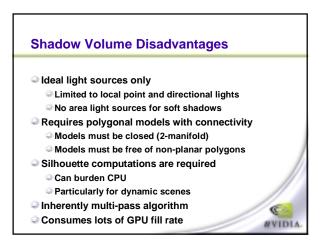
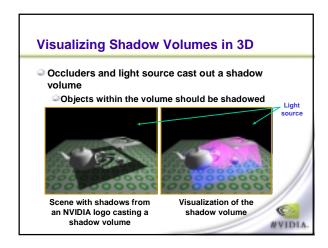
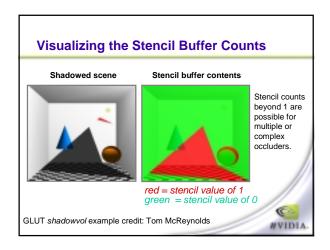
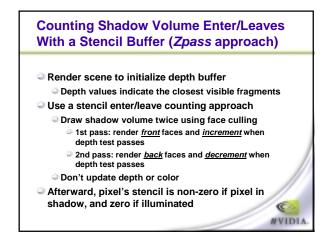


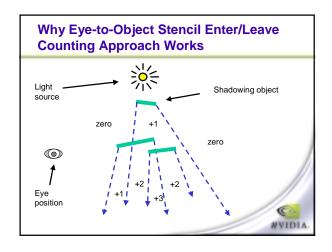
# Shadow Volume Advantages Omni-directional approach Not just spotlight frustums as with shadow maps Automatic self-shadowing Everything can shadow everything, including self Without shadow acne artifacts as with shadow maps Window-space shadow determination Shadows accurate to a pixel Or sub-pixel if multisampling is available Required stencil buffer broadly supported today OpenGL support since version 1.0 (1991) Direct3D support since DX6 (1998)

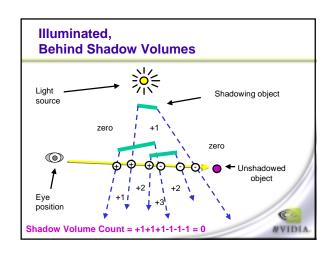


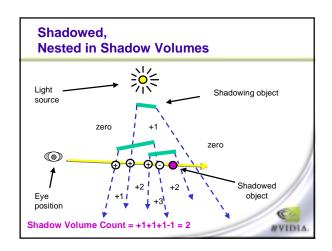


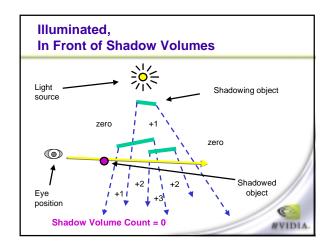


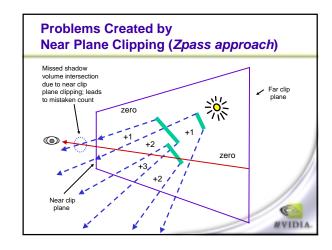




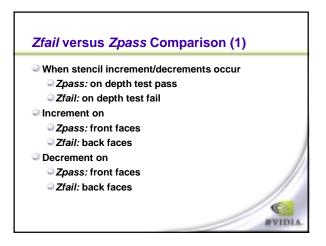








# Alternative Approach: Zfail Render scene to initialize depth buffer Depth values indicate the closest visible fragments Use a stencil enter/leave counting approach Draw shadow volume twice using face culling 1st pass: render back faces and increment when depth test fails 2nd pass: render front faces and decrement when depth test fails Don't update depth or color Afterward, pixel's stencil is non-zero if pixel in shadow, and zero if illuminated



# Zfail versus Zpass Comparison (2) Both cases order passes based stencil operation First, render increment pass Second, render decrement pass Why? Because standard stencil operations saturate Wrapping stencil operations can avoid this Which clip plane creates a problem Zpass: near clip plane Zfail: far clip plane Either way is foiled by view frustum clipping Which clip plane (front or back) changes



### **Avoiding Far Plane Clipping**

- Usual practice for perspective GL projection matrix
  - Use glFrustum (or gluPerspective)
  - Requires two values for near & far clip planes
    - Near plane's distance from the eye
    - Far plane's distance from the eye
  - Assumes a finite far plane distance
- Alternative projection matrix
  - Still requires near plane's distance from the eye
  - But assume far plane is at infinity
- What is the limit of the projection matrix when the far plane distance goes to infinity?

### Standard glFrustum Projection Matrix

$$\mathbf{P} = \begin{bmatrix} \frac{2 \times Near}{Right - Left} & 0 & \frac{Right + Left}{Right - Left} & 0 \\ 0 & \frac{2 \times Near}{Top - Bottom} & \frac{Top + Bottom}{Top - Bottom} & 0 \\ 0 & 0 & -\frac{Far + Near}{Far - Near} & -\frac{2 \times Far \times Near}{Far - Near} \\ 0 & 0 & -1 & 0 \end{bmatrix}$$

Only third row depends on Far and Near



# Limit of *glFrustum* Projection Matrix as Far Plane is Moved to Infinity

$$\lim_{Far \to \infty} \mathbf{P} = \mathbf{P}_{\inf} = \begin{bmatrix} \frac{2 \times Near}{Right - Left} & 0 & \frac{Right + Left}{Right - Left} & 0 \\ 0 & \frac{2 \times Near}{Top - Bottom} & \frac{Top + Bottom}{Top - Bottom} & 0 \\ 0 & 0 & -1 & -2 \times Near \\ 0 & 0 & -1 & 0 \end{bmatrix}$$

- First, second, and fourth rows are the same as in P
- But third row no longer depends on Far
  - Effectively, Far equals ∞



WVIDIA

## Verifying P<sub>inf</sub> Will Not Clip Infinitely Far Away Vertices (1)

- What is the most distant possible vertex in front of the eye?
  - Ok to use homogeneous coordinates
  - OpenGL convention looks down the negative Z axis
  - So most distant vertex is (0,0,-D,0) where D>0
- Transform (0,0,-D,0) to window space
  - Is such a vertex clipped by Pinf?
  - No, it is not clipped, as explained on the next slide



### Verifying P<sub>inf</sub> Will Not Clip Infinitely Far Away Vertices (2)

Transform eye-space (0,0,-D,0) to clip-space

$$\begin{bmatrix} x_c \\ y_c \\ -D \\ -D \\ -D \end{bmatrix} = \begin{bmatrix} x_c \\ y_c \\ z_c \\ w_c \end{bmatrix} = \begin{bmatrix} 2 \times Near \\ Right-Left \\ 0 \\ 0 \\ 0 \end{bmatrix} \begin{bmatrix} 0 \\ Right-Left \\ Top-Bottom \\ Top-Bottom \\ 0 \\ 0 \end{bmatrix} \begin{bmatrix} 0 \\ 0 \\ -D \\ 0 \end{bmatrix} \begin{bmatrix} 0 \\ 0 \\ -D \\ 0 \end{bmatrix}$$

Then, assuming glDepthRange(0,1), transform clipspace position to window-space position

$$z_w = 0.5 \times \frac{z_c}{w_0} + 0.5 = 0.5 \times \frac{-D}{-D} + 0.5 = 1$$

So ∞ in front of eye transforms to the maximum window-space Z value, but is still within the valid depth range (i.e., not clipped)



- Naïve question
  - Wouldn't moving the far clip plane to infinity waste depth buffer precision? Seems plausible, but
- Answer: Not really
  - Minimal depth buffer precision is wasted in practice
  - This is due to projective nature of perspective
- Say Near is 1.0 and Far is 100.0 (typical situation)
  - P would transform eye-space infinity to only 1.01 in window space
  - Only a 1% compression of the depth range is required to render infinity without clipping
  - Moving near closer would hurt precision



## P<sub>inf</sub> Depth Precision Scale Factor

Using Pinf with Near instead of P with Near and Far compresses (scales) the depth precision by

$$\frac{(Far-Near)}{Far}$$

- The compression of depth precision is uniform, but the depth precision itself is already non-uniform on eye-space interval [Near,Far] due to perspective
  - So the discrete loss of precision is more towards the far clip plane
- Normally, Far >> Near so the scale factor is usually less than but still nearly 1.0
  - So the compression effect is minor

# Robust Stenciled Shadow Volumes Without Near (or Far) Plane Capping

- Use Zfail Stenciling Approach
  - Must render geometry to close shadow volume extrusion on the model and at infinity (explained later)
- Use the P<sub>inf</sub> Projection Matrix
  - No worries about far plane clipping
  - Losses some depth buffer precision (but not much)
- Draw the infinite vertices of the shadow volume using homogeneous coordinates (w=0)



# Rendering Closed, but Infinite, Shadow Volumes

- To be robust, the shadow volume geometry must be closed, even at infinity
- Three sets of polygons close the shadow volume
- 1. Possible silhouette edges extruded to infinity away from the light
- 2. All of the occluder's back-facing (w.r.t. the light) triangles projected away from the light to infinity
- 3. All of the occluder's front-facing (w.r.t. the light) triangles
- We assume the object vertices and light position are homogeneous coordinates, i.e. (x,y,z,w)
  - Where w≥0

### 1st Set of Shadow Volume Polygons

- Assuming
  - A and B are vertices of an occluder model's possible silhouette edge
  - And L is the light position
- For all A and B on silhouette edges of the occluder model, render the quad

 $\left< B_{s}, B_{s}, B_{s}, B_{w} \right>$   $\left< A_{s}, A_{s}, A_{s}, A_{w} \right>$   $\left< A_{s}, L_{w} - L_{s}A_{w}, A_{s}L_{w} - L_{s}A_{w}, A_{s}L_{w} - L_{c}A_{w}, 0 \right>$   $\left< B_{s}L_{w} - L_{s}B_{w}, B_{s}L_{w} - L_{s}B_{w}, B_{s}L_{w} - L_{c}B_{w}, 0 \right>$ 

Homogenous vector differences

- What is a possible silhouette edge?
  - One polygon sharing an edge faces toward L
  - Other faces away from L

# WVIDIA

# **Examples of Possible Silhouette Edges for Quake2 Models**



An object viewed from the same basic direction that the light is shining on the object has an identifiable light-view silhouette



An object's light-view silhouette appears quite jumbled when viewed form a point-of-view that does not correspond well with the light's point-of-view

WVIDIA

WVIDIA

WVIDIA

### 2<sup>nd</sup> and 3<sup>rd</sup> Set of Shadow Volume Polygons

- 2nd set of polygons
  - Assuming A, B, and C are each vertices of occluder model's <u>back</u>-facing triangles w.r.t. light position L

Homogenous vector differences

- ■These vertices are effectively directions (w=0)
- 3rd set of polygons
  - Assuming A, B, and C are each vertices of occluder model's <u>front-facing</u> triangles w.r.t. light position L

# Complete Robust Stenciled Shadow Volume Rendering Technique

- See our paper "Practical and Robust Stenciled Shadow Volumes for Hardware-Accelerated Rendering"
  - In the accompanying course notes
  - And on-line at developer.nvidia.com
- Paper has pseudo-code for rendering procedure
  - OpenGL state settings & rendering commands
  - Supports multiple per-vertex lights
  - Assumes application computes object-space determination of occluder model's polygons orientation w.r.t. each light



# Requirements for Our Stenciled Shadow Volume Technique (1)

- Models must be composed of triangles only (avoiding non-planar polygons)
- 2. Models must be closed (2-manifold) and have a consistent winding order
  - Bergeron ['86] approach could be used to handle "open" models if necessary
- Homogeneous object coordinates are permitted, assuming w≥0
  - If not, (x, y, z, -1) = (-x, -y, -z, 1)
- 4. Ideal light sources only
  - Directional or positional, assuming w≥0



# Requirements for Our Stenciled Shadow Volume Technique (2)

- 5. Connectivity information for occluding models must be available
  - So silhouette edges w.r.t. light positions can be determined at shadow volume construction time
- 6. Projection matrix must be perspective
  - Not orthographic
  - NV\_depth\_clamp extension provides orthographic support (more later)
- 7. Render must guarantee "watertight" rasterization
  - No double hitting pixels at shared polygon edges
  - No missed pixels at shared polygon edges



# Requirements for Our Stenciled Shadow Volume Technique (3)

- 8. Enough stencil bits
  - N stencil bits where 2<sup>N</sup> is greater than the maximum shadow depth count ever encountered
  - Scene dependent
  - 8-bits is usually quite adequate & what all recent stencil hardware provides
  - Wrapping stencil increment/decrement operations (i.e. OpenGL's EXT\_stencil\_wrap) permit deeper shadow counts, modulo aliasing with zero
  - Realize that shadow depths > 255 imply too much fill rate for interactive applications



# Requirements for Our Stenciled Shadow Volume Technique (4)

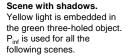
- Rendering features provided by OpenGL 1.0 or DirectX 6 (or subsequent versions)
  - Transformation & clipping of homogenous positions
  - Front- and back-face culling
  - Masking color and depth buffer writes
  - Depth buffering (i.e. conventional Z-buffering)
  - Stencil-testing support

In practice, these are quite reasonable requirements for nearly any polygonal-based 3D game or application



### Our Approach in Practice (1)

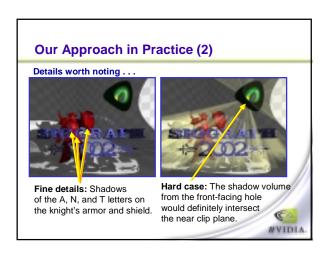


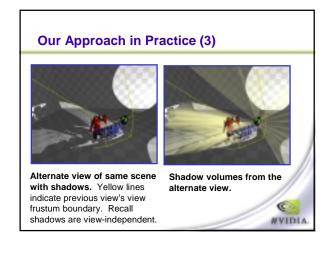


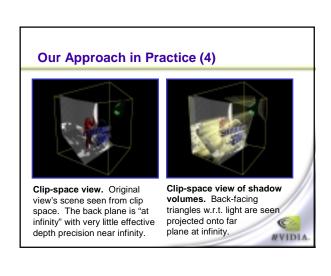


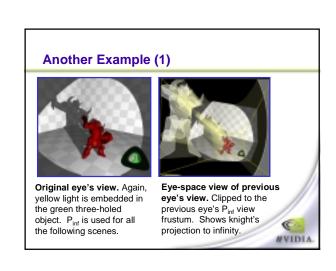
Same scene visualizing the shadow volumes.

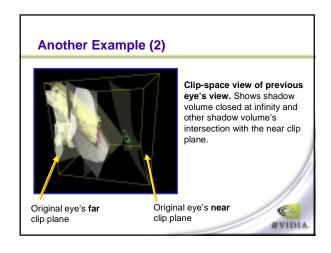














### Stenciled Shadow Volumes for Simulating Soft Shadows



Cluster of 12 dim lights approximating an area light source. Generates a soft shadow effect; careful about banding. 8 fps on GeForce4 Ti 4600.

The cluster of point lights.

WVIDIA

# Stenciled Shadow Volume Optimizations (1)

- Use GL\_QUAD\_STRIP rather than GL\_QUADS to render extruded shadow volume quads
  - Requires determining possible silhouette loop connectivity
- Mix Zfail and Zpass techniques
  - Pick a single formulation for each shadow volume
  - Zpass is more efficient since shadow volume does not need to be closed
  - Mixing has no effect on net shadow depth count
  - Zfail can be used in the hard cases



# Stenciled Shadow Volume Optimizations (2)

- Pre-compute or re-use cache shadow volume geometry when geometric relationship between a light and occluder does not change between frames
  - Example: Headlights on a car have a static shadow volume w.r.t. the car itself as an occluder
- Advanced shadow volume culling approaches
  - Uses portals, Binary Space Partitioning trees, occlusion detection, and view frustum culling techniques to limit shadow volumes
  - Careful to make sure such optimizations are truly correct



# Stenciled Shadow Volume Optimizations (3)

- Take advantage of ad-hoc knowledge of scenes whenever possible
  - Example: A totally closed room means you do not have to cast shadow volumes for the wall, floor, ceiling
- Limit shadows to important entities
  - Example: Generate shadow volumes for monsters and characters, but not static objects
  - Characters can still cast shadows on static objects
- Mix shadow techniques where possible
  - Use planar projected shadows or light-maps where appropriate

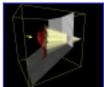


# Stenciled Shadow Volume Optimizations (4)

- Shadow volume's extrusion for directional lights can be rendered with a GL\_TRIANGLE\_FAN
  - Directional light's shadow volume always projects to a single point at infinity







Clip-space view of shadow volume



# Hardware Enhancements: Wrapping Stencil Operations

- Conventional OpenGL 1.0 stencil operations
  - GL\_INCR increments and clamps to 2<sup>N</sup>-1
  - GL\_DECR decrements and clamps to zero
- DirectX 6 introduced "wrapping" stencil operations
- Exposed by OpenGL's EXT\_stencil\_wrap extension
  - □ GL\_INCR\_WRAP\_EXT increments modulo 2<sup>N</sup>
- GL\_DECR\_WRAP\_EXT decrements modulo 2<sup>N</sup>
- Avoids saturation throwing off the shadow volume depth count
  - Still possible, though very rare, that 2<sup>N</sup>, 2x2<sup>N</sup>, 3x2<sup>N</sup>, etc. can alias to zero





- - Boolean hardware enable/disable
  - When enabled, disables the near & far clip planes
  - Interpolate the window-space depth value
  - Clamps the interpolated depth value to the depth range, i.e. [min(n, t), max(n, t)]
    - Assuming glDepthRange(n,f);
  - Geometry "behind" the far clip plane is still rendered
    - Depth value clamped to farthest Z
    - Similar for near clip plane, as long as w>0, except clamped to closest Z



## **Hardware Enhancements:** Depth Clamp (2)

- Advantage for stenciled shadow volumes
  - With depth clamp, P (rather than Pinf) can be used with our robust stenciled shadow volume technique
  - Marginal loss of depth precision re-gained
  - Orthographic projections can work with our technique with depth clamping
- NV depth clamp OpenGL extension
  - Easy to use
    - glEnable(GL\_DEPTH\_CLAMP\_NV); glDisable(GL\_DEPTH\_CLAMP\_NV);
  - GeForce3 & GeForce4 Ti support (soon)



### **Hardware Enhancements: Two-sided Stencil Testing (1)**

- Current stenciled shadow volumes required rendering shadow volume geometry twice
  - First, rasterizing front-facing geometry
  - Second, rasterizing <u>back</u>-facing geometry
- Two-sided stencil testing requires only one pass
  - Two sets of stencil state: front- and back-facing
  - Boolean enable for two-sided stencil testing
  - When enabled, back-facing stencil state is used for stencil testing back-facing polygons
  - Otherwise, front-facing stencil state is used
  - Rasterizes just as many fragments, but more efficient for CPU & GPU



### **Hardware Enhancements: Two-sided Stencil Testing (2)**

### NV\_stencil\_two\_side OpenGL extension

- Enable applies if GL STENCIL TEST also enabled glEnable(GL\_STENCIL\_TEST\_TWO\_SIDE\_NV); glDisable(GL\_STENCIL\_TEST\_TWO\_SIDE\_NV);
- Control of front- and back-facing stencil state update glActiveStencilFaceNV(GL\_FRONT); glActiveStencilFaceNV(GL\_BACK);
- Existing stencil routines (glStencilOp, glStencilMask, glStencilFunc) update the active stencil face state
- glClear and non-polygon primitives always use the front-facing stencil state
- Expect on future GPUs

### Usage of NV\_stencil\_two\_side & **EXT** stencil wrap

### **OLD SCHOOL**

glDepthMask(0); glColorMask(0,0,0,0); glEnable(GL\_CULL\_FACE); glEnable(GL\_STENCIL\_TEST); glEnable(Mask(0)); glStencilMask(~0); glStencilFunc(GL\_ALWAYS, 0, ~0); // Increment for back faces glCullFace(GL\_BACK); glStencilOp(GL\_KEEP, // stencil test fail GL\_INCR, // depth test fail GL\_INCR); // depth test pass

renderShadowVolumePolygonsy,
// Decrement for front faces
g/CullFace(GL\_FRONT);
g/Stenci/Op(GL\_KEEP, // stencil test fail
GL\_DECR, // depth test fail
GL\_KEEP); // depth test pas

### **NEW SCHOOL**

NEW SCHOUL
gliDepthMask(0);
glColorMask(0,0,0,0);
glColorMask(0,0,0,0);
glEnable(GL CULL\_FACE);
glEnable(GL STENCIL\_TEST);
glEnable(GL STENCIL\_TEST,TWO\_SIDE\_NV);
glActiveStencilFaceNV(GL\_BACK);
glStencilOp(GL\_KEEP, // stencil test fail
GL\_NCR\_WRAP\_EXT, // depth test pass
glStencilMask(-0);
glStencilForG(GL\_ALWAYS, 0, -0);
glActiveStencilFaceNV(GL\_FRONT);
glStencilFunG(GL\_ALWAYS, 0, +0);
glSten GL\_KEEP); // depth test pa glStencilFunc(GL\_ALWAYS, 0, ~0); renderShadowVolumePolycops();

New approach calls renderShadowVolumePolygons() just once



### **Shadow Volume History (1)**

- Invented by Frank Crow ['77]
  - Software rendering scan-line approach
- Brotman and Badler ['84]
  - Software-based depth-buffered approach
  - Used lots of point lights to simulate soft shadows
- Pixel-Planes [Fuchs, et.al. '85] hardware
  - First hardware approach
  - Point within a volume, rather than ray intersection
- Bergeron ['96] generalizations
  - Explains how to handle open models
  - And non-planar polygons



WVIDIA

