# TOPICS IN MATHEMATICAL SCIENCE V

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### From Quiver to Quasi-Hereditary algebras

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### Convention

Throughout the course, k will always be a field. All rings are unital and associative. We only really work with artinian rings (but sometimes noetherian is also OK). We always compose maps from right to left.

## 1 Reminder on some basics of rings and modules

**Definition 1.1.** Let R be a ring. A right R-module M is an abelian group (M, +) equipped with a (linear) R-action on the right of  $M \cdot : M \times R \to M$ , meaning that for all  $r, s \in R$  and  $m, n \in M$ , we have

- $m \cdot 1 = m$ ,
- $(m+n) \cdot r = m \cdot r + n \cdot r$ ,
- $m \cdot (r+s) = m \cdot r + m \cdot s$ ,
- m(sr) = (ms)r.

Dually, a left R-module is one where R acts on the left of M (details of definition left as exercise). Sometimes, for clarity, we write  $M_A$  for right A-module and AM for left A-module.

Note that, for a commutative ring, the class of left modules coincides with that of right modules.

**Example 1.2.** R is naturally a left, and a right, R-module. Both are free R-module of rank 1. Sometimes this is also called regular modules but it clashes with terminology used in quiver representation and so we will avoid it.

In general, a free R-module F is one where there is a basis  $\{x_i\}_{i\in I}$  such that for all  $x\in F$ ,  $x=\sum_{i\in I}x_ir_i$  with  $r_i\in R$ . We only really work with free modules of finite rank, i.e. when the indexing set I is finite. In such a case, we write  $R^n$ .

Convention. All modules are right modules unless otherwise specified.

**Definition 1.3.** Suppose R is a commutative ring. A ring A is called an R-algebra if there is a (unital) ring homomorphism  $\theta: R \to A$  with image f(R) being in the center  $Z(A) := \{z \in A \mid za = az \ \forall a \in A\}$  of A. In such a case, A is an R-module and so we simply write ar for  $a \in A$ ,  $r \in R$  instead of  $a\theta(r)$ .

An (unital) R-algebra homomorphism  $f: A \to A'$  is a (unital) ring homomorphism f that intertwines R-action, i.e. f(ar) = f(a)r.

The dimension of a k-algebra A is the dimension of A as a k-vector space; we say that A is finite-dimensional if  $\dim_k A < \infty$ .

Note that commutative ring theorists usually use dimension to mean Krull dimension, which has a completely different meaning.

Example 1.4. Every ring is a  $\mathbb{Z}$ -algebra.

The matrix ring  $M_n(R)$  given by n-by-n matrices with entries in R is an R-algebra.

We will only really work with k-algebras, where k is a field. But it worth reminding there are many interesting R-algebras for different R, such as group algebra. Recall that the *characteristic* of R, denoted by char R, is 0 if the additive order of the identity 1 is infinite, or else the additive order itself.

**Example 1.5.** Let G be a finite (semi)group and R a commutative ring. Let A := R[G] be the free R-module with basis G, i.e. every  $a \in A$  can be written as the formal R-linear combination  $\sum_{g \in G} \lambda_g g$  with  $\lambda_g \in R$ . Then group multiplication extends (R-linearly) to a ring multiplication on R[G], making A an R-algebra.

**Example 1.6.** Recall that the direct product of two rings A, B is the ring  $A \times B = \{(a, b) \mid a \in A, b \in B\}$  with unit  $1_{A \times B} = (1_A, 1_B)$ . It is straightforward to check that if A, B are R-algebras, then  $A \times B$  is also an R-algebra.

**Definition 1.7.** A map  $f: M \to N$  between right R-modules M, N is a homomorphism if it is a homomorphism of abelian groups (i.e. f(m+n) = f(m) + f(n) for all  $m, n \in M$ ) that intertwines R-action (i.e. f(mr) = f(m)r for all  $m \in M$  and  $r \in R$ ). Denote by  $\operatorname{Hom}_R(M, N)$  the set of all R-module homomorphisms from M to N. We also write  $\operatorname{End}_R(M) := \operatorname{Hom}_R(M, M)$ .

**Lemma 1.8.**  $\operatorname{Hom}_R(M,N)$  is an abelian group with (f+g)(m)=f(m)+g(m) for all  $f,g\in \operatorname{Hom}_R(M,N)$  and all  $m\in M$ . If R is commutative, then  $\operatorname{Hom}_R(M,N)$  is an R-module, namely, for a homomorphism  $f:M\to N$  and  $r\in R$ , the homomorphism f is given by  $m\mapsto f(mr)$ .

**Definition 1.9.** End<sub>R</sub>(M) is an associative ring where multiplication is given by composition and identity element being  $id_M$ . We call this the endomorphism ring of M.

**Lemma 1.10.** If A is an R-algebra over a commutative ring R, then any right A-module is also an R-module, and  $Hom_A(M, N)$  is also an R-module (hence,  $End_R(M)$  is an R-algebra).

**Example 1.11.**  $A \cong \operatorname{End}_A(A)$  given by  $a \mapsto (1_A \mapsto a)$  is an isomorphism of rings (or of R-algebras if A is an R-algebra).

**Exercise 1.12.** Recall that  $R^{op}$  is the opposite ring of R, whose underlying set is the same as that of R with multiplication  $(a \cdot {}^{op} b) := b \cdot a$ . A representation of R is a ring homomorphism

$$\rho: R^{\mathrm{op}} \to \mathrm{End}_{\mathbb{Z}}(M), \qquad r \mapsto \rho_r,$$

for some abelian group (M,+). A homomorphism  $f: \rho_M \to \rho_N$  of representations  $\rho_M: R^{\operatorname{op}} \to \operatorname{End}_{\mathbb{Z}}(M), \rho_N: R^{\operatorname{op}} \to \operatorname{End}_{\mathbb{Z}}(N)$  given by an abelian group homomorphism  $f: M \to N$  that intertwines R-action, i.e.  $\rho_N(r) \circ f = f \circ \rho_M(r)$  for all  $r \in R$ .

Eplain why a representation of R is equivalent to a right R-module; and why homomorphisms correspond.

### 2 Indecomposable modules and Krull-Schmidt property

Recall that an R-module M is *finitely generated* if there exists as surjective homomorphism  $R^n \to M$ , or equivalently, there is a finite set  $X \subset M$  such that for any  $m \in M$ , we have  $m = \sum_{x \in X} xr_x$  for some  $r_x \in R$ .

**Notation.** We write mod A for the collection of all finitely generated right A-modules.

We recall two types of building blocks of modules. The first one is indecomposability.

**Definition 2.1.** Let M be a R-module and  $N_1, \ldots, N_r$  be submodules. We say that M is the direct sum  $N_1 \oplus \cdots \oplus N_r$  of the  $N_i$ 's if  $M = N_1 + \cdots + N_r$  and  $N_j \cap (N_1 + \cdots + N_{\hat{j}} + \cdots + N_r) = 0$ . Equivalently, every  $m \in M$  can be written uniquely as  $n_1 + n_2 + \cdots + n_r$  with  $n_i \in N_i$  for all i. In such a case, we write  $M \cong N_1 \oplus \cdots \oplus N_r$ . Each  $N_i$  is called a direct summand of M.

M is called indecomposable if  $M \cong N_1 \oplus N_2$  implies  $N_1 = 0$  or  $N_2 = 0$ .

We say that  $M = \bigoplus_{i=1}^{m} M_i$  is an indecomposable decomposition (or just decomposition for short if context is clear) of M if each  $M_i$  is indecomposable. Such a decomposition is said to be unique if for any other decomposition  $M = \bigoplus_{j=1}^{n} N_j$ , we have n = m and the  $N_j$ 's are permutation of the  $M_i$ 's.

**Convention.** We write  $(n_1, \ldots, n_r)$  instead of  $n_1 + \cdots + n_r$  with  $n_i \in N_i$  for a direct sum  $N_1 \oplus \cdots \oplus N_r$ .

We will only work with direct sum with finitely many indecomposable direct summands.

**Example 2.2.** Suppose  $R_R$  is indecomposable as an R-module. Then the free module  $R \oplus R \oplus \cdots \oplus R$  with R copies of R is a decomposition of  $R^n$ .

**Example 2.3.** Consider the matrix ring  $A := \operatorname{Mat}_n(\mathbb{k})$  over a field  $\mathbb{k}$ . Let V be the 'row space', i.e.  $V = \{(v_j)_{1 \leq j \leq n} \mid v_j \in \mathbb{k}\}$  where  $X \in \operatorname{Mat}_n(\mathbb{k})$  acts on  $v \in V$  by  $v \mapsto vX$  (matrix multiplication from the right). Since for any pair  $u, v \in V$ , there always exist X so that v = uX, we see that there is no other A-submodule of V other than 0 or V itself. Hence, V is an indecomposable A-module. In particular, the n different ways of embedding a row into an n-by-n-matrix yields an A-module isomorphism between  $V^{\oplus n} \cong A_A$ , which is the decomposition of the free A-module  $A_A$ .

The above example shows indecomposability by showing that V is a *simple A*-module, which is a stronger condition that we will come back later. Let us give an example of a different type of indecomposable (but non-simple) modules.

**Example 2.4.** Let  $A = \mathbb{k}[x]/(x^k)$  the truncated polynomial ring for some  $k \geq 2$ . This is an algebra generated by  $(1_A \text{ and})$  x, and an A-module is just a  $\mathbb{k}$ -vector space V equipped with a linear transformation  $\rho_x \in \operatorname{End}_{\mathbb{k}}(V)$  (representing the action of x) such that  $\rho_x^k = 0$ .

Consider a 2-dimensional space  $V = \mathbb{K}\{v_1, v_2\}$  and a linear transformation

$$\rho_x = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}.$$

If V is not indecomposable, then we have  $V = U_1 \oplus U_2$  for (at least) two non-zero submodules  $U_1, U_2$ . By definition  $(av_1 + bv_2)x = (a + b)v_2$ , and so any submodules must contains  $kv_2$ , i.e.  $v_2$  spans a unique non-zero submodules; a contradiction. Hence, V must be indecomposable.

A natural question is to ask when a decomposition of modules, if it exists, is unique up to permuting the direct summands.

**Definition 2.5.** We say that an indecomposable decomposition  $M = \bigoplus_{i=1}^{m} M_i$  is unique if any other indecomposable decomposition  $M = \bigoplus_{j=1}^{n} N_j$  implies that m = n and there is a permutation  $\sigma$  such

that  $M_i \cong N_{\sigma(i)}$  for all  $1 \leq i \leq m$ . mod A is said to be Krull-Schmidt if every finitely generated A-module M admits a unique indecomposable decomposition.

**Theorem 2.6.** For a finite-dimensional algebra A, mod A is Krull-Schmidt.

Remark 2.7. This is a special case of the Krull-Schmidt theorem - whose proof we will omit to save time.

**Proposition 2.8.** There is a canonical R-module isomorphism

$$\operatorname{Hom}_{A}(\bigoplus_{j=1}^{m} M_{j}, \bigoplus_{i=1}^{n} N_{i}) \xrightarrow{\cong} \bigoplus_{i,j} \operatorname{Hom}_{A}(M_{j}, N_{i})$$
$$f \longmapsto (\pi_{i} f \iota_{j})_{i,j}$$

where  $\iota_j: N_j \to \bigoplus_j N_j$  is the canonical inclusion for all j and  $\pi_i: \bigoplus_i M_i \to M_i$  is the canonical projection for all i.

One can think of the right-hand space above as the space of m-by-n matrix with entries in each corresponding Hom-space.

### 3 Extra: Krull-Schmidt theorem

Recall that an *idempotent*  $e \in R$  is an element with  $e^2 = e$ . For example, the identity map  $id_M \in End_A(M)$  (the unit element of the endomorphism ring) is an idempotent.

**Lemma 3.1.** A non-zero A-module M is indecomposable if, and only if, the endomorphism algebra  $\operatorname{End}_A(M)$  does not contain any idempotents except 0 and  $\operatorname{id}_M$ .

**Proof**  $\leq$ : Suppose  $M = U \oplus V$ . Then we have

a projection map 
$$\pi_W: M \to W$$
,  
and an inclusion map  $\iota_W: W \hookrightarrow M$ ,

for  $W \in \{U, V\}$ . Both of these are clearly A-module homomorphisms. Now  $e_W := \iota_W \pi_W$  is an endomorphism of M with  $e_V = \mathrm{id}_M - e_U$ . Since any  $m \in M$  can be written as u + v for  $u \in U$  and  $v \in V$ , we have

$$e_V^2(m) = e_V^2(u+v) = e_V^2(v) = v = e_V(m);$$

and likewise for  $e_W$ , so we have idempotents different from 0 and  $\mathrm{id}_M$  when both U and V are non-zero.

 $\Rightarrow$ : Suppose that M is indecomposable, and  $e \in \operatorname{End}_A(M)$  is an idempotent. Note that

$$(\mathrm{id}_M - e)^2 = \mathrm{id}_M - e \cdot \mathrm{id}_M - \mathrm{id}_M \cdot e + e^2 = \mathrm{id}_M - 2e + e = \mathrm{id}_M - e$$

is also an idempotent and  $\mathrm{id}_M = e + (\mathrm{id}_M - e)$ . So we have  $M = e(M) + (\mathrm{id}_M - e)(M)$ . We want to show that  $M = e(M) \oplus (\mathrm{id}_M - e)(M)$ , i.e.  $e(M) \cap (\mathrm{id}_M - e)(M) = 0$ . Indeed,  $x \in e(M) \cap (\mathrm{id}_M - e)(M)$  means that we have  $e(m) = x = (\mathrm{id}_M - e)(m')$  for some  $m, m' \in M$ , and so

$$x = e(m) = e^{2}(m) = e((\mathrm{id}_{M} - e)(m')) = (e(\mathrm{id}_{M} - e))(m') = (e - e^{2})(m') = 0(m') = 0,$$

as required.

Since M is indecomposable, one of e(M) or  $(\mathrm{id}_M - e)(M)$  is zero. In the former case, we get e = 0; whereas the latter case yields  $\mathrm{id}_M = e$ ; as required.

The following is one of the main reasons why we like to consider finite-dimensional (or finite generated) modules over finite-dimensional k-algebras.

**Lemma 3.2 (Fitting's lemma (special version)).** Let M be a finite-dimensional A-module of a finite-dimensional k-algebra, and  $f \in \operatorname{End}_A(M)$ . Then there exists  $n \geq 1$  such that  $M \cong \operatorname{Ker}(f^n) \oplus \operatorname{Im}(f^n)$ .

Remark 3.3. The general version for rings requires M to be artinian and noetherian (i.e. ascending and descending chains of submodules stabilises).

We omit the proof to save time. The point is really just take n large enough so that the chains of submodules given by  $(\text{Ker}(f^k))_k$  and  $(\text{Im}(f^k))_k$  stabilises.

**Corollary 3.4.** Let M be a non-zero finite-dimensional A-module. Then M is indecomposable if, and only if, every homomorphism  $f \in \operatorname{End}_A(M)$  is either an isomorphism or is nilpotent.

**Proof** By Fitting's lemma, for any  $f \in \text{End}_A(M)$ , we have  $M \cong \text{Ker}(f^n) \oplus \text{Im}(f^n)$  for some  $n \geq 1$ . So indecomposability means that one of these direct summands is is zero. If  $\text{Ker}(f^n) = 0$ , then  $f^n$  is an isomorphism and so is f. If  $\text{Im}(f^n) = 0$ , then  $f^n = 0$  and so f is nilpotent.

Conversely, consider an idempotent endomorphism  $e \in \operatorname{End}_A(M)$ . The assumption says that e is either an isomorphism or nilpotent.

If e is an isomorphism, then we have Im(e) = M, which means that for every  $m \in M$ , there is some  $m' \in M$  with  $e(m) = e^2(m') = e(m') = m$ , i.e.  $e = \text{id}_M$ .

If e is nilpotent, then  $e^n = 0$  for some  $n \ge 1$ , but  $e = e^2 = e^3 = \cdots = e^n$ , and so e = 0.

Hence, an idempotent endomorphism of M is either 0 or  $\mathrm{id}_M$ , which means that M is indecomposable by Lemma 3.1.

**Definition 3.5.** A ring R is local if it has a unique maximal right (equivalently, left; equivalently, two-sided) ideal.

Remark 3.6. When R is non-commutative, the 'non-invertible elements' are the ones that do not admit right inverses.

**Lemma 3.7.** Let A be a finite-dimensional algebra and M be a finite-dimensional A-module. Then the following hold.

- (1) The following are equivalent.
  - A is local (i.e. has a unique maximal right ideal).
  - Non-invertible elements of A form a two-sided ideal.
  - For any  $a \in A$ , one of a or 1 a is invertible.
  - 0 and  $1_A$  are the only idempotents of A.
  - $A/J(A) \cong \mathbb{k}$  as rings, where J(A) is the two-sided ideal of A given by the intersection of all maximal right (equivalently, left) ideals.
- (2) M is indecomposable  $\Leftrightarrow \operatorname{End}_A(M)$  is local.

We omit the proof to save time.

**Example 3.8.** Consider the upper triangular 2-by-2 matrix ring

$$A = \begin{pmatrix} \mathbb{k} & \mathbb{k} \\ 0 & \mathbb{k} \end{pmatrix} = \left\{ (a_{i,j})_{1 \le i \le j \le 2} \middle| \begin{array}{l} a_{i,j} \in \mathbb{k} \ \forall i \le j \\ a_{i,j} = 0 \ \forall i > j \end{array} \right\}.$$

Let  $M = \{(x,y) \in \mathbb{k}^2\}$  be the 2-dimensional space where A acts as matrix multiplication (on the right). Suppose  $f \in \operatorname{End}_A(M)$ , say, f(x,y) = (ax + by, cx + dy) for some  $a,b,c,d \in \mathbb{k}$ . Then being an A-module homomorphisms means that

$$(ax+by,cx+dy)\begin{pmatrix} u & v \\ 0 & w \end{pmatrix} = f\left((x,y)\begin{pmatrix} u & v \\ 0 & w \end{pmatrix}\right) = (aux+bvx+wy,cux+dvx+dwy)$$

for all  $u, v, w, x, y \in \mathbb{k}$ . This means that

$$\begin{cases} buy = bvx + bwy \\ avx + bvy + cxw = cux + dvx \end{cases}.$$

The first line yields b = 0, and the second line yields c = 0 = b and a = d. In other words,  $\operatorname{End}_A(M) \cong \mathbb{k}$  which is clearly a local algebra. Hence, M is indecomposable.

**Theorem 3.9 (Krull-Schmidt).** Suppose  $M = \bigoplus_{i=1}^m M_i$  is an indecomposable decomposition of M. If  $\operatorname{End}_A(M_i)$  is local for all  $1 \leq i \leq m$ , then the decomposition of M is unique.

Remark 3.10. Some people refer to this result as Krull-Remak-Schmidt theorem.

For proof, interested reader can see lecture notes from last year.

### 4 Simple modules, Schur's lemma

**Definition 4.1.** Let M be an R-module.

- (1) M is simple if  $M \neq 0$ , and for any submodule  $L \subset M$ , we have L = 0 or L = M.
- (2) M is semisimple if it is a direct sum of simples.

Remark 4.2. In the language of representations, simple modules are called *irreducible* representations, and semisimple modules are called *completely reducible* representations.

Remark 4.3. Note that a module is semisimple if and only if every submodule is a direct summand.

**Example 4.4.** Consider the matrix ring  $A := \operatorname{Mat}_n(\mathbb{k})$  over a field  $\mathbb{k}$ . Then the row-space representation V is an n-dimensional simple module. Since  $A_A \cong V^{\oplus n}$ , we have that  $A_A$  is a semisimple module.

**Example 4.5.** The ring of dual numbers is  $A := \mathbb{k}[x]/(x^2)$ . The module (x) is simple. The regular representation A is non-simple (as (x) = AxA is a non-trivial submodule). It is also not semisimple. Indeed, (x) is a submodule of A, and the quotient module can be described by  $\mathbb{k}v$  where v = 1 + (x). If A is semisimple, then the 1-dimensional space  $\mathbb{k}v$  is isomorphic to a submodule of A. Such a submodule must be generated by a + bx (over A) for some  $a, b \in \mathbb{k}$ . If  $a \neq 0$ , then (a + bx)A = A. So a = 0, and  $\mathbb{k}v \cong (x)$ , a contradiction.

**Lemma 4.6.** S is a simple A-module if and only if for any non-zero  $m \in S$ , we have  $mA := \{ma \mid a \in A\} = S$ . In particular, simple modules are cyclic (i.e. generated by one element).

**Proof**  $\Rightarrow$ :  $mA \subset S$  is a submodule and contains a non-zero element m, so by simplicity of S we must have mA = S.

 $\Leftarrow$ : Suppose that there is a non-zero submodule  $L \subset S$ . For a non-zero element  $m \in L$ , the assumption says that we have  $mA \subset L \subset S = mA$ , and so L = S.

Let us see how one can find a simple module.

**Definition 4.7.** Let M be an A-module and take any  $m \in M$ . The annihilator of m (in A) is the set  $\operatorname{Ann}_A(m) := \{a \in A \mid ma = 0\}$ .

Note that  $Ann_A(m)$  is a right ideal of A - hence, a right A-module.

**Lemma 4.8.** For a simple A-module S and any non-zero  $m \in S$ , we have  $S \cong A/\operatorname{Ann}_A(m)$  as A-module. In particular, if A is finite-dimensional, then every simple A-module is also finite-dimensional.

**Proof** Since S = mA, the element m defines a surjective A-module homomorphism  $f : A_A \to S$  given by  $a \mapsto ma$ . On the other hand, we have  $Ker(f) = Ann_A(m)$ , and so  $A/Ann_A(m) \cong S$ .

Suppose I is a two-sided ideal of A. Then we have a quotient algebra B := A/I. For any B-module M, we have a canonical A-module structure on M given by ma := m(a+I). This is (somewhat confusingly) the restriction of M along the algebra homomorphism  $A \to A/I$ .

**Lemma 4.9.** Suppose B := A/I is a quotient algebra of A by a strict two-sided ideal  $I \neq A$ . If  $S \in \text{mod } B$  is simple, then S is also simple as A-module

**Proof** This follows from the easy observation that any a B-submodule of  $S_B$  is also a A-submodule of  $S_A$  under restriction.

The following easy, yet fundamental, lemma describes the relation between simple modules. Recall that a division ring is one where every non-zero element admits an inverse (but the ring is not necessarily commutative).

Lemma 4.10 (Schur's lemma). Suppose S, T are simple A-modules, then

$$\operatorname{Hom}_A(S,T) = \begin{cases} a \text{ division ring,} & \text{if } S \cong T; \\ 0, & \text{otherwise.} \end{cases}$$

Remark 4.11. Note that if A is an R-algebra, then the division ring appearing is also an R-algebra (since it is the endomorphism ring of an A-module). In particular, if R is an algebraically closed field  $\mathbb{k} = \overline{\mathbb{k}}$ , then any division  $\mathbb{k}$ -algebra is just  $\mathbb{k}$  itself.

**Proof** The claim is equivalent to saying that any  $f \in \text{Hom}_A(S,T)$  is either zero or an isomorphism. Since Im(f) is a submodule of T, simplicity of T says that Im(f) = 0, i.e. f = 0, or  $\text{Im}(f) \cong T$ . In the latter case, we can consider Ker(f), which is a submodule of S, so by simplicity of S it is either S or S itself. But this cannot be S as this means S as the means S and isomorphism.  $\square$ 

**Example 4.12.** In Example 3.8, we showed that the upper triangular 2-by-2 matrix ring A has a 2-dimensional indecomposable module  $P_1 = \{(x,y) \mid x,y \in \mathbb{k}^2\}$  given by 'row vectors'. It is straightforward to check that there is a 1-dimensional (hence, simple) submodule given by  $S_2 := \{(0,y) \mid y \in \mathbb{k}^2\}$ .

Consider the module  $S_1 := P_1/S_2$ . This is a 1-dimensional (simple) module spanned by, say, w with A-action given by

$$w\begin{pmatrix} a & b \\ 0 & c \end{pmatrix} := wa.$$

Consider a homomorphism  $f \in \text{Hom}_A(S_1, S_2)$ . This will be of the form  $w \mapsto (0, y)$  for some  $y \in \mathbb{k}$  and has to satisfy

$$(0, ya) = (0, y)a = f(wa) = f(w \begin{pmatrix} a & b \\ 0 & c \end{pmatrix}) = f(w) \begin{pmatrix} a & b \\ 0 & c \end{pmatrix} = (0, y)c = (0, yc)$$

for any  $a, b, c \in \mathbb{k}$ . Hence, we must have y = 0, which means that f = 0. In particular, by Schur's lemma  $S_1 \ncong S_2$ .

**Lemma 4.13.** Consider a semisimple A-module  $M = S_1 \oplus \cdots \oplus S_n$  with  $S_i \cong S$  for all i. Then  $\operatorname{End}_A(M) \cong \operatorname{Mat}_n(D)$ , where  $D := \operatorname{End}_A(S)$  for some i.

**Proof** We have canonical inclusion  $\iota_j: S_j \hookrightarrow M$  and projection  $\pi_i: M \twoheadrightarrow S_i$ . So for  $f \in \operatorname{End}_A(M)$ , we have a homomorphism  $\pi_i f \iota_j: S_j \to S_i$ , and by Schur's lemma, this is an element of D. Now we have a ring homomorphism

$$\operatorname{End}_A(M) \to \operatorname{Mat}_r(D), \quad f \mapsto (\pi_i f \iota_j)_{1 \le i,j \le r},$$

which is clearly injective. Conversely, for  $(a_{i,j})_{1 \leq i,j \leq r} \in \operatorname{Mat}_r(D)$ , we have an endomorphism  $M \xrightarrow{\pi_j} S_i \xrightarrow{\iota_i} M$ , which yields the required surjection.

**Example 4.14.** For a tautological example, take  $A = \mathbb{k}$  to be just a field. Then we have a 1-dimensional simple A-module  $S = \mathbb{k}$  with  $\operatorname{End}_A(S^{\oplus n}) = \operatorname{Mat}_n(\operatorname{End}_A(\mathbb{k})) = \operatorname{Mat}_n(\mathbb{k})$ . Note that now we have an n-dimensional simple  $\operatorname{Mat}_n(\mathbb{k})$ -module (given by the row vectors).

### 5 Quiver and path algebra

**Definition 5.1.** A (finite) quiver is a datum  $Q = (Q_0, Q_1, s, t : Q_1 \to Q_0)$  for finite sets  $Q_0, Q_1$ . The elements of  $Q_0$  are called vertices and those of  $Q_1$  are called arrows. The source (resp. target) of an arrow  $\alpha \in Q_1$  is the vertex  $s(\alpha)$  (resp.  $t(\alpha)$ ).

This is equivalent to specifying an oriented graph (possibly with multi-edges and loops); Gabriel coined the term quiver as a way to emphasise the context is not really about the graph itself.

**Definition 5.2.** Let Q be a quiver.

- A trivial path on Q is a "stationary walk at i", denoted by  $e_i$  for some  $i \in Q_0$ .
- A path of Q is either a trivial path or a word  $\alpha_1 \alpha_2 \cdots \alpha_\ell$  of arrows with  $s(\alpha_i) = t(\alpha_{i+1})$ .

The source and target functions extend naturally to paths, with  $s(e_i) = i = t(e_i)$ . Two paths p, q can be concatenated to a new one pq if t(p) = s(q); note that our convention is to read from left to right.

**Definition 5.3.** The path algebra  $\mathbb{k}Q$  of a quiver Q is the  $\mathbb{k}$ -algebra whose underlying vector space is given by  $\bigoplus_{p:paths\ of\ Q} \mathbb{k}p$ , with multiplication given by path concatenation. That is  $x \in \mathbb{k}Q$  is a formal linear combinations of paths on Q.

Note that  $e_i e_j = \delta_{i,j} e_i$ , where  $\delta_{i,j} = 1$  if i = j else 0. In other words,  $e_i$  is an *idempotent* of the path algebra kQ. Moreover, we have an idempotent decomposition

$$1_{\Bbbk Q} = \sum_{i \in Q_0} e_i$$

of the unit element of kQ.

**Example 5.4.** Consider the one-looped quiver, a.k.a. Jordan quiver,

$$Q = \left(\begin{array}{c} \alpha \\ \end{array}\right)$$

Then kQ has basis  $\{\alpha^k \mid k \geq 0\}$  (note that the trivial path at the unique vertex is the identity element). Then  $kQ \cong k[x]$ .

An oriented cycle is a path of the form  $v_1 \to v_2 \to \cdots v_r \to v_1$ , i.e. starts and ends at the same vertex. If Q does not contain any oriented cycle, we say that it is acyclic.

**Proposition 5.5.**  $\mathbb{k}Q$  is finite-dimensional if, and only if, Q is finite acyclic.

**Proof** If there is an oriented cycle c, then  $c^k \in \mathbb{k}Q$  for all  $k \geq 0$ , and so  $\mathbb{k}Q$  is infinite-dimensional. Otherwise, there are only finitely many paths on Q.

**Example 5.6.** Consider the linear  $\mathbb{A}_n$ -quiver

$$Q = \vec{\mathbb{A}}_n = 1 \xrightarrow{\alpha_1} 2 \xrightarrow{\alpha_2} \cdots \xrightarrow{\alpha_{n-1}} n.$$

Then the path algebra  $\mathbb{k}Q$  has basis  $\{e_i, \alpha_{j,k} \mid 1 \leq i \leq n, 1 \leq j \leq k \leq n\}$ , where  $\alpha_{j,k} := \alpha_j \alpha_{j+1} \cdots \alpha_k$ .

Consider the upper triangular n-by-n matrix ring

$$\begin{pmatrix} \mathbb{k} & \mathbb{k} & \cdots & \mathbb{k} \\ 0 & \mathbb{k} & \cdots & \mathbb{k} \\ 0 & 0 & \ddots & \vdots \\ 0 & 0 & 0 & \mathbb{k} \end{pmatrix} = \left\{ (a_{i,j})_{1 \le i \le j \le n} \middle| \begin{array}{l} a_{i,j} \in \mathbb{k} \ \forall i \le j \\ a_{i,j} = 0 \ \forall i > j \end{array} \right\}.$$

Denote by  $E_{i,j}$  the elementary matrix whose entries are all zero except at (i,j) where it is one. This ring is isomorphic to  $\mathbb{k}Q$  via  $E_{i,i} \mapsto e_i$  and  $E_{i,j} \mapsto \alpha_{i,j-1}$  for  $1 \leq j < k \leq n$ .

From now on, we will focus in the following setting.

**Assumption 5.7.** (1) Quivers are always finite.

- (2) Algebras are finite-dimensional over an algebraically closed field  $\mathbb{k}$ .
- (3) Modules (and representations) are finitely generated (equivalently, finite-dimensional as our algebras are so).

### 6 Duality

For a quiver Q, the opposite quiver  $Q^{\text{op}}$  has the same set of vertices with the reverse direction of arrows, i.e.  $Q_0^{\text{op}} = Q_0, Q_1^{\text{op}} = Q_1, s_{Q^{\text{op}}} = t_Q$ , and  $t_{Q^{\text{op}}} = s_Q$ .

**Exercise 6.1.** Show that there is a canonical isomorphism  $(\Bbbk Q)^{\operatorname{op}} \cong \Bbbk(Q^{\operatorname{op}})$ .

Let M be a finite-dimensional A-module. Then we have a dual space

$$D(M) := M^* := \operatorname{Hom}_{\mathbb{k}}(M, \mathbb{k}),$$

which has a natural  $A^{\text{op}}$ -module structure, namely,  $(a \cdot f)(m) := f(ma)$  for any  $a \in A, f \in M^*, m \in M$ . Moreover, for an A-module homomorphism  $\theta : M \to N$ , we have also an  $A^{\text{op}}$ -module homomorphism  $\theta^* : N^* \to M^*$  with  $\theta^*(f)(m) = f(\theta(m))$ .

We note as a fact that D preserves indecomposability (which can be seen using the characterisation of indecomposable modules as having local endomorphism algebra, and that D is a functor so  $\operatorname{End}_{A^{\operatorname{op}}}(DM) \cong \operatorname{End}_A(M)$ ).

**Example 6.2.** The left A-module  ${}_{A}A$  yields a right A-module structure on D(A). More generally, suppose we have a left ideal Ae of A for some element  $e \in A$ , then D(Ae) is a right ideal of A.

Remark 6.3. There is another natural duality, which we will not used, between  $\operatorname{mod} A$  and  $\operatorname{mod} A^{\operatorname{op}}$  given by sending M to  $\operatorname{Hom}_A(M,A)$ . In general, this duality is different from the  $\mathbb{k}$ -linear dual unless A is a so-called symmetric algebra; interested reader can read lecture notes from last year.

# 7 Representations of quiver

**Definition 7.1.** A  $\Bbbk$ -linear representation of Q is a datum  $(\{M_i\}_{i\in Q_0}, \{M_\alpha\}_{\alpha\in Q_1})$  where  $M_i$  is a  $\Bbbk$ -vector space for each  $i\in Q_0$  and  $M_\alpha: M_{s(\alpha)}\to M_{t(\alpha)}$  is K-linear map for each  $\alpha\in Q_1$ .

Such a representation is finite-dimensional if  $\dim_{\mathbb{K}} M_i < \infty$  for all  $i \in Q_0$ .

**Notation.** For a representation M of Q, we take  $M_p := M_{\alpha_1} \cdots M_{\alpha_\ell}$  for a path  $p = \alpha_1 \cdots \alpha_\ell$ .

It is easy to notice that every representation of Q is equivalent to a  $\mathbb{k}Q$ -module, namely,

$$\text{representation } (\{M_i\}_{i \in Q_0}, \{M_\alpha\}_{\alpha \in Q_1}) \leftrightarrow \begin{array}{c} \mathbb{k}Q\text{-module } \prod_{i \in Q_0} M_i \\ \text{s.t. } \sum_{p: \text{path }} \lambda_p p \text{ acts as } \sum_p \lambda_p M_p. \end{array}$$

**Example 7.2 (Simple).** For  $x \in Q_0$ , denote by  $S_x$  (or S(x)) the representation given by putting a 1-dimensional space on x, zero on all other vertices, and zero on all arrows. This corresponds to a 1-dimensional kQ-module and so we call it the simple at x.

Note: at this stage, it is not clear if these are all the simple  $\mathbb{k}Q$ -modules (up to isomorphism) yet.

**Example 7.3 (Projective).** For  $x \in Q_0$ , denote by  $P_x$  (or P(x)) the representation given by  $(\{M_y\}_{y\in Q_0}, \{M_\alpha\}_{\alpha\in Q_1})$ , where

$$M_{y} := \bigoplus_{\substack{p:path \ with \\ s(p)=x, \\ t(p)=y}} \mathbb{k}p, \quad and \quad (M_{\alpha}: M_{y} \to M_{z}) := \sum_{p\alpha=q} (M_{y} \twoheadrightarrow \mathbb{k}p \xrightarrow{\mathrm{id}} \mathbb{k}q \hookrightarrow M_{z}).$$

This is called the projective at x. This corresponds to the right ideal  $e_x \mathbb{k}Q$  of  $\mathbb{k}Q$ .

**Example 7.4 (Injective).** Dual to the projective module construction, for  $x \in Q_0$ , denote by  $I_x$  (or I(x)) the representation given by  $(\{M_y\}_{y\in Q_0}, \{M_\alpha\}_{\alpha\in Q_1})$ , where

$$M_y := \bigoplus_{\substack{p:path \ with \\ s(p)=y, \\ t(p)=x}} \mathbb{k}p, \quad and \quad (M_\alpha : M_y \to M_z) := \sum_{\substack{p=\alpha q}} (M_y \twoheadrightarrow \mathbb{k}p \xrightarrow{\mathrm{id}} \mathbb{k}q \hookrightarrow M_z).$$

This is called the injective at x. This corresponds to the dual of the left ideal generated by  $e_x$ , i.e.  $D(\Bbbk Qe_x)$ .

**Example 7.5.** The representation of  $Q = \vec{\mathbb{A}}_n$  given by

$$U_{i,j} := 0 \to \cdots \to \mathbb{k} \xrightarrow{\mathrm{id}} \to \cdots \xrightarrow{\mathrm{id}} \mathbb{k} \to 0 \to \cdots \to 0$$

with a copy of k on vertices  $i, i+1, \ldots, j$  is the uniserial kQ-module corresponding to the column space (under the isomorphism of kQ with the lower triangular matrix ring) with non-zero entries in the k-th row for  $i \leq k \leq j$ .

**Example 7.6.** Let Q be the Jordan quiver with unique arrow  $\alpha$ . Then a representation of Q is nothing but an n-dimensional vector space equipped with a linear endomorphism, equivalently, an n-by-n matrix.

**Definition 7.7.** A homomorphism  $f: M \to N$  of (k-linear) quiver representations  $M = (M_i, M_{\alpha})_{i,\alpha}$  and  $N = (N_i, N_{\alpha})_{i,\alpha}$  is a collection of linear maps  $f_i: M_i \to N_i$  that intertwines arrows' actions, i.e. we have a commutative diagram

$$M_{i} \xrightarrow{f_{i}} N_{i}$$

$$M_{\alpha} \downarrow \qquad \qquad \downarrow N_{\alpha}$$

$$M_{j} \xrightarrow{f_{i}} N_{j}$$

for all arrows  $\alpha: i \to j$  in Q.

A homomorphism  $f = (f_i)_{i \in Q_0} : M \to N$  of quiver representations is injective, resp. surjective, resp. an isomorphism, if every  $f_i$  is injective, resp. surjective, resp. an isomorphism, for all  $i \in Q_0$ .

**Example 7.8.** Let Q be the Jordan quiver. Recall that a representation of Q is equivalent to a choice of n-by-n matrix  $M_{\alpha}$ . By definition, the isomorphism class of such a representation is given by the conjugacy classes of  $M_{\alpha}$ . If we assume  $\mathbb{k}$  is algebraically closed, then a representative of the isomorphism class of  $M_{\alpha}$  is given by the Jordan normal form of  $M_{\alpha}$ . That is,  $M_{\alpha}$  can be blockdiagonalise into Jordan blocks  $J_{m_1}(\lambda_1), \ldots, J_{m_l}(\lambda_l)$ , where  $J_m(\lambda)$  is the m-by-m Jordan block with eigenvalue  $\lambda \in \mathbb{k}$ .

**Proposition 7.9.** There is an isomorphism between the category of representations of Q and mod & Q, where  $(M_i, M_{\alpha})_{i,\alpha}$  corresponds to  $M = \prod_{i \in Q_0} M_i$  with & Q-action given by (linear combinations of compositions of)  $M_{\alpha}$ 's, and isomorphism classes of Q-representations correspond to isomorphism classes of & Q-modules.

### 8 Idempotents

Recall that an *idempotent* of an algebra A is an element x with  $x^2 = x$ .

The right A-modules of the form eA and D(Ae) for an idempotent  $e \in A$  are of central importance in representation theory and in homological algebra.

**Lemma 8.1.** The the following hold for any idempotent  $e \in A$ .

- (1) (Yoneda's lemma)  $\operatorname{Hom}_A(eA, M) \cong Me$  as a  $\mathbb{k}$ -vector space for all  $M \in A \operatorname{\mathsf{mod}}$ .
- (2) There is an isomorphism of rings  $\operatorname{End}_A(eA) \cong eAe$ .

**Proof** For (1), check that  $\operatorname{Hom}_A(eA, M) \ni f \mapsto f(e) = f(1)e \in Me$  defines a  $\mathbb{k}$ -linear map with inverse  $me \mapsto (ea \mapsto mea)$ . (2) follows from (1) by putting M = eA with straightforward check of correspondence of multiplication on both sides.

Remark 8.2. Under the isomorphism  $A \cong \operatorname{End}_A(A)$ , an idempotent e of A corresponds to the 'project to direct summand P = eA endomorphism', i.e.  $A \twoheadrightarrow P \hookrightarrow A$ . This is compatible with Yoneda lemma (think about this!) which says that there is a vector space isomorphism  $fAe \cong \operatorname{Hom}_A(eA, fA)$  for any idempotents e, f.

**Lemma 8.3.** For idempotents  $e, f \in A$ , we have  $eA \cong fA$  as right A-module if and only if  $f = ueu^{-1}$  for some unit  $u \in A^{\times}$ .

**Proof**  $\Leftarrow$ : By Yoneda lemma, an isomorphism  $\phi \in \operatorname{Hom}_A(fA, eA)$  corresponds to an element in  $x \in eAf \subset A$ ; likewise an isomorphism  $\psi \in \operatorname{Hom}_A((1-f)A, (1-e)A)$  corresponds to  $y \in (1-e)A(1-f) \subset A$ . Let  $x' \in fAe$  and  $y' \in (1-f)A(1-e)$  be the elements corresponding to  $\phi^{-1}$  and  $\psi^{-1}$  respectively. Since  $\phi^{-1}\phi = \operatorname{id}_{eA}$  corresponds to  $e \in eAe$ , we have

$$x'x = f, xx' = e, y'y = 1 - f, yy' = 1 - e.$$

Take u := x + y and v := x' + y'. Then we have vu = f + (1 - f) = 1 and uv = e + (1 - e) = 1. Therefore, u, v are units such that uf = x = eu, i.e.  $e = ufu^{-1}$  as required.

 $\Rightarrow$ : The required isomorphism  $fA \to eA$  is given by  $fa \mapsto eua$ .

Given an idempotent  $e = e^2 \in A$  in an algebra A, then eA and (1 - e)A are both right ideal of A. Since e(1 - e) = 0 = (1 - e)e, we have  $eA \cap (1 - e)A = 0$ , which means that  $A \cong eA \oplus (1 - e)A$  as right A-module. In particular, in the setting of the above lemma, we have that  $eA \cong fA$  and  $(1 - e)A \cong (1 - f)A$  by Krull-Schmidt property.

**Definition 8.4.** Two idempotents e, f are orthogonal if ef = 0 = fe. An idempotent e is primitive if  $e \neq f + f'$  for some orthogonal (pair of) idempotents f, f'.

It follows from the definition of primitivity that

eA and D(Ae) are indecomposable A-modules for a primitive idempotent e.

**Example 8.5.** The trivial paths  $e_x$  for  $x \in Q_0$  is (by design) a primitive idempotent of the path algebra  $\mathbb{k}Q$  (where Q is finite but not necessarily acyclic), and  $1 = \sum_{x \in Q_0} e_x$  is an orthogonal decomposition of primitive idempotents. Hence, we have a decomposition

$$kQ \cong \bigoplus_{x \in Q_0} e_x kQ = \bigoplus_{x \in Q_0} P_x \text{ and } D(kQ) \cong \bigoplus_{x \in Q_0} D(kQe_x) \cong \bigoplus_{x \in Q_0} I_x.$$

### 9 Composition series, Jordan-Hölder Theorem

**Definition 9.1.** Let A be a k-algebra and  $M \in A \mod$ . A composition series of M is a <u>finite</u> chain of submodules

$$0 = M_0 \subset M_1 \subset \cdots \subset M_\ell = M$$

such that  $M_i/M_{i-1}$  is simple for all  $1 \le i \le \ell$ . The number  $\ell$  here is the length of the composition series. The module  $M_i/M_{i-1}$  for each  $1 \le i \le \ell$  are called the composition factors of the series.

**Theorem 9.2 (Jordan-Hölder Theorem).** Any two composition series have the same length and their composition factors are the same up to permutations.

We omit the proof. The strategy is basically by induction on the length of series.

Remark 9.3. Jordan-Hölder theorem holds as long as a module, regardless of what kind of algebra, has a (finite) composition series; this condition is actually equivalent to saying that it is noetherian and artinian.

Remark 9.4. The Jordan-Hölder theorem may not hold if one relaxes the form of composition factors from simple modules to something else. There are a few active research themes, including one related to quasi-hereditary algebras, that are stemmed from this.

**Lemma 9.5.** Let M be a finite-dimensional left A-module. Then M has a composition series.

**Proof** Induction on  $\dim_{\mathbb{R}} M$ , at each step choose a maximal submodule (i.e. a submodule whose quotient is simple).

**Example 9.6.** Let  $A = \mathbb{k} \vec{\mathbb{A}}_n$ . Then the module  $U_{i,j}$  has a composition series

$$0 \subset U_{j,j} \subset U_{j-1,j} \subset \cdots \subset U_{i+1,j} \subset U_{i,j}$$

with composition factors  $S_k = U_{k,j}/U_{k+1,j}$  for  $i \le k \le j$ . We note that this composition series is actually unique - such kind of modules are called uniserial.

**Lemma 9.7.** If  $M \in \text{mod } A$  and  $N \subset M$  is a submodule, then there is a composition series  $(M_i)_{0 \leq i \leq \ell}$  so that  $N = M_k$ .

**Proof** N has a composition series, say, of length k, so we take that as the first k terms of the required composition series of M. On the other hand, M/N also has a composition series, and since every submodule of M/N is of the form L/N (for a submodule U of M/N, take  $L := \{m \in M \mid m+N \in U\}$ ; it is routine to check that this is an inverse operation as quotienting N on the submodules of M that contains N), a composition series of M/N is of the form  $(L_i/N)_{0 \le i \le r}$ . Now take  $M_{k+i} = L_i$ .

**Proposition 9.8.** Suppose A is a k-algebra such that  $A_A$  has a composition series. Then there are only finitely many simple A-modules up to isomorphisms, and they all appear in the form A/I for some A-submodule I of A.

Note that while this does not require A to be finite-dimensional, it requires  $A_A$  to be of finite length (equivalently, noetherian and artinian).

**Proof** The final clause of the claim is just restating Lemma 4.8: any simple S is given by  $A/\operatorname{Ann}_A(m)$  for any non-zero  $m \in S$ . Now fix such an S and  $I := \operatorname{Ann}_A(m)$ . Since A has a composition series, I also have one by Lemma 9.7 so that the series ends with  $I \subset A$ . Since this is possible for any simple S, it follows from Jordan-Hölder theorem that all simple modules other than S must appear as composition factors of I.

Since composition series is a finite chain, there must be finitely many composition factors - hence, the simple modules of A must be finite.