# Direct Collocation for Quantum Optimal Control

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#### Abstract

We present an adaptation of the *direct collocation* trajectory optimization method for problems in quantum optimal control (QOC). This approach addresses several limitations of standard methods, including the ability to solve minimum time problems, a crucial objective for realizing high-performance quantum computers. We demonstrate that this approach leads to improved performance on simulated systems as well as on nascent hardware devices, compared to other existing methods. To the best of our knowledge, this is the first time that direct collocation, which is commonplace in the field of robotic control, has been applied to QOC.

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### 1 Introduction

Controlling quantum systems is in principle the problem of optimizing over the space of quantum state trajectories given the ability to control, over an interval of time, certain terms in the time-dependent Hamiltonian describing the system. We will consider time-dependent Hamiltonians of the form

$$H(\mathbf{a}(t),t) = H_0 + \sum_{i} a^i(t)H_i, \tag{1}$$

where  $t \in [0, T]$ ,  $\mathbf{a}(t) \in \mathbb{R}^m$  is the control trajectory, referred to as the *pulse*,  $H_0$  is the system's drift term, and  $H_i$  are the drive terms.

There are typically three flavors of QOC problems, corresponding to three types of states:

• Pure quantum states  $\psi(t)$ : Minimize the infidelity between the final state  $\psi(T)$  and the goal state  $\psi_{\text{goal}}$ 

$$\ell(\psi(T)) = 1 - |\langle \psi(T) | \psi_{\text{goal}} \rangle|^2, \tag{2}$$

where  $\psi(0) = \psi_{\text{init}}$  and  $\psi(t)$  satisfies the Schröedinger equation

$$\dot{\psi} = -iH(\mathbf{a}(t), t)\psi. \tag{3}$$

• Mixed quantum states or density matrices  $\rho(t)$ : Minimize the infidelity or trace distance between the final state  $\rho(T)$  and the goal state  $\rho_{\text{goal}}$ :

$$\ell(\rho(T)) = 1 - \left( \operatorname{tr} \sqrt{\rho(T)\rho_{\text{goal}}} \right)^2 \quad \text{or} \quad \ell(\rho(T)) = \frac{1}{2} \|\rho(T) - \rho_{\text{goal}}\|_{\text{tr}}, \tag{4}$$

respectively. Here  $\rho(0) = \rho_{\text{init}}$  and  $\rho(t)$  satisfies the von Neumann equation

$$\dot{\rho} = -i[H(\mathbf{a}(t), t), \rho]. \tag{5}$$

• Unitary operators U(t): Minimize the infidelity or trace distance between the final state U(T) and the goal state  $U_{goal}$ :

$$\ell(U(T)) = 1 - \left( \operatorname{tr} \sqrt{U(T)^{\dagger} U_{\text{goal}}} \right)^{2} \quad \text{or} \quad \ell(U(T)) = \frac{1}{2} \|U(T) - U_{\text{goal}}\|_{\text{tr}}, \tag{6}$$

respectively. Here U(0) = I and U(t) satisfies the Schröedinger equation

$$\dot{U} = -iH(\mathbf{a}(t), t)U. \tag{7}$$

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