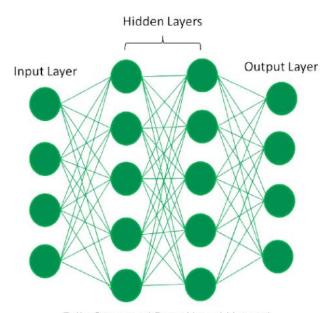
Common Architectural Principles of Deep Networks

Deep Learning is transforming the way machines understand, learn, and interact with complex data. Deep learning mimics neural networks of the human brain, it enables computers to autonomously uncover patterns and make informed decisions from vast amounts of unstructured data.

How Deep Learning Works?

Neural network consists of layers of interconnected nodes, or neurons, that collaborate to process input data. In a **fully connected deep neural network**, data flows through multiple layers, where each neuron performs nonlinear transformations, allowing the model to learn intricate representations of the data.

In a deep neural network, the **input layer** receives data, which passes through **hidden layers** that transform the data using nonlinear functions. The final **output layer** generates the model's prediction.



Fully Connected Deep Neural Network

Deep Learning in Machine Learning Paradigms

- Supervised Learning: Neural networks learn from labeled data to predict or classify, using algorithms like CNNs and RNNs for tasks such as image recognition and language translation.
- Unsupervised Learning: Neural networks identify patterns in unlabeled data, using techniques like Autoencoders and Generative Models for tasks like clustering and anomaly detection.
- **Reinforcement Learning**: An agent learns to make decisions by maximizing rewards, with algorithms like DQN and DDPG applied in areas like robotics and game playing.

Difference between Machine Learning and Deep Learning

Machine learning and Deep Learning both are subsets of artificial intelligence but there are many similarities and differences between them.

Machine Learning	Deep Learning
Apply statistical algorithms to learn the hidden patterns and relationships in the dataset.	Uses artificial neural network architecture to learn the hidden patterns and relationships in the dataset.
Can work on the smaller amount of dataset	Requires the larger volume of dataset compared to machine learning
Better for the low-label task.	Better for complex task like image processing, natural language processing, etc.
Takes less time to train the model.	Takes more time to train the model.
A model is created by relevant features which are manually extracted from images to detect an object in the image.	Relevant features are automatically extracted from images. It is an end-to-end learning process.
Less complex and easy to interpret the result.	More complex, it works like the black box interpretations of the result are not easy.
It can work on the CPU or requires less computing power as compared to deep learning.	It requires a high-performance computer with GPU.

Evolution of Neural Architectures

The journey of deep learning began with the **perceptron**, a single-layer neural network introduced in the 1950s. While innovative, perceptrons could only solve linearly separable problems, failing at more complex tasks like the XOR problem.

This limitation led to the development of **Multi-Layer Perceptrons (MLPs)**. It introduced hidden layers and non-linear activation functions. MLPs, trained using **backpropagation**, could model complex, non-linear relationships, marking a significant leap in neural network capabilities.

This evolution from perceptrons to MLPs laid the groundwork for advanced architectures like CNNs and RNNs, showcasing the power of layered structures in solving real-world problems.

Types of neural networks

- **1. Feedforward neural networks (FNNs)** are the simplest type of ANN, where data flows in one direction from input to output. It is used for basic tasks like classification.
- **2. Convolutional Neural Networks (CNNs)** are specialized for processing grid-like data, such as images. CNNs use convolutional layers to detect spatial hierarchies, making them ideal for computer vision tasks.
- **3. Recurrent Neural Networks (RNNs)** are able to process sequential data, such as time series and natural language. RNNs have loops to retain information over time, enabling applications like language modeling and speech recognition. Variants like LSTMs and GRUs address vanishing gradient issues.
- **4. Generative Adversarial Networks (GANs)** consist of two networks—a generator and a discriminator—that compete to create realistic data. GANs are widely used for image generation, style transfer, and data augmentation.
- **5. Autoencoders** are unsupervised networks that learn efficient data encodings. They compress input data into a latent representation and reconstruct it, useful for dimensionality reduction and anomaly detection.
- **6. Transformer Networks** has revolutionized NLP with self-attention mechanisms. Transformers excel at tasks like translation, text generation, and sentiment analysis, powering models like GPT and BERT.

Deep Learning Applications

1. Computer vision

In computer vision, deep learning models enable machines to identify and understand visual data. Some of the main applications of deep learning in computer vision include:

- **Object detection and recognition:** Deep learning models are used to identify and locate objects within images and videos, making it possible for machines to perform tasks such as self-driving cars, surveillance, and robotics.
- Image classification: Deep learning models can be used to classify images into categories such as animals, plants, and buildings. This is used in applications such as medical imaging, quality control, and image retrieval.
- **Image segmentation:** Deep learning models can be used for image segmentation into different regions, making it possible to identify specific features within images.

2. Natural language processing (NLP)

In NLP, deep learning model enable machines to understand and generate human language. Some of the main applications of deep learning in NLP include:

• **Automatic Text Generation:** Deep learning model can learn the corpus of text and new text like summaries, essays can be automatically generated using these trained models.

- Language translation: Deep learning models can translate text from one language to another, making it possible to communicate with people from different linguistic backgrounds.
- **Sentiment analysis:** Deep learning models can analyze the sentiment of a piece of text, making it possible to determine whether the text is positive, negative, or neutral.
- Speech recognition: Deep learning models can recognize and transcribe spoken words, making it possible to perform tasks such as speech-to-text conversion, voice search, and voice-controlled devices.

3. Reinforcement learning

In reinforcement learning, deep learning works as training agents to take action in an environment to maximize a reward. Some of the main applications of deep learning in reinforcement learning include:

- **Game playing:** Deep reinforcement learning models have been able to beat human experts at games such as Go, Chess, and Atari.
- **Robotics:** Deep reinforcement learning models can be used to train robots to perform complex tasks such as grasping objects, navigation, and manipulation.
- **Control systems:** Deep reinforcement learning models can be used to control complex systems such as power grids, traffic management, and supply chain optimization.

Challenges in Deep Learning

Deep learning has made significant advancements in various fields, but there are still some challenges that need to be addressed. Here are some of the main challenges in deep learning:

- 1. **Data availability**: It requires large amounts of data to learn from. For using deep learning it's a big concern to gather as much data for training.
- 2. **Computational Resources**: For training the deep learning model, it is computationally expensive because it requires specialized hardware like GPUs and TPUs.
- 3. **Time-consuming:** While working on sequential data depending on the computational resource it can take very large even in days or months.
- 4. **Interpretability:** Deep learning models are complex, it works like a black box. it is very difficult to interpret the result.
- 5. **Overfitting:** when the model is trained again and again, it becomes too specialized for the training data, leading to overfitting and poor performance on new data.

Advantages of Deep Learning

- 1. **High accuracy:** Deep Learning algorithms can achieve state-of-the-art performance in various tasks, such as image recognition and natural language processing.
- 2. **Automated feature engineering:** Deep Learning algorithms can automatically discover and learn relevant features from data without the need for manual feature engineering.
- 3. **Scalability:** Deep Learning models can scale to handle large and complex datasets, and can learn from massive amounts of data.

- 4. **Flexibility:** Deep Learning models can be applied to a wide range of tasks and can handle various types of data, such as images, text, and speech.
- 5. **Continual improvement:** Deep Learning models can continually improve their performance as more data becomes available.

Disadvantages of Deep Learning

- 1. **High computational requirements:** Deep Learning AI models require large amounts of data and computational resources to train and optimize.
- 2. **Requires large amounts of labeled data**: Deep Learning models often require a large amount of labeled data for training, which can be expensive and time- consuming to acquire.
- 3. **Interpretability:** Deep Learning models can be challenging to interpret, making it difficult to understand how they make decisions.
 - **Overfitting:** Deep Learning models can sometimes overfit to the training data, resulting in poor performance on new and unseen data.
- 4. **Black-box nature**: Deep Learning models are often treated as black boxes, making it difficult to understand how they work and how they arrived at their predictions.

NEURAL NETWORKS

Neural networks are machine learning models that mimic the complex functions of the human brain. These models consist of interconnected nodes or neurons that process data, learn patterns, and enable tasks such as pattern recognition and decision-making.

Understanding Neural Networks in Deep Learning

Neural networks are capable of learning and identifying patterns directly from data without predefined rules. These networks are built from several key components:

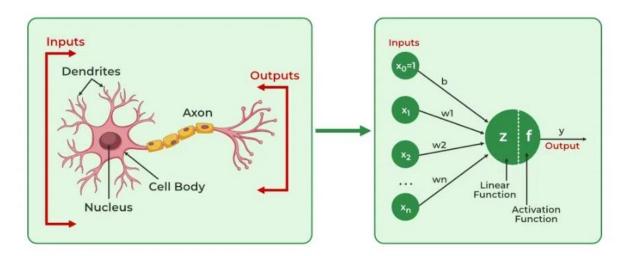
- 1. **Neurons**: The basic units that receive inputs, each neuron is governed by a threshold and an activation function.
- 2. **Connections**: Links between neurons that carry information, regulated by weights and biases.
- 3. **Weights and Biases**: These parameters determine the strength and influence of connections.
- 4. **Propagation Functions**: Mechanisms that help process and transfer data across layers of neurons.
- 5. Learning Rule: The method that adjusts weights and biases over time to improve accuracy.

Learning in neural networks follows a structured, three-stage process:

- 1. **Input Computation**: Data is fed into the network.
- 2. Output Generation: Based on the current parameters, the network generates an output.
- 3. **Iterative Refinement**: The network refines its output by adjusting weights and biases, gradually improving its performance on diverse tasks.

In an adaptive learning environment:

- The neural network is exposed to a simulated scenario or dataset.
- Parameters such as weights and biases are updated in response to new data or conditions.
- With each adjustment, the network's response evolves, allowing it to adapt effectively to different tasks or environments.



The image illustrates the analogy between a biological neuron and an artificial neuron, showing how inputs are received and processed to produce outputs in both systems.

Importance of Neural Networks

Neural networks are pivotal in identifying complex patterns, solving intricate challenges, and adapting to dynamic environments. Their ability to learn from vast amounts of data is transformative, impacting technologies like **natural language processing**, **self-driving vehicles**, and **automated decision-making**.

Neural networks streamline processes, increase efficiency, and support decision-making across various industries. As a backbone of artificial intelligence, they continue to drive innovation, shaping the future of technology.

Evolution of Neural Networks

Neural networks have undergone significant evolution since their inception in the mid-20th century. Here's a concise timeline of the major developments in the field:

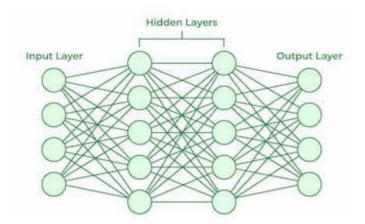
- 1940s-1950s: The concept of neural networks began with McCulloch and Pitts' introduction
 of the first mathematical model for artificial neurons. However, the lack of computational
 power during that time posed significant challenges to further advancements.
- 1960s-1970s: Frank Rosenblatt's worked on perceptrons. Perceptrons are simple single-layer networks that can solve linearly separable problems, but can not perform complex tasks.
- **1980s:** The development of **backpropagation** by Rumelhart, Hinton, and Williams revolutionized neural networks by <u>enabling the training of multi-layer networks</u>. This period also saw the rise of connectionism, emphasizing learning through interconnected nodes.
- **1990s:** Neural networks experienced a surge in popularity with applications across image recognition, finance, and more. However, this growth was tempered by a period known as

the "Al winter," during which high computational costs and unrealistic expectations dampened progress.

- **2000s:** A resurgence was triggered by the availability of larger datasets, advances in computational power, and innovative network architectures. Deep learning, utilizing multiple layers, proved highly effective across various domains.
- 2010s-Present: The landscape of machine learning has been dominated by deep learning models such as convolutional neural networks (CNNs) and recurrent neural networks (RNNs).

Layers in Neural Network Architecture

- 1. **Input Layer:** This is where the network receives its input data. Each input neuron in the layer corresponds to a feature in the input data.
- 2. **Hidden Layers:** These layers perform most of the computational heavy lifting. A neural network can have one or multiple hidden layers. Each layer consists of units (neurons) that transform the inputs into something that the output layer can use.
- 3. **Output Layer:** The final layer produces the output of the model. The format of these outputs varies depending on the specific task (e.g., classification, regression).



Working of Neural Networks

Forward Propagation

When data is input into the network, it passes through the network in the forward direction, from the input layer through the hidden layers to the output layer. This process is known as forward propagation. Here's what happens during this phase:

- 1. **Linear Transformation:** Each neuron in a layer receives inputs, which are multiplied by the weights associated with the connections. These products are summed together, and a bias is added to the sum. This can be represented mathematically as: z=w1x1+w2x2+...+wnxn+bz=w1x1+w2x2+...+wnxn+b where ww represents the weights, xx represents the inputs, and bb is the bias.
- 2. **Activation:** The result of the linear transformation (denoted as zz) is then passed through an activation function. The activation function is crucial because it introduces non-linearity into the system, enabling the network to learn more complex patterns. Popular activation functions include ReLU, sigmoid, and tanh.

Backpropagation

After forward propagation, the network evaluates its performance using a loss function, which measures the difference between the actual output and the predicted output. The goal of training is to minimize this loss. This is where backpropagation comes into play:

- 1. **Loss Calculation:** The network calculates the loss, which provides a measure of error in the predictions. The loss function could vary; common choices are mean squared error for regression tasks or cross-entropy loss for classification.
- 2. **Gradient Calculation:** The network computes the gradients of the loss function with respect to each weight and bias in the network. This involves applying the chain rule of calculus to find out how much each part of the output error can be attributed to each weight and bias.
- 3. **Weight Update:** Once the gradients are calculated, the weights and biases are updated using an optimization algorithm like stochastic gradient descent (SGD). The weights are adjusted in the opposite direction of the gradient to minimize the loss. The size of the step taken in each update is determined by the learning rate.

Iteration

This process of forward propagation, loss calculation, backpropagation, and weight update is repeated for many iterations over the dataset. Over time, this iterative process reduces the loss, and the network's predictions become more accurate.

Through these steps, neural networks can adapt their parameters to better approximate the relationships in the data, thereby improving their performance on tasks such as classification, regression, or any other predictive modeling.

Example of Email Classification

Let's consider a record of an email dataset:

Email ID	Email Content	Sender	Subject Line	Label
1	"Get free gift cards now!"	spam@example.com	"Exclusive Offer"	1

To classify this email, we will create a feature vector based on the analysis of keywords such as "free," "win," and "offer."

The feature vector of the record can be presented as:

• "free": Present (1)

• "win": Absent (0)

• "offer": Present (1)

Email ID	Email Content	Sender	Subject Line	Feature Vector	Label
1	"Get free gift cards now!"	spam@example.com	"Exclusive Offer"	[1, 0, 1]	1

Now, let's delve into the working:

1. Input Layer: The input layer contains 3 nodes that indicates the presence of each keyword.

2. Hidden Layer

- The input data is passed through one or more hidden layers.
- Each neuron in the hidden layer performs the following operations:
 - 1. **Weighted Sum**: Each input is multiplied by a corresponding weight assigned to the connection. For example, if the weights from the input layer to the hidden layer neurons are as follows:

Weights for Neuron H1: [0.5, -0.2, 0.3]

Weights for Neuron H2: [0.4, 0.1, -0.5]

2. Calculate Weighted Input:

o For Neuron H1:

 \circ Calculation= $(1\times0.5)+(0\times-0.2)+(1\times0.3)=0.5+0+0.3=0.8$

o For Neuron H2:

3. Calculation= $(1\times0.4)+(0\times0.1)+(1\times-0.5)=0.4+0-0.5=-0.1$

4. **Activation Function**: The result is passed through an activation function (e.g., ReLU or sigmoid) to introduce non-linearity.

o For H1, applying ReLU: ReLU(0.8)=0.8

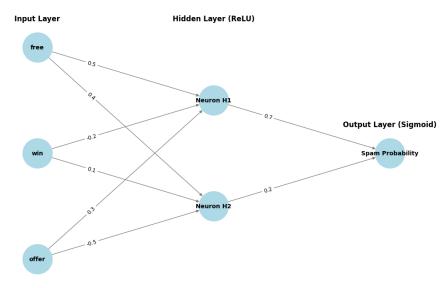
o For H2, applying ReLU: ReLU(−0.1)=0

3. Output Layer

- The activated outputs from the hidden layer are passed to the output neuron.
- The output neuron receives the values from the hidden layer neurons and computes the final prediction using weights:
 - Suppose the output weights from hidden layer to output neuron are [0.7, 0.2].
 - Calculation:
 - o Input=(0.8×0.7)+(0×0.2)=0.56
 - Final Activation: The output is passed through a sigmoid activation function to obtain a probability:

4. Final Classification

- The output value of approximately **0.636** indicates the probability of the email being spam.
- Since this value is greater than 0.5, the neural network classifies the email as spam (1).



Neural Network for Email Classification Example

Learning of a Neural Network

1. Learning with Supervised Learning

In supervised learning, a neural network learns from labeled input-output pairs provided by a teacher. The network generates outputs based on inputs, and by comparing these outputs to the known desired outputs, an error signal is created. The network iteratively adjusts its parameters to minimize errors until it reaches an acceptable performance level.

2. Learning with Unsupervised Learning

Unsupervised learning involves data without labeled output variables. The primary goal is to understand the underlying structure of the input data (X). Unlike supervised learning, there is no instructor to guide the process. Instead, the focus is on modeling data patterns and relationships, with techniques like clustering and association commonly used.

3. Learning with Reinforcement Learning

Reinforcement learning enables a neural network to learn through interaction with its environment. The network receives feedback in the form of rewards or penalties, guiding it to find an optimal policy or strategy that maximizes cumulative rewards over time. This approach is widely used in applications like gaming and decision-making.

Types of Neural Networks

There are 5 types of neural networks that can be used.

• **Feedforward Networks:** A feedforward neural network is a simple artificial neural network architecture in which data moves from input to output in a single direction.

- Multilayer Perceptron (MLP): MLP is a type of feedforward neural network with three or more layers, including an input layer, one or more hidden layers, and an output layer. It uses nonlinear activation functions.
- Convolutional Neural Network (CNN): A Convolutional Neural Network (CNN) is a specialized
 artificial neural network designed for image processing. It employs convolutional layers to
 automatically learn hierarchical features from input images, enabling effective image
 recognition and classification.
- Recurrent Neural Network (RNN): An artificial neural network type intended for sequential
 data processing is called a Recurrent Neural Network (RNN). It is appropriate for applications
 where contextual dependencies are critical, such as time series prediction and natural
 language processing, since it makes use of feedback loops, which enable information to
 survive within the network.
- Long Short-Term Memory (LSTM): LSTM is a type of RNN that is designed to overcome the vanishing gradient problem in training RNNs. It uses memory cells and gates to selectively read, write, and erase information.

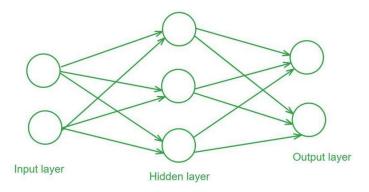
Feed Forward Neural Networks

A Feedforward Neural Network (FNN) is a type of artificial neural network where connections between the nodes do not form cycles. This characteristic differentiates it from recurrent neural networks (RNNs). The network consists of an input layer, one or more hidden layers, and an output layer. Information flows in one direction—from input to output—hence the name "feedforward."

Structure of a Feedforward Neural Network

- 1. **Input Layer**: The input layer consists of neurons that receive the input data. Each neuron in the input layer represents a feature of the input data.
- 2. **Hidden Layers**: One or more hidden layers are placed between the input and output layers. These layers are responsible for learning the complex patterns in the data. Each neuron in a hidden layer applies a weighted sum of inputs followed by a non-linear activation function.
- 3. **Output Layer**: The output layer provides the final output of the network. The number of neurons in this layer corresponds to the number of classes in a classification problem or the number of outputs in a regression problem.

Each connection between neurons in these layers has an associated weight that is adjusted during the training process to minimize the error in predictions.

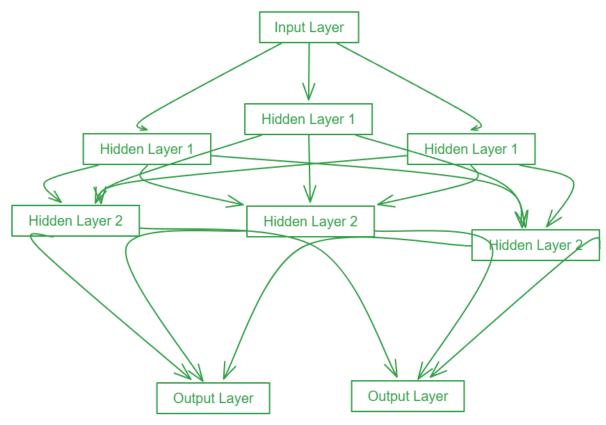


Activation functions introduce non-linearity into the network, enabling it to learn and model complex data patterns. Common activation functions include:

- Sigmoid: $\sigma(x) = \sigma(x) = \frac{1}{1 + e^{-x}}$
- Tanh: $tanh(x) = \frac{e^x e^{-x}}{e^x + e^{-x}}$
- ReLU (Rectified Linear Unit): ReLU(x) = max(0, x)
- Leaky ReLU: Leaky ReLU $(x) = \max(0.01x, x)$

Training a Feedforward Neural Network involves adjusting the weights of the neurons to minimize the error between the predicted output and the actual output. This process is typically performed using backpropagation and gradient descent.

- 1. **Forward Propagation**: During forward propagation, the input data passes through the network, and the output is calculated.
- 2. **Loss Calculation**: The loss (or error) is calculated using a loss function such as Mean Squared Error (MSE) for regression tasks or Cross-Entropy Loss for classification tasks.
- 3. **Backpropagation**: In backpropagation, the error is propagated back through the network to update the weights. The gradient of the loss function with respect to each weight is calculated, and the weights are adjusted using gradient descent.



Forward Propagation

Gradient Descent

Gradient Descent is an optimization algorithm used to minimize the loss function by iteratively updating the weights in the direction of the negative gradient. Common variants of gradient descent include:

- **Batch Gradient Descent**: Updates weights after computing the gradient over the entire dataset.
- Stochastic Gradient Descent (SGD): Updates weights for each training example individually.
- **Mini-batch Gradient Descent**: Updates weights after computing the gradient over a small batch of training examples.

Evaluation of Feedforward neural network

Evaluating the performance of the trained model involves several metrics:

- Accuracy: The proportion of correctly classified instances out of the total instances.
- Precision: The ratio of true positive predictions to the total predicted positives.
- **Recall**: The ratio of true positive predictions to the actual positives.
- F1 Score: The harmonic mean of precision and recall, providing a balance between the two.
- **Confusion Matrix:** A table used to describe the performance of a classification model, showing the true positives, true negatives, false positives, and false negatives.

THE X-OR PROBLEM

The XOR operation is a binary operation that takes two binary inputs and produces a binary output. The output of the operation is 1 only when the inputs are different.

Below is the truth table for XOR:

Input A	Input B	XOR Output
0	0	0
0	1	1
1	0	1
1	1	0

The main problem is that a single-layer perceptron cannot solve this problem because the data is not linearly separable i.e. we cannot draw a straight line to separate the output classes (0s and 1s)

A multi-layer neural network which is also known as a <u>feedforward neural network</u> or <u>multi-layer</u> <u>perceptron</u> is able to solve the XOR problem. There are multiple layer of neurons such as input layer, hidden layer, and output layer.

The working of each layer:

- 1. **Input Layer:** This layer takes the two inputs (A and B).
- 2. **Hidden Layer:** This layer applies non-linear activation functions to create new, transformed features that help separate the classes.
- 3. Output Layer: This layer produces the final XOR result.

Step 1: Input to Hidden Layer Transformation

Consider an MLP with two neurons in the hidden layer, each applying a nonlinear activation function (like the sigmoid function). The output of the hidden neurons can be represented as:

$$h_1 = \sigma(w_{11}A + w_{12}B + b_1)$$

$$h_2 = \sigma(w_{21}A + w_{22}B + b_2)$$

Where:

- $\sigma(x) = \frac{1}{1+e^{-x}}$ is the sigmoid activation function.
- w_{ij} are the weights from the input neurons to the hidden neurons.
- b_i are the biases for the hidden neurons.

Activation functions such as the sigmoid or ReLU (Rectified Linear Unit) introduce non-linearity into the model. It enables the neural network to handle complex patterns like XOR. Without these functions, the network would behave like a simple linear model, which is insufficient for solving XOR.

Step 2: Hidden Layer to Output Layer Transformation

The output neuron combines the outputs of the hidden neurons to produce the final output:

Output =
$$\sigma(w_{31}h_1 + w_{32}h_2 + b_3)$$

Where w_{3i} are the weights from the hidden neurons to the output neuron, and b_3 is the bias for the output neuron.

Step 3: Learning Weights and Biases

During the training process, the network adjusts the weights wijwij and biases bibi using backpropagation and gradient descent to minimize the error between the predicted output and the actual XOR output.

Example Configuration:

Let's consider a specific configuration of weights and biases that solves the XOR problem:

For the hidden layer:

$$w_{11} = 1, w_{12} = 1, b_1 = 0.5$$

 $w_{21} = 1, w_{22} = 1, b_2 = -1.5$

• For the output layer:

$$w_{31} = 1, w_{32} = 1, b_3 = -1$$

With these weights and biases, the network produces the correct XOR output for each input pair (A, B).

GRADIENT DESCENT LEARNING

Gradient descent is a fundamental optimization algorithm widely used in machine learning and deep learning to minimize the loss function and improve model performance. The loss function quantifies how far the model's predictions are from the actual values, and gradient descent iteratively updates model parameters to minimize this error. The algorithm works by computing the gradient, which is the partial derivative of the loss function with respect to each parameter, and updating the parameters in the opposite direction of the gradient to move towards a lower loss. The step size of these updates is controlled by the **learning rate**, a crucial hyperparameter. A learning rate that is too high may cause the algorithm to overshoot the optimal solution, leading to divergence, while a learning rate that is too low can result in slow convergence, requiring many iterations to reach the optimal point.

There are three main types of gradient descent: Batch Gradient Descent, Stochastic Gradient Descent (SGD), and Mini-Batch Gradient Descent. Batch Gradient Descent computes the gradient using the entire dataset in each iteration, ensuring stable convergence but making it computationally expensive for large datasets. In contrast, Stochastic Gradient Descent (SGD) updates model parameters using a single randomly chosen data point per iteration, making it much faster but introducing high variance, which can lead to fluctuations in the loss function. Mini-Batch Gradient Descent offers a balance between the two, updating parameters using small batches of data rather than the entire dataset or a single point. This approach helps improve computational efficiency while maintaining stability in convergence.

Several enhancements have been introduced to improve the efficiency and reliability of gradient descent. **Momentum-based gradient descent** helps overcome oscillations by adding a fraction of the previous update to the current one, allowing the algorithm to move more smoothly towards the optimum. **Adaptive learning rate methods** such as RMSprop and Adam dynamically adjust the learning rate for each parameter, ensuring faster and more stable convergence. Adam (Adaptive

Moment Estimation) combines momentum and adaptive learning rates to achieve robust performance across different optimization problems, making it one of the most widely used variants in deep learning applications.

Despite its effectiveness, gradient descent has some challenges. One common issue is getting stuck in **local minima**, where the algorithm converges to a suboptimal solution rather than the global minimum. While this is a significant problem in non-convex loss functions, techniques such as **adding noise in SGD** and **using adaptive learning rates** can help escape local minima. Another challenge is the **vanishing or exploding gradient problem**, particularly in deep neural networks. When gradients become too small or too large, the model fails to learn effectively. Techniques such as **weight initialization strategies (e.g., Xavier or He initialization), batch normalization, and gradient clipping** help mitigate these issues and ensure stable learning.

Gradient descent remains a cornerstone of modern machine learning and deep learning, enabling efficient optimization of complex models. It is used in training neural networks, regression models, and other machine learning algorithms that require minimizing a cost function. Understanding the different variants, challenges, and optimizations of gradient descent is crucial for developing high-performance models and improving convergence speed and accuracy. As machine learning evolves, new advancements in optimization techniques continue to enhance gradient descent, making it more efficient for large-scale and high-dimensional data problems.

HIDDEN UNITS

In deep learning, **hidden units** refer to the neurons in the hidden layers of a neural network, positioned between the input and output layers. These units play a crucial role in transforming raw input data into meaningful representations, allowing the model to learn complex patterns and relationships. Each hidden unit receives inputs from the previous layer, applies a weighted sum operation followed by an activation function, and then passes the processed information to the next layer. The number of hidden units and layers determines the network's capacity to model complex functions. Too few hidden units may lead to underfitting, where the model fails to capture patterns in the data, while too many may result in overfitting, where the model memorizes training data instead of generalizing well to unseen inputs.

The activation function used in hidden units is critical for enabling deep networks to learn non-linear relationships. Common activation functions include **ReLU** (**Rectified Linear Unit**), **Sigmoid**, **and Tanh**. ReLU is widely preferred in deep learning due to its simplicity and efficiency, as it helps mitigate the vanishing gradient problem and accelerates training. Sigmoid and Tanh, while useful in some cases, tend to suffer from saturation issues, where gradients become very small, slowing down learning. Recent advancements have introduced modified activation functions like **Leaky ReLU**, **ELU** (**Exponential Linear Unit**), and **Swish**, which address some of the limitations of traditional activation functions and improve learning efficiency.

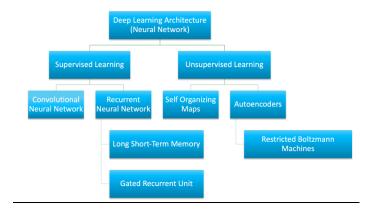
The architecture of hidden layers and units significantly impacts the network's ability to learn and generalize. **Deep networks with multiple hidden layers** can extract hierarchical features from data, making them highly effective for complex tasks like image recognition, natural language processing, and speech recognition. In convolutional neural networks (CNNs), hidden units in convolutional layers learn spatial features from images, while in recurrent neural networks (RNNs), hidden units capture sequential dependencies in time-series or text data. However, increasing the depth of a network introduces challenges such as **vanishing gradients, exploding gradients, and increased**

computational cost, which require techniques like batch normalization, residual connections (ResNets), and careful weight initialization to address.

Regularization techniques help optimize the number of hidden units and prevent overfitting. Methods such as **dropout**, which randomly deactivates a fraction of hidden units during training, force the network to learn more robust features and improve generalization. **L1 and L2 regularization** (Lasso and Ridge) add constraints on the network weights, reducing complexity and preventing overfitting. Additionally, techniques like **early stopping**, where training is halted once validation performance stops improving, ensure that the model does not overfit by training for too many epochs.

The choice of the number of hidden units and layers is an important hyperparameter tuning decision in deep learning. While deeper networks offer greater representational power, they require more computational resources and data to train effectively. Automated techniques like **neural architecture search (NAS)** and **hyperparameter optimization** are being developed to optimize network design without extensive manual tuning. As deep learning evolves, innovations in hidden unit architectures, activation functions, and optimization techniques continue to improve the efficiency and effectiveness of neural networks for a wide range of applications.

DEEP LEARNING ARCHITECTURES



Supervised deep learning

Supervised learning refers to the problem space wherein the target to be predicted is clearly labelled within the data that is used for training.

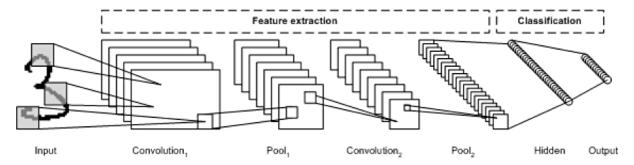
In this section, we introduce at a high-level two of the most popular supervised deep learning architectures - convolutional neural networks and recurrent neural networks as well as some of their variants.

Convolutional neural networks

A CNN is a multilayer neural network that was biologically inspired by the animal visual cortex. The architecture is particularly useful in image-processing applications. The first CNN was created by Yann LeCun; at the time, the architecture focused on handwritten character recognition, such as postal code interpretation. As a deep network, early layers recognize features (such as edges), and later layers recombine these features into higher-level attributes of the input.

The LeNet CNN architecture is made up of several layers that implement feature extraction and then classification (see the following image). The image is divided into receptive fields that feed into a convolutional layer, which then extracts features from the input image. The next step is pooling,

which reduces the dimensionality of the extracted features (through down-sampling) while retaining the most important information (typically, through max pooling). Another convolution and pooling step is then performed that feeds into a fully connected multilayer perceptron. The final output layer of this network is a set of nodes that identify features of the image (in this case, a node per identified number). You train the network by using back-propagation.



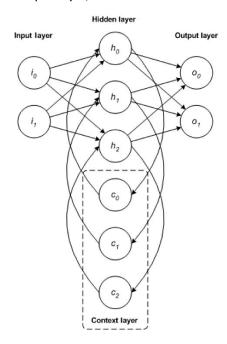
The use of deep layers of processing, convolutions, pooling, and a fully connected classification layer opened the door to various new applications of deep learning neural networks. In addition to image processing, the CNN has been successfully applied to video recognition and various tasks within natural language processing.

Example applications: Image recognition, video analysis, and natural language processing

Recurrent neural networks

The RNN is one of the foundational network architectures from which other deep learning architectures are built. The primary difference between a typical multilayer network and a recurrent network is that rather than completely feed-forward connections, a recurrent network might have connections that feed back into prior layers (or into the same layer). This feedback allows RNNs to maintain memory of past inputs and model problems in time.

RNNs consist of a rich set of architectures (we'll look at one popular topology called LSTM next). The key differentiator is feedback within the network, which could manifest itself from a hidden layer, the output layer, or some combination thereof.



RNNs can be unfolded in time and trained with standard back-propagation or by using a variant of back-propagation that is called back-propagation in time (BPTT).

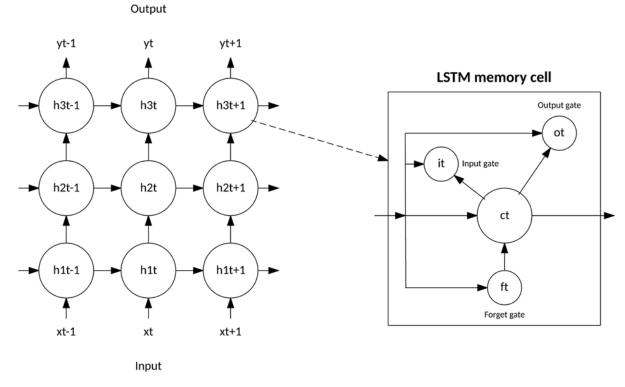
Example applications: Speech recognition and handwriting recognition

LSTM networks

The LSTM was created in 1997 by Hochreiter and Schimdhuber, but it has grown in popularity in recent years as an RNN architecture for various applications. You'll find LSTMs in products that you use every day, such as smartphones. IBM applied LSTMs in IBM Watson® for milestone-setting conversational speech recognition.

The LSTM departed from typical neuron-based neural network architectures and instead introduced the concept of a memory cell. The memory cell can retain its value for a short or long time as a function of its inputs, which allows the cell to remember what's important and not just its last computed value.

The LSTM memory cell contains three gates that control how information flows into or out of the cell. The input gate controls when new information can flow into the memory. The forget gate controls when an existing piece of information is forgotten, allowing the cell to remember new data. Finally, the output gate controls when the information that is contained in the cell is used in the output from the cell. The cell also contains weights, which control each gate. The training algorithm, commonly BPTT, optimizes these weights based on the resulting network output error.



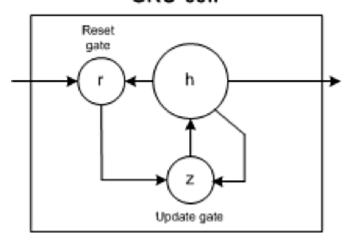
Recent applications of CNNs and LSTMs produced image and video captioning systems in which an image or video is captioned in natural language. The CNN implements the image or video processing, and the LSTM is trained to convert the CNN output into natural language.

Example applications: Image and video captioning systems

GRU networks

In 2014, a simplification of the LSTM was introduced called the gated recurrent unit. This model has two gates, getting rid of the output gate present in the LSTM model. These gates are an update gate and a reset gate. The update gate indicates how much of the previous cell contents to maintain. The reset gate defines how to incorporate the new input with the previous cell contents. A GRU can model a standard RNN simply by setting the reset gate to 1 and the update gate to 0.

GRU cell



The GRU is simpler than the LSTM, can be trained more quickly, and can be more efficient in its execution. However, the LSTM can be more expressive and with more data can lead to better results.

Example applications: Natural language text compression, handwriting recognition, speech recognition, gesture recognition, image captioning

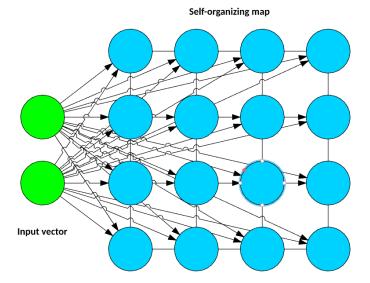
Unsupervised deep learning

Unsupervised learning refers to the problem space wherein there is no target label within the data that is used for training.

This section discusses three unsupervised deep learning architectures: self-organized maps, autoencoders, and restricted boltzmann machines. We also discuss how deep belief networks and deep stacking networks are built based on the underlying unsupervised architecture.

Self-organized maps

Self-organized map (SOM) was invented by Dr. Teuvo Kohonen in 1982 and was popularly known as the Kohonen map. SOM is an unsupervised neural network that creates clusters of the input data set by reducing the dimensionality of the input. SOMs vary from the traditional artificial neural network in quite a few ways.



The first significant variation is that weights serve as a characteristic of the node. After the inputs are normalized, a random input is first chosen. Random weights close to zero are initialized to each feature of the input record. These weights now represent the input node. Several combinations of these random weights represent variations of the input node. The euclidean distance between each of these output nodes with the input node is calculated. The node with the least distance is declared as the most accurate representation of the input and is marked as the *best matching unit* or *BMU*. With these BMUs as center points, other units are similarly calculated and assigned to the cluster that it is the distance from. Radius of points around BMU weights are updated based on proximity. Radius is shrunk.

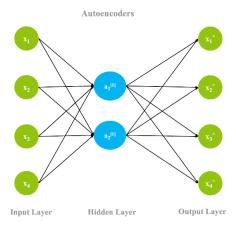
Next, in an SOM, no activation function is applied, and because there are no target labels to compare against there is no concept of calculating error and back propogation.

Example applications: Dimensionality reduction, clustering high-dimensional inputs to 2-dimensional output, radiant grade result, and cluster visualization

Autoencoders

Though the history of when autoencoders were invented is hazy, the first known usage of autoencoders was found to be by LeCun in 1987. This variant of an *ANN* is composed of 3 layers: input, hidden, and output layers.

First, the input layer is encoded into the hidden layer using an appropriate encoding function. The number of nodes in the hidden layer is much less than the number of nodes in the input layer. This hidden layer contains the compressed representation of the original input. The output layer aims to reconstruct the input layer by using a decoder function.



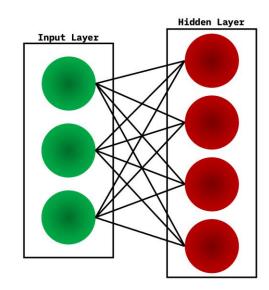
During the training phase, the difference between the input and the output layer is calculated using an error function, and the weights are adjusted to minimize the error. Unlike traditional unsupervised learning techniques, where there is no data to compare the outputs against, autoencoders learn continuously using backward propagation. For this reason, autoencoders are classified as *self supervised* algorithms.

Example applications: Dimensionality reduction, data interpolation, and data compression/decompression

Restricted Boltzmann Machines

Though RBMs became popular much later, they were originally invented by Paul Smolensky in 1986 and was known as a *Harmonium*.

An RBM is a 2-layered neural network. The layers are input and hidden layers. As shown in the following figure, in RBMs every node in a hidden layer is connected to every node in a visible layer. In a traditional Boltzmann Machine, nodes within the input and hidden layer are also connected. Due to computational complexity, nodes within a layer are not connected in a *Restricted* Boltzmann Machine.



During the training phase, RBMs calculate the probabilty distribution of the training set using a stochastic approach. When the training begins, each neuron gets activated at random. Also, the

model contains respective hidden and visible bias. While the hidden bias is used in the forward pass to build the activation, the visible bias helps in reconstructing the input.

Because in an RBM the reconstructed input is always different from the original input, they are also known as *generative models*.

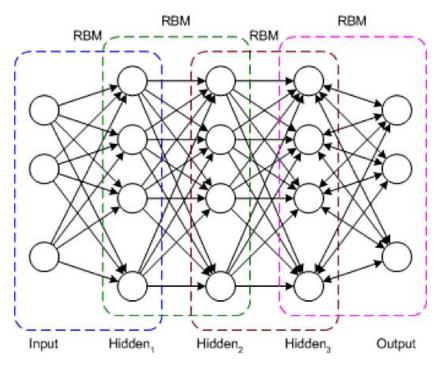
Also, because of the built-in randomness, the same predictions result in different outputs. In fact, this is the most significant difference from an autoencoder, which is a deterministic model.

Example applications: Dimensionality reduction and collaborative filtering

Deep belief networks

The DBN is a typical network architecture, but includes a novel training algorithm. The DBN is a multilayer network (typically deep and including many hidden layers) in which each pair of connected layers is an RBM. In this way, a DBN is represented as a stack of RBMs.

In the DBN, the input layer represents the raw sensory inputs, and each hidden layer learns abstract representations of this input. The output layer, which is treated somewhat differently than the other layers, implements the network classification. Training occurs in two steps: unsupervised pretraining and supervised fine-tuning.



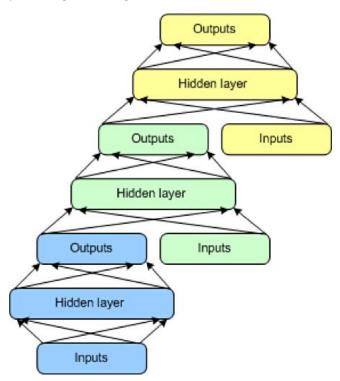
In unsupervised pretraining, each RBM is trained to reconstruct its input (for example, the first RBM reconstructs the input layer to the first hidden layer). The next RBM is trained similarly, but the first hidden layer is treated as the input (or visible) layer, and the RBM is trained by using the outputs of the first hidden layer as the inputs. This process continues until each layer is pretrained. When the pretraining is complete, fine-tuning begins. In this phase, the output nodes are applied labels to give them meaning (what they represent in the context of the network). Full network training is then applied by using either gradient descent learning or back-propagation to complete the training process.

Example applications: Image recognition, information retrieval, natural language understanding, and failure prediction

Deep stacking networks

The final architecture is the DSN, also called a deep convex network. A DSN is different from traditional deep learning frameworks in that although it consists of a deep network, it's actually a deep set of individual networks, each with its own hidden layers. This architecture is a response to one of the problems with deep learning, the complexity of training. Each layer in a deep learning architecture exponentially increases the complexity of training, so the DSN views training not as a single problem but as a set of individual training problems.

The DSN consists of a set of modules, each of which is a subnetwork in the overall hierarchy of the DSN. In one instance of this architecture, three modules are created for the DSN. Each module consists of an input layer, a single hidden layer, and an output layer. Modules are stacked one on top of another, where the inputs of a module consist of the prior layer outputs and the original input vector. This layering allows the overall network to learn more complex classification than would be possible given a single module.



The DSN permits training of individual modules in isolation, making it efficient given the ability to train in parallel. Supervised training is implemented as back-propagation for each module rather than back-propagation over the entire network. For many problems, DSNs can perform better than typical DBNs, making them a popular and efficient network architecture.

Example applications: Information retrieval and continuous speech recognition