Homework 4 Spring 202

Due Date - 11/23/2022

Your Name - Aaron Zhao

Your UNI - sz2940

```
In [1]: import numpy as np
    import matplotlib.pyplot as plt
    import pprint
    pp = pprint.PrettyPrinter(indent=4)
    import warnings
    warnings.filterwarnings("ignore")
    from sklearn.preprocessing import StandardScaler
    from sklearn.model_selection import train_test_split
```

PART 2 CIFAR 10 Dataset

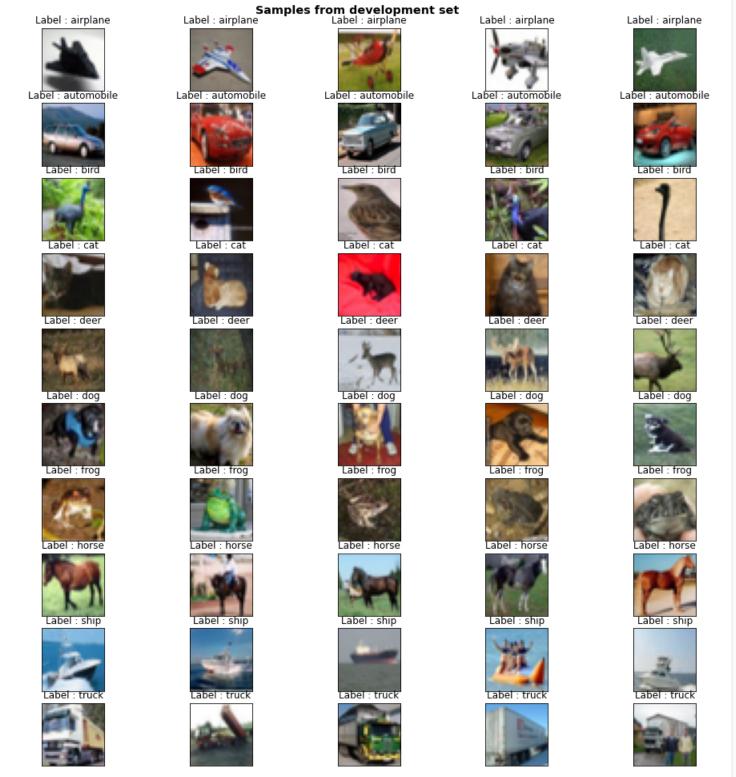
CIFAR-10 is a dataset of 60,000 color images (32 by 32 resolution) across 10 classes (airplane, automobile, bird, cat, deer, dog, frog, horse, ship, truck). The train/test split is 50k/10k.

2.1 Plot 5 samples from each class/label from train set on a 10*5 subplot

```
In [4]: print(list(y_dev[:100]).count(0))
```

6

```
In [5]: fig, ax = plt.subplots(nrows = 10, ncols = 5, figsize = (16,16))
        \# ax = ax.flatten()
        num = 0
        i = 0
        while True:
         r = y_{dev[num][0]}
         for c in range(5):
            if not ax[r,c].has_data():
              ax[r,c].imshow(x_dev[num])
              ax[r,c].set_title('Label : {}'.format(LABELS[r]))
              ax[r,c].get_xaxis().set_visible(False)
              ax[r,c].get_yaxis().set_visible(False)
              i += 1
              break
          st = fig.suptitle('Samples from development set',fontsize = 'x-large', fontweight ="bold")
          st.set_y(0.95)
          fig.subplots_adjust(top=0.925)
          num += 1
          if i==50:
            break
```



2.2 Preparing the dataset for CNN

- 1) Print the shapes x_{dev} , y_{dev} , x_{test} , y_{test}
- 2) Flatten the images into one-dimensional vectors and again print the shapes of x_{dev} , x_{test}
- 3) Standardize the development and test sets.
- 4) Train-test split your development set into train and validation sets (8:2 ratio).

```
print('The shape of {} is: '.format(shapes_name[i]), shapes[i].shape)
        # 2)
        # shapes_flatten = [x_dev, x_test]
        # shapes flatten name = ['x dev', 'x test']
        \# x_{dev_flatten} = []
        # x test flatten = []
        # x_flatten = [x_dev_flatten, x_test_flatten]
        # for i in range(len(shapes flatten)):
        # for j in range(len(shapes_flatten[i])):
              x_flatten[i].append(shapes_flatten[i][j].flatten())
        # for i in range(len(x flatten)):
        # print('The flatten shape of {} is: '.format(shapes flatten name[i]), np.array(x flatten[i]).shape)
        x_{dev} flatten = np.reshape(x_dev, (x_dev.shape[0], 32*32*3))
        x_test_flatten = np.reshape(x_test, (x_test.shape[0], 32*32*3))
        print('The flatten shape of x_dev_flatten is: ', x_dev_flatten.shape)
        print('The flatten shape of x_test_flatten is: ', x_test_flatten.shape)
        # 3)
        x_dev_flatten = x_dev_flatten/255
        x_test_flatten = x_test_flatten/255
        # 4)
        X train, X val, y train, y val = train_test_split(x dev_flatten, y dev, train_size = 0.8, random_state=42)
        The shape of x_dev is: (50000, 32, 32, 3)
        The shape of y_dev is: (50000, 1)
        The shape of x_{test} is: (10000, 32, 32, 3)
        The shape of y_test is: (10000, 1)
        The flatten shape of x_{dev_flatten} is: (50000, 3072)
        The flatten shape of x_test_flatten is: (10000, 3072)
        2.3 Build the feed forward network
        First hidden layer size - 128
        Second hidden layer size - 64
        Third and last layer size - You should know this
In [7]: # build model
        from tensorflow.python.keras.layers import Input, Dense
        from tensorflow.python.keras import Sequential
        model = Sequential([Dense(128, input_shape = (3072,), activation = 'relu'),
                             Dense(64, activation = 'relu'),
                             Dense(10, activation = 'softmax')])
```

In [6]: # 1)

shapes = [x_dev, y_dev, x_test, y_test]

for i in range(len(shapes)):

shapes_name = ['x_dev', 'y_dev', 'x_test', 'y_test']

2.4) Print out the model summary. Can show show the calculation for each layer for estimating the number of parameters

```
Model: "sequential"
Layer (type)
                       Output Shape
                                             Param #
______
dense (Dense)
                       (None, 128)
                                             393344
dense 1 (Dense)
                       (None, 64)
                                             8256
dense_2 (Dense)
                                             650
                       (None, 10)
Total params: 402,250
Trainable params: 402,250
Non-trainable params: 0
```

2.5) Do you think this number is dependent on the image height and width?

The number of trainable params is 402,250. Yes, it's dependent on the image height and width.

Printing out your model's output on first train sample. This will confirm if your dimensions are correctly set up. The sum of this output equal to 1 upto two decimal places?

```
In [9]: #modify name of X_train based on your requirement
    model.compile()
    output = model.predict(X_train[0].reshape(1,-1))

# print(output)
    print("Output: {:.2f}".format(sum(output[0])))
```

Output: 1.00

In [8]: model.summary()

2.6) Using the right metric and the right loss function, with Adam as the optimizer, train your model for 20 epochs with batch size 128.

```
In [10]: print(X_train[0])
    print(y_train[0])

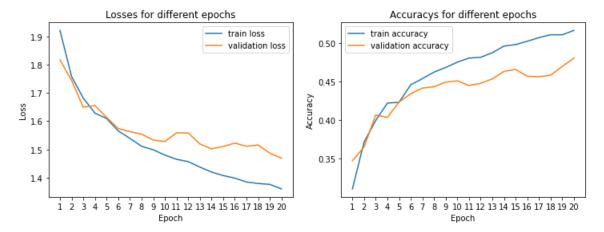
[0.13333333 0.14117647 0.16862745 ... 0.22745098 0.21960784 0.22745098]
[6]
```

```
In [11]:
        model.compile(optimizer='adam',
                     loss = 'sparse_categorical_crossentropy',
                     metrics = ['accuracy'])
        history = model.fit(X_train, y_train, epochs = 20, verbose = 1,batch_size= 128, validation_data =(X_val, y_
        Epoch 1/20
        313/313 [=================== ] - 2s 5ms/step - loss: 1.9217 - accuracy: 0.3109 - val loss: 1.817
        1 - val accuracy: 0.3474
        Epoch 2/20
        313/313 [==================== ] - 1s 4ms/step - loss: 1.7577 - accuracy: 0.3715 - val_loss: 1.744
        3 - val_accuracy: 0.3652
        Epoch 3/20
        313/313 [========================== ] - 1s 4ms/step - loss: 1.6818 - accuracy: 0.3995 - val_loss: 1.649
        5 - val accuracy: 0.4066
        Epoch 4/20
        313/313 [========================== ] - 1s 4ms/step - loss: 1.6286 - accuracy: 0.4220 - val_loss: 1.655
        7 - val accuracy: 0.4034
        Epoch 5/20
        313/313 [========================== ] - 1s 4ms/step - loss: 1.6094 - accuracy: 0.4230 - val_loss: 1.613
        4 - val_accuracy: 0.4233
        Epoch 6/20
        313/313 [=========================== ] - 2s 7ms/step - loss: 1.5656 - accuracy: 0.4457 - val loss: 1.574
        1 - val accuracy: 0.4341
        Epoch 7/20
        313/313 [============= ] - 2s 6ms/step - loss: 1.5391 - accuracy: 0.4536 - val_loss: 1.562
        8 - val_accuracy: 0.4414
        Epoch 8/20
        313/313 [========================== ] - 1s 4ms/step - loss: 1.5111 - accuracy: 0.4621 - val_loss: 1.554
        4 - val accuracy: 0.4431
        Epoch 9/20
        313/313 [========================== ] - 1s 4ms/step - loss: 1.4988 - accuracy: 0.4680 - val_loss: 1.533
        1 - val_accuracy: 0.4490
        Epoch 10/20
        313/313 [=========================== ] - 1s 4ms/step - loss: 1.4798 - accuracy: 0.4750 - val_loss: 1.528
        2 - val accuracy: 0.4508
        Epoch 11/20
        0 - val_accuracy: 0.4446
        Epoch 12/20
        313/313 [=========================== ] - 1s 4ms/step - loss: 1.4569 - accuracy: 0.4812 - val loss: 1.558
        4 - val_accuracy: 0.4476
        Epoch 13/20
        313/313 [========================== ] - 1s 4ms/step - loss: 1.4374 - accuracy: 0.4872 - val_loss: 1.519
        4 - val accuracy: 0.4532
        Epoch 14/20
        313/313 [========================== ] - 1s 3ms/step - loss: 1.4198 - accuracy: 0.4957 - val_loss: 1.501
        9 - val_accuracy: 0.4630
        Epoch 15/20
        313/313 [=========================== ] - 1s 4ms/step - loss: 1.4075 - accuracy: 0.4977 - val loss: 1.510
        3 - val accuracy: 0.4655
        Epoch 16/20
        313/313 [=================== ] - 1s 4ms/step - loss: 1.3983 - accuracy: 0.5020 - val loss: 1.522
        5 - val_accuracy: 0.4567
        Epoch 17/20
        313/313 [========================== ] - 1s 4ms/step - loss: 1.3846 - accuracy: 0.5066 - val_loss: 1.511
        2 - val_accuracy: 0.4561
        Epoch 18/20
        313/313 [============= ] - 1s 4ms/step - loss: 1.3794 - accuracy: 0.5105 - val_loss: 1.515
        6 - val_accuracy: 0.4581
        Epoch 19/20
        313/313 [========================== ] - 1s 4ms/step - loss: 1.3760 - accuracy: 0.5104 - val_loss: 1.486
        6 - val_accuracy: 0.4694
        Epoch 20/20
        313/313 [=========================== ] - 1s 4ms/step - loss: 1.3601 - accuracy: 0.5161 - val loss: 1.469
        0 - val accuracy: 0.4802
```

- 2.7) Plot a separate plots for:
- a. displaying train vs validation loss over each epoch
- b. displaying train vs validation accuracy over each epoch

```
In [12]: # plot
         import seaborn as sns
         import matplotlib.pyplot as plt
         import matplotlib.ticker as mticker
         index = [int(i) for i in range(1,21,1)]
         train_loss = history.history['loss']
         train acc = history.history['accuracy']
         val_loss = history.history['val_loss']
         val_acc = history.history['val_accuracy']
         fig, ax = plt.subplots(ncols = 2, figsize = (12,4))
         ax[0].xaxis.set_major_locator(mticker.MultipleLocator(1))
         sns.lineplot(x = index, y = train_loss, label = 'train_loss', ax = ax[0])
         sns.lineplot(x = index, y = val\_loss, label = 'validation loss', ax = ax[0])
         ax[0].set title('Losses for different epochs')
         ax[0].set_xlabel('Epoch')
         ax[0].set_ylabel('Loss')
         sns.lineplot(x = index, y = train_acc , label = 'train accuracy' , ax = ax[1])
         sns.lineplot(x = index, y = val_acc , label = 'validation accuracy' , ax = ax[1])
         ax[1].xaxis.set_major_locator(mticker.MultipleLocator(1))
         ax[1].set title('Accuracys for different epochs')
         ax[1].set_xlabel('Epoch')
         ax[1].set_ylabel('Accuracy')
```

Out[12]: Text(0, 0.5, 'Accuracy')



2.8) Finally, report the metric chosen on test set.

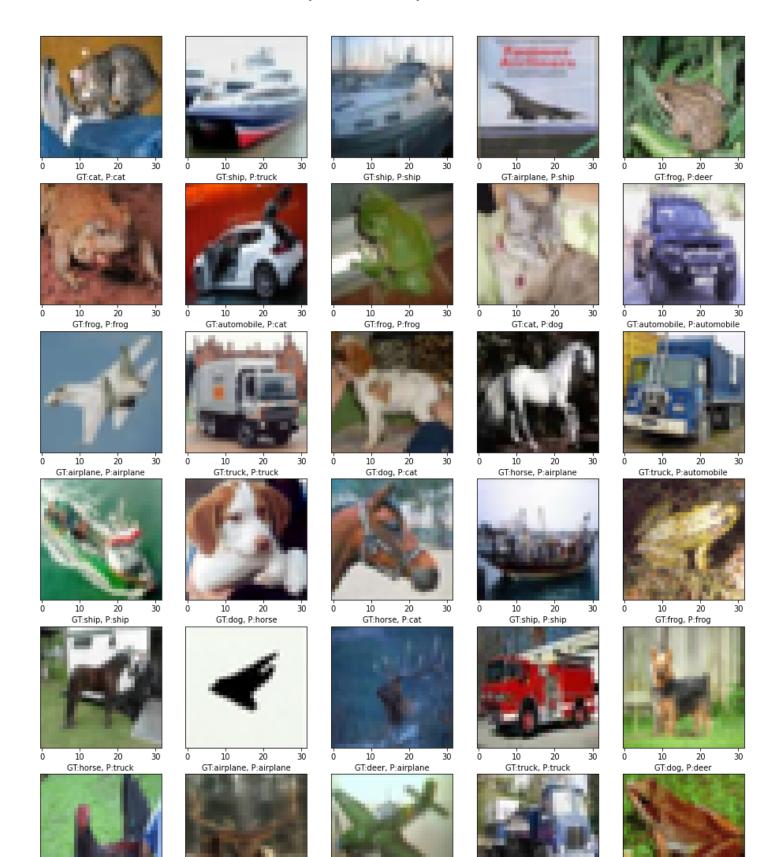
2.9 If the accuracy achieved is guite less(<50%), try improve the accuracy [Open ended question, you may try different approaches]

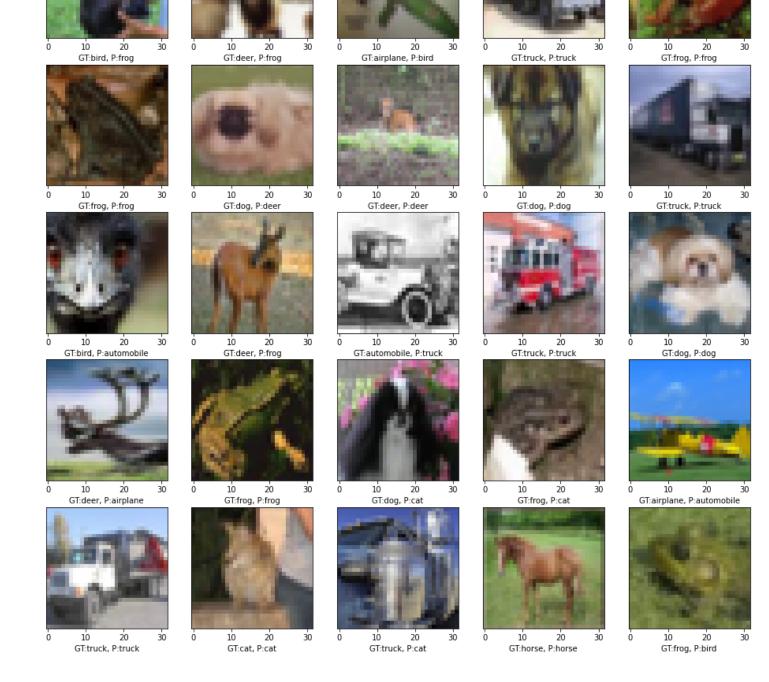
2.10 Plot the first 50 samples of test dataset on a 10*5 subplot and this time label the images with both the ground truth (GT) and predicted class (P). (Make sure you predict the class with the improved model)

```
In [15]: fig, ax = plt.subplots(nrows = 10, ncols = 5, figsize = (16,32))
    ax = ax.flatten()

predictions = np.argmax(model_method2.predict(x_test_flatten), axis = 1)
    true_labels = np.argmax(y_test, axis =1)
    for i in range(50):
        ax[i].imshow(x_test[i])
        ax[i].set_xlabel('GT:{}, P:{}'.format(LABELS[int(y_test[i])], LABELS[int(predictions[i])]))
        ax[i].get_yaxis().set_visible(False)
        st = fig.suptitle('Samples from development set', fontsize = 'x-large', fontweight = "bold")
        st.set_y(0.95)
        fig.subplots_adjust(top=0.925)
```

Samples from development set





PART 3 Convolutional Neural Network

In this part of the homework, we will build and train a classical convolutional neural network on the CIFAR Dataset

```
In [16]: from tensorflow.keras.datasets import cifar10
    (x_dev, y_dev), (x_test, y_test) = cifar10.load_data()
    print("x_dev: {},y_dev: {},x_test: {}".format(x_dev.shape, y_dev.shape, x_test.shape, y_test.shape)
    x_dev, x_test = x_dev.astype('float32'), x_test.astype('float32')
    x_dev = x_dev/255.0
    x_test = x_test/255.0

from sklearn.model_selection import train_test_split

X_train, X_val, y_train, y_val = train_test_split(x_dev, y_dev,test_size = 0.2, random_state = 42)
```

x_dev: (50000, 32, 32, 3),y_dev: (50000, 1),x_test: (10000, 32, 32, 3),y_test: (10000, 1)

- 3.1 We will be implementing the one of the first CNN models put forward by Yann LeCunn, which is commonly referred to as LeNet-5. The network has the following layers:
- 1) 2D convolutional layer with 6 filters, 5x5 kernel, stride of 1 padded to yield the same size as input, ReLU activation

- 2) Maxpooling layer of 2x2
- 3) 2D convolutional layer with 16 filters, 5x5 kernel, 0 padding, ReLU activation
- 4) Maxpooling layer of 2x2
- 5) 2D convolutional layer with 120 filters, 5x5 kernel, ReLU activation.
- 6) A fully connected layer with 84 units, ReLU activation
- 7) The output layer where each unit respresents the probability of image being in that category. What activation function should you use in this layer? (You should know this)

```
In [17]: from tensorflow.python.keras.models import Sequential
    import tensorflow as tf
    from tensorflow import keras
    from tensorflow.python.keras import layers
    from tensorflow.python.keras.layers import Conv2D, Dense, MaxPool2D, Dropout, Flatten
    from tensorflow.python.keras.layers import Conv2D, MaxPooling2D, Flatten, Dense
    cnn = Sequential()
    cnn.add(Conv2D(6, kernel_size = (5,5), strides=(1, 1),padding = 'same', activation = 'relu', input_shape = (
        cnn.add(MaxPooling2D(2,2))
    cnn.add(Conv2D(16, kernel_size = (5,5), padding = 'valid', activation = 'relu'))
    cnn.add(Conv2D(120, kernel_size = (5,5), activation = 'relu'))
    cnn.add(Flatten())
    cnn.add(Dense(84, activation = 'relu'))
    cnn.add(Dense(84, activation = 'relu'))
    cnn.add(Dense(10, activation = 'softmax'))
```

3.2 Report the model summary

In [18]: cnn.summary()

Model: "sequential_2"

Layer (type)	Output	Shape	Param #
conv2d (Conv2D)	(None,	32, 32, 6)	456
max_pooling2d (MaxPooling2D)	(None,	16, 16, 6)	0
conv2d_1 (Conv2D)	(None,	12, 12, 16)	2416
max_pooling2d_1 (MaxPooling2	(None,	6, 6, 16)	0
conv2d_2 (Conv2D)	(None,	2, 2, 120)	48120
flatten (Flatten)	(None,	480)	0
dense_8 (Dense)	(None,	84)	40404
dense_9 (Dense)	(None,	10)	850
Total params: 92,246 Trainable params: 92,246 Non-trainable params: 0			

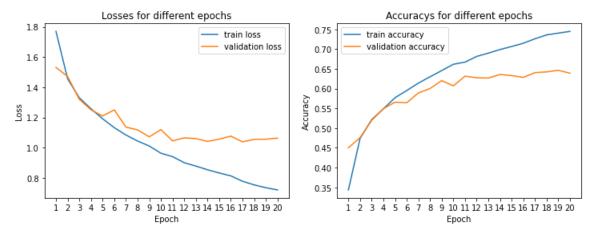
3.3 Model Training

- 1) Train the model for 20 epochs. In each epoch, record the loss and metric (chosen in part 3) scores for both train and validation sets.
- 2) Plot a separate plots for:
 - · displaying train vs validation loss over each epoch
 - · displaying train vs validation accuracy over each epoch
- 3) Report the model performance on the test set. Feel free to tune the hyperparameters such as batch size and optimizers to achieve better performance.

```
In [19]: cnn.compile(optimizer='adam',
                       loss = 'sparse categorical crossentropy',
                       metrics = ['accuracy'])
         history = cnn.fit(X_train, y_train, epochs = 20, batch_size= 128, verbose = 0, validation_data =(X_val, y_v
         index = [int(i) for i in range(1,21,1)]
         train loss = history.history['loss']
         train_acc = history.history['accuracy'
         val_loss = history.history['val_loss']
         val_acc = history.history['val_accuracy']
         fig, ax = plt.subplots(ncols = 2, figsize = (12,4))
         ax[0].xaxis.set_major_locator(mticker.MultipleLocator(1))
         sns.lineplot(x = index, y = train_loss , label = 'train loss' , ax = ax[0])
         sns.lineplot(x = index, y = val_loss, label = 'validation loss', ax = ax[0])
         ax[0].set_title('Losses for different epochs')
         ax[0].set_xlabel('Epoch')
         ax[0].set_ylabel('Loss')
         sns.lineplot(x = index, y = train_acc , label = 'train accuracy' , ax = ax[1])
         sns.lineplot(x = index, y = val_acc , label = 'validation accuracy' , ax = ax[1])
         ax[1].xaxis.set_major_locator(mticker.MultipleLocator(1))
         ax[1].set_title('Accuracys for different epochs')
         ax[1].set_xlabel('Epoch')
         ax[1].set_ylabel('Accuracy')
         cnn.evaluate(x_test, y_test)
```

313/313 [===============] - 1s 3ms/step - loss: 1.0534 - accuracy: 0.6485

Out[19]: [1.0534288883209229, 0.6485000252723694]



3.4 Overfitting

1) To overcome overfitting, we will train the network again with dropout this time. For hidden layers use dropout probability of 0.3. Train the model again for 20 epochs. Report model performance on test set.

Plot a separate plots for:

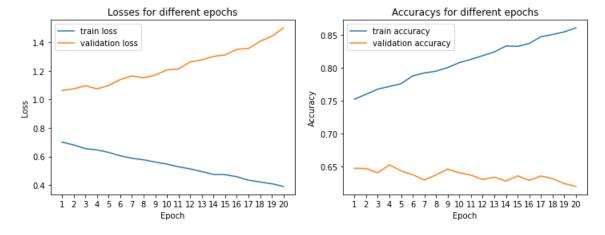
- displaying train vs validation loss over each epoch
- · displaying train vs validation accuracy over each epoch
- 2) This time, let's apply a batch normalization after every hidden layer, train the model for 20 epochs, report model performance on test set as above.

Plot a separate plots for:

- · displaying train vs validation loss over each epoch
- · displaying train vs validation accuracy over each epoch
- 3) Compare batch normalization technique with the original model and with dropout, which technique do you think helps with overfitting better?

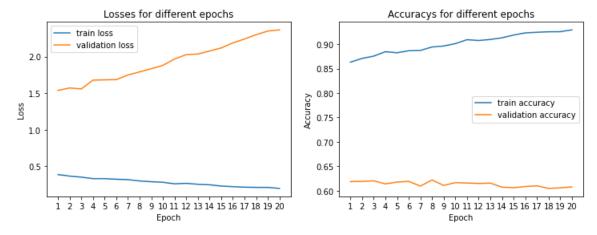
```
In [20]: # 1)
         from tensorflow.python.keras.layers import Dropout
         cnn_drop = Sequential()
         cnn_drop.add(Conv2D(6, kernel_size = (5,5), strides=(1, 1),\
                       padding = 'same', activation = 'relu', input_shape = (32,32,3)))
         cnn drop.add(MaxPooling2D(2,2))
         cnn_drop.add(Dropout(0.3))
         cnn_drop.add(Conv2D(16, kernel_size = (5,5), padding = 'valid', activation = 'relu'))
         cnn_drop.add(MaxPooling2D(2,2))
         cnn_drop.add(Dropout(0.3))
         cnn drop.add(Conv2D(120, kernel size = (5,5), activation = 'relu'))
         cnn_drop.add(Flatten())
         cnn_drop.add(Dropout(0.3))
         cnn_drop.add(Dense(84, activation = 'relu'))
         cnn_drop.add(Dropout(0.3))
         cnn_drop.add(Dense(10, activation = 'softmax'))
         cnn.compile(optimizer='adam',
                       loss = 'sparse_categorical_crossentropy',
                       metrics = ['accuracy'])
         history = cnn.fit(X_train, y_train, epochs = 20, batch_size= 128, verbose = 0, validation_data =(X_val, y_v
         index = [int(i) for i in range(1,21,1)]
         train_loss = history.history['loss']
         train_acc = history.history['accuracy']
         val_loss = history.history['val_loss']
         val_acc = history.history['val_accuracy']
         fig, ax = plt.subplots(ncols = 2, figsize = (12,4))
         ax[0].xaxis.set_major_locator(mticker.MultipleLocator(1))
         sns.lineplot(x = index, y = train_loss, label = 'train_loss', ax = ax[0])
         sns.lineplot(x = index, y = val_loss, label = 'validation loss', ax = ax[0])
         ax[0].set_title('Losses for different epochs')
         ax[0].set_xlabel('Epoch')
         ax[0].set_ylabel('Loss')
         sns.lineplot(x = index, y = train_acc , label = 'train accuracy' , ax = ax[1])
         sns.lineplot(x = index, y = val_acc , label = 'validation accuracy' , ax = ax[1])
         ax[1].xaxis.set_major_locator(mticker.MultipleLocator(1))
         ax[1].set_title('Accuracys for different epochs')
         ax[1].set_xlabel('Epoch')
         ax[1].set_ylabel('Accuracy')
```

Out[20]: Text(0, 0.5, 'Accuracy')



```
In [21]: # 2)
         from tensorflow.keras.layers import BatchNormalization
         cnn_drop = Sequential()
         cnn_drop.add(Conv2D(6, kernel_size = (5,5), strides=(1, 1),\
                       padding = 'same', activation = 'relu', input_shape = (32,32,3)))
         cnn drop.add(MaxPooling2D(2,2))
         cnn drop.add(BatchNormalization())
         cnn drop.add(Conv2D(16, kernel_size = (5,5), padding = 'valid', activation = 'relu'))
         cnn_drop.add(MaxPooling2D(2,2))
         cnn_drop.add(BatchNormalization())
         cnn drop.add(Conv2D(120, kernel size = (5,5), activation = 'relu'))
         cnn drop.add(BatchNormalization())
         cnn_drop.add(Flatten())
         cnn_drop.add(Dense(84, activation = 'relu'))
         cnn_drop.add(BatchNormalization())
         cnn_drop.add(Dense(10, activation = 'softmax'))
         cnn.compile(optimizer='adam',
                       loss = 'sparse_categorical_crossentropy',
                       metrics = ['accuracy'])
         history = cnn.fit(X_train, y_train, epochs = 20, batch_size= 128, verbose = 0, validation_data =(X_val, y_v
         index = [int(i) for i in range(1,21,1)]
         train_loss = history.history['loss']
         train_acc = history.history['accuracy']
         val_loss = history.history['val_loss']
         val_acc = history.history['val_accuracy']
         fig, ax = plt.subplots(ncols = 2, figsize = (12,4))
         ax[0].xaxis.set_major_locator(mticker.MultipleLocator(1))
         sns.lineplot(x = index, y = train_loss, label = 'train_loss', ax = ax[0])
         sns.lineplot(x = index, y = val_loss, label = 'validation loss', ax = ax[0])
         ax[0].set_title('Losses for different epochs')
         ax[0].set_xlabel('Epoch')
         ax[0].set_ylabel('Loss')
         sns.lineplot(x = index, y = train_acc , label = 'train accuracy' , ax = ax[1])
         sns.lineplot(x = index, y = val_acc , label = 'validation accuracy' , ax = ax[1])
         ax[1].xaxis.set_major_locator(mticker.MultipleLocator(1))
         ax[1].set_title('Accuracys for different epochs')
         ax[1].set_xlabel('Epoch')
         ax[1].set_ylabel('Accuracy')
```

Out[21]: Text(0, 0.5, 'Accuracy')



In []: # 3)

In my plots, the dropout helps better with overfitting, however, i can still observe overfitting on my 2 plo the losses of the second plot is larger than the first one and the accurary of validation accuracy is also 1