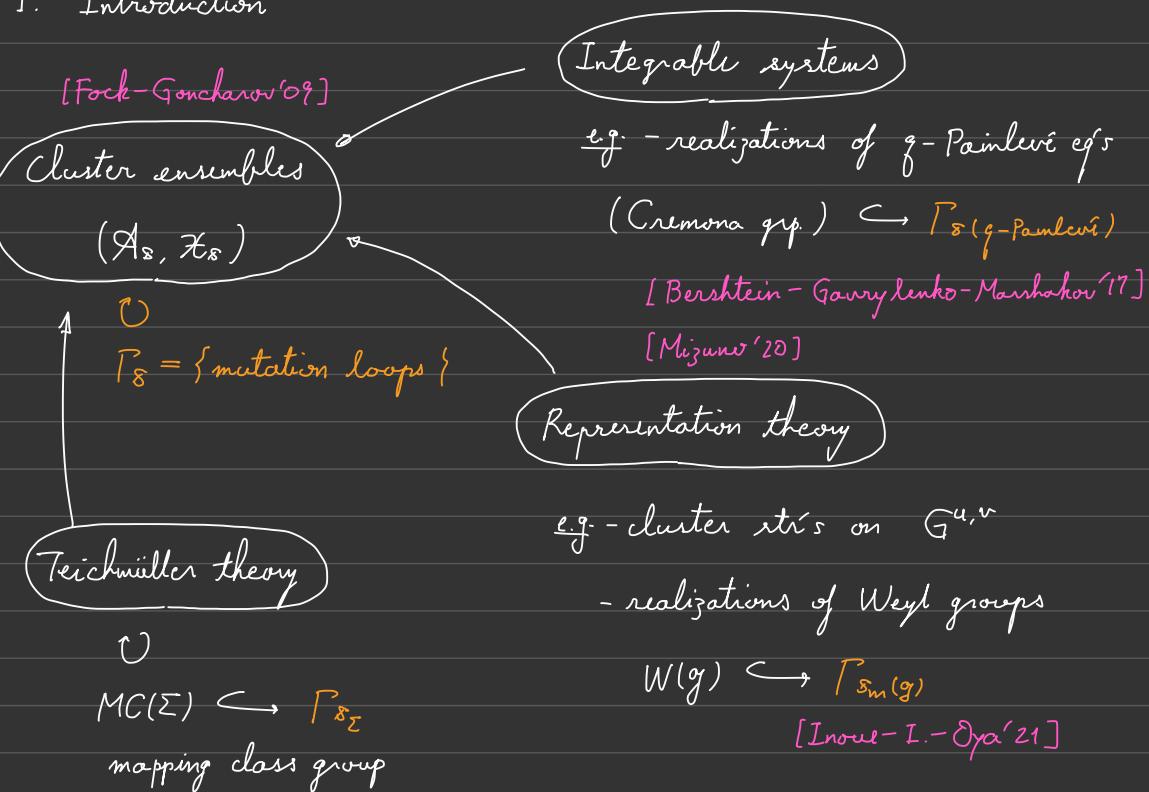


Sign-stable mutation loops & pseudo-Anosov mapping classes

joint work w/ Shunsuke Kano (Tohoku Univ.)

[IK'20-1] arXiv: 2010.05214 [IK'20-2] arXiv: 2011.14320

§1. Introduction



Goal: Generalize the classification / dynamical study of mapping classes to those of mutation loops $\in P_8$.

Nielsen - Thurston classification

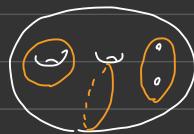
$$\Sigma = \text{circle with } \omega, \dots, \omega, \dots$$

$\text{MC}(\Sigma) \ni \phi$ is either :

- periodic (fin. order)

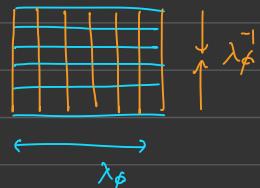
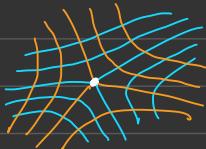


- reducible (\exists an inv. multicurve)



- pseudo-Anosov (\exists a pair of inv. measured foliations)

(pA)



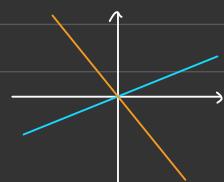
Topological entropy $= \log \lambda_\phi > 0$

("rich" dynamical systems).

Example $\Sigma = \text{circle with } \omega, \dots$

$$\text{MC}(\Sigma) \cong PSL_2(\mathbb{Z}) \left(\cap \left(H_1(\Sigma; \mathbb{Z}) \setminus \{0\} \right) /_{\{\pm 1\}} \right)$$

$$\begin{cases} \text{periodic} \Leftrightarrow |\text{tr } \phi| < 2 \\ \text{reducible} \Leftrightarrow |\text{tr } \phi| = 2, \quad \phi \sim \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, \quad \exists! \text{ eigendirection} \leftrightarrow \text{inv. curve in } \Sigma \\ \text{pA} \Leftrightarrow |\text{tr } \phi| > 2, \quad \phi \sim \begin{pmatrix} \lambda & 0 \\ 0 & \lambda^{-1} \end{pmatrix} \text{ for some } \lambda > 1, \\ \quad \exists^2 \text{ eigendirections} \leftrightarrow \exists^2 \text{ inv. fol. (w/ slope } \in \mathbb{R} \setminus \mathbb{Q} \text{)} \end{cases}$$



Theorem (I.-Kano)

For a mapping class $\phi \in \text{MC}(\Sigma) \hookrightarrow \mathcal{P}_{\delta_\Sigma}$,

- 1) ϕ : "generic" pA $\Leftrightarrow \phi \in \mathcal{P}_{\delta_\Sigma}$ is uniformly sign-stable
[IK'19~]
- 2) In this case, the stable presentation matrix of $\phi \in \mathcal{P}_{\delta_\Sigma}$
satisfies a Perron-Frobenius property,
and cluster stretch factor = ~~log~~ λ_ϕ .

Remark

- A general pA can be characterized by a weaker version of unif. SS.
- For a marked surface, pA \Leftrightarrow weak SS + $\mathcal{C}(R_j)$ -hereditariness
[IK'20-2]

§2. Mutation loops

Fix : - a fin. set $I = \{1, \dots, N\}$

$$- \mathcal{F}_A \cong \mathcal{F}_X \cong \mathbb{Q}(u_1, \dots, u_N)$$

A seed in $(\mathcal{F}_A, \mathcal{F}_X)$ is a tuple (B, A, \mathbb{X}) ,

where $B = (b_{ij})_{i,j \in I}$ is an integral skew-sym. matrix

(exchange matrix)

$A = (A_i)_{i \in I} \subset \mathcal{F}_A$, $\mathbb{X} = (\chi_i)_{i \in I} \subset \mathcal{F}_X$: alg. indep. elem's

cluster variables

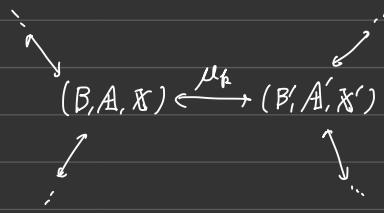
For $k \in I$, the mutation $\mu_k : \underbrace{(B, A, \mathbb{X})}_{\text{a seed}} \longrightarrow (B', A', \mathbb{X}')$

is defined by an explicit rule

$$b'_{ij} = \dots$$

$$A'_i = \dots$$

$$\chi'_i = \begin{cases} \chi_k^{-1} & (i = k) \\ \chi_i(1 + \chi_k^{-1} q \mu b_{ik})^{-b_{ik}} & (i \neq k) \end{cases}$$



Permutations $\sigma: (B, A, \mathbb{X}) \longrightarrow (B', A', \mathbb{X}')$ defined by

$$b'_{ij} := b_{\sigma^{-1}(i), \sigma^{-1}(j)}, \quad A'_i := A_{\sigma^{-1}(i)}, \quad X'_i := X_{\sigma^{-1}(i)}.$$

Two seeds in (F_A, F_X) are mutation-equiv.

if they are connected by a seq. of mutations & permutations.

\leadsto equiv. class \mathfrak{s} is called a mutation class.

Exchange graph

$\text{Exch}_{\mathfrak{s}}$: a connected graph w/

vertices: seeds in \mathfrak{s} ($v \in V(\text{Exch}_{\mathfrak{s}}) \iff \mathfrak{s}^{(v)} = (B^{(v)}, A^{(v)}, \mathbb{X}^{(v)})$)

edges: $v \xrightarrow{k} v' \iff \mathfrak{s}^{(v')} = \mu_k \mathfrak{s}^{(v)} \quad (k \in I)$

v'
 v
 $\sigma \iff \mathfrak{s}^{(v')} = \sigma \mathfrak{s}^{(v)} \quad (\sigma = (i, j) \in \mathfrak{S}_I)$

An edge path in $\text{Exch}_{\mathfrak{s}}$ is usually called a mutation seq.

$$\exists \text{ a proj. } B^{\circ} : V(\mathbb{E}\text{ch}_S) \longrightarrow \text{Mat}$$

$$v \xrightarrow{\psi} B^{(v)}$$

Def A mutation loop is a graph automorphism ϕ of $\mathbb{E}\text{ch}_S$ which preserves each fiber of B° .

$$\Gamma_S := \{ \text{mutation loop} \} \subset \text{Aut}(\mathbb{E}\text{ch}_S) : \text{cluster modular grp}$$

$$\cdot \phi \in \Gamma_S, v_0 \in \mathbb{E}\text{ch}_S$$

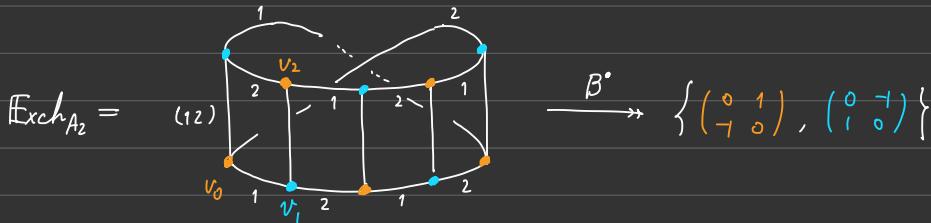
an edge path $\gamma : v_0 \longrightarrow \phi^{-1}(v_0)$ in $\mathbb{E}\text{ch}_S$ is called a representation path of ϕ .

$$\rightsquigarrow \mu_{\gamma} : s^{(v_0)} \longrightarrow s^{(\phi^{-1}(v_0))} \quad \text{s.t.} \quad B^{(v_0)} = B^{(\phi^{-1}(v_0))}$$

Rem Alternatively, $\Gamma_S = \{ \text{edge paths in } \mathbb{E}\text{ch}_S \} / \sim$ [IK'21-1]

Example (Type A_2)

$$\mathfrak{S}^{(v_0)} = \left\{ \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, (A_1, A_2), (X_1, X_2) \right\} \quad \text{in } \mathcal{F}_A = \mathcal{Q}(A_1, A_2), \mathcal{F}_X = \mathcal{Q}(X_1, X_2)$$

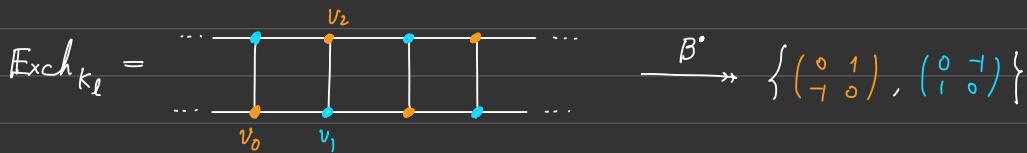


$\Gamma_8 = \langle \phi \rangle \cong \mathbb{Z}/5$, $\gamma: v_0 \xrightarrow{1} v_1 \xrightarrow{(12)} v_2$ is a rep. path of ϕ .

Example (Kronecker quivers)

$$\mathfrak{S}^{(v_0)} = \left\{ \begin{pmatrix} 0 & \ell \\ -\ell & 0 \end{pmatrix}, (A_1, A_2), (X_1, X_2) \right\} \quad \text{in } \mathcal{F}_A = \mathcal{Q}(A_1, A_2), \mathcal{F}_X = \mathcal{Q}(X_1, X_2)$$

$$(\ell \in \mathbb{Z}_{\geq 2})$$



$\Gamma_8 = \langle \phi \rangle \cong \mathbb{Z}$, $\gamma: v_0 \xrightarrow{1} v_1 \xrightarrow{(12)} v_2$ is a rep. path of ϕ .

Sign stability & into $(A_\delta, \mathcal{X}_\delta)$ cluster varieties [FG'09]

$$\text{e.g. } \mathcal{X}_\delta = \bigcup_{v \in \text{Exch}_\delta} \mathcal{X}_{(v)} \quad \mathcal{X}_{(v)} \xleftarrow{\mu_k^\chi} \mathcal{X}_{(v')} \quad \begin{matrix} v \in \text{Exch}_\delta \\ \text{if } v' = v + \gamma \end{matrix}$$

$$\bigcup_{\Gamma_\delta} (\mathbb{C}^*)^I \quad \mathcal{X}_{(v')} \quad \uparrow \sigma^\chi \quad \mathcal{X}_{(v)}$$

Tropical cluster variety

$v \in \text{Exch}_\delta$ into $\mathcal{X}_{(v)}^{\text{trop}} := \mathbb{R}^I$ w linear coord's $(x_i^{(v)})_{i \in I}$

$v \xrightarrow{\pi} v'$ into $\mu_k^{\text{trop}}: \mathcal{X}_{(v)}^{\text{trop}} \xrightarrow{\sim} \mathcal{X}_{(v')}^{\text{trop}}$ PL map

$$(\mu_k^{\text{trop}})^* x_i^{(v')} = \begin{cases} -x_k^{(v)} \\ x_i^{(v)} - \text{fix min } \{0, -(\text{sign fix}) x_k^{(v)}\} \end{cases}$$

$\begin{matrix} v' \\ | \\ v \end{matrix} \xrightarrow{\sigma^{\text{trop}}} \sigma^{\text{trop}}$: — permutation

$$\Rightarrow \mathcal{X}_\delta^{\text{trop}} = \bigcup_{v \in \text{Exch}_\delta} \mathcal{X}_{(v)}^{\text{trop}} : \text{PL mfd}$$

$$\bigcup_{\Gamma_\delta} I$$

Explicitly: $\phi \in \Gamma_\delta$, $\gamma: v_0 \longrightarrow \phi^*(v_0)$ rep. path

$$\xrightarrow{\phi(v_0)}: \mathcal{X}_{(v_0)}^{\text{trop}} \xrightarrow{\mu_\gamma^{\text{trop}}} \mathcal{X}_{(\phi^*(v_0))}^{\text{trop}} \cong \mathcal{X}_{(v_0)}^{\text{trop}}$$

$$x_i \longleftrightarrow x_i, \forall i \in I$$

Note: $\mu_k^{\text{trop}}: \mathcal{X}_{(v)}^{\text{trop}} \longrightarrow \mathcal{X}_{(v')}^{\text{trop}}$ is linear on $\mathcal{H}_{k,\varepsilon}^{(v)} := \{ \varepsilon x_k^{(v)} \geq 0 \}$.

$\gamma: v_0 \xrightarrow{k_0} v_1 \xrightarrow{k_1} v_2 \cdots \xrightarrow{k_{m-1}} v_m = \phi^{-1}(v_0)$: a rep. path of ϕ

$\{k_{i(0)}, \dots, k_{i(h-1)}\} \subset \{n_0, \dots, n_m\}$: horizontal indices

Define $\mathcal{C}_\gamma^\varepsilon := \bigcap_{v=0}^{h-1} \mathcal{H}_{k_{i(v)}, \varepsilon_v}^{(v_0(v))} \subset \mathcal{X}_{(v_0)}^{\text{trop}}$ for $\varepsilon = (\varepsilon_0, \dots, \varepsilon_{h-1}) \in \{+, -\}^h$

Then $\phi_{(v_0)}$ is linear on $\mathcal{C}_\gamma^\varepsilon$, and $\mathcal{X}_{(v_0)}^{\text{trop}} = \bigcup_\varepsilon \mathcal{C}_\gamma^\varepsilon$

Def γ is weakly sign-stable on an $R_{>0}$ -inv. set $S \subset \mathcal{X}_{(v_0)}$

$\Leftrightarrow \exists \varepsilon_\gamma^{\text{stab}} \in \{+, -\}^h$ s.t. $\forall w \in S \setminus \{0\} \exists n_0 \geq 0 :$

$\overbrace{\quad}^{\text{stable sign}}$ $\forall n \geq n_0 \quad \phi_{(v_0)}^n(w) \in (\text{int}) \mathcal{C}_\gamma^{\varepsilon_\gamma^{\text{stab}}}$

Rem: γ : sign-stab $\Leftrightarrow \{\phi_{(v_0)}^n\}$ is stably a linear dynamical system

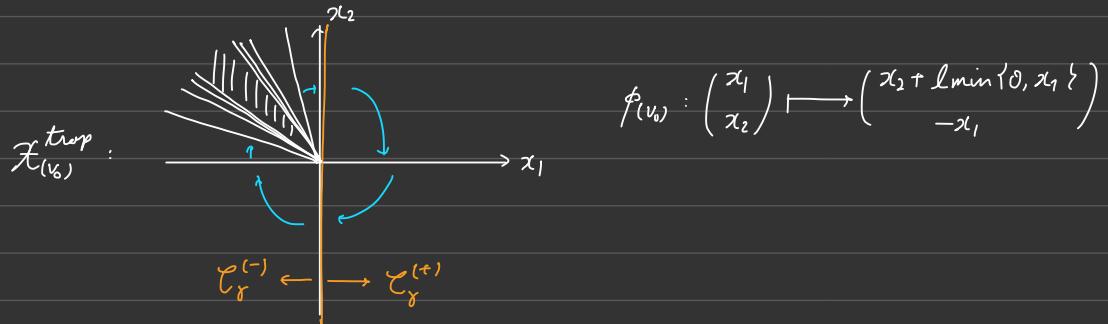
$$\phi_{(v_0)}|_{\text{stab}} =: E_{\phi, S}^{(v_0)} \quad (\text{stable presentation mat.})$$

* γ determines a factorization $E_{\phi, S}^{(v_0)} = \underbrace{J_{n_0} \cdots J_m}_{\text{pres. mat. of } \mu_k|_{\mathcal{H}_{k,c}} \text{ (horiz.)}}$
or σ (vert.)

Example (Kronecker quivers)

$$\left. \begin{array}{l} \mathcal{S}^{(v_0)} = \left\{ \begin{pmatrix} 0 & \ell \\ -\ell & 0 \end{pmatrix}, (A_1, A_2), (X_1, X_2) \right\} \quad (\ell \in \mathbb{Z}_{\geq 2}) \quad \text{in } \mathcal{F}_A = \mathbb{Q}(A_1, A_2), \quad \mathcal{F}_X = \mathbb{Q}(X_1, X_2) \\ \mathsf{Exch}_{K_\ell} = \cdots \xrightarrow{\beta^*} \left\{ \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \right\} \\ \Gamma_8 = \langle \phi \rangle \cong \mathbb{Z}, \end{array} \right\}$$

$\gamma: v_0 \xrightarrow{1} v_1 \xrightarrow{(12)} v_2$ is a rep. path of $\phi \in \Gamma_{K_\ell}$



- γ is sign-stab. on $\mathcal{R} = \mathcal{X}_{(v_0)}^{trop}$

- $E_{\phi, \mathcal{R}}^{(v_0)} = \begin{pmatrix} \ell & 1 \\ -1 & 0 \end{pmatrix}$

- eigenvalues: $\lambda^2 - \ell\lambda + 1 = 0$

$$\lambda = \frac{\ell \pm \sqrt{\ell^2 - 4}}{2}$$

Theorem (Perron-Frobenius property [IK'21])

γ : sign-stable on a "tame" set R

\Rightarrow spectral radius of $E_{f,\Omega}^{(v_*)}$ is an eigenvalue $\lambda_{f,\Omega} \geq 1$.

Under a mild assumption, the eigenvector $\in C_{\gamma}^{\text{stab}} \setminus \{0\}$.

- When $\mathcal{Q} > C_{(v_0)}^{\pm} := \left\{ \pm x_k^{(v_0)} \geq 0, \forall k \in I \right\}$,

we call $\lambda_\phi := \lambda_{\phi, \Omega}$ the cluster stretch factor of ϕ .

Remark The algebraic entropies of $\phi \in \mathcal{A}_S$, \mathcal{X}_S are

estimated by $\log \lambda_f$ [IK'21]

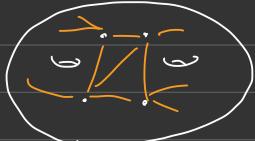
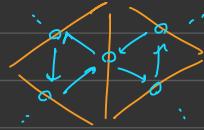
§3. Mapping classes on a punctured surface

$$\sum = \sum_j^h = \quad \left(\underbrace{\omega \cdots \omega}_{j} \right) \overbrace{^h}^{\vdots} , \quad x(\Sigma) = 2 - 2g - h < 0, \quad h > 0$$

$$MC(\Sigma) := \text{Homeo}^+(\Sigma, \{\text{puncture}\}) /_{\text{isotopy}}$$

exchange matrices

Δ : an ideal triangulation of $\Sigma \rightsquigarrow Q_\delta :=$



Then $\{Q_\delta\}$ are mutation-equiv.

$\rightsquigarrow \exists_a$ canonical class $s = s_\Sigma$

Rem \exists constructions of seeds in $\mathcal{F}_A = \mathcal{K}(\mathbb{A}_{SL_2, \Sigma})$, $\mathcal{F}_X = \mathcal{K}(\mathbb{X}_{PGL_2, \Sigma})$

[FG'08]

Since $MC(\Sigma) \xrightarrow{\text{free}} \{\text{ideal triangulations}\}$, $MC(\Sigma) \hookrightarrow \Gamma_{s_\Sigma}$.

④ Geometric models of $\mathbb{X}_{s_\Sigma}^{\text{top}}$: measured foliations / laminations

Nielsen - Thurston classification

$MC(\Sigma) \ni \phi$ is either :

- periodic (\exists fin. order)

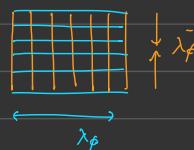
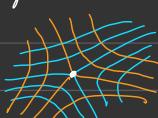


- reducible (\exists an inv. multicurve)



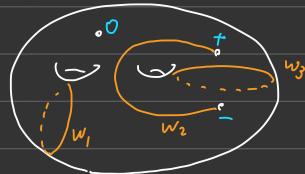
- pseudo-Anosov (\exists a pair of inv. measured foliations)

(pA)



Def A rational (unbounded) lamination consists of:

- a collection $L = \{(r_j, w_j)\}$ of mutually disjoint weighted curves
 $\sum w_j > 0$
- a tuple $\sigma_L = (\sigma_p) \in \{+, 0, -\}^{\mathbb{P}}$ of signs
- if γ_j incident to $p \iff \sigma_p \in \{+, -\}$



$$\mathcal{L}^x(\Sigma, \mathbb{Q}) := \{ \text{rational lamination} \} /_{\text{isotopy}}$$

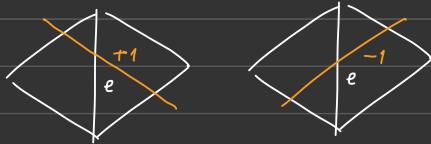
$$\frac{u}{v} \sim \frac{u+v}{v}$$

Shear coordinates $\chi_e = (\chi_e^\Delta) : \mathcal{L}^x(\Sigma, \mathbb{Q}) \xrightarrow{\sim} \mathbb{Q}^{e(\Delta)}$ defined by:

① make a spiralling diagram:



② $\chi_e^\Delta :=$ weighted sum of the contributions



Lemma:

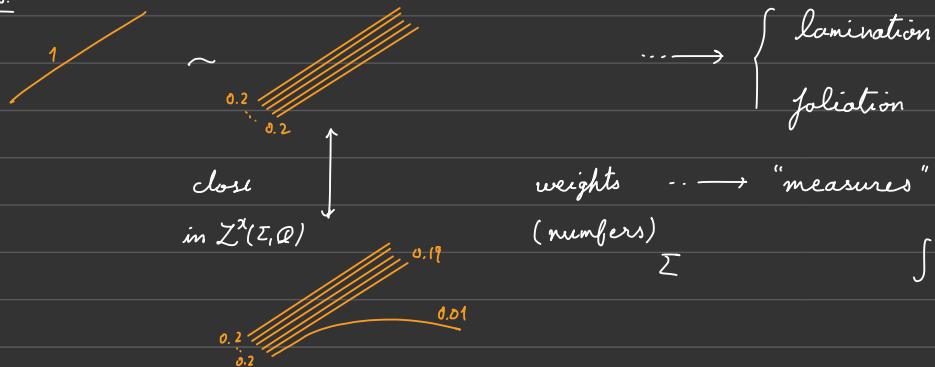


$$\chi_{\Delta'} \circ \chi_\Delta^{-1} = \mu_k^{\text{trop}} : \mathbb{Q}^{e(\Delta)} \longrightarrow \mathbb{Q}^{e(\Delta')}$$

$$\mathcal{L}^x(\Sigma, \mathbb{R}) := \overline{\mathcal{L}^x(\Sigma, \mathbb{Q})}$$

$$\xrightarrow{\text{PL}} \mathcal{X}_{\delta_\Sigma}^{\text{trop}}$$

how it looks like?

obs.

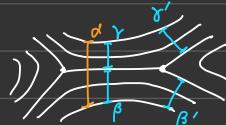
Def A measured foliation consists of:

- \mathcal{F} : a foliation on Σ w/ admissible sing.
- μ : a transverse measure on \mathcal{F}



$$\alpha \longmapsto \mu(\alpha) = \int_{\mathcal{F}^\perp} \alpha \in \mathbb{R}_{>0}$$

transverse arc to \mathcal{F}



$$\begin{aligned}\mu(\alpha) &= \mu(\beta) + \mu(\gamma) \\ &= \mu(\beta') + \mu(\gamma')\end{aligned}$$

(\mathcal{F}, μ) is considered modulo isotopy &

Whitehead equivalence:



canonical model

(w/ no saddle connections)

$$\text{Rem } \mathcal{MF}(\Sigma) \cong \left\{ \sum_{e \ni p} x_e^\Delta = 0 \mid p \in \mathbb{P} \right\} \subset \mathcal{X}_{\Sigma}^{\text{top}}$$

stretch factor

$$\phi \in MC(\Sigma) \text{ is pA} \iff \exists (\mathcal{F}_\pm, \mu_\pm) \in \mathcal{MF}(\Sigma), \Lambda_\phi > 1$$

$$\text{ s.t. } \phi(\mathcal{F}_\pm, \mu_\pm) = (\mathcal{F}_\pm, \Lambda_\phi^{-1} \mu_\pm)$$

Moreover if the canonical model of $(\mathcal{F}_\pm, \mu_\pm)$ only have 3-plonged singularities, then ϕ is called a generic pA.

Important lemma:

If the can. model of (\mathcal{F}, μ) only have 3-plonged sing's,

$$\text{then } x_e^\Delta(\mathcal{F}, \mu) \neq 0, \forall e \in \mathbb{E}.$$

In particular, for any mutation seq. γ , $(\mathcal{F}, \mu) \in \underline{\text{int}} \mathcal{C}_\gamma^\varepsilon$

for some ε .

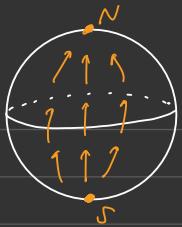
Theorem

generic
 $\phi \in MC(\Sigma)$ is pA \iff any rep. path of ϕ is sign-stable on

$$\mathcal{Q}_\mathbb{Q} := \mathbb{R}_{>0} \cdot \underline{\overline{\mathcal{X}_{\Sigma}^{\text{top}}}}_{\mathbb{Q}}$$

i.e. ϕ is uniformly sign stable. $\mathcal{L}^\alpha(\Sigma, \mathbb{Q})$

Moreover, $\Lambda_\phi = \lambda_\phi$.



Sketch of proof:

- A pA mapping class induces the North-South dynamics on $\mathcal{X}_{\Sigma}^{\text{trop}}$:

$$\forall [\omega] \in \mathcal{X}_{\Sigma}^{\text{trop}} \setminus \{[\mathbb{F}_\pm, \mu_\pm]\}, \quad \lim_{n \rightarrow \infty} \phi^{\pm n}([\omega]) = [\mathbb{F}_\pm, \mu_\pm]$$

$\mathfrak{X}V := (V \setminus \{0\}) / \mathbb{R}_{>0}$

- Assume ϕ is generic pA. Then for any rep. path γ ,

$$\exists \varepsilon_\gamma \in \{+, -\}^{h(\gamma)} \quad \text{s.t.} \quad (\mathbb{F}_+, \mu_+) \in \text{int } \mathcal{C}_\gamma^{\varepsilon_\gamma}$$

The NS dynamics implies $\mathcal{L}^*(\Sigma, Q)$

$$\forall \omega \in \mathcal{X}_{\Sigma}^{\text{trop}} \setminus \mathbb{R}_{>0} \cdot (\mathbb{F}_-, \mu_-), \quad \phi^n(\omega) \in \text{int } \mathcal{C}_\gamma^{\varepsilon_\gamma} \quad \text{for } n \gg 1.$$

Thus "generic pA \Rightarrow unif. SS".

The converse follows from the NT classification:

$$\phi : \begin{cases} \text{periodic} \\ \text{reducible} \\ \text{non-generic pA} \end{cases} \Rightarrow \exists \text{non-sign-stable rep. path.}$$

- $T_w \mathcal{X}_{\Sigma}^{\text{trop}} \cong T_w \mathcal{ML}(\Sigma) \oplus \mathbb{R}^h$, $E_{\phi, Q}^{(v_0)} = (d\phi)_{(\mathbb{F}_+, \mu_+)} = \begin{pmatrix} T_\phi & * \\ 0 & \sigma \end{pmatrix}$
- It is classically known that $\Lambda_\phi = \phi(T_\phi) = \phi(E_{\phi, Q}^{(v_0)})$ permutation
of punctures