## ✓ Over 3.2 million investor scheme accounts



This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September 2016.

### Half Yearly Portfolio Statement as on September 30, 2016

			(Pv	irsuant to !	Reg	gulation 59A of Securities and	<b>Exchang</b>	ge Board of	India (Mı	ıtual F	unds)	Re	egulations, 1996)					
BIRLA	SUN LIFE ADV	VANTAGE FUND			41				BIRLA SU	UN LIFE S	SHORT 7	TERN	M OPPORTUNITIES FUND					
	Open Ended Gro folio as on Septem												ncome Scheme) nember 30, 2016					
Name of the Instrument	ISIN	Industry^		Market/ % to N Fair Value Asso		Name of the Instrument	ISIN	Rating	Quantity	Fair Value		1 1144	Name of the Instrument	ISIN	Rating	Quantity	Fair Value	
Die 0 Ponits related				(Rs in Lacs.)		Debt Instruments				(Rs in Lacs.)			Sub Total				(Rs in Lacs.) 37,889.89	
Equity & Equity related						(a) Listed / awaiting listing on Stock Exchange					J	Tot	Total				290,141.40	
(a) Listed / awaiting listing on Stock Exchanges	T TTC20C01010		711 252	202400 52	9	9.75% Reliance Utilities & Power Pvt Ltd **	INE936D07075		1,800			1 1	Government Securities	TN10020150060	2017	29 024 100		
Yes Bank Limited	INE528G01019		711,253	8,924.09 5.36	q	Tata Motors Finance Ltd (ZCB) ** 9.41% Tata Power Company Limited (FRN) **	INE909H07CR6 INE245A08075		1,500 1,500			1 1	7.59% GOI (MD 20/03/2029) 3.83% GOI (MD 25/11/2023)	IN0020150069 IN0020130061		38,934,100 28,000,000		
HDFC Bank Limited	INE040A01026		610,620	7,772.28 4.67	67%   7	7.8759% Tata Capital Financial Services Ltd **	INE306N07IR0	CRISIL AA+	1,500	15,000.00	00 3.08%	7.68	7.68% GOI (MD 15/12/2023)	IN0020150010	SOV	24,000,000	24,974.98	3 5.12%
Eicher Motors Limited	INE066A01013		26,407	6,556.28 3.94	111	4.00% Indiabulls Housing Finance Limited ** 10.40% L&T Vrindavan Properties Ltd **	INE148I07AO0 INE246Q07014		1,200 500				3.15% GOI (MD 24/11/2026) 7.59% GOI(MD 11/01/2026)	IN0020140060 IN0020150093		18,000,000 14,000,000		
State Bank of India	INE062A01020		2,487,500	6,249.84 3.75	75%     1	11.00% Cholamandalam Investment and Finance Company Limited **	INE121A08LS0		1,000			8.50	3.56% RAJASTHAN SDL - (MD 23/01/2023)	IN2920120135	SOV	13,500,000	14,344.21	2.94%
Dalmia Bharat Limited	INE439L01019		326,422	6,107.36 3.67	9	9.70% Adani Transmission Limited **	INE931S07140		1,000				8.85% Punjab SDL (MD 09/07/2019) 8.79% GOI (MD 08/11/2021)	IN2820140043 IN0020110030		6,000,000 5,000,000		
Century Textiles & Industries Limited	INE055A01016		586,000	5,526.27 3.32	32%	9.13% Piramal Enterprises Limited **	INE140A08SH8	3 ICRA AA	1,000	10,035.39	9 2.06%	8.2	3.25% Himachal Pradesh SDL(MD 20/01/2020)	IN1720090035	SOV	5,000,000	5,160.10	1.06%
Maruti Suzuki India Limited	INE585B01010		100,000	5,477.30 3.29	2 / 1   9	7.70% Tata Power Company Limited ** 9.45% Indiabulls Housing Finance Limited **	INE245A08083 INE148I07DZ0		1,000 900				3.36% Gujarat SDL(MD 16/03/2021) 3.51% Haryana SDL (MD 10/02/2026)	IN1520100141 IN1620150137		2,500,000 2,000,000		
Aurobindo Pharma Limited	INE406A01037		588,800	5,036.89 3.03	03% 8	8.10% Reliance Jio Infocomm Limited	INE110L07054	CRISIL AAA	750	7,556.97	7 1.55%	8.8	3.82% Bihar SDL (MD 24/02/2026)	IN1320150049	SOV	1,500,000	1,647.57	7 0.34%
Natco Pharma Limited	INE987B01026	5 Pharmaceuticals	830,197	4,955.03 2.98		8.37% Nirchem Cement Limited ** 9.40% Dewan Housing Finance Corporation Limited **	INE548V07013 INE202B07GR0		750 650			.    0.00	8.08% GOI (MD 02/08/2022) 7.88% GOI (MD 19/03/2030)	IN0020070028 IN0020150028		1,500,000 500,000		
IndusInd Bank Limited	INE095A01012	2 Banks	373,814	4,470.63 2.69	69%   8	8.80% Indiabulls Housing Finance Limited **	INE148I07FV4	CAREAAA	650	6,484.67	57 1.33%	Tot	Total	1110020120	301	~ · · · · · ·	170,205.70	
ICICI Bank Limited	INE090A01021	I Banks	1,750,445	4,413.75 2.65		11.50% Cholamandalam Investment and Finance Company Limited **	INE121A08MV2	. ICRA AA	500	5,380.75	75 1.10%		Money Market Instruments Commercial Paper					'
Shree Cements Limited	INE070A01015	5 Cement	25,000	4,260.68 2.56	56%   1	11.70% Cholamandalam Investment and Finance Company Limited **	INE121A08MI9	ICRA AA	500	5,341.19	9 1.10%	Nat	National Fertilizers Limited ** #	INE870D14999	ICRA A1+	500	,	
Hero MotoCorp Limited	INE158A01026	5 Auto	124,200	4,239.82 2.55	55%     8	8.32% Reliance Jio Infocomm Limited **	INE110L07070		500			0	Sub Total Fotal				2,475.62 2,475.62	
Infosys Limited	INE009A01021	I Software	372,000	3,854.11 2.32	32% 9	9.13% Cholamandalam Investment and Finance Company Limited **	INE121A07MA8	i ICRA AA	500	5,065.81	31 1.04%	0   1	Total CBLO / Reverse Repo				2,475.62	2 0.51%
Tata Motors Limited	INE155A01022	2 Auto	710,000	3,797.44 2.28	28% 9	9.40% Piramal Enterprises Limited **	INE140A07112		500			Cle	Clearing Corporation of India Ltd				2,852.05	
Hindustan Petroleum Corporation Limited	INE094A01015	5 Petroleum Products	830,100	3,519.21 2.11		9.05% Dewan Housing Finance Corporation Limited ** 8.53% Power Finance Corporation Limited **	INE202B07IJ3 INE134E08HP9		500,000 450			, , , , ,	Sub Total Total				2,852.05 2,852.05	
Britannia Industries Limited	INE216A01022	2 Consumer Non Durables	100,450	3,379.14 2.03	03% 7	7.95% Power Finance Corporation Limited **	INE134E08IF8	CRISIL AAA	385	3,898.27	27 0.80%	Net	Net Receivables / (Payables)				21,923.90	4.50%
TVS Motor Company Limited	INE494B01023	3 Auto	920,000	3,365.82 2.02	02%   9	9.40% Nabha Power Ltd ** 10.15% STCI Finance Limited **	INE445L08169 INE020E07027	ICRA AAA(SO)	300 280	3,053.42	12 0.63%	, L	GRAND TOTAL FRN - Floating Rate Note , ZCB - Zero Coupon Bond	- 4			487,598.67	100.00%
Berger Paints (I) Limited	INE463A01038	3 Consumer Non Durables	1,261,820	3,293.98 1.98	98%	8.1923% Cholamandalam Investment and	INE020E07027 INE121A07MN1		280 270			**	** Thinly Traded / Non Traded Security	d				
Bajaj Finance Limited	INE296A01024		310,000	3,273.29 1.97	F	Finance Company Limited ** 12.25% Cholamandalam Investment and Finance	INE121A08MA6		250				#Unlisted Security Notes:					
UPL Limited	INE628A01036	6 Pesticides	480,000	3,234.48 1.94	94%     (	Company Limited **						(1)	(1) (1) The provision made for Non Performing Ass		30, 2016 is Nil.			
Voltas Limited	INE226A01021		796,000	3,017.64 1.81	81%	11.25% Cholamandalam Investment and Finance Company Limited **	INE121A08MN9		250	,			<ol> <li>Plan/Option wise Net Asset Values are as follow Plan</li> </ol>		on September 30, 20	9016 As 0'	n March 31, 20	2016
Repco Home Finance Limited	INE612J01015	· ·	366,782	2,998.08 1.80	000 8	8.95% Reliance Utilities & Power Pvt Ltd ** 8.75% LIC Housing Finance Limited **	INE936D07067		250 250				Regular Plan - Dividend Option		Rs. 16.8727	1	Rs. 15.8578	
Emami Limited	INE548C01032		230,017	2,690.97 1.62	0	8.75% LIC Housing Finance Limited ** 8.33% Indian Railway Finance Corp Ltd **	INE115A07JC2 INE053F07850		250 250				Regular Plan - Growth Option Regular Plan - Quarterly Dividend Option		Rs. 26.3824 Rs. 10.4819		Rs. 24.7954 Rs. 10.3500	
UltraTech Cement Limited	INE481G01011		68,200	2,626.76 1.58	500   8	8.40% Power Finance Corporation Limited **	INE134E08HN4	4 CRISIL AAA	250	2,540.26	26 0.52%	6	Direct Plan - Dividend Option		Rs. 17.1929	I	Rs. 16.1134	
Cholamandalam Investment and	INE121A01016		220,000	2,546.17 1.53	110	8.75% Shriram Transport Finance Company Limited ** 9.15% Piramal Enterprises Limited **	INE721A07LC3 INE140A08SE5		250 250				Direct Plan - Growth Option Direct Plan - Quarterly Dividend Option		Rs. 26.8922 Rs. 10.7150	1	Rs. 25.2044 Rs. 10.5491	
Finance Company Limited	HALLMAN	1 mance	22v,	2,010.21	8	8.80% Indiabulls Housing Finance Limited **	INE148I07FT8	CAREAAA	250	2,493.93	0.51%	(3)	3) Dividend in the following plans was declared d	during the half year er				Unit (Rs.)
Bajaj Finserv Limited	INE918I01018	Finance	76,080	2,335.31 1.40		9.05% Oriental Bank of Commerce ** 11.25% Syndicate Bank **	INE141A08043 INE667A08070		200 200				Direct Plan - Quarterly Dividend Option Regular Plan - Quarterly Dividend Option					0.5276 0.5174
Larsen & Toubro Limited	INE018A01030		160,500	2,300.21 1.38	38%   1	11.47% Cholamandalam Investment and Finance	INE121A08MX8		150				<ol> <li>Details of derivatives exposure and transaction:</li> </ol>		r half year	1 10 - stambar 30 26	o to the ana mane	
Sun Pharmaceuticals Industries Limited	INE044A01036	•	300,000	2,228.10 1.34	34%	Company Limited ** 12.25% Cholamandalam Investment and	INE121A08LX0	ICRA AA	150	1,585.05	0.33%		(a) Hedging Position through Futures as on Septer transactions through futures which have been sq	squared off/expired.		-		
K.P.R. Mill Limited	INE930H01015		182,800	2,226.41 1.34	2407   F	Finance Company Limited ** 12.25% Cholamandalam Investment and							(b) Other than Hedging Position through Futures were no non-hedging transactions through future	res as on September 30,	, 2016 is Nil and For squared off/expired.	л the half year ended S	eptember 30,	2016 there
L&T Finance Holdings Limited	INE498L01015		2,400,000	2,199.60 1.32	32%    F	Finance Company Limited **	INE121A08LZ5		140				(c) Hedging Position through Put Options as on	on September 30, 2016	is Nil and For the h		aber 30, 2016	there were
Equitas Holdings Limited	INE988K01017		1,217,005		9	9.00% Reliance Jio Infocomm Limited 8.47% Nirchem Cement Limited	INE110L08060 INE548V07021		100 50	,			no hedging transactions through option which h (d) Other than Hedging Positions through Option	ons as on September 30	0, 2016 is Nil and Fo		September 30,	, 2016 there
Mahindra & Mahindra Limited	INE366K01017 INE101A01026		140,000	1,967.98 1.18	18%   9	9.30% Power Finance Corporation Limited	INE134E08GE5	5 CRISIL AAA	25	254.27	7 0.05%	6	were no non-hedging transactions through optio (e) Hedging Positions through swaps as on Septe	ions which have been e			1	
Orient Cement Limited	INE101A01020 INE876N01018		882,597		10 %   9	9.70% India Infradebt Ltd ** 8.40% Power Finance Corporation Limited **	INE537P07018 INE134E08HB9	CRISIL AAA	20 10	206.67	7 0.04%	6	Underlying Security	Notional an	mount	Long/Short	Mat	turity date
Motherson Sumi Systems Limited	INE876N01018 INE775A01035		580,000	1,897.38 1.14	11%   9	9.40% Rural Electrification Corporation Limited **	INE134E08HB9 INE020B08757		10 6	60.95	0.01%	6	7.59% GOI (MD 20/03/2029)	1,000,000,0		Long		20-Mar-29
Cummins India Limited	INE//SA01035 INE298A01020		187,952		1170	Sub Total				252,251.51			7.59% GOI (MD 20/03/2029)	500,000,0		Long		20-Mar-29
Kotak Mahindra Bank Limited	INE298A01020 INE237A01028		187,952 217,000	1,701.91 1.02 1,686.09 1.01	01%    9	(b) Privately placed / Unlisted 9.8388% Tata Motors Finance Solutions Ltd ** #	INE477S08043	CRISIL AA	150	15,248.64	4 3.13%		7.59% GOI (MD 20/03/2029)	1,000,000,0	00.00	Long	2	20-Mar-29
					0170	S.D. Corporation Pvt. Ltd (ZCB) ** #	INE660N08011	ICRA AA+(SO)	95	11,947.59	9 2.45%	(5)	5) The Average Maturity Period of the Portfolio is					
V-Guard Industries Limited Shriram City Union Finance Limited	INE951I01027	*		1,673.51 1.01	118	9.25% TATA Realty & Infrastructure Ltd ** # 8.90% Powergrid Vizag Transmission Limited ** #		CRISIL AA CRISIL AAA (SO)	1,000 50		9 2.09% 37 0.11%		(6) Details of Repo in Corporate Debt Securities as	s on September 30, 20	J16 is NIL.			
Shriram City Union Finance Limited	INE722A01011		77,000	1,635.90 0.98	98%	0.701.1.1.1.1.0												
Capital First Limited	INE688I01017		225,700	1,564.78 0.94					BIRLA	SUN LIFE	L DUAL	ADVA	VANTAGE FUND - SERIES I					
Zee Entertainment Enterprises Limited	INE256A01028			1,421.55 0.85									orid Equity Scheme)					
Shriram Transport Finance Company Limited	INE721A01013		115,000	1,337.05 0.80								— <u>`</u> -	ptember 30, 2016	SCINI		0	· Ml	11 61 4. N.4
Bharat Financial Inclusion Limited	INE180K01011		150,000	1,320.98 0.79		Name of the Instrument	ISIN		Quantity		ket/ % to Ne alue Asset	,,,,	Name of the Instrument	ISIN		Quantit		ket/ % to Net lue Assets
Torrent Pharmaceuticals Limited	INE685A01028		77,600	1,259.87 0.76						(Rs in Lacs.		"					(Rs in Lacs	es.)
WABCO India Limited	INE342J01019	Auto Ancillaries	18,295	1,138.42 0.68	,8%	Debt Instruments		-		<del></del>			Hero MotoCorp Limited	INE158A0102	26 Auto		00 27.3	.31 0.40%

				rair value	Assets
			(1	Rs in Lacs.)	
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
Bajaj Finance Limited (ZCB) **	INE296A07LI3	IND AAA	80	831.67	12.10%
Cholamandalam Investment and Finance	INE121A07MD2	ICRA AA	80	830.22	12.08%
Company Limited (ZCB) **					
9.39% Rural Electrification Corporation Limited **	INE020B08765	CRISIL AAA	75	787.29	11.45%
8.10% Reliance Jio Infocomm Limited	INE110L07054	CRISIL AAA	70	705.32	10.26%
7.95% Power Finance Corporation Limited **	INE134E08IF8	CRISIL AAA	50	506.27	7.36%
7.85% National Bank For Agriculture and	INE261F08642	CRISIL AAA	50	506.26	7.36%
Rural Development **					
Dewan Housing Finance Corporation Limited (ZCB) **	INE202B07AK8	CARE AAA	30	399.04	5.80%
Sub Total				4,566.07	66.42%
(b) Privately placed / Unlisted					
9.25% TATA Realty & Infrastructure Ltd ** #	INE371K08045	CRISIL AA	75	763.18	11.10%
Sub Total				763.18	11.10%
Total				5,329.25	77.52%
Equity & Equity related					
(a) Listed / awaiting listing on Stock Exchanges					
Infosys Limited	INE009A01021	Software	12,600	130.54	1.90%
HDFC Bank Limited	INE040A01026	Banks	7,800	99.28	1.44%
Reliance Industries Limited	INE002A01018	Petroleum Products	7,900	85.61	1.25%
Mahindra & Mahindra Limited	INE101A01026	Auto	5,500	77.31	1.12%
Repco Home Finance Limited	INE612J01015	Finance	8,800	71.93	1.05%
ITC Limited	INE154A01025	Consumer Non Durables	21,600	52.13	0.76%
Eicher Motors Limited	INE066A01013	Auto	200	49.66	0.72%
Cummine India Limited	INF208 A01020	Industrial Products	4 900	44.37	0.65%

INE095A01012 Banks

INE237A01028 Banks

INE238A01034 Banks

INE752H01013 Finance

Pharmaceuticals

Construction

Petroleum Products

Power

INE635Q01029 Petroleum Products

INE361B01024 Pharmaceuticals

INE044A01036

INE752E01010

INE576I01022

INE094A01015

INE406A01037

INE502K01016

INE910H01017 Oil

INE491A01021 Banks

INE047A01013 Cement

3,600

2.700

20,700

4,800

18,400

16,000

7,500

2,300

22,400

600

43.05

38.98 0.57%

36.76 0.53%

36.55

35.34 0.51%

33.47

32.01

31.80 0.46%

29.59

29.09

29.02 0.42%

8,421.60 100.00%

% to Net	Na	me of the Instrument	ISIN		Quantity	Market/	% to Net
Assets						Fair Value	Assets
					(	Rs in Lacs.)	
	Her	o MotoCorp Limited	INE158A01026	Auto	800	27.31	0.40%
	Dis	h TV India Limited	INE836F01026	Media & Entertainment	26,900	25.04	0.36%
12.10%	San	ofi India Limited	INE058A01010	Pharmaceuticals	600	24.83	0.36%
12.08%	D.B	Corp Limited	INE950I01011	Media & Entertainment	3,500	13.82	0.20%
12.00%	Jag	ran Prakashan Limited	INE199G01027	Media & Entertainment	7,400	13.54	0.20%
11.45%	VR	L Logistics Limited	INE366I01010	Transportation	4,300	12.88	0.19%
10.26%	Tata	a Motors Limited	INE155A01022	Auto	1,800	9.63	0.14%
7.36%	Sub	Total				1,307.91	19.03%
7.36%	(b)	Unlisted				NIL	NIL
	Sub	Total				NIL	NIL
5.80%	Tota	al				1,307.91	19.03%
66.42%	CB	LO / Reverse Repo					
	Cle	aring Corporation of India Ltd				157.95	2.30%
11.10%	Sub	Total				157.95	2.30%
11.10%	Tota	al				157.95	2.30%
77.52%	Net	Receivables / (Payables)				79.46	1.16%
		ANDTOTAL				6,874.57	100.00%
		- Zero Coupon Bond					
1.90%		Thinly Traded / Non Traded Security					
1.44%		listed Security ustry classification as recommended by AM	IEI and subanassan not assailab	la intannal alassification l			
1.25%	Note		iri and wherever not avanab	ie, iliternai ciassification i	ias been used		
1.12%	(1)	The provision made for Non Performing A	ssets as of Sentember 30, 20	16 is Nil			
1.05%	(2)	Plan/Option wise Net Asset Values are as f		10 15 1411.			
0.76%	(2)	Plan	As on September :	30, 2016 A	s on March 3	1, 2016 ##	
0.72%		Regular Plan - Dividend Option	Rs. 10.302		N.A.	,	
0.65%		Regular Plan - Growth Option	Rs. 10.3027	7	N.A.		
0.63%		Direct Plan - Dividend Option	Rs. 10.3373	3	N.A.		
0.59%		Direct Plan - Growth Option	Rs. 10.3373		N.A.		
0.57%		## BIRLA SUN LIFE DUAL ADVANTAG			ent half year.		
0.55%	(3)	No dividend was declared during the half		016.			
0.53%	(4)	Details of derivatives exposure and transa		E 4 1 16 1 10	. 1 20 201	16.4	
0.53%		(a) Hedging Position through Futures as on transactions through futures which have be		For the hair year ended Sep	tember 50, 201	there were	no neaging
0.51%		(b) Other than Hedging Position through F		016 is Nil and For the half	voor onded Se	entember 30	2016 there
0.49%		were no non-hedging transactions through			year chuch st	premoer 30,	2010 mete
0.47%		(c) Hedging Position through Put Options			ended Septem	ber 30, 2016	there were
0.46%		no hedging transactions through option w				,	
0.43%		(d) Other than Hedging Positions through (			f year ended Se	eptember 30,	2016 there
0.43%		were no non-hedging transactions through	options which have been ex-	ercised/expired.			

were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016 is Nil. The Average Maturity Period of the Portfolio is 2 years. During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0 times

The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%.

Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL. INE299U01018 Consumer Durables 18.700 28.79 0.42% Hotels, Resorts And Other 28.75 0.42% 10,400 Recreational Activities

# #Unlisted Security ~ Illiquid Security

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil.

Industry classification as recommended by AMFI and wherever not available, internal classification has been used

(2) Plan/Option wise Net Asset Values are as follows

Narayana Hrudayalaya Ltd.

Bosch Limited

Dharti Dredging \*\* #~

Margin (Future and Options)

Clearing Corporation of India Ltd

Net Receivables / (Payables)

\* Thinly Traded / Non Traded Security

CBLO / Reverse Repo

Sub Total

Sub Total

Cash and Banl

Total

Total

Total

Sub Total

GRANDTOTAL

Total

Kaveri Seed Company Limited

	Plan	As on September 30, 2016	As on March 31, 2016	
	Regular Plan - Dividend Option	Rs. 95.98	Rs. 82.74	
	Regular Plan - Growth Option	Rs. 353.28	Rs. 281.88	
	Direct Plan - Dividend Option	Rs. 118.90	Rs. 94.33	
	Direct Plan - Growth Option	Rs. 361.63	Rs. 286.90	
(3)	Dividend in the following plans was declared	during the half year ended September 30	, 2016 Per Unit (R	(s.)
	Regular Plan - Dividend Option		7.47	/00
(4)	Dataile of desireations are some and technologic			

INE410P01011 Healthcare Services 314,606 1,049.37 0.63%

1,526 347.90 0.21%

158,452.24 95.19%

119.57 0.07%

0.60%

158,571.81 95.27%

0.52

0.52

8,427.18 5.06%

8,427.18 5.06%

8.427.18 5.06%

(1,546.62) -0.93%

166,452.89 100.00%

1,000.00

IndusInd Bank Limited

Credit Analysis and Research Limited

Gulf Oil Lubricants India Limited

J.Kumar Infraprojects Limited

Divi's Laboratories Limited

City Union Bank Limited

rasim Industries Limited

Cairn India Limited

Power Grid Corporation of India Limited

Hindustan Petroleum Corporation Limited

ompton Greaves Consumer Electricals Limited

alwalkars Better Value Fitness Limited

Axis Bank Limited

INE455I01029 Consumer Non Durables 280,000 1,002.82 0.60%

INE521H01012 Miscellaneous 150,000 119.57 0.07%

INE323A01026 Auto Ancillaries

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures and Position through Futures as on September 30, 2016 the Nil and Position through Futures as on September 30, 2016 the Nil and Position through Futures as on September 30, 2016 the Nil and Position through Futures as on September 30, 2016 the Nil and Position through Futures as on September 30, 2016 the Nil and Position through Futures and Position through Futures as on September 30, 2016 the Nil and Position through Futures as on September 30, 2016 the Nil and Position through Futures as on September 30, 2016 the Nil and Position through Futures as on September 30, 2016 the Nil and Position through Futures as on September 30, 2016 the Nil and Position through Futures as on September 30, 2016 the Nil and Position through Futures as on September 30, 2016 the Nil and Position through Futures as on September 30, 2016 the Nil and Position through Futures As of September 30, 2016 the Nil and Position through Futures As of September 30, 2016 the Nil And Position through Futures As of Septetransactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil For the half year ended September 30, 2016 following non-hedging transactions through futures which have been squared off/expired.

Total Number of contracts where futures were sold	Gross Notional Value of contracts where futures were sold"Rs.	Net Profit/Loss value on all contracts combined "Rs.
922.00	412,586,660.00	28,690,178.80

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.28 times

no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

The total value of illiquid Equity Securities is 1.20 crores and its percentage to Net Assets is 0.07%.  $Total\ market\ value\ of\ Foreign\ Securities/overseas\ ETFs\ in\ 0\ crores\ and\ its\ percentage\ to\ Net\ Assets\ as\ at\ September\ 30,\ 2016\ is\ 0\%.$ 

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

	BIRLA SUN LIFE FOCUSED EQUITY FUND - SERIES 6	
Α	Close ended Equity Scheme investing in Eligible Securities as per Rajiv Gandhi Equity Savings Scheme,	2013)
	Portfolio as on September 30, 2016	

Name of the Instrument Market/ % to Net Fair Value Asset Equity & Equity related (a) Listed / awaiting listing on Stock Exchanges es Bank Limited INE528G01019 Banks Petroleum Products 189,000 2,800 9,500 ndustan Petroleum Corporation Limited INE094A01015 Eicher Motors Limited Maruti Suzuki India Limited INE066A01013 INE585B01010 45,000 35,000 32,000 13,000 140,000 40,000 55,000 115,000 7,500 22,000 90,000 25,000 115,000 115,000 Infosys Limited IndusInd Bank Limited INE009A01021 Software 466.22 418.58 413.06 399.04 352.48 318.34 288.97 272.87 247.95 226.38 206.26 192.51 181.03 162.56 159.20 148.54 143.32 138.52 8,419.94 NIL Banks Indusina Bank Limited
Bajaj Finserv Limited
Bajaj Finserv Limited
Ambuja Cements Limited
Aurobindo Pharma Limited
LIC Housing Finance Limited
State Bank of India
UltraTech Cement Limited
Siemens Limited
Morico Limited Pharmaceuticals Cement Pharmaceuticals Finance Banks Cement Industrial Capital Goods INE481G01011 INE003A01024 Marico Limited
Cummins India Limited Consumer Non Durables Godrej Consumer Products Limited INE102D01028 Bank of Baroda BlaxoSmithKline Consumer Healthcare Limited Banks INE264A01014 Reliance Industries Limited Ashok Leyland Limited INE002A01018 Petroleum Products INE208A01029 Consumer Durables
Pharmaceuticals
Construction Project Titan Company Limited Sun Pharmaceuticals Industries Limited Larsen & Toubro Limited Glemmark Pharmaceuticals Limited 40,000 20,000 10,000 15,000 8,419.94 99.98% CBLO / Reverse Repo Clearing Corporation of India Ltd Sub Total

The provision made for Non Performing Assets as of September 30, 2016 is Nil.

As on September 30, 2016 As on March 31, 2016 Rs. 9.72 Regular Plan - Dividend Option Rs. 11.78 Regular Plan - Growth Option Rs. 9.72

(3) No dividend was declared during the half year ended September 30, 2016. (4) Details of derivatives exposure and transaction:

Plan/Option wise Net Asset Values are as follows:

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016 is Nil.

During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.07 times

The total value of illiquid Equity Securities is  $0.00\ \mathrm{crores}$  and its percentage to Net Assets is 0.00%. Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

Birla Sun Life Asset Management Company Limited (Investment Manager for Birla Sun Life Mutual Fund), One Indiabulls Centre, Tower 1, 17th Floor, Jupiter Mill Compound, 841, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400 013. CIN: U65991MH1994PLC080811

sification as recommended by AMFI and wherever not available, internal classification has been used

GRAND TOTAL

## ✓ Over 3.2 million investor scheme accounts



This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September 2016.

Name of the Instrument

## Half Yearly Portfolio Statement as on September 30, 2016

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996)

Quantity Market/ % to Net

## BIRLA SUN LIFE SAVINGS FUND

(An Open Ended Short Term Income Scheme) Portfolio as on September 30, 2016

Name of the Instrument	ISIN	Rating	Quantity	Market/ Fair Value (Rs in Lacs.)	% to Net Assets
Debt Instruments (a) Listed / awaiting listing on Stock Exchange 8.55% Reliance Jio Infocomm Limited **	INE110L07013	CRISIL AAA	6,600	66,946.97	3.67%
Dewan Housing Finance Corporation Limited (ZCB) ** 7.83% Indian Railway Finance Corp Ltd **	INE202B07CS7 INE053F07751	CARE AAA CRISIL AAA	3,500 3,500	42,421.26 35,078.05	2.33% 1.92%
8.10% Reliance Jio Infocomm Limited Indiabulls Housing Finance Limited **	INE110L07054 INE148I07BL4	CRISIL AAA CARE AAA	3,250 3,200	32,746.87 32,240.80	1.80% 1.77%
9.20% Power Finance Corporation Limited (FRN) ** 7.70% Housing Development Finance	INE134E08CK1 INE001A07PP3	CRISIL AAA CRISIL AAA	2,970 275	30,503.56 27,493.76	1.67% 1.51%
Corporation Limited ** 9.29% Shriram Transport Finance Company Limited ** 9.58% Hinduja Leyland Finance Ltd (FRN) **	INE721A07JY1 INE146O07169	IND AA+ CARE A+	2,500 2,500	25,190.75 25,049.45	1.38%
8.33% Export Import Bank of India ** 8.15% National Bank For Agriculture and	INE514E08EY1 INE261F08477	CRISIL AAA CRISIL AAA	2,100 2,000	21,113.13 20,375.70	1.16%
Rural Development ** 8.1555% Mahindra & Mahindra Financial Services Limited **	INE774D07PE3	IND AAA	2,000	20,084.22	1.10%
7.65% National Bank For Agriculture and Rural Development **	INE261F08634	CRISIL AAA	2,000	20,075.16	1.10%
9.49% Indiabulls Housing Finance Limited ** 8.00% Housing Development Finance	INE148I07EB9 INE001A07PK4	CARE AAA CRISIL AAA	2,000 200	20,068.88 20,066.94	1.10% 1.10%
Corporation Limited **  8.72% Shriram Transport Finance Company Limited ** Dewan Housing Finance Corporation Limited (ZCB) **	INE721A07LA7 INE202B07CQ1	CRISIL AA+ CARE AAA	1,900 1,500	19,149.49 18,197.72	1.05% 1.00%
8.55% Piramal Finance Priviate limited ** 8.00% ICICI Home Finance Company Ltd **	INE140A07278 INE071G08841	ICRA AA ICRA AAA	3,500 3,500	17,535.74 17,528.05	0.96% 0.96%
9.15% Shriram Transport Finance Company Limited ** 10.76% Indiabulls Housing Finance Limited **	INE721A07JZ8 INE148I07BJ8	IND AA+ CARE AAA	1,550 1,500	15,609.12 15,341.61	0.86% 0.84%
9.16% Tata Motors Finance Ltd ** 8.90% Cholamandalam Investment and Finance Company Limited **	INE909H07CV8 INE121A07KW6		1,500 1,500	15,173.15 15,110.82	0.83% 0.83%
9.35% Cholamandalam Investment and Finance Company Limited **	INE121A07IX8	ICRA AA	1,500	15,102.38	0.83%
9.27% Piramal Enterprises Limited ** 8.28% Small Industries Dev Bank of India **	INE140A08SP1 INE556F09619	ICRA AA CARE AAA IND AAA	1,500 1,450	15,078.00 14,795.50	0.83%
8.12% ONGC Mangalore Petrochemicals Ltd ** 7.98% Power Finance Corporation Limited ** 9.30% Power Finance Corporation Limited	INE053T07026 INE134E08IB7 INE134E08GE5	CRISIL AAA CRISIL AAA	1,385 1,398 1,351	14,071.38 14,020.58 13,740.62	0.77% 0.77% 0.75%
9.50% Shriram Transport Finance Company Limited (FRI 0.75%	N) **	INE721A07HR9	IND AA+	1,350	13,630.82
8.39% Power Finance Corporation Limited ** 8.30% LIC Housing Finance Limited ** 8.24% Housing Development Finance	INE134E08HM6 INE115A07JX8 INE001A07OF7	CRISIL AAA CRISIL AAA CRISIL AAA	1,250 1,250 125	12,688.25 12,589.58 12,536.63	0.70% 0.69% 0.69%
8.24% Housing Development Finance Corporation Limited ** ECL Finance Ltd (ZCB) **	INE804I07ZQ0	ICRA AA	1,000	12,536.63	0.65%
9.32% Power Finance Corporation Limited ** LIC Housing Finance Limited (ZCB) **	INE134E08GJ4 INE115A07GR6	CRISIL AAA CRISIL AAA	1,100 1,000	11,547.05 11,499.92	0.63%
Cholamandalam Investment and Finance Company Limited (ZCB) ** 9.46% Indiabulls Housing Finance Limited **	INE121A07KH7 INE148I07EC7	ICRA AA CARE AAA	1,000 1,050	11,281.83 10,534.03	0.62%
9.38% Rural Electrification Corporation Limited ** 9.11% Power Finance Corporation Limited **	INE020B07HY0 INE134E08FY5	CRISIL AAA CRISIL AAA	1,000 1,000	10,386.70 10,125.69	0.57% 0.56%
8.46% LIC Housing Finance Limited ** 8.15% Housing Development Finance	INE115A07JR0 INE001A07PI8	CARE AAA CRISIL AAA	1,000 100	10,085.60 10,061.89	0.55% 0.55%
Corporation Limited ** 7.65% National Bank For Agriculture and Rural Development **	INE261F08667	CRISIL AAA	1,000	10,046.07	0.55%
8.80% Indiabulls Housing Finance Limited ** Tata Motors Finance Ltd (ZCB) **	INE148I07FT8 INE909H07DC6	CARE AAA CRISIL AA	1,000 950	9,975.71 9,859.96	0.55% 0.54%
9.05% PNB Housing Finance Ltd ** 8.90% Tata Capital Financial Services Ltd **	INE572E09247 INE306N07GU8	CARE AAA CRISIL AA+	750 750	7,604.66 7,598.36	0.42%
8.00% Power Finance Corporation Limited ** 8.61% Shriram Transport Finance Company Limited ** 8.28% Power Finance Corporation Limited	INE134E08HZ8 INE721A07KO0 INE134E08HU9	CRISIL AAA CRISIL AA+ CRISIL AAA	750 1,300 650	7,589.00 6,677.63 6,603.90	0.42% 0.37% 0.36%
7.89% Export Import Bank of India ** 8.60% Housing Development Finance	INE514E08EV7 INE001A07OL5	CRISIL AAA CRISIL AAA	600 50	6,028.64 5,093.17	0.33% 0.28%
Corporation Limited ** 9.50% Shriram Transport Finance Company Limited (FRN) **	INE721A07HQ1	IND AA+	500	5,045.09	0.28%
9.10% Tata Motors Finance Ltd ** 8.33% ICICI Home Finance Company Ltd **	INE909H07CH7 INE071G08684	CRISIL AA ICRA AAA	500 1,000	5,043.94 5,026.25	0.28% 0.28%
7.65% National Bank For Agriculture and Rural Development	INE261F08626	CRISIL AAA	500	5,018.92	0.28%
8.20% Export Import Bank of India ** 7.81% LIC Housing Finance Limited ** 7.85% National Bank For Agriculture and	INE514E08EW5 INE115A07KK3 INE261F08642	CRISIL AAA CRISIL AAA	500 500 450	5,017.81 5,006.82 4,556.30	0.28% 0.27% 0.25%
Rural Development ** 7.70% Housing Development Finance	INE001A07PO6	CRISIL AAA	40	3,999.49	0.22%
Corporation Limited **  8.28% Rural Electrification Corporation Limited  9.06% Rural Electrification Corporation Limited **	INE020B08989 INE020B07JB4	CRISIL AAA CRISIL AAA	350 303	3,515.52 3,079.04	0.19% 0.17%
8.50% Power Finance Corporation Limited ** 8.90% SP Jammu Udhampur Highway Ltd **	INE134E08GP1 INE923L07035	CRISIL AAA ICRA AAA(SO)	300 259	3,035.82 2,596.78	0.17% 0.14%
8.40% Power Finance Corporation Limited ** 8.40% Power Finance Corporation Limited **	INE134E08HB9 INE134E08HN4	CRISIL AAA CRISIL AAA	250 250	2,540.44 2,540.26	0.14% 0.14%
8.83% Sundaram Finance Limited ** 9.10% Cholamandalam Investment and Finance Company Limited **	INE660A07MW9 INE121A07JD8	ICRA AA+ ICRA AA	250 250	2,515.73 2,515.68	0.14% 0.14%
9.56% LIC Housing Finance Limited ** 10.00% Sundaram Finance Limited **	INE115A07BX5 INE660A08BE8	CRISIL AAA ICRA AA+	250 250	2,514.95 2,512.78	0.14% 0.14%
9.05% Essel Lucknow Raebareli Toll Roads Limited ** 8.33% Indian Railway Finance Corp Ltd **	INE465N07074 INE053F07850	CARE AAA(SO) CRISIL AAA	192 191	1,956.97 1,955.41	0.11%
9.05% Essel Lucknow Raebareli Toll Roads Limited ** 9.05% Essel Lucknow Raebareli Toll Roads Limited ** 9.05% Essel Lucknow Raebareli Toll Roads Limited **	INE465N07066 INE465N07058 INE465N07041	CARE AAA(SO) CARE AAA(SO) CARE AAA(SO)	186 174 168	1,888.49 1,760.12 1,692.11	0.10% 0.10% 0.09%
9.05% Essel Lucknow Raebareli Toll Roads Limited ** 9.00% Tata Capital Financial Services Ltd **	INE465N07033 INE306N07GS2	CARE AAA(SO) CRISIL AA+	165 122	1,655.40 1,227.21	0.09% 0.07%
9.80% LIC Housing Finance Limited ** 8.98% Power Finance Corporation Limited **	INE115A07452 INE134E08GZ0	CRISIL AAA CRISIL AAA	100 100	1,019.96 1,016.77	0.06%
9.25% Rural Electrification Corporation Limited ** 9.25% Rural Electrification Corporation Limited ** 8.40% Power Grid Corporation of India Limited **	INE020B08773 INE020B07IY8 INE752E07ML9	CRISIL AAA CRISIL AAA ICRA AAA	71 51 36	722.19 518.69 369.02	0.04% 0.03% 0.02%
Sub Total  (b) Privately placed / Unlisted	INE/JEEJ/ME)	COUNTY	50	967,590.86	53.06%
10.15% Pune Solapur Expressway Pvt Ltd ** # 8.75% ONGC Petro Additions Ltd ** #	INE598K07011 INE163N08032	CARE AAA(SO)	35,000 235	23,956.70	1.31%
10.60% Religare Finvest Ltd ** # 10.60% Religare Finvest Ltd ** # 9.00% Tata Capital Ltd ** #	INE958G07AT5 INE958G07AU3 INE976I08193	IND AA- IND AA- CRISII. AA+	2,100 1,700 1,000	21,591.42 17,474.66 10,065.60	1.18% 0.96% 0.55%
9.00% Tata Capital Ltd ** # 8.93% Tata Capital Ltd ** # S.D. Corporation Pvt. Ltd (ZCB) ** #	INE976I08193 INE976I08201 INE660N08011	CRISIL AA+ CRISIL AA+ ICRA AA+(SO)	1,000 1,000 40	10,065.60 10,054.77 5,030.56	0.55% 0.55% 0.28%
10.15% Pune Solapur Expressway Pvt Ltd ** # Sub Total	INE598K07029	ICRA A(SO)	4,000	4,012.96 <b>127,300.04</b>	0.22% <b>6.98%</b>
Total   Government Securities   8.07% GOI 2017 (M/D. 15.01.2017)	IN0020010107	SOV	128,500,000	<b>1,094,890.90</b> 128,982.26	7.07%
8.01% GOI 2017 (M/D. 15.01.2017) 8.12% GOI (MD 10/12/2020) 8.46% Maharashtra SDL (MD 03/03/2021)	IN0020010107 IN0020120054 IN2220100092	SOV SOV	39,500,000 17,325,800	41,315.06 18,101.39	2.27% 0.99%
8.48% Odisha SDL (MD 24/02/2021) 8.55% Jammu And Kashmir SDL(MD 17/02/2021)	IN2720150050 IN1820100056	SOV SOV	16,611,300 13,000,000	17,352.38 13,610.55	0.95% 0.75%
8.48% Andhra Pradesh SDL (MD 24/02/2020) 8.56% Tamilnadu SDL(MD 22/06/2021) 8.85% Punjab SDL (MD 09/07/2019)	IN1020090131 IN3120110033 IN2820140043	SOV SOV	12,500,000 10,000,000 10,000,000	12,998.36 10,509.98 10,461.34	0.71% 0.58% 0.57%
8.85% Funjab SDL (MD 09/07/2019) 8.45% Kerala SDL (18/03/2019) 8.52% Andhra Pradesh SDL (MD 27/10/2020)	IN2820140043 IN2020080098 IN1020100088	SOV SOV	10,000,000 9,000,000 6,204,900	10,461.34 9,292.83 6,503.75	0.57% 0.51% 0.36%
8.55% Uttar Pradesh SDL (MD 27/10/2020) 8.51% Gujarat SDL(MD 27/10/2020)	IN3320100099 IN1520100067	SOV SOV	5,500,000 5,300,800	5,771.12 5,556.86	0.32% 0.30%
8.52% Rajasthan SDL(MD 17/02/2021) 8.53% Puducherry SDL(MD 02/02/2021)	IN2920100111 IN3820100029	SOV SOV	5,000,000 5,000,000	5,230.97 5,230.06	0.29%
8.48% Madhya Pradesh SDL(MD 03/03/2021) 8.39% Rajasthan SDL(MD 24/11/2020) 8.36% KERLA SDL- ( MD 16/03/2021)	IN2120100036 IN2920100095 IN2020100052	SOV SOV	5,000,000 5,000,000 5,000,000	5,226.71 5,224.11 5,203.54	0.29% 0.29% 0.29%
8.39% Punjab SDL (MD 05/01/2021) 8.39% Punjab SDL (MD 05/01/2021) 8.46% Rajashthan SDL (18/03/2019)	IN2020100052 IN2820100104 IN2920080073	SOV SOV	5,000,000 5,000,000 5,000,000	5,203.54 5,198.73 5,164.46	0.29% 0.29% 0.28%
8.25% Rajasthan SDL(MD 20/01/2020) 8.51% Andhra Pradesh SDL (MD 17/02/2021)	IN2920090155 IN1020100146	SOV SOV	5,000,000 4,831,200	5,163.07 5,052.79	0.28% 0.28%
8.38% Maharashtra SDL (MD 25/03/2020) 8.18% Andhra Pradesh SDL(MD 21/07/2020) 8.57% Harvana SDL (MD 13/04/2020)	IN2220090103 IN1020100054 IN1620100017	SOV SOV	4,000,000 3,306,100 3,200,000	4,153.00 3,419.07 3,342.35	0.23% 0.19%
8.57% Haryana SDL (MD 13/04/2020)	IN1620100017	SOV	3,200,000	3,342.35	0.18%

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	ISIN	Rating	Quantity	Fair Value	% to Net Assets
3.53% Maharashtra SDL (MD 27/10/2020)	IN2220100050	SOV	2,874,100	(Rs in Lacs.) 3,014.94	0.17%
3.19% Uttar Pradesh SDL (MD 27/10/2020)	IN3320100057	SOV	2,606,300	2,695.59	0.17%
3.44% Madhya Pradesh SDL (MD 08/12/2020)	IN2120100010	SOV	2,500,000	2,616.90	0.14%
3.51% Kerala SDL (MD 17/02/2021)	IN2020100045	SOV	2,500,000	2,614.58	0.14%
3.46% Gujarat SDL (MD 03/03/2021)	IN1520100133	SOV	2,500,000	2,611.76	0.14%
3.47% Puducherry SDL(MD 03/03/2021)	IN3820100037	SOV	2,500,000	2,611.56	0.14%
3.35% Gujarat SDL (MD 06/10/2020)	IN1520100059	SOV	2,500,000	2,605.69	0.14%
3.38% Haryana SDL(MD 16/03/2021) 3.31% Uttar Pradesh SDL(MD 04/10/2019)	IN1620100066	SOV	2,500,000	2,603.64 2,579.90	0.14% 0.14%
3.51% Ottar Pradesh SDL(MD 04/10/2019) 3.51% Gujrat SDL (MD 17/02/2021)	IN3320150045 IN1520100125	SOV	2,500,000 2,160,800	2,260.47	0.14%
3.51% Haryana SDL(MD 27/10/2020)	IN1520100123 IN1620100033	SOV	2,135,800	2,238.80	0.12%
3.79% GOI (MD 08/11/2021)	IN0020110030	SOV	2,000,000	2,156.89	0.12%
3.54% Haryana SDL (MD 10/03/2020)	IN1620090051	SOV	2,000,000	2,085.06	0.11%
3.37% Andhra Pradesh SDL (MD 16/03/2021)	IN1020100153	SOV	2,000,000	2,082.83	0.11%
3.39% Uttarpradesh SDL(MD 03/02/2020)	IN3320090100	SOV	2,000,000	2,073.32	0.11%
3.44% Punjab SDL (MD 10/11/2020)	IN2820100070	SOV	1,789,400	1,871.81	0.10%
3.57% West Bengal SDL (MD 16/02/2020)	IN3420090125	SOV	1,000,000	1,042.28	0.06%
3.52% Himachal Pradesh SDL (MD 10/03/2020)	IN1720090043	SOV	1,000,000	1,041.43	0.06%
3.49% Bihar SDL (MD 07/10/2019)	IN1320090021	SOV	1,000,000	1,039.00	0.06%
3.31% Gujarat SDL(MD 03/02/2020)	IN1520090078	SOV	965,600	999.07	0.05%
9.09% Tamilnadu SDL (MD 19/10/2021) 9.66% Maharashtra SDL (MD 21/09/2021)	IN3120110074 IN2220110042	SOV	500,000 500,000	538.06 528.85	0.03%
3.66% Maharashtra SDL (MD 21/09/2021) 3.63% Andhra Pradesh SDL(MD 07/09/2021)	IN2220110042 IN1020110061	SOV	500,000	528.85 527.85	0.03%
3.59% Gujrat SDL (MD 24/08/2021)	IN1520110061 IN1520110058	SOV	500,000	526.99	0.03%
2.80% GOI (MD 03/05/2020).	IN0020100015	SOV	500,000	514.96	0.03%
7.85% Andhra Pradesh SDL(MD 22/07/2019)	IN1020090065	SOV	500,000	510.76	0.03%
Total			,	384,057.73	
Money Market Instruments					
Certificate of Deposit					
State Bank of Patiala ** #	INE652A16LB3		50,000	49,170.05	2.70%
Corporation Bank ** #	INE112A16JZ1	CRISIL A1+	46,200	44,913.88	2.46%
/ijaya Bank ** #	INE705A16OT5		37,500	36,871.50	2.02%
DBI Bank Limited ** # Axis Bank Limited ** #	INE008A16O82	CRISIL A1+	29,000	28,583.24	1.57%
Axis Bank Limited ** # Axis Bank Limited ** #	INE238A16L46 INE238A16G43	CRISIL A1+ CRISIL A1+	25,000 25,000	24,615.73 24,611.45	1.35% 1.35%
DBI Bank Limited ** #	INE238A10G43 INE008A16K29	CRISIL A1+	24,200	23,639.00	1.30%
Punjab & Sind Bank ** #	INE608A16ME7		20,500	19,912.98	1.09%
Axis Bank Limited ** #	INE238A16O43	CRISIL A1+	15,000	14,735.28	0.81%
Small Industries Dev Bank of India ** #	INE556F16077	CARE A1+	15,000	14,569.86	0.80%
Punjab & Sind Bank ** #	INE608A16MH0	ICRA A1+	15,000	14,552.25	0.80%
CICI Bank Limited ** #	INE090A168F7	ICRA A1+	12,500	12,147.31	0.67%
DBI Bank Limited ** #	INE008A16L36	CRISIL A1+	10,000	9,750.66	0.53%
Axis Bank Limited ** #	INE238A16K05	CRISIL A1+	10,000	9,729.70	0.53%
Kotak Mahindra Bank Limited ** #	INE237A16R74	CRISIL A1+	5,355	5,125.48	0.28%
DBI Bank Limited ** #	INE008A16O74	CRISIL A1+	5,000	4,929.88	0.27%
National Bank For Agriculture and Rural Development ** #	INE261F16181	CRISIL A1+	5,000	4,875.85	0.27%
Corporation Bank ** #	INE112A16JU2	CRISIL A1+	5,000	4,863.41	0.27%
CICI Bank Limited ** #	INE090A169D0	ICRA A1+	2,500	2,455.95	0.13%
DBI Bank Limited ** #	INE008A16K78	CRISIL A1+	2,500	2,441.61	0.13%
Axis Bank Limited ** #	INE238A16I09	CRISIL A1+	2,500	2,428.05	0.13%
Andhra Bank ** #	INE434A16ND8	CARE A1+	2,500	2,426.41	0.13%
National Bank For Agriculture and	INE261F16207	CRISIL A1+	2,500	2,423.53	0.13%
Rural Development ** #	INE000 4 1 (212	ICD A A1	400	472.00	0.026
CICI Bank Limited ** # Sub Total	INE090A163I2	ICRA A1+	499	473.66 <b>360,246.72</b>	0.03%
oub total Commercial Paper				300,440.72	17./3%
HDB Financial Services Ltd ** #	INE756I14AA5	CARE A1+	4,000	18,719.16	1.03%
Tata Motors Finance Ltd ** #	INE909H14IG2	ICRA A1+	2,500	12,148.19	0.67%
Family Credit Ltd ** #	INE027E14BS0	CARE A1+	2,216	10,369.96	0.57%
arsen & Toubro Limited ** #	INE018A14EI2	CRISIL A1+	2,000	9,859.54	0.54%
Barclays Invest & Loans India Ltd ** #	INE704I14601	ICRA A1+	2,000	9,703.61	0.53%
M Financial Credit Solution Limited ** #	INE651J14404	ICRA A1+	2,000	9,684.59	0.53%
Piramal Finance Priviate limited ** #	INE140A14NE4	ICRA A1+	2,000	9,677.60	0.53%
M Financial Products Ltd ** #	INE523H14VA7	ICRA A1+	2,000	9,674.93	0.53%
Hero Fincorp Limited ** #	INE957N14571	CRISIL A1+	2,000	9,484.95	0.52%
Kotak Mahindra Prime Ltd ** #	INE916D14YJ7	CRISIL A1+	2,000	9,471.02	0.52%
CICI Home Finance Company Ltd ** #	INE071G14AB1	ICRA A1+	1,600	7,795.59	0.43%
Housing Development Finance Corporation Limited ** #	INE001A14OR8	ICRA A1+	1,000	4,889.74	0.27%
National Bank For Agriculture and Rural	INE261F14AR6	CRISIL A1+	1,000	4,886.46	0.27%
Development ** #					
Tata Housing Development Co Ltd ** #	INE582L14AJ0	CARE A1+	1,000	4,881.80	0.27%
Sub Total				131,247.14	7.20%
Total				491,493.86	26.95%
Others		Tourse (M P.			
Fixed Deposit		Tenure (No. of Days)		£ 000 00	0.276
5.8% The South Indian Bank Limited		91		5,000.00 5,000.00	0.27% 0.27%
Fotal				5,000.00	0.27%
lotai CBLO / Reverse Repo				3,000.00	0.4/%
Clearing Corporation of India Ltd				8,797.23	0.48%
- 1				8,797.23	0.48%
Sub Total				8,797.23	0.48%
Sub Total Fotal					
				(160,491.68)	-8.80%

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil

Plan/Option wise Net Asset Values are as follows:	
Plan	A
Regular Plan - Daily Dividend Option	

Regular Plan - Growth Option

Regular Plan - Weekly Dividend Option

Retail Plan - Daily Dividend Option @@

Retail Plan - Growth Option @@	Rs. 297.1847	Rs. 283.3774	
Retail Plan - Weekly Dividend Option @@	Rs. 100.7127	Rs. 100.3175	
Discipline Advantage Plan @	Rs. 175.7834	Rs. 167.6282	
Direct Plan - Daily Dividend Option	Rs. 100.6055	Rs. 100.2972	
Direct Plan - Growth Option	Rs. 308.3251	Rs. 293.8176	
Direct Plan - Weekly Dividend Option	Rs. 100.7152	Rs. 100.3155	
@ This option has been suspended for further subscriptions	w.e.f. May 21, 2010.		
@@Plan(s) discontinued from accepting subscriptions w.e.f	October 01, 2012.		
Dividend in the following plans was declared during the hal	lf year ended September 30, 2016.		Per Unit (Rs.)
Direct Plan - Weekly Dividend Option			4.5433
Retail Plan- Weekly Dividend Option			4.4878
Regular Plan - Weekly Dividend Option			4.4878
Direct Plan - Daily Dividend Option			4.4518
Retail Plan- Daily Dividend Option			4.3896
Regular Plan - Daily Dividend Option			4.2564

Rs. 100.7036

Rs. 307.1315

Rs. 100.7128

Rs. 100.6051

(4) Details of derivatives exposure and transaction:

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

 $(b) Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there$ were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no  $\frac{1}{6}$ hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there (8) Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%.

were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016

Underlying Security	Notional amount	Long/Short	Maturity date
8.48% Odisha SDL (MD 24/02/2021)	1,000,000,000.00	Long	24-Feb-21
8.48% Andhra Pradesh SDL (MD 24/02/2020)	500,000,000.00	Long	24-Feb-20
8.55% Jammu And Kashmir SDL(MD 17/02/2021)	1,000,000,000.00	Long	17-Feb-21
8.46% Maharashtra SDL (MD 03/03/2021)	1,500,000,000.00	Long	03-Mar-21
8.48% Odisha SDL (MD 24/02/2021)	500,000,000.00	Long	24-Feb-21
8.56% Tamilnadu SDL(MD 22/06/2021)	500,000,000.00	Long	22-Jun-21
8.48% Andhra Pradesh SDL (MD 24/02/2020)	500,000,000.00	Long	24-Feb-20
8.12% GOI (MD 10/12/2020)	250,000,000.00	Long	10-Dec-20
8.12% GOI (MD 10/12/2020)	500,000,000.00	Long	10-Dec-20
8.12% GOI (MD 10/12/2020)	500,000,000.00	Long	10-Dec-20
8.12% GOI (MD 10/12/2020)	500,000,000.00	Long	10-Dec-20
8.12% GOI (MD 10/12/2020)	250,000,000.00	Long	10-Dec-20
8.12% GOI (MD 10/12/2020)	100,000,000.00	Long	10-Dec-20
8.12% GOI (MD 10/12/2020)	500,000,000.00	Long	10-Dec-20
8.12% GOI (MD 10/12/2020)	500,000,000.00	Long	10-Dec-20
8.12% GOI (MD 10/12/2020)	250,000,000.00	Long	10-Dec-20
8.12% GOI (MD 10/12/2020)	250,000,000.00	Long	10-Dec-20

The Average Maturity Period of the Portfolio is 1.54 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

BIRLA SUN LIFE CAPITAL PROTECTION ORIENTED FUND - SER	IES 30
(A Close Ended Capital Protection Oriented Scheme)	

	•	ion Oriented Scheme) tember 30, 2016			
Name of the Instrument	ISIN	Industry^/Rating	Quantity	Market/	% to Net
			(	Rs in Lacs.)	Assets
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
8.69% ICICI Home Finance Company Ltd **	INE071G08627	CAREAAA	50	254.04	11.31%
8.65% Rural Electrification Corporation Limited **	INE020B07EG4	CRISIL AAA	2	20.53	0.91%
Sub Total					12.22%
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				274.57	12,22%
Government Securities					
8.48% Tmailnadu SDL (MD 12/03/2019)	IN3120080095	SOV	1,500,000	1,549.50	68.99%
Total				1,549.50	68.99%
Equity & Equity related					
(a) Listed / awaiting listing on Stock Exchanges	D. W. (1970)	-		20.12	
Repco Home Finance Limited	INE612J01015	Finance	3,600	29.43	1.31%
HDFC Bank Limited	INE040A01026	Banks	1,737	22.11	0.98%
Reliance Industries Limited	INE002A01018	Petroleum Products	1,905	20.64	0.92%
Infosys Limited	INE009A01021	Software	1,535	15.90	0.71%
Power Grid Corporation of India Limited	INE752E01010	Power	8,400	14.83	0.66%
ITC Limited	INE154A01025	Consumer Non Durables	5,700	13.76	0.61%
Eicher Motors Limited	INE066A01013	Auto	50	12.41	0.55%
Hindustan Petroleum Corporation Limited	INE094A01015	Petroleum Products	2,700	11.45	0.51%
Cummins India Limited	INE298A01020	Industrial Products	1,179	10.68	0.48%
IndusInd Bank Limited	INE095A01012	Banks	801	9.58	0.43%
Axis Bank Limited	INE238A01034	Banks	1,700	9.20	0.41%
VST Industries Limited	INE710A01016	Consumer Non Durables	400	9.08	0.40%
Sun Pharmaceuticals Industries Limited	INE044A01036	Pharmaceuticals	1,200	8.91	0.40%
Hindustan Zinc Limited	INE267A01025	Non - Ferrous Metals	3,700	8.63	0.38%
City Union Bank Limited	INE491A01021	Banks	6,500	8.59	0.38%
Tata Motors Limited	INE155A01022	Auto	1,600	8.56	0.38%
Kotak Mahindra Bank Limited	INE237A01028	Banks	1,100	8.55	0.38%
J.Kumar Infraprojects Limited	INE576I01022	Construction	4,400	8.00	0.36%
Mahindra & Mahindra Limited	INE101A01026	Auto	500	7.03	0.31%
Dish TV India Limited	INE836F01026	Media & Entertainment	7,500	6.98	0.31%
Talwalkars Better Value Fitness Limited	INE502K01016	Hotels, Resorts And Other Recreational Activities	2,500	6.91	0.31%
Aurobindo Pharma Limited	INE406A01037	Pharmaceuticals	800	6.84	0.30%
Hero MotoCorp Limited	INE158A01026	Auto	200	6.83	0.30%
Sanofi India Limited	INE058A01010	Pharmaceuticals	149	6.17	0.27%
Atul Auto Limited	INE951D01028	Auto	1,223	5.85	0.26%
Grasim Industries Limited	INE047A01013	Cement	100	4.84	0.22%
Crompton Greaves Consumer Electricals Limited	INE299U01018	Consumer Durables	2,700	4.16	0.19%
Credit Analysis and Research Limited	INE752H01013	Finance	300	4.08	0.18%
Divi's Laboratories Limited	INE361B01024	Pharmaceuticals	300	3.87	0.17%
Yes Bank Limited	INE528G01019	Banks	300	3.76	0.17%
Emami Limited	INE548C01032	Consumer Non Durables	300	3.51	0.16%
D.B.Corp Limited	INE950I01011	Media & Entertainment	600	2.37	0.11%
Sub Total				303.51	
(b) Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				303.51	13.51%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				102.97	4.58%
Sub Total				102.97	4.58%
Total				102.97	4.58%
Net Receivables / (Payables)				15.42	0.69%
GRANDTOTAL				2,245.97	100.00%
** Thinly Traded / Non Traded Security					

^Industry classification as recommended by AMFI and wherever not available, internal classification has been used Notes:

## (1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

(2) Plan/Option wise Net Asset Values are as follows: As on September 30, 2016

Regular Plan - Growth Option Rs. 10.5932 Rs. 10.0047 Rs. 10.0092 Direct Plan - Growth Option (3) No dividend was declared during the half year ended September 30, 2016.

.4518 Details of derivatives exposure and transaction:

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ there \ were \ no \ hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ there \ were \ no \ hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ there \ were \ no \ hedging \ Position \ through \ Pos$ transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil.

- (5) The Average Maturity Period of the Portfolio is 1.98 years. During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.09 times
- The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%
- (9) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

As on March 31, 2016

Rs. 100.2970

Rs. 292.8624

Rs. 100.3175

Rs. 100.2963

## ✓ Over 3.2 million investor scheme accounts





## Half Yearly Portfolio Statement as on September 30, 2016

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996)

### BIRLA SUN LIFE DYNAMIC BOND FUND (An Open Ended Income Scheme)

Portfolio as on September 30, 2016

Name of the Instrument	ISIN	Rating	Quantity	Market/ Fair Value (Rs in Lacs.)	% to Net Assets
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange	DIE140405045	ICD 1 1 1	4.500	46.040.00	2.450
Piramal Finance Priviate limited (ZCB) **	INE140A07245 INE721A07KV5	ICRA AA CRISIL AA+	4,500	46,242.32 37,992.00	3.45% 2.83%
8.80% Shriram Transport Finance Company Limited **	INE/21A0/KV5 INE909H07CR6	CRISIL AA+ CRISIL AA	3,750	,	2.83%
Tata Motors Finance Ltd (ZCB) **	INE909H07CR6 INE148I07FV4	CARE AAA	3,500 3,250	37,181.17 32,423.33	2.11%
8.80% Indiabulls Housing Finance Limited ** 9.40% Dewan Housing Finance Corporation Limited **	INE14810/FV4 INE202B07GR0	CARE AAA CARE AAA	2,950	29,865.53	2.42%
Edelweiss Commodities Services Ltd (ZCB) **	INE202B07GR0 INE657N07019	ICRA AA	2,500	29,603.33	2.23%
7.92% National Housing Bank **	INE557F08EY7	CRISIL AAA	2,500	25,089.68	1.87%
1.25% Syndicate Bank **	INE667A08070	CARE AA-	1,600	16,422.54	1.22%
indiabulls Properties Private Limited (ZCB) **	INE06/A06070 INE066I07013	CARE AA-(SO)	1,500	15,220.28	1.13%
0.70% Adani Transmission Limited **	INE931S07140	IND AA+	1,500	15,078.87	1.12%
8.80% Indiabulls Housing Finance Limited **	INE331307140 INE148I07FT8	CARE AAA	1,500	14,963.57	1.12%
Edelweiss Finance & Investments LTD (ZCB) **	INE918K07987	ICRA AA	1,250	14,845.91	1.11%
0.50% Hinduja Leyland Finance Ltd (FRN) **	INE146O07235	CARE A+	1,400	14,043.69	1.05%
3.74% Shriram Transport Finance Company Limited **	INE140007233 INE721A07KT9	CRISIL AA+	1,400	12,611.08	0.94%
9.69% JM Financial Credit Solution Limited **	INE/21A0/K19 INE651J07309	ICRA AA	1,230	10,034.17	0.75%
9.70% JM Financial Credit Solution Limited **	INE651J07309 INE651J07291	ICRA AA	1,000	10,034.17	0.75%
3.40% Small Industries Dev Bank of India **	INE556F09528	CARE AAA	900	9,051.80	0.73%
10.25% Adani Transmission Limited **	INE931S07157	IND AA+	850	8,651.62	0.64%
ECL Finance Ltd (ZCB) **	INE95150/15/ INE804I07ZR8	ICRA AA	700	8,313.71	0.62%
10.76% Indiabulls Housing Finance Limited **	INE304107ZR3	CARE AAA	750	7,670.81	0.62%
.43% Housing Development Finance Corporation Limited **	INE001A07OC4	CRISIL AAA	75	7,302.17	0.54%
indiabulls Housing Finance Limited **	INE148I07BK6	CARE AAA	500	5,125.57	0.38%
9.35% Cholamandalam Investment and Finance Company Limited **	INE121A07IZ3	ICRA AA	500	5,073.06	0.38%
3.05% Small Industries Dev Bank of India **	INE556F09544	CARE AAA	500	5,019.90	0.37%
3.85% Tata Capital Financial Services Ltd **	INE306N07HE0	CRISIL AA+	241	2,426.51	0.18%
3.93% Power Grid Corporation of India Limited **	INE752E07LT4	CRISIL AAA	50	521.47	0.04%
9.25% Rural Electrification Corporation Limited **	INE020B07IY8	CRISIL AAA	10	101.70	0.01%
Sub Total				420,999.87	31.37%
b) Privately placed / Unlisted					
Adani Power Limited (ZCB) ** #	INE814H08024	BWR AA-(SO)	200	20,120.26	1.50%
0.8388% Tata Motors Finance Solutions Ltd ** #	INE477S08043	CRISIL AA	150	15,248.64	1.14%
Adani Power Limited (ZCB) ** #	INE814H08016	BWR AA-(SO)	110	11,113.07	0.83%
0.97% Bahadur Chand Investments Pvt Ltd (FRN) ** #	INE087M08027	ICRA AA	75	7,552.21	0.56%
9.25% TATA Realty & Infrastructure Ltd ** #	INE371K08045	CRISIL AA	350	3,561.53	0.27%
0.68% Tata Sons Ltd ** #	INE895D08394	CRISIL AAA	41	412.17	0.03%
Sub Total				58,007.88	4.32%
Total				479,007.75	35.70%
Government Securities					
3.13% GOI (MD 22/06/2045)	IN0020150044	SOV	626,000,000	698,493.93	52.05%
3.17% GOI (MD 01/12/2044)	IN0020140078	SOV	79,000,000	88,203.82	6.57%
3.35% GOI (MD 14/05/2022)	IN0020020072	SOV	679,700	723.45	0.05%
.23% GOI (MD 23/12/2043)	IN0020130079	SOV	533,600	661.14	0.05%
3.83% GOI (MD 25/11/2023)	IN0020130061	SOV	500,000	550.47	0.04%
.96% Gujarat SDL (14/10/2025)	IN1520150062	SOV	418,700	436.18	0.03%
.08% GOI (MD 02/08/2022)	IN0020070028	SOV	353,800	372.92	0.03%
.15% GOI (MD 24/11/2026)	IN0020140060	SOV	338,200	363.57	0.03%
.68% GOI (MD 15/12/2023)	IN0020150010	SOV	294,800	306.78	0.02%
7.59% GOI (MD 20/03/2029)	IN0020150069	SOV	240,800	252.10	0.02%
0.20% GOI (MD 30/09/2030)	IN0020130053	SOV	108,700	127.73	0.01%
9.40% Madhya Pradesh SDL (MD 30/01/2024)	IN2120130066	SOV	65,300	72.89	0.01%
3.33% GOI 2036 (07/06/2036)	IN0020060045	SOV	29,100	32.60	9
	73.79.99.4.50.4.0.5		.,		

IN2220150105 SOV

INE434A16OO3 CARE A1+

INE299U01018 Consumer Durables

Call 1-800-270-7000

Crompton Greaves Consumer Electricals Limited

7.96% Maharashtra SDL (14/10/2025)

7.88% GOI (MD 19/03/2030)

Money Market Instruments

Certificate of Deposit

Andhra Bank \*\* #

Name of the Instrument	ISIN	Rating	Quantity	Market/	% to Net
				Fair Value	Assets
			(R	s in Lacs.)	
Commercial Paper					
Small Industries Dev Bank of India ** #	INE556F14DO0	CARE A1+	4,000	19,686.06	1.47%
National Bank For Agriculture and Rural Development ** #	INE261F14AQ8	CRISIL A1+	400	1,955.65	0.15%
Sub Total				21,641.71	1.61%
Total				31,488.27	2.35%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				10,827.25	0.81%
Clearing Corporation of India Ltd				4,026.65	0.30%
Sub Total				14,853.90	1.11%
Total				14,853.90	1.11%
Net Receivables / (Payables)				25,929.25	1.93%
GRANDTOTAL			1,	341,905.72	100.00%

FRN - Floating Rate Note , ZCB - Zero Coupon Bond

 ${\bf **} \ \, {\bf Thinly \, Traded \, I \, Non \, Traded \, Security}$ 

\$ Less Than 0.01% of Net Asset Value

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil

(2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2016	As on March 31, 2016	
Regular Plan - Dividend Option	Rs. 12.5118	Rs. 11.4429	
Regular Plan - Growth Option	Rs. 28.8002	Rs. 26.3408	
Regular Plan - Monthly Dividend Option	Rs. 11.4949	Rs. 10.8623	
Regular Plan - Quarterly Dividend Option	Rs. 11.2336	Rs. 10.5831	
Discipline Advantage Plan @	Rs. 19.9223	Rs. 18.2210	
Direct Plan - Dividend Option	Rs. 12.6675	Rs. 11.5475	
Direct Plan - Growth Option	Rs. 29.3824	Rs. 26.7847	
Direct Plan - Monthly Dividend Option	Rs. 11.6148	Rs. 10.9392	
Direct Plan - Quarterly Dividend Option	Rs. 11.4956	Rs. 10.7937	
@ This option has been suspended for further su	bscriptions w.e.f. May 21, 2010.		

(3) Dividend in the following plans was declared during the half year ended September 30, 2016. 0.6533 Direct Plan - Quarterly Dividend Option Regular Plan - Quarterly Dividend Option 0.6400 Direct Plan - Monthly Dividend Option 0.4532 Regular Plan - Monthly Dividend Option (4) Details of derivatives exposure and transaction:

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30,2016 there were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there
(5) The Average Maturity Period of the Portfolio is 17.59 years.

were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016

Underlying Security	Notional amount	Long/Short	Maturity date
8.13% GOI (22-Jun-2045)	1,000,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	1,000,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	1,000,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	500,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	1,000,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	1,500,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	1,000,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	500,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	1,000,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	1,000,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	500,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	1,000,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	1,000,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	1,000,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	1,000,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	1,000,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	1,000,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	1,000,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	1,000,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	2,000,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	500,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	1,000,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	500,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	1,000,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	500,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	500,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	1,000,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	500,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	1,500,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	1,000,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	1,000,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	1,000,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	1,000,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	500,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	500,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	500,000,000.00	Long	22-Jun-45
8.13% GOI (22-Jun-2045)	1,000,000,000.00	Long	22-Jun-45

- (6) During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 5.71 times
- (7) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

### BIRLA SUN LIFE DIVIDEND YIELD PLUS (An Open Ended Growth Scheme)

Portfolio as on September 30, 2016

					Portfolio as on September 30, 2016						
Name of the Instrument	ISIN	Industry^	Quantity	Market/ 6 Fair Value (Rs in Lacs.)	% to Net Assets	Name of the Instrument	ISIN	Industry^	Quantity	Market/ Fair Value (Rs in Lacs.)	% to Net Assets
Equity & Equity related				(Ito III Eucos)		Gateway Distriparks Limited	INE852F01015	Transportation	355,520	894.84	0.86%
(a) Listed / awaiting listing on Stock Exchanges						Welspun India Limited	INE192B01031	Textile Products	1,684,891	884.57	0.85%
ICICI Bank Limited	INE090A01021	Banks	2,565,000	6,467.65	6.19%	Infosys Limited	INE009A01021	Software	80,000	828.84	0.79%
Dalmia Bharat Limited	INE439L01019	Cement	254,802	4,767.35	4.56%	Power Grid Corporation of India Limited	INE752E01010	Power	436,961	771.45	
Orient Cement Limited	INE876N01018	Cement	2,003,349	4,307.20	4.12%						
Axis Bank Limited	INE238A01034	Banks	684,000	3,702.83	3.55%	Tata Steel Limited	INE081A01012	Ferrous Metals	199,300	745.88	0.71%
State Bank of India	INE062A01020	Banks	1,472,500	3,699.66	3.54%	Oriental Hotels Limited	INE750A01020	Hotels, Resorts And Other Recreational	2,996,591	719.18	0.69%
Sanofi India Limited	INE058A01010	Pharmaceuticals	80,000	3,310.56	3.17%			Activities			
Bharat Petroleum Corporation Limited	INE029A01011	Petroleum Products	500,000	3,063.00	2.93%	K.P.R. Mill Limited	INE930H01015	Textile Products	58,000	706.41	0.68%
Cummins India Limited	INE298A01020	Industrial Products	330,000	2,988.15	2.86%	Jyothy Laboratories Limited	INE668F01031	Consumer Non Durables	200,000	689.40	0.66%
Hero MotoCorp Limited	INE158A01026	Auto	85,000	2,901.65	2.78%	Vedanta Limited	INE205A01025	Non - Ferrous Metals	389,800	670.65	0.64%
Hindustan Petroleum Corporation Limited	INE094A01015	Petroleum Products	660,000	2,798.07	2.68%	Automotive Axles Limited	INE449A01011	Auto Ancillaries	87,500	637.92	0.61%
ITC Limited	INE154A01025	Consumer Non Durables	1,009,000	2,435.22	2.33%	Cairn India Limited	INE910H01017	Oil	311,500	623.16	0.60%
Oracle Financial Services Software Limited	INE881D01027	Software	75,000	2,417.10	2.31%	VRL Logistics Limited	INE366I01010	Transportation	170,723	511.49	0.49%
Greaves Cotton Limited	INE224A01026	Industrial Products	1,778,159	2,309.83	2.21%	Orbit Exports Limited	INE231G01010	Textile Products	155,000	418.97	0.40%
Hindustan Zinc Limited	INE267A01025	Non - Ferrous Metals	980,000	2,286.83	2.19%	Fortis Healthcare Limited	INE061F01013	Healthcare Services	229,372	383.05	
Kewal Kiran Clothing Limited	INE401H01017	Textile Products	120,000	2,280.42	2.18%	Gujarat Mineral Development Corporation Limited	INE131A01031	Minerals/Mining	430,000	361.85	0.35%
Pfizer Limited	INE182A01018	Pharmaceuticals	115,600	2,217.09	2.12%	Sonata Software Limited	INE269A01021	Software	227,000	354.23	
Dhanuka Agritech Limited	INE435G01025	Pesticides	325,600	2,179.40	2.09%	NIIT Technologies Limited	INE591G01017	Software	75,700	317.15	
Kaveri Seed Company Limited	INE455I01029	Consumer Non Durables	570,300	2,042.53	1.96%	Swaraj Engines Limited	INE277A01016	Industrial Products	24,210	286.73	
Torrent Pharmaceuticals Limited		Pharmaceuticals	125,763	2,042.33	1.96%	Apar Industries Limited	INE372A01015	Industrial Capital Goods	38,502	209.09	0.20%
	INE685A01028					•	INE036D01010	Banks	36,733	172.44	0.17%
Jagran Prakashan Limited	INE199G01027	Media & Entertainment	1,088,607	1,992.15	1.91%	Karur Vysya Bank Limited			1,050,000	99.23	
The Federal Bank Limited	INE171A01029	Banks	2,697,000	1,941.84	1.86%	Zee Entertainment Enterprises Limited (Preference Share)	INE256A04022	Media & Entertainment	1,030,000	99.23	0.10%
Procter & Gamble Hygiene and Health Care Limited	INE179A01014	Consumer Non Durables	25,000	1,701.30	1.63%	Sub Total				101,977.08	97.64%
Crompton Greaves Limited	INE067A01029	Industrial Capital Goods	2,097,761	1,574.37	1.51%	(b) Unlisted				NIL	NIL
Multi Commodity Exchange of India Limited	INE745G01035	Finance	116,000	1,567.45	1.50%	Sub Total				NIL	NIL
Dewan Housing Finance Corporation Limited	INE202B01012	Finance	543,700	1,543.02	1.48%	Total				101,977.08	
Castrol India Limited	INE172A01027	Petroleum Products	314,119	1,491.91	1.43%	International Equities				101,777.00	71.04 N
ICICI Prudential Life Insurance Company Limited	INE726G01019	Finance	449,108	1,393.36	1.33%	•					
D.B.Corp Limited	INE950I01011	Media & Entertainment	350,017	1,382.04	1.32%	(a) Listed / awaiting listing on Stock Exchanges	E00140207007	MECELLANEOUS	42,000	1.025.40	0.000
GE Power India Limited	INE878A01011	Industrial Capital Goods	243,379	1,340.29	1.28%	INDUSTRIA DE DISENO TEXTIL SA	ES0148396007	MISCELLANEOUS	42,000	1,035.49	
InterGlobe Aviation Limited	INE646L01027	Transportation	145,000	1,327.77	1.27%	Sub Total				1,035.49	
Indian Oil Corporation Limited	INE242A01010	Petroleum Products	220,000	1,281.50	1.23%	Total				1,035.49	0.99%
IIFL Holdings Limited	INE530B01024	Finance	470,000	1,279.81	1.23%	Cash and Bank				0.03	\$
Orient Refractories Limited	INE743M01012	Industrial Products	1,117,946	1,273.90	1.22%	Total				0.03	
Unichem Laboratories Limited	INE351A01035	Pharmaceuticals	472,100	1,272.55	1.22%	Margin (Future and Options)				610.00	
Atul Auto Limited	INE951D01028	Auto	265,846	1,271.54	1.22%	Total				610.00	0.58%
Sun TV Network Limited	INE424H01027	Media & Entertainment	241,000	1,217.41	1.17%	CBLO / Reverse Repo					
Hindustan Unilever Limited	INE030A01027	Consumer Non Durables	140,000	1,214.99	1.16%	Clearing Corporation of India Ltd				714.76	0.68%
ACC Limited	INE012A01025	Cement	75,000	1,199.89	1.15%	Sub Total				714.76	0.68%
The Jammu & Kashmir Bank Limited	INE168A01041	Banks	1,506,600	1,169.87	1.12%	Total				714.76	0.68%
Mahanagar Gas Limited	INE002S01010	Gas	166,285	1,097.73	1.05%	Net Receivables / (Payables)				101.10	0.10%
City Union Bank Limited	INE491A01021	Banks	780,368	1,030.87	0.99%	GRAND TOTAL				104,438.46	100.00%
PTC India Limited	INE877F01012	Power	1,322,700	984.75	0.94%	\$ Less Than 0.01% of Net Asset Value					
.l						Al-door design of the AMEL of		N. introduction of	1		

923.87 0.88%

600,111

28.86

0.11

790,626.55 58.92%

9,846.56 0.73%

9,846.56 0.73%

27,700

10,000

- The provision made for Non Performing Assets as of September 30,2016 is Nil.
- (2) Plan/Option wise Net Asset Values are as follows:

	•	
Regular Plan - Dividend Option	Rs. 15.42	Rs. 13.69
Regular Plan - Growth Option	Rs. 146.18	Rs. 126.08
Direct Plan - Dividend Option	Rs. 21.64	Rs. 18.59
Direct Plan - Growth Option	Rs. 150.47	Rs. 129.23

706.41 0.68% (3) Dividend in the following plans was declared during the half year ended September 30, 2016 Per Unit (Rs.)

As on September 30, 2016

As on March 31, 2016

(4) Details of derivatives exposure and transaction

(a) Hedging Position through Futures as on September 30, 2016

Underlying	Long/Short	Futures Price when	Current price of the	Margin maintained
		purchased Rs.	contract	in Rs. Lakhs
Axis Bank Limited	Short	549.42	544.85	199.75
		•		

Total %age of existing assets hedged through futures is 1.53%

For the half year ended September 30,2016 following hedging transactions through futures which have been squared off/expired.

Total Number of contracts	Total Number of contracts	Gross Notional Value	Gross Notional	Net Profit/
where futures were bought	where futures were sold	of contracts where	Value of contracts	Loss value on
		futures were bought Rs.	where futures were	all contracts
			sold Rs.	combined Rs.
850.00	1,095.00	475,737,163.30	640,232,933.32	3,029,630.02

(b) Other than Hedging Position through Futures as on September  $30,\!2016$ 

Underlying	Long/Snort	rutures Price when	Current price of the	Margin maintained
		purchased Rs.	contract	in Rs. Lakhs
Hindustan Unilever Limited	Long	869.28	870.70	8.50

Total exposure due to futures (non hedging positions) as a %age of net assets is 0.07%

For the half year ended September 30, 2016 following non-hedging transactions through futures which have been squared off/expired

Total Number of contracts	Total Number of contracts	Gross Notional Value	Gross Notional	Net Profit/
where futures were bought	where futures were sold	of contracts where	Value of contracts	Loss value on
		futures were bought Rs.	where futures were	all contracts
			sold Rs.	combined Rs.
102.00	289.00	63,779,321.59	160,190,050.00	10,655,577.75

c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil.

- During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.44 times
- The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%  $Total\ market\ value\ of\ Foreign\ Securities/overseas\ ETFs\ in\ 10.35\ crores\ and\ its\ percentage\ to\ Net\ Assets\ as\ at\ September\ 30,2016\ is\ 0.99\%.$
- Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

Birla Sun Life Asset Management Company Limited (Investment Manager for Birla Sun Life Mutual Fund), One Indiabulls Centre, Tower 1, 17th Floor, Jupiter Mill Compound, 841, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400 013. CIN: U65991MH1994PLC080811

^Industry classification as recommended by AMFI and wherever not available, internal classification has been used

2,500 12,342.21 3.89%

2.530.45 0.57%

2,521.50 0.57%

2.521.40 0.57%

2,505.71 0.56% 2,500.00 0.56%

2,025.08 0.46%

1 567 56 0 35%

1,557.74 0.35%

1 077 56 0 24%

1,019.17 0.23%

0.57%

#Unlisted Security

2,519.21

250

#Unlisted Security

Name of the Instrumen

## ✓ Over 3.2 million investor scheme accounts



This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September 2016.

### Half Yearly Portfolio Statement as on September 30, 2016

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996)

## BIRLA SUN LIFE FLOATING RATE FUND - SHORT TERM PLAN (An Open Ended Income Scheme)

Name of the Instrument	ISIN	Rating	Quantity	Market/	% to Net
				Fair Value	Assets
			(	(Rs in Lacs.)	
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange	D. IDRAL I. ORVIVO	777	4 000		
9.65% Shriram Transport Finance Company Limited **	INE721A07IH8	IND AA+	1,000	10,013.25	3.15%
Cholamandalam Investment and Finance Company Limited (ZCB) **	INE121A07JN7	ICRA AA	500	5,652.01	1.78%
10.75% Shriram Transport Finance Company Limited **	INE721A07GR1	CRISIL AA+	360,000	3,606.96	1.14%
Jyothy Laboratories Limited (ZCB) **	INE668F07038	CARE AA-	240	3,252.47	1.02%
9.65% Shriram Transport Finance Company Limited **	INE721A07IP1	IND AA+	100	1,002.16	0.32%
9.16% HDB Financial Services Ltd **	INE756I07506	CRISIL AAA	100	1,001.96	0.32%
9.91% Kotak Mahindra Prime Ltd **	INE916DA7899	CRISIL AAA	100	1,001.04	0.32%
Sub Total				25,529.85	8.04%
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				25,529.85	8.04%
Government Securities					
8.58% Karnataka SDL (MD 25/10/2016)	IN1920120038	SOV	1,500,000	1,501.69	0.47%
Total				1,501.69	0.47%
Money Market Instruments					
Bills Rediscounting					
Kotak Mahindra Bank Limited #			20,000,000	19,940.69	6.28%
Sub Total				19,940.69	6.28%
Certificate of Deposit					
Axis Bank Limited ** #	INE238A16F69	CRISIL A1+	20,000	19,768.20	6.22%
IndusInd Bank Limited ** #	INE095A16TL7	CRISIL A1+	15,000	14,915.78	4.70%
UCO Bank ** #	INE691A16KW9	CRISIL A1+	15,000	14,832.45	4.67%
IndusInd Bank Limited ** #	INE095A16TH5	CRISIL A1+	9,700	9,658.18	3.04%
Sub Total				59,174.61	18.63%
Commercial Paper					
JSW Steel Limited ** #	INE019A14841	CARE A1+	7,000	34,606.95	10.89%
Adani Enterprises Limited ** #	INE423A14AF8	BWR A1+	4,500	21,993.84	6.92%
Talwandi Sabo Power Ltd ** #	INE694L14DA6	CRISIL A1+(SO)	3,700	18,350.85	5.78%
HDB Financial Services Ltd ** #	INE756I14809	CARE A1+	2,500	12,373.05	3.89%

INE404K14BL3 ICRA A1+

Shapoorji Pallonji and Company Private Ltd \*\* #

8.65% Kotak Mahindra Prime Ltd \*\*

7.95% PNB Housing Finance Ltd

Axis Bank Limited

8.46% LIC Housing Finance Limited \*\*

10% Reliance Jio Infocomm Limited

8.69% Tata Capital Financial Services Ltd \*\*

7.50% Power Finance Corporation Limited \*\*

7.95% Power Finance Corporation Limited \*\*

9.61% Rural Electrification Corporation Limited \*\*

8.80% Rural Electrification Corporation Limited \*\*

9.40% Rural Electrification Corporation Limited \*\* 8.84% HDB Financial Services Ltd \*\*

INE087M14033 INE205A14FY9 INE404K14BD0	ICRA A1+ CRISIL A1+	2,000	Fair Value (Rs in Lacs.) 9.922.25	Assets
INE205A14FY9 INE404K14BD0		,	9 922 25	2 4 2 0
INE404K14BD0	CRISIL A1+			3.12%
		2,000	9,889.10	3.11%
D. III. 100 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	ICRA A1+	2,000	9,858.90	3.10%
INE423A14AG6	BWR A1+	1,500	7,327.16	2.31%
INE824H14153	IND A1+	1,000	4,947.39	1.56%
INE267A14077	CRISIL A1+	900	4,477.82	1.41%
INE289B14AV8	ICRA A1+	500	2,478.03	0.78%
			148,567.55	46.77%
IN002016X199	SOV	38,500,000	38,226.61	12.039
IN002016X215	SOV	14,275,800	14,139.57	4.45%
IN002016X223	SOV	11,005,900	10,889.61	3.43%
IN002016Y056	SOV	5,000,000	4,947.09	1.569
			68,202.88	21.47%
			295,885.73	93.14%
	Tenure (No. of Days)			
	91		25,000.00	7.879
	91		10,000.00	3.15%
	91		10,000.00	3.15%
	91		10,000.00	3.15%
			55,000.00	17.31%
			55,000.00	17.31%
			224.92	0.07%
			224.92	0.07%
			224.92	0.07%
			(60,456.06)	-19.03%
			317,686.13	100.00%
	INE824H14153 INE267A14077 INE289B14AV8 IN002016X199 IN002016X215 IN002016X223	INE824H14153 IND A1+ INE267A14077 CRISIL A1+ INE289B14AV8 ICRA A1+  IN002016X199 SOV IN002016X215 SOV IN002016X223 SOV IN002016Y056 SOV  Tenure (No. of Days) 91 91 91	INE824H14153   IND A1+   1,000     INE267A14077   CRISIL A1+   900     INE289B14AV8   ICRA A1+   500     IN002016X199   SOV   38,500,000     IN002016X215   SOV   14,275,800     IN002016X223   SOV   11,005,900     IN002016Y056   SOV   5,000,000     Tenure (No. of Days)   91   91   91	INE824H14153

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

Plan/Option wise Net Asset Values are as follows: As on March 31, 2016 Plan As on September 30, 2016 Regular Plan - Daily Dividend Option Rs. 100.0200 Rs. 100.0574 Regular Plan - Growth Option Rs. 201.3910 Rs. 209.1972 Regular Plan - Weekly Dividend Option Rs. 100.2095 Rs. 100.2120 Retail Plan- Daily Dividend Option @@ Rs. 100.0150 Rs. 100.0574 Rs. 248.1802 Retail Plan - Growth Option @@ Rs. 257.8001 Rs. 103.8859 Retail Plan - Weekly Dividend Option @@ Rs. 103.8834 Direct Plan - Daily Dividend Option Rs. 100.0200 Rs. 100.0574 Rs. 209.7077 Rs. 201.8112 Direct Plan - Growth Option Direct Plan - Weekly Dividend Option Rs. 100.2108 Rs. 100.2126  $@\ @\ - \ Plan(s)\ discontinued\ from\ accepting\ subscriptions\ w.e.f\ October\ 01,\ \ 2012.$ 

Dividend in the following plans was declared during the half year ended September 30,2016. Per Unit (Rs.) Retail Plan- Weekly Dividend Option 4.0892 Direct Plan - Weekly Dividend Option 3.9804 Regular Plan - Weekly Dividend Option 3.9437 Direct Plan - Daily Dividend Option 3.8290 Retail Plan- Daily Dividend Option 3.8002 Regular Plan - Daily Dividend Option (4) Details of derivatives exposure and transaction

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016 is Nil.

The Average Maturity Period of the Portfolio is 0.17 years.

Market/ % to Net

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

### BIRLA SUN LIFE FLOATING RATE FUND - LONG TERM PLAN (An Open Ended Income Scheme Portfolio as on September 30, 2016

Name of the Instrument	ISIN	Rating	Quantity	Market/	% to Net
				Fair Value	Assets
				(Rs in Lacs.)	
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
8.73% Shriram Transport Finance Company Limited **	INE721A07KU7	CRISIL AA+	2,000	20,185.52	4.54%
8.55% Reliance Jio Infocomm Limited **	INE110L07013	CRISIL AAA	1,650	16,736.74	3.76%
8.80% Indiabulls Housing Finance Limited **	INE148I07FT8	CAREAAA	1,500	14,963.57	3.36%
9.04% Rural Electrification Corporation Limited	INE020B08856	CRISIL AAA	1,100	11,477.49	2.58%
8.75% LIC Housing Finance Limited **	INE115A07JB4	CRISIL AAA	1,000	10,322.41	2.32%
7.85% Power Finance Corporation Limited **	INE134E08IC5	CRISIL AAA	1,000	10,099.08	2.27%
9.50% Shriram Transport Finance Company Limited (FRN) **	INE721A07HR9	IND AA+	1,000	10,096.90	2.27%
8.74% Shriram Transport Finance Company Limited **	INE721A07KT9	CRISIL AA+	1,000	10,088.86	2.27%
8.15% Housing Development Finance	INE001A07PI8	CRISIL AAA	100	10,061.89	2.26%
Corporation Limited ** 8.05% National Bank For Agriculture and	INE261F08576	CRISIL AAA	1,000	10,039.22	2.26%
Rural Development					
7.47% Power Finance Corporation Limited **	INE134E08IJ0	CRISIL AAA	1,000	10,013.04	2.25%
9.30% Cholamandalam Investment and Finance Company Limited (FRN) **	INE121A07LX2	ICRA AA	850	8,620.16	1.94%
8.40% Reliance Jio Infocomm Limited **	INE110L07021	CRISIL AAA	850	8,601.46	1.93%
8.8956% Cholamandalam Investment and Finance Company Limited **	INE121A07ME0	ICRA AA	800	8,073.86	1.82%
8.10% Reliance Jio Infocomm Limited	INE110L07054	CRISIL AAA	750	7,556.97	1.70%
8.81% Sundaram Finance Limited **	INE660A07NE5	CRISIL AA+	700	7,056.29	1.59%
9.30% Power Finance Corporation Limited	INE134E08GE5	CRISIL AAA	600	6,102.42	1.37%
4.00% Indiabulls Housing Finance Limited **	INE148I07AO0	CAREAAA	500	5,751.15	1.29%
8.12% ONGC Mangalore Petrochemicals Ltd **	INE053T07026	IND AAA	516	5,242.48	1.18%
8.85% Tata Capital Financial Services Ltd **	INE306N08169	CRISIL AA+	500	5,049.88	1.14%
8.85% Tata Capital Financial Services Ltd **	INE306N07HE0	CRISIL AA+	500	5,034.25	1.13%
8.35% Fullerton India Credit Co Ltd **	INE535H07902	ICRA AA+	500	5,027.53	1.13%
8.90% Power Finance Corporation Limited **	INE134E08GM8	CRISIL AAA	350	3,552.62	0.80%
8.53% Power Finance Corporation Limited **	INE134E08HP9	CRISIL AAA	300	3,109.06	0.70%
9.20% IDFC Bank Limited **	INE092T08BC8	ICRA AAA	800	2,667.20	0.60%
Tata Motors Finance Ltd (ZCB) **	INE909H07CS4	CRISIL AA	250	2,621.13	0.59%
9.70% Power Finance Corporation Limited **	INE134E08EA8	CRISIL AAA	250	2,614.35	0.59%
Hero Fincorp Limited (ZCB) **	INE957N07161	ICRA AA+	250	2,610.33	0.59%
9.33% Export Import Bank of India **	INE514E08DB1	CRISIL AAA	250	2,594.26	0.58%
Kotak Mahindra Prime Ltd (ZCB) **	INE916DA7LI4	CRISIL AAA	287	2,581.92	0.58%
8.72% LIC Housing Finance Limited **	INE115A07GH7	CRISIL AAA	250	2,560.48	0.58%
8.72% Housing Development Finance	INE001A07OQ4	CRISIL AAA	25	2,549.72	0.57%
Corporation Limited **	/			, <u>=</u>	
8.59% Housing Development Finance Corporation Limited **	INE001A07OM3	CRISIL AAA	25	2,546.45	0.57%
9.27% Power Finance Corporation Limited **	INE134E08EW2	CRISIL AAA	250	2,541.22	0.579
8 65% Kotak Mahindra Prime I td **	INE016DA7LE2		250	2,541.22	0.576

INE916DA7LE3 CRISIL AAA INE306N07HS0 ICRA AA+

INE115A07JR0 CAREAAA

INE134E08IH4 CRISIL AAA

INE572E09395 CARE AAA

INE134E08IF8 CRISIL AAA

INE020B07HZ7 CRISIL AAA

INE020B07EY7 CRISIL AAA

INE020B07IW2 CRISIL AAA

INE756I07878 CARE AAA

CRISIL AAA

INE110L07062

Name of the first unlent	ISEV	Kaung	Quantity	NIAI KCI/	70 to Ivet
				Fair Value	Assets
			(	Rs in Lacs.)	
9.48% Rural Electrification Corporation Limited **	INE020B08591	CRISIL AAA	50	540.87	0.12%
8.54% HDB Financial Services Ltd **	INE756I07894	CRISIL AAA	50	507.15	
Sub Total				262,143.31	58.94%
(b) Privately placed / Unlisted					
8.75% ONGC Petro Additions Ltd ** #	INE163N08032	CARE AAA(SO)	50	5,097.17	
9.00% Tata Capital Ltd ** #	INE976I08185	CRISIL AA+	500	5,030.69	
Sub Total				10,127.86	
Total				272,271.17	61.22%
Government Securities					
8.79% GOI (MD 08/11/2021)	IN0020110030	SOV	21,000,000	22,647.39	5.09%
7.8% GOI (MD 11/04/2021)	IN0020110022	SOV	19,500,000	20,197.71	4.54%
8.12% GOI (MD 10/12/2020)	IN0020120054	SOV	19,000,000	19,873.07	4.47%
8.56% RAJASTHAN SDL - (MD 23/01/2023)	IN2920120135	SOV	11,500,000	12,219.14	
8.08% GOI (MD 02/08/2022)	IN0020070028	SOV	10,500,000	11,067.47	2.49%
8.40% Gujarat SDL (MD 24/11/2020)	IN1520100083	SOV	7,500,000	7,838.42	1.76%
7.28% GOI (MD 03/06/2019)	IN0020130038	SOV	4,500,000	4,562.21	1.03%
8.41% Andhra Pradesh SDL (MD 27/03/2018)	IN1020070091	SOV	3,000,000	3,057.94	0.69%
8.38% Haryana SDL(MD 16/03/2021)	IN1620100066	SOV	2,500,000	2,603.64	0.59%
8.39% Rajasthan UDAY Bond SDL (MD 15/03/2018)	IN2920150272	SOV	2,500,000	2,543.72	0.57%
6.90% GOI (MD 13/07/2019)	IN0020090042	SOV	2,000,000	2,008.02	0.45%
9.23% Rajasthan SDL (MD 23/11/2021)	IN2920110052	SOV	1,000,000	1,082.92	0.24%
8.65% Kerala SDL (MD 09/01/2023)	IN2020120084	SOV	1,000,000	1,067.43	0.24%
8.20% GOI (MD 15/02/2022)	IN0020060037	SOV	1,000,000	1,053.97	0.24%
GOI 7.94PCT 24/05/2021	IN0020060318	SOV	1,000,000	1,039.59	0.23%
8.21% Rajasthan SDL(MD 31/03/2020)	IN2920150397	SOV	678,000	698.00	0.16%
8.27% GOI (MD 09/06/2020)	IN0020140029	SOV	500,000	523.53	0.12%
8.39% Rajasthan SDL(MD 15/03/2020)	IN2920150298	SOV	500,000	517.27	0.12%
Total				114,601.44	25.77%
Money Market Instruments					
Certificate of Deposit					
Axis Bank Limited ** #	INE238A16N02	CRISIL A1+	15,000	14,221.58	3.20%
Andhra Bank ** #	INE434A16NI7	CARE A1+	10,000	9,701.06	2.18%
Corporation Bank ** #	INE112A16JL1	CRISIL A1+	7,500	7,305.56	1.64%
Punjab & Sind Bank ** #	INE608A16MH0	ICRA A1+	3,500	3,395.53	0.76%
IDBI Bank Limited ** #	INE008A16K78	CRISIL A1+	2,500	2,441.61	0.55%
Kotak Mahindra Bank Limited ** #	INE237A16V03	CRISIL A1+	2,500	2,337.27	0.53%
Sub Total				39,402.61	8.86%
Total				39,402.61	8.86%
Others					
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				24,272.03	5.46%
Clearing Corporation of India Ltd				4,350.57	0.98%
Sub Total				28,622.60	6.44%
Total				28,622.60	6.44%
Net Receivables / (Payables)				(10,161.34)	-2.28%
GRAND TOTAL				444,736.48	100.00%
FRN - Floating Rate Note , ZCB - Zero Coupon Bond					
** Thinly Traded / Non Traded Security					

The provision made for Non Performing Assets as of September 30, 2016 is Nil.

Plan/Option wise Net Asset Values are as follows: As on September 30, 2016 As on March 31, 2016 Regular Plan - Daily Dividend Option Rs. 100.8144 Rs. 100.5428 Rs. 182.0085 Regular Plan - Weekly Dividend Option Rs. 100.7278 Rs. 100.5278 Retail Plan - Growth Option @@

Retail Plan - Weekly Dividend Option @@ Rs. 277.1065 Rs. 263.2482 Rs. 100.7278 Direct Plan - Daily Dividend Option Rs. 101.1527 Rs. 100.4672 Direct Plan - Growth Option
Direct Plan - Weekly Dividend Option Rs. 193.1761 Rs. 183,2903 Rs. 100.5293 Rs. 100.7299 @ @ Plan(s) discontinued from accepting subscriptions w.e.f October 01, 2012.

Dividend in the following plans was declared during the half year ended September 30,2016. Direct Plan - Weekly Dividend Option Per Unit (Rs.) Retail Plan- Weekly Dividend Option 4.9674 Regular Plan - Daily Dividend Option 4.9004 Direct Plan - Daily Dividend Option
Details of derivatives exposure and transaction:

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on Septe	mber 30, 2016		
Underlying Security	Notional amount	Long/Short	Maturity date
8.56% RAJASTHAN SDL - (MD 23/01/2023)	500,000,000.00	Long	23-Jan-23
8.53% Power Fin Corp Ltd NCD (MD 24/07/2020)	250,000,000.00	Long	24-Jul-20
8.56% RAJASTHAN SDL - (MD 23/01/2023)	500,000,000.00	Long	23-Jan-23
7.8% GOI (MD 11/04/2021)	500,000,000.00	Long	11-Apr-21
7.85% Power Fin Corp Ltd NCD (15/04/2019)	1,000,000,000.00	Long	15-Apr-19
9.70% Power Finance Ltd NCD (MD 15/12/2018)	500,000,000.00	Long	15-Dec-18
8.79% GOI (MD 08/11/2021)	250,000,000.00	Long	08-Nov-21
8.40% Gujarat SDL (MD 24/11/2020)	500,000,000.00	Long	24-Nov-20
7.8% GOI (MD 11/04/2021)	500,000,000.00	Long	11-Apr-21
8.79% GOI (MD 08/11/2021)	250,000,000.00	Long	08-Nov-21
8.79% GOI (MD 08/11/2021)	250,000,000.00	Long	08-Nov-21
7.8% GOI (MD 11/04/2021)	500,000,000.00	Long	11-Apr-21
8.40% Gujarat SDL (MD 24/11/2020)	250,000,000.00	Long	24-Nov-20
7.47% Power Fin Corp Ltd NCD OP151 A (16/09/2021)	250,000,000.00	Long	16-Sep-21
7.47% Power Fin Corp Ltd NCD OP151 A (16/09/2021)	500,000,000.00	Long	16-Sep-21
7.47% Power Fin Corp Ltd NCD OP151 A (16/09/2021)	250,000,000.00	Long	16-Sep-21
8.08% GOI (MD 02/08/2022)	250,000,000.00	Long	02-Aug-22
8.08% GOI (MD 02/08/2022)	250,000,000.00	Long	02-Aug-22
8.08% GOI (MD 02/08/2022)	250,000,000.00	Long	02-Aug-22
8.08% GOI (MD 02/08/2022)	250,000,000.00	Long	02-Aug-22
8.79% GOI (MD 08/11/2021)	250,000,000.00	Long	08-Nov-21
8.79% GOI (MD 08/11/2021)	250,000,000.00	Long	08-Nov-21
8.38% Haryana SDL(MD 16/03/2021)	250,000,000.00	Long	16-Mar-21
8.79% GOI (MD 08/11/2021)	750,000,000.00	Long	08-Nov-21
8.12% GOI (MD 10/12/2020)	500,000,000.00	Long	10-Dec-20
8.12% GOI (MD 10/12/2020)	250,000,000.00	Long	10-Dec-20
8.12% GOI (MD 10/12/2020)	250,000,000.00	Long	10-Dec-20
8.12% GOI (MD 10/12/2020)	250,000,000.00	Long	10-Dec-20
8.12% GOI (MD 10/12/2020)	250,000,000.00	Long	10-Dec-20
8.12% GOI (MD 10/12/2020)	500,000,000.00	Long	10-Dec-20

The Average Maturity Period of the Portfolio is 2.65 years.

# (An Open Ended Growth Scheme)

Portfolio as on September 30, 2016

							Portfolio as on September 30
Name of the Instrument	ISIN	Industry^	Quantity	Market/	% to Net	Name of the Instrument	ISIN Indus
				Fair Value	Assets		
				(Rs in Lacs.)			
Equity & Equity related						ICRA Limited	INE725G01011 Finar
(a) Listed / awaiting listing on Stock Exchanges						GE Power India Limited	INE878A01011 Indu:
HDFC Bank Limited	INE040A01026	Banks	220,000	2,800.27	5.09%	TD Power Systems Limited	INE419M01019 Indu:
Orient Cement Limited	INE876N01018	Cement	1,128,000	2,425.20	4.41%	Hindalco Industries Limited	INE038A01020 Non
WABCO India Limited	INE342J01019	Auto Ancillaries	33,700	2,097.02	3.81%	Century Textiles & Industries Limited	INE055A01016 Cem
Honeywell Automation India Limited	INE671A01010	Industrial Capital Goods	20,250	1,876.19	3.41%	Dalmia Bharat Limited	INE439L01019 Cem
Larsen & Toubro Limited	INE018A01030	Construction Project	130,400	1,868.83	3.40%	Castrol India Limited	INE172A01027 Petro
PTC India Limited	INE877F01012	Power	2,150,000	1,600.68	2.91%	Shree Cements Limited	INE070A01015 Cem
Crompton Greaves Consumer Electricals Limited	INE299U01018	Consumer Durables	1,000,000	1,539.50	2.80%	KEC International Limited	INE389H01022 Cons
Cummins India Limited	INE298A01020	Industrial Products	169,800	1,537.54	2.79%	Housing Development Finance Corporation	INE001A13031 Finar
Blue Star Limited	INE472A01039	Consumer Durables	276,250	1,513.99	2.75%	Limited (Warrant)	
Ashoka Buildcon Limited	INE442H01029	Construction Project	840,000	1,431.78	2.60%	Birla Corporation Limited	INE340A01012 Cem
The Federal Bank Limited	INE171A01029	Banks	1,900,000	1,368.00	2.49%	Power Grid Corporation of India Limited	INE752E01010 Powe
Finolex Cables Limited	INE235A01022	Industrial Products	306,993	1,356.91	2.47%	Sub Total	
VA Tech Wabag Limited	INE956G01038	Engineering Services	244,200	1,341.76	2.44%	(b) Unlisted	
Capital First Limited	INE688I01017	Finance	190,000	1,317.27	2.39%	Sub Total	
The Jammu & Kashmir Bank Limited	INE168A01041	Banks	1,592,000	1,236.19	2.25%	Total	
Grasim Industries Limited	INE047A01013	Cement	25,000	1,209.14	2.20%	Cash and Bank	
Inox Wind Limited	INE066P01011	Industrial Capital Goods	600,300	1,202.10	2.19%	Total	
Somany Ceramics Limited	INE355A01028	Construction	182,530	1,122.38	2.04%	Margin (Future and Options)	
ICICI Bank Limited	INE090A01021	Banks	444,700	1,121.31	2.04%	Total	
Multi Commodity Exchange of India Limited	INE745G01035	Finance	82,300	1,112.08	2.02%	CBLO / Reverse Repo	
Bajaj Finance Limited	INE296A01024	Finance	102,500	1,082.30	1.97%	Clearing Corporation of India Ltd	
Crompton Greaves Limited	INE067A01029	Industrial Capital Goods	1,436,650	1,078.21	1.96%	Sub Total	
Equitas Holdings Limited	INE988K01017	Finance	613,700	1,071.83	1.95%	Total	
Kalpataru Power Transmission Limited	INE220B01022	Power	403,821	1,051.95	1.91%	Net Receivables / (Payables)	
FAG Bearings India Limited	INE513A01014	Industrial Products	25,614	1,047.96	1.90%	GRAND TOTAL	
J.Kumar Infraprojects Limited	INE576I01022	Construction	570,168	1,037.14	1.89%	\$ Less Than 0.01% of Net Asset Value	
Greaves Cotton Limited	INE224A01026	Industrial Products	790,000	1,026.21	1.87%	^Industry classification as recommended by AM	AFI and wherever not available in
Muthoot Finance Limited	INE414G01012	Finance	270,000	941.76	1.71%	•	11 1 and wherever not available, in
Tata Communications Limited	INE151A01013	Telecom - Services	160,000	905.04	1.65%	Notes:	
Tata Motors Limited	INE155A01022	Auto	165,500	885.18	1.61%	(1) The provision made for Non Performing A	ssets as of Sentember 30, 2016 is
Mahanagar Gas Limited	INE002S01010	Gas	121,000	798.78	1.45%		•
IndusInd Bank Limited	INE095A01012	Banks	66,762	798.44	1.45%	<ol><li>Plan/Option wise Net Asset Values are as f</li></ol>	follows:
Orient Refractories Limited	INE743M01012	Industrial Products	700,000	797.65	1.45%	Plan	As on September 30, 20
The India Cements Limited	INE383A01012	Cement	550,000	792.00	1.44%	ı idil	As on September 30, 20
Indraprastha Gas Limited	INE203G01019	Gas	100,000	778.10	1.41%	Regular Plan - Dividend Option	Rs. 15.52
NCC Limited	INE868B01028	Construction Project	925,000	759.43	1.38%	Pagular Plan Growth Ontion	Do 20 27
JSW Steel Limited	INE019A01020	Ferrous Metals	41,500	717.68	1.30%	Regular Plan - Growth Option	Rs. 28.37
Carborundum Universal Limited	INE120A01034	Industrial Products	259,000	705.00	1.28%	Direct Plan - Dividend Option	Rs. 19.98
Hindustan Zinc Limited	INE267A01025	Non - Ferrous Metals	292,800	683.25	1.24%	*	D 20.12
Kaveri Seed Company Limited	INE455I01029	Consumer Non Durables	190,000	680.49	1.24%	Direct Plan - Growth Option	Rs. 29.12
Astra Microwave Products Limited	INE386C01029	Telecom - Equipment &		617.33	1.12%	(3) No dividend was declared during the half	vear ended September 30, 2016.
		Accessories	,			C,	,

INE238A01034 Banks

110.000

595.49 1.08%

				Fair Value	Assets
			(1	Rs in Lacs.)	
ICRA Limited	INE725G01011	Finance	15,000	588.44	1.07%
GE Power India Limited	INE878A01011	Industrial Capital Goods	91,139	501.90	0.91%
TD Power Systems Limited	INE419M01019	Industrial Capital Goods	268,000	485.88	0.88%
Hindalco Industries Limited	INE038A01020	Non - Ferrous Metals	300,000	458.10	0.83%
Century Textiles & Industries Limited	INE055A01016	Cement	48,000	452.66	0.82%
Dalmia Bharat Limited	INE439L01019	Cement	23,000	430.33	0.78%
Castrol India Limited	INE172A01027	Petroleum Products	90,000	427.46	0.78%
Shree Cements Limited	INE070A01015	Cement	1,570	267.57	0.49%
KEC International Limited	INE389H01022	Construction Project	200,000	251.90	0.46%
Housing Development Finance Corporation Limited (Warrant)	INE001A13031	Finance	146,000	241.63	0.44%
Birla Corporation Limited	INE340A01012	Cement	5,650	39.73	0.07%
Power Grid Corporation of India Limited	INE752E01010	Power	20,000	35.31	0.06%
Sub Total				54,110.27	98.36%
(b) Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				54,110.27	98.36%
Cash and Bank				0.47	\$
Total				0.47	\$
Margin (Future and Options)				285.00	0.52%
Total				285.00	0.52%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				589.80	1.07%
Sub Total				589.80	1.07%
Total				589.80	1.07%
Net Receivables / (Payables)				25.66	0.05%
GRANDTOTAL				55,011.20	100.00%
\$ Less Than 0.01% of Net Asset Value					
^Industry classification as recommended by AMF	I and wherever not availa	able, internal classification h	as been used		
Notes:					

(2) Plan/Option wise Net Asset Values are as follows: As on September 30, 2016 As on March 31, 2016 Regular Plan - Dividend Option Rs. 15.52 Rs. 12.82 Regular Plan - Growth Option Rs. 28.37 Rs. 23.43 Direct Plan - Dividend Option Rs. 19.98 Rs. 16.45 Rs. 29.12 Rs. 23.98 Direct Plan - Growth Option

Quantity Market/ % to Net

(a) Hedging Position through Futures as on September 30,2016

Underlying	Long / Short	Futures Price when purchased Rs.	Current price of the contract Rs.	Margin maintained in Rs. Lakhs
Larsen & Toubro Limited	Short	1,459.60	1,438.55	53.85
Total %age of existing assets h	edged through futures	is 0.78%		

For the half year ended September 30, 2016 following hedging transactions through futures which have been squared off/expired.

Total Number of contracts where futures were bought	Total Number of contracts where futures were sold	Gross Notional Value of contracts where futures were bought Rs.	Gross Notional Value of contracts where futures were sold Rs.	Net Profit/Loss value on all contracts combined Rs.
60.00	120.00	43,571,865.00	88,963,777.00	1,621,401.00

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil For the half year ended September 30, 2016 following non-hedging transactions through futures which have been squared off/expired.

Total Number of contracts where futures were bought	Total Number of contracts where futures were sold	Gross Notional Value of contracts where futures were bought Rs.	Gross Notional Value of contracts where futures were sold Rs.	Net Profit/Loss value on all contracts combined Rs.
310.00	310.00	247,484,343.67	251,056,135.43	3,571,791.76

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil  $\,$ 

For the half year ended September 30, 2016 following non-hedging transactions through options which have been exercised/expired.

Total Number of contracts where futures were sold	Gross Notional Value of contracts where futures were sold"Rs.	Net Profit/Loss value on all contracts combined"Rs.
6,335.00	30,513,181.06	-26,460,006.39

(e) Hedging Positions through swaps as on September 30, 2016 is Nil.

(5) During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.36 times

(6) The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%. (7) Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%.

(8) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

Birla Sun Life Asset Management Company Limited (Investment Manager for Birla Sun Life Mutual Fund), One Indiabulls Centre, Tower 1, 17th Floor, Jupiter Mill Compound, 841, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400 013. CIN: U65991MH1994PLC080811

(4) Details of derivatives exposure and transaction:

# **☑** Over 3.2 million investor scheme accounts





Half Yearly Portfolio Statement as on September 30, 2016 (Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996)

(An Op	pen Ended Diversified ortfolio as on Septem							DIR				NAL EQUITY FUND - PLAN B				
Name of the Instrument	•	uci 30, 2016							(			sified Equity Scheme)				
International Equities	I.M.	Industry^/Rating			to Net Assets	Name of the Instrument	ISIN	Industry^		Market/ % to Fair Value As	Net	Name of the Instrument	ISIN	Industry^ (		Market/ % to Net Fair Value Assets
■ 1 · · · · · · · · · · · · · · · · · ·			(Rs	s in Lacs.)		Equity & Equity related			(Rs	s in Lacs.)		TYSON FOODS INC	US9024941034	PACKAGED FOODS & MEAT	,	s in Lacs.) 69.79 0.68%
(a) Listed / awaiting listing on Stock Exchanges						(a) Listed / awaiting listing on Stock Exchanges						CK Hutchison Holdings Ltd	KYG217651051	INDUSTRIAL	8,100	68.61 0.67%
Aetna Inc New	US00817Y1082	HEALTHCARE SERVICES	3,500	268.20	5.32%	HDFC Bank Limited	INE040A01026	Banks	65,000	827.35 8.0	)3%			CONGLOMERATES		
Fedex Corp	US31428X1063	TRANSPORTATION	1,800	209.98	4.17%	Repco Home Finance Limited	INE612J01015	Finance	50,212	410.43 3.9	98%	KONINKLIJKE AHOLD NV	NL0011794037	FOOD RETAIL	4,517	67.61 0.66%
Apple Inc.	US0378331005	HARDWARE	2,600	194.29	3.86%	Bajaj Finance Limited		Finance	35,000	369.57 3.5		SANOFI PROSIEBENSAT I MEDIA SE	FR0000120578	PHARMACEUTICALS  MISCELLANEOUS	1,300 2,300	65.82 0.64% 65.21 0.63%
THE BOEING CO		Aerospace & Defense	2,200		3.81%	IndusInd Bank Limited	INE095A01012 INE009A01021	Banks Software	24,100	288.22 2.8 248.65 2.4		IMPERIAL BRANDS PLC	GB0004544929	CONSUMER NON DURABLE		65.05 0.63%
Valero Energy Corporation Ltd	US91913Y1001	Oil & Gas Refining & Marketing	5,000	172.23	3.42%	Infosys Limited Eicher Motors Limited	INE009A01021	Auto	24,000 1,000	248.28 2.4		Telenor	NO0010063308	TELECOM - SERVICES	5,500	62.59 0.61%
AXA SA	FR0000120628	FINANCE	10,900	151.87	3.01%	Century Textiles & Industries Limited	INE055A01016	Cement	22,500	212.19 2.0	)6%	JOHNSON & JOHNSON	US4781601046	PHARMACEUTICALS	800	62.50 0.61%
SWISS RE AG	CH0126881561	Reinsurance	2,500	148.70	2.95%	Indian Oil Corporation Limited	INE242A01010	Petroleum Products	35,000	203.88 1.9	98%	Monsanto Co	US61166W1018	CHEMICALS	900	61.03 0.59%
Westfield Corporation	AU000000WFD0		29,500	145.66		Shriram City Union Finance Limited	INE722A01011	Finance	9,195	195.35 1.9	90%	Delta Air Lines Inc	US2473617023		2,300	59.80 0.58%
Harman International Industries Inc  CK Hutchison Holdings Ltd	US4130861093 KYG217651051	CONSUMER DURABLES	2,600 17,000	144.03 143.99		Axis Bank Limited	INE238A01034	Banks	35,500	192.18 1.8	37%	AMERISOURCE BERGEN CORP	US03073E1055	HEALTH CARE DISTRIBUTORS	1,100	59.54 0.58%
CK Hutchison Holdings Litt	K1G21/051051	CONGLOMERATES	17,000	143.55	2.00%	Cummins India Limited	INE298A01020	Industrial Products	20,000	181.10 1.7		FORD MOTOR CO	US3453708600	AUTOMOBILE	7,300	58.21 0.56%
T ROWE PRICE GROUP I	US74144T1088	FINANCE	3,200	139.71	2.77%	D.B.Corp Limited  Kewal Kiran Clothing Limited	INE950I01011 INE401H01017	Media & Entertainment Textile Products	39,684 8,000	156.69 1.5 152.03 1.4				MANUFACTURERS		
Telenor	NO0010063308	TELECOM - SERVICES	12,200	138.84	2.76%	ICICI Bank Limited	INE090A01021	Banks	58,200	146.75 1.4		EASTMAN CHEMICAL CO	US2774321002		1,300	57.48 0.56%
EXPEDIA INC	US30212P3038	INTERNET & CATALOG RETAIL	1,700	134.08	2.66%	Emami Limited	INE548C01032	Consumer Non Durables	12,500	146.24 1.4		NORDEA BANK AB Ping An Ins Grp-H	SE0000427361	Diversified Banks  Life & Health Insurance	8,600 16,000	56.22 0.55% 55.25 0.54%
FORD MOTOR CO	US3453708600		16,600	132.37	2.63%	The India Cements Limited	INE383A01012	Cement	100,000	144.00 1.4	10%	Telstra Corporation Ltd		TELECOM - SERVICES	21,000	55.20 0.54%
CANODI	ED0000120579	MANUFACTURERS  DHADMACELETICALS	2.600	121.65	2.610	Atul Auto Limited	INE951D01028	Auto	30,000	143.49 1.3	89%	CVS Caremark Corporation	US1266501006	CONSUMER NON DURABLE	S 900	53.69 0.52%
SANOFI NORDEA BANK AB		PHARMACEUTICALS  Diversified Banks	2,600 20,000	131.65 130.75		Tata Motors Limited	INE155A01022	Auto	25,000	133.71 1.3	80%	GILEAD SCIENCES INC	US3755581036	BIOTECHNOLOGY	1,000	51.87 0.50%
TYSON FOODS INC		PACKAGED FOODS & MEA		129.60		PTC India Limited	INE877F01012		173,000	128.80 1.2		TJX ORD	US8725401090	MISCELLANEOUS	1,000	49.21 0.48%
Ping An Ins Grp-H	CNE1000003X6	Life & Health Insurance	37,000	127.77	2.54%	Equitas Holdings Limited  VST Industries Limited	INE988K01017 INE710A01016	Finance  Consumer Non Durables	72,000 5,327	125.75 1.2 120.88 1.1		SAMSUNG ELECTRONICS - GDR	US7960508882	HARDWARE	100	48.20 0.47%
Telstra Corporation Ltd	AU000000TLS2	TELECOM - SERVICES	47,000	123.55	2.45%	Orient Refractories Limited		Industrial Products	104,460	119.03 1.1		XEROX CORP		DATA PROCESSING	7,000	47.47 0.46%
KONINKLIJKE AHOLD NV	NL0011794037	FOOD RETAIL	8,094	121.15	2.40%	Larsen & Toubro Limited		Construction Project	8,100	116.09 1.1		CH Robinson Worldwide Inc  ESTEE LAUDER CL A ORD	US12541W2098 US5184391044	MISCELLANEOUS  MISCELLANEOUS	1,000	46.84 0.45% 46.29 0.45%
SAMSUNG ELECTRONICS - GDR	US7960508882	HARDWARE	250	120.49	2.39%	Orient Cement Limited	INE876N01018	Cement	52,500	112.88 1.1	10%	CenturyLink Inc	US1567001060	TELECOM - SERVICES	2,500	45.83 0.44%
EASTMAN CHEMICAL CO	US2774321002		2,700	119.39		Indian Terrain Fashions Limited	INE611L01021	Textile Products	75,300	111.26 1.0	08%	LLOYDS BANKING GROUP PLC	GB0008706128	Diversified Banks	94,000	45.09 0.44%
AMERISOURCE BERGEN CORP	US03073E1055	HEALTH CARE DISTRIBUTORS	2,200	119.07	2.36%	Hindalco Industries Limited	INE038A01020	Non - Ferrous Metals	72,000	109.94 1.0	)7%	Publicis Groupe SA	FR0000130577	ADVERTISING	900	45.06 0.44%
Delta Air Lines Inc	US2473617023	TRANSPORTATION	4,400	114.40	2.27%	IFGL Refractories Limited		Industrial Products	82,900	105.03 1.0		HEWLETT PACKARD CO	US40434L1052	HARDWARE	2,500	25.63 0.25%
CVS Caremark Corporation	US1266501006	CONSUMER NON DURABI	LES 1,900	113.34	2.25%	Sanofi India Limited Hindustan Zinc Limited		Pharmaceuticals  Non - Ferrous Metals	2,500	103.46 1.0		Dell Technologies Inc	US24703L1035	SOFTWARE	468	14.83 0.14%
TJX ORD		MISCELLANEOUS	2,300	113.18		Ashoka Buildcon Limited		Construction Project	44,300 55,000	103.37 1.0 93.75 0.9		Sub Total				2,684.57 26.05%
CH Robinson Worldwide Inc		MISCELLANEOUS TELECOM FOURIENTS	2,400	112.41		ITC Limited		Consumer Non Durables	37,500	90.51 0.8		Total  Cash and Bank				2,684.57 26.05% 0.33 \$
QUALCOMMINC	08/4/5251036	TELECOM - EQUIPMENT & ACCESSORIES	1 2,500	112.33	2.23%	Power Grid Corporation of India Limited	INE752E01010	Power	50,000	88.28 0.8	86%	Total				0.33 \$
ESTEE LAUDER CL A ORD	US5184391044	MISCELLANEOUS	1,900	109.95	2.18%	The Jammu & Kashmir Bank Limited	INE168A01041	Banks	107,200	83.24 0.8	31%	Margin (Future and Options)				50.00 0.49%
GILEAD SCIENCES INC	US3755581036	BIOTECHNOLOGY	2,100	108.92	2.16%	Sun Pharmaceuticals Industries Limited	INE044A01036	Pharmaceuticals	10,500	77.98 0.7	76%	Total				50.00 0.49%
Publicis Groupe SA	FR0000130577	ADVERTISING	2,100		2.09%	Oracle Financial Services Software Limited		Software	2,300	74.12 0.7		CBLO / Reverse Repo				
LLOYDS BANKING GROUP PLC  CenturyLink Inc		Diversified Banks TELECOM - SERVICES	212,400 5,400		2.02% 1.96%	Crompton Greaves Consumer Electricals Limited  K.P.R. Mill Limited		Consumer Durables Textile Products	47,205 5,600	72.67 0.7 68.21 0.6		Clearing Corporation of India Ltd				846.72 8.22%
IMPERIAL BRANDS PLC		CONSUMER NON DURABI			1.94%	Thomas Cook (India) Limited	INE332A01027	Services	34,000	67.81 0.6		Sub Total				846.72 8.22%
PROSIEBENSAT 1 MEDIA SE	DE000PSM7770	MISCELLANEOUS	3,400		1.91%	Kaveri Seed Company Limited	INE455I01029	Consumer Non Durables	18,000	64.47 0.6		Total  Net Receivables / (Payables)				846.72 8.22% (166.28) -1.61%
Monsanto Co	US61166W1018	CHEMICALS	1,400	94.93	1.88%	Dalmia Bharat Limited	INE439L01019	Cement	3,400	63.61 0.6	52%	GRAND TOTAL				10,303.43 100.00%
JOHNSON & JOHNSON	US4781601046	PHARMACEUTICALS	1,200	93.74	1.86%	HeidelbergCement India Limited	INE578A01017	Cement	46,100	60.71 0.5	59%	\$ Less Than 0.01% of Net Asset Value				
XEROX CORP	US9841211033	DATA PROCESSING	11,700	79.34	1.57%	Grasim Industries Limited	INE047A01013	Cement	1,240	59.97 0.5	58%	^Industry classification as recommended by AMFI and v	herever not availabl	e, internal classification has been	used	
HEWLETT PACKARD CO		HARDWARE	4,400		0.90%	Sonata Software Limited	INE269A01021	Software	33,000	51.50 0.5		Notes:				
Dell Technologies Inc	US24703L1035	SOFTWARE	913		0.57%	City Union Bank Limited  V-Mart Retail Limited	INE491A01021 INE665J01013	Banks Retailing	38,000 9,820	50.20 0.4 49.83 0.4		(1) The provision made for Non Performing Assets as	of September 30,201	6 is Nil.		
Sub Total     Total				5,065.96 10 5,065.96 10		Orbit Exports Limited	INE231G01010	Textile Products	17,000	45.95 0.4		(2) Plan/Option wise Net Asset Values are as follows:				
CBLO / Reverse Repo				-,		Tata Steel Limited	INE081A01012		9,000	33.68 0.3		Plan  Regular Plan - Dividend Option	As on September	,	Rs. 13.3611	,
Clearing Corporation of India Ltd				75.97	1.51%	Crompton Greaves Limited	INE067A01029	Industrial Capital Goods	31,405	23.57 0.2	23%	Regular Plan - Growth Option	Rs. 15.3		Rs. 13.3613	
Sub Total				75.97	1.51%	Automotive Axles Limited	INE449A01011	Auto Ancillaries	990	7.22 0.0	)7%	Direct Plan - Dividend Option	Rs. 15.6		Rs. 13.5795	
Total				75.97	1.51%	Zee Entertainment Enterprises Limited (Preference Share)	INE256A04022	Media & Entertainment	44,585	4.21 0.0	)4%	Direct Plan - Growth Option	Rs. 15.6	201	Rs. 13.5867	ı
Net Receivables / (Payables)				(103.48)		(Preference Share) Sub Total				6,888.09 66.8	5%	(3) No dividend was declared during the half year end	led September 30, 20	16.		
GRAND TOTAL	Lorda	No. to a 1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2		5,038.45 10	00.00%	(b) Unlisted				,	NIL	(4) Details of derivatives exposure and transaction:				
^Industry classification as recommended by AMFI Notes:	I and wherever not availa	ible, internal classification ha	as been used			Sub Total					NIL	(a) Hedging Position through Futures as on Septem transactions through futures which have been squa		d For the half year ended Septemb	er 30,2016 t	here were no hedging
Notes:  (1) The provision made for Non Performing Asse	ets as of September 30, 20	016 is Nil.				Total				6,888.09 66.8	5%	(b) Other than Hedging Position through Futures a	•	116 is Nil		
(2) Plan/Option wise Net Asset Values are as follows:		or 20, 2016 A.o.	on March 21	2016		International Equities						For the half year ended September 30,2016 follow	ing non-hedging tran	sactions through futures which h	ave been squ	ared off/expired.
<b>Plan</b> Regular Plan - Dividend Option	As on Septembe Rs. 14.17		Rs. 14.5758			(a) Listed / awaiting listing on Stock Exchanges						Total Number of contracts where futures contracts where futures	Gross Notiona			Profit/Loss value on contracts combined
Regular Plan - Growth Option Direct Plan - Dividend Option	Rs. 15.41 Rs. 15.72		Rs. 15.8420 Rs. 16.1284			Harman International Industries Inc	US4130861093	CONSUMER DURABLES  HE ALTHO A DE SERVICES	4,000	221.59 2.1		were bought were sold  22.00 22.00	were boug	ht Rs. were sold Rs.		Rs. 1,131,773.57
Direct Plan - Growth Option	Rs. 15.71		Rs. 16.1170			Aetna Inc New Fedex Corp	US00817Y1082 US31428X1063	HEALTHCARE SERVICES TRANSPORTATION	1,800 900	137.93 1.3 104.99 1.0						
No dividend was declared during the half yea     Details of derivatives exposure and transaction	•	2016.				Apple Inc.	US0378331005	HARDWARE	1,300	97.15 0.9		(c) Hedging Position through Put Options as on S no hedging transactions through option which ha	-		i September	30, 2016 there were
(a) Hedging Position through Futures as on Sept	otember 30, 2016 is Nil and	l For the half year ended Septe	ember 30, 2016	there were no	hedging	THE BOEING CO	US0970231058	Aerospace & Defense	1,100	96.01 0.9		(d) Other than Hedging Positions through Options	_		ended Sept	ember 30, 2016 there
transactions through futures which have been (b) Other than Hedging Position through Futu	ures as on September 30, 2		ear ended Sept	tember 30, 20	16 there	Valero Energy Corporation Ltd	US91913Y1001	Oil & Gas Refining & Marketin	ng 2,500	86.12 0.8	34%	were no non-hedging transactions through option		•		
were no non-hedging transactions through fut (c) Hedging Position through Put Options as of	•	•	nded Septembe	er 30, 2016 the	ere were	AXASA	FR0000120628	FINANCE	5,500	76.63 0.7	74%	(e) Hedging Positions through swaps as on Septe			inco	rumento io 0 05 ·
no hedging transactions through option which	h have exercised/expired.	•				QUALCOMMINC	US7475251036	TELECOM - EQUIPMENT ACCESSORIES	°& 1,600	71.89 0.7	70%	<ul><li>(5) During the current half year, the Portfolio turnove</li><li>(6) The total value of illiquid Equity Securities is 0</li></ul>				unicits is 0.27 times
(d) Other than Hedging Positions through Opti were no non-hedging transactions through opt	otions which have been ex	•	year ended Sept	илитет 30, 20	10 tnere	SWISS RE AG	CH0126881561	Reinsurance	1,200	71.38 0.6	59%	Total market value of Foreign Securities/oversea		-		eptember 30, 2016 is
(e) Hedging Positions through swaps as on Se     During the current half year, the Portfolio turn		uity related instrument & Fix	ed income inst	truments is 0	23 times	EXPEDIA INC	US30212P3038	INTERNET & CATALOG	900	70.98 0.6		26.05%.	· · · ·			
(6) The total value of illiquid Equity Securities i	is 0.00 crores and its per	centage to Net Assets is 0.00	0%.					RETAIL				(8) Details of Repo in Corporate Debt Securities as	on September 30, 20	16 is NIL.		
(7) Total market value of Foreign Securities/over 100.55%.	erseas ETFs in 50.66 cror	res and its percentage to Net	Assets as at S	September 30,	2016 is	Westfield Corporation	AU000000WFD0		14,200	70.12 0.6						
(8) Details of Repo in Corporate Debt Securities						TROWEPRICE GROUP I  Mutual Fund), One Indiabulls Centre	US74144T1088		1,600	69.86 0.6						

## ✓ Over 3.2 million investor scheme accounts



This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September 2016.

### Half Yearly Portfolio Statement as on September 30, 2016

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996)

### BIRLA SUN LIFE BALANCED '95 FUND

(An Open Ended Balanced Scheme) Portfolio as on September 30, 2016

Name of the Instrument	ISIN	Industry^/Rating	Quantity	Market/	
				Fair Value (Rs in Lacs.)	Asset
Debt Instruments				(KS III Lacs.)	
(a) Listed / awaiting listing on Stock Exchange					
9.20% Power Finance Corporation Limited (FRN) **	INE134E08CK1	CRISIL AAA	430	4,416,34	1.04%
10.75% IDBI Bank Limited	INE008A08U84	CRISIL A	300	2,981.80	0.70%
11.25% Syndicate Bank **	INE667A08062	CARE AA-	200	2,049.23	0.48%
10.95% Oriental Bank of Commerce **	INE141A08050	IND A+	150	1,495.33	0.359
11.50% Bank of India **	INE084A08078	BWR AA-	100	1,029.21	0.249
8.82% Rural Electrification Corporation Limited **	INE020B08831	CRISIL AAA	50	532.98	0.139
9.25% Power Grid Corporation of India Limited **	INE752E07JE0	CRISIL AAA	12	155.79	0.049
Sub Total				12,660.68	2.99%
(b) Privately placed / Unlisted				NIL	NII
Sub Total				NIL 12 ((0) (0)	NII
Total				12,660.68	2.99%
Government Securities	IN0020150093	SOV	34,000,000	25 444 92	8,379
7.59% GOI(MD 11/01/2026) 8.13% GOI (MD 22/06/2045)	IN0020150093 IN0020150044	SOV	9,500,000	35,444.83 10,600.15	2.509
7.61% GOI(MD 09/05/2030)	IN0020150044 IN0020160019	SOV	9,500,000	10,000.13	2.307
8.56% RAJASTHAN SDL - (MD 23/01/2023)	IN2920120135	SOV	8,500,000	9,031.54	2.139
8.79% GOI (MD 08/11/2021)	IN0020110030	SOV	7,500,000	8,088.35	1.919
8.60% GOI (MD 03/11/2021)	IN0020110030 IN0020140011	SOV	4,500,000	5.014.05	1.189
7.59% GOI (MD 20/03/2029)	IN0020150069	SOV	4,500,000	4,711.14	1.119
8.17% GOI (MD 01/12/2044)	IN0020130009	SOV	3,000,000	3,349.51	0.799
7.72% GOI (MD 25/05/2025)	IN0020150036	SOV	3,000,000	3,134.30	0.749
8.27% Karnataka SDL(MD 23/12/2025)	IN1920150068	SOV	796,300	845.77	0.209
8.26% Maharashtra SDL(MD 23/12/2025)	IN2220150154	SOV	646,300	686.16	0.169
8.24% GOI (MD 10/11/2033)	IN0020140052	SOV	500,000	552.51	0.139
8.40% GOI (MD 28/07/2024)	IN0020140045	SOV	500,000	539.27	0.139
8.27% GOI (MD 09/06/2020)	IN0020140029	SOV	500,000	523.53	0.129
7.8% GOI (MD 11/04/2021)	IN0020110022	SOV	500,000	517.89	0.129
Total				93,062.55	21.979
Equity & Equity related					
(a) Listed / awaiting listing on Stock Exchanges					
HDFC Bank Limited	INE040A01026	Banks	1,393,020	17,731.06	4.199
Infosys Limited	INE009A01021	Software	1,017,800	10,544.92	2.499
ICICÍ Bank Limited	INE090A01021	Banks	4,145,500	10,452.88	2.479
Tata Motors Limited	INE155A01022	Auto	1,876,992	10,039.09	2.379
Larsen & Toubro Limited	INE018A01030	Construction Project	524,000	7,509.71	1.779
Kotak Mahindra Bank Limited	INE237A01028	Banks	894,221	6,948.10	1.649
IndusInd Bank Limited	INE095A01012	Banks	531,260	6,353.60	1.509
State Bank of India	INE062A01020	Banks	2,400,000	6,030.00	1.429
Reliance Industries Limited	INE002A01018	Petroleum Products	520,000	5,635.24	1.339
HCL Technologies Limited	INE860A01027	Software	685,200	5,480.91	1.299
Yes Bank Limited	INE528G01019	Banks	428,901	5,381.42	1.279
Indian Oil Corporation Limited	INE242A01010	Petroleum Products	873,258	5,086.73	1.209
Tata Chemicals Limited	INE092A01019	Chemicals	962,100	5,047.18	1.199
Maruti Suzuki India Limited	INE585B01010	Auto	92,000	5,039.12	1.199
Sun Pharmaceuticals Industries Limited	INE044A01036	Pharmaceuticals	646,500	4,801.56	1.139
MRF Limited	INE883A01011	Auto Ancillaries	9,243	4,702.15	1.119
Aurobindo Pharma Limited	INE406A01037	Pharmaceuticals	512,000	4,379.90	1.039
FAG Bearings India Limited	INE513A01014	Industrial Products	106,019	4,337.61	1.029
Sun TV Network Limited	INE424H01027	Media & Entertainment	843,800	4,262.46	1.019
Grasim Industries Limited	INE047A01013	Cement	88,000	4,256.16	1.009
Glenmark Pharmaceuticals Limited	INE935A01035	Pharmaceuticals Posticidae	458,000	4,229.40	1.009
UPL Limited Axis Bank Limited	INE628A01036 INE238A01034	Pesticides Banks	610,000 747,679	4,110.49 4,047.56	0.979
Axis Bank Limited Britannia Industries Limited		Consumer Non Durables			0.969
Britannia Industries Limited Biocon Limited	INE216A01022	Pharmaceuticals	120,000	4,036.80	0.959
	INE376G01013		417,000	3,892.70	0.929
Crompton Greaves Limited	INE067A01029	Industrial Capital Goods Finance	5,058,154	3,796.14	
Shriram City Union Finance Limited Muthoot Finance Limited	INE722A01011		171,800	3,649.98	0.869
	INE414G01012	Finance Consumer Non Durables	1,021,989	3,564.70	0.849
ITC Limited	INE154A01025	Consumer Non Durables	1,471,000	3,550.26	0.849
Zee Entertainment Enterprises Limited	INE256A01028	Media & Entertainment	643,452	3,518.07	0.839
Equitas Holdings Limited	INE988K01017	Finance Consumer Durables	1,978,721	3,455.84	0.829
Crompton Greaves Consumer Electricals Limited	INE299U01018		2,236,970	3,443.82	0.819
Torrent Pharmaceuticals Limited Finolex Cables Limited	INE685A01028	Pharmaceuticals	211,700	3,437.06	0.819
	INE235A01022	Industrial Products	770,780	3,406.85	0.80

Name of the Instrument	ISIN	Industry^/Rating	Quantity	Market/	% to Ne
				Fair Value	Assets
			(	Rs in Lacs.)	
Cairn India Limited	INE910H01017	Oil	1,660,484	3,321.80	0.78%
Pfizer Limited	INE182A01018	Pharmaceuticals	164,995	3,164.44	0.75%
Wipro Limited	INE075A01022	Software	660,000	3,161.07	0.75%
Kaveri Seed Company Limited	INE455I01029	Consumer Non Durables	877,767	3,143.72	0.74%
Inox Wind Limited	INE066P01011	Industrial Capital Goods	1,559,212	3,122.32	0.74%
Hero MotoCorp Limited	INE158A01026	Auto	91,000	3,106.47	0.73%
PTC India Limited	INE877F01012	Power	4,152,000	3,091.16	0.73%
Natco Pharma Limited	INE987B01026	Pharmaceuticals	513,285	3,063.54	0.729
Mahindra & Mahindra Limited	INE101A01026	Auto	215,500	3,029.28	0.729
Dish TV India Limited	INE836F01026	Media & Entertainment	3,213,343	2,991.62	0.719
Bank of Baroda	INE028A01039	Banks	1,787,000	2,991.44	0.719
Bajaj Finance Limited	INE296A01024	Finance	280,000	2,956.52	0.709
NTPC Limited	INE733E01010	Power	1,966,060	2,909.77	0.699
The Federal Bank Limited	INE171A01029	Banks	3,951,207	2,844.87	0.679
Divi's Laboratories Limited	INE361B01024	Pharmaceuticals	210,000	2,710.68	0.649
Atul Limited	INE100A01010	Chemicals	118,400	2,704.67	0.649
Coal India Limited	INE522F01014	Minerals/Mining	834,000	2,687.98	0.639
Hindustan Petroleum Corporation Limited	INE094A01015	Petroleum Products	627,195	2,658.99	0.639
Ashoka Buildcon Limited	INE442H01029	Construction Project	1,519,397	2,589.81	0.619
Procter & Gamble Hygiene and Health Care Limited	INE179A01014	Consumer Non Durables	37,608	2,559.30	0.609
Ashok Leyland Limited	INE208A01029	Auto	3,000,000	2,388.00	0.569
Carborundum Universal Limited	INE120A01034	Industrial Products	875,000	2,381.75	0.569
Repco Home Finance Limited	INE612J01015	Finance	277,087	2,264.91	0.539
Whirlpool of India Limited	INE716A01013	Consumer Durables	219,150	2,229.41	0.539
Eicher Motors Limited	INE066A01013	Auto	8,900	2,209.67	0.529
Castrol India Limited	INE172A01027	Petroleum Products	430,000	2,042.29	0.489
Arvind Limited	INE034A01011	Textile Products	600,000	2,022.60	0.489
Gujarat State Petronet Limited	INE246F01010	Gas	1,254,244	1,959.76	0.469
Mangalore Refinery and Petrochemicals Limited	INE103A01014	Petroleum Products	2,149,000	1,856.74	0.449
Cummins India Limited	INE298A01020	Industrial Products	204,964	1,855.95	0.449
Emami Limited	INE548C01032	Consumer Non Durables	154,050	1,802.23	0.439
Sundaram Finance Limited	INE660A01013	Finance	125,000	1,662.00	0.399
VA Tech Wabag Limited	INE956G01038	Engineering Services	300,000	1,648.35	0.399
Bayer Cropscience Limited	INE462A01022	Pesticides	36,724	1,642.24	0.399
GlaxoSmithKline Consumer Healthcare Limited	INE264A01014	Consumer Non Durables	27,200	1,641.34	0.399
Credit Analysis and Research Limited	INE752H01013	Finance	119,200	1,622.67	0.389
Bharat Financial Inclusion Limited	INE180K01011	Finance	177,900	1,566.68	0.379
Blue Star Limited	INE472A01039	Consumer Durables	283,149	1,551.80	0.379
Bharat Electronics Limited	INE263A01016	Industrial Capital Goods	124,212	1,546.13	0.369
Jagran Prakashan Limited	INE199G01027	Media & Entertainment	800,000	1,464.00	0.359
ICICI Prudential Life Insurance Company Limited	INE726G01019	Finance	439,312	1,362.97	0.329
Shoppers Stop Limited	INE498B01024	Retailing	364,131	1,336.91	0.329
Bata India Limited	INE176A01028	Consumer Durables	254,776	1,249.17	0.299
Bosch Limited	INE323A01026	Auto Ancillaries	5,300	1,208.29	0.299
Orient Cement Limited	INE876N01018	Cement	481,548	1,035.33	0.249
Colgate Palmolive (India) Limited	INE259A01022	Consumer Non Durables	100,000	970.65	0.239
Titan Company Limited	INE280A01028	Consumer Durables	222,600	879.49	0.219
Cyient Limited	INE136B01020	Software	182,811	860.31	0.209
Hindustan Zinc Limited	INE267A01025	Non - Ferrous Metals	343,000	800.39	0.199
Housing Development Finance Corporation Limited	INE001A13031	Finance	438,000	724.89	0.179
(Warrant)					
NCC Limited	INE868B01028	Construction Project	550,651	452.08	0.119
Zee Entertainment Enterprises Limited	INE256A04022	Media & Entertainment	420,000	39.69	0.019
(Preference Share)					
Sub Total				293,247.61	69.22%
(b) Unlisted				-,	
Globsyn Technologies Ltd ** #~	INE671B01018	Miscellaneous	200,000	5.46	
Magnasound (I) Ltd ** #~		Miscellaneous	125,000	0.00	
Brillio Technologies Pvt Ltd ** #~	INE763E01017	Miscellaneous	83,640	0.00	
Sub Total		viimieouo	05,010	5.46	
Total				293,253.07	
Cash and Bank				0.29	07.22
Total				0.29	
Margin (Future and Options)				1.947.00	0.469
Total				1,947.00	0.469

Name of the Instrument	ISIN	Industry^/Rating	Quantity Market/	% to Net
			Fair Value	Assets
			(Rs in Lacs.)	
Clearing Corporation of India Ltd			23,412.18	5.53%
Sub Total			23,412.18	5.53%
Total			23,412.18	5.53%
Net Receivables / (Payables)			(670.90)	-0.16%
GRANDTOTAL			423,664.87	100.00%
FRN - Floating Rate Note				
** Think Troded / Non Troded Committee				

\*\* Thinly Traded / Non Traded Security

#Unlisted Security ~ Illiquid Security

\$ Less Than 0.01% of Net Asset Value

^Industry classification as recommended by AMFI and wherever not available, internal classification has been used

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

(2) Plan/Option wise Net Asset Values are as follows: As on March 31, 2016 Plan As on September 30, 2016 Regular Plan - Growth Option Rs. 639.94 Rs. 552.35 Regular Plan - Dividend Option Rs. 148.62 Rs. 130.54 Direct Plan - Dividend Option Rs. 169.19

Direct Plan - Growth Option Dividend in the following plans was declared during the half year ended September 30, 2016 Per Unit (Rs.) Regular Plan - Dividend Option 6.2600 Direct Plan - Dividend Option 4.0000

(4) Details of derivatives exposure and transaction:

(a) Hedging Position through Futures as on September 30, 2016 is Nil For the half year ended September 30, 2016 following hedging transactions through futures which have been squared off/expired.

contracts where futures were bought	contracts where futures were sold		contracts where futures were sold Rs.	Net Profit/Loss value on all contracts combined Rs.
547.00	526.00	403,468,085.75	375,157,526.46	-17,176,138.11

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil For the half year ended September 30, 2016 following non-hedging transactions through options which have been exercised/expired.

Total Number of contracts where Cross National Value of contracts where futures

futures were sold	were sold"Rs.	Net Profit/Loss value on all contracts combined"Rs.
17,471.00	83,591,506.76	-73,947,240.07

(e) Hedging Positions through swaps as on September 30, 2016 is Nil.

(5) The Average Maturity Period of the Portfolio is 2.83 years.

During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.94 times (7) The total value of illiquid Equity Securities is 0.05 crores and its percentage to Net Assets is 0.00%.

Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

## BIRLA SUN LIFE BANKING AND FINANCIAL SERVICES FUND

(An Open ended Banking & Financial Services Sector Scheme) Portfolio as on September 30, 2016

Name of the Instrument	ISIN	Industry^	Quantity	Market/ Fair Value (Rs in Lacs.)	% to Net Assets
Equity & Equity related					
(a) Listed / awaiting listing on Stock Exchanges					
HDFC Bank Limited	INE040A01026	Banks	700,000	8,909.95	11.62%
Yes Bank Limited	INE528G01019	Banks	696,489	8,738.85	11.39%
Bajaj Finance Limited	INE296A01024	Finance	624,500	6,594.10	8.60%
ICICI Bank Limited	INE090A01021	Banks	2,162,000	5,451.48	7.11%
IndusInd Bank Limited	INE095A01012	Banks	433,174	5,180.54	6.75%
Repco Home Finance Limited	INE612J01015	Finance	599,666	4,901.67	6.39%
State Bank of India	INE062A01020	Banks	1,900,000	4,773.75	6.22%
Cholamandalam Investment and Finance Company Limited	INE121A01016	Finance	339,120	3,924.81	5.12%
Bharat Financial Inclusion Limited	INE180K01011	Finance	438,610	3,862.62	5.04%
Equitas Holdings Limited	INE988K01017	Finance	1,592,480	2,781.27	3.63%
Bank of Baroda	INE028A01039	Banks	1,585,000	2,653.29	3.46%
Capital First Limited	INE688I01017	Finance	340,500	2,360.69	3.08%
Dewan Housing Finance Corporation Limited	INE202B01012	Finance	735,360	2,086.95	2.72%
The Federal Bank Limited	INE171A01029	Banks	2,492,000	1,794.24	2.34%
L&T Finance Holdings Limited	INE498L01015	Finance	1,900,000	1,741.35	2.27%
Bajaj Finserv Limited	INE918I01018	Finance	55,000	1,688.25	2.20%
Shriram City Union Finance Limited	INE722A01011	Finance	77,000	1,635.90	2.13%
Ujjivan Financial Services Limited	INE334L01012	Finance	283,990	1,270.43	1.66%
LIC Housing Finance Limited	INE115A01026	Finance	214,863	1,243.63	1.62%
ICRA Limited	INE725G01011	Finance	25,000	980.73	1.28%

Name of the Instrument	ISIN	Industry^	Quantity	Market/ Fair Value	% to Ne Assets
			(	Rs in Lacs.)	
ICICI Prudential Life Insurance Company Limited	INE726G01019	Finance	209,616	650.33	0.85%
Shriram Transport Finance Company Limited	INE721A01013	Finance	52,000	604.58	0.79%
City Union Bank Limited	INE491A01021	Banks	434,608	574.12	0.75%
Tourism Finance Corporation of India Limited	INE305A01015	Finance	265,000	127.20	0.17%
Sub Total				74,530.73	97.17%
(b) Unlisted				NIL	NII
Sub Total				NIL	NII
Total				74,530.73	97.17%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				3,074.97	4.01%
Sub Total				3,074.97	4.01%
Total				3,074.97	4.01%
Net Receivables / (Payables)				(905.79)	-1.18%
GRAND TOTAL				76,699.91	100.00%

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

Plan/Option wise Net Asset Values are as follows:

As on September 30, 2016 As on March 31, 2016 Regular Plan - Dividend Option Rs. 16.88 Rs. 12.64 Regular Plan - Growth Option Rs. 21.66 Rs. 16.22 Direct Plan - Dividend Option Rs. 18.78 Rs. 14.01 Direct Plan - Growth Option Rs. 22.17 Rs. 16.54

(3) No dividend was declared during the half year ended September 30, 2016.

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Position \ Angles \ Position \$ transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil

For the half year ended September 30, 2016 following non-hedging transactions through futures which have been squared off/expired.

Total Number of contracts where futures were sold	Gross Notional Value of contracts where futures were sold"Rs.	Net Profit/Loss value on all contracts combined"Rs.			
200.00	99,541,000.00	6,874,498.00			
(c) Hedging Position through Put Options	as on September 30, 2016 is Nil and For the half year	ended September 30, 2016 there were			

no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil.

(6) The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%

(7) Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

## (An Open Ended Fund of Funds Scheme) as on September 30, 2016

				Portfoli	o as on
Name of the Instrument	ISIN	Industry^/Rating	Quantity	Market/ Fair Value (Rs in Lacs.)	% to Net Assets
Others					
Mutual Fund Units					
SBI Magnum Gilt Fund - Long Term-Dir Pl - Growth	INF200K01SH3		294,654	104.71	31.49%
MOSt Focused Multicap 35-Direct Plan-Growth Option	INF247L01502		306,304	63.61	19.13%
Birla Sun Life Cash Plus - Growth - Direct Plan	INF209K01VA3		24,593	62.17	18.70%
Birla Sun Life Frontline Eq Fd - Gr - Direct Plan	INF209K01YY7		16,426	30.74	9.25%
SBI Blue Chip Fund-Direct Plan -Growth	INF200K01QX4		72,639	24.05	7.24%
Mirae Asset India Opp'ties Fund-Direct Plan-Growth	INF769K01AX2		46,644	17.60	5.29%
IDFC Dynamic Bond Fund-Direct Plan-Growth	INF194K01N63		55,532	11.00	3.31%
Sub Total				313.88	94.41%
Total				313.88	94.41%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				21.99	6.62%
Sub Total				21.99	6.62%
Total				21.99	6.62%
Net Receivables / (Payables)				(3.41)	-1.03%
GRANDTOTAL				332.46	100.00%

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil

(2) Plan/Option wise Net Asset Values are as follows:

BIRLA SUN LIFE ASSET ALLOCATOR MULTI MANAGER FOF SCHEME

Plan	As on September 30, 2016	As on March 31, 2016					
Regular Plan - Dividend Option	Rs. 22.2106	Rs. 19.5505					
Regular Plan - Growth Option	Rs. 24.1283	Rs. 21.2385					
Direct Plan - Dividend Option	Rs. 11.4881	Rs. 10.1141					
Direct Plan - Growth Option	Rs. 12.0575	Rs. 10.6154					
No dividend was declared during the half year ended September 30, 2016.							

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.  $(b) Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there$ 

were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

 $(d) Other than \ Hedging \ Positions \ through \ Options \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there$ were no non-hedging transactions through options which have been exercised/expired.

Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil.

# (An Open Ended Fund of Funds Scheme)

Name of the Instrument	ISIN	Industry^/Rating	Quantity	Market/ Fair Value	% to Net Assets
			(	Rs in Lacs.)	
Others					
Mutual Fund Units					
Birla Sun Life Govt Sec. Long Term Grwth Direct	INF209K01YL4		4,986,864	2,438.38	27.53%
ICICI Prude Gilt Fund Inv Plan PF Opt-Dir Plan-Grw	INF109K011D8		7,127,264	2,322.83	26.22%
SBI Magnum Gilt Fund - Long Term-Dir Pl - Growth	INF200K01SH3		6,412,271	2,278.61	25.72%
IDFC Dynamic Bond Fund-Direct Plan-Growth	INF194K01N63		8,863,949	1,755.99	19.82%
Sub Total				8,795.81	99.30%
Total				8,795.81	99.30%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				87.97	0.99%
Sub Total				87.97	0.99%
Total				87.97	0.99%
Net Receivables / (Payables)				(25.61)	-0.29%
GRANDTOTAL				8,858.17	100.00%
Notes:					

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil. (2) Plan/Option wise Net Asset Values are as follows:

As on March 31, 2016 As on September 30, 2016 Regular Plan - Dividend Option Rs. 13.6877 Rs. 12.6065 Regular Plan - Growth Option Rs. 21.8280 Rs. 20.1039 Direct Plan - Dividend Option Rs. 13.8935 Rs. 12.7637 Rs. 20.3758 Direct Plan - Growth Option Rs. 22.1787

No dividend was declared during the half year ended September 30, 2016

Details of derivatives exposure and transaction: (a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

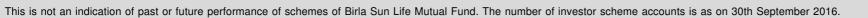
were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016 is Nil.

Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%. Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

## ✓ Over 3.2 million investor scheme accounts





Half Yearly Portfolio Statement as on September 30, 2016 (Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996)

		tion Oriented Scheme)	- SERIES	16				tion Oriented Scheme)	- SERIES 1	17				tion Oriented Scheme)	- SERIES	18	
Name of the Instrument	ISIN	Industry^/Rating		Market/ % to N Fair Value Ass Rs in Lacs.)		Name of the Instrument	ISIN	Industry^/Rating		Market/ % to No Fair Value Asse	- 11	Name of the Instrument	ISIN	Industry^/Rating	Quantity	Market/ % Fair Value Rs in Lacs.)	to Net Assets
Debt Instruments			(1	KS III Lacs.)		Debt Instruments			(N	is iii Lacs.)	Ħ	Debt Instruments			(	KS III Lacs.)	$\neg$
(a) Listed / awaiting listing on Stock Exchange						(a) Listed / awaiting listing on Stock Exchange						(a) Listed / awaiting listing on Stock Exchange					
8.85% Power Grid Corporation of India Limited **	INE752E07KB4	CRISIL AAA	78	975.79 14.27	27%	9.75% Housing Development Finance	INE001A07LU2	CRISIL AAA	146	1,460.71 11.269	,,,	9.69% LIC Housing Finance Limited **	INE115A07ES9		50		8.08%
9.75% Housing Development Finance Corporation Limited **	INE001A07LU2	CRISIL AAA	79	790.38 11.56	56%	Corporation Limited **	INE115A07EM2	CAREAAA	100	1,000.58 7.729		9.64% Power Finance Corporation Limited ** 9.75% Housing Development Finance	INE134E08DZ7 INE001A07HN5		50 50		8.07% 8.07%
8.97% Airport Authority of India Ltd **	INE309K08011	CRISIL AAA	50	500.25 7.31	31%	9.45% LIC Housing Finance Limited ** Sub Total	INETTOAU/EMIZ	CAREAAA	100	2,461.29 18.989	Ш	Corporation Limited **	INEOUIAU/HIN3	CRISILAAA	30	302.22	8.07%
Sub Total				2,266.42 33.13		(b) Privately placed / Unlisted				NIL NI		9.05% Rural Electrification Corporation Limited **	INE020B07HW4	4 CRISILAAA	50	500.38	8.04%
(b) Privately placed / Unlisted				NIL N	NIL	Sub Total				NIL NI		8.97% Airport Authority of India Ltd **	INE309K08011	CRISIL AAA	50	500.25	8.03%
Sub Total				NIL N	NIL	Total				2,461.29 18.989	%	Sub Total				2,508.55 40	0.29%
Total				2,266.42 33.13	3%	Government Securities						(b) Privately placed / Unlisted 9.68% Tata Sons Ltd ** #	INE895D08394	CRISILAAA	50	502.64	8.07%
Government Securities						8.58% Karnataka SDL (MD 25/10/2016)	IN1920120038	SOV	3,105,000	3,108.49 23.979	1%	Sub Total	INE853D08354	CKIBILAAA	50	502.64 8	
8.58% Karnataka SDL (MD 25/10/2016)	IN1920120038	SOV	840,000	840.94 12.29		8.75% Gujarat SDL(MD 03/10/2016)	IN1520120081	SOV	1,625,000	1,625.18 12.539	1%	Total				3,011.19 48	
8.75% Gujarat SDL(MD 03/10/2016)	IN1520120081	SOV	300,000	300.03 4.39 1,140.97 16.68		Total				4,733.67 36.509	%	Government Securities					
Total  Equity & Equity related				1,140.97 16.68	8%	Equity & Equity related						8.58% Karnataka SDL (MD 25/10/2016)	IN1920120038	SOV	1,600,000	1,601.80 2	5.72%
(a) Listed / awaiting listing on Stock Exchanges						(a) Listed / awaiting listing on Stock Exchanges						Total				1,601.80 25	5.72%
HDFC Bank Limited	INE040A01026	Banks	6,577	83.72 1.22	22%	HDFC Bank Limited  Repco Home Finance Limited	INE040A01026		12,463	158.64 1.229	Ш	Equity & Equity related					
Repco Home Finance Limited	INE612J01015	Finance	9,986	81.63 1.19	9%	Reliance Industries Limited	INE612J01015 INE002A01018	Finance Petroleum Products	19,100 12,900	156.12 1.209 139.80 1.089		(a) Listed / awaiting listing on Stock Exchanges HDFC Bank Limited	INE040A01026	Banks	5,986	76.19	1 22%
Reliance Industries Limited	INE002A01018	Petroleum Products	6,800	73.69 1.08	)8%	Infosys Limited	INE002A01018	Software	8,800	91.17 0.709		Repco Home Finance Limited	INE612J01015	Finance	9,200		1.21%
ITC Limited	INE154A01025	Consumer Non Durables	29,850	72.04 1.05	)5%	Cummins India Limited	INE298A01020	Industrial Products	8,523	77.18 0.609		Reliance Industries Limited	INE002A01018	Petroleum Products	6,200		1.08%
Infosys Limited	INE009A01021	Software	4,480	46.42 0.68	58%	ITC Limited	INE154A01025	Consumer Non Durables	29,394	70.94 0.559		ITC Limited	INE154A01025	Consumer Non Durables	17,836	43.05	0.69%
Cummins India Limited	INE298A01020	Industrial Products	4,497	40.72 0.60	50%	Eicher Motors Limited	INE066A01013		282	70.01 0.549	Ш	Infosys Limited	INE009A01021	Software	4,000	41.44	0.67%
Eicher Motors Limited	INE066A01013	Auto	149	36.99 0.54	54%	Tata Motors Limited	INE155A01022		13,000	69.53 0.549		Cummins India Limited	INE298A01020	Industrial Products	4,087	37.01	0.59%
Tata Motors Limited	INE155A01022	Auto	6,800	36.37 0.53	3%	IndusInd Bank Limited	INE095A01012		5,743	68.68 0.539	Ш	Eicher Motors Limited	INE066A01013	Auto	136	33.77	0.54%
IndusInd Bank Limited	INE095A01012	Banks	3,031	36.25 0.53	53%	Axis Bank Limited	INE238A01034		12,600	68.21 0.539		Tata Motors Limited	INE155A01022	Auto	6,200	33.16	0.53%
Axis Bank Limited	INE238A01034	Banks	6,600	35.73 0.52	52%	Kewal Kiran Clothing Limited	INE401H01017		3,500	66.51 0.519	Ш	IndusInd Bank Limited	INE095A01012	Banks	2,759	33.00	0.53%
Kewal Kiran Clothing Limited	INE401H01017	Textile Products	1,879	35.71 0.52	52%	Sun Pharmaceuticals Industries Limited	INE044A01036	Pharmaceuticals	8,400	62.39 0.489	%	Axis Bank Limited	INE238A01034	Banks	6,000		0.52%
Sun Pharmaceuticals Industries Limited	INE044A01036	Pharmaceuticals	4,400	32.68 0.48	18%	Kotak Mahindra Bank Limited	INE237A01028	Banks	7,800	60.61 0.479	%	Kewal Kiran Clothing Limited	INE401H01017	Textile Products	1,700		0.52%
Power Grid Corporation of India Limited	INE752E01010		18,200	32.13 0.47		Power Grid Corporation of India Limited	INE752E01010	Power	33,530	59.20 0.469	06	Sun Pharmaceuticals Industries Limited  Kotak Mahindra Bank Limited	INE044A01036 INE237A01028	Pharmaceuticals  Banks	4,000 3,700		0.48%
Kotak Mahindra Bank Limited	INE237A01028		4,100	31.86 0.47		Grasim Industries Limited	INE047A01013	Cement	1,100	53.20 0.419	cr.	Power Grid Corporation of India Limited	INE257A01028 INE752E01010		16,030		0.45%
Hero MotoCorp Limited	INE158A01026		800	27.31 0.40		Hero MotoCorp Limited	INE158A01026	Auto	1,500	51.21 0.399	ver	J.Kumar Infraprojects Limited	INE576I01022	Construction	15,000		0.44%
City Union Bank Limited	INE491A01021		20,300	26.82 0.39		Aurobindo Pharma Limited	INE406A01037	Pharmaceuticals	5,800	49.62 0.389	07	City Union Bank Limited	INE491A01021	Banks	18,500	24.44	
Dish TV India Limited  Talwalkars Better Value Fitness Limited	INE836F01026 INE502K01016	Media & Entertainment  Hotels, Resorts And Other	28,500	26.53 0.39 25.71 0.38		Mahindra & Mahindra Limited	INE101A01026	Auto	3,400	47.79 0.379	1%	Grasim Industries Limited	INE047A01013	Cement	500	24.18	0.39%
laiwaikais Better value Pittiess Limited	INESUZKUTUTU	Recreational Activities	9,500	23.71 0.30	10 70	Emami Limited	INE548C01032	Consumer Non Durables	4,000	46.80 0.369	5%	Dish TV India Limited	INE836F01026	Media & Entertainment	25,900	24.11	0.39%
Aurobindo Pharma Limited	INE406A01037	Pharmaceuticals	3,000	25.66 0.38	88%	Orient Refractories Limited	INE743M01012	Industrial Products	38,700	44.10 0.349	1%	Aurobindo Pharma Limited	INE406A01037	Pharmaceuticals	2,800	23.95	0.38%
Mahindra & Mahindra Limited	INE101A01026	Auto	1,800	25.30 0.37	37%	Sanofi India Limited	INE058A01010	Pharmaceuticals	1,064	44.03 0.349	·	Hero MotoCorp Limited	INE158A01026	Auto	700	23.90	0.38%
Grasim Industries Limited	INE047A01013	Cement	500	24.18 0.35	35%	Atul Auto Limited	INE951D01028	Auto	8,983	42.97 0.339	%	Talwalkars Better Value Fitness Limited	INE502K01016	Hotels, Resorts And Other Recreational Activities	8,500	23.49	0.38%
Sanofi India Limited	INE058A01010	Pharmaceuticals	569	23.55 0.34	34%	VST Industries Limited	INE710A01016	Consumer Non Durables	1,812	41.12 0.329		Mahindra & Mahindra Limited	INE101A01026		1,600	22.49	0.36%
Emami Limited	INE548C01032	Consumer Non Durables	2,000	23.40 0.34	34%	Yes Bank Limited	INE528G01019		3,100	38.90 0.309		Emami Limited	INE548C01032	Consumer Non Durables	1,900	22.23	0.36%
VST Industries Limited	INE710A01016	Consumer Non Durables	1,000	22.69 0.33	33%	Orient Cement Limited	INE876N01018		17,200	36.98 0.299	Ш	Sanofi India Limited	INE058A01010	Pharmaceuticals	517	21.39	0.34%
Orient Refractories Limited	INE743M01012	Industrial Products	19,900	22.68 0.33	33%	Hindustan Zinc Limited	INE267A01025		14,900	34.77 0.279	Ш	Orient Refractories Limited	INE743M01012	Industrial Products	18,400	20.97	0.34%
Atul Auto Limited	INE951D01028		4,739	22.67 0.33		Gulf Oil Lubricants India Limited	-	Petroleum Products	4,600	33.87 0.269	Ш	Atul Auto Limited	INE951D01028	Auto	4,300	20.57	0.33%
Yes Bank Limited	INE528G01019		1,600	20.08 0.29		Gujarat State Petronet Limited  City Union Bank Limited	INE246F01010 INE491A01021		20,000 23,100	31.25 0.249 30.52 0.249		VST Industries Limited	INE710A01016	Consumer Non Durables	900	20.42	0.33%
Orient Cement Limited	INE876N01018		8,900	19.14 0.28		Crompton Greaves Consumer Electricals Limited	INE491A01021 INE299U01018		19,700	30.33 0.239	cr	Yes Bank Limited	INE528G01019	Banks	1,500	18.82	
Hindustan Zinc Limited  Gujarat State Petronet Limited	INE267A01025 INE246F01010		7,400 10,400	17.27 0.25 16.25 0.24		Cairn India Limited	INE910H01017	Oil	15,000	30.01 0.239		Orient Cement Limited	INE876N01018		8,100	17.42	
Crompton Greaves Consumer Electricals Limited	INE240F01010 INE299U01018		10,400	16.01 0.23		D.B.Corp Limited	INE950I01011	Media & Entertainment	7,500	29.61 0.239		Gulf Oil Lubricants India Limited Hindustan Zinc Limited	INE635Q01029 INE267A01025		2,300	16.94 ( 16.57 (	
D.B.Corp Limited	INE950I01011	Media & Entertainment	3,800	15.00 0.22		Credit Analysis and Research Limited	INE752H01013		2,100	28.59 0.229	Ш	Crompton Greaves Consumer Electricals Limited	INE207A01023 INE299U01018		7,100 9,400	14.47	
Divi's Laboratories Limited	INE361B01024		1,100	14.20 0.21		Divi's Laboratories Limited	INE361B01024		2,100			Gujarat State Petronet Limited	INE246F01010		9,000	14.06	
Cairn India Limited	INE910H01017		7,000	14.00 0.20		VRL Logistics Limited	INE366I01010	Transportation	6,219			Cairn India Limited	INE910H01017		7,000	14.00	
J.Kumar Infraprojects Limited	INE576I01022	Construction	7,000	12.73 0.19		MOIL Limited	INE490G01020	*	7,000	17.25 0.139		D.B.Corp Limited	INE950I01011	Media & Entertainment	3,500	13.82	
Credit Analysis and Research Limited	INE752H01013	Finance	900	12.25 0.18		J.Kumar Infraprojects Limited	INE576I01022	Construction	7,820	14.22 0.119	%	Divi's Laboratories Limited	INE361B01024	Pharmaceuticals	1,000	12.91	0.21%
VRL Logistics Limited	INE366I01010	Transportation	3,938	11.80 0.17	7%	Dish TV India Limited	INE836F01026	Media & Entertainment	13,520	12.59 0.109	1%	VRL Logistics Limited	INE366I01010	Transportation	4,146	12.42	0.20%
Gulf Oil Lubricants India Limited	INE635Q01029	Petroleum Products	1,600	11.78 0.17	17%	Talwalkars Better Value Fitness Limited	INE502K01016	Hotels, Resorts And Other	4,395	12.15 0.099	1%	Credit Analysis and Research Limited	INE752H01013	Finance	800	10.89	0.17%
MOIL Limited	INE490G01020	Minerals/Mining	3,840	9.46 0.14	4%			Recreational Activities			Ш	Jagran Prakashan Limited	INE199G01027	Media & Entertainment	5,768	10.56	
Gujarat Mineral Development Corporation Limited	INE131A01031	Minerals/Mining	1,363	1.15 0.02	)2%	Gujarat Mineral Development Corporation Limited	INE131A01031	Minerals/Mining	3,015	2.54 0.029	. /6	MOIL Limited	INE490G01020	Minerals/Mining	3,100	7.64	
Sub Total				1,163.56 17.01	1%	Sub Total				2,069.15 15.959	76	Gujarat Mineral Development Corporation Limited	INE131A01031	Minerals/Mining	1,523	1.28	
(b) Unlisted				NIL N	NIL	(b) Unlisted				NIL NI	II.	Sub Total (b) Unlisted				1,072.79 17 NIL	NIL
Sub Total				NIL N	NIL	Sub Total				NIL NI	- 11	Sub Total				NIL NIL	NIL
Total				1,163.56 17.01	1%	Total				2,069.15 15.959	%	Total				1,072.79 17	
CBLO / Reverse Repo						CBLO / Reverse Repo						CBLO / Reverse Repo					
Clearing Corporation of India Ltd				2,025.32 29.61		Clearing Corporation of India Ltd				3,273.90 25.249	Ш	Clearing Corporation of India Ltd				277.91	4.46%
Sub Total				2,025.32 29.61		Sub Total				3,273.90 25.249	Ш	Sub Total				277.91 4	4.46%
Total  Not Pessivables ((Payables))				2,025.32 29.61	_	Total				3,273.90 25.249	<u>ا</u> ا	Total				277.91 4	4.46%
Net Receivables / (Payables) GRANDTOTAL				243.74 3.56 6,840.01 100.00		Net Receivables / (Payables)				430.53 3.329 12,968.54 100.009	<i>a</i>	Net Receivables / (Payables)				263.09 4	
** Thinly Traded / Non Traded Security				0,040.01 100.00	3 /0	## Thinly Traded / Non Traded Security				14,700.54 100.00%	<b>—</b> [	GRAND TOTAL				6,226.78 100	J.00%
^Industry classification as recommended by AMFI and v	wherever not avails	able, internal classification h	as been used			^Industry classification as recommended by AMFI and w	vherever not availa	ble, internal classification b	ias been used			** Thinly Traded / Non Traded Security #Unlisted Security					
Notes:	uralle	,				Notes:	not availa	, Siassification I	изоч			#Unlisted Security  ^Industry classification as recommended by AMFI and v	wherever not availe	able, internal classification b	ias heen nead		
(1) The provision made for Non Performing Assets as	of September 30, 2	2016 is Nil.				(1) The provision made for Non Performing Assets as	of September 30, 2	016 is Nil.				Notes:	not uvulle	Jasonicaron I			

- Plan/Option wise Net Asset Values are as follows: As on September 30, 2016 As on March 31, 2016 Regular Plan - Growth Option Rs. 12.9555 Rs. 12.3445
- Direct Plan Growth Option No dividend was declared during the half year ended September 30, 2016.
- Details of derivatives exposure and transaction:
  - $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ Nil$ transactions through futures which have been squared off/expired.

Rs. 12.6946

- (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.
- (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.
- (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.
- (e) Hedging Positions through swaps as on September 30, 2016 is Nil.
- The Average Maturity Period of the Portfolio is 0.03 years.
- During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.04 times
- The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%.
- Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%.

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(9) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

- (2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2016	As on March 31, 2016
Regular Plan - Growth Option	Rs. 12.9193	Rs. 12.3098
Direct Plan - Growth Option	Rs. 13.4585	Rs. 12.7434

- No dividend was declared during the half year ended September 30, 2016. (4) Details of derivatives exposure and transaction:
  - (a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.
  - $(b) Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there$ were no non-hedging transactions through futures which have been squared off/expired.
  - $(c)\ Hedging\ Position\ through\ Put\ Options\ as\ on\ September\ 30,\ 2016\ is\ Nil\ and\ For\ the\ half\ year\ ended\ September\ 30,\ 2016\ there\ were$ no hedging transactions through option which have exercised/expired.
  - (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.
  - (e) Hedging Positions through swaps as on September 30, 2016 is Nil.
- (5) The Average Maturity Period of the Portfolio is 0.03 years.
- During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.03 times (6) During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.04 times
- (7) The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%.
- (9) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

- (1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.
- (2) Plan/Option wise Net Asset Values are as follows:
  - Plan As on September 30, 2016 As on March 31, 2016 Regular Plan - Growth Option Rs. 12.7670 Rs. 12.1434 Direct Plan - Growth Option Rs. 13.2440 Rs. 12.5184
- (3) No dividend was declared during the half year ended September 30, 2016.
- (4) Details of derivatives exposure and transaction:
  - (a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures and Position through Futures as on September 30, 2016 the Nil and Position through Futures as on September 30, 2016 the Nil and Position through Futures as on September 30, 2016 the Nil and Position through Futures as on September 30, 2016 the Nil and Position through Futures as on September 30, 2016 the Nil and Position through Futures and Position through Futures as on September 30, 2016 the Nil and Position through Futures as on September 30, 2016 the Nil and Position through Futures as on September 30, 2016 the Nil and Position through Futures as on September 30, 2016 the Nil and Position through Futures as on September 30, 2016 the Nil and Position through Futures as on September 30, 2016 the Nil and Position through Futures as on September 30, 2016 the Nil and Position through Futures as on September 30, 2016 the Nil and Position through Futures as on September 30, 2016 the Nil and Position through Futures As of September 30, 2016 the Nil And Position through Futures As of Septetransactions through futures which have been squared off/expired.
  - (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.
  - (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.
  - $(d)\ Other\ than\ Hedging\ Positions\ through\ Options\ as\ on\ September\ 30,\ 2016\ is\ Nil\ and\ For\ the\ half\ year\ ended\ September\ 30,\ 2016\ there$
  - were no non-hedging transactions through options which have been exercised/expired.
- (e) Hedging Positions through swaps as on September 30, 2016 is Nil.
- (5) The Average Maturity Period of the Portfolio is 0.1 years.
- (7) The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%.
- (8) Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%. (8) Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%.

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(9) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

# **☑** Over 3.2 million investor scheme accounts



BIRLA SUN LIFE EMERGING LEADERS FUND - SERIES 7

This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September 2016.

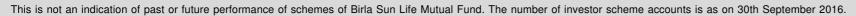
## Half Yearly Portfolio Statement as on September 30, 2016

(Pursuant to Regulation 59A of Securities and Exchange Board of	India (Mutual Funds) Regulat	ions, 1996)
BIRLA SUN LIFE ENHANCED ARBITRAGE FUND		BIR

			BIRLÁ	(An Op	en ende	CED ARBITRAGE FUND  Equity Scheme) ptember 30, 2016					(A	EMERGING LEADERS FUND - SE Close ended Equity Scheme) folio as on September 30, 2016	MIDS	
Name of the Instrument	ISIN	Industry^	Quantity	Market/ Fair Value		Name of the Instrument	ISIN	Industry^	Quantity	Market/ % to Net Fair Value Assets	Name of the Instrument	ISIN Industry^/Rating	Fa	Market/% to Net air Value Assets
Debt Instruments			(	Rs in Lacs.)		Clearing Corporation of India Ltd			(	Rs in Lacs.) 7,623.45 5.03%	Equity & Equity related		(Rs i	in Lacs.)
(a) Listed / awaiting listing on Stock Exchange 9.75% Housing Development Finance	INE001A07LU2	CRISIL AAA	400	4,001.94	2.64%	Sub Total Total				7,623.45 5.03% 7,623.45 5.03%	(a) Listed / awaiting listing on Stock Exchanges			
Corporation Limited ** 9.75% Housing Development Finance	INE001A07HN5	CRISIL AAA	324	3,254.37	2.15%	Net Receivables / (Payables) GRAND TOTAL				8,128.83 5.36% 151,673.16 100.00%	Bajaj Finsery Limited	INE918I01018 Finance	10,000	306.96 6.77%
Corporation Limited ** 9.43% Bajaj Finance Limited **	INE296A07DS9	CRISIL AAA	125	1,251.31	0.83%	** Thinly Traded / Non Traded Security #Unlisted Security								
Sub Total (b) Privately placed / Unlisted				8,507.62 NIL	5.61% NIL	\$ Less Than 0.01% of Net Asset Value ^Industry classification as recommended by AMFI and w	herever n	ot available, internal cla	ssification has been us	ed	IDFC Limited	INE043D01016 Finance	400,000	268.80 5.93%
Sub Total Total				NIL 8,507.62	NIL 5.61%	Notes: (1) The provision made for Non Performing Assets as of	September	r 30, 2016 is Nil.			HPL Electric Power Limited	INE495S01016 Consumer Durables	123,760	250.00 5.51%
Equity & Equity related (a) Listed / awaiting listing on Stock Exchanges							-	ember 30, 2016	As on March 3	,	Hindalco Industries Limited	INE038A01020 Non - Ferrous Metals	150,000	229.05 5.05%
HDFC Bank Limited Reliance Industries Limited	INE040A01026 INE002A01018		1,088,000 603,000	13,848.61 6,534.71	9.13% 4.31%	Regular Plan - Dividend Option Regular Plan - Growth Option		10.8101 16.4063	Rs. 10.83 Rs. 15.88		Crompton Greaves Limited	INE067A01029 Industrial Capital Good	s 300,000	225.15 4.96%
Housing Development Finance Corporation Limited ITC Limited	INE001A01036 INE154A01025		345,000 1,785,600	4,807.40 4,309.55	3.17% 2.84%	Direct Plan - Dividend Option Direct Plan - Growth Option		11.0045 16.7033	Rs. 10.990 Rs. 16.122		Mangalore Refinery and Petrochemicals Limited	INE103A01014 Petroleum Products	250,000	216.00 4.76%
Mahindra & Mahindra Limited Aurobindo Pharma Limited	INE101A01026 INE406A01037	Auto	272,500 404,600	3,830.53 3,461.15	2.53%	(3) Dividend in the following plans was declared during Regular Plan - Dividend Option	the half ye	ear ended September 30,	2016	Per Unit (Rs.) 0.3720	Gujarat State Petronet Limited	INE246F01010 Gas	133,348	208.36 4.59%
Axis Bank Limited	INE238A01034	Banks	531,600	2,877.82	1.90%	Direct Plan - Dividend Option  (4) Details of derivatives exposure and transaction:				0.3740	Blue Star Limited	INE472A01039 Consumer Durables	35,000	191.82 4.23%
NCC Limited Sun Pharmaceuticals Industries Limited	INE868B01028 INE044A01036	Pharmaceuticals	3,504,000 379,200	2,876.78 2,816.32	1.90%	(a) Hedging Position through Futures as on Septembe  Underlying	r 30, 2016 Long/	Futures Price when	Current price	Margin maintained	Dish TV India Limited	INE836F01026 Media & Entertainmen	t 200,000	186.20 4.10%
Eicher Motors Limited ICICI Bank Limited	INE066A01013 INE090A01021	Banks	9,100 870,000	2,259.33 2,193.71	1.49% 1.45%	Apollo Tyres Limited	Short	purchased Rs.	of the contract Rs.	in Rs. Lakhs	Essel Propack Limited	INE255A01020 Industrial Products	80,000	180.76 3.98%
Hindalco Industries Limited Indiabulls Housing Finance Limited	INE038A01020 INE148I01020	Non - Ferrous Metals Finance	1,372,000 244,000	2,095.04 2,018.86	1.38% 1.33%	Arvind Limited Dish TV India Limited	Short Short	347.71 97.13	339.20 93.55	25.39 92.87	Natco Pharma Limited	INE987B01026 Pharmaceuticals	30,000	179.06 3.95%
MRF Limited Grasim Industries Limited	INE883A01011 INE047A01013	Auto Ancillaries Cement	3,630 34,050	1,846.67 1,646.85	1.22% 1.09%	Ashok Leyland Limited Asian Paints Limited	Short Short	76.89 1,199.98	79.85 1,167.80	49.59 141.52	Unichem Laboratories Limited	INE351A01035 Pharmaceuticals	65,000	175.21 3.86%
GMR Infrastructure Limited Infosys Limited	INE776C01039 INE009A01021	Construction Project Software	12,870,000 153,500	1,634.49 1,590.34	1.08% 1.05%	Aurobindo Pharma Limited Biocon Limited	Short	849.40 970.70	858.25 939.75	481.97 114.23	Petronet LNG Limited	INE347G01014 Gas	50,000	172.70 3.81%
Tata Motors Ltd DVR Shares IDFC Limited	IN9155A01020 INE043D01016	Auto Finance	464,100 2,151,600	1,580.26 1,445.88	1.04% 0.95%	Bank of Baroda Britannia Industries Limited Reliance Infrastructure Limited	Short Short Short	164.70 3,424.98 589.26	168.25 3,379.45 554.95	7.51 19.38 202.57	Pennar Engineered Building Systems Limited	INE455O01019 Ferrous Metals	100,000	172.00 3.79%
Larsen & Toubro Limited GAIL (India) Limited	INE018A01030 INE129A01019	Construction Project	100,500 363,000	1,440.32 1,359.98	0.95% 0.90%	Cairn India Limited  Castrol India Limited	Short	201.30 472.10	201.40 478.05	96.18 93.44	Bank of Baroda	INE028A01039 Banks	100,000	167.40 3.69%
Kotak Mahindra Bank Limited Reliance Infrastructure Limited	INE237A01028	Banks	168,000	1,359.98 1,305.36 1,268.43	0.90% 0.86% 0.84%	Century Textiles & Industries Limited Coal India Limited	Short Short	903.70 333.32	947.90 323.25	98.76 56.35	NCC Limited	INE868B01028 Construction Project	200,000	164.20 3.62%
Aditya Birla Nuvo Limited	INE036A01016 INE069A01017	Services	230,100 93,000 250,000	1,267.92	0.84%	DLF Limited Dr. Reddy's Laboratories Limited	Short Short	156.40 3,207.18	146.90 3,118.70 24.006.85	132.89 2.33	HeidelbergCement India Limited	INE578A01017 Cement	119,761	157.73 3.48%
Sun TV Network Limited Asian Paints Limited	INE424H01027 INE021A01026	Media & Entertainment Consumer Non Durables	250,000 97,200	1,262.88	0.83%	Eicher Motors Limited The Federal Bank Limited GAIL (India) Limited	Short Short	25,490.28 75.97 377.52	24,996.85 72.50 375.75	283.67 162.39 170.46	Tata Power Company Limited	INE245A01021 Power	200,000	150.80 3.32%
Tata Motors Limited The Federal Bank Limited	INE155A01022 INE171A01029		208,500 1,540,000	1,115.16 1,108.80		Godrej Consumer Products Limited GMR Infrastructure Limited	Short	1,631.95 13.26	1,591.50 12.75	7.15 302.12	Carborundum Universal Limited	INE120A01034 Industrial Products	55,000	149.71 3.30%
LIC Housing Finance Limited UPL Limited	INE115A01026 INE628A01036		189,200 157,200	1,095.09 1,059.29	0.72% 0.70%	Grasim Industries Limited Havells India Limited	Short Short	4,941.20 415.05	4,866.85 420.30	206.55 39.81	PNC Infratech Limited	INE195J01029 Construction	125,000	145.56 3.21%
Hindustan Unilever Limited  Zee Entertainment Enterprises Limited	INE030A01027 INE256A01028	Consumer Non Durables Media & Entertainment	116,400 175,500	1,010.18 959.55	0.67% 0.63%	HDFC Bank Limited Housing Development Finance Corporation Limited	Short Short	1,311.05 1,431.67	1,283.40 1,398.00	1,746.24 601.85				
Biocon Limited Tata Steel Limited	INE376G01013 INE081A01012		95,700 236,000	893.36 883.23	0.59% 0.58%	Corporation Limited  Housing Development and Infrastructure Limited	Short	84.55	80.10	47.05	Cyient Limited  Inox Wind Limited	INE136B01020 Software	30,000	141.18 3.11%
Jaiprakash Associates Limited Shriram Transport Finance Company Limited	INE455F01025 INE721A01013		8,160,000 67,800	856.80 788.28	0.56% 0.52%	Hindalco Industries Limited Hindustan Unilever Limited	Short Short	152.74 883.00	153.75 870.70	317.45 126.51		INE066P01011 Industrial Capital Good		140.18 3.09%
Cairn India Limited United Spirits Limited	INE910H01017 INE854D01016	Oil	381,500 30,750	763.19 758.43	0.50%	Hindustan Petroleum Corporation Limited ICICI Bank Limited	Short Short	428.74 262.24	426.55 253.70	83.80 275.22	KEC International Limited	INE389H01022 Construction Project	110,000	138.55 3.05%
DLF Limited	INE271C01023 INE213A01029	Construction	505,000 282,500	738.06 725.04	0.49% 0.48%	Indiabulls Housing Finance Limited The India Cements Limited IDFC Limited	Short Short	821.23 150.46 67.44	830.25 144.75 67.40	255.13 88.93 234.31	Indoco Remedies Limited	INE873D01024 Pharmaceuticals	38,572	120.75 2.66%
Oil & Natural Gas Corporation Limited Century Textiles & Industries Limited	INE055A01016	Cement	75,900	715.77	0.47%	IndusInd Bank Limited Infosys Limited	Short	1,215.88 1,040.58	1,200.15 1,030.20	82.64 198.46	K.P.R. Mill Limited	INE930H01015 Textile Products	5,035	61.32 1.35%
Hindustan Petroleum Corporation Limited IndusInd Bank Limited	INE095A01012		157,500 55,200	667.72	0.44%	Indian Oil Corporation Limited Aditya Birla Nuvo Limited	Short Short	591.85 1,398.26	586.35 1,372.25	19.74 187.12	Sub Total			4,499.45 99.19%
NMDC Limited Castrol India Limited		Petroleum Products	600,000 126,000	634.80 598.44		ITC Limited Jaiprakash Associates Limited	Short Short	250.08 11.50	242.60 10.60	542.29 171.33	(b) Unlisted			NIL NIL
JSW Steel Limited Dish TV India Limited	INE019A01020 INE836F01026	Ferrous Metals Media & Entertainment	34,200 623,000	591.44 580.01	0.39% 0.38%	Jet Airways (India) Limited Jindal Steel & Power Limited JSW Steel Limited	Short Short	512.51 75.77 1,764.89	479.60 76.50 1,741.75	22.05 89.67 74.32	Sub Total			NIL NIL
The India Cements Limited  Jindal Steel & Power Limited	INE383A01012 INE749A01030		399,000 720,000	574.56 546.84	0.38% 0.36%	Kotak Mahindra Bank Limited  Larsen & Toubro Limited	Short	793.16 1,469.17	780.75 1,438.55	163.59 180.38	Total			4,499.45 99.19%
Power Grid Corporation of India Limited Maruti Suzuki India Limited	INE752E01010 INE585B01010		292,000 9,150	515.53 501.17		LIC Housing Finance Limited  L&T Finance Holdings Limited	Short Short	579.32 92.93	581.20 91.85	137.28 28.95	CBLO / Reverse Repo			
Coal India Limited Wipro Limited	INE522F01014 INE075A01022	Minerals/Mining Software	139,400 92,000	449.29 440.63	0.30% 0.29%	Lupin Limited Mahindra & Mahindra Limited	Short Short	1,524.39 1,401.89	1,491.55 1,409.95	45.23 479.46	Clearing Corporation of India Ltd			40.99 0.90%
Bosch Limited Bharat Financial Inclusion Limited	INE323A01026 INE180K01011	Auto Ancillaries	1,900 49,000	433.16 431.52	0.29% 0.28%	Maruti Suzuki India Limited United Spirits Limited	Short	5,590.50 2,324.38 367.15	5,512.45 2,475.30	62.87 95.45	Sub Total			40.99 0.90%
Tata Power Company Limited State Bank of India	INE245A01021 INE062A01020	Power	540,000 150,000	407.16 376.88	0.27%	Mahindra & Mahindra Financial Services Limited Bosch Limited	Short	23,244.94	364.80 22,877.10	25.20 54.22	Total			40.99 0.90%
Lupin Limited Ashok Leyland Limited		Pharmaceuticals	24,300 427,000	361.16 339.89	0.24% 0.22%	MRF Limited Adani Ports and Special Economic	Short Short	47,073.52 262.30	51,231.75 257.75	276.53 20.43	Net Receivables / (Payables)			(4.08) -0.09%
Havells India Limited	INE176B01034	Consumer Durables	76,000	317.83	0.21%	NCC Limited NMDC Limited	Short	86.14 108.81	82.70 106.20	425.91 93.84	GRANDTOTAL			4,536.36 100.00%
Reliance Capital Limited Petronet LNG Limited		Gas	57,000 84,000	311.73 290.14		NTPC Limited  NTPC Limited  Oil & Natural Gas Corporation Limited	Short Short	108.81 153.49 250.11	106.20 149.00 257.45	93.84 21.55 91.03	^Industry classification as recommended by AMFI and	d wherever not available, internal classification	has been used	
Wockhardt Limited Housing Development and Infrastructure Limited	INE049B01025 INE191I01012		31,800 328,000	279.32 261.09	0.18% 0.17%	Power Grid Corporation of India Limited Petronet LNG Limited	Short	179.66 352.83	177.05 347.40	64.77	Notes:			
Reliance Power Limited Arvind Limited	INE614G01033 INE034A01011		504,000 60,000	240.16 202.26	0.16% 0.13%	Power Finance Corporation Limited Reliance Communications Limited	Short Short	120.25 48.02	120.70 46.35	16.09 10.17	(1) The provision made for Non Performing Assets	as of Sentember 30, 2016 is Nil.		
Mahindra & Mahindra Financial Services Limited Titan Company Limited	INE774D01024 INE280A01028		55,000 46,500	200.37 183.72	0.13% 0.12%	Reliance Capital Limited Reliance Industries Limited Reliance Power Limited	Short Short	565.83 1,111.99	549.95 1,088.40 47.85	49.58 818.33 35.29	(2) Plan/Option wise Net Asset Values are as follows:	•		
L&T Finance Holdings Limited NTPC Limited	INE498L01015 INE733E01010	Finance	198,000 116,000	181.47 171.68	0.12%	Reliance Power Limited State Bank of India UPL Limited	Short Short	51.90 257.33 682.65	47.85 251.75 677.00	35.29 47.31 140.52	-		An 3.5	2016
Indian Oil Corporation Limited Britannia Industries Limited	INE242A01010		27,000 4,600	157.28 154.74	0.10%	Shriram Transport Finance Company Limited	Short	1,174.64	1,167.20	102.92	Plan	As on September 30, 2016	As on March 31,	2010
Adani Ports and Special Economic Zone Limited  Jet Airways (India) Limited	INE742F01042		60,000	154.05 123.83		Bharat Financial Inclusion Limited Sun Pharmaceuticals Industries Limited	Short	878.51 775.11	884.05 745.25	70.42 352.63	Regular Plan - Dividend Option	Rs. 12.95	Rs. 10.29	
Apollo Tyres Limited  Power Finance Corporation Limited		Auto Ancillaries	54,000 96,000	118.77	0.08%	Sun TV Network Limited Tata Motors Limited DVR Tata Motors Limited	Short Short	506.53 347.58 534.71	507.35 341.85 536.55	192.07 197.95 139.75	Regular Plan - Growth Option	Rs. 12.95	Rs. 10.29	
Tata Global Beverages Limited	INE192A01025	Consumer Non Durables	76,500	115.49 106.79		Tata Motors Limited Tata Steel Limited Tata Power Company Limited	Short Short	534.71 377.38 75.95	536.55 376.90 75.60	139.75 110.96 51.25	Direct Plan - Dividend Option	Rs. 13.05	Rs. 10.30	
UltraTech Cement Limited Godrej Consumer Products Limited	INE481G01011 INE102D01028		1,600 3,600	57.12	0.04%	Tata Global Beverages Limited Titan Company Limited	Short Short	142.80 413.49	140.55 397.70	14.12 23.09	Direct Plan - Growth Option	Rs. 13.05	Rs. 10.30	
Bank of Baroda Reliance Communications Limited	INE028A01039 INE330H01018	Telecom - Services	31,500 110,000	50.99	0.03% 0.03%	UltraTech Cement Limited Axis Bank Limited	Short Short	3,969.74 556.66	3,874.80 544.85	7.73 361.18	(3) No dividend was declared during the half year e	ended September 30, 2016.		
Dr. Reddy's Laboratories Limited Sub Total	INE089A01023	Pharmaceuticals	600	18.64 <b>99,099.80</b>	0.01% <b>65.34</b> %	Wipro Limited Wockhardt Limited	Short Short	483.03 928.78	480.15 883.95 549.45	55.20 42.95	(4) Details of derivatives exposure and transaction:			
(b) Unlisted Sub Total				NIL NIL	NIL NIL	Zee Entertainment Enterprises Limited  Total %age of existing assets hedged through futures			549.45	120.34	(a) Hedging Position through Futures as on Septen		eptember 30, 2016 th	here were no hedging
Total Money Market Instruments				99,099.80	65.34%	For the half year ended September 30,2016 following  Total Number of Total Number of		transactions through futu		uared off/expired.	transactions through futures which have been sq	uared off/expired.		
Commercial Paper IL&FS Financial Services Ltd ** #	INE121H14EW0	CARE A1+	1,000	4,936.36	3.25%	contracts where futures were bought contracts where futures were sold	contrac wer	ets where futures contra te bought Rs.	acts where futures vere sold Rs.	all contracts combined Rs.	(b) Other than Hedging Position through Futures were no non-hedging transactions through future	•	ılf year ended Septe	ember 30, 2016 there
Housing Development Finance Corporation Limited ** #	INE001A14PK0	ICRA A1+	500	2,381.83	1.57%	90,747.00 92,786.00  (b) Other than Hedging Position through Futures as or		7,634,787.88 54,9		1,275,092,655.39	·		randod C	30 2016 4.
Sub Total Total				7,318.19 7,318.19	4.82% 4.82%	(b) Other than Hedging Position through Futures as of were no non-hedging transactions through futures wh	-		a die nan year ended Si	premoer 50, 2016 there	(c) Hedging Position through Put Options as on no hedging transactions through option which has		ı enaea September	50, 2016 there were
Others Margin Fixed Deposit						(c) Hedging Position through Put Options as on Septe no hedging transactions through option which have e			nalf year ended Septem	ber 30, 2016 there were	(d) Other than Hedging Positions through Option	-	alf year ended Septe	ember 30, 2016 there
6.3% Yes Bank Limited 6.6% RBL Bank Limited		93 182		5,000.00 5,000.00		(d) Other than Hedging Positions through Options as of were no non-hedging transactions through options where the state of			or the half year ended S	eptember 30, 2016 there	were no non-hedging transactions through option	-		,
6.7% RBL Bank Limited 6.5% Yes Bank Limited		91 183		2,500.00 2,500.00	1.65%	were no non-hedging transactions through options wh (e) Hedging Positions through swaps as on September		-			(e) Hedging Positions through swaps as on Septe	ember 30, 2016 is Nil.		
6.9% RBL Bank Limited 7.3% RBL Bank Limited		181 364		2,500.00 2,500.00 2,500.00	1.65% 1.65%	(5) The Average Maturity Period of the Portfolio is 0.06					(5) During the current half year, the Portfolio turnov	er ratio of Equity, Equity related instrument &	Fixed income instru	uments is 0.06 times
Sub Total Total				20,000.00	13.19%	(6) During the current half year, the Portfolio turnover ra	•			struments is 5.08 times	(6) The total value of illiquid Equity Securities is 0	0.00 crores and its percentage to Net Assets is	0.00%.	
Cash and Bank				20,000.00 0.27	13.19%	<ul> <li>(7) The total value of illiquid Equity Securities is 0.00 of</li> <li>(8) Total market value of Foreign Securities/overseas ET</li> </ul>				ember 30. 2016 is 0%	(7) Total market value of Foreign Securities/overse			lber 30, 2016 is nov.
Total  Margin (Future and Options)				995.00	0.66%	(8) Total market value of Foreign Securities/overseas E1 (9) Details of Repo in Corporate Debt Securities as on S			o moi asseis as at Sept				socio ao ai septem	50, 2010 IS U%.
Total CBLO / Reverse Repo				995.00	0.66%						(8) Details of Repo in Corporate Debt Securities as	on September 30, 2016 is NIL.		
	ompany <u>Limit</u>	ted (Investment M	anager fo	or Bi <u>rla S</u>	un Life	Mutual Fund), One Indiabulls Centre,	Tower	1, 17th <u>Floor, J</u>	upiter <u>Mill</u> Com	pound, 84 <u>1. Se</u>	napati Bapat Marg, Elphinstone Roa	d, Mumbai - 400 013. CIN: U6	5991MH <u>199</u>	4PLC080811

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Market/ % to Net

7,563.46 100.00%

## Half Yearly Portfolio Statement as on September 30, 2016

DIDI A CUN LUCE CADUTA	I DDATECTIC	A ODIENTED FUND	GEDIES	10	
		ion Oriented Scheme)	SERIES	19	
Name of the Instrument	ISIN	Industry^/Rating	Quantity	Market/ Fair Value (Rs in Lacs.)	% to Net
Debt Instruments				(	
(a) Listed / awaiting listing on Stock Exchange					
9.28% Rural Electrification Corporation Limited **	INE020B08658	CRISIL AAA	100	1,007.34	15.94%
9.18% National Bank For Agriculture and	INE261F09HE9	CRISIL AAA	78	785.07	12.42%
Rural Development **	INICI 15 A OTDV5	CDICII AAA	50	502.00	7.000
9.56% LIC Housing Finance Limited ** 9.75% Housing Development Finance	INE115A07BX5 INE001A07ME4	CRISIL AAA	50 50	502.99 502.93	7.96% 7.96%
Corporation Limited **	INEOUTAU/MEA	CRISILAAA	50	302.73	1.70 /0
9.64% Power Finance Corporation Limited **	INE134E08DZ7	CRISIL AAA	50	502.47	7.95%
9.23% IDFC Bank Limited **	INE092T08832	ICRA AAA	50	502.14	7.95%
Sub Total				3,802.94	60.19%
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				3,802.94	60.19%
Government Securities	IN11020120052	CON	400,000	401.07	( 250
8.77% Karnataka SDL (MD 21/11/2016)	IN1920120053	SOV	400,000	401.07	6.35%
8.58% Karnataka SDL (MD 25/10/2016) Total	IN1920120038	SOV	400,000	400.45 801.52	6.34% 12.69%
Total Equity & Equity related				001.52	14.09%
(a) Listed / awaiting listing on Stock Exchanges					
HDFC Bank Limited	INE040A01026	Banks	5,735	73.00	1.16%
Repco Home Finance Limited	INE612J01015	Finance	8,500	69.48	1.10%
Reliance Industries Limited	INE002A01018	Petroleum Products	5,900	63.94	1.01%
TC Limited	INE154A01025	Consumer Non Durables	18,520	44.70	0.71%
Infosys Limited	INE009A01021	Software	4,000	41.44	0.66%
Cummins India Limited	INE298A01020	Industrial Products	3,916	35.46	0.56%
Eicher Motors Limited	INE066A01013	Auto	130	32.28	0.51%
Tata Motors Limited	INE155A01022	Auto	6,000	32.09	0.51%
IndusInd Bank Limited	INE095A01012	Banks	2,643	31.61	0.50%
Axis Bank Limited	INE238A01034	Banks	5,800	31.40	0.50%
Kewal Kiran Clothing Limited Sun Pharmaceuticals Industries Limited	INE401H01017 INE044A01036	Textile Products Pharmaceuticals	1,561 3,900	29.66 28.97	0.47%
Kotak Mahindra Bank Limited	INE237A01028	Banks	3,600	27.97	0.44%
Orient Refractories Limited	INE743M01012	Industrial Products	24,000	27.35	0.43%
Power Grid Corporation of India Limited	INE752E01010	Power	15,190	26.82	0.42%
J.Kumar Infraprojects Limited	INE576I01022	Construction	14,400	26.19	0.41%
Grasim Industries Limited	INE047A01013	Cement	500	24.18	0.38%
Hero MotoCorp Limited	INE158A01026	Auto	700	23.90	0.38%
City Union Bank Limited	INE491A01021	Banks	17,800	23.51	0.37%
Dish TV India Limited	INE836F01026	Media & Entertainment	24,900	23.18	0.37%
Mahindra & Mahindra Limited	INE101A01026	Auto	1,600	22.49	0.36%
Talwalkars Better Value Fitness Limited	INE502K01016	Hotels, Resorts And Other Recreational Activities	8,100	22.39	0.35%
Aurobindo Pharma Limited	INE406A01037	Pharmaceuticals	2,600	22.24	0.35%
Emami Limited	INE548C01032	Consumer Non Durables	1,800	21.06	0.33%
Sanofi India Limited VST Industries Limited	INE058A01010 INE710A01016	Pharmaceuticals Consumer Non Durables	495 900	20.48	0.32%
Atul Auto Limited	INE/10A01016 INE951D01028	Consumer Non Durables Auto	900 4,100	20.42 19.61	0.32%
Yes Bank Limited	INE528G01019	Banks	1,400	17.57	0.28%
Hindustan Zinc Limited	INE267A01025	Non - Ferrous Metals	7,500	17.50	0.28%
Gulf Oil Lubricants India Limited	INE635Q01029	Petroleum Products	2,300	16.94	0.27%
Orient Cement Limited	INE876N01018	Cement	7,800	16.77	0.27%
Gujarat State Petronet Limited	INE246F01010	Gas	9,000	14.06	0.22%
Cairn India Limited	INE910H01017	Oil	7,000	14.00	0.22%
Jagran Prakashan Limited	INE199G01027	Media & Entertainment	7,600	13.91	0.22%
Crompton Greaves Consumer Electricals Limited	INE299U01018	Consumer Durables	9,000	13.86	0.22%
D.B.Corp Limited	INE950I01011	Media & Entertainment	3,300	13.03	0.21%
Divi's Laboratories Limited	INE361B01024	Pharmaceuticals Transportation	1,000	12.91	
VRL Logistics Limited Credit Analysis and Research Limited	INE366I01010 INE752H01013	Transportation Finance	3,732 800	11.18 10.89	0.18% 0.17%
MOIL Limited	INE752H01013 INE490G01020	Minerals/Mining	3,200	7.89	0.17%
Gujarat Mineral Development Corporation Limited	INE490G01020 INE131A01031	Minerals/Mining	1,473	1.24	0.12%
Sub Total	1.21311101031		1,773	1,047.57	
(b) Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				1,047.57	16.58%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				410.86	6.50%
Sub Total				410.86	6.50%
Total				410.86	6.50%
Net Receivables / (Payables)				255.69	4.05%
GRANDTOTAL				6,318.58	100 00%

Industry classification as recommended by AMFI and wherever not available, internal classification has been used

The provision made for Non Performing Assets as of September 30, 2016 is Nil. Plan/Option wise Net Asset Values are as follows:

As on March 31, 2016 Regular Plan - Growth Option Rs. 12.4259 Rs. 11.8224 Direct Plan - Growth Option Rs. 12.9030 Rs. 12.1965 No dividend was declared during the half year ended September 30, 2016.

Details of derivatives exposure and transaction:

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging (b) Other than Hedging Position through Futures as on September 30,2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016 is Nil.

The Average Maturity Period of the Portfolio is 0.21 years.

During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.09 times (6)

The total value of illiquid Equity Securities is  $0.00\ \mathrm{crores}$  and its percentage to Net Assets is 0.00%.

Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30,2016 is 0%. Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996) BIRLA SUN LIFE CAPITAL PROTECTION ORIENTED FUND - SERIES 20 (A Close Ended Capital Protection Oriented Scheme) Portfolio as on September 30, 2016 Industry^/Rating Name of the Instrument Market/ % to Net

(Rs in Lacs.) Debt Instruments (a) Listed / awaiting listing on Stock Exchange INE261F09HE9 CRISIL AAA 724.68 12.0 9.18% National Bank For Agriculture and Rural Development \*\* 9.52% Rural Electrification Corporation Limited \*\* INE020B07II1 CRISIL AAA 606.43 10.0 10.25% Housing Development Finance INE001A07CG0 CRISIL AAA 506.50 8.4 orporation Limited \*\* INE115A07EC3 CRISIL AAA 8.50% LIC Housing Finance Limited \*\* 502.24 8.3 Sub Total 2,339.85 38.8 (b) Privately placed / Unlisted 9.87% Tata Sons Ltd \*\* # INE895D07420 CRISIL AAA 505.06 8.3 Sub Total 2.844.91 47.1 3.77% Karnataka SDL (MD 21/11/2016) IN1920120053 SOV 1.100,000 1.102.93 18.2 1,102.93 18.2 Equity & Equity related (a) Listed / awaiting listing on Stock Exchanges INE040A01026 Banks HDFC Bank Limited Repco Home Finance Limited INE612J01015 Finance 54.85 0.9 Reliance Industries Limited INE002A01018 Petroleum Products 5,000 54.19 0.9 INE009A01021 Software Infosys Limited 4,400 45.59 0.7 ITC Limited INE154A01025 Consumer Non Durables 41.13 0.6 17,040 Cummins India Limited INE298A01020 Industrial Products 29.91 0.5 IndusInd Bank Limited INE095A01012 Banks 2,225 26.61 Kewal Kiran Clothing Limited INE401H01017 Textile Products 1.400 26.60 0.4 Axis Bank Limited INE238A01034 Banks 4,900 26.53 0.4 INE743M01012 Industrial Products Orient Refractories Limited 22,000 25.07 0.4 Eicher Motors Limited INE066A01013 Auto 24.83 100 Sun Pharmaceuticals Industries Limited INE044A01036 Pharmaceuticals Kotak Mahindra Bank Limited INE237A01028 Banks Power Grid Corporation of India Limited INE752E01010 Power 13,090 23.11 VST Industries Limited INE710A01016 Consumer Non Durables 1.000 22.69 0.3 J.Kumar Infraprojects Limited INE576I01022 Construction 12,100 22.01 0.3 INF491A01021 Banks City Union Bank Limited 16,400 21.66 Hero MotoCorp Limited INE158A01026 Auto 20.48 INE548C01032 Consumer Non Durables INE836F01026 Media & Entertainment Dish TV India Limited Grasim Industries Limited INE047A01013 Cement 19.35 400 Aurobindo Pharma Limited INE406A01037 Pharmaceuticals 2.200 18.82 0.3 INE502K01016 Hotels, Resorts And Other Talwalkars Better Value Fitness Limited 6,800 18.80 0.3 Mahindra & Mahindra Limited INE101A01026 Auto 18.27 0.3 Sanofi India Limited INE058A01010 Pharmaceuticals 418 17.30 0.2 INE951D01028 Auto Atul Auto Limited 3,500 16.74 0.2 INE267A01025 Non - Ferrous Metals Hindustan Zinc Limited 6,550 15.28 INE528G01019 Banks INE910H01017 Oil Gujarat State Petronet Limited INE246F01010 Gas 8.500 13.28 D.B.Corp Limited INE950I01011 Media & Entertainment 3.100 12.24 0.2 Crompton Greaves Consumer Electricals Limited INE299U01018 Consumer Durables 7,600 11.70 0.1 Jagran Prakashan Limited INE199G01027 Media & Entertainment 5,800 10.61 0.1 INE366I01010 Transportation VRL Logistics Limited 3,524 10.56 INE361B01024 Pharmaceuticals Gulf Oil Lubricants India Limited INE635Q01029 Petroleum Products 9.57 0.1 Credit Analysis and Research Limited INE752H01013 Finance 700 9.53 0.1 MOIL Limited INE490G01020 Minerals/Mining 3,400 8.38 0.1 Gujarat Mineral Development Corporation Limited INE131A01031 Minerals/Mining 1,523 1.28 0.0 Sub Total 891.81 14.7 (b) Unliste Sub Total 891.81 14.7 CBLO / Reverse Repo 1.019.66 16.9 Clearing Corporation of India Ltd Sub Total 1,019.66 16.9 1,019.66 16.9 Net Receivables / (Payables)

Unlisted Security

Industry classification as recommended by AMFI and wherever not available, internal classification has been used

The provision made for Non Performing Assets as of September 30, 2016 is Nil.

Plan/Option wise Net Asset Values are as follows: As on September 30, 2016 As on March 31, 2016 Regular Plan - Growth Option Rs. 12.1861 Rs. 11.6278 Direct Plan - Growth Option Rs. 12.6296 Rs. 11.9697

(3) No dividend was declared during the half year ended September 30, 2016. Details of derivatives exposure and transaction:

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil.

The Average Maturity Period of the Portfolio is 0.25 years.

During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.15 times (6)

The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%. Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

Portfolio as on September 30, 2016 Name of the Instrument Industry^/Rating Quantity

BIRLA SUN LIFE CAPITAL PROTECTION ORIENTED FUND - SERIES 21

(A Close Ended Capital Protection Oriented Scheme)

				Fair Value Rs in Lacs.)	
Debt Instruments			(-	as in Eucor)	
(a) Listed / awaiting listing on Stock Exchange					
9.61% Power Finance Corporation Limited **	INE134E08ER2	CRISIL AAA	100	1,013.81	1
9.67% Rural Electrification Corporation Limited **	INE020B07IB6	CRISIL AAA	100	1,010.32	13
9.40% National Bank For Agriculture and	INE261F09HK6		98	992.32	1:
Rural Development **					
7.98% IDFC Bank Limited **	INE092T08790	ICRA AAA	98	980.92	1
8.33% LIC Housing Finance Limited **	INE115A07EA7	CRISIL AAA	50	502.13	
10.25% LIC Housing Finance Limited **	INE115A07429	CRISIL AAA	24	243.57	
Sub Total				4,743.07	62
(b) Privately placed / Unlisted					
9.87% Tata Sons Ltd ** #	INE895D08428	CRISIL AAA	50	505.32	
Sub Total				505.32	(
Total				5,248.39	69
Equity & Equity related					
(a) Listed / awaiting listing on Stock Exchanges					
HDFC Bank Limited	INE040A01026	Banks	5,649	71.90	(
Repco Home Finance Limited	INE612J01015	Finance	8,000	65.39	(
Reliance Industries Limited	INE002A01018	Petroleum Products	5,900	63.94	(
Infosys Limited	INE009A01021	Software	5,600	58.02	(
ITC Limited	INE154A01025	Consumer Non Durables	21,000	50.68	(
Cummins India Limited	INE298A01020	Industrial Products	3,863	34.98	(
Tata Motors Limited	INE155A01022	Auto	6,000	32.09	(
Orient Refractories Limited	INE743M01012	Industrial Products	27,900	31.79	(
Eicher Motors Limited	INE066A01013	Auto	128	31.78	(
IndusInd Bank Limited	INE095A01012	Banks	2,603	31.13	(
Axis Bank Limited	INE238A01034	Banks	5,700	30.86	(
Kewal Kiran Clothing Limited	INE401H01017	Textile Products	1,500	28.51	(
Sun Pharmaceuticals Industries Limited	INE044A01036	Pharmaceuticals	3,800	28.22	(
Power Grid Corporation of India Limited	INE752E01010	Power	15,610	27.56	
Kotak Mahindra Bank Limited	INE237A01028	Banks	3,500	27.20	(
J.Kumar Infraprojects Limited	INE576I01022	Construction	14,200	25.83	(
Grasim Industries Limited	INE047A01013	Cement	500	24.18	(
Hero MotoCorp Limited	INE158A01026	Auto	700	23.90	
1					
Dish TV India Limited	INE836F01026	Media & Entertainment	24,600	22.90	(
VST Industries Limited	INE710A01016	Consumer Non Durables	1,000	22.69	(
Aurobindo Pharma Limited	INE406A01037	Pharmaceuticals	2,600	22.24	(
Talwalkars Better Value Fitness Limited	INE502K01016	Hotels, Resorts And Other Recreational Activities	8,000	22.11	(
Mahindra & Mahindra Limited	INE101A01026	Auto	1,500	21.09	(
l .					
Emami Limited	INE548C01032	Consumer Non Durables	1,800	21.06	(
Sanofi India Limited	INE058A01010	Pharmaceuticals	489	20.24	(
Atul Auto Limited	INE951D01028	Auto	4,100	19.61	(
Hindustan Zinc Limited	INE267A01025	Non - Ferrous Metals	7,900	18.43	(
Orient Cement Limited	INE876N01018	Cement	8,200	17.63	(
Yes Bank Limited	INE528G01019	Banks	1,400	17.57	(
City Union Bank Limited	INE491A01021	Banks	13,000	17.17	(
Cairn India Limited	INE910H01017	Oil	8,000	16.00	(
Gujarat State Petronet Limited	INE246F01010	Gas	9,400	14.69	(
Crompton Greaves Consumer Electricals Limited	INE299U01018	Consumer Durables	8,900	13.70	(
Jagran Prakashan Limited	INE199G01027	Media & Entertainment	7,300	13.36	(
Credit Analysis and Research Limited	INE752H01013	Finance	900	12.25	(
D.B.Corp Limited	INE950I01011	Media & Entertainment	3,000	11.85	(
Gulf Oil Lubricants India Limited	INE635Q01029	Petroleum Products	1,600	11.78	(
Divi's Laboratories Limited	INE361B01024	Pharmaceuticals	900	11.62	(
MOIL Limited	INE490G01020	Minerals/Mining	4,500	11.09	(
VRL Logistics Limited	INE366I01010	Transportation	2,156	6.46	(
Gujarat Mineral Development Corporation Limited	INE131A01031	Minerals/Mining	1,821	1.53	(
Sub Total				1,055.03	13
(b) Unlisted				NIL	
Sub Total				NIL	
Total				1,055.03	13
CBLO / Reverse Repo Clearing Corporation of India Ltd				1,074.64	1
Sub Total				1,074.64	14
Total				1,074.64	14
Net Receivables / (Payables)				185.40	2

GRANDTOTAL \* Thinly Traded / Non Traded Security

^Industry classification as recommended by AMFI and wherever not available, internal classification has been used

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

Plan/Option wise Net Asset Values are as follows: As on September 30, 2016

Direct Plan - Growth Option Rs. 12.1749

As on March 31, 2016 Regular Plan - Growth Option No dividend was declared during the half year ended September 30, 2016.

(4) Details of derivatives exposure and transaction: (a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging

no hedging transactions through option which have exercised/expired.

transactions through futures which have been squared off/expired.

were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. The Average Maturity Period of the Portfolio is 0.42 years. During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.15 times

The total value of illiquid Equity Securities is  $0.00\ \mathrm{crores}$  and its percentage to Net Assets is 0.00%. (8)

Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%.

(9) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

BIRLA SUN LIFE CAPITAL PROTECTION ORIENTED FUND - SERIES 22 (A Close Ended Capital Protection Oriented Scheme) Portfolio as on September 30, 2016

Name of the Instrument	ISIN	Industry^/Rating	Quantity	Market/ Fair Value (Rs in Lacs.)	% to Net Assets
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
9.11% Power Finance Corporation Limited **	INE134E08FY5	CRISIL AAA	200	2,025.14	16.35%
9.40% Rural Electrification Corporation Limited **	INE020B08757	CRISIL AAA	190	1,930.19	15.58%
9.70% LIC Housing Finance Limited **	INE115A07CM6	CRISIL AAA	150	1,520.10	12.27%
9.70% Housing Development Finance Corporation Limited **	INE001A07IM5	CRISIL AAA	150	1,519.93	12.27%
9.50% Kotak Mahindra Prime Ltd **	INE916DA7EE8	CRISIL AAA	100	1,010.96	8.16%
Sub Total				8,006.32	64.63%
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				8,006.32	64.63%
Government Securities					
8.78% Haryana SDL (MD 23/07/2017)	IN1620140047	SOV	1,225,000	1,243.05	10.03%
8.67% Karnataka SDL (MD 18/07/2017)	IN1920120012	SOV	300,000	304.08	2.45%
Total				1,547.13	12.49%
Equity & Equity related					
(a) Listed / awaiting listing on Stock Exchanges					
HDFC Bank Limited	INE040A01026	Banks	9,582	121.96	0.98%
Repco Home Finance Limited	INE612J01015	Finance	14,500	118.52	0.96%
Reliance Industries Limited	INE002A01018	Petroleum Products	9,900	107.29	0.87%
Infosys Limited	INE009A01021	Software	8,800	91.17	0.74%
ITC Limited	INE154A01025	Consumer Non Durables	34,500	83.27	0.67%
Cummins India Limited	INE298A01020	Industrial Products	6,551	59.32	0.48%
Eicher Motors Limited	INE066A01013	Auto	217	53.88	0.43%
Tata Motors Limited	INE155A01022	Auto	10,000	53.49	0.43%
IndusInd Bank Limited	INE095A01012	Banks	4,416	52.81	0.43%
City Union Bank Limited	INE491A01021	Banks	39,900	52.71	0.43%
Axis Bank Limited	INE238A01034	Banks	9,700	52.51	0.42%
Sun Pharmaceuticals Industries Limited	INE044A01036	Pharmaceuticals	6,500	48.28	0.39%

Name of the Instrument	ISIN	Industry^/Rating	Quantity	Market/	% to Net
				Fair Value	Assets
				Rs in Lacs.)	
Kewal Kiran Clothing Limited	INE401H01017	Textile Products	2,517	47.83	0.39%
Kotak Mahindra Bank Limited	INE237A01028	Banks	6,000	46.62	0.38%
Power Grid Corporation of India Limited	INE752E01010	Power	26,320	46.47	0.38%
Orient Refractories Limited	INE743M01012	Industrial Products	36,000	41.02	0.33%
Hero MotoCorp Limited	INE158A01026	Auto	1,200	40.96	0.33%
Aurobindo Pharma Limited	INE406A01037	Pharmaceuticals	4,400	37.64	0.30%
Grasim Industries Limited	INE047A01021	Cement	770	37.24	0.30%
Mahindra & Mahindra Limited	INE101A01026	Auto	2,600	36.55	0.30%
Emami Limited	INE548C01032	Consumer Non Durables	3,100	36.27	0.29%
J.Kumar Infraprojects Limited	INE576I01022	Construction	19,280	35.07	0.28%
Sanofi India Limited	INE058A01010	Pharmaceuticals	829	34.31	0.28%
Atul Auto Limited	INE951D01028	Auto	6,902	33.01	0.27%
Dish TV India Limited	INE836F01026	Media & Entertainment	33,280	30.98	0.25%
Yes Bank Limited	INE528G01019	Banks	2,400	30.11	0.24%
Talwalkars Better Value Fitness Limited	INE502K01016	Hotels, Resorts And Other	10,800	29.85	0.24%
		Recreational Activities			
Orient Cement Limited	INE876N01018	Cement	13,200	28.38	0.23%
Cairn India Limited	INE910H01017	Oil	14,000	28.01	0.23%
Hindustan Zinc Limited	INE267A01025	Non - Ferrous Metals	11,500	26.84	0.22%
VST Industries Limited	INE710A01016	Consumer Non Durables	1,100	24.96	0.20%
Jagran Prakashan Limited	INE199G01027	Media & Entertainment	13,500	24.71	0.20%
Gujarat State Petronet Limited	INE246F01010	Gas	15,800	24.69	0.20%
Crompton Greaves Consumer Electricals Limited	INE299U01018	Consumer Durables	15,100	23.25	0.19%
Divi's Laboratories Limited	INE361B01024	Pharmaceuticals	1,600	20.65	0.17%
Gulf Oil Lubricants India Limited	INE635Q01029	Petroleum Products	2,600	19.15	0.15%
D.B.Corp Limited	INE950I01011	Media & Entertainment	4,600	18.16	0.15%
Credit Analysis and Research Limited	INE752H01013	Finance	1,300	17.70	0.14%
MOIL Limited	INE490G01020	Minerals/Mining	5,400	13.31	0.11%
VRL Logistics Limited	INE366I01010	Transportation	924	2.77	0.02%
Sub Total				1,731.72	13.98%

Name of the Instrument	ISIN	Industry^/Rating	Quantity Market/	% to Net
			Fair Value	Assets
			(Rs in Lacs.)	
(b) Unlisted			NIL	NIL
Sub Total			NIL	NIL
Total			1,731.72	13.98%
CBLO / Reverse Repo				
Clearing Corporation of India Ltd			907.70	7.33%
Sub Total			907.70	7.33%
Total			907.70	7.33%
Net Receivables / (Payables)			194.36	1.57%
GRANDTOTAL			12,387.23	100.00%

'Industry classification as recommended by AMFI and wherever not available, internal classification has been used

The provision made for Non Performing Assets as of September 30, 2016 is Nil.

(2) Plan/Option wise Net Asset Values are as follows: As on March 31, 2016 As on September 30, 2016 Regular Plan - Growth Option Rs. 11.7000 Direct Plan - Growth Option Rs. 12.0703 Rs. 11.4069 (3) No dividend was declared during the half year ended September 30,2016.

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

 $(d)\ Other\ than\ Hedging\ Positions\ through\ Options\ as\ on\ September\ 30,2016\ is\ Nil\ and\ For\ the\ half\ year\ ended\ September\ 30,2016\ there$ 

were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016 is Nil. The Average Maturity Period of the Portfolio is 0.58 years.

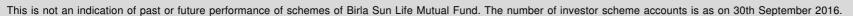
(4) Details of derivatives exposure and transaction:

During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.04 times The total value of illiquid Equity Securities is  $0.00\ crores$  and its percentage to Net Assets is 0.00%.

Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%. Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

Birla Sun Life Asset Management Company Limited (Investment Manager for Birla Sun Life Mutual Fund), One Indiabulls Centre, Tower 1, 17th Floor, Jupiter Mill Compound, 841, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400 013. CIN: U65991MH1994PLC080811 Call 1-800-270-7000

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### Half Yearly Portfolio Statement as on September 30, 2016

Portfolio as on September 30, 2016

	ded Capital Protect	N ORIENTED FUND	OBRIDO		
Port Name of the Instrument	folio as on Septem	ber 30, 2016  Industry^/Rating	Quantity	Market/ Fair Value	% to Net
			(1	Rs in Lacs.)	
Debt Instruments					
a) Listed / awaiting listing on Stock Exchange	The second of	on tore	#0	#00.40	10.000
9.07% Export Import Bank of India **	INE514E08BL4		59	599.18	
0.33% National Bank For Agriculture and Rural Development **	INE261F09HM2	CRISIL AAA	58	587.72	12.83%
0.27% Power Finance Corporation Limited **	INE134E08EW2	CRISII AAA	50	508.24	11.10%
Sub Total	INE154E00EW 2	CRISILAAA	50	1,695.14	
b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				1,695.14	37.01%
Government Securities					
3.67% Karnataka SDL (MD 18/07/2017)	IN1920120012	SOV	1,500,000	1,520.39	33.20%
3.78% Haryana SDL (MD 23/07/2017)	IN1620140047	SOV	350,000	355.16	7.75%
Total				1,875.55	40.95%
Equity & Equity related					
a) Listed / awaiting listing on Stock Exchanges					
HDFC Bank Limited	INE040A01026	Banks	3,178	40.45	0.88%
nfosys Limited	INE009A01021	Software Detailer Developer	3,600	37.30	0.81%
Reliance Industries Limited	INE002A01018	Petroleum Products	3,300	35.76	0.78%
Repco Home Finance Limited	INE612J01015	Finance Consumer Non Durables	3,900	31.88	0.70%
TC Limited Cummins India Limited	INE154A01025	Consumer Non Durables Industrial Products	10,804	26.08 19.74	0.57%
Fata Motors Limited	INE298A01020 INE155A01022	Auto	2,180 3,400	18.18	0.45%
Eicher Motors Limited	INE066A01013	Auto	72	17.88	0.39%
IndusInd Bank Limited	INE095A01012	Banks	1,465	17.52	0.38%
Axis Bank Limited	INE238A01034	Banks	3,200	17.32	0.38%
Orient Refractories Limited	INE743M01012	Industrial Products	14,600	16.64	0.36%
Sun Pharmaceuticals Industries Limited	INE044A01036	Pharmaceuticals	2,200	16.34	0.36%
Kotak Mahindra Bank Limited	INE237A01028	Banks	2,000	15.54	0.34%
City Union Bank Limited	INE491A01021	Banks	11,300	14.93	0.33%
Kumar Infraprojects Limited	INE576I01022	Construction	8,000	14.55	0.32%
Grasim Industries Limited	INE047A01013	Cement	300	14.51	0.32%
Hero MotoCorp Limited	INE158A01026	Auto	400	13.65	0.30%
Kewal Kiran Clothing Limited	INE401H01017	Textile Products	700	13.30	0.29%
Dish TV India Limited	INE836F01026	Media & Entertainment	13,900	12.94	0.28%
Aurobindo Pharma Limited	INE406A01037	Pharmaceuticals	1,500	12.83	0.28%
Mahindra & Mahindra Limited	INE101A01026	Auto	900	12.65	0.28%
Falwalkars Better Value Fitness Limited	INE502K01016	Hotels, Resorts And Other Recreational Activities	4,500	12.44	0.27%
Emami Limited	INE548C01032	Consumer Non Durables	1,000	11.70	0.26%
Sanofi India Limited	INE058A01010	Pharmaceuticals	276	11.42	0.25%
Power Grid Corporation of India Limited	INE752E01010	Power	6,370	11.25	0.25%
Atul Auto Limited Hindustan Zinc Limited	INE951D01028 INE267A01025	Auto Non - Ferrous Metals	2,300 4,600	11.00 10.73	0.24%
Yes Bank Limited	INE207A01025 INE528G01019	Banks	4,000 800	10.73	0.23%
Cairn India Limited	INE910H01017	Oil	5,000	10.04	0.22%
agran Prakashan Limited	INE199G01027	Media & Entertainment	5,200	9.52	0.21%
/ST Industries Limited	INE710A01016	Consumer Non Durables	400	9.08	0.20%
Gujarat State Petronet Limited	INE246F01010	Gas	5,200	8.13	0.18%
Gulf Oil Lubricants India Limited	INE635Q01029	Petroleum Products	1,000	7.36	0.16%
Crompton Greaves Consumer Electricals Limited	INE299U01018	Consumer Durables	4,700	7.24	0.16%
D.B.Corp Limited	INE950I01011	Media & Entertainment	1,800	7.11	0.16%
VRL Logistics Limited	INE366I01010	Transportation	2,321	6.95	0.15%
Divi's Laboratories Limited	INE361B01024	Pharmaceuticals	500	6.45	0.14%
MOIL Limited	INE490G01020	Minerals/Mining	2,300	5.67	0.12%
Credit Analysis and Research Limited	INE752H01013	Finance	400	5.45	0.12%
Sub Total					12.70%
b) Unlisted				NIL	NIL
U.I. (T. 4)				NIL 501.52	NIL
				581.53	12.70%
Total					
Fotal CBLO / Reverse Repo				200.07	0 220
Sub Total Total CBLO / Reverse Repo Clearing Corporation of India Ltd Sub Total				380.87 380.87	8.32% 8.32%
Total CBLO / Reverse Repo Clearing Corporation of India Ltd Sub Total				380.87	8.32%
Fotal CBLO / Reverse Repo Clearing Corporation of India Ltd					

Industry classification as recommended by AMFI and wherever not available, internal classification has been used

- $(1) \qquad \text{The provision made for Non Performing Assets as of September 30, 2016 is Nil.}$ Plan/Option wise Net Asset Values are as follows:
- As on September 30, 2016 As on March 31, 2016 Regular Plan - Growth Option Rs. 11.4635 Rs. 10.9824 Direct Plan - Growth Option Rs. 11.8085 Rs. 11.2306
- No dividend was declared during the half year ended September 30, 2016. Details of derivatives exposure and transaction:
- (a) Hedging Position through Futures as on September 30,2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.
- $(b) Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ and \ September \ 30, 2016 \ there \ are \ and \ September \ 30, 2016 \ there \ and \ September \ 30, 2016 \ there \ 30, 20$ were no non-hedging transactions through futures which have been squared off/expired.
- (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.
- $(d) Other than \ Hedging \ Positions \ through \ Options \ as \ on \ September \ 30,2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there$ were no non-hedging transactions through options which have been exercised/expired.
- (e) Hedging Positions through swaps as on September 30, 2016 is Nil. The Average Maturity Period of the Portfolio is 0.64 years.

- During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.03 times (5) The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%.
- Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%.
- Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

9A of Securities and Exchange Board of India (Mutual Funds) R	tegula
BIRLA SUN LIFE CAPITAL PROTECTION ORIENTED FUND - SERIES 25	
(A Close Ended Capital Protection Oriented Scheme)	

Name of the Instrument	ISIN	Rating	Quantity	Market/	% to Net
				Fair Value	Assets
				(Rs in Lacs.)	
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
9.29% HDB Financial Services Ltd **	INE756I07498	CRISIL AAA	100	1,019.04	14.33%
9.08% LIC Housing Finance Limited **	INE115A07FZ1	CRISIL AAA	100	1,015.62	14.28%
8.95% Power Finance Corporation Limited **	INE134E08FK4	CRISIL AAA	95	969.40	13.63%
8.70% Rural Electrification Corporation Limited **	INE020B08815	CRISIL AAA	95	965.60	13.58%
8.43% IDFC Bank Limited **	INE092T08915	ICRA AAA	70	705.08	9.91%
Sub Total				4,674.74	65.73%
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				4,674.74	65.73%
Government Securities					
9.60% Gujarat SDL(MD 12/03/2018)	IN1520130189	SOV	827,900	857.27	12.05%
8.41% Andhra Pradesh SDL (MD 27/03/2018)	IN1020070091	SOV	200,000	203.86	2.87%
Total				1,061.13	14.92%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				505.83	7.11%
Sub Total				505.83	7.11%
Total				505.83	7.11%
Net Receivables / (Payables)				870.07	12.23%
GRAND TOTAL				7,111.77	100.00%
** Thinly Traded / Non Traded Security					
Notes:					

The provision made for Non Performing Assets as of September 30, 2016 is Nil

Plan/Option wise Net Asset Values are as follows: As on September 30, 2016 As on March 31, 2016 Plan Regular Plan - Growth Option Direct Plan - Growth Option Rs. 10.6228 Rs. 10.2665 No dividend was declared during the half year ended September 30, 2016. Details of derivatives exposure and transaction:

(a) Hedging Position through Futures as on September 30,2016 is Nil and For the half year ended September 30,2016 there were no hedging transactions through futures which have been squared off/expired.
(b) Other than Hedging Position through Futures as on September 30,2016 is Nil and For the half year ended September 30,2016 there were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30,2016 is Nil and For the half year ended September 30,2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30,2016 Underlying Number of contracts | Option Price when S&P CNX NIFTY CE 8500 Dec 17

Total Exposure through options as a %age of net assets is 8.77% For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired (e) Hedging Positions through swaps as on September 30, 2016 is Nil.

The Average Maturity Period of the Portfolio is 1.13 years. During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0 times

The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%.

Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%. Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

### BIRLA SUN LIFE CAPITAL PROTECTION ORIENTED FUND - SERIES 26 (A Close Ended Capital Protection Oriented Scheme)

Name of the Instrument	ISIN	Industry^/Rating	Quantity	Market/	% to Net
reame of the instrument	DET	muusu y / Raung	Quantity	Fair Value	
					Assets
			(.	Rs in Lacs.)	
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
8.95% Power Finance Corporation Limited **	INE134E08FK4	CRISIL AAA	42	428.58	14.53%
8.70% Rural Electrification Corporation Limited **	INE020B08815	CRISIL AAA	42	426.90	14.47%
8.20% Small Industries Dev Bank of India **	INE556F09460	CARE AAA	42	424.88	14.40%
9.29% HDB Financial Services Ltd **	INE756I07498	CRISIL AAA	41	417.81	14.16%
9.20% Housing Development Finance	INE001A07KS8	CRISIL AAA	25	254.64	8.63%
Corporation Limited **					
Sub Total				1,952.81	66.20%
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				1,952.81	66.20%
Government Securities					
8.41% Andhra Pradesh SDL (MD 27/03/2018)	IN1020070091	SOV	300,000	305.79	10.37%
Total				305.79	10.37%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				414.86	14.06%
Sub Total				414.86	14.06%
Total				414.86	14.06%
Net Receivables / (Payables)				276.28	9.37%
GRANDTOTAL				2,949.74	100.00%

- The provision made for Non Performing Assets as of September 30, 2016 is Nil.
- Plan/Option wise Net Asset Values are as follows:
  Plan
  Regular Plan Growth Option
  Resular Plan Growth Option As on March 31, 2016 Regular Plan - Growth Option Rs. 10.0700
  Direct Plan - Growth Option Rs. 10.1890
  No dividend was declared during the half year ended September 30,2016.
- No dividend was accitated during the nair year ended September 30, 2016.

  Details of derivatives exposure and transaction:

  (a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

  (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

  (d) Other than Hedging Positions through Options as on September 30, 2016

Number of contracts Option Price when Current Price"Rs. Call / Put Underlying S&P CNX NIFTY CE 1,974.47

Total Exposure through options as a %age of net assets is 5.91%

Name of the Instrument

- 100a Exposure unrougn options as a wage of net assets is 5.91%

  For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

  (6)

  (7)

  The Average Maturity Period of the Portfolio is 1.1 years.

  During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0 times

  The total walue of libiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%.

  (8)
- Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%. Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.
- - BIRLA SUN LIFE BALANCED ADVANTAGE FUND

ı	(a) Listed / awaiting listing on Stock Exchange 9.69% Power Finance Corporation Limited ** 8.65% Rural Electrification Corporation Limited ** 9.70% India Infradebt Ltd ** 9.65% Housing Development Finance Corporation Limited ** 8.40% Power Grid Corporation of India Limited ** 12.52% IOT Utkal Energy Services Limited ** 12.52% IOT Utkal Energy Services Limited ** Sub Total (b) Privately placed / Unlisted	ISIN	Industry^/Rating	Quantity	Market/	% to Net
				(	Fair Value (Rs in Lacs.)	Assets
1	Debt Instruments					
	(a) Listed / awaiting listing on Stock Exchange					
۱	9.69% Power Finance Corporation Limited **	INE134E07513	CRISIL AAA	100	1,048.73	17.08%
	•	INE020B07EG4	CRISIL AAA	85	872.35	14.20%
۱	-	INE537P07018	CRISIL AAA	80	826.66	13,46%
	9.65% Housing Development Finance	INE001A07MG9	CRISIL AAA	50	518.47	8.44%
	č i					
	8.40% Power Grid Corporation of India Limited **	INE752E07ML9	ICRA AAA	50	512.53	8.35%
	12.52% IOT Utkal Energy Services Limited **	INE310L07506	CRISIL AAA (SO)	430	462.48	7.53%
	12.52% IOT Utkal Energy Services Limited **	INE310L07498	CRISIL AAA (SO)	368	394.84	6.43%
	Sub Total				4,636.06	75.49%
	(b) Privately placed / Unlisted				NIL	NIL
	Sub Total				NIL	NIL
۱	Total				4,636.06	75.49%
	Equity & Equity related					
	(a) Listed / awaiting listing on Stock Exchanges					
4	Repco Home Finance Limited	INE612J01015	Finance	11,000	89.91	1.46%
+	HDFC Bank Limited	INE040A01026	Banks	5,085	64.72	1.05%
	Reliance Industries Limited	INE002A01018	Petroleum Products	5,200	56.35	0.92%
	Infosys Limited	INE009A01021	Software	4,500	46.62	0.76%
	ITC Limited	INE154A01025	Consumer Non Durables	16,800	40.55	0.66%
	Gulf Oil Lubricants India Limited	INE635Q01029	Petroleum Products	4,500	33.14	0.54%
	Cummins India Limited	INE298A01020	Industrial Products	3,454	31.28	0.51%
	Kewal Kiran Clothing Limited	INE401H01017	Textile Products	1,600	30.41	0.50%
	IndusInd Bank Limited	INE095A01012	Banks	2,343	28.02	0.46%
	Tata Motors Limited	INE155A01022	Auto	5,200	27.81	0.45%
3	Axis Bank Limited	INE238A01034	Banks	5,100	27.61	0.45%
-	Credit Analysis and Research Limited	INE752H01013	Finance	2,000	27.23	0.44%
е	Yes Bank Limited	INE528G01019	Banks	2,100	26.35	0.43%
)	City Union Bank Limited	INE491A01021	Banks	19,500	25.76	0.42%
,	Sun Pharmaceuticals Industries Limited	INE044A01036	Pharmaceuticals	3,400	25.25	0.41%
	VST Industries Limited	INE710A01016	Consumer Non Durables	1,100	24.96	0.41%
1	Kotak Mahindra Bank Limited	INE237A01028	Banks	3,200	24.86	0.40%
	Eicher Motors Limited	INE066A01013	Auto	100	24.83	0.40%
1	Hero MotoCorp Limited	INE158A01026	Auto	700	23.90	0.39%
J	Power Grid Corporation of India Limited	INE752E01010	Power	13,510	23.85	0.39%
	J.Kumar Infraprojects Limited	INE576I01022	Construction	12,700	23.10	0.38%
	MOIL Limited	INE490G01020	Minerals/Mining	8,400	20.70	0.34%
	Dish TV India Limited	INE836F01026	Media & Entertainment	21,900	20.70	0.33%
S	Talwalkars Better Value Fitness Limited	INE502K01016	Hotels, Resorts And Other	7,200	19.90	0.32%
	Taiwaikais better value rittiess Limiteu	INESUZKUIUIO	Recreational Activities	7,200	19.90	0.32%
	Mahindra & Mahindra Limited	INE101A01026	Auto	1,400	19.68	0.32%
	Aurobindo Pharma Limited	INE406A01037	Pharmaceuticals	2,300	19.68	0.32%
ı	Grasim Industries Limited	INE047A01013	Cement	400	19.35	0.32%
•	Sanofi India Limited	INE058A01010	Pharmaceuticals	437	18.08	0.29%

INE951D01028 Auto

INE910H01017 Oil

INE876N01018 Cement

INE299U01018 Consumer Durables

INE361B01024 Pharmaceuticals

INE548C01032 Consumer Non Durables

INE199G01027 Media & Entertainment

3,639

7,000

6,000

1,000

5,500

17.41 0.28%

14.00 0.23%

12.16 0.20%

11.70 0.19%

10.33 0.17%

10.07 0.16%

952.86 15.52%

160.95 2.62%

160.95 2.62%

160.95 2.62%

391.57 6.38%

6,141.44 100.00%

NIL 952.86 15.52%

NIL NIL

NII.

12.90 0.21%

BIRLA SUN LIFE CAPITAL PROTECTION ORIENTED FUND - SERIES 29 (A Close Ended Capital Protection Oriented Scheme)

Portfolio as on September 30, 2016

GRANDTOTAL \*\* Thinly Traded / Non Traded Security

Atul Auto Limited

Cairn India Limited

Emami Limited

Sub Total

(b) Unlisted

Sub Total

Sub Total

Total

Orient Cement Limited

Divi's Laboratories Limited

Jagran Prakashan Limited

CBLO / Reverse Repo Clearing Corporation of India Ltd

Net Receivables / (Payables)

Crompton Greaves Consumer Electricals Limited

'Industry classification as recommended by AMFI and wherever not available, internal classification has been used

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil

(2) Plan/Option wise Net Asset Values are as follows:

As on September 30, 2016 As on March 31, 2016 Rs. 10.8388 Regular Plan - Growth Option Rs. 10.2421 Direct Plan - Growth Option Rs. 10.9934 Rs. 10.2975

(3) No dividend was declared during the half year ended September 30, 2016. Details of derivatives exposure and transaction:

- (a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30,2016 there were no non-hedging transactions through futures which have been squared off/expired.
- (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were
- (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016 is Nil.
- The Average Maturity Period of the Portfolio is 1.86 years.
- During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.05 times The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%.
- (8) Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%.
- (9) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

## (An Open Ended Asset Allocation Scheme)

Portfolio as on September 30, 2016 Industry^/Rating

Name of the Instrument	ISIN	Industry^/Rating	Quantity	Market/	
				Fair Value	Assets
				(Rs in Lacs.)	
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
8.49% NTPC Limited **	INE733E07JP6	CRISIL AAA	3,200	0.42	0.05%
Sub Total				0.42	0.05%
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				0.42	0.05%
Equity & Equity related					
(a) Listed / awaiting listing on Stock Exchanges					
HDFC Bank Limited	INE040A01026	Banks	5,900	75.10	8.45%
Dalmia Bharat Limited	INE439L01019	Cement	1,800	33.68	3.79%
ITC Limited	INE154A01025	Consumer Non Durables	13,500	32.58	3.67%
Power Grid Corporation of India Limited	INE752E01010	Power	17,000	30.01	3.38%
Bajaj Finance Limited	INE296A01024	Finance	2,000	21.12	2.38%
Eicher Motors Limited	INE066A01013	Auto	80	19.86	2.24%
City Union Bank Limited	INE491A01021	Banks	15,000	19.82	2.23%
Repco Home Finance Limited	INE612J01015	Finance	2,200	17.98	2.02%
The India Cements Limited	INE383A01012	Cement	12,000	17.28	1.94%
HeidelbergCement India Limited	INE578A01017	Cement	12,000	15.80	1.78%
Indian Oil Corporation Limited	INE242A01010	Petroleum Products	2,700	15.73	1.77%
Somany Ceramics Limited	INE355A01028	Construction	2,500	15.37	1.73%
IFGL Refractories Limited	INE023B01012	Industrial Products	12,000	15.20	1.71%
Kaveri Seed Company Limited	INE455I01029	Consumer Non Durables	4,000	14.33	1.61%
Hindustan Zinc Limited	INE267A01025	Non - Ferrous Metals	5,800	13.53	1.52%
Infosys Limited	INE009A01021	Software	1,300	13.47	1.52%
Greaves Cotton Limited	INE224A01026	Industrial Products	10,000	12.99	1.46%
Castrol India Limited	INE172A01027	Petroleum Products	2,700	12.82	1.44%
Sanofi India Limited	INE058A01010	Pharmaceuticals	300	12.41	1.40%
Tata Motors Limited	INE155A01022	Auto	2,250	12.03	1.35%
PTC India Limited	INE877F01012	Power	15,000	11.17	1.26%
Carborundum Universal Limited	INE120A01034	Industrial Products	4,000	10.89	1.23%
K.P.R. Mill Limited	INE930H01015	Textile Products	870	10.60	1.19%
Century Textiles & Industries Limited	INE055A01016	Cement	1,100	10.37	1.17%
Orient Refractories Limited	INE743M01012	Industrial Products	9,000	10.26	1.15%
ICICI Bank Limited	INE090A01021	Banks	4,000	10.09	1.14%
IndusInd Bank Limited	INE095A01012	Banks	830	9.93	1.12%
Grasim Industries Limited	INE047A01013	Cement	200	9.67	1.09%
I					

Call 1-800-270-7000

vame of the instrument	ISIN	moustry/ Kaung	Quantity	Market	% to Net
				Fair Value	Assets
			(	(Rs in Lacs.)	
ndraprastha Gas Limited	INE203G01019	Gas	1,200	9.34	1.05%
Mahanagar Gas Limited	INE002S01010	Gas	1,400	9.24	1.04%
ndian Terrain Fashions Limited	INE611L01021	Textile Products	6,000	8.87	1.00%
Axis Bank Limited	INE238A01034	Banks	1,600	8.66	0.97%
Mahindra & Mahindra Limited	INE101A01026	Auto	600	8.43	0.95%
Atul Auto Limited	INE951D01028	Auto	1,500	7.17	0.81%
Equitas Holdings Limited	INE988K01017	Finance	4,000	6.99	0.79%
Orbit Exports Limited	INE231G01010	Textile Products	2,000	5.41	0.61%
Hindalco Industries Limited	INE038A01020	Non - Ferrous Metals	3,050	4.66	0.52%
Zee Entertainment Enterprises Limited	INE256A04022	Media & Entertainment	36,490	3.45	0.39%
Preference Share)					
Dr. Lal Path Labs Ltd.	INE600L01024	Healthcare Services	200	2.14	0.24%
Sub Total				587.82	66.15%
b) Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				587.82	66.15%
Cash and Bank				0.86	0.10%
Total				0.86	0.10%
Margin (Future and Options)				37.00	4.16%
Total				37.00	4.16%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				317.89	35.78%
Sub Total				317.89	35.78%
Total				317.89	35.78%
Net Receivables / (Payables)				(55.41)	-6.24%
GRAND TOTAL				888.58	100.00%
* Thinly Traded / Non Traded Security					
Industry classification as recommended by AMF	I and wherever not availal	ble, internal classification h	as been used	l	
,		,			

9.37 1.05%

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

(2) Plan/Option wise Net Asset Values are as follows: Plan As on September 30, 2016 As on March 31, 2016 Regular Plan - Dividend Option Rs. 20.87 Rs. 17.69 Regular Plan - Growth Option Rs. 44.24 Rs 37 50 Rs. 21.46 Rs. 18.15 Direct Plan - Dividend Option

Quantity Market/ % to Net (3) No dividend was declared during the half year ended September 30, 2016. Details of derivatives exposure and transaction:

(a) Hedging Position through Futures as on September 30, 2016

Underlying	Long/Snort	purchased Rs.	contract Rs.	in Rs. Lakhs
IITC Limited	Short	246.00	242.60	2.19
Nifty Index	Short	8,815.11	8,640.65	18.58
Tata Motors Limited	Short	546.45	536.55	1.01
Total %age of existing assets hedged through	h futures is 29.13%			

For the half year ended September 30,2016 following hedging transactions through futures which have been squared off/expired.

Total Number of contracts where futures were bought	Total Number of contracts where futures were sold		Gross Notional Value of contracts where futures were sold Rs.	Net Profit/Loss value on all contracts combined Rs.			
135.00	173.00	85,946,599.13	110,198,139.54	-961,909.41			
(b) Other than Hedging Position through Futures as an Sentember 30, 2016 is Nil and For the half year ended Sentember 30, 2016 there							

were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil.

- The Average Maturity Period of the Portfolio is 0.01 years.
- During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 2.02 times
- The total value of illiquid Equity Securities is  $0.00\ crores$  and its percentage to Net Assets is 0.00%.
- Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%.
- (9) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

Bir'a Sun Life Asset Management Company Limited (investment Manager for Birla Sun Life Mutual Fund), One Indiabulls Centre, Tower 1, 17th Floor, Jupiter Mill Compound, 841, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400 013. CIN: U65991MH1994PLC080811

## ✓ Over 3.2 million investor scheme accounts



Market/ % to Net

Fair Value (Rs in Lacs.)

1,639.00

1,074.79

918.46 3.13%

852.86 2.91%

822.48 2.80%

1.057.65 3.61%

817.92 2.79%

1,331.00 4.54%

3.66%

Quantity

46,300

175,564

253,000

67,530

568,000

This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September 2016

### Half Yearly Portfolio Statement as on September 30, 2016

	in really relations statement as on september ee, ze	
(Pursuant to Re	gulation 59A of Securities and Exchange Board of India (Mutual Funds) R	egulations, 1996)
S 1	RIDLA SUN LIFE EMEDICING LEADEDS FUND - SEDIES 2	DID

(A CL	se ended Equ	ity Scheme)			(A CI	ose ended Equ	ity Scheme)			(1)	A Close ended Equ	ity Scheme)	
	ose ended Equ o as on Septen	•				ose ended Equ o as on Septen					tfolio as on Septen		
Name of the Instrument	ISIN	Industry^	Ouantity	Market/ % to Net	Name of the Instrument	ISIN	Industry^	Ouantity	Market/ % to Net	Name of the Instrument	ISIN	Industry^	Quanti
rane of the first unent	DE.	nidest y	Quantity	Fair Value Assets	Name of the Instrument	DEV	industry	Quantity	Fair Value Assets	Traine of the first untert	I)II (	industry	Quanti
			(	Rs in Lacs.)				(1	Rs in Lacs.)				
Equity & Equity related					Equity & Equity related					Equity & Equity related			
(a) Listed / awaiting listing on Stock Exchanges					(a) Listed / awaiting listing on Stock Exchanges	INE094A01015	Dates laves Dec du ata	240,000	1.017.48 4.44%	(a) Listed / awaiting listing on Stock Exchanges Dalmia Bharat Limited	INE439L01019	Cement	87.60
Hindustan Petroleum Corporation Limited	INE094A01015	Petroleum Products	240,000	1,017.48 3.59%	Hindustan Petroleum Corporation Limited			240,000	,	UPL Limited	INE628A01036	Pesticides	159,50
The Federal Bank Limited	INE171A01029	Banks	1,300,000	936.00 3.30%	Natco Pharma Limited	INE987B01026	Pharmaceuticals	130,000	775.91 3.38%	Atul Limited	INE100A01010	Chemicals	46.30
Finolex Cables Limited	INE235A01022	Industrial Products	210,000	928.20 3.27%	City Union Bank Limited	INE491A01021	Banks	492,200	650.20 2.83%	Gujarat Fluorochemicals Limited	INE538A01037	Chemicals	175,56
HeidelbergCement India Limited	INE578A01017	Cement	600,000	790.20 2.79%	The Federal Bank Limited	INE171A01029		900,000	648.00 2.82%	Arvind Limited	INE034A01011	Textile Products	253,00
Bajaj Finserv Limited Tube Investments of India Limited	INE918I01018	Finance	25,000	767.39 2.71% 744.68 2.63%	Divi's Laboratories Limited	INE361B01024	Pharmaceuticals	50,000	645.40 2.81%	L&T Finance Holdings Limited	INE498L01015	Finance	900,00
Multi Commodity Exchange of India Limited	INE149A01025 INE745G01035	Auto Ancillaries Finance	126,100 55,000	743.19 2.62%	Multi Commodity Exchange of India Limited	INE745G01035	Finance	44,349	599.27 2.61%	K.P.R. Mill Limited	INE930H01015	Textile Products	67,53
City Union Bank Limited	INE/43G01033	Banks	550,000	726.55 2.56%	Bajaj Finserv Limited	INE918I01018	Finance	19,398	595.43 2.60%	The India Cements Limited	INE383A01012	Cement Finance	568,0 97,9
Edelweiss Financial Services Limited	INE532F01054	Finance	625,000	710.94 2.51%	Narayana Hrudayalaya Ltd.	INE410P01011	Healthcare Services	170,000	567.04 2.47%	Repco Home Finance Limited SRF Limited	INE612J01015 INE647A01010	Textile Products	43.0
Divi's Laboratories Limited	INE352101034 INE361B01024	Pharmaceuticals	54,900	708.65 2.50%	Cyient Limited	INE136B01020	Software	120,450	566.84 2.47%	GAIL (India) Limited	INE129A01019		200.0
Johnson Controls-Hitachi Air Conditioning India Limited		Consumer Durables	43,500	706.11 2.49%	Edelweiss Financial Services Limited	INE532F01054	Finance	485,000	551.69 2.41%	Pennar Engineered Building Systems Limited	INE455001019	Ferrous Metals	404,42
Narayana Hrudayalaya Ltd.	INE410P01011	Healthcare Services	200,000	667.10 2.35%	Exide Industries Limited	INE302A01020	Auto Ancillaries	300,000	549.00 2.39%	Aurobindo Pharma Limited	INE406A01037	Pharmaceuticals	80,00
Mangalore Refinery and Petrochemicals Limited	INE103A01014	Petroleum Products	750,000	648.00 2.28%	Johnson Controls-Hitachi Air Conditioning India Limited	INE782A01015	Consumer Durables	33,500	543.79 2.37%	Equitas Holdings Limited	INE988K01017	Finance	363,42
Pennar Engineered Building Systems Limited	INE455001019	Ferrous Metals	375,030	645.05 2.27%	Hinduja Global Solutions Limited	INE170I01016	Software	100,000	523.35 2.28%	Minda Corporation Limited	INE842C01021	Auto Ancillaries	600,0
Exide Industries Limited	INE302A01020	Auto Ancillaries	350,000	640.50 2.26%	Mangalore Refinery and Petrochemicals Limited	INE103A01014	Petroleum Products	600,000	518.40 2.26%	The Federal Bank Limited	INE171A01029	Banks	810,00
Dewan Housing Finance Corporation Limited	INE202B01012	Finance	217,300	616.70 2.17%	Jyothy Laboratories Limited	INE668F01031	Consumer Non Durables	150,000	517.05 2.25%	Shriram City Union Finance Limited	INE722A01011	Finance	26,00
Bharat Financial Inclusion Limited	INE180K01011	Finance	70,000	616.46 2.17%	Pennar Engineered Building Systems Limited	INE455001019	Ferrous Metals	300,000	516.00 2.25%	Torrent Pharmaceuticals Limited	INE685A01028	Pharmaceuticals	34,00
IDFC Limited	INE043D01016	Finance	900,000	604.80 2.13%	HSIL Limited	INE415A01038	Consumer Durables	150,000	510.00 2.22%	MRF Limited Blue Star Limited	INE883A01011 INE472A01039	Auto Ancillaries Consumer Durables	1,07
Jyothy Laboratories Limited	INE668F01031	Consumer Non Durables	175,000	603.23 2.13%	IDFC Limited	INE043D01016	Finance	750,000	504.00 2.20%	Birla Corporation Limited	INE340A01012	Cement	71,90
HPL Electric Power Limited	INE495S01016	Consumer Durables	297.010	599.96 2.12%	HPL Electric Power Limited	INE495S01016	Consumer Durables	247,520	499.99 2.18%	ICICI Bank Limited	INE090A01021	Banks	200,00
Natco Pharma Limited	INE987B01026	Pharmaceuticals	100,000	596.85 2.10%	Dewan Housing Finance Corporation Limited	INE202B01012	Finance	175,476	498.00 2.17%	Bank of Baroda	INE028A01039	Banks	300,00
Minda Corporation Limited	INE842C01021	Auto Ancillaries	600,000	595.50 2.10%	Bharat Financial Inclusion Limited	INE180K01011	Finance	56,000	493.16 2.15%	FAG Bearings India Limited	INE513A01014	Industrial Products	11,60
Cyient Limited	INE136B01020	Software	122,790	577.85 2.04%	Dish TV India Limited	INE836F01026	Media & Entertainment	500,000	465.50 2.03%	Glenmark Pharmaceuticals Limited	INE935A01035	Pharmaceuticals	51,00
Akzo Nobel India Limited	INE133A01011	Consumer Non Durables	33,500	568.73 2.01%	Sanghi Industries Limited	INE999B01013	Cement	677,000	464.08 2.02%	The Jammu & Kashmir Bank Limited	INE168A01041	Banks	600,00
Dish TV India Limited	INE836F01026	Media & Entertainment	600,000	558.60 1.97%	Akzo Nobel India Limited	INE133A01011	Consumer Non Durables	27,000	458.38 2.00%	Edelweiss Financial Services Limited	INE532F01054	Finance	384,00
Crompton Greaves Consumer Electricals Limited	INE299U01018	Consumer Durables	350,000	538.83 1.90%	Minda Corporation Limited	INE842C01021	Auto Ancillaries	460,000	456.55 1.99%	Chennai Petroleum Corporation Limited Thomas Cook (India) Limited	INE178A01016 INE332A01027	Petroleum Products	149,70 213,95
Hinduja Global Solutions Limited	INE170I01016	Software	100,000	523.35 1.85%	Finolex Cables Limited	INE235A01022	Industrial Products	100,000	442.00 1.93%	Shemaroo Entertainment Limited	INE363M01019	Services Media & Entertainment	125,06
HSIL Limited	INE415A01038	Consumer Durables	150,000	510.00 1.80%	Britannia Industries Limited	INE216A01022	Consumer Non Durables	13,000	437.32 1.91%	Inox Wind Limited	INE066P01011	Industrial Capital Goods	191,63
PNC Infratech Limited	INE195J01029	Construction	435,150	506.73 1.79%	Crompton Greaves Consumer Electricals Limited	INE299U01018	Consumer Durables	275,000	423.36 1.85%	J.Kumar Infraprojects Limited	INE576I01022	Construction	206,23
Britannia Industries Limited	INE216A01022	Consumer Non Durables	15,000	504.60 1.78%	PNC Infratech Limited	INE195J01029	Construction	362,640	422.29 1.84%	Advanced Enzyme Technologies Limited	INE837H01012	Consumer Non Durables	22,32
IRB Infrastructure Developers Limited	INE821I01014	Construction	200,000	490.30 1.73%	Torrent Pharmaceuticals Limited	INE195J01029 INE685A01028	Pharmaceuticals	25,000	405.89 1.77%	Gulf Oil Lubricants India Limited	INE635Q01029	Petroleum Products	49,70
Firstsource Solutions Limited	INE684F01012	Software	1,200,000	486.60 1.72%	Firstsource Solutions Limited			.,		Satin Creditcare Network Limited	INE836B01017	Finance	63,42
Sanofi India Limited	INE058A01010	Pharmaceuticals	11,697	484.05 1.71%	Prabhat Dairy Limited	INE684F01012	Software Consumer Non Durables	940,000 325,195	381.17 1.66% 380.15 1.66%	HeidelbergCement India Limited	INE578A01017	Cement	257,00
Union Bank of India	INE692A01016	Banks	350,000	480.73 1.70%	1 '	INE302M01033				Mahanagar Gas Limited Kalpataru Power Transmission Limited	INE002S01010 INE220B01022	Gas Power	50,78 122,24
Sanghi Industries Limited	INE999B01013	Cement	697,585	478.19 1.69%	Union Bank of India	INE692A01016	Banks	275,000	377.71 1.65%	Bata India Limited	INE176A01028	Consumer Durables	64,00
ICRA Limited	INE725G01011	Finance	12,000	470.75 1.66%	IRB Infrastructure Developers Limited	INE821I01014	Construction	150,000	367.73 1.60%	HSIL Limited	INE415A01038	Consumer Durables	90,00
Gujarat State Petronet Limited	INE246F01010	Gas	300,000	468.75 1.65%	Shoppers Stop Limited	INE498B01024	Retailing	90,000	330.44 1.44%	Indian Terrain Fashions Limited	INE611L01021	Textile Products	206,15
Prabhat Dairy Limited	INE302M01033	Consumer Non Durables	395,000	461.76 1.63%	Solar Industries India Limited	INE343H01029	Chemicals	50,000	325.10 1.42%	DCB BANK LIMITED	INE503A01015	Banks	241,20
Shoppers Stop Limited	INE498B01024	Retailing	110,000	403.87 1.42%	KEC International Limited	INE389H01022	Construction Project	250,000	314.88 1.37%	Sonata Software Limited	INE269A01021	Software	191,90
Tata Power Company Limited	INE245A01021	Power	500,000	377.00 1.33%	Astra Microwave Products Limited	INE386C01029	Telecom - Equipment &	281,877	313.45 1.37%	TeamLease Services Limited	INE985S01024	Commercial Services	27,07
Solar Industries India Limited	INE343H01029	Chemicals	57,500	373.87 1.32%			Accessories			Ujjivan Financial Services Limited	INE334L01012		46,6
Lupin Limited	INE326A01037	Pharmaceuticals	25,000	371.56 1.31%	Gujarat State Petronet Limited	INE246F01010		200,000	312.50 1.36%	Dewan Housing Finance Corporation Limited	INE202B01012		72,00
Astra Microwave Products Limited	INE386C01029	Telecom - Equipment &	318,300	353.95 1.25%	Tata Power Company Limited	INE245A01021	Power	400,000	301.60 1.31%	Muthoot Finance Limited	INE414G01012	Finance	52,00
		Accessories			HeidelbergCement India Limited	INE578A01017	Cement	200,000	263.40 1.15%	Bharat Financial Inclusion Limited Astra Microwave Products Limited	INE180K01011 INE386C01029	Finance Tologom Equipment &	19,50 152,50
Karur Vysya Bank Limited	INE036D01010	Banks	68,482	321.49 1.13%	Karur Vysya Bank Limited	INE036D01010	Banks	53,945	253.24 1.10%	Astra Wicrowave Froducts Limited	INE360C01029	Telecom - Equipment & Accessories	132,3
Torrent Pharmaceuticals Limited	INE685A01028	Pharmaceuticals	19,695	319.76 1.13%	J.Kumar Infraprojects Limited	INE576I01022	Construction	135,180	245.89 1.07%	KEC International Limited	INE389H01022		130,00
J.Kumar Infraprojects Limited	INE576I01022	Construction	170,000	309.23 1.09%	Sanofi India Limited	INE058A01010	Pharmaceuticals	5,000	206.91 0.90%	TD Power Systems Limited	INE419M01019		85,00
V-Mart Retail Limited	INE665J01013	Retailing	53,000	268.92 0.95%	ICRA Limited	INE725G01011	Finance	5,000	196.15 0.86%	Thyrocare Technologies Limited	INE594H01019	Healthcare Services	20,15
Jubilant Foodworks Limited	INE797F01012	Consumer Non Durables	17,000	163.88 0.58%	Jubilant Foodworks Limited	INE797F01012	Consumer Non Durables	14,000	134.96 0.59%	IFGL Refractories Limited	INE023B01012	Industrial Products	77,00
Sub Total				26,986.89 95.16%	Sub Total				20,970.65 91.42%	Magma Fincorp Limited	INE511C01022	Finance	36,50
(b) Unlisted				NIL NIL	(b) Unlisted				NIL NIL	Hindustan Petroleum Corporation Limited	INE094A01015	Petroleum Products	4,90
Sub Total				NIL NIL	Sub Total				NIL NIL	Sub Total			
Total				26,986.89 95.16%	Total				20,970.65 91.42%	(b) Unlisted			
CBLO / Reverse Repo					CBLO / Reverse Repo				20,570.00 51.42%	Sub Total Total			
Clearing Corporation of India Ltd				1,391.53 4.91%	Clearing Corporation of India Ltd				1,967.34 8.58%	Cash and Bank			
Sub Total				1,391.53 4.91%	· ·					Total			
Total				1,391.53 4.91%	Sub Total				1,967.34 8.58%	Margin (Future and Options)			
Net Receivables / (Payables)				(19.46) -0.07%	Total				1,967.34 8.58%	Total			
GRANDTOTAL				28,358.96 100.00%	Net Receivables / (Payables)				1.14 \$	CBLO / Reverse Repo			
				* **	GRAND TOTAL				22,939.13 100.00%	Clearing Corporation of India Ltd			

- The provision made for Non Performing Assets as of September 30, 2016 is Nil. Plan/Option wise Net Asset Values are as follows:
- As on September 30, 2016 Regular Plan - Dividend Option Rs. 15.99 Rs. 12.90 Rs. 18.80 Regular Plan - Growth Option Rs. 15.17 Direct Plan - Dividend Option Rs. 16.16 Rs. 13.01 Rs. 15.29
- No dividend was declared during the half year ended September 30, 2016.
- Details of derivatives exposure and transaction:

Shemaroo Entertainment Limited

- $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ not \ Position \ Nil \ not \ Position \ Nil \ Ni$
- (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.
- (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.
- were no non-hedging transactions through options which have been exercised/expired.
- (e) Hedging Positions through swaps as on September 30, 2016 is Nil.
- The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%.
- Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.
- $(d) Other than \ Hedging \ Positions \ through \ Options \ as \ on \ September \ 30,2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there$
- During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.16 times

  (5) During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.17 times
- Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%. (7)

- ^Industry classification as recommended by AMFI and wherever not available, internal classification has been used
- (1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.
- (2) Plan/Option wise Net Asset Values are as follows: As on September 30, 2016 As on March 31, 2016 Regular Plan - Dividend Option Rs. 14.69 Rs. 11.94 Regular Plan - Growth Option Rs. 14.85 Direct Plan - Dividend Option Rs. 12.04
- Direct Plan Growth Option (3) No dividend was declared during the half year ended September 30, 2016.
- transactions through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there
- were no non-hedging transactions through futures which have been squared off/expired.  $(c) \ Hedging \ Position \ through \ Put \ Options \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were$
- no hedging transactions through option which have exercised/expired. \\ (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there
- were no non-hedging transactions through options which have been exercised/expired.
- (e) Hedging Positions through swaps as on September 30, 2016 is Nil.
- The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%.
- Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30,2016 is 0%.
- (8) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

2.73% INE612J01015 97,950 800.64 Finance INE647A01010 Textile Products 43,000 764.41 2.61% INE129A01019 Gas 749.30 2.55% 200,000 INE455O01019 Ferrous Metals 404,426 695.61 2.37% INE406A01037 Pharmaceuticals 80,000 684.36 2.33% 634.71 INE988K01017 Finance 363,420 2.16% INE842C01021 Auto Ancillaries 595.50 2.03% INE171A01029 Banks 810.000 583.20 1.99% INE722A01011 Finance 26,000 552.38 1.88% INE685A01028 Pharmaceuticals 34,000 552.01 1.88% INE883A01011 Auto Ancillaries INE472A01039 Consumer Durables 99,000 542.57 1.85% INE340A01012 Cement 71,900 505.56 1.72% INE090A01021 Banks 200,000 504.30 1.72% INE028A01039 Banks INE513A01014 Industrial Products 474.60 1.62% INE935A01035 Pharmaceuticals 51,000 470.96 1.61% INE168A01041 Banks 465.90 600,000 1.59% INE532F01054 384,000 436.80 1.49% Finance INE178A01016 149,700 430.01 1.47% INF332A01027 Services 213.954 426.73 1.45% 1.32% INE363M01019 Media & Entertainment 125,060 388.12 INE066P01011 Industrial Capital Goods 191,635 383.75 1.31% INE576I01022 375.13 INE837H01012 Consumer Non Durables 22,326 374.54 1.28% INE635O01029 Petroleum Products 49,702 365.98 1.25% INE836B01017 Finance 63,422 360.84 1.23% INE578A01017 Cement 257,000 INE002S01010 Coc 50,785 335.26 1.14% INE220B01022 Power 122,244 318.45 1.09% INE176A01028 Consumer Durables 64,000 313.79 1.07% INE415A01038 Consumer Durables 1.04% 90,000 306.00 INE611L01021 Textile Products 206,150 304.59 1.04% INE503A01015 Banks 241.200 301.86 1.03% INE269A01021 Software 191,900 299.46 1.02% INE985S01024 Commercial Services 27,070 288.50 0.98% INE334L01012 Finance INE202B01012 Finance 72.000 204.34 0.70% INE414G01012 Finance 52,000 181.38 0.62% INE180K01011 Finance 19,500 171.73 0.59% INE386C01029 Telecom - Equipment & Accessories INE389H01022 Construction Project 163.74 0.56% 130,000 INE419M01019 Industrial Capital Goods 154.11 85,000 0.53% INE594H01019 Healthcare Services 123.59 0.42% INE023B01012 Industrial Products 77.000 97.56 0.33% INE511C01022 Finance 36,500 37.43 0.13% INE094A01015 Petroleum Products 4,900 20.77 0.07% 26,514.39 90.39% NIL NIL NIL NIL 26,514.39 90.39% 0.24 0.24 100.00 0.34% 100.00 0.34% 1,388.54 4.73% 1.388.54 4.73% 1.388.54 4.73% Net Receivables / (Payables)

^Industry classification as recommended by AMFI and wherever not available, internal classification has been used

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil. (2) Plan/Option wise Net Asset Values are as follows:

As on March 31, 2016 Plan As on September 30, 2016 Regular Plan - Dividend Option Rs. 13.90 Rs. 10.81 Regular Plan - Growth Option Rs. 13.90 Rs. 10.81 Direct Plan - Dividend Option Rs. 14.06 Rs. 10.91 Direct Plan - Growth Option Rs. 14.06 Rs. 10.91

- No dividend was declared during the half year ended September 30, 2016.
- (4) Details of derivatives exposure and transaction (a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging
  - transactions through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there
- were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were
- no hedging transactions through option which have exercised/expired.
- (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016 is Nil.
- During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.72 times The total value of illiquid Equity Securities is  $0.00\ \mathrm{crores}$  and its percentage to Net Assets is 0.00%.
- Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%. Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

### (A Close ended Equity Scheme) Portfolio as on September 30, 2016

Name of the Instrument	ISIN	Industry^	Quantity	Market/	% to Net
				Fair Value	Assets
				(Rs in Lacs.)	
Equity & Equity related					
(a) Listed / awaiting listing on Stock Exchanges					
Dalmia Bharat Limited	INE439L01019	Cement	49,145	919.50	5.70%
Atul Limited	INE100A01010	Chemicals	25,900	591.65	3.67%
UPL Limited	INE628A01036	Pesticides	84,500	569.40	3.53%
Gujarat Fluorochemicals Limited	INE538A01037	Chemicals	108,670	568.51	3.53%
K.P.R. Mill Limited	INE930H01015	Textile Products	38,000	462.82	2.87%
L&T Finance Holdings Limited	INE498L01015	Finance	500,000	458.25	2.84%
Arvind Limited	INE034A01011	Textile Products	133,000	448.34	2.78%
SRF Limited	INE647A01010	Textile Products	23,900	424.87	2.64%
The India Cements Limited	INE383A01012	Cement	294,000	423.36	2.63%
GAIL (India) Limited	INE129A01019	Gas	110,000	412.12	2.56%
Repco Home Finance Limited	INE612J01015	Finance	50,100	409.52	2.54%
Aurobindo Pharma Limited	INE406A01037	Pharmaceuticals	46,000	393.51	2.44%
Pennar Engineered Building Systems Limited	INE455001019	Ferrous Metals	220,912	379.97	2.36%
Shriram City Union Finance Limited	INE722A01011	Finance	16,000	339.93	2.11%
Minda Corporation Limited	INE842C01021	Auto Ancillaries	320,000	317.60	1.97%
Equitas Holdings Limited	INE988K01017	Finance	181,710	317.36	1.97%
The Federal Bank Limited	INE171A01029	Banks	440,000	316.80	1.97%
Birla Corporation Limited	INE340A01012	Cement	44,100	310.09	1.92%
Torrent Pharmaceuticals Limited	INE685A01028	Pharmaceuticals	18,200	295.49	1.83%
ICICI Bank Limited	INE090A01021	Banks	110,000	277.37	1.72%
Blue Star Limited	INE472A01039	Consumer Durables	49,200	269.64	1.67%
FAG Bearings India Limited	INE513A01014	Industrial Products	6,500	265.94	
The Jammu & Kashmir Bank Limited	INE168A01041	Banks	325,000	252.36	1.57%
Bank of Baroda	INE028A01039	Banks	150,000	251.10	1.56%
MRF Limited	INE883A01011	Auto Ancillaries	475	241.64	1.50%
Chennai Petroleum Corporation Limited	INE178A01016	Petroleum Products	82,909	238.16	1.48%
Glenmark Pharmaceuticals Limited	INE935A01035	Pharmaceuticals	25,486	235.35	1.46%
Thomas Cook (India) Limited	INE332A01027	Services	116,796	232.95	1.44%
Edelweiss Financial Services Limited	INE532F01054	Finance	187,000	212.71	1.32%
Inox Wind Limited	INE066P01011	Industrial Capital Goods	104,960	210.18	1.30%
Satin Creditcare Network Limited	INE836B01017	Finance	36,240	206.19	
HeidelbergCement India Limited	INE578A01017	Cement	145,000	190.97	
Advanced Enzyme Technologies Limited	INE837H01012	Consumer Non Durables	11,160	187.22	1.16%
Mahanagar Gas Limited	INE002S01010	Gas	27,895	184.15	1.14%
Shemaroo Entertainment Limited	INF363M01019	Madia & Entertainment	50 210	183.76	1 1/1%

INE363M01019

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Media & Entertainment

Name of the Instrument	ISIN	Industry^	Quantity		% to Net
				Fair Value	Assets
				(Rs in Lacs.)	
Gulf Oil Lubricants India Limited	INE635Q01029	Petroleum Products	24,500	180.41	1.12%
Kalpataru Power Transmission Limited	INE220B01022	Power	68,100	177.40	1.10%
HSIL Limited	INE415A01038	Consumer Durables	48,000	163.20	1.01%
Bata India Limited	INE176A01028	Consumer Durables	32,800	160.82	1.00%
Indian Terrain Fashions Limited	INE611L01021	Textile Products	106,800	157.80	0.98%
Sonata Software Limited	INE269A01021	Software	96,000	149.81	0.93%
DCB BANK LIMITED	INE503A01015	Banks	117,700	147.30	0.91%
TeamLease Services Limited	INE985S01024	Commercial Services	13,209	140.77	0.87%
Ujjivan Financial Services Limited	INE334L01012	Finance	28,400	127.05	0.79%
Bharat Financial Inclusion Limited	INE180K01011	Finance	10,400	91.59	0.57%
Thyrocare Technologies Limited	INE594H01019	Healthcare Services	13,988	85.76	0.53%
TD Power Systems Limited	INE419M01019	Industrial Capital Goods	47,000	85.21	0.53%
Astra Microwave Products Limited	INE386C01029	Telecom - Équipment & Accessories	73,500	81.73	0.51%
Dewan Housing Finance Corporation Limited	INE202B01012	Finance	27,000	76.63	0.48%
Muthoot Finance Limited	INE414G01012	Finance	21,800	76.04	0.47%
KEC International Limited	INE389H01022	Construction Project	53,800	67.76	0.42%
IFGL Refractories Limited	INE023B01012	Industrial Products	38,600	48.91	0.30%
Magma Fincorp Limited	INE511C01022	Finance	36,500	37.43	0.23%
Hindustan Petroleum Corporation Limited	INE094A01015	Petroleum Products	5,200	22.05	0.14%
Indraprastha Gas Limited	INE203G01019	Gas	250	1.95	0.01%
Sub Total				14,260.15	88.46%
(b) Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				14,260.15	88.46%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				1,280.57	7.94%
Sub Total				1,280.57	7.94%
Total				1,280.57	7.94%
Net Receivables / (Payables)				580.55	3.60%
GRAND TOTAL				16,121.27	100.00%

^Industry classification as recommended by AMFI and wherever not available, internal classification has been used

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil

(2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2016	As on March 31, 2016
Regular Plan - Dividend Option	Rs. 14.42	Rs. 11.23
Regular Plan - Growth Option	Rs. 14.42	Rs. 11.23
Direct Plan - Dividend Option	Rs. 14.58	Rs. 11.32
Direct Plan - Growth Option	Rs. 14.58	Rs. 11.32

- (3) No dividend was declared during the half year ended September 30, 2016.
- (4) Details of derivatives exposure and transaction
- $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \$ transactions through futures which have been squared off/expired.
- (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.
- (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.
- $(d) Other than \ Hedging \ Positions \ through \ Options \ as \ on \ September \ 30,2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there$
- were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016 is Nil.
- (5) During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.69 times
- (6) The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%. Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%.
- Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

Birla Sun Life Asset Management Company Limited (Investment Manager for Birla Sun Life Mutual Fund), One Indiabulls Centre, Tower 1, 17th Floor, Jupiter Mill Compound, 841, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400 013. CIN: U65991MH1994PLC080811

## ✓ Over 3.2 million investor scheme accounts



This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September 2016.

### Half Yearly Portfolio Statement as on September 30, 2016

	01 010110 2000001110110	o septement e o,	
(Pursuant to Regulation 59A	of Securities and Exchange F	Roard of India (Mutual Funds	Regulations, 1996)

Name of the Instrument	ISIN	Industry^	Quantity	Market/ Fair Value	% to Ne Asset
Equity & Equity related				(Rs in Lacs.)	
a) Listed / awaiting listing on Stock Exchanges					
PNC Infratech Limited	INE195J01029	Construction	214,275	249.52	4.11%
Muthoot Finance Limited	INE414G01012	Finance	61,000	212.77	3.519
Gulf Oil Lubricants India Limited	INE635Q01029	Petroleum Products	28,250	208.02	3.439
Equitas Holdings Limited	INE988K01017	Finance	90,990	158.91	2.629
MRF Limited	INE883A01011	Auto Ancillaries	310	157.70	2.609
Atul Limited	INE100A01010	Chemicals	6,800	155.34	2.569
HeidelbergCement India Limited	INE578A01017	Cement	117,484	154.73	2.559
The Federal Bank Limited	INE171A01029	Banks	200,000	144.00	2.379
SRF Limited	INE647A01010	Textile Products	8,073	143.51	2.379
Jjjivan Financial Services Limited	INE334L01012	Finance	31,570	141.23	2.339
The Jammu & Kashmir Bank Limited	INE168A01041	Banks	180,000	139.77	2.309
C.P.R. Mill Limited	INE930H01015	Textile Products	11,400	138.85	2.299
Repco Home Finance Limited	INE612J01015	Finance	16,854	137.76	2.279
Sanghi Industries Limited	INE999B01013	Cement	200,000	137.10	2.269
.Kumar Infraprojects Limited	INE576I01022	Construction	75,000	136.43	2.259
JPL Limited	INE628A01036	Pesticides	20,000	134.77	2.229
City Union Bank Limited	INE491A01021	Banks	100,000	132.10	2.189
ohnson Controls-Hitachi Air Conditioning India Limited		Consumer Durables	7,700	124.99	2.069
Tata Motors Ltd DVR Shares	IN9155A01020	Auto	35,500	120.88	1.999
Minda Corporation Limited	INE842C01021	Auto Ancillaries	120,000	119.10	1.969
Dewan Housing Finance Corporation Limited	INE202B01012	Finance	41,826	118.70	1.969
CRA Limited	INE725G01011	Finance	3,000	117.69	1.949
/-Mart Retail Limited	INE665J01013	Retailing	23,088	117.15	1.939
&T Finance Holdings Limited	INE498L01015	Finance	125,000	114.56	1.899
yothy Laboratories Limited	INE668F01031	Consumer Non Durables	33,000	113.75	1.889
Finolex Cables Limited	INE235A01022	Industrial Products	25,000	110.50	1.829
Multi Commodity Exchange of India Limited	INE745G01035	Finance	8,000	108.10	1.789
Sonata Software Limited	INE269A01021	Software	67,000	104.55	1.729
Cyient Limited	INE136B01020	Software	22,100	104.00	1.719
Arvind Limited	INE034A01011	Textile Products	30,000	101.13	1.679
RB Infrastructure Developers Limited	INE821I01014	Construction	40,000	98.06	1.629
Oberoi Realty Limited	INE093I01010	Construction	32,200	94.07	1.559
OCB BANK LIMITED	INE503A01015	Banks	75,000	93.86	1.559
Crompton Greaves Consumer Electricals Limited	INE299U01018	Consumer Durables	60,000	92.37	1.529
Berger Paints (I) Limited	INE463A01038	Consumer Non Durables	35,000	91.37	1.519
NIIT Technologies Limited	INE591G01017	Software	21,300	89.24	1.479
FGL Refractories Limited	INE023B01012	Industrial Products	70,000	88.69	1.469
Dish TV India Limited	INE836F01026	Media & Entertainment	89,500	83.32	1.379
Forrent Pharmaceuticals Limited	INE685A01028	Pharmaceuticals	5,000	81.18	1.349
Sanofi India Limited	INE058A01010	Pharmaceuticals	1,875	77.59	1.289
Voltas Limited	INE226A01021	Construction Project	20,000	75.82	1.259
nfo Edge (India) Limited	INE663F01024	Software	8,000	68.00	1.129
Natco Pharma Limited	INE987B01026	Pharmaceuticals	10,765	64.25	1.069
Zydus Wellness Limited	INE768C01010	Consumer Non Durables	7,144	63.49	1.059
NOX Leisure Limited	INE312H01016	Media & Entertainment	23,800	62.45	1.039
Crompton Greaves Limited	INE067A01029	Industrial Capital Goods	80,000	60.04	0.999
Relaxo Footwears Limited	INE131B01039	Consumer Durables	12,517	55.69	0.929
Birla Corporation Limited	INE340A01012	Cement	7,858	55.25	0.919
Jnichem Laboratories Limited	INE351A01035	Pharmaceuticals	20,268	54.63	0.909
Dishman Pharmaceuticals and Chemicals Limited	INE353G01020	Pharmaceuticals	20,000	51.87	0.869
Shemaroo Entertainment Limited	INE363M01019	Media & Entertainment	16,036	49.77	0.829
Persistent Systems Limited	INE262H01013	Software	6,500	41.61	0.699
Sub Total				5,750.23	
b) Unlisted				NIL	NI
Sub Total				NIL	NI
Total				5,750.23	94.799
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				325.89	5.379
Sub Total				325.89	5.379
Total				325.89	5.379

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil

(2) Plan/Option wise Net Asset Values are as follows

Plan	As on September 30, 2016	As on March 31, 2016
Regular Plan - Dividend Option	Rs. 12.59	Rs. 9.66
Regular Plan - Growth Option	Rs. 12.59	Rs. 9.66
Direct Plan - Dividend Option	Rs. 12.81	Rs. 9.78
Direct Plan - Growth Option	Rs. 12.81	Rs. 9.78

No dividend was declared during the half year ended September 30, 2016.

Details of derivatives exposure and transaction:

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30,2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there \ were \ no \ hedging \ Nil \ and \ And \ Nil \ And \ And \ Nil \ And \ And \ An$ 

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

 $(d)\ Other\ than\ Hedging\ Positions\ through\ Options\ as\ on\ September\ 30,2016\ is\ Nil\ and\ For\ the\ half\ year\ ended\ September\ 30,2016\ there$ were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil.

During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.32 times

Call 1-800-270-7000

The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%. Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

						1	
Name of the Instrument	ISIN	Industry^	Quantity	Market/ Fair Value	% to Net Assets	Nar	me of the Inst
				(Rs in Lacs.)	1133043		
Government Securities						Tota	
3.15% GOI (MD 24/11/2026)	IN0020140060	SOV	2,000,000	2,150.05	6.01%	Mar Tota	gin (Future a
9.20% GOI (MD 30/09/2030) 8.12% GOI (MD 10/12/2020)	IN0020130053	SOV SOV	1,500,000	1,762.58 1,568.93	4.92% 4.38%		aı LO / Reverse
3.12% GOI (MD 10/12/2020) 3.17% GOI (MD 01/12/2044)	IN0020120054 IN0020140078	SOV	1,500,000 500,000	558.25	1.56%		aring Corpora
3.60% GOI (MD 02/06/2028)	IN0020140011	SOV	500,000	557.12	1.56%		Total
7.59% GOI (MD 20/03/2029)	IN0020150069	SOV	500,000	523.46	1.46%	Tota	
Total				7,120.39	19.89%	Net	Receivables
Equity & Equity related						GRA	AND TOTAL
(a) Listed / awaiting listing on Stock Exchanges	D.TE002 + 01010	D. 1 D. 1 .	227 500	2.165.12	6.006	\$ Le	ess Than 0.01
Reliance Industries Limited Yes Bank Limited	INE002A01018	Petroleum Products Banks	227,500 114,862	2,465.42 1,441.17	6.89% 4.03%		
Axis Bank Limited	INE528G01019 INE238A01034	Banks	224,961	1,217.83	3.40%	\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	ustry classifi
LIC Housing Finance Limited	INE115A01026	Finance	206,500	1,195.22	3.34%	Note	s:
Oil & Natural Gas Corporation Limited	INE213A01029	Oil	400,000		2.87%	(1)	The provisi
Repco Home Finance Limited	INE612J01015	Finance	123,820		2.83%	(2)	Plan/Option
Eicher Motors Limited	INE066A01013	Auto	3,841	953.64	2.66%	(2)	
Γata Motors Limited	INE155A01022	Auto	160,500	858.43	2.40%		Plan
HDFC Bank Limited	INE040A01026	Banks	60,000	763.71	2.13%		Regular Pla
Natco Pharma Limited	INE987B01026	Pharmaceuticals	123,738	738.53	2.06%	1	Regular Pla
TC Limited	INE154A01025	Consumer Non Durables	300,000	724.05	2.02%		-
Dalmia Bharat Limited	INE439L01019	Cement Banks	38,412	718.69	2.01%	1	Direct Plan
State Bank of India	INE062A01020	Consumer Non Durables	260,672 242,782	654.94 633.78	1.83%		Direct Plan
Berger Paints (I) Limited Equitas Holdings Limited	INE463A01038 INE988K01017	Finance	242,782 346,000	604.29	1.77% 1.69%		
Squitas Holdings Limited Housing Development Finance Corporation Limited	INE988K01017 INE001A01036	Finance Finance	39,500	550.41	1.54%	(2)	Distance 1
Aditya Birla Nuvo Limited	INE069A01017	Services	39,000	531.71	1.49%	(3)	Dividend i
Bajaj Finance Limited	INE296A01024	Finance	50,000	527.95	1.47%		Regular Pl
Maruti Suzuki India Limited	INE585B01010	Auto	7,885	431.89	1.21%	1	Direct Plan
Capital First Limited	INE688I01017	Finance	54,740	379.51	1.06%	(0)	
Sun Pharmaceuticals Industries Limited	INE044A01036	Pharmaceuticals	51,000	378.78	1.06%	(4)	Details of
VABCO India Limited	INE342J01019	Auto Ancillaries	5,550	345.35	0.96%		(a) Hedgir
Emami Limited	INE548C01032	Consumer Non Durables	28,700	335.76	0.94%	ı	
/-Guard Industries Limited	INE951I01027	Industrial Capital Goods	181,420	333.27	0.93%	ı	
VS Motor Company Limited	INE494B01023	Auto	90,000	329.27	0.92%	1	
Godrej Consumer Products Limited	INE102D01028	Consumer Non Durables	20,000	317.33	0.89%	1	HDFC
/oltas Limited	INE226A01021	Construction Project	79,635	301.90	0.84%	1	Housin
Orient Cement Limited	INE876N01018	Cement Finance	137,403	295.42	0.83%	1	Corpora
Bajaj Finserv Limited Lupin Limited	INE918I01018 INE326A01037	Pharmaceuticals	9,300 18,825	285.47 279.79	0.80% 0.78%	1	Hindust
AIA Engineering Limited	INE320A01037 INE212H01026	Industrial Products	20,302	261.78	0.73%	1	Limited
ndusInd Bank Limited	INE095A01012	Banks	21,429	256.28	0.72%	1	Aditya
Hindustan Petroleum Corporation Limited	INE094A01015	Petroleum Products	57,000	241.65	0.67%	1	ITC Li
Bosch Limited	INE323A01026	Auto Ancillaries	1,000	227.98	0.64%	1	LIC Ho
Gulf Oil Lubricants India Limited	INE635Q01029	Petroleum Products	26,626	196.06	0.55%	1	
Bayer Cropscience Limited	INE462A01022	Pesticides	4,145	185.36	0.52%	1	Lupin I
K.P.R. Mill Limited	INE930H01015	Textile Products	15,000	182.69	0.51%	1	Oil & I
/A Tech Wabag Limited	INE956G01038	Engineering Services	30,346	166.74	0.47%	1	Relianc
Corrent Pharmaceuticals Limited	INE685A01028	Pharmaceuticals	10,000	162.36	0.45%	1	Sun Ph
Page Industries Limited	INE761H01022	Textile Products	1,073	162.21	0.45%		Tata Mo
GE T&D India Limited	INE200A01026	Industrial Capital Goods	40,415	135.37	0.38%		Axis Ba
Cyient Limited	INE136B01020	Software	28,022	131.87	0.37%		Total %
Varayana Hrudayalaya Ltd.	INE410P01011	Healthcare Services	39,000	130.08	0.36%		Total %ag
Kaveri Seed Company Limited	INE455I01029	Consumer Non Durables	35,000	125.35	0.35%		For the ha
liemens Limited	INE003A01024	Industrial Capital Goods	10,034	124.45	0.35%		
Gujarat Fluorochemicals Limited Havells India Limited	INE538A01037 INE176B01034	Chemicals Consumer Durables	23,008 28,000	120.37 117.10	0.34% 0.33%		Total
PI Industries Limited	INE176B01034 INE603J01030	Pesticides	14,000	117.10	0.33%		contracts
Atul Auto Limited	INE951D01028	Auto	24,000	114.79	0.33%		wei
Cummins India Limited	INE298A01020	Industrial Products	12,034	108.97	0.30%	i .	12,
Kalpataru Power Transmission Limited	INE220B01022	Power	38,000	98.99	0.28%		
MRF Limited	INE883A01011	Auto Ancillaries	190	96.66	0.27%		(b) Other
Glenmark Pharmaceuticals Limited	INE935A01035	Pharmaceuticals	10,000	92.35	0.26%		there were
Pidilite Industries Limited	INE318A01026	Chemicals	11,648	79.39	0.22%		
/-Mart Retail Limited	INE665J01013	Retailing	13,293	67.45	0.19%		(c) Hedgi
CICI Bank Limited	INE090A01021	Banks	22,115	55.76	0.16%		were no h
Vhirlpool of India Limited	INE716A01013	Consumer Durables	5,000		0.14%		
ICL Technologies Limited	INE860A01027	Software	6,328		0.14%		(d) Other
The Federal Bank Limited	INE171A01029	Banks	68,512		0.14%		there were
K Cement Limited	INE823G01014	Cement	5,098		0.12%		
DFC Bank Limited	INE092T01019	Banks	52,000		0.12%		(e) Hedgii
DFC Limited	INE043D01016	Finance Taxtile Products	52,000		0.10%		-
Raymond Limited	INE301A01014	Textile Products	5,325	28.46	0.08%	(5)	The Avera
Bharat Forge Limited JPL Limited	INE465A01025 INE628A01036	Industrial Products Pesticides	2,789	25.40 25.33	0.07% 0.07%	1	
Oracle Financial Services Software Limited	INE628A01036 INE881D01027	Pesticides Software	3,759 777	25.33	0.07%	(6)	During the
The South Indian Bank Limited	INE683A01023	Banks	94,203	20.58	0.07%		-
ne South Indian Bank Limited Sub Total	INE003A01023	DallAS	74,203	24,787.41		(7)	The total
b) Unlisted				24,767.41 NIL	09.24% NIL		
Sub Total				NIL NIL	NIL	(8)	Total marl
Total				24,787.41		(9)	

Name of the Instrument	ISIN	Industry^	Quantity Market/	% to Ne
			Fair Value	Asset
			(Rs in Lacs.)	
Total			0.69	
Margin (Future and Options)			1,540.00	4.30%
Total			1,540.00	4.30%
CBLO / Reverse Repo				
Clearing Corporation of India Ltd			2,533.16	7.08%
Sub Total			2,533.16	7.08%
Total			2,533.16	7.08%
Net Receivables / (Payables)			(180.62)	-0.50%
GRAND TOTAL			35,801.03	100.00%

\$ Less Than 0.01% of Net Asset Value

BIRLA SUN LIFE EQUITY SAVINGS FUND

^Industry classification as recommended by AMFI and wherever not available, internal classification has been used

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

(2) Plan/Option wise Net Asset Values are as follows:

Regular Plan - Dividend Option Rs. 11.59 Rs. 10.22 Regular Plan - Growth Option Rs. 11.82 Rs. 10.43 Direct Plan - Dividend Option Rs. 11.83 Rs. 10.37 Direct Plan - Growth Option Rs. 10.58

As on September 30, 2016

As on March 31, 2016

(3) Dividend in the following plans was declared during the half year ended September 30,2016 Per Unit (Rs.) Regular Plan - Dividend Option Direct Plan - Dividend Option 0.2300

(4) Details of derivatives exposure and transaction: (a) Hedging Position through Futures as on September 30, 2016

Underlying Margin maintained in Rs. Lakhs Current price of the contract Rs. Futures Price when purchased Rs. HDFC Bank Limited 1,306.50 1.283.40 64.20 Housing Development Finance Corporation Limited Hindustan Petroleum Corporation 419.95 426.55 30.17 Limited Aditya Birla Nuvo Limited ITC Limited 1,397.33 249.68 242.60 91.11 LIC Housing Finance Limited Lupin Limited Short
Oil & Natural Gas Corporation Limited Short 1,532,13 Reliance Industries Limited Short 1,100.49 1.088.40 308.74 Sun Pharmaceuticals Industries Limited Short

Axis Bank Limited Total %age of existing assets hedged through futures is 25.77%

Tata Motors Limited

For the half year ended September 30,2016 following hedging transactions through futures which have been squared off/expired.

544.10

536.55

107.58

Total Number of contracts where futures were bought	Total Number of contracts where futures were sold		Gross Notional Value of contracts where futures were sold Rs.	Net Profit/Loss value on all contracts combined Rs.
12,856.00	11,595.00	6,914,165,937.44	6,378,827,231.80	-153,913,306.23
(b) Other than Hedging Po	sition through Futures as or	September 30, 2016 is Ni	l and For the half year ende	d September 30, 2016

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil

The Average Maturity Period of the Portfolio is 2.28 years.

(6) During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 2.15 times

(8) Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%.

(7) The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

there were no non-hedging transactions through futures which have been squared off/expired.

BIRLA SU	N LIFE	<b>EQUITY</b>	FUND
(An Open	Ended (	Growth Sc	heme)

Name of the Instrument	ISIN	Industry^	Quantity	Market/	% to Net	Nan	ne of the Instru
		•		Fair Value	Assets		
				(Rs in Lacs.)			
Equity & Equity related						Mm	s Infrastructure
(a) Listed / awaiting listing on Stock Exchanges						MA	ESTROS MEDI
HDFC Bank Limited	INE040A01026	Banks	1,500,000	19,092.75	6.40%	Sub	Total
Kotak Mahindra Bank Limited	INE237A01028	Banks	1,522,564	11,830.32	3.96%	(b) l	Unlisted
Maruti Suzuki India Limited	INE585B01010	Auto	208,800	11,436.60	3.83%	Mag	gnasound (I) Ltd
Tata Chemicals Limited	INE092A01019	Chemicals	2,052,512	10,767.48	3.61%	Sub	Total
Cairn India Limited	INE910H01017	Oil	5,231,013	10,464.64	3.51%	Tota	al
IndusInd Bank Limited	INE095A01012	Banks	875,000	10,464.56	3.51%	Cas	h and Bank
HCL Technologies Limited	INE860A01027	Software	1,180,520	9,442.98	3.16%	Tota	al
Tata Communications Limited	INE151A01013	Telecom - Services	1,528,000	8,643.13	2.90%	Mar	gin (Future and
Dr. Reddy's Laboratories Limited	INE089A01023	Pharmaceuticals	275,000	8,545.35	2.86%	Tota	al
Century Textiles & Industries Limited	INE055A01016	Cement	727,000	6,855.97	2.30%	CBl	LO / Reverse Ro
Sun Pharmaceuticals Industries Limited	INE044A01036	Pharmaceuticals	900,000	6,684.30	2.24%	Clea	aring Corporatio
Vedanta Limited	INE205A01025	Non - Ferrous Metals	3,849,000	6,622.20	2.22%	Sub	Total
Dalmia Bharat Limited	INE439L01019	Cement	352,790	6,600.70	2.21%	Tota	al
Bank of Baroda	INE028A01039	Banks	3,597,223	6,021.75	2.02%	Net	Receivables / (
Tata Steel Limited	INE081A01012	Ferrous Metals	1,500,000	5,613.75	1.88%	GR	ANDTOTAL
Yes Bank Limited	INE528G01019	Banks	430,500	5,401.48	1.81%		EL: 1 /E 1 1/
Tata Motors Limited	INE155A01022	Auto	1,000,000	5,348.50	1.79%		Thinly Traded /
Infosys Limited	INE009A01021	Software	500,000	5,180.25	1.74%		listed Security
Cholamandalam Investment and Finance	INE121A01016	Finance	439,327	5,084.55	1.70%		ess Than 0.01%
Company Limited						^Ind	ustry classificat
Bajaj Finance Limited	INE296A01024	Finance	479,800	5,066.21	1.70%	Note	e•
Dishman Pharmaceuticals and Chemicals Limited	INE353G01020	Pharmaceuticals	1,850,000	4,797.98	1.61%	(1)	
LIC Housing Finance Limited	INE115A01026	Finance	816,283	4,724.65	1.58%		The provision
ITC Limited	INE154A01025	Consumer Non Durables	1,937,000	4,674.95	1.57%	(2)	Plan/Option w
Kansai Nerolac Paints Limited	INE531A01024	Consumer Non Durables	1,293,000	4,656.74	1.56%		Plan
Blue Star Limited	INE472A01039	Consumer Durables	774,126	4,242.60	1.42%		Regular Plan -
Zee Entertainment Enterprises Limited	INE256A01028	Media & Entertainment	758,246	4,145.71	1.39%		Regular Plan -
Bharat Financial Inclusion Limited	INE180K01011	Finance	455,000	4,006.96	1.34%		Direct Plan - I
Shriram City Union Finance Limited	INE722A01011	Finance	184,050	3,910.23	1.31%		Direct Plan - C
Aurobindo Pharma Limited	INE406A01037	Pharmaceuticals	455,000	3,892.30	1.30%	(3)	No dividend v
IDFC Limited	INE043D01016	Finance	5,666,000	3,807.55	1.28%		
GAIL (India) Limited	INE129A01019	Gas	1,000,000	3,746.50	1.26%	(4)	Details of der
Dish TV India Limited	INE836F01026	Media & Entertainment	4,000,000	3,724.00	1.25%		(a) Hedging Po
Indian Oil Corporation Limited	INE242A01010	Petroleum Products	602,800	3,511.31	1.18%		
United Spirits Limited	INE854D01016	Consumer Non Durables	142,000	3,502.36	1.17%		
Equitas Holdings Limited	INE988K01017	Finance	1,946,181	3,399.01	1.14%		Nifty Index
Exide Industries Limited	INE302A01020	Auto Ancillaries	1,781,250	3,259.69	1.09%		Total %age of
Wipro Limited	INE075A01022	Software	600,000	2,873.70	0.96%		
United Breweries Limited	INE686F01025	Consumer Non Durables	300,000	2,712.00	0.91%		For the half ye
Cummins India Limited	INE298A01020	Industrial Products	297,900	2,697.48	0.90%		Total Nun
Torrent Pharmaceuticals Limited	INE685A01028	Pharmaceuticals	160,536	2,606.38	0.87%		contracts who
Hindustan Unilever Limited	INE030A01027	Consumer Non Durables	300,000	2,603.55	0.87%		were bo
Piramal Enterprises Limited	INE140A01024	Pharmaceuticals	141,544	2,602.29	0.87%		2.226
Bharti Infratel Limited	INE121J01017	Telecom - Equipment &		2,403.02	0.81%		2,336.
		Accessories	,	2,2			(b) Odd
Ambuja Cements Limited	INE079A01024	Cement	954,174	2,402.13	0.80%		(b) Other than
Shriram Transport Finance Company Limited	INE721A01013	Finance	200,000	2,325.30	0.78%		For the half ye
Radico Khaitan Limited			,				Total Nun
	INE944F01028	Consumer Non Durables	1,913,349	2,323.30	0.77%		Total N

Name of the Instrument	ISIN	Industry^	Quantity	Market/	% to Net
				Fair Value	Assets
			(	Rs in Lacs.)	
Mms Infrastructure Limited **	INE317N01013	Miscellaneous	80,970	5.72	\$
MAESTROS MEDILINE SYSTEMS LIMITED **	INE408E01027	Miscellaneous	50,000	0.00	\$
Sub Total				282,356.31	94.60%
(b) Unlisted					
Magnasound (I) Ltd ** # ~		Miscellaneous	125,000	0.00	\$
Sub Total				0.00	\$
Total				282,356.31	94.60%
Cash and Bank				3.51	\$
Total				3.51	\$
Margin (Future and Options)				4,100.00	1.37%
Total				4,100.00	1.37%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				21,490.81	7.20%
Sub Total				21,490.81	7.20%
Total				21,490.81	7.20%
Net Receivables / (Payables)				(9,476.04)	-3.17%
GRANDTOTAL				298,474.59	100.00%

\$ Less Than 0.01% of Net Asset Value

2,260.09

2 202 54

2,170.13

1.997.26

1,806.33

1.744.98

1,673.71

1,472,15

1,410.67

1,287.81

1,241.48

1,225.75

1,214.07

1,120.28

916.50

508.97 0.17%

156.91 0.05%

0.74%

0.73%

0.67%

0.61%

0.58%

0.56%

0.49%

0.47%

0.42%

0.41%

0.38%

0.31%

36 500

95,000

363,501

2,326,240

371,708

114,169

638,600

77,600

81,687

90,000

1,000,000

18,000

714.864

3,290

^Industry classification as recommended by AMFI and wherever not available, internal classification has been used

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

(2) Plan/Option wise Net Asset Values are as follows: As on September 30, 2016

As on March 31, 2016 Regular Plan - Dividend Option Rs. 81.41 Regular Plan - Growth Option Rs. 583.01 Rs. 463.93 Direct Plan - Dividend Option Rs. 116.22 Rs. 92.07 Direct Plan - Growth Option Rs. 601.19 Rs. 476.28 (3) No dividend was declared during the half year ended September 30, 2016.

Details of derivatives exposure and transaction: (a) Hedging Position through Futures as on September 30, 2016

Underlying	Long/Short	Futures Price when purchased"Rs.	Current price of the contract"Rs.	Margin maintained in Rs. Lakhs
Nifty Index	Short	8,835.52	8,640.65	602.68

Total %age of existing assets hedged through futures is 2.54% For the half year ended September 30,2016 following hedging transactions through futures which have been squared off/expired.

contracts where futures were bought	contracts where futures were sold		contracts where futures were sold Rs.	all contracts combined Rs.
2,336.00	3,504.00	1,527,567,126.84	2,295,162,449.36	-6,086,234.96

(b) Other than Hedging Position through Futures as on September 30,2016 is Nil

For the half year ended September 30,2016 following non-hedging transactions through futures which have been squared off/expired. Gross Notional Value of Gross Notional Value of Net Profit/Loss value on Total Number of ontracts where futures contracts where futures | contracts where futures were sold were bought Rs. were sold Rs.

	516.00	516.00	445,565,384.29	427,380,400.00	-18,184,984.29	
(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there we						

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil For the half year ended September 30,2016 following non-hedging transactions through options which have been exercised/expired.

Total Number of contracts entered into	Gross Notional Value of contracts Rs.	Net Profit/Loss value on all contracts combined"Rs.
5,166.00	30,485,046.78	-30,485,046.78
(e) Hedging Positions through swans as	on Sentember 30, 2016 is Nil	

(5) During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.51 times

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The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%. (7) Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%.

(8) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

Finance

INE264A01014 Consumer Non Durables

INE956G01038 Engineering Services

INE883A01011 Auto Ancillaries

INE212H01026 Industrial Products

INE401H01017 Textile Products

INE176A01028 Consumer Durables

INE172A01027 Petroleum Products

INE263A01016 Industrial Capital Goods

INE267A01025 Non - Ferrous Metals

INE656K01010 Media & Entertainment

INE326A01037 Pharmaceuticals

INE012A01025 Cement

INE047A01013 Cement

INE498L01015 Finance

INE917I01010 Auto

Banks

Transportation

INE100A01010 Chemicals

INE036D01010 Banks

INE168A01041

INE008I01026

IIFL Holdings Limited

VA Tech Wabag Limited

Karur Vysya Bank Limited

Lewal Kiran Clothing Limited

AIA Engineering Limited

Cox & Kings Limited

Bata India Limited

Baiai Auto Limited

astrol India Limited

Bharat Electronics Limited

L&T Finance Holdings Limited

DQ Entertainment (International) Limited

The Jammu & Kashmir Bank Limited

Atul Limited

MRF Limited

ACC Limited

Lupin Limited

GlaxoSmithKline Consumer Healthcare Limited

## ✓ Over 3.2 million investor scheme accounts





Market/ % to Net

Fair Value (Rs in Lacs.)

1,148.37

976.40 7.51%

715.06

617.77

587.21 4.51%

563.26

554.14 4.26%

535.35 4.12%

469.37 3.61% 3.52%

458.32

435.95 3.35%

429.87 3.30%

403.92

374.00

353.68 2.72%

336.73 2.59%

326.77 2.51%

325.57

313.90

248.83 1.91%

237.71

225.00

221.29

219.23

185.04 1.42%

170.98 1.31%

144.64 1.11%

134.73

86.20 0.66%

55.67 0.43%

NIL

12,643,74 97,19%

394.87 3.049 394 87 3 04%

394.87 3.04% (29.92) -0.23%

13,008.69 100.00%

As on March 31, 2016

Rs. 9.90

12,643.74 97.199

5.50%

4.75%

4.33%

3.11%

2.88%

2.50%

1.83%

1.73%

1.70%

1.69%

1.28%

1.04%

1.02%

NIL

Quantity

76.710

133,694

49,100

75,840

277,000

68,900

32,751

57,297

180,630

81,943

34,800

26,840

38,300

38,800

10,516

13,200

8,150

8.800

142,000

87,900

150,000

20,435

36,000

16.000

36,000

5.800

4,472

BIRLA SUN LIFE FOCUSED EQUITY FUND - SERIES 3

(A Close ended Equity Scheme investing in Eligible Securities as per Rajiv Gandhi Equity Savings Scheme, 2013)

Portfolio as on September 30, 2016

INE585B01010

INE155A01022

INE090A01021

INE044A01036

INE860A01027

INE154A01025

INE021A01026

INF001A01036

INE030A01027

INE089A01023

INE917I01010

INE028A01039

INE009A01021

INE271C01023

INE172A01027

INE205A01025

INE002A01018

INE079A01024 Cement

INE040A01026 Banks

INE095A01012 Banks

INE910H01017 Oil

INE237A01028 Banks

INE092A01019 Chemicals

INE935A01035 Pharmaceuticals

INE018A01030 Construction Project

Software

Consumer Non Durables

Consumer Non Durables

Pharmaceuticals

INE854D01016 Consumer Non Durables

Banks

INE298A01020 Industrial Products

INE081A01012 Ferrous Metals

INE326A01037 Pharmaceuticals

INF686F01025 Consumer Non Durables

INE263A01016 Industrial Capital Goods

Construction

Petroleum Products

Non - Ferrous Metals

Petroleum Products

Cement

Pharmaceutical

### Half Yearly Portfolio Statement as on September 30, 2016

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996)

BIRLA SUN LIFE FOCUSED EQUITY FUND - SERIES 1
(A Close ended Equity Scheme investing in Eligible Securities as per Rajiv Gandhi Equity Savings Scheme, 2012)
Portfolio as on Contember 20, 2016

Name of the Instrument	ISIN	Industry^	Quantity	Market/	% to Net
				Fair Value	Assets
			(	Rs in Lacs.)	
Equity & Equity related					
(a) Listed / awaiting listing on Stock Exchanges					
Maruti Suzuki India Limited	INE585B01010	Auto	12,445	681.65	8.85%
HDFC Bank Limited	INE040A01026	Banks	45,292	576.50	7.49%
Tata Motors Limited	INE155A01022	Auto	80,084	428.33	5.56%
ICICI Bank Limited	INE090A01021	Banks	146,000	368.14	4.78%
IndusInd Bank Limited	INE095A01012	Banks	30,000	358.79	4.66%
Larsen & Toubro Limited	INE018A01030	Construction Project	24,208	346.94	4.50%
Cairn India Limited	INE910H01017	Oil	166,500	333.08	4.33%
LIC Housing Finance Limited	INE115A01026	Finance	50,000	289.40	3.76%
Sun Pharmaceuticals Industries Limited	INE044A01036	Pharmaceuticals	37,590	279.18	3.63%
HCL Technologies Limited	INE860A01027	Software	34,030	272.21	3.53%
Tata Chemicals Limited	INE092A01019	Chemicals	49,917	261.86	3.40%
ITC Limited	INE154A01025	Consumer Non Durables	107,025	258.30	3.35%
Hindustan Unilever Limited	INE030A01027	Consumer Non Durables	28,000	243.00	3.16%
Asian Paints Limited	INE021A01026	Consumer Non Durables	20,700	240.26	3.12%
Dr. Reddy's Laboratories Limited	INE089A01023	Pharmaceuticals	7,260	225.60	2.93%
Housing Development Finance Corporation Limited	INE001A01036	Finance	16,000	222.95	2.90%
Glenmark Pharmaceuticals Limited	INE935A01035	Pharmaceuticals	22,700	209.62	2.72%
Kotak Mahindra Bank Limited	INE237A01035	Banks	26,850	208.62	2.71%
United Spirits Limited	INE854D01016	Consumer Non Durables	7,800	192.38	2.71%
UltraTech Cement Limited	INE481G01011	Cement	4,880	187.96	2.44%
Bajaj Auto Limited	INE917I01010	Auto	5,100	144.21	1.87%
Bank of Baroda	INE028A01039	Banks	85,000	144.21	1.85%
		Software			
Infosys Limited Ambuja Cements Limited	INE009A01021	Cement	12,850	133.13 130.91	1.73% 1.70%
	INE079A01024		52,000		
DLF Limited	INE271C01023	Construction	88,000	128.61	1.67%
Cummins India Limited	INE298A01020	Industrial Products	12,150	110.02	1.43%
Castrol India Limited	INE172A01027	Petroleum Products	21,250	100.93	1.31%
Vedanta Limited	INE205A01025	Non - Ferrous Metals	57,400	98.76	1.28%
United Breweries Limited	INE686F01025	Consumer Non Durables	9,500	85.88	1.12%
Tata Steel Limited	INE081A01012	Ferrous Metals	22,000	82.34	1.07%
Reliance Industries Limited	INE002A01018	Petroleum Products	7,330	79.44	1.03%
Lupin Limited	INE326A01037	Pharmaceuticals	3,500	52.02	0.68%
Bharat Electronics Limited	INE263A01016	Industrial Capital Goods	2,450	30.50	0.40%
Sub Total				7,503.81	
(b) Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				7,503.81	97.44%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				207.93	2.70%
Sub Total				207.93	2.70%
Total				207.93	2.70%
Net Receivables / (Payables)				(10.53)	-0.14%
GRANDTOTAL				7,701.21	100.00%

Andustry classification as recommended by AMFI and wherever not available, internal classification has been used

The provision made for Non Performing Assets as of September 30, 2016 is Nil.

Direct Plan - Growth Option

Plan/Option wise Net Asset Values are as follows: As on September 30, 2016 As on March 31, 2016 Regular Plan - Dividend Option Rs. 15.06 Rs. 13.04 Regular Plan - Growth Option Rs. 15.77 Rs. 13.65 Direct Plan - Dividend Option

No dividend was declared during the half year ended September 30, 2016.

Details of derivatives exposure and transaction:

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ half \ year \ no \ hedging \ no \$ transactions through futures which have been squared off/expired.

Rs. 15.93

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.19 times

The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%.

Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%. Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

BIRLA SUN LIFE FOCUSED EQUITY FUND - SERIES 4 (A Close ended Equity Scheme investing in Eligible Securities as per Rajiv Gandhi Equity Savings Scheme, 2013)

### Portfolio as on September 30, 2016 Name of the Instrument Market/ % to Net

Traine of the first dimens	2021	mada j	Vannin',	Fair Value	Assets
				(Rs in Lacs.)	Assett
Equity & Equity related				(IX3 III LaCS.)	
(a) Listed / awaiting listing on Stock Exchanges					
Maruti Suzuki India Limited	INE585B01010	Auto	13,542	741.74	8.83%
HDFC Bank Limited	INE040A01026	Banks	49,257	626.97	7.46%
Tata Motors Limited	INE155A01022	Auto	86,440	462.32	5.50%
ICICI Bank Limited	INE090A01021	Banks	160,000	403.44	4.80%
IndusInd Bank Limited	INE095A01012	Banks	32,224	385.38	4.59%
Sun Pharmaceuticals Industries Limited	INE044A01036	Pharmaceuticals	48,776	362.26	4.31%
Cairn India Limited	INE910H01017	Oil	181,000	362.20	4.31%
Kotak Mahindra Bank Limited	INE237A01028	Banks	45,650	354.70	4.22%
LIC Housing Finance Limited	INE115A01026	Finance	54,694	316.57	3.77%
Larsen & Toubro Limited	INE018A01030	Construction Project	21,120	302.68	3.60%
HCL Technologies Limited	INE860A01027	Software	36,972	295.74	3.52%
Tata Chemicals Limited	INE092A01019	Chemicals	54,148	284.06	3.38%
ITC Limited	INE154A01025	Consumer Non Durables	115,698	279.24	3.32%
Hindustan Unilever Limited	INE030A01027	Consumer Non Durables	30,300	262.96	3.13%
Asian Paints Limited	INE030A01027 INE021A01026	Consumer Non Durables	22,444	260.51	3.10%
Housing Development Finance Corporation Limited	INE021A01026 INE001A01036	Finance	17,173	239.30	2.85%
Glenmark Pharmaceuticals Limited	INE935A01035	Pharmaceuticals	24,600	227.17	2.70%
United Spirits Limited	INE854D01016	Consumer Non Durables	8,734	215.42	2.56%
UltraTech Cement Limited	INE481G01011	Cement Cement	5,320	204.90	2.44%
Dr. Reddy's Laboratories Limited	INE481G01011 INE089A01023	Pharmaceuticals	5,715	177.59	2.44%
Bajaj Auto Limited	INE917I01010	Auto	5,714	161.57	1.92%
Bank of Baroda	INE917101010 INE028A01039	Banks	92,000	154.01	1.83%
Infosys Limited	INE028A01039 INE009A01021	Software	14,000	145.05	1.73%
Ambuja Cements Limited	INE079A01021	Cement	56,700	142.74	1.70%
DLF Limited	INE079A01024 INE271C01023	Construction	95,000	138.84	1.65%
Cummins India Limited	INE271C01023 INE298A01020	Industrial Products	13,149	119.06	1.42%
Castrol India Limited	INE298A01020 INE172A01027	Petroleum Products	23,125	109.83	1.42%
Vedanta Limited	INE172A01027 INE205A01025	Non - Ferrous Metals	61,776	106.29	1.26%
United Breweries Limited	INE686F01025	Consumer Non Durables	10,000	90.40	1.08%
Tata Steel Limited	INE080F01023 INE081A01012	Ferrous Metals	23,500	90.40 87.95	1.05%
Reliance Industries Limited	INE081A01012 INE002A01018	Petroleum Products	7,960	86.26	1.03%
Lupin Limited	INE326A01037	Pharmaceuticals	3,800	56.48	0.67%
Bharat Electronics Limited	INE320A01037 INE263A01016		2,950	36.72	0.67%
Sub Total	INE203A01010	Industrial Capital Goods	2,930	8,200.24	
(b) Unlisted				0,200.24 NIL	97.59% NIL
Sub Total				NIL NIL	NIL
Total				8,200.24	
CBLO / Reverse Repo				0,400.44	11.5370
Clearing Corporation of India Ltd				221.93	2.64%
Sub Total				221.93	2.64%
Total				221.93	2.64%
Net Receivables / (Payables)				(19.73)	-0.23%
GRANDTOTAL				8,402.44	100.00%

Industry classification as recommended by AMFI and wherever not available, internal classification has been used

The provision made for Non Performing Assets as of September 30, 2016 is Nil. Plan/Option wise Net Asset Values are as follows:

As on March 31, 2016 Regular Plan - Dividend Option Rs. 11.02 Rs. 9.53 Rs. 9.53 Regular Plan - Growth Option Rs. 11.02 Direct Plan - Growth Option Rs. 11.22 Rs. 9.66 No dividend was declared during the half year ended September 30, 2016.

Details of derivatives exposure and transaction: (a) Hedging Position through Futures as on September 30,2016 is Nil and For the half year ended September 30,2016 there were no hedging ansactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30,2016 is Nil and For the half year ended September 30,2016 there ere no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30,2016 is Nil and For the half year ended September 30,2016 there were no

hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30,2016 is Nil and For the half year ended September 30,2016 there

were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30,2016 is Nil.

The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%.

Details of Repo in Corporate Debt Securities as on September 30,2016 is NIL

During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.19 times Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30,2016 is 0%.

BIRLA SUN LIFE FOCUSED EQUITY FUND - SERIES 2 (A Close ended Equity Scheme investing in Eligible Securities as per Rajiv Gandhi Equity Savings Scheme, 2013) Portfolio as on September 30, 2016

Name of the Instrument

Equity & Equity related

HDFC Bank Limited

Tata Motors Limited

ICICI Bank Limited

IndusInd Bank Limited

Cairn India Limited

Kotak Mahindra Bank Limited

LIC Housing Finance Limited Larsen & Toubro Limited

HCL Technologies Limited

Tata Chemicals Limited

Hindustan Unilever Limited

United Spirits Limited

Bajaj Auto Limited

Bank of Baroda

Infosys Limited

UltraTech Cement Limited

Ambuia Cements Limited

Cummins India Limited

United Breweries Limited

Reliance Industries Limited

Bharat Electronics Limited

Castrol India Limited

Vedanta Limited

Tata Steel Limited

Lupin Limited

Market/ % to Net

Dr. Reddy's Laboratories Limited

Asian Paints Limited

Sun Pharmaceuticals Industries Limited

Housing Development Finance Corporation Limited Glenmark Pharmaceuticals Limited

Maruti Suzuki India Limited

(a) Listed / awaiting listing on Stock Exchanges

Name of the Instrument	ISIN	Industry^	Quantity	Market/ Fair Value (Rs in Lacs.)	% to Net Assets	Name of the
Equity & Equity related						Equity & E
(a) Listed / awaiting listing on Stock Exchanges						(a) Listed /
Maruti Suzuki India Limited	INE585B01010	Auto	9,565	523.90	8.84%	Maruti Suzu
HDFC Bank Limited	INE040A01026	Banks	34,900	444.22	7.50%	HDFC Bank
Tata Motors Limited	INE155A01022	Auto	62,095	332.12	5.61%	Tata Motors
ICICI Bank Limited	INE090A01021	Banks	115,000	289.97	4.90%	ICICI Bank
IndusInd Bank Limited	INE095A01012	Banks	23,000	275.07	4.64%	IndusInd Ba
Cairn India Limited	INE910H01017	Oil	128,000	256.06	4.32%	Sun Pharma
Kotak Mahindra Bank Limited	INE237A01028	Banks	31,100	241.65	4.08%	Cairn India
LIC Housing Finance Limited	INE115A01026	Finance	38,600	223.42	3.77%	Kotak Mahi
Sun Pharmaceuticals Industries Limited	INE044A01036	Pharmaceuticals	29,180	216.72	3.66%	LIC Housin
Larsen & Toubro Limited	INE018A01030	Construction Project	14,886	213.34	3.60%	Larsen & To
HCL Technologies Limited	INE860A01027	Software	26,215	209.69	3.54%	HCL Techno
Hindustan Unilever Limited	INE030A01027	Consumer Non Durables	23,400	203.08	3.43%	ITC Limited
ITC Limited	INE154A01025	Consumer Non Durables	82,374	198.81	3.36%	Tata Chemi
Tata Chemicals Limited	INE092A01019	Chemicals	37,871	198.67	3.35%	Asian Paint
Asian Paints Limited	INE021A01026	Consumer Non Durables	15,900	184.55	3.12%	Housing De
Dr. Reddy's Laboratories Limited	INE089A01023	Pharmaceuticals	5,902	183.40	3.10%	Glenmark P
Housing Development Finance Corporation Limited	INE001A01036	Finance	12,300	171.39	2.89%	Hindustan U
Glenmark Pharmaceuticals Limited	INE935A01035	Pharmaceuticals	17,500	161.60	2.73%	Dr. Reddy's
United Spirits Limited	INE854D01016	Consumer Non Durables	5,900	145.52	2.46%	United Spir
UltraTech Cement Limited	INE481G01011	Cement	3,750	144.43	2.44%	UltraTech (
Bajaj Auto Limited	INE917I01010	Auto	4,000	113.10	1.91%	Bajaj Auto
Bank of Baroda	INE028A01039	Banks	65,000	108.81	1.84%	Bank of Bar
Infosys Limited	INE009A01021	Software	9,880	102.36	1.73%	Infosys Lim
Ambuja Cements Limited	INE079A01024	Cement	40,200	101.20	1.71%	Ambuja Cei
DLF Limited	INE271C01023	Construction	68,000	99.38	1.68%	DLF Limite
Cummins India Limited	INE298A01020	Industrial Products	9,300	84.21	1.42%	Cummins I
Castrol India Limited	INE172A01027	Petroleum Products	16,250	77.18	1.30%	Castrol Indi
Vedanta Limited	INE205A01025	Non - Ferrous Metals	44,600	76.73	1.30%	Vedanta Lir
United Breweries Limited	INE686F01025	Consumer Non Durables	7,300	65.99	1.11%	United Brev
Tata Steel Limited	INE081A01012	Ferrous Metals	17,000	63.62	1.07%	Tata Steel
Reliance Industries Limited	INE002A01018	Petroleum Products	5,624	60.95	1.03%	Reliance In
Lupin Limited	INE326A01037	Pharmaceuticals	2,700	40.13	0.68%	Lupin Limi
Bharat Electronics Limited	INE263A01016	Industrial Capital Goods	2,040	25.39	0.43%	Bharat Elec
Sub Total	1112037101010	maustriai Capitai Goods	2,040	5,836.66	- 1	Sub Total
(b) Unlisted				3,030.00 NIL	NIL	(b) Unlisted
Sub Total				NIL	NIL	Sub Total
Total				5,836.66		Total
CBLO / Reverse Repo				2,020.00	10.23 /6	CBLO / Re
Clearing Corporation of India Ltd				95.97	1.62%	Clearing Co
Sub Total				95.97 <b>95.97</b>	1.62%	Sub Total
Total				95.97 95.97	1.62%	Total
				(8.92)	-0.15%	Net Receiva
Net Receivables / (Payables) GRAND TOTAL				( )	100.00%	Andustry cla

Industry classification as recommended by AMFI and wherever not available, internal classification has been used

Name of the Instrumen

Rs. 13.76

The provision made for Non Performing Assets as of September 30, 2016 is Nil

Plan/Option wise Net Asset Values are as follows:

Plan As on September 30, 2016 As on March 31, 2016 Regular Plan - Dividend Option Rs. 14.47 Rs. 12.52 Regular Plan - Growth Option Rs. 15.23 Rs. 13.18 Rs. 12.62 Direct Plan - Growth Option Rs. 15.38 Rs. 13.28 No dividend was declared during the half year ended September 30, 2016.

Details of derivatives exposure and transaction:  $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30,2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there \ were \ no \ hedging$ transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30,2016 is Nil and For the half year ended September 30,2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30,2016 is Nil and For the half year ended September 30,2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30,2016 is Nil and For the half year ended September 30,2016 there were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30,2016 is Nil.

During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.19 times

The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%.

Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30,2016 is 0%.

Details of Repo in Corporate Debt Securities as on September 30,2016 is NIL

BIRLA SUN LIFE FOCUSED EQUITY FUND - SERIES S (A Close ended Equity Scheme inv sting in Eligible Securities as per Rajiv Gandhi Equity Savings Scheme, 2013) Portfolio as on September 30, 2016

			/1	) - t - T \	
			(I	Rs in Lacs.)	
Equity & Equity related					
(a) Listed / awaiting listing on Stock Exchanges					
Maruti Suzuki India Limited	INE585B01010	Auto	16,400	898.28	8.80%
HDFC Bank Limited	INE040A01026	Banks	59,577	758.33	7.439
Tata Motors Limited	INE155A01022	Auto	105,132	562.30	5.519
ICICI Bank Limited	INE090A01021	Banks	191,000	481.61	4.729
IndusInd Bank Limited	INE095A01012	Banks	39,410	471.32	4.629
Cairn India Limited	INE910H01017	Oil	218,000	436.11	4.279
Kotak Mahindra Bank Limited	INE237A01028	Banks	54,709	425.09	4.169
LIC Housing Finance Limited	INE115A01026	Finance	65,733	380.46	3.739
Sun Pharmaceuticals Industries Limited	INE044A01036	Pharmaceuticals	49,478	367.47	3.609
Larsen & Toubro Limited	INE018A01030	Construction Project	25,605	366.96	3.609
HCL Technologies Limited	INE860A01027	Software	44,870	358.92	3.52%
Tata Chemicals Limited	INE092A01019	Chemicals	64,986	340.92	3.34%
ITC Limited	INE154A01025	Consumer Non Durables	140,967	340.22	3.33%
Asian Paints Limited	INE021A01026	Consumer Non Durables	27,189	315.58	3.099
Housing Development Finance Corporation Limited	INE001A01036	Finance	21,003	292.67	2.879
Glenmark Pharmaceuticals Limited	INE935A01035	Pharmaceuticals	29,579	273.15	2.689
United Spirits Limited	INE854D01016	Consumer Non Durables	10,262	253.11	2.489
UltraTech Cement Limited	INE481G01011	Cement	6,400	246.50	2.429
Hindustan Unilever Limited	INE030A01027	Consumer Non Durables	26,000	225.64	2.219
Dr. Reddy's Laboratories Limited	INE089A01023	Pharmaceuticals	7,168	222.74	2.189
Bajaj Auto Limited	INE917I01010	Auto	6,719	189.99	1.869
Bank of Baroda	INE028A01039	Banks	112,000	187.49	1.849
Infosys Limited	INE009A01021	Software	16,962	175.73	1.729
Ambuja Cements Limited	INE079A01024	Cement	68,500	172.45	1.699
DLF Limited	INE271C01023	Construction	115,000	168.07	1.659
Cummins India Limited	INE298A01020	Industrial Products	15,855	143.57	1.419
Castrol India Limited	INE172A01027	Petroleum Products	28,375	134.77	1.329
Vedanta Limited	INE205A01025	Non - Ferrous Metals	75,557	130.00	1.279
United Breweries Limited	INE686F01025	Consumer Non Durables	12,500	113.00	1.119
Tata Steel Limited	INE081A01012	Ferrous Metals	28,500	106,66	1.05%
Reliance Industries Limited	INE002A01018	Petroleum Products	9,560	103.60	1.02%
Lupin Limited	INE326A01037	Pharmaceuticals	4,600	68.37	0.679
Bharat Electronics Limited	INE263A01016	Industrial Capital Goods	3,516	43.77	0.439
Sub Total			-,0	9,754.85	
(b) Unlisted				NIL	NII
Sub Total				NIL	NII
Total				9,754.85	
CBLO / Reverse Repo				,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	2010776
Clearing Corporation of India Ltd				482.84	4.739
Sub Total				482.84	4.73%
Total				482.84	4.73%
Net Receivables / (Payables)				(31.07)	-0.30%
GRAND TOTAL					100.00%

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil. Plan/Option wise Net Asset Values are as follows:

Plan As on September 30, 2016 As on March 31, 2016 Regular Plan - Dividend Option Rs. 10.51 Rs. 9.07 Regular Plan - Growth Option Rs. 9.07 Direct Plan - Dividend Option Rs. 10.75 Rs. 9.21 Direct Plan - Growth Option Rs. 10.75 Rs. 9.21 No dividend was declared during the half year ended September 30, 2016.

Details of derivatives exposure and transaction:

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016 is Nil.

During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.18 times The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%.

 $Total\ market\ value\ of\ Foreign\ Securities/overseas\ ETFs\ in\ 0\ crores\ and\ its\ percentage\ to\ Net\ Assets\ as\ at\ September\ 30,\ 2016\ is\ 0\%.$ Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

CBLO / Reverse Repo Clearing Corporation of India Ltd Sub Total Net Receivables / (Payables) GRANDTOTAL Andustry classification as recommended by AMFI and wherever not available, internal classification has been used

The provision made for Non Performing Assets as of September 30, 2016 is Nil

Plan/Option wise Net Asset Values are as follows: As on September 30, 2016 Regular Plan - Dividend Option Rs. 11.44

Direct Plan - Dividend Option Rs. 11.67 Rs. 10.05 Direct Plan - Growth Option Rs. 11.66 Rs. 10.04 No dividend was declared during the half year ended September 30, 2016. Details of derivatives exposure and transaction: (a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging

transactions through futures which have been squared off/expired.
(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.18 times

The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%.

 $Total\ market\ value\ of\ Foreign\ Securities/overseas\ ETFs\ in\ 0\ crores\ and\ its\ percentage\ to\ Net\ Assets\ as\ at\ September\ 30,\ 2016\ is\ 0\%.$ Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

BIRLA SUN LIFE FINANCIAL PLANNING FOF - AGGRESSIVE PLAN

# (An Open ended Fund of Funds Schem

Name of the Instrument	ISIN	Quantity	Market/ Fair Value (Rs in Lacs.)	% to No Asse
Others				
Exchange Traded Funds				
Goldman Sachs Gold ETF (Gold Bees)	INF732E01102	10,863	306.90	2.589
Kotak MF Gold ETF	INF373I01049	75,250	211.19	1.779
Sub Total			518.09	4.35
Mutual Fund Units				
Birla Sun Life Advantage Fund - Gr - Dir Pl	INF209K01VH8	526,310	1,903.30	15.99
MOSt Focused Multicap 35-Direct Plan-Growth Option	INF247L01502	8,833,705	1,834.57	15.41
Birla Sun Life Frontline Eq Fd - Gr - Direct Plan	INF209K01YY7	897,372	1,679.52	14.11
SBI Blue Chip Fund-Direct Plan -Growth	INF200K01QX4	4,806,591	1,591.70	13.37
Birla Sun Life Cash Plus - Growth - Direct Plan	INF209K01VA3	594,927	1,503.91	12.63
Mirae Asset India Opp'ties Fund-Direct Plan-Growth	INF769K01AX2	3,977,279	1,500.39	12.60
Birla Sun Life MediumTerm Plan -Gr - Direct Plan	INF209K01XA9	3,477,974	701.71	5.89
SBI Magnum Gilt Fund - Long Term-Dir Pl - Growth	INF200K01SH3	876,799	311.57	2.62
IDFC Dynamic Bond Fund-Direct Plan-Growth	INF194K01N63	1,531,747	303.45	2.55
SubTotal			11,330.12	95.17
Total			11,848.21	99.53
CBLO / Reverse Repo				
Clearing Corporation of India Ltd			99.97	0.84
Sub Total			99.97	0.84
Total			99.97	0.84
Net Receivables / (Payables)			(43.65)	-0.37
GRANDTOTAL			11,904.53	100.00

(2) Plan/Option wise Net Asset Values are as follows: As on September 30, 2016 As on March 31, 2016 Regular Plan - Dividend Ontion Rs. 17.1163 Rs. 14.8888 Rs. 18.7016 Rs. 16.2677 Rs. 17.5057 Rs. 15.1649 Rs. 19.1123 Rs. 16.5622

Regular Plan - Growth Option Direct Plan - Dividend Option Direct Plan - Growth Option (3) No dividend was declared during the half year ended September 30, 2016.

(4) Details of derivatives exposure and transaction:

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

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(e) Hedging Positions through swaps as on September 30, 2016 is Nil. Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

Birla Sun Life Asset Management Company Limited (Investment Manager for Birla Sun Life Mutual Fund), One Indiabulls Centre, Tower 1, 17th Floor, Jupiter Mill Compound, 841, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400 013. CIN: U65991MH1994PLC080811

## ✓ Over 3.2 million investor scheme accounts



This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September 2016.

### Half Yearly Portfolio Statement as on September 30, 2016

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996) BIRLA SUN LIFE FINANCIAL PLANNING FOF - CONSERVATIVE PLAN

	pen Ended Gro io as on Septen				
Name of the Instrument	ISIN	Industry^/Rating	Quantity	Fair Value	% to Ne
Debt Instruments				(Rs in Lacs.)	
(a) Listed / awaiting listing on Stock Exchange 3.49% NTPC Limited **	INE733E07JP6	CRISIL AAA	3,955,000	523.14	0.049
Sub Total (b) Privately placed / Unlisted				523.14 NIL	0.04% NII
Sub Total Fotal				NIL 523.14	NII 0.04%
Equity & Equity related (a) Listed / awaiting listing on Stock Exchanges					
HDFC Bank Limited Infosys Limited	INE040A01026 INE009A01021	Banks Software	7,314,151 5,645,672	93,098.17 58,491.98	6.709 4.219
Reliance Industries Limited	INE002A01018	Petroleum Products	4,952,695	53,672.36	3.869
CICI Bank Limited Tata Motors Limited	INE090A01021 INE155A01022	Banks Auto	19,627,219 8,338,441	49,490.03 44,598.15	3.569 3.219
TC Limited Kotak Mahindra Bank Limited	INE154A01025 INE237A01028	Consumer Non Durables Banks	18,124,142 4,967,336	43,742.62 38,596.20	3.159 2.789
Larsen & Toubro Limited Grasim Industries Limited	INE018A01030 INE047A01013	Construction Project Cement	2,628,802 697,363	37,674.68 33,728.31	2.719
HCL Technologies Limited IndusInd Bank Limited	INE860A01027 INE095A01012	Software Banks	4,186,935 2,621,691	33,491.29 31,354.11	2.419
Maruti Suzuki India Limited State Bank of India	INE585B01010 INE062A01020	Auto Banks	572,281 11,546,536	31,345.55 29,010.67	2.259
Housing Development Finance Corporation Limited	INE001A01036	Finance	2,060,064	28,705.96	2.079
Sun Pharmaceuticals Industries Limited Crompton Greaves Consumer Electricals Limited	INE044A01036 INE299U01018	Pharmaceuticals Consumer Durables	3,807,071 14,690,651	28,275.12 22,616.26	2.039 1.639
Yes Bank Limited Indian Oil Corporation Limited	INE528G01019 INE242A01010	Banks Petroleum Products	1,732,539 3,553,380		1.569
Hindustan Unilever Limited Zee Entertainment Enterprises Limited	INE030A01027 INE256A01028	Consumer Non Durables Media & Entertainment	2,384,077 3,698,613	20,690.21 20,222.17	1.499
NTPC Limited Bajaj Finance Limited	INE733E01010 INE296A01024	Power Finance	13,441,170	19,892.93 19,543.34	1.439
Muthoot Finance Limited	INE414G01012	Finance	1,850,870 5,566,889	19,417.31	1.409
Axis Bank Limited Coal India Limited	INE238A01034 INE522F01014	Banks Minerals/Mining	3,572,287 5,636,516	19,338.58 18,166.49	1.399
Cummins India Limited Hero MotoCorp Limited	INE298A01020 INE158A01026	Industrial Products Auto	1,953,963 515,410	17,693.13 17,594.55	1.279
The Federal Bank Limited Power Grid Corporation of India Limited	INE171A01029 INE752E01010	Banks Power	24,159,434 9,499,629		1.259
Mahindra & Mahindra Limited	INE101A01026	Auto	1,170,167	16,449.04	1.189
Shriram City Union Finance Limited Godrej Consumer Products Limited	INE722A01011 INE102D01028	Finance Consumer Non Durables	719,779 939,780	15,292.06 14,911.02	1.109
Reliance Capital Limited LIC Housing Finance Limited	INE013A01015 INE115A01026	Finance Finance	2,714,009 2,545,134		1.079
Britannia Industries Limited Castrol India Limited	INE216A01022 INE172A01027	Consumer Non Durables Petroleum Products	420,856 2,940,364	14,157.60 13,965.26	1.029
Tata Steel Limited Tech Mahindra Limited	INE081A01012 INE669C01036	Ferrous Metals Software	3,466,531 2,858,979	12,973.49	0.939
Crompton Greaves Limited	INE067A01029	Industrial Capital Goods	15,975,651	11,989.73	0.869
Sanofi India Limited Hindustan Petroleum Corporation Limited	INE058A01010 INE094A01015	Pharmaceuticals Petroleum Products	275,566 2,572,563	11,403.47 10,906.38	0.829
Bank of Baroda Bosch Limited	INE028A01039 INE323A01026	Banks Auto Ancillaries	6,041,200 44,222	10,112.97 10,081.67	0.739
Bayer Cropscience Limited ACC Limited	INE462A01022 INE012A01025	Pesticides Cement	224,622 619,376	10,044.76 9,909.09	0.729
Cipla Limited	INE059A01026	Pharmaceuticals	1,696,000	9,837.65	0.719
Tata Consultancy Services Limited Motherson Sumi Systems Limited	INE467B01029 INE775A01035	Software Auto Ancillaries	388,100 2,965,000	9,433.93 9,428.70	0.689 0.689
Oil & Natural Gas Corporation Limited Akzo Nobel India Limited	INE213A01029 INE133A01011	Oil Consumer Non Durables	3,463,630 518,697	8,889.41 8,805.92	0.649
Aurobindo Pharma Limited GlaxoSmithKline Consumer Healthcare Limited	INE406A01037 INE264A01014	Pharmaceuticals Consumer Non Durables	964,000 135,751	8,246.54 8,191.69	0.599
DLF Limited Cholamandalam Investment and Finance	INE271C01023 INE121A01016	Construction Finance	4,917,000 610,000	7,186.20	0.529
Company Limited					
GAIL (India) Limited Forrent Pharmaceuticals Limited	INE129A01019 INE685A01028	Gas Pharmaceuticals	1,658,000 377,619	6,130.83	0.459 0.449
RB Infrastructure Developers Limited CICI Prudential Life Insurance Company Limited	INE821I01014 INE726G01019	Construction Finance	2,491,200 1,843,449	6,107.18 5,719.30	0.449
GE Power India Limited Bharti Airtel Limited	INE878A01011 INE397D01024	Industrial Capital Goods Telecom - Services	912,736 1,578,369	5,026.44 4,956.08	0.369
GlaxoSmithKline Pharmaceuticals Limited Divi's Laboratories Limited	INE159A01016 INE361B01024	Pharmaceuticals Pharmaceuticals	177,000 375,900		0.359
Lupin Limited	INE326A01037	Pharmaceuticals	310,171	4,609.92	0.339
United Spirits Limited IDFC Bank Limited	INE854D01016 INE092T01019	Consumer Non Durables Banks	167,991 5,181,791	4,143.41 4,137.66	0.309
Vedanta Limited Jagran Prakashan Limited	INE205A01025 INE199G01027	Non - Ferrous Metals Media & Entertainment	2,161,979 2,027,429		0.279
DFC Limited Housing Development Finance Corporation	INE043D01016 INE001A13031	Finance Finance	5,181,791 2,065,900	3,482.16	0.259
Limited (Warrant) Hindustan Zinc Limited		Non - Ferrous Metals			0.249
Sun TV Network Limited	INE267A01025 INE424H01027	Media & Entertainment	1,447,951 636,600	3,378.79 3,215.78	0.239
Wipro Limited Fitan Company Limited	INE075A01022 INE280A01028	Software Consumer Durables	651,000 733,021	3,117.96 2,896.17	0.229
D.B.Corp Limited Dr. Reddy's Laboratories Limited	INE950I01011 INE089A01023	Media & Entertainment Pharmaceuticals	719,701 83,351	2,841.74 2,590.05	0.209
Procter & Gamble Hygiene and Health Care Limited Cairn India Limited	INE179A01014 INE910H01017	Consumer Non Durables Oil	37,500 1,222,262	2,551.95	0.189
Oberoi Realty Limited	INE093I01010	Construction	781,000	2,281.69	0.169
Hindalco Industries Limited Zee Entertainment Enterprises Limited	INE038A01020 INE256A04022	Non - Ferrous Metals Media & Entertainment	1,025,000 2,418,177	1,565.18 228.52	0.119
Preference Share) Sub Total				1,286,168.15	92.529
b) Unlisted Sub Total				NIL NIL	NI NI
Total Others				1,286,168.15	92.52%
Exchange Traded Funds	INEQUORUTE A		5,200,000	4,859.40	0.359
Birla Sun Life Nifty ETF - Growth  Sub Total	INF209K01IR4		2,200,000	4,859.40	0.35%
Total Cash and Bank				<b>4,859.40</b> 0.35	0.35%
Total Margin (Future and Options)				<b>0.35</b> 12,073.00	0.879
Total CBLO / Reverse Repo				12,073.00	0.87%
Clearing Corporation of India Ltd				72,039.91	5.189
Clearing Corporation of India Ltd Clearing Corporation of India Ltd				17,499.90 2,999.13	1.269 0.229
Sub Total Fotal				92,538.94 92,538.94	6.66% 6.66%
Net Receivables / (Payables) GRANDTOTAL				(6,061.13) 1,390,101.85	-0.44%

The provision made for Non Performing Assets as of September 30, 2016 is Nil. Plan/Option wise Net Asset Values are as follows:

As on September 30, 2016 As on March 31, 2016 Regular Plan - Dividend Option Rs. 27.50 Rs. 23.60 Regular Plan - Growth Option Rs. 181.10 Rs. 155.45 Rs. 35.67 Direct Plan - Dividend Option Rs. 41.75

No dividend was declared during the half year ended September 30, 2016.

Details of derivatives exposure and transaction: (a) Hedging Position through Futures as on September 30, 2016

Underlying	Long / Short	Futures Price when purchased Rs.	Current price of the contract Rs.	Margin maintained in Rs. Lakhs				
Nifty Index	Short	8,819.45	8,640.65	2,451.99				
NTPC Limited	Short	154.07	149.00	138.24				
Total %age of existing assets hedged through futur	otal %age of existing assets hedged through futures is 2.30%							

For the half year ended September 30, 2016 following hedging transactions through futures which have been squared off/expired Total Number of Gross National Value of Gross National Value of Nat Profit/Loss

contracts where futures		contracts where futures	contracts where futures	all contracts combined		
were bought	were sold	were bought Rs.	were sold Rs.	Rs.		
33,885.00	37,626.00	20,146,185,284.27	22,352,444,666.75	-431,695,765.77		
(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil						

For the half year ended September 30, 2016 following non-hedging transactions through futures which have been squared off/expired.

Total Number of contracts where futures were bought		Gross Notional Value of contracts where futures were bought Rs.	Gross Notional Value of contracts where futures were sold Rs.	Net Profit/Loss value or all contracts combined Rs.
3.244.00	3.764.00	2,000,620,597,17	2,197,952,755,32	-45,338,211,35

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.32 times

The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%.

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Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%. (5) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

	d Fund of Funds Scheme) on September 30, 2016			
Name of the Instrument	ISIN	Quantity	Market/ Fair Value Rs in Lacs.)	% to Ne
Others				
Exchange Traded Funds				
Kotak MF Gold ETF	INF373I01049	12,520	35.14	6.289
Goldman Sachs Gold ETF (Gold Bees)	INF732E01102	1,112	31.42	5.629
Sub Total			66.56	11.90%
Mutual Fund Units				
Birla Sun Life Cash Plus - Growth - Direct Plan	INF209K01VA3	84,969	214.79	38.41%
Birla Sun Life MediumTerm Plan -Gr - Direct Plan	INF209K01XA9	377,601	76.18	13.62%
SBI Magnum Gilt Fund - Long Term-Dir Pl - Growth	INF200K01SH3	103,691	36.85	6.599
IDFC Dynamic Bond Fund-Direct Plan-Growth	INF194K01N63	179,844	35.63	6.379
Birla Sun Life Advantage Fund - Gr - Dir Pl	INF209K01VH8	8,390	30.34	5.439
MOSt Focused Multicap 35-Direct Plan-Growth Option	INF247L01502	140,231	29.12	5.219
Birla Sun Life Frontline Eq Fd - Gr - Direct Plan	INF209K01YY7	12,598	23.58	4.229
SBI Blue Chip Fund-Direct Plan -Growth	INF200K01QX4	67,536	22.36	4.009
Mirae Asset India Opp'ties Fund-Direct Plan-Growth	INF769K01AX2	19,814	7.47	1.34%
Sub Total			476.32	85.18%
Total			542.88	97.09%
CBLO / Reverse Repo				
Clearing Corporation of India Ltd			16.99	3.049
Sub Total			16.99	3.04%
Total			16.99	3.04%
Net Receivables / (Payables)			(0.69)	-0.12%
GRAND TOTAL			559.18	100.00%

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil

(2) Plan/Option wise Net Asset Values are as follows

Plan	As on September 30, 2016	As on March 31, 2016
Regular Plan - Dividend Option	Rs. 14.4895	Rs. 13.4304
Regular Plan - Growth Option	Rs. 15.9243	Rs. 14.7604
Direct Plan - Dividend Option	Rs. 11.0103	Rs. 10.1785
Direct Plan - Growth Option	Rs. 16.2286	Rs. 15.0018

(3) No dividend was declared during the half year ended September 30, 2016.

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

 $(b) Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there$ were no non-hedging transactions through futures which have been squared off/expired.  $(c)\ Hedging\ Position\ through\ Put\ Options\ as\ on\ September\ 30,2016\ is\ Nil\ and\ For\ the\ half\ year\ ended\ September\ 30,2016\ there\ were\ no\ Nil\ And\ For\ the\ half\ year\ ended\ September\ 30,2016\ there\ were\ no\ Nil\ And\ For\ the\ half\ year\ ended\ September\ 30,2016\ there\ were\ no\ Nil\ And\ For\ the\ half\ year\ ended\ September\ 30,2016\ there\ were\ no\ Nil\ And\ For\ the\ half\ year\ ended\ September\ 30,2016\ there\ were\ no\ Nil\ And\ For\ the\ half\ year\ ended\ September\ 30,2016\ there\ were\ no\ Nil\ And\ For\ the\ half\ year\ ended\ September\ 30,2016\ there\ were\ no\ Nil\ And\ Nil$ 

hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016 is Nil

Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

### BIRLA SUN LIFE FINANCIAL PLANNING FOF - PRUDENT PLAN (An Open ended Fund of Funds Scheme)

Portfolio as on September 30, 2016 Name of the Instrument Market/ % to Net Fair Value Assets (Rs in Lacs.) Others Exchange Traded Funds Goldman Sachs Gold ETF (Gold Bees) 84.53 4.84% Kotak MF Gold ETF INF373I01049 Sub Total 163.20 9.34% Mutual Fund Units Birla Sun Life Cash Plus - Growth - Direct Plan INF209K01VA3 176,141 445.27 25.49% Birla Sun Life MediumTerm Plan -Gr - Direct Plan INF209K01XA9 204.87 11.73% 1.015.416 Birla Sun Life Advantage Fund - Gr - Dir Pl INF209K01VH8 46.243 167.23 MOSt Focused Multicap 35-Direct Plan-Growth Option INF247L01502 783,274 162.67 9.31% Birla Sun Life Frontline Eq Fd - Gr - Direct Plan Mirae Asset India Opp'ties Fund-Direct Plan-Growth 288,109 108.69 6.22% SBI Magnum Gilt Fund - Long Term-Dir Pl - Growth 96.20 5.51% INF200K01SH3 270,729 IDFC Dynamic Bond Fund-Direct Plan-Growth INF194K01N63 90.49 5.18% 456,763 Sub Total 1.548.57 88.65% 1,711.77 97.99% CBLO / Reverse Repo Clearing Corporation of India Ltd 35.99 2.06%

Notes: (1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

(2) Plan/Option wise Net Asset Values are as follows:

Net Receivables / (Payables)

GRAND TOTAL

Plan	As on September 30, 2016	As on March 31, 2016
Regular Plan - Dividend Option	Rs. 15.2602	Rs. 13.7861
Regular Plan - Growth Option	Rs. 17.0144	Rs. 15.3717
Direct Plan - Dividend Option	Rs. 15.6842	Rs. 14.1325
Direct Plan - Growth Option	Rs. 17.3142	Rs. 15.6008
No dividend was declared during the half vi	ear ended September 30, 2016	

(4) Details of derivatives exposure and transaction:

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, \ 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, \ 2016 \ there \ were \ no \ hedging \ Position \ Annual Po$ transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

 $(c) \ Hedging \ Position \ through \ Put \ Options \ as \ on \ September \ 30,2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there \ were \ no \ hedging \ Nil \ and \ Nil$ transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%.

(6) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

Name of the Instrument	ISIN	Quantity	Market/	% to Net
			Fair Value	Assets
		(1	Rs in Lacs.)	
Others				
International Mutual Fund Units				
Legg Manson Martin Currie Global Resources Fund	IE00BYWVKN13	9,095	113.78	46.53%
First State Glob Umbrella Fund Global Res Fund - I	IE00B1G9TS84	19,104	100.92	41.27%
Sub Total			214.70	87.79%
Total			214.70	87.79%
CBLO / Reverse Repo				
Clearing Corporation of India Ltd			30.99	12.67%
Sub Total			30.99	12.67%
Total			30.99	12.67%
Net Receivables / (Payables)			(1.14)	-0.47%
GRAND TOTAL			244.55	100.00%

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

(2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2016	As on March 31, 201
Regular Plan - Dividend Option	Rs. 11.7559	Rs. 10.4543
Regular Plan - Growth Option	Rs. 11.7530	Rs. 10.4517
Direct Plan - Dividend Option	Rs. 10.5538	N.A.
Direct Plan - Growth Option	Rs. 11.8802	Rs. 10.5598

(3) No dividend was declared during the half year ended September 30, 2016

(4) Details of derivatives exposure and transaction

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

 $(b) Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ and \ September \ 30, 2016 \ there \ are \ an \ September \ 30, 2016 \ there \ 30, 20$ were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil.

Total market value of Foreign Securities/overseas ETFs in 2.15 crores and its percentage to Net Assets as at September 30, 2016 is 87.79%.

DIDLA CUNTUEE CLODAL DEAL ESTATE FUND

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

DIKLA SUN LIFE	E GLOBAL REAL ESTATE FU	ND		
	nded Fund of Funds Scheme) as on September 30, 2016			
Name of the Instrument	ISIN	Quantity	Fair Value	% to Net
Others			(Rs in Lacs.)	
International Mutual Fund Units				
ING (L) Invest Global Real Estate - I Cap USD	LU0332194827	528	2,118.77	97.80%
Sub Total			2,118.77	97.80%
Total			2,118.77	97.80%
CBLO / Reverse Repo				
Clearing Corporation of India Ltd			33.99	1.57%
Sub Total			33.99	1.57%
Total			33.99	1.57%
Net Receivables / (Payables)			13.78	0.64%
GRANDTOTAL			2,166.54	100.00%

(0.97) -0.06%

1,746.79 100.00%

The provision made for Non Performing Assets as of September 30, 2016 is Nil.

(2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2016	As on March 31, 2010
Regular Plan - Growth Option	Rs. 18.4571	Rs. 18.16
Regular Plan - Dividend Option	Rs. 18.4689	Rs. 18.17
Direct Plan - Dividend Option	Rs. 18.7898	Rs. 18.44
Direct Plan - Growth Option	Rs. 18.7785	Rs. 18.43

(3) No dividend was declared during the half year ended September 30, 2016

(4) Details of derivatives exposure and transaction:

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ Nil \$ transactions through futures which have been squared off/expired.

 $(b) Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there$ were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil.  $(5) \qquad \text{Total market value of Foreign Securities/overseas ETFs in } 21.19 \text{ crores and its percentage to Net Assets as at September } 30,2016 \text{ is } 97.80\%.$ 

(6) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

## ✓ Over 3.2 million investor scheme accounts



This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September 2016.

### Half Yearly Portfolio Statement as on September 30, 2016

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996)

				(An Op Portfoli	
Name of the Instrument	ISIN	Rating	Quantity	Market/ Fair Value	
Debt Instruments (a) Listed / awaiting listing on Stock Exchange			(	Rs in Lacs.)	
3.15% Housing Development Finance Corporation Limited **	INE001A07PI8	CRISIL AAA	675	67,917.76	5.26
3.75% LIC Housing Finance Limited ** 3.55% Reliance Jio Infocomm Limited **	INE115A07JB4 INE110L07013	CRISIL AAA CRISIL AAA	4,700 4,200	48,515.33 42,602.62	3.76
3.48% Bajaj Finance Limited **	INE296A07KZ9	IND AAA CRISIL AAA	4,000	40,327.68	3.12
.47% Power Finance Corporation Limited **  .85% Power Finance Corporation Limited **	INE134E08IJ0 INE134E08IC5	CRISIL AAA CRISIL AAA	4,000 3,450	40,052.16 34,841.83	2.70
.28% Small Industries Dev Bank of India ** .85% Tata Capital Financial Services Ltd **	INE556F09619 INE306N08169	CAREAAA CRISILAA+	3,000 2,500	30,611.37 25,249.38	2.37
3.53% Power Finance Corporation Limited **	INE134E08HP9	CRISIL AAA	2,350	24,354.30	1.89
ndiabulls Housing Finance Limited ** 8.04% Small Industries Dev Bank of India **	INE148I07BI0 INE556F09601	CAREAAA CAREAAA	2,350 2,000	23,872.40 20,299.92	1.85
.65% Kotak Mahindra Prime Ltd **	INE916DA7LE3	CRISIL AAA	1,750	17,713.13	1.3
3.75% Shriram Transport Finance Company Limited ** 3.10% Reliance Jio Infocomm Limited	INE721A07LC3 INE110L07054	CRISIL AA+ CRISIL AAA	1,750 1,634	17,650.66 16,464.12	1.3
.80% Indiabulls Housing Finance Limited **	INE148I07FT8	CAREAAA	1,600	15,961.14	1.2
.57% UltraTech Cement Limited ** .28% Rural Electrification Corporation Limited	INE481G07174 INE020B08989	CRISIL AAA CRISIL AAA	1,500 1,500	15,079.08 15,066.53	1.1
.50% Power Finance Corporation Limited ** .69% Tata Capital Financial Services Ltd **	INE134E08IH4	CRISIL AAA ICRA AA+	1,500	15,034.28	1.1 0.9
.90% Reliance Jio Infocomm Limited **	INE306N07HS0 INE110L08052	CRISIL AAA	1,250 1,000	12,607.48 10,316.62	0.9
.40% Nabha Power Ltd ** .00% ICICI Home Finance Company Ltd **	INE445L08169 INE071G08841	ICRA AAA(SO) ICRA AAA	1,000 2,000	10,178.05 10,016.03	0.7
.80% Indiabulls Housing Finance Limited **	INE148I07FV4	CAREAAA	1,000	9,976.41	0.7
.68% Tata Capital Housing Finance Ltd ** .99% Aditya Birla Nuvo Limited **	INE033L07EQ5 INE069A08038	CRISIL AA+ ICRA AA+	950 900	9,574.63 9,132.00	0.7
.28% IDFC Bank Limited **	INE009A08038 INE092T08AF3	ICRA AAA	900	9,132.00	0.7
.8956% Cholamandalam Investment and Finance Company Limited **	INE121A07ME0	ICRA AA	800	8,073.86	0.6
.69% Power Finance Corporation Limited **	INE134E07513	CRISIL AAA	750	7,865.51	0.6
.37% Rural Electrification Corporation Limited .95% PNB Housing Finance Ltd	INE020B08948 INE572E09395	CRISIL AAA CARE AAA	750 750	7,734.20 7,500.00	0.6
.68% Tata Capital Financial Services Ltd **	INE372E09395 INE306N07HU6	ICRA AA+	700	7,059.90	0.5
.30% Cholamandalam Investment and Finance company Limited (FRN) **	INE121A07LX2	ICRA AA	650	6,591.89	0.5
.70% Housing Development Finance	INE001A07NO1	CRISIL AAA	1,300	6,585.79	0.5
Corporation Limited ** .41% IDFC Bank Limited **	INE092T08AL1	ICRA AAA	630	6,431.13	0.5
2.82% Tata Capital Financial Services Ltd **	INE306N07BN4	ICRA AA+	598,916	6,411.56	0.5
.40% IDFC Bank Limited ** .61% Shriram Transport Finance Company Limited **	INE092T08AK3 INE721A07KO0	ICRA AAA CRISIL AA+	620 1,200	6,314.94 6,163.97	0.4
.67% Tata Capital Housing Finance Ltd **	INE033L07ER3	CRISIL AA+	600	6,047.23	0.4
.34% Nabha Power Ltd **	INE445L08094	ICRA AAA(SO)	550 500	5,501.27	0.4
.05% National Bank For Agriculture and tural Development	INE261F08576	CRISIL AAA	300	5,019.61	0.3
.38% Rural Electrification Corporation Limited ** .13% Cholamandalam Investment and Finance	INE020B07HY0 INE121A07MA8	CRISIL AAA ICRA AA	400 300	4,154.68 3,039.49	0.3
ompany Limited **					
.40% Rural Electrification Corporation Limited ** lero Fincorp Limited (ZCB) **	INE020B07IW2 INE957N07161	CRISIL AAA ICRA AA+	250 250	2,693.90 2,610.33	0.2
.04% Rural Electrification Corporation Limited	INE020B08856	CRISIL AAA	250	2,608.52	0.2
.20% Power Finance Corporation Limited (FRN) ** .72% Housing Development Finance Corporation	INE134E08CK1 INE001A07OQ4	CRISIL AAA CRISIL AAA	250 25	2,567.64 2,549.72	0.2
imited **			25		
.59% Housing Development Finance Corporation Limited **	INE001A07OM3	CRISIL AAA	25	2,546.45	0.2
.40% Nabha Power Ltd **	INE445L08151	ICRA AAA(SO)	250	2,543.71	0.2
.73% Shriram Transport Finance Company Limited ** .38% Housing Development Finance	INE721A07KU7 INE001A07LN7	CRISIL AA+ CRISIL AAA	250 250	2,523.19 2,521.70	0.2
orporation Limited ** .41% IDFC Bank Limited **	INE092T08AJ5	ICRA AAA	150	1,524.09	0.1
.36% Power Finance Corporation Limited **	INE092108AJ3 INE134E08GX5	CRISIL AAA	120	1,233.30	0.1
JC Housing Finance Limited (ZCB) **	INE115A07EQ3	CRISIL AAA	90	1,225.10	0.0
0.50% Tata Capital Financial Services Ltd ** 36% Power Finance Corporation Limited **	INE306N07BM6 INE134E08HV7	CRISIL AAA	108,551 100	1,140.90 1,032.02	0.0
hriram Transport Finance Company Limited (ZCB) **	INE721A07EX4	CRISIL AA+	50	724.16	0.0
.85% Power Grid Corporation of India Limited ** .25% Rural Electrification Corporation Limited **	INE752E07KB4 INE020B08773	CRISIL AAA CRISIL AAA	42 50	525.43 508.58	0.0
.40% Power Finance Corporation Limited **	INE134E08HN4	CRISIL AAA	50	508.05	0.0
.85% National Bank For Agriculture and tural Development **	INE261F08642	CRISIL AAA	35	354.38	0.0
.69% LIC Housing Finance Limited **	INE115A07ES9	CARE AAA	30	301.94	0.0
.81% Sundaram Finance Limited ** .27% Power Finance Corporation Limited **	INE660A07MX7 INE134E08EW2		30 21	301.91 213.46	0.0
.25% Rural Electrification Corporation Limited **	INE020B07IY8	CRISIL AAA	20	203.41	0.0
.335% Nabha Power Ltd ** .65% Rural Electrification Corporation Limited **	INE445L08110 INE020B07EG4	ICRA AAA(SO) CRISIL AAA	18 13	180.76 133.42	0.0
.28% Power Finance Corporation Limited	INE134E08HU9	CRISIL AAA	10	101.60	0.0
.40% Rural Electrification Corporation Limited ** .33% National Bank For Agriculture and Rural	INE020B08757 INE261F09HM2	CRISIL AAA CRISIL AAA	10 7	101.59 70.93	0.0
Development **					
.75% Housing Development Finance corporation Limited **	INE001A07HN5	CRISIL AAA	7	70.31	0.0
ub Total b) Privately placed / Unlisted				726,638.68	56.2
b) Privately placed / Unlisted .75% ONGC Petro Additions Ltd ** #	INE163N08032	CARE AAA(SO)	200	20,388.68	1.5
.00% Tata Capital Ltd ** #	INE976I08193	CRISIL AAA (SO)	1,000	10,065.60	0.7
.90% Powergrid Vizag Transmission Limited ** # .00% Tata Capital Ltd ** #	INE979S07016 INE976I08185	CRISIL AAA (SO) CRISIL AA+	700 500	7,250.21 5,030.69	0.5
ub Total				42,735.18	3.3
otal Government Securities				769,373.86	59.5
.8% GOI (MD 11/04/2021)	IN0020110022	SOV	97,000,000	100,470.66	7.7
.12% GOI (MD 10/12/2020) .27% GOI (MD 09/06/2020)	IN0020120054 IN0020140029	SOV SOV	73,000,000 39,500,000	76,354.42 41,358.91	5.9 3.2
.49% Punjab SDL (MD 24/08/2021)	IN2820160181	SOV	31,569,200	31,830.78	2.4
.5% Odisha SDL(MD 09/08/2021) .51% Gujarat SDL(MD 27/10/2020)	IN2720160034 IN1520100067	SOV SOV	21,605,000 20,000,000	21,799.64 20,966.10	1.6
.53% Maharashtra SDL (MD 27/10/2020)	IN2220100050	SOV	15,000,000	15,735.03	1.2
.79% GOI (MD 08/11/2021) .93% Punjab SDL (MD 23/07/19)	IN0020110030 IN2820140068	SOV SOV	10,000,000 10,000,000	10,784.47 10,487.42	0.8
.46% Rajashthan SDL (18/03/2019)	IN2920080073	SOV	10,000,000	10,487.42	0.8
.46% Maharashtra SDL (MD 12/03/2019) .52% Punjab SDL (MD 17/02/2021)	IN2220080054 IN2820100120	SOV SOV	10,000,000 8,763,300	10,327.80 9,162.22	0.8
.52% Punjab SDL (MD 17/02/2021) .45% Kerala SDL (18/03/2019)	IN2820100120 IN2020080098	SOV	8,500,000	9,162.22 8,776.56	0.7
.53% Andhra Pradesh SDL (MD 19/01/2021)	IN1020100138	SOV SOV	7,500,000	7,843.90 6.082.95	0.6
.28% GOI (MD 03/06/2019) .65% Haryana SDL(MD 11/05/2021)	IN0020130038 IN1620110024	SOV SOV	6,000,000 5,135,700	6,082.95 5,407.90	0.4
.48% Maharashtra SDL (MD 24/02/2020)	IN2220090087	SOV	5,000,000	5,201.43	0.4
3.32% Gujrat SDL (MD 04/08/2020) 3.19% GOI (MD 16/01/2020)	IN1520100034 IN0020110071	SOV SOV	5,000,000 5,000,000	5,197.82 5,196.31	0.4
3.67% Andhra Pradesh SDL(MD 26/05/2021)	IN1020110071	SOV	4,375,400	4,613.87	0.3
3.42% Himachal Pradesh SDL (MD 16/03/2021)					

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Name of the Instrument	ISIN	Rating	Quantity	Market/	
			,	Fair Value	Asset
8.40% Gujarat SDL (MD 24/11/2020)	IN1520100083	SOV	2,796,700	2,922.89	0.23
8.60% Maharashtra SDL (MD 24/11/2020)	IN2220110018	SOV	2,500,000	2,633.79	0.20
8.42% Maharashtra SDL (MD 25/08/2020)	IN2220110018 IN2220100035	SOV		2,608.71	0.20
8.35% Gujarat SDL (MD 06/10/2020)	IN1520100059	SOV	2,500,000	2,605.69	0.20
8.38% Haryana SDL(MD 16/03/2021)		SOV	2,500,000		0.20
•	IN1620100066	SOV	2,500,000	2,603.64	0.20
8.38% Gujarat SDL (MD 05/01/2021)	IN1520100091		2,498,200	2,598.96	
8.38% Gujarat SDL (MD 22/09/2020)	IN1520100042	SOV	2,362,000	2,463.40	0.199
8.44% Rajasthan SDL (25/08/2020)	IN2920100061	SOV	2,021,800	2,111.17	
8.08% Odisha SDL (MD 11/03/2020)	IN2720140028	SOV	1,571,400	1,616.24	0.139
8.39% Punjab SDL (MD 05/01/2021)	IN2820100104	SOV	1,196,100	1,243.64	0.109
8.39% Rajasthan SDL(MD 15/03/2021)	IN2920150306	SOV	1,000,000	1,038.16	0.089
8.21% RAJASTHAN SDL SPL ( MD 31/03/2018)	IN2920150371	SOV	1,000,000	1,015.50	0.089
GOI 7.94PCT 24/05/2021	IN0020060318	SOV	500,000	519.79	0.049
7.80% GOI (MD 03/05/2020).	IN0020100015	SOV	500,000	514.96	0.04
8.58% Karnataka SDL (MD 25/10/2016)	IN1920120038	SOV	268,200	268.50	0.02
8.75% Gujarat SDL(MD 03/10/2016)	IN1520120081	SOV	70,000	70.01	0.01
Total				442,887.31	34.30
Money Market Instruments					
Certificate of Deposit					
Corporation Bank ** #	INE112A16JK3	CRISIL A1+	15,000	14,592.84	1.139
IDBI Bank Limited ** #	INE008A16K29	CRISIL A1+	10,000	9,768.18	0.769
Kotak Mahindra Bank Limited ** #	INE237A16V03	CRISIL A1+	7,500	7,011.82	0.549
Corporation Bank ** #	INE112A16JY4	CRISIL A1+	5,000	4,858.21	0.389
ICICI Bank Limited ** #	INE090A161G0	ICRA A1+	2,500	2,428.59	0.199
Punjab & Sind Bank ** #	INE608A16MH0	ICRA A1+	1,500	1,455.23	0.119
Sub Total				40,114.87	3.119
Total				40,114.87	3.119
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				2,800.11	0.229
Sub Total				2,800.11	0.229
Total				2,800.11	0.229
Net Receivables / (Payables)				36,182.29	2.80
GRAND TOTAL			1	,291,358.44	100.009
FRN - Floating Rate Note , ZCB - Zero Coupon Bond					
** Thinly Traded / Non Traded Security					
Immy Indeed / Hon Hudee Security					

Plan

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

(2) Plan/Option wise Net Asset Values are as follows:

Regular Plan - Dividend Option	Rs. 11.2402	Rs. 10.6549	
Regular Plan - Growth Option	Rs. 60.0019	Rs. 56.8776	
Regular Plan - Monthly Dividend Option	Rs. 11.8534	Rs. 11.7544	
Discipline Advantage Plan	Rs. 18.4508	Rs. 17.4797	
Direct Plan - Dividend Option	Rs. 11.2204	Rs. 10.6308	
Direct Plan - Growth Option	Rs. 60.2333	Rs. 57.0682	
Direct Plan - Monthly Dividend Option	Rs. 11.8780	Rs. 11.7735	
Dividend in the following plans was declared during	the half year ended September 30,20	16. Per Unit (Rs.)	)
Direct Plan - Monthly Dividend Option		0.5332	!

As on September 30, 2016

As on March 31, 2016

Regular Plan - Monthly Dividend Option

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.  $(b) Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there$ 

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016

were no non-hedging transactions through futures which have been squared off/expired.

Underlying	Notional amount	Long/Short	Maturity date
7.8% GOI (MD 11/04/2021)	1000000000	Long	11-Apr-21
7.8% GOI (MD 11/04/2021)	1000000000	Long	11-Apr-21
7.8% GOI (MD 11/04/2021)	2000000000	Long	11-Apr-21
7.8% GOI (MD 11/04/2021)	1000000000	Long	11-Apr-21
7.8% GOI (MD 11/04/2021)	2000000000	Long	11-Apr-21
7.8% GOI (MD 11/04/2021)	100000000	Long	11-Apr-21

(5) The Average Maturity Period of the Portfolio is 2.9 years

(6) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

BIRLA SUN I		INTERVAL FUND - S	DRIES 4	
	(An Interval In			
	Portfolio as on Sep	otember 30, 2016		
Name of the Instrument	ISIN	Industry^/Rating	Quantity Market/	% to Ne
			Fair Value	Asset
			(Rs in Lacs.)	
CBLO / Reverse Repo				
Clearing Corporation of India Ltd			52.98	97.33%
Sub Total			52.98	97.33%
Total Control			52.98	97.33%
Net Receivables / (Payables)			1.45	2.66%
GRAND TOTAL			54.43	100.00%

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

(2) Plan/Option wise Net Asset Values are as follows

(4) Details of derivatives exposure and transaction

	*			
	Plan	As on September 30, 2016	As on March 31, 2016	(
	Regular Plan - Dividend Option	Rs. 10.0050	Rs. 10.0035	
	Regular Plan - Growth Option	Rs. 19.8364	Rs. 19.2460	
	Direct Plan - Growth Option	Rs. 19.8363	Rs. 19.2458	
(3)	Dividend in the following plans was declared during	g the half year ended September 30, 2016.	Per Unit (Rs.)	
	Regular Plan - Dividend Option		0.3029	(

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging (4) Details of derivatives exposure and transaction: transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

 $(c)\ Hedging\ Position\ through\ Put\ Options\ as\ on\ September\ 30,\ 2016\ is\ Nil\ and\ For\ the\ half\ year\ ended\ September\ 30,\ 2016\ there\ were$ no hedging transactions through option which have exercised/expired. \\

 $(d) \ Other \ than \ Hedging \ Positions \ through \ Options \ as \ on \ September \ 30,2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there$ were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil.

(5) The Average Maturity Period of the Portfolio is 0.01 years. Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

ry^/Rating Quantity	Mar Fair Va (Rs in La

Name of the Instrument	ISIN	Industry^/Rating	Quantity	Market/	
				Fair Value	Asset
			(	(Rs in Lacs.)	
Government Securities					
7.72% GOI (MD 25/05/2025)	IN0020150036	SOV	18,000,000	18,805.82	25.239
7.59% GOI(MD 11/01/2026)	IN0020150093	SOV	13,700,000	14,282.18	19.169
8.17% GOI (MD 01/12/2044)	IN0020140078	SOV	10,114,600	11,292.99	15.159
7.73% GOI (MD 19/12/2034)	IN0020150051	SOV	7,000,000	7,429.86	9.979
8.60% Bihar SDL (MD 09/03/2026)	IN1320150056	SOV	6,500,000	7,045.62	9.459
7.59% GOI (MD 20/03/2029)	IN0020150069	SOV	5,000,100	5,234.71	7.029
7.61% GOI(MD 09/05/2030)	IN0020160019	SOV	2,500,000	2,637.78	3.54
7.35% GOI (MD 22/06/2024)	IN0020090034	SOV	2,500,000	2,552.03	3.42
3.24% GOI (MD 10/11/2033)	IN0020140052	SOV	2,000,000	2,210.03	2.97
7.68% GOI (MD 15/12/2023)	IN0020150010	SOV	300,000	312.19	0.42
8.30% GOI (MD 02/07/2040)	IN0020100031	SOV	300	0.34	
Total				71,803.55	96.34
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				1,380.54	1.85
Sub Total				1,380.54	1.85
Total				1,380.54	1.85
Net Receivables / (Payables)				1,343.62	1.80
GRANDTOTAL				74,527.71	100.00

\$ Less Than 0.01% of Net Asset Value

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil

Plan	As on September 30, 2016	As on March 31, 2016
Regular Plan - Dividend Option	Rs. 11.8853	Rs. 10.9215
Regular Plan - Growth Option	Rs. 47.9628	Rs. 44.0734
Regular Plan - Half Yearly Dividend Option	Rs. 10.7546	Rs. 10.4544
Direct Plan - Half Yearly Dividend Option	Rs. 11.3022	Rs. 10.9481
Direct Plan - Growth Option	Rs. 48.8960	Rs. 44.7822
Direct Plan - Dividend Option	Rs. 12.1674	Rs. 11.0170

(3) Dividend in the following plans was declared during the half year ended September 30, 2016. Per Unit (Rs.) Regular Plan - Half Yearly Dividend Option

(4) Details of derivatives exposure and transaction:

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30,  $2016\,$ 

Underlying	Notional amount	Long/Short	Maturity date
7.72% GOI (MD 25/05/2025)	250,000,000.00	Long	25-May-25
8.17% GOI (MD 01/12/2044)	500,000,000.00	Long	01-Dec-44
7.72% GOI (MD 25/05/2025)	250,000,000.00	Long	25-May-25
8.17% GOI (MD 01/12/2044)	500,000,000.00	Long	01-Dec-44

0.5323 (5) The Average Maturity Period of the Portfolio is 13.07 years. (6) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

BIRLA SUN LIFE INTERVAL INCOME FUND - ANNUAL PLAN X

(An Interval Income Scheme) Portfolio as on September 30, 2016

Name of the Instrument	ISIN	Rating	Quantity	Market/	% to Net
			a	Fair Value	Assets
			(1	Rs in Lacs.)	
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
9.25% Rural Electrification Corporation Limited **	INE020B08773	CRISIL AAA	25	254.29	10.26%
9.30% Power Finance Corporation Limited	INE134E08GE5	CRISIL AAA	25	254.27	10.25%
9.60% Housing Development Finance	INE001A07IP8	CRISIL AAA	24	243.28	9.81%
Corporation Limited **					
Sub Total				751.84	30.32%
(b) Privately placed / Unlisted				NIL	NII.
Sub Total				NIL	NII.
Total				751.84	30.32%
Money Market Instruments					
Certificate of Deposit					
Andhra Bank ** #	INE434A16OA2	CARE A1+	275	259.32	10.46%
Vijaya Bank ** #	INE705A16OY5	CARE A1+	275	259.30	10.46%
Kotak Mahindra Bank Limited ** #	INE237A16R74	CRISIL A1+	270	258.43	10.42%
Axis Bank Limited ** #	INE238A16O27	CRISIL A1+	250	234.76	9.47%
Yes Bank Limited ** #	INE528G16I28	ICRA A1+	250	234.63	9.46%
ICICI Bank Limited ** #	INE090A163I2	ICRA A1+	201	190.79	7.69%
Sub Total				1,437.23	57.96%
Commercial Paper					
Kotak Mahindra Investments Ltd ** #	INE975F14JQ4	CRISIL A1+	48	224.12	9.04%
Sub Total				224.12	9.04%
Total				1,661.35	67.00%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				55.98	2.26%
Sub Total				55.98	2.26%
Total				55.98	2.26%
Net Receivables / (Payables)				10.48	0.42%
GRAND TOTAL				2,479.65	100.00%

#Unlisted Security

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

(2) Plan/Option wise Net Asset Values are as follows: Plan As on September 30, 2016

			,	
	Regular Plan - Dividend Option	Rs. 10.0706	Rs. 10.4611	
	Regular Plan - Growth Option	Rs. 13.0854	Rs. 12.5978	
	Regular Plan - Quarterly Dividend Option	Rs. 10.0706	Rs. 10.3489	
	Direct Plan - Growth Option	Rs. 13.1044	Rs. 12.6171	
)	Dividend in the following plans was declared during the ha	Dividend in the following plans was declared during the half year ended September 30, 2016.		
	Regular Plan - Dividend Option			0.789
	Regular Plan - Quarterly Dividend Option			0.671

As on March 31, 2016

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

 $(d)\ Other\ than\ Hedging\ Positions\ through\ Options\ as\ on\ September\ 30,2016\ is\ Nil\ and\ For\ the\ half\ year\ ended\ September\ 30,2016$ 

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there were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016 is Nil.

(5) The Average Maturity Period of the Portfolio is 0.83 years.

(6) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

(6) Details of Repo as on September 30, 2016 is NIL.

## ✓ Over 3.2 million investor scheme accounts





Market/ % to Net

Fair Value (Rs in Lacs.)

105,000 5,751,17 6,90%

Quantity

BIRLA SUN LIFE MANUFACTURING EQUITY FUND

(An Open ended Manufacturing Sector Scheme) Portfolio as on September 30, 2016

INE585B01010 Auto

### Half Yearly Portfolio Statement as on September 30, 2016

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996) BIRLA SUN LIFE INTERVAL INCOME FUND - QUARTERLY PLAN - SERIES I

	n Interval Income olio as on Septem				
Name of the Instrument	ISIN	Industry^/Rating	Quantity	Market/ Fair Value (Rs in Lacs.)	% to Net
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
8.28% Rural Electrification Corporation Limited	INE020B08989	CRISIL AAA	50	502.22	8.30%
Sub Total				502.22	8.30%
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				502.22	8.30%
Money Market Instruments					
Certificate of Deposit					
Corporation Bank ** #	INE112A16JJ5	CRISIL A1+	700	682.71	11.28%
Bank of Maharashtra ** #	INE457A16HP5	CRISIL A1+	700	679.30	11.22%
Axis Bank Limited ** #	INE238A16I58	CRISIL A1+	700	677.42	11.19%
IDBI Bank Limited ** #	INE008A16M68	CRISIL A1+	500	487.71	8.06%
Andhra Bank ** #	INE434A16MW0	CARE A1+	500	486.27	8.03%
IndusInd Bank Limited ** #	INE095A16SA2	CRISIL A1+	500	485.83	8.02%
Yes Bank Limited ** #	INE528G16F21	ICRA A1+	500	485.11	8.01%
National Bank For Agriculture and Rural	INE261F16207	CRISIL A1+	500	484.71	8.01%
Development ** #					
Vijaya Bank ** #	INE705A16OD9	CARE A1+	325	314.67	5.20%
Sub Total				4,783.73	79.02%
Commercial Paper					
ICICI Home Finance Company Ltd ** #	INE071G14AB1	ICRA A1+	140	682.11	11.27%
Sub Total				682.11	11.27%
Total				5,465.84	90.28%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				60.98	1.01%
Sub Total				60.98	1.01%
Total				60.98	1.01%
Net Receivables / (Payables)				25.09	0.41%
GRANDTOTAL				6,054.13	100.00%

\*\* Thinly Traded / Non Traded Security

#Unlisted Security

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

Plan/Option wise Net Asset Values are as follows: Plan As on September 30, 2016 As on March 31, 2016 Regular Plan - Growth Option Rs. 13.3912 Rs. 12.8813 Direct Plan - Dividend Option Rs. 10.4022 Rs. 10.0035 Direct Plan - Growth Option Rs. 13.4076 Rs. 12.8951

No dividend was declared during the half year ended September 30, 2016. Details of derivatives exposure and transaction:

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

 $(b) Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ Nil \ and \ And \ And \ Nil \ And \ And \ Nil \ And \ An$ non-hedging transactions through futures which have been squared off/expired  $(c) \ Hedging \ Position \ through \ Put \ Options \ as \ on \ September \ 30,2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there \ were \ no \ hedging \ Position \ Put \ Options \ as \ on \ September \ September \ 30,2016 \ there \ were \ no \ hedging \ Position \ Put \ Options \ as \ on \ September \ September \ 30,2016 \ there \ were \ no \ hedging \ Position \ Put \ Options \ Appendix \ Put \ Put \ Options \ Appendix \ Put \ Options \$ 

transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired (e) Hedging Positions through swaps as on September 30, 2016 is Nil.

The Average Maturity Period of the Portfolio is 0.43 years. Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

## BIRLA SUN LIFE INTERVAL INCOME FUND - ANNUAL PLAN IX (An Interval Income Scheme)

Portfolio as on September 30, 2016

Name of the Instrument	ISIN	Rating	Quantity	Market/	% to Net
Traine of the Histratical	EJEV	Rating	Quantity	Fair Value	Assets
			(1	Rs in Lacs.)	
Money Market Instruments					
Certificate of Deposit					
ICICI Bank Limited ** #	INE090A163I2	ICRA A1+	1,800	1,708.58	12.01%
Axis Bank Limited ** #	INE238A16N85	CRISIL A1+	1,800	1,698.24	11.94%
Andhra Bank ** #	INE434A16OA2	CARE A1+	1,800	1,697.40	11.93%
Vijaya Bank ** #	INE705A16OY5	CARE A1+	1,800	1,697.26	11.93%
Kotak Mahindra Bank Limited ** #	INE237A16T64	CRISIL A1+	1,800	1,696.56	11.92%
Yes Bank Limited ** #	INE528G16H52	ICRA A1+	1,800	1,695.80	11.92%
The South Indian Bank Limited ** #	INE683A16IV2	CARE A1+	1,800	1,695.52	11.92%
Export Import Bank of India ** #	INE514E16AR6	CRISIL A1+	1,740	1,641.80	11.54%
IndusInd Bank Limited ** #	INE095A16TN3	CRISIL A1+	700	659.67	4.64%
Sub Total				14,190.83	99.74%
Total				14,190.83	99.74%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				39.99	0.28%
Sub Total				39.99	0.28%
Total				39.99	0.28%
Net Receivables / (Payables)				(2.81)	-0.02%
GRAND TOTAL				14,228.01	100.00%

\* Thinly Traded / Non Traded Security #Unlisted Security

Regular Plan - Dividend Option

The provision made for Non Performing Assets as of September 30, 2016 is Nil.

(2) Plan/Option wise Net Asset Values are as follows

	C I				
	Regular Plan - Growth Option	Rs. 13.0437	Rs. 12.5702		
	Regular Plan - Quarterly Dividend Option	Rs. 10.1068	Rs. 10.3729		
	Direct Plan - Dividend Option	Rs. 10.1083	Rs. 10.5084		
	Direct Plan - Growth Option	Rs. 13.0702	Rs. 12.5883		
(3)	Dividend in the following plans was declared during the half year ended September 30, 2016.				
	Direct Plan - Dividend Option			0.7937	
	Regular Plan - Dividend Option			0.7782	

As on September 30, 2016

Rs. 10.1067

Regular Plan - Quarterly Dividend Option Details of derivatives exposure and transaction

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

 $(d) Other than \ Hedging \ Positions \ through \ Options \ as \ on \ September \ 30,2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there$ were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil.

The Average Maturity Period of the Portfolio is 0.85 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

			(An Interval Incor Portfolio as on Septer					(An Oper Po
t	Na	ame of the Instrument	ISIN	Industry^/Rating	Quantity	Market/ Fair Value	% to Net Assets	Name of the Instrument
	ΙL				(	Rs in Lacs.)		
	CI	BLO / Reverse Repo						Equity & Equity related
	Cl	earing Corporation of India Ltd				376.87	98.34%	(a) Listed / awaiting listing on Stock Exchanges
	Su	b Total				376.87	98.34%	Maruti Suzuki India Limited
1	To	tal				376.87	98.34%	Tata Chemicals Limited
1	Ne	et Receivables / (Payables)				6.35	1.66%	
1	Gl	RANDTOTAL				383.22	100.00%	Sun Pharmaceuticals Industries Limited
	No	tes:						Larsen & Toubro Limited
	(1)	(1) The provision made for Non Perfor	ming Assets as of September	30, 2016 is Nil.				ITC Limited
,	(2)	Plan/Option wise Net Asset Values are	as follows:					Dishman Pharmaceuticals and Chemicals Limited
		Plan	As on Septembe	er 30, 2016	As on March 3	1, 2016		GlaxoSmithKline Consumer Healthcare Limited
		Regular Plan - Dividend Option	Rs. 10.06	i33	Rs. 10.066	51		
,	1	Regular Plan - Growth Option	Rs. 20.37	47	Rs. 19.76	40		Eicher Motors Limited

Rs. 10.0633 Rs. 10.0661 Institutional Plan - Dividend Option Rs. 20.3865 Rs. 19.7718 Direct Plan - Growth Option 3) Dividend in the following plans was declared during the half year ended September 30, 2016. Per Unit (Rs.) Institutional Plan - Dividend Option Regular Plan - Dividend Option 0.3094

 Details of derivatives exposure and transaction:  $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30,2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there \ were \ no \ hedging \ Position \ Angles \ Position \$ transactions through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30,2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016 is Nil.

 The Average Maturity Period of the Portfolio is 0.01 years. (6) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

of the Instrument	ISIN	Industry^/Rating	Quantity
	(An Open Ended Portfolio as on Sep	1 ,	
E	SIRLA SUN LIFE IND	IA REFORMS FUND	

Market/ % to Net

Fair Value Assets

			(	Rs in Lacs.)	
Equity & Equity related					
(a) Listed / awaiting listing on Stock Exchanges					
Yes Bank Limited	INE528G01019	Banks	100,000	1,254.70	8.829
Eicher Motors Limited	INE066A01013	Auto	4,000	993.11	6.989
Dalmia Bharat Limited	INE439L01019	Cement	46,300	866.27	6.099
Repco Home Finance Limited	INE612J01015	Finance	104,300	852.55	6.009
Voltas Limited	INE226A01021	Construction Project	200,000	758.20	5.339
Capital First Limited	INE688I01017	Finance	106,000	734.90	5.179
State Bank of India	INE062A01020	Banks	288,000	723.60	5.099
ICICI Bank Limited	INE090A01021	Banks	258,000	650.55	4.589
IndusInd Bank Limited	INE095A01012	Banks	54,174	647.89	4.569
Maruti Suzuki India Limited	INE585B01010	Auto	10,000	547.73	3.859
Bajaj Finserv Limited	INE918I01018	Finance	17,500	537.17	3.789
UltraTech Cement Limited	INE481G01011	Cement	13,000	500.70	3.529
Cummins India Limited	INE298A01020	Industrial Products	46,000	416.53	2.939
HDFC Bank Limited	INE040A01026	Banks	30,000	381.86	2.699
Century Textiles & Industries Limited	INE055A01016	Cement	39,000	367.79	2.599
Larsen & Toubro Limited	INE018A01030	Construction Project	25,000	358.29	2.529
Shree Cements Limited	INE070A01015	Cement	1,800	306.77	2.169
Mahindra & Mahindra Limited	INE101A01026	Auto	20,000	281.14	1.989
Ashoka Buildcon Limited	INE442H01029	Construction Project	140,000	238.63	1.689
Equitas Holdings Limited	INE988K01017	Finance	130,000	227.05	1.609
Tata Motors Ltd DVR Shares	IN9155A01020	Auto	59,000	200.90	1.419
Kotak Mahindra Bank Limited	INE237A01028	Banks	25,000	194.25	1.379
Atul Auto Limited	INE951D01028	Auto	38,200	182.71	1.299
GE Power India Limited	INE878A01011	Industrial Capital Goods	21,350	117.57	0.839
Zee Entertainment Enterprises Limited	INE256A04022	Media & Entertainment	126,000	11.91	0.089
(Preference Share)					
Sub Total				12,352.77	86.88%
(b) Unlisted				NIL	NII
Sub Total				NIL	NII
Total				12,352.77	86.88%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				1,969.34	13.859
Sub Total				1,969.34	13.85%
m				10000	10.00

^Industry classification as recommended by AMFI and wherever not available, internal classification has been used

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

(2) Plan/Option wise Net Asset Values are as follows:

Net Receivables / (Payables)

GRAND TOTAL

0.6486

As on March 31, 2016

Rs. 10.4987

Plan	As on September 30, 2016	As on March 31, 2016
Regular Plan - Dividend Option	Rs. 13.59	Rs. 11.05
Regular Plan - Growth Option	Rs. 16.22	Rs. 13.18
Direct Plan - Dividend Option	Rs. 16.58	Rs. 13.41
Direct Plan - Growth Option	Rs. 16.56	Rs. 13.41

(3) No dividend was declared during the half year ended September 30, 2016.

(4) Details of derivatives exposure and transaction

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

 $(b) Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there$ were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

 $(d) Other than \ Hedging \ Positions \ through \ Options \ as \ on \ September \ 30,2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there$ were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016 is Nil.

(5) During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.1 times

The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%.

(8) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

l	Maruti Suzuki India Limited	INE282B01010	Auto	105,000	5,/51.17	6.90%
ł	Tata Chemicals Limited	INE092A01019	Chemicals	1,000,000	5,246.00	6.29%
t	Sun Pharmaceuticals Industries Limited	INE044A01036	Pharmaceuticals	400,000	2,970.80	3.56%
_	Larsen & Toubro Limited	INE018A01030	Construction Project	200,000	2,866.30	3.44%
	ITC Limited	INE154A01025	Consumer Non Durables	1,157,550	2,793.75	3.35%
	Dishman Pharmaceuticals and Chemicals Limited	INE353G01020	Pharmaceuticals	1,000,000	2,593.50	3.11%
	GlaxoSmithKline Consumer Healthcare Limited	INE264A01014	Consumer Non Durables	42,000	2,534.43	3.04%
	Eicher Motors Limited	INE066A01013	Auto	10,000	2,482.78	2.98%
	Vedanta Limited	INE205A01025	Non - Ferrous Metals	1,400,000	2,408.70	2.89%
	Century Textiles & Industries Limited	INE055A01016	Cement	252,305	2,379.36	2.85%
	Asian Paints Limited	INE021A01026	Consumer Non Durables	200,000	2,321.40	2.78%
	MRF Limited	INE883A01011	Auto Ancillaries	4,500	2,289.26	2.75%
	Tata Motors Limited	INE155A01022	Auto	422,018	2,257.16	2.71%
g	Grasim Industries Limited	INE047A01013	Cement	45,000	2,176.45	2.61%
	Bharat Electronics Limited	INE263A01016	Industrial Capital Goods	173,248	2,156.50	2.59%
e	UltraTech Cement Limited	INE481G01011	Cement	55,000	2,118.35	2.54%
e	Kansai Nerolac Paints Limited	INE531A01024	Consumer Non Durables	530,000	1,908.80	2.29%
	Hindustan Zinc Limited	INE267A01025	Non - Ferrous Metals	809,200	1,888.27	2.26%
e	AIA Engineering Limited	INE212H01026	Industrial Products	129,950	1,675.64	2.01%
	Torrent Pharmaceuticals Limited	INE685A01028	Pharmaceuticals	100,000	1,623.55	1.95%
	Piramal Enterprises Limited	INE140A01024	Pharmaceuticals	86,589	1,591.94	1.91%
	Hero MotoCorp Limited	INE158A01026	Auto	44,500	1,519.10	1.82%
	Dr. Reddy's Laboratories Limited	INE089A01023	Pharmaceuticals	48,789	1,516.07	1.82%
	Tata Steel Limited	INE081A01012	Ferrous Metals	400,000	1,497.00	1.80%
	United Spirits Limited	INE854D01016	Consumer Non Durables	60,000	1,479.87	1.77%
t	Tata Coffee Limited Rallis India Limited	INE493A01027	Consumer Non Durables	1,200,000	1,479.60	1.77%
8	Bata India Limited	INE613A01020 INE176A01028	Pesticides Consumer Durables	640,715	1,429.76	1.71%
	Greaves Cotton Limited	INE170A01028 INE224A01026	Industrial Products	285,316	1,398.90	1.59%
	Cummins India Limited	INE298A01020	Industrial Products	1,023,253 140,000	1,329.21 1,267.70	1.52%
	Voltas Limited	INE226A01021	Construction Project	330,057	1,251.25	1.50%
	S H Kelkar and Company Limited	INE500L01026	Consumer Non Durables	382,640	1,162.27	1.39%
	HeidelbergCement India Limited	INE578A01017	Cement	840,799	1,107.33	1.33%
	Reliance Industries Limited	INE002A01018	Petroleum Products	100,000	1,083.70	1.30%
	WABCO India Limited	INE342J01019	Auto Ancillaries	15,838	985.54	1.18%
,	United Breweries Limited	INE686F01025	Consumer Non Durables	100,000	904.00	1.08%
,	Exide Industries Limited	INE302A01020	Auto Ancillaries	481,250	880.69	1.06%
,	Hindustan Unilever Limited	INE030A01027	Consumer Non Durables	100,000	867.85	1.04%
	HSIL Limited	INE415A01038	Consumer Durables	250,000	850.00	1.02%
	Raymond Limited	INE301A01014	Textile Products	137,525	734.93	0.88%
	Kewal Kiran Clothing Limited	INE401H01017	Textile Products	38,000	722.13	0.87%
	Bajaj Auto Limited	INE917I01010	Auto	25,000	706.90	0.85%
	UPL Limited	INE628A01036	Pesticides	100,000	673.85	0.81%
	Birla Corporation Limited	INE340A01012	Cement	83,935	590.19	0.71%
	Clariant Chemicals (India) Limited	INE492A01029	Chemicals	73,742	545.80	0.65%
,	Inox Wind Limited	INE066P01011	Industrial Capital Goods	190,000	380.48	0.46%
,	Texmaco Rail & Engineering Limited	INE621L01012	Industrial Capital Goods	134,000	142.44	0.17%
	IFGL Refractories Limited	INE023B01012	Industrial Products	19,600	24.83	0.03%
	Sub Total				80,565.50	96.63%
	(b) Unlisted				NIL	NIL
	Sub Total				NIL	NIL
	Total				80,565.50	96.63%
	Cash and Bank				(0.40)	\$
	Total				(0.40)	\$
,	Margin (Future and Options)				100.00	0.12%
,	Total				100.00	0.12%
,	CBLO / Reverse Repo					
	Clearing Corporation of India Ltd				2,566.14	3.08%
	Sub Total				2,566.14	3.08%
	Total				2,566.14	3.08%
	Net Receivables / (Payables)				144.16	0.17%
1	GRANDTOTAL				83,375.40	100.00%

\$ Less Than 0.01% of Net Asset Value

1,969.34 13.85%

(104.05) -0.73%

14,218.06 100.00%

^Industry classification as recommended by AMFI and wherever not available, internal classification has been used

(1) The provision made for Non Performing Assets as of September 30,2016 is Nil. (2) Plan/Option wise Net Asset Values are as follows:

As on September 30, 2016 As on March 31, 2016 Regular Plan - Dividend Option Rs. 11.71 Rs. 9.51 Regular Plan - Growth Option Rs. 11.71 Rs. 9.51 Direct Plan - Dividend Option Rs. 9.63 Rs. 11.92 Direct Plan - Growth Option Rs. 11.89 Rs. 9.61

No dividend was declared during the half year ended September 30, 2016.

Details of derivatives exposure and transaction:

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures and Position through Futures as on September 30, 2016 the Nil and Position through Futures as on September 30, 2016 the Nil and Position through Futures as on September 30, 2016 the Nil and Position through Futures as on September 30, 2016 the Nil and Position through Futures as on September 30, 2016 the Nil and Position through Futures and Position through Futures as on September 30, 2016 the Nil and Position through Futures as on September 30, 2016 the Nil and Position through Futures as on September 30, 2016 the Nil and Position through Futures as on September 30, 2016 the Nil and Position through Futures as on September 30, 2016 the Nil and Position through Futures as on September 30, 2016 the Nil and Position through Futures as on September 30, 2016 the Nil and Position through Futures as on September 30, 2016 the Nil and Position through Futures as on September 30, 2016 the Nil and Position through Futures As of September 30, 2016 the Nil And Position through Futures As of Septetransactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 Details of Repo as on September 30, 2016 is NIL.

Underlying	Long/Short	Futures Price when purchased Rs.	Current price of the contract Rs.	Margin maintained in Rs. Lakhs
Nifty Index	Long	8,680.00	8,640.65	11.41
				•

Total exposure due to futures (non hedging positions) as a %age of net assets is 0.17%

For the half year ended September 30,2016 following non-hedging transactions through futures which have been squared off/expired.

Total Number of contracts where futures were bought	Gross Notional Value of contracts where futures were bought"Rs.				
22.00	14,326,296.60				
c) Hedging Position through Put Options as on September 30,2016 is Nil and For the half year ended September 30,2016 there were no					

(d) Other than Hedging Positions through Options as on September 30,2016 is Nil and For the half year ended September 30,2016 there

were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30,2016 is Nil.

hedging transactions through option which have exercised/expired.

During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.12 times

The total value of illiquid Equity Securities is  $0.00\ \mathrm{crores}$  and its percentage to Net Assets is 0.00%.

(7) Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30,2016 is 0%.

Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30,2016 is 0%. (8) Details of Repo in Corporate Debt Securities as on September 30,2016 is NIL.

## ✓ Over 3.2 million investor scheme accounts

BIRLA SUN LIFE MONTHLY INCOME



This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September 2016.

## Half Yearly Portfolio Statement as on September 30, 2016

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996) BIRLA SUN LIFE MEDIUM TERM PLAN

> Market/ % to Net Fair Value

(An Open Ended Scheme. Monthly incom	folio as on Septem						pen Ended Inco io as on Septem		
Name of the Instrument	ISIN	Industry^/Rating	Quantity	Market/ Fair Value Rs in Lacs.)	% to Net Assets	Name of the Instrument	ISIN	Rating	Quantity
Debt Instruments						Debt Instruments			
(a) Listed / awaiting listing on Stock Exchange						(a) Listed / awaiting listing on Stock Exchange			
10.75% IDBI Bank Limited	INE008A08U84	CRISIL A	280	2,783.02	10.80%	Piramal Finance Priviate limited (ZCB) **	INE140A07245	ICRA AA	5,000
9.20% Power Finance Corporation Limited (FRN) **	INE134E08CK1	CRISIL AAA	180	1,848.70	7.17%	10.25% Adani Transmission Limited **	INE931S07157	IND AA+	4,800
9.25% Power Grid Corporation of India Limited **	INE752E07JD2	CRISIL AAA	20	255.49	0.99%	ECL Finance Ltd (ZCB) ** 7.00% RKN RETAIL PVT. LTD **	INE804I07ZQ0 INE270O08017	ICRA AA BWR A-(SO)	4,000 330
9.61% Power Finance Corporation Limited **	INE134E08DQ6	CRISIL AAA	7	75.86	0.29%	11.00% IL & FS Education and Technology	INE896F07068	IND AA-(SO)	1,986
Sub Total				4,963.07	19.25%	Services Ltd **	112070107000	Editi(50)	1,700
(b) Privately placed / Unlisted				NIL	NIL	Indiabulls Properties Private Limited (ZCB) **	INE066I07013	CARE AA-(SO)	2,000
Sub Total				NIL	NIL	8.80% Indiabulls Housing Finance Limited **	INE148I07FV4	CARE AAA	1,750
Total				4,963.07	19.25%	Dewan Housing Finance Corporation Limited (ZCB) **	INE202B07AK8	CARE AAA	927
Government Securities						9.40% Dewan Housing Finance Corporation Limited **	INE202B07GR0	CARE AAA	1,050
7.59% GOI (MD 20/03/2029)	IN0020150069	SOV	7,000,000	7,328.45	28.43%	8.80% Indiabulls Housing Finance Limited **	INE148I07FT8	CARE AAA	1,000
7.61% GOI(MD 09/05/2030)	IN0020160019	SOV	1,500,000	1,582.67	6.14%	11.50% Bank of India **	INE084A08078	BWR AA-	940
8.60% GOI (MD 02/06/2028)	IN0020140011	SOV	1,000,000	1,114.23	4.32%	10.52% IL & FS Education and Technology	INE896F07050	IND AA-(SO)	1,300
8.13% GOI (MD 22/06/2045)	IN0020150044	SOV	900,000	1,004.22	3.90%	Services Ltd ** 9.46% Indiabulls Housing Finance Limited **	INIE 1 40107E C7	CAREAAA	850
8.17% GOI (MD 01/12/2044)	IN0020140078	SOV	500,000	558.25	2.17%	8.37% Nirchem Cement Limited **	INE148I07EC7 INE548V07013	CARE AAA CRISIL AA	750
7.73% GOI (MD 19/12/2034)	IN0020150051	SOV	500,000	530.70	2.06%	9.43% Tata Power Renewable Energy Ltd **	INE548 V07013 INE607M08014	CARE AA	700
7.8% GOI (MD 11/04/2021)	IN0020130031 IN0020110022	SOV	500,000	517.89	2.00%	11.25% Syndicate Bank **	INE667A08062	CARE AA-	650
						9.50% Hinduja Leyland Finance Ltd (FRN) **	INE146O07235	CARE A+	600
8.15% GOI (MD 24/11/2026)	IN0020140060	SOV	461,000	495.59	1.92%	10.95% Oriental Bank of Commerce **	INE141A08050	IND A+	550
8.28% GOI (M/D 21/09/2027)	IN0020070069	SOV	400,000	436.01	1.69%	11.25% Syndicate Bank **	INE667A08070	CARE AA-	480
9.40% Andhra Pradesh SDL (MD 30/01/2024)	IN1020130135	SOV	200,000	223.20	0.87%	Edelweiss Finance & Investments LTD (ZCB) **	INE918K07987	ICRA AA	250
Total				13,791.21	53.50%	Edelweiss Retail Finance Ltd (ZCB) **	INE528S07011	ICRA AA	250
Equity & Equity related						9.50% Shriram Transport Finance Company Limited	INE721A07HR9	IND AA+	150
(a) Listed / awaiting listing on Stock Exchanges						(FRN) **			
Repco Home Finance Limited	INE612J01015	Finance	48,600	397.26	1.54%	9.10% Vedanta Limited **	INE268A07145	CRISIL AA-	100
Eicher Motors Limited	INE066A01013	Auto	1,300	322.76	1.25%	9.02% Rural Electrification Corporation Limited **	INE020B07IV4	CRISIL AAA	50
Bajaj Finance Limited	INE296A01024	Finance	24,400	257.64	1.00%	8.47% Nirchem Cement Limited	INE548V07021	CRISIL AA	50
HDFC Bank Limited	INE040A01026	Banks	20,000	254.57	0.99%	12.12% Kotak Mahindra Prime Ltd **	INE916DA7BU0		6
Equitas Holdings Limited	INE988K01017	Finance	118,000	206.09	0.80%	9.64% Power Finance Corporation Limited **	INE134E08DZ7	CRISIL AAA	5
V-Mart Retail Limited	INE665J01013	Retailing	38,400	194.84	0.76%	9.51% LIC Housing Finance Limited ** Sub Total	INE115A07FK3	CRISIL AAA	3
Kewal Kiran Clothing Limited	INE401H01017	Textile Products	8,900	169.13	0.66%	(b) Privately placed / Unlisted			
Indian Oil Corporation Limited	INE242A01010	Petroleum Products	28,000	163.10	0.63%	10.00% S.D. Corporation Pvt. Ltd ** #	INE660N08029	ICRA AA(SO)	270
IndusInd Bank Limited	INE095A01012	Banks	12,000	143.51	0.56%	11.00% RHC Holding Pvt Ltd ** #	INE657K07296	CARE A(SO)	1,000
K.P.R. Mill Limited	INE930H01015	Textile Products	10,900	132.76	0.52%	10.60% Relationships Properties Pvt Ltd ** #	INE395R08015	CARE AA-(SO)	2,250
Tata Motors Limited	INE155A01022	Auto	23,100	123.55	0.48%	11.10% Systemtrac Traders Private Limited ** #	INE525V07011	ICRA AA-(SO)	2,200
						11.00% RHC Holding Pvt Ltd ** #	INE657K07288	CARE A(SO)	760
ITC Limited	INE154A01025	Consumer Non Durables	44,100	106.44	0.41%	9.8388% Tata Motors Finance Solutions Ltd ** #	INE477S08043	CRISIL AA	200
City Union Bank Limited	INE491A01021	Banks	78,000	103.04	0.40%	Adani Power Limited (ZCB) ** #	INE814H08024	BWR AA-(SO)	200
ICICI Bank Limited	INE090A01021	Banks	40,000	100.86	0.39%	Adani Power Limited (ZCB) ** #	INE814H08016	BWR AA-(SO)	190
Dr. Lal Path Labs Ltd.	INE600L01024	Healthcare Services	9,314	99.67	0.39%	10.05% Writers & Publishers Pvt Ltd ** #	INE312G08047	BWR AA-(SO)	1,500
Shriram City Union Finance Limited	INE722A01011	Finance	4,600	97.73	0.38%	9.25% TATA Realty & Infrastructure Ltd ** #	INE371K08045	CRISIL AA	1,075
Hindustan Petroleum Corporation Limited	INE094A01015	Petroleum Products	21,000	89.03	0.35%	11.72% Hero Realty Ltd ** #	INE829Q07066	ICRA A+(SO)	100
Dhanuka Agritech Limited	INE435G01025	Pesticides	11,900	79.65	0.31%	15.00% Coffee Day Natural Resources	INE634N07059	BWR A-(SO)	1,000
Grasim Industries Limited	INE047A01013	Cement	1,600	77.38	0.30%	Private Limited ** #			
Advanced Enzyme Technologies Limited	INE837H01012	Consumer Non Durables	4,028	67.57	0.26%	Wadhawan Global Capital Pvt Ltd (ZCB) ** #	INE458U07017	CARE AAA(SO)	900
VRL Logistics Limited	INE366I01010	Transportation	21,441	64.24	0.25%	10.05% High Point Properties Private Limited ** #	INE470T08020	ICRA AA(SO)	75
Crompton Greaves Consumer Electricals Limited	INE299U01018	Consumer Durables	39,456	60.74	0.24%	14.50% Coffee Day Enterprises Limited ** # 10.15% Pune Solapur Expressway Pvt Ltd ** #	INE335K07158 INE598K07011	BWR AA-(SO) ICRA A(SO)	600 5,458
Monte Carlo Fashions Limited	INE950M01013	Textile Products	12,098	55.49	0.22%	10.13% Fulle Solaphi Expressway FVt Etd ** #  10.32% Grand View Estates Pvt Ltd ** #	INE347N08031	ICRA AA(SO)	50
Orbit Exports Limited	INE231G01010	Textile Products	20,000	54.06	0.21%	14.50% Coffee Day Enterprises Limited ** #	INE335K07141	BWR AA-(SO)	350
Ashoka Buildcon Limited	INE442H01029	Construction Project	31,500	53.69	0.21%	6.01% Sahyadri Agencies Ltd ** #	INE811P07017	BWR A(SO)	250
TeamLease Services Limited	INE985S01024	Commercial Services	5,000	53.29	0.21%	11.10% Hero Realty Ltd ** #	INE829Q07074	UNRATED	60
Mahanagar Gas Limited	INE002S01010	Gas	7,428	49.04	0.19%	12.37% Hero Realty Ltd ** #	INE829Q07041	ICRA A+(SO)	25
Emami Limited	INE548C01032	Consumer Non Durables	3,500	40.95	0.16%	10.15% Pune Solapur Expressway Pvt Ltd ** #	INE598K07029	ICRA A(SO)	542
						Sub Total			
Oriental Hotels Limited	INE750A01020	Hotels, Resorts And Other Recreational Activities	167,000	40.08	0.16%	(c) Securitised Debt			
There are Trade also in I imited	INTEGATION OF		5.700	25.54	0.146	ISTD Loan Trust ** #	INE663V15013	IND AA+(SO)	1,960,937,727
Thyrocare Technologies Limited	INE594H01019	Healthcare Services	5,796	35.54	0.14%	MFL Securitisation Trust ** #	INE847V15012	IND AAA(SO)	1,751
RBL Bank Limited	INE976G01028	Banks	11,600	33.78	0.13%	Sub Total			
Cummins India Limited	INE298A01020	Industrial Products	3,600	32.60	0.13%	Total			
Atul Auto Limited	INE951D01028	Auto	4,640	22.19	0.09%	Government Securities			
Zee Entertainment Enterprises Limited	INE256A04022	Media & Entertainment	92,400	8.73	0.03%	8.13% GOI (MD 22/06/2045)	IN0020150044	SOV	16,000,000
(Preference Share)						8.99% Punjab SDL (MD 24/09/2019) 8.24% GOI (MD 10/11/2033)	IN2820140100 IN0020140052	SOV SOV	7,500,000 2,500,000
HeidelbergCement India Limited	INE578A01017	Cement	3,200	4.21	0.02%	7.59% GOI(MD 11/01/2026)	IN0020140032 IN0020150093	SOV	1,000,000
Sub Total				3,795.21	14.72%	7.68% GOI (MD 15/12/2023)	IN0020150095	SOV	368,050
(b) Unlisted						6.97% GOI (MD 06/09/2026)	IN0020150010	SOV	307,000
Magnasound (I) Ltd ** #~		Miscellaneous	15,000	0.00	\$	7.61% GOI(MD 09/05/2030)	IN0020160033	SOV	259,200
Sub Total				0.00	\$	Total			
Total				3,795.21	14.72%	Money Market Instruments			
CBLO / Reverse Repo						Certificate of Deposit			
Clearing Corporation of India Ltd				2,595.13	10.07%	Punjab & Sind Bank ** #	INE608A16NI6	ICRA A1+	30,000
Sub Total				2,595.13	10.07%	Kotak Mahindra Bank Limited ** #	INE237A16V11	CRISIL A1+	20,000
Total				2,595.13		Andhra Bank ** #	INE434A16OO3	CARE A1+	10,000
Net Receivables / (Payables)				631.44	2.45%	Sub Total			
GRAND TOTAL				25,776.06		Total			
				25,770.00	100.00 /	Others			
FRN - Floating Rate Note						Mutual Fund Units	D.IDaco		
** Thinly Traded / Non Traded Security						Birla Sun Life Savings Fund - Growth - Direct Plan	INF209K01UR9		9,738,287
#Unlisted Security ~ Illiquid Security						Sub Total			
, , ,						Total			
\$ Less Than 0.01% of Net Asset Value						Clearing Corporation of India Ltd			
^Industry classification as recommended by AMFI and	wherever not availab	ble, internal classification h	as been used			Clearing Corporation of India Ltd			
Notes:						Clearing Corporation of India Ltd  Sub Total			
						Total			
(1) The provision made for Non Performing Assets a	as of September 30, 20	016 is Nil.				Net Receivables / (Payables)			
(2) Plan/Option wise Net Asset Values are as follows						GRAND TOTAL			
- Lam option who the Asset values are as follows	•					FRN - Floating Rate Note , ZCB - Zero Coupon Bond			
Plan	As on September	30, 2016 A	s on March 3	31, 2016		** Thinly Traded / Non Traded Security			
Regular Plan - Dividend Option	Rs. 12.899	7	Rs. 12.14	71		#Unlisted Security			
Regular Plan - Growth Option	Rs. 60.900	4	Rs. 55.70	15		\$ Less Than 0.01% of Net Asset Value			

	Regular Plan - Dividend Option	Rs. 12.8997	Rs. 12.14/1	
	Regular Plan - Growth Option	Rs. 60.9004	Rs. 55.7015	
	Regular Plan - Quarterly Dividend Option	Rs. 12.9146	Rs. 12.0750	
	Direct Plan - Dividend Option	Rs. 13.9654	Rs. 13.1035	
	Direct Plan - Growth Option	Rs. 62.4702	Rs. 56.9329	
	Direct Plan - Quarterly Dividend Option	Rs. 13.4330	Rs. 12.5145	
3)	Dividend in the following plans was declared during the half	year ended September 30, 2016.		Per Unit (Rs.)
	Regular Plan - Dividend Option			0.4383
	Regular Plan - Quarterly Dividend Option			0.5511
	Direct Plan - Dividend Option			0.4735
	Direct Plan - Quarterly Dividend Option			0.5717
	B. B. 41 1 1			

- Details of derivatives exposure and transaction
  - $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ Nil$ transactions through futures which have been squared off/expired.
  - $(b) Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there$ were no non-hedging transactions through futures which have been squared off/expired.
  - (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.
  - $(d) Other than \ Hedging \ Positions \ through \ Options \ as \ on \ September \ 30,2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there$ were no non-hedging transactions through options which have been exercised/expired.
  - (e) Hedging Positions through swaps as on September 30, 2016 is Nil.
- The Average Maturity Period of the Portfolio is 8.63 years.
- During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.58 times
- The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%
- Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%. (5) The Average Maturity Period of the Portfolio is 2.72 years.

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(9) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

	(a) Listed / awaiting listing on Stock Exchange	THE COLUMN IS	TOTAL			
	Piramal Finance Priviate limited (ZCB) ** 10.25% Adani Transmission Limited **	INE140A07245 INE931S07157	ICRA AA IND AA+	5,000 4,800	51,380.35 48,856.22	6.359
	ECL Finance Ltd (ZCB) **	INE804I07ZQ0	ICRA AA	4,800	47,088.60	5.829
	7.00% RKN RETAIL PVT. LTD **	INE270008017	BWR A-(SO)	330	39,915.51	4.949
	11.00% IL & FS Education and Technology	INE896F07068	IND AA-(SO)	1,986	20,392.63	2.529
	Services Ltd **	11120701 07000	Edit (50)	1,700	20,572.05	2.52
	Indiabulls Properties Private Limited (ZCB) **	INE066I07013	CARE AA-(SO)	2,000	20,293.70	2.519
	8.80% Indiabulls Housing Finance Limited **	INE148I07FV4	CARE AAA	1,750	17,458.72	2.169
	Dewan Housing Finance Corporation Limited (ZCB) **	INE202B07AK8	CARE AAA	927	12,330.23	1.529
	9.40% Dewan Housing Finance Corporation Limited **	INE202B07GR0	CARE AAA	1,050	10,630.11	1.319
	8.80% Indiabulls Housing Finance Limited **	INE148I07FT8	CARE AAA	1,000	9,975.71	1.239
	11.50% Bank of India **	INE084A08078	BWR AA-	940	9,674.53	1.209
	10.52% IL & FS Education and Technology	INE896F07050	IND AA-(SO)	1,300	9,310.18	1.159
	Services Ltd **			-,	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
	9.46% Indiabulls Housing Finance Limited **	INE148I07EC7	CARE AAA	850	8,527.55	1.059
	8.37% Nirchem Cement Limited **	INE548V07013	CRISIL AA	750	7,526.75	0.939
	9.43% Tata Power Renewable Energy Ltd **	INE607M08014	CARE AA	700	7,098.07	0.889
	11.25% Syndicate Bank **	INE667A08062	CARE AA-	650	6,660.00	0.829
	9.50% Hinduja Leyland Finance Ltd (FRN) **	INE146O07235	CARE A+	600	6,018.73	0.749
	10.95% Oriental Bank of Commerce **	INE141A08050	IND A+	550	5,482.88	0.689
	11.25% Syndicate Bank **	INE667A08070	CARE AA-	480	4,926.76	0.619
	Edelweiss Finance & Investments LTD (ZCB) **	INE918K07987	ICRA AA	250	2,969.18	0.379
	Edelweiss Retail Finance Ltd (ZCB) **	INE528S07011	ICRA AA	250	2,964.13	0.379
	9.50% Shriram Transport Finance Company Limited	INE721A07HR9	IND AA+	150	1,514.54	0.199
	(FRN) **				-,	
	9.10% Vedanta Limited **	INE268A07145	CRISIL AA-	100	1,008.22	0.129
	9.02% Rural Electrification Corporation Limited **	INE020B07IV4	CRISIL AAA	50	519.68	0.069
	8.47% Nirchem Cement Limited	INE548V07021	CRISIL AA	50	503.41	0.069
	12.12% Kotak Mahindra Prime Ltd **	INE916DA7BU0		6	60.90	0.019
	9.64% Power Finance Corporation Limited **	INE134E08DZ7	CRISIL AAA	5	50.25	0.019
	9.51% LIC Housing Finance Limited **	INE115A07FK3	CRISIL AAA	3	31.23	0.01
	Sub Total			,	353,168.77	43.689
	(b) Privately placed / Unlisted				,	
	10.00% S.D. Corporation Pvt. Ltd ** #	INE660N08029	ICRA AA(SO)	270	27,470.85	3.409
	11.00% RHC Holding Pvt Ltd ** #	INE657K07296	CARE A(SO)	1,000	27,267.00	3.379
	10.60% Relationships Properties Pvt Ltd ** #	INE395R08015	CARE AA-(SO)	2,250	22,963.77	2.849
	11.10% Systemtrac Traders Private Limited ** #	INE525V07011	ICRA AA-(SO)	2,230	22,021.08	2.729
	11.00% RHC Holding Pvt Ltd ** #	INE657K07288	CARE A(SO)	760	20,722.92	2.569
	9.8388% Tata Motors Finance Solutions Ltd ** #					
		INE477S08043	CRISIL AA	200	20,331.52	2.519
	Adani Power Limited (ZCB) ** # Adani Power Limited (ZCB) ** #	INE814H08024	BWR AA-(SO)	200	20,120.26	2.499
		INE814H08016	BWR AA-(SO)	190	19,195.30	2.379
	10.05% Writers & Publishers Pvt Ltd ** #	INE312G08047	BWR AA-(SO)	1,500	15,046.62	1.869
	9.25% TATA Realty & Infrastructure Ltd ** #	INE371K08045	CRISIL AA	1,075	10,938.97	1.359
	11.72% Hero Realty Ltd ** #	INE829Q07066	ICRA A+(SO)	100	10,197.68	1.269
	15.00% Coffee Day Natural Resources Private Limited ** #	INE634N07059	BWR A-(SO)	1,000	10,018.08	1.249
		INIE4501107017	CARE AAA(CO)	000	0.210.22	1 150
	Wadhawan Global Capital Pvt Ltd (ZCB) ** #	INE458U07017	CARE AAA(SO)	900	9,318.23	1.159
	10.05% High Point Properties Private Limited ** #	INE470T08020	ICRA AA(SO)	75	7,598.87	0.949
	14.50% Coffee Day Enterprises Limited ** #	INE335K07158	BWR AA-(SO)	600	6,023.66	0.749
	10.15% Pune Solapur Expressway Pvt Ltd ** #	INE598K07011	ICRA A(SO)	5,458	5,475.68	0.689
	10.32% Grand View Estates Pvt Ltd ** #	INE347N08031	ICRA AA(SO)	50	5,096.37	0.639
	14.50% Coffee Day Enterprises Limited ** #	INE335K07141	BWR AA-(SO)	350	3,514.78	0.439
	6.01% Sahyadri Agencies Ltd ** #	INE811P07017	BWR A(SO)	250	3,143.27	0.399
	11.10% Hero Realty Ltd ** #	INE829Q07074	UNRATED	60	3,022.51	0.379
	12.37% Hero Realty Ltd ** #	INE829Q07041	ICRA A+(SO)	25	2,526.04	0.319
	10.15% Pune Solapur Expressway Pvt Ltd ** #	INE598K07029	ICRA A(SO)	542	543.76	0.079
	Sub Total				272,557.22	33.719
	(c) Securitised Debt					
	ISTD Loan Trust ** #	INE663V15013	IND AA+(SO)	1,960,937,727	18,845.07	2.339
	MFL Securitisation Trust ** #	INE847V15012	IND AAA(SO)	1,751	17,515.96	2.179
	Sub Total				36,361.03	4.509
	Total				662,087.02	81.889
	Government Securities					
	8.13% GOI (MD 22/06/2045)	IN0020150044	SOV	16,000,000	17,852.88	2.219
	8.99% Punjab SDL (MD 24/09/2019)	IN2820140100	SOV	7,500,000	7,898.56	0.989
	8.24% GOI (MD 10/11/2033)	IN0020140052	SOV	2,500,000	2,762.53	0.349
	7.59% GOI(MD 11/01/2026)	IN0020150093	SOV	1,000,000	1,042.50	0.139
	7.68% GOI (MD 15/12/2023)	IN0020150010	SOV	368,050	383.00	0.059
	6.97% GOI (MD 06/09/2026)	IN0020160035	SOV	307,000	310.38	0.049
•	7.61% GOI(MD 09/05/2030)	IN0020160019	SOV	259,200	273.48	0.039
	Total				30,523.33	3.779
,	Money Market Instruments					
]	Certificate of Deposit					
		INE608A16NI6		30,000	29,658.60	3.679
]	Punjab & Sind Bank ** #		CRISIL A1+	20,000	19,685.12	2.439
]	Kotak Mahindra Bank Limited ** #	INE237A16V11				1.229
1	Kotak Mahindra Bank Limited ** # Andhra Bank ** #	INE237A16V11 INE434A16OO3		10,000	9,846.56	
	Kotak Mahindra Bank Limited ** # Andhra Bank ** # Sub Total			10,000	59,190.28	7.329
	Kotak Mahindra Bank Limited ** # Andhra Bank ** # Sub Total Total			10,000		7.329
	Kotak Mahindra Bank Limited ** # Andhra Bank ** # Sub Total Total Others			10,000	59,190.28	7.329
	Kotak Mahindra Bank Limited ** # Andhra Bank ** # Sub Total Total Others Mutual Fund Units	INE434A16OO3			59,190.28 59,190.28	7.329 7.329
	Kotak Mahindra Bank Limited ** # Andhra Bank ** # Sub Total Total Others Mutual Fund Units Birla Sun Life Savings Fund - Growth - Direct Plan			9,738,287	59,190.28 59,190.28 30,025.58	7.329 7.329
	Kotak Mahindra Bank Limited ** # Andhra Bank ** # Sub Total Total Others Mutual Fund Units	INE434A16OO3			59,190.28 59,190.28	7.329 7.329
	Kotak Mahindra Bank Limited ** # Andhra Bank ** # Sub Total Total Others Mutual Fund Units Birla Sun Life Savings Fund - Growth - Direct Plan	INE434A16OO3			59,190.28 59,190.28 30,025.58	7.329 7.329 3.719 3.719
	Kotak Mahindra Bank Limited ** # Andhra Bank ** # Sub Total Total Others Mutual Fund Units Birla Sun Life Savings Fund - Growth - Direct Plan Sub Total	INE434A16OO3			59,190.28 59,190.28 30,025.58 30,025.58	7.329 7.329 3.719 3.719
	Kotak Mahindra Bank Limited ** # Andhra Bank ** # Sub Total Total Others Mutual Fund Units Birla Sun Life Savings Fund - Growth - Direct Plan Sub Total	INE434A16OO3			59,190.28 59,190.28 30,025.58 30,025.58	7.329 7.329 3.719 3.719 3.719
	Kotak Mahindra Bank Limited ** # Andhra Bank ** # Sub Total Total Others Mutual Fund Units Birla Sun Life Savings Fund - Growth - Direct Plan Sub Total Total CBLO / Reverse Repo	INE434A16OO3			59,190.28 59,190.28 30,025.58 30,025.58 30,025.58	7.329 7.329 3.719 3.719 3.719
	Kotak Mahindra Bank Limited ** # Andhra Bank ** # Sub Total Total Others Mutual Fund Units Birla Sun Life Savings Fund - Growth - Direct Plan Sub Total Total CBLO / Reverse Repo Clearing Corporation of India Ltd	INE434A16OO3			59,190.28 59,190.28 30,025.58 30,025.58 30,025.58	7.329 7.329 3.719 3.719 3.719 0.269
	Kotak Mahindra Bank Limited ** # Andhra Bank ** # Sub Total Total Others Mutual Fund Units Birla Sun Life Savings Fund - Growth - Direct Plan Sub Total Total CBLO / Reverse Repo Clearing Corporation of India Ltd Clearing Corporation of India Ltd	INE434A16OO3			59,190.28 59,190.28 30,025.58 30,025.58 10,553.06 2,141.29	7.329 7.329 3.719 3.719 3.719 1.319 0.269 1.579
	Kotak Mahindra Bank Limited ** # Andhra Bank ** # Sub Total Total Others Mutual Fund Units Birla Sun Life Savings Fund - Growth - Direct Plan Sub Total Total Cotal Cotal Cotal Cotal Cotal Clearing Corporation of India Ltd Clearing Corporation of India Ltd Sub Total	INE434A16OO3			59,190.28 59,190.28 30,025.58 30,025.58 10,553.06 2,141.29 12,694.35	7.329 7.329 7.329 3.719 3.719 3.719 0.269 1.579 1.749

\$ Less Than 0.01% of Net Asset Value

Direct Plan - Half Yearly Dividend Option

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

The provision made for from Ferrorming Assets as or s	eptember 50, 2010 is ivii.	
Plan/Option wise Net Asset Values are as follows:		
Plan	As on September 30, 2016	As on March 31, 2016
Regular Plan - Dividend Option	Rs. 14.6430	Rs. 13.8193
Regular Plan - Growth Option	Rs. 19.7537	Rs. 18.6426
Regular Plan - Half Yearly Dividend Option	Rs. 11.0425	Rs. 10.9886
Regular Plan - Quarterly Dividend Option	Rs. 10.4737	Rs. 10.4457
Institutional Plan - Growth Option	Rs. 19.1777	Rs. 18.0990
Direct Plan - Dividend Option	Rs. 14.9515	Rs. 14.0672
Direct Plan - Growth Option	Rs. 20.1757	Rs. 18.9824
Direct Plan - Half Yearly Dividend Option	Rs. 11.3548	Rs. 11.2945
Direct Plan - Quarterly Dividend Option	Rs. 10.4967	Rs. 10.4794
Dividend in the following plans was declared during the	Per Unit (Rs.)	

- Direct Plan Quarterly Dividend Option 0.6279 Regular Plan - Half Yearly Dividend Option Regular Plan - Quarterly Dividend Option 0.5818 (4) Details of derivatives exposure and transaction:
  - (a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there
- were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were (4)
- no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there
- were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016.

Underlying	Notional amount	Long/Short	Maturity date
8.13% GOI (MD 22/06/2045)	500,000,000.00	Long	22-Jun-45
8.13% GOI (MD 22/06/2045)	500,000,000.00	Long	22-Jun-45
8.13% GOI (MD 22/06/2045)	500,000,000.00	Long	22-Jun-45

- Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

Name of the Instrument	ISIN	Industry^	Quantity		% to Net
				Fair Value	Assets
			(	Rs in Lacs.)	
Equity & Equity related					
(a) Listed / awaiting listing on Stock Exchanges					
Infosys Limited	INE009A01021	Software	185,000	1,916.69	30.31%
HCL Technologies Limited	INE860A01027	Software	79,500	635.92	10.06%
Tata Consultancy Services Limited	INE467B01029	Software	19,500	474.01	7.50%
Tech Mahindra Limited	INE669C01036	Software	110,000	461.84	7.30%
Cyient Limited	INE136B01020	Software	82,500	388.25	6.14%
NIIT Technologies Limited	INE591G01017	Software	85,000	356.11	5.63%
Sonata Software Limited	INE269A01021	Software	220,000	343.31	5.43%
INOX Leisure Limited	INE312H01016	Media & Entertainment	130,000	341.12	5.39%
Dish TV India Limited	INE836F01026	Media & Entertainment	320,000	297.92	4.71%
Persistent Systems Limited	INE262H01013	Software	41,500	265.64	4.20%
KPIT Technologies Limited	INE836A01035	Software	180,000	223.38	3.53%
Tata Communications Limited	INE151A01013	Telecom - Services	28,000	158.38	2.50%
Shemaroo Entertainment Limited	INE363M01019	Media & Entertainment	35,000	108.62	1.72%
MindTree Limited	INE018I01017	Software	22,000	106.07	1.68%
Sasken Communication Technologies Limited	INE231F01020	Software	26,500	90.38	1.43%
Bharti Airtel Limited	INE397D01024	Telecom - Services	20,000	62.80	0.99%
Zee Entertainment Enterprises Limited	INE256A04022	Media & Entertainment	176,820	16.71	0.26%
(Preference Share)					
Sub Total				6,247.15	98.80%
(b) Unlisted					
Magnasound (I) Ltd ** #~		Miscellaneous	150,000	0.00	\$
Sub Total				0.00	\$
Total				6,247.15	98.80%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				107.96	1.71%
Sub Total				107.96	1.71%
Total				107.96	1.71%
Net Receivables / (Payables)				(31.99)	-0.51%
GRANDTOTAL				6,323.12	100.00%

BIRLA SUN LIFE NEW MILLENNIUM FUND (An Open Ended Growth Scheme)

\*\* Thinly Traded / Non Traded Security #Unlisted Security ~ Illiquid Security

\$ Less Than 0.01% of Net Asset Value ^Industry classification as recommended by AMFI and wherever not available, internal classification has been used

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil. Plan/Option wise Net Asset Values are as follows

,	rangoption wise recensor values are as follows.		
	Plan	As on September 30, 2016	As on March 31, 2016
	Regular Plan - Dividend Option	Rs. 16.22	Rs. 18.26
	Regular Plan - Growth Option	Rs. 34.19	Rs. 35.80
	Direct Plan - Dividend Option	Rs. 17.99	Rs. 18.76
	Direct Plan - Growth Option	Rs. 35.10	Rs. 36.60
)	Dividend in the following plans was declared during the half	Per Unit (Rs.)	

Regular Plan - Dividend Option (4) Details of derivatives exposure and transaction

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.  $(b) Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ not \ not$ non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016 is Nil.  $\,$ 

During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.32 times The total value of illiquid Equity Securities is  $0.00\ \mathrm{crores}$  and its percentage to Net Assets is 0.00%.

Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%. (8) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

### BIRLA SUN LIFE COMMODITY EQUITIES FUND - GLOBAL AGRI PLAN (An Open Ended Growth Scheme) Portfolio as on September 30, 2016

Name of the Instrument	ISIN	Industry <sup>A</sup> Quantity	Market/ Fair Value Rs in Lacs.)	
Equity & Equity related			Ks in Eucs.)	
(a) Listed / awaiting listing on Stock Exchanges				
Uralkaliy OJSC SHS GDR **	US91688E2063	Fertilizers & Agricultural Chemicals1,	570 12.65	1.53%
Sub Total			12.65	1.53%
(b) Unlisted			NIL	NI
Sub Total			NIL	N
Total			12.65	1.539
International Equities				
(a) Listed / awaiting listing on Stock Exchanges				
Syngenta Ltd Reg Shs	CH0011037469	CHEMICALS 260	75.25	9.109
Monsanto Co	US61166W1018	CHEMICALS 1,100	74.59	9.029
Deere And Co Ltd	US2441991054	INDUSTRIAL CAPITAL GOODS 1,250	69.83	8.449
ARCHER-DANIELS-MIDLAND CO	US0394831020	AGRICULTURAL PRODUCTS 2,390	66.12	8.00
TYSON FOODS INC	US9024941034	PACKAGED FOODS & MEATS 1,190	59.32	7.17
BRF SA	US10552T1079	PACKAGED FOODS & MEATS 5,290	59.06	7.14
ASSOCIATED BRITISH FOODS PLC	GB0006731235	PACKAGED FOODS & MEATS 2,300	51.79	6.26
Kubota Corp	JP3266400005	INDUSTRIAL CAPITAL GOODS 4,300	42.85	5.18
Potash Corp Of Saskatchewan Inc	CA73755L1076	CHEMICALS 3,740	40.41	4.89
BUNGELTD	BMG169621056	CONSUMER NON DURABLES 920	36.01	4.35
INGREDION INC	US4571871023	CONSUMER NON DURABLES 410	35.98	4.35
AGRIUM ORD	CA0089161081	CHEMICALS 540	32.65	3.95
Wilmar International Ltd	SG1T56930848	CONSUMER NON DURABLES 16,000	25.16	3.04
Marine Harvest ASA	NO0003054108	PACKAGED FOODS & MEATS 2,100	24.33	2.94
The Mosaic Company Ltd	US61945C1036	CHEMICALS 1,250	20.19	2.44
CF Industries Holdings Inc Ord Shs	US1252691001	CHEMICALS 1,000	16.12	1.95
Yara International Asa	NO0010208051	CHEMICALS 620	13.78	1.67
Sociedad Quimica yMinera de Chile SA	US8336351056	CHEMICALS 630	11.07	1.349
Golden Agri-Resources Ltd	MU0117U00026	CONSUMER NON DURABLES 48,060	8.33	1.01
Israel Chemicals Ltd	IL0002810146	CHEMICALS 1,440	3.72	0.45
NIPPON MEAT PACK ORD	JP3743000006	PACKAGED FOODS & MEATS 200	3.21	0.39
Sub Total			769.77	93.099
Total			769.77	93.099
CBLO / Reverse Repo				
Clearing Corporation of India Ltd			16.99	2.069
Sub Total			16.99	2.069
Total			16.99	2.069
Net Receivables / (Payables)			27.54	3.33

\*\* Thinly Traded / Non Traded Security

GRAND TOTAL

^Industry classification as recommended by AMFI and wherever not available, internal classification has been used

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil. 0.5986 (2) Plan/Option wise Net Asset Values are as follows:

As on September 30, 2016 As on March 31, 2016 Regular Plan - Dividend Option Rs. 15.9496 Rs. 15.5393 Rs. 20.7099 Regular Plan - Growth Option Rs. 20.1781 Direct Plan - Dividend Option Rs. 16.2343 Rs. 15.7816 Rs. 20.4512 No dividend was declared during the half year ended September 30, 2016.

Details of derivatives exposure and transaction: (a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

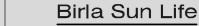
(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016 is Nil.

- During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.05 times The total value of illiquid Equity Securities is  $0.00\ \mathrm{crores}$  and its percentage to Net Assets is 0.00%.
- $Total\ market\ value\ of\ Foreign\ Securities/overseas\ ETFs\ in\ 7.82\ crores\ and\ its\ percentage\ to\ Net\ Assets\ as\ at\ September\ 30,\ 2016\ is\ 94.62\%.$
- Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

Birla Sun Life Asset Management Company Limited (Investment Manager for Birla Sun Life Mutual Fund), One Indiabulls Centre, Tower 1, 17th Floor, Jupiter Mill Compound, 841, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400 013. CIN: U65991MH1994PLC080811

826.95 100.00%

## ✓ Over 3.2 million investor scheme accounts



This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September 2016.

Mutual Fund

Market/ % to Net

Quantity

### Half Yearly Portfolio Statement as on September 30, 2016

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996)

			(1 0			guidelon 5971 of 5	-
		(An Open	Ended Equ	uity Linked	Savings	E TAX RELIEF '96 Scheme (ELSS)) with a lock	x-in (
Name of the Instrument	ISIN	Industry^	Quantity		o as on S % to Net	September 30, 2016  Name of the Instrument	
rank of the first unent	Edit	masay		Fair Value Rs in Lacs.)	Assets	Name of the first union	
Equity & Equity related			,	· · · · ·		Tech Mahindra Limited	
(a) Listed / awaiting listing on Stock Exchanges						Mahindra & Mahindra Limite	ed
Sundaram Clayton Limited	INE105A01035	Auto Ancillaries	649,106	16,735.90	6.81%	Ashok Leyland Limited	
Bayer Cropscience Limited	INE462A01022	Pesticides	280,234	12,531.64	5.10%	Vedanta Limited	
Honeywell Automation India Limited	INE671A01010	Industrial Capital Goods	127,644	11,826.41	4.81%	Container Corporation of Indi	ia Lin
Kotak Mahindra Bank Limited	INE237A01028	Banks	1,230,017	9,557.23	3.89%	IDFC Limited	
Zee Entertainment Enterprises Limited	INE256A01028	Media & Entertainment	1,743,123	9,530.53	3.88%	United Breweries (Holdings) I	Limite
MRF Limited	INE883A01011	Auto Ancillaries	18,353	9,336.64	3.80%	Bharat Bijlee Limited	
ICRA Limited	INE725G01011	Finance	220,913	8,666.20	3.52%	Sub Total	
Biocon Limited	INE376G01013	Pharmaceuticals	865,120	8,075.90	3.28%	(b) Unlisted	
Gillette India Limited	INE322A01010	Consumer Non Durables	168,207	7,300.10	2.97%	Magnasound (I) Ltd ** #~	
Johnson Controls-Hitachi Air Conditioning India Limited	INE782A01015	Consumer Durables	423,122	6,868.33	2.79%	Sub Total	
Pfizer Limited	INE182A01018	Pharmaceuticals	337,750	6,477.71	2.63%	Total	
Thomas Cook (India) Limited	INE332A01027	Services	3,215,419	6,413.15	2.61%	CBLO / Reverse Repo	
Shoppers Stop Limited	INE498B01024	Retailing	1,742,237	6,396.62	2.60%	Clearing Corporation of India	ht.I.e
Reliance Industries Limited	INE002A01018	Petroleum Products	585,491	6,344.97	2.58%	Sub Total	· Lu
IndusInd Bank Limited	INE095A01012	Banks	514,884	6,157.76	2.50%	Total	
Jet Airways (India) Limited	INE802G01018	Transportation	1,223,919	5,828.91	2.37%		
Housing Development Finance Corporation Limited	INE001A01036	Finance	415,008	5,782.93	2.35%	Net Receivables / (Payables) 	
Shree Cements Limited	INE070A01015	Cement	33,137	5,647.44	2.30%		
Yes Bank Limited	INE528G01019	Banks	442,604	5,553.35	2.26%	** Thinly Traded / Non Trade	
Bosch Limited	INE323A01026	Auto Ancillaries	24,138	5,502.95	2.24%	#Unlisted Security ~ Illiquid S	
Century Textiles & Industries Limited	INE055A01016	Cement	576,562	5,437.27	2.21%	\$ Less Than 0.01% of Net Ass	
HDFC Bank Limited	INE040A01026	Banks	421,399	5,363.78	2.18%	^Industry classification as reco	omme
GlaxoSmithKline Pharmaceuticals Limited	INE159A01016	Pharmaceuticals	192,049	5,340.79	2.17%	Notes:	
Tata Consultancy Services Limited	INE467B01029	Software	214,819	5,221.82	2.12%	(1) The provision made for l	
Grasim Industries Limited	INE047A01013	Cement	101,879	4,927.43	2.00%	(2) Plan/Option wise Net As	sset V
ICICI Bank Limited	INE090A01021	Banks	1,874,879	4,727.51	1.92%	<b>Plan</b> Regular Plan - Dividend	l Optio
Larsen & Toubro Limited	INE018A01030	Construction Project	317,048	4,543.77	1.85%	Regular Plan - Growth O	-
Axis Bank Limited	INE238A01034	Banks	800,199	4,331.88	1.76%	Direct Plan - Dividend C Direct Plan - Growth Op	-
Tata Motors Limited	INE155A01022	Auto	757,446	4,051.20	1.65%	(3) No dividend was declare	ed du
Cummins India Limited	INE133A01022 INE298A01020	Industrial Products	421,684	3,818.35	1.55%	(4) Details of derivatives ex	
	INE598A01020		67,968	3,722.81	1.51%	(a) Hedging Position thro	
Maruti Suzuki India Limited		Auto			1.41%	transactions through futu	
Capital First Limited Sun Pharmaceuticals Industries Limited	INE688I01017 INE044A01036	Finance Pharmaceuticals	500,566 364 986	3,470.42	1.41%	(b) Other than Hedging l	
			364,986	2,710.75	1.04%	were no non-hedging tra	
Infosys Limited	INE009A01021	Software	247,851	2,567.86		(c) Hedging Position thro hedging transactions thro	
HCL Technologies Limited	INE860A01027	Software  Consumer Non Durables	321,002	2,567.69	1.04%	(d) Other than Hedging I	
Hindustan Unilever Limited	INE030A01027	Consumer Non Durables	290,987	2,525.33	1.03%	were no non-hedging tra	
Kansai Nerolac Paints Limited	INE531A01024	Consumer Non Durables	692,393	2,493.65	1.01%	(e) Hedging Positions the	rough
Procter & Gamble Hygiene and Health Care Limited	INE179A01014	Consumer Non Durables	36,001	2,449.94	1.00%		
Bata India Limited	INE176A01028	Consumer Durables	492,573	2,415.09	0.98%	(5) During the current half	
IDFC Bank Limited	INE092T01019	Banks	2,212,514	1,766.69	0.72%	(6) The total value of illiqu	id Eq
ITC Limited	INE154A01025	Consumer Non Durables	663,219	1,600.68	0.65%	(7) Total market value of Fo	oreigi
Bharat Financial Inclusion Limited	INE180K01011	Finance	165,798	1,460.10	0.59%	(8) Details of Repo in Corpo	orate

Name of the Instrument	ISIN	Industry^	Quantity	Market/ Fair Value (Rs in Lacs.)	% to Net Assets	Name of the Instrumen
Tech Mahindra Limited	INE669C01036	Software	345,550	1,450.79	0.59%	Equity & Equity relater (a) Listed / awaiting list
Mahindra & Mahindra Limited	INE101A01026	Auto	81,141	1,140.60	0.46%	IndusInd Bank Limited HDFC Bank Limited
Ashok Leyland Limited	INE208A01029	Auto	1,166,562	928.58	0.38%	Gulf Oil Lubricants Ind Yes Bank Limited
Vedanta Limited	INE205A01025	Non - Ferrous Metals	519,938	894.55	0.36%	Century Textiles & Ind ITC Limited HCL Technologies Lim
Container Corporation of India Limited	INE111A01017	Transportation	59,137	815.74	0.33%	UPL Limited Infosys Limited
IDFC Limited	INE043D01016	Finance	1,156,864	777.41	0.32%	Tata Motors Limited Atul Limited
United Breweries (Holdings) Limited	INE696A01025	Finance	1,438,556	655.26	0.27%	Maruti Suzuki India Li Gujarat Fluorochemical
Bharat Bijlee Limited	INE464A01028	Industrial Capital Goods	41,107	308.20	0.13%	The India Cements Lin State Bank of India
Sub Total				245,020.61	99.66%	Sun Pharmaceuticals In LIC Housing Finance L
(b) Unlisted						Cummins India Limite Kotak Mahindra Bank
Magnasound (I) Ltd ** #~		Miscellaneous	3,000	0.00	\$	Birla Corporation Limi ICICI Bank Limited
Sub Total				0.00	\$	Tech Mahindra Limite Muthoot Finance Limit
Total				245,020.61	99.66%	Larsen & Toubro Limi Eicher Motors Limited Equitas Holdings Limit
CBLO / Reverse Repo						Shriram City Union Fi
Clearing Corporation of India Ltd				1,803.40	0.73%	Dish TV India Limited Crompton Greaves Con
SubTotal				1,803.40	0.73%	Hindalco Industries Lin Divi's Laboratories Lin
Total				1,803.40	0.73%	J.Kumar Infraprojects I GlaxoSmithKline Cons
						Ujjivan Financial Serv
Net Receivables / (Payables)				(959.86)	-0.39%	Emami Limited The Jammu & Kashmii
GRAND TOTAL				245,864.15	100.00%	United Spirits Limited Dishman Pharmaceutic
** Thinly Traded / Non Traded Security						Satin Creditcare Netwo
#Unlisted Security ~ Illiquid Security						Kaveri Seed Company L&T Finance Holdings
\$ Less Than 0.01% of Net Asset Value						Lupin Limited SRF Limited
^Industry classification as recommended by AMF	I and wherever not availa	ble, internal classification h	as been used	I		Aurobindo Pharma Lim INOX Leisure Limited Mahindra & Mahindra

Performing Assets as of September 30, 2016 is Nil.

Values are as follows:

Plan	As on September 30, 2016	As on March 31, 2016
Regular Plan - Dividend Option	Rs. 143.45	Rs. 124.60
Regular Plan - Growth Option	Rs. 24.13	Rs. 20.96
Direct Plan - Dividend Option	Rs. 171.54	Rs. 148.34
Direct Plan - Growth Option	Rs. 24.86	Rs. 21.50

during the half year ended September 30, 2016.

sure and transaction:

1 Futures as on September 30,2016 is Nil and For the half year ended September 30,2016 there were no hedging

ctions through futures which have been squared off/expired.

gh Put Options as on September 30,2016 is Nil and For the half year ended September 30,2016 there were no

ctions through options which have been exercised/expired.

gh swaps as on September 30,2016 is Nil.

- r, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0 times
- Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%.
- ign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30,2016 is 0%.

(An Open Ended Diversified Equity Scheme) Portfolio as on September 30, 2016

Name of the Instrument	ISIN	Industry^	Quantity	Market/	
				Fair Value (Rs in Lacs.)	Asse
Equity & Equity related				(KS III Lucs.)	
(a) Listed / awaiting listing on Stock Exchanges					
IndusInd Bank Limited	INE095A01012	Banks	54,000	645.81	4.419
HDFC Bank Limited	INE040A01026	Banks	50,000	636.43	4.359
Gulf Oil Lubricants India Limited	INE635Q01029	Petroleum Products	80,600	593.50	4.069
Yes Bank Limited	INE528G01019	Banks	45,000	564.62	3.869
Century Textiles & Industries Limited	INE055A01016	Cement	56,000	528.11	3.619
ITC Limited	INE154A01025	Consumer Non Durables	200,000	482.70	3.309
HCL Technologies Limited	INE860A01027	Software	60,300	482.34	3.309
UPL Limited Infosys Limited	INE628A01036	Pesticides Software	65,000 40,000	438.00 414.42	2.999
Tata Motors Limited	INE009A01021 INE155A01022	Auto	75,000	401.14	2.74
Atul Limited	INE100A01010	Chemicals	15,833	361.68	2.47
Maruti Suzuki India Limited	INE585B01010	Auto	6,500		2.43
Gujarat Fluorochemicals Limited	INE538A01037	Chemicals	67,000	350.51	2.40
The India Cements Limited	INE383A01012	Cement	230,000	331.20	2.26
State Bank of India	INE062A01020	Banks	125,000	314.06	2.15
Sun Pharmaceuticals Industries Limited	INE044A01036	Pharmaceuticals	40,000	297.08	2.039
LIC Housing Finance Limited	INE115A01026	Finance	50,000	289.40	1.989
Cummins India Limited	INE298A01020	Industrial Products	30,384	275.13	1.889
Kotak Mahindra Bank Limited	INE237A01028	Banks	35,000	271.95	1.869
Birla Corporation Limited	INE340A01012	Cement	35,976	252.97	1.739
ICICI Bank Limited	INE090A01021	Banks	100,000	252.15	1.729
Tech Mahindra Limited	INE669C01036	Software	60,000	251.91	1.72
Muthoot Finance Limited	INE414G01012	Finance	66,159	230.76	1.589
Larsen & Toubro Limited	INE018A01030	Construction Project	15,700	225.00	1.549
Eicher Motors Limited	INE066A01013	Auto	900	223.45	1.539
Equitas Holdings Limited	INE988K01017	Finance	127,170	222.10	1.529
Shriram City Union Finance Limited	INE722A01011	Finance	10,000	212.46	1.45
Dish TV India Limited	INE836F01026	Media & Entertainment	213,000	198.30	1.369
Crompton Greaves Consumer Electricals Limited	INE299U01018	Consumer Durables	125,000	192.44	1.329
Hindalco Industries Limited	INE038A01020	Non - Ferrous Metals	123,000	187.82	1.289
Divi's Laboratories Limited	INE361B01024	Pharmaceuticals	14,500	187.17	1.28
Kumar Infraprojects Limited	INE576I01022	Construction	100,000	181.90	1.249
GlaxoSmithKline Consumer Healthcare Limited Ujjivan Financial Services Limited	INE264A01014 INE334L01012	Consumer Non Durables Finance	3,000 40,000	181.03 178.94	1.249
Emami Limited	INE548C01032	Consumer Non Durables	15,000	175.49	1.20
The Jammu & Kashmir Bank Limited	INE168A01041	Banks	220,000	170.83	1.179
United Spirits Limited	INE854D01016	Consumer Non Durables	6,500		1.10
Dishman Pharmaceuticals and Chemicals Limited	INE353G01020	Pharmaceuticals	60,000	155.61	1.06
Satin Creditcare Network Limited	INE836B01017	Finance	27,180	154.64	1.06
GAIL (India) Limited	INE129A01019	Gas	40,000	149.86	1.02
Kaveri Seed Company Limited	INE455I01029	Consumer Non Durables	40,000	143.26	0.989
L&T Finance Holdings Limited	INE498L01015	Finance	156,000	142.97	0.989
Lupin Limited	INE326A01037	Pharmaceuticals	9,600		0.989
SRF Limited	INE647A01010	Textile Products	8,000	142.22	0.979
Aurobindo Pharma Limited	INE406A01037	Pharmaceuticals	16,500	141.15	0.969
NOX Leisure Limited	INE312H01016	Media & Entertainment	52,500	137.76	0.94
Mahindra & Mahindra Limited	INE101A01026	Auto	9,000	126.51	0.869
Bata India Limited	INE176A01028	Consumer Durables	25,000	122.58	0.849
City Union Bank Limited	INE491A01021	Banks	83,666	110.52	0.769
Cyient Limited	INE136B01020	Software	22,800	107.30	0.739
GE Power India Limited	INE878A01011	Industrial Capital Goods	18,300	100.78	0.69
United Breweries (Holdings) Limited	INE696A01025	Finance	200,000	91.10	0.62
Hindustan Zinc Limited	INE267A01025	Non - Ferrous Metals	38,600	90.07	0.62
Whirlpool of India Limited	INE716A01013	Consumer Durables	8,303		0.58
Blue Star Limited	INE472A01039	Consumer Durables	15,000		0.56
SW Steel Limited	INE019A01020	Ferrous Metals	4,132		0.49
Sub Total				14,016.29	
b) Unlisted				NIL	N
Sub Total				NIL	NI
Ford				14,016.29	95.80
CBLO / Reverse Repo				026 72	5 70
Clearing Corporation of India Ltd  Sub Total				836.72 836.72	5.72
Sub Total Total				836.72 836.72	5.729
Net Receivables / (Payables)				(222.42)	-1.529
GRANDTOTAL				14,630.59	
				17,000,00	

ition through Futures as on September 30,2016 is Nil and For the half year ended September 30,2016 there (1) The provision made for Non Performing Assets as of September 30, 2016 is Nil. Plan/Option wise Net Asset Values are as follows:

As on September 30, 2016 As on March 31, 2016 Regular Plan - Dividend Option Regular Plan - Growth Option Rs. 20.6982 Rs. 16.2180 Direct Plan - Dividend Option Direct Plan - Growth Option

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itions through Options as on September 30,2016 is Nil and For the half year ended September 30,2016 there

(3) Dividend in the following plans was declared during the half year ended September 30, 2016. Rs. 16.5386 Per Unit (Rs.)

> (4) Details of derivatives exposure and transaction: (a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.
> (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no

non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging

transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil.

(5) During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.41 times. The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%.

(7) Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

## BIRLA SUN LIFE TAX SAVINGS FUND

(An Open Ended Equity Linked Savings Scheme) with a lock-in of 3 years

Name of the Instrument	ISIN	Industry^	Quantity	Market/ Fair Value (Rs in Lacs.)	% to Net
Equity & Equity related					
(a) Listed / awaiting listing on Stock Exchanges					
Sundaram Clayton Limited	INE105A01035	Auto Ancillaries	6,803	175.40	6.96%
Bayer Cropscience Limited	INE462A01022	Pesticides	2,822	126.20	5.01%
Honeywell Automation India Limited	INE671A01010	Industrial Capital Goods	1,306	121.00	4.80%
Kotak Mahindra Bank Limited	INE237A01028	Banks	12,754	99.10	3.93%
Zee Entertainment Enterprises Limited	INE256A01028	Media & Entertainment	18,095	98.93	3.93%
MRF Limited	INE883A01011	Auto Ancillaries	191	97.17	3.86%
ICRA Limited	INE725G01011	Finance	2,268	88.97	3.53%
Biocon Limited	INE376G01013	Pharmaceuticals	8,986	83.88	3.33%
Gillette India Limited	INE322A01010	Consumer Non Durables	1,608	69.79	2.77%
Reliance Industries Limited	INE002A01018	Petroleum Products	6,088	65.98	2.62%
Johnson Controls-Hitachi Air Conditioning India Limited	INE782A01015	Consumer Durables	3,991	64.78	2.57%
IndusInd Bank Limited	INE095A01012	Banks	5,331	63.76	2.53%
Shoppers Stop Limited	INE498B01024	Retailing	17,267	63.40	2.52%
Pfizer Limited	INE182A01018	Pharmaceuticals	3,274	62.79	2.49%
Thomas Cook (India) Limited	INE332A01027	Services	31,128	62.08	2.46%
Housing Development Finance Corporation Limited	INE001A01036	Finance	4,318	60.17	2.39%
Shree Cements Limited	INE070A01015	Cement	343	58.46	2.32%
Tata Consultancy Services Limited	INE467B01029	Software	2,402	58.39	2.32%
Yes Bank Limited	INE528G01019	Banks	4,598	57.69	2.29%
Bosch Limited	INE323A01026	Auto Ancillaries	251	57.22	2.27%
Century Textiles & Industries Limited	INE055A01016	Cement	6,061	57.16	2.27%
Jet Airways (India) Limited	INE802G01018	Transportation	11,774	56.07	2.23%
HDFC Bank Limited	INE040A01026	Banks	4,361	55.51	2.20%
Grasim Industries Limited	INE047A01013	Cement	1,056	51.07	2.03%
ICICI Bank Limited	INE090A01021	Banks	19,460	49.07	1.95%
GlaxoSmithKline Pharmaceuticals Limited	INE159A01016	Pharmaceuticals	1,757	48.86	1.94%
Larsen & Toubro Limited	INE018A01030	Construction Project	3,286	47.09	1.87%
Axis Bank Limited	INE238A01034	Banks	8,302	44.94	1.78%
Tata Motors Limited	INE155A01022	Auto	7,840	41.93	1.66%
Cummins India Limited	INE298A01020	Industrial Products	4,379	39.65	1.57%

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Name of the Instrument	ISIN	Industry^	Quantity	Market/ Fair Value	% to Ne Asset
			(	Rs in Lacs.)	Asset
Maruti Suzuki India Limited	INE585B01010	Auto	710	38.89	1.549
Capital First Limited	INE688I01017	Finance	5,233	36.28	1.449
Infosys Limited	INE009A01021	Software	2,761	28.61	1.149
Sun Pharmaceuticals Industries Limited	INE044A01036	Pharmaceuticals	3,795	28.19	1.129
HCL Technologies Limited	INE860A01027	Software	3,328	26.62	1.069
Hindustan Unilever Limited	INE030A01027	Consumer Non Durables	3,004	26.07	1.039
Kansai Nerolac Paints Limited	INE531A01024	Consumer Non Durables	7,178	25.85	1.039
Procter & Gamble Hygiene and Health Care Limited	INE179A01014	Consumer Non Durables	378	25.72	1.029
Bata India Limited	INE176A01028	Consumer Durables	4,256	20.87	0.839
IDFC Bank Limited	INE092T01019	Banks	23,067	18.42	0.739
ITC Limited	INE154A01025	Consumer Non Durables	6,825	16.47	0.659
Tech Mahindra Limited	INE669C01036	Software	3,740	15.70	0.629
Bharat Financial Inclusion Limited	INE180K01011	Finance	1,703	15.00	0.60
Mahindra & Mahindra Limited	INE101A01026	Auto	842	11.84	0.47
Ashok Leyland Limited	INE208A01029	Auto	12,266	9.76	0.399
Vedanta Limited	INE205A01025	Non - Ferrous Metals	5,342	9.19	0.369
Container Corporation of India Limited	INE111A01017	Transportation	625	8.62	0.34
IDFC Limited	INE043D01016	Finance	12,069	8.11	0.32
United Breweries (Holdings) Limited	INE696A01025	Finance	13,643	6.21	0.25
Bharat Bijlee Limited	INE464A01028	Industrial Capital Goods	362	2.71	0.119
Sub Total				2,505.64	99.479
(b) Unlisted				NIL	NI
Sub Total				NIL	NI
Total				2,505.64	99.479
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				30.99	1.239
Sub Total				30.99	1.239
Total				30.99	1.239
Net Receivables / (Payables)				(17.70)	-0.709
GRAND TOTAL				2,518.93	100.00%

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

(2) Plan/Option wise Net Asset Values are as follows:

Regular Plan - Dividend Option

Plan	As on September 30, 2016	As on March 31, 2016
Regular Plan - Bonus Option	Rs. 55.19	Rs. 48.06
Regular Plan - Dividend Option	Rs. 27.18	Rs. 23.67
Regular Plan - Growth Option	Rs. 55.11	Rs. 47.99
Direct Plan - Dividend Option	Rs. 27.87	Rs. 24.24
Direct Plan - Growth Option	Rs. 56.69	Rs. 49.31
Direct Plan - Bonus Option	Rs. 56.71	Rs. 49.28

(3) No dividend was declared during the half year ended September 30, 2016.

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ And \ Nil \ And \ Nil \$ transactions through futures which have been squared off/expired.

 $(b) Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there$ were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

 $(d)\ Other\ than\ Hedging\ Positions\ through\ Options\ as\ on\ September\ 30,2016\ is\ Nil\ and\ For\ the\ half\ year\ ended\ September\ 30,2016\ there$ 

were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016 is Nil.

(5) During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.03 times

(6) The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%

(7) Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%.

(8) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

Birla Sun Life Asset Management Company Limited (Investment Manager for Birla Sun Life Mutual Fund), One Indiabulls Centre, Tower 1, 17th Floor, Jupiter Mill Compound, 841, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400 013. CIN: U65991MH1994PLC080811

## ✓ Over 3.2 million investor scheme accounts



This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September 2016.

### Half Yearly Portfolio Statement as on September 30, 2016

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996)

				ırsuant	
				A SUN LIR An Open En Portfoli	
Name of the Instrument	ISIN	Rating	Quantity	Market/ Fair Value (Rs in Lacs.)	
Debt Instruments			<u>'</u>	(KS III Lacs.)	
(a) Listed / awaiting listing on Stock Exchange 9.40% Dewan Housing Finance Corporation Limited **	INE202B07GR0	CARE AAA	2,350	23,791.19	3.45%
9.25% Reliance Jio Infocomm Limited ** 8.32% Reliance Jio Infocomm Limited **	INE110L08037 INE110L07070	CRISIL AAA CRISIL AAA	2,100 1,500	22,412.02 15,253.23	3.25% 2.21%
4.00% Indiabulls Housing Finance Limited **	INE148I07AO0	CARE AAA	1,300	14,952.98	2.17%
4.00% Indiabulls Housing Finance Limited ** 9.13% Cholamandalam Investment and Finance	INE148I07AQ5 INE121A07MA8	CARE AAA ICRA AA	1,000 1,000	11,494.10 10,131.62	1.67% 1.47%
Company Limited ** 8.18% LIC Housing Finance Limited **	INE115A07JZ3	CRISIL AAA	1,000	10,067.83	1.46%
7.83% LIC Housing Finance Limited **	INE115A07KM9	CRISIL AAA	1,000	10,002.79	1.45%
10.60% Shriram Transport Finance Company Limited ** 9.05% Dewan Housing Finance Corporation Limited **	INE721A07LI0 INE202B07IJ3	CRISIL AA+ CARE AAA	900 900,000	9,737.34 8,985.74	1.41% 1.30%
8.53% Power Finance Corporation Limited ** 8.55% Reliance Jio Infocomm Limited **	INE134E08HP9 INE110L07013	CRISIL AAA CRISIL AAA	800 800	8,290.82 8,114.78	1.20% 1.18%
Hero Fincorp Limited (ZCB) **	INE957N07161	ICRA AA+	750	7,831.00	1.14%
12.75% Cholamandalam Investment and Finance Company Limited **	INE121A08MC2	ICRA AA	750	7,821.56	1.13%
8.10% Reliance Jio Infocomm Limited	INE110L07054 INE020B08856	CRISIL AAA CRISIL AAA	750 700	7,556.97	1.10% 1.06%
9.04% Rural Electrification Corporation Limited 8.75% LIC Housing Finance Limited **	INE115A07JC2	CRISIL AAA	650	7,303.86 6,711.32	0.97%
8.28% Small Industries Dev Bank of India ** 8.56% Rural Electrification Corporation Limited **	INE556F09619 INE020B08864	CARE AAA CRISIL AAA	650 600	6,632.46 6,192.30	0.96% 0.90%
9.85% Shriram Transport Finance Company Limited **	INE721A07HH0	CRISIL AA+	600,000	6,068.59	0.88%
8.74% Shriram Transport Finance Company Limited ** 9.05% Tata Capital Financial Services Ltd **	INE721A07LB5 INE306N07740	CRISIL AA+ CRISIL AA+	600 509	6,049.43 5,164.23	0.88% 0.75%
8.60% LIC Housing Finance Limited **	INE115A07HQ6 INE121A07IZ3	CRISIL AAA ICRA AA	500 500	5,122.37	0.74%
9.35% Cholamandalam Investment and Finance Company Limited **				5,073.06	0.74%
9.15% Shriram Transport Finance Company Limited ** 9.50% Indiabulls Housing Finance Limited **	INE721A07JZ8 INE148I07ED5	IND AA+ CARE AAA	500 500	5,035.20 5,017.27	0.73% 0.73%
7.95% PNB Housing Finance Ltd	INE572E09395	CARE AAA	500	5,000.00	0.73%
7.90% LIC Housing Finance Limited ** 9.75% Reliance Utilities & Power Pvt Ltd **	INE115A07KD8 INE936D07075	CRISIL AAA CRISIL AAA	500 413	5,000.00 4,503.24	0.73% 0.65%
9.49% Indiabulls Housing Finance Limited ** 9.46% Indiabulls Housing Finance Limited **	INE148I07EB9 INE148I07EC7	CARE AAA CARE AAA	350 350	3,512.05 3,511.34	0.51% 0.51%
9.10% SP Jammu Udhampur Highway Ltd **	INE923L07100	ICRA AAA(SO)	338	3,418.82	0.50%
9.41% IDFC Bank Limited ** 9.10% SP Jammu Udhampur Highway Ltd **	INE092T08AJ5 INE923L07092	ICRA AAA ICRA AAA(SO)	317 315	3,220.90 3,186.17	0.47% 0.46%
9.10% SP Jammu Udhampur Highway Ltd **	INE923L07084	ICRA AAA(SO)	310	3,135.60	0.45%
9.10% SP Jammu Udhampur Highway Ltd ** 9.10% SP Jammu Udhampur Highway Ltd **	INE923L07076 INE923L07068	ICRA AAA(SO) ICRA AAA(SO)	293 293	2,963.65 2,963.65	0.43% 0.43%
8.90% SP Jammu Udhampur Highway Ltd ** 8.90% SP Jammu Udhampur Highway Ltd **	INE923L07050 INE923L07043	ICRA AAA(SO) ICRA AAA(SO)	281 281	2,838.91 2,830.25	0.41% 0.41%
8.65% Power Finance Corporation Limited **	INE134E08GV9	CRISIL AAA	250	2,667.54	0.39%
12.25% Cholamandalam Investment and Finance Company Limited **	INE121A08LZ5	ICRA AA	250	2,649.10	0.38%
8.96% Power Finance Corporation Limited ** 8.28% Power Finance Corporation Limited	INE134E08GN6 INE134E08HU9	CRISIL AAA CRISIL AAA	250 250	2,603.83 2,539.96	0.38% 0.37%
8.75% Shriram Transport Finance Company Limited **	INE721A07LC3	CRISIL AA+	250	2,521.52	0.37%
8.95% L & T Infrastructure Finance Co Ltd ** 9.05% Essel Lucknow Raebareli Toll Roads Limited **	INE691I07BG3 INE465N07124	CARE AA+ CARE AAA(SO)	100 222	2,516.71 2,281.61	0.37% 0.33%
9.05% Essel Lucknow Raebareli Toll Roads Limited **	INE465N07132	CARE AAA(SO)	222	2,280.81	0.33%
9.05% Essel Lucknow Raebareli Toll Roads Limited ** 9.05% Essel Lucknow Raebareli Toll Roads Limited **	INE465N07116 INE465N07108	CARE AAA(SO) CARE AAA(SO)	210 204	2,180.19 2,109.76	0.32% 0.31%
9.05% Essel Lucknow Raebareli Toll Roads Limited ** 9.05% Essel Lucknow Raebareli Toll Roads Limited **	INE465N07090 INE465N07082	CARE AAA(SO) CARE AAA(SO)	204 198	2,102.30 2,026.07	0.30% 0.29%
9.05% ICICI Home Finance Company Ltd **	INE071G07082	CAREAAA	360	1,821.43	0.26%
9.05% Essel Lucknow Raebareli Toll Roads Limited ** IDFC Bank Limited (ZCB) **	INE465N07140 INE092T08105	CARE AAA(SO) ICRA AAA	150 154	1,543.86 1,434.74	0.22% 0.21%
9.70% India Infradebt Ltd ** 8.38% Power Finance Corporation Limited **	INE537P07018 INE134E08HF0	CRISIL AAA CRISIL AAA	134 100	1,384.66 1,029.54	0.20% 0.15%
8.36% Power Finance Corporation Limited **	INE134E08GX5	CRISIL AAA	100	1,027.75	0.15%
12.52% IOT Utkal Energy Services Limited ** Tata Motors Finance Ltd (ZCB) **	INE310L07332 INE909H07DC6	CRISIL AAA (SO) CRISIL AA	559 50	578.77 518.95	0.08%
10.50% Shriram Transport Finance Company Limited **	INE721A07DM9	CRISIL AA+	50,000	509.35	0.07%
12.52% IOT Utkal Energy Services Limited ** 12.52% IOT Utkal Energy Services Limited **	INE310L07654 INE310L07647	CRISIL AAA (SO) CRISIL AAA (SO)	387 387	430.81 429.82	0.06% 0.06%
12.52% IOT Utkal Energy Services Limited ** 12.52% IOT Utkal Energy Services Limited **	INE310L07639 INE310L07621	CRISIL AAA (SO) CRISIL AAA (SO)	387 387	428.81 427.82	0.06% 0.06%
12.52% IOT Utkal Energy Services Limited **	INE310L07597	CRISIL AAA (SO)	387	424.79	0.06%
12.52% IOT Utkal Energy Services Limited ** 12.52% IOT Utkal Energy Services Limited **	INE310L07589 INE310L07571	CRISIL AAA (SO) CRISIL AAA (SO)	387 387	424.47 423.48	0.06% 0.06%
12.52% IOT Utkal Energy Services Limited **	INE310L07563	CRISIL AAA (SO)	387	422.46	0.06%
12.52% IOT Utkal Energy Services Limited ** 12.52% IOT Utkal Energy Services Limited **	INE310L07555 INE310L07548	CRISIL AAA (SO) CRISIL AAA (SO)	387 387	421.48 420.46	0.06% 0.06%
12.52% IOT Utkal Energy Services Limited ** 12.52% IOT Utkal Energy Services Limited **	INE310L07720 INE310L07704	CRISIL AAA (SO) CRISIL AAA (SO)	344 344	387.91 387.45	0.06% 0.06%
12.52% IOT Utkal Energy Services Limited **	INE310L07712	CRISIL AAA (SO)	344	387.02	0.06%
12.52% IOT Utkal Energy Services Limited ** 12.52% IOT Utkal Energy Services Limited **	INE310L07696 INE310L07688	CRISIL AAA (SO) CRISIL AAA (SO)	344 344	386.56 385.65	0.06% 0.06%
12.52% IOT Utkal Energy Services Limited ** 12.52% IOT Utkal Energy Services Limited **	INE310L07670	CRISIL AAA (SO)	344 344	384.77 383.85	0.06%
12.52% IOT Utkal Energy Services Limited **	INE310L07662 INE310L07613	CRISIL AAA (SO) CRISIL AAA (SO)	344	379.38	0.06%
12.52% IOT Utkal Energy Services Limited ** 12.52% IOT Utkal Energy Services Limited **	INE310L07605 INE310L07738	CRISIL AAA (SO) CRISIL AAA (SO)	344 301	378.49 340.13	0.05% 0.05%
8.70% Rural Electrification Corporation Limited **	INE020B08815	CRISIL AAA	26	264.27	0.04%
9.69% LIC Housing Finance Limited ** 9.40% Nabha Power Ltd **	INE115A07FG1 INE445L08169	CRISIL AAA ICRA AAA(SO)	26 20	262.56 203.56	0.04% 0.03%
12.52% IOT Utkal Energy Services Limited ** Sub Total	INE310L07316	CRISIL AAA (SO)	180	185.44 <b>334,198.53</b>	0.03% 48.48%
(b) Privately placed / Unlisted	n mor	anvar :			
8.90% Powergrid Vizag Transmission Limited ** # 9.68% Tata Sons Ltd ** #	INE979S07016 INE895D08394	CRISIL AAA (SO) CRISIL AAA	450 12	4,660.85 120.63	0.68% 0.02%
Sub Total			12	4,781.48	0.69%
Total Consumment Securities				338,980.01	49.18%
Government Securities			EQ E4E 000	## #OB O /	
7.59% GOI(MD 11/01/2026)	IN0020150093 IN0020130061	SOV SOV	53,517,800 46,000,000	55,792.04 50,642.96	8.09% 7.35%
7.59% GOI(MD 11/01/2026) 8.83% GOI (MD 25/11/2023) 8.15% GOI (MD 24/11/2026)	IN0020130061 IN0020140060	SOV SOV	46,000,000 39,500,000	50,642.96 42,463.49	7.35% 6.16%
7.59% GOI(MD 11/01/2026) 8.83% GOI (MD 25/11/2023)	IN0020130061	SOV	46,000,000	50,642.96	7.35%

Name of the Instrument	ISIN	Rating	Quantity	Market/	% to Ne
				Fair Value (Rs in Lacs.)	Assets
7.59% GOI (MD 20/03/2029)	IN0020150069	SOV	11,484,500	12,023.36	1.74%
8.56% RAJASTHAN SDL - (MD 23/01/2023)	IN2920120135	SOV	9,000,000	9,562.81	1.39%
8.51% Haryana SDL(MD 27/10/2020)	IN1620100033	SOV	6,589,600	6,907.38	1.00%
8.17% GOI (MD 01/12/2044)	IN0020140078	SOV	5,000,000	5,582.52	0.81%
8.65% Rajasthan SDL(MD 24/02/2026)	IN2920150256	SOV	5,000,000	5,449.57	
9.20% GOI (MD 30/09/2030) 8.50% Durish SDI (MD 27/10/2020)	IN0020130053	SOV	4,500,000	5,287.73	0.77%
8.50% Punjab SDL(MD 27/10/2020)	IN2820100062	SOV SOV	5,000,000	5,239.13 4,854.46	0.76%
8.51% Haryana SDL (MD 10/02/2026) 8.85% Punjab SDL (MD 09/07/2019)	IN1620150137 IN2820140043	SOV	4,500,000 4,000,000	4,034.40	0.70%
8.60% GOI (MD 02/06/2028)	IN0020140011	SOV	3,500,000	3,899.82	0.57%
8.35% Gujarat SDL (MD 06/10/2020)	IN1520100059	SOV	3,000,000	3,126.83	0.45%
7.8% GOI (MD 11/04/2021)	IN0020110022	SOV	3,000,000	3,107.34	0.45%
8.60% Bihar SDL (MD 09/03/2026)	IN1320150056	SOV	2,500,000	2,709.86	0.39%
8.53% Uttar Pradesh SDL (MD 10/02/2026)	IN3320150375	SOV	2,500,000	2,697.27	0.39%
8.70% Uttar Pradesh SDL (MD 04/10/2020)	IN3320140186	SOV	2,500,000	2,624.34	0.38%
8.37% Punjab SDL (MD 22/09/2020)	IN2820100047	SOV	2,500,000	2,606.15	0.38%
8.53% Maharashtra SDL (MD 27/10/2020)	IN2220100050	SOV	2,435,300	2,554.63	0.37%
9.15% GOI (MD 14/11/2024)	IN0020110048	SOV	2,000,000	2,243.16	0.33%
8.82% Jharkhand SDL(MD 24/02/2026) 8.20% GOI (MD 15/02/2022)	IN3720150074 IN0020060037	SOV SOV	2,000,000 2,000,000	2,193.97 2,107.93	0.32%
8.83% Uttar Pradesh SDL (MD 24/02/2026)	IN3320150383	SOV	1,656,200	1,819.81	0.26%
8.88% West Bengal SDL (MD 24/02/2026)	IN3420150150	SOV	1,500,000	1,653.59	0.24%
8.36% Maharashtra SDL (MD 27/01/2026)	IN2220150170	SOV	1,500,000	1,602.59	0.23%
8.13% GOI (MD 22/06/2045)	IN0020150044	SOV	1,000,000	1,115.81	0.16%
8.3% Madhya Pradesh SDL (MD 13/01/2026)	IN2120150080	SOV	1,000,000	1,065.30	
8.08% GOI (MD 02/08/2022)	IN0020070028	SOV	1,000,000	1,054.05	0.15%
8.15% GOI (MD 11/06/2022)	IN0020120013	SOV	1,000,000	1,053.14	0.15%
8.33% GOI 2026 (M/D 09/07/2026)	IN0020120039	SOV	500,000	544.76	0.08%
8.57% Andhra Pradesh SDL (MD 09/03/2026)	IN1020150141	SOV	500,000	541.36	0.08%
8.42% Kerala SDL (MD 27/01/2026)	IN2020150149	SOV	500,000	535.91	0.08%
8.56% Punjab SDL(MD 13/04/2020)	IN2820100013	SOV	500,000	522.07	0.08%
8.19% GOI (MD 16/01/2020)	IN0020110071	SOV	500,000	519.63 427.46	0.08%
6.97% GOI (MD 06/09/2026) 8.82% Bihar SDL (MD 24/02/2026)	IN0020160035 IN1320150049	SOV SOV	422,800 96,000	105.44	0.06%
Total	1111320130049	301	90,000	325,124.69	
CBLO / Reverse Repo				323,124.07	47.17 /0
				1 200 62	0.100
Clearing Corporation of India Ltd				1,209.62	0.18%
Sub Total				1,209.62	0.18%
Total				1,209.62	0.18%
Net Receivables / (Payables) GRAND TOTAL				23,982.59 689,296.91	3.48%
ZCB - Zero Coupon Bond					
** Thinly Traded / Non Traded Security					
#Unlisted Security					
Notes:					
1) The provision made for Non Performing Assets a	s of September 30, 2	016 is Nil.			
<ol> <li>Plan/Option wise Net Asset Values are as follows:</li> </ol>	:				
Plan	As on	September 30, 2016	As on	March 31, 20	16
Regular Plan - Monthly Dividend Option		Rs. 108.6227		s. 104.8405	
Regular Plan - Dividend Option		Rs. 136.8054		s. 127.7816	
Regular Plan - Growth Option		Rs. 202.0015	R	s. 188.6779	
Regular Plan - Quarterly Dividend Option		Rs. 106.2712	R	s. 103.7501	
Retail Plan- Monthly Dividend Option @@		Rs. 108.5790	R	s. 104.7978	
Retail Plan- Quarterly Dividend Option @@		Rs. 108.9727		s. 106.3873	
Retail Plan- Growth Option @ @		Rs. 303.2344	R	s. 283.2329	
Discipline Advantage Plan @		Rs. 187.4798	R	s. 175.0181	
Direct Plan - Dividend Option		Rs. 137.8665		s. 128.5789	
•					
Direct Plan - Monthly Dividend Option		Rs. 109.4404		s. 105.4707	
Direct Plan - Growth Option		Rs. 203.7375	R	s. 190.0123	
Direct Plan - Quarterly Dividend Option		Rs. 106.9452	R	s. 104.2481	
@ This option has been suspended for further sub	scriptions waf Ma				
	-				
@@ Plan(s) discontinued from accepting subscri	-			_	
<ol> <li>Dividend in the following plans was declared during</li> </ol>	g the half year ended	September 30, 2016.		Per	Unit (Rs.)
Retail Plan-Quarterly Dividend Option					4.7923
Retail Plan-Quarterly Dividend Option Direct Plan - Weekly Dividend Option Regular Plan - Weekly Dividend Option					4.6971 4.6735

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016.

Direct Plan - Dividend Option Regular Plan - Dividend Option

Retail Plan- Monthly Dividend Option Details of derivatives exposure and transaction:

Underlying Security	Notional amount	Long/Short	Maturity date
7.59% GOI(MD 11/01/2026)	1000000000	Long	11-Jan-26
7.59% GOI(MD 11/01/2026)	1000000000	Long	11-Jan-26
7.59% GOI(MD 11/01/2026)	2000000000	Long	11-Jan-26
7.68% GOI (MD 15/12/2023)	1250000000	Long	15-Dec-23
7.59% GOI(MD 11/01/2026)	500000000	Long	11-Jan-26

(5) The Average Maturity Period of the Portfolio is 5.76 years. Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

SUN	LIFE	EMERGING LEADERS FUND - SERIES 6
	(A	Close ended Equity Scheme)

							ity Scheme) iber 30, 2016
Name of the Instrument	ISIN	Industry^	Quantity	Market/ Fair Value	% to Net Assets	Nar	me of the Instru
			(	(Rs in Lacs.)		ıL	
Equity & Equity related						JK (	Cement Limited
(a) Listed / awaiting listing on Stock Exchanges Dalmia Bharat Limited	INE439L01019	Cement	100,100	1,872.87	7.35%		o Count Industrie
Yes Bank Limited	INE528G01019	Banks	138,000	1,731.49	6.80%	Astı	ra Microwave Pr
Eicher Motors Limited	INE066A01013	Auto	6,783	1,684.07	6.61%		Catton I im
Natco Pharma Limited	INE987B01026	Pharmaceuticals	211,000	1,259.35	4.94%		eaves Cotton Lin L Logistics Lim
Voltas Limited	INE226A01021	Construction Project	315,000	1,194.17	4.69%		r Logistics Little Total
Repco Home Finance Limited	INE612J01015	Finance	145,000	1,185.23	4.65%		Unlisted
Berger Paints (I) Limited	INE463A01038	Consumer Non Durables	388,427	1,013.99	3.98%	1 1	Total
Capital First Limited	INE688I01017	Finance	145,000	1,005.29	3.95%	Tota	
Emami Limited	INE548C01032	Consumer Non Durables	80,000	935.92	3.67%		aı LO / Reverse Re
Torrent Pharmaceuticals Limited	INE685A01028	Pharmaceuticals	54,000	876.72	3.44%		aring Corporatio
Bharat Financial Inclusion Limited	INE180K01011	Finance	90,000	792.59	3.11%		aring Corporatio Total
V-Guard Industries Limited	INE951I01027	Industrial Capital Goods	406,340	746.45	2.93%	Tota	
Bayer Cropscience Limited	INE462A01022	Pesticides	16,430	734.72	2.88%		t Receivables / (I
K.P.R. Mill Limited	INE930H01015	Textile Products	55,327	673.86	2.65%		AND TOTAL
PI Industries Limited	INE603J01030	Pesticides	79,000	656.81	2.58%	_	lustry classificati
TVS Motor Company Limited	INE494B01023	Auto	170,000	621.95	2.44%	Note	•
Hindustan Petroleum Corporation Limited	INE094A01015	Petroleum Products	135,000	572.33	2.25%	(1)	The provision
Orient Cement Limited	INE876N01018	Cement	263,000	565.45	2.22%		•
Johnson Controls-Hitachi Air Conditioning India Limited	INE782A01015	Consumer Durables	33,700	547.04	2.15%	(2)	Plan/Option w
AIA Engineering Limited	INE212H01026	Industrial Products	38,177	492.27	1.93%		Plan
Atul Auto Limited	INE951D01028	Auto	100,000	478.30	1.88%		Regular Plan -
Kotak Mahindra Bank Limited	INE237A01028	Banks	60,000	466.20	1.83%		Regular Plan -
Bosch Limited	INE323A01026	Auto Ancillaries	1,900	433.16	1.70%		Direct Plan - I
WABCO India Limited	INE342J01019	Auto Ancillaries	6,940	431.85	1.70%		Direct Plan - C
Mahindra & Mahindra Limited	INE101A01026	Auto	30,000	421.71	1.66%	(3)	No dividend w
VA Tech Wabag Limited	INE956G01038	Engineering Services	70,000	384.62	1.51%	(4)	Details of deri
Petronet LNG Limited	INE347G01014	Gas	100,000	345.40	1.36%		(a) Hedging Po
Bajaj Finserv Limited	INE918I01018	Finance	11,000	337.65	1.33%		transactions th
Equitas Holdings Limited	INE988K01017	Finance	179,000	312.62	1.23%		(b) Other than
FAG Bearings India Limited	INE513A01014	Industrial Products	7,200	294.58	1.16%		were no non-h
GE T&D India Limited	INE200A01026	Industrial Capital Goods	82,781	277.27	1.09%		(c) Hedging Po
Kalpataru Power Transmission Limited	INE220B01022	Power	100,000	260.50	1.02%		no hedging tra
Divi's Laboratories Limited	INE361B01024	Pharmaceuticals	20,000	258.16	1.01%		(d) Other than
Cholamandalam Investment and Finance Company Limited	INE121A01016	Finance	22,000	254.62	1.00%		were no non-h (e) Hedging Po
Gujarat Fluorochemicals Limited	INE538A01037	Chemicals	40,000	209.26	0.82%	(5)	
Cummins India Limited	INE298A01020	Industrial Products	23,000	208.27	0.82%	(5)	During the cur
Dish TV India Limited	INE836F01026	Media & Entertainment	200,000	186.20	0.73%	(6)	The total value
1						(7)	Total market v

INE006I01046 Industrial Products

Astral Poly Technik Limited

Name of the Instrument	ISIN	Industry^	Quantity	Market/ Fair Value	% to Ne
				(Rs in Lacs.)	Asset
JK Cement Limited	INE823G01014	Cement	17,410	152.47	0.60%
Indo Count Industries Limited	INE483B01018	Textiles - Cotton	19,206	139.46	0.55%
Astra Microwave Products Limited	INE386C01029	Telecom - Equipment & Accessories	120,000	133.44	0.52%
Greaves Cotton Limited	INE224A01026	Industrial Products	50,000	64.95	0.25%
VRL Logistics Limited	INE366I01010	Transportation	15,758	47.21	0.19%
Sub Total				25,445.08	99.89%
(b) Unlisted				NIL	NII
Sub Total				NIL	NII
Total				25,445.08	99.89%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				130.96	0.51%
Sub Total				130.96	0.51%
Total				130.96	0.51%
Net Receivables / (Payables)				(101.85)	-0.40%
GRAND TOTAL				25,474.19	100.00%
Industry classification as recommended by AM	IFI and wherever not availa	ble, internal classification ha	s been used		
Notes:					
The provision made for Non Performing A	Assets as of September 30, 2	016 is Nil.			
(2) Plan/Ontion wise Net Asset Values are as	follows:				

As on September 30, 2016 Plan As on March 31, 2016 Rs. 9.50 Regular Plan - Dividend Option Rs. 12.63 Regular Plan - Growth Option Rs. 12.63 Rs. 9.50 Rs. 12.92 Direct Plan - Dividend Option Rs. 9.63

(3) No dividend was declared during the half year ended September 30, 2016. Details of derivatives exposure and transaction:

184.61 0.72%

42,000

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ Nil$ transactions through futures which have been squared off/expired.

 $(b) Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there$ were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.03 times The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil.

Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

DIKLA SUN LIFE SMALL & MIDCAP FUND
(An Open Ended Small & Mid Cap Equity Scheme) Portfolio as on September 30, 2016

Name of the Instrument	ISIN	Quantity			
				Fair Value	Assets
			(	Rs in Lacs.)	
Equity & Equity related					
a) Listed / awaiting listing on Stock Exchanges					
Dalmia Bharat Limited	INE439L01019	Cement	54,450	1,018.76	3.13%
DFC Limited	INE043D01016	Finance	1,500,000	1,008.00	3.10%
Advanced Enzyme Technologies Limited	INE837H01012	Consumer Non Durables	55,800	936.10	2.88%
K Cement Limited	INE823G01014	Cement	100,000	875.75	2.69%
Mahindra CIE Automotive Limited	INE536H01010	Industrial Products	450,000	863.55	2.65%
yothy Laboratories Limited	INE668F01031	Consumer Non Durables	250,000	861.75	2.65%
Dewan Housing Finance Corporation Limited	INE202B01012	Finance	300,000	851.40	2.62%
Bharat Financial Inclusion Limited	INE180K01011	Finance	95,519	841.19	2.59%
NOX Leisure Limited Solar Industries India Limited	INE312H01016 INE343H01029	Media & Entertainment Chemicals	300,000 120,045	787.20 780.53	2.42% 2.40%
Crompton Greaves Limited	INE067A01029	Industrial Capital Goods	1,000,000	750.50	2.31%
Biocon Limited	INE376G01013	Pharmaceuticals	80,000	746.80	2.31%
RB Infrastructure Developers Limited	INE821I01014	Construction	300,000	735.45	2.26%
The Federal Bank Limited	INE171A01029	Banks	1,000,000	720.00	2.20%
The India Cements Limited	INE383A01012	Cement	500,000	720.00	2.21%
/-Guard Industries Limited	INE951I01027	Industrial Capital Goods	383,110	703.77	2.16%
PNC Infratech Limited	INE195J01029	Construction	595,000	692.88	2.13%
Mangalore Refinery and Petrochemicals Limited	INE103A01014	Petroleum Products	800,000	691.20	2.12%
Jnion Bank of India	INE692A01016	Banks	500,000	686.75	2.11%
Capital First Limited	INE688I01017	Finance	98,000	679.43	2.09%
Pennar Engineered Building Systems Limited	INE455001019	Ferrous Metals	383,732	660.02	2.03%
Repco Home Finance Limited	INE612J01015	Finance	80,670	659.40	2.03%
Natco Pharma Limited	INE987B01026	Pharmaceuticals	110,000	656.54	2.02%
HPL Electric Power Limited	INE495S01016	Consumer Durables	321,790	650.02	2.00%
Forrent Pharmaceuticals Limited	INE685A01028	Pharmaceuticals	40,000	649.42	2.00%
Sanofi India Limited	INE058A01010	Pharmaceuticals	15,000	620.73	1.91%
K.P.R. Mill Limited	INE930H01015	Textile Products	50,000	608.98	1.87%
Gujarat State Petronet Limited	INE246F01010	Gas	386,000	603.13	1.85%
Cyient Limited	INE136B01020	Software	125,000	588.25	1.81%
Dish TV India Limited	INE836F01026	Media & Entertainment	600,000	558.60	1.72%
KEC International Limited	INE389H01022	Construction Project	434,617	547.40	1.68%
Firstsource Solutions Limited	INE684F01012	Software	1,300,000	527.15	1.62%
Sterlite Technologies Limited	INE089C01029	Industrial Products	620,848	494.82	1.52%
Relaxo Footwears Limited	INE131B01039	Consumer Durables	110,000	489.45	1.50%
et Airways (India) Limited	INE802G01018	Transportation	102,100	486.25	1.49%
Century Textiles & Industries Limited	INE055A01016	Cement	50,000	471.53	1.45%
Monte Carlo Fashions Limited	INE950M01013	Textile Products	100,000	458.65	1.41%
Jjjivan Financial Services Limited	INE334L01012	Finance	100,000	447.35	1.37%
Akzo Nobel India Limited	INE133A01011	Consumer Non Durables	25,000	424.43	1.30%
Hinduja Global Solutions Limited	INE170I01016	Software	80,000	418.68	1.29%
Prabhat Dairy Limited	INE302M01033	Consumer Non Durables	331,570	387.61	1.19%
ndo Count Industries Limited	INE483B01018	Textiles - Cotton	50,000	363.08	1.12%
Kaveri Seed Company Limited	INE455I01029	Consumer Non Durables	100,000	358.15	1.10%
Sub Total				28,080.65	86.31%
b) Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				28,080.65	86.31%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				4,497.49	13.82%
Sub Total				4,497.49	13.82%
Total				4,497.49	13.82%
Net Receivables / (Payables)				(42.47)	-0.13%
GRAND TOTAL				32,535.67	100.00%
Industry classification as recommended by AMFI and	wherever not availal	ble, internal classification h	as been used		
otes:					
) The provision made for Non Performing Assets a		016 is Nil.			
Plan/Option wise Net Asset Values are as follows					
Plan	Acon	Sentember 30 2016	As on A	Jarch 31 201	16

(3)

Name of the Instrument

As on September 30, 2016 As on March 31, 2016 Regular Plan - Dividend Option Rs. 21.9204 Rs. 18.4735 Regular Plan - Growth Option Rs. 24.0586 Direct Plan - Dividend Option Rs. 30.9704 Rs. 23.9389 Direct Plan - Growth Option

Details of derivatives exposure and transaction:
(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging

Dividend in the following plans was declared during the half year ended September 30, 2016

transactions through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were non-hedging transactions through futures which have been squared off/expired.

Per Unit (Rs.)

Market/ % to Net

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.33 times

The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%. Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

### BIRLA SUN LIFE RESURGENT INDIA FUND - SERIES 1 (A Close ended Equity Scheme) Portfolio as on September 30, 2016

Industry^

				Fair Value	Ass
				(Rs in Lacs.)	
Equity & Equity related					
(a) Listed / awaiting listing on Stock Exchanges					
Eicher Motors Limited	INE066A01013	Auto	2,000		4.16
Repco Home Finance Limited	INE612J01015	Finance	57,300	468.37	3.92
Yes Bank Limited	INE528G01019	Banks	36,000	451.69	3.78
Shriram Transport Finance Company Limited	INE721A01013	Finance	34,600	402.28	3.37
Voltas Limited	INE226A01021	Construction Project	105,000	398.06	3.33
Mahindra & Mahindra Limited	INE101A01026	Auto	18,000	253.03	2.12
State Bank of India	INE062A01020	Banks	100,000	251.25	2.10
Orient Cement Limited	INE876N01018	Cement	115,300	247.90	2.08
Dalmia Bharat Limited	INE439L01019	Cement	13,000	243.23	2.04
Hero MotoCorp Limited	INE158A01026	Auto	7,000	238.96	2.00
K.P.R. Mill Limited	INE930H01015	Textile Products	19,489	237.37	1.99
Century Textiles & Industries Limited	INE055A01016	Cement	25,000		1.97
UltraTech Cement Limited	INE481G01011	Cement	6,000		
Bajaj Finserv Limited	INE918I01018	Finance	7,500	230.22	1.93
HDFC Bank Limited	INE040A01026	Banks	18,000	229.11	1.92
IndusInd Bank Limited	INE095A01012		19,000		1.90
Shree Cements Limited	INE070A01015		1,300		
Maruti Suzuki India Limited	INE585B01010	Auto	4,000		1.84
UPL Limited	INE628A01036	Pesticides	32,000		1.8
Capital First Limited	INE688I01017	Finance	31,000		1.8
Tata Motors Limited	INE155A01022	Auto	40,000		1.7
Cummins India Limited	INE298A01020	Industrial Products	23,000		
Bajaj Finance Limited	INE296A01024		19,100		1.6
IVS Motor Company Limited	INE494B01023		55,000		
Equitas Holdings Limited	INE988K01017		100,000		1.4
Emami Limited	INE548C01032		12,000		
V-Guard Industries Limited	INE951I01027	Industrial Capital Goods	72,660		
Berger Paints (I) Limited	INE463A01038	Consumer Non Durables	50,000		
Larsen & Toubro Limited	INE018A01030	Construction Project	6,300		0.70
Cholamandalam Investment and Finance	INE121A01016		6,600		0.64
Company Limited			2,200		0
Sub Total				7,284.15	61,01
(b) Unlisted				NIL	N
Sub Total				NIL	N
Total				7,284.15	
CBLO / Reverse Repo				,	
Clearing Corporation of India Ltd				5,339.21	44.73
Sub Total				5,339.21	
Total				5,339.21	
Net Receivables / (Payables)				(684.63)	-5.73
				11,938.73	

The provision made for Non Performing Assets as of September 30, 2016 is Nil. Plan/Option wise Net Asset Values are as follows:

As on March 31, 2016 ## As on September 30, 2016 Regular Plan - Dividend Option Regular Plan - Growth Option Direct Plan - Dividend Option Rs. 10.12 Direct Plan - Growth Option Rs. 10.13 ## BIRLA SUN LIFE RESURGENT INDIA FUND - SERIES 1 has been launched during the current half year

No dividend was declared during the half year ended September 30, 2016.

Details of derivatives exposure and transaction:
(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

transactions intogen intuffers winch nave been squared on expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016 is Nil.

During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0 times The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%.

Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%. Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

## ✓ Over 3.2 million investor scheme accounts



This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September 2016.

## Half Yearly Portfolio Statement as on September 30, 2016

TIMIT TOUTTY	Tortiono Statement as on September 20, 2010
(Pursuant to Regulation 59A	of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996)

BIRLA SUN LIFE TOP 100 FUND								BIRLA	SUN LI	FE CASH PLUS						
``	Open Ended Gro olio as on Septen	,										Liquid Scheme) eptember 30, 2016				
Name of the Instrument	ISIN	Industry^/Rating	Quantity	Fair Value		Name of the Instrument	ISIN	Rating	Quantity	Market/ Fair Value	% to Net	Name of the Instrument	ISIN	Rating		Market/ % to Ne
Debt Instruments				(Rs in Lacs.)		Debt Instruments			(	Rs in Lacs.)		Talwandi Sabo Power Ltd ** #	INE694L14DI9	CRISIL A1+(SO)	2,000	9,843.65 0.409
(a) Listed / awaiting listing on Stock Exchange 8.49% NTPC Limited **	INE733E07JP6	CRISIL AAA	1,145,000	151.45	0.06%	(a) Listed / awaiting listing on Stock Exchange 9.65% Shriram Transport Finance Company Limited **	INE721A07IP1		1,950	19,542.14		Godrej Industries Limited ** # MAHINDRA RURAL HOUSING FINANCE ** #	INE233A14HV3 INE950O14673		1,900 1,800	9,431.01 0.389 8,896.64 0.369
Sub Total			-,,	151.45	0.06%	8.77% LIC Housing Finance Limited ** 9.75% Housing Development Finance	INE115A07GE4 INE001A07HN5		1,400 1,150	14,027.09 11,551.00	0.57% 0.47%	Godrej Industries Limited ** # Godrej Properties Limited ** #	INE233A14IA5 INE484J14AL9		1,600 1,600	7,895.24 0.329 7,874.14 0.329
(b) Privately placed / Unlisted Sub Total				NIL NIL		Corporation Limited ** 8.60% Mahindra & Mahindra Financial	INE774D07NM1	1 IND AAA	1,000	10,031.99	0.40%	ECL Finance Ltd ** #	INE804I14NH1	CRISIL A1+	1,500	7,433.43 0.309
Total				151.45		Services Limited **						ONGC Mangalore Petrochemicals Ltd ** # Standard Chartered Invest & Loans Ltd. ** #	INE053T14493 INE403G14HS5	CRISIL A1+	1,333 1,300	6,577.09 0.279 6,413.67 0.269
Equity & Equity related (a) Listed / awaiting listing on Stock Exchanges						8.60% Mahindra & Mahindra Financial Services Limited **	INE774D07NL3		1,000	10,030.93	0.40%	Fedbank Financial Services Ltd ** # IL & FS Education and Technology Services Ltd ** #	INE007N14963 INE896F14049	CRISIL A1+ CARE A1+	1,300 1,000	6,406.63 0.269 4,970.98 0.209
HDFC Bank Limited	INE040A01026	Banks	1,582,721	20,145.66	8.41%	8.85% IDBI Bank Limited ** Cholamandalam Investment and Finance	INE008A08M27 INE121A07IU4		750 600	7,522.18 7,145.24	0.30% 0.29%	Sterlite Technologies Limited ** # Godrej Agrovet Ltd ** #	INE089C14261 INE850D14EV5	ICRA A1+ ICRA A1+	1,000 1,000	4,967.54 0.209 4,965.43 0.209
ICICI Bank Limited Infosys Limited	INE090A01021 INE009A01021	Banks Software	3,983,895 923,036	10,045.39 9,563.11		Company Limited (ZCB) ** 10.30% Kotak Mahindra Prime Ltd **	INE916DA7865	CRISIL AAA	650	6,503.78	0.26%	Small Industries Dev Bank of India ** #	INE556F14DD3	CARE A1+	1,000	4,963.89 0.209
Tata Motors Limited	INE155A01022	Auto	1,639,100	8,766.73		10.75% Shriram Transport Finance Company Limited ** 9.25% Housing Development Finance		CRISIL AA+	500,000 500	5,009.67 5,004.18	0.20% 0.20%	JM Financial Credit Solution Limited ** # Tata Housing Development Co Ltd ** #	INE651J14446 INE582L14AK8	ICRA A1+ CARE A1+	1,000 1,000	4,963.22 0.209 4,961.74 0.209
Larsen & Toubro Limited Reliance Industries Limited	INE018A01030 INE002A01018	,	526,599 686,883	7,546.95 7,443.75		Corporation Limited **						Vedanta Limited ** # Godrej Industries Limited ** #	INE205A14FS1 INE233A14HW	CRISIL A1+ 1 ICRA A1+	1,000 1,000	4,960.06 0.209 4,959.42 0.209
HCL Technologies Limited	INE860A01027	Software	905,969	7,246.85		10.35% Hero Fincorp Limited ** 9.16% HDB Financial Services Ltd **	INE957N07021 INE756I07506	CRISIL AA+ CRISIL AAA	471 450	4,725.86 4,508.80	0.19% 0.18%	IL & FS Education and Technology Services Ltd ** # Sterlite Technologies Limited ** #		CARE A1+	1,000 1,000	4,952.72 0.209 4,949.58 0.209
Grasim Industries Limited Kotak Mahindra Bank Limited	INE047A01013 INE237A01028		148,752 887,010	7,194.46 6,892.07		9.10% Shriram Transport Finance Company Limited ** 8.14% Housing Development Finance Corporation	INE721A07IV9 INE001A07OE0		250 25	2,508.84 2,504.64	0.10% 0.10%	Tata Housing Development Co Ltd ** #	INE582L14AP7	CARE A1+	1,000	4,934.33 0.209
ITC Limited	INE154A01025	Consumer Non Durables	2,821,722	6,810.23		Limited ** 9.06% HDB Financial Services Ltd **	INE756I07522	CRISIL AAA	200	2,008,03	0.08%	Tata Cleantech Capital Ltd ** # IL&FS Financial Services Ltd ** #	INE857Q14170 INE121H14FB1	CARE A1+	1,000 1,000	4,931.41 0.209 4,927.27 0.209
IndusInd Bank Limited Maruti Suzuki India Limited	INE095A01012 INE585B01010		505,769 104,575	6,048.74 5,727.89		10.75% Shriram Transport Finance Company Limited **	INE721A07GP5	CRISIL AA+	100	1,000.40	0.04%	Adani Transmission Limited ** # ICICI Securities Ltd ** #	INE931S14534 INE763G14DM4	IND A1+ CRISIL A1+	1,000 900	4,925.57 0.209 4,428.63 0.189
Sun Pharmaceuticals Industries Limited	INE044A01036		686,280	5,097.00		9.25% Housing Development Finance Corporation Limited **	INE001A08312	CRISIL AAA	50	501.32	0.02%	Sadbhav Engineering Limited ** # Magma Housing Finance ** #	INE226H14854 INE055I14AZ9	CARE A1+	800 500	3,976.66 0.169 2,476.57 0.109
Indian Oil Corporation Limited	INE242A01010 INE001A01036		804,985 330,841	4,689.04 4,610.10		Sub Total (b) Privately placed / Unlisted				114,126.09	4.60%	Adani Transmission Limited ** #	INE931S14559	IND A1+	500	2,472.88 0.109
Housing Development Finance Corporation Limited Yes Bank Limited	INE528G01019		321,455	4,033.30		Tata Housing Development Co Ltd (ZCB) ** # 10.75% Tata Housing Development Co Ltd ** #	INE582L07062 INE582L07054		1,226 400	16,467.95 4,002.47	0.66% 0.16%	JM Financial Limited ** # Kotak Mahindra Investments Ltd ** #	INE780C14547 INE975F14HR6		500 500	2,472.11 0.109 2,466.84 0.109
Power Grid Corporation of India Limited Crompton Greaves Consumer Electricals Limited	INE752E01010 INE299U01018		2,281,300 2,557,912	4,027.64 3,937.91		Sub Total	11002001001	10141111	100	20,470.42	0.83%	Sub Total Treasury Bill			1,2	287,738.80 51.919
NTPC Limited	INE733E01010		2,597,353			Total Government Securities				134,596.51	5.43%	91 Days Tbill (MD 22/12/2016) 91 Days Tbill (MD 29/12/2016)	IN002016X256 IN002016X264	SOV SOV		93,156.40 3.769 54,448.15 2.199
Aurobindo Pharma Limited State Bank of India	INE406A01037 INE062A01020	Pharmaceuticals	427,500 1,388,000	3,657.05 3,487.35		8.77% Karnataka SDL (MD 21/11/2016) Total	IN1920120053	SOV	1,500,000	1,504.00 1,504.00	0.06% 0.06%	91 Days Tbill (MD 01/12/2016)	IN002016X223	SOV	50,000,000	49,471.70 1.999
Hindustan Unilever Limited	INE002A01020 INE030A01027	Banks Consumer Non Durables	395,500	3,432.35		Money Market Instruments Bills Rediscounting						91 Days Tbill (MD 17/11/2016) 91 Days Tbill (MD 08/12/2016)	IN002016X207 IN002016X231	SOV SOV		47,102.90 1.909 29,526.35 1.199
Crompton Greaves Limited	INE067A01029	Industrial Capital Goods	4,430,912	3,325.40		Kotak Mahindra Bank Limited #			35,000,000	34,625.36		182 Days Tbill (MD 17/11/2016) 364 Days Tbill (MD 26/12/2016)	IN002016Y049 IN002015Z204	SOV SOV		24,791.65 1.009 11,821.19 0.489
Coal India Limited Bajaj Finserv Limited	INE522F01014 INE918I01018	Minerals/Mining Finance	1,009,709 105,400	3,254.29 3,235.31		Kotak Mahindra Bank Limited # Kotak Mahindra Bank Limited #			25,000,000 25,000,000	24,783.15 24,778.45	1.00% 1.00%	182 Days Tbill (MD 01/12/2016) 182 Days Tbill (MD 15/12/2016)	IN002016Y056 IN002016Y064	SOV SOV	5,000,000 4,500,000	4,947.09 0.209 4,441.58 0.189
IDFC Limited	INE043D01016		4,543,645	3,053.33		Kotak Mahindra Bank Limited # Sub Total			20,000,000	19,936.98 <b>104,123.94</b>	0.80% 4.20%	91 Days Tbill (MD 13/10/2016)	IN002016X157	SOV	50	0.05
Zee Entertainment Enterprises Limited The Federal Bank Limited	INE256A01028 INE171A01029	Media & Entertainment Banks	554,927 4,055,236	3,034.06 2,919.77		Certificate of Deposit IndusInd Bank Limited ** #	INE095A16TH5	CDICII A1:	103,475	103.028.92	4.15%	Sub Total Total				319,707.06 12.89 9 157,126.61 86.96 9
Cummins India Limited	INE298A01020	Industrial Products	315,387	2,855.83		UCO Bank ** #	INE691A16KW	9 CRISIL A1+	62,500	61,801.88	2.49%	Others Fixed Deposit		Tenure (No. of Days)		
Glenmark Pharmaceuticals Limited  Axis Bank Limited	INE935A01035 INE238A01034	Pharmaceuticals Banks	309,000 525,825	2,853.46 2,846.55		IndusInd Bank Limited ** # IndusInd Bank Limited ** #	INE095A16TT0 INE095A16TM5		50,000 24,500	49,471.90 24,357.88	1.99% 0.98%	6.75% IndusInd Bank Limited		80 91		75,000.00 3.029
Bharat Electronics Limited	INE263A01016	Industrial Capital Goods	219,450	2,731.60	1.14%	The South Indian Bank Limited ** # RBL Bank Limited ** #	INE683A16IT6 INE976G16EL7		24,500 20,000	24,292.17 19,799.40	0.98% 0.80%	6.9% Deutsche Bank AG 6.75% IDFC Bank Limited		91		50,000.00 2.029 50,000.00 2.029
Castrol India Limited Mahindra & Mahindra Limited	INE172A01027 INE101A01026	Petroleum Products Auto	520,000 172,250			Canara Bank ** # IDBI Bank Limited ** #	INE476A16RI9	CRISIL A1+	20,000	19,738.40	0.80% 0.79%	6.9% Deutsche Bank AG 6.78% RBL Bank Limited		91 91		40,000.00 1.619 20,000.00 0.819
ACC Limited	INE012A01025	Cement	143,300	2,292.59	0.96%	The South Indian Bank Limited ** #	INE008A16O74 INE683A16IU4	CARE A1+	20,000 19,500	19,719.50 19,327.02	0.78%	6.75% Yes Bank Limited 6.8% RBL Bank Limited		90 91		20,000.00 0.819 20,000.00 0.819
PTC India Limited Britannia Industries Limited	INE877F01012 INE216A01022	Power Consumer Non Durables	2,948,515 62,649	2,195.17 2,107.51		Oriental Bank of Commerce ** # IndusInd Bank Limited ** #	INE141A16XE6 INE095A16TL7		17,500 14,000	17,338.27 13,921.39	0.70% 0.56%	6.9% Deutsche Bank AG		91		20,000.00 0.819
Sanofi India Limited	INE058A01010	Pharmaceuticals	48,525	2,008.06	0.84%	IDFC Bank Limited ** # Canara Bank ** #	INE092T16306 INE476A16RJ7		10,000 10,000	9,892.48 9,891.63	0.40% 0.40%	6.8% Yes Bank Limited 6.9% Deutsche Bank AG		90 90		20,000.00 0.819 15,000.00 0.609
Motherson Sumi Systems Limited Tata Communications Limited	INE775A01035 INE151A01013	Auto Ancillaries Telecom - Services	631,000 342,286	2,006.58 1,936.14		Yes Bank Limited ** #	INE528G16I51	ICRA A1+	10,000	9,868.50 9,455.62	0.40% 0.38%	6.9% Deutsche Bank AG 6.9% Deutsche Bank AG		91 91		15,000.00 0.609 15,000.00 0.609
Bank of Baroda	INE028A01039		1,067,000	1,786.16	0.75%	Axis Bank Limited ** # RBL Bank Limited ** #	INE238A16C96 INE976G16EH5	i ICRA A1+	9,500 7,500	7,444.89	0.30%	6.9% Deutsche Bank AG 6.9% Deutsche Bank AG		90 91		10,000.00 0.409 10,000.00 0.409
Gujarat State Petronet Limited Tata Consultancy Services Limited	INE246F01010 INE467B01029	Gas Software	1,088,370 67,424	1,700.58 1,638.94		IDBI Bank Limited ** # DCB BANK LIMITED ** #	INE008A16O82 INE503A16DR1		6,000 5,000	5,913.77 4,952.96	0.24% 0.20%	6.9% DCB BANK LIMITED		91		10,000.00 0.409
Hero MotoCorp Limited	INE158A01026		46,900	1,601.03	0.67%	DCB BANK LIMITED ** # The South Indian Bank Limited ** #	INE503A16DT7 INE683A16IY6		5,000 5,000	4,946.73 4,946.66	0.20% 0.20%	6.9% Deutsche Bank AG Sub Total		91		5,000.00 0.209 395,000.00 15.929
Hindustan Zinc Limited Tata Steel Limited	INE267A01025 INE081A01012		676,619 407,000	1,578.89 1,523.20		Punjab & Sind Bank ** #	INE608A16NG0	ICRA A1+	2,500	2,475.10	0.10%	Total CBLO / Reverse Repo			3	395,000.00 15.929
Torrent Pharmaceuticals Limited	INE685A01028		83,000	1,347.55		Oriental Bank of Commerce ** # UCO Bank ** #	INE141A16XF3 INE691A16KX7		2,500 500	2,474.83 496.91	0.10% 0.02%	Clearing Corporation of India Ltd Sub Total				3,195.03 0.139 3,195.03 0.139
DLF Limited LIC Housing Finance Limited	INE271C01023 INE115A01026	Construction Finance	916,500 229,314	1,339.46 1,327.27		Sub Total Commercial Paper				445,556.81	17.96%	Total				3,195.03 0.139
Bharti Airtel Limited	INE397D01024		403,918	1,268.30		Vedanta Limited ** # Small Industries Dev Bank of India ** #	INE205A14FT9 INE556F14DJ0		14,000 13,500	69,367.20 66,661.99	2.80% 2.69%	Net Receivables / (Payables) GRAND TOTAL				10,840.50) -8.509 480,581.65 100.009
GlaxoSmithKline Consumer Healthcare Limited Akzo Nobel India Limited	INE264A01014 INE133A01011	Consumer Non Durables Consumer Non Durables	19,500 68,613	1,176.70 1,164.84		Vedanta Limited ** #	INE205A14GL4	CRISIL A1+	10,500	51,654.28	2.08%	ZCB - Zero Coupon Bond  ** Thinly Traded / Non Traded Security				
Vedanta Limited	INE135A01011 INE205A01025		582,000	1,001.33		Kotak Mahindra Prime Ltd ** # Housing Development Finance Corporation Limited ** #	INE916D14XY8 INE001A14PP9	ICRA A1+	10,000 9,900	49,748.50 49,247.20	2.01% 1.99%	#Unlisted Security \$ Less Than 0.01% of Net Asset Value				
Cairn India Limited ICICI Prudential Life Insurance Company Limited	INE910H01017 INE726G01019	Oil	494,000 310,564	988.25 963.52		Reliance Jio Infocomm Limited ** # Cholamandalam Investment and Finance	INE110L14AT9 INE121A14NC8		9,900 7,500	49,125.93 36,999.30	1.98% 1.49%	Notes:				
Pfizer Limited	INE/20G01019 INE182A01018		49,717	963.52 953.52		Company Limited ** # Vedanta Limited ** #	INE205A14FX1		7,000	34,607.65	1.40%	The provision made for Non Performing Assets a     Plan/Option wise Net Asset Values are as follows		2016 is Nil.		
Hindustan Petroleum Corporation Limited	INE094A01015		205,500	871.22		JSW Steel Limited ** #	INE019A14866	CARE A1+	7,000	34,584.45	1.39%	Plan Regular Plan - Daily Dividend Option		Rs. 100.1950		arch 31, 2016 100.1950
Tech Mahindra Limited Housing Development Finance Corporation	INE669C01036 INE001A13031		193,475 489,100	812.30 809.46		Vedanta Limited ** # India Infoline Finance Ltd ** #	INE205A14FV5 INE866I14RS8	ICRA A1+	6,000 6,000	29,689.23 29,661.57	1.20% 1.20%	Regular Plan - Growth Option		Rs. 252.1225	Rs. 2	242.7740
Limited (Warrant)	DE001D01027	C - C	22,000	700.02	0.200	L&T Finance Ltd ** # Small Industries Dev Bank of India ** #	INE523E14QF3 INE556F14DO0		6,000 6,000	29,536.41 29,529.09	1.19% 1.19%	Regular Plan - Weekly Dividend Option Retail Plan- Daily Dividend Option @@		Rs. 100.2250 Rs. 163.6940		100.3746 163.6940
Oracle Financial Services Software Limited Shoppers Stop Limited	INE881D01027 INE498B01024		22,000 180,000	709.02 660.87		JSW Steel Limited ** # Bajaj Finance Limited ** #	INE019A14924 INE296A14KJ9	CARE A1+	6,000 5,900	29,513.43 29,248.31	1.19% 1.18%	Regular Plan- Adhoc Dividend Option		Rs. 105.3897	Rs. 1	101.4770
GAIL (India) Limited	INE129A01019		169,000	633.16		Reliance Capital Limited ** #	INE013A14ZA5	i ICRA A1+	5,800	28,772.26	1.16%	Retail Plan- Growth Option @@ Institutional Plan - Daily Dividend Option @@		Rs. 409.1361 Rs. 108.0230		393.9656 108.0230
JSW Steel Limited Colgate Palmolive (India) Limited	INE019A01020 INE259A01022		33,339 57,000	576.55 553.27		Vedanta Limited ** # Dewan Housing Finance Corporation Limited ** #	INE205A14GK6 INE202B14IO9	CRISIL A1+	5,500 5,400	27,062.26 26,676.11	1.09% 1.08%	Institutional Plan - Growth Option @@ Institutional Plan - Weekly Dividend Option		Rs. 419.8581 Rs. 108.0680		404.2900 108.2293
Bosch Limited	INE323A01026	Auto Ancillaries	2,241 105,000	510.90 502.90		National Fertilizers Limited ** # Deutsche Investments India Pvt Ltd ** #	INE870D14999 INE144H14AH1		5,000 5,000	24,756.18 24,587.10	1.00% 0.99%	Discipline Advantage Plan @		Rs. 169.3675	Rs. 1	162.9685
Wipro Limited Hindalco Industries Limited	INE075A01022 INE038A01020		214,100	326.93		Steel Authority of India Limited ** # Housing Development Finance Corporation Limited ** #	INE114A14DD2	! IND A1+	4,900 4,900	24,330.53 24,289.15	0.98% 0.98%	Direct Plan - Daily Dividend Option Direct Plan - Adhoc Dividend Option		Rs. 100.1950 Rs. 136.7575		100.1950 131.6312
Zee Entertainment Enterprises Limited	INE256A04022	Media & Entertainment	236,040	22.31	0.01%	Vedanta Limited ** #	INE205A14GM2	2 CRISIL A1+	4,500	22,124.68	0.89%	Direct Plan - Growth Option		Rs. 252.7890	Rs. 2	243.3140
(Preference Share) Sub Total				220,142.79	91.88%	TATA Realty & Infrastructure Ltd ** # Reliance Capital Limited ** #	INE371K14373 INE013A14ZB3		4,000 3,900	19,792.18 19,351.62	0.80% 0.78%	Direct Plan - Weekly Dividend Option  @ This option has been suspended for further sul			KS. I	100.3750
(b) Unlisted				NIL		Housing Development Finance Corporation Limited ** # GIC Housing Finance Limited ** #	# INE001A14PI4 INE289B14AX4		3,400 3,000	16,847.39 14,833.46	0.68% 0.60%	<ul> <li>@ - Plan(s) discontinued from accepting subsc</li> <li>(3) Dividend in the following plans was declared during the</li> </ul>				Per Unit (Rs.
Sub Total Total				NIL 220,142.79		Piramal Finance Priviate limited ** # Vedanta Limited ** #	INE140A14NB0 INE205A14GE9	ICRA A1+	3,000 3,000	14,824.41 14,795.24	0.60% 0.60%	Retail Plan- Daily Dividend Option	, ,			6.0634
Others						Larsen & Toubro Limited ** #	INE018A14EI2	CRISIL A1+	3,000	14,789.31	0.60%	Institutional Plan - Weekly Dividend Option Institutional Plan - Daily Dividend Option				4.247 4.0012
Exchange Traded Funds Birla Sun Life Nifty ETF - Growth	INF209K01IR4		2,100,000	1,962.45	0.82%	Deutsche Investments India Pvt Ltd ** # Kotak Mahindra Investments Ltd ** #	INE144H14AB4 INE975F14JU6		3,000 3,000	14,780.64 14,779.86	0.60% 0.60%	Direct Plan - Weekly Dividend Option Regular Plan - Weekly Dividend Option				3.9820 3.939
Sub Total				1,962.45	0.82%	STCI Finance Limited ** # Reliance Capital Limited ** #	INE020E14HB8 INE013A14ZD9		3,000 2,900	14,769.41 14,384.06	0.60% 0.58%	Direct Plan - Daily Dividend Option				3.7529
Total Cash and Bank				1,962.45 0.12		Edelweiss Commodities Services Ltd ** #	INE657N14HO1	CRISIL A1+	2,500	12,389.05	0.50%	Regular Plan - Daily Dividend Option (4) Details of derivatives exposure and transaction:				3.7113
Total				0.12	\$	Housing Development Finance Corporation Limited ** # Barclays Invest & Loans India Ltd ** #	INE704I14403	ICRA A1+	2,500 2,000	12,367.35 9,946.31	0.50% 0.40%	(a) Hedging Position through Futures as on September 3 through futures which have been squared off/expired.	0, 2016 is Nil and For t	he half year ended Septembe	r 30, 2016 there were	no hedging transaction
Margin (Future and Options) Total				2,040.00 2,040.00		TATA Realty & Infrastructure Ltd ** # GIC Housing Finance Limited ** #	INE371K14365 INE289B14AU0		2,000 2,000	9,936.95 9,924.24	0.40% 0.40%	(b) Other than Hedging Position through Futures as on Se		and For the half year ended S	eptember 30, 2016 the	re were no non-hedgir
CBLO / Reverse Repo				,		Volkswagen Finance Pvt Ltd ** # Talwandi Sabo Power Ltd ** #	INE851M14EJ9 INE694L14DB4	IND A1+	2,000 2,000	9,915.77 9,915.25	0.40% 0.40%	transactions through futures which have been squared of (c) Hedging Position through Put Options as on September		r the half year ended Septemb	er 30, 2016 there were	no hedging transaction
Clearing Corporation of India Ltd  Sub Total				18,093.95 18,093.95		GIC Housing Finance Limited ** #	INE289B14AW6	5 ICRAA1+	2,000	9,898.89	0.40%	through option which have exercised/expired.  (d) Other than Hedging Positions through Options as or				
Total				18,093.95	7.55%	Housing Development Finance Corporation Limited ** # Piramal Finance Priviate limited ** #	INE140A14NN5	ICRA A1+	2,000 2,000	9,889.72 9,871.58	0.40% 0.40%	hedging transactions through options which have been	exercised/expired.		ограния ж, 2	and were HU HU
Net Receivables / (Payables) GRAND TOTAL				(2,781.09) 239,609.67		Housing Development Finance Corporation Limited ** # ICICI Securities Ltd ** #	INE001A14OI7 INE763G14DK8		2,000 2,000	9,865.15 9,855.60	0.40% 0.40%	<ul> <li>(e) Hedging Positions through swaps as on September 3</li> <li>The Average Maturity Period of the Portfolio is 0.17 years.</li> </ul>				
** Thinly Traded / Non Traded Security				200,0007.07	100.00 //	Family Credit Ltd ** #	INE027E14BX0		2,000	9,844.20	0.40%	(6) Details of Repo in Corporate Debt Securities as on Sep		86.66 Crs.		
\$ Less Than 0.01% of Net Asset Value						RIRI	A SUN LIFE	GOLD ETF				RIRI	A SUN LIFE O	OLD FUND		
^Industry classification as recommended by AMFI and Notes:	wherever not availa	ibie, internal classification l	nas been used	l				hange Traded Fund)						f Funds Scheme)		
(1) The provision made for Non Performing Assets a	s of September 30, 2	2016 is Nil.					io as on Septer						olio as on Septen			
(2) Plan/Option wise Net Asset Values are as follows	-					Name of the Instrument			Quantity	Market/	% to Net	Name of the Instrument	ISIN		Quantity	Market/ % to Ne

(2)	Plan/Option wise Net Asset Values are as for	ollows:	
	Plan	As on September 30, 2016	As on March 31, 2016
	Regular Plan - Dividend Option	Rs. 16.3788	Rs. 15.3848
	Regular Plan - Growth Option	Rs. 47.3935	Rs. 40.8962
	Direct Plan - Dividend Option	Rs. 27.8865	Rs. 23.9266
	Direct Plan - Growth Option	Rs. 48.9518	Rs. 42.0003
(2)	20111 11 1 011 1 1 1 1 1		n

Dividend in the following plans was declared during the half year ended September 30, 2016 Per Unit (Rs.) Regular Plan - Dividend Option

Details of derivatives exposure and transaction: (a) Hedging Position through Futures as on September 30, 2016

Underlying	Long / Short	Futures Price when purchased Rs.	Current price of the contract Rs.	Margin maintained in Rs. Lakhs
Nifty Index	Short	8,825.37	8,640.65	156.86
NTPC Limited	Short	154.09	149.00	24.53

Total %age of existing assets hedged through futures is 0.90%

For the half year ended September 30,2016 following hedging transactions through futures which have been squared off/expired.

Total Number of contracts where futures were bought	Total Number of contracts where futures were sold		Gross Notional Value of contracts where futures	Net Profit/Loss value on all contracts combined Rs.
5,100.00	5,241.00	2,886,102,639.58	2,949,520,056.38	-59,375,990.30

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil For the half year ended September 30,2016 following non-hedging transactions through futures which have been squared off/expired. (4)

Total Number of contracts where futures were bought	Total Number of contracts where futures were sold		Gross Notional Value of contracts where futures	Net Profit/Loss value on all contracts combined Rs.
648 00	648.00	399 633 012 90	390 102 402 04	-9 530 610 86

 $(c)\ Hedging\ Position\ through\ Put\ Options\ as\ on\ September\ 30,2016\ is\ Nil\ and\ For\ the\ half\ year\ ended\ September\ 30,2016\ there\ were$ no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. The Average Maturity Period of the Portfolio is 0.01 years.

The total value of illiquid Equity Securities is  $0.00\ \mathrm{crores}$  and its percentage to Net Assets is 0.00%.

 $Total\ market\ value\ of\ Foreign\ Securities/overseas\ ETFs\ in\ 0\ crores\ and\ its\ percentage\ to\ Net\ Assets\ as\ at\ September\ 30,\ 2016\ is\ 0\%.$ 

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

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Portfolio as on Septemb	per 30, 2016	
Name of the Instrument	Quantity Mark	et/ % to Net
	Fair Val	ue Assets
	(Rs in Lac	s.)
Gold		
Gold (Fineness 0.995) 1KG BAR	253 8,037.	67 99.63%
Sub Total	8,037.	67 99.63%
Total	8,037.	67 99.63%
CBLO / Reverse Repo		
Clearing Corporation of India Ltd	32.	99 0.41%
Sub Total	32.	99 0.41%
Total	32.	99 0.41%
Net Receivables / (Payables)	(2.8	7) -0.04%
GRAND TOTAL	8,067.	79 100.00%

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

(3) No dividend was declared during the half year ended September 30, 2016.

(2) Plan/Option wise Net Asset Values are as follows: Regular Plan - Growth Option Rs. 3021.5160 Rs. 2809.4461

transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

 $(d) Other than \ Hedging \ Positions \ through \ Options \ as \ on \ September \ 30,2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there$ were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil.

During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.39 times

(5) During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0 times The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%.

(7) Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%.

(8) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

Name of the Instrument	ISIN	Quantity	Market/	% to Net
		F	air Value	Assets
		(Rs	in Lacs.)	
Others				
Exchange Traded Funds				
Birla Sun Life Gold ETF	INF209K01HT2	190,000	5,697.91	98.91%
Sub Total			5,697.91	98.91%
Total			5,697.91	98.91%
CBLO / Reverse Repo				
Clearing Corporation of India Ltd			75.97	1.32%
Sub Total			75.97	1.32%
Total			75.97	1.32%
Net Receivables / (Payables)			(13.31)	-0.23%
GRAND TOTAL			5,760.57	100.00%

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil. (2) Plan/Option wise Net Asset Values are as follows:

As on September 30, 2016 As on March 31, 2016 Regular Plan - Dividend Option Rs. 10.4593 Rs. 9.3019 Regular Plan - Growth Option Rs. 9.3035 Direct Plan - Dividend Option Rs. 10.5546 Rs. 9.3736 Rs. 10.5594 Rs. 9.3784 Direct Plan - Growth Option

No dividend was declared during the half year ended September 30, 2016. Details of derivatives exposure and transaction:

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

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(e) Hedging Positions through swaps as on September 30, 2016 is Nil.

(5) Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%. Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

## ✓ Over 3.2 million investor scheme accounts

(Rs in Lacs.)

19,556.61

16,635.94

14,049.91

12,713.38

12,663,94

12,579.68

11,494.10

1,000 10,073.88 1,35%

10,051.64

9.145.59 1.22%

9,042.97

8,911.84

8,466.93 1.13%

7,553.31

7,534.07 1.01%

7.498.30 1.00%

7,305.70 0.98%

7,112.74 0.95%

5,843.02 0.78%

5.075.90 0.68%

5,034.72 0.67%

4,531.68 0.61%

3.527.54 0.47%

5,018.92

4,178.23

4,154.68

250 2.610.33 0.35%

7.041.38

6,122.27

11,876.23 1.59%

10,111.72 1.35%

10,054.62 1.35%

1.35%

1.21%

11.00% RHC Holding Pyt Ltd \*\* #

8.07% GOI 2017 (M/D. 15.01.2017)

8.46% Rajashthan SDL (18/03/2019)

9.86% Kerala SDL (MD 01/08/2018)

Certificate of Deposit

Vijaya Bank \*\* #

/ijaya Bank \*\* #

IDBI Bank Limited \*\* #

IDBI Bank Limited \*\* #

Punjab & Sind Bank \*\* #

Rural Development \*\* #

Axis Bank Limited \*\* #

IDBI Bank Limited \*\* #

Corporation Bank \*\* #

Corporation Bank \*\* #

Yes Bank Limited \*\* #

Corporation Bank \*\* #

State Bank of Hyderabad \*\* #

Export Import Bank of India \*\* #

TATA Realty & Infrastructure Ltd \*\* #

Shapoorii Pallonii and Company Private Ltd \*\* #

Dena Bank \*\* #

Vijaya Bank \*\* #

Andhra Bank \*\* #

National Bank For Agriculture and

8.46% Maharashtra SDL (MD 12/03/2019)

8.21% RAJASTHAN SDL SPL (MD 31/03/2018)

9.90% West Bangal SDL (MD 01/08/2018)

12.37% Hero Realty Ltd \*\* #

2,000

1,950

1,801

1,650

1,400

1,250

1.250

1,250

1,180

1,000

1.000

1,000

900

Name of the Instrument

(a) Listed / awaiting listing on Stock Exchange

8.55% Reliance Jio Infocomm Limited \*\*

8.04% Small Industries Dev Bank of India \*\*

8.85% Tata Capital Financial Services Ltd \*\*

7.98% Power Finance Corporation Limited \*\*

9.00% Tata Capital Financial Services Ltd \*\*

ndiabulls Housing Finance Limited \*\*

9.30% Power Finance Corporation Limited

8.81% Sundaram Finance Limited \*\*

10.15% STCI Finance Limited \*\*

ompany Limited \*\*

8.90% Tata Capital Financial Services Ltd \*\*

4.00% Indiabulls Housing Finance Limited \*\*

9.85% Shriram Transport Finance Company Limited \*\*

8.26% Housing Development Finance Corporation

8.90% Cholamandalam Investment and Finance

8.35% Fullerton India Credit Co Ltd \*\*

9.43% Piramal Enterprises Limited \*\*

8.12% Power Finance Corporation Limited \*

8.80% Indiabulls Housing Finance Limited \*\*

8.40% Power Finance Corporation Limited \*\*

8.90% Cholamandalam Investment and Finance

7.89% Export Import Bank of India \*\*

Tata Motors Finance Ltd (ZCB) \*\*

7.00% RKN RETAIL PVT. LTD \*\*

Company Limited \*\*

8.24% National Housing Bank

Corporation Limited \*\*

Finance Company Limited \*\*

8.00% Housing Development Finance

7.70% Housing Development Finance

8.1923% Cholamandalam Investment and

8.40% Power Finance Corporation Limited \*\*

9.00% Tata Capital Financial Services Ltd \*\*

8.28% Small Industries Dev Bank of India \*\*

9.70% Talwandi Sabo Power Ltd \*\*

7.65% National Bank For Agriculture

9.81% Power Finance Corporation Limited \*\*

9.11% Power Finance Corporation Limited \*\*

Hero Fincorp Limited (ZCB) \*\*

9.38% Rural Electrification Corporation Limited \*\*

9.15% Shriram Transport Finance Company Limited \*\* INE721A07JZ8 IND AA+

8.72% Shriram Transport Finance Company Limited \*\* INE721A07LA7 CRISIL AA+

Rural Development \*\*

and Rural Developmen

8.43% IDFC Bank Limited \*\*

8.29% National Bank For Agriculture and

8.74% Shriram Transport Finance Company Limited \*\*

7.84% Housing & Urban Development Corpn. Ltd. \*\*

ISIN

Rating

INE110L07013 CRISIL AAA

INE556F09601 CARE AAA

INE306N08177 CRISIL AA+

INE134E08IB7 CRISIL AAA

INE306N07FH7 CRISIL AA+

INE721A07LB5 CRISIL AA-

INE148I07BL4 CARE AAA

INE031A08467 CARE AAA

INE134E08GE5 CRISIL AAA

INE306N07GU8 CRISIL AA+

INE660A07MX7 ICRA AA-

INE020E07027 ICRA AA-

INE148I07AQ5 CARE AAA

INE721A07HH0 CRISIL AA-

INE001A07OR2 CRISIL AAA

INE121A07KW6 ICRA AA

INE535H07902 ICRA AA-

INE140A08SA3 ICRA AA

INE134E08HS3 ICRA AAA

INE134E08HB9 CRISIL AAA

INE514E08EV7 CRISIL AAA

INE909H07CS4 CRISIL AA

INE270O08017 BWR A-(SO)

INE110L07054 CRISIL AAA

INE557F08EX9 CRISIL AAA

INE001A07PK4 CRISIL AAA

INE001A07PP3 CRISILAAA

INE121A07MN1 ICRA AA

INE134E08HN4 CRISIL AAA

INE306N07GS2 CRISIL AA+

INE556F09619 CARE AAA

INE694L07040 CRISIL AA- (SO

INE261F08501 CRISIL AAA

INE092T08907 ICRA AAA

INE261F08626 CRISIL AAA

INE134E07406 CRISIL AAA

INE020B07HY0 CRISIL AAA

INE134E08FY5 CRISIL AAA

INE957N07161 ICRA AA+

INE121A07LB8 ICRA AA



This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September 2016

### Half Yearly Portfolio Statement as on September 30, 2016

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996)

### BIRLA SUN LIFE CASH MANAGER (An Open Ended Income Scheme) Portfolio as on September 30, 2016 Market/ % to Net Name of the Instrument Market/ % to Net (Rs in Lacs.) INE001A07OQ4 CRISIL AAA 8.72% Housing Development Finance 2,549.72 0.34% Corporation Limited \*\* INE134E08AA6 CRISIL AAA 9.90% Power Finance Corporation Limited \*\* 2,530.02 4,298 43,596.68 5.83% 8.40% Reliance Jio Infocomm Limited \*\* INE110L07021 CRISIL AAA 2,529,84 9.67% Rural Electrification Corporation Limited \*\* INE020B07IB6 CRISIL AAA 0.349 2,525.81 2,000 20,299.92 2.72% 70% Shriram Transport Finance Company Limited \*\* 20,200.28 2.709 8.24% Housing Development Finance INF001A07OF7 CRISILAAA 2.507.33 0.349 rporation Limited \*\* 18,113.45 2.42% INE020B08757 CRISIL AAA 9.40% Rural Electrification Corporation Limited \*\* 507.95 INE134E08HZ8 CRISIL AAA 8.00% Power Finance Corporation Limited \*\* 505.93 16,624.16 2.22% Sub Total 485,868.18 65.03% (b) Privately placed / Unlisted S.D. Corporation Pvt. Ltd (ZCB) \*\* # INE660N08011 ICRA AA+(SO) 165 20,751,08 2,789 8.75% ONGC Petro Additions Ltd \*\* # INE163N08032 CARE AAA(SO) 11,723.49 1.57% 0.35% Grand View Estates Pvt Ltd \*\* # 0.889

INE657K07288 CAREA(SO)

INE829Q07041 ICRA A+(SO)

IN0020010107 SOV

IN2220080054 SOV

IN2920080073 SOV

IN2920150371 SOV

IN3420080043 SOV

IN2020080031 SOV

INE705A16OD9 CARE A1+

INE705A16OT5 CARE A1+

INE008A16K78 CRISIL A1+

INE008A16M84 CRISIL A1+

INE608A16ME7 ICRA A1+

INE261F16207 CRISIL A1+

INE008A16M68 CRISILA1+

INE112A16JL1 CRISIL A1+

INE528G16F21 ICRA A1+

INE112A16JZ1 CRISIL A1-

INE077A16DQ8 CRISIL A1-

INE649A16FW7 ICRA A1+

INE077A16DR6 CRISIL A1-

INE705A16OY5 CARE A1+

INF434A16OA2 CARE A1+

INE514E16AR6 CRISIL A1-

INE371K14498 ICRA A1+

INE404K14BN9 ICRA A1+

CRISIL A1+

ICRA A1+ ICRA A1+(SO) ICRA A1+ CARE A1+	1,000 1,000 500 500	Fair Value Rs in Lacs.) 4,744.99 4,641.77 2,397.71 2,339.79 39,388.16	0.31%
ICRA A1+(SO) ICRA A1+	1,000 1,000 500	4,744.99 4,641.77 2,397.71 2,339.79 39,388.16	0.62% 0.32% 0.31%
ICRA A1+(SO) ICRA A1+	1,000 500	4,641.77 2,397.71 2,339.79 39,388.16	0.62% 0.32% 0.31%
ICRA A1+	500	2,397.71 2,339.79 <b>39,388.16</b>	0.32% 0.31%
		2,339.79 <b>39,388.16</b>	0.32% 0.31% <b>5.27</b> %
CARE A1+	500	39,388.16	
		,	5.27%
		145 456 00	
		145,456.89	19.47%
		3,549.92	0.48%
		3,549.92	0.48%
		3,549.92	0.48%
		9,204.86	1.23%
			<i>'</i>

\*\* Thinly Traded / Non Traded Security

#Unlisted Security

5.998.74 0.80%

5.052.08 0.68%

535,995.63 71.74%

21,078.81 2.829

5,006,43 0,679

15,491.70

10,328.91

524.17

524.02

52,954.04 7.09%

27,976.80 3.74%

9.766.42 1.319

6,785.88 0.919

4.877.08 0.659

4,870.37 0.659

3,589.81 0,489

2,430.41 0.33%

2.430.10 0.339

2,427.04 0.32%

1 065 50 0 149

106.068.73 14.20%

7.179.84 0.96%

3,700 18,084.06 2.42%

Per Unit (Rs.)

0.7688

0.7668

0.039 226.32

0.019 56.61

0.669

9,279.60

7,139.53

21.000.000

15,000,000

10,000,000

4,930,000

500,000

500,000

28,895

10,000

9,525

7,350

7,000

5,000

5.000

5,000

3,700

2,500

2,500

1,130

1.500

The provision made for Non Performing Assets as of September 30, 2016 is Nil

Plan/Option wise Net Asset Values are as follows

1 Idii	As on September 30, 2010	As on March 31, 2010
Regular Plan - Daily Dividend Option	Rs. 101.0842	Rs. 100.5118
Regular Plan - Growth Option	Rs. 378.9880	Rs. 363.0421
Regular Plan - Weekly Dividend Option	Rs. 100.9257	Rs. 100.3407
Institutional Plan - Daily Dividend Option @@	Rs. 100.7743	Rs. 100.3063
Institutional Plan - Growth Option @@	Rs. 265.7239	Rs. 254.5435
Institutional Plan - Weekly Dividend Option @@	Rs. 100.8411	Rs. 100.3408
Direct Plan - Daily Dividend Option	Rs. 100.7743	Rs. 100.3629
Direct Plan - Growth Option	Rs. 390.6531	Rs. 372.7762
Direct Plan - Weekly Dividend Option	Rs. 100.8475	Rs. 100.3453

As on Sentember 30, 2016

As on March 31 2016

@@ - Plan(s) discontinued from accepting subscriptions w.e.f October 01, 2012.

)	Dividend in the following plans was declared during the half year ended September 30, 2016.	Per Unit (Rs.)
	Direct Plan - Daily Dividend Option	4.2245
	Direct Plan - Weekly Dividend Option	4.1857
	Institutional Plan - Daily Dividend Option	3.8376
	Institutional Plan - Weekly Dividend Option	3.8253
	Regular Plan - Daily Dividend Option	3.7471
	Regular Plan - Weekly Dividend Option	3.7427

Details of derivatives exposure and transaction

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016

Underlying Security	Notional amount	Long/Short	Maturity date
8.04% SIDBI - (15-Mar-2019) / PUT (15-Mar-2017)	500,000,000.00	Long	15-Mar-19
4.00% INDIABULLS HOUSING FINANCE LTD - (02-Dec-2019) / PUT (02-Dec-2016)	1,000,000,000.00	Long	02-Dec-19
8.80% INDIABULLS HOUSING FINANCE LTD - (16-Aug-2021)/PUT (16-Aug-2018)	1,000,000,000.00	Long	16-Aug-21

(5) The Average Maturity Period of the Portfolio is 1.18 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NII

BIRLA SUN LIFE GILT PLUS - PF PLAN
(An Open Ended Government Securities Schen
Portfolio as on September 30, 2016

				1 0111011	0 40 011
Name of the Instrument	ISIN	Rating	Quantity	Market/ Fair Value (Rs in Lacs.)	% to Net Assets
Government Securities				(========)	
7.59% GOI(MD 11/01/2026)	IN0020150093	SOV	1,500,000	1,563.74	24.36%
8.17% GOI (MD 01/12/2044)	IN0020140078	SOV	1,200,000	1,339.80	20.87%
7.88% GOI (MD 19/03/2030)	IN0020150028	SOV	1,000,000	1,070.93	16.68%
7.73% GOI (MD 19/12/2034)	IN0020150051	SOV	1,000,000	1,061.41	16.53%
8.24% GOI (MD 10/11/2033)	IN0020140052	SOV	500,000	552.51	8.61%
7.59% GOI (MD 20/03/2029)	IN0020150069	SOV	500,000	523.46	8.15%
Total				6,111.85	95.19%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				104.96	1.63%
Sub Total				104.96	1.63%
Total				104.96	1.63%
Net Receivables / (Payables)				203.57	3.17%
GRAND TOTAL				6,420.38	100.00%

Direct Plan - Ouarterly Dividend Option Regular Plan - Quarterly Dividend Option (4) Details of derivatives exposure and transaction: (a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired no hedging transactions through option which have exercised/expired were no non-hedging transactions through options which have been exercised/expired.

(3) Dividend in the following plans was declared during the half year ended September 30, 2016.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were (1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

 $(d) Other than \ Hedging \ Positions \ through \ Options \ as \ on \ September \ 30,2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there$ 

(e) Hedging Positions through swaps as on September 30, 2016 is Nil

(5) The Average Maturity Period of the Portfolio is 15.86 years.

(6) During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 6.9 times (4) Details of derivatives exposure and transaction:

(7) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

## BIRLA SUN LIFE CONSTANT MATURITY 10 YEAR GILT FUND

(An Open Ended Government Securities Scheme) Portfolio as on September 30, 2016

Name of the Instrument	ISIN	Rating	Quantity	Market/	% to Net
				Fair Value	Assets
			(	Rs in Lacs.)	
Government Securities					
6.97% GOI (MD 06/09/2026)	IN0020160035	SOV	3,000,000	3,033.03	90.35%
8.40% GOI (MD 28/07/2024)	IN0020140045	SOV	50,000	53.93	1.61%
Total				3,086.96	91.96%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				253.92	7.56%
Sub Total				253.92	7.56%
Total				253.92	7.56%
Net Receivables / (Payables)				15.99	0.48%
GRAND TOTAL				3,356.87	100.00%
Notes					

(2) Plan/Option wise Net Asset Values are as follows: As on September 30, 2016

Regular Plan - Growth Option	Rs. 47.8783	Rs. 44.7646	
Regular Plan - Quarterly Dividend Option	Rs. 12.6204	Rs. 12.3559	
Direct Plan - Growth Option	Rs. 48.1936	Rs. 45.0638	
Direct Plan - Quarterly Dividend Option	Rs. 11.1029	Rs. 11.0158	
Dividend in the following plans was declared during the ha		Per Unit (Rs.)	
Direct Plan - Quarterly Dividend Option			0.6566

Regular Plan - Quarterly Dividend Option  $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30,2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there \ were \ no \ hedging \ Position \ An ended \ September \ An ended \ An ended \ September \ An ended \ September \ An ended \ An ended \ An ended \ September \ An ended \ An ended$ 

is through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil.

(5) The Average Maturity Period of the Portfolio is 9.08 years.

(6) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

(2) Plan/Option wise Net Asset Values are as follows

As on September 30, 2016 As on March 31, 2016 Regular Plan - Growth Option Rs. 44,7799 Rs. 40,6365 Regular Plan - Quarterly Dividend Option Rs. 10.6368 Rs. 10.3806 Rs. 45.6139 Rs. 41.3028 Direct Plan - Growth Option Rs. 10.3983 Direct Plan - Ouarterly Dividend Option Rs. 10.6789

Birla Sun Life Asset Management Company Limited (Investment Manager for Birla Sun Life Mutual Fund), One Indiabulls Centre, Tower 1, 17th Floor, Jupiter Mill Compound, 841, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400 013. CIN: U65991MH1994PLC080811

As on March 31, 2016

## ✓ Over 3.2 million investor scheme accounts



BIRLA SUN LIFE FIXED TERM PLAN - SERIES NT (1099 DAYS)

This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September 2016.

### Half Yearly Portfolio Statement as on September 30, 2016

	in really rolling statement as on september eq. 20	,10
(Pursuant to Reg	gulation 59A of Securities and Exchange Board of India (Mutual Funds) R	(tegulations, 1996)
ES A (1170 DAYS)	BIRLA SUN LIFE FIXED TERM PLAN - SERIES NR (1099 DAYS)	BIRL

(A Close Ended Income Scheme) Portfolio as on September 30, 2016										
Name of the Instrument	ISIN	Rating	Quantity	Market/ Fair Value (Rs in Lacs.)	% to Ne Asset					
Debt Instruments				<u> </u>						
(a) Listed / awaiting listing on Stock Exchange										
Jyothy Laboratories Limited (ZCB) **	INE668F07038	CARE AA-	776	10,516.31	20.259					
Kotak Mahindra Prime Ltd (ZCB) **	INE916DA7BN5	CRISIL AAA	947	9,145.28	17.619					
Sundaram BNP Paribas Home Finance Ltd (ZCB) **	INE667F07DE0	CARE AA+	400	5,238.76	10.099					
9.67% Rural Electrification Corporation Limited **	INE020B07IB6	CRISIL AAA	30	303.10	0.589					
Sub Total				25,203.45	48.54%					
(b) Privately placed / Unlisted										
Tata Housing Development Co Ltd (ZCB) ** #	INE582L07062	ICRA AA	774	10,396.57	20.029					
6.00% Sahyadri Agencies Ltd ** #	INE811P07025	BWR A(SO)	717	9,014.88	17.369					
RHC Holding Pvt Ltd (ZCB) ** #	INE657K07221	IND A	180	6,330.84	12.199					
Sub Total				25,742.29	49.58%					
Total				50,945.74	98.12%					
Money Market Instruments										
Certificate of Deposit										
Canara Bank ** #	INE476A16RG3	CRISIL A1+	225	218.36	0.429					
Corporation Bank ** #	INE112A16KI5	CRISIL A1+	150	145.62	0.28%					
Sub Total				363.98	0.70%					
Total				363.98	0.70%					
CBLO / Reverse Repo										
Clearing Corporation of India Ltd				240.92	0.46%					
Sub Total				240.92	0.46%					
Total				240.92	0.46%					
Net Receivables / (Payables)				370.47	0.71%					
GRANDTOTAL				51,921.11	100.00%					

ZCB - Zero Coupon Bond \*\* Thinly Traded / Non Traded Security

#Unlisted Security Details of transactions in Credit Default Swaps is as follows:

Counter Party details			Details	of the und	erlying		Credit			
Name	Rating	Sector	Name of the (FV) Maturity reference entity				Tenor	Price / Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	1	-	1	-	-	-

The provision made for Non Performing Assets as of September 30, 2016 is Nil.

Plan/Option wise Net Asset Values are as	follows:	
Plan	As on September 30, 2016	As on March 31, 2016
Regular Plan - Dividend Option	Rs. 12.9173	Rs. 12.3157
Regular Plan - Growth Option	Rs. 13.0754	Rs. 12.4664
Direct Plan - Dividend Option	Rs. 12.9859	Rs. 12.3804
Direct Plan - Growth Ontion	Rs 13 1446	Rs 12 5317

- No dividend was declared during the half year ended September 30, 2016. Details of derivatives exposure and transaction:
- (a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging (3) transactions through futures which have been squared off/expired.
- $(b) Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there$ were no non-hedging transactions through futures which have been squared off/expired.
- (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.
- $(d)\ Other\ than\ Hedging\ Positions\ through\ Options\ as\ on\ September\ 30,2016\ is\ Nil\ and\ For\ the\ half\ year\ ended\ September\ 30,2016\ there$ were no non-hedging transactions through options which have been exercised/expired.

BIRLA SUN LIFE CORPORATE BOND FUND

(An Open ended Income Scheme)

Portfolio as on September 30, 2016

INE931S07157 INE557F08EY7 INE140A07245 INE148I07FV4

INE084A08078

INF020B08591 INE020B08591 CRISIL AAA INE202B07GR0 CARE AAA

INE667A08062 INE667A08070

INE458U07017 INE470T08020 INE814H08016 INE598K07011 INE312G08047 INE525V07011 INE958G07AT5 INE347N08015 INE811P07017 INE666N08029

INE660N08029 INE335K07141

INE829Q07074

INE634N07067

INE657K07221 IND A

INE657K07288 CARE A(SO)

INE202B07HQ0 CARE AAA INE607M08014 CARE AA INE514E08FG5 CRISIL AAA INE752E07OB6 CRISIL AAA

INE141A08050 IND A+ INE896F07050 IND AA-(SO)

INE445L08300 ICRA AAA(SO)

INE134E08DM5 CRISIL AAA INE134E08GU1 CRISIL AAA INE733E07JP6 CRISIL AAA

CARE AA-

CARE AAA(SO) ICRA AA(SO) BWR AA-(SO) ICRA A(SO) BWR AA-(SO) ICRA AA-(SO) IND AA-ICRA AA(SO) BWR A(SO) ICRA AA(SO) BWR AA-(SO) ICRA AA(SO)

- (e) Hedging Positions through swaps as on September 30, 2016 is Nil.
- The Average Maturity Period of the Portfolio is 0.28 years. Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

Name of the Instrument

Debt Instruments (a) Listed / awaiting listing on Stock Exchange

10.25% Adani Transmission Limited \*\* 7.92% National Housing Bank \*\* Piramal Finance Priviate limited (ZCB) \*\*

.80% Indiabulls Housing Finance Limited \*

43% Tata Power Renewable Energy Ltd \*\* 62% Export Import Bank of India \*\*
55% Power Grid Corporation of India Limited

0.95% Oriental Bank of Commerce \*\*

.50% Nabha Power Ltd \*\*

1 50% Bank of India \*\*

0.52% IL & FS Education and Technology

8.80% Indiabulis Housing Finance Limited \*\*
11.25% Syndicate Bank \*\*
11.25% Syndicate Bank \*\*
11.25% Syndicate Bank \*\*
18.45% Power Finance Corporation Limited \*\*
8.48% Power Finance Corporation Limited \*\*
8.49% NTPC Limited \*\*

Sub Total
(b) Privately placed / Unlisted
Wadhawan Global Capital Pvt Ltd (ZCB) \*\* #
10.05% High Point Properties Private Limited \*\* #
Adani Power Limited (ZCB) \*\* #
10.15% Pune Solapur Expressway Pvt Ltd \*\* #
10.15% Writers & Publishers Pvt Ltd \*\* #
10.05% Writers & Publishers Pvt Ltd \*\* #
10.60% Religare Finvest Ltd \*\* #
10.60% Religare Finvest Ltd \*\* #
10.35% Grand View Estates Pvt Ltd \*\* #
6.01% Salvadri Agencies Ltd \*\* #

10.00% S.D. Corporation Pvt. Ltd \*\* # 14.50% Coffee Day Enterprises Limited \*\* # 1.10% Hero Realty Ltd \*\* # 5.00% Coffee Day Ltd \*\* #

15.00% Coffee Day Natural Resources

RHC Holding Pvt Ltd (ZCB) \*\* #

11.00% RHC Holding Pvt Ltd \*\* #

Money Market Instruments Certificate of Deposit

10% Dewan Housing Finance Corporation Limited \*

48% Rural Electrification Corporation Limited \*\*

40% Dewan Housing Finance Corporation Limited \*\*
80% Indiabulls Housing Finance Limited \*\*

Name of the Instrument	ISIN	Rating	Quantity	Market/	% to Ne
				Fair Value	Assets
			(	Rs in Lacs.)	
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
8.10% Reliance Jio Infocomm Limited	INE110L07054	CRISIL AAA	116	1,168.81	11.76%
8.40% Power Grid Corporation of India Limited **	INE752E07ML9	ICRA AAA	114	1,168.58	11.76%
8.12% ONGC Mangalore Petrochemicals Ltd **	INE053T07026	IND AAA	115	1,168.38	11.76%
9.70% India Infradebt Ltd **	INE537P07018	CRISIL AAA	113	1,167.66	11.75%
7.95% Power Finance Corporation Limited **	INE134E08IF8	CRISIL AAA	115	1,164.42	11.72%
7.85% National Bank For Agriculture and Rural Development **	INE261F08642	CRISIL AAA	115	1,164.39	11.72%
8.50% Nabha Power Ltd **	INE445L08300	ICRA AAA(SO)	85	863.60	8.69%
9.63% Rural Electrification Corporation Limited **	INE020B07IA8	CRISIL AAA	50	523.50	5.27%
12.52% IOT Utkal Energy Services Limited **	INE310L07530	CRISIL AAA (SO)	387	419.51	4.22%
8.45% Housing Development Finance	INE001A07OI1	CRISIL AAA	4	405.30	4.08%
Corporation Limited					
Dewan Housing Finance Corporation Limited (ZCB) **	INE202B07AK8	CARE AAA	23	305.93	3.08%
Sub Total				9,520.08	95.78%
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				9,520.08	95.78%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				14.00	0.14%
Sub Total				14.00	0.14%
Total				14.00	0.14%
Net Receivables / (Payables)				405.02	4.08%
GRAND TOTAL				9,939.10	100.00%

Market/ % to Net

Debt Ir

(a) Lis

9.28%

Sub Total

Total

Sub Total

Corporation Bank \*\* #

CBLO / Reverse Repo

Clearing Corporation of India Ltd

Net Receivables / (Payables)

GRAND TOTAL

ZCB - Zero Coupon Bond

Jyothy Laboratories Limited (ZCB) \*\*

Fair Value

8,651.62 7,526.90 5,138.04 3,491.74 3,231.97 3,042.03

2,531.66 2,517.04

1,993.77 1,776.10

1,676,40

1,622.62 1,518.59

1,024.62 718.49

50,671.81

4,062.80 3,143.27 3,052.32 2,510.56

2,015.00

2,003.62

703.43 0.64% 545.34 0.49% 53,514.99 48.40% 104,186.80 94.24%

100

6.81% 4.65% 3.16% 2.92% 2.75%

2.29% 2.28%

1.61%

1.52%

1.47% 1.37%

45.83%

5.62% 4.58% 4.57% 4.54% 4.54% 4.53% 3.72% 3.67% 2.84%

1.82%

1.81%

(Rs in Lacs.)

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil

the

reference

Details of transactions in Credit Default Swaps is as follows:

Rating Sector

Counter Party details

- Plan/Option wise Net Asset Values are as follows: As on March 31, 2016 ## Regular Plan - Dividend Option Rs. 10.1173 Rs. N.A. Regular Plan - Growth Option Rs. 10.1173 Rs. N.A Regular Plan - Quarterly Dividend Option Rs. 10.1173 Rs. N.A Direct Plan - Dividend Option Rs. 10.1214 Rs. N.A. Direct Plan - Ouarterly Dividend Option Rs. 10.1214 Rs. N.A
- ## BIRLA SUN LIFE FIXED TERM PLAN SERIES NR has been launched during the current half year. No dividend was declared during the half year ended September 30, 2016.

Details of the underlying

(FV)

Residual

Maturity

- Details of derivatives exposure and transaction:
- (a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there
- were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.
- (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.
- (e) Hedging Positions through swaps as on September 30, 2016 is Nil The Average Maturity Period of the Portfolio is 2.43 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2016 is NII

ose Ended Income Scheme) o as on September 30, 2016					(A Close Ended Income Scheme) Portfolio as on September 30, 2016						
ISIN	Rating	Quantity	nantity Market/ % to Net Fair Value Assets (Rs in Lacs.)		Name of the Instrument	ISIN	Rating	Quantity	Market/ Fair Value (Rs in Lacs.)	% to Net Assets	
					Debt Instruments						
					(a) Listed / awaiting listing on Stock Exchange						
INE110L07054	CRISIL AAA	116	1,168.81	11.76%	8.40% Power Grid Corporation of India Limited **	INE752E07ML9	ICRA AAA	99	1,014.82	11.82%	
INE752E07ML9	ICRA AAA	114	1,168.58	11.76%	8.54% HDB Financial Services Ltd **	INE756I07894	CRISIL AAA	100	1,014.29	11.81%	
INE053T07026	IND AAA	115	1,168.38	11.76%	7.85% National Bank For Agriculture and	INE261F08642	CRISIL AAA	100	1,012.51	11.79%	
INE537P07018	CRISIL AAA	113	1,167.66	11.75%	Rural Development **						
INE134E08IF8	CRISIL AAA	115	,	11.72%	7.85% Power Finance Corporation Limited **	INE134E08IC5	CRISIL AAA	100	1,009.91	11.76%	
INE261F08642	CRISIL AAA	115	1,164.39	11.72%	9.51% LIC Housing Finance Limited **	INE115A07FK3	CRISIL AAA	97	1,009.72	11.76%	
INE445L08300	ICRA AAA(SO)	85	863.60	8.69%	7.65% Indian Railway Finance Corp Ltd **	INE053F07918	CRISIL AAA	100	1,009.66	11.76%	
INE020B07IA8	CRISIL AAA	50	523.50	5.27%	Bajaj Finance Limited (ZCB) **	INE296A07LZ7	IND AAA	92	930.12	10.83%	
INE310L07530	CRISIL AAA (SO)	387	419.51	4.22%	8.12% ONGC Mangalore Petrochemicals Ltd **	INE053T07026	IND AAA	84	853.43	9.94%	
INE001A07OI1	CRISIL AAA	4	405.30	4.08%	7.89% NTPC Limited **	INE733E07CE5	CRISIL AAA	50	506.64	5.90%	
					Sub Total				8,361.10	97.39%	
INE202B07AK8	CARE AAA	23	305.93	3.08%	(b) Privately placed / Unlisted				NIL	NIL	
			9,520.08	95.78%	Sub Total				NIL	NIL	
			NIL	NIL	Total				8,361.10	97.39%	
			NIL	NIL	CBLO / Reverse Repo						
			9,520.08	95.78%	Clearing Corporation of India Ltd				17.99	0.21%	
			14.00	0.14%	Sub Total				17.99	0.21%	
			14.00	0.14%	Total				17.99	0.21%	
			14.00	0.14%	Net Receivables / (Payables)				206.42	2.40%	
			405.02	4.08%	GRANDTOTAL				8,585.51	100.00%	
			9,939.10	100.00%	ZCB - Zero Coupon Bond						
					** Think To 1.1 / No. To 1.1 Comite						

\*\* Thinly Traded / Non Traded Security

Details of transactions in Credit Default Swaps is as follows

	Counte	r Party de	tails	Details	of the uno	derlying	Details of Protection				Credit
	Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received
	-	-	-	-	-	-	-	-	-	-	-
Not	es:			,							

The provision made for Non Performing Assets as of September 30, 2016 is Nil. Plan/Option wise Net Asset Values are as follows:

As on March 31, 2016 ## Plan As on September 30, 2016 Rs. 10.0990 Regular Plan - Dividend Option Rs. N.A. Regular Plan - Growth Option Rs. 10.0990 Rs. N.A. Regular Plan - Quarterly Dividend Option Rs. 10.0990 Rs. N.A. Rs. N.A Direct Plan - Growth Option Rs. 10.1053 Rs. N.A. Direct Plan - Quarterly Dividend Option Rs. 10.1053 Rs. N.A. ## BIRLA SUN LIFE FIXED TERM PLAN - SERIES NT has been launched during the current half year.

No dividend was declared during the half year ended September 30, 2016. Details of derivatives exposure and transaction:

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared of f/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30. 2016 there were

no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

Details of Protection

Price / Premium

paid

Spread (BPS)

Credit

event

payments

received

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. The Average Maturity Period of the Portfolio is 2.64 years.

(6) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

Details of transactions in Credit Default Swaps is as follows

### BIRLA SUN LIFE FIXED TERM PLAN - CORPORATE BOND SERIES B (1168 DAYS) (A Close Ended Income Scheme

Portfolio as on September 30,2016

Fair Value Assets

			(Rs	in Lacs.)			Counte	r Party de	tails	Details	of the und	erlying
Instruments							Name	Rating	Sector	Name of	Amount	Residual
isted / awaiting listing on Stock Exchange										the reference entity	(FV)	Maturity
% Rural Electrification Corporation Limited **	INE020B08658	CRISIL AAA	47	473.45	11.96%		-	-	-	•	-	-
ing Development Finance Corporation ted (ZCB) **	INE001A07HP0	CRISILAAA	30	465.54	11.76%	N	lotes:					

Details of Protection

Price /

Spread

(BPS)

Credit

event

payments

received

INE668F07038 CARE AA-

9.33% Power Finance Corporation Limited **	INE134E08ED2	CRISIL AAA	30	302.25	7.64%	(2)	Plan/Option wise Net Asset Values are as follows:		
9.56% LIC Housing Finance Limited **	INE115A07BX5	CRISIL AAA	28	281.67	7.12%		Plan	As on September 30, 2016	As on March 31, 2016
9.40% IDFC Bank Limited **	INE092T08659	ICRA AAA	26	261.14	6.60%		Regular Plan - Dividend Option	Rs. 12.8240	Rs. 12.2785
9.25% Power Grid Corporation of India Limited **	INE752E07JC4	CRISIL AAA	20	251.29	6.35%				
12.12% Kotak Mahindra Prime Ltd **	INE916DA7BU0	CRISIL AAA	24	243.60	6.16%		Regular Plan - Growth Option	Rs. 12.8240	Rs. 12.2785
Sub Total				2,739.71	69.23%		Direct Plan - Dividend Option	Rs. 12.9427	Rs. 12.3914
(b) Privately placed / Unlisted							Direct Plan - Growth Option	Rs. 12.9427	Rs. 12.3914
6.00% Sahyadri Agencies Ltd ** #	INE811P07025	BWR A(SO)	33	414.91	10.48%	(3)	No dividend was declared during the half year ende	ed September 30, 2016.	
9.68% Tata Sons Ltd ** #	INE895D08394	CRISIL AAA	35	351.85	8.89%	(4)	Details of derivatives exposure and transaction:		
Sub Total				766.76	19.37%				
Total				3,506.47	88 60%		(a) Hedging Position through Futures as on September	r 30, 2016 is Nil and For the half year ended S	eptember 30, 2016 there were no hedging
iotai				3,300.47	00.00 70		transactions through futures which have been square	ed off/expired.	
Money Market Instruments							(b) Other than Hedging Position through Futures as	on September 30, 2016 is Nil and For the h	alf year ended September 30, 2016 there

194.16 4.91%

194.16 4.91%

194.16 4.91%

47.98 1.21%

47.98 1.21%

208.99 5.28%

3.957.60 100.00%

For the half year ended September 30, 2016 there Certificate of Deposit were no non-hedging transactions through futures which have been squared off/expired.

> (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

> $(d) Other than \ Hedging \ Positions \ through \ Options \ as \ on \ September \ 30,2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there$ were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil.

(5) The Average Maturity Period of the Portfolio is 0.27 years.

47.98 1.21% (6) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

Andhra Bank ** #	INE434A16ND8 CARE A1+	2,500 2,426.41	2.19
Sub Total		2,426.41	
Total		2,426.41	2.19
Others			
CBLO / Reverse Repo			
Clearing Corporation of India Ltd		2,645.12	2.39
Sub Total		2,645.12	
Total		2,645.12	
Net Receivables / (Payables)		1,298.95	1.17
GRANDTOTAL		110,557.28	100.00
ZCB - Zero Coupon Bond			
** Thinly Traded / Non Traded Security			
#Unlisted Security			
Notes:			
<ol> <li>The provision made for Non Performing As</li> </ol>	sets as of September 30, 2016 is Nil.		
(2) Plan/Option wise Net Asset Values are as fo	llows:		
Plan	As on September 30, 2016	As on March 31, 2016	
Regular Bonus - Dividend Option	Rs. 11.4890	Rs. 10.8610	
Regular Plan - Dividend Option	Rs. 11.4749	Rs. 10.8477	
Regular Plan - Growth Option	Rs. 11.4751	Rs. 10.8479	
Direct Bonus - Dividend Option	Rs. 11.6065	Rs. 10.9271	
Direct Plan - Dividend Option	Rs. 11.6065	Rs. 10.9271	
Direct Plan - Growth Option	Rs. 11.6067	Rs. 10.9272	

Dividend in the following plans was declared during the half year ended September 30, 2016. Per Unit (Rs.) Direct Plan - Dividend Option Regular Plan - Dividend Option 0.2300 Details of derivatives exposure and transaction:
(a) Hedging Position through Futures as on September 30,2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.
(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared offexpired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016

Onderlying Security	Notional amount	Long/Short	Maturity date
10.25% ADANI TRANSMISSION LIMITED - (15-Apr-2021)	500,000,000.00	Long	15-Apr-21
7.62% EXIM BANK - (01-Sep-2026) 25 Crore & 7.55% POWER GRID CORPORATION OF INDIA LTD - (21-Sep-2031) 25 Crore	500,000,000.00	Long	01-Sep-2026/ 21-Sep2031
The Average Maturity Period of the Portfolio is 2.7 years.			

Call 1-800-270-7000

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

\*\* Thinly Traded / Non Traded Security #Unlisted Security

Birla Sun Life Asset Management Company Limited (Investment Manager for Birla Sun Life Mutual Fund), One Indiabulls Centre, Tower 1, 17th Floor, Jupiter Mill Compound, 841, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400 013. CIN: U65991MH1994PLC080811

INE112A16KI5 CRISIL A1+

## ✓ Over 3.2 million investor scheme accounts



This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September 2016.

## Half Yearly Portfolio Statement as on September 30, 2016 (Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996)

BIRLA SUN LIFE FIXED TERM PLAN - SERIES ID (1109 DAYS)

	IXED TERM PLA		(1100 Dillo)		
,	Close Ended Incor				
	tfolio as on Septem				
me of the Instrument	ISIN	Rating	Quantity	Market/ Fair Value (Rs in Lacs.)	% to Net Assets
bt Instruments					
Listed / awaiting listing on Stock Exchange					
7% Power Finance Corporation Limited **	INE134E08EW2	CRISIL AAA	129	1,311.27	11.79%
0% Housing Development Finance rporation Limited **	INE001A07JC4	CRISIL AAA	100	1,015.32	9.13%
5% Tata Capital Financial Services Ltd **	INE306N07HE0	CRISIL AA+	79	795.41	7.15%
2% LIC Housing Finance Limited **	INE115A07FH9	CRISIL AAA	50	505.39	4.54%
Total				3,627.39	32.62%
Privately placed / Unlisted				NIL	NIL
Total				NIL	NIL
al				3,627.39	32.62%
ney Market Instruments					
rtificate of Deposit					
dhra Bank ** #	INE434A16OA2	CARE A1+	1,410	1,329.63	11.96%
aya Bank ** #	INE705A16OY5	CARE A1+	1,410	1,329.52	11.95%
South Indian Bank Limited ** #	INE683A16IV2	CARE A1+	1,400	1,318.74	11.86%
usInd Bank Limited ** #	INE095A16TO1	CRISIL A1+	1,400	1,317.42	11.85%
is Bank Limited ** #	INE238A16N85	CRISIL A1+	700	660.43	5.94%
Total				5,955.74	53.55%
mmercial Paper					
nily Credit Ltd ** #	INE027E14BS0	CARE A1+	284	1,329.00	11.95%
Total				1,329.00	11.95%
al				7,284.74	65.50%
LO / Reverse Repo					

\* Thinly Traded / Non Traded Security # Unlisted Security Details of transactions in Credit Default Swaps is as follows

Direct Plan - Dividend Option

Clearing Corporation of India Ltd

Net Receivables / (Payables)

Counter Party details Details of the underlying									Credit		
	Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received
l	-	-	-	-	-	-	-	-	-	-	-

Sub Total

GRANDTOTAL

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil. (2) Plan/Option wise Net Asset Values are as follows:

	Plan	As on September 30, 2016	As on March 31, 2016
	Regular Plan - Dividend Option	Rs. 10.0946	Rs. 11.5281
	Regular Plan - Growth Option	Rs. 13.2243	Rs. 12.7293
	Direct Plan - Dividend Option	Rs. 10.0955	Rs. 11.5395
	Direct Plan - Growth Option	Rs. 13.2476	Rs. 12.7460
3)	Dividend in the following plans was decl	ared during the half year ended September 30	), 2016. <b>Per Unit</b> (

Regular Plan - Dividend Option 1.8643 Details of derivatives exposure and transaction: (a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging

transactions through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

BIRLA SUN LIFE FIXED TERM PLAN - SERIES EN

(A Close Ended Income Scheme)

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. The Average Maturity Period of the Portfolio is 0.85 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

Name of the Instrument	ISIN	Rating	Quantity Market/ Fair Value (Rs in Lacs.)	
CBLO / Reverse Repo				
Clearing Corporation of India Ltd			5,288.23	99.72%
Sub Total			5,288.23	99.72%
Total			5,288.23	99.72%
Net Receivables / (Payables)			15.09	0.28%
GRAND TOTAL			5,303.32	100.00%

etails of trans	sactions in C	redit Defai	ılt Swaps is as	follows:							,
Counter Party details			Details	of the und	lerlying		Credit	,			
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received	9
-	-	-	-	-	-	-	-	-	-	-	ľ
						•					1

157.95 1.42%

157.95 1.42% 157.95 1.42%

51.00 0.46%

1.8802

11,121.08 100.00%

The provision made for Non Performing Assets as of September 30, 2016 is Nil.

(2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2016	As on March 31, 2016
Regular Plan - Dividend Option	Rs. 10.0019	Rs. 12.4200
Regular Plan - Growth Option	Rs. 12.8246	Rs. 12.4200
Regular Plan - Quarterly Dividend Option	Rs. 10.0020	Rs. 10.8908
Direct Plan - Dividend Option	Rs. 10.0020	Rs. 12.5684
Direct Plan - Growth Option	Rs. 12.9990	Rs. 12.5684

(3) Dividend in the following plans was declared during the half year ended September 30, 2016. Per Unit (Rs.) Direct Plan - Dividend Option 2.9964 Regular Plan - Dividend Option Regular Plan - Quarterly Dividend Option 1.2406

(a) Hedging Position through Futures as on September 30,2016 is Nil and For the half year ended September 30,2016 there were no hedging transactions through futures which have been squared off/expired.

 $(b) Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30,2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there$ were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30,2016 is Nil and For the half year ended September 30,2016 there were no hedging transactions through option which have exercised/expired.

 $(d) \ Other \ than \ Hedging \ Positions \ through \ Options \ as \ on \ September \ 30,2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there$ were no non-hedging transactions through options which have been exercised/expired.

BIRLA SUN LIFE FIXED TERM PLAN - SERIES HS (1465 DAYS)

(A Close Ended Income Scheme)

(e) Hedging Positions through swaps as on September 30,2016 is Nil.

The Average Maturity Period of the Portfolio is 0.01 years.

	(A Close Ended Inco Portfolio as on Septen				
Name of the Instrument	ISIN	Rating	Quantity	Market/ Fair Value Rs in Lacs.)	% to Net
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
8.97% Airport Authority of India Ltd **	INE309K08011	CRISIL AAA	41	410.20	15.60%
9.80% Bajaj Finance Limited **	INE296A07930	CRISIL AAA	22	220.18	8.37%
Sub Total				630.38	23.98%
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				630.38	23.98%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				1,927.35	73.31%
Sub Total				1,927.35	73.31%
Total				1,927.35	73.31%
Net Receivables / (Payables)				71.45	2.72%
GRANDTOTAL				2,629.18	100.00%

\*\* Thinly Traded / Non Traded Security

Details of transactions in Credit Default Swaps is as follows

Counter Party details			Details	of the und	lerlying			Credit		
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received
-	-	-	•	-	-	•	-	1	1	-

(1) The provision made for Non Performing Assets as of September 30,2016 is Nil

Plan/Option wise Net Asset Values are as follows

Plan	As on September 30, 2016	As on March 31, 20		
Regular Plan - Growth Option	Rs. 12.8009	Rs. 12.3066		
Direct Plan - Growth Option	Rs. 12.8354	Rs. 12.3495		

No dividend was declared during the half year ended September 30, 2016.

Details of derivatives exposure and transaction

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ Nil \$ transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared of f/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired

BIRLA SUN LIFE FIXED TERM PLAN - SERIES IL (1097 DAYS)

(A Close Ended Income Scheme Portfolio as on September 30, 2016

(e) Hedging Positions through swaps as on September 30, 2016 is Nil

The Average Maturity Period of the Portfolio is 0.02 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

Name of the Instrument	ISIN	Rating	Quantity (	Market/ Fair Value Rs in Lacs.)	% to Ne Assets
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
9.40% IDFC Bank Limited **	INE092T08659	ICRA AAA	174	1,747.61	13.20%
9.64% Power Finance Corporation Limited **	INE134E08DZ7	CRISIL AAA	170	1,708.41	12.90%
Housing Development Finance Corporation Limited (ZCB) **	INE001A07HP0	CRISIL AAA	102	1,582.82	11.96%
9.56% LIC Housing Finance Limited **	INE115A07BX5	CRISIL AAA	122	1,227.29	9.27%
9.05% Rural Electrification Corporation Limited **	INE020B07HW4	CRISIL AAA	10	100.08	0.76%
Sub Total				6,366.21	48.09%
(b) Privately placed / Unlisted					
9.68% Tata Sons Ltd ** #	INE895D08394	CRISIL AAA	174	1,749.20	13.219
Sub Total				1,749.20	13.21%
Total				8,115.41	61.30%
Government Securities					
8.75% Gujarat SDL(MD 03/10/2016)	IN1520120081	SOV	830,000	830.09	6.279
8.77% Karnataka SDL (MD 21/11/2016)	IN1920120053	SOV	565,000	566.51	4.289
Total				1,396.60	10.55%
Money Market Instruments					
Certificate of Deposit					
Kotak Mahindra Bank Limited ** #	INE237A16M20	CRISIL A1+	1,550	1,533.37	11.589
Sub Total				1,533.37	11.58%
Treasury Bill					
91 Days Tbill (MD 01/12/2016)	IN002016X223	SOV	325,000	321.57	2.439
182 Days Tbill (MD 15/12/2016)	IN002016Y064	SOV	100,000	98.70	0.759
Sub Total				420.27	3.17%
Total				1,953.64	14.76%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				1,238.59	9.369
Sub Total				1,238.59	9.36%
Total				1,238.59	9.36%
Net Receivables / (Payables)				534.76	4.04%
GRANDTOTAL				13,239.00	100.00%
ZCB - Zero Coupon Bond  ** Thinly Traded / Non Traded Security					
#Unlisted Security					
Dataile of transactions in Cradit Dafault Swans is as foll	ows .				

etails of trans	actions in C	redit Defau	ılt Swaps is as	follows:						
Counter Party details Details of the underlying						Details of Protection				Credit
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-
otec.										

The provision made for Non Performing Assets as of September 30, 2016 is Nil. Plan/Option wise Net Asset Values are as follows:

As on September 30, 2016 Regular Plan - Dividend Option Rs. 15.0867 Regular Plan - Growth Option No dividend was declared during the half year ended September 30, 2016.

Details of derivatives exposure and transaction:  $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Position \ Annual \ Position \ Pos$ transactions through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.  $(d) Other than \ Hedging \ Positions \ through \ Options \ as \ on \ September \ 30,2016 \ is \ Nil \ and \ For the \ half \ year \ ended \ September \ 30,2016 \ there$ were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. The Average Maturity Period of the Portfolio is 0.2 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

were no non-hedging transactions through futures which have been squared off/expired.

Portic	olio as on Septem	ber 30, 2016							Po	rtfolio a
Name of the Instrument	ISIN	Rating	Quantity	Market/ Fair Value (Rs in Lacs.)	% to Net Assets	Name of the I	nstrument			IS
Debt Instruments						Debt Instrume	nts			
(a) Listed / awaiting listing on Stock Exchange						( )		G. 1	в.	
9.30% Power Finance Corporation Limited	INE134E08GE5	CRISIL AAA	49	498.36	9.90%	(a) Listed / av	aiting listin	g on Stock	Exchange	
9.25% Rural Electrification Corporation Limited **	INE020B07IY8	CRISIL AAA	49	498.35	9.90%	Bajaj Finance	Limited (ZO	CB) **		IN
9.60% Housing Development Finance Corporation Limited **	INE001A07IP8	CRISIL AAA	26	263.56	5.23%	10.46% Tata	Capital Hous	ing Financ	e Ltd **	IN
Sub Total				1,260.27	25.03%	9.75% Housin	g Developm	ent Finance	2	IN
(b) Privately placed / Unlisted				NIL	NIL	Corporation L	imited **			
Sub Total				NIL	NIL	Sub Total				
Total				1,260.27	25.03%	(b) Delegateles	.1 1 / 17 1			
Money Market Instruments						(b) Privately	piaced/Uni	istea		
Certificate of Deposit						Sub Total				
Andhra Bank ** #	INE434A16OM7	CARE A1+	530	497.64	9.88%	Total				
Axis Bank Limited ** #	INE238A16O27	CRISIL A1+	525	493.00	9.79%	CDI O / D	D			
Yes Bank Limited ** #	INE528G16I28	ICRA A1+	525	492.72	9.78%	CBLO / Reve	rse Kepo			
IndusInd Bank Limited ** #	INE095A16TW4	CRISIL A1+	500	469.06	9.31%	Clearing Corp	oration of Ir	ndia Ltd		
Vijaya Bank ** #	INE705A16OY5	CARE A1+	385	363.02	7.21%	Sub Total				
Sub Total				2,315.44	45.98%	m . 1				
Commercial Paper						Total				
Kotak Mahindra Investments Ltd ** #	INE975F14JQ4	CRISIL A1+	107	499.61	9.92%	Net Receivab	les / (Payabl	es)		
HDB Financial Services Ltd ** #	INE756I14AA5	CARE A1+	100	467.98	9.29%	GRANDTOTA	L			
Tata Capital Housing Finance Ltd ** #	INE033L14FC8	CRISIL A1+	100	466.75	9.27%					
Sub Total				1,434.34	28.48%	ZCB - Zero Co	upon Bond			
Total				3,749.78	74.47%	** Thinly Tra	ded / Non Ti	raded Secu	rity	
CBLO / Reverse Repo						Details of trans	actions in C	redit Defa	ılt Swans is as	follows :
Clearing Corporation of India Ltd				21.99	0.44%					
Sub Total				21.99	0.44%		r Party de			of the u
Total				21.99	0.44%	Name	Rating	Sector	Name of the	Amount (FV)
Net Receivables / (Payables)				3.58	0.07%				reference	(FV)
GRANDTOTAL				5,035.62	100.00%				entity	ĺ
** Thinly Traded / Non Traded Security										
#Unlisted Security						-	-	-	-	-
Details of transactions in Credit Default Swaps is as follows:	lows :					Notes:				

Counter Party details Details of Protection Rating | Sector Name of Residual Price / Premiun the payment referenc (BPS) received entity

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil. (2) Plan/Option wise Net Asset Values are as follows:

rian	As on September 30, 2010	AS OII MATCH 51, 2010	
Regular Plan - Dividend Option	N.A.	Rs. 11.4909	
Regular Plan - Growth Option	Rs. 13.1875	Rs. 12.6937	
Regular Plan - Quarterly Dividend Option	Rs. 10.0609	Rs. 10.7478	
Direct Plan - Growth Option	Rs. 13.2307	Rs. 12.7322	
Dividend in the following plans was declared du	ring the half year ended September 30,	2016. Per Unit	(l
Regular Plan - Dividend Option		1	.8
Regular Plan - Quarterly Dividend Option		1	.0

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ Nil \$ transactions through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016 is Nil.

(5) The Average Maturity Period of the Portfolio is 0.91 years.

(4) Details of derivatives exposure and transaction:

(6) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

Name of the Instrument	ISIN	Rating	Quantity Market/	
			Fair Value (Rs in Lacs.)	Assets
Debt Instruments			(No In Eucos)	
(a) Listed / awaiting listing on Stock Exchange				
Bajaj Finance Limited (ZCB) **	INE296A07DL4	CRISIL AAA	88 1,049.06	19.96%
10.46% Tata Capital Housing Finance Ltd **	INE033L07AE9	CRISIL AA+	44 440.17	8.37%
9.75% Housing Development Finance Corporation Limited **	INE001A07LU2	CRISIL AAA	37 370.18	7.04%
Sub Total			1,859.41	35.37%
(b) Privately placed / Unlisted			NIL	NIL
Sub Total			NIL	NIL
Total			1,859.41	35.37%
CBLO / Reverse Repo				
Clearing Corporation of India Ltd			3,223.92	61.33%
Sub Total			3,223.92	61.33%
Total			3,223.92	61.33%
Net Receivables / (Payables)			173.66	3.30%
GRANDTOTAL			5,256.99	100.00%
ZCB - Zero Coupon Bond			<u> </u>	
** Thinly Traded / Non Traded Security				

Details of the underlying Counter Party details Details of Protection Amount Residual Name of Price / Tenor Premium the payments reference (BPS) received entity

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil

As on September 30, 2016

Regular Plan - Growth Option	Rs. 12.8980	Rs. 12.3939				
Regular Plan - Quarterly Dividend Option	Rs. 10.7856	Rs. 10.7167				
Direct Plan - Dividend Option	Rs. 11.8440	Rs. 11.3866				
Direct Plan - Growth Option	Rs. 12.9329	Rs. 12.4335				
Dividend in the following plane was dealared during the helf year anded Sentember 20, 2016						

As on March 31, 2016

Per Unit (Rs.) Regular Plan - Quarterly Dividend Option

(4) Details of derivatives exposure and transaction: (a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging

transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil.

(5) The Average Maturity Period of the Portfolio is 0.02 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

Birla Sun Life Asset Management Company Limited (Investment Manager for Birla Sun Life Mutual Fund), One Indiabulls Centre, Tower 1, 17th Floor, Jupiter Mill Compound, 841, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400 013. CIN: U65991MH1994PLC080811 www.birlasunlife.com

1,018.66 13.50%

1,018.66 13.50%

22.12 0.29%

7,544.27 100.00%

## ✓ Over 3.2 million investor scheme accounts



This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September 2016.

## Half Yearly Portfolio Statement as on September 30, 2016

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996)

BIRLA SUN LIFE FI	IAED TERM PL	IN - SERIES IU	(1099 DA15)		
	Close Ended Inco folio as on Septem	,			
Name of the Instrument	ISIN	Rating	Quantity	Market/ Fair Value	% to Net Assets
			(1	Rs in Lacs.)	
Money Market Instruments					
Certificate of Deposit					
Kotak Mahindra Bank Limited ** #	INE237A16T07	CRISIL A1+	900	897.41	11.90%
Sub Total				897.41	11.90%
reasury Bill					
82 Days Tbill (MD 20/10/2016)	IN002016Y023	SOV	5,625,000	5,606.08	74.31%
iub Total				5,606.08	74.31%
<b>Total</b>				6,503.49	86.20%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				1,018.66	13.50%

GRAND TOTAL \* Thinly Traded / Non Traded Security

Net Receivables / (Payables

# Unlisted Security

Sub Tota

Details of transactions in Credit Default Swaps is as follows

Counte	r Party de	tails	Details	of the und	lerlying	Details of Protection				Credit
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-

- (1) The provision made for Non Performing Assets as of September 30, 2016 is Nil

Plan	As on September 30, 2016	As on March 31, 2016				
Regular Plan - Dividend Option	Rs. 11.1585	Rs. 10.7707				
Regular Plan - Growth Option	Rs. 12.5799	Rs. 12.1428				
Regular Plan - Quarterly Dividend Option	Rs. 10.6076	Rs. 10.5021				
Direct Plan - Growth Option	Rs. 12.6837	Rs. 12.2513				
Dividend in the following plans was declared during the half year ended September 30, 2016.						

Regular Plan - Quarterly Dividend Option Details of derivatives exposure and transaction

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging (4) Details of derivatives exposure and transaction: transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016 is Nil.

- The Average Maturity Period of the Portfolio is 0.05 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

Name of the Instrument	Rating	Quantity	Market/ Fair Value (Rs in Lacs.)	% to Ne Asset	
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
8.03% Power Finance Corporation Limited **	INE134E08HH6	CRISIL AAA	48	484.25	17.269
7.95% Steel Authority of India Limited **	INE114A07893	IND AA	48	478.99	17.089
8.20% Small Industries Dev Bank of India **	INE556F09460	CARE AAA	47	475.46	16.959
9.12% Housing Development Finance Corporation Limited **	INE001A07NS2	CRISIL AAA	80	403.89	14.409
Bajaj Finance Limited (ZCB) **	INE296A07GC6	ICRA AA+	30	345.42	12.319
9.64% L&T Finance Ltd **	INE523E07BC6	ICRA AA+	7	177.32	6.329
12.52% IOT Utkal Energy Services Limited **	INE310L07357	CRISIL AAA (SO)	139	144.38	5.159
9.06% Rural Electrification Corporation Limited **	INE020B07JB4	CRISIL AAA	7	71.13	2.549
Sub Total				2,580.84	92.01%
(b) Privately placed / Unlisted				NIL	NI
Sub Total				NIL	NII
Total				2,580.84	92.01%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				53.98	1.929
Sub Total				53.98	1.92%
Total				53.98	1.92%
Net Receivables / (Payables)				170.11	6.06%

GRAND TOTAL

\*\* Thinly Traded / Non Traded Securit

Details of transactions in Credit Default Swaps is as follows

Counte	r Party det	tails	Details	Details of the underlying			Details of Protection				Total		
Name	Rating	Sector	Name of	Amount	Residual	Amount	Tenor	Price /	Premium	event	Net Receivables / (Payables)		
			the	(FV)	Maturity			Spread	paid	payments	GRANDTOTAL		
			reference					(BPS)		received	ZCB - Zero Coupon Bond		
			entity								** Thinly Traded / Non Traded Security		
-	-	-	-	-	-	-	-	-	-	-	Details of transactions in Credit Default Swaps is as follows :		

0.2705

Market/ % to Net

- (1) The provision made for Non Performing Assets as of September 30, 2016 is Nil
- (2) Plan/Option wise Net Asset Values are as follows

Plan	As on September 30, 2016	As on March 31, 2016
Regular Plan - Dividend Option	Rs. 11.3009	Rs. 10.7626
Regular Plan - Growth Option	Rs. 12.6758	Rs. 12.0720
Regular Plan - Quarterly Dividend Option	Rs. 10.7391	Rs. 10.5125
Direct Plan - Growth Option	Rs. 12.7903	Rs. 12.1868

(3) Dividend in the following plans was declared during the half year ended September 30, 2016. Per Unit (Rs.)

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging (4) transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

 $(c)\ Hedging\ Position\ through\ Put\ Options\ as\ on\ September\ 30,2016\ is\ Nil\ and\ For\ the\ half\ year\ ended\ September\ 30,2016\ there\ were$ no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. The Average Maturity Period of the Portfolio is 1.36 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

Name of the Instrument	ISIN	Rating	Quantity	Market/	% to Net
			<b>Q</b> ,	Fair Value	Assets
				(Rs in Lacs.)	
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
Sundaram Finance Limited (ZCB) **	INE660A07MI8	ICRA AA+	265	2,610.15	20.05%
9.335% Nabha Power Ltd **	INE445L08110	ICRA AAA(SO)	200	2,008.41	15.42%
12.52% IOT Utkal Energy Services Limited **	INE310L07225	CRISIL AAA (SO)	645	650.03	4.99%
9.64% Power Finance Corporation Limited **	INE134E08DZ7	CRISIL AAA	50	502.47	3.86%
8.78% Power Finance Corporation Limited **	INE134E08966	CRISIL AAA	50	501.56	3.85%
Sub Total				6,272.62	48.17%
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				6,272.62	48.17%
Government Securities					
8.77% Karnataka SDL (MD 21/11/2016)	IN1920120053	SOV	3,000,000	3,008.00	23.10%
Total				3,008.00	23.10%
Money Market Instruments					
Treasury Bill					
91 Days Tbill (MD 22/12/2016)	IN002016X256	SOV	2,075,000	2,045.50	15.71%
182 Days Tbill (MD 15/12/2016)	IN002016Y064	SOV	650,000	641.56	4.93%
91 Days Tbill (MD 01/12/2016)	IN002016X223	SOV	630,000	623.34	4.79%
Sub Total				3,310.40	25.42%
Total				3,310.40	25.42%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				69.98	0.54%
Sub Total				69.98	0.54%
Total				69.98	0.54%
Net Receivables / (Payables)				359.84	2.76%
GRANDTOTAL		_		13,020.84	100.00%

2,804.93 100.00%

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil

the

reference

(2) Plan/Ontion wise Net Asset Values are as follows:

As on March 31, 2016 Rs. 12.1490 Rs. 12.6397 Regular Plan - Growth Option Direct Plan - Growth Option Rs. 12.6711 Rs. 12.1737

Details of the underlying

(FV)

Maturity

No dividend was declared during the half year ended September 30, 2016

Details of derivatives exposure and transaction:

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ Nil \$ transactions through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

Details of Protection

Spread

(BPS)

paid

Tenor

Credit event

payments

received

were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil The Average Maturity Period of the Portfolio is 0.19 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

BIRLA SUN LIFE FIXED TERM PLAN - SERIES IP (1100 DAYS)
(A Close Ended Income Scheme) Portfolio as on September 30, 2016

				Fair Value	Assets
			(I	Rs in Lacs.)	
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
10.75% Shriram Transport Finance Company Limited **	INE721A07GP5	CRISIL AA+	200	2,000.79	11.14%
8.85% Power Grid Corporation of India Limited **	INE752E07KB4	CRISIL AAA	120	1,501.22	8.36%
9.43% Bajaj Finance Limited **	INE296A07DS9	CRISIL AAA	125	1,251.31	6.97%
9.25% Housing Development Finance	INE001A07LV0	CRISIL AAA	100	1,000.84	5.57%
Corporation Limited **					
10.30% Kotak Mahindra Prime Ltd **	INE916DA7865	CRISIL AAA	100	1,000.58	5.57%
Sub Total				6,754.74	37.60%
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				6,754.74	37.60%
Money Market Instruments					
Certificate of Deposit					
IndusInd Bank Limited ** #	INE095A16TH5	CRISIL A1+	1,825	1,817.13	10.11%
Kotak Mahindra Bank Limited ** #	INE237A16T07	CRISIL A1+	1,500	1,495.69	8.33%
Sub Total				3,312.82	18.44%
Total				3,312.82	18.44%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				7,146.61	39.78%
Sub Total				7,146.61	39.78%
Total				7,146.61	39.78%
Net Receivables / (Payables)				751.55	4.18%
GRANDTOTAL				17,965.72	100.00%
** Thinly Traded / Non Traded Security					

Details of transactions in Credit Default Swaps is as follows

Counte	r Party de	tails	Details of the underlying			Details of Protection			Details of Protection			
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received		
-	-	-	-	-	-	-	-	-	-	-		

The provision made for Non Performing Assets as of September 30, 2016 is Nil. Plan/Option wise Net Asset Values are as follows:

As on September 30, 2016 Plan Regular Plan - Dividend Option Rs. 12.8357 Regular Plan - Growth Option Direct Plan - Dividend Option N.A. Rs. 12.9260 Direct Plan - Growth Option

Rs. 12.4847 Direct Plan - Quarterly Dividend Option N.A. Rs. 10.8920 Per Unit (Rs.) Dividend in the following plans was declared during the half year ended September 30, 2016. Direct Plan - Dividend Option 2.6815 Regular Plan - Dividend Option 2.5933 1.0638 Details of derivatives exposure and transaction:

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \$ transactions through futures which have been squared off/expired  $(b) Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there$ 

were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired.  $(d)\ Other\ than\ Hedging\ Positions\ through\ Options\ as\ on\ September\ 30,2016\ is\ Nil\ and\ For\ the\ half\ year\ ended\ September\ 30,2016\ there$ 

were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016 is Nil.

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The Average Maturity Period of the Portfolio is 0.03 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

BIRLA SUN LIFE FIXED TERM PLAN - SERIES IX (1092 DAYS)
(A Close Ended Income Scheme)
Portfolio as on September 30, 2016

Market/ % to Net Fair Value (Rs in Lacs.) Debt Instruments (a) Listed / awaiting listing on Stock Exchange 10.35% Hero Fincorp Limited \*\* INE957N07021 CRISIL AA+ 12.35% Kotak Mahindra Prime Ltd \*\* INE916DA7AD8 CRISIL AAA 9.75% Housing Development Finance 880.43 INE001A07LU2 CRISIL AAA Corporation Limited \*\* 9.05% Rural Electrification Corporation Limited \*\* INE020B07HW4 CRISIL AAA 800.61 6.96% Sub Total 4,401.39 38.29% (b) Privately placed / Unlisted Sub Total 4,401.39 38.29% Government Securities .77% Karnataka SDL (MD 21/11/2016) IN1920120053 SOV 2.645.000 8.75% Gujarat SDL(MD 03/10/2016) 575.06 5.00% IN1520120081 SOV 575,000 Money Market Instruments 182 Days Tbill (MD 20/10/2016) IN002016Y023 SOV 500.000 498.32 4.33% 498.32 4.33% Sub Total 498.32 4.33% CBLO / Reverse Repo 2,623.12 22.82% Clearing Corporation of India Ltd Sub Total 2,623.12 22.82% 2,623.12 22.82% Net Receivables / (Payables) 745.86 6.49% GRAND TOTAL 11,495.80 100.00% \*\* Thinly Traded / Non Traded Security

Details of transactions in Credit Default Swaps is as follows

Credit		Protection	Details of		lerlying	of the und	Details	Counter Party details			
event payments received	Premium paid	Price / Spread (BPS)	Tenor	Amount	Residual Maturity	Amount (FV)	Name of the reference entity	Sector	Rating	Name	
-	-	-	-	-	-	-	-	-	-	-	

As on March 31, 2016

Rs. 12.3981

Rs. 12.3981

Rs. 12.4847

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil (2) Plan/Option wise Net Asset Values are as follows:

As on September 30, 2016 Regular Plan - Dividend Option Rs. 12.7336 Rs. 12.2780 Regular Plan - Growth Option Rs. 12.7336 Rs. 12.2780 Regular Plan - Quarterly Dividend Option Rs. 10.9173 Rs. 12.4111 Direct Plan - Dividend Option Rs. 12.8976 Direct Plan - Growth Option Rs. 12.8976 Rs. 12.4111 (3) Dividend in the following plans was declared during the half year ended September 30, 2016.

Regular Plan - Quarterly Dividend Option

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil.

(5) The Average Maturity Period of the Portfolio is 0.08 years. Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

BIRLA SUN LIFE FIXED TERM PLAN - SERIES JA (1098 DAYS)
(A Close Ended Income Scheme)
D+f-1: C+1 20 2016

Name of the Instrument	ISIN	Rating	Quantity	Market/	% to Ne
				Fair Value	Assets
			(	Rs in Lacs.)	
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
Bajaj Finance Limited (ZCB) **	INE296A07EO6	CRISIL AAA	266	3,120.37	19.96%
9.34% Nabha Power Ltd **	INE445L08102	ICRA AAA(SO)	260	2,605.85	16.67%
9.30% LIC Housing Finance Limited **	INE115A07EN0	CAREAAA	150	1,500.80	9.60%
8.97% Airport Authority of India Ltd **	INE309K08011	CRISIL AAA	109	1,090.54	6.98%
3.77% LIC Housing Finance Limited **	INE115A07GE4	CRISIL AAA	100	1,001.94	6.41%
12.52% IOT Utkal Energy Services Limited **	INE310L07217	CRISIL AAA (SO)	645	648.02	4.15%
12.52% IOT Utkal Energy Services Limited **	INE310L07209	CRISIL AAA (SO)	645	646.14	4.13%
Sub Total				10,613.66	67.90%
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				10,613.66	67.90%
Money Market Instruments					
Treasury Bill					
182 Days Tbill (MD 20/10/2016)	IN002016Y023	SOV	375,000	373.74	2.39%
Sub Total				373.74	2.39%
Total				373.74	2.39%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				3,956.68	25.31%
Sub Total				3,956.68	25.31%
Total				3,956.68	25.31%
Net Receivables / (Payables)				687.65	4.40%
GRANDTOTAL				15,631.73	100.00%

\*\* Thinly Traded / Non Traded Security

Details of transactions in Credit Default Swaps is as follows:

Counte	r Party de	tails	Details	of the und	lerlying	Details of Protection				Credit
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-

Per Unit (Rs.)

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil. Plan/Option wise Net Asset Values are as follows:

As on September 30, 2016

Regular Plan - Growth Option Rs. 12.7142 Rs. 12.2016 Direct Plan - Growth Option Rs. 12.7271 Rs. 12.2222 (3) No dividend was declared during the half year ended September 30, 2016.

Details of derivatives exposure and transaction:

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ And \$ transactions through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through options which have been exercised/expired.

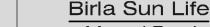
(e) Hedging Positions through swaps as on September 30, 2016 is Nil. (5) The Average Maturity Period of the Portfolio is 0.08 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

Birla Sun Life Asset Management Company Limited (Investment Manager for Birla Sun Life Mutual Fund), One Indiabulls Centre, Tower 1, 17th Floor, Jupiter Mill Compound, 841, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400 013. CIN: U65991MH1994PLC080811

As on March 31, 2016

## ✓ Over 3.2 million investor scheme accounts



Market/ % to Net

Market/ % to Net

Fair Value Assets

BIRLA SUN LIFE FIXED TERM PLAN - SERIES JX (1530 DAYS) (A Close Ended Income Scheme) Portfolio as on September 30, 2016

This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September 2016.

## Half Yearly Portfolio Statement as on September 30, 2016

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996) BIRLA SUN LIFE FIXED TERM PLAN - SERIES JQ (1099 DAYS)

	(A Close Ended Incor Portfolio as on Septem				
Name of the Instrument	ISIN	Rating	Quantity	Market/ Fair Value Rs in Lacs.)	
Money Market Instruments					
Certificate of Deposit					
Kotak Mahindra Bank Limited ** #	INE237A16M20	CRISIL A1+	200	197.85	8.06%
Kotak Mahindra Bank Limited ** #	INE237A16M38	CRISIL A1+	95	93.96	3.83%
Sub Total				291.81	11.89%
Treasury Bill					
182 Days Tbill (MD 15/12/2016)	IN002016Y064	SOV	1,875,000	1,850.66	75.42%
91 Days Tbill (MD 01/12/2016)	IN002016X223	SOV	285,000	281.99	11.49%
Sub Total				2,132.65	86.91%
Total				2,424.46	98.80%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				26.99	1.10%
Sub Total				26.99	1.10%
Total				26.99	1.10%
Net Receivables / (Payables)				2.50	0.10%
GRANDTOTAL				2,453,95	100.00%

\*\* Thinly Traded / Non Traded Security

# Unlisted Security

Details of transactions in Credit Default Swaps is as follows

Counte	Counter Party details Details of the underlying				Details of Protection				Credit	
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

(2) Plan/Option wise Net Asset Values are as follows:

Regular Plan - Quarterly Dividend Option

Plan	As on September 30, 2016	As on March 31, 2016
Regular Plan - Dividend Option	Rs. 11.1184	Rs. 10.7363
Regular Plan - Growth Option	Rs. 12.5304	Rs. 12.0997
Regular Plan - Quarterly Dividend Option	Rs. 10.5936	Rs. 10.4842
Direct Plan - Growth Option	Rs. 12.6076	Rs. 12.1793
Direct Plan - Quarterly Dividend Option	Rs. 10.5987	Rs. 10.4973
Dividend in the following plans was declared	during the half year ended September 30	, 2016. Per Unit (Rs.)
Direct Plan - Quarterly Dividend Option		0.2655

Details of derivatives exposure and transaction: (a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging (4) Details of derivatives exposure and transaction transactions through futures which have been squared off/expired.

 $(b) Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there$ were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

BIRLA SUN LIFE FIXED TERM PLAN - SERIES JJ (1092 DAYS)

(A Close Ended Income Scheme)

Portfolio as on September 30, 2016

(e) Hedging Positions through swaps as on September 30, 2016 is Nil.

Name of the Instrument

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

	tfolio as on Septem					
Name of the Instrument	ISIN	Rating	Quantity	Market/ Fair Value	% to Net	Name of the Instrument
			(	Rs in Lacs.)	Assets	
Debt Instruments						Debt Instruments
(a) Listed / awaiting listing on Stock Exchange						(a) Listed / awaiting listin
9.87% Tata Capital Financial Services Ltd **	INE306N07EO6	CRISIL AA+	680	6,828.08	17.37%	Bajaj Finance Limited (ZO
9.69% LIC Housing Finance Limited **	INE115A07ES9	CARE AAA	650	6,541.96	16.64%	8.70% Rural Electrification
9.335% Nabha Power Ltd **	INE445L08110	ICRA AAA(SO)	445	4,468.70	11.37%	8.95% Power Finance Cor
9.34% Nabha Power Ltd **	INE445L08102	ICRA AAA(SO)	190	1,904.27	4.84%	9.12% Housing Developme
9.64% Power Finance Corporation Limited **	INE134E08DZ7	CRISIL AAA	95	954.70	2.43%	Corporation Limited **
Sub Total				20,697.71	52.65%	7.95% Steel Authority of
(b) Privately placed / Unlisted				NIL	NIL	Sub Total
Sub Total				NIL	NIL	(b) Privately placed / Unl Sub Total
Total				20,697.71	52.65%	Total
Government Securities						Government Securities
8.77% Karnataka SDL (MD 21/11/2016)	IN1920120053	SOV	3,500,000	3,509.33	8.93%	9.60% Gujarat SDL(MD 12
Total				3,509.33	8.93%	Total
Money Market Instruments						Money Market Instrumen
Certificate of Deposit						Certificate of Deposit
Kotak Mahindra Bank Limited ** #	INE237A16M38	CRISIL A1+	2,100	2,077.10	5.28%	Andhra Bank ** #
Sub Total				2,077.10	5.28%	ICICI Bank Limited ** #
Treasury Bill						Sub Total
91 Days Tbill (MD 22/12/2016)	IN002016X256	SOV	9,363,700	9,230.57	23.48%	Total
182 Days Tbill (MD 15/12/2016)	IN002016Y064	SOV	100,000	98.70	0.25%	CBLO / Reverse Repo
Sub Total				9,329.27	23.73%	Clearing Corporation of Ir
Total				11,406.37	29.01%	Sub Total
CBLO / Reverse Repo						Total
Clearing Corporation of India Ltd				1,854.38	4.72%	Net Receivables / (Payabl
Sub Total				1,854.38	4.72%	GRANDTOTAL
Total				1,854.38	4.72%	
Net Receivables / (Payables)				1,846.15	4.70%	ZCB - Zero Coupon Bond
GRAND TOTAL				39,313.94	100.00%	** Thinly Traded / Non Tr

\*\* Thinly Traded / Non Traded Security

# Unlisted Security Details of transactions in Credit Default Swaps is as follows:

Counte	r Party de	tails	Details	of the und	lerlying		Details of	Protection		Credit	
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received	
-	-	-	-	-	-	i	1	1	1	-	Note

0.2616

Market/ % to Ne

27.99 0.44%

324 77 5 11%

6,358.94 100.00%

0.3979

Fair Value

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil. Plan/Option wise Net Asset Values are as follows:

	Plan	As on September 30, 2016	As on March 31, 2016	
	Regular Plan - Dividend Option	Rs. 11.5046	Rs. 11.0490	
	Regular Plan - Growth Option	Rs. 12.5647	Rs. 12.0665	
	Regular Plan - Quarterly Dividend Option	Rs. 10.7231	Rs. 10.6120	
	Direct Plan - Growth Option	Rs. 12.6045	Rs. 12.1037	
)	Dividend in the following plans was declared do	uring the half year ended September 30, 201	6. Per Unit (	(Rs.)

Regular Plan - Quarterly Dividend Option

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ Nil$ transactions through futures which have been squared off/expired.

 $(b) Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there$ were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. (5) The Average Maturity Period of the Portfolio is 0.21 years.

ame of the Instrumen

(6) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

(Rs in Lacs.) a) Listed / awaiting listing on Stock Exchange INE296A07GB8 ICRA AA+ 2,072.44 19.37% .70% Rural Electrification Corporation Limited \*\* 1,921.03 17.95% INE020B08815 CRISIL AAA INE134E08FK4 CRISIL AAA .95% Power Finance Corporation Limited \*\* 0.12% Housing Development Finance Corporation Limited \*\* 0.95% Steel Authority of India Limited \*\* INE001A07NS2 CRISIL AAA 1,817.50 16.99% Sub Total 7.747.97 72.41% b) Privately placed / Unlisted Sub Total NIL Sovernment Securities .60% Gujarat SDL(MD 12/03/2018) IN1520130189 SOV 1,938.42 18.12% 1.938.42 18.12% Money Market Instruments Certificate of Deposit INE434A16MW0 CARE A1+ CICI Bank Limited \*\* # INE090A166H7 ICRA A1+ 47.69 0.45% 436.71 4.08% CBLO / Reverse Repo Clearing Corporation of India Ltd 131.96 1.23% 131.96 1.23% 444.53 4.15% let Receivables / (Payables) GRANDTOTAL 10,699.59 100.00% CB - Zero Coupon Bond \* Thinly Traded / Non Traded Security #Unlisted Security

Details of transactions in Credit Default Swaps is as follows

Counte	er Party de	tails	Details	of the und	lerlying		Details of	Protection		Credit
Name	Rating	Sector	Name of	Amount	Residual	Amount	Tenor	Price /	Premium	event
			the	(FV)	Maturity			Spread	paid	payments
			reference					(BPS)		received
			entity							

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil

	Plan	As on September 30, 2016	As on March 31, 2010
	Regular Plan - Growth Option	Rs. 12.5754	Rs. 11.9703
	Direct Plan - Growth Option	Rs. 12.6465	Rs. 12.0416
(3)	No dividend was declared during the half	year ended September 30, 2016.	

(4) Details of derivatives exposure and transaction:

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ Nil \$ transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

 $(d) Other than \ Hedging \ Positions \ through \ Options \ as \ on \ September \ 30,2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there \ and \ September \ 30,2016 \ there \ and \ September \ 30,2016 \ there \ and \ September \ 30,2016 \ there \$ were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil.

The Average Maturity Period of the Portfolio is 1.34 years. Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

			(1	Rs in Lacs.)	
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
9.64% Power Finance Corporation Limited **	INE134E08DZ7	CRISIL AAA	100	1,004.95	15.80%
10.35% Hero Fincorp Limited **	INE957N07021	CRISIL AA+	99	993.33	15.62%
9.75% Housing Development Finance Corporation Limited **	INE001A07HN5	CRISIL AAA	93	934.12	14.69%
Sub Total				2,932.40	46.11%
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				2,932.40	46.11%
Government Securities					
8.75% Gujarat SDL(MD 03/10/2016)	IN1520120081	SOV	1,984,000	1,984.22	31.20%
8.77% Karnataka SDL (MD 21/11/2016)	IN1920120053	SOV	77,000	77.21	1.21%
Total				2,061.43	32.42%
Money Market Instruments					
Treasury Bill					
182 Days Tbill (MD 15/12/2016)	IN002016Y064	SOV	750,000	740.26	11.64%
91 Days Tbill (MD 01/12/2016)	IN002016X223	SOV	275,000	272.09	4.28%
Sub Total				1,012.35	15.92%
Total				1,012.35	15.92%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				27.99	0.44%
Sub Total				27.99	0.44%

\* Thinly Traded / Non Traded Security

Net Receivables / (Payables)

Details of transactions in Credit Default Swaps is as follows

Counte	er Party de	tails	Details	of the und	lerlying		Details of	Protection		Credit
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-

Total

GRAND TOTAL

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil Plan/Ontion wise Net Asset Values are as follows:

As on September 30, 2016 As on March 31, 2016 Regular Plan - Dividend Option Rs. 12.6419 Rs. 12.1938 Rs. 12.6419 Rs. 12.1938 Regular Plan - Growth Option Rs. 10.9146 Regular Plan - Quarterly Dividend Option Rs. 10.9141 Direct Plan - Dividend Option Rs. 12.8020 Rs. 12.3241 Direct Plan - Growth Option Rs. 12.8019 Rs. 12.3241 Dividend in the following plans was declared during the half year ended September 30, 2016. Per Unit (Rs.) Regular Plan - Quarterly Dividend Option

Details of derivatives exposure and transaction:  $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ Nil \$ 

transactions through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

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(e) Hedging Positions through swaps as on September 30, 2016 is Nil.

The Average Maturity Period of the Portfolio is 0.12 years. Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

were no non-hedging transactions through futures which have been squared off/expired.

### BIRLA SUN LIFE FIXED TERM PLAN - SERIES JP (1175 DAYS) (A Close Ended Income Scheme) Portfolio as on September 30,2016

			(1	Fair Value Rs in Lacs.)	
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
8.81% Sundaram Finance Limited **	INE660A07MX7	ICRA AA+	36	362.29	17.71%
8.69% LIC Housing Finance Limited **	INE115A07GU0	CRISIL AAA	30	301.49	14.74%
Sub Total				663.78	32.45%
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				663.78	32.45%
Money Market Instruments					
Certificate of Deposit					
IDBI Bank Limited ** #	INE008A16N42	CRISIL A1+	250	243.13	11.89%
Corporation Bank ** #	INE112A16JK3	CRISIL A1+	200	194.57	9.51%
Axis Bank Limited ** #	INE238A16I09	CRISIL A1+	200	194.24	9.50%
Corporation Bank ** #	INE112A16KI5	CRISIL A1+	50	48.54	2.37%
Sub Total				680.48	33.27%
Treasury Bill					
182 Days Tbill (MD 15/12/2016)	IN002016Y064	SOV	550,000	542.86	26.54%
Sub Total				542.86	26.54%
Total				1,223.34	59.81%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				126.96	6.21%
Sub Total				126.96	6.21%
Total				126.96	6.21%
Net Receivables / (Payables)				31.28	1.53%
GRAND TOTAL				2,045.36	100.00%
** Thinly Traded / Non Traded Security					
, , , , , , , , , , , , , , , , , , ,					
# Unlisted Security					

Details of transactions in Credit Default Swaps is as follows

Counte	I raity uc	taiis	Details	or the unt	icitying		Details of	Trotection		Creun	L
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received	
-	-	-	-	-	-	-	-	-	-	-	
tes:											

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

(2) Plan/Option wise Net Asset Values are as follows

Plan	As on September 30, 2016	As on March 31, 2016
Regular Plan - Dividend Option	Rs. 11.1934	Rs. 10.7487
Regular Plan - Growth Option	Rs. 12.5794	Rs. 12.0797
Direct Plan - Growth Option	Rs. 12.6499	Rs. 12.1508

(3) No dividend was declared during the half year ended September 30, 2016.

(4) Details of derivatives exposure and transaction:

(a) Hedwing Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired.  $(d) Other than \ Hedging \ Positions \ through \ Options \ as \ on \ September \ 30,2016 \ is \ Nil \ and \ For \ the half \ year \ ended \ September \ 30,2016 \ there$ 

were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil.  $\,$ 

(5) The Average Maturity Period of the Portfolio is 0.35 years. Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

BIRLA SUN LIFE FIXED TERM PLAN - SERIES JR (1162 DAYS) (A Close Ended Income Scheme Portfolio as on September 30, 2016 Market/ % to Net Name of the Instrument

				Fair Value	Ass
			(F	Rs in Lacs.)	
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
9.00% Tata Capital Financial Services Ltd **	INE306N07FH7	CRISIL AA+	67	673.85	17.7
9.69% LIC Housing Finance Limited **	INE115A07FG1	CRISIL AAA	50	504.93	13.2
9.20% Small Industries Dev Bank of India **	INE556F09379	CAREAAA	42	423.13	11.1
9.70% Housing Development Finance	INE001A07MK1	CRISIL AAA	16	161.55	4.2
Corporation Limited **					
9.67% Rural Electrification Corporation Limited **	INE020B07IB6	CRISIL AAA	10	101.03	2.6
Sub Total				1,864.49	49.0
(b) Privately placed / Unlisted				NIL	]
Sub Total				NIL	1
Total				1,864.49	49.0
Money Market Instruments					
Certificate of Deposit					
Canara Bank ** #	INE476A16RG3	CRISIL A1+	150	145.57	3.8
ICICI Bank Limited ** #	INE090A161F2	ICRA A1+	125	121.60	3.2
Corporation Bank ** #	INE112A16KI5	CRISIL A1+	75	72.81	1.9
Sub Total				339.98	8.9
Treasury Bill					
182 Days Tbill (MD 15/12/2016)	IN002016Y064	SOV	1,500,000	1,480.53	38.9
Sub Total				1,480.53	38.9
Total				1,820.51	47.8
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				24.99	0.6
Sub Total				24.99	0.6
Total				24.99	0.6
Net Receivables / (Payables)				95.37	2.5
GRANDTOTAL				3,805.36	100.06

\*\* Thinly Traded / Non Traded Security

# Unlisted Security

Details of transactions in Credit Default Swaps is as follows

Counte	r Party de	tails	Details	of the und	lerlying		Details of	Protection		Credit
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil (2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2016	As on March 31, 2016
Regular Plan - Dividend Option	Rs. 11.1280	Rs. 10.6952
Regular Plan - Growth Option	Rs. 12.4822	Rs. 11.9967
Direct Plan - Growth Option	Rs. 12.5548	Rs. 12.0659
Direct Plan - Quarterly Dividend Option	Rs. 10.6277	Rs. 10.4776

(3) Dividend in the following plans was declared during the half year ended September 30, 2016. Direct Plan - Quarterly Dividend Option

Details of derivatives exposure and transaction

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

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(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. (5) The Average Maturity Period of the Portfolio is 0.34 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

Birla Sun Life Asset Management Company Limited (Investment Manager for Birla Sun Life Mutual Fund), One Indiabulls Centre, Tower 1, 17th Floor, Jupiter Mill Compound, 841, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400 013. CIN: U65991MH1994PLC080811

Per Unit (Rs.)

0.2718

## ✓ Over 3.2 million investor scheme accounts



Market/ % to Net Fair Value (Rs in Lacs.)

1,014.99 17.24%

1,006.46 17.10%

1,005.58 17.08%

NIL NIL

Market/ % to Net Fair Value (Rs in Lacs.)

> 8,554.81 20,27% 7.504.71 17.78%

> 7.471.95 17.70%

7.434.37 17.62%

7.79% 1,614.17 3.82% 35.865.77 84.98% NIL NIL

NIL

3,285,76

35,865,77

2,549.21 6.04% 2,549.21

1,361.97 3.23%

42,203.89 100.00%

339.98 0.81% 97.42 0.23% 96.47 0.23% 1.895.84 4.49% 1.895.84 4.49% 172.94 0.41% 172.94 0.41% 172.94 0.41% 1,720.13 4.08%

743

743

1,480 745

322

2,500,000

1,400

20.15 0.34% 3,047.18 51.77%

101

100

100

This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September 2016.

## Half Yearly Portfolio Statement as on September 30, 2016

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996) BIRLA SUN LIFE FIXED TERM PLAN - SERIES KD (1099 DAYS)

	lose Ended Incor	ne Scheme)	(1154 DAYS)		
Portfo Name of the Instrument	lio as on Septem ISIN	ber 30, 2016 Rating	Quantity	Fair Value	% to Net
Debt Instruments				(Rs in Lacs.)	
(a) Listed / awaiting listing on Stock Exchange					
Bajaj Finance Limited (ZCB) ** 9.52% Rural Electrification Corporation Limited ** 7.95% Indian Railway Finance Corp Ltd **	INE296A07FW6 INE020B07II1 INE053F07769	CRISIL AAA	267 259 255	3,041.98 2,617.77 2,557.27	17.27%
9.70% Housing Finance Limited ** 9.70% Housing Development Finance Corporation Limited **	INE115A07FG1 INE001A07MK1	CRISIL AAA	200 35	,	13.32%
Sub Total				10,590.14	69.85%
(b) Privately placed / Unlisted				NIL	NIL
SubTotal				NIL	NIL
Total				10,590.14	69.85%
Government Securities					
8.48% Himachal Pradesh SDL(MD 23/01/2017)	IN1720120063	SOV	3,000,000	3,013.46	19.88%
Total				3,013.46	19.88%
Money Market Instruments Certificate of Deposit Kotak Mahindra Bank Limited ** #	INE237A16Q42	CRISIL A1+	550	530.61	3.50%
Corporation Bank ** #	INE112A16KI5	CRISIL A1+	180	174.74	1.15%
Sub Total				705.35	4.65%
<b>Treasury Bill</b> 182 Days Tbill (MD 15/12/2016)	IN002016Y064	SOV	250,000	246.75	1.63%
Sub Total				246.75	1.63%
Total				952.10	6.28%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				211.93	1.40%
Sub Total				211.93	1.40%
Total				211.93	1.40%
Net Receivables / (Payables)				394.36	2.60%
GRAND TOTAL				15,161.99	100.00%

ZCB - Zero Coupon Bond \*\* Thinly Traded / Non Traded Security

# Unlisted Security

Details of transactions in Credit Default Swaps is as follows

			1					
Counte	r Party de	tails	Details	of the und	lerlying		Prote	
Name	Rating	Sector	Name of	Amount	Residual	Amount	Tenor	Pri

ı	Counte	I Faity uc	taiis	Details	or the unt	icitying		Details of	Trotection		Creun
	Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received
	-	-	-	-	-	-	-	-	-	1	1

The provision made for Non Performing Assets as of September 30, 2016 is Nil.

Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2016	As on March 31, 2016
Regular Plan - Dividend Option	Rs. 11.2691	Rs. 10.8044
Regular Plan - Growth Option	Rs. 12.4730	Rs. 11.9587
Direct Plan - Growth Option	Rs. 12.5489	Rs. 12.0296
No dividend was declared during the hal	f year ended September 30, 2016.	

- Details of derivatives exposure and transaction:
- Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging ransactions through futures which have been squared off/expired.
- $Other than\ Hedging\ Position\ through\ Futures\ as\ on\ September\ 30,\ 2016\ is\ Nil\ and\ For\ the\ half\ year\ ended\ September\ 30,\ 2016\ there\ were$ no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were
- no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

BIRLA SUN LIFE FIXED TERM PLAN - SERIES JY (1099 DAYS)

(A Close Ended Income Scheme)

Portfolio as on September 30, 2016

- (e) Hedging Positions through swaps as on September 30, 2016 is Nil. The Average Maturity Period of the Portfolio is 0.44 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

	lose Ended Inco blio as on Septem					(A Port
Name of the Instrument	ISIN	Rating	Quantity	Market/ Fair Value (Rs in Lacs.)		Name of the Instrument
Debt Instruments						Debt Instruments
(a) Listed / awaiting listing on Stock Exchange						(a) Listed / awaiting listing on Stock Exchange
Sundaram BNP Paribas Home Finance Ltd (ZCB) **	INE667F07ET6	CARE AA+	92	1,064.24	19.28%	8.72% Power Finance Corporation Limited **
8.72% Power Finance Corporation Limited **	INE134E08FC1	CRISIL AAA	96	964.74	17.48%	9.69% LIC Housing Finance Limited **
9.28% Rural Electrification Corporation Limited **	INE020B08658	CRISIL AAA	93	936.82	16.98%	9.82% Tata Capital Financial Services Ltd **
9.18% National Bank For Agriculture and Rural Development **	INE261F09HE9	CRISIL AAA	53	533.45	9.67%	9.28% Rural Electrification Corporation Limited ** Sub Total
9.69% LIC Housing Finance Limited **	INE115A07ES9	CARE AAA	45	452.91	8.21%	
Sub Total				3,952.16	71.62%	(b) Privately placed / Unlisted
(b) Privately placed / Unlisted				NIL	NIL	Sub Total
Sub Total				NIL	NIL	Total
Total				3,952.16	71.62%	Money Market Instruments
Money Market Instruments						Certificate of Deposit
Certificate of Deposit						IDBI Bank Limited ** #
Kotak Mahindra Bank Limited ** #	INE237A16M38	CRISIL A1+	300	296.73	5.38%	Sub Total
Sub Total				296.73	5.38%	Total
Total				296.73	5.38%	CBLO / Reverse Repo
CBLO / Reverse Repo						Clearing Corporation of India Ltd
Clearing Corporation of India Ltd				1,077.64	19.53%	Sub Total
Sub Total				1,077.64	19.53%	Total
Total				1,077.64	19.53%	Net Receivables / (Payables)
Net Receivables / (Payables)				192.07	3.48%	· · · · · · · · · · · · · · · · · · ·
GRANDTOTAL				5,518.60	100.00%	GRANDTOTAL
ZCB - Zero Coupon Bond						** Thinly Traded / Non Traded Security

\*\* Thinly Traded / Non Traded Security #I Inlisted Security

ls of transactions in Credit Defau	Ilt Swaps is as follows :
Counter Party details	Details of the underlying

Counte	r Party de	tails	Details	of the und	lerlying		Details of Protection Cred			Credit	
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received	
-	-	-	-	-	-	-	-	-	-	-	Not

- (1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.
- (2) Plan/Option wise Net Asset Values are as follows

Plan	As on September 30, 2016	As on March 31, 2016
Regular Plan - Growth Option	Rs. 12.5259	Rs. 12.0205
Direct Plan - Growth Option	Rs. 12.5423	Rs. 12.0309
No. 35-14-14-14-14-14-14-14-14-14-14-14-14-14-		

- (4) Details of derivatives exposure and transaction

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ Nil$ transactions through futures which have been squared off/expired.

 $(b) Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there$ were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

- (e) Hedging Positions through swaps as on September 30, 2016 is Nil.
- The Average Maturity Period of the Portfolio is 0.27 years Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

NIL NIL Sub Total 3,047.18 51.77% Money Market Instruments Certificate of Deposit IDBI Bank Limited \*\* # INE008A16M84 CRISIL A1+ 365.34 6.21% Sub Total 365.34 6.21% 365.34 6.21% CBLO / Reverse Repo Clearing Corporation of India Ltd 2.153.28 36.58% Sub Total 2.153.28 36.58% 2.153.28 36.58% Net Receivables / (Payables) 320.09 5.44% GRANDTOTAL 5.885.89 100.00% \*\* Thinly Traded / Non Traded Security #Unlisted Security

BIRLA SUN LIFE FIXED TERM PLAN - SERIES KF (1099 DAYS)

(A Close Ended Income Scheme) Portfolio as on September 30, 2016

INE134E08FC1 CRISIL AAA

INE115A07ES9 CARE AAA

INE306N07EY5 CRISIL AA+

INE020B08658 CRISIL AAA

Details of transactions in Credit Default Swaps is as follows

Counter Party details	Details	of the und	lerlying		Details of	Protection		Credit
Name Rating Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received
	-	-	-	-	-	-	-	-

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil Plan/Option wise Net Asset Values are as follows

As on September 30, 2016 As on March 31, 2016 Regular Plan - Growth Option Rs. 12.5249 Rs. 12.0195 Rs. 12.0304

- No dividend was declared during the half year ended September 30, 2016
- Details of derivatives exposure and transaction

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Position \ Angles \ Position \$ transactions through futures which have been squared off/expired.

 $(b) Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, \ 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, \ 2016 \ there \ and \ September \ 30, \ 2016 \ there \ are \ and \ September \ 30, \ 2016 \ there \ 30, \ 3016 \ there \ 3$ were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

 $(d) Other than \ Hedging \ Positions \ through \ Options \ as \ on \ September \ 30,2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there \ and \ September \ 30,2016 \ there \ and \ September \ 30,2016 \ there \ and \ September \ 30,2016 \ there \$ were no non-hedging transactions through options which have been exercised/expired.

BIRLA SUN LIFE FIXED TERM PLAN - SERIES KE (1511 DAYS)

(A Close Ended Income Scheme

- (e) Hedging Positions through swaps as on September 30, 2016 is Nil.
- The Average Maturity Period of the Portfolio is 0.21 years.
- Details of Repo in Corporate Debt Securities as on September 30,2016 is NIL.

Name of the Instrument	ISIN	Rating	Quantity	Market/	% to Net
				Fair Value	Assets
			(1	Rs in Lacs.)	
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
9.335% Nabha Power Ltd **	INE445L08110	ICRA AAA(SO)	250	2,510.51	17.10%
10.35% Hero Fincorp Limited **	INE957N07021	CRISIL AA+	250	2,508.42	17.08%
8.97% Airport Authority of India Ltd **	INE309K08011	CRISIL AAA	250	2,501.23	17.03%
Housing Development Finance Corporation Limited (ZCB) **	INE001A07HU0	CRISIL AAA	100	1,550.65	10.56%
12.52% IOT Utkal Energy Services Limited **	INE310L07233	CRISIL AAA (SO)	645	651.48	4.44%
9.69% LIC Housing Finance Limited **	INE115A07ES9	CARE AAA	50	503.23	3.43%
9.25% Power Grid Corporation of India Limited **	INE752E07JC4	CRISIL AAA	40	502.57	3.42%
Sub Total				10,728.09	73.06%
(b) Privately placed / Unlisted					
9.68% Tata Sons Ltd ** #	INE895D08394	CRISIL AAA	70	703.70	4.79%
Sub Total				703.70	4.79%
Total				11,431.79	77.85%
Money Market Instruments					
Treasury Bill					
182 Days Tbill (MD 15/12/2016)	IN002016Y064	SOV	1,200,000	1,184.42	8.07%
91 Days Tbill (MD 01/12/2016)	IN002016X223	SOV	175,000	173.15	1.18%
Sub Total				1,357.57	9.25%
Total				1,357.57	9.25%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				1,224.59	8.34%
Sub Total				1,224.59	8.34%
Total				1,224.59	8.34%
Net Receivables / (Payables)				669.75	4.56%
GRANDTOTAL				14,683.70	100.00%
ZCB - Zero Coupon Bond					
** Thinly Traded / Non Traded Security					

Details of transactions in Credit Default Swaps is as follows:

Counte	er Party de	tails	Details	of the und	lerlying		Details of	Protection		Credit
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-		-	-	-

#Unlisted Security

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil. (2) Plan/Option wise Net Asset Values are as follows:

	Regular Plan - Dividend Option	Rs. 11.4479	Rs. 10.9879	
	Regular Plan - Growth Option	Rs. 12.5372	Rs. 12.0335	
	Direct Plan - Dividend Option	Rs. 11.4674	Rs. 11.0056	
	Direct Plan - Growth Option	Rs. 12.5749	Rs. 12.0686	
	Direct Plan - Quarterly Dividend Option	Rs. 10.7177	Rs. 10.5972	
3)	Dividend in the following plans was declared during the h	nalf year ended September 30, 2016.		Per Unit (Rs.)
	Direct Plan - Quarterly Dividend Option			0.3209

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Position \ Angles \ Position \$ 

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

- (e) Hedging Positions through swaps as on September 30, 2016 is Nil. The Average Maturity Period of the Portfolio is 0.16 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

ons through futures which have been squared off/expired.

nt ICIN Doting On	
Portfolio as on September 30, 2016	
(A Close Ended Income Scheme)	
BIRLA SUN LIFE FIXED TERM PLAN - SERIES KC (1099 DA	YS)

Name of the Instrument	ISIN	Rating	Quantity		% to Net
				Fair Value	Assets
				(Rs in Lacs.)	
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange	D.ID.CORPORDO	G.PP.	250		*******
Sundaram BNP Paribas Home Finance Ltd (ZCB) **	INE667F07ES8	CAREAA+	250	2,892.12	20.07%
8.72% Power Finance Corporation Limited **	INE134E08FC1	CRISIL AAA	250	2,512.34	17.43%
9.18% National Bank For Agriculture and Rural Development **	INE261F09HE9	CRISIL AAA	247	2,486.06	17.25%
8.84% Power Grid Corporation of India Limited **	INE752E07HQ8	CRISIL AAA	160	2,001.79	13.89%
9.28% Rural Electrification Corporation Limited **	INE020B08658	CRISIL AAA	180	1,813.20	12.58%
9.69% LIC Housing Finance Limited **	INE115A07ES9	CARE AAA	105	1,056.78	7.33%
Sub Total				12,762.29	88.55%
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				12,762.29	88.55%
Money Market Instruments Certificate of Deposit					
Kotak Mahindra Bank Limited ** #	INE237A16M20	CRISIL A1+	750	741.95	5.15%
Kotak Mahindra Bank Limited ** #	INE237A16M38	CRISIL A1+	5	4.95	0.03%
Sub Total				746.90	5.18%
Treasury Bill					
182 Days Tbill (MD 15/12/2016)	IN002016Y064	SOV	225,000	222.08	1.54%
Sub Total				222.08	1.54%
Total				968.98	6.72%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				37.99	0.26%
Sub Total				37.99	0.26%
Total				37.99	0.26%
Net Receivables / (Payables)				642.52	4.46%
GRAND TOTAL				14,411.78	100.00%

GRAND TOTAL ZCB - Zero Coupon Bond

\*\* Thinly Traded / Non Traded Security

#Unlisted Security

Details of transactions in Credit Default Swaps is as follows

Counte	r Party de	tails	Details	Details of the underlying			Details of Protection				ı
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received	
-	-	-	-	-	•	•	-	-	-	-	

As on March 31, 2016

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

(2) Plan/Option wise Net Asset Values are as follows: Regular Plan - Growth Option Rs. 12.5544 Rs. 12.0390 Rs. 12.5643 Rs. 12.0494 Direct Plan - Growth Option

(3) No dividend was declared during the half year ended September 30, 2016. Details of derivatives exposure and transaction:

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ And \ Nil \ And \ Nil \$ ons through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. (5) The Average Maturity Period of the Portfolio is 0.29 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

Name of the Instrument	ISIN	Rating
The state of the same of the s	2021	
Debt Instruments (a) Listed / awaiting listing on Stock Exchange		
Bajaj Finance Limited (ZCB) **	INE296A07GC6	ICRA AA+
8.00% National Housing Bank **	INE557F08EW1	CRISIL AAA
9.12% Housing Development Finance Corporation Limited **	INE001A07NS2	CRISIL AAA
7.95% Steel Authority of India Limited **	INE114A07893	IND AA
8.95% Power Finance Corporation Limited **	INE134E08FK4	CRISIL AAA
8.03% Power Finance Corporation Limited **	INE134E08HH6	CRISIL AAA
Sub Total		
(b) Privately placed / Unlisted		
Sub Total		
Total		
Government Securities		
8.40% Karnataka SDL (MD 27/03/2018)	IN1920070019	SOV
Total		
Money Market Instruments		
Certificate of Deposit		
ICICI Bank Limited ** #	INE090A161F2	ICRA A1+
Punjab & Sind Bank ** #	INE608A16ME7	ICRA A1+
IDBI Bank Limited ** #	INE008A16M84	CRISIL A1+
Kotak Mahindra Bank Limited ** #	INE237A16Q42	CRISIL A1+
Sub Total		
Total		
CBLO / Reverse Repo		
Clearing Corporation of India Ltd		
Sub Total		
Total		
Net Receivables / (Payables)		
GRANDTOTAL		

ZCB - Zero Coupon Bond \*\* Thinly Traded / Non Traded Security

#Unlisted Security

Counter Party details			Details of the underlying			Details of Protection				Credit
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil. (2) Plan/Option wise Net Asset Values are as follows:

As on March 31, 2016 Regular Plan - Growth Option Rs. 12.5126 Rs. 11.9162 Rs. 11.9860 (3) No dividend was declared during the half year ended September 30, 2016.

(4) Details of derivatives exposure and transaction:

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30,2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there \ were \ no \ hedging \ Position \ through \ Futures \ as \ on \ September \ 30,2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there \ were \ no \ hedging \ Position \ through \ Futures \ as \ on \ September \ 30,2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there \ were \ no \ hedging \ Position \ through \ Position \ through$ transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016 is Nil.

(5) The Average Maturity Period of the Portfolio is 1.4 years.

(6) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

Birla Sun Life Asset Management Company Limited (Investment Manager for Birla Sun Life Mutual Fund), One Indiabulls Centre, Tower 1, 17th Floor, Jupiter Mill Compound, 841, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400 013. CIN: U65991MH1994PLC080811 www.birlasunlife.com

## ✓ Over 3.2 million investor scheme accounts



This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September 2016.

## Half Yearly Portfolio Statement as on September 30, 2016

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996) BIRLA SUN LIFE FIXED TERM PLAN - SERIES KJ (1499 DAYS)

(A Close Ended Income Scheme)

BIRLA SUN LIFE FIX	ED TERM PLA	N - SERIES KH	(1505 DAYS)		
	lose Ended Incom	,			
	olio as on Septem				
Name of the Instrument	ISIN	Rating	Quantity		% to Net
				Fair Value (Rs in Lacs.)	Assets
Debt Instruments				(KS III Lacs.)	
(a) Listed / awaiting listing on Stock Exchange					
Bajaj Finance Limited (ZCB) **	INE296A07GC6	ICRA AA+	457	5,261.84	20.20%
8.00% National Housing Bank **	INE557F08EW1	CRISIL AAA	457	4,615.95	17.72%
9.12% Housing Development Finance	INE001A07NS2	CRISIL AAA	900	4,543.75	17.44%
Corporation Limited **					
7.95% Steel Authority of India Limited **	INE114A07893	IND AA	196	1,955.89	7.51%
8.38% Steel Authority of India Limited **	INE114A07877	IND AA	150	1,505.19	5.78%
9.28% Power Finance Corporation Limited **	INE134E08AI9	CRISIL AAA	100	1,021.33	3.92%
8.70% Rural Electrification Corporation Limited **	INE020B08815	CRISIL AAA	7	71.15	0.27%
Sub Total				18,975.10	72.83%
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				18,975.10	72.83%
Government Securities					
8.40% Karnataka SDL (MD 27/03/2018)	IN1920070019	SOV	2,500,000	2,549.21	9.78%
9.60% Gujarat SDL(MD 12/03/2018)	IN1520130189	SOV	2,103,500	2,178.13	8.36%
Total				4,727.34	18.14%
Money Market Instruments					
Certificate of Deposit					
ICICI Bank Limited ** #	INE090A161F2	ICRA A1+	1,050	1,021.48	3.92%
IDBI Bank Limited ** #	INE008A16M84	CRISIL A1+	100	97.42	0.37%
Sub Total				1,118.90	4.29%
Total				1,118.90	4.29%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				224.92	0.86%
Sub Total				224.92	0.86%
Total				224.92	0.86%
Net Receivables / (Payables)				1,007.79	3.87%
GRANDTOTAL				26,054.05	100.00%

ZCB - Zero Coupon Bond

\*\* Thinly Traded / Non Traded Security

Counte	r Party de	tails	Details	of the und	lerlying		Details of	Protection		Credit
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

Plan/Option wise Net Asset Values are as follows:

As on September 30, 2016 As on March 31, 2016 Regular Plan - Growth Option Rs. 12.4824 Rs. 11.8932 Regular Plan - Quarterly Dividend Option Rs. 10.7376 Rs. 10.5203 Direct Plan - Growth Option Rs. 12.5770 Rs. 11.9784 Dividend in the following plans was declared during the half year ended September 30, 2016.

Regular Plan - Quarterly Dividend Option Details of derivatives exposure and transaction:

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

 $(b) Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there$ were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016 is Nil.

The Average Maturity Period of the Portfolio is 1.36 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

Portfo	olio as on Septemb	ber 30, 2016			
Name of the Instrument	ISIN	Rating	Quantity	Market/ Fair Value (Rs in Lacs.)	
Debt Instruments				(KS III Lacs.)	-
(a) Listed / awaiting listing on Stock Exchange					
Bajaj Finance Limited (ZCB) **	INE296A07GC6	ICRA AA+	520	5,987,22	20.22%
9.12% Housing Development Finance Corporation Limited **	INE001A07NS2	CRISIL AAA	1,040	5,250.56	17.73%
8.03% Power Finance Corporation Limited **	INE134E08HH6	CRISIL AAA	520	5,246.05	17.71%
7.95% Steel Authority of India Limited **	INE114A07893	IND AA	523	5,219.03	17.62%
8.20% Small Industries Dev Bank of India **	INE556F09460	CARE AAA	277	2,802.18	9.46%
8.70% Rural Electrification Corporation Limited **	INE020B08815	CRISIL AAA	141	1,433.15	4.84%
9.07% Rural Electrification Corporation Limited **	INE020B07DE1	CRISIL AAA	100	1,023.20	3.45%
Sub Total				26,961.39	91.03%
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				26,961.39	91.03%
Money Market Instruments					
Certificate of Deposit					
ICICI Bank Limited ** #	INE090A161F2	ICRA A1+	1,050	1,021.48	3.45%
Andhra Bank ** #	INE434A16MW0	CARE A1+	200	194.51	0.66%
IDBI Bank Limited ** #	INE008A16M84	CRISIL A1+	100	97.42	0.33%
Sub Total				1,313.41	4.43%
Total				1,313.41	4.43%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				10.00	0.03%
Sub Total				10.00	0.03%
Total				10.00	0.03%
Net Receivables / (Payables)				1,332.56	4.50%
GRAND TOTAL				29,617.36	100.00%
ZCB - Zero Coupon Bond					
** Thinly Traded / Non Traded Security					

Counte	r Party de	tails	Details	of the und	lerlying		Details of	Protection		Credit		Г
Name	Rating	Sector	Name of	Amount	Residual	Amount	Tenor	Price /	Premium	event	H	Н
			the	(FV)	Maturity			Spread	paid	payments		
			reference					(BPS)		received	Ш	
			entity									

0.3001

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

Plan As on September 30, 2016 As on March 31, 2016 Regular Plan - Dividend Option Rs. 10.7622 Regular Plan - Growth Option Rs. 12.4406 Rs. 11.8488 Rs. 11.9398 Direct Plan - Growth Option Rs. 12.5333

(3) No dividend was declared during the half year ended September 30, 2016.

Per Unit (Rs.) (4) Details of derivatives exposure and transaction:

(2) Plan/Ontion wise Net Asset Values are as follows:

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

 $(b) Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there$ were no non-hedging transactions through futures which have been squared off/expired.

 $(c)\ Hedging\ Position\ through\ Put\ Options\ as\ on\ September\ 30,\ 2016\ is\ Nil\ and\ For\ the\ half\ year\ ended\ September\ 30,\ 2016\ there\ were$ no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. The Average Maturity Period of the Portfolio is 1.38 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

	lose Ended Inco lio as on Septem				
Name of the Instrument	ISIN	Rating	Quantity		% to Ne
				Fair Value	Assets
			-	(Rs in Lacs.)	
Debt Instruments (a) Listed / awaiting listing on Stock Exchange					
Sundaram BNP Paribas Home Finance Ltd (ZCB) **	INE667F07FD7	CARE AA+	102	1,175.27	19.22%
28% Rural Electrification Corporation Limited **	INE020B08658	CRISIL AAA	104	1,047.63	17.14%
lousing Development Finance Corporation imited (ZCB) **	INE001A07HP0	CRISIL AAA	43	667.27	10.91%
.72% Power Finance Corporation Limited **	INE134E08FC1	CRISIL AAA	3	30.15	0.49%
bub Total				2,920.32	47.77%
b) Privately placed / Unlisted				NIL	NIL
ub Total				NIL	NIL
otal				2,920.32	47.77%
Government Securities					
3.77% Karnataka SDL (MD 21/11/2016)	IN1920120053	SOV	2,500,000	2,506.67	41.00%
Total				2,506.67	41.00%
Ioney Market Instruments					
Certificate of Deposit					
DBI Bank Limited ** #	INE008A16M84	CRISIL A1+	275	267.91	4.38%
DBI Bank Limited ** #	INE008A16N42	CRISIL A1+	250	243.13	3.98%
Sub Total				511.04	8.36%
Total				511.04	8.36%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				30.99	0.51%
Sub Total				30.99	0.51%
Total				30.99	0.51%
Net Receivables / (Payables)				144.63	2.37%
GRANDTOTAL				6,113.65	100.00%

\*\* Thinly Traded / Non Traded Security

#Unlisted Security

Details of transactions in Credit Default Swaps is as follows

Counter Party details		Details of the underlying			Details of Protection				Credit	ı	
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received	
-	-	-	•	-	1	1	1	ı	1	-	
Notes:											

The provision made for Non Performing Assets as of September 30, 2016 is Nil.

(2) Plan/Option wise Net Asset Values are as follows:

	Plan	As on September 30, 2016	As on March 31, 2016
	Regular Plan - Dividend Option	Rs. 11.3627	Rs. 10.9173
	Regular Plan - Growth Option	Rs. 12.4449	Rs. 11.9574
	Direct Plan - Growth Option	Rs. 12.4658	Rs. 11.9673
0	No dividend was declared during the half ve-	or ended Sentember 30, 2016	

(4) Details of derivatives exposure and transaction:

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Position \ Angles \ Position \$ transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. The Average Maturity Period of the Portfolio is 0.28 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

BIRLA SUN LIFE FIXED TERM PLAN - SERIES KG (1099 DAYS)
(A Close Ended Income Scheme)
Portfolio as on September 30, 2016

Name of the Instrument	ISIN	Rating	Quantity	Market/ Fair Value (Rs in Lacs.)	% to Net Assets
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
9.82% Tata Capital Financial Services Ltd **	INE306N07FC8	CRISIL AA+	170	1,709.91	16.77%
9.69% LIC Housing Finance Limited **	INE115A07ES9	CARE AAA	129	1,298.33	12.73%
9.20% Small Industries Dev Bank of India **	INE556F09379	CARE AAA	65	654.85	6.42%
10.05% HDB Financial Services Ltd **	INE756I07373	CRISIL AAA	50	503.90	4.94%
8.90% Power Grid Corporation of India Limited **	INE752E07GH9	CRISIL AAA	40	503.31	4.94%
Sub Total				4,670.30	45.80%
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				4,670.30	45.80%
Money Market Instruments					
Certificate of Deposit					
IDBI Bank Limited ** #	INE008A16M84	CRISIL A1+	475	462.76	4.54%
Sub Total				462.76	4.54%
Total				462.76	4.54%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				4,543.48	44.56%
Sub Total				4,543.48	44.56%
Total				4,543.48	44.56%
Net Receivables / (Payables)				520.12	5.10%
GRANDTOTAL				10,196.66	100.00%
** Thinly Traded / Non Traded Security					

# Unlisted Security

Details of transactions in Credit Default Swaps is as follows

	Counte	er Party de	tails	Details	of the unc	lerlying		Details of Protection			Credit
Na	me	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received
-		-	-	-	-	-	-	-	-	-	-
Materia											

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

Plan/Option wise Net Asset Values are as follows:	lows:	
Plan	As on September 30, 2016	As on March 31, 2016
Regular Plan - Dividend Option	Rs. 11.3879	Rs. 10.9345
Regular Plan - Growth Option	Rs. 12.4940	Rs. 11.9967
Direct Plan - Growth Option	Rs. 12.5102	Rs. 12.0069

No dividend was declared during the half year ended September 30, 2016. Details of derivatives exposure and transaction:

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through options which have been exercised/expired.

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(e) Hedging Positions through swaps as on September 30, 2016 is Nil. The Average Maturity Period of the Portfolio is 0.19 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

### BIRLA SUN LIFE FIXED TERM PLAN - SERIES KI (1099 DAYS) (A Close Ended Income Scheme) Portfolio as on September 30, 2016

Name of the Instrument	ISIN	Rating	Quantity	Market/	
				Fair Value	Assets
Debt Instruments				Rs in Lacs.)	
(a) Listed / awaiting listing on Stock Exchange					
	INE306N07FE4	CRISIL AA+	100	1 267 72	17.540
9.87% Tata Capital Financial Services Ltd **			126	1,267.73	17.54%
9.69% LIC Housing Finance Limited **	INE115A07ES9	CARE AAA	121	1,217.81	16.85%
9.20% Small Industries Dev Bank of India **	INE556F09379	CARE AAA	80	805.96	11.15%
9.28% Rural Electrification Corporation Limited **	INE020B08658	CRISIL AAA	28	282.05	3.90%
Sub Total				3,573.55	49.44%
(b) Privately placed / Unlisted				NIL	NII.
Sub Total				NIL	NII.
Total				3,573.55	49.44%
Money Market Instruments					
Certificate of Deposit					
IDBI Bank Limited ** #	INE008A16M84	CRISIL A1+	300	292.27	4.04%
Sub Total				292.27	4.04%
Total				292.27	4.04%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				2,973.00	41.14%
Sub Total				2,973.00	41.14%
Total				2,973.00	41.14%
Net Receivables / (Payables)				388.60	5.38%
GRAND TOTAL				7,227.42	100.00%

\*\* Thinly Traded / Non Traded Security

#Unlisted Security

ciano or train	and of transactions in creat Detail on app is as follows:									
Counte	r Party de	tails	Details	s of the underlying Details of Protection			Credit			
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-
otes.										

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil (2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2016	As on March 31, 2016	
Regular Plan - Growth Option	Rs. 12.4772	Rs. 11.9842	
Regular Plan - Quarterly Dividend Option	Rs. 10.6862	Rs. 10.5597	
Direct Plan - Growth Option	Rs. 12.4935	Rs. 11.9945	
Dividend in the following plans was declared dur	. Per Unit (Rs.)	(3)	

Regular Plan - Quarterly Dividend Option

(4) Details of derivatives exposure and transaction:

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil.

(5) The Average Maturity Period of the Portfolio is 0.2 years. Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL. BIRLA SUN LIFE FIXED TERM PLAN - SERIES KK (1099 DAYS) (A Close Ended Income Scheme) Portfolio as on September 30, 2016

Name of the Instrument	ISIN	Rating	Quantity	Market/	
			(	Fair Value Rs in Lacs.)	Asset
Debt Instruments			,		
(a) Listed / awaiting listing on Stock Exchange					
Sundaram BNP Paribas Home Finance Ltd (ZCB) **	INE667F07FC9	CARE AA+	102	1,175.11	20.199
9.28% Rural Electrification Corporation Limited **	INE020B08658	CRISIL AAA	95	956.97	16.449
10.05% HDB Financial Services Ltd **	INE756I07373	CRISIL AAA	50	503.90	8.669
Sub Total				2,635.98	45.29%
(b) Privately placed / Unlisted				NIL	NI
Sub Total				NIL	NI
Total				2,635.98	45.299
Government Securities					
3.75% Gujarat SDL (MD 21/11/2016)	IN1520120115	SOV	2,500,000	2,506.62	43.079
Total				2,506.62	43.07%
Money Market Instruments					
Certificate of Deposit					
DBI Bank Limited ** #	INE008A16N42	CRISIL A1+	250	243.13	4.189
IDBI Bank Limited ** #	INE008A16M84	CRISIL A1+	225	219.20	3.77%
Sub Total				462.33	7.94%
Total				462.33	7.94%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				45.98	0.799
Sub Total				45.98	0.79%
Total				45.98	0.79%
Net Receivables / (Payables)				169.33	2.91%
GRANDTOTAL				5,820.24	100.00%

ZCB - Zero Coupon Bond

\*\* Thinly Traded / Non Traded Security

#Unlisted Security

Details of transactions in Credit Default Swaps is as follows

Counte	r Party de	tails	Details	of the und	the underlying Details of Protection		Credit			
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received
-	-	-	-	1	-	-	-	1		-
Jotone										

As on March 31, 2016

27

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil

(2) Plan/Option wise Net Asset Values are as follows:

	Regular Plan - Growth Option	Rs. 12.5134	Rs. 12.0176	
	Regular Plan - Quarterly Dividend Option	Rs. 10.6933	Rs. 10.5681	
	Direct Plan - Dividend Option	Rs. 11.3994	Rs. 10.9428	
	Direct Plan - Growth Option	Rs. 12.5302	Rs. 12.0283	
)	Dividend in the following plans was declared during the h		Per Unit (Rs.)	

Regular Plan - Quarterly Dividend Option (4) Details of derivatives exposure and transaction

transactions through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil.

The Average Maturity Period of the Portfolio is 0.28 years.

(6) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

Birla Sun Life Asset Management Company Limited (Investment Manager for Birla Sun Life Mutual Fund), One Indiabulls Centre, Tower 1, 17th Floor, Jupiter Mill Compound, 841, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400 013. CIN: U65991MH1994PLC080811

SMS GAIN to 567679 Premium SMS charges apply

## ✓ Over 3.2 million investor scheme accounts



This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September 2016.

### Half Yearly Portfolio Statement as on September 30, 2016

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996) BIRLA SUN LIFE FIXED TERM PLAN - SERIES KQ (1099 DAYS)

	lose Ended Incor lio as on Septemb				
Name of the Instrument	ISIN	Rating	Quantity	Market/ Fair Value Rs in Lacs.)	% to Net
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
9.64% L&T Finance Ltd **	INE523E07BD4	ICRA AA+	80	2,026.72	17.09%
9.12% Housing Development Finance Corporation Limited **	INE001A07NS2	CRISIL AAA	400	2,019.45	17.03%
7.95% Steel Authority of India Limited **	INE114A07893	IND AA	200	1,995.80	16.83%
8.70% Rural Electrification Corporation Limited **	INE020B08815	CRISIL AAA	126	1,280.69	10.80%
8.03% Power Finance Corporation Limited **	INE134E08HH6		120	1,210.63	
9.25% Power Grid Corporation of India Limited **	INE752E07JD2	CRISIL AAA	80	1,021.95	8.62%
8.95% Power Finance Corporation Limited **	INE134E08FK4	CRISIL AAA	80	816.34	6.88%
9.06% Rural Electrification Corporation Limited **	INE020B07JB4	CRISIL AAA	10	101.62	0.86%
9.20% Housing Development Finance Corporation Limited **	INE001A07KS8	CRISIL AAA	9	91.67	0.77%
Sub Total				10,564.87	89.09%
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				10,564.87	89.09%
Money Market Instruments					
Certificate of Deposit					
Andhra Bank ** #	INE434A16MW0	CARE A1+	250	243.14	2.05%
Andhra Bank ** #	INE434A16OA2	CARE A1+	50	47.15	0.40%
Sub Total				290.29	2.45%
Treasury Bill					
182 Days Tbill (MD 15/12/2016)	IN002016Y064	SOV	150,000	148.05	1.25%
Sub Total				148.05	1.25%
Total				438.34	3.70%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				30.99	0.26%
Sub Total				30.99	0.26%
Total				30.99	0.26%
Net Receivables / (Payables)				824.73	6.95%
GRANDTOTAL				11,858.93	100.00%

\*\* Thinly Traded / Non Traded Security #Unlisted Security

Details of trails	actions in C	ieun Deiai	iii 3waps is as	lollows .						
Counte	r Party de	tails	Details	Details of the underlying			Details of Protection			
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	1	1

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil Plan/Option wise Net Asset Values are as follows

Plan	As on September 30, 2016	As on March 31, 2016
Regular Plan - Dividend Option	Rs. 11.2968	Rs. 10.7617
Regular Plan - Growth Option	Rs. 12.4373	Rs. 11.8482
Direct Plan - Growth Option	Rs. 12.5045	Rs. 11.9132
Direct Plan - Quarterly Dividend Option	Rs. 10.7394	Rs. 10.5213
Dividend in the following plane was dealers	d during the helf year anded Contember 20	2016 Por Un

Per Unit (Rs.) Direct Plan - Quarterly Dividend Option

Details of derivatives exposure and transaction  $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Position \ Angles \ Position \$ transactions through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through futures which have been squared off/expired (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

BIRLA SUN LIFE FIXED TERM PLAN - SERIES KN (1099 DAYS)

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. The Average Maturity Period of the Portfolio is 1.32 years.

Name of the Instrument	ISIN	Rating	Quantity	Market/ Fair Value (Rs in Lacs.)	% to Ne Asset
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
9.00% Tata Capital Financial Services Ltd **	INE306N07FK1	CRISIL AA+	187	1,881.28	17.59%
9.67% Rural Electrification Corporation Limited **	INE020B07IB6	CRISIL AAA	182	1,838.79	17.20%
9.69% LIC Housing Finance Limited **	INE115A07ES9	CARE AAA	176	1,771.36	16.57%
9.335% Nabha Power Ltd **	INE445L08110	ICRA AAA(SO)	149	1,496.26	13.99%
8.85% Power Grid Corporation of India Limited **	INE752E07KB4	CRISIL AAA	99	1,238.50	11.58%
Sub Total				8,226.19	76.93%
(b) Privately placed / Unlisted				NIL	NII.
Sub Total				NIL	NII.
Total				8,226.19	76.93%
Money Market Instruments					
Certificate of Deposit					
IDBI Bank Limited ** #	INE008A16N42	CRISIL A1+	1,000	972.50	9.09%
ICICI Bank Limited ** #	INE090A161F2	ICRA A1+	525	510.74	4.78%
Punjab & Sind Bank ** #	INE608A16ME7	ICRA A1+	200	194.27	1.82%
Andhra Bank ** #	INE434A16MW0	CARE A1+	150	145.88	1.36%
Sub Total				1,823.39	17.05%
Total				1,823.39	17.05%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				205.93	1.93%
Sub Total				205.93	1.93%
Total				205.93	1.93%
Net Receivables / (Payables)				437.44	4.09%
GRAND TOTAL				10,692,95	100.00%

Thinly Traded / Non Traded Security #Unlisted Security ~ Illiquid Security

Details of transactions in Credit Default Swaps is as follows

Counte	Counter Party details			of the und	lerlying			Credit				
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received		_
-	-	-	-	-	-	-	-	-	-	-	N	0
-4			-								(1	)

The provision made for Non Performing Assets as of September 30, 2016 is Nil Plan/Option wise Net Asset Values are as follows

As on September 30, 2016 As on March 31, 2016 Regular Plan - Growth Option Rs. 12.4294 Rs. 11.9193

(3) No dividend was declared during the half year ended September 30, 2016.

Details of derivatives exposure and transaction

Direct Plan - Growth Option

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ not \ Nil \$ transactions through futures which have been squared off/expired.

Rs. 12.4547

Rs. 11.9387

 $(b) Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there$ were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired.

 $(d) Other than \ Hedging \ Positions \ through \ Options \ as \ on \ September \ 30,2016 \ is \ Nil \ and \ For the half \ year \ ended \ September \ 30,2016 \ there \ and \ September \ 30,2016 \ there \ and \ September \ 30,2016 \ there \ and \ September \ 30,2016 \ there \ 30,2$ were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil The Average Maturity Period of the Portfolio is 0.31 years

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

Market/ % to Net Name of the Instrument Fair Value (Rs in Lacs.) Debt Instruments (a) Listed / awaiting listing on Stock Exchange Bajaj Finance Limited (ZCB) \*\* INE296A07FO3 CRISIL AAA 798.15 17.24% 9.67% Rural Electrification Corporation Limited \*\* INE020B07IB6 CRISIL AAA 9.70% Housing Development Finance Corporation Limited \*\* INE001A07MK1 CRISIL AAA 9.335% Nabha Power Ltd \*\* INE445L08110 ICRA AAA(SO) 763.19 16.48% 3,274.90 70.72% (b) Privately placed / Unlisted NIL 3,274.90 70.72% Money Market Instruments Certificate of Deposit ICICI Bank Limited \*\* # INE090A161F2 ICRA A1+ 510.74 11.03% INE008A16M84 CRISIL A1+ 292.27 6.31% IDBI Bank Limited \*\* # Andhra Bank \*\* # INE434A16MW0 CARE A1+ 97.25 2.10% Axis Bank Limited \*\* # Canara Bank \*\* # INE476A16RG3 CRISIL A1+ 97.05 2.10% 1,094.43 23.63% CBLO / Reverse Repo 3.71% Clearing Corporation of India Ltd 171.94 3.71% 3.71% Sub Total 171.94 Net Receivables / (Payable 89.44 1.93% 4.630.71 100.00% GRANDTOTAL ZCB - Zero Coupon Bond \*\* Thinly Traded / Non Traded Security

BIRLA SUN LIFE FIXED TERM PLAN - SERIES KS (1100 DAYS)

(A Close Ended Income Scheme) Portfolio as on September 30, 2016

#Unlisted Security

Details of transactions in Credit Default Swaps is as follows

											_
	Count	Counter Party details			Details of the underlying			Details of Protection			
	Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received
	-	-	-	-	-	-	-	-	-	-	-
4											

1) The provision made for Non Performing Assets as of September 30, 2016 is Nil

(2) Plan/Option wise Net Asset Values are as follows

Plan	As on September 30, 2016	As on March 31, 201		
Regular Plan - Dividend Option	Rs. 11.3558	Rs. 10.8827		
Regular Plan - Growth Option	Rs. 12.4208	Rs. 11.9032		
Direct Plan - Growth Option	Rs. 12.4365	Rs. 11.9160		

(3) No dividend was declared during the half year ended September 30, 2016.

(4) Details of derivatives exposure and transaction

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ Nil \$ transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

(e) Hedging Positions through swaps as on September 30, 2016 is Nil

The Average Maturity Period of the Portfolio is 0.38 years

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

Name of the Instrument	ISIN	Dating	Onontitu	Moultot/	% to Ne
Name of the Instrument	ISIN	Rating	Quantity	Fair Value	
			(	Rs in Lacs.)	
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
9.00% Tata Capital Financial Services Ltd **	INE306N07FH7	CRISIL AA+	132	1,327.58	17.62%
9.67% Rural Electrification Corporation Limited **	INE020B07IB6	CRISIL AAA	128	1,293.21	17.17%
8.85% Power Grid Corporation of India Limited **	INE752E07KB4	CRISIL AAA	101	1,263.52	16.77%
9.69% LIC Housing Finance Limited **	INE115A07ES9	CARE AAA	94	946.07	12.56%
9.335% Nabha Power Ltd **	INE445L08110	ICRA AAA(SO)	62	622.61	8.26%
Housing Development Finance Corporation Limited (ZCB) **	INE001A07HP0	CRISIL AAA	25	387.95	5.15%
Sub Total				5,840.94	77.53%
(b) Privately placed / Unlisted				NIL	NII
Sub Total				NIL	NII
Total				5,840.94	77.53%
Money Market Instruments					
Certificate of Deposit					
IDBI Bank Limited ** #	INE008A16N42	CRISIL A1+	700	680.75	9.04%
Corporation Bank ** #	INE112A16JK3	CRISIL A1+	350	340.50	4.52%
IDBI Bank Limited ** #	INE008A16M84	CRISIL A1+	225	219.20	2.91%
Sub Total				1,240.45	16.47%
Total				1,240.45	16.47%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				131.96	1.75%
Sub Total				131.96	1.75%
Total				131.96	1.75%
Net Receivables / (Payables)				320.32	4.25%
GRANDTOTAL				7,533.67	100.000

#Unlisted Security

Details of transactions in Credit Default Swaps is as follows

Counte	r Party de	details Details of the underlying			lerlying			Credit		
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil

Plan/Option wise Net Asset Values are as follows: As on September 30, 2016 Regular Plan - Growth Option

As on March 31, 2016 Rs. 12.4599 Rs. 11.9430 Direct Plan - Dividend Option Rs. 11.3919 Rs. 10.9208 Direct Plan - Growth Option Rs. 12.4685 Rs. 11.9529 No dividend was declared during the half year ended September 30, 2016.

Details of derivatives exposure and transaction:

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging s through futures which have been squared off/expired.

 $(b) Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there$ were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. The Average Maturity Period of the Portfolio is 0.3 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

### BIRLA SUN LIFE FIXED TERM PLAN - SERIES KP (1504 DAYS) (A Close Ended Income Scheme) Portfolio as on September 30, 2016

Name of the Instrumen Market/ % to Ne Fair Value (Rs in Lacs.) Debt Instruments (a) Listed / awaiting listing on Stock Exchange 9.64% L&T Finance Ltd \*\* INE523E07BD4 ICRA AA+ 4,053.45 17.44% 8.70% Rural Electrification Corporation Limited \*\* INE020B08815 CRISIL AAA 391 3,974.19 17.10% 7.95% Steel Authority of India Limited \*\* INE114A07893 IND AA 16.53% 3,841.92 9.12% Housing Development Finance Corporation Limited \*\* INE001A07NS2 CRISIL AAA 627 3,165.48 13.62% 8.95% Power Finance Corporation Limited \*\* 2 887 79 12 42% INE134E08FK4 CRISILAAA 1,110.76 9.29% HDB Financial Services Ltd \*\* INE756I07498 CRISIL AAA 4.78% 8.03% Power Finance Corporation Limited \*\* INE134E08HH6 CRISIL AAA 1,029.03 4.43% 8.64% Power Finance Corporation Limited \*\* INE134E08HJ2 CRISIL AAA 210.61 0.91% Sub Total 20,273.23 87.22% (b) Privately placed / Unlisted 20.273.23 87.22% Certificate of Deposit 667.64 2.87% ICICI Bank Limited \* INF434A16MW0\_CAREA1+ Andhra Bank \*\* # 400 389.02 Andhra Bank \*\* # INE434A16OA2 CARE A1+ 300 282.90 1.22% Corporation Bank \*\* # INE112A16KI5 CRISIL A1+ 43.68 0.19% Sub Total 1,383.24 5.95% CBLO / Reverse Repo Clearing Corporation of India Ltd Sub Total 34.99 0.15% Net Receivables / (Payables) 1 552 98 6 68% GRAND TOTAL 23.244.44 100.00% \*\* Thinly Traded / Non Traded Security # Unlisted Security

Details of transactions in Credit Default Swaps is as follows Details of the underlying Counter Party details Details of Protection Price event the Maturity payment Spread (BPS) received reference entity

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil

Plan	As on September 30, 2016	As on March 31, 2016
Regular Plan - Growth Option	Rs. 12.4136	Rs. 11.8257
Direct Plan - Growth Option	Rs. 12.5135	Rs. 11.9186

(3) No dividend was declared during the half year ended September 30, 2016.

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ And \ Nil \ And \ Nil \$ transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil (5) The Average Maturity Period of the Portfolio is 1.32 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

### BIRLA SUN LIFE FIXED TERM PLAN - SERIES KR (1124 DAYS) (A Close Ended Income Scheme Portfolio as on September 30, 2016

Market/ % to Net Name of the Instrument Fair Value (Rs in Lacs.) Debt Instruments (a) Listed / awaiting listing on Stock Exchange Bajaj Finance Limited (ZCB) \*\* 3,850.90 20.12% 3,325.28 17.37% 9.52% Rural Electrification Corporation Limited \*\* INE020B07II1 CRISIL AAA 7.95% Indian Railway Finance Corp Ltd \*\* 9.70% Housing Development Finance Corporation Limited \*\* INF053F07769 CRISIL AAA 3 169 01 INE115A07FG1 CRISIL AAA 9.69% LIC Housing Finance Limited \*\* 100 1.009.86 5.28% Sub Total 13,707.64 71.61% (b) Privately placed / Unlisted Sub Total 13,707.64 8.48% Himachal Pradesh SDL(MD 23/01/2017) 3,515.70 18.37% IN1720120063 SOV 3,500,000 Certificate of Deposit IDBI Bank Limited \*\* # INF008A16M84 CRISILA1+ 487.12 2.54% INE237A16Q42 CRISIL A1+ INE608A16ME7 ICRA A1+ Punjab & Sind Bank \*\* # 194.27 1.01% Corporation Bank \*\* #
Canara Bank \*\* # 169.89 145.57 INE112A16KI5 CRISIL A1+ 0.89% 0.76% 7.22% Sub Total 1,382.75 1.382.75 7.22% CBLO / Reverse Repo
Clearing Corporation of India Ltd 0.13% Sub Total 23.99 0.13% GRANDTOTAL ZCB - Zero Coupon Bond

\* Thinly Traded / Non Traded Security

Details of transactions in Credit Default Swaps is as follows

Counter Party details Details of Protection Credit Details of the underlying

Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received	
-	-	-	-	-	-	-	-	-	-	-	
Notes:	•	•	•			•			•		٠

(1)	The provision made for Non Performing Asse	•									
(2)	Plan/Option wise Net Asset Values are as follows:										
	Plan	Acon Contombou 20, 2016	As on March 31, 2016								
	Tall	As on September 30, 2016	As on March 31, 2010								

Rs. 11.2937

Rs. 10.8235

Rs. 11.9300

No dividend was declared during the half year ended September 30, 2016

Direct Plan - Dividend Option

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Position \ Annual \ Position \ Pos$ transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil

(5) The Average Maturity Period of the Portfolio is 0.45 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

Birla Sun Life Asset Management Company Limited (Investment Manager for Birla Sun Life Mutual Fund), One Indiabulls Centre, Tower 1, 17th Floor, Jupiter Mill Compound, 841, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400 013. CIN: U65991MH1994PLC080811 Call 1-800-270-7000 SMS GAIN to 567679 Premium SMS charges apply www.birlasunlife.com

## ✓ Over 3.2 million investor scheme accounts



Market/ % to Net

964.01 17.65%

962.79 17.62%

949.11 17.37%

3,815.88 69.85%

3,815.88 69.85%

530.61 9.71%

389.02 7.12%

291.41 5.33%

72.81 1.33%

29.99 0.55%

29.99 0.55%

29.99 0.55%

333.59 6.11%

Credit event

avment

received

5,463,31 100,00%

1,283.85 23.50% 1,283.85 23.50%

NIL NIL

NIL NIL

550

400

300

Details of Protection

Price /

Spread (BPS)

As on March 31, 2016

Rs. 10.7735

Rs. 11.7753

Rs. 11.7975

Premiur

Tenor

Fair Value (Rs in Lacs.)

BIRLA SUN LIFE FIXED TERM PLAN - SERIES LC (1099 DAYS) (A Close Ended Income Scheme) Portfolio as on September 30, 2016

INE306N07FQ8 CRISIL AA+

INE134E08HJ2 CRISIL AAA

INE001A07MK1 CRISIL AAA

INE237A16Q42 CRISIL A1+

INE434A16MW0 CARE A1+

INE608A16ME7 ICRA A1+

INE112A16KI5 CRISIL A1+

Details of the underlying

No dividend was declared during the half year ended September 30, 2016

were no non-hedging transactions through futures which have been squared off/expired.

were no non-hedging transactions through options which have been exercised/expired.

transactions through futures which have been squared off/expired.

no hedging transactions through option which have exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

The Average Maturity Period of the Portfolio is 0.46 years.

Residua

Rs. 11.2403

Rs. 12.2856

Rs. 12.3146

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30,2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there \ were \ no \ hedging \ Position \ through \ Futures \ as \ on \ September \ 30,2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there \ were \ no \ hedging \ Position \ through \ Futures \ as \ on \ September \ 30,2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there \ were \ no \ hedging \ Position \ through \ Position \ through$ 

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September 2016.

### Half Yearly Portfolio Statement as on September 30, 2016

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996)

BIRLA SUN LIFE FIX	ED TERM PLAI	N - SERIES KW	(1477 DAYS)		
	lose Ended Incor lio as on Septem				
Name of the Instrument	ISIN	Rating	Quantity	Market/ Fair Value	% to Net
Debt Instruments				(Rs in Lacs.)	
(a) Listed / awaiting listing on Stock Exchange					
Bajaj Finance Limited (ZCB) **	INE296A07GB8	ICRA AA+	120	1.381.63	19.40%
9.12% Housing Development Finance	INE001A07NS2	CRISIL AAA	260	1,312.64	
Corporation Limited **				,	
8.95% Power Finance Corporation Limited **	INE134E08FK4	CRISIL AAA	126	1,285.73	18.06%
8.70% Rural Electrification Corporation Limited **	INE020B08815	CRISIL AAA	28	284.60	4.00%
9.06% Rural Electrification Corporation Limited **	INE020B07JB4	CRISIL AAA	5	50.81	0.71%
Sub Total				4,315.41	60.61%
(b) Privately placed / Unlisted				NIL	NII.
Sub Total				NIL	NII.
Total				4,315.41	60.61%
Government Securities					
9.60% Gujarat SDL(MD 12/03/2018)	IN1520130189	SOV	2,146,000	2,222.14	31.21%
Total				2,222.14	31.21%
Money Market Instruments					
Certificate of Deposit					
Axis Bank Limited ** #	INE238A16I09	CRISIL A1+	200	194.24	2.73%
Andhra Bank ** #	INE434A16OM7	CARE A1+	100	93.89	1.32%
Sub Total				288.13	4.05%
Total				288.13	4.05%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				36.99	0.52%
Sub Total				36.99	0.52%
Total				36.99	0.52%
Net Receivables / (Payables)				257.49	3.62%
GRANDTOTAL				7,120.16	100.00%

ZCB - Zero Coupon Bond

\*\* Thinly Traded / Non Traded Security #Unlisted Security

Details of trans	actions in C	redit Defau	ılt Swaps is as	follows:						
Counter Party details Details of the underlying					Credit					
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil

Plan/Option wise Net Asset Values are as follows:		
Plan	As on September 30, 2016	As on March 31, 2016
Regular Plan - Growth Option	Rs. 12.3888	Rs. 11.7938
Regular Plan - Quarterly Dividend Option	Rs. 10.7432	Rs. 10.5314
Direct Plan - Growth Option	Rs. 12.4421	Rs. 11.8411

Dividend in the following plans was declared during the half year ended September 30, 2016. Per Unit (Rs.) Regular Plan - Quarterly Dividend Option 0.3157

Details of derivatives exposure and transaction: (a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

(A Close Ended Income Scheme)

Portfolio as on September 30, 2016

INE296A07FW6 ICRA AA+

INE020B07II1

INE053F07769 CRISIL AAA

INE001A07MK1 CRISIL AAA

INE001A07HU0 CRISIL AAA

INE134E08HJ2 CRISIL AAA

INE237A16Q42 CRISIL A1+

INE608A16ME7 ICRA A1+

INE090A161F2 ICRA A1+

CRISIL AAA

were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. The Average Maturity Period of the Portfolio is 1.37 years.

Name of the Instrument

(a) Listed / awaiting listing on Stock Exchange

9.52% Rural Electrification Corporation Limited

Bajaj Finance Limited (ZCB) \*\* 7.95% Indian Railway Finance Corp Ltd \*\*

Corporation Limited \*\*

(b) Privately placed / Unlisted

Government Securities

Money Market Instruments

Kotak Mahindra Bank Limited \*\* #

Clearing Corporation of India Ltd

Counter Party details

Regular Plan - Growth Option

Direct Plan - Growth Option

Details of transactions in Credit Default Swaps is as follows

Plan/Option wise Net Asset Values are as follows:

Regular Plan - Quarterly Dividend Option

Regular Plan - Ouarterly Dividend Option

Details of derivatives exposure and transaction

transactions through futures which have been squared off/expired.

no hedging transactions through option which have exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

The Average Maturity Period of the Portfolio is 0.45 years.

Rating Sector

Details of the underlying

Amount Residual

Maturity

As on September 30, 2016

Rs. 12.3529

Rs. 10.6880

Rs. 12.4192

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ Nil$ 

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

 $(d)\ Other\ than\ Hedging\ Positions\ through\ Options\ as\ on\ September\ 30,2016\ is\ Nil\ and\ For\ the\ half\ year\ ended\ September\ 30,2016\ there$ 

Name of

the

entity

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

Dividend in the following plans was declared during the half year ended September 30, 2016.

were no non-hedging transactions through futures which have been squared off/expired.

were no non-hedging transactions through options which have been exercised/expired.

Net Receivables / (Payables)

Certificate of Deposit

Punjab & Sind Bank \*\* #

ICICI Bank Limited \*\* #

CBLO / Reverse Repo

Limited (ZCB) \*\*

Sub Total

Sub Total

Sub Total

GRANDTOTAL

#Unlisted Security

ZCB - Zero Coupon Bono \*\* Thinly Traded / Non Traded Security

9.70% Housing Development Finance

Housing Development Finance Corporation

3.48% Himachal Pradesh SDL(MD 23/01/2017)

Debt Instruments

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL BIRLA SUN LIFE FIXED TERM PLAN - SERIES KT (1119 DAYS)

	lose Ended Incor lio as on Septem					(A Por
Name of the Instrument	ISIN	Rating	Quantity	Market/ Fair Value Rs in Lacs.)	% to Net Assets	Name of the Instrument
Debt Instruments				Ks III Lucs.)		Debt Instruments
(a) Listed / awaiting listing on Stock Exchange						(a) Listed / awaiting listing on Stock Exchange
Bajaj Finance Limited (ZCB) **	INE296A07FW6	ICRA AA+	162	1,845.70	20.14%	9.53% Tata Capital Financial Services Ltd **
Housing Development Finance Corporation Limited (ZCB) **	INE001A07HU0	CRISIL AAA	118	1,829.76	19.96%	8.64% Power Finance Corporation Limited **
7.95% Indian Railway Finance Corp Ltd **	INE053F07769	CRISIL AAA	162	1.624.62	17.73%	9.70% Housing Development Finance
9.52% Rural Electrification Corporation Limited **	INE020B07II1	CRISIL AAA	157	1,586,84	17.31%	Corporation Limited **
9.33% Power Finance Corporation Limited **	INE134E08ED2	CRISIL AAA	10	100.75	1.10%	9.52% Rural Electrification Corporation Limited **
8.64% Power Finance Corporation Limited **	INE134E08HJ2	CRISIL AAA	10	100.29	1.09%	Sub Total
Sub Total				7,087.96	77.34%	(b) Privately placed / Unlisted
(b) Privately placed / Unlisted				NIL	NIL	Sub Total
Sub Total				NIL	NIL	Total
Total				7,087.96	77.34%	Money Market Instruments
Government Securities						Certificate of Deposit
8.48% Himachal Pradesh SDL(MD 23/01/2017)	IN1720120063	SOV	1,500,000	1,506.73	16.44%	Kotak Mahindra Bank Limited ** #
Total				1,506.73	16.44%	Andhra Bank **#
Money Market Instruments						
Certificate of Deposit						Punjab & Sind Bank ** #
ICICI Bank Limited ** #	INE090A161F2	ICRA A1+	150	145.93	1.59%	Corporation Bank ** #
Kotak Mahindra Bank Limited ** #	INE237A16Q42	CRISIL A1+	150	144.71	1.58%	Sub Total
Corporation Bank ** #	INE112A16KI5	CRISIL A1+	65	63.10	0.69%	Total
Sub Total				353.74	3.86%	CBLO / Reverse Repo
Total				353.74	3.86%	Clearing Corporation of India Ltd
CBLO / Reverse Repo						Sub Total
Clearing Corporation of India Ltd				34.99	0.38%	Total
Sub Total				34.99	0.38%	Net Receivables / (Payables)
Total				34.99	0.38%	GRANDTOTAL
Net Receivables / (Payables)				181.74	1.98%	
GRAND TOTAL				9,165.16	100.00%	** Thinly Traded / Non Traded Security

GRAND TOTAL ZCB - Zero Coupon Bond

\*\* Thinly Traded / Non Traded Security #Unlisted Security

etans of trans	actions in C	reun Derai	iit Swaps is as	ionows:							
Counte	r Party de	tails	Details	of the und	lerlying	Details of Protection					
Name	Rating	Sector	Name of	Amount	Residual	Amount	Tenor	Price /	Premium	ev	
	I	1	the	(FV)	Maturity	I	I	Spraad	naid	nav	

١	Counte	r Party de	tails	Details	of the uno	derlying		Details of	Protection	l .	Credit		Name	Kating	Sector	Name of	Amount	Kesiddai	Amount
	Name	Rating	Sector	Name of the reference	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received					the reference entity	(FV)	Maturity	
l				entity					` ′				-	-	-	-	-		-
l	-	-	-	-	-	-	-	-	-	-	-	No	otes:						
ľ	Notes:											(1)	The prov	ision made	for Non Pe	rforming Asse	ts as of Sep	tember 30, 20	16 is Nil.

0.2986

payment

received

Premiur

As on March 31, 2016

Rs. 10.8025

# Unlisted Security

Counter Party details

Plan/Option wise Net Asset Values are as follows

Details of derivatives exposure and transaction:

Regular Plan - Dividend Option

Regular Plan - Growth Option

Direct Plan - Growth Option

Market/ % to Ne Fair Value

2,426.75 20.16%

2,136.07 17.74%

2,092.20 17.38%

1,655.90 13.75%

496.21

80.23 8.887.36 73.82%

> NILNIL

NIL

8,887.36 73.82%

2,008.97 16.699 2,008.97 16.69%

241.18 2.00%

702.73 5.84%

125.96 1.05%

125.96 1.05%

125.96 1.05%

313.54 2.60%

Credit

event

payment

received

0.2966

194.27 1.61%

145.93 1.21%

121.35 1.01%

200

150

Details of Protection

Spread

(BPS)

As on March 31, 2016

Rs. 11.8300

Rs. 10.5228

Rs. 11.9022

Price / Premium

paid

Tenor

NIL

(Rs in Lacs.)

Asset

The provision made for Non Performing Assets as of September 30, 2016 is Nil

1	Plan/Option wise Net Asset Values are as follows:			
	Plan	As on September 30, 2016	As on March 31, 2010	6
	Regular Plan - Dividend Option	Rs. 11.2825	Rs. 10.8198	
	Regular Plan - Growth Option	Rs. 12.3174	Rs. 11.8123	
	Regular Plan - Quarterly Dividend Option	Rs. 10.6856	Rs. 10.5368	
	Direct Plan - Dividend Option	Rs. 11.2931	Rs. 10.8251	
	Direct Plan - Growth Option	Rs. 12.3347	Rs. 11.8235	
1	Dividend in the following plans was declared duri	ing the half year ended September 30, 2016	i.	Per Unit (Rs.)

Regular Plan - Quarterly Dividend Option Details of derivatives exposure and transaction

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

ere no non-hedging transactions through futures which have been squared off/expired (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. The Average Maturity Period of the Portfolio is 0.43 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

## BIRLA SUN LIFE FIXED TERM PLAN - SERIES KX (1475 DAYS) (A Close Ended Income Scheme)

Portfolio as on September 30, 2016

Name of the Instrument	ISIN	Rating	Quantity	Market/	% to Net
				Fair Value	Assets
				(Rs in Lacs.)	
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
8.95% Power Finance Corporation Limited **	INE134E08FK4	CRISIL AAA	120	1,224.51	17.39%
9.12% Housing Development Finance	INE001A07NS2	CRISIL AAA	240	1,211.67	17.20%
Corporation Limited **					
Bajaj Finance Limited (ZCB) **	INE296A07GB8	ICRA AA+	100	1,151.36	16.35%
9.06% Rural Electrification Corporation Limited **	INE020B07JB4	CRISIL AAA	15	152.43	2.16%
7.95% Steel Authority of India Limited **	INE114A07893	IND AA	5	49.90	0.71%
Sub Total				3,789.87	53.81%
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				3,789.87	53.81%
Government Securities					
9.60% Gujarat SDL(MD 12/03/2018)	IN1520130189	SOV	2,555,000	2,645.65	37.56%
Total				2,645.65	37.56%
Money Market Instruments Certificate of Deposit					

INE238A16I09 CRISIL A1+

INE434A16OM7 CARE A1+

Net Receivables / (Payables) GRAND TOTAL ZCB - Zero Coupon Bone

Clearing Corporation of India Ltd

Axis Bank Limited \*\*

CBLO / Reverse Repo

Andhra Bank \*\* #

12,038.56 100.00% \*\* Thinly Traded / Non Traded Security

Details of transactions in Credit Default Swaps is as follows

	Counte	r Party de	tails	Details	of the und	lerlying		Details of	Protectio
ı	Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)
	-	-	-	-	-	-	-	-	-

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil. (2) Plan/Option wise Net Asset Values are as follows

Regular Plan - Dividend Ontion Regular Plan - Growth Option

Rs. 12.4110 Rs. 11.8204 Direct Plan - Growth Option Rs. 12.4299 Rs. 11.8329 Per Unit (Rs.) (3) No dividend was declared during the half year ended September 30, 2016.

(4) Details of derivatives exposure and transaction:

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ Nil$ is through futures which have been squared off/expired.

As on September 30, 2016

Rs. 11.3422

 $(b) Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there$ ere no non-hedging transactions through futures which have been squared off/expired

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there ere no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil

(5) The Average Maturity Period of the Portfolio is 1.37 years Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

### BIRLA SUN LIFE FIXED TERM PLAN - SERIES LA (1099 DAYS) (A Close Ended Income Scheme Portfolio as on September 30, 2016

	% to Net	Name of the Instrument	ISIN	Rating	Quantity		% to Net
air Value in Lacs.)	Assets					Fair Value (Rs in Lacs.)	Assets
,		Debt Instruments					
	4.00.00	(a) Listed / awaiting listing on Stock Exchange					
1,224.51 1,211.67	17.39% 17.20%	Bajaj Finance Limited (ZCB) **	INE296A07FW6	ICRA AA+	105	1,196.29	20.05%
1,211.07	17.20%	7.95% Indian Railway Finance Corp Ltd **	INE053F07769	CRISIL AAA	104	1,042.97	17.48%
1,151.36	16.35%	9.52% Rural Electrification Corporation Limited **	INE020B07II1	CRISIL AAA	102	1,030.94	17.28%
152.43 49.90	2.16% 0.71%	9.70% Housing Development Finance Corporation Limited **	INE001A07MK1	CRISIL AAA	89	898.63	15.06%
3,789.87	53.81%	8.64% Power Finance Corporation Limited **	INE134E08HJ2	CRISIL AAA	55	551.60	9.24%
NIL	NIL	9.33% Power Finance Corporation Limited **	INE134E08ED2	CRISIL AAA	13	130.97	2.20%
NIL	NIL	Sub Total				4,851.40	81.31%
3,789.87	53.81%	(b) Privately placed / Unlisted				NIL	NIL
		Sub Total				NIL	NIL
2,645.65	37.56%	Total				4,851.40	81.31%
2,645.65	37.56%	Government Securities					
		8.48% Himachal Pradesh SDL(MD 23/01/2017)	IN1720120063	SOV	642,800	645.68	10.82%
		Total				645.68	10.82%
194.24	2.76%	Money Market Instruments					
140.84	2.00%	Certificate of Deposit					
335.08	4.76%	Punjab & Sind Bank ** #	INE608A16ME7	ICRA A1+	150	145.70	2.44%
335.08	4.76%	ICICI Bank Limited ** #	INE090A161F2	ICRA A1+	25	24.32	0.41%
		Sub Total				170.02	2.85%
42.99	0.61%	Total				170.02	2.85%
42.99	0.61%	CBLO / Reverse Repo					
42.99	0.61%	Clearing Corporation of India Ltd				78.97	1.32%
229.75	3.26%	Sub Total				78.97	1.32%
7,043.34	100.00%	Total				78.97	1.32%
,		Net Receivables / (Payables)				220.72	3.70%
		GRANDTOTAL				5,966.79	100.00%
		ZCB - Zero Coupon Bond					

\*\* Thinly Traded / Non Traded Security

(2) Plan/Option wise Net Asset Values are as follows:

Counte	r Party de	tails	Details	of the und	lerlying		Details of Protection			
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-

				reference entity	(FV)	Maturity			(BPS)	paid	received
-		-	-	-	-	-	-	-	-	-	-
Notes:			•						•	•	
(1)	he prov	ision made i	for Non Pe	rforming Asse	ts as of Sep	tember 30, 20	16 is Nil.				

As on September 30, 2016 As on March 31, 2016 Regular Plan - Dividend Option Rs. 11.2628 Rs. 10.7973 Rs. 12.3014 Rs. 11.7930 Regular Plan - Growth Option Direct Plan - Growth Option Rs. 12.3307 Rs. 11.8151

(3) No dividend was declared during the half year ended September 30, 2016. Details of derivatives exposure and transaction:

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016 is Nil

(5) The Average Maturity Period of the Portfolio is 0.45 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

Birla Sun Life Asset Management Company Limited (Investment Manager for Birla Sun Life Mutual Fund), One Indiabulls Centre, Tower 1, 17th Floor, Jupiter Mill Compound, 841, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400 013. CIN: U65991MH1994PLC080811 Call 1-800-270-7000 SMS GAIN to 567679 Premium SMS charges apply

## ✓ Over 3.2 million investor scheme accounts



This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September 2016.

## Half Yearly Portfolio Statement as on September 30, 2016

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996) BIRLA SUN LIFE FIXED TERM PLAN - SERIES LI (1173 DAYS)

Port	folio as on Septem	ber 30, 2016			
Name of the Instrument	ISIN	Rating	Quantity	Market/ Fair Value	% to No
				Rs in Lacs.)	ASSE
Debt Instruments			<u> </u>		
(a) Listed / awaiting listing on Stock Exchange					
8.81% Sundaram Finance Limited **	INE660A07MX7	ICRA AA+	43	432.74	17.749
8.69% LIC Housing Finance Limited **	INE115A07GU0	CRISIL AAA	40	401.98	16.489
7.98% Power Finance Corporation Limited **	INE134E08IB7	CRISIL AAA	27	270.78	11.109
Sub Total				1,105.50	45.319
(b) Privately placed / Unlisted				NIL	NI
Sub Total				NIL	NI
Total				1,105.50	45.319
Money Market Instruments					
Certificate of Deposit					
Corporation Bank ** #	INE112A16JK3	CRISIL A1+	300	291.86	11.969
Axis Bank Limited ** #	INE238A16I09	CRISIL A1+	300	291.37	11.949
Vijaya Bank ** #	INE705A16OD9	CARE A1+	280	271.10	11.119
Dena Bank ** #	INE077A16DR6	CRISIL A1+	200	194.58	7.989
Punjab & Sind Bank ** #	INE608A16ME7	ICRA A1+	150	145.70	5.979
Sub Total				1,194.61	48.97%
Total				1,194.61	48.97%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				91.97	3.779
Sub Total				91.97	3.77%
Total				91.97	3.77%
Net Receivables / (Payables)				47.57	1.95%

\* Thinly Traded / Non Traded Security # Unlisted Security

Details of transactions in Credit Default Swaps is as follows

Counte	ounter Party details Details of the underlying Details of Protection					Details of Protection			Credit	
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received
_			_	_	_		_			_

(1) The provision made for Non Performing Assets as of Sentember 30, 2016 is Nil

Plan/Option wise Net Asset Values are as follows

Plan	As on September 30, 2016	As on March 31, 2016
Regular Plan - Dividend Option	Rs. 11.2468	Rs. 10.7943
Regular Plan - Growth Option	Rs. 12.2873	Rs. 11.7929
Direct Plan - Growth Option	Rs. 12.3147	Rs. 11.8138

- No dividend was declared during the half year ended September 30, 2016.

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there (4) were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

 $(d)\ Other\ than\ Hedging\ Positions\ through\ Options\ as\ on\ September\ 30,2016\ is\ Nil\ and\ For\ the\ half\ year\ ended\ September\ 30,2016\ there$ were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil.

The Average Maturity Period of the Portfolio is 0.44 years. Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

BIRLA SUN LIFE FIXED TERM PLAN - SERIES LD (1106 DAYS)

Name of the Instrument	ISIN	Rating	Quantity		% to Net
				Fair Value	Assets
			(1	Rs in Lacs.)	
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
9.40% Power Finance Corporation Limited **	INE134E08ET8		27	273.85	15.89%
9.50% Kotak Mahindra Prime Ltd **	INE916DA7EE8		27	272.96	15.84%
10.25% LIC Housing Finance Limited **	INE115A07429	CRISIL AAA	26	263.87	
9.52% Rural Electrification Corporation Limited **	INE020B07II1	CRISIL AAA	7	70.75	4.10%
8.35% Power Finance Corporation Limited **	INE134E08HL8	CRISIL AAA	3	30.18	1.75%
9.40% National Bank For Agriculture and Rural Development **	INE261F09HK6	CRISIL AAA	2	20.25	1.17%
9.67% Rural Electrification Corporation Limited **	INE020B07IB6	CRISIL AAA	1	10.10	0.59%
Sub Total				941.96	54.65%
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				941.96	54.65%
Government Securities					
8.45% Andhra pradesh SDL (MD 20/06/2017)	IN1020070026	SOV	10,000	10.11	0.59%
Total				10.11	0.59%
Money Market Instruments					
Certificate of Deposit					
Corporation Bank ** #	INE112A16KI5	CRISIL A1+	210	203.86	11.83%
Andhra Bank ** #	INE434A16NO5	CARE A1+	200	191.00	11.08%
ICICI Bank Limited ** #	INE090A166H7	ICRA A1+	200	190.75	11.07%
Punjab & Sind Bank ** #	INE608A16ME7	ICRA A1+	100	97.14	5.64%
Sub Total				682.75	39.61%
Total				682.75	39.61%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				56.98	3.31%
Sub Total				56.98	3.31%
Total				56.98	3.31%
Net Receivables / (Payables)				31.84	1.85%
GRAND TOTAL				1,723,64	100.00%

\*\* Thinly Traded / Non Traded Security

s of transactions in credit Berault bwaps is as follows:									
Counter Party details	Details of the underlying	Details (							

Count	er Party de	Party details Details of the underlying Details of Protection					Details of Protection			Credit	1 -
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received	
-	-	-	-	-	-	-	-	-	-	-	ا ا

Market/ % to Net

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil. (2) Plan/Option wise Net Asset Values are as follows:

As on March 31, 2016 As on September 30, 2016 Regular Plan - Dividend Option Rs. 11.7511 Regular Plan - Growth Option Direct Plan - Dividend Option Rs. 11.2275 Rs. 10.7722 Direct Plan - Growth Option

Rs. 12.2692 No dividend was declared during the half year ended September 30, 2016.

Details of derivatives exposure and transaction:  $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ Nil$ transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there ere no non-hedging transactions through futures which have been squared off/expired.

 $(c)\ Hedging\ Position\ through\ Put\ Options\ as\ on\ September\ 30,\ 2016\ is\ Nil\ and\ For\ the\ half\ year\ ended\ September\ 30,\ 2016\ there\ were$ no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016 is Nil.

The Average Maturity Period of the Portfolio is 0.6 years

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

Name of the Instrument	ISIN	Rating	Quantity	Market/ Fair Value (Rs in Lacs.)	
Debt Instruments					
a) Listed / awaiting listing on Stock Exchange					
.81% Sundaram Finance Limited **	INE660A07MX7	ICRA AA+	141	1,418.99	17.82%
9% LIC Housing Finance Limited **	INE115A07GU0	CRISIL AAA	140	1,406.94	17.67%
5% Power Finance Corporation Limited **	INE134E08HL8	CRISIL AAA	131	1,317.65	16.55%
80% Power Grid Corporation of India Limited **	INE752E07JQ4	CRISIL AAA	42	532.33	6.68%
20% Small Industries Dev Bank of India **	INE556F09379	CAREAAA	47	473.50	5.95%
ub Total				5,149.41	64.66%
o) Privately placed / Unlisted				NIL	NII
ub Total				NIL	NII
otal				5,149.41	64.66%
Ioney Market Instruments					
Certificate of Deposit					
ndhra Bank ** #	INE434A16NU2	CARE A1+	1,000	953.62	11.97%
orporation Bank ** #	INE112A16JK3	CRISIL A1+	750	729.64	9.16%
Totak Mahindra Bank Limited ** #	INE237A16R74	CRISIL A1+	500	478.57	6.01%
xis Bank Limited ** #	INE238A16L95	CRISIL A1+	350	333.31	4.19%
CICI Bank Limited ** #	INE090A166H7	ICRA A1+	100	95.38	1.20%
ub Total				2,590.52	32.53%
Total				2,590.52	32.53%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				23.99	0.30%
Sub Total				23.99	0.30%
Total .				23.99	0.30%
Net Receivables / (Payables)				199.50	2.51%
GRANDTOTAL				7,963.42	100.00%

Details of transactions in Credit Default Swaps is as follows

Counte	r Party de	tails	Details	Details of the underlying			Details of Protection			Credit
Name	Rating	Sector	Name of the	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread	Premium paid	event payments
			reference entity					(BPS)		received
-	-	-	-	-	-	-	-	-	-	-

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

Plan/Option wise Net Asset Values are as follows:

As on September 30, 2016 As on March 31, 2016 Regular Plan - Growth Option Direct Plan - Growth Option Rs. 12.2942 Rs. 11.7767

(3) No dividend was declared during the half year ended September 30, 2016.

(4) Details of derivatives exposure and transaction:

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Position \ Angles \ Position \$ transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through options which have been exercised/expired.

BIRLA SUN LIFE FIXED TERM PLAN - SERIES LJ (1150 DAYS)

Market/ % to Net

Fair Value Asse

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. The Average Maturity Period of the Portfolio is 0.55 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

(A Close Ended Income Scheme) Portfolio as on September 30, 2016							
Name of the Instrument	ISIN	Rating	Quantity				
Debt Instruments			(I				

lue Assets	Fair Value				
:s.)	Rs in Lacs.)	(R			
					ebt Instruments
					a) Listed / awaiting listing on Stock Exchange
.18 11.23%	752.18	75	CRISIL AAA	INE134E08IB7	98% Power Finance Corporation Limited **
.13 3.32%	222.13	22	CRISIL AAA	INE001A07MK1	70% Housing Development Finance
					orporation Limited **
.15 0.30%	20.15	2	CRISIL AAA	INE134E08ED2	33% Power Finance Corporation Limited **
.46 14.85%	994.46				ub Total
NIL NII	NIL				o) Privately placed / Unlisted
NIL NII	NIL				ub Total
.46 14.85%	994.46				otal
					Ioney Market Instruments
					ertificate of Deposit
.45 11.67%	781.45	800	CRISIL A1+	INE008A16K29	OBI Bank Limited ** #
.73 11.619	777.73	800	CRISIL A1+	INE112A16JZ1	orporation Bank ** #
.18 11.599	776.18	800	ICRA A1+	INE528G16F21	es Bank Limited ** #
.27 11.50%	770.27	800	CRISIL A1+	INE238A16I82	xis Bank Limited ** #
	769.80	800	CRISIL A1+	INE237A16P43	otak Mahindra Bank Limited ** #
	558.17	580			CICI Bank Limited ** #
.02 5.81%	389.02	400	CARE A1+	INE434A16MW0	ndhra Bank ** #
.62 72.00%	4,822.62				ub Total
					ommercial Paper
.56 11.64%	779.56	160	ICRA A1+	INE071G14AB1	CICI Home Finance Company Ltd ** #
.56 11.64%	779.56				ub Total
.18 83.63%	5,602.18				otal
					BLO / Reverse Repo
.98 0.94%	62.98				learing Corporation of India Ltd
.98 0.94%	62.98				ub Total
.98 0.94%	62.98				otal
.84 0.58%	38.84				et Receivables / (Payables)
.46 100.00%	6,698.46			·	RAND TOTAL
					Thinly Traded / Non Traded Security
					Thinly Traded / Non Traded Security

# Unlisted Security

Details of transactions in Credit Default Swaps is as follows:

Counte	r Party de	tails	Details	Details of the underlying			Details of Protection			Credit
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-

The provision made for Non Performing Assets as of September 30, 2016 is Nil Plan/Option wise Net Asset Values are as follows:

	Regular Plan - Dividend Option	Rs. 10.3585	Rs. 11.8561				
	Regular Plan - Growth Option	Rs. 12.2998	Rs. 11.8561				
	Regular Plan - Quarterly Dividend Option	N.A.	Rs. 10.8045				
	Direct Plan - Dividend Option	Rs. 10.3656	Rs. 11.9378				
	Direct Plan - Growth Option	Rs. 12.3945	Rs. 11.9378				
(3)	Dividend in the following plans was declared duri	Per Unit (Rs.)					
	Direct Plan - Dividend Option			1.9574			
	Regular Plan - Dividend Option	1.8741					
	Regular Plan - Quarterly Dividend Option						
(4)	Details of derivatives exposure and transaction:						

As on September 30, 2016

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ Nil$ transactions through futures which have been squared off/expired.

 $(b) Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ and \ September \ 30, 2016 \ there \ are \ for \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ are \ for \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ for \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ for \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ for \ year \ for \ year \ yea$ were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. The Average Maturity Period of the Portfolio is 0.47 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL Birla Sun Life Asset Management Company Limited (Investment Manager for Birla Sun Life Mutual Fund), One Indiabulls Centre, Tower 1, 17th Floor, Jupiter Mill Compound, 841, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400 013. CIN: U65991MH1994PLC080811

### BIRLA SUN LIFE FIXED TERM PLAN - SERIES LG (1157 DAYS) (A Close Ended Income Scheme) Portfolio as on September 30, 2016

Name of the Instrument	ISIN	Rating	Quantity	Market/	% to Net
				Fair Value	Assets
			(1	Rs in Lacs.)	
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
9.50% Kotak Mahindra Prime Ltd **	INE916DA7EE8	CRISIL AAA	873	8,825.69	19.09%
9.61% Power Finance Corporation Limited **	INE134E08ER2	CRISIL AAA	401	4,065.37	8.79%
9.40% Power Finance Corporation Limited **	INE134E08ET8	CRISIL AAA	373	3,783.12	8.18%
8.50% LIC Housing Finance Limited **	INE115A07EC3	CRISIL AAA	300	3,013.42	6.52%
9.20% Small Industries Dev Bank of India **	INE556F09379	CARE AAA	266	2,679.83	5.80%
9.70% Housing Development Finance	INE001A07IM5	CRISIL AAA	231	2,340.69	5.06%
Corporation Limited **					
7.98% IDFC Bank Limited **	INE092T08790	ICRA AAA	152	1,521.43	3.29%
8.35% Power Finance Corporation Limited **	INE134E08HL8	CRISIL AAA	35	352.04	0.76%
Sub Total				26,581.59	57.50%
(b) Privately placed / Unlisted					
9.87% Tata Sons Ltd ** #	INE895D08428	CRISIL AAA	50	505.32	1.09%
Sub Total				505.32	1.09%
Total				27,086.91	58.59%
Government Securities					
8.45% Andhra pradesh SDL (MD 20/06/2017)	IN1020070026	SOV	73,000	73.79	0.16%
Total				73.79	0.16%
Money Market Instruments					
Certificate of Deposit					
Axis Bank Limited ** #	INE238A16M03	CRISIL A1+	5,400	5,163.20	11.17%
ICICI Bank Limited ** #	INE090A166H7	ICRA A1+	4,675	4,458.88	9.64%
Andhra Bank ** #	INE434A16NO5	CARE A1+	2,550	2,435.25	5.27%
Andhra Bank ** #	INE434A16NU2	CARE A1+	2,500	2,384.04	5.16%
Canara Bank ** #	INE476A16RG3	CRISIL A1+	550	533.77	1.15%
Sub Total				14,975.14	32.39%
Commercial Paper					
Export Import Bank of India ** #	INE514E14LH9	CRISIL A1+	640	3,062.47	6.62%
Sub Total				3,062.47	6.62%
Treasury Bill					
182 Days Tbill (MD 15/12/2016)	IN002016Y064	SOV	75,000	74.03	0.16%
Sub Total				74.03	0.16%
Total				18,111.64	39.18%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				111.96	0.24%
Sub Total				111.96	0.24%
Total				111.96	0.24%

GRAND TOTAL \*\* Thinly Traded / Non Traded Securit # Unlisted Security

Details of transactions in Credit Default Swans is as follows

Counte	r Party de	tails	Details	Details of the underlying			Details of Protection					Details of Protection			Credit
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received					
	-	-	-	1	-	-	-	-	-	-					

As on March 31, 2016

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil Plan/Option wise Net Asset Values are as follows:

As on March 31, 2016 As on September 30, 2016 Regular Plan - Growth Option Rs. 12.2810 Rs. 11.7792 Direct Plan - Growth Option Rs. 12.3076 Rs. 11.7995 (3) No dividend was declared during the half year ended September 30, 2016.

Details of derivatives exposure and transaction:

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ And \ Nil \ And \ Nil \$ transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. (5) The Average Maturity Period of the Portfolio is 0.64 years.

· ·	Close Ended Incor folio as on Septem	,		
nme of the Instrument	ISIN	Rating	Quantity	Market/ 9 Fair Value Rs in Lacs.)
bt Instruments Listed / awaiting listing on Stock Exchange				
9% LIC Housing Finance Limited **	INE115A07FG1	CRISIL AAA	300	3,029.59

Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
9.69% LIC Housing Finance Limited **	INE115A07FG1	CRISIL AAA	300	3,029.59	17.18%
8.81% Sundaram Finance Limited **	INE660A07MX7	ICRA AA+	300	3,019.12	17.12%
9.61% Power Finance Corporation Limited **	INE134E08ER2	CRISIL AAA	149	1,510.58	8.56%
9.30% Power Grid Corporation of India Limited **	INE752E07JQ4	CRISIL AAA	78	988.60	5.61%
9.40% Power Finance Corporation Limited **	INE134E08ET8	CRISIL AAA	50	507.12	2.88%
8.35% Power Finance Corporation Limited **	INE134E08HL8	CRISIL AAA	3	30.18	0.17%
SubTotal				9,085.19	51.51%
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				9,085.19	51.51%
Government Securities					
8.45% Andhra pradesh SDL (MD 20/06/2017)	IN1020070026	SOV	49,000	49.53	0.28%
Total				49.53	0.28%
Money Market Instruments					
Certificate of Deposit					
Axis Bank Limited ** #	INE238A16M03	CRISIL A1+	2,100	2,007.91	11.38%
Andhra Bank ** #	INE434A16NU2	CARE A1+	1,500	1,430.43	8.11%
Kotak Mahindra Bank Limited ** #	INE237A16R74	CRISIL A1+	825	789.64	4.48%
Andhra Bank ** #	INE434A16NO5	CARE A1+	700	668.50	3.79%
ICICI Bank Limited ** #	INE090A166H7	ICRA A1+	625	596.11	3.38%
Kotak Mahindra Bank Limited ** #	INE237A16Q42	CRISIL A1+	250	241.18	1.37%
Kotak Mahindra Bank Limited ** #	INE237A16P43	CRISIL A1+	200	192.45	1.09%
ICICI Bank Limited ** #	INE090A166G9	ICRA A1+	120	115.48	0.65%
Canara Bank ** #	INE476A16RG3	CRISIL A1+	100	97.05	0.55%
Sub Total				6,138.75	34.81%
Commercial Paper					
Export Import Bank of India ** #	INE514E14LH9	CRISIL A1+	360	1,722.64	9.77%
Sub Total				1,722.64	9.77%
Total				7,861.39	44.57%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				280.91	1.59%
Sub Total				280.91	1.59%
Total				280.91	1.59%
Net Receivables / (Payables)				360.09	2.04%
GRANDTOTAL			<u> </u>	17,637.11	100.00%
** Thinly Traded / Non Traded Security					

Counter Party details Details of Protection Residual Rating Sector Tenor Price / Name of Amount Premium the (FV) Maturity payments reference (BPS) received entity

Details of transactions in Credit Default Swaps is as follows

# Unlisted Security

46,231.00 100.00%

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil. Plan/Option wise Net Asset Values are as follows:

As on September 30, 2016 As on March 31, 2016 Regular Plan - Dividend Option Rs. 11.2292 Rs. 10.7669 Regular Plan - Growth Option Rs. 12.2600 Rs. 11.7553 Rs. 11.7753 Direct Plan - Growth Option Rs. 12.2864 Direct Plan - Quarterly Dividend Option Rs. 10.6746 Rs. 10.5116

Dividend in the following plans was declared during the half year ended September 30, 2016 Direct Plan - Quarterly Dividend Option Details of derivatives exposure and transaction

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging ransactions through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016 is Nil.

The Average Maturity Period of the Portfolio is 0.58 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

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## **✓ Over 3.2 million investor scheme accounts**



This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September 2016.

### Half Yearly Portfolio Statement as on September 30, 2016

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996)

Tortio	lio as on Septem	50, 2010			
Name of the Instrument	ISIN	Rating	Quantity	Market/ Fair Value (Rs in Lacs.)	% to Net Assets
Debt Instruments				(	
(a) Listed / awaiting listing on Stock Exchange					
8.10% Reliance Jio Infocomm Limited	INE110L07054	CRISIL AAA	26	261.97	11.68%
8.33% Indian Railway Finance Corp Ltd **	INE053F07850	CRISIL AAA	25	255.94	11.41%
10.50% Tata Capital Financial Services Ltd **	INE306N07BM6	ICRA AA+	24,000	252.25	11.24%
9.33% Power Finance Corporation Limited **	INE134E08ED2	CRISIL AAA	25	251.87	11.23%
8.65% Rural Electrification Corporation Limited **	INE020B07EG4	CRISIL AAA	24	246.31	10.98%
9.70% India Infradebt Ltd **	INE537P07018	CRISIL AAA	23	237.66	10.59%
7.98% National Bank For Agriculture and Rural Development **	INE261F08592	CRISIL AAA	20	202.94	9.04%
8.58% Export Import Bank of India **	INE514E08EN4	CRISIL AAA	19	191.98	8.56%
8.45% Housing Development Finance Corporation Limited	INE001A07OI1	CRISIL AAA	1	101.33	4.52%
9.06% Rural Electrification Corporation Limited **	INE020B07JB4	CRISIL AAA	1	10.16	0.45%
Sub Total				2,012.41	89.69%
(b) Privately placed / Unlisted				NIL	NII.
Sub Total				NIL	NII.
Total				2,012.41	89.69%
Money Market Instruments					
Certificate of Deposit					
Kotak Mahindra Bank Limited ** #	INE237A16Q42	CRISIL A1+	100	96.47	4.30%
Sub Total				96.47	4.30%
Total				96.47	4.30%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				21.99	0.98%
Sub Total				21.99	0.98%
Total				21.99	0.98%
Net Receivables / (Payables) GRAND TOTAL				112.90 2,243.77	5.03%

#Unlisted Security

Details of transactions in Credit Default Swaps is as follows

Counte	er Party de	tails	Details	of the und	lerlying	Details of Protection			Credit	
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-

The provision made for Non Performing Assets as of September 30, 2016 is Nil.

Plan/Option wise Net Asset Values are as follows: As on September 30, 2016 As on March 31, 2016 Regular Plan - Dividend Option Rs. 10.0096 Regular Plan - Growth Option Rs. 10.4829 Regular Plan - Quarterly Dividend Option Rs. 10.4082 Rs. 10.0096 Direct Plan - Dividend Option Rs. 10.4977 Rs. 10.0104 Direct Plan - Growth Option Rs. 10.4984 Rs. 10.0103 Dividend in the following plans was declared during the half year ended September 30, 2016. Per Unit (Rs.)

Details of derivatives exposure and transaction: (a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging

transactions through futures which have been squared off/expired.  $(b) Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, \ 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, \ 2016 \ there$ were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

BIRLA SUN LIFE FIXED TERM PLAN - SERIES NI (1163 DAYS

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. The Average Maturity Period of the Portfolio is 1.92 years

Regular Plan - Quarterly Dividend Option

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

Name of the Instrument	ISIN	Rating	Quantity	Market/ Fair Value	% to Net Assets	Name of the Instrument
			(	Rs in Lacs.)	7133043	
Debt Instruments						Debt Instruments
(a) Listed / awaiting listing on Stock Exchange						(a) Listed / awaiting listing or
Bajaj Finance Limited (ZCB) **	INE296A07JJ5	IND AAA	130	1,393.93	11.60%	8.06% Small Industries Dev B
HDB Financial Services Ltd (ZCB) **	INE756I07860	CARE AAA	130	1,391.46	11.58%	8.40% ONGC Mangalore Petro
8.33% Indian Railway Finance Corp Ltd **	INE053F07850	CRISIL AAA	134	1,371.86	11.42%	9.70% India Infradebt Ltd **
8.12% Power Finance Corporation Limited **	INE134E08IA9	CRISIL AAA	132	1,340.39	11.15%	Bajaj Finance Limited (ZCB)
8.06% Small Industries Dev Bank of India **	INE556F09593	CARE AAA	130	1,321.06	10.99%	8.10% Reliance Jio Infocomm
7.98% National Bank For Agriculture and	INE261F08592	CRISIL AAA	130	1,319.11	10.98%	9.69% Power Finance Corpora
Rural Development **						8.39% Housing Development l
8.10% Reliance Jio Infocomm Limited	INE110L07054	CRISIL AAA	104	1,047.90	8.72%	Corporation Limited
9.00% Export Import Bank of India **	INE514E08AP7	CRISIL AAA	80	829.89	6.91%	7.98% National Bank For Agri
9.20% Power Grid Corporation of India Limited **	INE752E07FM1	CRISIL AAA	40	520.21	4.33%	
8.72% Housing Development Finance	INE001A07OQ4	CRISIL AAA	5	509.94	4.24%	Rural Development **
Corporation Limited **						9.61% Rural Electrification C
9.61% Rural Electrification Corporation Limited **	INE020B07HZ7	CRISIL AAA	28	292.61	2.43%	8.33% Indian Railway Finance
8.65% Rural Electrification Corporation Limited **	INE020B07EG4	CRISIL AAA	11	112.89	0.94%	9.63% Rural Electrification C
8.55% Reliance Jio Infocomm Limited **	INE110L07013	CRISIL AAA	10	101.43	0.84%	8.12% Power Finance Corpora
Sub Total				11,552.68	96.14%	8.40% Power Grid Corporation
(b) Privately placed / Unlisted				NIL	NIL	Sub Total
Sub Total				NIL	NIL	(b) Privately placed / Unliste
Total				11,552.68	96.14%	Sub Total
Money Market Instruments						Total
Certificate of Deposit						Government Securities
Andhra Bank ** #	INE434A16OA2	CARE A1+	50	47.15	0.39%	8.59% Andhra Pradesh SDL (N
Sub Total				47.15	0.39%	Total
Total				47.15	0.39%	CBLO / Reverse Repo
CBLO / Reverse Repo						Clearing Corporation of India
Clearing Corporation of India Ltd				22.99	0.19%	Sub Total
Sub Total				22.99	0.19%	Total
Total				22.99	0.19%	Net Receivables / (Payables)
Net Receivables / (Payables)				394.14	3.28%	GRANDTOTAL
GRAND TOTAL				12,016.96	100.00%	7CR - Zero Coupon Bond

ZCB - Zero Coupon Bond

\*\* Thinly Traded / Non Traded Security

#Unlisted Security

Details of transactions in Credit Default Swaps is as follows

	Credit		Protection	Details of		lerlying	of the und	Details	tails	r Party de	Counte
	event payments received	Premium paid	Price / Spread (BPS)	Tenor	Amount	Residual Maturity	Amount (FV)	Name of the reference entity	Sector	Rating	Name
N	-	-	-	-	-	-	-	-	-	-	-

0.0741

Market/ % to Net

Fair Value

The provision made for Non Performing Assets as of September 30, 2016 is Nil Plan/Ontion wise Net Asset Values are as follows:

As on March 31, 2016 Regular Plan - Dividend Option Rs. 10.6141 Rs. 10.0781 Regular Plan - Growth Option Regular Plan - Quarterly Dividend Option Rs. 10.6141 Rs 10 0781 Rs. 10.4959 Rs. 10.0781 Direct Plan - Dividend Option Rs. 10.6308 Rs. 10.0798 Direct Plan - Growth Option Direct Plan - Quarterly Dividend Option Rs. 10.5085 Rs. 10.0798 Dividend in the following plans was declared during the half year ended September 30, 2016. Per Unit (Rs.)

Direct Plan - Quarterly Dividend Option Regular Plan - Quarterly Dividend Option Details of derivatives exposure and transaction (a) Hedging Position through Futures as on September 30,2016 is Nil and For the half year ended September 30, 2016 there were no hedging

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.
(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired.
(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. The Average Maturity Period of the Portfolio is 2.35 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

BIRLA SUN LIFE FIXED TERM PLAN - SERIES NK (1196 DAYS) (A Close Ended Income Scheme) Portfolio as on September 30, 2016 Market/ % to Net Name of the Instrument Fair Value (Rs in Lacs.) Debt Instruments a) Listed / awaiting listing on Stock Exchange 1 453 16 11 27% .06% Small Industries Dev Bank of India \*\* INE556F09593 CARE AAA 1,427.88 11.08% .40% ONGC Mangalore Petrochemicals Ltd \*\* INE053T07018 IND AAA INE537P07018 .70% India Infradebt Ltd \*\* CRISIL AAA 1,343.32 10.42% 120 Bajaj Finance Limited (ZCB) \* INE296A07JJ5 IND AAA 1,286.71 9.98% .10% Reliance Jio Infocomm Limited INE110L07054 CRISIL AAA .69% Power Finance Corporation Limited \*\* INE134E07513 CRISIL AAA 105 1.101.17 8.54% INE001A07OH3 CRISIL AAA .39% Housing Development Finance 1,012.71 7.86% 94 .98% National Bank For Agriculture and INE261F08592 CRISIL AAA 953.82 7.40% Rural Development \* 9.61% Rural Electrification Corporation Limited \*\* INE020B07HZ7 CRISILAAA 752.43 5.84% INE053F07850 CRISIL AAA 3.33% Indian Railway Finance Corp Ltd \*\* 552.84 4.29% .63% Rural Electrification Corporation Limited \*\* INE020B07IA8 CRISIL AAA 523.50 4.06% 3.12% Power Finance Corporation Limited \*\* INE134E08IA9 CRISIL AAA 182.78 1.42% INE752E07ML9 ICRA AAA .40% Power Grid Corporation of India Limited \*\* 10.25 0.08% 11,809.69 91.61% b) Privately placed / Unlisted NIL NIL Sub Total 11,809.69 91.61% Sovernment Securities .59% Andhra Pradesh SDL (MD 18/03/2019) 517.84 517.84 4.02% CBLO / Reverse Repo Clearing Corporation of India Ltd 0.459 57.98 0.45% Sub Total 57.98 0.45%

\*\* Thinly Traded / Non Traded Security

Details of transactions in Credit Default Swaps is as follows

Counte	er Party de	tails	Details	Details of the underlying			Details of Protection				
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received	
-	-	-	-	-	-	-	-	-	1	-	
Notes:	, and the second						, and the second				

505.60 3.92%

12,891.11 100.00%

Market/ % to Net

The provision made for Non Performing Assets as of September 30, 2016 is Nil

Plan/Option wise Net Asset Values are as follows:

Plan As on September 30, 2016 As on March 31, 2016 Rs. 10.6863 Regular Plan - Growth Option Rs. 10.1575 Direct Plan - Dividend Option Rs. 10.7048 Rs. 10.1608 Direct Plan - Growth Option No dividend was declared during the half year ended September 30, 2016

(4) Details of derivatives exposure and transaction:

Name of the Instrument

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Position \ through \ Position \ Nil \ And \ Position \ Nil \$ transactions through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. The Average Maturity Period of the Portfolio is 2.36 years.

	*	(A Close Ended Income Scheme) Portfolio as on September 30, 2016				
Name of the Instrument	ISIN	Rating	Quantity			
			(1			

			(Rs i	n Lacs.)	
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
Bajaj Finance Limited (ZCB) **	INE296A07JA4	IND AAA	240	2,584.54	19.399
8.40% ONGC Mangalore Petrochemicals Ltd **	INE053T07018	IND AAA	200	2,039.83	15.309
8.06% Small Industries Dev Bank of India **	INE556F09593	CAREAAA	200	2,032.39	15.259
8.33% Indian Railway Finance Corp Ltd **	INE053F07850	CRISIL AAA	146	1,494.71	11.219
7.98% National Bank For Agriculture and Rural Development **	INE261F08592	CRISIL AAA	106	1,075.58	8.079
LIC Housing Finance Limited (ZCB) **	INE115A07IV4	CRISIL AAA	100	1,073.30	8.059
9.69% Power Finance Corporation Limited **	INE134E07513	CRISIL AAA	95	996.30	7.479
8.72% Power Finance Corporation Limited **	INE134E08FE7	CRISIL AAA	50	513.70	3.859
8.65% Rural Electrification Corporation Limited **	INE020B07EG4	CRISIL AAA	50	513.15	3.859
8.55% Reliance Jio Infocomm Limited **	INE110L07013	CRISIL AAA	42	426.03	3.209
Sub Total			1:	2,749.53	95.669
(b) Privately placed / Unlisted				NIL	NI
Sub Total				NIL	NI
Total			1	2,749.53	95.669
Money Market Instruments					
Certificate of Deposit					
Axis Bank Limited ** #	INE238A16L95	CRISIL A1+	100	95.23	0.719
Andhra Bank ** #	INE434A16OA2	CARE A1+	50	47.15	0.359
Sub Total				142.38	1.07%
Total				142.38	1.07%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				20.99	0.169
Sub Total				20.99	0.169
Total				20.99	0.16%
		•		415.62	3.129
Net Receivables / (Payables)				110102	

Thinly Traded / Non Traded Security #Unlisted Security

Details of transactions in Credit Default Swaps is as follows:

Counte	r Party de	tails	Details	of the und	lerlying		Details of Protection			
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received
_										

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

Plan/Option wise Net Asset Values are as follows: As on September 30, 2016 As on March 31, 2016 Regular Plan - Dividend Option Rs. 10.7079 Rs. 10.7080

Direct Plan - Growth Option No dividend was declared during the half year ended September 30, 2016.

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging (4) transactions through futures which have been squared off/expired.

Rs. 10.7270

Rs. 10.1566

Rs. 10.1566

Rs. 10.1605

 $(b) Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there$ were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired

Call 1-800-270-7000

(e) Hedging Positions through swaps as on September 30, 2016 is Nil.

The Average Maturity Period of the Portfolio is 2.31 years. Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

### BIRLA SUN LIFE FIXED TERM PLAN - SERIES NH (1168 DAYS) (A Close Ended Income Scheme) Portfolio as on September 30, 2016

Name of the Instrument	ISIN	Rating	Quantity	Market/	% to Net
				Fair Value	Assets
			(	(Rs in Lacs.)	
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
9.69% Power Finance Corporation Limited **	INE134E07513	CRISIL AAA	200	2,097.47	18.77%
9.41% IDFC Bank Limited **	INE092T08AL1	ICRA AAA	190	1,939.55	17.35%
8.65% Rural Electrification Corporation Limited **	INE020B07EG4	CRISIL AAA	126	1,293.13	11.57%
8.55% Reliance Jio Infocomm Limited **	INE110L07013	CRISIL AAA	119	1,207.07	10.80%
Bajaj Finance Limited (ZCB) **	INE296A07JA4	IND AAA	100	1,076.89	9.64%
LIC Housing Finance Limited (ZCB) **	INE115A07IV4	CRISIL AAA	100	1,073.30	9.60%
8.05% Small Industries Dev Bank of India **	INE556F09577	CARE AAA	100	1,016.23	9.09%
9.00% Export Import Bank of India **	INE514E08AP7	CRISIL AAA	20	207.47	1.86%
Sub Total				9,911.11	88.68%
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				9,911.11	88.68%
Money Market Instruments					
Certificate of Deposit					
Dena Bank ** #	INE077A16DR6	CRISIL A1+	500	486.46	4.35%
Andhra Bank ** #	INE434A16OA2	CARE A1+	150	141.45	1.27%
Axis Bank Limited ** #	INE238A16L95	CRISIL A1+	100	95.23	0.85%
Sub Total				723.14	6.47%
Total				723.14	6.47%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				24.99	0.22%
Sub Total				24.99	0.22%
Total				24.99	0.22%
Net Receivables / (Payables)				517.48	4.63%
GRAND TOTAL				11,176.72	100.00%
7CD Zaro Coupon Dond					

ZCB - Zero Coupon Bond

\*\* Thinly Traded / Non Traded Security

Details of transactions in Credit Default Swaps is as follows: Details of Protection Counter Party details Details of the underlying

Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-		,	-
otes:										

Direct Plan - Quarterly Dividend Option

Regular Plan - Quarterly Dividend Option

The provision made for Non Performing Assets as of September 30, 2016 is Nil. Plan/Option wise Net Asset Values are as follows

Regular Plan - Dividend Option Rs. 10.6912 Rs. 10.1655 Regular Plan - Growth Option Rs. 10.6912 Rs. 10.1655 Regular Plan - Quarterly Dividend Option Rs. 10.5387 Rs. 10.1655 Rs. 10.7107 Rs. 10.1699 Direct Plan - Quarterly Dividend Option Rs. 10.5537 Rs. 10.1700 (3) Dividend in the following plans was declared during the half year ended September 30, 2016. Per Unit (Rs.)

Details of derivatives exposure and transaction  $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ And \ Nil \ And \ Nil \ And \ Nil \ And$ transactions through futures which have been squared off/expired.  $(b) Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, \ 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, \ 2016 \ there \ and \ September \ 30, \ 2016 \ there \ and \ September \ 30, \ 2016 \ there \ 30, \ 3016 \ there \ 3016$ 

were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil.

(5) The Average Maturity Period of the Portfolio is 2.06 years. Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

Birla Sun Life Asset Management Company Limited (Investment Manager for Birla Sun Life Mutual Fund), One Indiabulls Centre, Tower 1, 17th Floor, Jupiter Mill Compound, 841, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400 013. CIN: U65991MH1994PLC080811

### BIRLA SUN LIFE FIXED TERM PLAN - SERIES NG (1169 DAYS) (A Close Ended Income Scheme)

Portfolio as on September 30, 2016

П	- tunic of the instrument	2021		Quantity.		/0 10 1101
:					Fair Value	Assets
╛					(Rs in Lacs.)	
1	Debt Instruments					
1	(a) Listed / awaiting listing on Stock Exchange					
,	7.98% Small Industries Dev Bank of India **	INE556F09569	CAREAAA	250	2,536.91	18.07%
,	9.41% IDFC Bank Limited **	INE092T08AL1	ICRA AAA	240	2,449.96	17.45%
	8.55% Reliance Jio Infocomm Limited **	INE110L07013	CRISIL AAA	150	1,521.52	10.84%
1	Bajaj Finance Limited (ZCB) **	INE296A07JA4	IND AAA	130	1,399.96	9.97%
1	LIC Housing Finance Limited (ZCB) **	INE115A07IV4	CRISIL AAA	100	1,073.30	7.64%
1	8.12% Power Finance Corporation Limited **	INE134E08IA9	CRISIL AAA	100	1,015.45	7.23%
1	8.65% Rural Electrification Corporation Limited **	INE020B07EG4	CRISIL AAA	89	913.40	6.51%
1	12.52% IOT Utkal Energy Services Limited **	INE310L07464	CRISIL AAA (SO)	430	458.57	3.27%
,	12.52% IOT Utkal Energy Services Limited **	INE310L07456	CRISIL AAA (SO)	430	457.49	3.26%
.	12.52% IOT Utkal Energy Services Limited **	INE310L07480	CRISIL AAA (SO)	387	414.33	2.95%
.	12.52% IOT Utkal Energy Services Limited **	INE310L07472	CRISIL AAA (SO)	387	413.34	2.94%
	9.25% Power Grid Corporation of India Limited **	INE752E07JE0	CRISIL AAA	5	64.91	0.46%
1	12.52% IOT Utkal Energy Services Limited **	INE310L07498	CRISIL AAA (SO)	19	20.39	0.15%
1	Sub Total				12,739.53	90.74%
1	(b) Privately placed / Unlisted				NIL	NIL
1	Sub Total				NIL	NIL
1	Total				12,739.53	90.74%
,	Money Market Instruments					
,	Certificate of Deposit					
.	Axis Bank Limited ** #	INE238A16L95	CRISIL A1+	100	95.23	0.68%
.	Export Import Bank of India ** #	INE514E16AR6	CRISIL A1+	100	94.36	0.67%
	Andhra Bank ** #	INE434A16OA2	CARE A1+	50	47.15	0.34%
1	Sub Total				236.74	1.69%
1	Total				236.74	1.69%
1	CBLO / Reverse Repo					
Ц	Clearing Corporation of India Ltd				18.99	0.14%
	Sub Total				18.99	0.14%
	Total				18.99	0.14%
_	Net Receivables / (Payables)				1,044.15	7.44%
	GRANDTOTAL				14,039.41	100.00%
	7CD Zara Causan Dand					

ZCB - Zero Coupon Bond \*\* Thinly Traded / Non Traded Security

Credit

0.1560

0.1507

Regular Plan - Dividend Option

Regular Plan - Growth Option

Details of transactions in Credit Default Swaps is as follows

Counte	er Party de	tans	Details	of the und	ieriying		Details of	Protection		Credit
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-

-	-	-	entity -	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-	-	-
Notes:										

				entity							
		-	-	-	-	-	-	-	-	-	-
Note	s:										
(1)	The prov	ision made f	for Non Pe	rforming Asse	ts as of Sept	tember 30, 201	6 is Nil.				
(2)	Plan/Opt	ion wise Net	Asset Valu	ies are as follo	ws:						
	Plan				Asor	Sentember 3	0. 2016		s on March	31, 2016	

Direct Plan - Quarterly Dividend Option Rs. 10.5620 Rs. 10.1698 Dividend in the following plans was declared during the half year ended September 30, 2016 Details of derivatives exposure and transaction:

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ And \ Nil \ And \$ transactions through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there vere no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. The Average Maturity Period of the Portfolio is 2.09 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

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Rs. 10.1653

Per Unit (Rs.)

## ✓ Over 3.2 million investor scheme accounts



This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September 2016

### Half Yearly Portfolio Statement as on September 30, 2016

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996) BIRLA SUN LIFE FIXED TERM PLAN - SERIES ND (1109 DAYS)

, -	lose Ended Incor lio as on Septem				
Name of the Instrument	ISIN	Rating	Quantity	Market/ Fair Value	% to Ne
Debt Instruments			(	Rs in Lacs.)	
(a) Listed / awaiting listing on Stock Exchange	INE296A07IU4	INDAAA	400	4 210 01	19.66%
Bajaj Finance Limited (ZCB) **				4,319.81	
8.05% Rural Electrification Corporation Limited ** 9.70% Power Finance Corporation Limited **	INE020B08971	CRISIL AAA CRISIL AAA	400	4,055.91 3,660.09	18.46%
ı	INE134E08EA8		350	. ,	
LIC Housing Finance Limited (ZCB) **	INE115A07EQ3 INE514E08DD7	CRISIL AAA CRISIL AAA	160	2,177.95	9.91%
9.70% Export Import Bank of India **			200	2,091.76	9.52%
9.41% IDFC Bank Limited **	INE092T08AL1	ICRA AAA	190	1,939.55	8.83%
8.45% Indian Railway Finance Corp Ltd **	INE053F09FR6	CRISIL AAA	100	1,027.19	4.68%
9.25% Power Grid Corporation of India Limited **	INE752E07JE0	CRISIL AAA	75	973.72	4.43%
8.55% Reliance Jio Infocomm Limited **	INE110L07013	CRISIL AAA	9	91.29	0.42%
Sub Total				20,337.27	
(b) Privately placed / Unlisted				NIL	NII
Sub Total				NIL	NII
Total				20,337.27	92.56%
Government Securities					
9.20% Tamil Nadu SDL (MD 09/10/2018)	IN3120130114	SOV	500,000	520.59	2.37%
Total				520.59	2.37%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				31.99	0.15%
Sub Total				31.99	0.15%
Total				31.99	0.15%
Net Receivables / (Payables)				1,081.43	4.92%
GRANDTOTAL				21,971.28	100.00%

Thinly Traded / Non Traded Security Details of transactions in Credit Default Swaps is as follows

Counte	r Party de	tails	Details	of the und	lerlying		Details of	Protection		Credit
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-

- (1) The provision made for Non Performing Assets as of September 30, 2016 is Nil
- (2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2016	As on March 31, 2016
Regular Plan - Dividend Option	Rs. 10.7181	Rs. 10.1760
Regular Plan - Growth Option	Rs. 10.7181	Rs. 10.1760
Regular Plan - Quarterly Dividend Option	Rs. 10.5501	Rs. 10.1760
Direct Plan - Dividend Option	Rs. 10.7395	Rs. 10.1821
Direct Plan - Growth Option	Rs. 10.7395	Rs. 10.1821
Dividend in the following plans was declared of	during the half year ended September 30	), 2016. Per Unit (Rs
Regular Plan - Quarterly Dividend Option		0.166

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ And \ Nil \ And \ Nil \ And \ Nil \ And$ transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

BIRLA SUN LIFE FIXED TERM PLAN - SERIES MY (1107 DAYS

(e) Hedging Positions through swaps as on September 30, 2016 is Nil.

The Average Maturity Period of the Portfolio is 2.09 years. Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

	lose Ended Incomi				
Name of the Instrument	ISIN	Rating	Quantity	Market/ Fair Value	% to Net
				Rs in Lacs.)	
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
8.05% Rural Electrification Corporation Limited **	INE020B08971	CRISIL AAA	220	2,230.75	18.75%
9.41% IDFC Bank Limited **	INE092T08AL1	ICRA AAA	210	2,143.71	18.02%
8.28% Power Finance Corporation Limited	INE134E08HU9	CRISIL AAA	150	1,523.98	12.81%
Kotak Mahindra Prime Ltd (ZCB) **	INE916DA7JN8	CRISIL AAA	141	1,191.47	10.02%
9.65% LIC Housing Finance Limited **	INE115A07EP5	CRISIL AAA	50	517.10	4.35%
8.54% NHPC Limited **	INE848E07674	CARE AAA	500	512.40	4.31%
8.60% Housing Development Finance Corporation Limited **	INE001A07OB6	CRISIL AAA	5	507.61	4.27%
National Housing Bank (ZCB) **	INE557F09310	CRISIL AAA	5,000	428.06	3.60%
8.55% Reliance Jio Infocomm Limited **	INE110L07013	CRISIL AAA	10	101.43	0.85%
Sub Total				9,156.51	76.98%
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				9,156.51	76.98%
Government Securities					
8.50% Kerala SDL (MD 08/10/2018)	IN2020080049	SOV	1,780,000	1,829.57	15.38%
Total				1,829.57	15.38%
Money Market Instruments					
Certificate of Deposit					
Andhra Bank ** #	INE434A16OM7	CARE A1+	130	122.06	1.03%
Axis Bank Limited ** #	INE238A16L95	CRISIL A1+	100	95.23	0.80%
Sub Total				217.29	1.83%
Total				217.29	1.83%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				99.97	0.84%
Sub Total				99.97	0.84%
Total				99.97	0.84%
Net Receivables / (Payables)				591.79	4.98%
GRAND TOTAL				11,895.13	

ZCB - Zero Coupon Bond \*\* Thinly Traded / Non Traded Security

Notes:

Counte	r Party de	tails	Details	of the und	erlying		Details of	Protection		Credit	
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received	
-	-	-	-	-	-	-	-	-	-	-	

The provision made for Non Performing Assets as of September 30, 2016 is Nil

Plan/Option wise Net Asset Values are as follows As on March 31, 2016 Plan Regular Plan - Dividend Option As on September 30, 2016 Regular Plan - Growth Option Rs. 10.7445 Rs. 10.2054 Regular Plan - Quarterly Dividend Option Rs. 10.5729 Rs. 10.2054 Rs. 10.7673 Direct Plan - Dividend Option Rs. 10.2126 Direct Plan - Growth Option Rs. 10.7673 Rs. 10.2127 Direct Plan - Quarterly Dividend Option Rs. 10.5894 Rs. 10.2127

(3) Dividend in the following plans was declared during the half year ended September 30, 2016. Per Unit (Rs.) Direct Plan - Quarterly Dividend Option Regular Plan - Quarterly Dividend Option 0.1695 Details of derivatives exposure and transaction (a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging

transactions through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. The Average Maturity Period of the Portfolio is 1.97 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

Portto	lio as on Septem	ber 30, 2016			
Name of the Instrument	ISIN	Rating	Quantity	Market/	
				Fair Value	
				(Rs in Lacs.)	
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
LIC Housing Finance Limited (ZCB) **	INE115A07EQ3		200	2,722.43	
8.28% Power Finance Corporation Limited	INE134E08HU9		240	2,438.36	17.
9.40% IDFC Bank Limited **	INE092T08AK3	ICRA AAA	230	2,342.64	
8.05% Rural Electrification Corporation Limited **	INE020B08971	CRISIL AAA	180	1,825.16	
9.60% Export Import Bank of India **	INE514E08DF2	CRISIL AAA	80	835.58	
9.38% Rural Electrification Corporation Limited **	INE020B07HY0	CRISIL AAA	50	519.34	3.
8.54% NHPC Limited **	INE848E07674	CAREAAA	500	512.40	3.
9.25% Power Grid Corporation of India Limited **	INE752E07JD2	CRISIL AAA	40	510.97	3.
8.65% HDB Financial Services Ltd **	INE756I07670	CRISIL AAA	20	203.02	1.
Sub Total				11,909.90	87.
(b) Privately placed / Unlisted				NIL	
Sub Total				NIL	
Total				11,909.90	87.
Government Securities					
8.50% Kerala SDL (MD 08/10/2018)	IN2020080049	SOV	720,000	740.05	5
Total				740.05	5.
Money Market Instruments					
Certificate of Deposit					
Andhra Bank ** #	INE434A16OM7	CARE A1+	225	211.26	1
Axis Bank Limited ** #	INE238A16L95	CRISIL A1+	125	119.04	0
Sub Total				330.30	2.
Total				330.30	2.
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				30.99	0
Sub Total				30.99	0.
Total				30.99	0.
Net Receivables / (Payables)				664.13	4.
GRANDTOTAL				13,675.37	100.

ZCB - Zero Coupon Bond

\*\* Thinly Traded / Non Traded Security

#Unlisted Security

Details of transactions in Credit Default Swaps is as follows

Credit		Protection	Details of		lerlying	of the und	Counter Party details Details of the u			
event payments received	Premium paid	Price / Spread (BPS)	Tenor	Amount	Residual Maturity	Amount (FV)	Name of the reference entity	Sector	Rating	Name
_								_		

Name of the Instrument

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil (2)

Plan/Option wise Net Asset Values are as follows As on March 31, 2016 As on September 30, 2016 Regular Plan - Dividend Option Rs. 10.7709 Rs. 10.2381 Regular Plan - Growth Option Rs. 10.7709 Rs. 10.2381 Direct Plan - Growth Option

No dividend was declared during the half year ended September 30, 2016 Details of derivatives exposure and transaction:

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016 is Nil.

The Average Maturity Period of the Portfolio is 1.9 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

### (A Close Ended Income Scheme) Portfolio as on September 30, 2016 Name of the Instrument Ouantity Market/ % to Net

Name of the Instrument	ISIN	Kaung	Quantity	Fair Value Rs in Lacs.)	
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
9.40% IDFC Bank Limited **	INE092T08AK3	ICRA AAA	700	7,129.77	18.26%
8.28% Power Finance Corporation Limited	INE134E08HU9	CRISIL AAA	700	7,111.90	18.22%
8.60% Housing Development Finance	INE001A07OB6	CRISIL AAA	55	5,583.69	14.30%
Corporation Limited **					
8.32% Nabha Power Ltd **	INE445L08268	ICRA AAA(SO)	500	5,053.90	12.94%
8.25% Steel Authority of India Limited **	INE114A07919	IND AA	500	5,013.98	12.84%
8.18% Steel Authority of India Limited **	INE114A07927	IND AA	200	2,003.56	5.13%
8.45% LIC Housing Finance Limited **	INE115A07HY0	CRISIL AAA	150	1,516.77	3.88%
9.24% Rural Electrification Corporation Limited **	INE020B07HX2	CRISIL AAA	50	517.66	1.33%
8.35% Nabha Power Ltd **	INE445L08284	ICRA AAA(SO)	50	506.33	1.30%
8.55% Reliance Jio Infocomm Limited **	INE110L07013	CRISIL AAA	5	50.72	0.13%
Sub Total				34,488.28	88.33%
(b) Privately placed / Unlisted				NIL	NII
Sub Total				NIL	NII.
Total				34,488.28	88.33%
Government Securities					
9.60% Gujarat SDL(MD 12/03/2018)	IN1520130189	SOV	1,000,000	1,035.48	2.65%
Total				1,035.48	2.65%
Money Market Instruments					
Certificate of Deposit					
Andhra Bank ** #	INE434A16OM7	CARE A1+	775	727.68	1.86%
Andhra Bank ** #	INE434A16OA2	CARE A1+	625	589.37	1.51%
Corporation Bank ** #	INE112A16KI5	CRISIL A1+	425	412.58	1.06%
Sub Total				1,729.63	4.43%
Total				1,729.63	4.43%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				545.82	1.40%
Sub Total				545.82	1.40%
Total				545.82	1.40%
Net Receivables / (Payables)				1,244.08	
GRANDTOTAL				39,043.29	100.00%

#Unlisted Security

Details of trail	sactions in C	JUGIT DUI	tuit owaps is a	s tonows.						
Counte	r Party de	tails	Details	of the und	lerlying		Details of	Protection		Credit
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-

The provision made for Non Performing Assets as of September 30, 2016 is Nil Plan/Ontion wise Net Asset Values are as follows

,	I fail Option wise the Asset values are as follows.			
	Plan	As on September 30, 2016	As on March 31, 2016	
	Regular Plan - Dividend Option	Rs. 10.8495	Rs. 10.3222	
	Regular Plan - Growth Option	Rs. 10.8500	Rs. 10.3223	
	Regular Plan - Quarterly Dividend Option	Rs. 10.5900	Rs. 10.2764	
	Direct Plan - Growth Option	Rs. 10.8604	Rs. 10.3271	
	Direct Plan - Quarterly Dividend Option	Rs. 10.5967	Rs. 10.2803	
)	Dividend in the following plans was declared duri	ing the half year ended September 30, 2016	. Per Unit (Rs.)	
	Direct Plan - Quarterly Dividend Option		0.2119	,
	Regular Plan - Quarterly Dividend Option		0.2092	

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging through the September 30, 2016 thetransactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016 is Nil.

The Average Maturity Period of the Portfolio is 1.77 years.

Details of derivatives exposure and transaction:

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

### BIRLA SUN LIFE FIXED TERM PLAN - SERIES MX (1128 DAYS) (A Close Ended Income Scheme) Portfolio as on September 30, 2016

Name of the Instrument Market/ % to Net Fair Value Asse (Rs in Lacs.) Debt Instruments (a) Listed / awaiting listing on Stock Exchange 9.24% Rural Electrification Corporation Limited INE020B07HX2 CRISIL AAA 5,694.23 17,989 9.55% LIC Housing Finance Limited \*\* INE115A07EO8 CRISIL AAA 5,676.71 17.92% 5.587.92 17.64% 8.28% Power Finance Corporation Limited INE134E08HU9 CRISIL AAA 550 CRISIL AAA 2,842.34 9.40% IDFC Bank Limited \*\* INE092T08AK3 ICRA AAA 2,546.35 8.04% 10.60% Indian Railway Finance Corp Ltd \*\* 3.35% INE053F09FO3 CRISIL AAA 1,062.41 INE514E08DA3 CRISIL AAA 9.28% Export Import Bank of India \*\* 1,036.44 9.33% Export Import Bank of India \*\* INE514E08DB1 CRISILAAA 622.62 1.97% 8.55% Reliance Jio Infocomm Limited \*\* INE110L07013 CRISIL AAA 1.92% 608.61 9.50% Export Import Bank of India \*\* INE514E08CZ2 CRISIL AAA 8.93% Power Grid Corporation of India Limited \*\* INE752E07LS6 CRISIL AAA 515.09 1.63% 70% Power Grid Corporation of India Limited INE752E07LA4 CRISIL AAA 511.21 12.52% IOT Utkal Energy Services Limited \*\* INE310L07449 CRISIL AAA (SO) 12.52% IOT Utkal Energy Services Limited \*\* INE310L07431 CRISIL AAA (SO) 430 455.30 1.44% 12.52% IOT Utkal Energy Services Limited \*\* INE310L07423 CRISIL AAA (SO) 1.43% 454.19 9.67% Rural Electrification Corporation Limited \*\* INE020B07IB6 CRISIL AAA 101.03 0.32% 28.690.94 90.57% Sub Total (b) Privately placed / Unlisted NIL Sub Total Total 28,690.94 90.57% Money Market Instru Certificate of Deposit Andhra Bank \*\* # INE434A16OM7 CARE A1+ 446.00 1.41% INE112A16KI5 CRISIL A1+ 0.38% ICICI Bank Limited \*\* # INE090A166G9 ICRA A1+ 96.24 0.30% IDBI Bank Limited \*\* # INE008A16N42 CRISIL A1+ 0.15% 48.63 712.22 2.25% CBLO / Reverse Repo Clearing Corporation of India Ltd Sub Total 35.99 0.11% 35.99 0.11% Net Receivables / (Payables) 2,238.57 7.07% GRAND TOTAL 31,677.72 100.00%

\*\* Thinly Traded / Non Traded Security #Unlisted Security

Details of transactions in Credit Default Swaps is as follows Counter Party details Details of the underlying Details of Protection Credit event Rating Sector Name of Amount Residual Price / Premiun (FV) the Maturity Spread paid (BPS) received entity

The provision made for Non Performing Assets as of September 30, 2016 is Nil.

(3)

Plan/Option wise Net Asset Values are as follows: Regular Plan - Dividend Option Rs. 10.9828 Rs. 10.4343 Regular Plan - Growth Option Rs. 10.9828 Rs. 10.4343 Regular Plan - Quarterly Dividend Option Direct Plan - Growth Option Rs. 10.9939 Rs. 10.4396 Dividend in the following plans was declared during the half year ended September 30, 2016. Per Unit (Rs.)

Details of derivatives exposure and transaction: (a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging ons through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

ere no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there vere no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. The Average Maturity Period of the Portfolio is 1.82 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

### BIRLA SUN LIFE FIXED TERM PLAN - SERIES MU (1117 DAYS) (A Close Ended Income Scheme)

Portfolio as on September 30, 2016

Market/ % to Net

				Fair Value	Assets
			(	Rs in Lacs.)	
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
9.73% L & T Housing Finance **	INE476M07727	ICRA AA+	88	2,235.49	18.09%
8.93% National Bank For Agriculture and Rural Development **	INE261F08493	CRISIL AAA	215	2,186.04	17.69%
8.98% Power Finance Corporation Limited **	INE134E08GZ0	CRISIL AAA	200	2,033.53	16.46%
Bajaj Finance Limited (ZCB) **	INE296A07HM3	ICRA AA+	100	1,126.88	9.12%
9.46% LIC Housing Finance Limited **	INE115A07GQ8	CRISIL AAA	100	1,016.53	8.23%
8.55% Reliance Jio Infocomm Limited **	INE110L07013	CRISIL AAA	7	71.00	0.57%
Sub Total				8,669.47	70.17%
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				8,669.47	70.17%
Government Securities					
8.52% West Bengal SDL (MD 28/05/2018)	IN3420080027	SOV	2,500,000	2,557.46	20.70%
Total				2,557.46	20.70%
Money Market Instruments					
Certificate of Deposit					
Axis Bank Limited ** #	INE238A16L95	CRISIL A1+	100	95.23	0.77%
Sub Total				95.23	0.77%
Total				95.23	0.77%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				39.99	0.32%
Sub Total				39.99	0.32%
Total				39.99	0.32%
Net Receivables / (Payables)				992.22	8.03%
GRANDTOTAL				12,354.37	100.00%
ZCB - Zero Coupon Bond	·				
** Thinly Traded / Non Traded Security					
#Unlicted Countity					

#Unlisted Security

Details of transactions in Credit Default Swaps is as follows

- 1	Count	I I alty ut	tans	Details	or the une	itilying		Details of	Trottetion		Cituit
	Name	Rating	Sector	Name of	Amount	Residual	Amount	Tenor	Price /	Premium	event
				the	(FV)	Maturity			Spread	paid	payments
				reference					(BPS)		received
				entity							
ı	-	-	-	-	-	-	-	-	-	-	-
l											
	Notes:										

The provision made for Non Performing Assets as of September 30, 2016 is Nil. Plan/Option wise Net Asset Values are as follows:

As on March 31, 2016 As on September 30, 2016 Regular Plan - Dividend Option Rs. 11.0374 Rs. 10.5389 Regular Plan - Growth Option Rs. 11.0374 Rs. 10.5389 Regular Plan - Quarterly Dividend Option Rs. 10,6628 Rs. 10.4004 Rs. 11.1678 Rs. 10.6118 Direct Plan - Dividend Option Rs. 11.1672 Direct Plan - Growth Option Rs. 10.6112 Rs. 10.7384 Direct Plan - Quarterly Dividend Option Rs. 10.4530 Dividend in the following plans was declared during the half year ended September 30, 2016

Per Unit (Rs.) Direct Plan - Quarterly Dividend Option Regular Plan - Quarterly Dividend Option Details of derivatives exposure and transaction:

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016 is Nil.

The Average Maturity Period of the Portfolio is 1.57 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

Birla Sun Life Asset Management Company Limited (Investment Manager for Birla Sun Life Mutual Fund), One Indiabulls Centre, Tower 1, 17th Floor, Jupiter Mill Compound, 841, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400 013. CIN: U65991MH1994PLC080811 www.birlasunlife.com Call 1-800-270-7000

0.2591

0.2269

## ✓ Over 3.2 million investor scheme accounts



This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September 2016.

## Half Yearly Portfolio Statement as on September 30, 2016

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996)

		-			
Name of the Instrument	ISIN	Rating	Quantity	Market/ Fair Value (Rs in Lacs.)	% to Ne Asset
Debt Instruments				,	
(a) Listed / awaiting listing on Stock Exchange					
9.05% Tata Capital Financial Services Ltd **	INE306N07740	CRISIL AA+	224	2,272.67	17.71%
8.93% National Bank For Agriculture and Rural Development **	INE261F08493	CRISIL AAA	221	2,247.05	17.51%
8.40% Power Finance Corporation Limited **	INE134E08HB9	CRISIL AAA	221	2,245.75	17.50%
8.35% Steel Authority of India Limited **	INE114A07901	IND AA	200	2,007.75	15.65%
8.20% Small Industries Dev Bank of India **	INE556F09478	CARE AAA	137	1,389.30	10.83%
8.8896% Bajaj Finance Limited **	INE296A07GH5	ICRA AA+	100	1,015.26	7.91%
9.06% Rural Electrification Corporation Limited **	INE020B07JB4	CRISIL AAA	44	447.12	3.48%
8.58% Export Import Bank of India **	INE514E08EN4	CRISIL AAA	34	343.54	2.68%
Sub Total				11,968.44	93.28%
(b) Privately placed / Unlisted				NIL	NII
Sub Total				NIL	NII
Total				11,968.44	93.28%
Money Market Instruments					
Certificate of Deposit					
Punjab & Sind Bank ** #	INE608A16ME7	ICRA A1+	150	145.70	1.14%
Sub Total				145.70	1.14%
Total				145.70	1.14%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				70.98	0.55%
Sub Total				70.98	0.55%
Total				70.98	0.55%
Net Receivables / (Payables)				645.09	5.03%
GRANDTOTAL				12,830.21	100.00%

**	Ihinly	Traded /	Non Ir	aded S	ecurity		
#U	nlisted S	Security					

Details of transactions in Credit Default Swaps is as follows

- +											
Н	Credit		Protection	Details of		Details of the underlying			tails	er Party de	Counte
	event payments received	Premium paid	Price / Spread (BPS)	Tenor	Amount	Residual Maturity	Amount (FV)	Name of the reference entity	Sector	Rating	Name
N	-	-	-	-	-	-	-	-	-	-	-

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2016	As on March 31, 2016
Regular Plan - Dividend Option	Rs. 11.2377	Rs. 10.7090
Regular Plan - Growth Option	Rs. 11.2377	Rs. 10.7090
Regular Plan - Quarterly Dividend Option	Rs. 10.7367	Rs. 10.4897
Direct Plan - Dividend Option	Rs. 11.2527	Rs. 10.7179
Direct Plan - Growth Option	Rs. 11.2526	Rs. 10.7179
Dividend in the following plans was declared d	uring the half year ended September 30	, 2016. Per Unit (Rs.)
Regular Plan - Quarterly Dividend Option		0.2675

Details of derivatives exposure and transaction:  $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ half \ year \ no \ hedging \ year \ half \ year \ no \ hedging \ year \ year \ no \ hedging \ year \ year$ transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired. \\ (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. The Average Maturity Period of the Portfolio is 1.54 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

Name of the Instrument	ISIN	Rating	Quantity	Market/ Fair Value	% to Net
			(	Rs in Lacs.)	12000
Debt Instruments				,	
(a) Listed / awaiting listing on Stock Exchange					
8.40% Power Finance Corporation Limited **	INE134E08HB9	CRISIL AAA	169	1,717.34	18.02%
9.05% Tata Capital Financial Services Ltd **	INE306N07740	CRISIL AA+	167	1,694.36	17.77%
8.35% Steel Authority of India Limited **	INE114A07901	IND AA	168	1,686.51	17.69%
8.93% National Bank For Agriculture and Rural Development **	INE261F08493	CRISIL AAA	115	1,169.28	12.27%
9.41% IDFC Bank Limited **	INE092T08AJ5	ICRA AAA	83	843.33	8.85%
12.52% IOT Utkal Energy Services Limited **	INE310L07399	CRISIL AAA (SO)	473	495.99	5.20%
12.52% IOT Utkal Energy Services Limited **	INE310L07381	CRISIL AAA (SO)	473	494.82	5.19%
8.55% Reliance Jio Infocomm Limited **	INE110L07013	CRISIL AAA	40	405.74	4.26%
9.20% Housing Development Finance Corporation Limited **	INE001A07KS8	CRISIL AAA	16	162.97	1.71%
9.12% Housing Development Finance Corporation Limited **	INE001A07NS2	CRISIL AAA	13	65.63	0.69%
8.20% Small Industries Dev Bank of India **	INE556F09460	CARE AAA	1	10.12	0.11%
Sub Total				8,746.09	91.75%
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				8,746.09	91.75%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				67.98	0.71%
Sub Total				67.98	0.71%
Total				67.98	0.71%
Net Receivables / (Payables)	•	•		718.68	7.54%
GRAND TOTAL				9,532.75	100.00%

<sup>\*\*</sup> Thinly Traded / Non Traded Security

Details of transactions in Credit Default Swaps is as follows

Counte	r Party de	tails	Details of the underlying				Details of		Credit	**	
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received	#t
-	-	-	-	-	-	-	-	-	-	-	

Market/ % to Net

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil

(2) Plan/Option wise Net Asset Values are as follows

Plan	As on September 30, 2016	As on March 31, 2016
Regular Plan - Dividend Option	Rs. 11.2493	Rs. 10.7106
Regular Plan - Growth Option	Rs. 11.2493	Rs. 10.7106
Regular Plan - Quarterly Dividend Option	Rs. 10.7457	Rs. 10.4916
Direct Plan - Dividend Option	Rs. 11.2640	Rs. 10.7192
Direct Plan - Growth Option	Rs. 11.2640	Rs. 10.7192
Direct Plan - Quarterly Dividend Option	Rs. 10.7539	Rs. 10.4977
Dividend in the following plans was declared d	uring the half year ended September 30	, 2016. Per Unit (Rs.)
Direct Plan - Quarterly Dividend Option		0.2737

Regular Plan - Quarterly Dividend Option Details of derivatives exposure and transaction

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging$ transactions through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30,2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. The Average Maturity Period of the Portfolio is 1.54 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

Name of the Instrument	ISIN	Rating	Quantity	Market/	% to N
				Fair Value	Asse
				(Rs in Lacs.)	
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
L&T Finance Ltd **	INE523E07CB6	CARE AA+	148	3,753.34	17.94
8.93% National Bank For Agriculture and	INE261F08493	CRISIL AAA	364	3,701.02	17.69
Rural Development **					
8.20% Small Industries Dev Bank of India **	INE556F09478	CAREAAA	363	3,681.13	17.60
8.60% LIC Housing Finance Limited **	INE115A07ED1		200	2,023.71	9.67
L & T Housing Finance **	INE476M07602	ICRA AA+	64	1,622.67	7.76
8.35% Steel Authority of India Limited **	INE114A07901	IND AA	100	1,003.88	4.80
8.58% Export Import Bank of India **	INE514E08EN4		47	474.90	2.27
9.06% Rural Electrification Corporation Limited **	INE020B07JB4	CRISIL AAA	15	152.43	0.73
Sub Total				16,413.08	78.46
(b) Privately placed / Unlisted				NIL	N
Sub Total				NIL	N
Total				16,413.08	78.46
Government Securities					
8.81% Harayana SDL (MD 09/07/2018)	IN1620140039	SOV	2,500,000	2,574.33	12.31
8.45% Andhra pradesh SDL (MD 20/06/2017)	IN1020070026	SOV	123,000	124.33	0.59
Total				2,698.66	12.90
Money Market Instruments					
Certificate of Deposit					
Corporation Bank ** #	INE112A16KI5	CRISIL A1+	200	194.16	0.93
Axis Bank Limited ** #	INE238A16L95	CRISIL A1+	125	119.04	0.57
Sub Total				313.20	1.50
Total				313.20	1.50
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				30.99	0.15
Sub Total				30.99	0.15
Total				30.99	0.15

GRANDTOTAL \*\* Thinly Traded / Non Traded Security

#Unlisted Security

Details of transactions in Credit Default Swaps is as follows

Counte	Counter Party details			Details of the underlying			Details of Protection			
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-

0.2701

The provision made for Non Performing Assets as of September 30,2016 is Nil.

Plan/Option wise Net Asset Values are as follows: As on March 31, 2016 Regular Plan - Growth Option Rs. 11.2082 Rs. 10.6827 Regular Plan - Quarterly Dividend Option Rs. 10.7366 Rs. 10.4948 Direct Plan - Dividend Option

Direct Plan - Growth Option Rs. 11.2766 (3) Dividend in the following plans was declared during the half year ended September 30, 2016. Per Unit (Rs.) Regular Plan - Quarterly Dividend Option

Details of derivatives exposure and transaction

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.  $(b) Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ and \ September \ 30, 2016 \ there \ are \ an \ September \ 30, 2016 \ there \ 30, 20$ were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

 $(d)\ Other\ than\ Hedging\ Positions\ through\ Options\ as\ on\ September\ 30,2016\ is\ Nil\ and\ For\ the\ half\ year\ ended\ September\ 30,2016\ therefore$ were no non-hedging transactions through options which have been exercised/expired

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. The Average Maturity Period of the Portfolio is 1.54 years.

Name of the Instrument

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

Nam	e of the Instrument		ISIN	Rating	Quantity			
	(A Close Ended Income Scheme) Portfolio as on September 30, 2016							
	BIRLA	SUN LIFE FIXED	TERM PLA	AN - SERIES	MK (1105 DAYS)			

		-		Fair Value	Asset
D.1.7			(1	Rs in Lacs.)	
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange	INTEGO ( A 07EC 4	CRISIL AAA	166	1.015.74	20.076
Bajaj Finance Limited (ZCB) **	INE296A07FS4			1,915.74	
8.70% Rural Electrification Corporation Limited **	INE020B08815	CRISIL AAA	155	1,575.45	
8.95% Power Finance Corporation Limited **	INE134E08FK4	CRISIL AAA	150	1,530.63	
9.20% Housing Development Finance Corporation Limited **	INE001A07KS8	CRISIL AAA	150	1,527.86	16.01%
12.52% IOT Utkal Energy Services Limited **	INE310L07373	CRISIL AAA (SO)	516	538.48	5.64%
12.52% IOT Utkal Energy Services Limited **	INE310L07365	CRISIL AAA (SO)	516	537.29	5.639
12.52% IOT Utkal Energy Services Limited **	INE310L07357	CRISIL AAA (SO)	420	436.26	4.579
8.20% Small Industries Dev Bank of India **	INE556F09460	CARE AAA	33	333.83	3,509
Sub Total				8,395.54	87.97%
(b) Privately placed / Unlisted				NIL	NII
Sub Total				NIL	NII
Total				8,395.54	87.97%
Money Market Instruments					
Certificate of Deposit					
Andhra Bank ** #	INE434A16MW0	CARE A1+	200	194.51	2.04%
Punjab & Sind Bank ** #	INE608A16ME7	ICRA A1+	150	145.70	1.53%
Axis Bank Limited ** #	INE238A16L95	CRISIL A1+	125	119.04	1.25%
Sub Total				459.25	4.81%
Total				459.25	4.81%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				34.99	0.379
Sub Total				34.99	0.37%
Total				34.99	0.37%
Net Receivables / (Payables)				654.34	6.86%
GRANDTOTAL		•		9,544.12	100.00%

\*\* Thinly Traded / Non Traded Security

#Unlisted Security

Details of transactions in Credit Default Swaps is as follows

Counte	r Party de	tails	Details	of the und	lerlying	Details of Protection			Credit	
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

Plan/Option wise Net Asset Values are as follows	S:	
Plan	As on September 30, 2016	As on March 31, 2016
Regular Plan - Dividend Option	Rs. 11.4317	Rs. 10.8916
Regular Plan - Growth Option	Rs. 11.4321	Rs. 10.8911
Regular Plan - Quarterly Dividend Option	Rs. 10.7796	Rs. 10.5848
Direct Plan - Growth Option	Rs. 11.4475	Rs. 10.9009
Direct Plan - Quarterly Dividend Option	Rs. 10.7872	Rs. 10.5911
Dividend in the following plans was declared do	uring the half year ended September 30	), 2016. Pe

0.3308 Direct Plan - Quarterly Dividend Option Regular Plan - Quarterly Dividend Option 0.3276 Details of derivatives exposure and transaction: (a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016 is Nil.

The Average Maturity Period of the Portfolio is 1.28 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

transactions through futures which have been squared off/expired.

### BIRLA SUN LIFE FIXED TERM PLAN - SERIES MD (1099 DAYS) (A Close Ended Income Scheme) Portfolio as on September 30, 2016

Name of the Instrument	ISIN	Rating	Quantity	Market/	% to Net
				Fair Value	Assets
			(	Rs in Lacs.)	
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
9.10% Tata Capital Financial Services Ltd **	INE306N07DS9	CRISIL AA+	740	7,483.42	
8.90% Power Finance Corporation Limited **	INE134E08GM8		700	7,105.24	
9.07% IDFC Bank Limited **	INE092T08899	ICRA AAA	650	6,582.01	15.04%
9.29% LIC Housing Finance Limited **	INE115A07FX6	CRISIL AAA	400	4,059.21	9.28%
9.25% Rural Electrification Corporation Limited **	INE020B07IY8	CRISIL AAA	290	2,949.41	6.74%
9.40% Nabha Power Ltd **	INE445L08169	ICRA AAA(SO)	180	1,832.05	4.19%
Housing Development Finance Corporation Limited (ZCB) **	INE001A07JV4	CRISIL AAA	100	1,435.98	3.28%
8.85% Power Grid Corporation of India Limited **	INE752E07KC2	CRISIL AAA	80	1,015.22	2.32%
IDFC Bank Limited (ZCB) **	INE092T08105	ICRA AAA	96	894.38	2.04%
9.30% Housing Development Finance Corporation Limited **	INE001A07JN1	CRISIL AAA	50	507.57	1.16%
9.80% LIC Housing Finance Limited **	INE115A07452	CRISIL AAA	42	428.38	0.98%
8.91% Power Finance Corporation Limited **	INE134E08EZ5	CRISIL AAA	25	253.70	0.58%
9.28% Rural Electrification Corporation Limited **	INE020B08658	CRISIL AAA	6	60.44	0.14%
8.64% Power Finance Corporation Limited **	INE134E08HJ2	CRISIL AAA	3	30.09	0.07%
Sub Total				34,637.10	79.16%
(b) Privately placed / Unlisted					
9.66% Tata Sons Ltd ** #	INE895D08535	CRISIL AAA	100	1,017.03	2.32%
Sub Total				1,017.03	2.32%
Total				35,654.13	81.48%
Government Securities					
8.67% Karnataka SDL (MD 18/07/2017)	IN1920120012	SOV	3,500,000	3,547.58	8.11%
8.45% Andhra pradesh SDL (MD 20/06/2017)	IN1020070026	SOV	147,000	148.59	0.34%
Total				3,696.17	8.45%
Money Market Instruments					
Certificate of Deposit					
Corporation Bank ** #	INE112A16JK3	CRISIL A1+	900	875.57	2.00%
Canara Bank ** #	INE476A16RG3	CRISIL A1+	300	291.15	0.67%
Export Import Bank of India ** #	INE514E16AR6	CRISIL A1+	250	235.89	0.54%
Corporation Bank ** #	INE112A16KI5	CRISIL A1+	175	169.89	0.39%
Axis Bank Limited ** #	INE238A16L95		125	119.04	0.27%
Andhra Bank ** #	INE434A16OM7	CARE A1+	115	107.98	0.25%
Sub Total				1,799.52	4.11%
Total				1,799.52	4.11%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				762.74	1.74%
Sub Total				762.74	1.74%
Total				762.74	1.74%
Net Receivables / (Pavables)				1.845.13	4.22%

GRANDTOTAL

\*\* Thinly Traded / Non Traded Security #Unlisted Security

Details of transactions in Credit Default Swaps is as follows

Details of derivatives exposure and transaction

Counte	Counter Party details Details of the underlying Details of Protection			Credit	П						
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received	
-	-	-	-	-	-	-	1	1	-	-	(
Votes.											

The provision made for Non Performing Assets as of September 30,2016 is Nil.

rian/Option wise ivet Asset values are as follows.		
Plan	As on September 30, 2016	As on March 31, 2016
Regular Plan - Dividend Option	Rs. 11.7242	Rs. 11.2102
Regular Plan - Growth Option	Rs. 11.7242	Rs. 11.2102
Regular Plan - Quarterly Dividend Option	Rs. 10.8031	Rs. 10.6607
Direct Plan - Dividend Option	Rs. 11.7941	Rs. 11.2592
Direct Plan - Growth Option	Rs. 11.7941	Rs. 11.2592
Dividend in the following plans was declared during	ng the half year ended September 3	0, 2016. Per Unit (Rs.)
Regular Plan - Quarterly Dividend Option		0.3424

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016 is Nil. The Average Maturity Period of the Portfolio is 0.94 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

BIRLA SUN LIFE FIXED	TERM PLAN - SERIES MA (1099 DA	AYS)
(A Close	e Ended Income Scheme)	

Portfolio as on September 30, 2016

Market/ % to Net

ı					Fair Value	Assets
				(	Rs in Lacs.)	
1	Debt Instruments					
	(a) Listed / awaiting listing on Stock Exchange					
	Bajaj Finance Limited (ZCB) **	INE296A07DN0		264	3,200.05	
	9.06% Rural Electrification Corporation Limited **	INE020B07JB4	CRISIL AAA	300	3,048.55	
	9.07% IDFC Bank Limited **	INE092T08899	ICRA AAA	250		15.98%
	9.33% National Bank For Agriculture and	INE261F09HM2	CRISIL AAA	150	1,519.97	9.59%
	Rural Development **					
	9.32% Power Finance Corporation Limited **	INE134E08GC9		137	1,392.98	
	9.50% Housing Development Finance	INE001A07JC4	CRISIL AAA	100	1,015.32	6.41%
	Corporation Limited **	D. I	TOTAL	#O	#00 #4	
	9.40% Nabha Power Ltd **	INE445L08151	ICRA AAA(SO)	50	508.74	
	9.27% Power Finance Corporation Limited **	INE134E08EW2		50	508.24	
	9.07% Export Import Bank of India **	INE514E08BL4		41	416.38	
	8.64% Power Finance Corporation Limited **	INE134E08HJ2	CRISIL AAA	7	70.20	
	Sub Total				14,211.97	
	(b) Privately placed / Unlisted				NIL	NIL
	Sub Total				NIL	NIL
	Total				14,211.97	89.71%
	Government Securities					
	8.77% Karnataka SDL (MD 21/11/2016)	IN1920120053	SOV	45,000	45.12	0.28%
	Total				45.12	0.28%
	Money Market Instruments					
	Certificate of Deposit					
	Export Import Bank of India ** #	INE514E16AR6	CRISIL A1+	350	330.25	2.08%
	Canara Bank ** #	INE476A16RG3	CRISIL A1+	200	194.10	1.23%
	Axis Bank Limited ** #	INE238A16L95	CRISIL A1+	200	190.46	1.20%
	Corporation Bank ** #	INE112A16KI5	CRISIL A1+	175	169.89	1.07%
	Sub Total				884.70	5.58%
	Total				884.70	5.58%
	CBLO / Reverse Repo					
	Clearing Corporation of India Ltd				597.80	3.77%
	Sub Total				597.80	3.77%
	Total				597.80	3.77%
	Net Receivables / (Payables)				102.48	0.65%
	GRANDTOTAL				15,842.07	100.00%
J	ZCB - Zero Coupon Bond					
1	th mill make the make the					

\*\* Thinly Traded / Non Traded Security

43,757.69 100.00%

#Unlisted Security

Details of transactions in Credit Default Swaps is as follows Counter Party details Details of the underlying

Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-
Notes:										

Details of Protection

Credit

0.3728

Plan/Option wise Net Asset Values are as follows:

As on March 31, 2016 Regular Plan - Dividend Option Rs. 11.9439 Rs. 11.4141 Regular Plan - Growth Option Regular Plan - Quarterly Dividend Option Rs. 11.9439 Rs. 11.4141 Rs. 10.8916 Direct Plan - Dividend Option Rs. 11.9648 Rs. 11.4289 Direct Plan - Growth Op Rs. 11.4291 Rs. 10.7727 Direct Plan - Quarterly Dividend Option Rs. 10.9006 Dividend in the following plans was declared during the half year ended September 30, 2016

Direct Plan - Quarterly Dividend Option Regular Plan - Quarterly Dividend Option Details of derivatives exposure and transaction  $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Position \ through \ Position \ t$ 

transactions through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. The Average Maturity Period of the Portfolio is 0.89 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

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Birla Sun Life Asset Management Company Limited (Investment Manager for Birla Sun Life Mutual Fund), One Indiabulls Centre, Tower 1, 17th Floor, Jupiter Mill Compound, 841, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400 013. CIN: U65991MH1994PLC080811 Call 1-800-270-7000 SMS GAIN to 567679 Premium SMS charges apply

## ✓ Over 3.2 million investor scheme accounts



This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September 2016.

### Half Yearly Portfolio Statement as on September 30, 2016

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996)

, -	lose Ended Incor lio as on Septem				
Name of the Instrument	ISIN	Rating	Quantity	Market/ Fair Value	% to Ne
Deht Instruments			(	(Rs in Lacs.)	
(a) Listed / awaiting listing on Stock Exchange					
9.25% Rural Electrification Corporation Limited **	INE020B08773	CRISIL AAA	41	417.04	16.49%
9.27% Power Finance Corporation Limited **	INE134E08EW2	CRISIL AAA	41	416.76	16.48%
9.40% LIC Housing Finance Limited **	INE115A07FR8	CRISIL AAA	40	405.74	16.05%
12.52% IOT Utkal Energy Services Limited **	INE310L07316	CRISIL AAA (SO)	379	390.44	15.44%
10.53% Tata Capital Financial Services Ltd **	INE306N07DE9	ICRA AA+	22	224.10	8.86%
9.70% Talwandi Sabo Power Ltd **	INE694L07040	CRISIL AA- (SO)	20	201.48	7.97%
Sub Total				2,055.56	81.29%
(b) Privately placed / Unlisted				NIL	NII
Sub Total				NIL	NII
Total				2,055.56	81.29%
Government Securities					
8.77% Karnataka SDL (MD 21/11/2016)	IN1920120053	SOV	19,000	19.05	0.75%
Total				19.05	0.75%
Money Market Instruments					
Certificate of Deposit					
Punjab & Sind Bank ** #	INE608A16ME7	ICRA A1+	100	97.14	3.84%
IndusInd Bank Limited ** #	INE095A16TO1	CRISIL A1+	100	94.10	3.72%
Sub Total				191.24	7.56%
Total				191.24	7.56%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				101.97	4.03%
Sub Total				101.97	4.03%
Total				101.97	4.03%
Net Receivables / (Payables)				160.91	6.36%
GRANDTOTAL		-		2,528.73	100.00%

#Unlisted Security

Details of transactions in Credit Default Swaps is as follows

Counte	Counter Party details			Details of the underlying			Details of Protection				
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received	
-	-	-	-	-	-	-	-	-	-	-	

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil

Regular Plan - Quarterly Dividend Option

Plan	As on September 30, 2016	As on March 31, 2016	
Regular Plan - Dividend Option	Rs. 12.0458	Rs. 11.4921	
Regular Plan - Growth Option	Rs. 12.0458	Rs. 11.4921	
Regular Plan - Quarterly Dividend Option	Rs. 10.9292	Rs. 10.7872	
Direct Plan - Dividend Option	Rs. 12.0679	Rs. 11.5079	
Direct Plan - Growth Option	Rs. 12.0677	Rs. 11.5078	
Dividend in the following plans was declared d	luring the half year ended September 30	), 2016. Per Unit (Rs.)	

Details of derivatives exposure and transaction: (a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging

transactions through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired

BIRLA SUN LIFE FIXED TERM PLAN - SERIES LQ (1113 DAYS)

(A Close Ended Income Scheme)

Portfolio as on September 30, 2016

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. The Average Maturity Period of the Portfolio is 0.81 years.

Name of the Instrument

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

I otto	lio as on Septem	001 30, 2010			
Name of the Instrument	ISIN	Rating	Quantity	Market/	
			n	Fair Value Rs in Lacs.)	Asset
Debt Instruments			(.	no m LdCS.)	
(a) Listed / awaiting listing on Stock Exchange					
Bajaj Finance Limited (ZCB) **	INE296A07CO0	CRISIL AAA	216	2,658.10	20.27%
9.25% Rural Electrification Corporation Limited **	INE020B08773	CRISIL AAA	213	2,166.56	16.52%
9.32% Power Finance Corporation Limited **	INE134E08GC9		213	2,165.72	
9.40% LIC Housing Finance Limited **	INE115A07FR8		210	2,130.13	16.25%
9.33% National Bank For Agriculture and	INE261F09HM2		190	1,925.30	14.68%
Rural Development **				,	
9.18% Steel Authority of India Limited **	INE114A07836	IND AA	27	272.71	2.08%
Sub Total			=-	11,318.52	
(b) Privately placed / Unlisted				NIL	NII.
Sub Total				NIL	NII.
Total				11,318.52	
Government Securities					
8.77% Karnataka SDL (MD 21/11/2016)	IN1920120053	SOV	19,000	19.05	0.15%
Total			. /===	19.05	0.15%
Money Market Instruments					
Certificate of Deposit					
Canara Bank ** #	INE476A16RG3	CRISIL A1+	500	485.25	3.70%
Axis Bank Limited ** #	INE238A16L95		500	476.16	3.63%
Andhra Bank ** #	INE434A16NO5		200	191.00	1.46%
ICICI Bank Limited ** #	INE090A166H7		100	95.38	0.73%
Sub Total				1,247.79	9.52%
Total				1,247.79	9.52%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				411.86	3.14%
Sub Total				411.86	3.14%
Total				411.86	3.14%
Net Receivables / (Payables)				115.22	0.88%
GRAND TOTAL				13,112.44	

\*\* Thinly Traded / Non Traded Security #Unlisted Security

Details of transactions in Credit Default Swaps is as follows

Direct Plan - Quarterly Dividend Option

Counte	er Party de	tails	Details of the underlying				Details of	Protection		Credit	
Name	Rating	Sector	Name of the	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread	Premium paid	event payments	
			reference entity					(BPS)		received	
_	-	-	_	_	-	_	-	_	_	_	l N

0.3730

Market/ % to Net

35.99 0.50%

35.99 0.50% 184.83 2.57%

7.194.86 100.00%

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

Plan/Option wise Net Asset Values are as follows: (2) As on September 30, 2016 As on March 31, 2016 Regular Plan - Dividend Option Rs. 12.1030 Rs. 11.5779 Regular Plan - Growth Option Rs. 12.1030 Rs. 11.5779 Regular Plan - Quarterly Dividend Option Rs. 10.8243 Rs. 10.9332 Direct Plan - Growth Option Rs. 12.1256 Rs. 11.5942 Direct Plan - Quarterly Dividend Option Rs. 10.9425 Rs. 10.8324 Dividend in the following plans was declared during the half year ended September 30, 2016 Per Unit (Rs.)

Regular Plan - Quarterly Dividend Option Details of derivatives exposure and transaction (a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging Position to the half year ended September 30, 2016 the half year ended September 30, 201

transactions through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.
(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through options which have been exercised/expired.

ons through swaps as on September 30, 2016 is Nil.

The Average Maturity Period of the Portfolio is 0.81 years. Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

BIRLA SUN LIFE FIX	TERM PLA  Close Ended Incom		104 DAYS)		
	olio as on Septem				
Name of the Instrument	ISIN	Rating	Quantity	Market/ Fair Value	% to Ne
			(	Rs in Lacs.)	113304
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
Bajaj Finance Limited (ZCB) **	INE296A07BW5	ICRA AA+	80	987.10	20.15%
9.25% Rural Electrification Corporation Limited **	INE020B07IY8	CRISIL AAA	80	813.63	16.61%
9.27% Power Finance Corporation Limited **	INE134E08EW2	CRISIL AAA	80	813.19	16.60%
9.18% Steel Authority of India Limited **	INE114A07836	IND AA	73	737.32	15.05%
12.52% IOT Utkal Energy Services Limited **	INE310L07308	CRISIL AAA (SO)	602	619.13	12.64%
9.70% Housing Development Finance	INE001A07IM5	CRISIL AAA	19	192.52	3.93%
Corporation Limited **					
Sub Total				4,162.89	84.96%
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				4,162.89	84.96%
Government Securities					
8.77% Karnataka SDL (MD 21/11/2016)	IN1920120053	SOV	65,000	65.17	1.33%
Total				65.17	1.33%
Money Market Instruments					
Certificate of Deposit					
Canara Bank ** #	INE476A16RG3	CRISIL A1+	225	218.36	4.46%
Axis Bank Limited ** #	INE238A16L95	CRISIL A1+	200	190.46	3.89%
ICICI Bank Limited ** #	INE090A166H7	ICRA A1+	50	47.69	0.97%
Sub Total				456.51	9.32%
Total				456.51	9.32%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				20.99	0.43%
Sub Total				20.99	0.43%
Total				20.99	0.43%
Net Receivables / (Payables)				194.22	3.96%
GRANDTOTAL				4,899.78	100.00%

ZCB - Zero Coupon Bond \*\* Thinly Traded / Non Traded Security

#Unlisted Security

Details of transactions in Credit Default Swaps is as follows

Counte	Counter Party details			Details of the underlying			Details of Protection			
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	1	1	-
Voton										

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

0.3817

Direct Plan - Growth Option

Plan/Option wise Net Asset Values are as follows As on March 31, 2016 As on September 30, 2016 Regular Plan - Dividend Option Regular Plan - Growth Option Rs. 12.1000 Rs. 11.5925 Regular Plan - Quarterly Dividend Option Rs. 10.9235 Rs. 10.8272 Rs. 12.1590 Rs. 11.6362 Direct Plan - Dividend Option

Direct Plan - Quarterly Dividend Option Rs. 10.9475 Rs. 10.8484 Dividend in the following plans was declared during the half year ended September 30, 2016. Per Unit (Rs.) Direct Plan - Quarterly Dividend Option 0.3842 Regular Plan - Quarterly Dividend Option

Details of derivatives exposure and transaction: transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil.

The Average Maturity Period of the Portfolio is 0.81 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

				Fair Value	Asset
			(	Rs in Lacs.)	
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
9.40% Rural Electrification Corporation Limited **	INE020B08757	CRISIL AAA	127	1,290.18	17.939
9.00% Tata Capital Financial Services Ltd **	INE306N07GS2	CRISIL AA+	128	1,287.57	17.909
8.35% Power Finance Corporation Limited **	INE134E08HL8	CRISIL AAA	128	1,287.47	17.899
9.69% LIC Housing Finance Limited **	INE115A07FG1	CRISIL AAA	124	1,252.23	17.409
Sub Total				5,117.45	71.13%
(b) Privately placed / Unlisted				NIL	NII
Sub Total				NIL	NII
Total				5,117.45	71.13%
Government Securities					
8.67% Karnataka SDL (MD 18/07/2017)	IN1920120012	SOV	1,150,000	1,165.63	16.209
8.45% Andhra pradesh SDL (MD 20/06/2017)	IN1020070026	SOV	49,000	49.53	0.699
Total				1,215.16	16.89%
Money Market Instruments					
Certificate of Deposit					
Axis Bank Limited ** #	INE238A16L95	CRISIL A1+	250	238.08	3.319
Andhra Bank ** #	INE434A16NO5	CARE A1+	220	210.10	2.929
Kotak Mahindra Bank Limited ** #	INE237A16Q42	CRISIL A1+	150	144.71	2.019
Corporation Bank ** #	INE112A16KI5	CRISIL A1+	50	48.54	0.679
Sub Total				641.43	8.92%
Total				641.43	8.92%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				35.99	0.509

\*\* Thinly Traded / Non Traded Security #Unlisted Security

Net Receivables / (Payables) GRANDTOTAL

Details of transactions in Credit Default Swaps is as follows:

Counte	r Party de	tails	Details of the underlying					Credit		
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-

Sub Total

Total

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil. Plan/Option wise Net Asset Values are as follows:

As on March 31, 2016 Rs. 11.1053 Rs. 10.6304 Regular Plan - Growth Option Direct Plan - Growth Option No dividend was declared during the half year ended September 30, 2016.

Details of derivatives exposure and transaction:

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016 is Nil.

The Average Maturity Period of the Portfolio is 0.65 years. Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

### BIRLA SUN LIFE FIXED TERM PLAN - SERIES LO (1142 DAYS) (A Close Ended Income Scheme) Portfolio as on September 30,2016

Name of the Instrument	ISIN	Rating	Quantity	Market/ Fair Value (Rs in Lacs.)	% to Net Assets
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
9.70% Housing Development Finance Corporation Limited **	INE001A07MK1	CRISIL AAA	18	181.75	16.64%
9.40% Rural Electrification Corporation Limited **	INE020B08757	CRISIL AAA	17	172.70	15.81%
Sub Total				354.45	32.45%
(b) Privately placed / Unlisted					
9.68% Tata Sons Ltd ** #	INE895D08394	CRISIL AAA	18	180.95	16.57%
Sub Total				180.95	16.57%
Total				535.40	49.02%
Government Securities					
8.45% Andhra pradesh SDL (MD 20/06/2017)	IN1020070026	SOV	49,000	49.53	4.53%
Total				49.53	4.53%
Money Market Instruments					
Certificate of Deposit					
Andhra Bank ** #	INE434A16NO5	CARE A1+	130	124.15	11.37%
Punjab & Sind Bank ** #	INE608A16ME7	ICRA A1+	100	97.14	8.89%
Sub Total				221.29	20.26%
Treasury Bill					
182 Days Tbill (MD 15/12/2016)	IN002016Y064	SOV	225,000	222.08	20.33%
Sub Total				222.08	20.33%
Total				443.37	40.60%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				35.99	3.30%
Sub Total				35.99	3.30%
Total				35.99	3.30%
Net Receivables / (Payables)				27.85	2.55%
GRAND TOTAL				1,092.14	100.00%

\*\* Thinly Traded / Non Traded Security #Unlisted Security

Details of transactions in Credit Default Swaps is as follows:

Counte	r Party de	tails	Details of the underlying			Details of Protection				Credit	H
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price / Spread (BPS)	Premium paid	event payments received	N ()
-	-	-	-	-	-		-	-	-		

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil. Plan/Option wise Net Asset Values are as follows:

As on September 30, 2016 As on March 31, 2016 Plan Regular Plan - Dividend Option Rs. 11.1060 Rs. 10.6737 Regular Plan - Growth Option Rs. 12.0915 Rs. 11.6208 Rs. 10.4573 Rs. 10.6147 Regular Plan - Quarterly Dividend Option Direct Plan - Growth Option Rs. 12.1165 Rs. 11.6397 Per Unit (Rs.) Dividend in the following plans was declared during the half year ended September 30, 2016. Regular Plan - Quarterly Dividend Option

Details of derivatives exposure and transaction (a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging ransactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through futures which have been squared off/expired.
(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. The Average Maturity Period of the Portfolio is 0.44 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

### BIRLA SUN LIFE FIXED TERM PLAN - SERIES LL (1104 DAYS) (A Close Ended Income Scheme) Portfolio as on September 30, 2016

Name of the Instrument	ISIN	Rating	Quantity (	Market/ Fair Value Rs in Lacs.)	
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
9.70% Sundaram Finance Limited **	INE660A07KT9	ICRA AA+	50	505.42	11.47%
9.00% Tata Capital Financial Services Ltd **	INE306N07GS2	CRISIL AA+	50	502.96	11.41%
7.98% Power Finance Corporation Limited **	INE134E08IB7	CRISIL AAA	50	501.45	11.38%
Sub Total				1,509.83	34.25%
(b) Privately placed / Unlisted				NIL	NII.
Sub Total				NIL	NII.
Total				1,509.83	34.25%
Money Market Instruments					
Certificate of Deposit					
Kotak Mahindra Bank Limited ** #	INE237A16R74	CRISIL A1+	550	526.43	11.94%
Yes Bank Limited ** #	INE528G16F21	ICRA A1+	500	485.11	11.00%
National Bank For Agriculture and	INE261F16207	CRISIL A1+	500	484.71	11.00%
Rural Development ** #					
Vijaya Bank ** #	INE705A16OD9	CARE A1+	500	484.11	10.98%
Axis Bank Limited ** #	INE238A16M03	CRISIL A1+	500	478.07	10.85%
Sub Total				2,458.43	55.77%
Treasury Bill					
182 Days Tbill (MD 15/12/2016)	IN002016Y064	SOV	350,000	345.46	7.84%
Sub Total				345.46	7.84%
Total				2,803.89	63.61%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				43.99	1.00%
Sub Total				43.99	1.00%
Total				43.99	1.00%
Net Receivables / (Payables)				50.47	1.14%
GRANDTOTAL				4,408.18	100.00%

#I Inlisted Security

Details of transactions in Credit Default Swaps is as follows

the (FV) Maturity Spread paid P	Counte	r Party details	Counter Party details Details of the underlying	Details of Protection	Credit
	Name	Rating Sector	the (FV) Maturi	Spread paid	event payments received
	-				-

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

,	Plan/Option wise Net Asset values are as follow	VS.	
	Plan	As on September 30, 2016	As on March 31, 2016
	Regular Plan - Dividend Option	N.A.	Rs. 11.6884
	Regular Plan - Growth Option	Rs. 12.1280	Rs. 11.6884
	Regular Plan - Quarterly Dividend Option	Rs. 10.2432	Rs. 10.7625
	Direct Plan - Dividend Option	Rs. 10.2910	Rs. 11.7677
	Direct Plan - Growth Option	Rs. 12.2215	Rs. 11.7677
)	Dividend in the following plans was declared of	during the half year ended September 30	), 2016. Per Unit (Rs
	Direct Plan - Dividend Option		1.876
	Regular Plan - Dividend Option		1.789
	Regular Plan - Quarterly Dividend Ontion		0.890

(4) Details of derivatives exposure and transaction: (a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

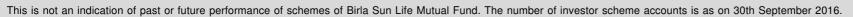
were no non-hedging transactions through options which have been exercised/expired (e) Hedging Positions through swaps as on September 30, 2016 is Nil.

The Average Maturity Period of the Portfolio is 0.53 years.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

Birla Sun Life Asset Management Company Limited (Investment Manager for Birla Sun Life Mutual Fund), One Indiabulls Centre, Tower 1, 17th Floor, Jupiter Mill Compound, 841, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400 013. CIN: U65991MH1994PLC080811 www.birlasunlife.com

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### Half Yearly Portfolio Statement as on September 30, 2016

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996)

				BIRLA SU	N LIFE
				(An Open E Portfoli	nded Di io as on
Name of the Instrument	ISIN	Industry^	Quantity		% to Net
				Fair Value (Rs in Lacs.)	Assets
Equity & Equity related				(Its III Euros)	
(a) Listed / awaiting listing on Stock Exchanges					
Aurobindo Pharma Limited	INE406A01037	Pharmaceuticals	316,100	2,704.08	4.48%
Gulf Oil Lubricants India Limited	INE635Q01029	Petroleum Products	336,207	2,475.66	4.10%
Glenmark Pharmaceuticals Limited	INE935A01035	Pharmaceuticals	243,000	2,243.98	3.72%
Atul Limited	INE100A01010	Chemicals	97,622	2,230.03	3.69%
Gujarat Fluorochemicals Limited	INE538A01037	Chemicals	399,883	2,091.99	3.47%
The India Cements Limited	INE383A01012	Cement	1,428,000	2,056.32	3.41%
Arvind Limited	INE034A01011	Textile Products	604,000	2,036.08	3.37%
UPL Limited	INE628A01036	Pesticides	280,506	1,890.19	3.13%
K.P.R. Mill Limited	INE930H01015	Textile Products	152,415	1,856.34	3.08%
Bata India Limited	INE176A01028	Consumer Durables	378,000	1,853.33	3.07%
MRF Limited	INE883A01011	Auto Ancillaries	3,400	1,729.67	2.87%
SRF Limited	INE647A01010	Textile Products	95,300	1,694.15	2.81%
Biocon Limited	INE376G01013	Pharmaceuticals	180,000	1,680.30	2.78%
L&T Finance Holdings Limited	INE498L01015	Finance	1,800,000	1,649.70	2.73%
The Jammu & Kashmir Bank Limited	INE168A01041	Banks	2,090,000	1,622.89	2.69%
The Federal Bank Limited	INE171A01029	Banks	2,146,800	1,545.70	2.56%
Torrent Pharmaceuticals Limited	INE685A01028	Pharmaceuticals	85,000	1,380.02	2.29%
Kaveri Seed Company Limited	INE455I01029	Consumer Non Durables	380,000	1,360.97	2.25%
Orient Cement Limited	INE876N01018	Cement	622,500	1,338.38	2.22%
Muthoot Finance Limited	INE414G01012	Finance	343,260	1,197.29	1.98%
Dishman Pharmaceuticals and Chemicals Limited	INE353G01020	Pharmaceuticals	461,064	1,195.77	1.98%
Crompton Greaves Limited	INE067A01029	Industrial Capital Goods	1,404,000	1,053.70	1.75%
Inox Wind Limited	INE066P01011	Industrial Capital Goods	526,135	1,053.59	1.75%
Kalpataru Power Transmission Limited	INE220B01022	Power	395,663	1,030.70	1.71%
Tata Coffee Limited	INE493A01027	Consumer Non Durables	805,000	992.57	1.64%
Blue Star Limited	INE472A01039	Consumer Durables	169,879	931.02	1.54%
Omkar Speciality Chemicals Limited	INE474L01016	Chemicals	545,010	926.52	1.54%
J.Kumar Infraprojects Limited	INE576I01022	Construction	508,356	924.70	1.53%
Shriram City Union Finance Limited	INE722A01011	Finance	42,500	902.93	1.50%
Sonata Software Limited	INE269A01021	Software	573,391	894.78	1.48%
Pfizer Limited	INE182A01018	Pharmaceuticals	46,184	885.76	1.47%
Neuland Laboratories Limited	INE794A01010	Pharmaceuticals	76,714	747.12	1.24%
Astra Microwave Products Limited	INE386C01029	Telecom - Equipment & Accessories	657,000	730.58	1.21%
Indian Terrain Fashions Limited	INE611L01021	Textile Products	484,700	716.14	1.19%
Equitas Holdings Limited	INE988K01017	Finance	409,869	715.84	1.19%
KEC International Limited	INE389H01022	Construction Project	500,000	629.75	1.04%
Chennai Petroleum Corporation Limited	INE178A01016	Petroleum Products	218,206	626.80	1.04%
Unichem Laboratories Limited	INE351A01035	Pharmaceuticals	178,152	480.21	0.80%
Monte Carlo Fashions Limited	INE950M01013	Textile Products	83,000	380.68	0.63%
Indoco Remedies Limited	INE873D01024	Pharmaceuticals	30,000	93.92	0.16%
Sub Total				52,550.15	87.06%
(b) Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				52,550.15	87.06%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				1,129.63	1.87%
Sub Total				1,129.63	1.87%

Name of the Instrument	ISIN	Industry^	Quantity	Market/	% to Net
				Fair Value	Assets
			(	Rs in Lacs.)	
Total				1,129.63	1.87%
Net Receivables / (Payables)				6,679.62	11.07%
GRAND TOTAL				60,359.40	100.00%
^Industry classification as recommended	by AMFI and wherever not ava	ilable, internal classifica	ation has been used		
Notes:					
(1) The provision made for Non Perf	orming Assets as of September	30,2016 is Nil.			
(2) Plan/Option wise Net Asset Value	s are as follows:				
Plan	A on Cont	ember 30, 2016	As on March 31	1 2016	
rian	As on Sept	ember 50, 2016	As on March 31	1, 2010	
Regular Plan - Dividend Option	D <sub>C</sub>	28.1408	Rs. 24.407	8	

•	Plan/Option wise Net Asset Values are as follows:			
	Plan	As on September 30, 2016	As on March 31, 2016	
	Regular Plan - Dividend Option	Rs. 28.1408	Rs. 24.4078	
	Regular Plan - Growth Option	Rs. 47.2243	Rs. 37.7357	
	Direct Plan - Dividend Option	Rs. 42.5710	Rs. 33.8594	
	Direct Plan - Growth Option	Rs. 48.6353	Rs. 38.6826	
1	Dividend in the following plans was declared during	g the half year ended September 30, 20	16. Per Unit (Rs.)	

Details of derivatives exposure and transaction  $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30,2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there \ were \ no \ hedging \ Position \ through \ Futures \ as \ on \ September \ September \ 30,2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there \ were \ no \ hedging \ Nil \ and \ An$ 

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil

transactions through futures which have been squared off/expired.

Regular Plan - Dividend Option

For the half year ended September 30, 2016 following non-hedging transactions through futures which have been squared off/expired.

Total Number of contracts where futures were bought	Total Number of contracts where futures were sold		Gross Notional Value of contracts where futures were sold Rs.	Net Profit/Loss value on all contracts combined Rs.
121.00	121.00	64,152,220.12	64,979,420.00	827,199.88

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

For the half year ended September 30, 2016 following non-hedging transactions through options which have been exercised/expired.

Total Number of contracts entered into	Gross Notional Value of contracts Rs.	Net Profit/Loss value on all contracts combined Rs.
14,025.00	64,360,687.08	-52,017,777.69

(e) Hedging Positions through swaps as on September 30, 2016 is Nil

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 1.17 times

The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%

Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%.

Portfolio as on September 30, 2016 Name of the Instrument Market/ % to Net Quantity Fair Value (Rs in Lacs.) Equity & Equity related a) Listed / awaiting listing on Stock Exchanges INE040A01026 15,797 12,642 200.89 176.11 INE001A01036 Housing Development Finance Corporation Limited Finance 8.11% 7.98% 6.99% Infosys Limited INE009A01021 Software 15,986 165.95 67,592 13,228 163.27 143.14 INE154A01025 Reliance Industries Limited INE002A01018 117.38 ICICI Bank Limited INE090A01021 Banks 46,525 5.74% Tata Consultancy Services Limited Larsen & Toubro Limited INE467B01029 INE018A01030 4,098 6,560 4.86% 4.59% 99.47 93.92 81.52 81.52 64.34 63.83 58.24 51.70 36.89 35.15 33.26 31.80 31.80 30.81 24.55 24.49 23.49 20.07 18.71 Construction Projec Tata Motors Limited INE155A01022 15,244 3.98% INE238A01034 INE044A01036 13,551 8,663 3.59% 3.14% Axis Bank Limited Sun Pharmaceuticals Industries Limited Pharmaceuticals State Bank of India INE062A01020 Banks 25,460 3.12% INE585B01010 INE101A01026 1,063 3,677 Mahindra & Mahindra Limited Auto Hindustan Unilever Limited INE030A01027 Consumer Non Durables 5,713 2.42% 3,606 14,372 11,192 974 10,106 Asian Paints Limited
Oil & Natural Gas Corporation Limited INE021A01026 INE213A01029 2.05% 1.80% Bharti Airtel Limited INE397D01024 Telecom - Services 1.72% 1.63% 1.59% 1.55% Hero MotoCorp Limited INE158A01026 Auto Minerals/Mining Coal India Limited INE522F01014 1,023 17,577 1,088 Dr. Reddy's Laboratories Limited INE089A01023 Pharmaceuticals 1.51% 1.51% INE752E01010 ower Grid Corporation of India Limite Bajaj Auto Limited INE917I01010 1,911 5,139 Lupin Limited INE326A01037 Pharmaceutical: 1.39% 1.20% 1.20% INE075A01022 Software 16,490 NTPC Limited INE733E01010 Power Cipla Limited INE059A01026 Pharmaceuticals 4.049 1.15% INE081A01012 Tata Steel Limited Adani Ports and Special Economic Zone Limited 0.91% INE742F01042 Transportation GAIL (India) Limited INE129A01019 Gas 0.69% Sub Total 2,029.93 99.19% (b) Unlisted NIL NIL. Sub Total NIL NIL 2,029.93 99.19% CBLO / Reverse Repo Clearing Corporation of India Ltd 15.99 0.78% 15.99 0.78% Sub Total 15.99 0.78% Total Net Receivables / (Payables) 0.58 0.03% 2,046.50 100.00%

BIRLA SUN LIFE SENSEX ETF (An Open Ended Exchange Traded Fund)

^Industry classification as recommended by AMFI and wherever not available, internal classification has been used

2.2000

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil

(2) Plan/Option wise Net Asset Values are as follows: As on September 30, 2016 As on March 31, 2016 ## Rs. 278.8856 Regular Plan - Growth Option N.A. ## BIRLA SUN LIFE SENSEX ETF has been launched during the current half year

No dividend was declared during the half year ended September 30, 2016.

Details of derivatives exposure and transaction:

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30,2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there \ were \ no \ hedging \ Position \ through \ Futures \ as \ on \ September \ 30,2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there \ were \ no \ hedging \ Position \ through \ Futures \ as \ on \ September \ 30,2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there \ were \ no \ hedging \ Position \ through \ Position \ through$ transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil.

During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0 times The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%.

Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%.

(8) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

## (An Open Ended Growth Scheme

Portfolio as on September 30, 2016

						1 Office	io as on septem	ber 50, 2010		
Name of the Instrument	ISIN	Industry^	Quantity	Market/ 9 Fair Value	to Net Assets	Name of the Instrument	ISIN	Industry^	Quantity	F
P. V. A.P. V. J. J.			-	(Rs in Lacs.)						(Rs
Equity & Equity related						HSIL Limited	INE415A01038	Consumer Durables	680,000	
(a) Listed / awaiting listing on Stock Exchanges						Edelweiss Financial Services Limited	INE532F01054	Finance	2,000,000	
Gujarat State Petronet Limited	INE246F01010	Gas	3,496,816	5,463.78	2.98%	GlaxoSmithKline Consumer Healthcare Limited	INE264A01014	Consumer Non Durables	35,736	
Aurobindo Pharma Limited	INE406A01037	Pharmaceuticals	600,000	5,132.70	2.80%	Equitas Holdings Limited	INE988K01017	Finance	1,205,210	
Mahindra CIE Automotive Limited	INE536H01010	Industrial Products	2,452,000	4,705.39	2.57%	FAG Bearings India Limited	INE513A01014	Industrial Products	50,000	
The Federal Bank Limited	INE171A01029	Banks	6,200,000	4,464.00	2.44%	Aditya Birla Nuvo Limited	INE069A01017	Services	150,000	
Divi's Laboratories Limited	INE361B01024	Pharmaceuticals	330,000	4,259.64	2.32%	Arvind Limited	INE034A01011	Textile Products	600,000	,
Finolex Cables Limited	INE235A01022	Industrial Products	875,030	3,867.63	2.11%	Hindustan Zinc Limited	INE267A01025	Non - Ferrous Metals	852,585	
MRF Limited	INE883A01011	Auto Ancillaries	7,600	3,866.31	2.11%	Bharat Financial Inclusion Limited	INE180K01011	Finance	225,000	1
Tube Investments of India Limited	INE149A01025	Auto Ancillaries	647,020	3,820.98	2.09%	Satin Creditcare Network Limited	INE836B01017	Finance	344,278	
Union Bank of India	INE692A01016	Banks	2,700,000	3,708.45	2.02%	Natco Pharma Limited	INE987B01026	Pharmaceuticals	323,445	
IDFC Limited	INE043D01016	Finance	5,500,000	3,696.00	2.02%	Jyothy Laboratories Limited	INE668F01031	Consumer Non Durables	550,000	1
Torrent Pharmaceuticals Limited	INE685A01028	Pharmaceuticals	225,200	3,656.23	2.00%	Century Textiles & Industries Limited	INE055A01016	Cement	200,000	1
Johnson Controls-Hitachi Air Conditioning India Limited	INE782A01015	Consumer Durables	224,000	3,636.08	1.98%	Carborundum Universal Limited	INE120A01034	Industrial Products	675,000	1
Shriram City Union Finance Limited	INE722A01011	Finance	170,000	3,611.74	1.97%	Gujarat Fluorochemicals Limited	INE538A01037	Chemicals	350,000	,
PNC Infratech Limited	INE195J01029	Construction	3,075,000	3,580.84	1.95%	The Ramco Cements Limited	INE331A01037	Cement	305,000	1
IRB Infrastructure Developers Limited	INE821I01014	Construction	1,400,000	3,432.10	1.87%	Simplex Infrastructures Limited	INE059B01024	Construction	534,246	
Bayer Cropscience Limited	INE462A01022	Pesticides	76,280	3,411.13	1.86%	Sanghi Industries Limited	INE999B01013	Cement	2,538,194	
Grasim Industries Limited	INE047A01013	Cement	70,000	3,385.59	1.85%	Firstsource Solutions Limited	INE684F01012	Software	4,194,812	
KEC International Limited	INE389H01022	Construction Project	2,562,901	3,227.97	1.76%	MindTree Limited	INE018I01017	Software	352,300	J
Solar Industries India Limited	INE343H01029	Chemicals	494,000	3,211.99	1.75%	Colgate Palmolive (India) Limited	INE259A01022	Consumer Non Durables	173,900	,
Indian Oil Corporation Limited	INE242A01010	Petroleum Products	550,000	3,203.75	1.75%	Bank of Baroda	INE028A01039	Banks	1,000,000	,
Hindustan Petroleum Corporation Limited	INE094A01015	Petroleum Products	750,000	3,179.63	1.74%	Cyient Limited	INE136B01020	Software	330,000	J
Pfizer Limited	INE182A01018	Pharmaceuticals	164,967	3,163.90	1.73%	Castrol India Limited	INE172A01027	Petroleum Products	316,364	
Petronet LNG Limited	INE347G01014	Gas	900,000	3,108.60	1.70%	Bata India Limited	INE176A01028	Consumer Durables	300,000	į
Crompton Greaves Consumer Electricals Limited	INE299U01018	Consumer Durables	2,000,000	3,079.00	1.68%	JK Cement Limited	INE823G01014	Cement	167,626	
Bajaj Finserv Limited	INE918I01018	Finance	100,000	3,069.55	1.68%	Monte Carlo Fashions Limited	INE950M01013	Textile Products	291,136	
Voltas Limited	INE226A01021	Construction Project	800,000	3,032.80	1.66%	TeamLease Services Limited	INE985S01024	Commercial Services	114,392	
LIC Housing Finance Limited	INE115A01026	Finance	500,000	2,894.00	1.58%	J.Kumar Infraprojects Limited	INE576I01022	Construction	628,400	į
Britannia Industries Limited	INE216A01022	Consumer Non Durables	85,000	2,859.40	1.56%	Welspun India Limited	INE192B01031	Textile Products	2,000,000	į
Crompton Greaves Limited	INE067A01029	Industrial Capital Goods	3,777,000	2,834.64	1.55%	Karur Vysya Bank Limited	INE036D01010	Banks	169,000	į
Capital First Limited	INE688I01017	Finance	405,000	2,807.87	1.53%	HPL Electric Power Limited	INE495S01016	Consumer Durables	88,469	
Emami Limited	INE548C01032	Consumer Non Durables	234,100	2,738.74	1.49%	Sub Total				1
RBL Bank Limited	INE976G01028	Banks	883,600	2,573.04	1.40%	(b) Unlisted				
Tata Power Company Limited	INE245A01021	Power	3,100,000	2,337.40	1.28%	Sub Total				
Dish TV India Limited	INE836F01026	Media & Entertainment	2,500,000	2,327.50	1.27%	Total				1
						1				•

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Name of the Instrument	ISIN	Industry^	Quantity Market/	% to Net
			Fair Value	Assets
			(Rs in Lacs.)	
CBLO / Reverse Repo				
Clearing Corporation of India Ltd			14,552.13	7.94%
Sub Total			14,552.13	7.94%
Total			14,552.13	7.94%
Net Receivables / (Payables)			(2,757.07)	-1.50%
GRANDTOTAL			183,216.26	100.00%

^Industry classification as recommended by AMFI and wherever not available, internal classification has been used

Market/ % to Net

1.26%

1.24%

1.189 1.15%

1.12%

Fair Value

2,312.00

2,275.00

2,156.44

2,045.68

1,989.51 1.09% 1.981.46

1 958 77 1 07%

1.930.48 1.05%

1,895.85 1.03% 1.03%

1,886.10 1,837.35

1,815.97

1.739.93

1,552.98

1,470.90

1,467,98 0,80%

1,335.30 0.73%

1.219.13 0.67%

1,143.06 0.62%

1,050.00 0.57%

793.37 0.439

171,421.20 93.56% NIL NIL.

171,421.20 93.56%

1,769.16 0.97%

1.701.00 0.93%

1.698.61 0.93%

1.687.96 0.92% 1,674.00 0.91%

0.95%

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

(2) Plan/Option wise Net Asset Values are as follows:

Dividend in the following plans was declared duri	ng the half year ended September	30, 2016 Per Uni
Direct Plan - Growth Option	Rs. 263.38	Rs. 211.43
Direct Plan - Dividend Option	Rs. 49.51	Rs. 39.70
Regular Plan - Growth Option	Rs. 255.83	Rs. 206.26
Regular Plan - Dividend Option	Rs. 33.19	Rs. 29.05

Regular Plan - Dividend Option

nit (Rs.) 2.8000

As on March 31, 2016

Details of derivatives exposure and transaction:

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016 is Nil.

- (5) During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.26 times
- The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%
- Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%.

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Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

## **☑** Over 3.2 million investor scheme accounts



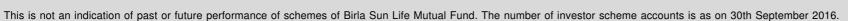
This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September 2016.

## Half Yearly Portfolio Statement as on September 30, 2016

Name of the Instrument	Open Ended Growth Scher olio as on September 30, 20	· /					Open Ended Growth Scheme) olio as on September 30, 2016			(An Open Ended Scheme. Monthly income Portfr	e is not assured and is subject to availab folio as on September 30, 2016	ility of distrib	utable surplus)
ve vice mon unitin	ISIN Industry^			Market/ Sair Value	6 to Net Assets	Name of the Instrument	ISIN Industry^		Market/ % to Net Fair Value Assets	Name of the Instrument	ISIN Industry^/Rating		Market/ % to
quity & Equity related ) Listed / awaiting listing on Stock Exchanges				s in Lacs.)		Equity & Equity related (a) Listed / awaiting listing on Stock Exchanges		(F	Rs in Lacs.)	Debt Instruments		(F	ts in Lacs.)
DFC Bank Limited aruti Suzuki India Limited cher Motors Limited	INE040A01026 Banks INE585B01010 Auto INE066A01013 Auto		40,100	2,545.70 2,196.40 1,986.22	5.40% 4.66% 4.22%	Sun Pharmaceuticals Industries Limited	INE044A01036 Pharmaceuticals	124,000	920.95 6.77%	(a) Listed / awaiting listing on Stock Exchange 10.75% IDBI Bank Limited	INE008A08U84 CRISIL A	80	795.15 7.3
idusInd Bank Limited C Limited	INE095A01012 Banks	Non Durables	8,000 151,036 699,384	1,806.32 1,687.96	3.83% 3.58%	Cummins India Limited Sanofi India Limited	INE298A01020 Industrial Products INE058A01010 Pharmaceuticals	101,250 18,250	916.82 6.74% 755.22 5.55%	11.00% IDBI Bank Limited **	INE008A08Q07 ICRA AA	65	688.27 6.3
otak Mahindra Bank Limited ousing Development Finance Corporation Limited	INE237A01028 Banks INE001A01036 Finance	Non Durables	186,342 102,300	1,447.88 1,425.50	3.07%	Reliance Industries Limited Infosys Limited	INE002A01018 Petroleum Products INE009A01021 Software	68,000 70,000	736.92 5.42% 725.24 5.33%	9.20% Power Finance Corporation Limited (FRN) **	INE134E08CK1 CRISIL AAA	20	205.41 1.9
s Bank Limited arat Financial Inclusion Limited	INE528G01019 Banks INE180K01011 Finance		100,000 125,000	1,254.70 1,100.81	2.66% 2.34%	Honeywell Automation India Limited	INE671A01010 Industrial Capital Go	ods 7,800	722.68 5.32%	11.25% Power Finance Corporation Limited ** 8.49% NTPC Limited **	INE134E08BH9 CRISIL AAA INE733E07JP6 CRISIL AAA	3,900	53.72 0.5 0.52
ta Motors Limited itannia Industries Limited		Non Durables	200,000 30,000	1,069.70 1,009.20	2.27% 2.14%	HCL Technologies Limited WABCO India Limited	INE860A01027 Software INE342J01019 Auto Ancillaries	85,000 10,000	679.92 5.00% 622.26 4.58%	Sub Total			1,743.07 16.1
hirlpool of India Limited ntury Textiles & Industries Limited	INE716A01013 Consumer INE055A01016 Cement		92,850 100,000	944.56 943.05	2.00% 2.00%	Tech Mahindra Limited	INE669C01036 Software	119,800	502.98 3.70%	(b) Privately placed / Unlisted			NIL
the Entertainment Enterprises Limited	INE726G01019 Finance		170,000 299,420 110,000	929.48 928.95	1.97% 1.97% 1.91%	Torrent Pharmaceuticals Limited Aurobindo Pharma Limited	INE685A01028 Pharmaceuticals INE406A01037 Pharmaceuticals	30,000 56,000	487.07 3.58% 479.05 3.52%	Sub Total Total			NIL 1,743.07 16.1
epco Home Finance Limited nami Limited Istrol India Limited		Non Durables	74,000 180,000	899.14 865.73 854.91	1.91% 1.84% 1.81%	Hindustan Zinc Limited Divi's Laboratories Limited	INE267A01025 Non - Ferrous Metals INE361B01024 Pharmaceuticals	200,000 31,000	466.70 3.43% 400.15 2.94%	Government Securities			
othy Laboratories Limited ited Breweries Limited	INE668F01031 Consumer		235,000 85,000	810.05 768.40	1.72%	AIA Engineering Limited	INE212H01026 Industrial Products	30,000	386.84 2.85%	8.13% GOI (MD 22/06/2045) 8.15% GOI (MD 24/11/2026)	IN0020150044 SOV	1,500,000	1,673.71 15.5
ltas Limited Igate Palmolive (India) Limited	INE259A01022 Consumer	Non Durables	200,000 77,749	758.20 754.67	1.61% 1.60%	Bajaj Auto Limited K.P.R. Mill Limited	INE917I01010 Auto INE930H01015 Textile Products	13,000 30,000	367.59 2.70% 365.39 2.69%	7.61% GOI(MD 09/05/2030)	IN0020140060 SOV IN0020160019 SOV	1,000,000 1,000,000	1,075.03 9.9 1,055.11 9.7
n Pharmaceuticals Industries Limited C Housing Finance Limited	INE044A01036 Pharmace INE115A01026 Finance		100,000 125,000	742.70 723.50	1.58% 1.54%	NIIT Technologies Limited Balkrishna Industries Limited	INE591G01017 Software INE787D01026 Auto Ancillaries	85,000 35,000	356.11 2.62% 354.87 2.61%	8.17% GOI (MD 01/12/2044)	IN0020140078 SOV	500,000	558.25 5.
ue Star Limited stle India Limited		Durables Non Durables Non Durables	124,411 10,155 25,000	681.83 653.26	1.45%	CRISIL Limited	INE007A01025 Finance	15,500	345.60 2.54%	9.40% Andhra Pradesh SDL (MD 30/01/2024)	IN1020130135 SOV	500,000	558.00 5.
ited Spirits Limited idelbergCement India Limited rrent Pharmaceuticals Limited	INE854D01016 Consumer INE578A01017 Cement INE685A01028 Pharmace		441,722 34,500	616.61 581.75 560.12	1.31% 1.23% 1.19%	Oracle Financial Services Software Limited Persistent Systems Limited	INE881D01027 Software INE262H01013 Software	10,000 50,000	322.28 2.37% 320.05 2.35%	7.59% GOI (MD 20/03/2029) 7.8% GOI (MD 11/04/2021)	IN0020150069 SOV IN0020110022 SOV	500,000 500,000	523.46 4. 517.89 4.
olamandalam Investment and ance Company Limited	INE121A01016 Finance	iticais	48,323	559.27	1.19%	KPIT Technologies Limited	INE836A01035 Software	224,000	277.98 2.04%	6.90% GOI (MD 13/07/2019)	IN0020090042 SOV	304,600	305.82 2.
e Phoenix Mills Limited jaj Finance Limited	INE211B01039 Construct INE296A01024 Finance	on	143,601 50,000	554.30 527.95	1.18% 1.12%	Sonata Software Limited Arvind Limited	INE269A01021 Software INE034A01011 Textile Products	168,000 69,000	262.16 1.93% 232.60 1.71%	Total			6,267.27 58.1
axoSmithKline Consumer Healthcare Limited jaj Auto Limited	INE264A01014 Consumer INE917I01010 Auto	Non Durables	8,436 18,000	509.06 508.97	1.08% 1.08%	MindTree Limited	INE018I01017 Software	44,000 75,000	212.15 1.56%	Equity & Equity related  (a) Listed / awaiting listing on Stock Exchanges			
vind Limited itya Birla Nuvo Limited	INE034A01011 Textile Pr INE069A01017 Services		150,000 36,400	505.65 496.26	1.07% 1.05%	Dishman Pharmaceuticals and Chemicals Limited Cyient Limited	INE353G01020 Pharmaceuticals INE136B01020 Software	75,000 41,000	194.51 1.43% 192.95 1.42%	Repco Home Finance Limited	INE612J01015 Finance	18,000	147.13 1.
Reddy's Laboratories Limited rur Vysya Bank Limited	INE089A01023 Pharmace INE036D01010 Banks		15,900 103,000	494.08 483.53	1.05%	Cipla Limited Orbit Exports Limited	INE059A01026 Pharmaceuticals INE231G01010 Textile Products	25,500 47,000	147.91 1.09% 127.04 0.93%	Eicher Motors Limited	INE066A01013 Auto	500	124.14 1.
yrocare Technologies Limited ndustan Unilever Limited ta India Limited		Non Durables	77,008 53,000 93,600	472.14 459.96 458.92	1.00% 0.98%	Indoco Remedies Limited	INE873D01024 Pharmaceuticals	26,068	81.61 0.60%	HDFC Bank Limited  Bajaj Finance Limited	INE040A01026 Banks INE296A01024 Finance	9,000 10,200	114.56 1.0 107.70 1.0
ta India Limited x & Kings Limited ty Union Bank Limited	INE176A01028 Consumer INE008I01026 Transport INE491A01021 Banks	ation	93,600 200,000 334,000	458.92 441.80 441.21	0.97% 0.94% 0.94%	Sub Total (b) Unlisted			12,963.60 95.35%	Indian Oil Corporation Limited	INE242A01010 Petroleum Products	15,500	90.29 0.8
ty Union Bank Limited pital First Limited ide Industries Limited	INE491A01021 Banks INE688I01017 Finance INE302A01020 Auto Anc		60,000 220,000	415.98 402.60	0.94% 0.88% 0.85%	Magnasound (I) Ltd ** #~	Miscellaneous	75,000	0.00 \$	Equitas Holdings Limited	INE988K01017 Finance	49,700	86.80 0.8
CC Limited laxo Footwears Limited	INE012A01025 Cement INE131B01039 Consumer		25,000 85,038	399.96 378.38	0.85% 0.80%	Jainpur Straw Brd ** #~ Brillio Technologies Pvt Ltd ** #~	INE146201012 Miscellaneous INE763E01017 Miscellaneous	100,000 83,640	0.00 \$ 0.00 \$	Kewal Kiran Clothing Limited V-Mart Retail Limited	INE401H01017 Textile Products INE665J01013 Retailing	3,900 14,400	74.11 0.0 73.07 0.0
athoot Finance Limited OX Leisure Limited	INE414G01012 Finance INE312H01016 Media &	Entertainment	100,000 132,000	348.80 346.37	0.74% 0.74%	Sree Rama Polymer ** #~	Miscellaneous	100,000	0.00 \$	V-Mart Retail Limited  IndusInd Bank Limited	INE095A01012 Banks	14,400 5,100	73.07 0. 60.99 0.
ilant Foodworks Limited 10 ofi India Limited	INE797F01012 Consumer INE058A01010 Pharmace	Non Durables uticals	35,000 8,000	337.40 331.06	0.72% 0.70%	Oriqua Ltd ** #~ Sub Total	INE226001019 Miscellaneous	103,100	0.00 \$ 0.00 \$	K.P.R. Mill Limited	INE930H01015 Textile Products	4,700	57.24 0.
h TV India Limited Lal Path Labs Ltd.	INE600L01024 Healthcar		350,000 30,000	325.85 321.03	0.69%	Total			12,963.60 95.35%	Tata Motors Limited	INE155A01022 Auto	9,400	50.28 0.
dico Khaitan Limited an Company Limited robindo Pharma Limited	INE944F01028 Consumer INE280A01028 Consumer INE406A01037 Pharmace	Durables	243,000 70,000 30,000	291.24 276.57 256.64	0.62% 0.59% 0.54%	CBLO / Reverse Repo Clearing Corporation of India Ltd			488.84 3.60%	ITC Limited ICICI Bank Limited	INE154A01025 Consumer Non Durables INE090A01021 Banks	19,500 17,600	47.06 0. 44.38 0.
onte Carlo Fashions Limited ian Paints Limited	INE950M01013 Textile Pr		54,908 20,000	251.84 232.14	0.53%	Sub Total			488.84 3.60%	Shriram City Union Finance Limited	INE722A01011 Finance	1,900	40.37 0.
tem Laboratories Limited Entertainment Enterprises Limited	INE540L01014 Pharmace	uticals	12,168 702,975	202.14 66.43	0.43% 0.14%	Total			488.84 3.60%	Dr. Lal Path Labs Ltd.	INE600L01024 Healthcare Services	3,726	39.87 0.
eference Share)  Total			,	43,644.83		Net Receivables / (Payables)			144.03 1.06%	City Union Bank Limited  Hindustan Petroleum Corporation Limited	INE491A01021 Banks INE094A01015 Petroleum Products	30,000 8,400	39.63 0. 35.61 0.
Unlisted gnasound (I) Ltd ** #~	Miscellan	eous	82,000	0.00	\$	## Thinly Traded / Non Traded Security			13,596.47 100.00%	Dhanuka Agritech Limited	INE435G01025 Pesticides	4,800	32.13 0.
o Total al				0.00 43,644.83	92.62%	#Unlisted Security ~ Illiquid Security				Grasim Industries Limited	INE047A01013 Cement	600	29.02 0.
LO / Reverse Repo earing Corporation of India Ltd					7.56%	\$ Less Than 0.01% of Net Asset Value				TeamLease Services Limited VRL Logistics Limited	INE985S01024 Commercial Services INE366I01010 Transportation	2,700 9,117	28.78 0. 27.31 0.
b Total tal				3,562.81		^Industry classification as recommended by AMFI and v	wherever not available, internal classification	has been used		Advanced Enzyme Technologies Limited	INE837H01012 Consumer Non Durables	1,612	27.04 0.
et Receivables / (Payables) RAND TOTAL				(85.45) 47,122.19		Notes: (1) The provision made for Non Performing Assets a	s of September 30, 2016 is Nil.			Crompton Greaves Consumer Electricals Limited	INE299U01018 Consumer Durables	17,339	26.69 0.3
Thinly Traded / Non Traded Security nlisted Security ~ Illiquid Security						(2) Plan/Option wise Net Asset Values are as follows Plan	: As on September 30, 2016	As on March 31	2016	Ashoka Buildcon Limited  Mahanagar Gas Limited	INE442H01029 Construction Project INE002S01010 Gas	12,500 3,141	21.31 0.2 20.74 0.1
Less Than 0.01% of Net Asset Value dustry classification as recommended by AMFI and w	wherever not available, internal cl	assification has bee	en used			Regular Plan - Dividend Option	Rs. 26.96	Rs. 25.26	, 2010	Emami Limited	INE548C01032 Consumer Non Durables	1,550	18.13 0.1
tes:  The provision made for Non Performing Assets a: Plan/Option wise Net Asset Values are as follows:						Regular Plan - Growth Option Direct Plan - Dividend Option	Rs. 119.51 Rs. 36.81	Rs. 111.99 Rs. 34.37		Thyrocare Technologies Limited	INE594H01019 Healthcare Services	2,367	14.51 0.1
Plan/Option wise Net Asset Values are as follows: Plan Regular Plan - Dividend Option	As on September 30, 2016 Rs. 23.17	As on	n March 31, 2 Rs. 20.25	2016		Direct Plan - Growth Option	Rs. 122.26	Rs. 114.19		RBL Bank Limited Cummins India Limited	INE976G01028 Banks INE298A01020 Industrial Products	4,855 1,500	14.14 0.1 13.58 0.1
Regular Plan - Growth Option Direct Plan - Dividend Option	Rs. 64.29 Rs. 25.97		Rs. 51.55 Rs. 22.56			<ul> <li>(3) No dividend was declared during the half year extension.</li> <li>(4) Details of derivatives exposure and transaction:</li> </ul>	nded September 30, 2016.			Hindustan Zinc Limited	INE267A01025 Non - Ferrous Metals	5,300	12.37 0.1
Direct Plan - Growth Option Dividend in the following plans was declared dur	Rs. 66.47	ber 30, 2016	Rs. 53.00	Per Uni	t (Rs.)	(a) Hedging Position through Futures as on Septem		September 30, 2010	6 there were no hedging	Monte Carlo Fashions Limited	INE950M01013 Textile Products	2,604	11.94 0.
Regular Plan - Dividend Option Direct Plan - Dividend Option					1.8000 2.0000	transactions through futures which have been squ (b) Other than Hedging Position through Futures	-	half year ended Sep	ptember 30, 2016 there	Atul Auto Limited  Orbit Exports Limited	INE951D01028 Auto INE231G01010 Textile Products	2,100 2,000	10.04 0. 5.41 0.
Details of derivatives exposure and transaction: (a) Hedging Position through Futures as on Septemb	ber 30, 2016 is Nil and For the hal	year ended Septem	nber 30, 2016	there were no	hedging	were no non-hedging transactions through future (c) Hedging Position through Put Options as on S		ear ended Septemb	er 30. 2016 there were	HeidelbergCement India Limited	INE578A01017 Cement	1,000	1.32 0.
transactions through futures which have been squ (b) Other than Hedging Position through Futures a	as on September 30, 2016 is Nil		ar ended Sept	tember 30, 2	)16 there	no hedging transactions through option which ha	ve exercised/expired.	•		Zee Entertainment Enterprises Limited	INE256A04022 Media & Entertainment	13,230	1.25 0.
were no non-hedging transactions through futures (c) Hedging Position through Put Options as on S	September 30, 2016 is Nil and Fo		ed September	r 30, 2016 th	ere were	(d) Other than Hedging Positions through Options were no non-hedging transactions through option	-	half year ended Se	ptember 30, 2016 there	(Preference Share) Sub Total			1,548.94 14.3
no hedging transactions through option which ha (d) Other than Hedging Positions through Options	s as on September 30, 2016 is Nil		ar ended Sept	tember 30, 2	016 there	(e) Hedging Positions through swaps as on Septe (5) During the current half year, the Portfolio turnov		& Eivad inaama in	etrumente is 0.24 times	(b) Unlisted			1,348.94 14 NIL
were no non-hedging transactions through option (e) Hedging Positions through swaps as on Septer	mber 30, 2016 is Nil.				25.1	(6) The total value of illiquid Equity Securities is 0			struments is 0.24 times	Sub Total			NIL
During the current half year, the Portfolio turnove The total value of illiquid Equity Securities is 0.	.00 crores and its percentage to	Net Assets is 0.009	%.			<ul> <li>(7) Total market value of Foreign Securities/oversea</li> <li>(8) Details of Repo in Corporate Debt Securities as a</li> </ul>		t Assets as at Sept	ember 30, 2016 is 0%.	Total CBLO / Reverse Repo			1,548.94 14.3
Total market value of Foreign Securities/oversea Details of Repo in Corporate Debt Securities as of		lage to Net Assets	s as at Septer	mber 50, 20	0 IS U%.	(b) Botains of Repo in Corporate Boot Securities as	50, 50, 2010 is 1112.			Clearing Corporation of India Ltd			984.67 9.
			(A = 0			FE NIFTY ETF				Sub Total			984.67 9.1
			(An Open			ted, Exchange Traded Fund) ptember 30, 2016				Total  Net Receivables / (Payables)			984.67 9. 230.36 2.
	ISIN Industry^		Quantity	Market/		Name of the Instrument	ISIN Industry^	Quantity	Market/ % to Net	Net Receivables / (Payables) GRAND TOTAL			10,774.31 100.
me of the Instrument				Fair Value s in Lacs.)	Assets			( <b>F</b>	Fair Value Assets Rs in Lacs.)	FRN - Floating Rate Note			
						Hindalco Industries Limited GAIL (India) Limited	INE038A01020 Non - Ferrous Metals INE129A01019 Gas	70,730 25,819	108.00 0.61% 96.73 0.55%	** Thinly Traded / Non Traded Security			
uity & Equity related Listed / awaiting listing on Stock Exchanges			109,012 87,164	1,387.56 1,214.59	7.82% 6.85%	Bosch Limited Tata Motors Ltd DVR Shares	INE323A01026 Auto Ancillaries IN9155A01020 Auto	412 27,339	93.93 0.53% 93.09 0.52%	\$ Less Than 0.01% of Net Asset Value			
uity & Equity related Listed / awaiting listing on Stock Exchanges FC Bank Limited	INE040A01026 Banks INE001A01036 Finance		,. UT		6.46%	Bank of Baroda ACC Limited	INE028A01039 Banks INE012A01025 Cement	52,336 5,104	87.61 0.49% 81.66 0.46%	^Industry classification as recommended by AMFI and w	wherever not available, internal classification has	s been used	
uity & Equity related  Listed / awaiting listing on Stock Exchanges  FC Bank Limited  using Development Finance Corporation Limited  osys Limited	INE001A01036 Finance INE009A01021 Software		110,570	1,145.56	6200	Tata Power Company Limited		3 1 (1/1		Notes:			
uity & Equity related  Listed / awaiting listing on Stock Exchanges FC Bank Limited  sing Development Finance Corporation Limited  sysy Limited  Limited  iance Industries Limited	INE001A01036 Finance INE009A01021 Software INE154A01025 Consumer INE002A01018 Petroleum	Non Durables Products	467,121 91,423	1,127.40 990.75	6.36% 5.59%		INE245A01021 Power	100,399	75.70 0.43%	(4) (70)	60		
uity & Equity related Listed / awaiting listing on Stock Exchanges FC Bank Limited using Development Finance Corporation Limited says Limited Limited iance Industries Limited CI Bank Limited	INE001A01036 Finance INE009A01021 Software INE154A01025 Consumer	Non Durables Products	467,121	1,127.40		Bharat Heavy Electricals Limited Idea Cellular Limited		100,399	75.70 0.43% 67.38 0.38% 48.84 0.28%	(1) The provision made for Non Performing Assets a  (2) Plan/Option wise Net Asset Values are as follows:	-		2016
uity & Equity related  Listed / awaiting listing on Stock Exchanges FC Bank Limited using Development Finance Corporation Limited sysy Limited  Limited iance Industries Limited  CI Bank Limited  a Consultancy Services Limited sen & Toubro Limited	INE001A01036   Finance   INE009A01021   Software   INE154A01025   Consumet   INE002A01018   Petroleum   INE090A01021   Banks   INE467B01029   Software   INE018A01030   Construct	Non Durables Products	467,121 91,423 321,563 29,326 45,324	1,127.40 990.75 810.82 712.86 649.56	5.59% 4.57% 4.02% 3.66%	Bharat Heavy Electricals Limited Idea Cellular Limited Sub Total	INE245A01021 Power INE257A01026 Industrial Capital Go	100,399 ods 50,025	67.38 0.38% 48.84 0.28% 17,391.10 98.05%	(2) Plan/Option wise Net Asset Values are as follows:	:	s on March 21	
uity & Equity related  Listed / awaiting listing on Stock Exchanges FC Bank Limited using Development Finance Corporation Limited sysy Limited C Limited iance Industries Limited CI Bank Limited a Consultancy Services Limited sen & Toubro Limited a Motors Limited is Bank Limited	INE001A01036   Finance   INE009A01021   Software   INE154A01025   Consumet   INE002A01018   Petroleum   INE090A01021   Banks   INE467B01029   Software   INE018A01030   Construct   INE155A01022   Auto   INE238A01034   Banks	Non Durables Products ion Project	467,121 91,423 321,563 29,326 45,324 107,048 92,205	1,127.40 990.75 810.82 712.86 649.56 572.55 499.15	5.59% 4.57% 4.02% 3.66% 3.23% 2.81%	Bharat Heavy Electricals Limited Idea Cellular Limited Sub Total (b) Unlisted Sub Total	INE245A01021 Power INE257A01026 Industrial Capital Go	100,399 ods 50,025	67.38 0.38% 48.84 0.28% 17,391.10 98.05% NIL NIL NIL NIL		:	As on March 31, Rs. 39.3540	
uity & Equity related  Listed / awaiting listing on Stock Exchanges FC Bank Limited using Development Finance Corporation Limited sysy Limited C Limited iance Industries Limited CI Bank Limited a Consultancy Services Limited sen & Toubro Limited a Motors Limited is Bank Limited is Bank Limited is Bank Limited	INE001A01036   Finance   INE009A01021   Software   INE154A01025   Consumet   INE002A01018   Petroleum   INE090A01021   Banks   INE467B01029   Software   INE018A01030   Construct   INE155A01022   Auto	Non Durables Products ion Project	467,121 91,423 321,563 29,326 45,324 107,048	1,127.40 990.75 810.82 712.86 649.56 572.55 499.15	5.59% 4.57% 4.02% 3.66% 3.23%	Bharat Heavy Electricals Limited Idea Cellular Limited Sub Total (b) Unlisted Sub Total Total CBLO / Reverse Repo	INE245A01021 Power INE257A01026 Industrial Capital Go	100,399 ods 50,025	67.38 0.38% 48.84 0.28% 17,391.10 98.05% NIL NIL NIL 17,391.10 98.05%	(2) Plan/Option wise Net Asset Values are as follows: Plan	: As on September 30, 2016		
uity & Equity related  Listed / awaiting listing on Stock Exchanges  FC Bank Limited  using Development Finance Corporation Limited  ossys Limited  Limited  iance Industries Limited  CI Bank Limited  a Consultancy Services Limited  sen & Toubro Limited  a Motors Limited  a Motors Limited  b Bank Limited  h Pharmaceuticals Industries Limited  tak Mahindra Bank Limited  te Bank of India	INE001A01036   Finance   INE009A01021   Software   INE154A01025   Consumet   INE000A01018   Petroleum   INE090A01021   Banks   INE467B01029   Software   INE018A01030   Construct   INE155A01022   Auto   INE238A01034   Banks   INE044A01036   Pharmace   INE237A01028   Banks   INE062A01020   Banks	Non Durables Products ion Project uticals	467,121 91,423 321,563 29,326 45,324 107,048 92,205 59,756 56,666 171,880	1,127.40 990.75 810.82 712.86 649.56 572.55 499.15 443.81 440.29 431.85	5.59% 4.57% 4.02% 3.66% 3.23% 2.81% 2.50% 2.48% 2.43%	Bharat Heavy Electricals Limited Idea Cellular Limited Sub Total (b) Unlisted Sub Total Total	INE245A01021 Power INE257A01026 Industrial Capital Go	100,399 ods 50,025	67.38 0.38% 48.84 0.28% 17,391.10 98.05% NIL NIL NIL NIL	(2) Plan/Option wise Net Asset Values are as follows:  Plan  Regular Plan - Growth Option	: As on September 30, 2016 A	Rs. 39.3540	
uity & Equity related  Listed / awaiting listing on Stock Exchanges  FC Bank Limited using Development Finance Corporation Limited osys Limited  C Limited iance Industries Limited CI Bank Limited CI Bank Limited a Consultancy Services Limited sen & Toubro Limited a Motors Limited is Bank Limited is Bank Limited the Manindra Bank Limited te Bank of India ruti Suzuki India Limited hindra & Mahindra Limited hindra & Mahindra Limited	INE001A01036   Finance   INE009A01021   Software   INE154A01025   Consumet   INE002A01018   Petroleum   INE090A01021   Banks   INE047B01022   Auto   INE238A01034   Banks   INE044A01036   Pharmace   INE023A01028   Banks   INE062A01020   Auto   INE101A01026   Auto   INE101A01026   Auto   INE101A01026   INE009A01025   INEO09A01025   INEO09A01025	Non Durables Products  ion Project  uticals	467,121 91,423 321,563 29,326 45,324 107,048 92,205 59,756 56,666 171,880 7,409 25,400	1,127.40 990.75 810.82 712.86 649.56 572.55 499.15 443.81 440.29 431.85 405.81 357.05	5.59% 4.57% 4.02% 3.66% 3.23% 2.81% 2.50% 2.48% 2.243% 2.29% 2.01%	Bharat Heavy Electricals Limited Idea Cellular Limited Sub Total (b) Unlisted Sub Total Total CBLO/Reverse Repo Clearing Corporation of India Ltd Sub Total Total	INE245A01021 Power INE257A01026 Industrial Capital Go	100,399 ods 50,025	67.38 0.38% 48.84 0.28% 17,391.10 98.05% NIL 17,391.10 98.05% 336.89 1.90% 336.89 1.90% 1.90%	(2) Plan/Option wise Net Asset Values are as follows:  Plan  Regular Plan - Growth Option  Regular Plan - Monthly Dividend Option	: As on September 30, 2016 As . 42.9676 Rs. 12.5870	Rs. 39.3540 Rs. 11.8624	
uity & Equity related  Listed / awaiting listing on Stock Exchanges FC Bank Limited using Development Finance Corporation Limited oxys Limited C Limited iance Industries Limited CI Bank Limited C Bank Limited a Consultancy Services Limited sen & Toubro Limited a Motors Limited is Bank Limited is Bank Limited tak Mahindra Bank Limited tak Mahindra Bank Limited tak Mahindra Bank Limited tak Mahindra Bank Limited to Bank of India ruti Suzuki India Limited hindra & Mahindra Limited dustan Unilever Limited	INE001A01036   Finance   INE009A01021   Software   INE154A01025   Consumet   INE002A01018   Petroleum   INE090A01021   Banks   INE047B01022   Auto   INE238A01034   Banks   INE044A01036   Pharmace   INE023A01028   Banks   INE062A01020   Auto   INE101A01026   Auto   INE101A01026   Auto   INE101A01026   INE009A01025   INEO09A01025   INEO09A01025	Non Durables Products ion Project uticals	467,121 91,423 321,563 29,326 45,324 107,048 92,205 59,756 56,666 171,880 7,409	1,127.40 990.75 810.82 712.86 649.56 572.55 499.15 443.81 440.29 431.85 405.81	5.59% 4.57% 4.02% 3.66% 3.23% 2.81% 2.50% 2.48% 2.243% 2.29% 2.01%	Bharat Heavy Electricals Limited Idea Cellular Limited Sub Total (b) Unlisted Sub Total Total CBLO / Reverse Repo Clearing Corporation of India Ltd Sub Total	INE245A01021 Power INE257A01026 Industrial Capital Go	100,399 ods 50,025	67.38 0.38% 48.84 0.28% 17,391.10 98.05% NIL 17,391.10 98.05% 336.89 1.90% 336.89 1.90%	(2) Plan/Option wise Net Asset Values are as follows:  Plan  Regular Plan - Growth Option  Regular Plan - Monthly Dividend Option  Direct Plan - Growth Option	: As on September 30, 2016 As . 42.9676 Rs. 12.5870 Rs. 43.8349 Rs. 13.9471	Rs. 39.3540 Rs. 11.8624 Rs. 40.0250	
uity & Equity related  Listed / awaiting listing on Stock Exchanges  FC Bank Limited  using Development Finance Corporation Limited  osys Limited  Limited  Limited  Limited  CI Bank Limited  ta Consultancy Services Limited  resen & Toubro Limited  a Motors Limited  is Bank Limited  h Pharmaceuticals Industries Limited  tak Mahindra Bank Limited  tate Bank of India  ruti Suzuki India Limited  dindra & Mahindra Limited  dustan Unilever Limited  usind Bank Limited  dustan Unilever Limited	INE001A01036   Finance   INE009A01021   Software   INE154A01025   Consumer   INE009A01018   Banks   INE467B01029   Software   INE018A01030   Construct   INE155A01022   Auto   INE238A01034   Banks   INE044A01036   Pharmace   INE237A01028   Banks   INE062A01020   Banks   INE0858B01010   Auto   INE030A01027   Consumer   INE095A01012   Banks   INE021A01026   Consumer   INE095A01012   Consumer	Non Durables Products  ion Project  uticals	467,121 91,423 321,563 29,326 45,324 107,048 92,205 59,756 56,666 171,880 7,409 25,400 39,472	1,127.40 990.75 810.82 712.86 649.56 572.55 499.15 443.81 440.29 431.85 405.81 357.05 342.56 322.39 290.06	5.59% 4.57% 4.02% 3.66% 3.23% 2.81% 2.50% 2.48% 2.29% 2.01% 1.93% 1.82% 1.64%	Bharat Heavy Electricals Limited Idea Cellular Limited Sub Total (b) Unlisted Sub Total Total CBLO / Reverse Repo Clearing Corporation of India Ltd Sub Total Total Net Receivables / (Payables) GRAND TOTAL ^Industry classification as recommended by AMFI and w	INE245A01021 Power INE257A01026 Industrial Capital Go INE669E01016 Telecom - Services	100,399 50,025 61,739	67.38 0.38% 48.84 0.28% 17,391.10 98.05% NIL 17,391.10 98.05% 336.89 1.90% 336.89 1.90% 8.57 0.05%	(2) Plan/Option wise Net Asset Values are as follows:  Plan  Regular Plan - Growth Option  Regular Plan - Monthly Dividend Option  Direct Plan - Growth Option  Direct Plan - Monthly Dividend Option  (3) Dividend in the following plans was declared durance Regular Plan - Monthly Dividend Option	: As on September 30, 2016 As . 42.9676 Rs. 12.5870 Rs. 43.8349 Rs. 13.9471	Rs. 39.3540 Rs. 11.8624 Rs. 40.0250	Per Unit (R
uity & Equity related  Listed / awaiting listing on Stock Exchanges  FC Bank Limited using Development Finance Corporation Limited osys Limited  C Limited  I Limited  I Limited  I Limited  I C Limited  I D Limited  I C Limited  I D Limited  I Limited  I Limited  I Limited  I Limited  I Limited  I D Limited  I D Limited  I D Limited  I Limited  I D Limited  I D Limited  I D Limited  I D Limited  I Limit	INE001A01036   Finance   INE009A01021   Software   INE154A01025   Consumet   INE002A0108   Petroleum   INE090A01021   Banks   INE045A01030   Construct   INE155A01022   Auto   INE238A01034   Banks   INE044A01036   Pharmace   INE237A01028   Banks   INE052A01020   Banks   INE0530A01027   Consumet   INE030A01027   Consumet   INE021A01026   Consumet   INE213A01029   Oil   INE860A01027   Software   INE021A01020   INE860A01027   INE8	Non Durables Products  ion Project  uticals  Non Durables	467,121 91,423 321,563 29,326 45,324 107,048 92,205 59,756 56,666 171,880 7,409 25,400 39,472 26,957 24,990 99,258 31,246	1,127.40 990.75 810.82 712.86 649.56 572.55 499.15 443.81 440.29 431.85 405.81 357.05 342.56 322.39 290.06 254.75 249.94	5.59% 4.57% 4.02% 3.66% 3.23% 2.81% 2.50% 2.48% 2.29% 2.01% 1.93% 1.82% 1.64% 1.44%	Bharat Heavy Electricals Limited Idea Cellular Limited Sub Total (b) Unlisted Sub Total Total CBLO/ Reverse Repo Clearing Corporation of India Ltd Sub Total Total Net Receivables / (Payables) GRAND TOTAL Andustry classification as recommended by AMFI and v Notes:	INE245A01021 Power INE257A01026 Industrial Capital Go INE669E01016 Telecom - Services  wherever not available, internal classification	100,399 50,025 61,739	67.38 0.38% 48.84 0.28% 17,391.10 98.05% NIL 17,391.10 98.05% 336.89 1.90% 336.89 1.90% 8.57 0.05%	(2) Plan/Option wise Net Asset Values are as follows:  Plan  Regular Plan - Growth Option  Regular Plan - Monthly Dividend Option  Direct Plan - Growth Option  Direct Plan - Monthly Dividend Option  (3) Dividend in the following plans was declared durance Regular Plan - Monthly Dividend Option  Direct Plan - Monthly Dividend Option	: As on September 30, 2016 As . 42.9676 Rs. 12.5870 Rs. 43.8349 Rs. 13.9471	Rs. 39.3540 Rs. 11.8624 Rs. 40.0250	Per Unit (R
uity & Equity related  Listed / awaiting listing on Stock Exchanges  FC Bank Limited  using Development Finance Corporation Limited  osys Limited  C Limited  A Consultancy Services Limited  sen & Toubro Limited  a Motors Limited  a Motors Limited  is Bank Limited  a Motors Limited  tak Mahindra Bank Limited  tak Mahindra Bank Limited  tak Mahindra Hank Limited  tak Mahindra Limited  tak Mahindra Limited  to Suzuki India Limited  hindra & Mahindra Limited  diustan Unilever Limited  usind Bank Limited  an Paints Limited  & Natural Gas Corporation Limited  IT Technologies Limited  to MotoCorp Limited  arti Airtel Limited	INE001A01036   Finance   INE009A01021   Software   INE154A01025   Consumet   INE002A01018   Petroleum   INE090A01021   Banks   INE467B01022   Auto   INE238A01034   Banks   INE044A01036   Pharmace   INE237A01028   Banks   INE062A01020   Banks   INE062A01020   INE030A01027   Consumet   INE095A01012   Banks   INE021A01026   Consumet   INE213A01029   Oil INE860A01027   Software   INE158A01026   Auto   INE36A001027   Software   INE158A01026   Auto   INE36A001027   Software   INE158A01026   Auto   INE36A001027   Auto   INE36A001027   Software   INE158A01026   Auto   INE36A001027   Auto   INE36A01026   Auto   INE36A01027   Telecom   INE36A01027   Auto   INE36A01027   Telecom   INE36A01027   Auto   INE36A01027   Telecom   INE36A01027   Auto   INE36A01027	Non Durables Products  ion Project  uticals  Non Durables Non Durables	467,121 91,423 321,563 29,326 45,324 45,324 107,048 92,205 59,756 56,666 171,800 25,400 39,472 26,957 24,990 99,258 31,246 7,017 75,049	1,127.40 990.75 810.82 712.86 649.56 572.55 499.15 443.81 440.29 431.85 405.81 357.05 342.56 322.39 290.06 254.75 249.94 239.54 235.65	5.59% 4.57% 4.02% 3.66% 3.23% 2.81% 2.50% 2.48% 2.243% 2.29% 1.93% 1.82% 1.64% 1.44% 1.35% 1.33%	Bharat Heavy Electricals Limited Idea Cellular Limited Sub Total (b) Unlisted Sub Total Total CBLO / Reverse Repo Clearing Corporation of India Ltd Sub Total Total Net Receivables / (Payables) GRAND TOTAL  Andustry classification as recommended by AMFI and w Notes: (1) The provision made for Non Performing Assets a (2) Plan/Option wise Net Asset Values are as follows	INE245A01021 Power INE257A01026 Industrial Capital Go INE669E01016 Telecom - Services  wherever not available, internal classification s of September 30, 2016 is Nil.	100,399 ods 50,025 61,739 has been used	67.38 0.38% 48.84 0.28% 17,391.10 98.05% NIL NIL 17,391.10 98.05% 336.89 1.90% 8.57 0.05% 17,736.56 100.00%	Plan/Option wise Net Asset Values are as follows:     Plan      Regular Plan - Growth Option     Regular Plan - Monthly Dividend Option     Direct Plan - Monthly Dividend Option     Direct Plan - Monthly Dividend Option      Oividend in the following plans was declared durance Regular Plan - Monthly Dividend Option     Direct Plan - Monthly Dividend Option     Direct Plan - Monthly Dividend Option     Otealis of derivatives exposure and transaction:  (4)	: As on September 30, 2016  Rs. 42.9676  Rs. 12.5870  Rs. 43.8349  Rs. 13.9471  ring the half year ended September 30, 2016	Rs. 39.3540 Rs. 11.8624 Rs. 40.0250 Rs. 13.1036	Per Unit (R 0.47
uity & Equity related  Listed / awaiting listing on Stock Exchanges  FC Bank Limited  using Development Finance Corporation Limited  ossys Limited  Limited  iance Industries Limited  CI Bank Limited  a Consultancy Services Limited  sean & Toubro Limited  a Motors Limited  is Bank Limited  to Bank Limited  to Bank Limited  to Bank India Limited  to Bank of India  ruti Suzuki India Limited  dustan Mahindra Limited  dustand Mahindra Limited  dustand Hank Limited  usind Bank Limited  an Paints Limited  & Natural Gas Corporation Limited  L Technologies Limited  on MotoCorp Limited  arti Airtel Limited  to MotoCorp Limited  arti Airtel Limited  s Bank Limited  arti Airtel Limited  s Bank Limited	INE001A01036   Finance   INE009A01021   Software   INE154A01025   Consumet   INE002A0108   Petroleum   INE090A01021   Banks   INE045A01030   Construct   INE155A01022   Auto   INE238A01034   Banks   INE044A01036   Pharmace   INE237A01028   Banks   INE062A01020   Banks   INE062A01020   Auto   INE030A01027   Consumet   INE095A01012   Banks   INE021A01026   Consumet   INE021A01026   INE33A01027   Oil   INE860A01027   Software   INE158A01026   Auto   INE058A01027   INE860A01027   Software   INE158A01026   Auto   INE058A01027   INE860A01027   Software   INE158A01026   Auto   INE0021A01026   INE860A01027   Software   INE158A01026   Auto   INE0021A01026   INE158A01026   Auto   INE0021A01026   INE158A01026   Auto   INE0021A01026   INE158A01026   INE158A01026   INE158A01026   INE158A01026   INE0021A01027   INE158A01026   INE0021A01027   INE158A01026   INE0021A01027   INE002	Non Durables Products  ion Project  uticals  Non Durables  Non Durables  Services	467,121 91,423 321,563 29,326 45,324 107,048 92,205 59,756 56,666 171,880 25,400 39,472 24,990 99,258 31,246 7,017	1,127.40 990.75 810.82 712.86 649.56 649.55 499.15 443.81 440.29 431.85 405.81 357.05 342.56 322.39 290.06 254.75 249.94 239.54	5.59% 4.57% 4.02% 3.66% 3.23% 2.81% 2.50% 2.48% 2.29% 2.01% 1.93% 1.82% 1.64% 1.44% 1.41% 1.35%	Bharat Heavy Electricals Limited Idea Cellular Limited Sub Total (b) Unlisted Sub Total Total CBLO/ Reverse Repo Clearing Corporation of India Ltd Sub Total Total Net Receivables / (Payables) GRAND TOTAL  Andustry classification as recommended by AMFI and w Notes: (1) The provision made for Non Performing Assets a	INE245A01021 Power INE257A01026 Industrial Capital Go INE669E01016 Telecom - Services  wherever not available, internal classification s of September 30, 2016 is Nil.	100,399 50,025 61,739	67.38 0.38% 48.84 0.28% 17,391.10 98.05% NIL NIL 17,391.10 98.05% 336.89 1.90% 336.89 1.90% 8.57 0.05% 17,736.56 100.00%	(2) Plan/Option wise Net Asset Values are as follows:  Plan  Regular Plan - Growth Option  Regular Plan - Monthly Dividend Option  Direct Plan - Growth Option  Direct Plan - Monthly Dividend Option  (3) Dividend in the following plans was declared durance Regular Plan - Monthly Dividend Option  Direct Plan - Monthly Dividend Option	: As on September 30, 2016  Rs. 42.9676  Rs. 12.5870  Rs. 43.8349  Rs. 13.9471  ring the half year ended September 30, 2016  ber 30, 2016 is Nil and For the half year ended September 30, 2016	Rs. 39.3540 Rs. 11.8624 Rs. 40.0250 Rs. 13.1036	Per Unit (R 0.43 0.47
uity & Equity related  Listed / awaiting listing on Stock Exchanges  FC Bank Limited using Development Finance Corporation Limited osys Limited  Limited iance Industries Limited CI Bank Limited a Consultancy Services Limited sen & Toubro Limited so Motors Limited a Motors Limited b Bank Limited tak Mahindra Bank Limited tak Mahindra Bank Limited tak Mahindra Bank Limited thindra & Mahindra Limited dustan Unilever Limited dustan Unilever Limited an Paints Limited & Natural Gas Corporation Limited L Technologies Limited o MotoCorp Limited ari Airtel Limited d Bank Limited d Bank Limited d Bank Limited d Bank Limited	INE001A01036   Finance   INE009A01021   Software   INE154A01025   Consumet   INE002A0108   Petroleum   INE090A01021   Banks   INE045A01030   INE155A01022   Auto   INE238A01034   Banks   INE044A01036   Pharmace   INE237A01028   Banks   INE062A01020   INE030A01027   Consumet   INE01A01026   Auto   INE101A01026   Auto   INE01A01027   INE095A01012   Banks   INE021A01029   INE860A01027   Software   INE158A01026   Auto   INE37D01024   Auto   INE37D01024   Auto   INE37D01024   INE32F001024   Auto   INE32F0	Non Durables Products  ion Project  uticals  Non Durables  Non Durables  Services  Mining	467,121 91,423 321,563 29,324 45,324 107,048 92,205 59,756 56,666 7,409 25,400 39,472 26,957 24,990 99,258 31,246 7,017 75,049 18,002 7,788	1,127.40 990.75 810.82 712.86 649.56 572.55 499.15 443.81 440.29 431.85 405.81 357.05 342.56 322.39 290.06 254.75 249.94 239.54 235.65 225.87 225.30 220.21	5.59% 4.57% 4.02% 3.66% 3.23% 2.81% 2.48% 2.43% 2.29% 2.01% 1.93% 1.64% 1.44% 1.41% 1.35% 1.35% 1.27% 1.27% 1.24%	Bharat Heavy Electricals Limited Idea Cellular Limited Sub Total (b) Unlisted Sub Total Total Total CBLO / Reverse Repo Clearing Corporation of India Ltd Sub Total Total Net Receivables / (Payables) GRAND TOTAL  Andustry classification as recommended by AMFI and w Notes: (1) The provision made for Non Performing Assets a (2) Plan/Option wise Net Asset Values are as follows Plan Regular Plan - Growth Option (3) No dividend was declared during the half year er	INE245A01021 Power INE257A01026 Industrial Capital Go INE669E01016 Telecom - Services  wherever not available, internal classification s of September 30, 2016 is Nil.  As on September 30, 2016 Rs. 90.7898	100,399 30ds 50,025 61,739 has been used  As on March 31,	67.38 0.38% 48.84 0.28% 17,391.10 98.05% NIL NIL 17,391.10 98.05% 336.89 1.90% 336.89 1.90% 8.57 0.05% 17,736.56 100.00%	Plan/Option wise Net Asset Values are as follows:     Plan      Regular Plan - Growth Option     Regular Plan - Monthly Dividend Option     Direct Plan - Monthly Dividend Option     Direct Plan - Monthly Dividend Option      Oividend in the following plans was declared durance Plan - Monthly Dividend Option     Direct Plan - Monthly Dividend Option     Direct Plan - Monthly Dividend Option     Otrect Plan - Monthly Dividend Option     Otrect Plan - Monthly Dividend Option  (4) Details of derivatives exposure and transaction:     (a) Hedging Position through Futures as on Septembre	As on September 30, 2016  Rs. 42.9676  Rs. 12.5870  Rs. 43.8349  Rs. 13.9471  ring the half year ended September 30, 2016  ber 30, 2016 is Nil and For the half year ended September 30, 2016	Rs. 39.3540 Rs. 11.8624 Rs. 40.0250 Rs. 13.1036	Per Unit (R 0.43 0.45 5 there were no he
itity & Equity related  Listed / awaiting listing on Stock Exchanges FC Bank Limited using Development Finance Corporation Limited oxys Limited  Limited  Limited  C	INE001A01036   Finance   INE009A01021   Software   INE002A0108   Petroleum   INE090A01021   Banks   INE04A01030   Construct   INE018A01030   Construct   INE018A01030   Auto   INE018A01030   Banks   INE044A01036   Pharmace   INE037A01020   Banks   INE062A01020   Banks   INE010A01026   Auto   INE030A01027   Consumer   INE095A01012   Banks   INE021A01026   Consumer   INE095A01012   Software   INE018A01026   Auto   INE30A01027   Consumer   INE058A01027   Software   INE018A01026   Auto   INE397D01024   Telecom   INE018A01026   Auto   INE397D01024   INE522F01014   Minerals/I	Non Durables Products  ion Project  uticals  Non Durables  Non Durables  Services  Mining  uticals	467,121 91,423 321,563 29,326 45,324 45,324 45,324 45,324 92,205 59,756 56,666 171,880 25,400 39,472 26,957 24,990 99,258 31,246 7,017 75,049 18,002 69,904 7,788 7,020 121,521	1,127.40 990.75 810.82 712.86 649.56 572.55 499.15 443.81 440.29 431.85 405.81 357.05 342.56 322.39 290.06 254.75 249.94 239.54 235.65 225.87 225.30 220.21 218.14 214.55	5.59% 4.57% 4.02% 3.66% 3.23% 2.81% 2.50% 2.48% 2.29% 1.93% 1.82% 1.64% 1.44% 1.35% 1.35% 1.27% 1.27% 1.24% 1.23% 1.24%	Bharat Heavy Electricals Limited Idea Cellular Limited Sub Total (b) Unlisted Sub Total Total Total CBLO / Reverse Repo Clearing Corporation of India Ltd Sub Total Total Net Receivables / (Payables) GRAND TOTAL  Andustry classification as recommended by AMFI and w. Notes: (1) The provision made for Non Performing Assets a (2) Plan/Option wise Net Asset Values are as follows Plan Regular Plan - Growth Option (3) No dividend was declared during the half year er (4) Details of derivatives exposure and transaction:	INE245A01021 Power INE257A01026 Industrial Capital Go INE669E01016 Telecom - Services  wherever not available, internal classification s of September 30, 2016 is Nil. :  As on September 30, 2016 Rs. 90.7898 aded September 30, 2016.	100,399 dos 50,025 61,739 has been used  As on March 31, Rs. 80.8861	67.38 0.38% 48.84 0.28% 17,391.10 98.05% NIL NIL 17,391.10 98.05% 336.89 1.90% 336.89 1.90% 8.57 0.05% 17,736.56 100.00%	(2) Plan/Option wise Net Asset Values are as follows:  Plan  Regular Plan - Growth Option  Regular Plan - Monthly Dividend Option  Direct Plan - Monthly Dividend Option  Direct Plan - Monthly Dividend Option  (3) Dividend in the following plans was declared dure Regular Plan - Monthly Dividend Option  Direct Plan - Monthly Dividend Option  Direct Plan - Monthly Dividend Option  (4) Details of derivatives exposure and transaction:  (a) Hedging Position through Futures as on Septeml transactions through futures which have been squ  (b) Other than Hedging Position through Futures as were no non-hedging transactions through futures	As on September 30, 2016  Rs. 42.9676  Rs. 12.5870  Rs. 43.8349  Rs. 13.9471  ring the half year ended September 30, 2016  ber 30, 2016 is Nil and For the half year ended September 30, 2016 is Nil and For the h	Rs. 39.3540 Rs. 11.8624 Rs. 40.0250 Rs. 13.1036	Per Unit (I 0.4: 0.4  5 there were no he
uity & Equity related Listed / awaiting listing on Stock Exchanges FCE Bank Limited using Development Finance Corporation Limited ossys Limited Limite	INE001A01036   Finance   INE009A01021   Software   INE0154A01025   Consumer   INE090A01021   Banks   INE040A01034   Banks   INE040A01034   Banks   INE044A01036   Pharmace   INE038A01034   Banks   INE044A01036   Pharmace   INE038A01020   Banks   INE042A01020   Banks   INE052A01020   Banks   INE042A01020   Banks   INE030A01027   Consumer   INE030A01027   Consumer   INE013A01029   Oil   INE030A01027   Auto   INE030A01027   INE528G01019   Banks   INE021A01026   Auto   INE0397D01024   Telecom   INE052F01014   Minerals/INE01701010   Auto   INE089A01023   Pharmace   INE052E01010   Power   INE481G01011   Cement	Non Durables Products  ion Project  uticals  Non Durables  Non Durables  Services  Mining  uticals	467,121 91,423 321,563 29,326 45,324 107,048 92,205 59,756 56,666 171,880 25,400 39,472 26,957 24,990 99,258 31,246 7,017 75,049 18,002 7,788 7,020	1,127.40 990.75 810.82 712.86 649.56 572.55 499.15 443.81 440.29 431.85 405.81 357.05 342.56 322.39 290.06 254.75 249.94 239.54 235.65 225.87 225.30 220.21 218.14 214.55	5.59% 4.57% 4.02% 3.66% 3.23% 2.81% 2.50% 2.48% 2.29% 2.01% 1.93% 1.82% 1.64% 1.44% 1.35% 1.33% 1.27% 1.27% 1.27% 1.24% 1.23%	Bharat Heavy Electricals Limited Idea Cellular Limited Sub Total (b) Unlisted Sub Total Total Total CBLO / Reverse Repo Clearing Corporation of India Ltd Sub Total Total Total Total Total Total Total Total  Net Receivables / (Payables) GRANDTOTAL  Andustry classification as recommended by AMFI and v Notes:  (1) The provision made for Non Performing Assets a (2) Plan/Option wise Net Asset Values are as follows Plan Regular Plan - Growth Option (3) No dividend was declared during the half year er (4) Details of derivatives exposure and transaction: (a) Hedging Position through Futures as on Septem transactions through futures which have been squ	INE245A01021 Power INE257A01026 Industrial Capital Go INE669E01016 Telecom - Services  wherever not available, internal classification s of September 30, 2016 is Nil. :  As on September 30, 2016 Rs. 90.7898 aded September 30, 2016. ber 30, 2016 is Nil and For the half year ended ared off/expired.	100,399 ods 50,025 61,739  has been used  As on March 31. Rs. 80.8861	67.38 0.38% 48.84 0.28% 17,391.10 98.05% NIL NIL 17,391.10 98.05% 336.89 1.90% 35.89 1.90% 1.7,736.56 100.00%	(2) Plan/Option wise Net Asset Values are as follows:  Plan  Regular Plan - Growth Option  Regular Plan - Monthly Dividend Option  Direct Plan - Monthly Dividend Option  Direct Plan - Monthly Dividend Option  (3) Dividend in the following plans was declared dure Regular Plan - Monthly Dividend Option  Direct Plan - Monthly Dividend Option  Direct Plan - Monthly Dividend Option  (4) Details of derivatives exposure and transaction:  (a) Hedging Position through Futures as on Septeml transactions through futures which have been squ  (b) Other than Hedging Position through Futures as were no non-hedging transactions through futures  (c) Hedging Position through Put Options as on S	As on September 30, 2016  Rs. 42.9676  Rs. 12.5870  Rs. 43.8349  Rs. 13.9471  ring the half year ended September 30, 2016  ber 30, 2016 is Nil and For the half year ended September 30, 2016 is Nil and For the half swhich have been squared off/expired.  September 30, 2016 is Nil and For the half year	Rs. 39.3540 Rs. 11.8624 Rs. 40.0250 Rs. 13.1036	Per Unit (I 0.4: 0.4  5 there were no he
uity & Equity related  Listed / awaiting listing on Stock Exchanges  FC Bank Limited  using Development Finance Corporation Limited  osys Limited  Limited  itance Industries Limited  CI Bank Limited  a Consultancy Services Limited  sen & Toubro Limited  a Motors Limited  is Bank Limited  a Motors Limited  tak Mahindra Bank Limited  tak Mahindra Bank Limited  te Bank of India  ruti Suzuki India Limited  hindra & Mahindra Limited  dustan Unilever Limited  uslnd Bank Limited  an Paints Limited  & Natural Gas Corporation Limited  L' Technologies Limited  to MotoCorp Limited  arti Airtel Limited  s Bank Limited  al India Limited  al India Limited  Reddy's Laboratories Limited  ver Grid Corporation of India Limited  rarech Cement Limited  pin Limited  pin Limited  in Limited  in Limited  pin Limited	INE001A01036   Finance   INE009A01021   Software   INE002A0108   Petroleum   INE090A01021   Banks   INE04A01030   Construct   INE018A01030   Construct   INE018A01030   Auto   INE018A01030   Banks   INE044A01036   Banks   INE044A01036   Banks   INE044A01036   Banks   INE062A01020   Banks   INE030A01027   Consumer   INE095A01012   Banks   INE011A01026   Auto   INE018A01027   INE860A01027   Software   INE018A01026   Auto   INE018A01026   Auto   INE018A01026   Auto   INE018A01026   Auto   INE018A01026   Auto   INE018A01026   Auto   INE018A01020   INE522F01014   INE017101010   INE089A01023   Pharmace   INE733E01010   Power   INE33E01010   Pharmace   INE33E01010   Power   INE33E01010   Power   INE33E01010   Pomer   INE33E01010   Pharmace   INE018A01037   Pharmace   INE018	Non Durables Products  ion Project  uticals  Non Durables  Non Durables  Services  Mining  uticals	467,121 91,423 321,563 29,326 45,324 107,048 92,205 59,756 56,666 7,409 25,400 39,472 26,957 24,990 99,258 31,246 7,017 75,049 18,002 69,904 7,788 7,020 121,521 5,499 136,738 13,271	1,127.40 990.75 810.82 712.86 649.56 572.55 499.15 443.81 440.29 431.85 405.81 357.05 342.56 322.39 290.06 254.75 249.94 239.54 235.56 225.87 225.30 220.21 218.14 214.55 211.80 202.37 197.24	5.59% 4.57% 4.02% 3.66% 3.23% 2.81% 2.50% 2.48% 2.43% 2.01% 1.93% 1.64% 1.44% 1.41% 1.35% 1.27% 1.27% 1.27% 1.24% 1.23% 1.21% 1.11%	Bharat Heavy Electricals Limited Idea Cellular Limited Sub Total (b) Unlisted Sub Total Total CBLO / Reverse Repo Clearing Corporation of India Ltd Sub Total Total Total Net Receivables / (Payables) GRANDTOTAL  Andustry classification as recommended by AMFI and v Notes: (1) The provision made for Non Performing Assets a (2) Plan/Option wise Net Asset Values are as follows Plan Regular Plan - Growth Option (3) No dividend was declared during the half year er (4) Details of derivatives exposure and transaction: (a) Hedging Position through Futures as on Septem	INE245A01021 Power INE257A01026 Industrial Capital Go INE669E01016 Telecom - Services  wherever not available, internal classification as of September 30, 2016 is Nil.  :  As on September 30, 2016 Rs. 90.7898 aded September 30, 2016.  ber 30, 2016 is Nil and For the half year ended ared off/expired. as on September 30, 2016 is Nil and For the	100,399 ods 50,025 61,739  has been used  As on March 31. Rs. 80.8861	67.38 0.38% 48.84 0.28% 17,391.10 98.05% NIL NIL 17,391.10 98.05% 336.89 1.90% 35.89 1.90% 1.7,736.56 100.00%	(2) Plan/Option wise Net Asset Values are as follows:  Plan  Regular Plan - Growth Option  Regular Plan - Monthly Dividend Option  Direct Plan - Monthly Dividend Option  Direct Plan - Monthly Dividend Option  (3) Dividend in the following plans was declared durance of the plan - Monthly Dividend Option  Direct Plan - Monthly Dividend Option  Direct Plan - Monthly Dividend Option  (4) Details of derivatives exposure and transaction:  (a) Hedging Position through Futures as on September transactions through futures which have been squ  (b) Other than Hedging Position through Futures as were no non-hedging transactions through put Options as on S no hedging transactions through option which have	As on September 30, 2016  Rs. 42.9676  Rs. 12.5870  Rs. 43.8349  Rs. 13.9471  ring the half year ended September 30, 2016  ber 30, 2016 is Nil and For the half year ended September 30, 2016 is Nil and For the half year ended September 30, 2016 is Nil and For the half year ended September 30, 2016 is Nil and For the half year ever exercised/expired.	Rs. 39.3540 Rs. 11.8624 Rs. 40.0250 Rs. 13.1036 ptember 30, 2016 f year ended Septemb	Per Unit (I 0.4: 0.4: 5 there were no he otember 30, 2016 er 30, 2016 there
ity & Equity related  Listed / awaiting listing on Stock Exchanges  FC Bank Limited  using Development Finance Corporation Limited  sys Limited  Limited  iance Industries Limited  CI Bank Limited  a Consultancy Services Limited  sen & Toubro Limited  a Motors Limited  a Motors Limited  s Bank Limited  1 Pharmaceuticals Industries Limited  ak Mahindra Bank Limited  te Bank of India  ruti Suzuki India Limited  dustan Unilever Limited  a Natural Gas Corporation Limited  L Technologies Limited  o MotoCorp Limited  urti Airtel Limited  al India Limited  al India Limited  al India Limited  al Auto Limited  Reddy's Laboratories Limited  red Grid Corporation of India Limited  rarche Cement Limited  per Limited  per Limited  in Limited	INE001A01036   Finance   INE009A01021   Software   INE002A0108   Petroleum   INE090A01021   Banks   INE467B01029   Auto   INE038A01034   Banks   INE044A01036   Pharmace   INE038A01020   Banks   INE044A01036   Pharmace   INE035A01022   Auto   INE030A01027   Consumer   INE095A01012   Banks   INE041A01026   Consumer   INE095A01012   Banks   INE021A01026   Consumer   INE095A01012   Software   INE213A01029   Oil   INE38A01026   Auto   INE397D01024   Telecom   INE528G01019   Banks   INE522F01014   Minerals/INE917101010   INE089A01023   Pharmace   INE752E01010   Power   INE481G01011   Cement   INE733E01010   Power   INE09A01010   INE09R010101   INE0PROTORDORDORDORDORDORDORDORDORDORDORDORDORDO	Non Durables Products  ion Project  uticals  Non Durables  Non Durables  Services  Mining  uticals  uticals	467,121 91,423 321,563 29,326 45,324 45,324 92,205 59,756 56,666 171,880 7,409 39,472 26,957 24,990 99,258 31,246 7,017 75,049 18,002 69,904 7,788 7,020 121,521 5,499 136,738	1,127.40 990.75 810.82 712.86 649.56 572.55 499.15 443.81 440.29 431.85 405.81 357.05 342.56 322.39 290.06 254.75 249.94 239.54 235.55 225.87 225.30 220.21 218.14 214.55 211.80 202.37 197.24	5.59% 4.57% 4.02% 3.66% 3.23% 2.81% 2.50% 2.48% 2.43% 2.01% 1.93% 1.64% 1.44% 1.41% 1.35% 1.27% 1.27% 1.227% 1.24% 1.23% 1.21% 1.21% 1.11%	Bharat Heavy Electricals Limited Idea Cellular Limited Sub Total (b) Unlisted Sub Total Total Total CBLO / Reverse Repo Clearing Corporation of India Ltd Sub Total Total Net Receivables / (Payables) GRAND TOTAL  Andustry classification as recommended by AMFI and w. Notes: (1) The provision made for Non Performing Assets a (2) Plan/Option wise Net Asset Values are as follows Plan Regular Plan - Growth Option (3) No dividend was declared during the half year er (4) Details of derivatives exposure and transaction. (4) Hedging Position through Futures as on Septem transactions through futures which have been squ (b) Other than Hedging Position through Futures were no non-hedging transactions through futures (c) Hedging Position through Put Options as on Septem	INE245A01021 Power INE257A01026 Industrial Capital Go INE669E01016 Telecom - Services  wherever not available, internal classification s of September 30, 2016 is Nil.  :  As on September 30, 2016  Rs. 90.7898 aded September 30, 2016.  ber 30, 2016 is Nil and For the half year ended ared off/expired. as on September 30, 2016 is Nil and For the swhich have been squared off/expired. september 30, 2016 is Nil and For the half yeared september 30, 2016 is Nil and For the half yeared off/expired.	100,399 30ds 50,025 61,739  has been used  As on March 31. Rs. 80.8861  September 30, 2016 half year ended September 30, 2016	67.38 0.38% 48.84 0.28% 17,391.10 98.05% 336.89 1.90% 336.89 1.90% 45.77,36.56 100.00% 17,736.56 there were no hedging otember 30, 2016 there	(2) Plan/Option wise Net Asset Values are as follows:  Plan  Regular Plan - Growth Option  Regular Plan - Monthly Dividend Option  Direct Plan - Monthly Dividend Option  Direct Plan - Monthly Dividend Option  (3) Dividend in the following plans was declared dure Regular Plan - Monthly Dividend Option  Direct Plan - Monthly Dividend Option  Direct Plan - Monthly Dividend Option  (4) Details of derivatives exposure and transaction:  (a) Hedging Position through Futures as on Septeml transactions through futures which have been squ  (b) Other than Hedging Position through Futures as were no non-hedging transactions through futures  (c) Hedging Position through Put Options as on S	As on September 30, 2016  Rs. 42.9676  Rs. 12.5870  Rs. 43.8349  Rs. 13.9471  ring the half year ended September 30, 2016  ber 30, 2016 is Nil and For the half year ended September 30, 2016 is Nil and For the half year ended September 30, 2016 is Nil and For the half year ever exercised/expired.	Rs. 39.3540 Rs. 11.8624 Rs. 40.0250 Rs. 13.1036 ptember 30, 2016 f year ended Septemb	Per Unit (1 0.4 0.4 5 there were no he otember 30, 2016 there
sity & Equity related  Listed / awaiting listing on Stock Exchanges FC Bank Limited using Development Finance Corporation Limited sysys Limited Limited iance Industries Limited CI Bank Limited a Consultancy Services Limited sen & Toubro Limited a Motors Limited s Motors Limited b Motors Limited lipharmaceuticals Industries Limited ak Mahindra Bank Limited the Bank of India ruti Suzuki India Limited dustan Unilever Limited uslnd Bank Limited uslnd Bank Limited an Paints Limited con MotoCorp Limited ruti Airtel Limited an India Limited al India Limited al India Limited al India Limited al Auto Limited re Grid Corporation of India Limited ver Grid Corporation of India Limited ara Pech Cement Limited brin Limited	INE001A01036   Finance   INE009A01021   Software   INE0154A01025   Consumer   INE090A01021   Banks   INE467B01029   Auto   INE038A01034   Banks   INE044A01036   Pharmace   INE0237A01028   Banks   INE044A01036   Pharmace   INE038A01027   Consumer   INE038A01020   Auto   INE3030A01027   Consumer   INE038A01020   Auto   INE039A01021   Telecom   INE039A01021   Pharmace   INE0326A01037   Pharmace   INE0326A01037   Pharmace   INE0326A01037   Pharmace   INE0326A01037   Pharmace   INE0326A01037   Pharmace   INE0326A01037   Pharmace   INE047A01013   Cement   INE047A01013	Non Durables Products  ion Project  uticals  Non Durables  Non Durables  Services  Mining  uticals  uticals	467,121 91,423 321,563 29,326 45,324 45,324 107,048 92,205 59,756 56,666 171,880 225,400 39,472 26,957 24,990 99,258 31,246 7,017 75,049 18,002 69,904 7,788 7,020 121,521 5,499 136,738 13,271 761 28,892 3,526	1,127.40 990.75 810.82 712.86 649.56 649.55 499.15 443.81 440.29 431.85 405.81 357.05 342.56 322.39 290.06 254.75 249.94 239.54 235.65 225.87 225.30 220.21 218.14 214.55 211.80 202.37 197.24 188.94 176.99 170.54	5.59% 4.57% 4.02% 3.66% 3.23% 2.81% 2.50% 2.48% 2.43% 2.29% 1.93% 1.82% 1.64% 1.44% 1.41% 1.27% 1.27% 1.24% 1.24% 1.11% 1.19% 1.14% 1.11% 1.00% 0.96%	Bharat Heavy Electricals Limited Idea Cellular Limited Sub Total (b) Unlisted Sub Total Total CBLO/ Reverse Repo Clearing Corporation of India Ltd Sub Total Total Net Receivables / (Payables) GRAND TOTAL  Andustry classification as recommended by AMFI and v Notes: (1) The provision made for Non Performing Assets a (2) Plan/Option wise Net Asset Values are as follows Plan Regular Plan - Growth Option (3) No dividend was declared during the half year er (4) Details of derivatives exposure and transaction: (a) Hedging Position through Futures as on Septem transactions through futures which have been squ (b) Other than Hedging Position through Futures were no non-hedging transactions through futures	INE245A01021 Power INE257A01026 Industrial Capital Go INE669E01016 Telecom - Services  wherever not available, internal classification s of September 30, 2016 is Nil.  :  As on September 30, 2016  Rs. 90.7898 aded September 30, 2016.  ber 30, 2016 is Nil and For the half year ended ared off/expired. as on September 30, 2016 is Nil and For the swhich have been squared off/expired. september 30, 2016 is Nil and For the half yee exercised/expired.	has been used  As on March 31, Rs. 80.8861  September 30, 2016 half year ended Septemb	67.38 0.38% 48.84 0.28% 17,391.10 98.05% NIL NIL 17,391.10 98.05% 336.89 1.90% 336.89 1.90% 8.57 0.05% 17,736.56 100.00% Sthere were no hedging otember 30, 2016 there were ar 30, 2016 there were ar 30, 2016 there were	Plan  Regular Plan - Growth Option  Regular Plan - Growth Option  Direct Plan - Monthly Dividend Option  Direct Plan - Monthly Dividend Option  Direct Plan - Monthly Dividend Option  Oirect Plan - Monthly Dividend Option  Oirect Plan - Monthly Dividend Option  Direct Plan - Monthly Dividend Option  Direct Plan - Monthly Dividend Option  Oirect Plan - Monthly Dividend Option  (a) Hedging Position through Futures as on Septeml transactions through futures which have been squ  (b) Other than Hedging Position through Futures a were no non-hedging transactions through option as on S no hedging transactions through option which have (d) Other than Hedging Positions through Options	As on September 30, 2016  Rs. 42.9676  Rs. 12.5870  Rs. 43.8349  Rs. 13.9471  ring the half year ended September 30, 2016  ber 30, 2016 is Nil and For the half year ended September 30, 2016 is Nil and For the half swhich have been squared off/expired.  September 30, 2016 is Nil and For the half year exercised/expired.  September 30, 2016 is Nil and For the half year exercised/expired.	Rs. 39.3540 Rs. 11.8624 Rs. 40.0250 Rs. 13.1036 ptember 30, 2016 f year ended Septemb	Per Unit (1 0.4 0.4 5 there were no hotember 30, 2016 there
uity & Equity related  Listed / awaiting listing on Stock Exchanges  DFC Bank Limited  using Development Finance Corporation Limited osys Limited	INE001A01036   Finance   INE009A01021   Software   INE002A0108   Petroleum   INE090A01021   Banks   INE04A01036   Pharmace   INE018A01030   INE018A01030   INE018A01030   INE018A01030   Banks   INE044A01036   Banks   INE044A01036   Banks   INE044A01036   Banks   INE04A01020   Banks   INE010A01020   INE001020   INE000A01027   INE000A0	Non Durables Products  In Project  In Proj	467,121 91,423 321,563 29,326 45,324 107,048 92,205 59,756 56,666 7,409 25,400 39,472 24,990 99,258 31,246 7,017 75,049 18,002 69,904 7,788 7,020 121,521 5,499 136,738 13,271 761 28,892 3,526 35,551 30,442	1,127.40 990.75 810.82 712.86 649.56 572.55 499.15 443.81 440.29 431.85 405.81 357.05 342.56 322.39 290.06 254.75 249.94 239.54 235.55 211.80 202.21 218.14 214.55 211.80 202.37 197.24 188.94 170.27 166.44	5.59% 4.57% 4.02% 3.66% 3.23% 2.281% 2.48% 2.43% 2.299% 2.01% 1.82% 1.64% 1.44% 1.315% 1.27% 1.227% 1.224% 1.23% 1.11% 1.11% 1.11% 1.10% 1.00% 0.96% 0.96%	Bharat Heavy Electricals Limited Idea Cellular Limited Sub Total (b) Unlisted Sub Total Total Total CBLO / Reverse Repo Clearing Corporation of India Ltd Sub Total Total Net Receivables / (Payables) GRANDTOTAL  Andustry classification as recommended by AMFI and w Notes: (1) The provision made for Non Performing Assets a (2) Plan/Option wise Net Asset Values are as follows Plan Regular Plan - Growth Option (3) No dividend was declared during the half year er (4) Details of derivatives exposure and transaction: (a) Hedging Position through Futures as on Septem transactions through futures which have been sque (b) Other than Hedging Position through futures were no non-hedging transactions through futures (c) Hedging Position through Put Options as on S no hedging transactions through Options wish had (d) Other than Hedging Positions through Options were no non-hedging transactions through Options	INE245A01021 Power INE257A01026 Industrial Capital Go INE669E01016 Telecom - Services  wherever not available, internal classification s of September 30, 2016 is Nil.  As on September 30, 2016 Rs. 90.7898 aded September 30, 2016.  ber 30, 2016 is Nil and For the half year ended ared off/expired. s on September 30, 2016 is Nil and For the s which have been squared off/expired. es on September 30, 2016 is Nil and For the half year ended ared off/expired. s on September 30, 2016 is Nil and For the half year ended september 30, 2016 is Nil and For the half year exercised/expired.	has been used  As on March 31, Rs. 80.8861  September 30, 2016 half year ended Septemb	67.38 0.38% 48.84 0.28% 17,391.10 98.05% NIL NIL 17,391.10 98.05% 336.89 1.90% 336.89 1.90% 8.57 0.05% 17,736.56 100.00% Sthere were no hedging otember 30, 2016 there were ar 30, 2016 there were ar 30, 2016 there were	Plan  Regular Plan - Growth Option  Regular Plan - Growth Option  Direct Plan - Monthly Dividend Option  Direct Plan - Monthly Dividend Option  Direct Plan - Monthly Dividend Option  Option  Option  Dividend in the following plans was declared dure Regular Plan - Monthly Dividend Option  Direct Plan - Monthly Dividend Option  Direct Plan - Monthly Dividend Option  Option  Option  (a) Details of derivatives exposure and transaction:  (a) Hedging Position through Futures as on Septeml transactions through futures which have been squ  (b) Other than Hedging Position through Futures; were no non-hedging transactions through option which had  (d) Other than Hedging Positions through Options were no non-hedging transactions through option which had	Rs. 42.9676 Rs. 42.9676 Rs. 12.5870 Rs. 43.8349 Rs. 13.9471 ring the half year ended September 30, 2016 is Nil and For the half year ended September 30, 2016 is Nil and For the half swhich have been squared off/expired.  September 30, 2016 is Nil and For the half year exercised/expired.  Sa son September 30, 2016 is Nil and For the half year exercised/expired.  September 30, 2016 is Nil and For the half year exercised/expired.  Sa son September 30, 2016 is Nil and For the half year exercised/expired.  Sa son September 30, 2016 is Nil and For the half year exercised/expired.	Rs. 39.3540 Rs. 11.8624 Rs. 40.0250 Rs. 13.1036 ptember 30, 2016 f year ended Septemb	Per Unit (F 0.4: 0.4: 5 there were no he otember 30, 2016 er 30, 2016 there
uity & Equity related  Listed / awaiting listing on Stock Exchanges  FC Bank Limited  using Development Finance Corporation Limited  osys Limited  Limited  Limited  Limited  Limited  a Consultancy Services Limited  a Consultancy Services Limited  sen & Toubro Limited  a Motors Limited  is Bank Limited  a Motors Limited  tak Mahindra Bank Limited  tak Mahindra Bank Limited  tak Mahindra Bank Limited  dustan Unilever Limited  wind Sank Limited  an Paints Limited  an Paints Limited  & Natural Gas Corporation Limited  to MotoCorp Limited  arti Airtel Limited  arti Airtel Limited  & Bank Limited  an Paints Limited  wer Grid Corporation of India Limited  wer Grid Corporation of India Limited  wer Grid Corporation of India Limited  rarech Cement Limited  pin Limited  her Motors Limited  arar Petroleum Corporation Limited  sisin Industries Limited  sisin Industries Limited  pro Limited  limited  her Motors Limited  arar Petroleum Corporation Limited  sisin Industries Limited  pro Limited  pro Limited	INE001A01036   Finance   INE009A01021   Consumer   INE002A0108   Petroleum   INE090A01021   Banks   INE467B01029   Auto   INE023A01034   Banks   INE044A01036   Pharmace   INE035A01022   Auto   INE035A01020   Banks   INE044A01036   Pharmace   INE035A01020   Banks   INE042A01020   Banks   INE043A01027   Consumer   INE035A01012   Banks   INE021A01026   Consumer   INE035A01012   Auto   INE035A01012   Telecom   INE32B01010   Auto   INE035A01012   Telecom   INE52B01010   INE039A01023   Pharmace   INE732E01010   Power   INE431G01011   INE035A01013   INE029A01013   INE029A01013   INE029A01013   INE029A01013   INE029A01010   INE039A01013   INE029A01011   INE035A01012   INE0047A01013   Cement   INE075A01022   Software   INE075A01022   INE0047A01013   Cement   INE075A01022   INE0047A01013   Cement   INE075A01022   INE0047A01013   Consumer   INE075A01022   INE0047A01013   Cement   INE075A01022   INE0047A01013   INE0047A01013   Consumer   INE075A01022   INE0047A01013   INE0047A01013   Consumer   INE075A01022   INE0047A01013   INE0047A01013   Consumer   INE075A01022   INE0047A01013   INE0047A01013	Non Durables Products  In Project  In Proj	467,121 91,423 321,563 29,326 45,324 107,048 92,205 59,756 56,666 171,880 7,409 225,400 39,472 26,957 24,990 99,258 31,246 7,017 75,049 18,002 69,904 7,788 7,020 121,521 5,499 136,738 13,271 761 28,892 3,526 35,551	1,127.40 990.75 810.82 712.86 649.56 572.55 499.15 443.81 440.29 431.85 405.81 357.05 342.56 322.39 290.06 254.75 249.94 239.54 235.55 211.80 202.21 218.14 214.55 211.80 202.37 197.24 188.94 170.27 166.44	5.59% 4.57% 4.02% 3.66% 3.23% 2.81% 2.50% 2.48% 2.43% 2.29% 2.01% 1.82% 1.64% 1.41% 1.35% 1.27% 1.27% 1.24% 1.23% 1.21% 1.19% 1.14% 1.11% 1.00% 0.96% 0.96%	Bharat Heavy Electricals Limited Idea Cellular Limited Sub Total (b) Unlisted Sub Total Total Total Total CBLO / Reverse Repo Clearing Corporation of India Ltd Sub Total Total Net Receivables / (Payables) GRAND TOTAL  *Industry classification as recommended by AMFI and w Notes: (1) The provision made for Non Performing Assets a (2) Plan/Option wise Net Asset Values are as follows Plan Regular Plan - Growth Option (3) No dividend was declared during the half year er (4) Details of derivatives exposure and transaction: (a) Hedging Position through Futures as on Septem transactions through futures which have been squ (b) Other than Hedging Position through Futures were no non-hedging transactions through futures (c) Hedging Position through Put Options as on So no hedging transactions through option which ha	INE245A01021 Power INE257A01026 Industrial Capital Go INE669E01016 Telecom - Services  wherever not available, internal classification s of September 30, 2016 is Nil.  **  **As on September 30, 2016  Rs. 90.7898  aded September 30, 2016.  ber 30, 2016 is Nil and For the half year ended ared off/expired.  s on September 30, 2016 is Nil and For the s which have been squared off/expired.  september 30, 2016 is Nil and For the half y we exercised/expired.  as on September 30, 2016 is Nil and For the s which have been exercised/expired.  as on September 30, 2016 is Nil and For the s which have been exercised/expired.  as on September 30, 2016 is Nil and For the s which have been exercised/expired.  above 100	has been used  As on March 31, Rs. 80.8861  September 30, 2016 half year ended Septembhalf year ended Year	67.38 0.38% 48.84 0.28% 17,391.10 98.05% NIL NIL 17,391.10 98.05% 336.89 1.90% 336.89 1.90% 8.57 0.05% 17,736.56 100.00%   2016  5 there were no hedging ottember 30, 2016 there were prember 30, 2016 there prember 30, 2016 there were prember 30, 2016 there were prember 30, 2016 there were solve the solve and solve a	Plan  Regular Plan - Growth Option  Regular Plan - Monthly Dividend Option  Direct Plan - Monthly Dividend Option  Direct Plan - Monthly Dividend Option  Direct Plan - Monthly Dividend Option  Option  Direct Plan - Monthly Dividend Option  Option  Option  (4) Details of derivatives exposure and transaction:  (a) Hedging Position through Futures as on Septeml transactions through futures which have been squ  (b) Other than Hedging Position through Futures as were no non-hedging transactions through futures  (c) Hedging Position through Put Options as on S no hedging transactions through Options were no non-hedging transactions through Options were no non-hedging transactions through Options were no non-hedging transactions through option  (e) Hedging Positions through swaps as on Septer	As on September 30, 2016  Rs. 42.9676  Rs. 12.5870  Rs. 43.8349  Rs. 13.9471  ring the half year ended September 30, 2016  ber 30, 2016 is Nil and For the half year ended September 30, 2016 is Nil and For the half swhich have been squared off/expired.  September 30, 2016 is Nil and For the half year exercised/expired.  s as on September 30, 2016 is Nil and For the half year exercised/expired.  s as on September 30, 2016 is Nil and For the half swhich have been exercised/expired.  mber 30, 2016 is Nil.  10.32 years.	Rs. 39.3540 Rs. 11.8624 Rs. 40.0250 Rs. 13.1036 ptember 30, 2016 f year ended Septemb lif year ended Septemb	Per Unit (1 0.4: 0.4: 0.4: 0.4: 0.5 there were no he otember 30, 2016 there otember 30, 2016 there otember 30, 2016
ity & Equity related Listed / awaiting listing on Stock Exchanges PC Bank Limited sing Development Finance Corporation Limited sys Limited Limited ance Industries Limited PL Bank Limited Consultancy Services Limited Consultancy Services Limited Explain Search Limited Motors Limited Pharmaceuticals Industries Limited at Mahindra Bank Limited at Mahindra Bank Limited at Mahindra Limited dustan Unilever Limited soland Bank Limited and Paints Limited A Natural Gas Corporation Limited Technologies Limited OntotCorp Limited A Ti Airtel Limited A Ti Airtel Limited A Ti Airtel Limited A India Limited A Climited A Climited A Technologies Limited A Technologies Limited A Technologies Limited A Technologies Limited A Ti Airtel Limited A Technologies Limited A Mahindra Limited A Limited A Mahindra Limited A Limited A Mahindra Limited A Steel Limited	INE001A01036	Non Durables Products  In Project  Uticals  Non Durables  Non Durables  Non Durables  Uticals  Uticals  Uticals  Uticals  Uticals  Uticals  Entertainment  Uticals  Letals	467,121 91,423 321,563 29,326 45,324 45,324 45,324 45,324 92,205 59,756 56,666 171,880 39,472 26,957 24,990 99,258 31,246 7,017 75,049 18,002 69,904 7,788 7,020 121,521 5,499 136,738 13,271 761 28,892 3,526 35,551 30,442 28,107 34,357 37,103	1,127.40 990.75 810.82 712.86 649.56 649.55 499.15 443.81 440.29 431.85 357.05 342.56 322.39 290.06 254.75 249.94 239.54 235.65 225.87 225.30 220.21 218.14 214.55 211.80 202.37 197.24 188.94 170.27 166.44 163.03 144.25 138.86	5.59% 4.57% 4.02% 3.66% 3.23% 2.81% 2.50% 2.48% 2.29% 1.93% 1.82% 1.64% 1.44% 1.41% 1.35% 1.27% 1.27% 1.24% 1.21% 1.19% 1.14% 1.11% 1.11% 0.96% 0.96% 0.94% 0.92% 0.81% 0.78%	Bharat Heavy Electricals Limited Idea Cellular Limited Sub Total (b) Unlisted Sub Total Total CBLO/ Reverse Repo Clearing Corporation of India Ltd Sub Total Total CBLO/ Reverse Repo Clearing Corporation of India Ltd Sub Total Total Net Receivables / (Payables) GRAND TOTAL Andustry classification as recommended by AMFI and v Notes: (1) The provision made for Non Performing Assets a (2) Plan/Option wise Net Asset Values are as follows Plan Regular Plan - Growth Option (3) No dividend was declared during the half year er (4) Details of derivatives exposure and transaction: (a) Hedging Position through Futures as on Septem transactions through futures which have been squ (b) Other than Hedging Position through Futures were no non-hedging transactions through futures (c) Hedging Position through Put Options as on So no hedging transactions through option which ha (d) Other than Hedging Positions through Options were no non-hedging transactions through Options were no non-hedging transactions through Option (e) Hedging Positions through swaps as on Septer (5) During the current half year, the Portfolio turnov (6) The total value of illiquid Equity Securities is 0.	INE245A01021 Power INE257A01026 Industrial Capital Go INE669E01016 Telecom - Services  wherever not available, internal classification s of September 30, 2016 is Nil.  **As on September 30, 2016  Rs. 90.7898  aded September 30, 2016.  ber 30, 2016 is Nil and For the half year ended ared off/expired. as on September 30, 2016 is Nil and For the swhich have been squared off/expired. esptember 30, 2016 is Nil and For the half year ended ared off/expired. september 30, 2016 is Nil and For the half year ended ared off/expired. september 30, 2016 is Nil and For the half year ended ared off/expired. as on September 30, 2016 is Nil and For the swhich have been exercised/expired. her 30, 2016 is Nil. er ratio of Equity, Equity related instrument 00 crores and its percentage to Net Assets	has been used  As on March 31, Rs. 80.8861  September 30, 2010 half year ended Septemb half year ended Septemb half year ended Septemb	67.38 0.38% 48.84 0.28% 17,391.10 98.05% NIL NIL 17,391.10 98.05% 336.89 1.90% 8.57 0.05% 17,736.56 100.00%   2016  6 there were no hedging otember 30, 2016 there were astruments is 0.2 times astruments is 0.2 times	Plan Regular Plan - Growth Option Regular Plan - Growth Option Direct Plan - Monthly Dividend Option Direct Plan - Monthly Dividend Option Direct Plan - Monthly Dividend Option Oirect Plan - Monthly Dividend Option Oirect Plan - Monthly Dividend Option Oirect Plan - Monthly Dividend Option Direct Plan - Monthly Dividend Option Oirect Plan - Monthly Dividend Option (4) Details of derivatives exposure and transaction: (a) Hedging Position through Futures as on Septeml transactions through futures which have been squ (b) Other than Hedging Position through Futures (c) Hedging Position through Put Options as on S no hedging transactions through option which had (d) Other than Hedging Positions through Options were no non-hedging transactions through option (e) Hedging Positions through swaps as on Septem (5) The Average Maturity Period of the Portfolio is 1	As on September 30, 2016  Rs. 42.9676  Rs. 12.5870  Rs. 43.8349  Rs. 13.9471  ring the half year ended September 30, 2016  ber 30, 2016 is Nil and For the half year ended September 30, 2016 is Nil and For the half swhich have been squared off/expired.  September 30, 2016 is Nil and For the half year exercised/expired.  September 30, 2016 is Nil and For the half year exercised/expired.  sas on September 30, 2016 is Nil and For the half swhich have been exercised/expired.  mber 30, 2016 is Nil.  10.32 years.  er ratio of Equity, Equity related instrument & I	Rs. 39.3540 Rs. 11.8624 Rs. 40.0250 Rs. 13.1036 ptember 30, 2016 f year ended Septemb If year ended Septemb	Per Unit (1 0.4 0.4 0.4 0.4 0.4 0.4 0.4 0.4 0.4 0.4
ity & Equity related isted / awaiting listing on Stock Exchanges C Bank Limited sing Development Finance Corporation Limited sys Limited Limited Limited Limited Limited Limited Consultancy Services Limited en & Toubro Limited Motors Limited Bank Limited Pharmaceuticals Industries Limited k Mahindra Bank Limited list Suzuki India Limited sid Bank Limited sid Bank Limited lindra & Mahindra Limited sustan Unilever Limited sland Bank Limited n Paints Limited n Paints Limited NotoCorp Limited ti Airtel Limited Bank Limited lid Limited lidia Limited limida Limited limida Limited limida Limited cr Grid Corporation of India Limited Tech Cement Limited C Limited n Limited at Petroleum Corporation Limited im Industries Limited to Limited limited to Limited limited limited to Limited cr Limited	INE001A01036	Non Durables Products  In Project  In Products  In	467,121 91,423 321,563 29,326 45,324 107,048 92,205 59,756 56,666 171,880 25,400 39,472 26,957 24,990 99,258 31,246 7,017 75,049 18,002 69,904 7,788 7,020 121,521 5,499 136,738 13,271 761 28,892 35,526 35,526 35,526 35,526 35,526 35,526 35,527 36,437 36,	1,127.40 990.75 810.82 712.86 649.56 572.55 499.15 443.81 440.29 431.85 357.05 342.56 322.39 290.06 254.75 249.94 239.54 235.65 225.87 225.30 220.21 218.14 214.55 211.80 202.37 197.24 188.94 176.99 170.54 170.27 166.44 163.03 144.25	5.59% 4.57% 4.02% 3.66% 3.23% 2.281% 2.281% 2.29% 2.01% 1.93% 1.82% 1.64% 1.44% 1.35% 1.27% 1.27% 1.22% 1.24% 1.23% 1.21% 1.11% 1.07% 1.00% 0.96% 0.96% 0.96% 0.94% 0.92% 0.81% 0.73% 0.72%	Bharat Heavy Electricals Limited Idea Cellular Limited Sub Total (b) Unlisted Sub Total Total Total CBLO / Reverse Repo Clearing Corporation of India Ltd Sub Total Total  Total Net Receivables / (Payables) GRAND TOTAL  Andustry classification as recommended by AMFI and w Notes: (1) The provision made for Non Performing Assets a (2) Plan/Option wise Net Asset Values are as follows Plan Regular Plan - Growth Option (3) No dividend was declared during the half year end (4) Details of derivatives exposure and transaction: (a) Hedging Position through Futures as on Septem transactions through futures which have been squ (b) Other than Hedging Position through Futures were no non-hedging transactions through options as on Son on hedging transactions through Options were no non-hedging transactions through Option which had (d) Other than Hedging Positions through Options were no non-hedging transactions through O	INE245A01021 Power INE257A01026 Industrial Capital Go INE669E01016 Telecom - Services  wherever not available, internal classification s of September 30, 2016 is Nil.  **As on September 30, 2016  Rs. 90.7898  aded September 30, 2016.  ber 30, 2016 is Nil and For the half year ended ared off/expired. as on September 30, 2016 is Nil and For the swhich have been squared off/expired. as on September 30, 2016 is Nil and For the half ye exercised/expired.  as on September 30, 2016 is Nil and For the swhich have been exercised/expired. ber 30, 2016 is Nil. er ratio of Equity, Equity related instrument 00 crores and its percentage to Net Assets s ETFs in 0 crores and its percentage to Net Assets s ETFs in 0 crores and its percentage to Net Assets	has been used  As on March 31, Rs. 80.8861  September 30, 2010 half year ended Septemb half year ended Septemb half year ended Septemb	67.38 0.38% 48.84 0.28% 17,391.10 98.05% NIL NIL 17,391.10 98.05% 336.89 1.90% 8.57 0.05% 17,736.56 100.00%   2016  6 there were no hedging otember 30, 2016 there were astruments is 0.2 times astruments is 0.2 times	Plan  Regular Plan - Growth Option  Regular Plan - Monthly Dividend Option  Direct Plan - Monthly Dividend Option  Direct Plan - Monthly Dividend Option  Direct Plan - Monthly Dividend Option  Option  Direct Plan - Monthly Dividend Option  (a) Hedging Position through Futures as on Septeml transactions through futures which have been squ  (b) Other than Hedging Position through Futures as one hedging transactions through option which have one non-hedging transactions through option which have one hedging transactions through Options were no non-hedging transactions through option which have one hedging Positions through option which have one hedging transactions through option were no non-hedging transactions through option (e) Hedging Positions through swaps as on Septem (5)  The Average Maturity Period of the Portfolio is 10  Option the Current half year, the Portfolio turnover	Rs. 42.9676 Rs. 42.9676 Rs. 12.5870 Rs. 43.8349 Rs. 13.9471 ring the half year ended September 30, 2016 is Nil and For the half year ended September 30, 2016 is Nil and For the half swhich have been squared off/expired.  September 30, 2016 is Nil and For the half year exercised/expired.  September 30, 2016 is Nil and For the half year exercised/expired.  September 30, 2016 is Nil and For the half year exercised/expired.  September 30, 2016 is Nil and For the half year exercised/expired.  September 30, 2016 is Nil and For the half year exercised/expired.  The september 30, 2016 is Nil and For the half year exercised/expired.  September 30, 2016 is Nil and For the half year exercised/expired.  The september 30, 2016 is Nil and For the half year exercised/expired.  The september 30, 2016 is Nil and For the half year exercised/expired.	Rs. 39.3540 Rs. 11.8624 Rs. 40.0250 Rs. 13.1036  ptember 30, 2016 f year ended Septemb lf year ended Septemb	Per Unit () 0.4 0.4 5 there were no hostember 30, 2016 there otember 30, 2016 there otember 30, 2016

Birla Sun Life Asset Management Company Limited (Investment Manager for Birla Sun Life Mutual Fund), One Indiabulls Centre, Tower 1, 17th Floor, Jupiter Mill Compound, 841, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400 013. CIN: U65991MH1994PLC080811 Call 1-800-270-7000

## ✓ Over 3.2 million investor scheme accounts





Market/ % to Net

## Half Yearly Portfolio Statement as on September 30, 2016

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996) BIRLA SUN LIFE MNC FUND

Name of the Instrument	ISIN	Industry^/Rating	Quantity	Market/	% to Net
value of the first union	DIA	muusu y / Kating	Quantity	Fair Value	Assets
			(	Rs in Lacs.)	
Debt Instruments					
a) Listed / awaiting listing on Stock Exchange 2.20% Power Finance Corporation Limited (FRN) **	INE134E08CK1	CRISIL AAA	350	3,594.70	13.24%
0.75% IDBI Bank Limited	INE008A08U84	CRISIL A	210	2,087.26	7.69%
0.90% Yes Bank Limited **	INE528G08246	ICRA AA+	30	324.72	1.20%
Sub Total				6,006.68	
b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NII.
Total				6,006.68	22.12%
Government Securities					
2.59% GOI (MD 20/03/2029)	IN0020150069	SOV	7,000,000	7,328.45	
3.13% GOI (MD 22/06/2045)	IN0020150044	SOV	2,400,000	2,677.93	9.86%
.61% GOI(MD 09/05/2030) .68% GOI (MD 15/12/2023)	IN0020160019 IN0020150010	SOV SOV	1,500,000 1,500,000	1,582.67 1,560.94	5.83% 5.75%
.17% GOI (MD 01/12/2044)	IN0020130010 IN0020140078	SOV	1,300,000	1,451.46	5.34%
.8% GOI (MD 11/04/2021)	IN0020140078	SOV	1,000,000	1,035.78	3.81%
6.60% GOI (MD 02/06/2028)	IN0020140011	SOV	500,000	557.12	2.05%
2.40% Andhra Pradesh SDL (MD 30/01/2024)	IN1020130135	SOV	300,000	334.80	1.23%
.41% Kerala SDL (MD 30/01/2024)	IN2020130141	SOV	50,000	55.78	0.21%
6.62% Tamil Nadu SDL (MD 20/02/2023)	IN3120120149	SOV	12,000	12.80	0.05%
otal				16,597.73	61.12%
Quity & Equity related					
a) Listed / awaiting listing on Stock Exchanges		-			0
Repco Home Finance Limited	INE612J01015	Finance	31,600	258.30	0.95%
Cicher Motors Limited	INE066A01013	Auto	900	223.45	0.82%
Bajaj Finance Limited	INE296A01024 INE040A01026	Finance Banks	16,700	176.34	0.65%
HDFC Bank Limited Equitas Holdings Limited	INE988K01017	Finance	13,000 81,500	165.47 142.34	0.61%
/-Mart Retail Limited	INE665J01013	Retailing	26,500	134.46	0.50%
Kewal Kiran Clothing Limited	INE401H01017	Textile Products	5,700	108.32	0.40%
Dr. Lal Path Labs Ltd.	INE600L01024	Healthcare Services	8,941	95.68	0.35%
City Union Bank Limited	INE491A01021	Banks	72,000	95.11	0.35%
X.P.R. Mill Limited	INE930H01015	Textile Products	7,230	88.06	0.32%
Tata Motors Limited	INE155A01022	Auto	15,100	80.76	0.30%
ndusInd Bank Limited	INE095A01012	Banks	6,400	76.54	0.28%
TC Limited	INE154A01025	Consumer Non Durables	31,200	75.30	0.28%
Advanced Enzyme Technologies Limited	INE837H01012	Consumer Non Durables	4,028	67.57	0.25%
CICI Bank Limited	INE090A01021	Banks	25,000	63.04	0.23%
Shriram City Union Finance Limited	INE722A01011	Finance	2,900	61.61	0.23%
Hindustan Petroleum Corporation Limited	INE094A01015	Petroleum Products	13,800	58.51	0.22%
ndian Oil Corporation Limited Dhanuka Agritech Limited	INE242A01010 INE435G01025	Petroleum Products Pesticides	9,000 7,700	52.43 51.54	0.19% 0.19%
Mahanagar Gas Limited	INE002S01010	Gas	7,700	50.14	0.19%
Grasim Industries Limited	INE047A01013	Cement	1,000	48.37	0.18%
Crompton Greaves Consumer Electricals Limited	INE299U01018	Consumer Durables	25,736	39.62	0.15%
/RL Logistics Limited	INE366I01010	Transportation	13,193	39.53	0.15%
hyrocare Technologies Limited	INE594H01019	Healthcare Services	5,611	34.40	0.13%
RBL Bank Limited	INE976G01028	Banks	11,810	34.39	0.13%
Ashoka Buildcon Limited	INE442H01029	Construction Project	20,000	34.09	0.13%
Monte Carlo Fashions Limited	INE950M01013	Textile Products	7,257	33.28	0.12%
Emami Limited	INE548C01032	Consumer Non Durables	2,300	26.91	0.10%
CeamLease Services Limited	INE985S01024	Commercial Services	2,300	24.51	0.09%
Oriental Hotels Limited	INE750A01020	Hotels, Resorts And Other	97,000	23.28	0.09%
Orbit Exports Limited	INE231G01010	Recreational Activities Textile Products	8,000	21.62	0.08%
Cummins India Limited	INE251G01010 INE298A01020	Industrial Products	2,300	20.83	0.08%
Atul Auto Limited	INE951D01028	Auto	3,000	14.35	0.05%
leidelbergCement India Limited	INE578A01017	Cement	2,000	2.63	0.01%
ub Total			.,	2,522.78	9.29%
o) Unlisted				NIL	NIL
ub Total				NIL	NII.
otal				2,522.78	9.29%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				1,423.52	5.24%
ub Total				1,423.52	5.24%
Total (CD ) II )				1,423.52	5.24%
let Receivables / (Payables)				607.08	2.24%

^Industry classification as recommended by AMFI and wherever not available, internal classification has been used

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil

	Plan	As on September 30, 2016	As on March 31, 2016
	Regular Plan - Growth Option	Rs. 30.7405	Rs. 28.0869
	Regular Plan - Monthly Dividend Option	Rs. 13.4767	Rs. 12.6701
	Direct Plan - Growth Option	Rs. 31.2143	Rs. 28.4626
	Direct Plan - Monthly Dividend Option	Rs. 14.1538	Rs. 13.2798
(3)	Dividend in the following plans was declared d	uring the half year ended September 30	, 2016 Per Unit (Rs.)
	Regular Plan - Monthly Dividend Option		0.4609
	Direct Plan - Monthly Dividend Option		0.4834

Details of derivatives exposure and transaction (a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. The Average Maturity Period of the Portfolio is 10.52 years.

The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%. Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%.

Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.59 times

(An Open Ended Growth Scheme) Portfolio as on September 30, 2016 Name of the Instrument Industry^/Rating Quantity Market/ % to Net (Rs in Lacs.) Debt Instruments (a) Listed / awaiting listing on Stock Exchange 9.30% Blue Dart Express Limited \*\* INE233B08087 ICRA AA 89 089 9.03 9.40% Blue Dart Express Limited \*\* INE233B08095 ICRA AA 50,908 5.22 9.50% Blue Dart Express Limited \*\* INE233B08103 ICRA AA 3.97 38,181 18.22 (b) Privately placed / Unlisted Sub Total 18.22 Total Equity & Equity related (a) Listed / awaiting listing on Stock Exchange INE462A01022 Pesticides 29,802.60 8.60% Bayer Cropscience Limited 666,449 INE323A01026 124,935 28,482.49 Maruti Suzuki India Limited INE585B01010 Auto 468.414 25,656,44 7.40% Gillette India Limited INE322A01010 Consumer Non Durables 552,837 23,992.85 6.92% INE725G01011 ICRA Limited 557,656 21,876.29 6.31% Finance INE182A01018 1,130,875 21,689.05 Honeywell Automation India Limited INE671A01010 Industrial Capital Goods 225,893 20,929,33 6.04% GlaxoSmithKline Pharmaceuticals Limited INE159A01016 Pharmaceuticals 5.35% 667,464 18,561.84 1,080,736 Kotak Mahindra Bank Limited INE237A01028 Banks 2,100,152 16,318.18 Hindustan Unilever Limited INE030A01027 Consumer Non Durables 1,733,771 15,046.53 4.34% Capital First Limited INE688I01017 2,090,882 14,496.08 Finance 4.18% Thomas Cook (India) Limited INE332A01027 6,585,955 13,135.69 Jet Airways (India) Limited INE802G01018 Transportation 2.183.301 10.397.97 3.00% Kansai Nerolac Paints Limited INE531A01024 Consumer Non Durables 2,829,950 10,192.06 2.94% Procter & Gamble Hygiene and Health Care Limited INE179A01014 108,000 Cummins India Limited INE208 A01020 Industrial Products 699 621 6 335 07 Bata India Limited INE176A01028 Consumer Durables 1,190,317 5,836.12 1.68% INE513A01014 Industrial Products FAG Bearings India Limited 134,634 5,508.35 1.59% Castrol India Limited INE172A01027 1,092,090 5,186.88 CRISIL Limited INE007A01025 Finance 183,345 4.088.04 WABCO India Limited INE342J01019 Auto Ancillaries 62,937 3,916.32 1.13% Colgate Palmolive (India) Limited Consumer Non Durables 300,870 2,920.39 ACC Limited INE012A01025 Cement 149,400 2 390 18 0.69% SML Isuzu Limited INE294B01019 Auto 144,887 1.838.18 0.53% Commercial Services Quess Corp Limited INE615P01015 1,763.93 315,495 0.51% INE881D01027 Oracle Financial Services Software Limited 45,000 1,450.26 Ambuja Cements Limited INE079A01024 Cement 519,900 1.308.85 0.38% Nestle India Limited Consumer Non Durables INE239A01016 17,100 1,100.02 0.32% ITD Cementation India Limited Federal-Mogul Goetze (India) Limited INE529A01010 Auto Ancillaries 200,000 918.40 0.26% INF003A01024 Industrial Capital Goods Siemens Limited 66,800 828.52 0.24% Akzo Nobel India Limited INE133A01011 Consumer Non Durables 0.23% 46,000 780.94 INE058A01010 17,712 Blue Dart Express Limited INE233B01017 Transportation 12.727 697.71 0.20% GE Power India Limited INE878A01011 Industrial Capital Goods 111,600 614.58 0.18% GE T&D India Limited INE200A01026 Industrial Capital Goods 175,000 586.16 0.17% INE117A01022 Industrial Capital Goods ABB India Limited 45,000 513.86 0.15% Disa India Ltd INE131C01011 Industrial Capital Goods 10.212 478.08 0.14% Schneider Electric Infrastructure Limited INE839M01018 Industrial Capital Goods 175,000 240.63 0.07% INE618F01010 Sub Total 346,549.15 99.96% (b) Unlisted Maruti Cottex Ltd \*\* #~ INE687701016 249,800 0.00 129,900 Visakha Aqua Farm \*\* #~ INE307001011 Miscellaneous 0.00 Sri Venkatesha Mi \*\* #~ INE612601018 Miscellaneous 18,000 0.00 Jainpur Straw Brd \*\* #-INE146201012 Miscellaneous 59,300 0.00 346,549.15 99.96% CBLO / Reverse Repo Clearing Corporation of India Ltd Sub Total 1.590.47 0.46% Total 1.590.47 0.46% Net Receivables / (Payables) (1,466.08) -0.42%

\*\* Thinly Traded / Non Traded Security

\$ Less Than 0.01% of Net Asset Value ^Industry classification as recommended by AMFI and wherever not available, internal classification has been used

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil Plan/Option wise Net Asset Values are as follows:

As on March 31, 2016 Regular Plan - Dividend Option Rs. 152.90 Rs. 140.04 Rs. 195.27 Direct Plan - Dividend Option Rs. 214.53 Direct Plan - Growth Option Rs. 632.55 Rs. 575.73

No dividend was declared during the half year ended September 30, 2016. Details of derivatives exposure and transaction

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016 is Nil.

(5) During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0.04 times

(6) The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%.

(8) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

Fair Value (Rs in Lacs.) Debt Instrument (a) Listed / awaiting listing on Stock Exchange 7.62% Export Import Bank of India \*\* INE514E08FG5 CRISIL AAA 2,850 28,860.95 8.92% 7.95% HDFC Bank Limited INE040A08369 CRISIL AAA 1.750 17 815 60 5 51% INF084A08078 BWR AA-11.50% Bank of India \*\* 350 3,602.22 1.11% 9.05% Essel Lucknow Raebareli Toll Roads Limited \*\* 0.82% 9.05% Essel Lucknow Raebareli Toll Roads Limited \*\* INE465N07165 CARE AAA(SO) 2,571.19 0.79% 249 INF134F08II2 CRISII AAA 7.63% Power Finance Corporation Limited \*\* 250 2.520.52 0.78% 9.05% Essel Lucknow Raebareli Toll Roads Limited \*\* INE465N07157 CARE AAA(SO) 243 2,505.12 0.779 9.05% Essel Lucknow Raebareli Toll Roads Limited \*\* INE465N07140 CARE AAA(SO) 864.56 0.279 Sub Total 61,408.63 18.98% (b) Privately placed / Unlisted NIL NIL Sub Total NIL NIL Government Securities 7.59% GOI(MD 11/01/2026) IN0020150093 SOV 86,366,300 90,036.44 27.83% 7.73% GOI (MD 19/12/2034) IN0020150051 36,504,300 38.745.96 11.98% 7.61% GOI(MD 09/05/2030) 8.17% GOI (MD 01/12/2044) IN0020140078 SOV 18,000,000 20,097.07 6.21% 6.97% GOI (MD 06/09/2026) IN0020160035 SOV 19,500,000 19,714,71 6.09% 7.35% GOI (MD 22/06/2024) IN0020090034 9,500,000 9 697 70 3.00% SOV 8.24% GOI (MD 10/11/2033) IN0020140052 SOV 5,563.41 1.72% 5,034,700 8.13% GOI (MD 22/06/2045) 2,789.51 IN0020150044 SOV 2,500,000 0.86% 7.68% GOI (MD 15/12/2023) IN0020150010 SOV 1,099,200 1.143.85 0.35% 8.21% Haryana Special SDL(MD 31/03/2023) IN1620150152 SOV 836.39 0.26% 7.59% GOI (MD 20/03/2029) IN0020150069 0.06% 196,900 206.14 9.56% Maharashtra SDL (MD 28/08/2023) IN2220130065 SOV 100,000 112.12 0.03% 9.45% Rahasthan SDL (MD 26/03/2024) IN2920130191 SOV 90,300 101.24 0.03% 8.51% Puniab SDL (MD 10/04/2023) IN2820130010 23,700 25.16 0.019 8.64% Jharkhand SDL (MD 06/03/2023) IN3720120069 SOV 19,400 20.68 0.01% 225,107.30 69.57% CBLO / Reverse Repo 35,002.30 10.82% Sub Total 35,002.30 10.82% 35,002.30 10.82% Net Receivables / (Payables) 2.038.23 0.63% GRAND TOTAL 323,556.46 100.00%

BIRLA SUN LIFE INCOME PLUS

(An Open Ended Income Scheme

Portfolio as on September 30, 2016

Name of the Instrument

The provision made for Non Performing Assets as of September 30, 2016 is Nil

Plan/Option wise Net Asset Values are as follows

As on September 30, 2016 As on March 31, 2016 Regular Plan - Dividend Option Rs. 11.4595 Rs. 10.5060 Regular Plan - Growth Option Rs. 72.0529 Rs. 66.0580 Regular Plan - Quarterly Dividend Option Rs. 13.2538 Rs. 12.6937 Discipline Advantage Plan Rs. 18.1778 Rs. 16.6654 Rs. 10.6041 Rs. 67.5116 Direct Plan - Growth Option Rs. 13.6892 Direct Plan - Quarterly Dividend Option Rs. 13.0503

Per Unit (Rs.) Dividend in the following plans was declared during the half year ended September 30, 2016 Direct Plan - Quarterly Dividend Option 0.5849 Regular Plan - Quarterly Dividend Option

(4) Details of derivatives exposure and transaction:

(a) Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through futures which have been squared off/expired.

(c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no hedging transactions through option which have exercised/expired

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

Underlying Security	Notional amount	Long/Short	Maturity date
7.59% GOI(MD 11/01/2026)	500000000	Long	11-Jan-26
7.59% GOI(MD 11/01/2026)	2000000000	Long	11-Jan-26
7.59% GOI(MD 11/01/2026)	500000000	Long	11-Jan-26
8.17% GOI (MD 01/12/2044)	1000000000	Long	01-Dec-44

(5) The Average Maturity Period of the Portfolio is 11.19 years.

(7) Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%. (6) During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 13.18 times

(7) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL

BIRLA SUN LIFE TAX PLAN
(An Open Ended Equity Linked Savings Scheme (ELSS) All investments in the scheme are subject to a lock-in period of 3 years from the date of allotment)
Portfolio as on September 30, 2016

Name of the Instrument	ISIN	Industry^	Quantity	Market/	% to Net
				Fair Value	Assets
			(	Rs in Lacs.)	
Equity & Equity related					
(a) Listed / awaiting listing on Stock Exchanges					
Sundaram Clayton Limited	INE105A01035	Auto Ancillaries	107,629	2,775.00	6.80%
Bayer Cropscience Limited	INE462A01022	Pesticides	46,418	2,075.74	5.08%
Honeywell Automation India Limited	INE671A01010	Industrial Capital Goods	21,100	1,954.95	4.79%
Kotak Mahindra Bank Limited	INE237A01028	Banks	196,245	1,524.82	3.73%
Zee Entertainment Enterprises Limited	INE256A01028	Media & Entertainment	278,535	1,522.89	3.73%
MRF Limited	INE883A01011	Auto Ancillaries	2,932	1,491.58	3.65%
ICRA Limited	INE725G01011	Finance	36,426	1,428.96	3.50%
Biocon Limited	INE376G01013	Pharmaceuticals	138,216	1,290.25	3.16%
Gillette India Limited	INE322A01010	Consumer Non Durables	27,942	1,212.67	2.97%
Johnson Controls-Hitachi Air Conditioning India Limited	INE782A01015	Consumer Durables	70,461	1,143.76	2.80%
Pfizer Limited	INE182A01018	Pharmaceuticals	55,953	1,073.12	2.63%
Thomas Cook (India) Limited	INE332A01027	Services	534,279	1,065.62	2.61%
Shoppers Stop Limited	INE498B01024	Retailing	288,576	1,059.51	2.59%
Reliance Industries Limited	INE002A01018	Petroleum Products	93,570	1,014.02	2.48%
IndusInd Bank Limited	INE095A01012	Banks	82,029	981.03	2.40%
Jet Airways (India) Limited	INE802G01018	Transportation	203,342	968.42	2.37%
Housing Development Finance Corporation Limited	INE001A01036	Finance	66,335	924.35	2.26%
Shree Cements Limited	INE070A01015	Cement	5,283	900.37	2.21%
Yes Bank Limited	INE528G01019	Banks	70,775	888.01	2.17%
GlaxoSmithKline Pharmaceuticals Limited	INE159A01016	Pharmaceuticals	31,888	886.79	2.17%
Century Textiles & Industries Limited	INE055A01016	Cement	93,265	879.54	2.15%
Bosch Limited	INE323A01026	Auto Ancillaries	3,857	879.31	2.15%
HDFC Bank Limited	INE040A01026	Banks	67,086	853.90	2.09%
Tata Consultancy Services Limited	INE467B01029	Software	34,304	833.86	2.04%
Grasim Industries Limited	INE047A01013	Cement	16,226	784.78	1.92%
ICICI Bank Limited	INE090A01021	Banks	298,727	753.24	1.84%
Larsen & Toubro Limited	INE018A01030	Construction Project	50,453	723.07	1.77%
Axis Bank Limited	INE238A01034	Banks	127,461	690.01	1.69%
Tata Motors Limited	INE155A01022	Auto	120,975	647.03	1.58%
Cummins India Limited	INE298A01020	Industrial Products	67,544	611.61	1.50%

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Name of the Instrument	ISIN	Industry^	Quantity		% to Net	
				Fair Value	Assets	
				(Rs in Lacs.)		
Maruti Suzuki India Limited	INE585B01010	Auto	10,885	596.20	1.46%	
Capital First Limited	INE688I01017	Finance	80,705	559.53	1.37%	
Sun Pharmaceuticals Industries Limited	INE044A01036	Pharmaceuticals	58,386	433.63	1.06%	
Infosys Limited	INE009A01021	Software	39,652	410.81	1.01%	
HCL Technologies Limited	INE860A01027	Software	51,241	409.88	1.00%	
Bata India Limited	INE176A01028	Consumer Durables	82,838	406.15	0.99%	
Hindustan Unilever Limited	INE030A01027	Consumer Non Durables	46,410	402.77	0.99%	
Kansai Nerolac Paints Limited	INE531A01024	Consumer Non Durables	110,624	398.41	0.98%	
Procter & Gamble Hygiene and Health Care Limited	INE179A01014	Consumer Non Durables	5,795	394.36	0.97%	
IDFC Bank Limited	INE092T01019	Banks	352,584	281.54	0.69%	
ITC Limited	INE154A01025	Consumer Non Durables	105,196	253.89	0.62%	
Tech Mahindra Limited	INE669C01036	Software	57,899	243.09	0.60%	
Bharat Financial Inclusion Limited	INE180K01011	Finance	26,438	232.83	0.57%	
Mahindra & Mahindra Limited	INE101A01026	Auto	12,997	182.70	0.45%	
Ashok Leyland Limited	INE208A01029	Auto	186,801	148.69	0.36%	
Vedanta Limited	INE205A01025	Non - Ferrous Metals	82,632	142.17	0.35%	
Container Corporation of India Limited	INE111A01017	Transportation	9,507	131.14	0.32%	
IDFC Limited	INE043D01016	Finance	183,822	123.53	0.30%	
United Breweries (Holdings) Limited	INE696A01025	Finance	216,472	98.60	0.24%	
Bharat Bijlee Limited	INE464A01028	Industrial Capital Goods	5,646	42.33	0.10%	
Sub Total				39,730.46	97.30%	
(b) Unlisted						
Magnasound (I) Ltd ** #~		Miscellaneous	45,000	0.00	\$	
Sub Total				0.00	\$	
Total				39,730.46	97.30%	
CBLO / Reverse Repo						
Clearing Corporation of India Ltd				1,265.58	3.10%	
Sub Total				1,265.58	3.10%	
Total				1,265.58	3.10%	
Net Receivables / (Payables)				(164.76)	-0.40%	
GRANDTOTAL				40,831.28	100.00%	

\*\* Thinly Traded / Non Traded Security

#Unlisted Security ~ Illiquid Security \$ Less Than 0.01% of Net Asset Value

^Industry classification as recommended by AMFI and wherever not available, internal classification has been used

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

(2) Plan/Option wise Net Asset Values are as follows: As on September 30, 2016 Regular Plan - Dividend Option Regular Plan - Growth Option Rs. 30.32 Rs. 26.47 Direct Plan - Dividend Option Rs. 103.48 Rs. 89.80 Rs. 27.27

Direct Plan - Growth Option Rs. 31.42 (3) No dividend was declared during the half year ended September 30, 2016.

Details of derivatives exposure and transaction:  $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ Nil \$ transactions through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there

were no non-hedging transactions through futures which have been squared off/expired. (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired. (d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil. (5) During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 0 times

(6) The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%.

(7) Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%. (8) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

Birla Sun Life Asset Management Company Limited (Investment Manager for Birla Sun Life Mutual Fund), One Indiabulls Centre, Tower 1, 17th Floor, Jupiter Mill Compound, 841, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400 013. CIN: U65991MH1994PLC080811

## ✓ Over 3.2 million investor scheme accounts



This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September 2016.

## Half Yearly Portfolio Statement as on September 30, 2016

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996)

### BIRLA SUN LIFE INDEX FUND (An Open Ended Index Linked Growth Scheme)

Name of the Instrument	ISIN	Industry^	Quantity	Market/ Fair Value (Rs in Lacs.)	% to Net Assets
Equity & Equity related				(	
(a) Listed / awaiting listing on Stock Exchanges					
HDFC Bank Limited	INE040A01026	Banks	68,863	876.52	6.15%
Housing Development Finance Corporation Limited	INE001A01036	Finance	55,175	768.84	5.39%
Infosys Limited	INE009A01021	Software	69,842	723.60	5.07%
ITC Limited	INE154A01025	Consumer Non Durables	294,972	711.91	4.99%
Reliance Industries Limited	INE002A01018	Petroleum Products	57,622	624.45	4.38%
ICICI Bank Limited	INE090A01021	Banks	203,133	512.20	3.59%
Tata Consultancy Services Limited	INE467B01029	Software	18,591	451.91	3.17%
Larsen & Toubro Limited	INE018A01030	Construction Project	28,645	410.53	2.88%
Tata Motors Limited	INE155A01022	Auto	67,602	361.57	2.54%
Axis Bank Limited	INE238A01034	Banks	58,238	315.27	2.21%
Sun Pharmaceuticals Industries Limited	INE044A01036	Pharmaceuticals	37,849	281.10	1.97%
Kotak Mahindra Bank Limited	INE237A01028	Banks	35,879	278.78	1.96%
State Bank of India	INE062A01020	Banks	108,522	272.66	1.91%
Maruti Suzuki India Limited	INE585B01010	Auto	4,614	252.72	1.77%
Mahindra & Mahindra Limited	INE101A01026	Auto	16,067	225.85	1.58%
Hindustan Unilever Limited	INE030A01027	Consumer Non Durables	24,860	215.75	1.51%
IndusInd Bank Limited	INE095A01012	Banks	17,046	203.86	1.43%
Asian Paints Limited	INE021A01026	Consumer Non Durables	15,763	182.96	1.28%
Oil & Natural Gas Corporation Limited	INE213A01029	Oil	63,568	163.15	1.14%
HCL Technologies Limited	INE860A01027	Software	19,708	157.64	1.11%
Hero MotoCorp Limited	INE158A01026	Auto	4,396	150.07	1.05%
Bharti Airtel Limited	INE397D01024	Telecom - Services	47,500	149.15	1.05%
Yes Bank Limited	INE528G01019	Banks	11,380	142.78	1.00%
Coal India Limited	INE522F01014	Minerals/Mining	44,147	142.29	1.00%
Bajaj Auto Limited	INE917I01010	Auto	4,858	137.36	0.96%
UltraTech Cement Limited	INE481G01011	Cement	3,563	137.23	0.96%
Dr. Reddy's Laboratories Limited	INE089A01023	Pharmaceuticals	4,416	137.22	0.96%
Power Grid Corporation of India Limited	INE752E01010	Power	76,795	135.58	0.95%
NTPC Limited	INE733E01010	Power	86,446	127.94	0.90%
Lupin Limited	INE326A01037	Pharmaceuticals	8,354	124.16	0.87%
Bharat Petroleum Corporation Limited	INE029A01011	Petroleum Products	18,194	111.46	0.78%
Eicher Motors Limited	INE066A01013	Auto	439	108.99	0.76%

Industry^/Rating

Name of the Instrument

State Bank of India

Name of the Instrument	ISIN	Industry^	Quantity (	Market/ Fair Value Rs in Lacs.)	% to Net
Wipro Limited	INE075A01022	Software	22,454	107.54	0.75%
Grasim Industries Limited	INE047A01013	Cement	2,215	107.13	0.75%
Zee Entertainment Enterprises Limited	INE256A01028	Media & Entertainment	19,526	106.76	0.75%
Cipla Limited	INE059A01026	Pharmaceuticals	17,687	102.59	0.72%
Tech Mahindra Limited	INE669C01036	Software	21,641	90.86	0.64%
Tata Steel Limited	INE081A01012	Ferrous Metals	23,398	87.57	0.61%
Adani Ports and Special Economic Zone Limited	INE742F01042	Transportation	32,573	83.63	0.59%
Aurobindo Pharma Limited	INE406A01037	Pharmaceuticals	9,400	80.41	0.56%
Bosch Limited	INE323A01026	Auto Ancillaries	330	75.23	0.53%
Hindalco Industries Limited	INE038A01020	Non - Ferrous Metals	46,586	71.14	0.50%
Ambuja Cements Limited	INE079A01024	Cement	26,978	67.92	0.48%
Bharti Infratel Limited	INE121J01017	Telecom - Equipment & Accessories	18,565	67.82	0.48%
GAIL (India) Limited	INE129A01019	Gas	16,238	60.84	0.43%
Tata Motors Ltd DVR Shares	IN9155A01020	Auto	17,589	59.89	0.42%
Bank of Baroda	INE028A01039	Banks	33,087	55.39	0.39%
ACC Limited	INE012A01025	Cement	3,291	52.65	0.37%
Tata Power Company Limited	INE245A01021	Power	64,681	48.77	0.34%
Bharat Heavy Electricals Limited	INE257A01026	Industrial Capital Goods	32,189	43.36	0.30%
Idea Cellular Limited	INE669E01016	Telecom - Services	40,102	31.72	0.22%
Sub Total				10,996.72	77.12%
(b) Unlisted				NIL	NII.
Sub Total				NIL	NII.
Total				10,996.72	77.12%
Cash and Bank				1.23	0.01%
Total				1.23	0.01%
Margin (Future and Options)				1,545.00	10.84%
Total				1,545.00	10.84%
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				1,727.42	12.11%
Sub Total				1,727.42	12.11%
Total				1,727.42	12.11%
Net Receivables / (Payables)				(11.22)	-0.08%

^Industry classification as recommended by AMFI and wherever not available, internal classification has been used

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

(2) Plan/Option wise Net Asset Values are as follows:

As on March 31, 2016 As on September 30, 2016 Regular Plan - Dividend Option Rs. 11.9097 Rs. 10.7083 Regular Plan - Growth Option Rs. 76.0810 Direct Plan - Dividend Option Rs. 12.0233 Rs. 10.7955 Direct Plan - Growth Option Rs. 84.5864 Rs. 75.9427

(3) No dividend was declared during the half year ended September 30, 2016.

(4) Details of derivatives exposure and transaction:  $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30, 2016 \ there \ were \ no \ hedging \ Nil \ and \ And \ Nil \ And \ And \ Nil \ And \ Nil \$ 

transactions through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30, 2016

Underlying Long / Short Futures Price when Current price of the Margin maintained in contract Rs.

Total exposure due to futures (non hedging positions) as a %age of net assets is 21.82%

For the half year ended September 30,2 016 following non-hedging transactions through futures which have been squared off/expired Total Number of Gross Notional Value of Gross Notional Value of Total Number of Net Profit/Loss futures were bought were bought were sold were sold Rs. combined Rs. 3,472.00 2,048,632,797.25 2,165,055,653.72 (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired.

 $(d) Other than \ Hedging \ Positions \ through \ Options \ as \ on \ September \ 30,2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there$ were no non-hedging transactions through options which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2016 is Nil.

During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 1.39 times

The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%

Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%.

(8) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

## BIRLA SUN LIFE MIP II - WEALTH 25 PLAN

An Open Ended Scheme. Month	y income is not assured and is subject to availability of distributable surplus)	
	Portfolio as on September 30, 2016	

Quantity

Market/ % to Net

Ivanic of the first union	ISI14	mustry / Raung	Quantity	Fair Value	Assets	rame of the first untent	IOE (	moustry / reating	Quantity	Fair Value	Assets
				Rs in Lacs.)	Assets					Rs in Lacs.)	Assets
			(.	Ks III Lacs.)							
Debt Instruments						V-Guard Industries Limited	INE951I01027	Industrial Capital Goods	408,720	750.82	0.58%
(a) Listed / awaiting listing on Stock Exchange						WABCO India Limited	INE342J01019	Auto Ancillaries	11,750	731.16	0.56%
10.25% Bharat Aluminium Co Ltd. **	INE738C07044	CRISIL A	250	2,494.69	1.92%	Bayer Cropscience Limited	INE462A01022	Pesticides	12,526	560.14	0.43%
11.50% Bank of India **	INE084A08078	BWR AA-	150	1,543.81	1.19%	Narayana Hrudayalaya Ltd.	INE410P01011	Healthcare Services	166,721	556.10	0.43%
10.95% Oriental Bank of Commerce **	INE141A08050	IND A+	100	996.89	0.77%	AIA Engineering Limited	INE212H01026	Industrial Products	41,426	534.17	0.41%
10.75% IDBI Bank Limited	INE008A08U84	CRISIL A	80	795.15	0.61%	PI Industries Limited	INE603J01030	Pesticides	63,000	523.78	0.40%
9.90% Yes Bank Limited **	INE528G08246	ICRA AA+	70	757.69	0.58%	Kalpataru Power Transmission Limited	INE220B01022	Power	173,000	450.67	0.35%
9.20% Power Finance Corporation Limited (FRN) **	INE134E08CK1	CRISIL AAA	50	513.53	0.40%	VA Tech Wabag Limited	INE956G01038	Engineering Services	79,700	437.91	0.34%
11.00% IDBI Bank Limited **	INE008A08Q07	ICRA AA	9	95.30	0.07%	Emami Limited	INE548C01032	Consumer Non Durables	36,500	427.01	0.33%
10.30% Yes Bank Limited **	INE528G08196	ICRA AA+	7	76.38	0.06%	V-Mart Retail Limited	INE665J01013	Retailing	70,000	355.18	0.27%
Sub Total				7,273.44	5.60%	Cholamandalam Investment and	INE121A01016	Finance	30,000	347.21	0.27%
(b) Privately placed / Unlisted				NIL	NIL	Finance Company Limited					
Sub Total				NIL	NIL	Cummins India Limited	INE298A01020	Industrial Products	38,000	344.09	0.26%
Total				7,273.44	5.60%	Bosch Limited	INE323A01026	Auto Ancillaries	1,500	341.97	0.26%
Government Securities						Gujarat Fluorochemicals Limited	INE538A01037	Chemicals	62,000	324.35	0.25%
7.59% GOI (MD 20/03/2029)	IN0020150069	SOV	16,127,500	16,884.22	13.00%	Godrej Consumer Products Limited	INE102D01028	Consumer Non Durables	20,200	320.50	0.25%
7.59% GOI(MD 11/01/2026)	IN0020150093	SOV	16,000,000	16,679.92	12.84%	Advanced Enzyme Technologies Limited	INE837H01012	Consumer Non Durables	18,372	308.21	0.24%
8.13% GOI (MD 22/06/2045)	IN0020150044	SOV	8,700,000	9,707.50	7.47%	GE T&D India Limited	INE200A01026	Industrial Capital Goods	90,636	303.59	0.23%
8.56% RAJASTHAN SDL - (MD 23/01/2023)	IN2920120135	SOV	5,500,000	5,843.94	4.50%	MRF Limited	INE883A01011	Auto Ancillaries	580	295.06	0.23%
8.12% GOI (MD 10/12/2020)	IN0020120054	SOV	4,000,000	4,183.80	3.22%	Kaveri Seed Company Limited	INE455I01029	Consumer Non Durables	80,000	286.52	0.22%
8.27% GOI (MD 09/06/2020)	IN0020140029	SOV	3,500,000	3,664.71	2.82%	Pidilite Industries Limited	INE318A01026	Chemicals	39,900	271.96	0.21%
7.61% GOI(MD 09/05/2030)	IN0020160019	SOV	3,431,300	3,620.40	2.79%	Johnson Controls-Hitachi Air Conditioning India Limited		Consumer Durables	16,500	267.84	0.21%
8.79% GOI (MD 08/11/2021)	IN0020110030	SOV	2,500,000	2,696.12	2.08%	Atul Auto Limited	INE951D01028	Auto	54,408	260.23	0.20%
8.27% Karnataka SDL(MD 23/12/2025)	IN1920150068	SOV	2,500,000	2,655.33	2.04%	TeamLease Services Limited	INE985S01024	Commercial Services	23,697	252.55	0.19%
8.26% Maharashtra SDL(MD 23/12/2025)	IN2220150154	SOV	2,500,000	2,654.20	2.04%	Mahanagar Gas Limited	INE002S01010	Gas	33,900	223.79	0.17%
8.17% GOI (MD 01/12/2044)	IN0020140078	SOV	2,200,000	2,456.31	1.89%	VRL Logistics Limited	INE366I01010	Transportation	69,629	208.61	0.16%
8.59% Andhra pradesh SDL (MD 23/01/2023)	IN1020120177	SOV	1,000,000	1.063.91	0.82%	Oracle Financial Services Software Limited	INE881D01027	Software	6,000	193.37	0.15%
7.68% GOI (MD 15/12/2023)	IN0020150010	SOV	868,050	903.31	0.70%	ICICI Prudential Life Insurance Company Limited	INE726G01019	Finance	62,151	192.82	0.15%
9.20% GOI (MD 30/09/2030)	IN0020130010	SOV	500,000	587.53	0.45%	RBL Bank Limited	INE976G01019	Banks	54,747	159.42	0.13%
8.15% GOI (MD 24/11/2026)	IN0020140060	SOV	500,000	537.51	0.41%	Whirlpool of India Limited	INE716A01013	Consumer Durables	15,000	152.60	0.12%
8.35% GOI (MD 14/05/2022)	IN0020140000 IN0020020072	SOV	500,000	532.18	0.41%	Thyrocare Technologies Limited	INE594H01019	Healthcare Services	24.280	148.86	0.12%
9.23% GOI (MD 23/12/2043)	IN0020020072 IN0020130079	SOV	400,000	495.61	0.41%	,			,		0.11%
8.24% GOI (MD 10/11/2033)		SOV	304,300	336.26	0.38%	Monte Carlo Fashions Limited Astra Microwave Products Limited	INE950M01013	Textile Products	31,714	145.46	
8.24% GOI (MD 10/11/2033) Total	IN0020140052	30V	304,300		58.13%	Astra Microwave Products Limited	INE386C01029	Telecom - Equipment &	130,000	144.56	0.11%
Equity & Equity related				75,502.70	30.13%	Deschieles Province Limited	DIE200C01015	Accessories Industrial Products	37,860	130.54	0.10%
(a) Listed / awaiting listing on Stock Exchanges						Ramkrishna Forgings Limited	INE399G01015		,		
Yes Bank Limited	INE528G01019	Banks	262,000	3,287.31	2.53%	J.Kumar Infraprojects Limited	INE576I01022	Construction	69,800	126.97	0.10%
H					2.33%	Zee Entertainment Enterprises Limited	INE256A04022	Media & Entertainment	94,500	8.93	0.01%
Eicher Motors Limited	INE066A01013	Auto	11,215	2,784.44		(Preference Share)				20.400.24	
Hindustan Petroleum Corporation Limited	INE094A01015	Petroleum Products	600,000	2,543.70	1.96%	Sub Total				38,400.56	
Natco Pharma Limited	INE987B01026	Pharmaceuticals	364,870	2,177.73	1.68%	(b) Unlisted				NIL	NIL
Repco Home Finance Limited	INE612J01015	Finance	263,828	2,156.53	1.66%	Sub Total				NIL	NIL
Dalmia Bharat Limited	INE439L01019	Cement	114,718	2,146.37	1.65%	Total				38,400.56	29.56%
Bajaj Finance Limited	INE296A01024	Finance	160,000	1,689.44	1.30%	Cash and Bank				0.40	\$
Berger Paints (I) Limited	INE463A01038	Consumer Non Durables	519,400	1,355.89	1.04%	Total				0.40	\$
Bharat Financial Inclusion Limited	INE180K01011	Finance	140,000	1,232.91	0.95%	Margin (Future and Options)				403.00	0.31%
Bajaj Finserv Limited	INE918I01018	Finance	40,000	1,227.82	0.95%	Total				403.00	0.31%
Capital First Limited	INE688I01017	Finance	163,000	1,130.08	0.87%	CBLO / Reverse Repo				402.00	0.01/0
Orient Cement Limited	INE876N01018	Cement	514,396	1,105.95	0.85%	_				7.110.63	5 476
Century Textiles & Industries Limited	INE055A01016	Cement	110,000	1,037.36	0.80%	Clearing Corporation of India Ltd				7,110.62	5.47%
K.P.R. Mill Limited	INE930H01015	Textile Products	82,000	998.72	0.77%	Sub Total				7,110.62	5.47%
Equitas Holdings Limited	INE988K01017	Finance	504,964	881.92	0.68%	Total				7,110.62	5.47%
Voltas Limited	INE226A01021	Construction Project	212,000	803.69	0.62%	Net Receivables / (Payables)				1,203.62	0.93%

GRAND TOTAL

Quantity Market/ % to Net Name of the Instrume

\*\* Thinly Traded / Non Traded Security

\$ Less Than 0.01% of Net Asset Value

^Industry classification as recommended by AMFI and wherever not available, internal classification has been used

(1) The provision made for Non Performing Assets as of September 30, 2016 is Nil.

(2) Plan/Option wise Net Asset Values are as follows: As on September 30, 2016 As on March 31, 2016 Regular Plan - Growth Option Rs. 34,6089 Rs. 29.9408 Regular Plan - Monthly Dividend Option Rs. 15.0204 Rs. 13.3667 Rs. 35.5923 Rs. 30.6130 Direct Plan - Growth Option

Direct Plan - Monthly Dividend Option Rs. 18.9649 Rs. 16.5941 (3) Dividend in the following plans was declared during the half year ended September 30, 2016 Per Unit (Rs.) Regular Plan - Monthly Dividend Option 0.4975 Direct Plan - Monthly Dividend Option 0.2960

 $(a) \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30,2016 \ is \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there \ were \ no \ hedging \ Nil \ and \ For \ the \ half \ year \ ended \ September \ 30,2016 \ there \ were \ no \ hedging \ Nil \ not \ Nil \ not \ not$ transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2016 is Nil For the half year ended September 30,2016 following non-hedging transactions through futures which have been squared off/expired.

Net Profit/Loss value on all contracts Total Number of contracts Gross Notional Value of contracts where

where futures were sold 131,891,825.00 (c) Hedging Position through Put Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were

no hedging transactions through option which have exercised/expired.

(d) Other than Hedging Positions through Options as on September 30, 2016 is Nil and For the half year ended September 30, 2016 there were no non-hedging transactions through options which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2016 is Nil.

The Average Maturity Period of the Portfolio is 7.46 years During the current half year, the Portfolio turnover ratio of Equity, Equity related instrument & Fixed income instruments is 1.63 times

(7) The total value of illiquid Equity Securities is 0.00 crores and its percentage to Net Assets is 0.00%. (8) Total market value of Foreign Securities/overseas ETFs in 0 crores and its percentage to Net Assets as at September 30, 2016 is 0%.

(9) Details of Repo in Corporate Debt Securities as on September 30, 2016 is NIL.

Further, Investors are hereby informed that pursuant to Sub-regulation (1) of Regulation 59 of SEBI (Mutual Funds) Regulations 1996, half yearly unaudited financial results as on September 30, 2016 of schemes of Birla Sun Life Mutual Fund ('the fund') has been hosted on the website of the fund. Unitholders can refer the same at www.mutualfund.birlasunlife.com

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

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INF062 A01020 Ranks

300.000

753.75 0.58%