

Companion slides for
The Art of Multiprocessor Programming
by Maurice Herlihy & Nir Shavit

#### Last Lecture

- Defined concurrent objects using linearizability and sequential consistency
- Fact: implemented linearizable objects (Two thread FIFO Queue) in read-write memory without mutual exclusion
- Fact: hardware does not provide linearizable read-write memory

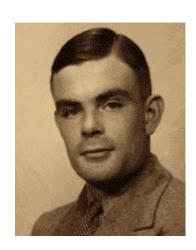


#### **Fundamentals**

- What is the weakest form of communication that supports mutual exclusion?
- What is the weakest shared object that allows shared-memory computation?



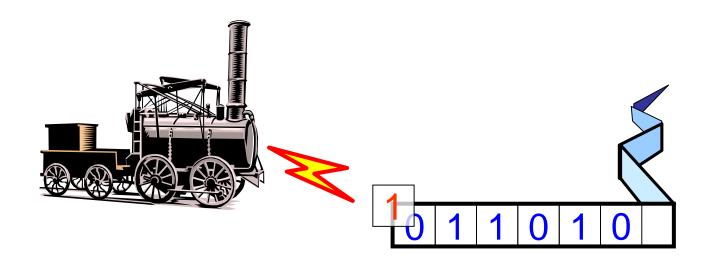
## Alan Turing



- Showed what is and is not computable on a sequential machine.
- Still best model there is.



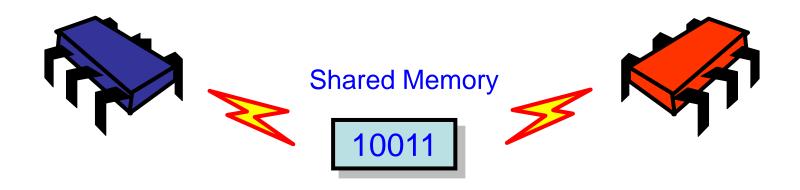
# **Turing Computability**



- Mathematical model of computation
- What is (and is not) computable
- Efficiency (mostly) irrelevant



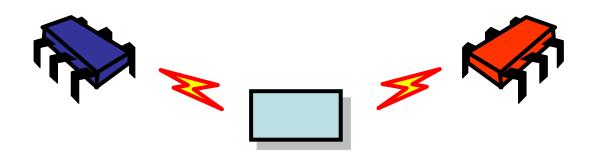
# Shared-Memory Computability?



- Mathematical model of concurrent computation
- What is (and is not) concurrently computable
- Efficiency (mostly) irrelevant

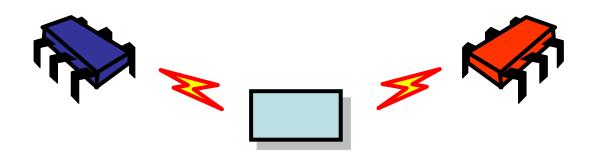


To understand modern multiprocessors we need to ask some basic questions ...



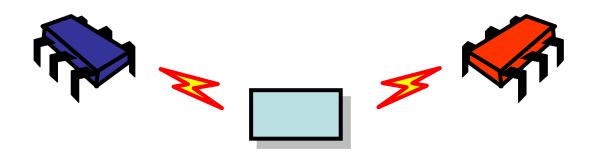


What is the weakest useful form of shared memory?



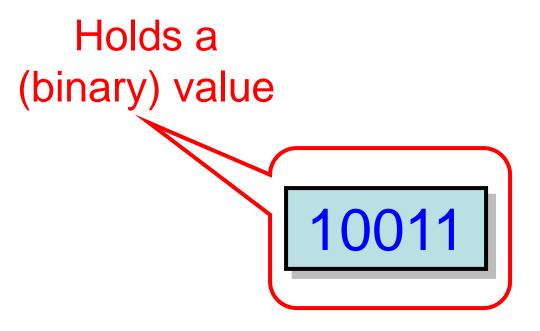


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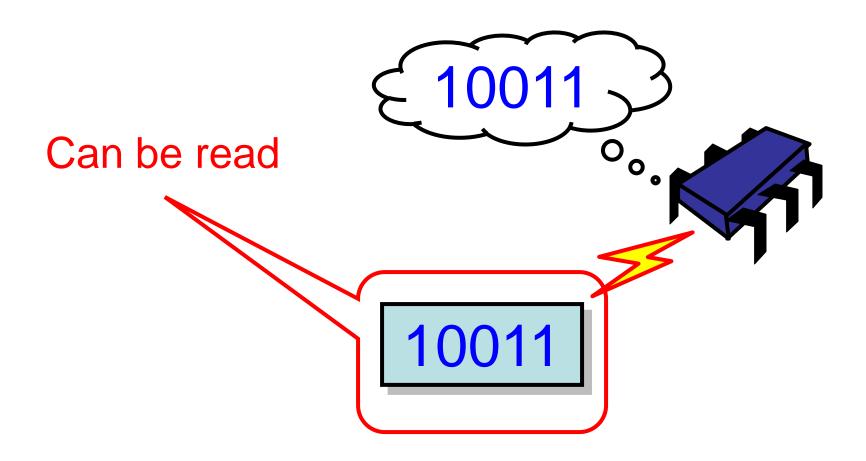
# Register\*



\* A memory location: name is historical

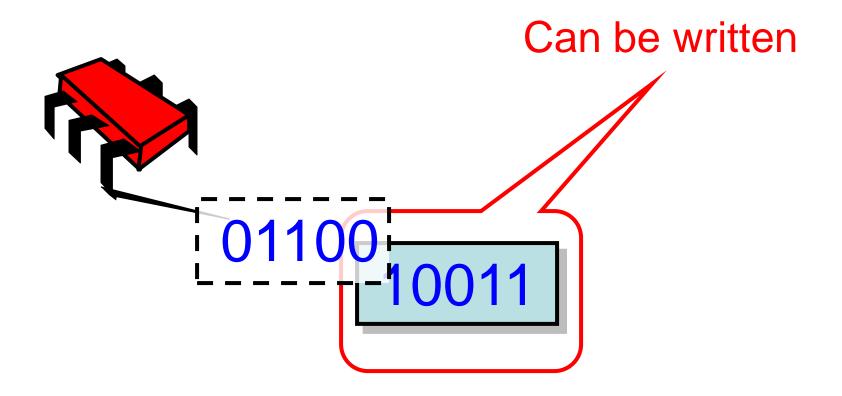


# Register





### Register





#### Registers

```
public interface Register<T> {
   public T read();
   public void write(T v);
}
```



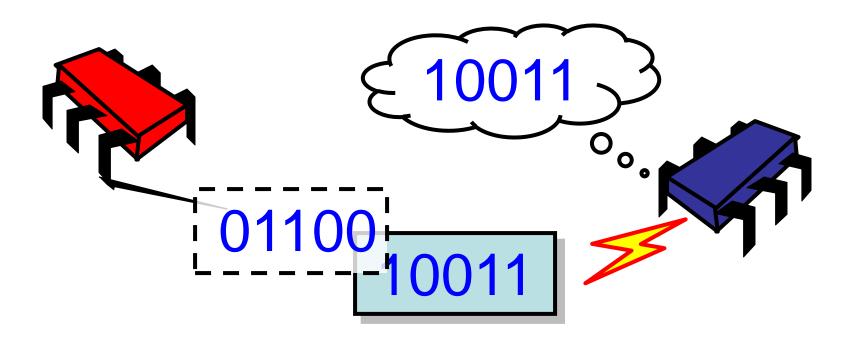
#### Registers

```
public interface Register<T> {
   public T read();
   public void write T v);
}
```

Type of register (usually Boolean or *m*-bit Integer)

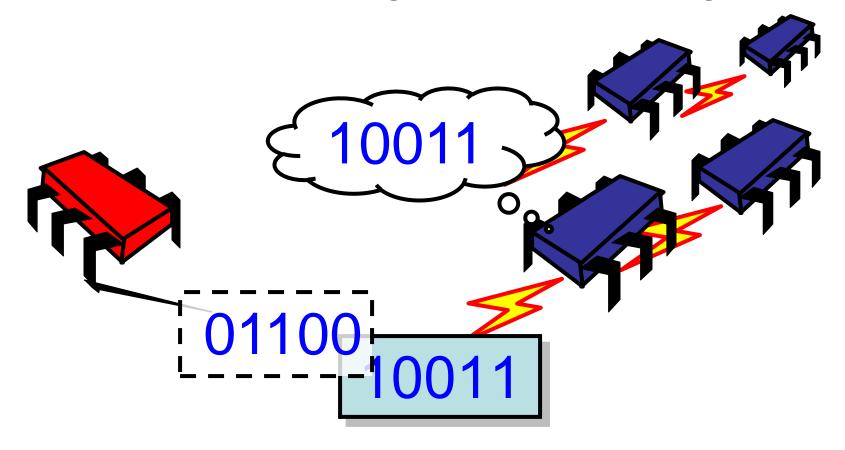


# Single-Reader/Single-Writer Register



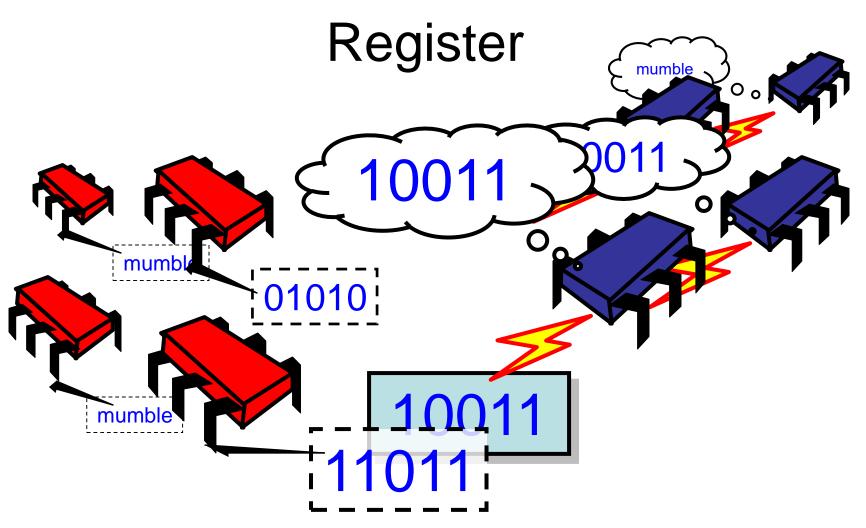


#### Multi-Reader/Single-Writer Register





#### Multi-Reader/Multi-Writer



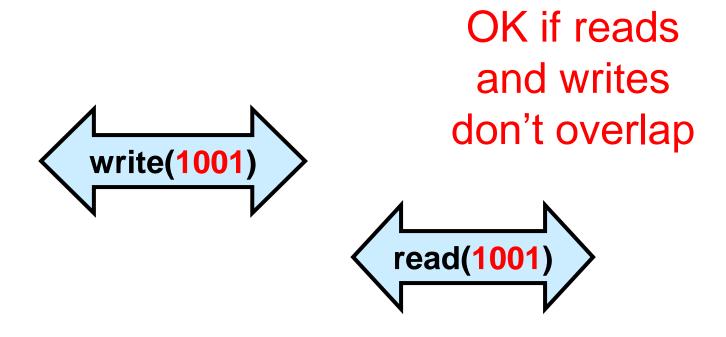


#### Jargon Watch

- SRSW
  - Single-reader single-writer
- MRSW
  - Multi-reader single-writer
- MRMW
  - Multi-reader multi-writer



#### Safe Register



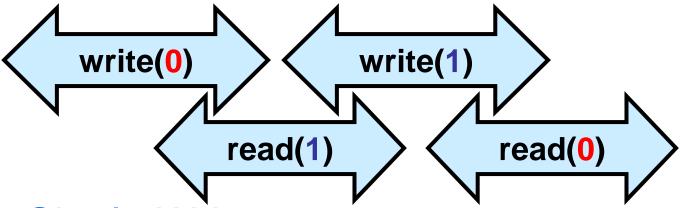


#### Safe Register

Some valid value if reads and writes do overlap write(1001) read(????) 1001

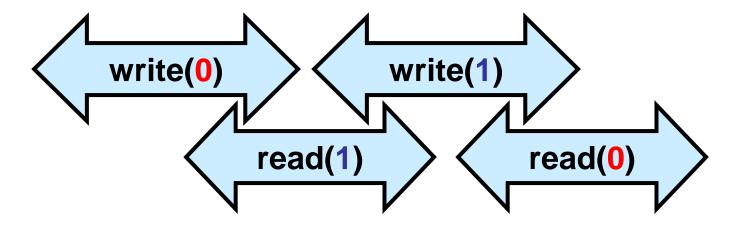


#### Regular Register

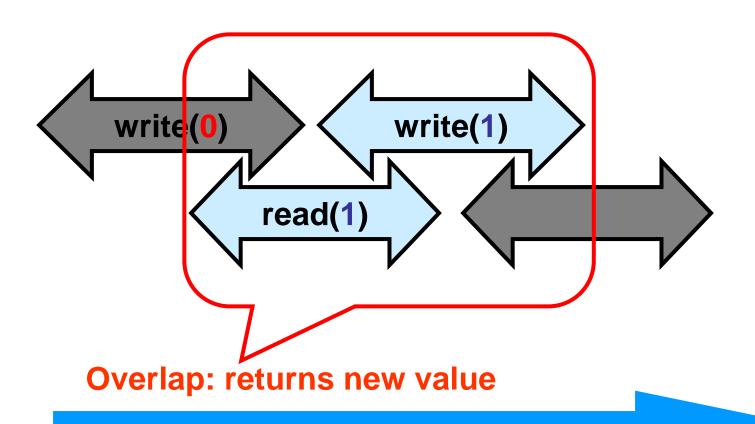


- Single Writer
- Readers return:
  - Old value if no overlap (safe)
  - Old or one of new values if overlap

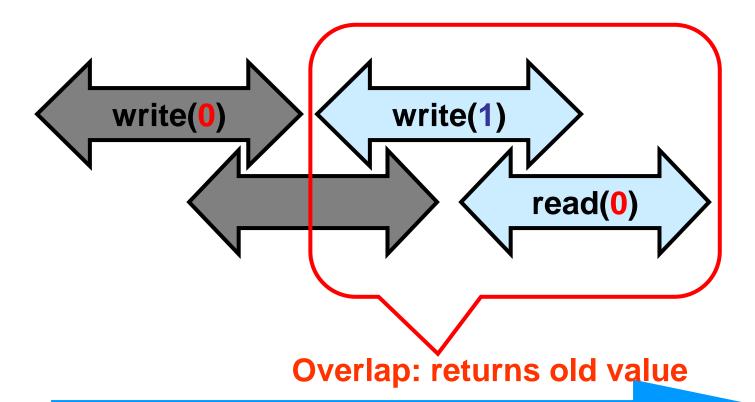




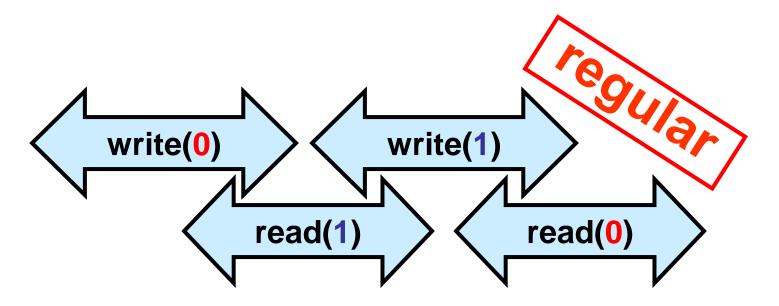






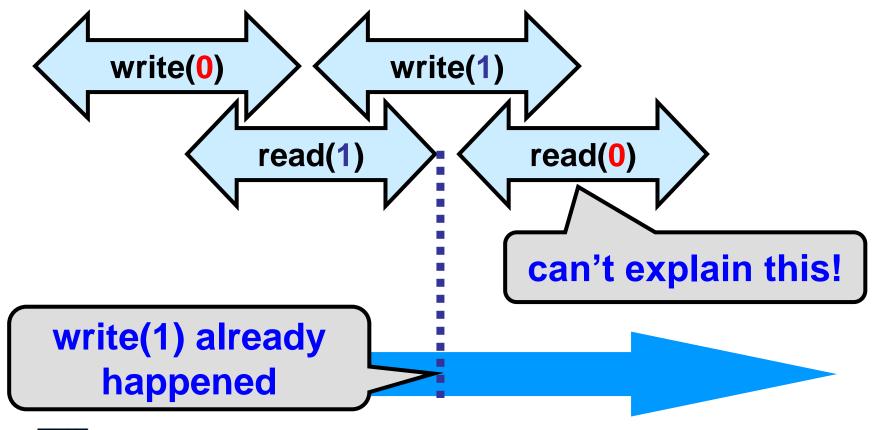






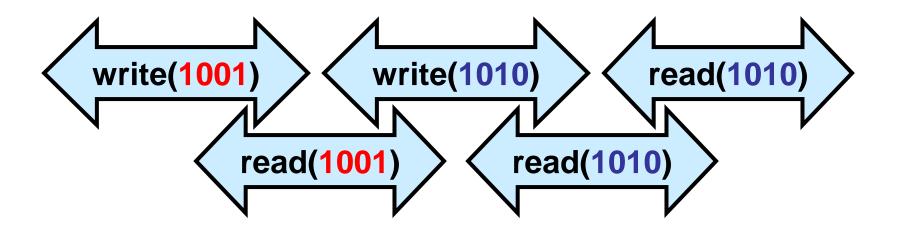


#### Regular ≠ Linearizable





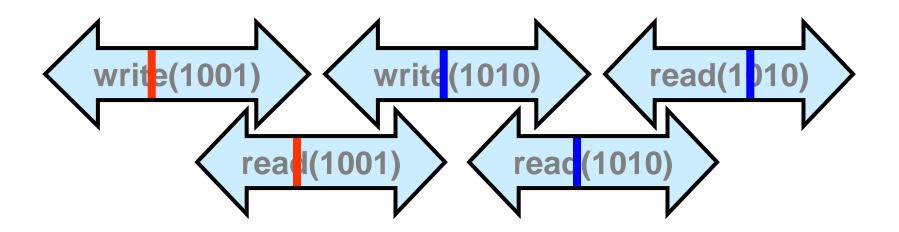
#### **Atomic Register**



# Linearizable to sequential safe register

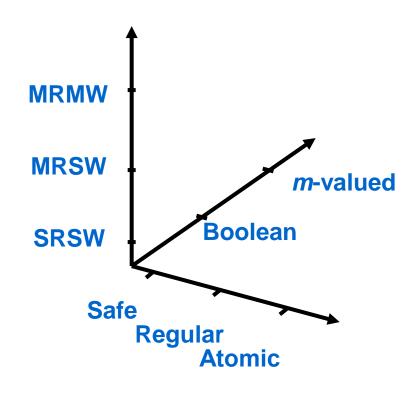


#### **Atomic Register**



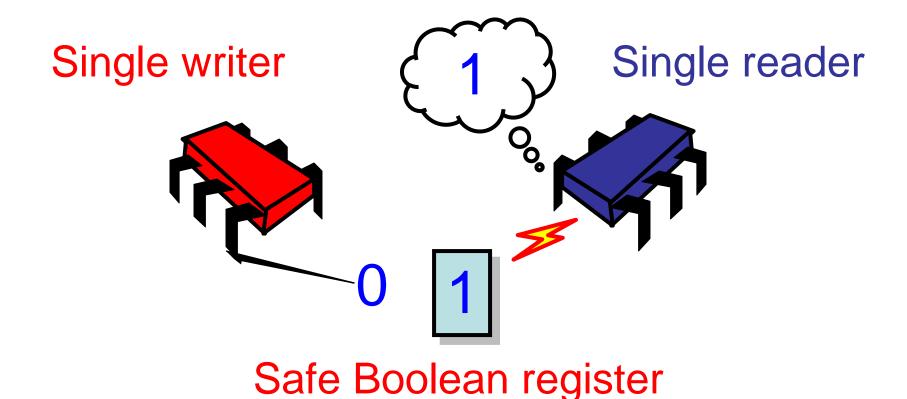


# Register Space





#### Weakest Register

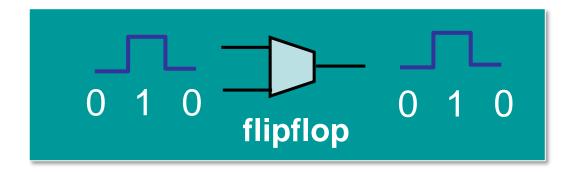




#### Weakest Register

#### Single writer

#### Single reader



# Get correct reading if not during state transition



#### Results

From SRSW safe Boolean register
 All the other registers
 Mutual exclusion
 But not everything!
 Consensus hierarchy

The really cool stuff ...



#### Locking within Registers

- Not interesting to rely on mutual exclusion in register constructions
- We want registers to implement mutual exclusion!
- It's cheating to use mutual exclusion to implement itself!



#### **Definition**

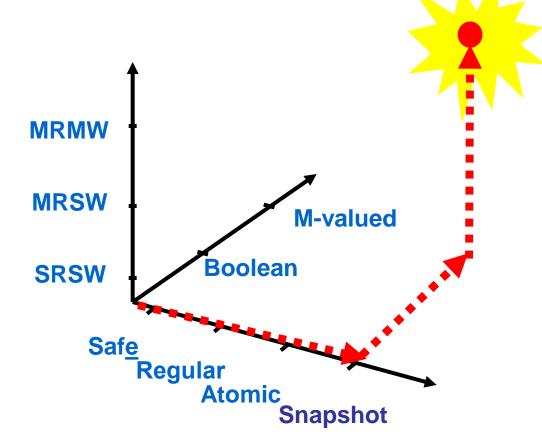
An object implementation is *wait-free* if every method call completes in a finite number of steps

#### No mutual exclusion

- Thread could halt in critical section
- Build mutual exclusion from registers



# From Safe SRSW Boolean to Atomic Snapshots.





Art of Mul8processor Programming

#### Road Map

- SRSW safe Boolean
- MRSW safe Boolean
- MRSW regular Boolean
- MRSW regular
- MRSW atomic
- MRMW atomic
- Atomic snapshot



### Road Map

SRSW safe Boolean



- MRSW safe Boolean
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```
public class SafeBoolMRSWRegister
implements Register<Boolean> {
  public boolean read() { ... }
  public void write(boolean x) { ... }
}
```



```
public class SafeBoolMRSWRegister
implements Register<Boolean> {
  public boolean read() { ... }
  public void write(boolean x) { ... }
}
```

property

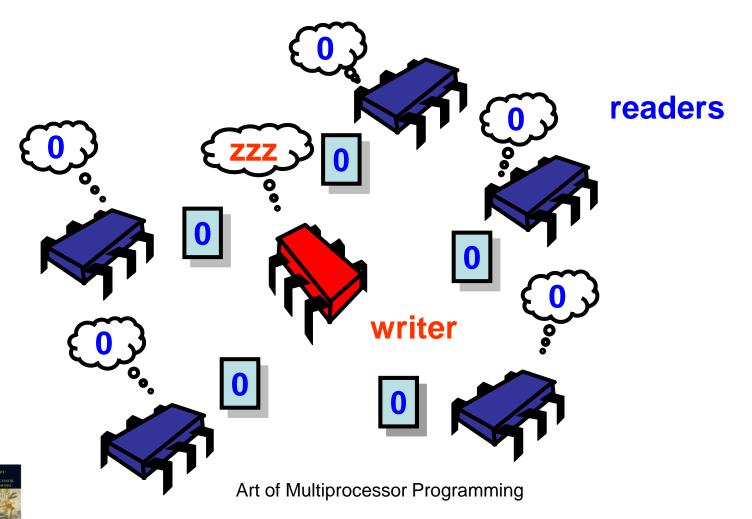


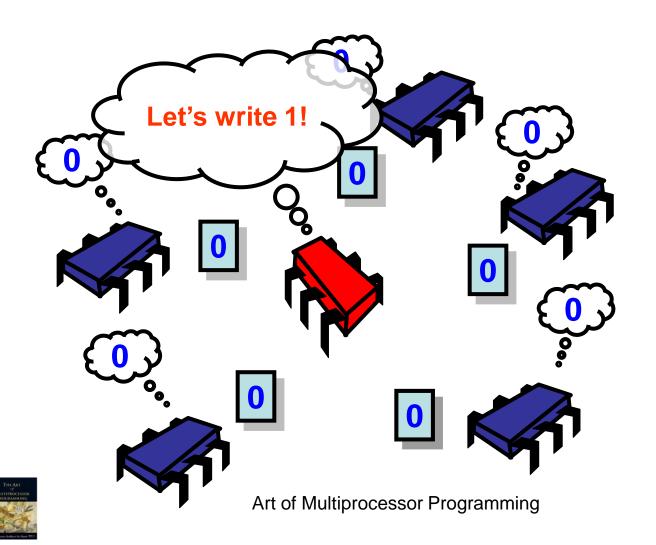
```
public class SafeBoolMRSWRegister
 implements Register < Boolean > {
  public boolean read() { ... }
  public woid write(boolean x) { ... }
property
             type
```



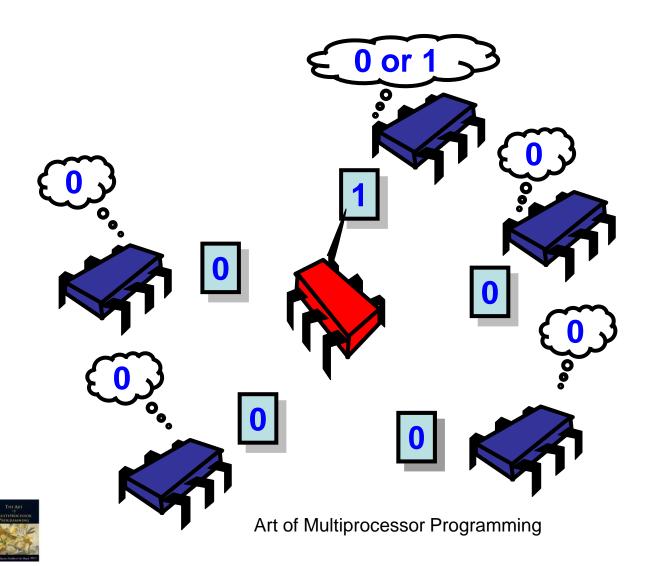
```
public class SafeBoolMRSWRegister
 implements Register < Boolean > {
  public boolean read()
  public woid write(boolean x) { ... }
                  how many readers &
property
                        writers?
             type
```

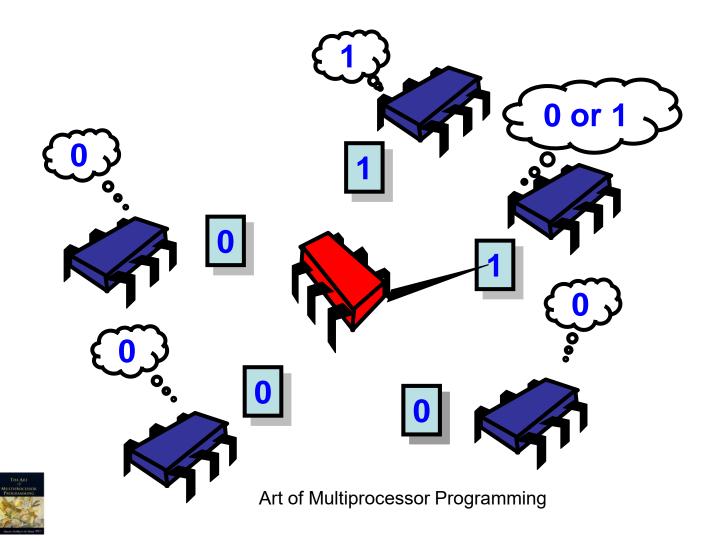


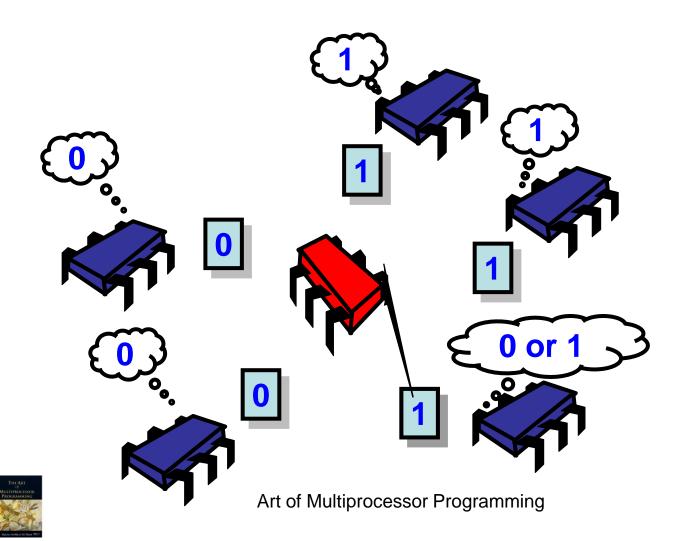


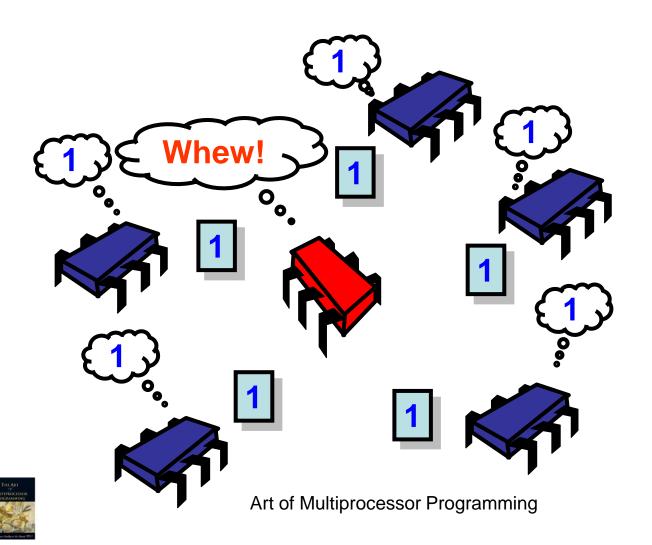












```
public class SafeBoolMRSWRegister
 implements Register<Boolean> {
private SafeBoolSRSWRegister[] r =
   new SafeBoolSRSWRegister[N];
  public void write(boolean x) {
   for (int j = 0; j < N; j++)
    r[j].write(x);
  public boolean read() {
   int i = ThreadID.get();
   return r[i].read();
  }}
```



```
public class SafeBoolMRSWRegister
 implements BooleanRegister {
private SafeBoolSRSWRegister[] r =
  new SafeBoolSRSWRegister[N];
 public void write(boolean x) {
   for (int j = 0; j < N; j++)
    r[j].write(x);
 public boolean read() {
   int i = ThreadID.get();
   return r[i].read(); Each thread has own
  } }
                        safe SRSW register
```



```
public class SafeBoolMRSWRegister
 implements BooleanRegister {
private SafeBoolSRSWRegister[] r =
   new SafeBoolSRSWRegister[N];
 public void write(boolean x) {
   for (int j = 0; j < N; j++)
    r[j].write(x);
 public boolean read()
   int i = ThreadID.get();
   return r[i].read();
                            write method
  } }
```



```
public class SafeBoolMRSWRegister
 implements BooleanRegister {
private SafeBoolSRSWRegister[] r =
   new SafeBoolSRSWRegister[N];
  public void write(boolean x)
   for (int j = 0; j < N; j++)
    r[j].write(x);
                                  Write each
 public boolean read()
   int i = ThreadID.get();
                               thread's register
   return r[i].read();
                                 one at a time
  } }
```



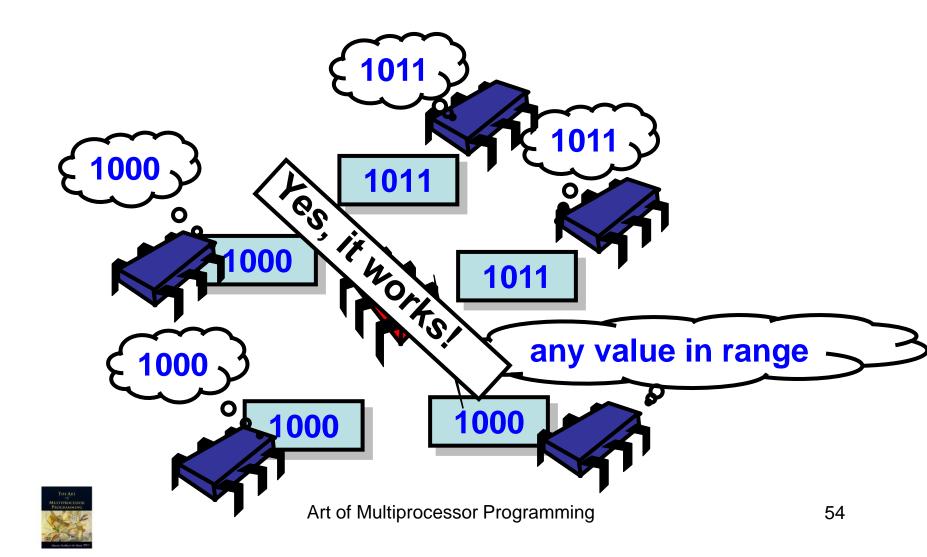
```
public class SafeBoolMRSWRegister
 implements BooleanRegister {
private SafeBoolSRSWRegister[] r =
   new SafeBoolSRSWRegister[N];
 public void write(boolean x) {
   for (int j = 0; j < N; j++)
                                 read method
    r[j].write(x);
  public boolean read() {
   int i = ThreadID.get();
   return r[i].read();
```



```
public class SafeBoolMRSWRegister
 implements BooleanRegister {
private SafeBoolSRSWRegister[] r =
   new SafeBoolSRSWRegister[N];
 public void write(boolean x) {
   for (int j = 0; j < N; j++)
    r[j].write(x);
  public boolean read() {
   int i = ThreadID.get();
                                   Read my own
   return r[i].read();
                                       register
```



## Safe Multi-Valued MRSW from Safe Multi-Valued SRSW?



### Road Map

SRSW safe Boolean



- MRSW safe Boolean
- MRSW regular Boolean
- MRSW regular
- MRSW atomic

**Questions?** 

- MRMW atomic
- Atomic snapshot

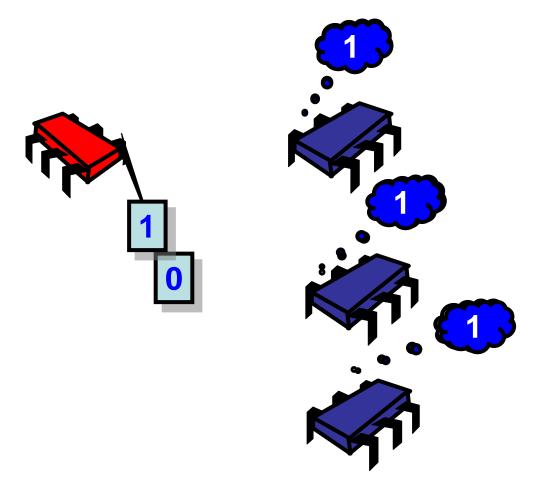


### Road Map

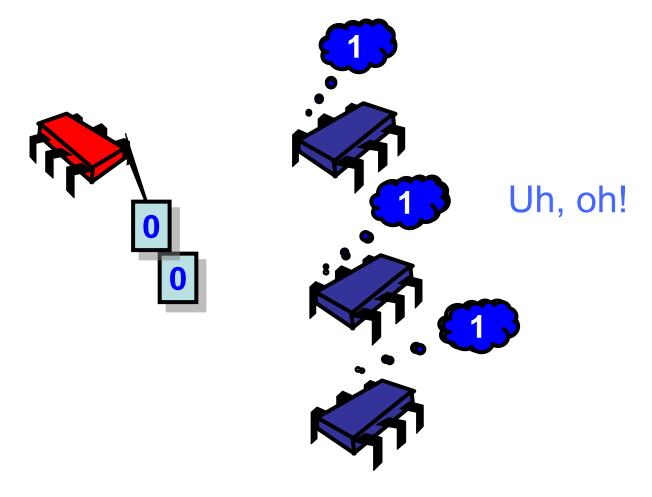
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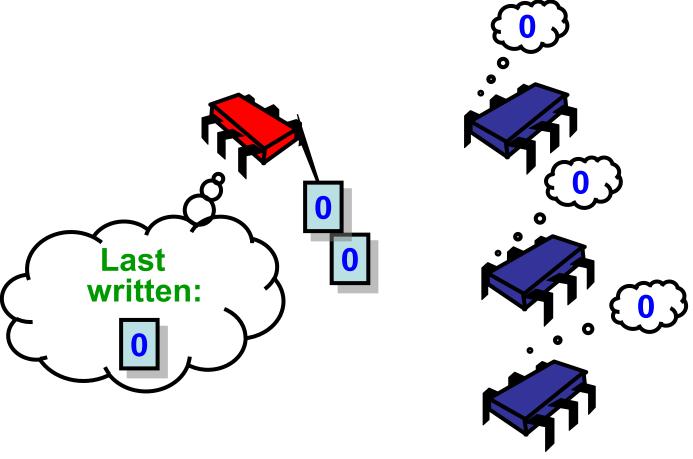














```
public class RegBoolMRSWRegister
 implements Register<Boolean> {
  private boolean old;
  private SafeBoolMRSWRegister value;
  public void write(boolean x) {
   if (old != x) {
    value.write(x);
    old = x;
   }}
  public boolean read() {
   return value.read();
  } }
```



```
public class RegBoolMRSWRegister
 implements Register<Boolean> {
 threadLocal boolean old;
 private SafeBoolMRSWRegister value;
  public void write(boolean x) {
   if (old != x) {
    value.write(x);
    old = x;
                   Last bit this thread wrote
   } }
                            (made-up syntax)
  public boolean read() {
   return value.read();
  } }
```



```
public class RegBoolMRSWRegister
 implements Register<Boolean> {
  threadLocal boolean old;
 private SafeBoolMRSWRegister value;
  public void write(boolean x)
   if (old != x) {
    value.write(x);
    old = x;
   }}
  public boolean read() {
   return value.read();
                          Actual value
  } }
```



```
public class RegBoolMRSWRegister
 implements Register<Boolean> {
  threadLocal boolean old;
 private SafeBoolMRSWRegister value;
  public void write(boolean x) {
  if (old != x)
   value.write(x)
    old = x;
                        Is new value different
   } }
 public boolean read (from last value | wrote?
   return value.read();
  } }
```



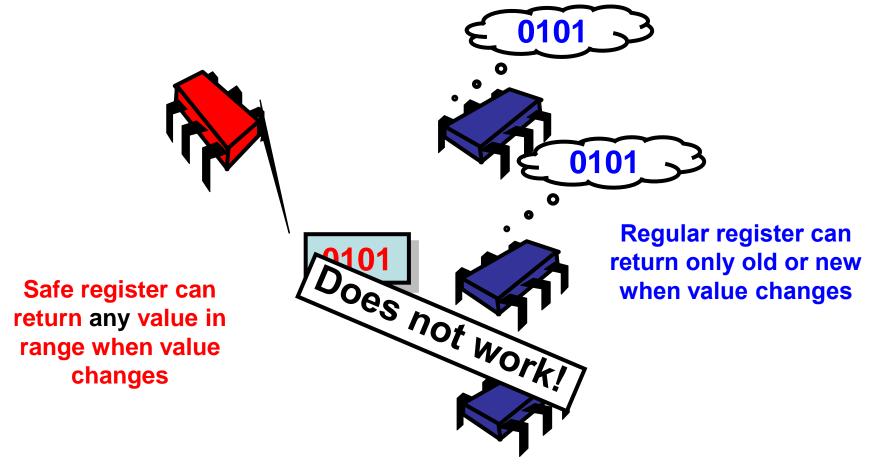
```
public class RegBoolMRSWRegister
 implements Register<Boolean> {
  threadLocal boolean old;
 private SafeBoolMRSWRegister value;
  public void write(boolean x) {
   if (old != x) {
    value.write(x);
    old = x;
   } }
  public boolean read()
                             If so, change it
   return value.read();
                            (otherwise don't!)
  } }
```



```
public class RegBoolMRSWRegister
 implements Register<Boolean>{
  threadLocal boolean old;
 private SafeBoolMRSWRegister value;
 public void write(boolean x) {
   if (old != x) { Overlap? What overlap?
   value.write(x);
                      No problem
    old = x;
                      either Boolean value works
 public boolean read() {
   return value.read();
```



# Regular Multi-Valued MRSW from Safe Multi-Valued MRSW?





### Road Map

- SRSW safe Boolean
- MRSW safe Boolean
- MRSW regular Boolean

- MRSW regular
- MRSW atomic
- MRMW atomic
- Atomic snapshot





### Road Map

- SRSW safe Boolean
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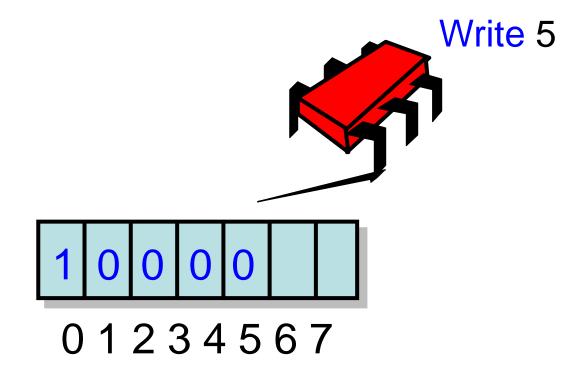


### Representing *m* Values

Unary representation: bit[i] means value i 01234567 Initially 0

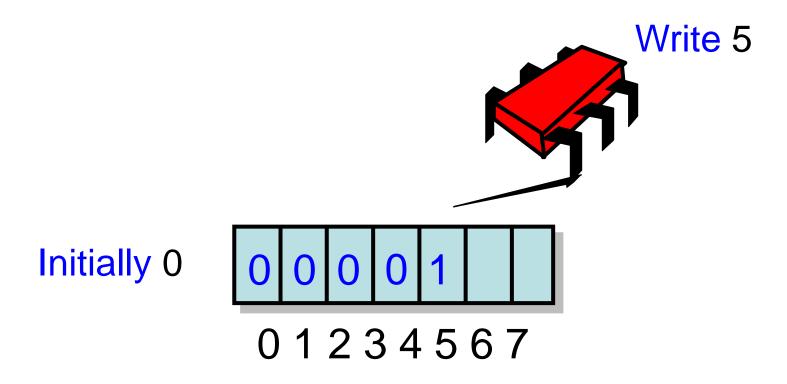


### Writing *m*-Valued Register



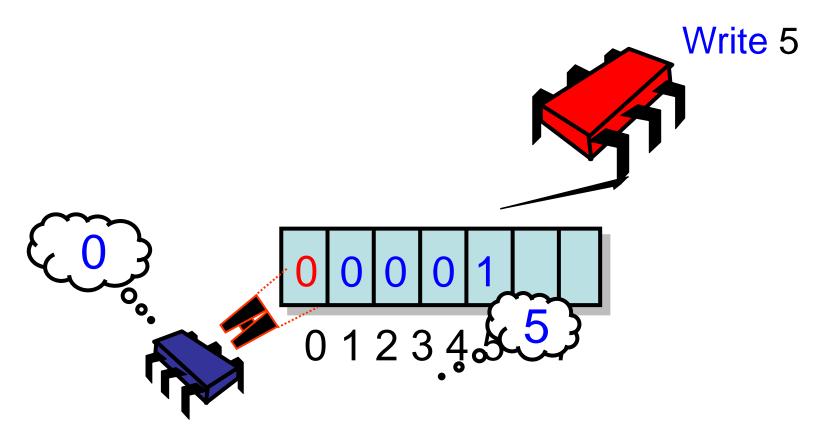


### Writing *m*-Valued Register





### Writing *m*-Valued Register





```
public class RegMRSWRegister implements Register{
  RegBoolMRSWRegister[M] bit;
  public void write(int x) {
    this.bit[x].write(true);
    for (int i=x-1; i>=0; i--)
      this.bit[i].write(false);
  public int read() {
    for (int i=0; i < M; i++)
      if (this.bit[i].read())
        return i;
   }}
```



```
public class RegMRSWRegister implements Register{
  RegBoolMRSWRegister[M] bit;
  public void write(int x)
   bit[x].write(true);
    for (int i=x-1; i>=0; i--
     bit[i].write(false);
                      Unary representation:
                        bit[i] means value i
 public int read() {
    for (int i=0; i < M; i++)
      if (bit[i].read())
        return i;
   } }
```



```
public class RegMRSWRegisterimplements Register {
  RegBoolMRSWRegister[m] bit;
  public void write(int x) {
    bit[x].write(true);
    for (int i=x-1; ix=0; i--)
      bit[i].write(faice)
                            set bit x
  public int read() {
    for (int i=0; i < M; i++)
      if (bit[i].read())
        return i;
   } }
```



```
public class RegMRSWRegisterimplements Register {
  RegBoolMRSWRegister[m] bit;
  public void write(int x) {
    hit[x] write(true) .
    for (int i=x-1; i>=0; i--)
      bit[i].write(false);
                                  Clear bits
  public int read() {
    for (int i=0; i < M; i++)
                                 from higher
      if (bit[i].read())
                                   to lower
        return i;
   } }
```



```
public class RegMRSWRegisterimplements Register {
  RegBoolMRSWRegister[m] bit;
  public void write(int x) {
                                Scan from lower
    bit[x].write(true);
    for (int i=x-1; i>=0; i--)
                               to higher & return
     bit[i].write(false);
                                   first bit set
  public int read()
    for (int i=0; i < M; i++)
      if (bit[i].read())
        return i;
```



## Road Map

- SRSW safe Boolean
- MRSW safe Boolean
- MRSW regular Boolean
- MRSW regular
- MRSW atomic
- MRMW atomic
- Atomic snapshot







## Road Map

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- MRSW safe Boolean
- MRSW regular Boolean
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- MRSW atomic
- MRMW atomic
- Atomic snapshot



# Road Map (Slight Detour)

- SRSW safe Boolean
- MRSW safe Boolean
- MRSW regular Boolean
- MRSW regular
- MRSW atomic

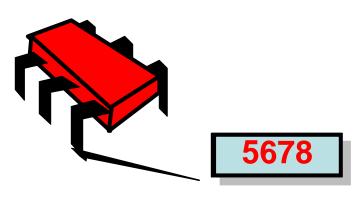


- MRMW atomic
- Atomic snapshot



# SRSW Atomic From SRSW Regular

### **Regular writer**



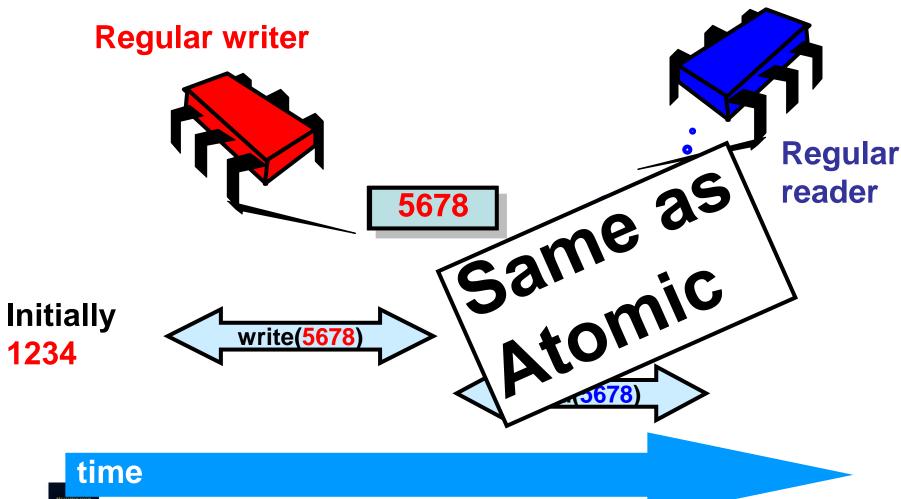
**Concurrent Reading** 



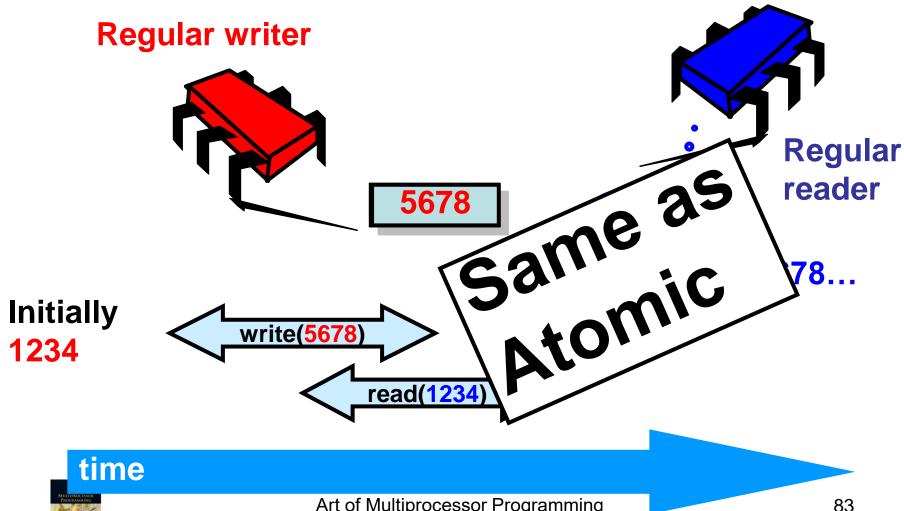
When is this a problem?



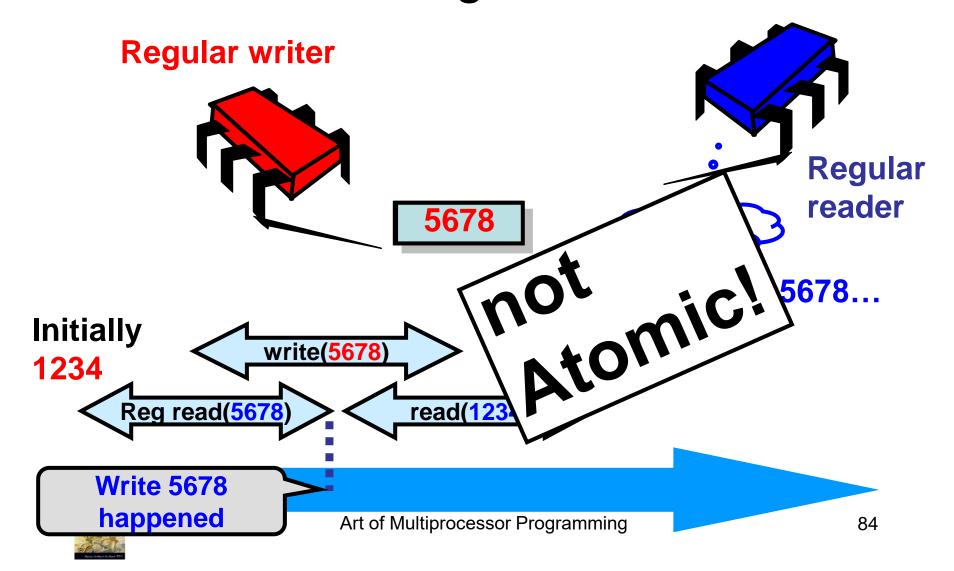
# SRSW Atomic From SRSW Regular



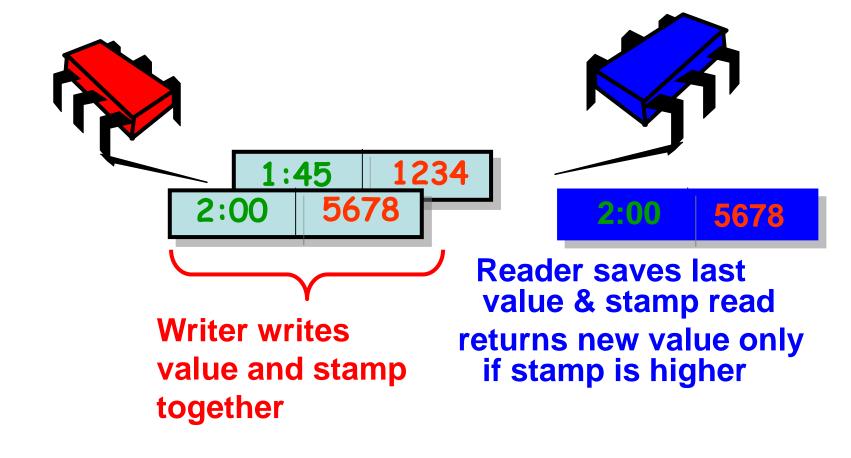
## SRSW Atomic From SRSW Regular



# SRSW Atomic From SRSW Regular

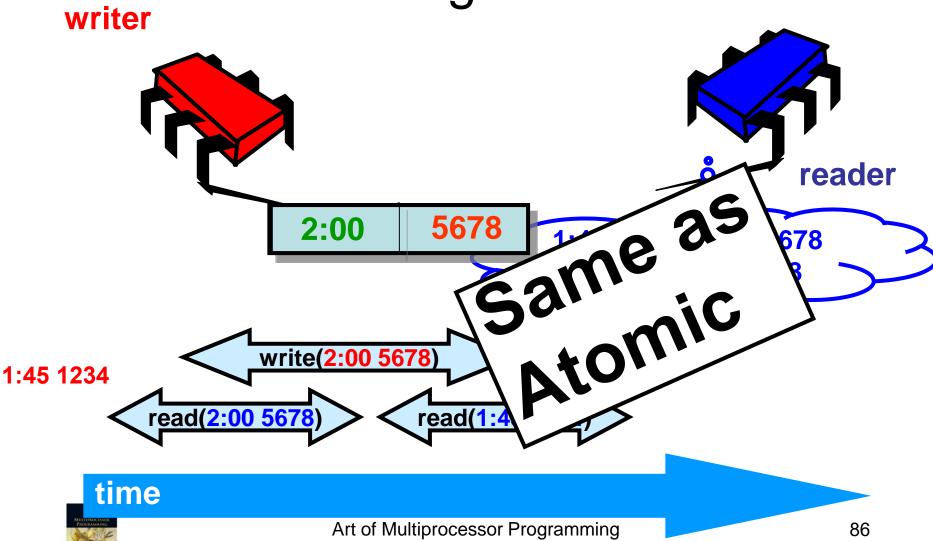


## Timestamped Values

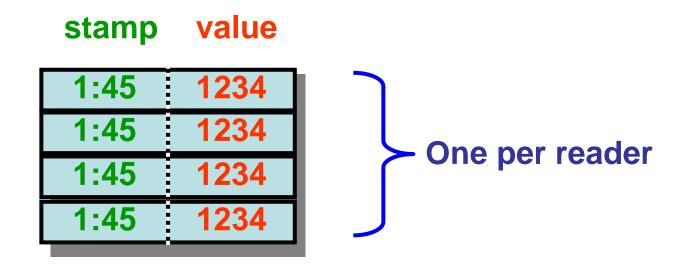




# SRSW Atomic From SRSW Regular

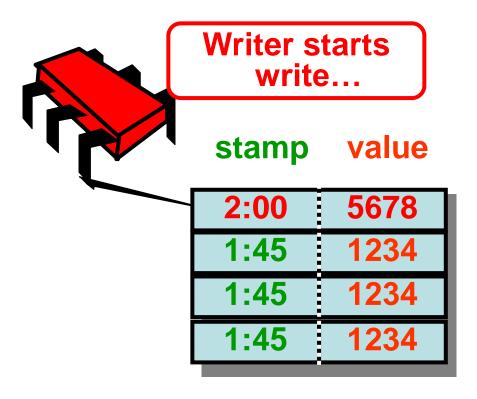


# Atomic Single-Reader to Atomic Multi-Reader



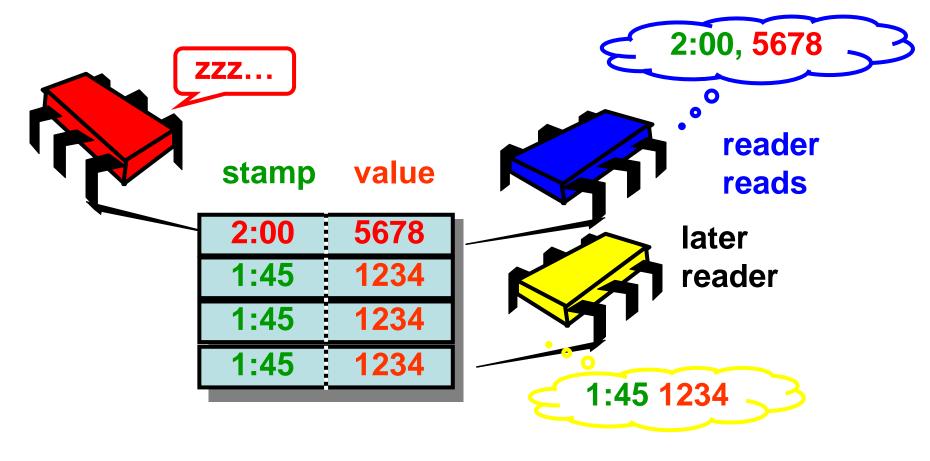


### **Another Scenario**





### **Another Scenario**



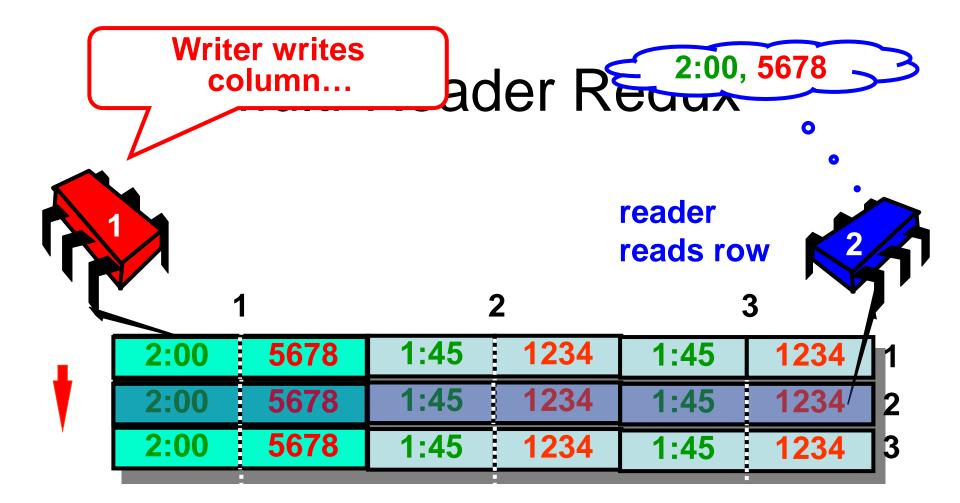
Yellow was completely after Blue but read earlier value...not linearizable!



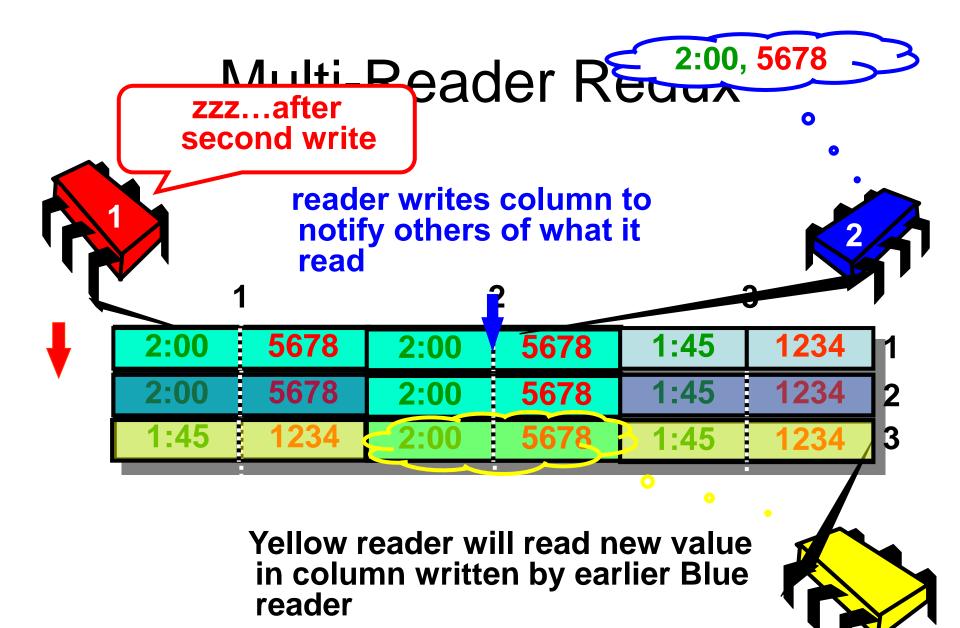
### Multi-Reader Redux

#### one per thread 3 1234 1234 1:45 1234 1:45 1:45 1:45 1234 1:45 1234 1:45 1234 1234 1:45 1:45 1234 1:45 1234 3



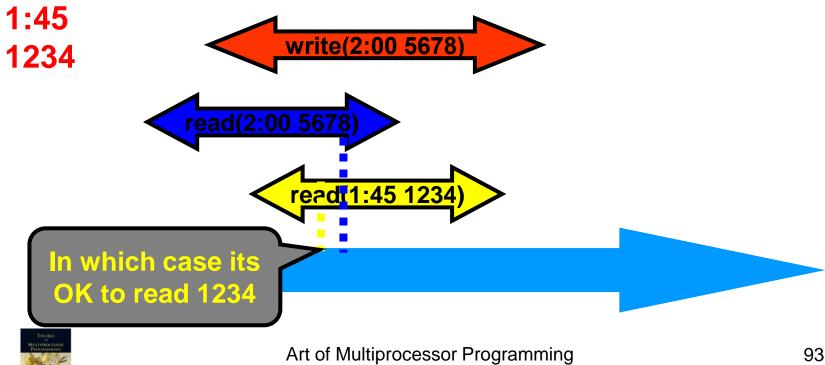




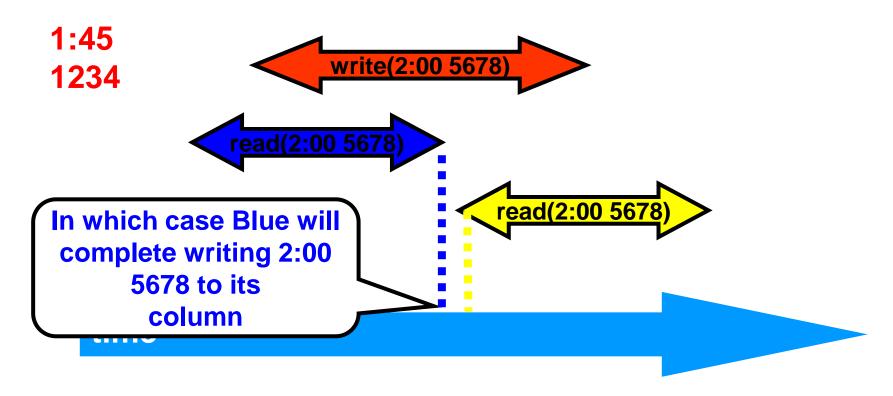




# Can't Yellow Miss Blue's Update? ... Only if Readers Overlap...



# Bad Case Only When Readers Don't Overlap





## Road Map

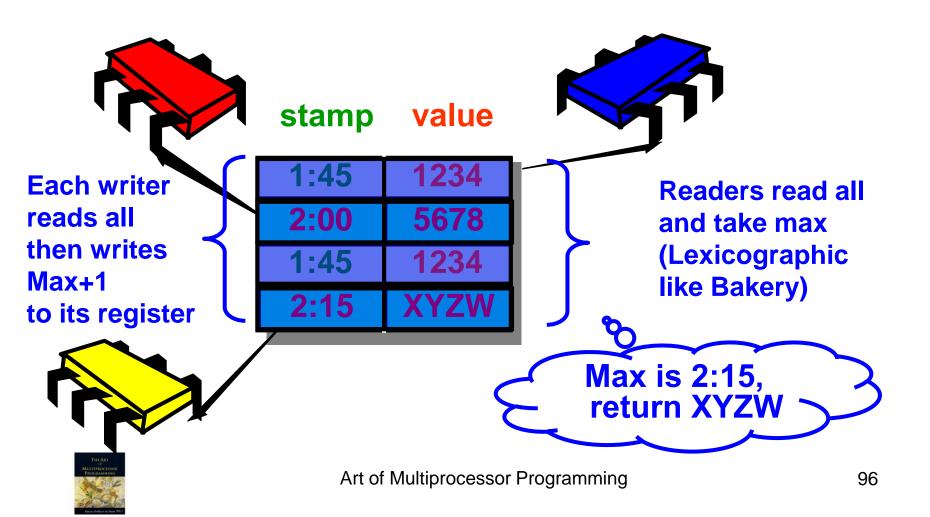
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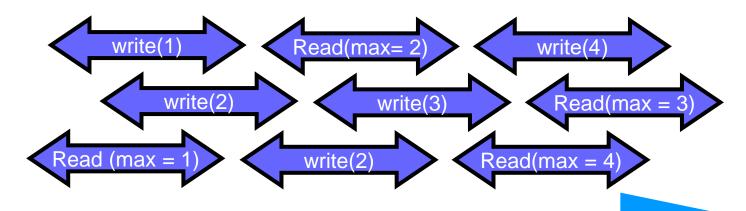
Atomic snapshot



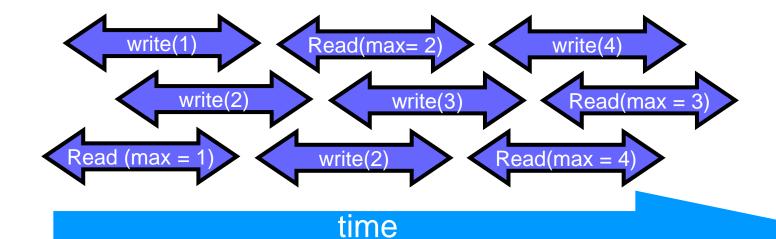
### Multi-Writer Atomic From Multi-Reader Atomic



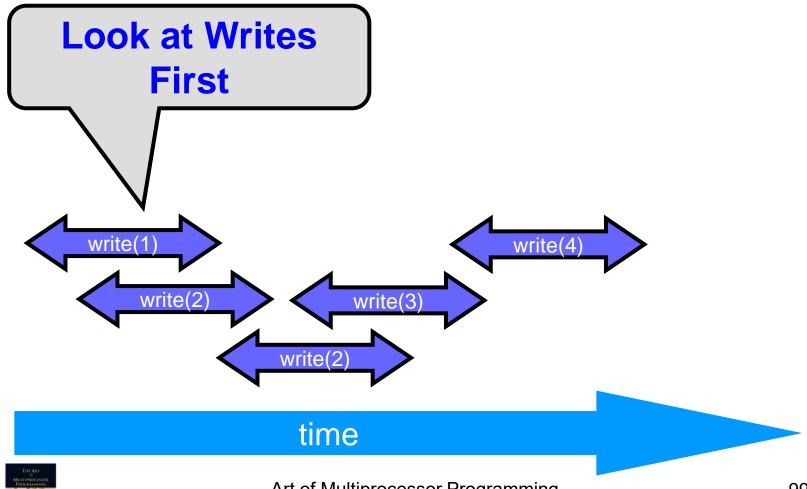
# Atomic Execution Means it is Linearizable



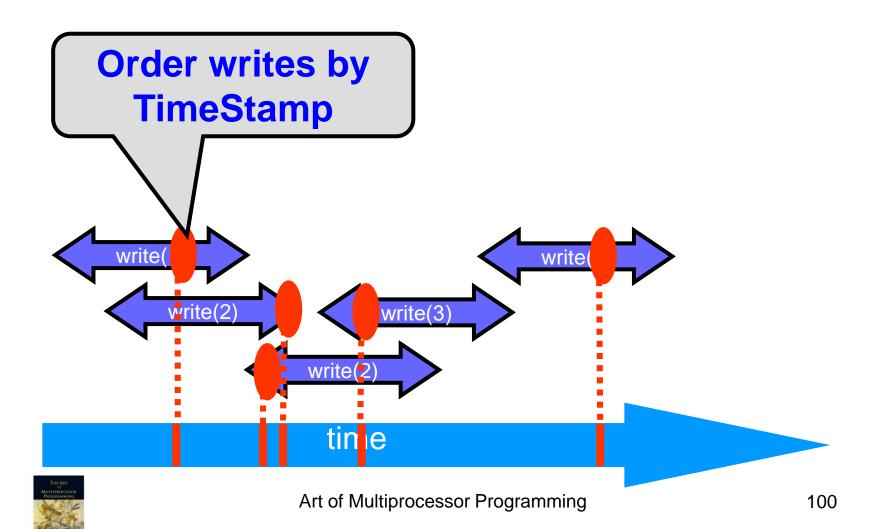


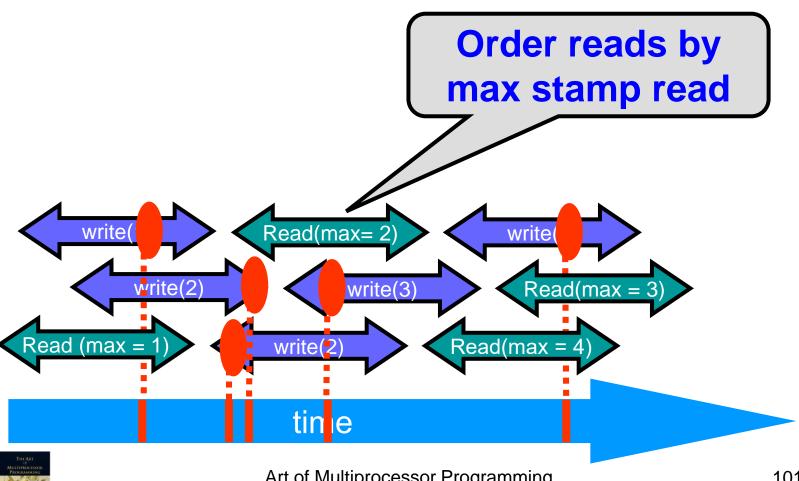


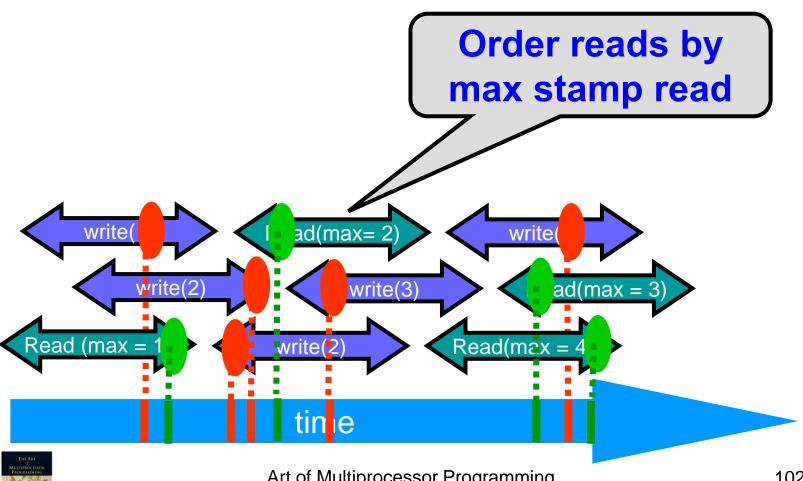




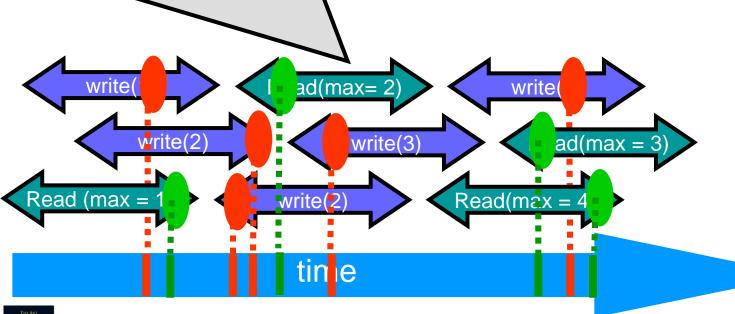








The linearization point depends on the execution (not a line in the code)!





## Road Map

- SRSW safe Boolean
- MRSW safe Boolean
- MRSW regular Boolean
- MRSW regular
- MRSW atomic
- MRMW atomic



Questions?

Atomic snapshot



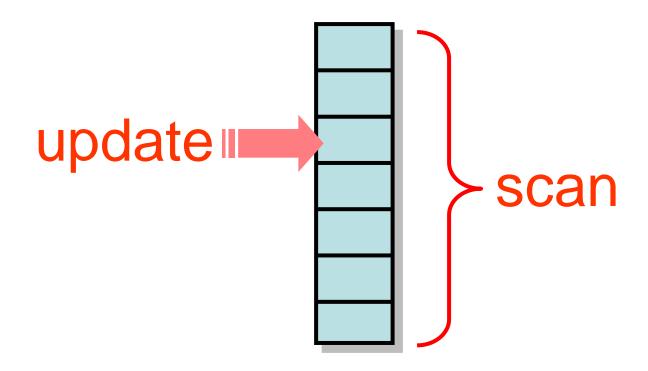
## Road Map

- SRSW safe Boolean
- MRSW safe Boolean
- MRSW regular Boolean
- MRSW regular
- MRSW atomic
- MRMW atomic
- Atomic snapshot





## **Atomic Snapshot**





# **Atomic Snapshot**

- Array of SWMR atomic registers
- Take instantaneous snapshot of all
- Generalizes to MRMW registers ....



## **Snapshot Interface**

```
public interface Snapshot {
  public int update(int v);
  public int[] scan();
}
```



#### Snapshot Interface

#### Thread i writes v to its register

```
public interface Snapshot {
    public int update(int v);
    public int[] scan();
}
```



#### Snapshot Interface

#### Instantaneous snapshot of all theads' registers

```
public interface Snapshot {
  public int update(int v);
  public int[] scan();
}
```



## **Atomic Snapshot**

- Collect
  - Read values one at a time
- Problem
  - Incompatible concurrent collects
  - Result not linearizable



#### Clean Collects

- Clean Collect
  - Collect during which nothing changed
  - Can we make it happen?
  - Can we detect it?

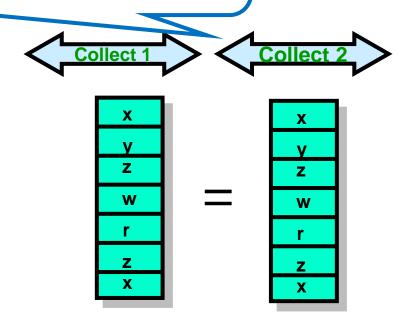


# Simple Snapshot

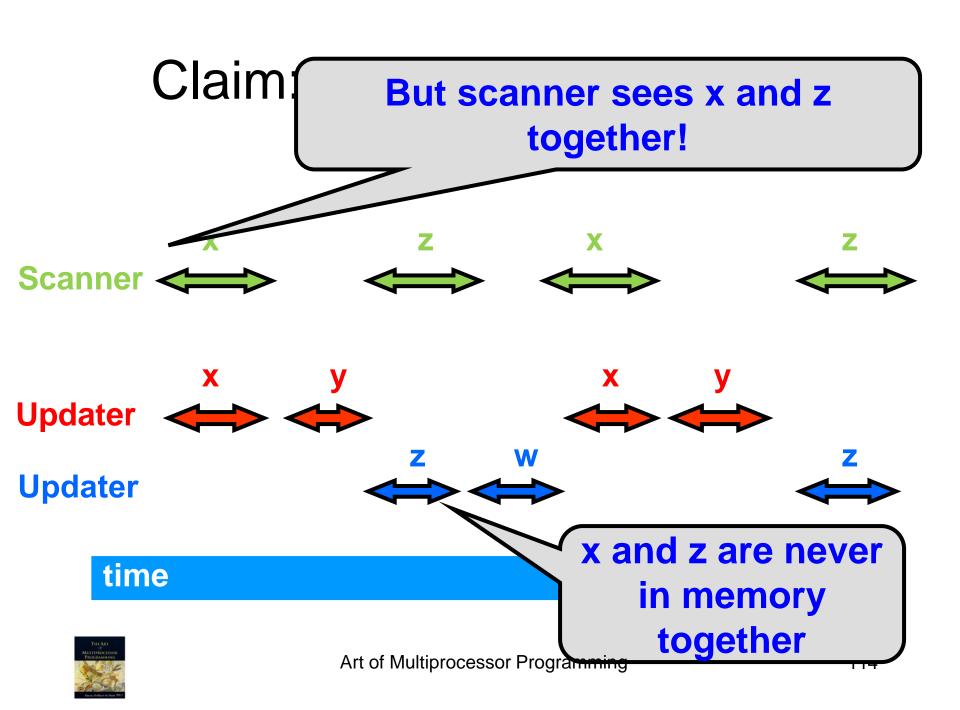
Put increasing labels on each entry

Problem: Scanner might not be collecting a snapshot!

- We're done
- Otherwise,
  - Try again









Scanner  $\leftarrow$ 

1,z

3,x

3,z

Updater

**Updater** 

1

1,**z** 2,**w** 

3,x 4,y

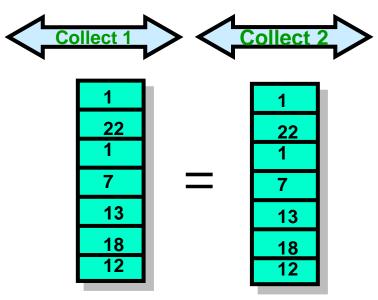
3,z

#### time



## Simple Snapshot

- Collect twice
- If both agree,
  - We're done
- Otherwise,
  - Try again





# Simple Snapshot: Update

```
public class SimpleSnapshot implements Snapshot {
  private AtomicMRSWRegister[] register;
  public void update(int value) {
    int i = Thread.myIndex();
      LabeledValue oldValue = register[i].read();
    LabeledValue newValue =
     new LabeledValue(oldValue.label+1, value);
    register[i].write(newValue);
```



## Simple Snapshot: Update

```
public class SimpleSnapshot implements Snapshot {
  private AtomicMRSWRegister[] register;
  public void update(int value) {
    int i = Thread.myIndex();
    LabeledValue oldValue = register[i].read();
    LabeledValue nevValue =
     new LabeledValue(oldValue.label+1, value);
    register[i].write(newValue);
```

One single-writer register per thread



## Simple Snapshot: Update

```
public class SimpleSnapshot implements Snapshot {
  private AtomicMRSWRegister[] register;
  public void update(int value) {
    int i = Thread.myIndex();
    LabeledValue oldValue = register[i].read();
    LabeledValue newValue =
     new LabeledValue(oldValue.label+1, value);
    register[i].write(newValue);
```

Write each time with higher label



## Simple Snapshot: Collect

```
private LabeledValue[] collect() {
  LabeledValue[] copy =
   new LabeledValue[n];
  for (int j = 0; j < n; j++)
   copy[j] = this.register[j].read();
  return copy;
}</pre>
```



## Simple Snapshot

```
private LabeledValue[] collect() {
  LabeledValue[] copy =
    new LabeledValue[n];

for (int j = 0; j < n; j++)
  copy[j] = this.register[j].read();
  return copy;
}</pre>
```

Just read each register into array



```
public int[] scan() {
 LabeledValue[] oldCopy, newCopy;
 oldCopy = collect();
 collect: while (true) {
  newCopy = collect();
  if (!equals(oldCopy, newCopy)) {
    oldCopy = newCopy;
    continue collect;
  return getValues(newCopy);
}}
```



```
public int[] scan() {
LabeledValue[] oldCopy, newCopy; Collect once
 oldCopy = collect();
 collect: while (true) {
  newCopy = collect();
  if (!equals(oldCopy, newCopy)) {
    oldCopy = newCopy;
    continue collect;
  return getValues(newCopy);
```



```
public int[] scan() {
LabeledValue[] oldCopy, newCopy, Collect once
 oldCopy = collect();
 collect: while (true)
                                Collect twice
  newCopy = collect();
  if (!equals(oldCopy, newCopy)) {
    oldCopy = newCopy;
    continue collect;
  return getValues(newCopy);
```



```
public int[] scan() {
                                 Collect once
LabeledValue[] oldCopy, newCopy,
 oldCopy = collect();
 collect: while (true)
                                Collect twice
  newCopy = collect();
  if (!equals(oldCopy, newCopy))
    oldCopy = newCopy;
    continue collect;
                                On mismatch,
  return getValues (newCopy);
                                   try again
```



```
public int[] scan() {
LabeledValue[] oldCopy, newCopy, Collect once
 oldCopy = collect();
 collect: while (true)
                                Collect twice
 newCopy = collect();
  if (!equals(oldCopy, newCopy)) {
                           On match, return
  oldCopy = newCopy;
   continue collect;
                                  values
  return getValues(newCopy);
```



## Simple Snapshot

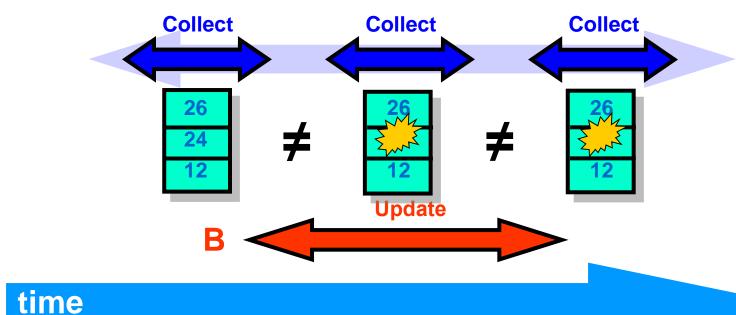
- Linearizable
- Update is wait-free
  - No unbounded loops
- But Scan can starve
  - If interrupted by concurrent update



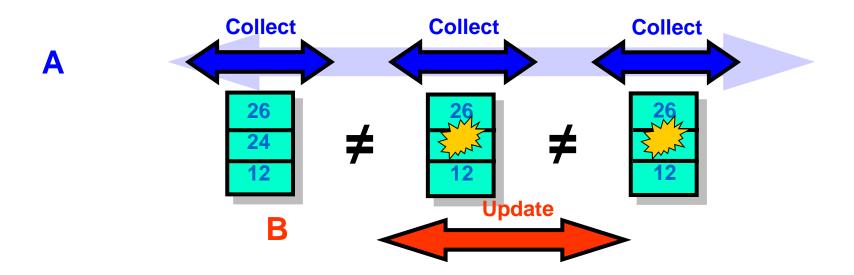
- Add a scan before every update
- Write resulting snapshot together with update value
- If scan is continuously interrupted by updates, scan can take the update's snapshot



If A's scan observes that B moved **twice**, then B completed an update while A's scan was in progress

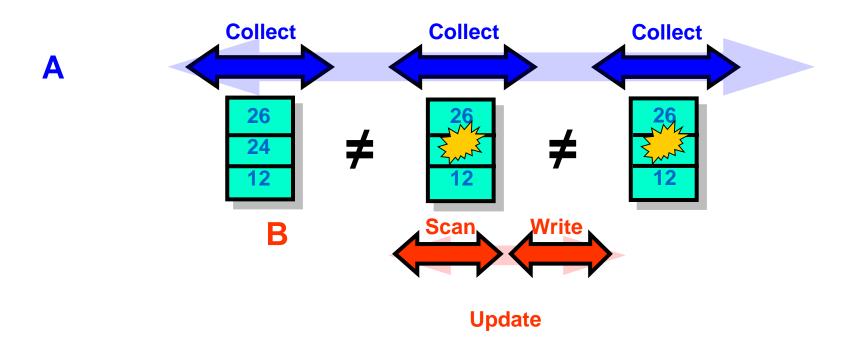






#### time

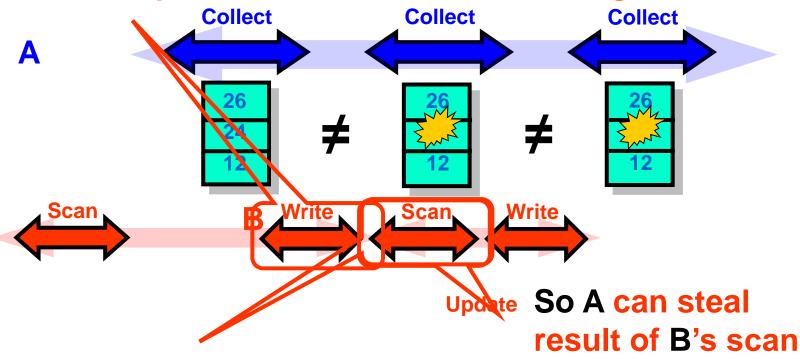




#### time

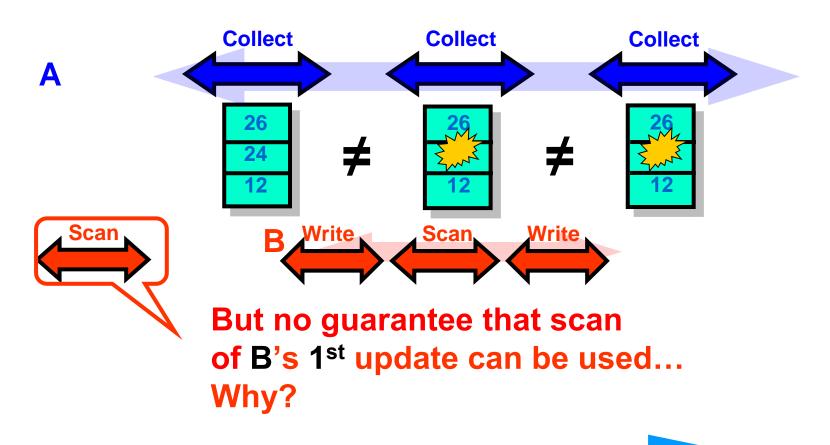


B's 1<sup>st</sup> update must have written during 1<sup>st</sup> collect



So scan of B's second update must be within interval of A's scan time

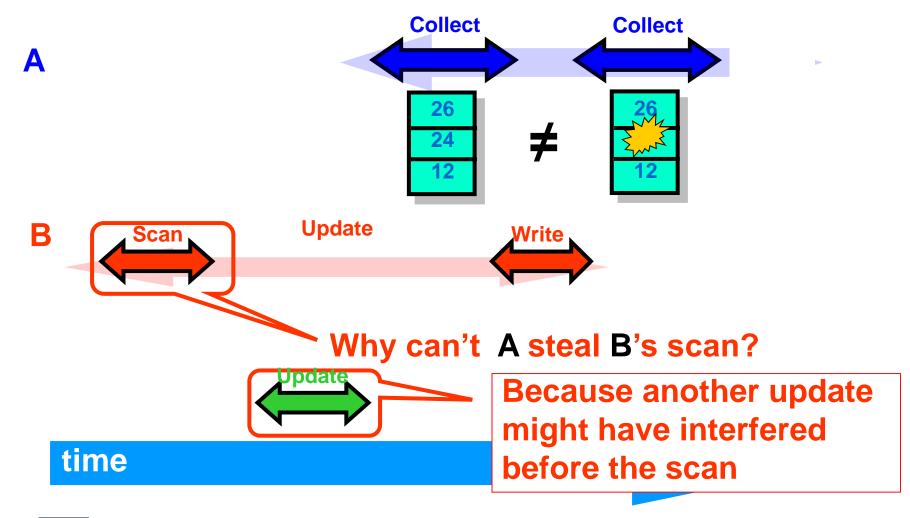




#### time

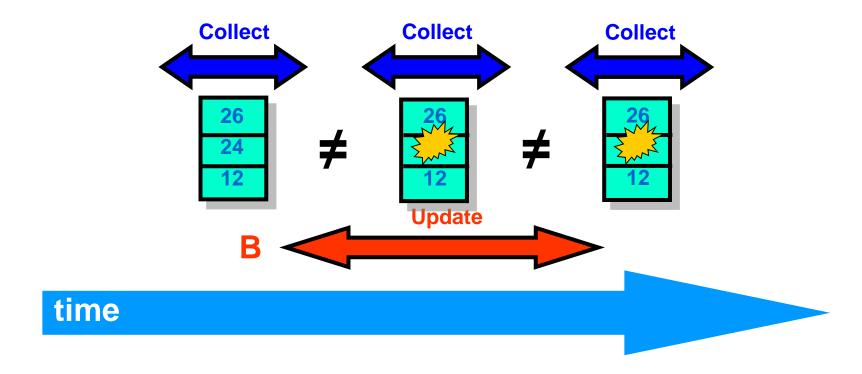


## Once is not Enough





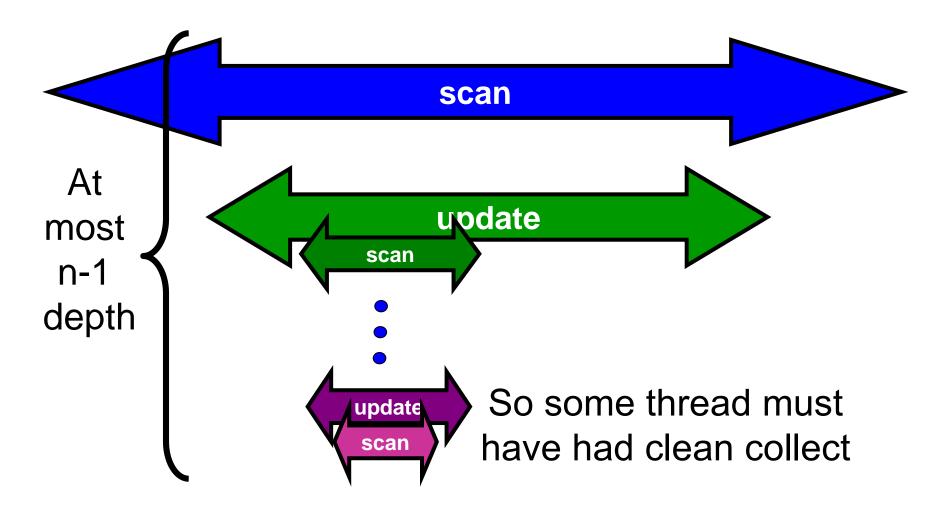
#### Someone Must Move Twice



If we collect *n* times...some thread must move twice (pigeonhole principle)



#### Scan is Wait-free





```
public class SnapValue {
  public int label;
  public int value;
  public int[] snap;
}
```



```
public class SnapValue {
  public int label;
  public int value;
  public int[] snap;
}
Counter incremented
```

with each snapshot

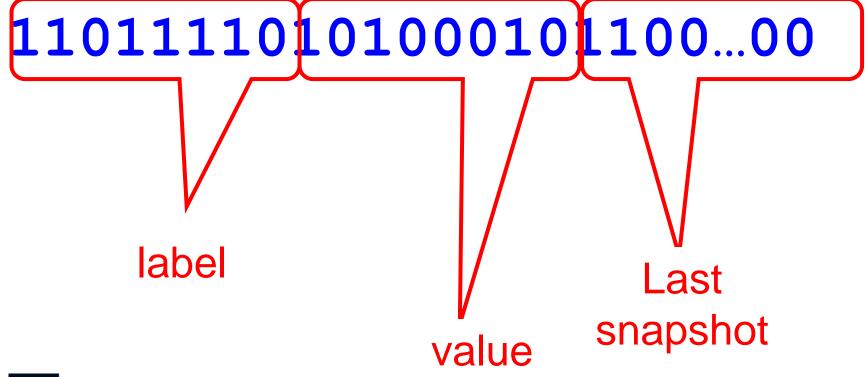


```
public class SnapValue {
  public int label;
  public int value;
  public int[] snap;
}
Actual value
```



```
public class SnapValue {
  public int label;
  public int value;
  public int[] snap;
}
most recent snapshot
```







#### Wait-free Update

```
public void update(int value) {
 int i = Thread.myIndex();
 int[] snap = this.scan();
 SnapValue oldValue = r[i].read();
 SnapValue newValue =
  new SnapValue(oldValue.label+1,
                value, snap);
 r[i].write(newValue);
```



#### Wait-free Scan

```
public void update(int value) {
 int i = Thread.myIndex(): Take scan
int[] snap = this.scan();
 SnapValue oldValue = r[i].read();
 SnapValue newValue =
  new SnapValue(oldValue.label+1,
                value, snap);
 r[i].write(newValue);
```



#### Wait-free Scan

```
public void update(int value) {
 int i = Thread.myIndex():
Take Scan
 int[] snap = this.scan();
 SnapValue oldValue = r[i].read();
 SnapValue newValue =
  new SnapValue(oldValue.label+1,
                value, snap);
 r[i].write(newValue);
                Label value with scan
```



```
public int[] scan() {
 SnapValue[] oldCopy, newCopy;
 boolean[] moved = new boolean[n];
 oldCopy = collect();
 collect: while (true) {
 newCopy = collect();
 for (int j = 0; j < n; j++) {
   if (oldCopy[j].label != newCopy[j].label) {
 }}
 return getValues(newCopy);
}}}
```



```
public int[] scan() {
 SnapValue[] oldCopy, newCopy;
 boolean[] moved = new boolean[n];
 oldCopy = collect();
 collect: while (true)
 newCopy = collect();
 for (int j = 0; j < n; j+A
   if (oldCopy[j].label != newCopy[j].label) {
                  Keep track of who moved
 }}
 return getValues (newCopy);
} } }
```



```
public int[] scan() {
 SnapValue[] oldCopy, newCopy;
 boolean[] moved = new boolean[n];
 oldCopy = collect();
 collect: while (true) {
 newCopy = collect();
 for (int j = 0, j < n; j++) {
   }}
 return getValues(newCopy);
} } }
```





```
public int[] scan() {
 SnapValue[] oldCopy, newCopy;
 boolean[] moved = new boolean[n];
 oldCopy = collect();
 collect: while (true) {
 newCopy = collect();
 for (int j = 0; j < n; j++)
   if (oldCopy[j].label != newCopy[j].label)
        getValues (new
} } }
```





#### Mismatch Detected

```
if (oldCopy[j].label != newCopy[j].label) {
   if (moved[j]) {      // second move
    return newCopy[j].snap;
   } else {
   moved[j] = true;
    oldCopy = newCopy;
    continue collect;
  111
  return getValues(newCopy);
}}}
```



### Mismatch Detected

```
if (oldCopy[j].label != newCopy[j].label) {
  if (moved[j]) {
    return newCopy[j].snap;
    else {
   moved[j] = true;
    oldCopy = newCopy;
   continue collect; If thread moved twice,
                        just steal its second
  }}}
  return getValues(newCopy);
                               snapshot
}}}
```



### Mismatch Detected

```
if (oldCopy[j].label != newCopy[j].label) {
  if (moved[j]) {     // second move
    return newCopy[j].snap;
   } else {
   moved[j] = true;
                               Remember that
   oldCopy = newCopy;
                               thread moved
    continue collect;
 return getValues (newCopy);
} } }
```



#### Observations

- Uses unbounded counters
  - can be replaced with 2 bits
- Assumes SWMR registers
  - for labels
  - can be extended to MRMW



# Summary

- We saw we could implement MRMW multi valued snapshot objects
- From SRSW binary safe registers (simple flipflops)
- But what is the next step to attempt with read-write registers?

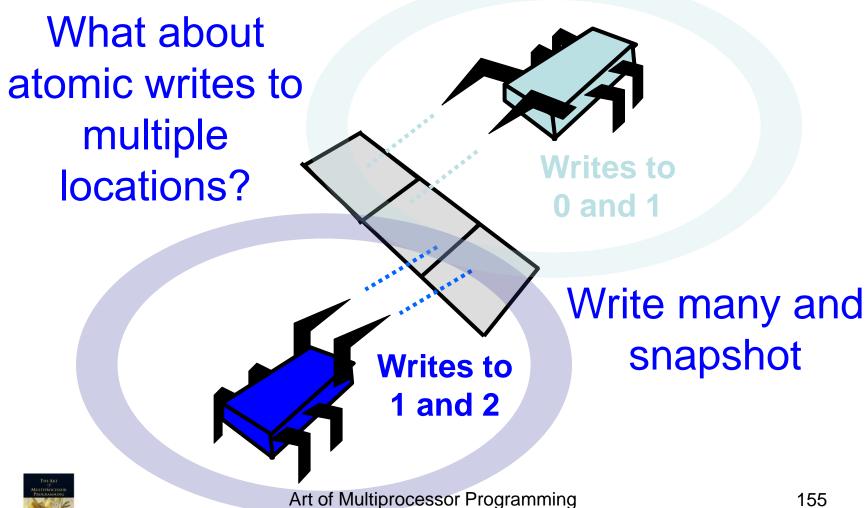


# Grand Challenge

- Snapshot means
  - Write any one array element
  - Read multiple array elements



# Grand Challenge





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