

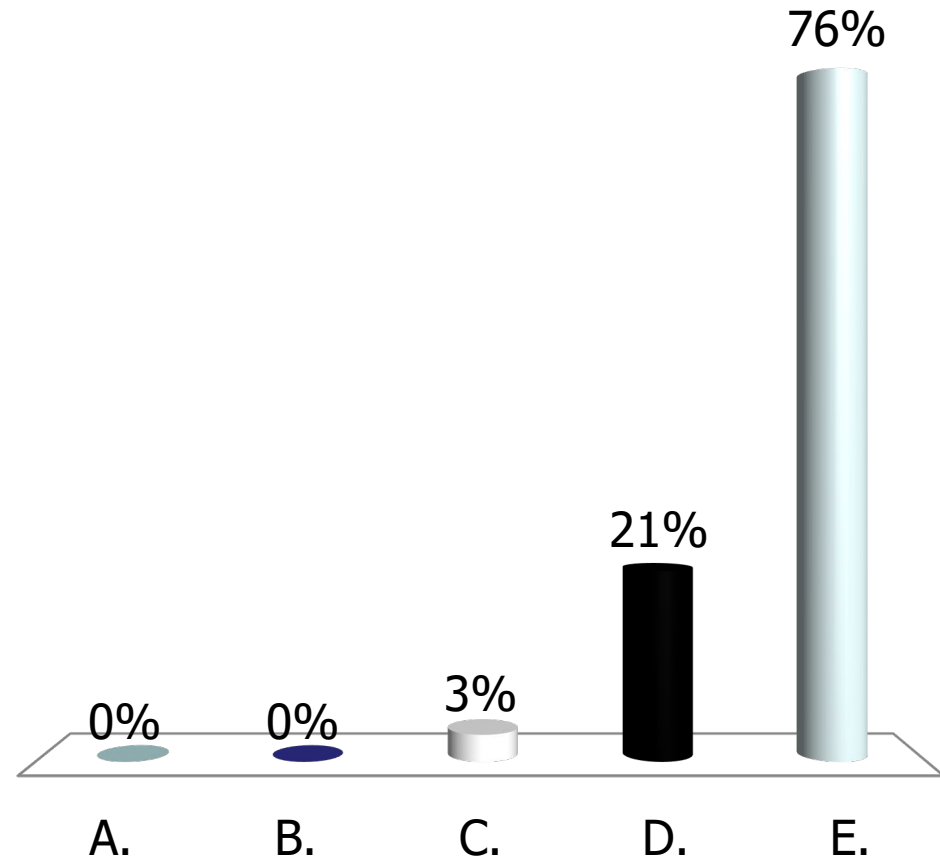
CS2040C

Data Structures and Algorithms

Welcome!

How many pages of slides was your longest lecture?

- A. < 20
- B. < 50
- C. < 70
- D. < 100
- E. This one



**NO MATTER HOW LONG
THE LECTURE IS,**



**THE KNOWLEDGE BEFORE
AND AFTER LECTURE
REMAINS CONSTANT**

Roadmap

Part I: Priority Queues

- Binary Heaps
- HeapSort

Priority Queue ADT

Maintain a set of prioritized objects:

- **insert**: add a new object with a specified priority
- **extractMin**: remove and return the object with minimum priority
- (or **extractMax**)
- Examples:
 - Event-driven simulation
 - customers in a line
 - Scheduling
 - Graph searching
 - Artificial intelligence
 - A* search

Task	Due date
HW	March 31
Study for Quiz 2	April 4
Wash clothes	April 6
See friends	May 12

Abstract Data Type

Min Priority Queue

<code>void insert(Key k, Priority p)</code>	<i>insert k with priority p</i>
<code>Data extractMin()</code>	<i>remove key with minimum priority</i>
<code>void decreaseKey(Key k, Priority p)</code>	<i>reduce the priority of key k to priority p</i>
<code>boolean contains(Key k)</code>	<i>does the priority queue contain key k?</i>
<code>boolean isEmpty()</code>	<i>is the priority queue empty?</i>

Notes:

Assume data items are unique.

Abstract Data Type

Max Priority Queue

<code>void insert(Key k, Priority p)</code>	<i>insert k with priority p</i>
<code>Data extractMax()</code>	<i>remove key with maximum priority</i>
<code>void increaseKey(Key k, Priority p)</code>	<i>increase the priority of key k to priority p</i>
<code>boolean contains(Key k)</code>	<i>does the priority queue contain key k?</i>
<code>boolean isEmpty()</code>	<i>is the priority queue empty?</i>

Notes:

Assume data items are unique.

Priority Queue

Sorted array

- **insert: $O(n)$**
 - Find insertion location in array.
 - Move everything over.
- **extractMax: $O(1)$**
 - Return largest element in array

object	G	C	Y	Z	B	D	F	J	L
priority	2	7	9	13	22	26	29	31	45

Priority Queue

Unsorted array

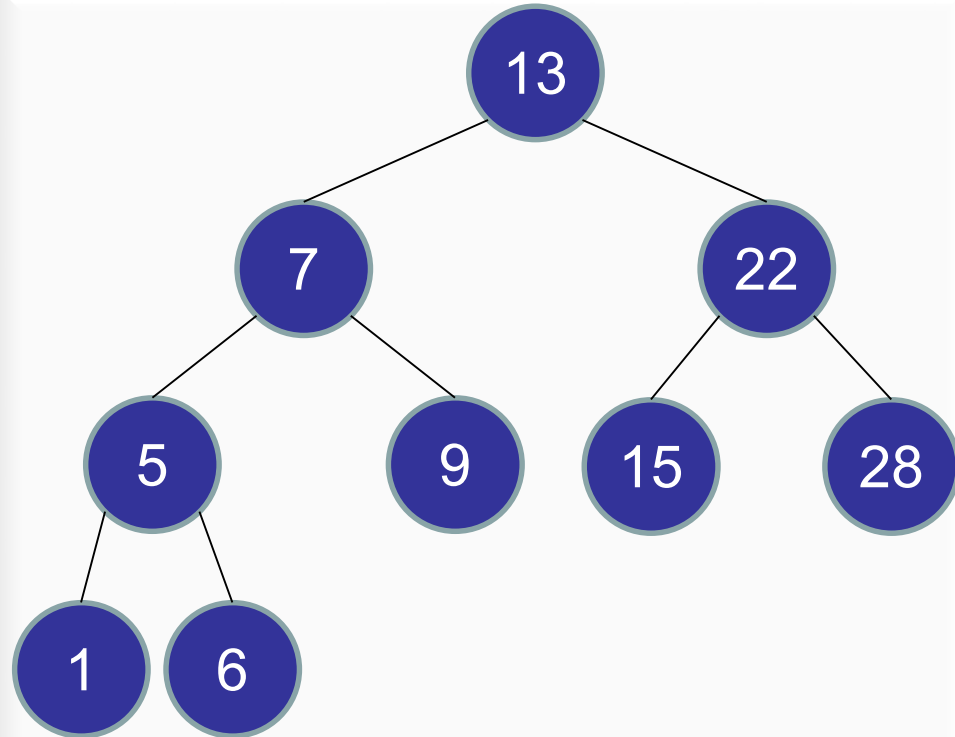
- insert: $O(1)$
 - Add object to end of list
- extractMax: $O(n)$
 - Search for largest element in array.
 - Remove and move everything over.

object	G	L	D	Z	B	J	F	C	Y
priority	2	45	26	13	22	31	29	7	9

Priority Queue

AVL Tree (indexed by priority)

- insert: $O(\log n)$
 - Insert object in tree
- extractMax: $O(\log n)$
 - Find maximum item.
 - Delete it from tree.



Priority Queue

Other operations:

- contains:
 - Look up key in hash table.
- decreaseKey:
 - Look up key in hash table.
 - Remove object from array/tree.
 - Re-insert object into array/tree.

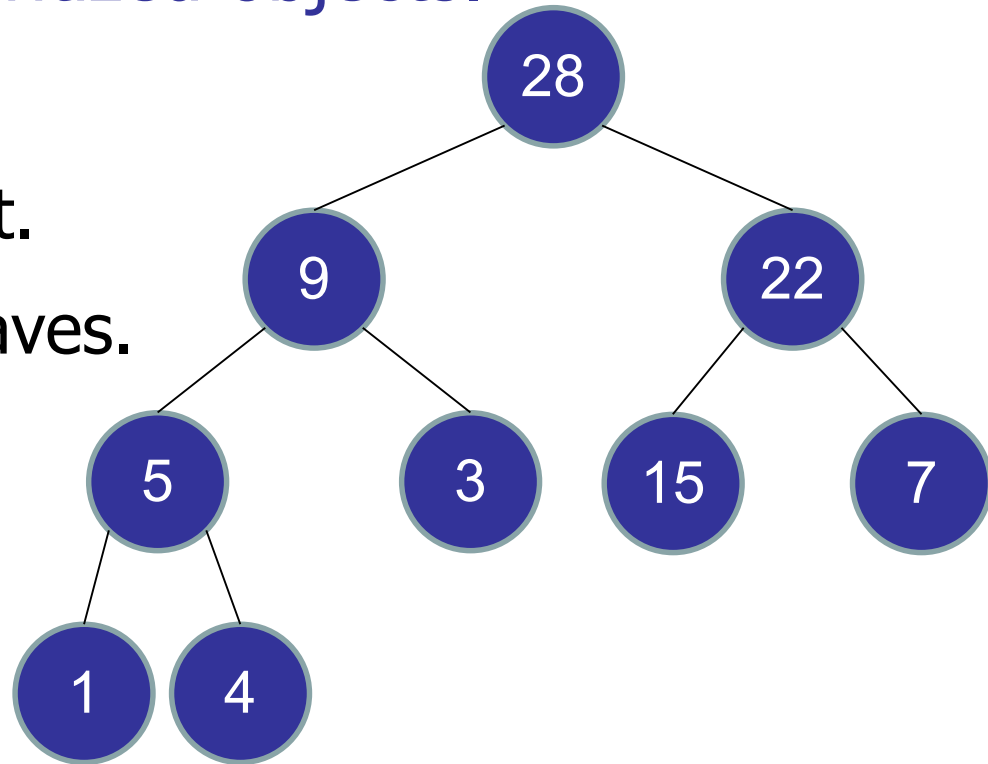
Hash table:

- Maps priorities to array slots or nodes in tree.

Heap

(aka **Binary Heap** or **MaxHeap**)

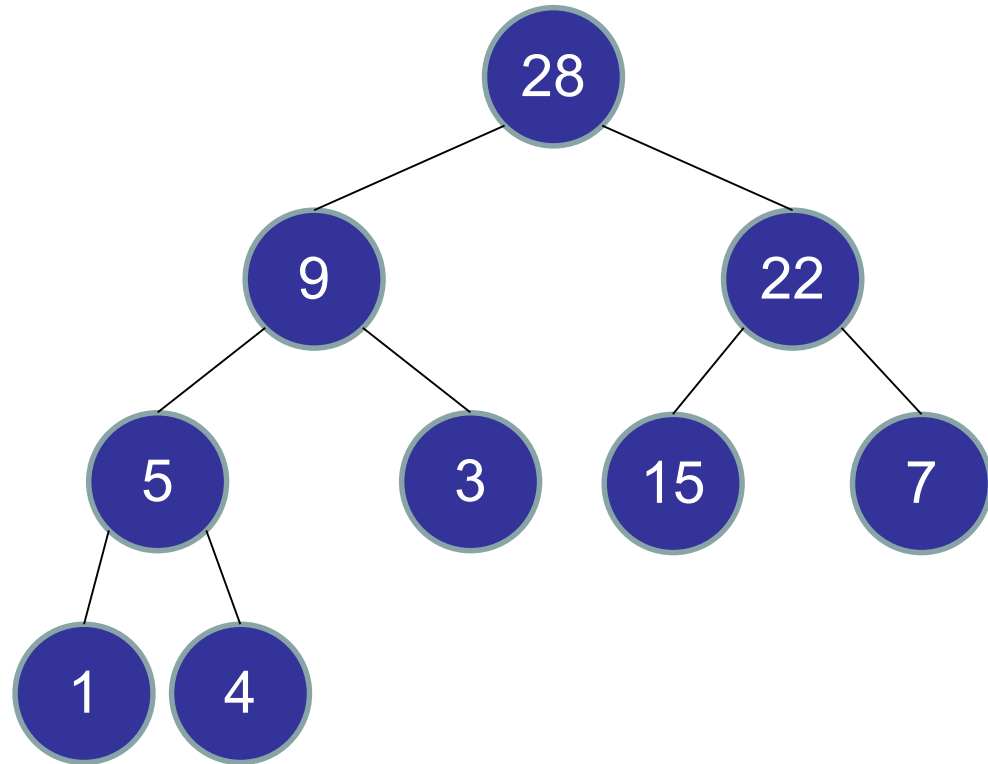
- Implements a Max Priority Queue
- Maintain a set of prioritized objects.
- Store items in a tree.
 - Biggest items at root.
 - Smallest items at leaves.



Two Properties of a Heap

1. Heap Ordering

$\text{priority}[\text{parent}] \geq \text{priority}[\text{child}]$

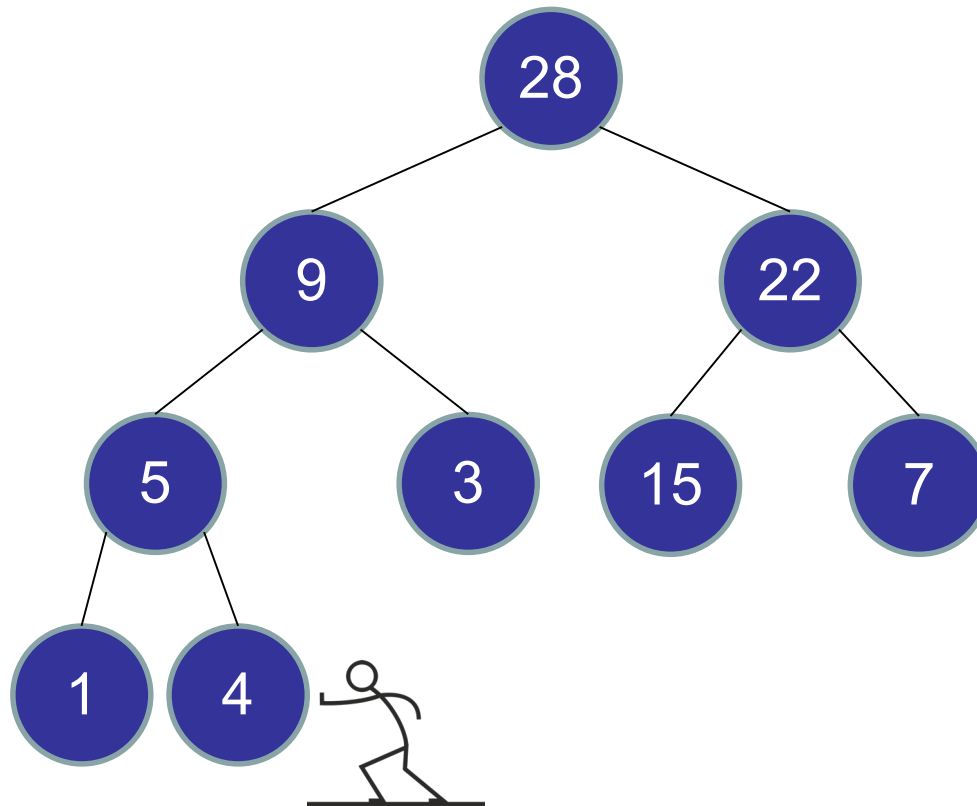


Note: not a binary search tree.

Two Properties of a Heap

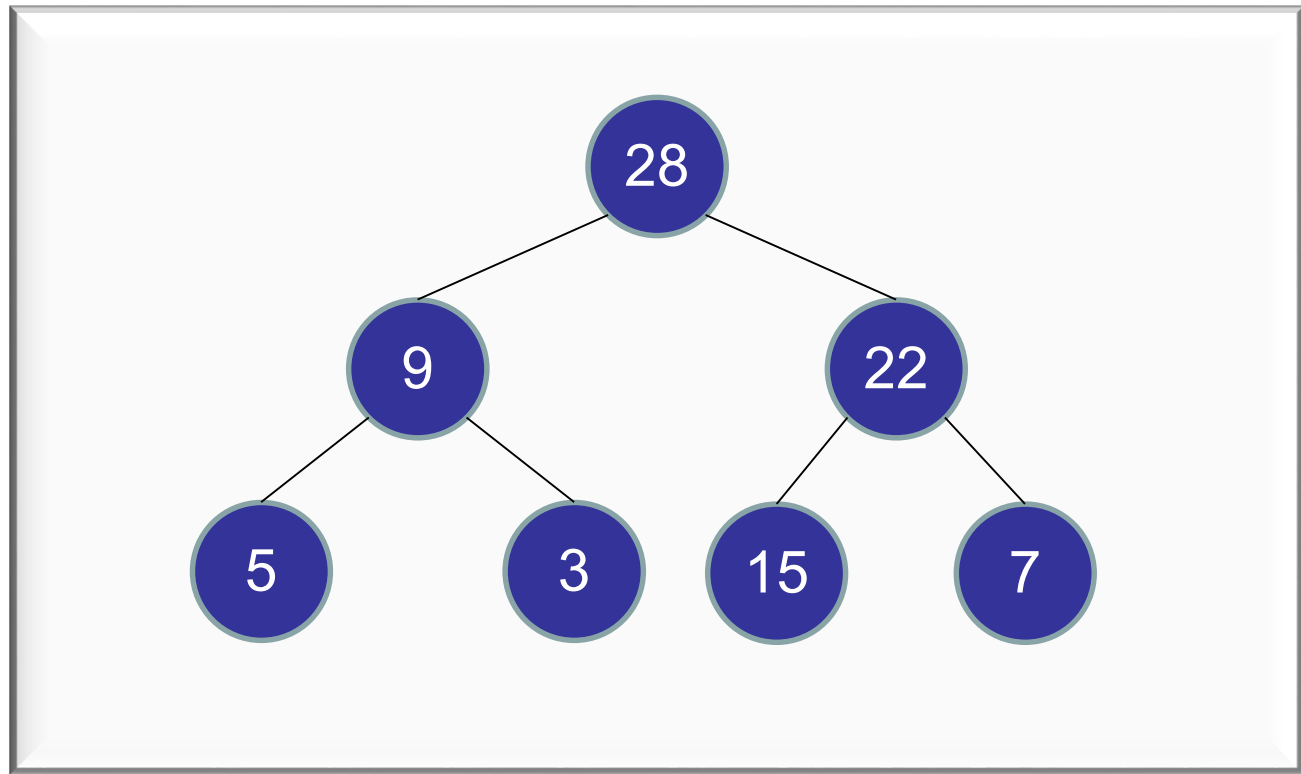
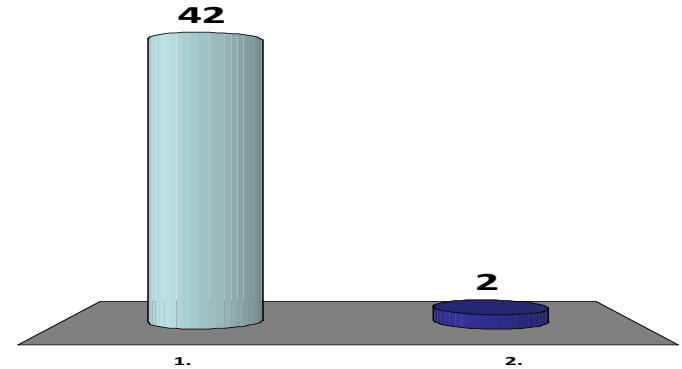
2. Complete binary tree

- Every level is full, except possibly the last.
- All nodes are as far left as possible.



Is it a heap?

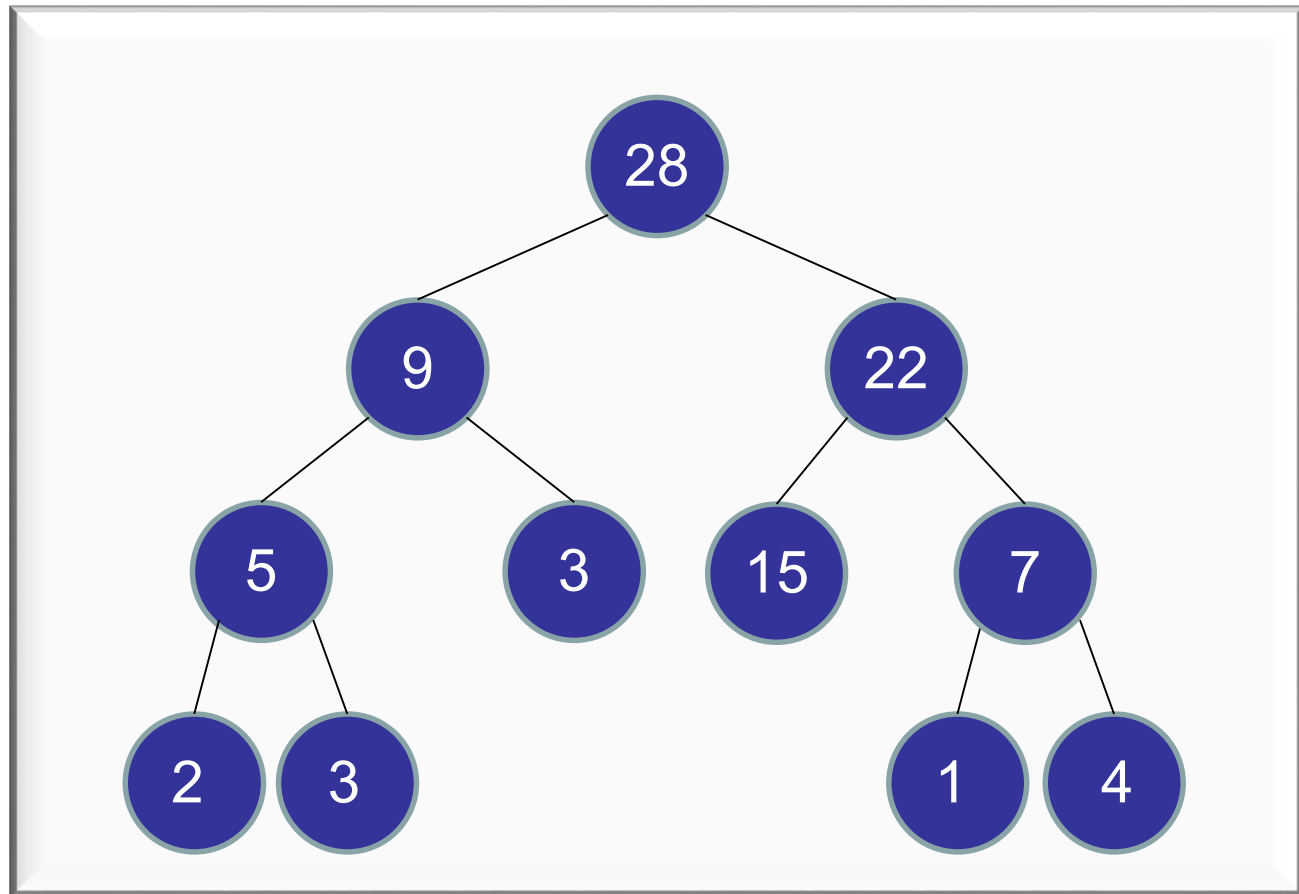
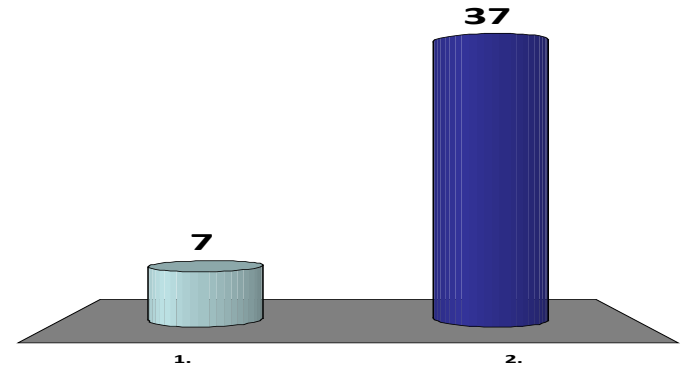
- ✓ 1. Yes
- 2. No.



Is it a heap?

1. Yes

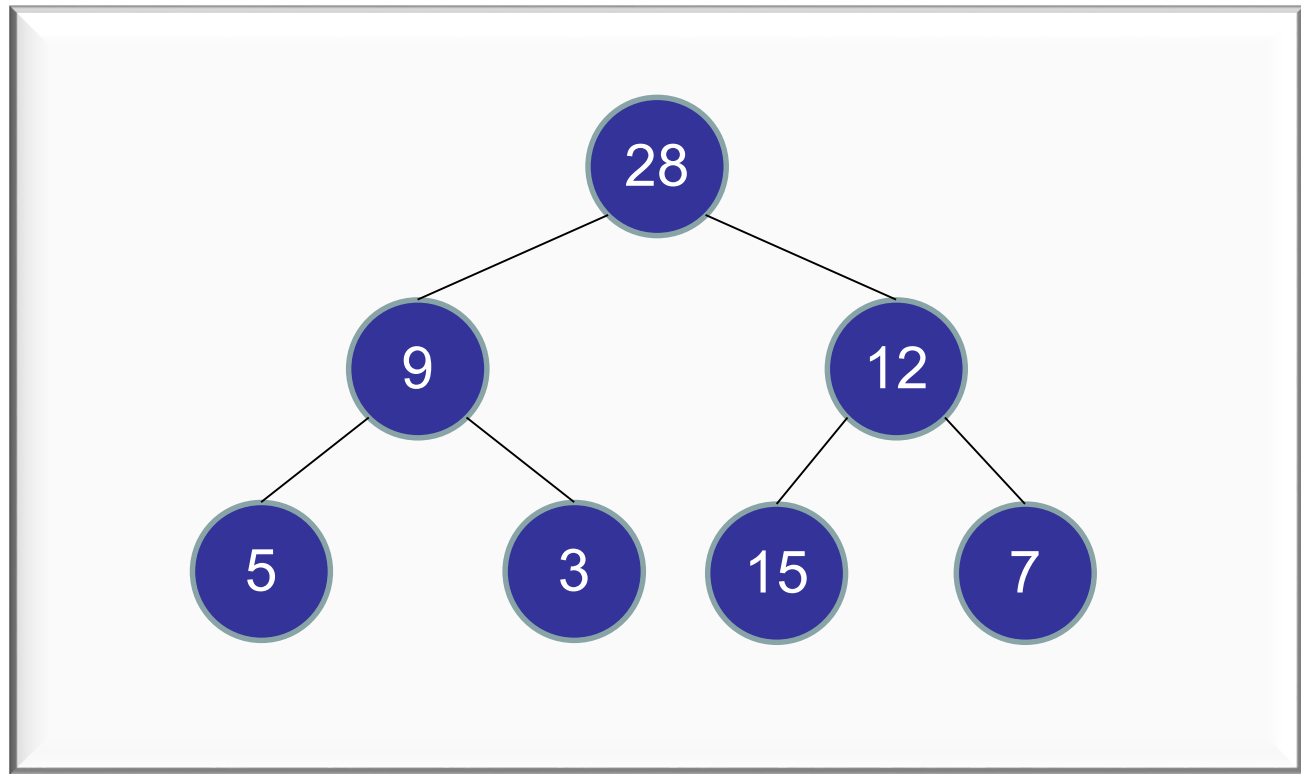
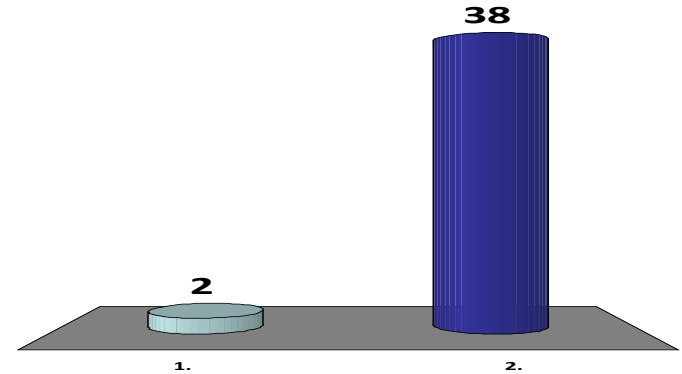
✓ 2. No.



Is it a heap?

1. Yes

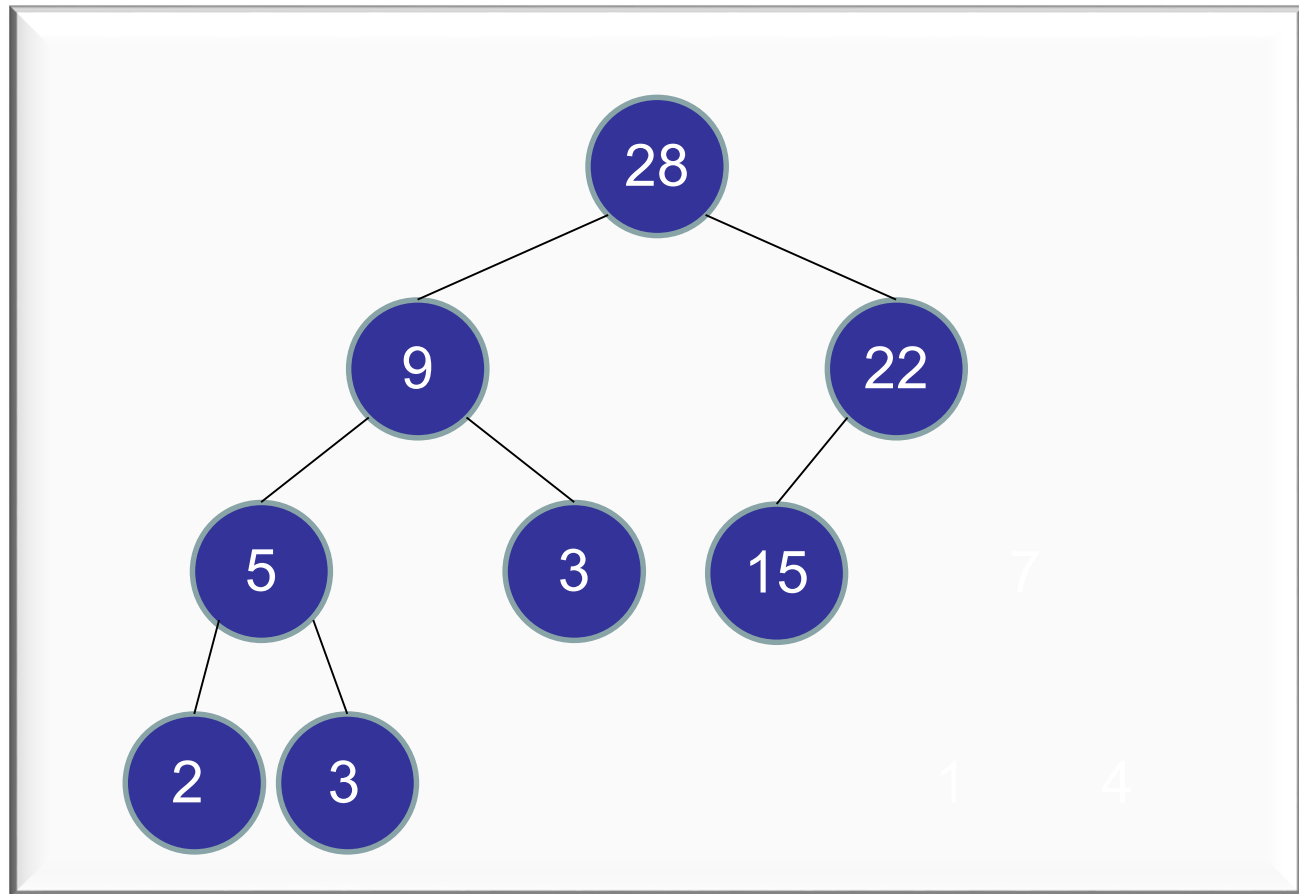
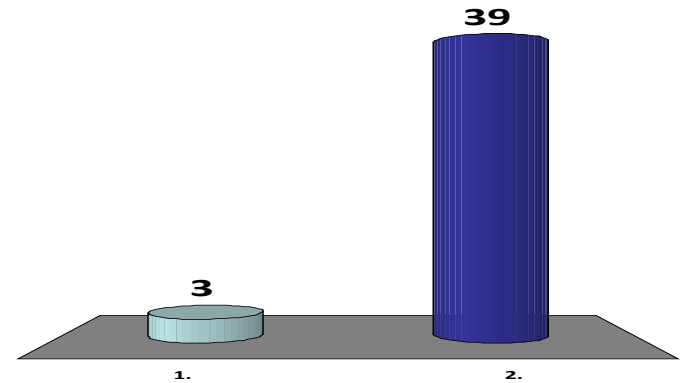
✓ 2. No.



Is it a heap?

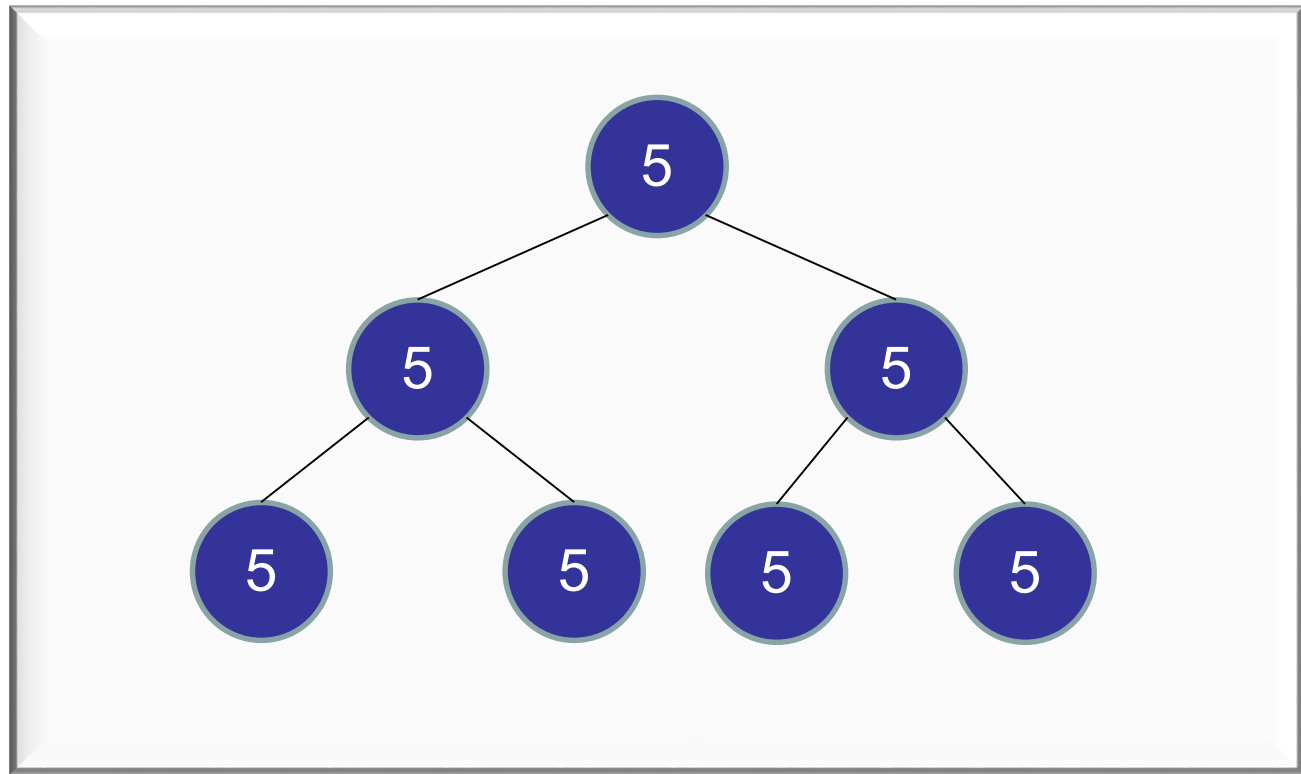
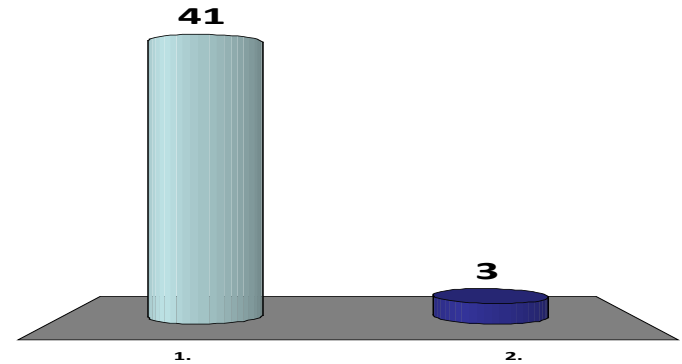
1. Yes

✓ 2. No.



Is it a heap?

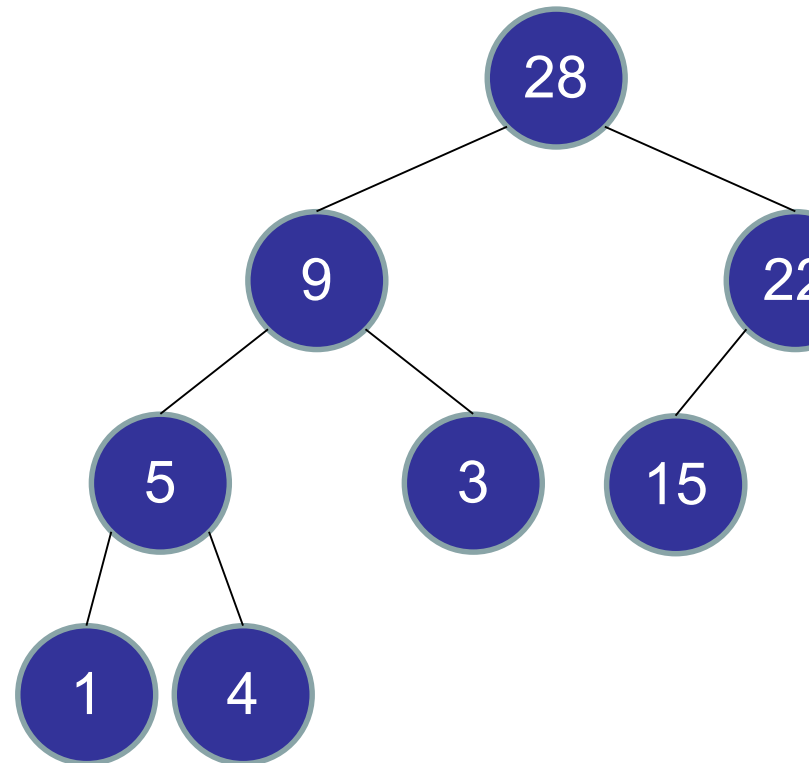
- ✓ 1. Yes
- 2. No.



Heap

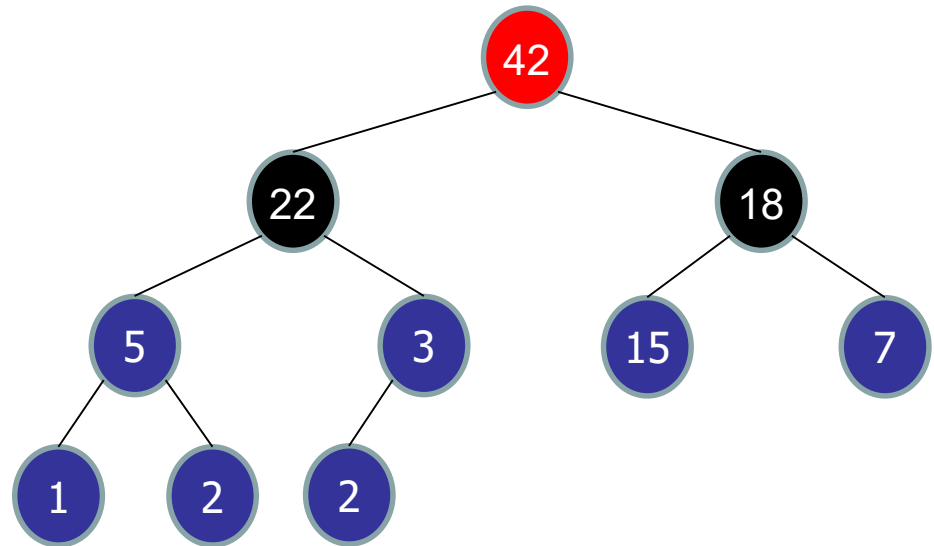
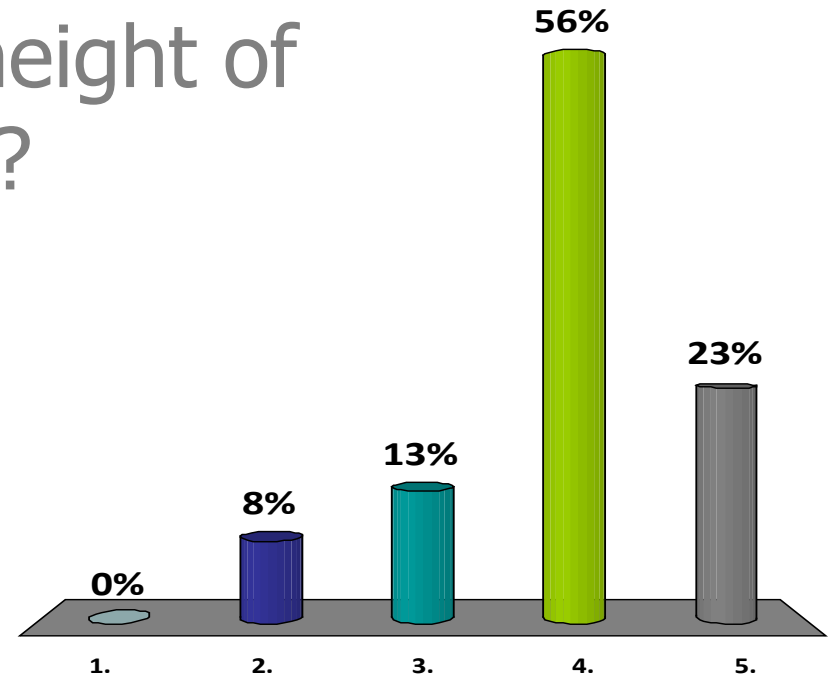
(aka **Binary Heap** or **MaxHeap**)

- Implements a Max Priority Queue
- Maintain a set of prioritized objects.
- Store items in a tree.
 - Biggest items at root.
 - Smallest items at leaves.
- Two properties:
 1. **Heap Ordering**
 2. **Complete Binary Tree**



What is the maximum height of a heap with n elements?

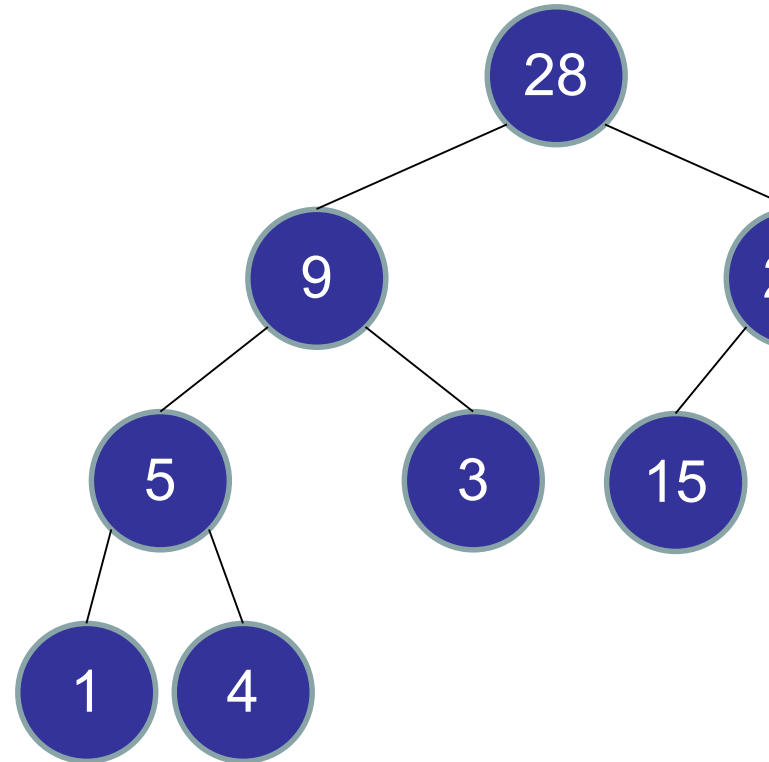
1. $\text{floor}(\log_2(n-1))$
2. $\log_2(n)$
3. $\text{floor}(\log_2 n)$
- ✓ 4. $\text{ceiling}(\log_2 n)$
5. $\text{ceiling}(\log_2 (n+1))$



Heap

(aka **Binary Heap** or **MaxHeap**)

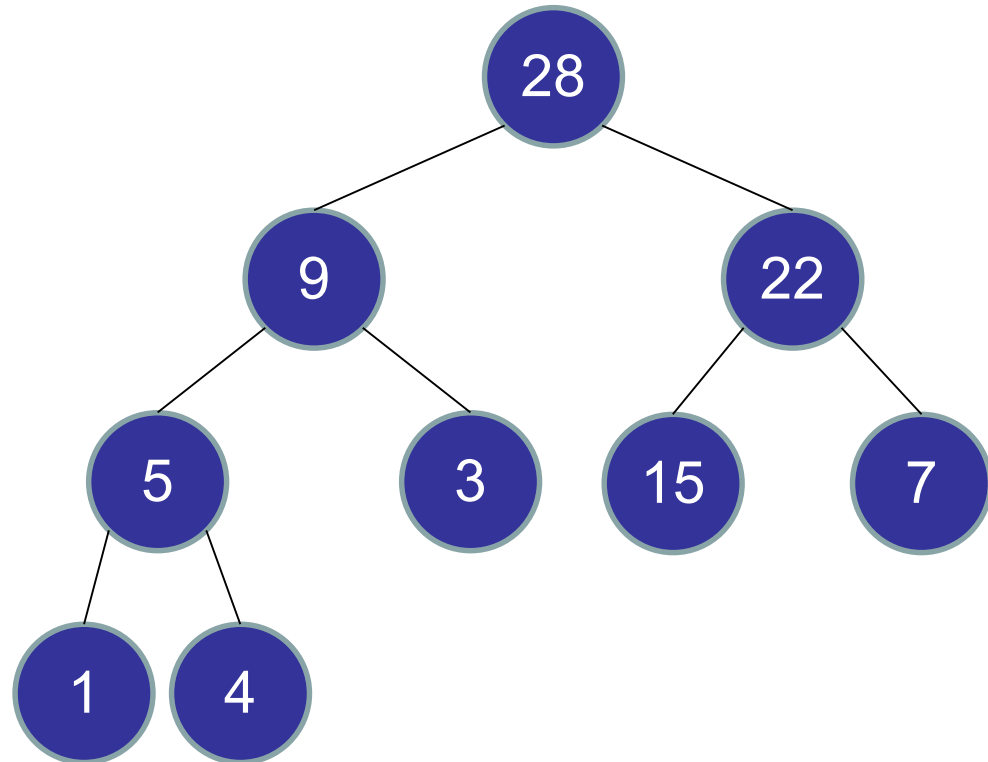
- Implements a Max Priority Queue
- Maintain a set of prioritized objects.
- Store items in a tree.
 - Biggest items at root.
 - Smallest items at leaves.
- Two properties:
 1. **Heap Ordering**
 2. **Complete Binary Tree**
- Height: $O(\log n)$



Heap

Priority Queue Operations

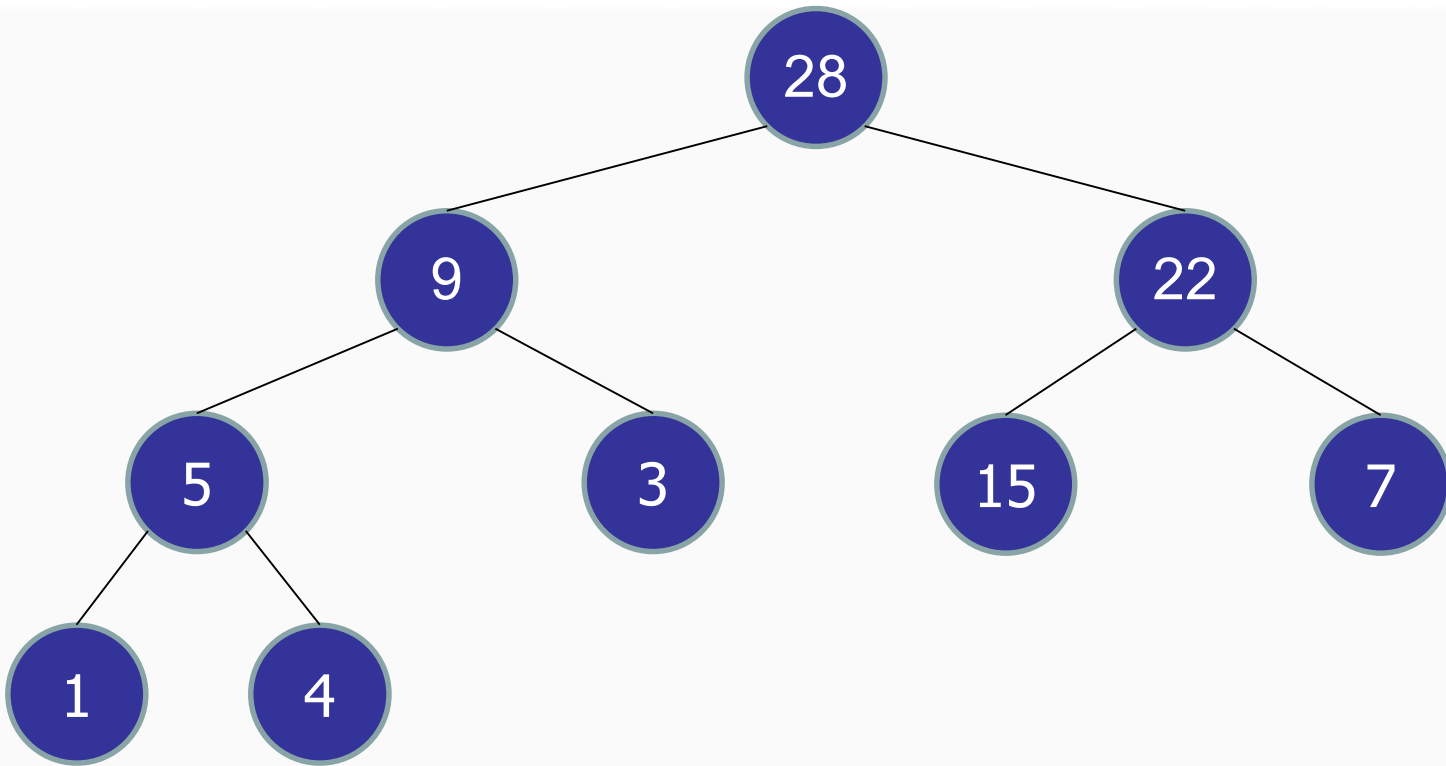
- insert
- extractMax
- increaseKey
- decreaseKey
- delete



Inserting in a Heap

`insert(25) :`

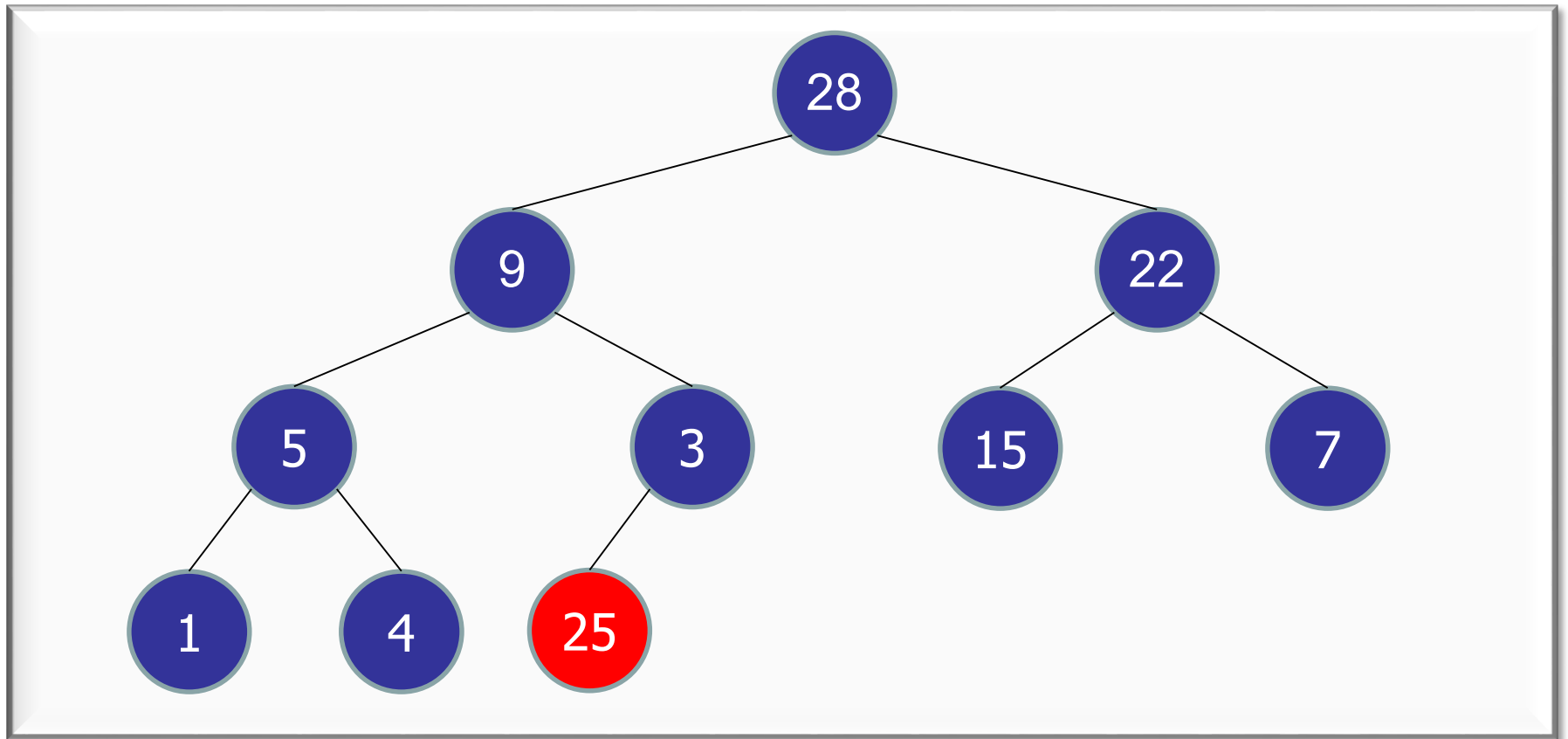
- Step one: add a new leaf with priority 25.



Inserting in a Heap

`insert(25) :`

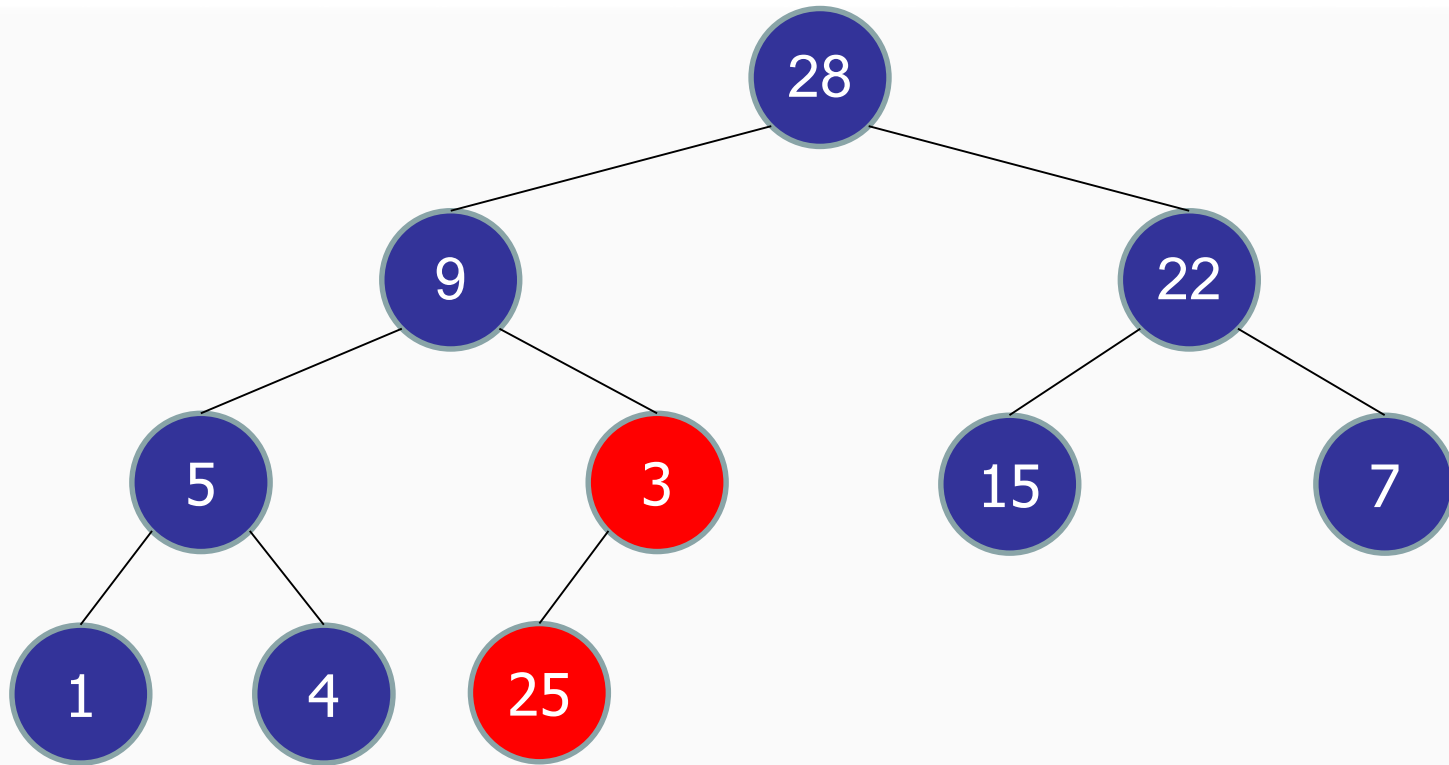
- Step one: add a new leaf with priority 25.



Inserting in a Heap

`insert(25) :`

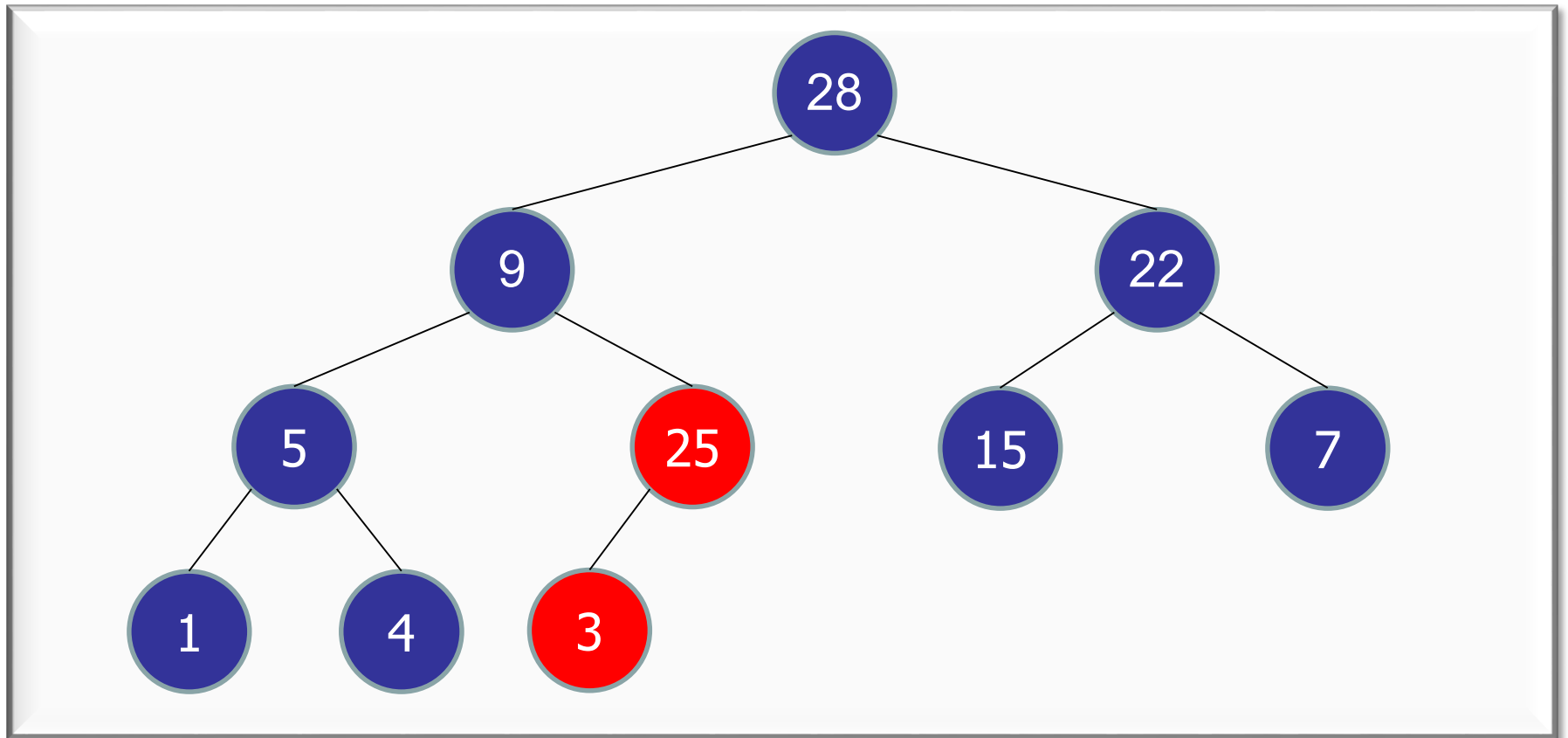
- Step one: add a new leaf with priority 25.
- Step two: bubble up



Inserting in a Heap

`insert(25) :`

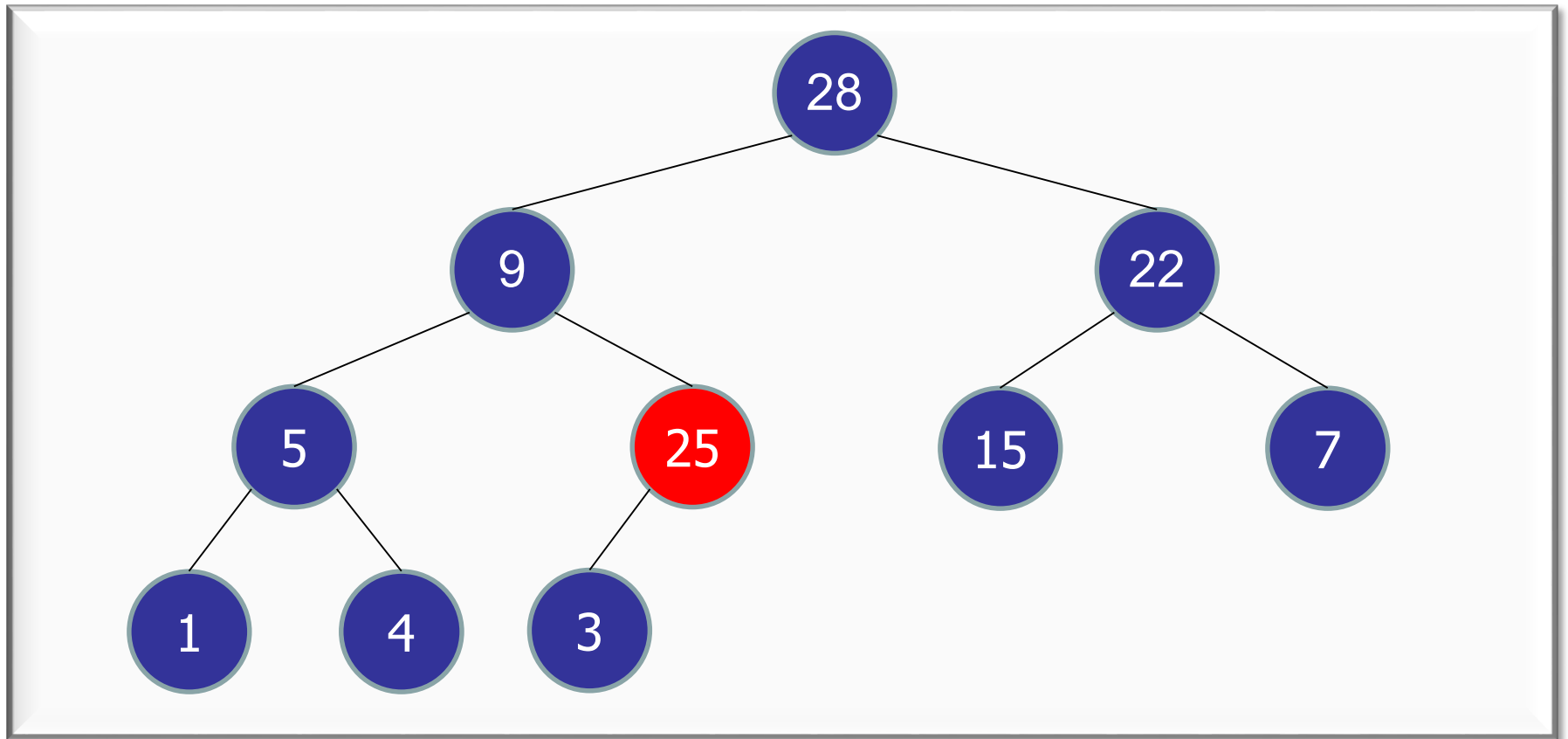
- Step one: add a new leaf with priority 25.
- Step two: bubble up



Inserting in a Heap

`insert(25) :`

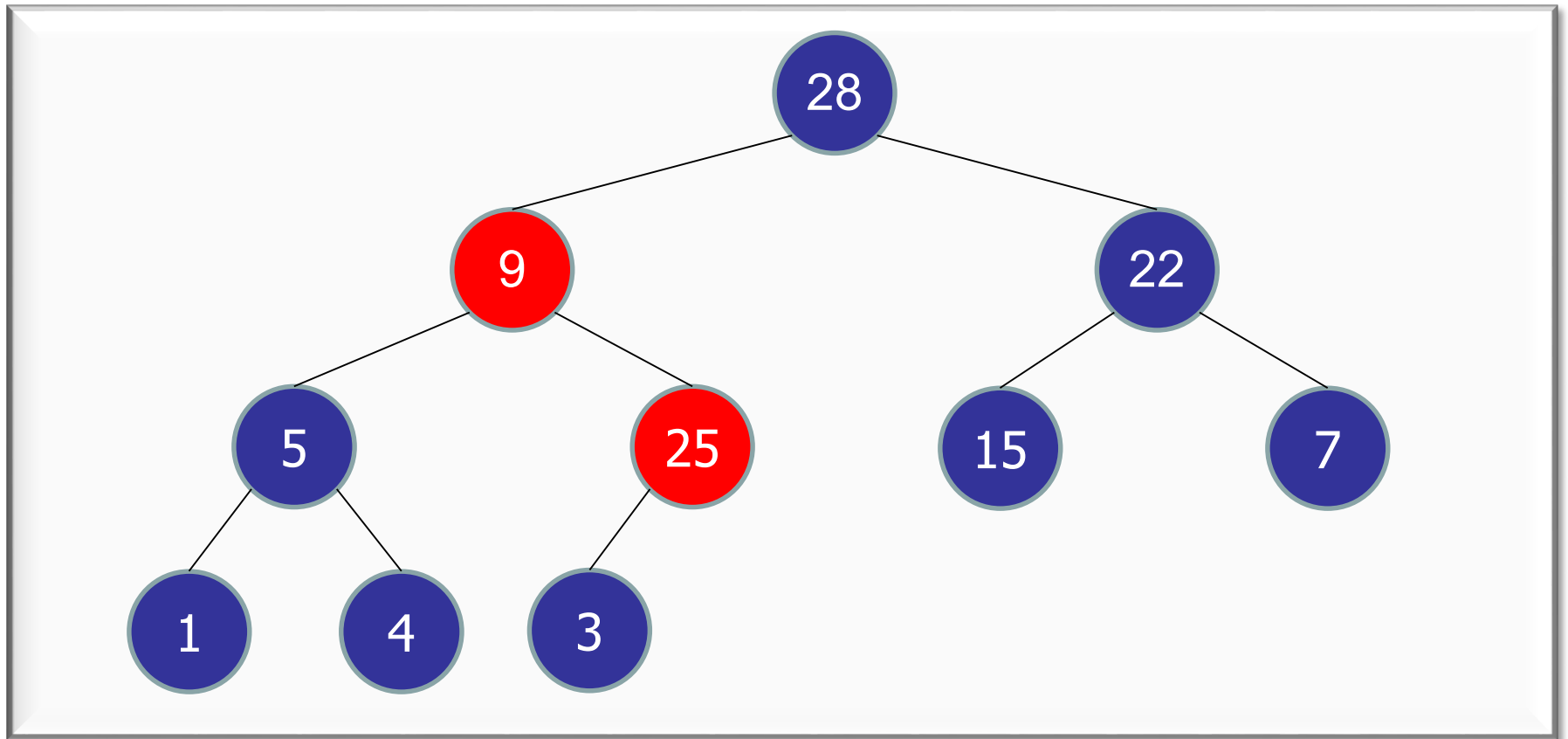
- Step one: add a new leaf with priority 25.
- Step two: bubble up



Inserting in a Heap

`insert(25) :`

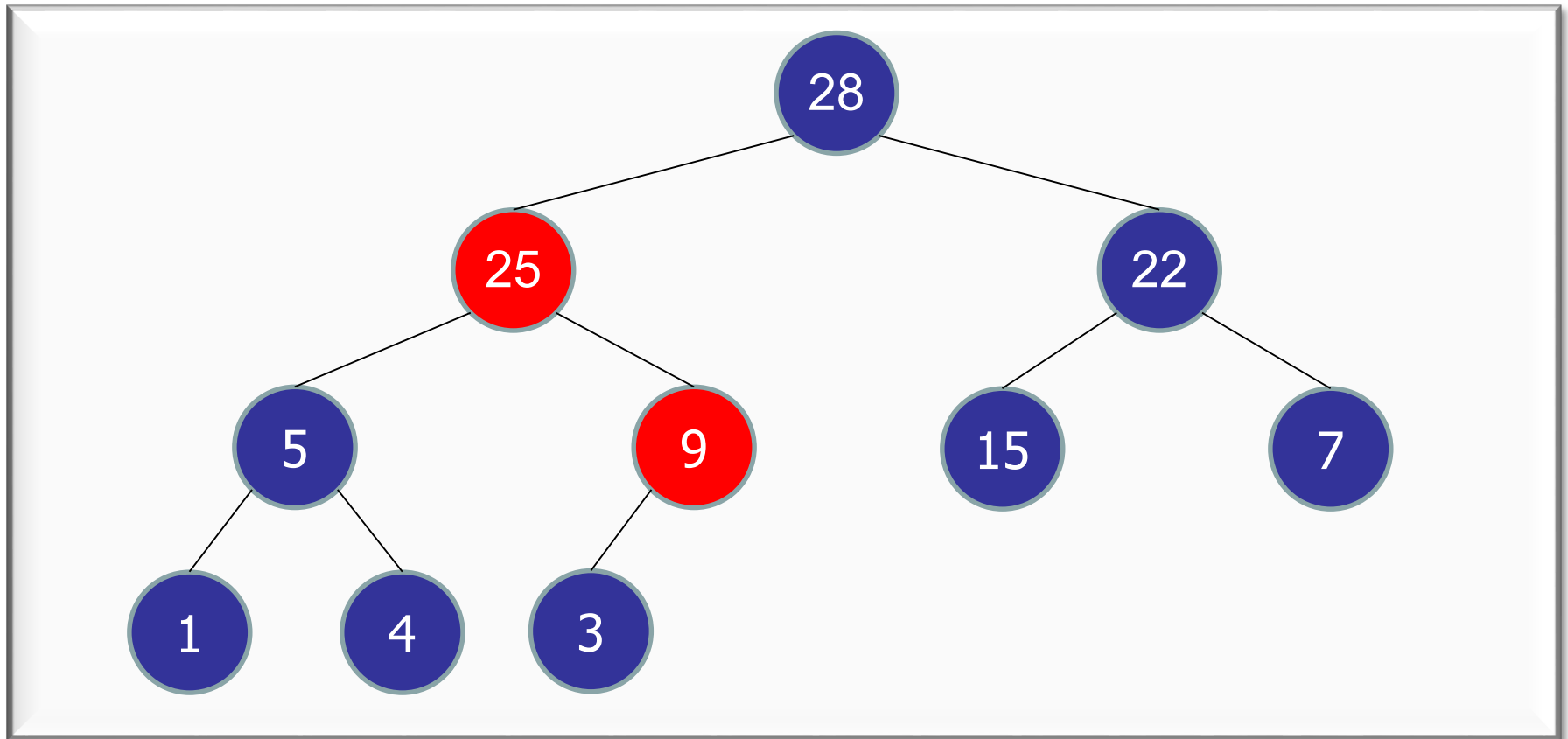
- Step one: add a new leaf with priority 25.
- Step two: bubble up



Inserting in a Heap

`insert(25) :`

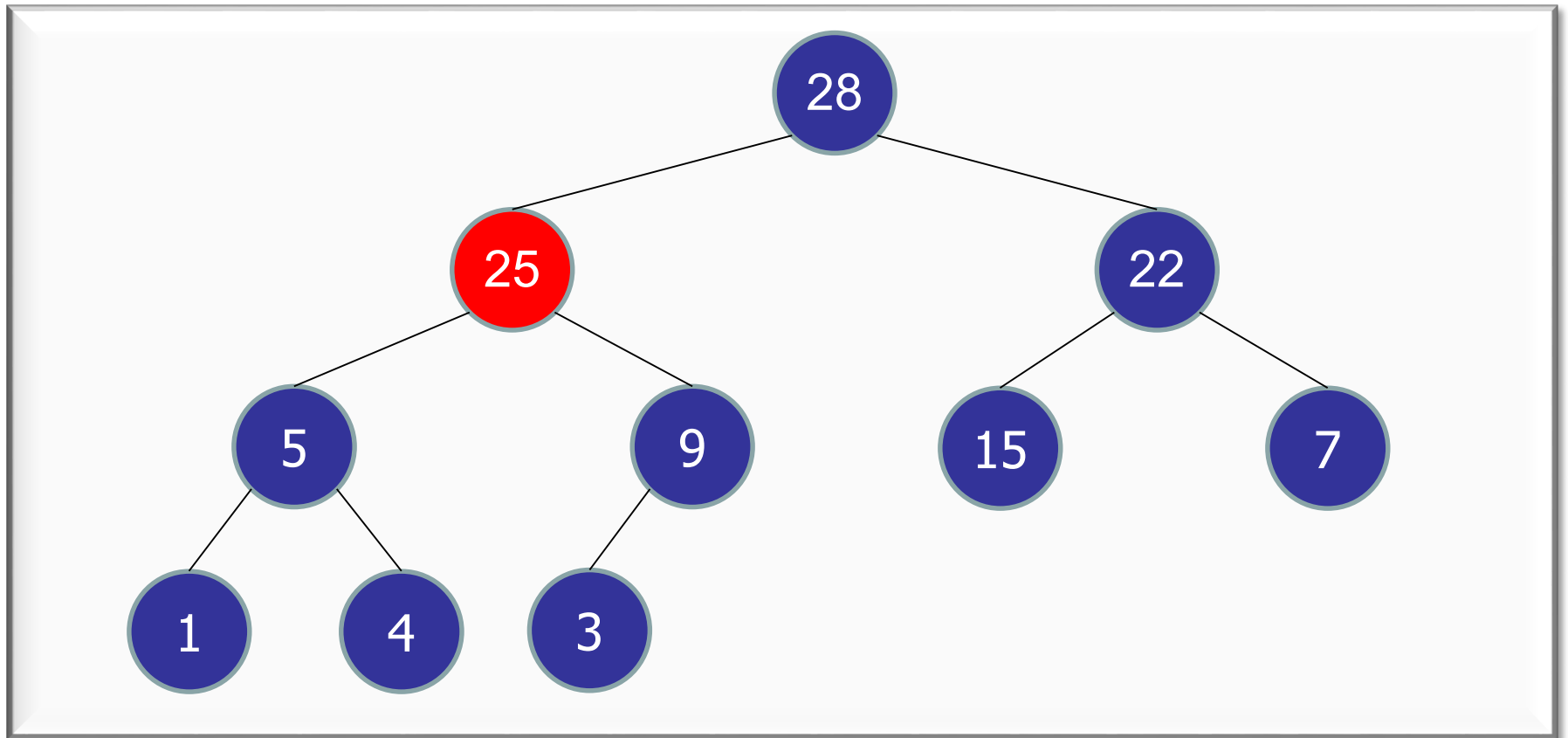
- Step one: add a new leaf with priority 25.
- Step two: bubble up



Inserting in a Heap

`insert(25) :`

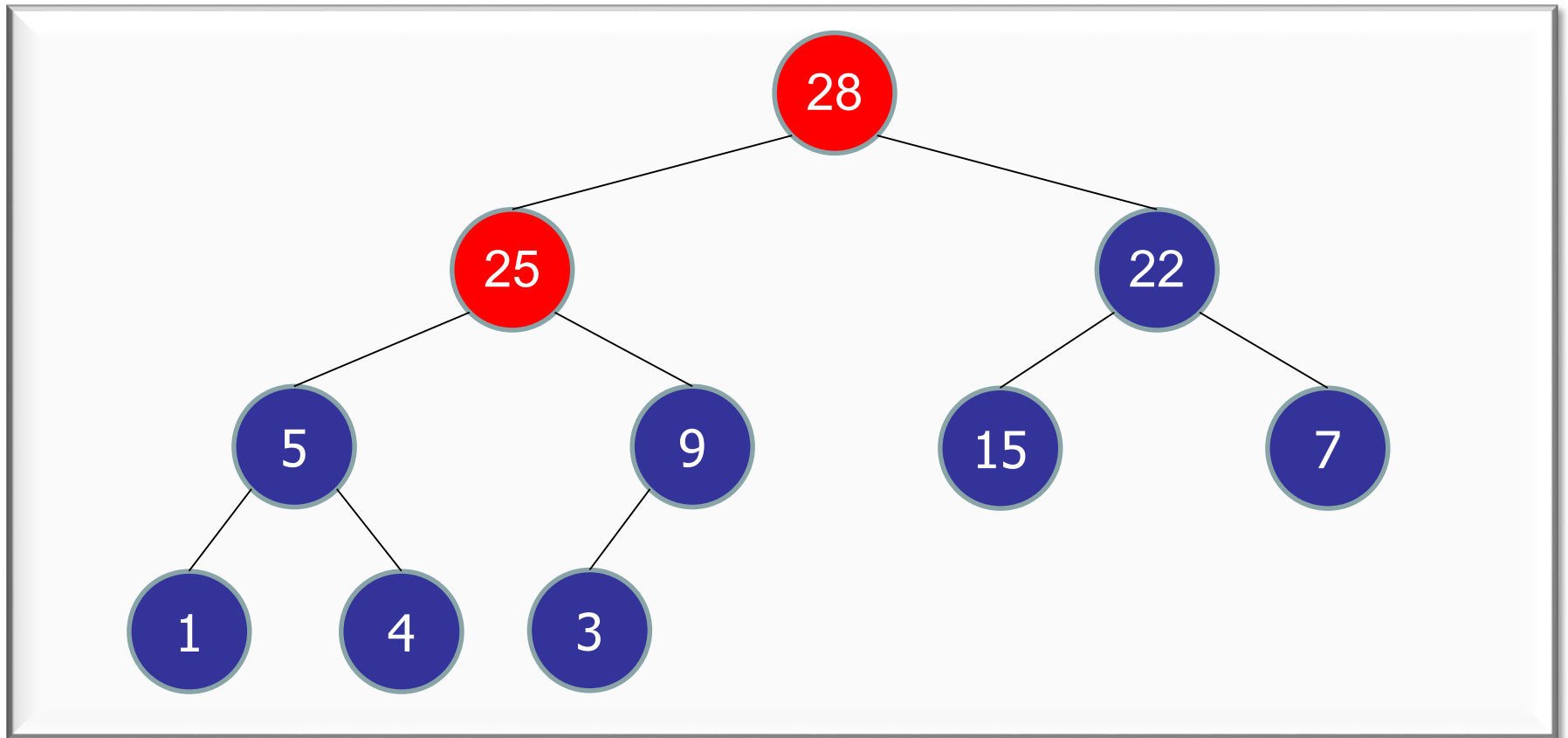
- Step one: add a new leaf with priority 25.
- Step two: bubble up



Inserting in a Heap

`insert(25) :`

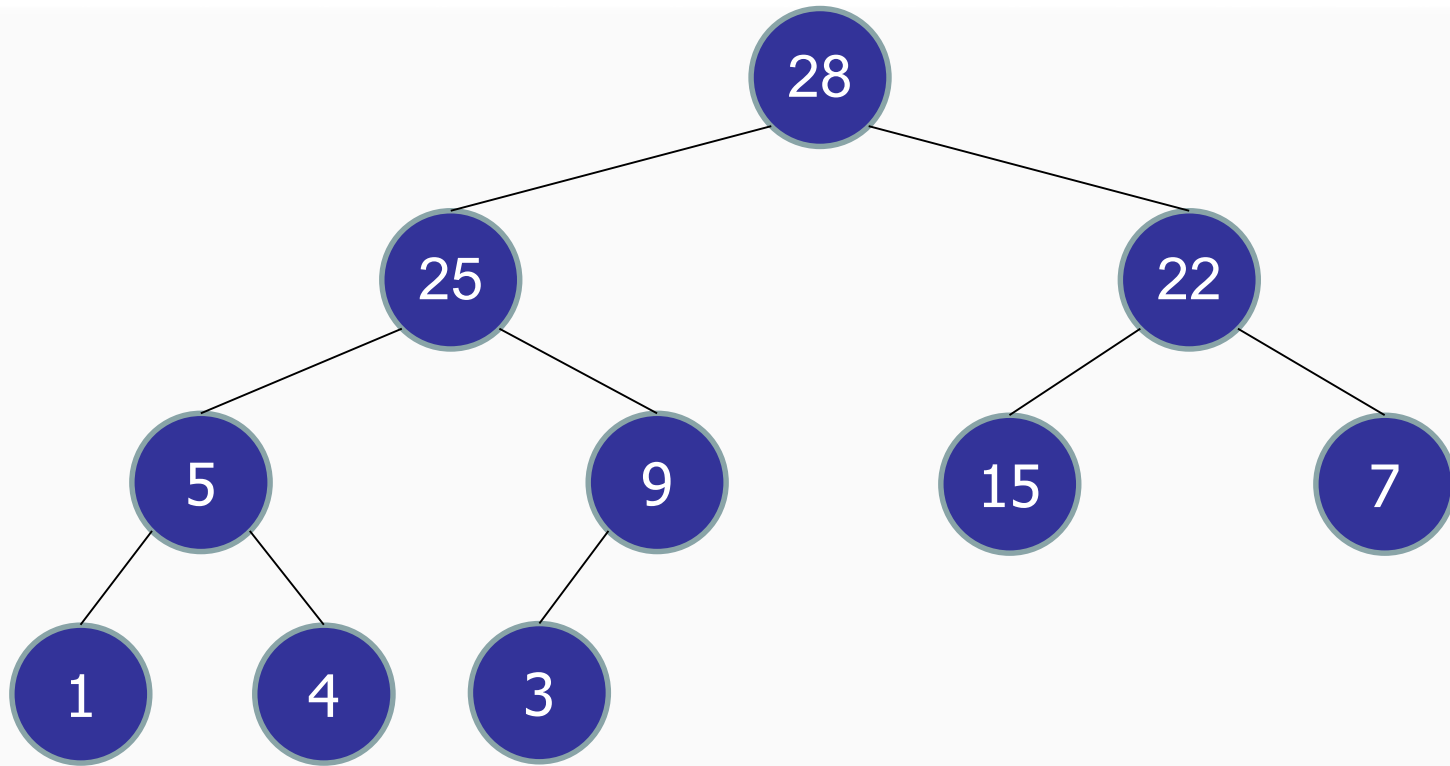
- Step one: add a new leaf with priority 25.
- Step two: bubble up



Inserting in a Heap

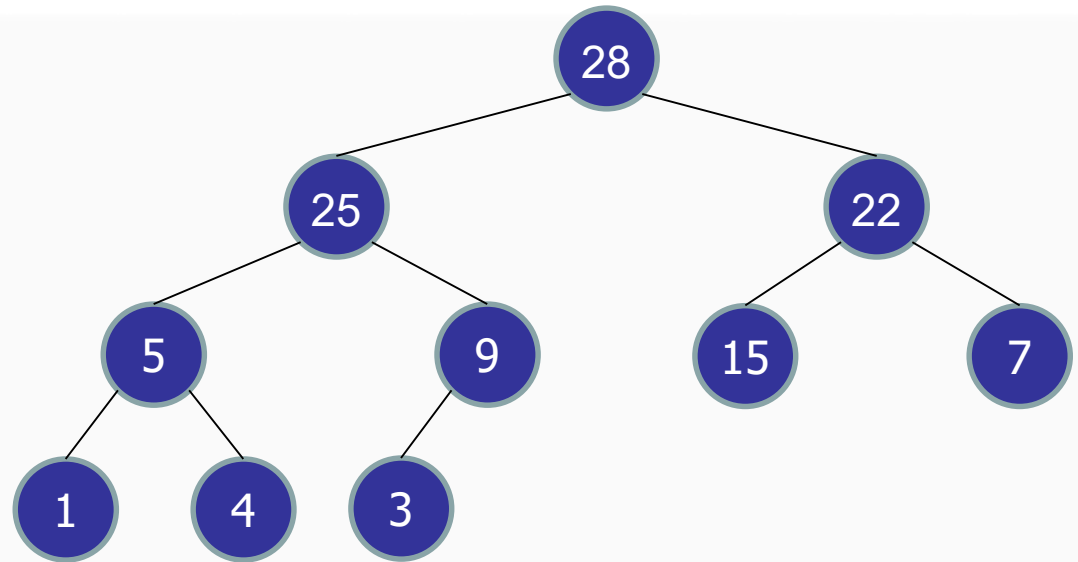
`insert(25) :`

- Step one: add a new leaf with priority 25.
- Step two: bubble up



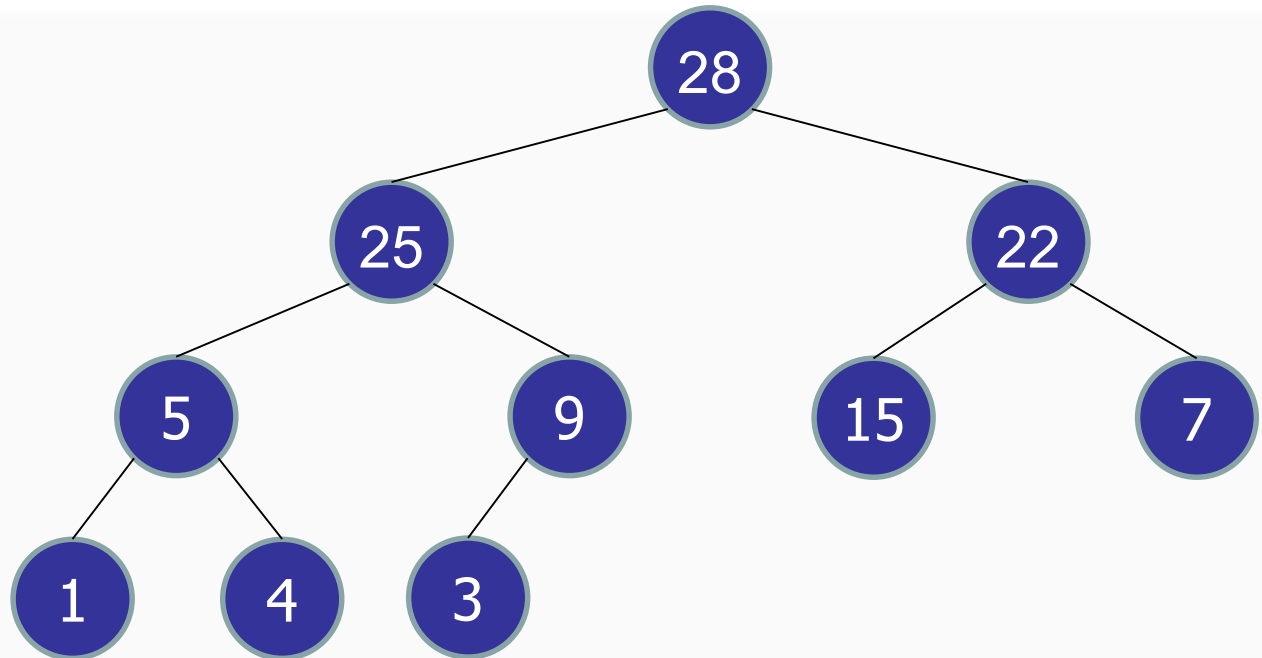
Inserting in a Heap

```
bubbleUp(Node v) {  
    while (v != null) {  
        if (priority(v) > priority(parent(v)))  
            swap(v, parent(v));  
        else return;  
        v = parent(v);  
    }  
}
```



Inserting in a Heap

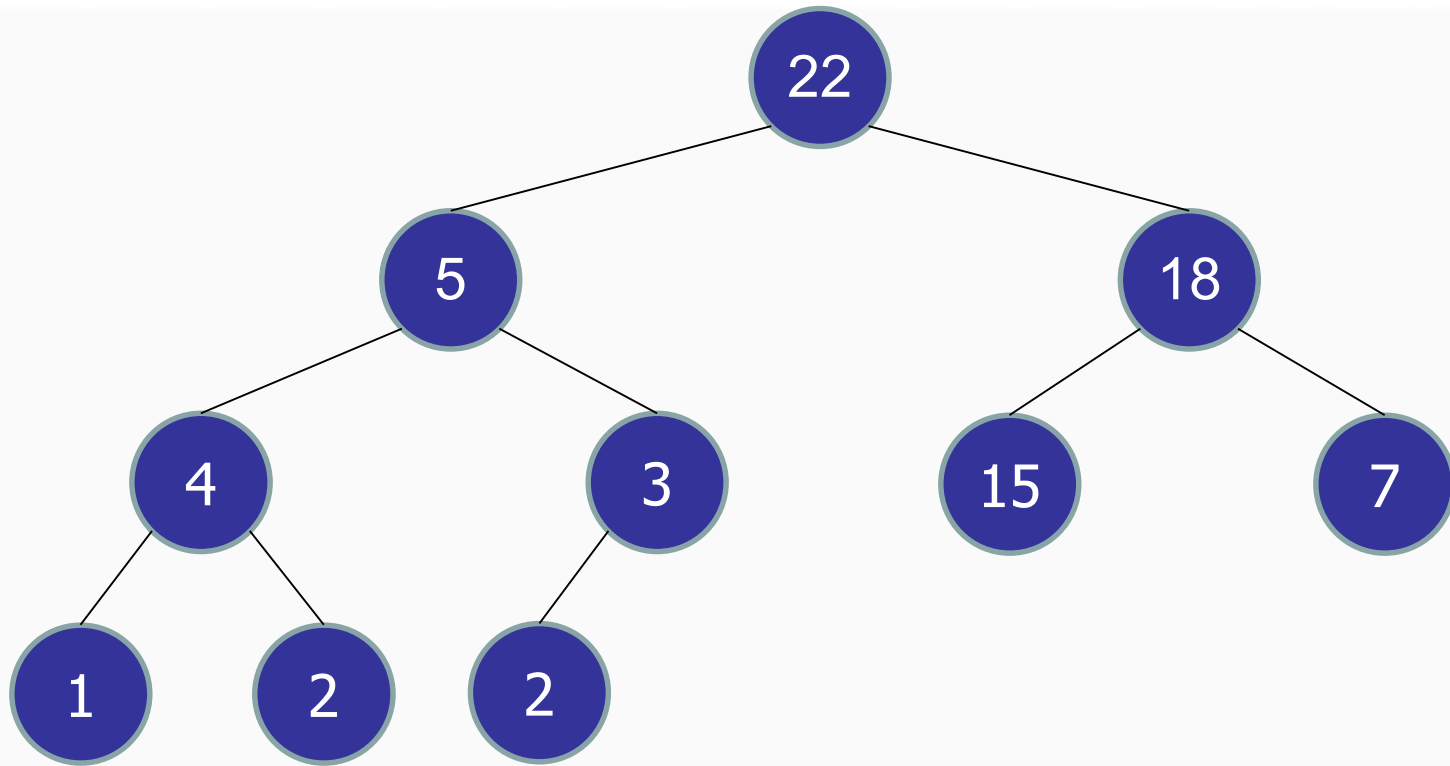
```
insert(Priority p, Key k) {  
    Node v = m_completeTree.insert(p,k);  
    bubbleUp(v);  
}
```



Inserting in a Heap

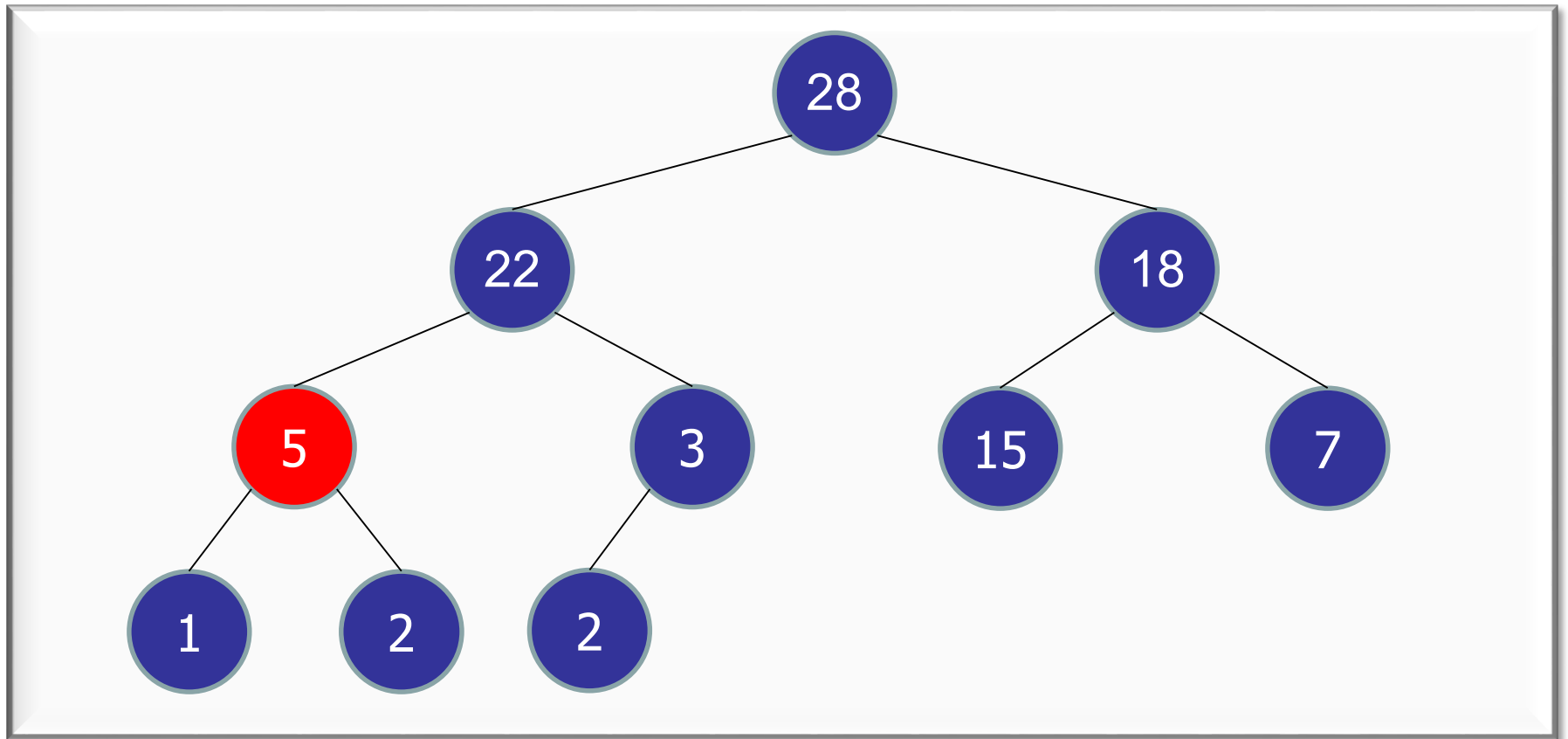
`insert(...)` :

- On completion, heap order is restored.
- Complete binary tree.



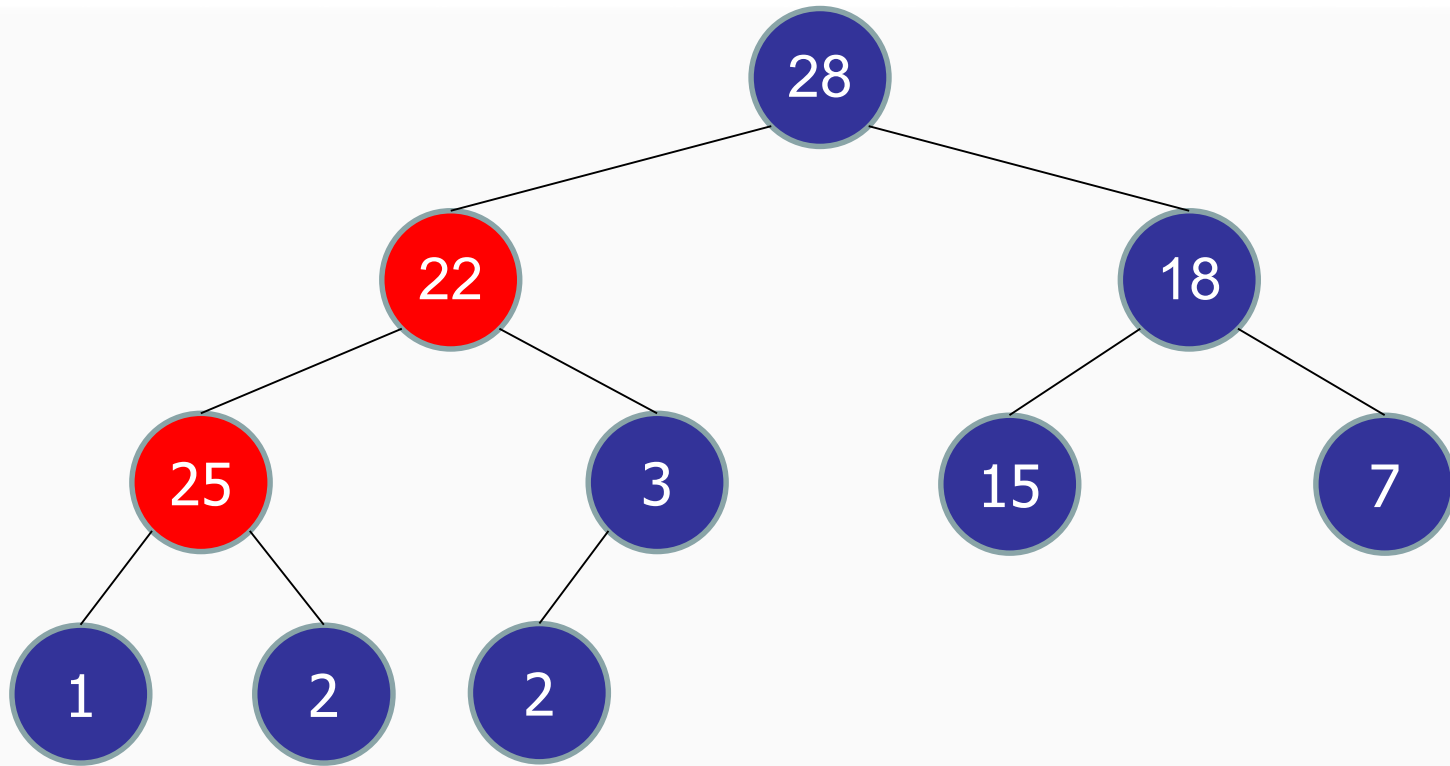
Inserting in a Heap

`increaseKey(5 → 25) :`



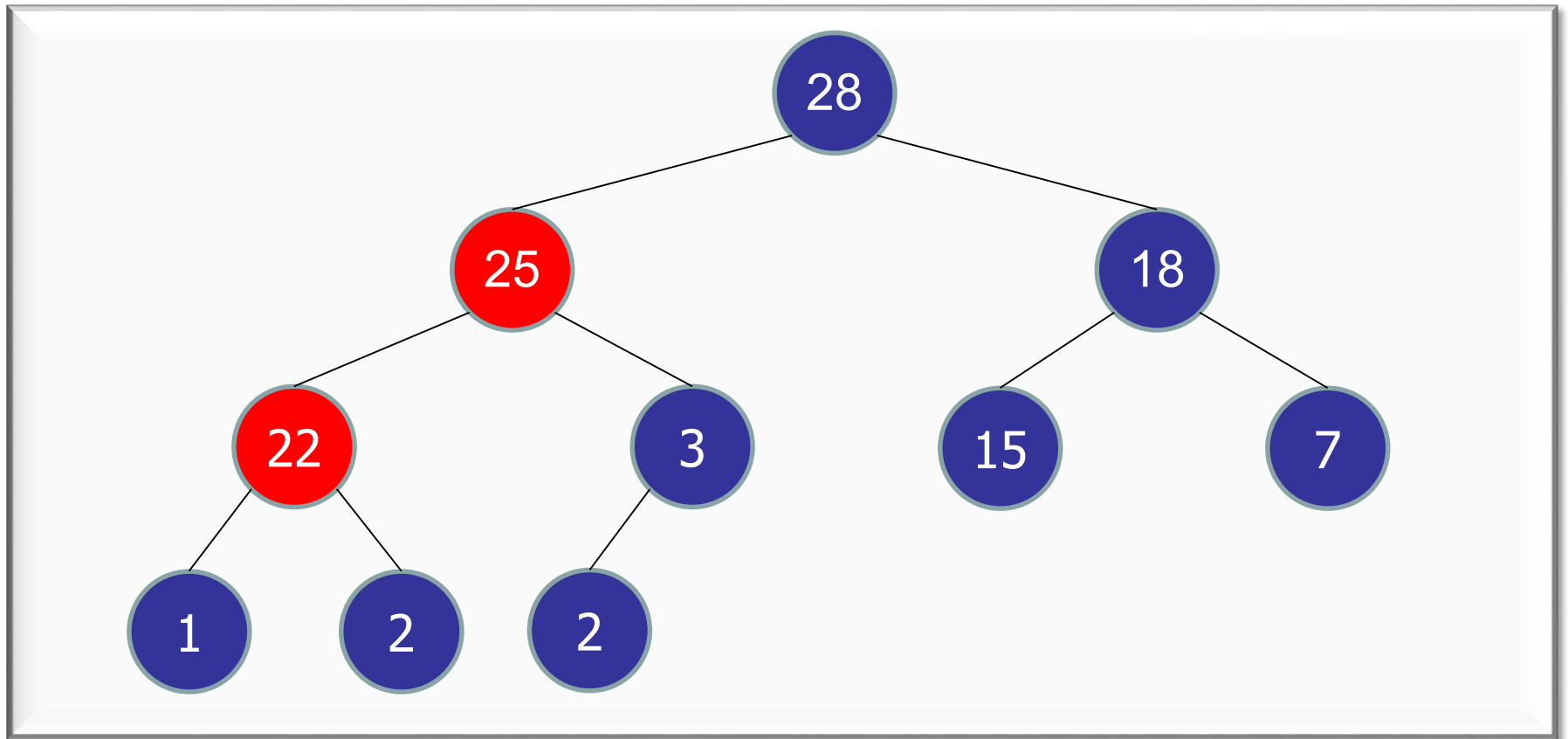
Inserting in a Heap

`increaseKey(5 → 25) : bubbleUp(25)`



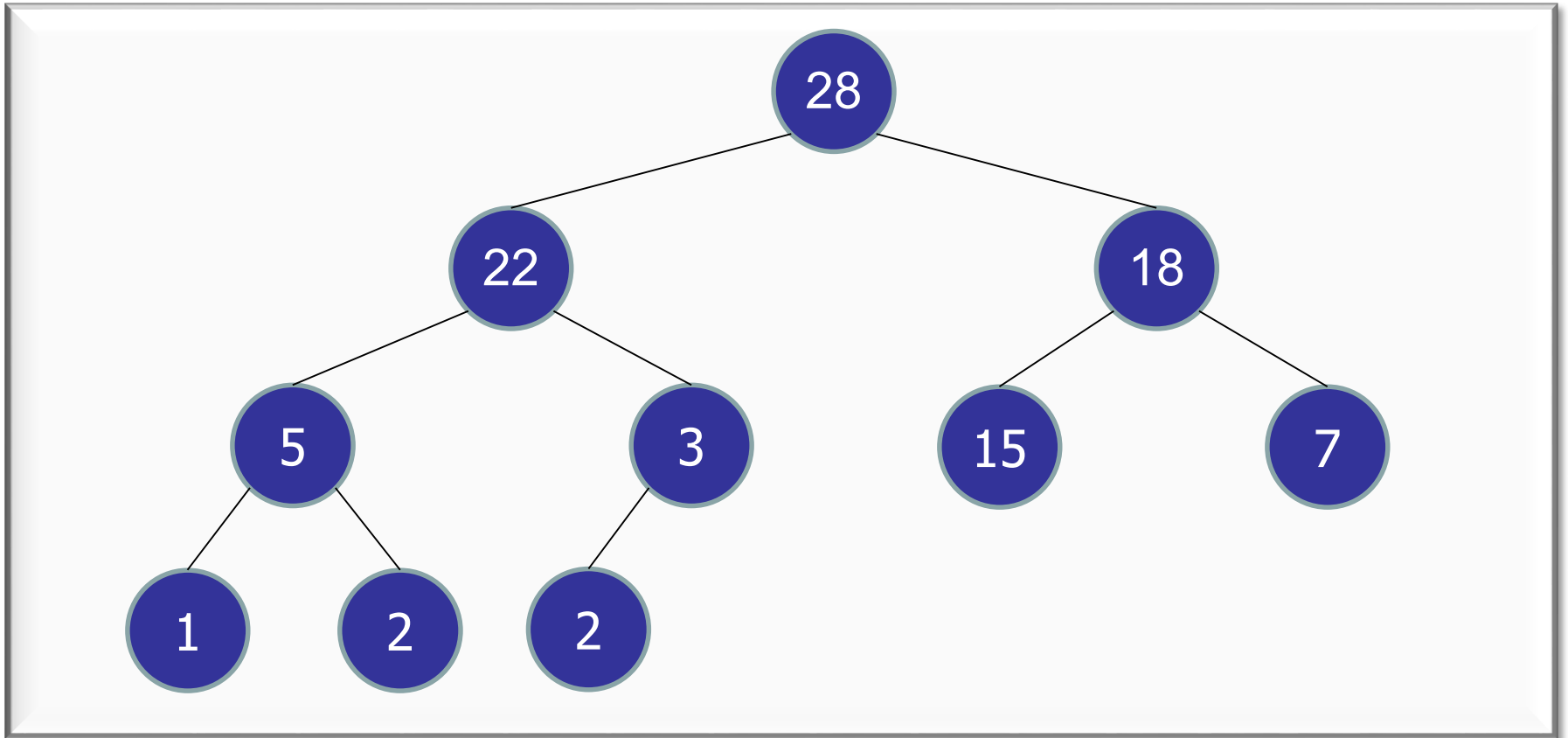
Inserting in a Heap

`increaseKey(5 → 25) : bubbleUp(25)`



Inserting in a Heap

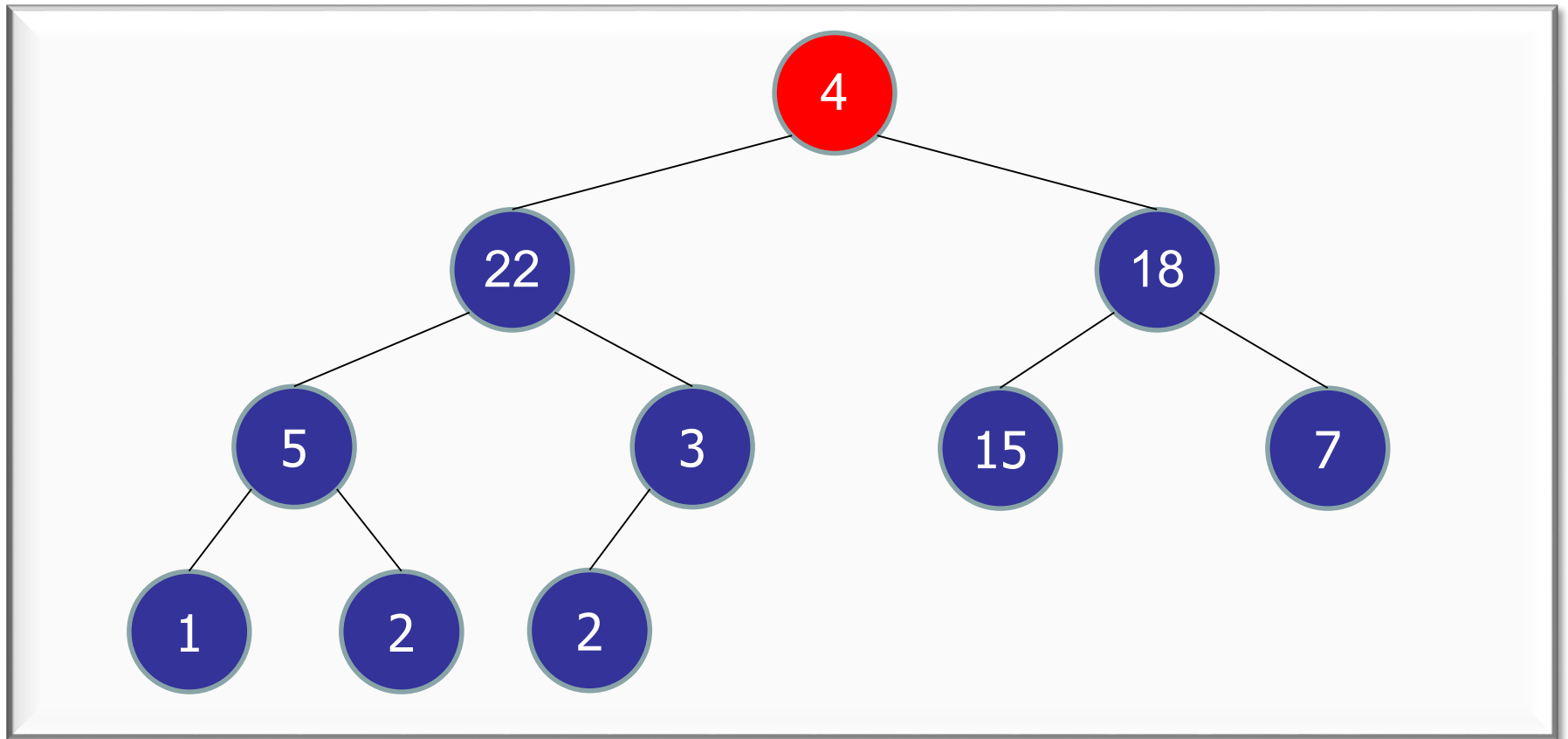
decreaseKey(28 \rightarrow 4) :



Inserting in a Heap

decreaseKey(28 \rightarrow 4) :

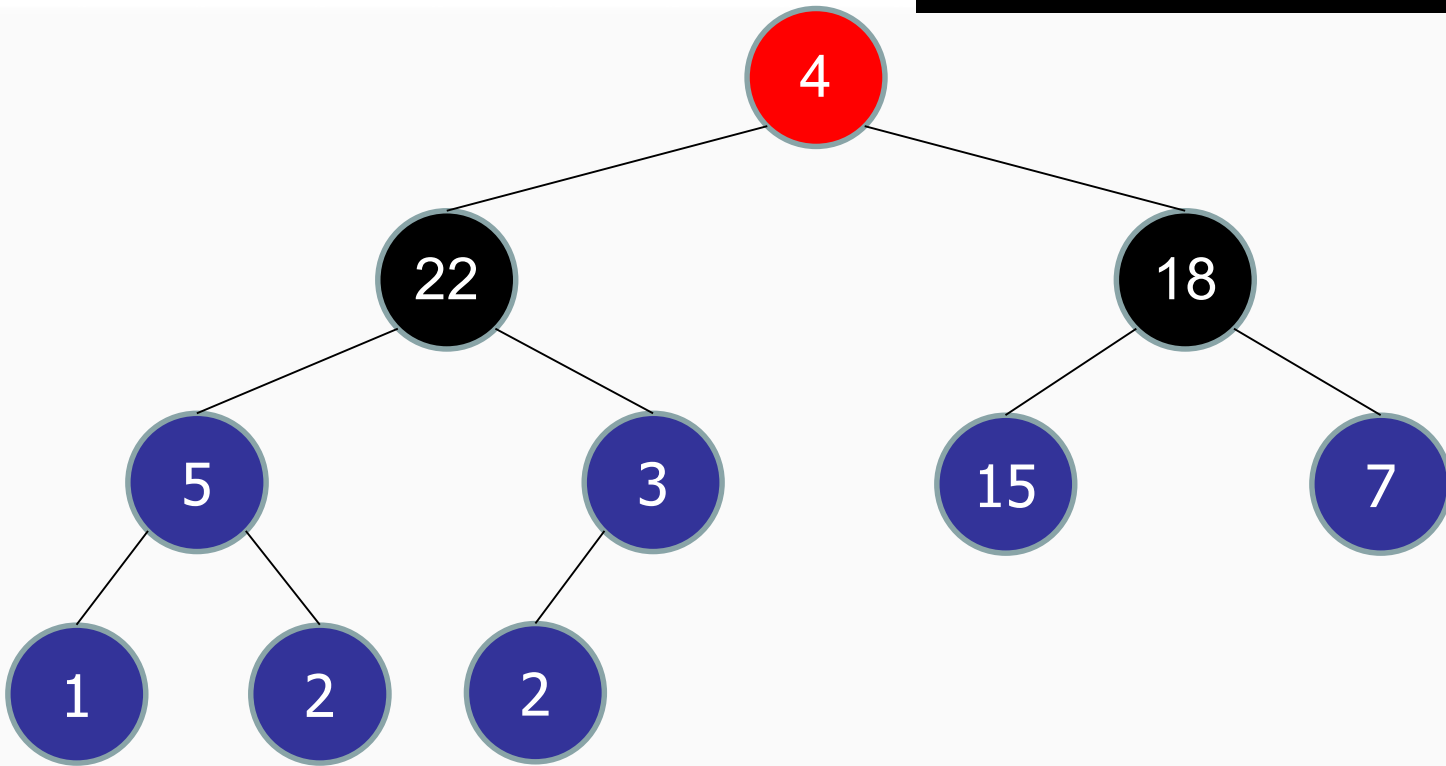
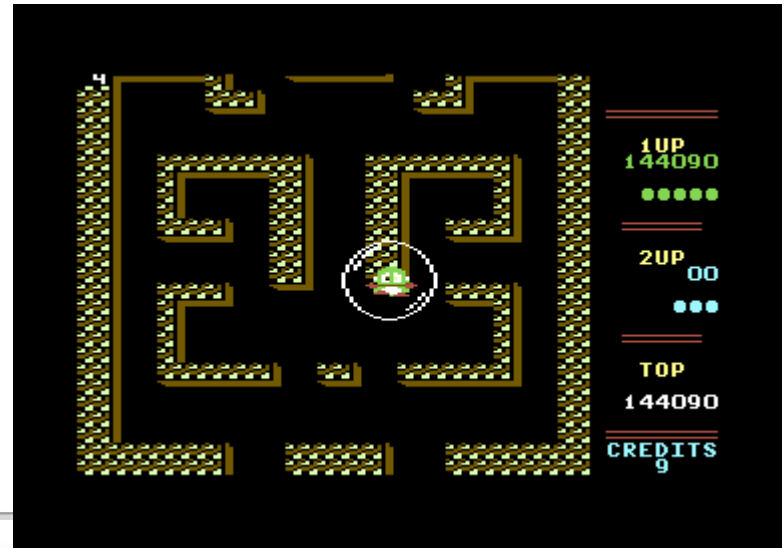
- Step 1: Update the priority



Inserting in a Heap

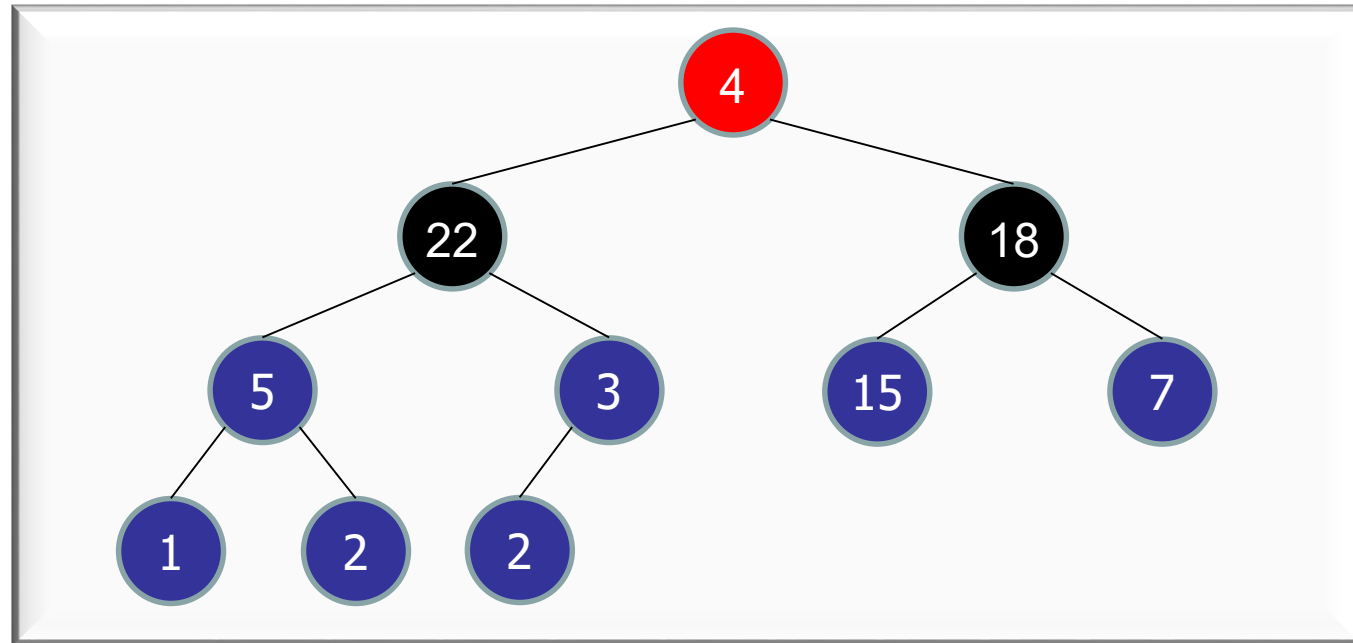
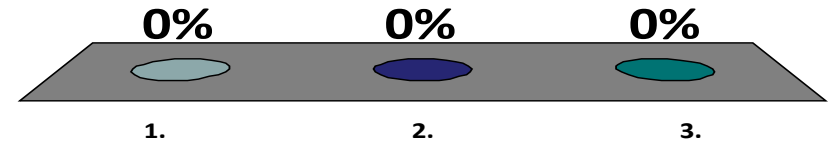
`decreaseKey(28 → 4) :`

- Step 1: Update the priority
- Step 2: `bubbleDown(4)`



Which way to bubbleDown?

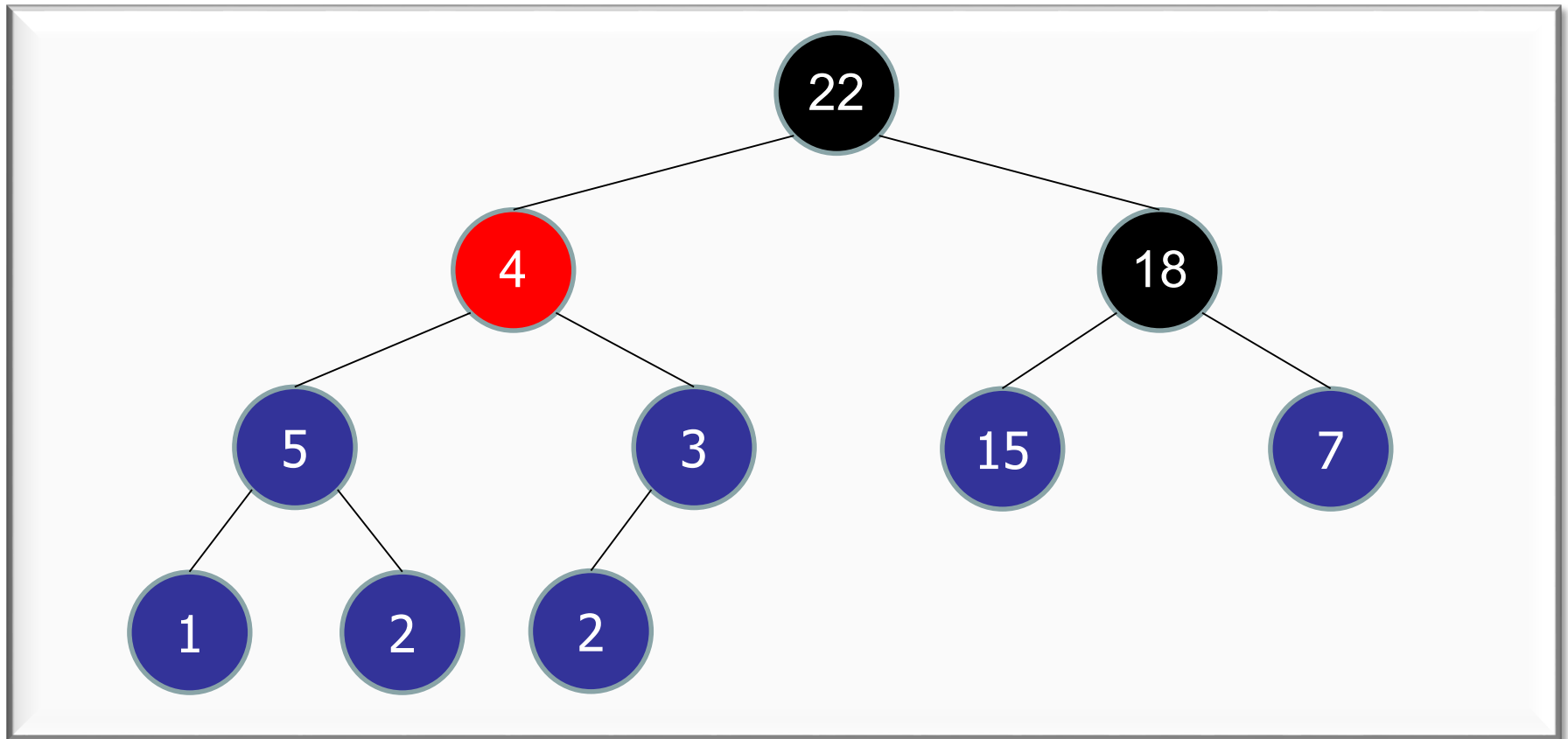
- ✓ 1. Larger child (22)
- 2. Smaller child (18)
- 3. Does not matter



Inserting in a Heap

`decreaseKey(28 → 4) :`

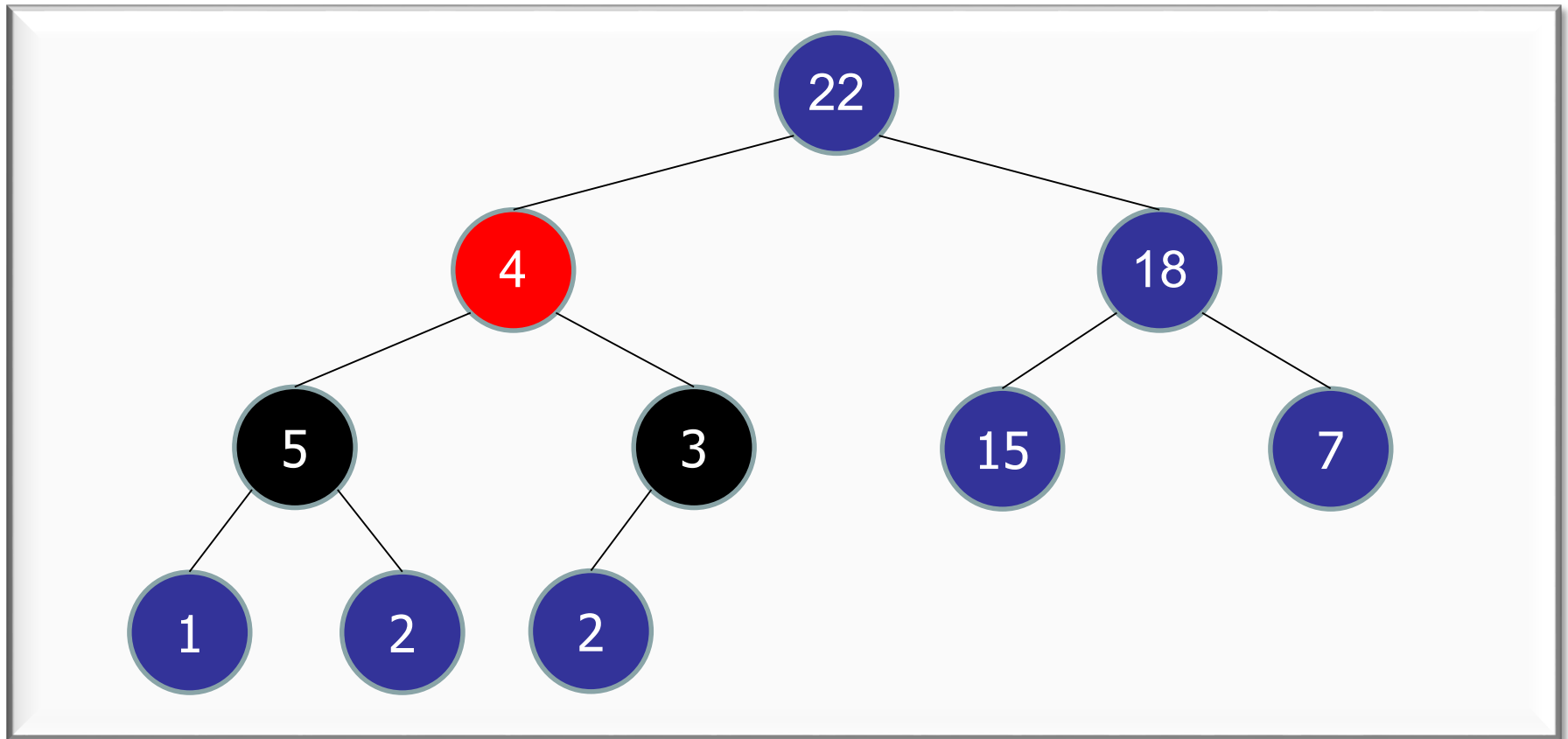
- Step 1: Update the priority
- Step 2: `bubbleDown(4)`



Inserting in a Heap

`decreaseKey(28 → 4) :`

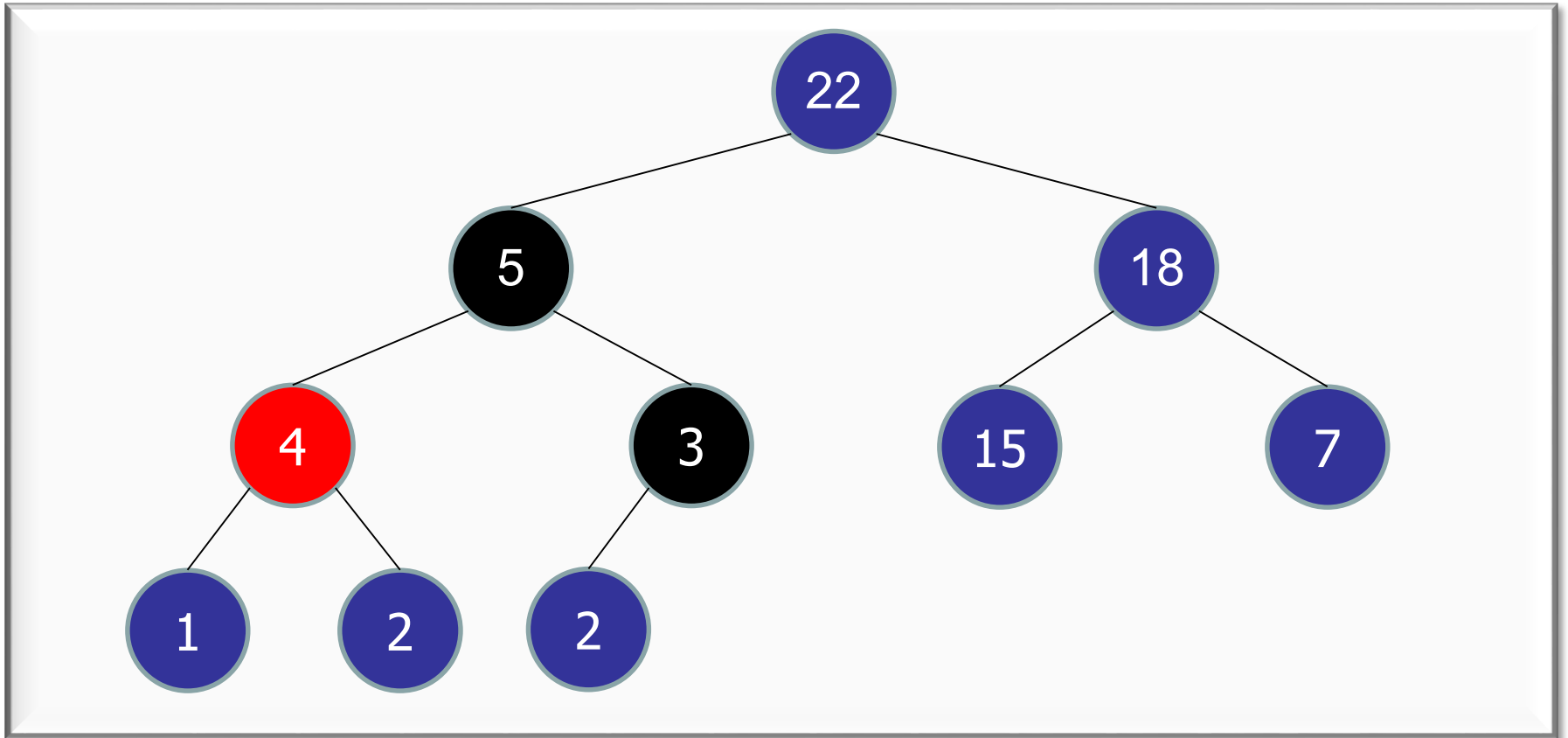
- Step 1: Update the priority
- Step 2: `bubbleDown(4)`



Inserting in a Heap

`decreaseKey(28 → 4) :`

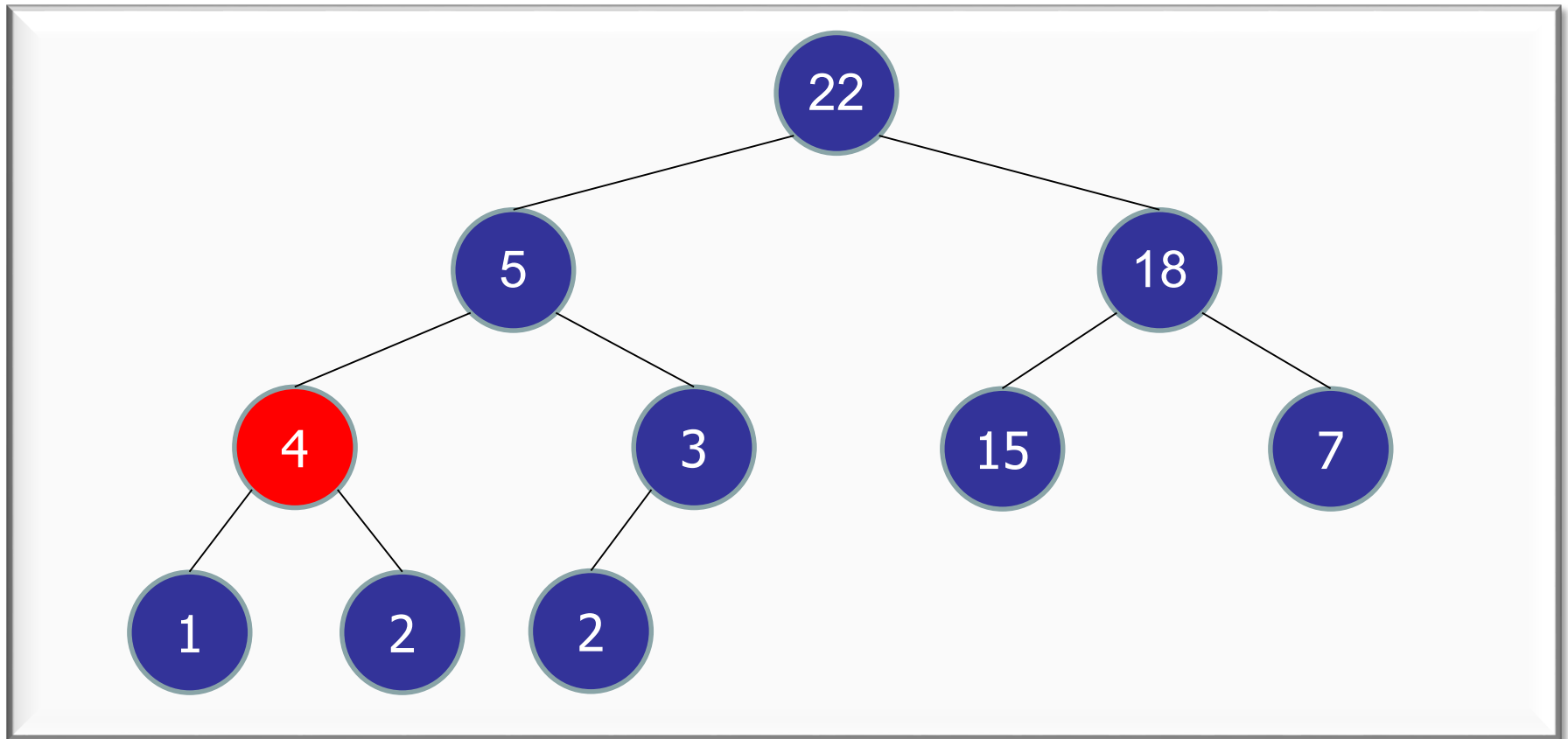
- Step 1: Update the priority
- Step 2: `bubbleDown(4)`



Inserting in a Heap

`decreaseKey(28 → 4) :`

- Step 1: Update the priority
- Step 2: `bubbleDown(4)`



Inserting in a Heap

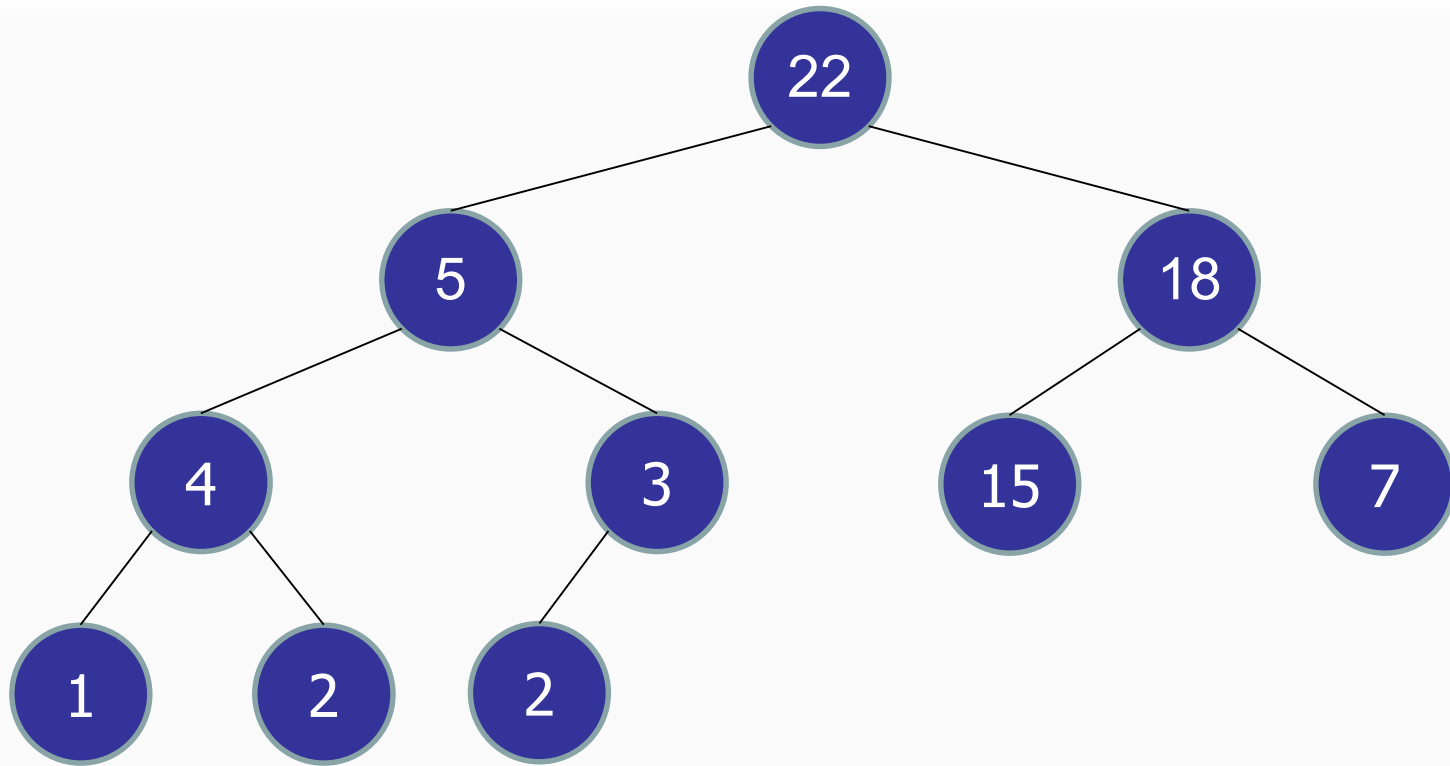
```
bubbleDown(Node v)
```

```
while (!leaf(v)) {  
    leftP = priority(left(v));  
    rightP = priority(right(v));  
    biggerChild = leftP > rightP ? left(v) : right(v);  
    if (priority(biggerChild) > priority(v))  
    {  
        swap(v, biggerChild);  
        v = biggerChild;  
    } else  
        return;  
}
```


Inserting in a Heap

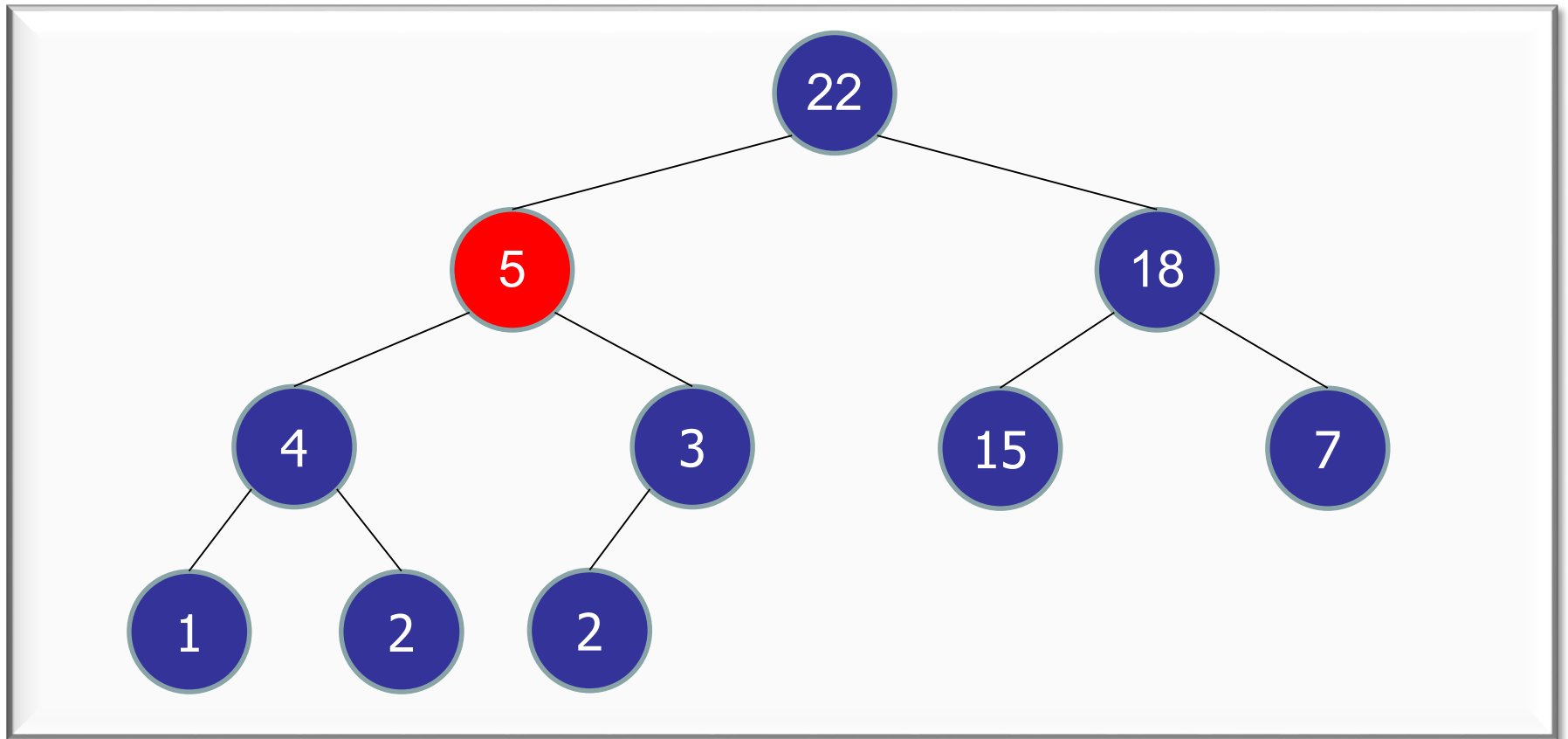
`decreaseKey(. . .)` :

- On completion, heap order is restored.
- Complete binary tree.



Inserting in a Heap

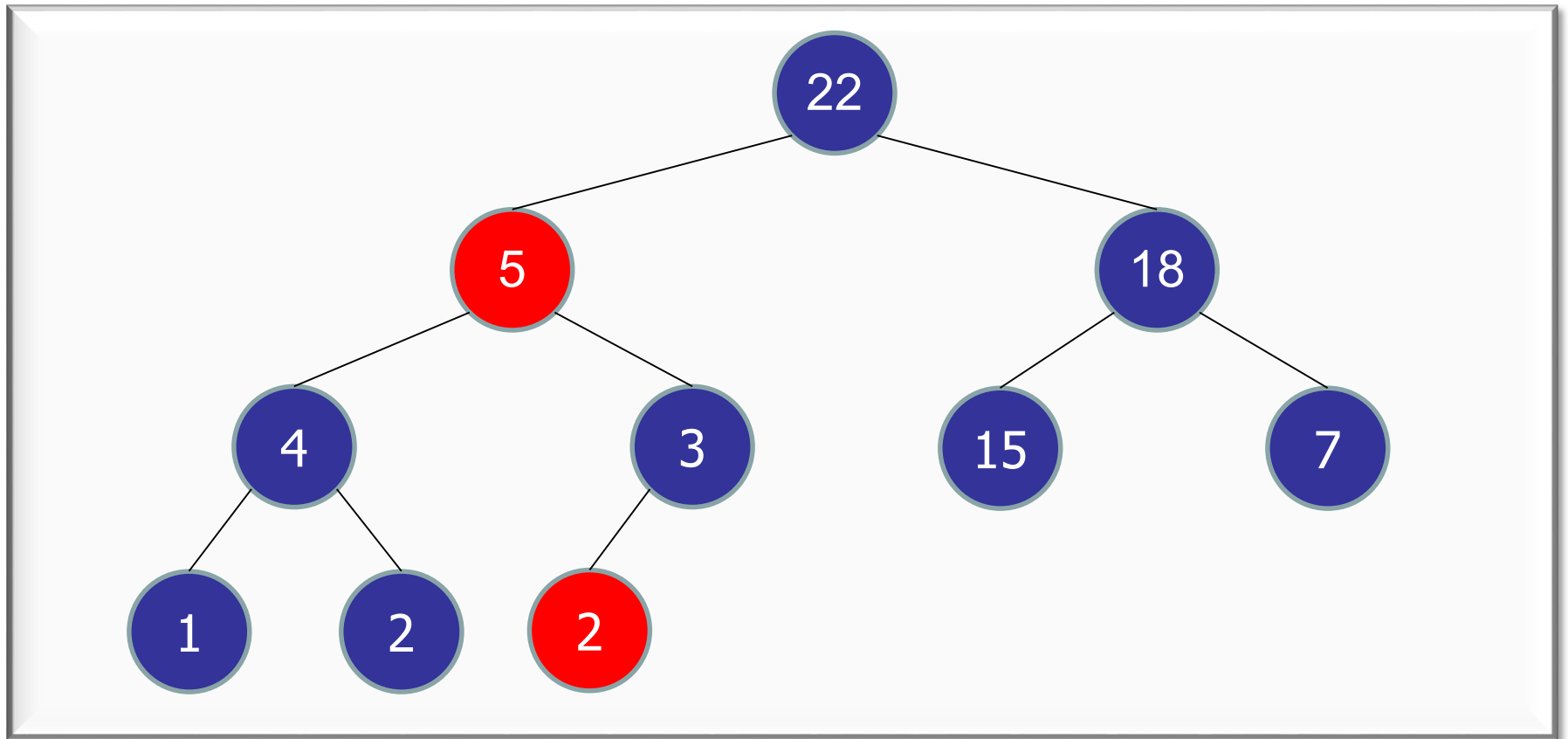
`delete(5) :`



Inserting in a Heap

`delete(5) :`

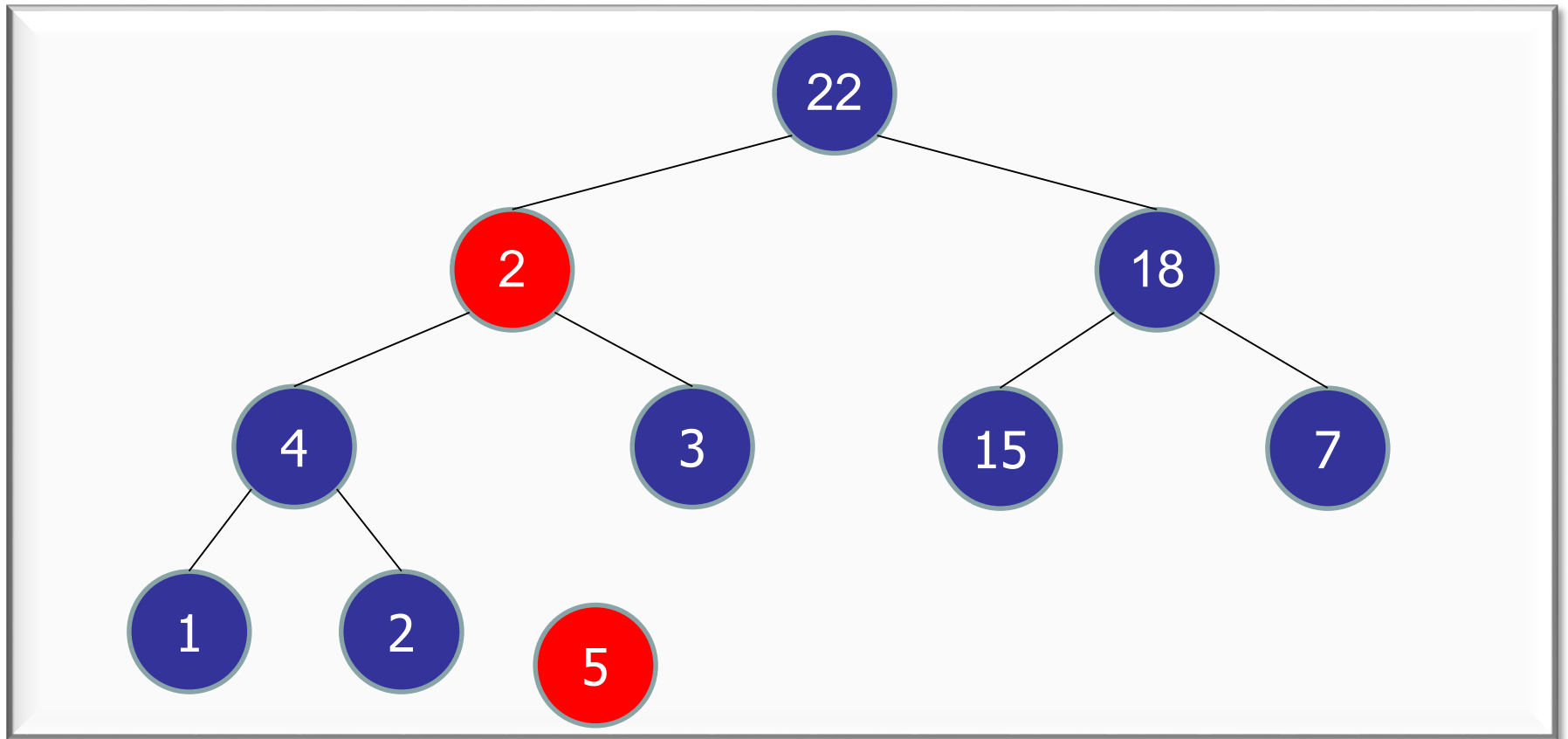
– `swap(5, last())`



Inserting in a Heap

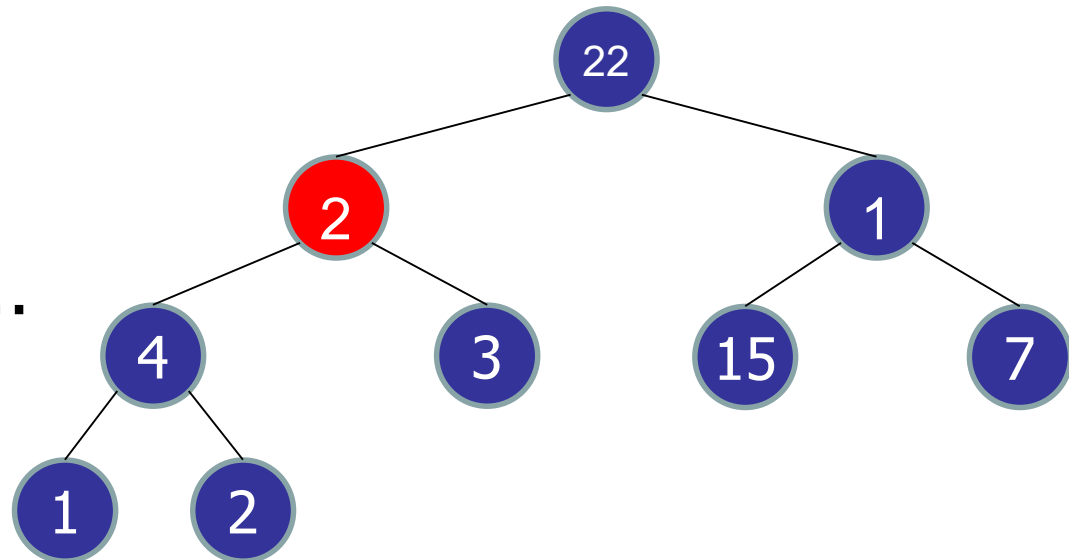
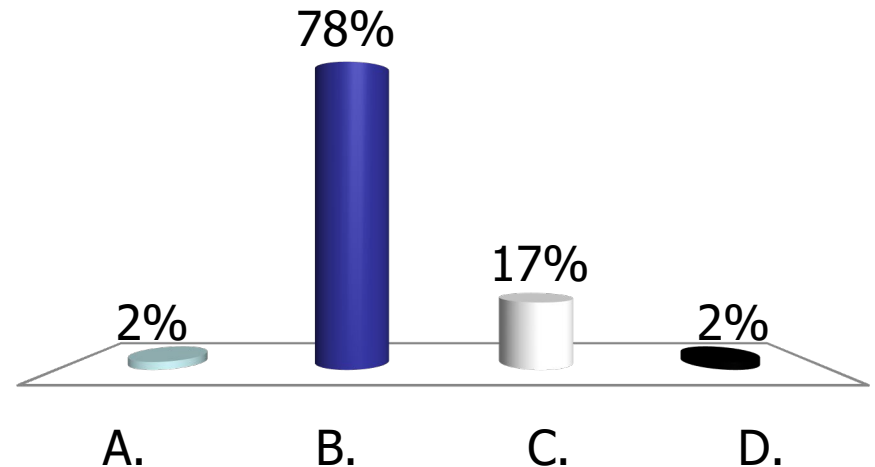
`delete(5) :`

- `swap(5, last())`
- `remove(last())`



For the new last(), I should

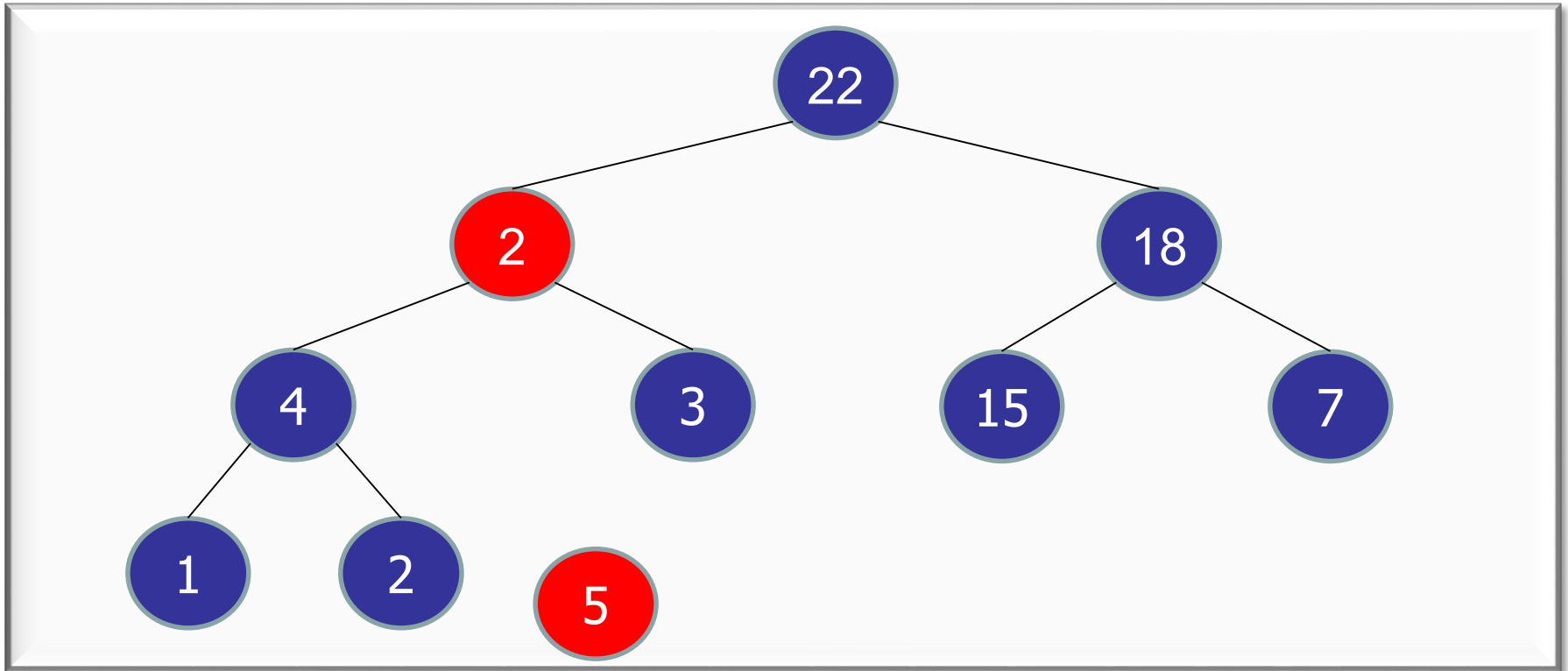
- A. Always bubble up
- B. Always bubble down
- ✓ C. Sometime bubble up, sometime bubble down
- D. Just stay there...



Inserting in a Heap

`delete(5) :`

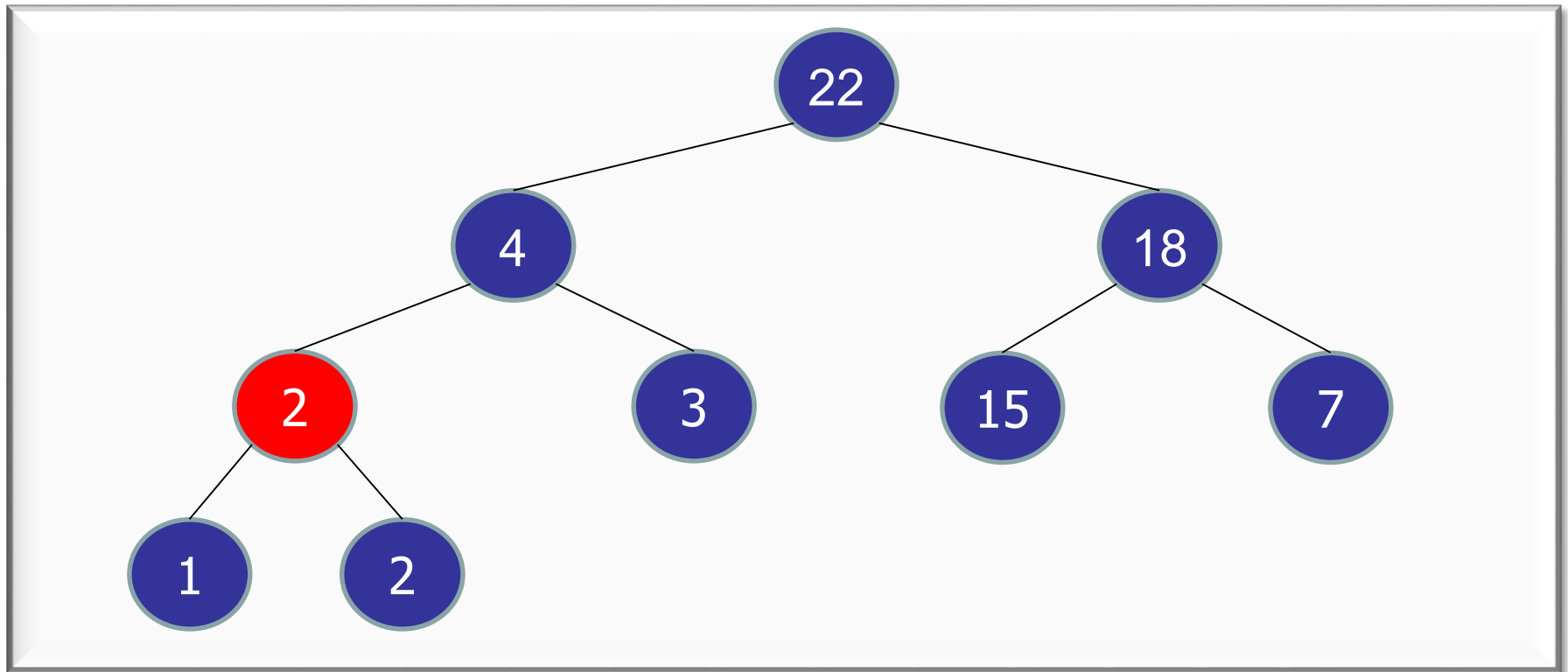
- `swap(5, last())`
- `remove(last())`
- `bubbleDown(2) // depending on if last() > deleted`



Inserting in a Heap

`delete(5) :`

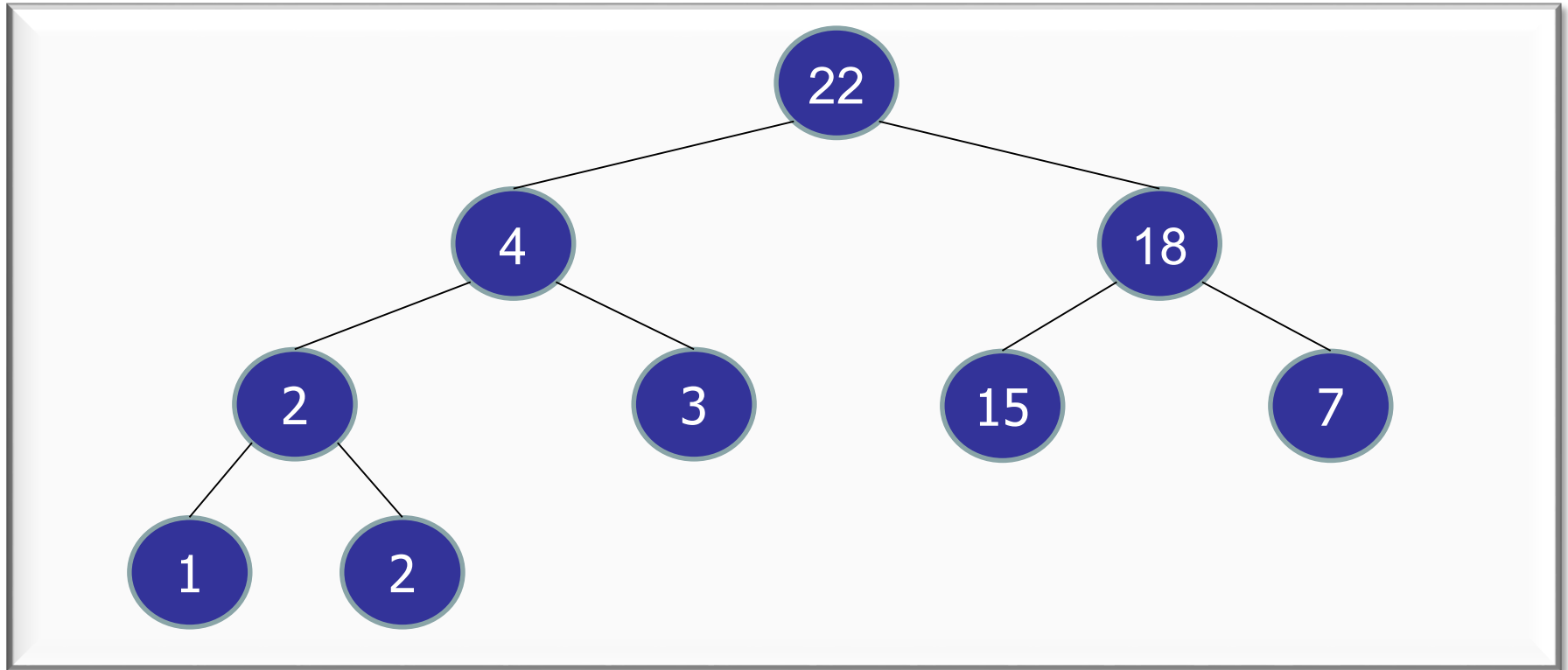
- `swap(5, last())`
- `remove(last())`
- `bubbleDown(2)`



Inserting in a Heap

`delete(5) :`

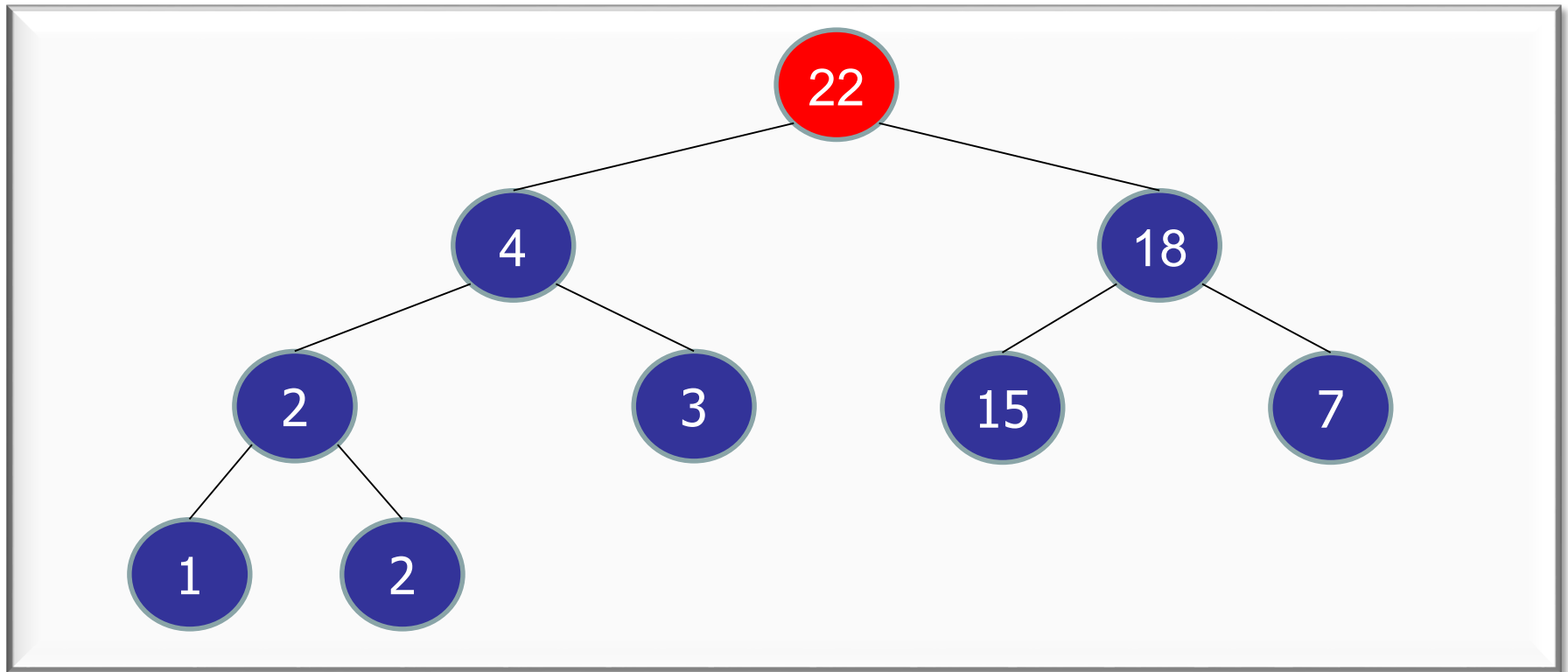
- `swap(5, last())`
- `remove(last())`
- `bubbleDown(2)`



Inserting in a Heap

`extractMax()` :

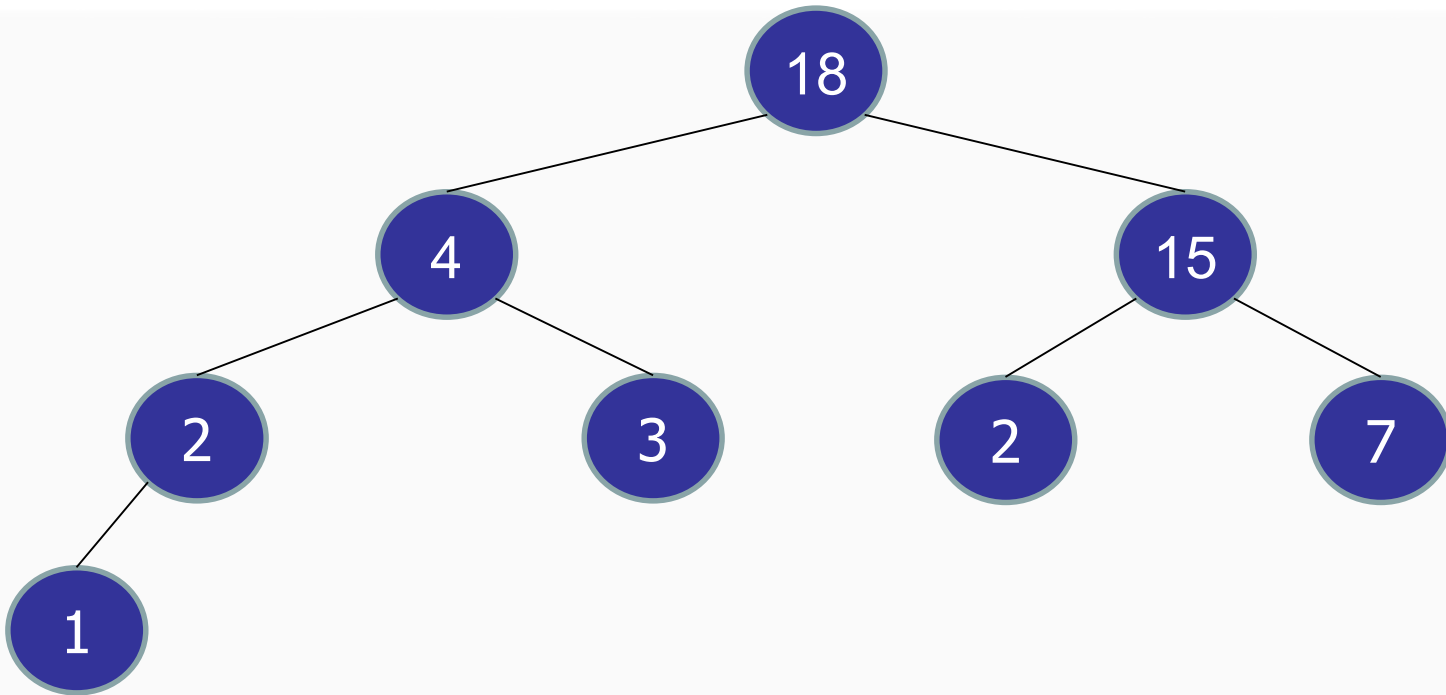
- `Node v = root;`
- `delete(root);`



Inserting in a Heap

`extractMax()` :

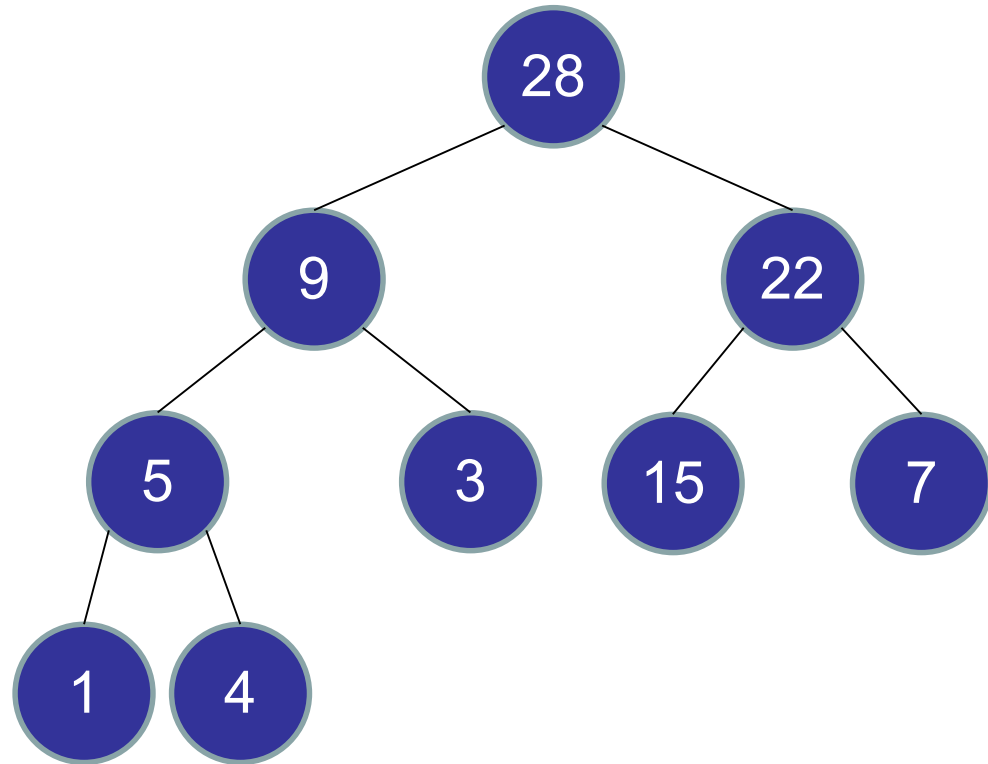
- `Node v = root;`
- `delete(root);`



(Max) Priority Queue

Heap Operations: $O(\log n)$

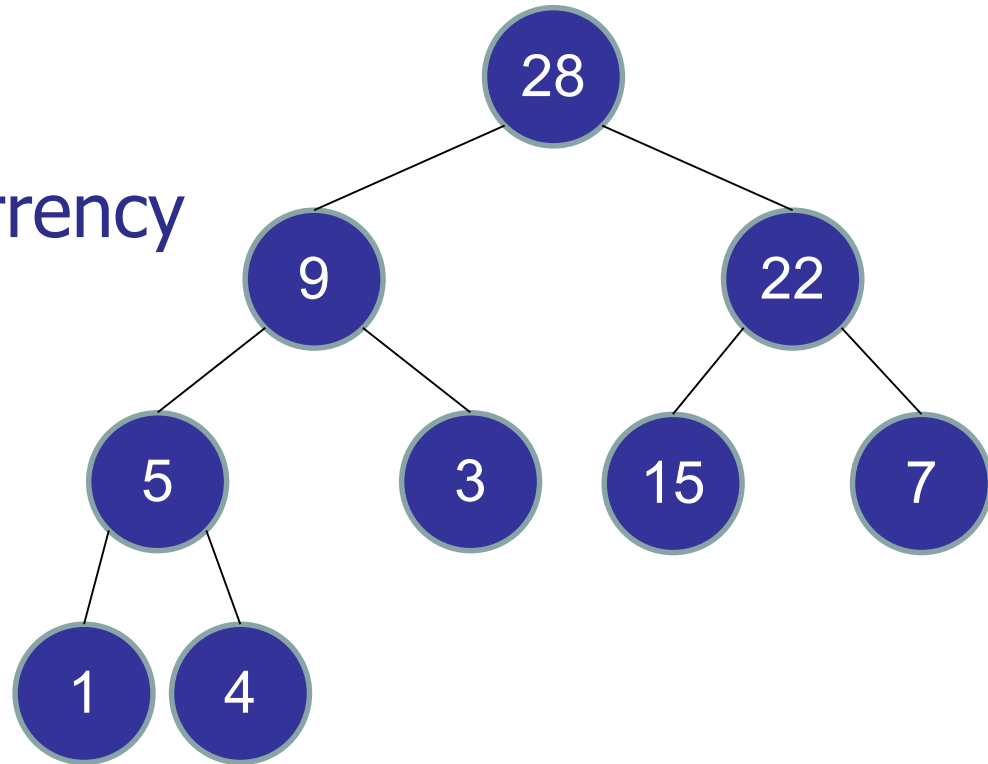
- insert
- extractMax
- increaseKey
- decreaseKey
- delete



(Max) Priority Queue

Heap vs. AVL Tree

- Same cost for operations
- Slightly simpler
 - No rotations
- Slightly better concurrency



How to store a tree?

*A TreeKeeper makes
storing your tree as easy as...*



One,



Two,



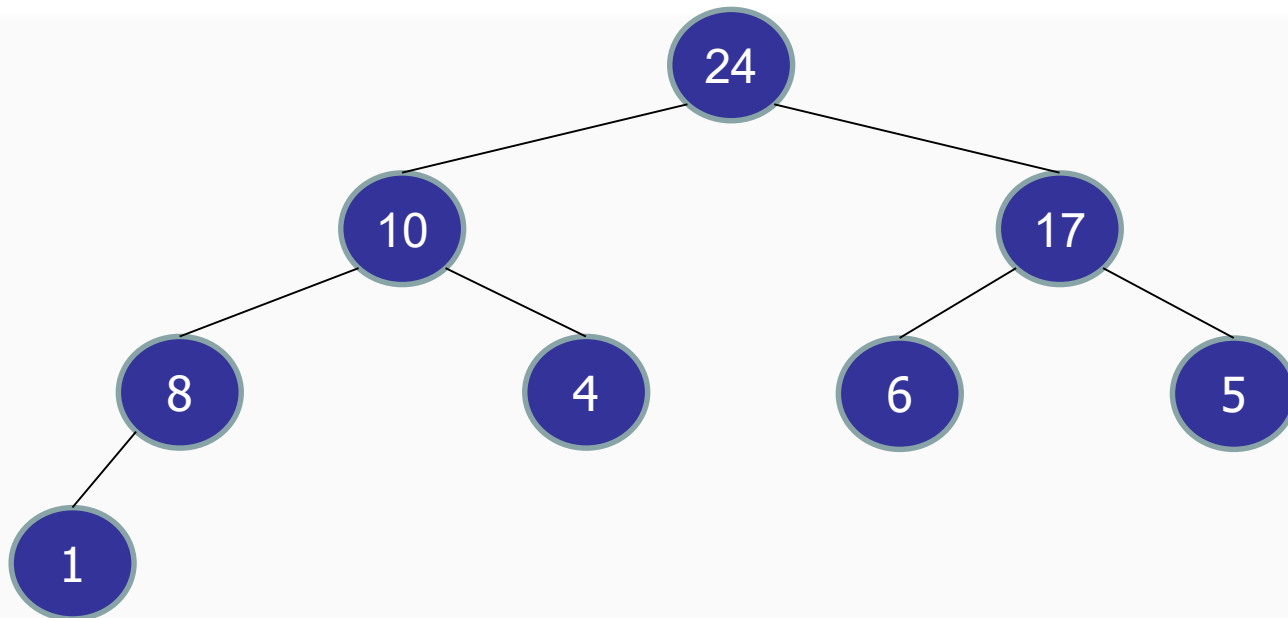
Three!

- Store in an array!

Store Tree in an Array

Map each node in complete binary tree into a slot in an array.

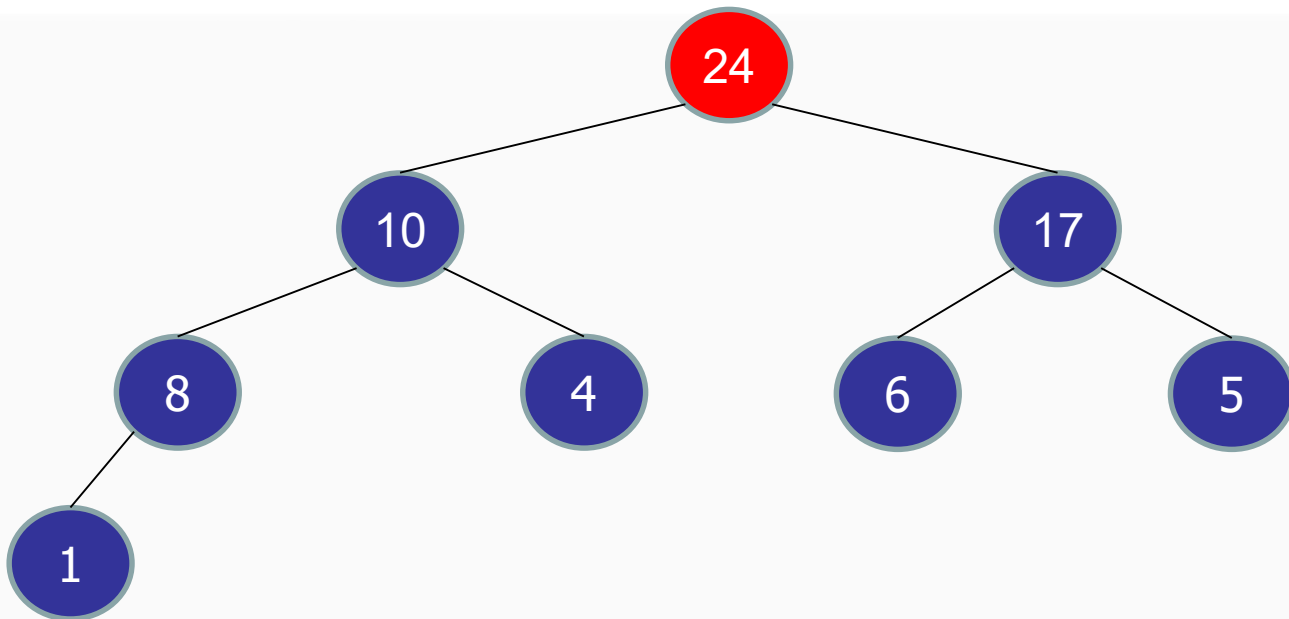
array slot	0	1	2	3	4	5	6	7	8
priority	24	10	17	8	4	6	7	1	



Store Tree in an Array

Map each node in complete binary tree into a slot in an array.

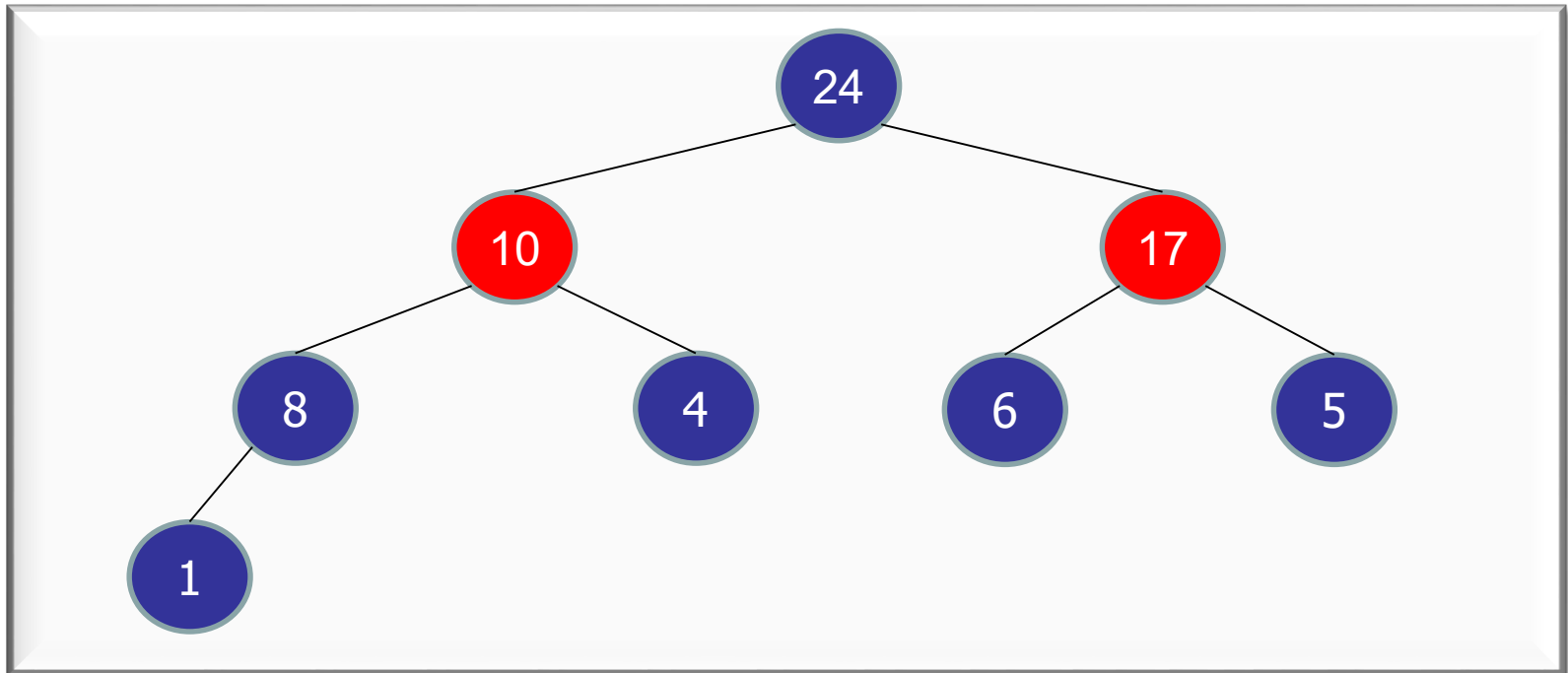
array slot	0	1	2	3	4	5	6	7	8
priority	24	10	17	8	4	6	7	1	



Store Tree in an Array

Map each node in complete binary tree into a slot in an array.

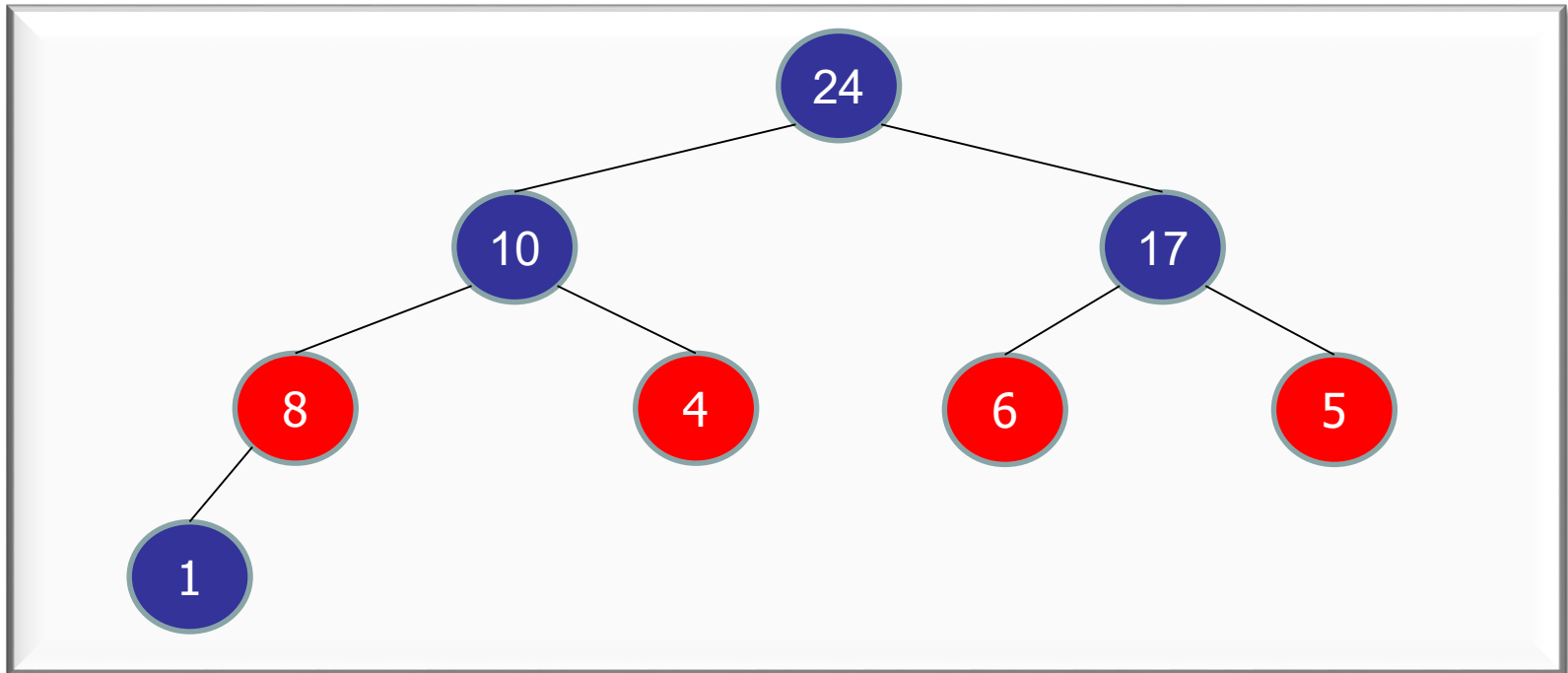
array slot	0	1	2	3	4	5	6	7	8
priority	24	10	17	8	4	6	7	1	



Store Tree in an Array

Map each node in complete binary tree into a slot in an array.

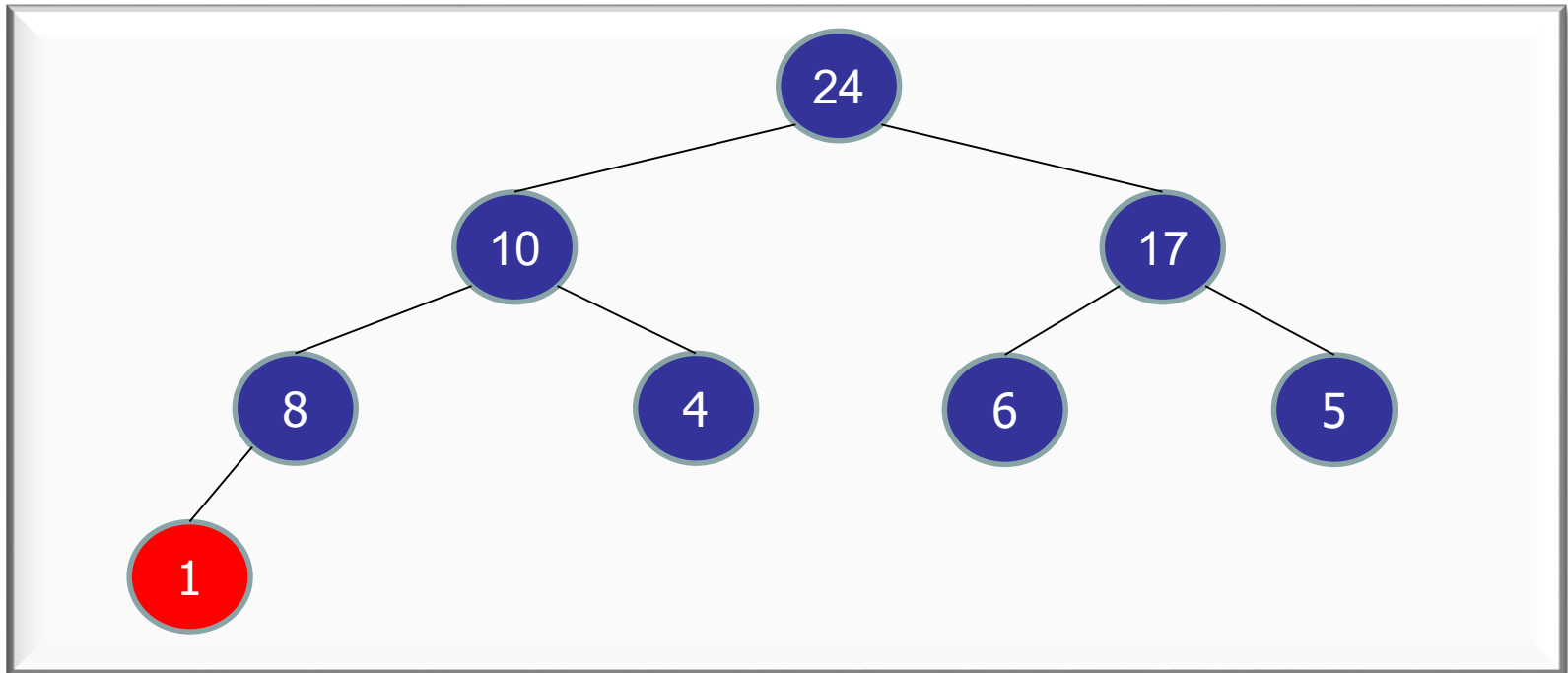
array slot	0	1	2	3	4	5	6	7	8
priority	24	10	17	8	4	6	5	1	



Store Tree in an Array

Map each node in complete binary tree into a slot in an array.

array slot	0	1	2	3	4	5	6	7	8
priority	24	10	17	8	4	6	5	1	

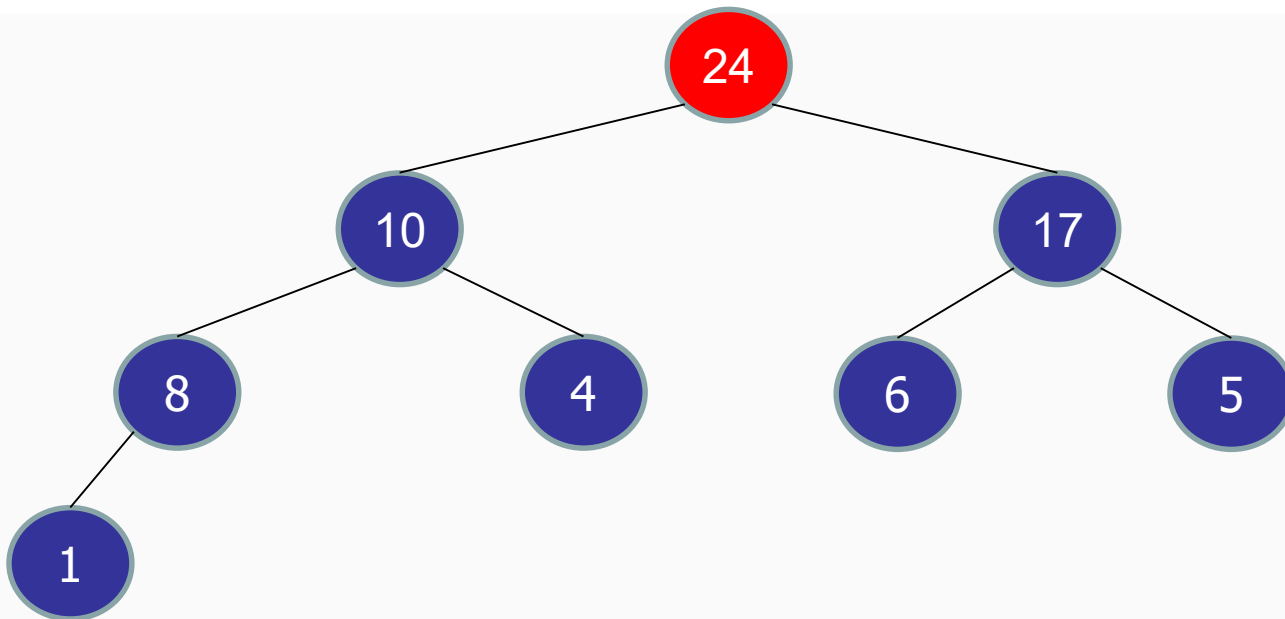


Store Tree in an Array

Each level i starts from the array index $2^i - 1$

Assuming the root is level 0 from top

array slot	0	1	2	3	4	5	6	7	8
priority	24	10	17	8	4	6	7	1	



Level 0

Level 1

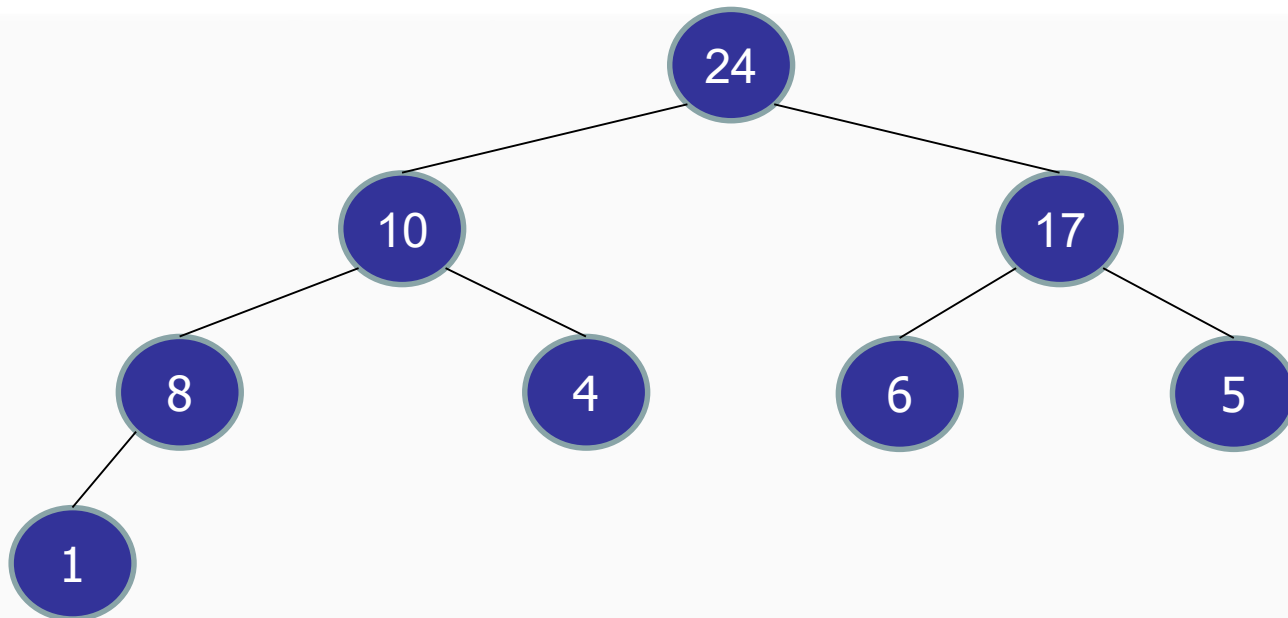
Level 2

Level 3

Store Tree in an Array

insert(15) :

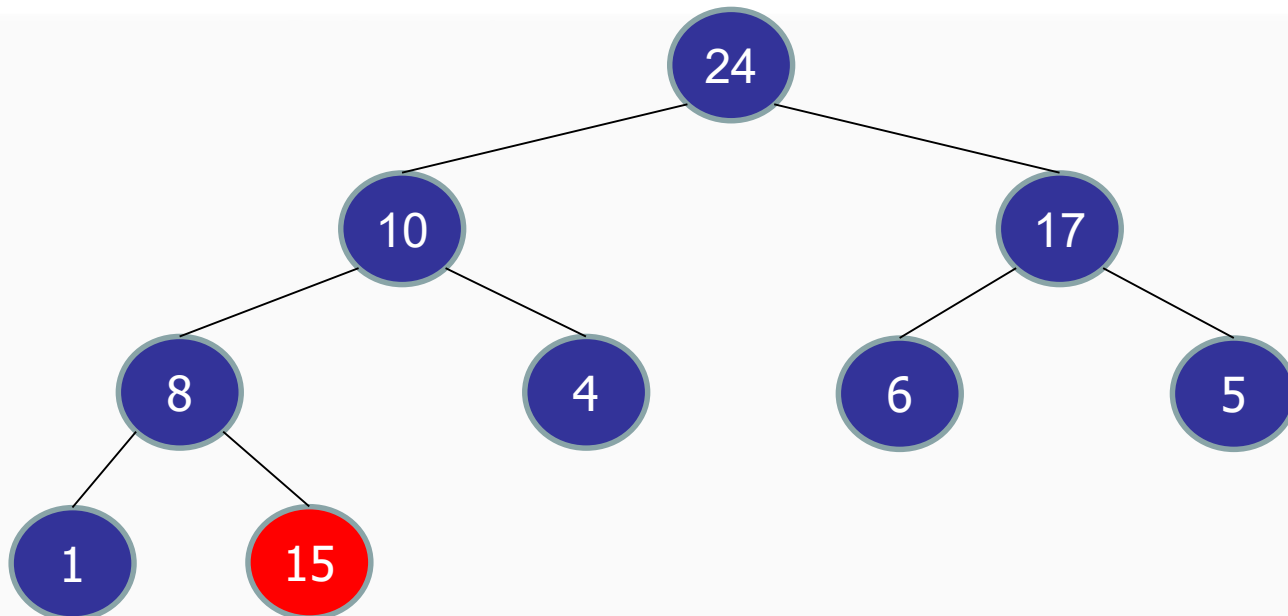
array slot	0	1	2	3	4	5	6	7	8
priority	24	10	17	8	4	6	5	1	



Store Tree in an Array

insert(15) :

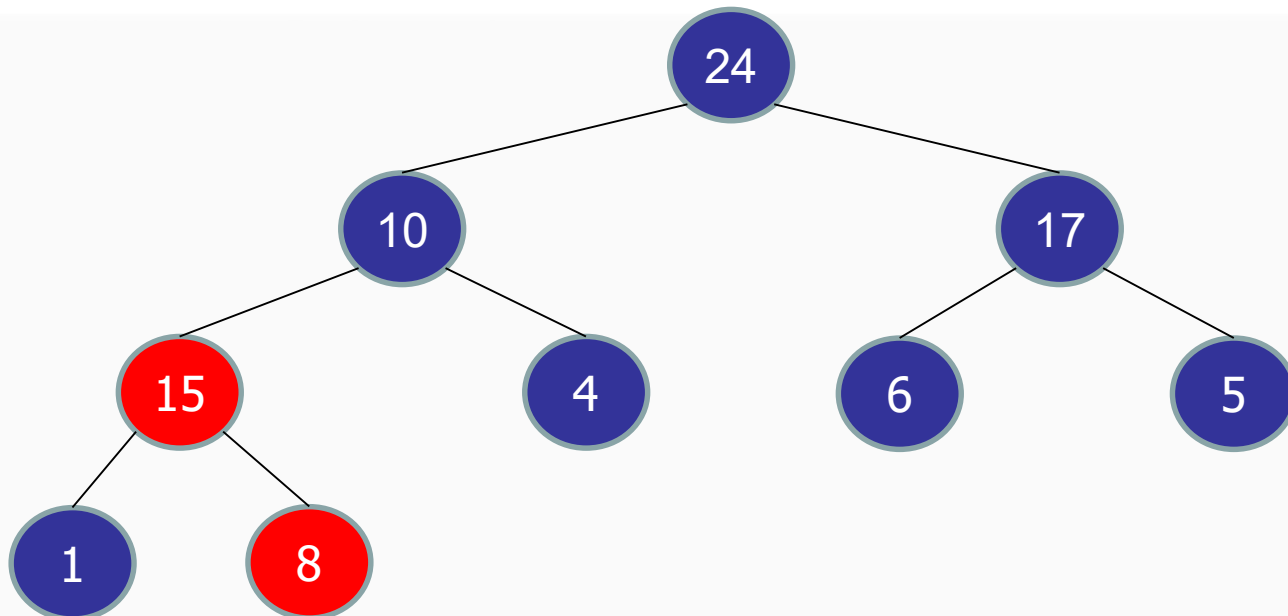
array slot	0	1	2	3	4	5	6	7	8
priority	24	10	17	8	4	6	5	1	15



Store Tree in an Array

insert(15) :

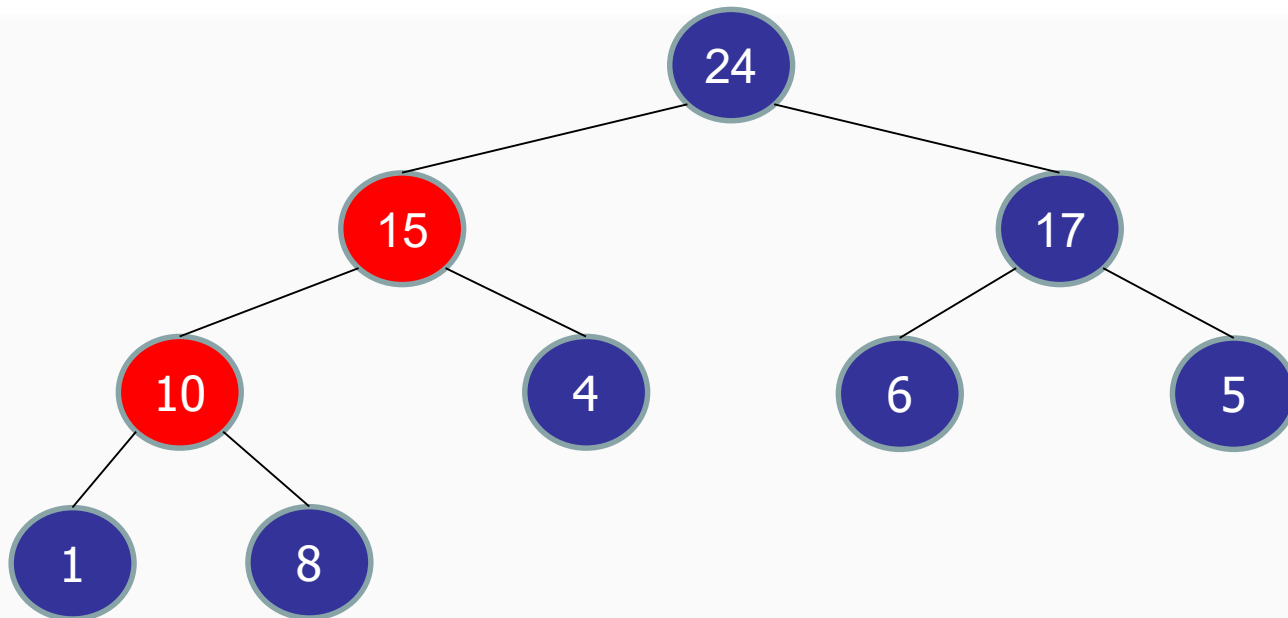
array slot	0	1	2	3	4	5	6	7	8
priority	24	10	17	15	4	6	5	1	8



Store Tree in an Array

insert(15) :

array slot	0	1	2	3	4	5	6	7	8
priority	24	15	17	10	4	6	5	1	8

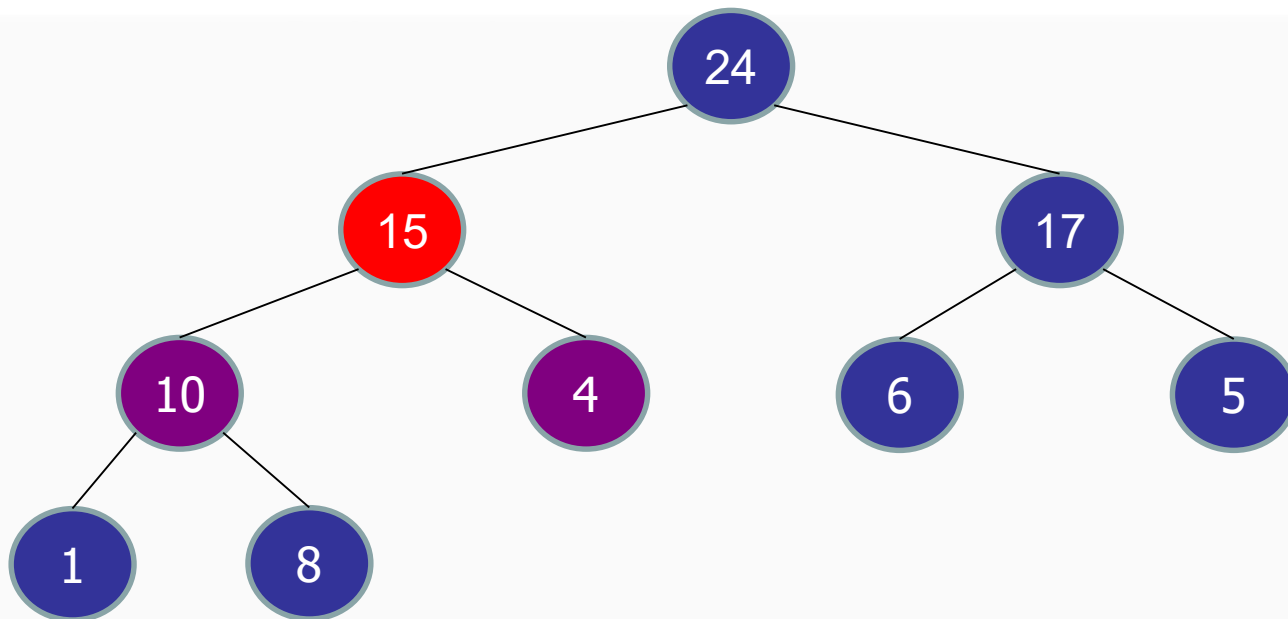


Store Tree in an Array

$\text{left}(x) = 2x+1$

$\text{right}(x) = 2x+2$

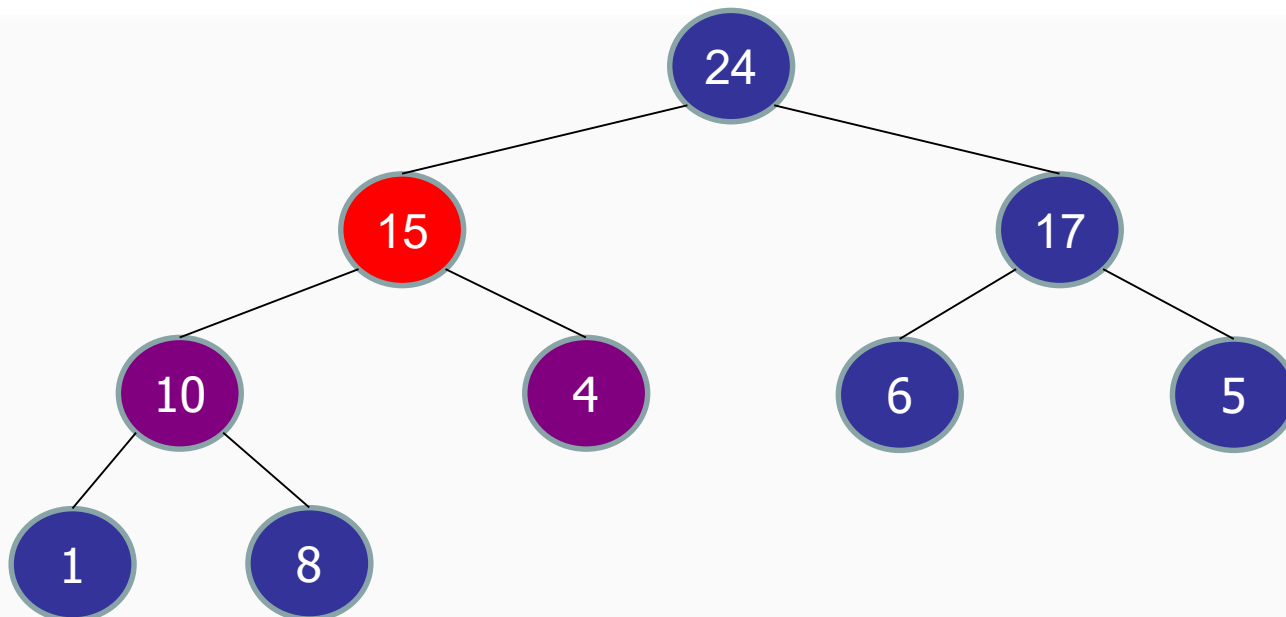
array slot	0	1	2	3	4	5	6	7	8
priority	24	15	17	10	4	6	5	1	8



Store Tree in an Array

$\text{parent}(x) = \text{floor}((x-1) / 2)$

array slot	0	1	2	3	4	5	6	7	8
priority	24	15	17	10	4	6	5	1	8



Wait! Using an array is a good idea!
Why not store an AVL tree in an array?

1. Too much wasted space.
2. Too expensive to calculate left/right/parent.
- ✓ 3. Too slow to update.
4. You can store an AVL tree in an array.

Pretend you're smart:
use ~~quotes~~
array

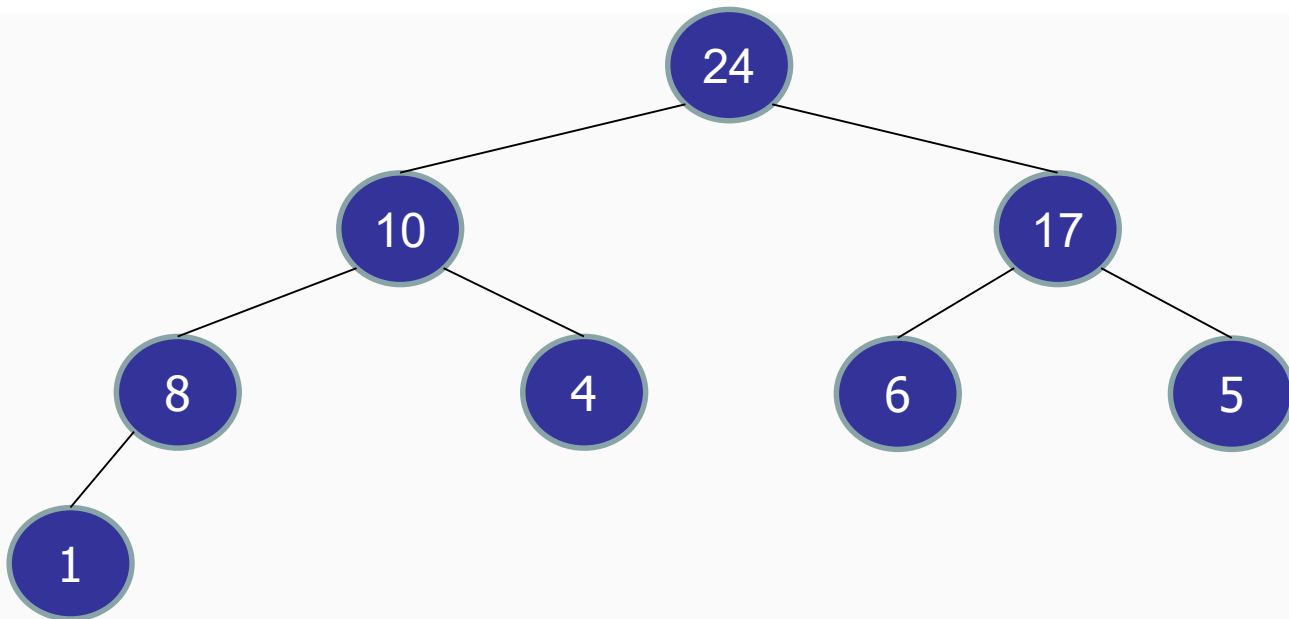


som^{ee}cards
user card

Store AVL Tree in an Array

Map each node in complete binary tree into a slot in an array.

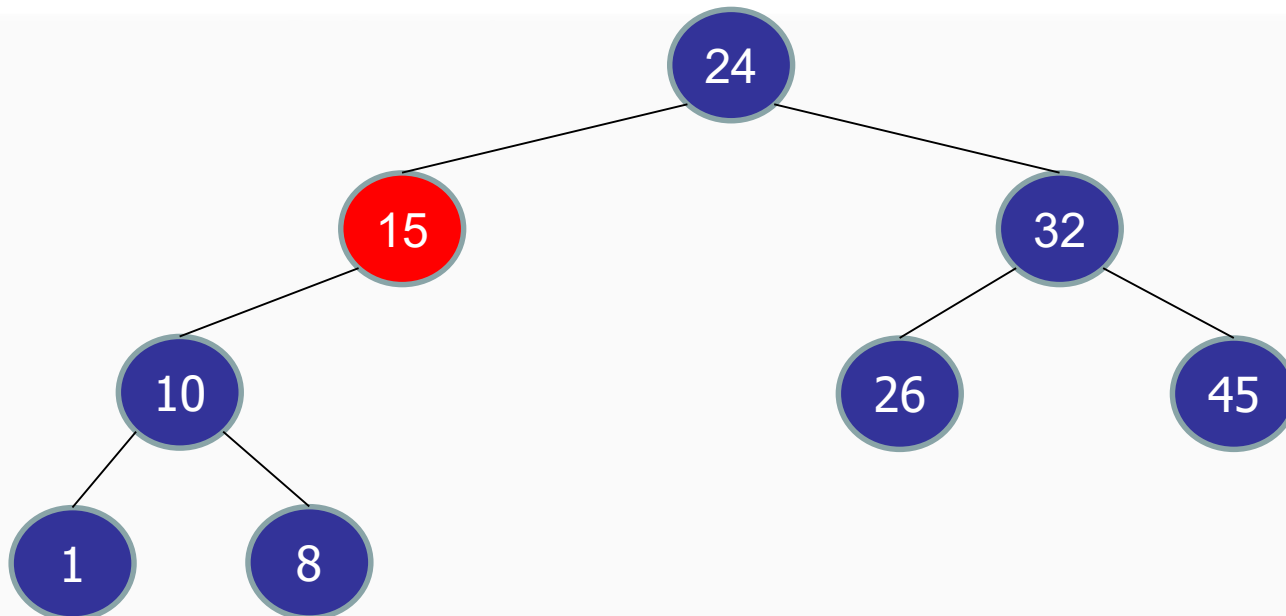
array slot	0	1	2	3	4	5	6	7	8
priority	24	10	17	8	4	6	5	1	



Store AVL Tree in an Array

`right-rotate(15)`

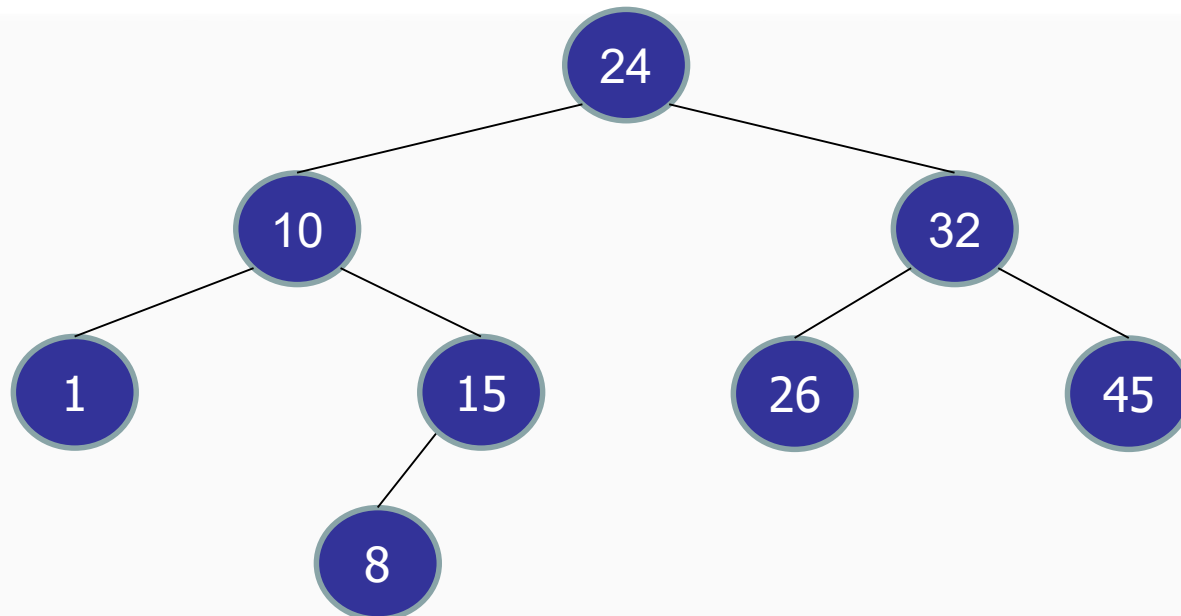
array slot	0	1	2	3	4	5	6	7	8
priority	24	15	32	10		26	45	1	8



Store AVL Tree in an Array

`right-rotate(15)`

array slot	0	1	2	3	4	5	6	7	8
priority	24	10	32	1	15	26	45	8	



Let's Sort things with heaps also!

- Heap sort!



Examples

- ▶ Bitter + Sweet = Bittersweet
- ▶ Living + Death = Living Death
- ▶ Beautiful + Tyrant = Beautiful Tyrant!
- ▶ Minor + Crisis = Minor Crisis
- ▶ Jumbo + Shrimp = Jumbo Shrimp
- ▶ Clearly + Confused = Clearly Confused
- ▶ Only + Choice = Only Choice
- ▶ Larger + Half = Larger Half
- ▶ Freezer + Burn = Freezer Burn
- ▶ Pretty + Ugly = Pretty Ugly

HeapSort

Unsorted list:

array slot	0	1	2	3	4	5	6	7	8
key	6	4	5	3	10	17	24	1	8

HeapSort

Unsorted list:

array slot	0	1	2	3	4	5	6	7	8
key	6	4	5	3	10	17	24	1	8

Unsorted list → Heap

array slot	0	1	2	3	4	5	6	7	8
priority	24	10	17	8	4	6	5	1	3

HeapSort

Unsorted list:

array slot	0	1	2	3	4	5	6	7	8
key	6	4	5	3	10	17	24	1	8

Unsorted list → Heap

array slot	0	1	2	3	4	5	6	7	8
priority	24	10	17	8	4	6	5	1	3

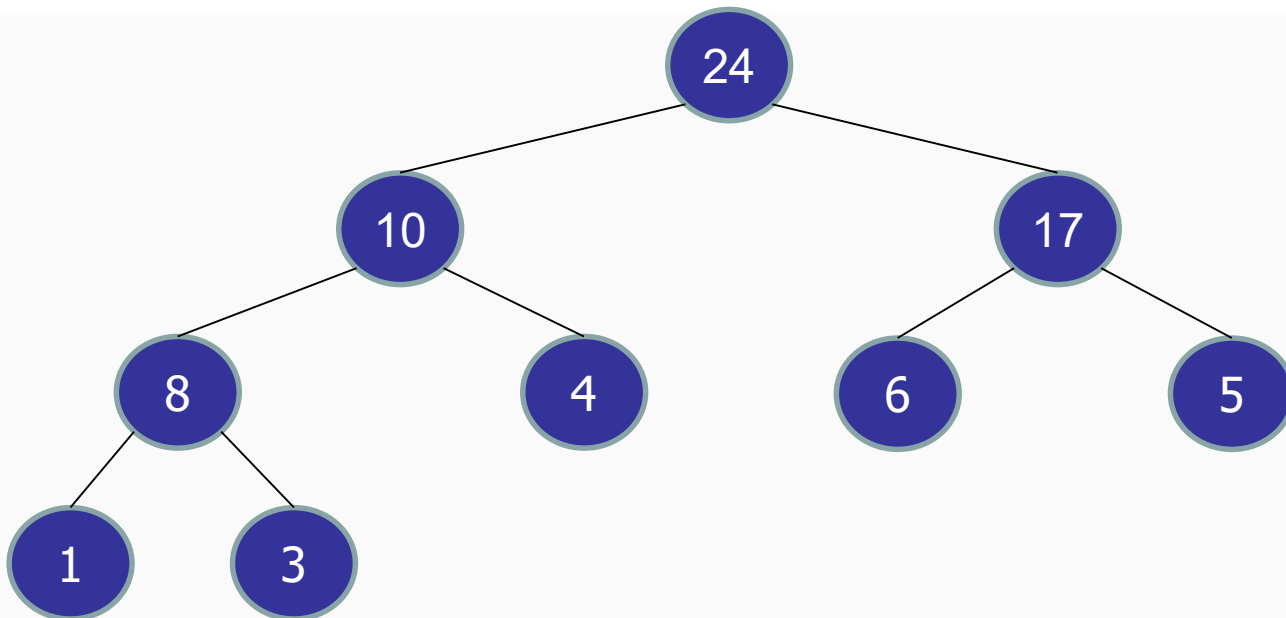
Heap → Sorted list:

array slot	0	1	2	3	4	5	6	7	8
key	1	3	4	5	6	8	10	17	24

HeapSort

Heap → Sorted list:

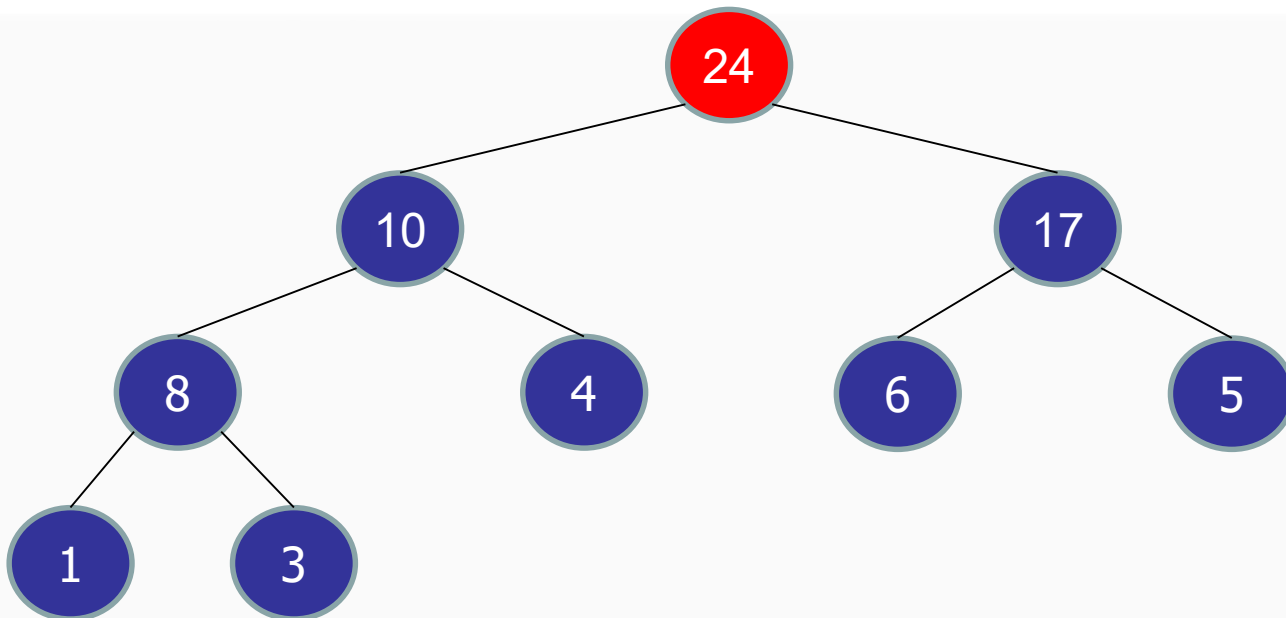
array slot	0	1	2	3	4	5	6	7	8
priority	24	10	17	8	4	6	5	1	3



HeapSort

```
value = extractMax();
```

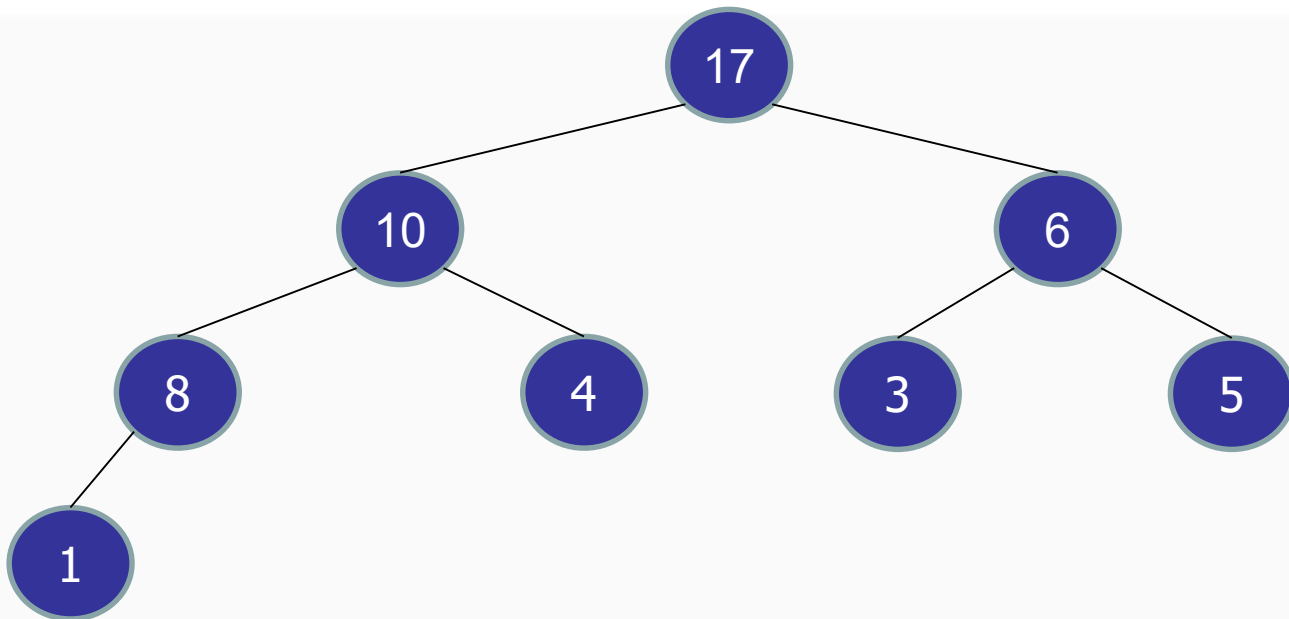
array slot	0	1	2	3	4	5	6	7	8
priority	24	10	17	8	4	6	5	1	3



HeapSort

```
value = extractMax();
```

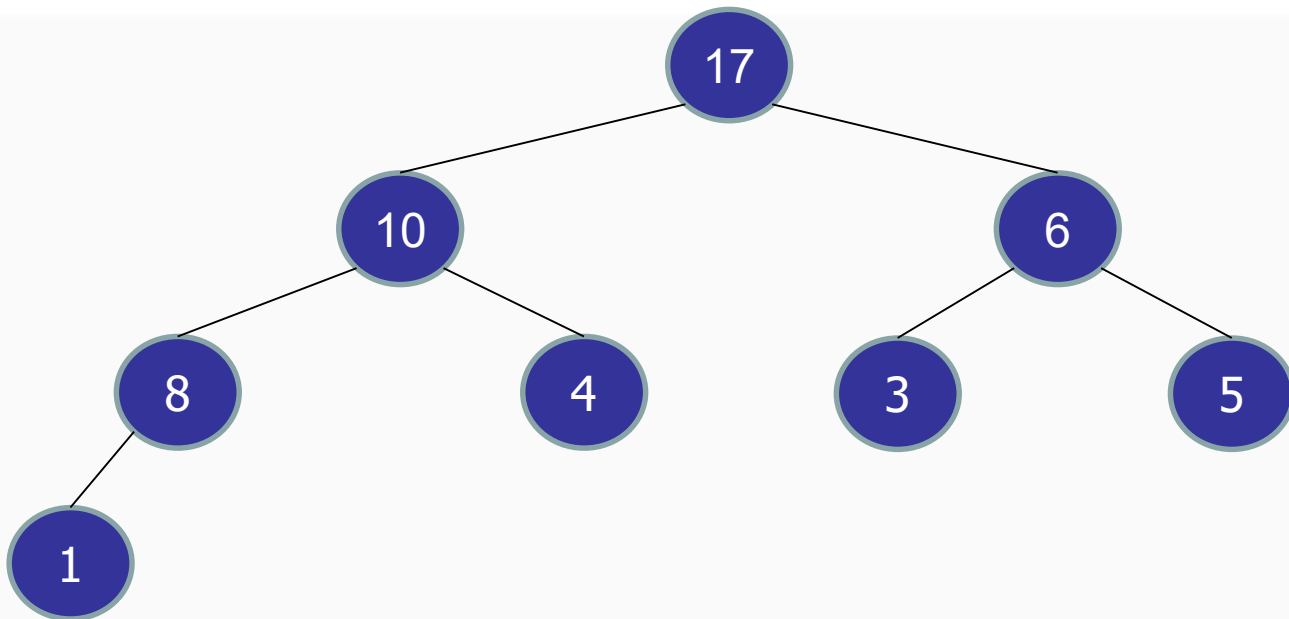
array slot	0	1	2	3	4	5	6	7	8
priority	17	10	6	8	4	3	5	1	



HeapSort

```
value = extractMax();  
A[8] = value;
```

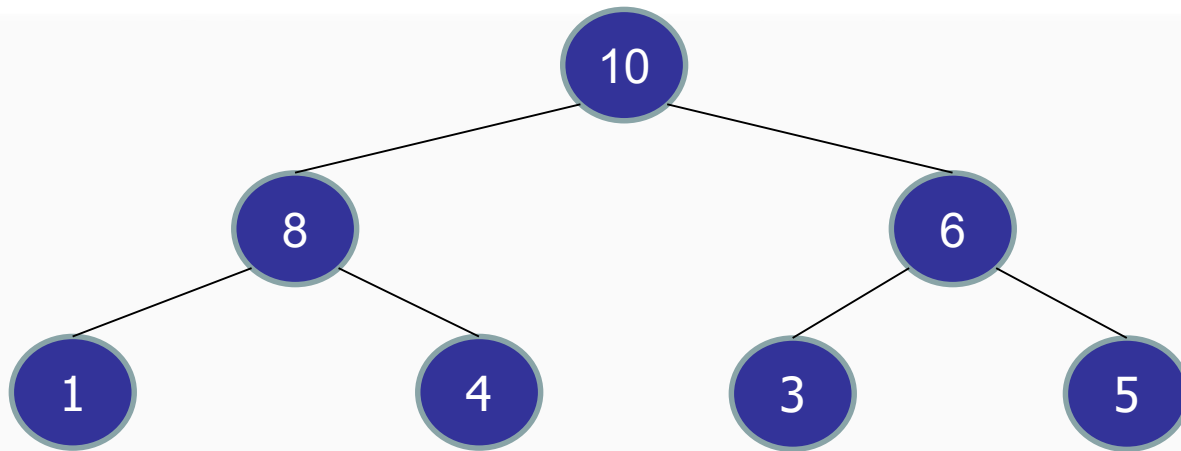
array slot	0	1	2	3	4	5	6	7	8
priority	17	10	6	8	4	3	5	1	24



HeapSort

```
value = extractMax();  
A[7] = value;
```

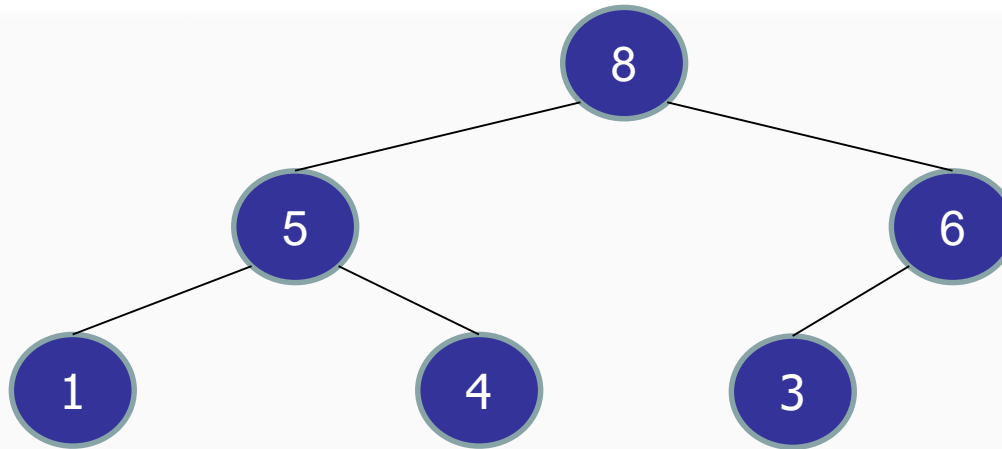
array slot	0	1	2	3	4	5	6	7	8
priority	10	8	6	1	4	3	5	17	24



HeapSort

```
value = extractMax();  
A[6] = value;
```

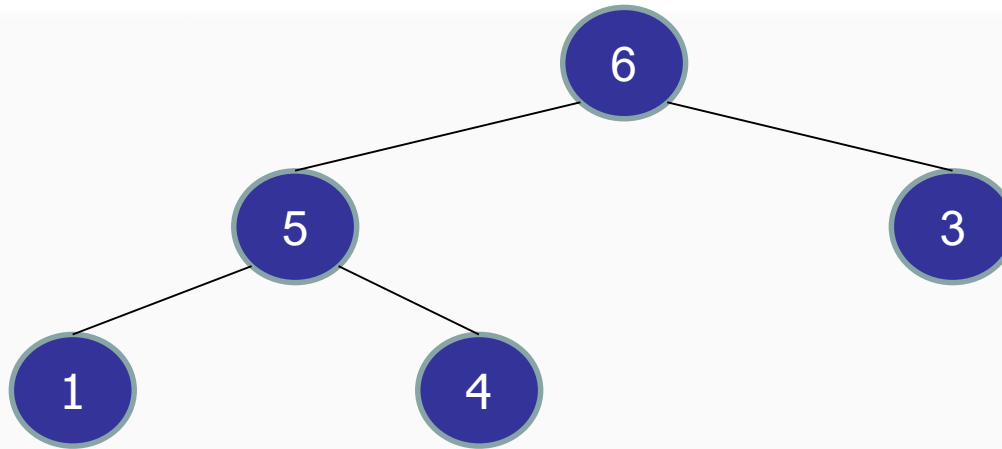
array slot	0	1	2	3	4	5	6	7	8
priority	8	5	6	1	4	3	10	17	24



HeapSort

```
value = extractMax();  
A[5] = value;
```

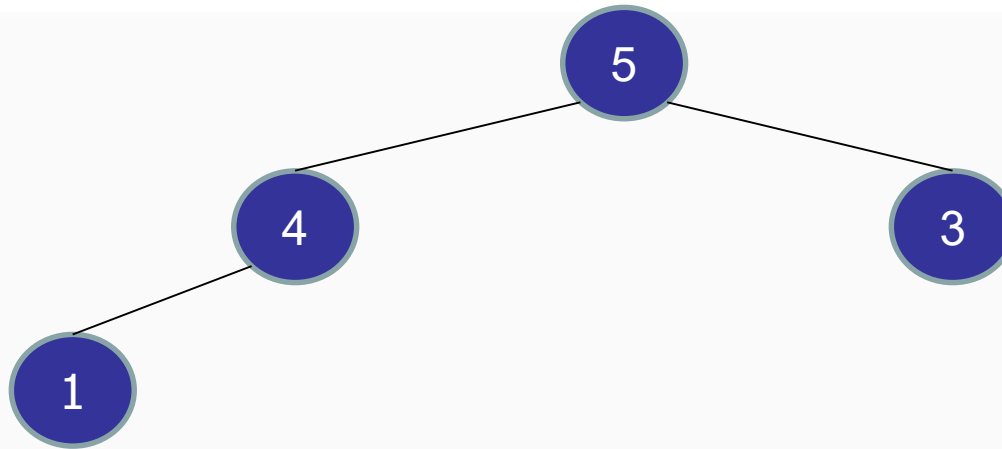
array slot	0	1	2	3	4	5	6	7	8
priority	6	5	3	1	4	8	10	17	24



HeapSort

```
value = extractMax();  
A[4] = value;
```

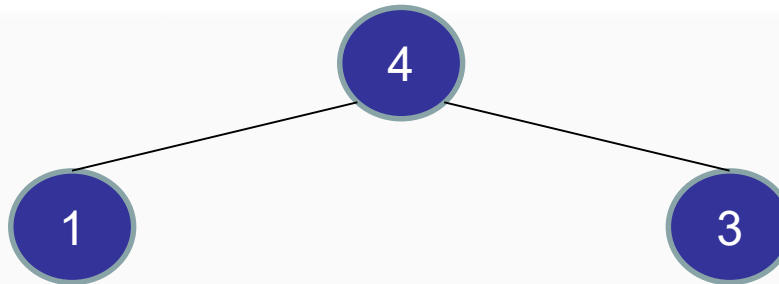
array slot	0	1	2	3	4	5	6	7	8
priority	5	4	3	1	6	8	10	17	24



HeapSort

```
value = extractMax();  
A[3] = value;
```

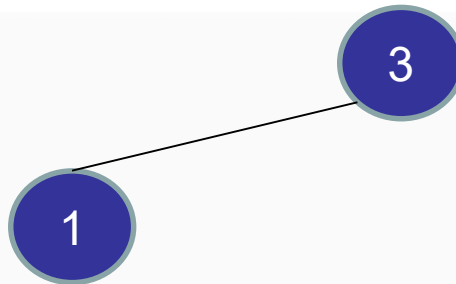
array slot	0	1	2	3	4	5	6	7	8
priority	4	1	3	5	6	8	10	17	24



HeapSort

```
value = extractMax();  
A[2] = value;
```

array slot	0	1	2	3	4	5	6	7	8
priority	3	1	4	5	6	8	10	17	24



HeapSort

```
value = extractMax();  
A[1] = value;
```

array slot	0	1	2	3	4	5	6	7	8
priority	1	3	4	5	6	8	10	17	24

1

3

HeapSort

```
value = extractMax();  
A[0] = value;
```

array slot	0	1	2	3	4	5	6	7	8
priority	1	3	4	5	6	8	10	17	24

1

3

HeapSort

Heap array → Sorted list:

array slot	0	1	2	3	4	5	6	7	8
priority	1	3	4	5	6	8	10	17	24

```
// int[] A = array stored as a heap
for (int i=(n-1); i>=0; i--) {
    int value = extractMax(A);
    A[i] = value;
}
```

What is the running time for converting a heap into a sorted array?

1. $O(\log n)$
2. $O(n)$
- ✓ 3. $O(n \log n)$
4. $O(n^2)$
5. I have no idea.

HeapSort

Heap array → Sorted list: $O(n \log n)$

array slot	0	1	2	3	4	5	6	7	8
priority	1	3	4	5	6	8	10	17	24

```
// int[] A = array stored as a heap
for (int i=(n-1); i>=0; i--) {
    int value = extractMax(A); // O(log n)
    A[i] = value;
}
```

HeapSort

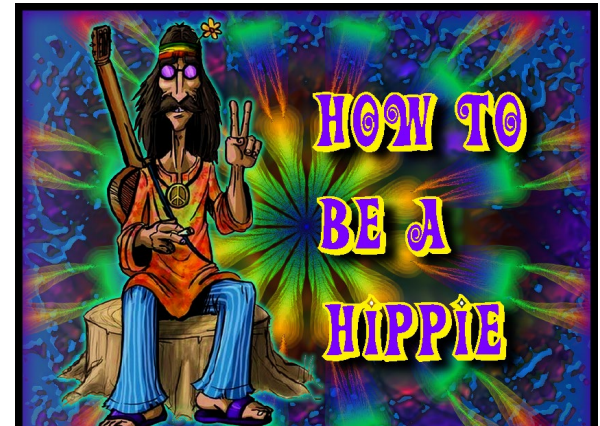
Unsorted list:

array slot	0	1	2	3	4	5	6	7	8
key	6	4	5	3	10	17	24	1	8

Unsorted list → Heap

array slot	0	1	2	3	4	5	6	7	8
priority	24	10	17	8	4	6	5	1	3

Heapify!



HeapSort

Heapify v.1: Unsorted list → Heap

$O(n \log n)$

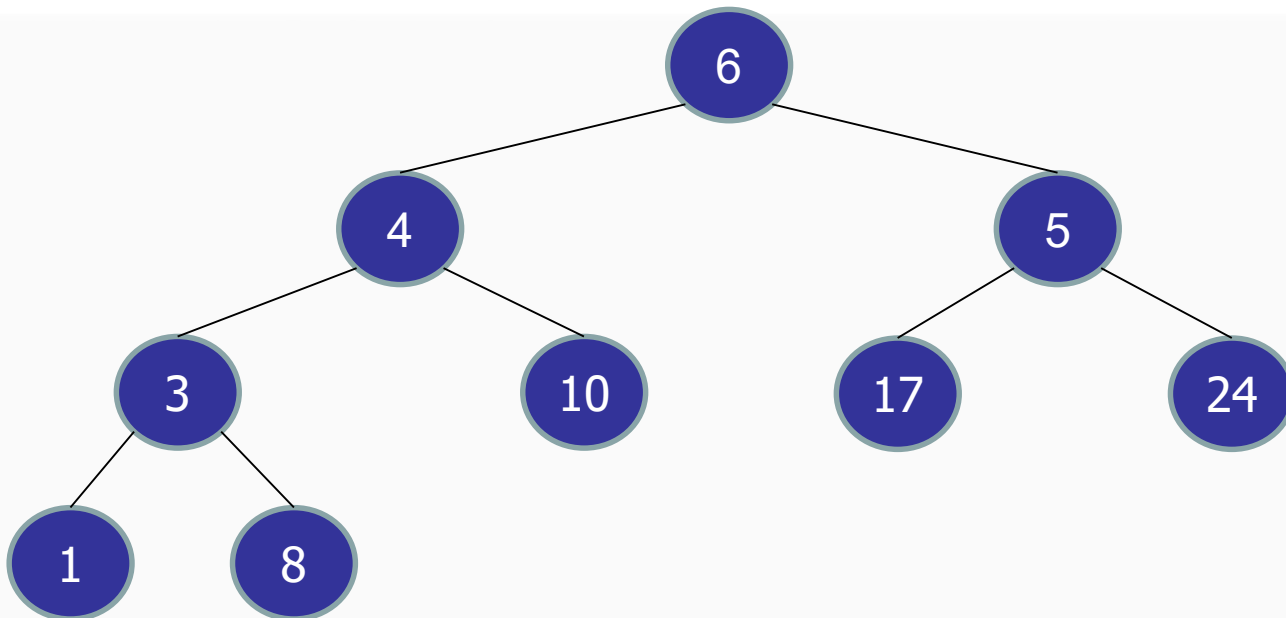
array slot	0	1	2	3	4	5	6	7	8
key	6	4	5	3	10	17	24	1	8

```
// int[] A = array of unsorted integers
for (int i=0; i<n; i++) {
    int value = A[i];
    A[i] = EMPTY;
    heapInsert(value, A, 0, i);}
}
```

HeapSort

Heapify v.2: Unsorted list → Heap

array slot	0	1	2	3	4	5	6	7	8
key	6	4	5	3	10	17	24	1	8

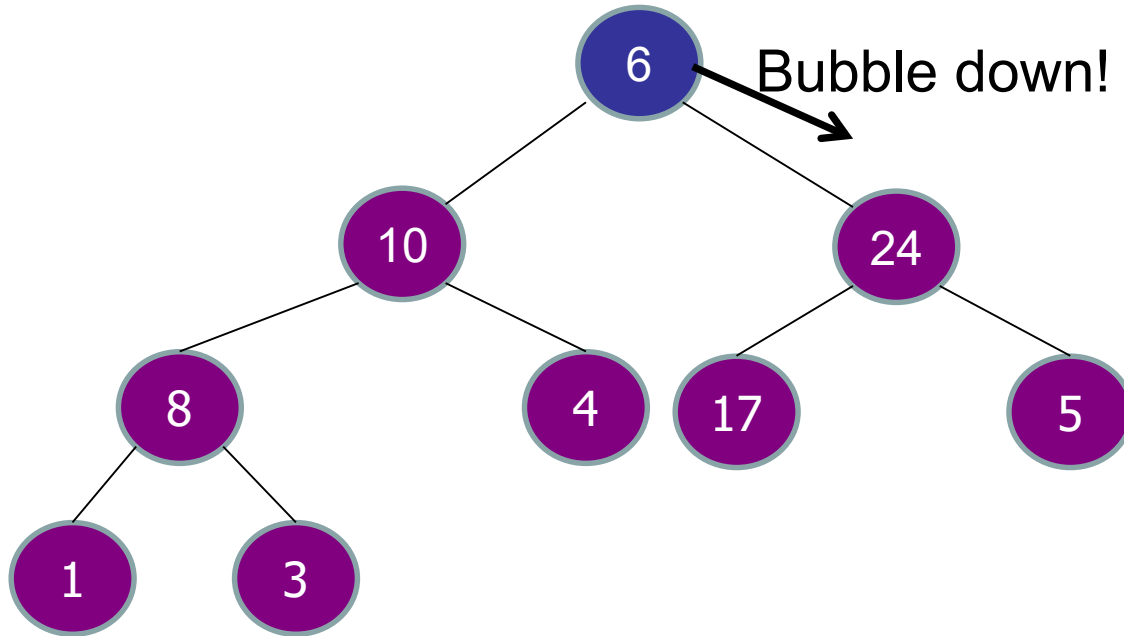


HeapSort

Heapify v.2: Unsorted list → Heap

Idea: if you are given two heaps and one new node, how do you join all of them into one single heap?

- join them and bubble down the root

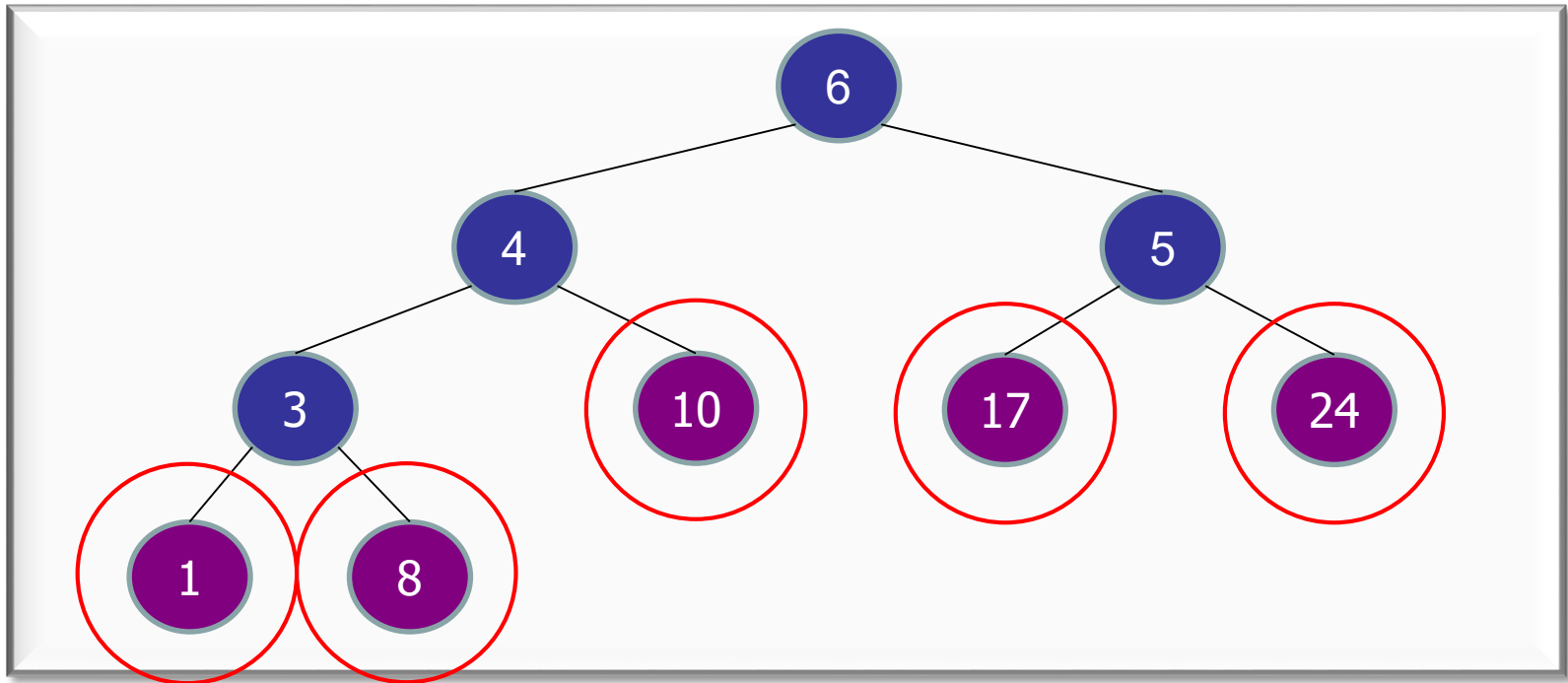


HeapSort

Idea:
Recursion

Base case: each leaf is a heap.

array slot	0	1	2	3	4	5	6	7	8
key	6	4	5	3	10	17	24	1	8

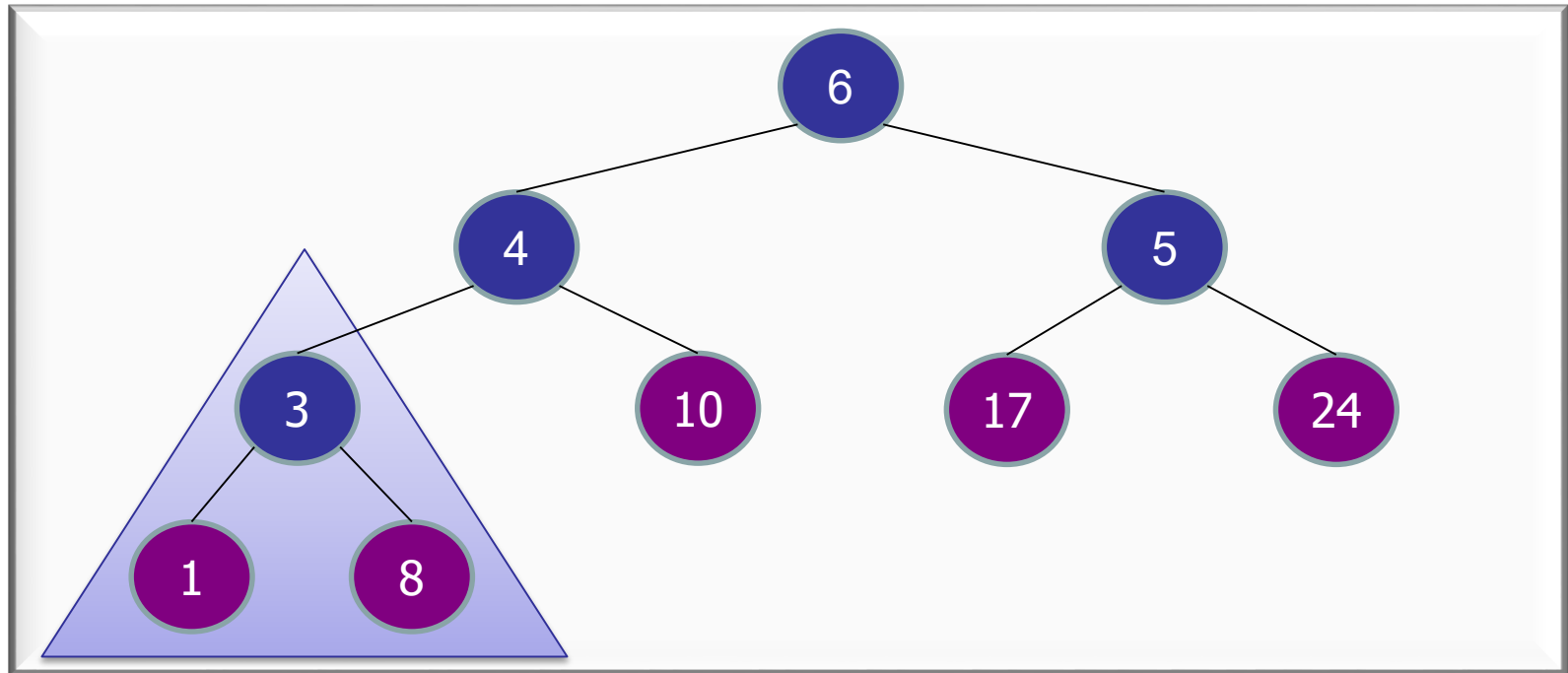


HeapSort

Idea:
Recursion

Recursion: left + right are heaps.

array slot	0	1	2	3	4	5	6	7	8
key	6	4	5	3	10	17	24	1	8

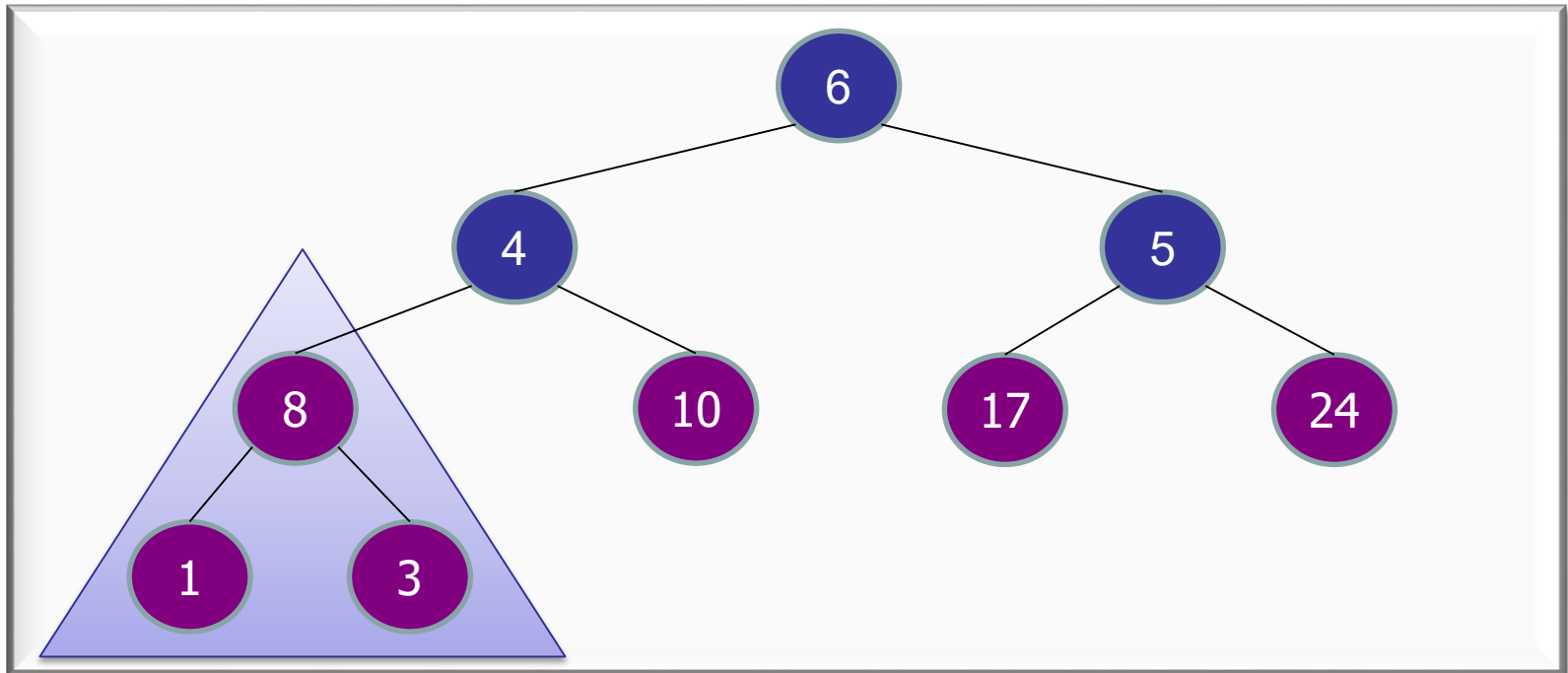


HeapSort

Idea:
Recursion

Recursion: left + right are heaps.

array slot	0	1	2	3	4	5	6	7	8
key	6	4	5	8	10	17	24	1	3

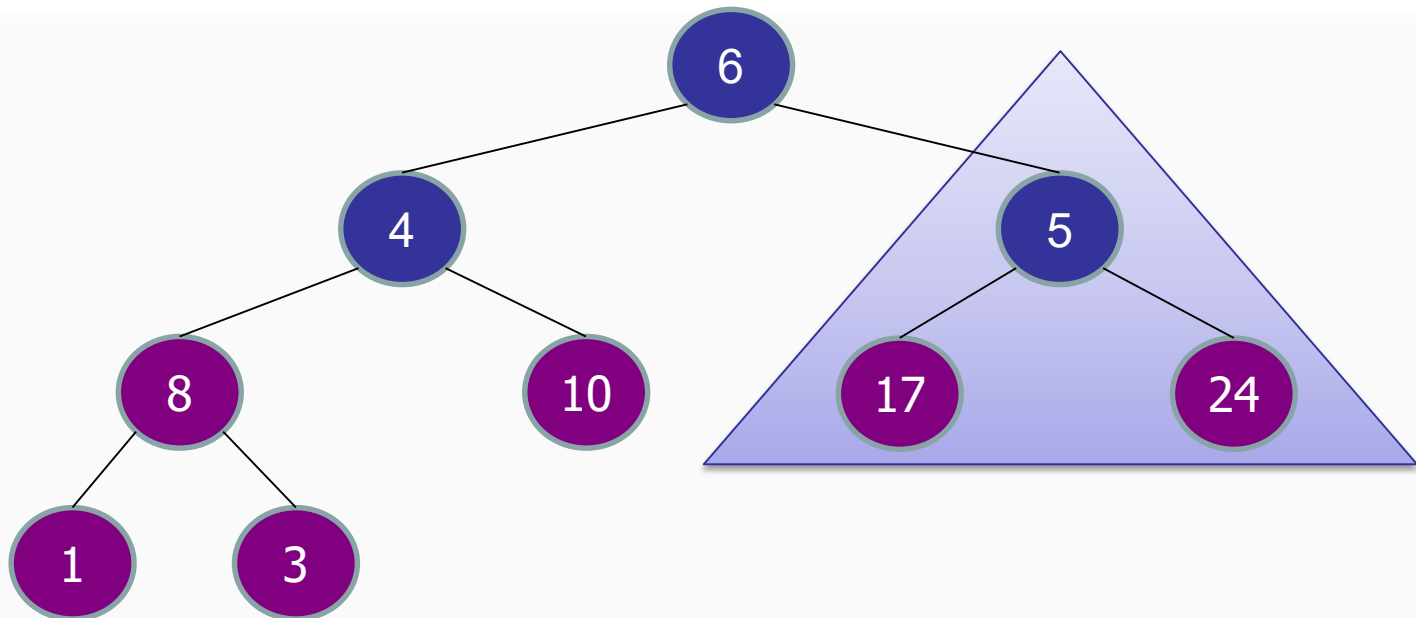


HeapSort

Idea:
Recursion

Recursion: left + right are heaps.

array slot	0	1	2	3	4	5	6	7	8
key	6	4	5	8	10	17	24	1	3

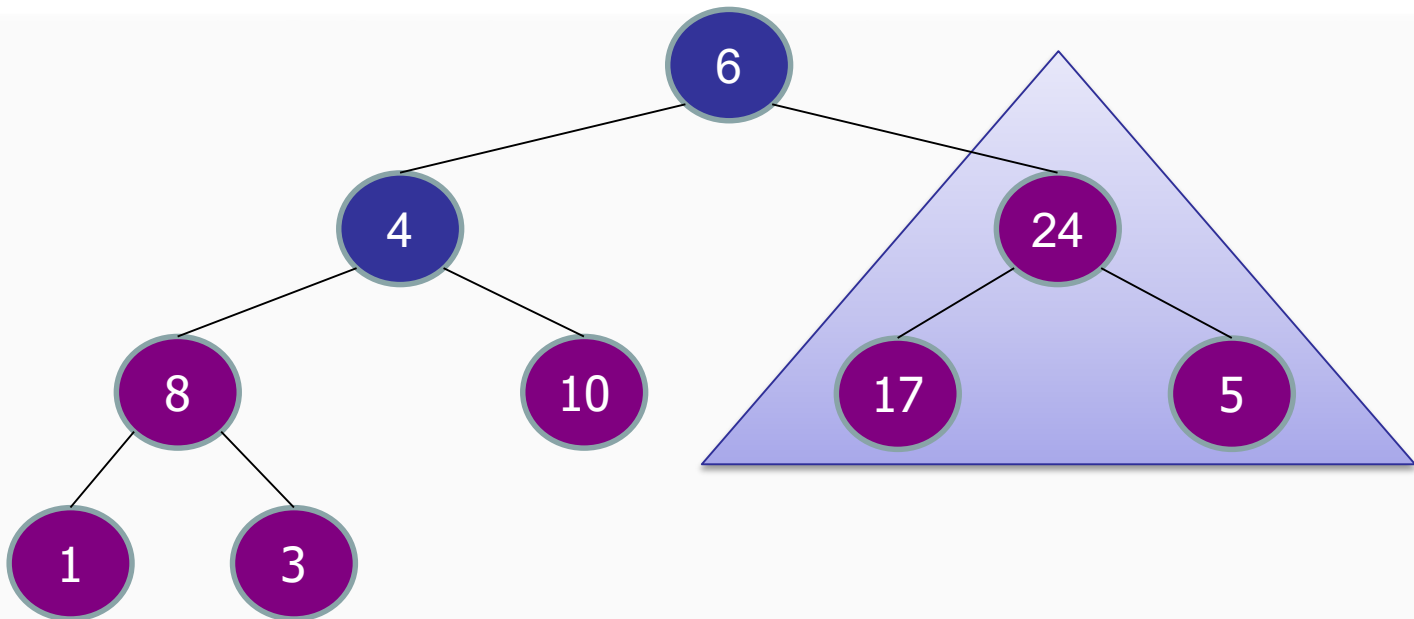


HeapSort

Idea:
Recursion

Recursion: left + right are heaps.

array slot	0	1	2	3	4	5	6	7	8
key	6	4	24	8	10	17	5	1	3

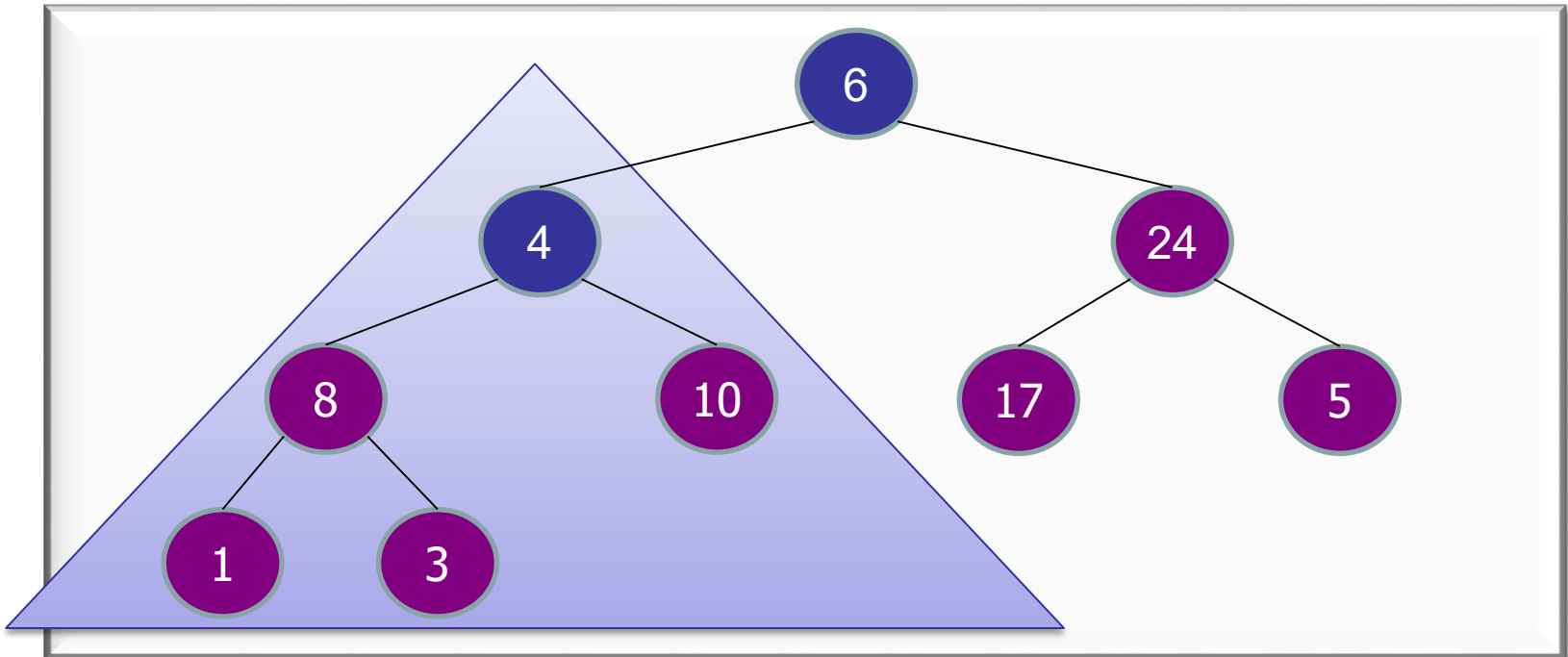


HeapSort

Idea:
Recursion

Recursion: left + right are heaps.

array slot	0	1	2	3	4	5	6	7	8
key	6	4	24	8	10	17	5	1	3

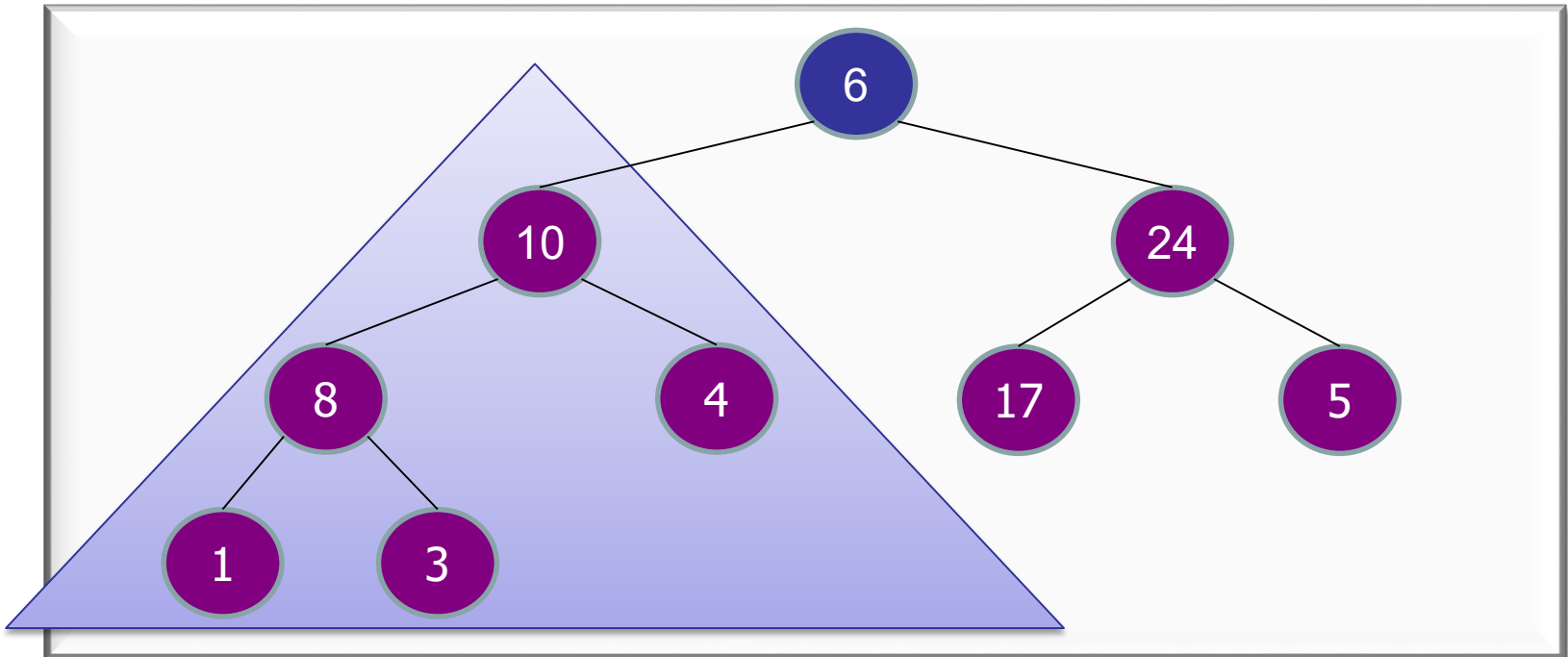


HeapSort

Idea:
Recursion

Recursion: left + right are heaps.

array slot	0	1	2	3	4	5	6	7	8
key	6	10	24	8	4	17	5	1	3

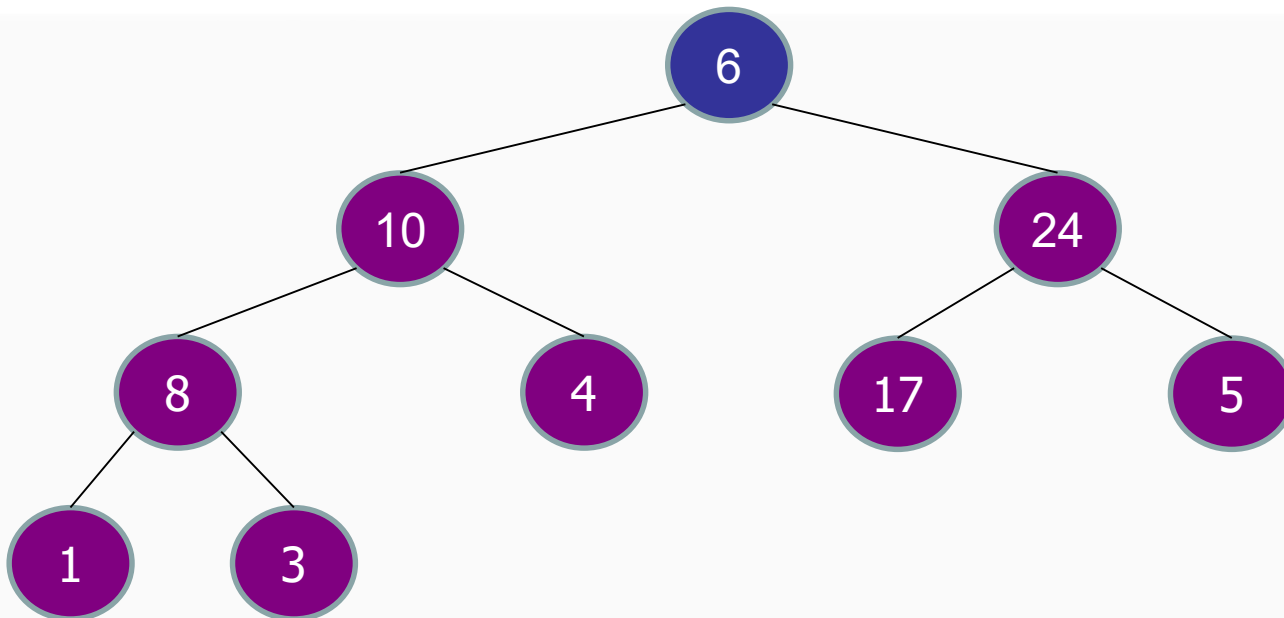


HeapSort

Idea:
Recursion

Recursion: left + right are heaps.

array slot	0	1	2	3	4	5	6	7	8
key	6	10	24	8	4	17	5	1	3

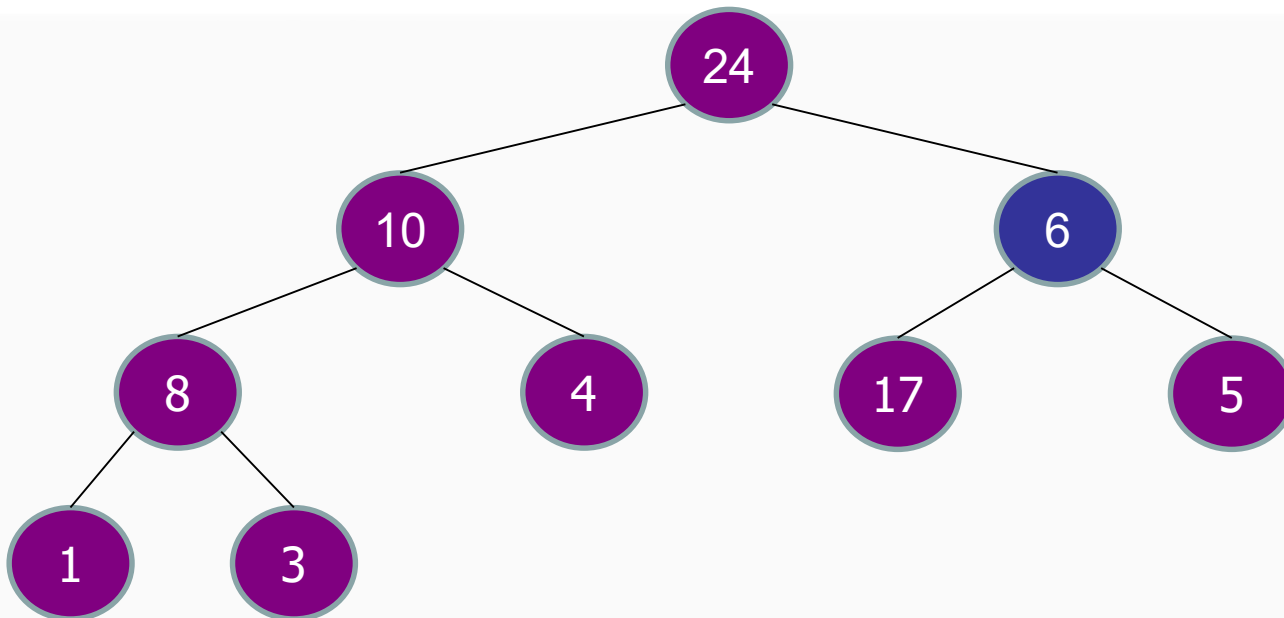


HeapSort

Idea:
Recursion

Recursion: left + right are heaps.

array slot	0	1	2	3	4	5	6	7	8
key	24	10	6	8	4	17	5	1	3

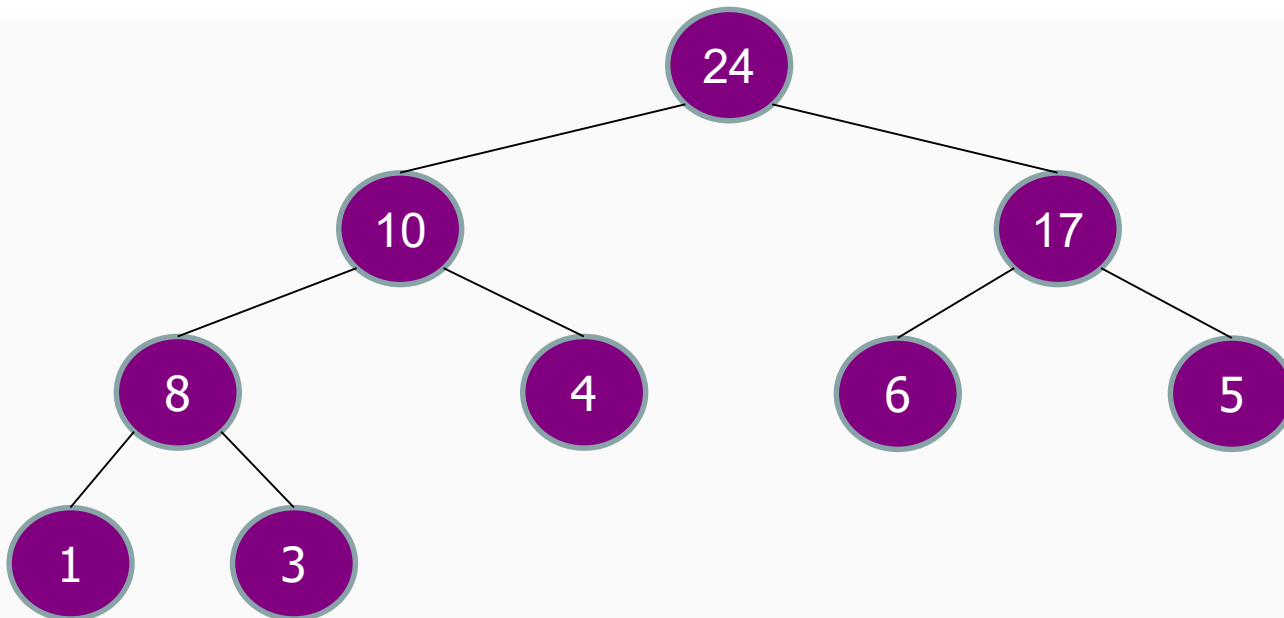


HeapSort

Idea:
Recursion

Recursion: left + right are heaps.

array slot	0	1	2	3	4	5	6	7	8
key	24	10	17	8	4	6	5	1	3



HeapSort

Heapify v.2: Unsorted list → Heap

array slot	0	1	2	3	4	5	6	7	8
key	24	10	17	8	4	6	5	1	3

```
// int[] A = array of unsorted integers
for (int i=(n-1); i>=0; i--) {
    bubbleDown(i, A); // O(log n)
}
```

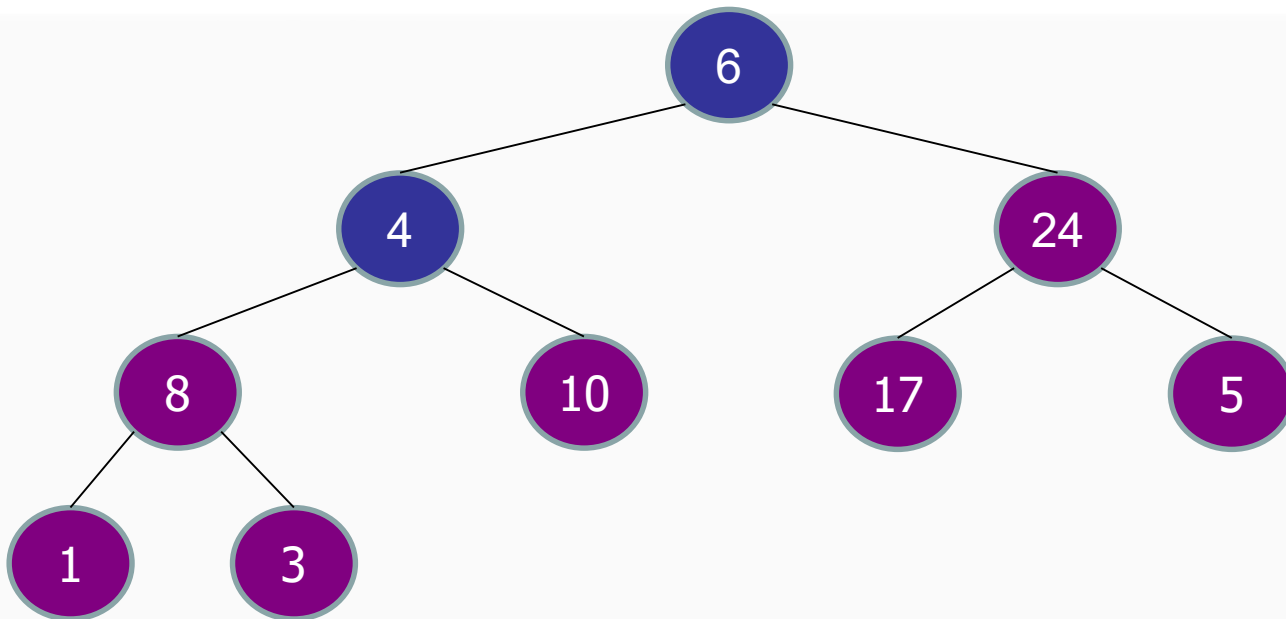
Is it better?!



HeapSort

Observation: $\text{cost}(\text{bubbleDown}) = \text{height}$

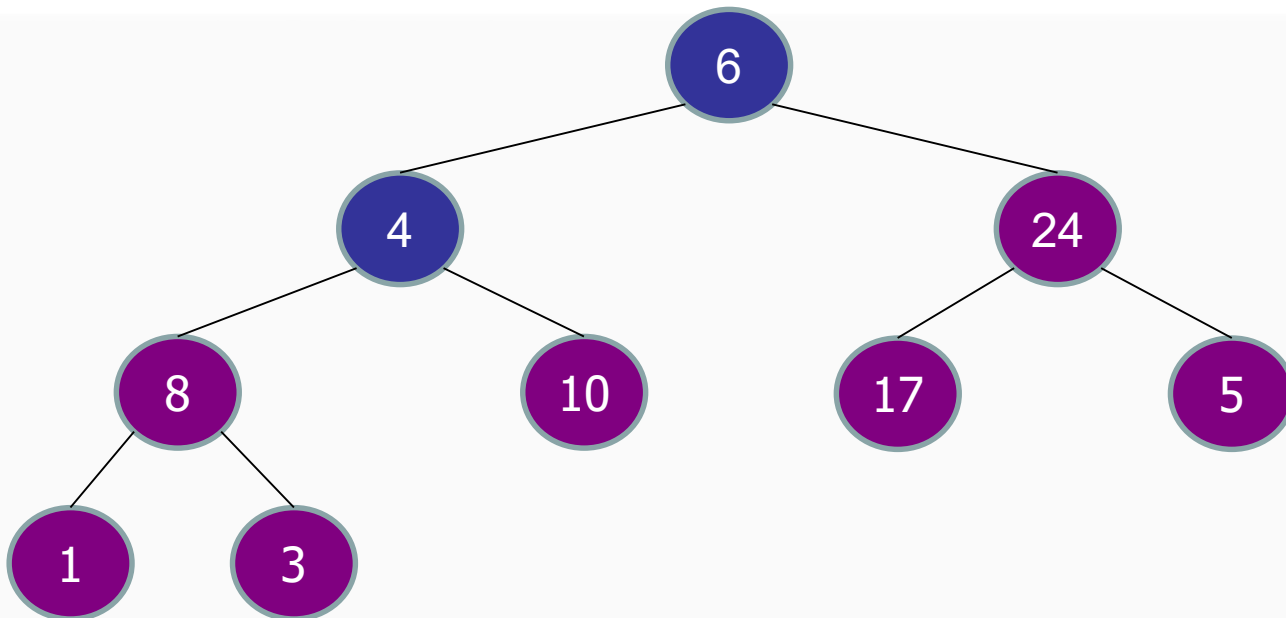
array slot	0	1	2	3	4	5	6	7	8
key	6	4	24	8	10	17	5	1	3



HeapSort

Observation: $> n/2$ nodes are leaves (height=0)

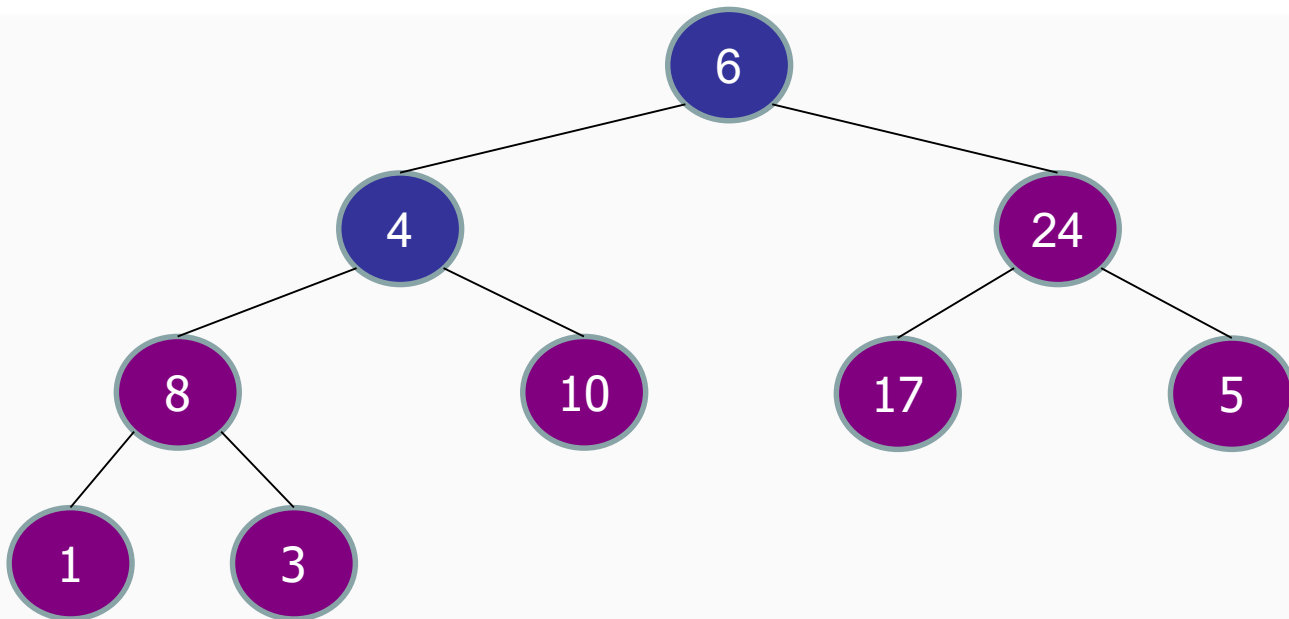
array slot	0	1	2	3	4	5	6	7	8
key	6	4	24	8	10	17	5	1	3



HeapSort

Observation: most nodes have small height!

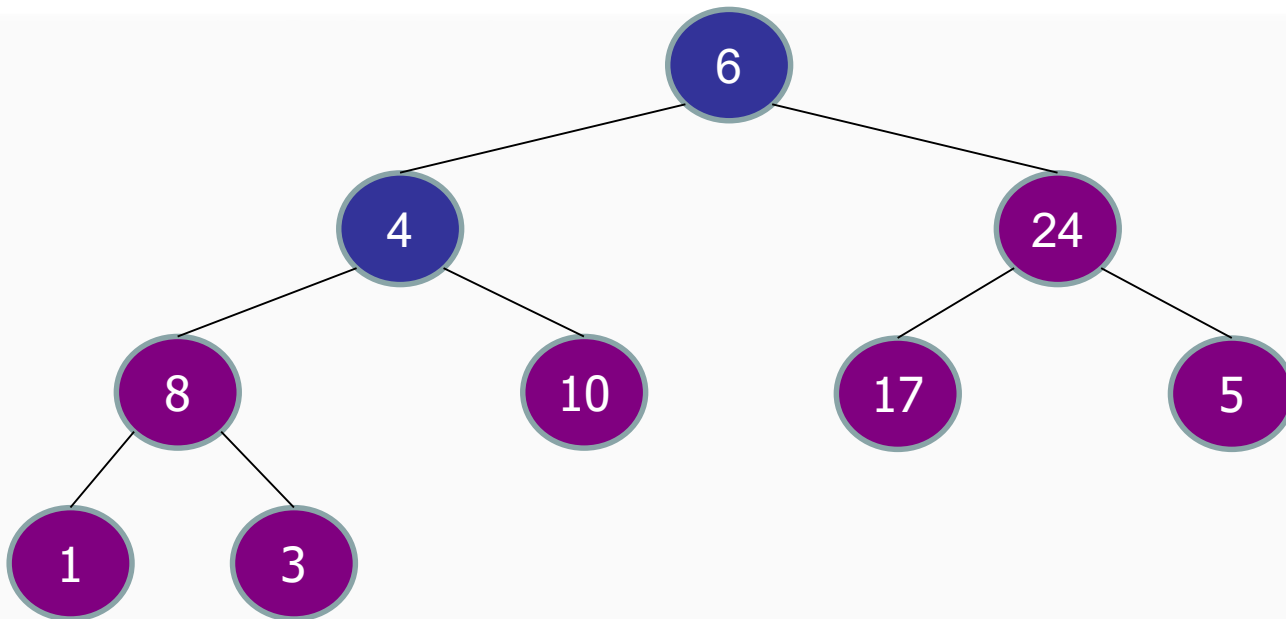
array slot	0	1	2	3	4	5	6	7	8
key	6	4	24	8	10	17	5	1	3



HeapSort

Cost of building a heap:

Height	0	1	2	3	...	$\lfloor \log(n) \rfloor$
Number	$\lceil n/2 \rceil$	$\lceil n/4 \rceil$	$\lceil n/8 \rceil$	$\lceil n/16 \rceil$...	1



HeapSort

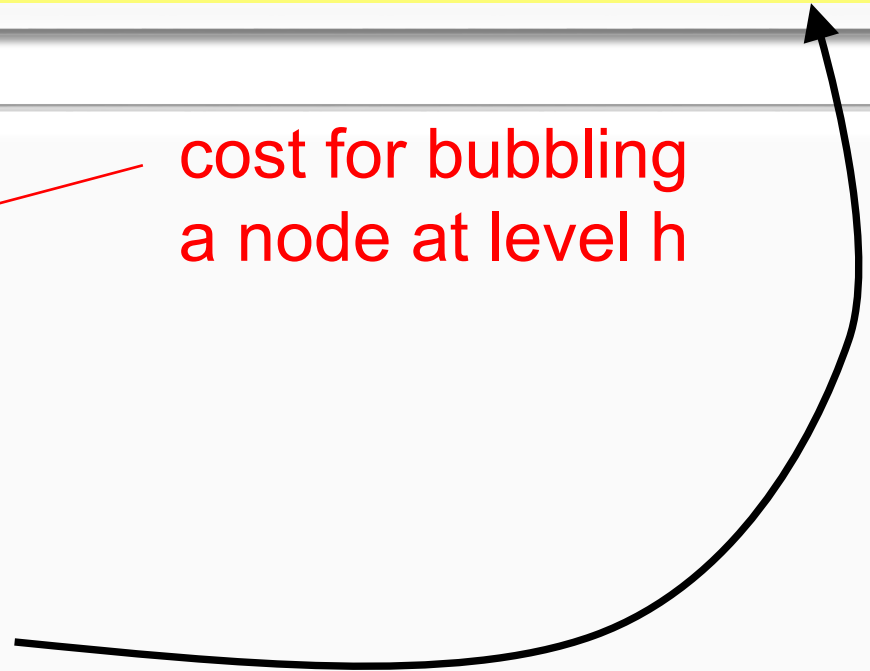
Cost of building a heap:

Height Number	0	1	2	3	...	$\lfloor \log(n) \rfloor$
	$\lceil n/2 \rceil$	$\lceil n/4 \rceil$	$\lceil n/8 \rceil$	$\lceil n/16 \rceil$...	1

$$\sum_{h=0}^{\log n} \frac{n}{2^h} O(h)$$

cost for bubbling
a node at level h


upper bound on number
of nodes at level h



HeapSort

Cost of building a heap:

Height	0	1	2	3	...	$\lfloor \log(n) \rfloor$
Number	$\lceil n/2 \rceil$	$\lceil n/4 \rceil$	$\lceil n/8 \rceil$	$\lceil n/16 \rceil$...	1


$$\sum_{h=0}^{\log n} \frac{n}{2^h} O(h) = cn \sum_{h=0}^{\log n} \frac{1}{2^h} O(h) = cn \cdot O\left(\sum_{h=0}^{\log n} \frac{h}{2^h}\right)$$

$$\sum_{h=0}^{\log n} \frac{h}{2^h} = ?$$



Geometric
series

$$\sum_{h=0}^{\infty} x^h = \frac{1}{1-x} \quad \text{if } x < 1$$

Differentiate
both sides

$$\sum_{h=0}^{\infty} h x^{h-1} = \frac{1}{(1-x)^2}$$

Multiply
both sides
by x

$$\sum_{h=0}^{\infty} h x^h = \frac{x}{(1-x)^2}$$

Put $x = 1/2$

$$\sum_{h=0}^{\log n} \frac{h}{2^h} \leq 2$$

$$\sum_{h=0}^{\infty} \frac{h}{2^h} = \frac{0.5}{(1-0.5)^2} = 2$$

HeapSort

Cost of building a heap:

Height	0	1	2	3	...	$\lfloor \log(n) \rfloor$
Number	$\lceil n/2 \rceil$	$\lceil n/4 \rceil$	$\lceil n/8 \rceil$	$\lceil n/16 \rceil$...	1

$$\sum_{h=0}^{\log n} \frac{n}{2^h} O(h) = 2O(n)$$

HeapSort

Heapify v.2: Unsorted list \rightarrow Heap: $O(n)$

array slot	0	1	2	3	4	5	6	7	8
key	24	10	17	8	4	6	5	1	3

```
// int[] A = array of unsorted integers
for (int i=(n-1); i>=0; i--) {
    bubbleDown(i, A); // O(height)
}
```

HeapSort

Unsorted list:

array slot	0	1	2	3	4	5	6	7	8
key	6	4	5	3	10	17	24	1	8

Unsorted list → Heap: $O(n)$

array slot	0	1	2	3	4	5	6	7	8
priority	24	10	17	8	4	6	5	1	3

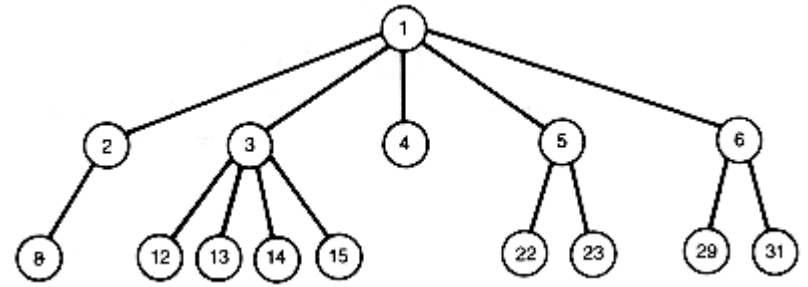
Heap array → Sorted list: $O(n \log n)$

array slot	0	1	2	3	4	5	6	7	8
key	1	3	4	5	6	8	10	17	24

HeapSort

Summary

- $O(n \log n)$ time *worst-case*
- In-place
- Fast:
 - Faster than MergeSort
 - A little slower than QuickSort.
- Deterministic: always completes in $O(n \log n)$
- Unstable (Come up with an example!)
- Ternary (3-way) HeapSort is a little faster.



Where is the largest element in a max-heap?

1. Leftmost child
- ✓ 2. Root
3. Rightmost child
4. It depends
5. I forget.

Where is the smallest element in a max-heap?

1. Leftmost child
2. Root
3. Rightmost child
- ✓ 4. It depends
5. I forget.

Where is the cost of finding the successor of an arbitrary element in a heap?

1. $O(1)$
2. $O(\log n)$
- ✓ 3. $O(n)$
4. $O(n^2)$
5. I forget.

Let A be an array sorted from largest to smallest. Is A a max-heap?

- ✓ 1. Yes
- 2. No
- 3. Maybe
- 4. I don't know.

How fast is HeapSort on a **sorted** array?

1. $O(n)$
- ✓ 2. $O(n \log n)$
3. $O(n^2)$
4. It depends
5. I forget.

Roadmap

Part I: Priority Queues

- Binary Heaps
- HeapSort

Part II: Disjoint Set

- Problem: Dynamic Connectivity
- Algorithm: Union-Find
- Applications