name` (`user_name`);
```

# 使用全文索引解决

```
select id,fnum,fdst from dynamic_201606 where match(user_  
name) against('zhangsan' in boolean mode);
```

# 避免在where子句中对字段进行 表达式操作

```
select user_id,user_project from user_base where age*2=36;
```

# 优化sql

```
select user_id,user_project from user_base where age=36/2;
```

# 总结

今天两个专题

在不用框架的情况下纯编码接入mysql  
数据库调优:sql语句的优化

# 作业

- 1、从建库、建表、到纯手工代码实践一个数据库连接的项目
- 2、用sql优化专题中的正例,反例,在作业一实现的项目中采用打印耗时日志的方法分析你的调优效果