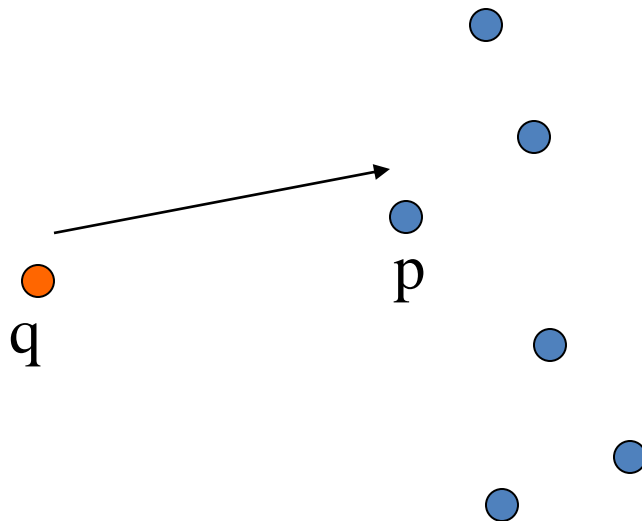


# Classification Using K-Nearest Neighbor

# Nearest Neighbor and Exemplar

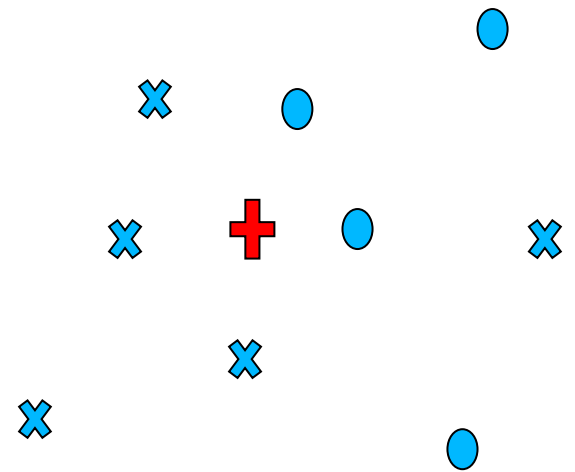
# Nearest Neighbor Search

- Given: a set  $P$  of  $n$  points in  $R^d$
- Goal: a data structure, which given a query point  $q$ , finds the *nearest neighbor*  $p$  of  $q$  in  $P$



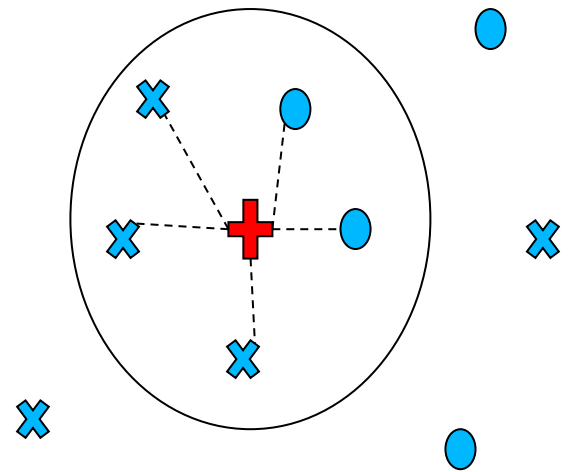
# K-NN

K=5



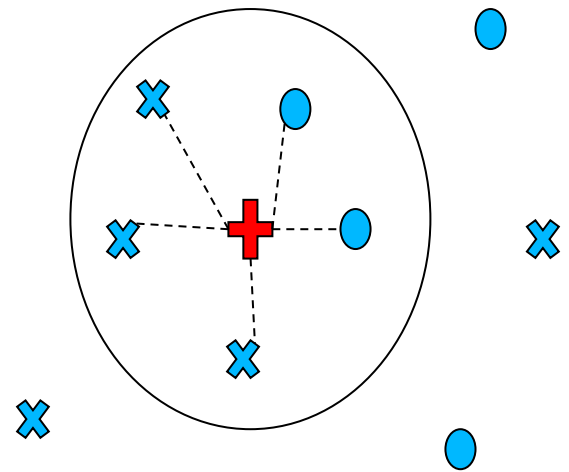
# K-NN

- Select 5 Nearest Neighbors as Value of  $K=5$  by Taking their Euclidean Distances



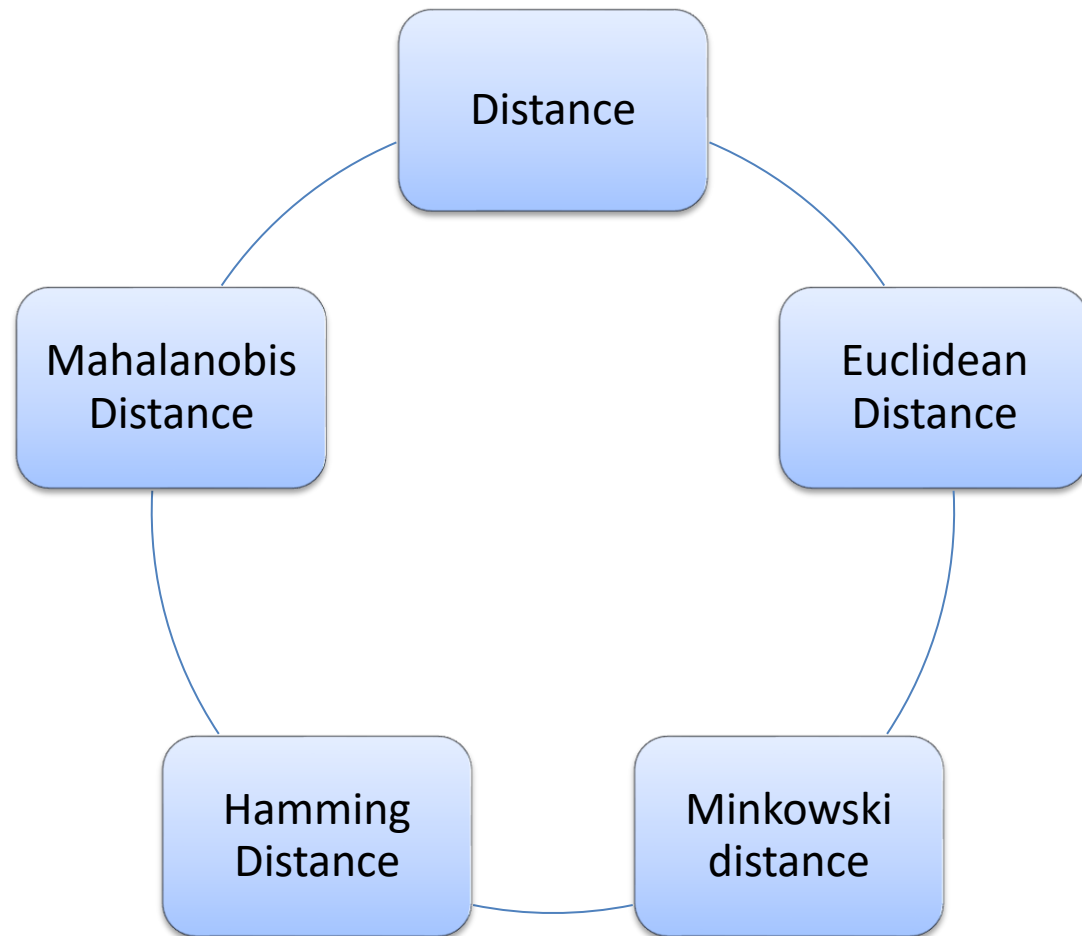
# K-NN

- Decide if majority of Instances over a given value of K Here,  $K=5$ .



# Distances

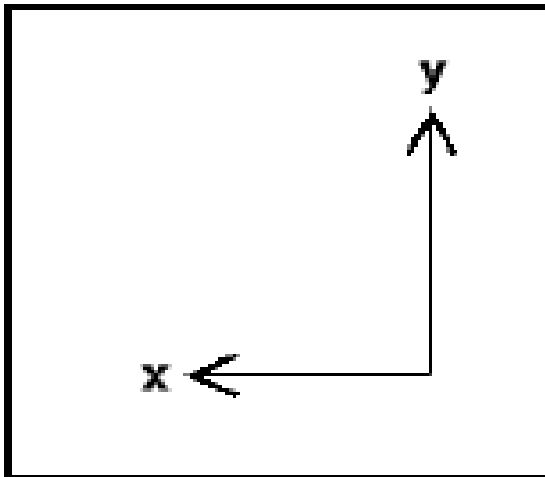
- Distance are used to measure similarity
- There are many ways to measure the distance s between two instances



# Distances

- Manhattan Distance

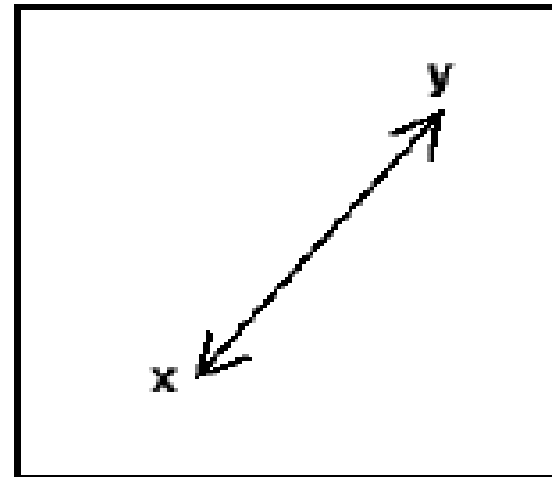
$$|X1-X2| + |Y1-Y2|$$



**Manhattan**

- Euclidean Distance

$$\sqrt{(x1 - x2)^2 + (y1 - y2)^2}$$



**Euclidean**



# Minkowski Distance

- Minkowski Distance is a generalization of Euclidean Distance

$$dist = \sum_{k=1}^p |a_k - b_k|^r$$

Where  $r$  is a parameter,  $p$  is the number of dimensions (attributes) and  $a_k$  and  $b_k$  are, respectively, the  $k$ -th attributes (components) or data objects  $a$  and  $b$

# Minkowski Distance: Examples

- $r = 1$ . City block (Manhattan, taxicab,  $L_1$  norm) distance.
  - ▣ A common example of this is the Hamming distance, which is just the number of bits that are different between two binary vectors
- $r = 2$ . Euclidean distance
- $r \rightarrow \infty$ . “supremum” ( $L_{max}$  norm,  $L_\infty$  norm) distance.
  - ▣ This is the maximum difference between any component of the vectors
- Do not confuse  $r$  with  $p$ , i.e., all these distances are defined for all numbers of dimensions.

# Cosine Similarity

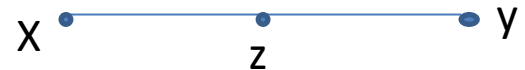
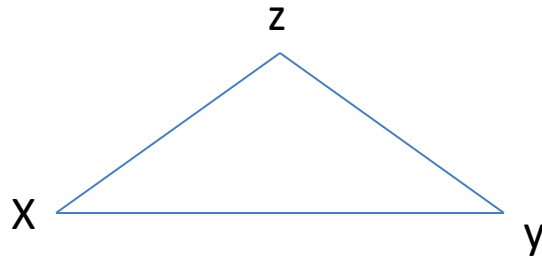
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□  $\cos(d_1, d_2) = \begin{cases} 1: \text{exactly the same} \\ 0: \text{orthogonal} \\ -1: \text{exactly opposite} \end{cases}$

# Properties of Distance

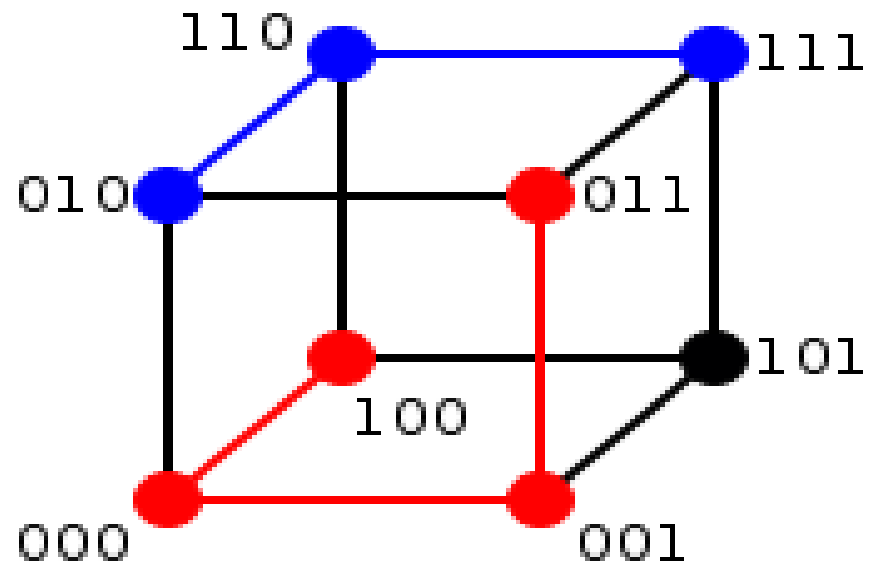
- $\text{Dist}(x,y) \geq 0$
- $\text{Dist}(x,y) = \text{Dist}(y,x)$  are Symmetric
- Detours can not Shorten Distance

$$\text{Dist}(x,z) \leq \text{Dist}(x,y) + \text{Dist}(y,z)$$



# Distance

## Hamming Distance



# Distances Measure

- Distance Measure – What does it mean “Similar”?
- Minkowski Distance

- Norm: 
$$d(x, y) = \|x - y\|_m = \left[ \sum_{i=1}^N (x_i - y_i)^m \right]^{1/m}$$

- Chebyshev Distance
- Mahalanobis distance:

$$d(x, y) = |x - y|^T S_{xy}^{-1} |x - y|$$

# Example

Points	X1 (Acid Durability )	X2(strength)	Y=Classification
P1	7	7	BAD
P2	7	4	BAD
P3	3	4	GOOD
P4	1	4	GOOD

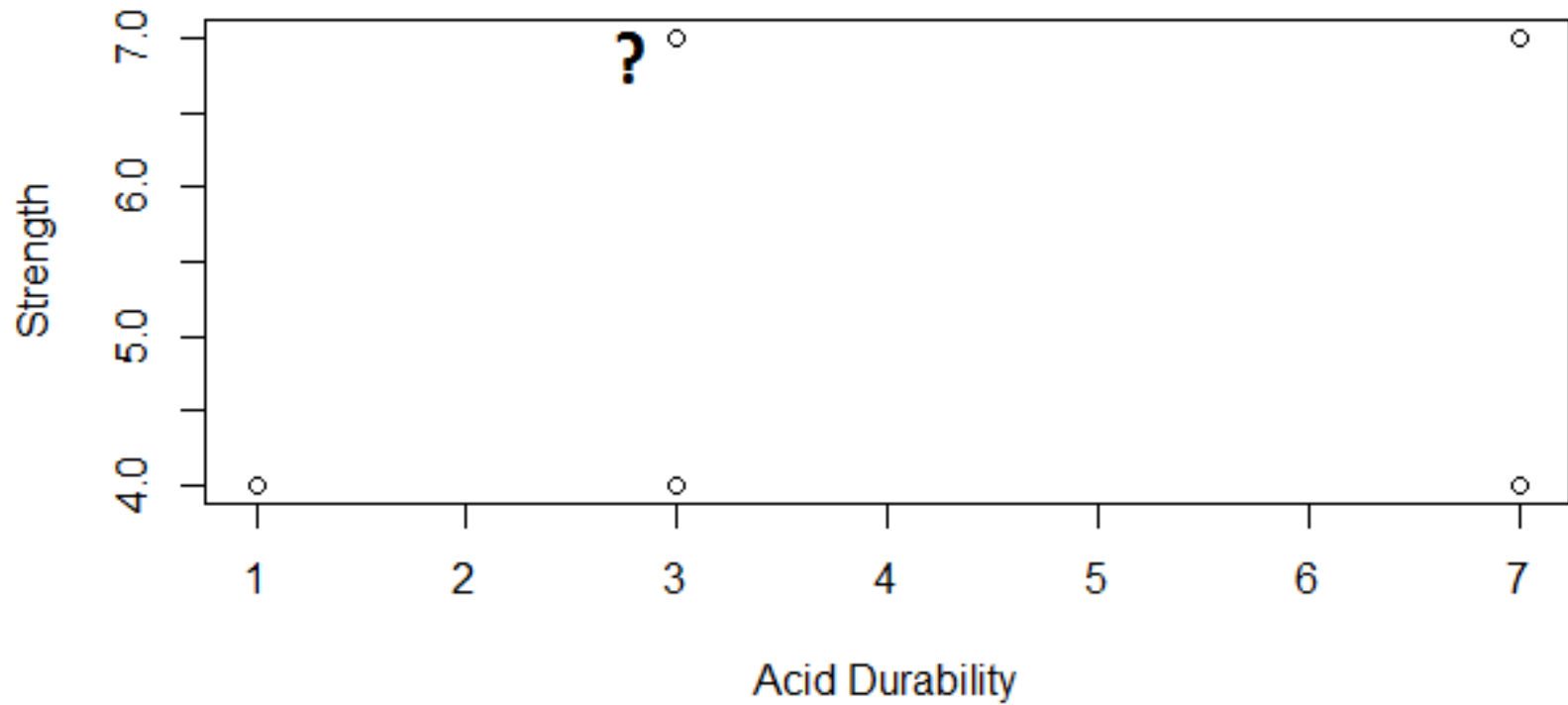
# KNN Example

Points	X1(Acid Durability)	X2(Strength)	Y(Classification)
P1	7	7	BAD
P2	7	4	BAD
P3	3	4	GOOD
P4	1	4	GOOD
P5	3	7	?



# Scatter Plot

Scatter plot



# Euclidean Distance From Each Point

KNN				
Euclidean Distance of P5(3,7) from	P1	P2	P3	P4
	(7,7)	(7,4)	(3,4)	(1,4)
	$\text{Sqrt}((7-3)^2 + (7-7)^2) = \sqrt{16}$ $= 4$	$\text{Sqrt}((7-3)^2 + (4-7)^2) = \sqrt{25}$ $= 5$	$\text{Sqrt}((3-3)^2 + (4-7)^2) = \sqrt{9}$ $= 3$	$\text{Sqrt}((1-3)^2 + (4-7)^2) = \sqrt{13}$ $= 3.60$

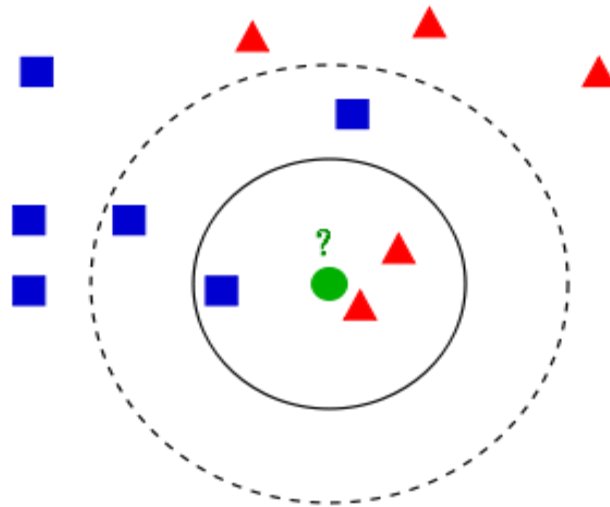
# 3 Nearest NeighBour

	P1	P2	P3	P4
Euclidean Distance of P5(3,7) from	(7,7)	(7,4)	(3,4)	(1,4)
	$\text{Sqrt}((7-3)^2 + (7-7)^2) = \sqrt{16} = 4$	$\text{Sqrt}((7-3)^2 + (4-7)^2) = \sqrt{25} = 5$	$\text{Sqrt}((3-3)^2 + (4-7)^2) = \sqrt{9} = 3$	$\text{Sqrt}((1-3)^2 + (4-7)^2) = \sqrt{13} = 3.60$
Class	BAD	BAD	GOOD	GOOD

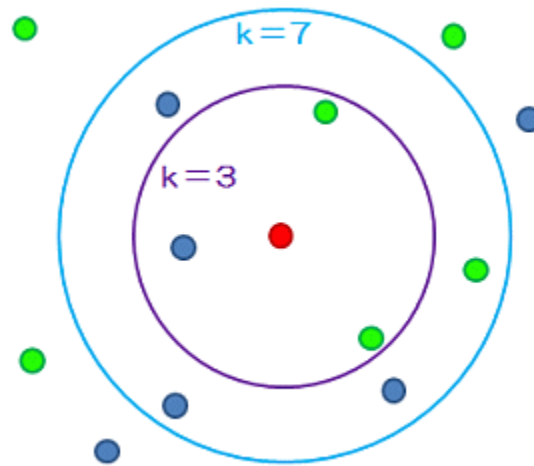
# KNN Classification

Points	X1(Durability)	X2(Strength)	Y(Classification)
P1	7	7	BAD
P2	7	4	BAD
P3	3	4	GOOD
P4	1	4	GOOD
P5	3	7	GOOD

# Variation In KNN

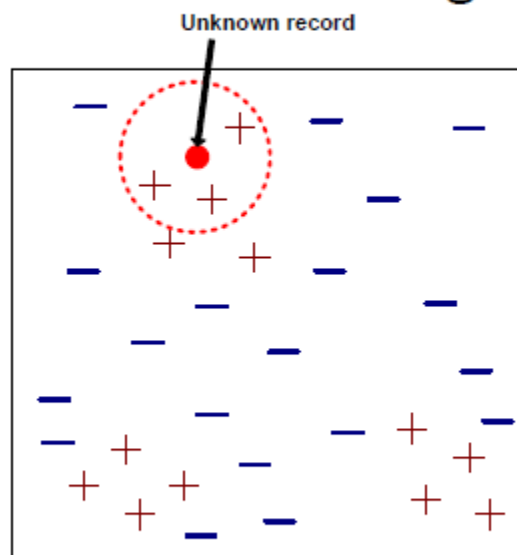


# Different Values of K



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## Nearest-Neighbor Classifiers



- | Requires three things
  - The set of stored records
  - Distance Metric to compute distance between records
  - The value of  $k$ , the number of nearest neighbors to retrieve
- | To classify an unknown record:
  - Compute distance to other training records
  - Identify  $k$  nearest neighbors
  - Use class labels of nearest neighbors to determine the class label of unknown record (e.g., by taking majority vote)

## The KNN classification algorithm

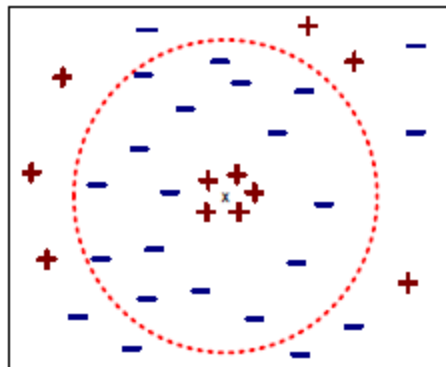
Let  $k$  be the number of nearest neighbors and  $D$  be the set of training examples.

1. **for** each test example  $z = (\mathbf{x}', y')$  **do**
2.     Compute  $d(\mathbf{x}', \mathbf{x})$ , the distance between  $z$  and every example,  $(\mathbf{x}, y) \in D$
3.     Select  $D_z \subseteq D$ , the set of  $k$  closest training examples to  $z$ .
4.      $y' = \operatorname{argmax}_v \sum_{(x_i, y_i) \in D_z} I(v = y_i)$
5. **end for**



## Nearest Neighbor Classification...

- Choosing the value of  $k$ :
  - If  $k$  is too small, sensitive to noise points
  - If  $k$  is too large, neighborhood may include points from other classes



## Nearest Neighbor Classification...

- Scaling issues
  - Attributes may have to be scaled to prevent distance measures from being dominated by one of the attributes
  - Example:
    - height of a person may vary from 1.5m to 1.8m
    - weight of a person may vary from 60 KG to 100KG
    - income of a person may vary from Rs10K to Rs 2 Lakh

## Nearest Neighbor Classification...

- Problem with Euclidean measure:
  - High dimensional data
    - **curse of dimensionality**: all vectors are almost equidistant to the query vector
  - Can produce undesirable results

1 1 1 1 1 1 1 1 1 1 0

vs

1 0 0 0 0 0 0 0 0 0 0

0 1 1 1 1 1 1 1 1 1 1

0 0 0 0 0 0 0 0 0 0 1

$d = 1.4142$

$d = 1.4142$

◆ Solution: Normalize the vectors to unit length

## Nearest neighbor Classification...

- k-NN classifiers are lazy learners
  - It does not build models explicitly
  - Unlike eager learners such as decision tree induction and rule-based systems
  - Classifying unknown records are relatively expensive

## Choosing appropriate $k$

- Deciding how many neighbors to use for kNN determines how well the model will generalize to future data.
- The balance between overfitting and underfitting the training data is a problem known as the bias-variance tradeoff.
- Choosing a large  $k$  reduces the impact or variance caused by noisy data, but can bias the learner such that it runs the risk of ignoring small, but important patterns.

## Choosing appropriate k

- In practice, choosing k depends on the difficulty of the concept to be learned and the number of records in the training data.
- Typically, k is set somewhere between 3 and 10. One common practice is to set k equal to the square root of the number of training examples.
- In the classifier, we might set  $k = 4$ , because there were 15 example ingredients in the training data and the square root of 15 is 3.87.

# The kNN Algorithm

Strengths	Weaknesses
<ul style="list-style-type: none"><li>• Simple and effective</li><li>• Makes no assumptions about the underlying data distribution</li><li>• Fast training phase</li></ul>	<ul style="list-style-type: none"><li>• Does not produce a model, which limits the ability to find novel insights in relationships among features</li><li>• Slow classification phase</li><li>• Requires a large amount of memory</li><li>• Nominal features and missing data require additional processing</li></ul>