# ☑ Over 2.2 million investor scheme accounts



Quantity

Market

This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September, 2013.

### Half Yearly Portfolio Statement as at September 30, 2013

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996) BIRLA SUN LIFE ASSET ALLOCATION AGGRESSIVE PLAN

(AN OPEN ENDED FUND OF FUNDS SCHEME)

	*	DED GROWTH SCHEME) SON SEPTEMBER 30, 2013	;		
Name of the Instrument	ISIN	Industry #	Quantity	Market Value (Rs. in Lacs)	% of Net Assets
Equity & Equity related				•	
(a) Listed / awaiting listing on St					
HDFC Bank Ltd	INE040A01026	Banks	209,400	1,241.85	4.77
ITC Ltd	INE154A01025	Consumer Non Durables	342,600	1,166.21	4.48
Tech Mahindra Ltd Infosys Ltd	INE669C01028 INE009A01021	Software Software	82,523 36,000	1,102.30 1,084.68	4.24 4.17
Indusind Bank Ltd	INE095A01021	Banks	285,800	1,053.89	4.05
Housing Development Finance	INE001A01036	Finance	134,400	1,027.15	3.95
Corporation Ltd					
Sesa Sterlite Ltd	INE205A01025	Minerals/Mining	564,000	1,019.43	3.92
HCL Technologies Ltd	INE860A01027	Software	90,700	986.09	3.79
Tata Consultancy Services Ltd	INE467B01029	Software	51,000	983.18	3.78
IPCA Laboratories Ltd Sun Pharmaceuticals	INE571A01020 INE044A01036	Pharmaceuticals Pharmaceuticals	117,470 125,000	840.38 741.63	3.23 2.85
Industries Ltd	INE044A01030	rnarmaceuticais	125,000	741.03	2.00
ICICI Bank Ltd	INE090A01013	Banks	80,500	711.34	2.73
Hero MotoCorp Ltd	INE158A01026	Auto	33,500	673.10	2.59
Bharti Airtel Ltd	INE397D01024	Telecom - Services	202,000	643.67	2.47
Reliance Industries Ltd	INE002A01018	Petroleum Products	71,050	584.32	2.25
Larsen & Toubro Ltd	INE018A01030	Construction Project	68,000	536.35	2.06
Jyothy Laboratories Ltd	INE668F01031	Consumer Non Durables	308,000	517.75	1.99
Hathway Cable & Datacom Ltd		Media & Entertainment	169,000	484.52	1.86
Just Dial Limited	INE599M01018	Software Pesticides	54,900	463.47	1.78
Bayer CropScience Ltd Repco Home Finance Ltd	INE462A01022 INE612J01015	Finance	29,950 173,781	463.03 436.80	1.78
ING Vysya Bank Ltd	INE166A01011	Banks	80,300	430.21	1.65
Eicher Motors Ltd	INE066A01011	Auto	11,400	405.88	1.56
Idea Cellular Ltd	INE669E01016	Telecom - Services	229,500	386.13	1.48
Motherson Sumi Systems Ltd	INE775A01035	Auto Ancillaries	167,500	385.17	1.48
Maruti Suzuki India Ltd	INE585B01010	Auto	23,050	313.15	1.20
United Spirits Ltd	INE854D01016	Consumer Non Durables	12,000	303.98	1.17
Britannia Industries Ltd	INE216A01022	Consumer Non Durables	36,785	302.37	1.16
LIC Housing Finance Ltd	INE115A01026	Finance	160,000	300.72	1.16
Tata Motors Ltd DVR Shares	IN9155A01020	Auto	180,000	294.66	1.13
Indraprastha Gas Ltd	INE203G01019	Gas	109,000	293.59	1.13
Zee Entertainment Enterprises Ltd	INE256A01028	Media & Entertainment	126,400	288.82	1.11
Dr. Reddy'S Laboratories Ltd	INE089A01023	Pharmaceuticals	12,000	285.74	1.10
Solar Industries India Ltd	INE343H01011	Chemicals	30,000	279.15	1.07
NMDC Ltd	INE584A01023	Minerals/Mining	225,000	270.23	1.04
Cummins India Ltd	INE298A01020	Industrial Products	65,693	268.19	1.03
Madras Cements Ltd	INE331A01037	Cement	149,430	266.51	1.02
Infrastructure Development	INE043D01016	Finance	280,255	246.76	0.95
Finance Company Ltd Jaiprakash Associates Ltd	INE455F01025	Construction	705,000	246.05	0.95
Reliance Capital Ltd	INE013A01015	Finance	77,000	242.74	0.93
Tata Steel Ltd	INE081A01012	Ferrous Metals	86,000	233.53	0.90
The Phoenix Mills Ltd	INE211B01039	Construction	97,200	224.43	0.86
Mahindra & Mahindra	INE774D01024	Finance	85,000	219.00	0.84
Financial Services Ltd					
JSW Energy Ltd	INE121E01018	Power	465,000	204.14	0.78
Yes Bank Ltd	INE528G01019	Banks	70,000	201.15	0.77
PTC India Ltd	INE877F01012	Power	432,400	200.85	0.77
Tata Motors Ltd Radico Khaitan Ltd	INE155A01022 INE944F01028	Auto Consumer Non Durables	50,000	166.25 154.27	0.64
Radico Khaitan Ltd Hindustan Zinc Ltd	INE944F01028 INE267A01025	Non - Ferrous Metals	115,000 114,546	154.27 152.98	0.59
Jet Airways (India) Ltd	INE802G01018	Transportation	36,303	132.98	0.50
Prestige Estates Projects Ltd	INE811K01011	Construction	103,321	121.35	0.47
Akzo Nobel India Ltd	INE133A01011	Consumer Non Durables	11,635	95.51	0.37
Sub Total			-	24,675.03	94.86
(b) Unlisted					
Dharti Dredging ** #~	INE521H01012	Miscellaneous	150,000	55.14	0.21
Karrox Technologies ** #~		Miscellaneous	69,950	0.00	\$
Sub Total				55.14	0.21
Total				24,730.17	95.07
Cash and Bank				0.23	\$
Total Margin (Future and Options)				263.00	1.01
Margin (Future and Options)  Total				263.00 263.00	1.01 <b>1.0</b> 1
CBLO / Reverse Repo				200.00	1.01
Clearing Corporation of India Ltd	I			720.00	2.77
Sub Total				720.00	2.77
Total				720.00	2.77
Net Receivables / (Payables)				299.76	1.15
				26,013.16	100.00

- Thinly Traded / Non Traded Security
- # Unlisted Security ~ Illiquid Security \$ Less Than 0.01% of Net Asset Value

- (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.
- (2) Plan/Option wise Net Asset Values are as follows:

Iarch 28, 2013
Rs. 70.68
s. 146.81
Rs. 70.74
s. 146.91

- NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day (3) Dividend in the following plans was declared during the half year ended September 30, 2013.
  - Per Unit (Rs.) Regular Plan - Dividend Option 4.00 Direct Plan - Dividend Option 4.00
- - Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired. Other than Hedging Position through Futures as on September 30, 2013.

Underlying	Long/ Short	Futures Price when purchased Rs.	Current price of the contract Rs.	Margin maintained in Rs. Lakhs
Adani Enterprises Ltd. Future Oct 13	Long	145.17	141.75	61.12
Dr. Reddy's Laboratories Ltd. Future Oct 13	Long	2,458.37	2,409.10	45.88
Maruti Suzuki India Ltd. Future Oct 13	Long	1,406.89	1,370.00	27.25
Hindustan Zinc Ltd. Future Oct 13	Long	132.36	134.50	13.68

Total exposure due to futures (Other than hedging positions) as a %age of net assets is 3.05%For the period ended September 30, 2013 following non-hedging transactions through futures which have

Total Number of contracts where futures were bought	Total Number of contracts where futures were sold	Gross Notional Value of contracts where futures were bought Rs.	Gross Notional Value of contracts where futures were sold Rs.	Net Profit/Loss value on all contracts combined Rs.
	1.000	545 460 012 00	450,074,998,21	(14,470,603.96)
2,288	1,928	545,460,013.80	430,074,996.21	(14,470,003.90)

- September 30, 2013 there were no hedging transactions through options which have exercised/expired.
- Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/
- Hedging Positions through swaps as on September 30, 2013 is Nil.
- During the current half year, the portfolio turnover ratio of equity and equity related instruments is 1.11 times  $Total\ market\ value\ of\ Foreign\ Securities/overseas\ ETFs\ and\ its\ percentage\ to\ Net\ Assets\ as\ at\ September\ 30,2013\ is\ Nil.$
- The total value of illiquid Equity Securities is 55.14 lakhs and its percentage to Net Assets is 0.21%.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

Name of the Instrument	ISIN	Quantity	Market	% of
			Value (Rs.	Net
			in Lacs)	Assets
Others				
Mutual Fund Units				
Birla Sun Life Top 100 Fund - Growth - Direct Plan	INF209K01WE3	573,997	144.38	18.49
Birla Sun Life Income Plus - Growth - Direct Plan	INF209K01WY1	259,842	136.52	17.49
Birla Sun Life Advantage Fund - Growth - Direct Plan	INF209K01VH8	84,959	126.21	16.16
Birla Sun Life Small & Midcap Fund Growth - Direct Plan	INF209K01WN4	850,150	99.20	12.71
Birla Sun Life Midcap Fund - Growth - Direct Plan	INF209K01Q30	90,873	91.72	11.75
Birla Sun Life Infra. Fund - Growth - Direct Plan	INF209K01WH6	534,170	68.37	8.76
Birla SL Comd. Equities Glob. Agri Growth - Direct Plan	INF209K01207	295,236	54.95	7.04
Birla SL Comd. Equ. Glob. Prec. Metals Growth - Direct Plan	INF209K01VJ4	39	0.00	\$
Sub Total			721.35	92.39
Total			721.35	92.39
CBLO / Reverse Repo				
Clearing Corporation of India Ltd			63.00	8.07
Sub Total			63.00	8.07
Total			63.00	8.07
Net Receivables / (Payables)			(3.61)	-0.46
GRAND TOTAL			780.74	100.00

The provision made for Non Performing Assets as of September 30, 2013 is Nil.

- Plan/Option wise Net Asset Values are as follows:
- As on September 30, 2013 As on March 28, 2013 • Regular Plan - Dividend Option Rs. 33.1433 Rs. 33.7913 Regular Plan - Growth Option Rs. 33.7913 Rs. 33.1433 Direct Plan - Dividend Option Rs. 33.1185 Rs. 33.7913 Direct Plan - Growth Option Rs. 33.1433 Rs. 33.7913
- NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.
- No dividend was declared during the half year ended September 30, 2013. Details of derivatives exposure and transaction:
  - (a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired. Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared
  - Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired. Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised
- (e) Hedging Positions through swaps as on September 30, 2013 is Nil.  $Total\ market\ value\ of\ Foreign\ Securities/overseas\ ETFs\ and\ its\ percentage\ to\ Net\ Assets\ as\ at\ September\ 30,2013$
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

(AN OPEN ENDED FUND O PORTFOLIO AS ON SEF				
Name of the Instrument	ISIN	Quantity	Market Value (Rs. in Lacs)	% of Net Assets
Others				
Mutual Fund Units Birla Sun Life Income Plus - Growth - Direct Plan	INF209K01WY1	352,147	185.02	68.18
Birla Sun Life Top 100 Fund - Growth - Direct Plan	INF209K01W11 INF209K01WE3	59,533	14.97	5.52
Birla Sun Life Advantage Fund - Growth - Direct Plan	INF209K01VH8	8,794	13.06	4.81
Birla Sun Life Small & Midcap Fund Growth - Direct Plan	INF209K01WN4	-,	12.32	4.54
Birla Sun Life Midcap Fund - Growth - Direct Plan	INF209K01Q30	7,440	7.51	2.77
Birla Sun Life Infra. Fund - Growth - Direct Plan	INF209K01Q30 INF209K01WH6	.,	6.21	2.77
Birla SL Comd. Equities Glob. Agri Growth - Direct Plan	INF209K01WH0 INF209K01207	26,776	4.98	1.84
Birla Sur Life Govt Sec. Long Term Growth - Direct Plan	INF209K01207 INF209K01YL4	10,399	3.53	1.30
Sub Total	INF209K011L4	10,399	247.60	91.24
Total			247.60	91.24
CBLO / Reverse Repo				
Clearing Corporation of India Ltd			21.00	7.74
Sub Total			21.00	7.74
Total			21.00	7.74
Net Receivables / (Payables)			2.78	1.02
GRAND TOTAL			271.38	100.00

()	Plan/Option wise Net Asset Values ar	e as follows:	
	Plan	As on September 30, 2013	As on March 28, 2013 •
	Regular Plan - Dividend Option	Rs. 22.9654	Rs. 23.4652
	Regular Plan - Growth Option	Rs. 22.9654	Rs. 23.4652
	Direct Plan - Dividend Option	Rs. 22.9657	Rs. 23.4652
	Direct Plan - Growth Option	Rs. 22.9654	Rs. 23.4652

- ^Industry classification as recommended by AMFI and wherever not available, internal classification has been NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.
  - (3) No dividend was declared during the half year ended September 30, 2013. Details of derivatives exposure and transaction:

\$ Less Than 0.01% of Net Asset Value

- Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired.
- Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended
- September 30, 2013 there were no hedging transactions through options which have exercised/expired.  $Other than \ Hedging \ Positions \ through \ Options \ as \ on \ September \ 30,2013 \ is \ Nil \ and \ for \ the \ half \ year \ ended$  $September\ 30, 2013\ there\ were\ no\ non-hedging\ transactions\ through\ options\ which\ have\ been\ exercised/dependent options.$
- (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- (5) Total market value of Foreign Securities/overseas ETFs and its percentage to Net Assets as at September 30, 2013
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

				Value (Rs.	Net
				in Lacs)	Assets
Debt Instruments					
(a) Listed / awaiting listing on Stock Excha	inge				
Godrej Consumer Products Ltd (ZCB) **	INE102D08106	ICRA AA	1,000	10,780.39	9.15
11.39% Aditya Birla Finance Ltd **	INE860H07136	ICRA AA	1,000	9,975.91	8.46
9.14% Small Industries Dev Bank of India **	INE556F09395	CARE AAA	1,000	9,880.07	8.38
9.20% Reliance Utilities & Power Pvt Ltd	INE936D08032	CARE AAA(SO)	1,000	9,862.68	8.37
12.25% TRIL Infopark Ltd **	INE583J07015	BWR A(SO)	940	9,538.88	8.09
Indiabulls Housing Finance Ltd (ZCB) **	INE148I07290	CARE AA+	740	7,703.64	6.54
9.40% Tata Motors Finance Ltd **	INE909H07AU4	CRISIL AA-	500	4,842.44	4.11
10.00% Shriram Transport Finance Company Ltd **	INE721A07EM7	CARE AA+	400	3,930.20	3.33
10.70% Reliance Capital Ltd **	INE013A07UA0	CARE AAA	300	2,984.46	2.53
9.64% Kotak Mahindra Prime Ltd **	INE916DA7634	CRISIL AA+	250	2,440.16	2.07
9.60% Housing Development Finance Corporation Ltd **	INE001A07IZ7	CRISIL AAA	115	1,152.33	0.98
10.20% Tata Motors Finance Ltd **	INE909H07875	CRISIL AA-	100	994.94	0.84
9.15% Infrastructure Development Finance Company Ltd **	INE043D07EH0	ICRA AAA	100	985.69	0.84
10.30% Shriram Transport Finance Company Ltd **	INE721A07DD8	CRISIL AA	90	898.36	0.76
11.33% Aditya Birla Finance Ltd **	INE860H07227	ICRA AA	80	797.77	0.68
Shriram Transport Finance Company Ltd (ZCB) **	INE721A08AT8	CRISIL AA	1	9.25	0.01
Sub Total				76,777.17	65.14
(b) Privately placed / Unlisted					
10.60% Mandava Holdings Private Limited ** #	INE689L07024	BWR AA+ (SO)	500	4,957.49	4.21
11.10% Floreat Investments Ltd ** #	INE056O07012	ICRA AA+(SO)	402	3,955.21	3.36
10.60% Mandava Holdings Private Limited ** #	INE689L07032	BWR AA+(SO)	250	2,478.38	2.10
Sub Total				11,391.08	9.66
Total				88,168.25	74.80
Money Market Instruments					
Commercial Paper					

INE404K14513 ICRA A1+

INE404K14604 ICRA A1+

INE535H14EA7 ICRA A1+

2,000

1,000

9,420.72

4,731.98

4,534.01

18,686,71

18,686.71

7,442.00

7,442.00

7,442.00

3,575.27

117,872.23 100.00

7.99

4.01

3.85

15.85

15.85

6.31

6.31

6.31

3.03

(AN OPEN ENDED INCOME SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013

Rating

ISIN

Net Receivables / (Payables) GRAND TOTAL ZCB - Zero Coupon Bond

CBLO / Reverse Repo Clearing Corporation of India Ltd

hapoorji Pallonji & Co Ltd \*\* #

shapoorji Pallonji & Co Ltd \*\* #

ullerton India Credit Co Ltd \*\*#

Name of the Instrument

\*\* Thinly Traded / Non Traded Security

# Unlisted Security

Sub Total

Sub Total

Total

- (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.
- (2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2013	As on March 28, 2013 •
Regular Plan - Dividend Option	Rs. 12.4587	Rs. 11.9289
Regular Plan - Growth Option	Rs. 19.4808	Rs. 18.6525
Regular Plan - Quarterly Dividend Option	on Rs. 10.4023	Rs. 10.2937
Institutional Plan - Dividend Option +	Rs. 12.9164	Rs. 12.3581
Institutional Plan - Growth Option +	Rs. 14.2844	Rs. 13.6669
Direct Plan - Dividend Option	Rs. 12.5001	Rs. 11.9405
Direct Plan - Growth Option	Rs. 19.5549	Rs. 18.6705

Direct Plan - Quarterly Dividend Option Rs. 10.4675 • NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.

+Plan(s) discontinued from accepting subscriptions w.e.f October 01, 2012

Dividend in the following plans was declared during the half year ended September 30, 2013

Per Unit (Rs.) Regular Plan - Quarterly Dividend Option 0.3437 Direct Plan - Quarterly Dividend Option 0.3588 Details of derivatives exposure and transaction:

- Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September
- 30, 2013 there were no hedging transactions through futures which have been squared off/expired. Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended  $September\,30, 2013\,there\,were\,no\,non-hedging\,transactions\,through\,futures\,which\,have\,been\,squared\,for the control of the con$
- Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended
- $September\,30, 2013\,there\,were\,no\,hedging\,transactions\,through\,options\,which\,have\,exercised/expired.$  $Other than \ Hedging \ Positions \ through \ Options \ as \ on \ September \ 30,2013 \ is \ Nil \ and \ for \ the \ half \ year \ ended$ September 30, 2013 there were no non-hedging transactions through options which have been exercised/
- (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 0.90 years. Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil

#### BIRLA SUN LIFE ASSET ALLOCATION FUND - MODERATE PLAN D OF FUNDS SCHEME)

		(4	AN OPEN E PORTFOI		
Name of the Instrument	ISIN	Quantity	Market	% of	1 7
			Value (Rs.	Net	1
			in Lacs)	Assets	] (
Others					1
Mutual Fund Units					
Birla Sun Life Income Plus - Growth - Direct Plan	INF209K01WY1	221,731	116.50	27.92	
Birla Sun Life Top 100 Fund - Growth - Direct Plan	INF209K01WE3	219,628	55.25	13.24	
Birla Sun Life Advantage Fund - Growth - Direct Plan	INF209K01VH8	33,944	50.42	12.08	
Birla Sun Life Midcap Fund - Growth - Direct Plan	INF209K01Q30	46,330	46.76	11.21	١.
Birla Sun Life Govt Sec. Long Term Growth - Direct Plan	INF209K01YL4	122,683	41.60	9.97	(
Birla Sun Life Small & Midcap Fund Growth - Direct Plan	INF209K01WN4	323,251	37.72	9.04	1
Birla Sun Life Infra. Fund - Growth - Direct Plan	INF209K01WH6	197,075	25.23	6.05	
Birla SL Comd. Equities Glob. Agri Growth - Direct Plan	INF209K01207	87,780	16.34	3.92	
Birla SL Comd. Equ. Glob. Prec. Metals Growth - Direct Plan	INF209K01VJ4	18	0.00	\$	
Sub Total			389.82	93.42	
Total			389.82	93.42	
CBLO / Reverse Repo					
Clearing Corporation of India Ltd			28.00	6.71	
Sub Total			28.00	6.71	
Total			28.00	6.71	
Net Receivables / (Payables)			(0.56)	-0.13	
GRAND TOTAL			417.26	100.00	1 '

The provision made for Non Performing Assets as of September 30, 2013 is Nil. Plan/Option wise Net Asset Values are as follows:

As on September 30, 2013 As on March 28, 2013 • Regular Plan - Dividend Option Rs. 29.5456 Rs. 30.0879 Regular Plan - Growth Option Direct Plan - Dividend Option Rs. 29.5456 Rs. 30.0879 Direct Plan - Growth Option Rs. 29.5455 Rs. 30.0879 NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.

No dividend was declared during the half year ended September 30, 2013.

Details of derivatives exposure and transaction:

- (a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September  $30, 2013 \ there \ were \ no \ hedging \ transactions \ through \ futures \ which \ have \ been \ squared \ off/expired.$ Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended
- September 30, 2013 there were no non-hedging transactions through futures which have been squared off/expired. Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended  $September\ 30, 2013\ there\ were\ no\ hedging\ transactions\ through\ options\ which\ have\ exercised/expired.$  $Other than \ Hedging \ Positions \ through \ Options \ as \ on \ September \ 30,2013 \ is \ Nil \ and \ for \ the \ half \ year \ ended$
- September 30, 2013 there were no non-hedging transactions through options which have been exercised/ Hedging Positions through swaps as on September 30, 2013 is Nil.
- $Total\ market\ value\ of\ Foreign\ Securities/overseas\ ETFs\ and\ its\ percentage\ to\ Net\ Assets\ as\ at\ September\ 30,$
- 2013 is Nil.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil

# ☑ Over 2.2 million investor scheme accounts



This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September, 2013.

### Half Yearly Portfolio Statement as at September 30, 2013

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996)

#### BIRLA SUN LIFE SAVINGS FUND (AN OPEN ENDED SHORT TERM INCOME SCHEME)

Oebt Instruments				in Lacs)	Net Assets
Jedi instruments					
a) Listed / awaiting listing on Stock Exch	ange				
.84% Power Finance Corporation Ltd	INE134E08EV4	CRISIL AAA	4,150	41,265.65	11.15
Shriram Transport Finance	INE721A08BH1		2,000	22,088.98	5.97
Company Ltd (ZCB) **	II (E/21/100BIII	CROIL AIR	2,000	22,000.70	3.71
.14% Small Industries Dev	INE556F09395	CARE AAA	1,250	12,350.09	3.34
Bank of India **			-,	,	
.40% LIC Housing Finance Ltd **	INE115A07AF4	CRISIL AAA	1,100	10,968.15	2.96
.90% Tata Capital Financial	INE306N07716	CRISIL AA+	1,000	9,965.66	2.69
ervices Ltd **			,	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
.35% Rural Electrification	INE020B08617	CRISIL AAA	900	8,986.43	2.43
Corporation Ltd **					
0.14% Infrastructure Development	INE043D07BB9	ICRA AAA	750	7,495.48	2.03
Finance Company Ltd **		-		.,	
.95% National Housing Bank **	INE557F08EM2	CRISIL AAA	500	4,974.70	1.34
0.48% Small Industries	INE556F09361	CARE AAA	500	4,974.51	1.34
Dev Bank of India **				.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
0.05% Tata Capital Financial	INE306N07328	ICRA AA+	500	4,957.59	1.34
services Ltd **					
Kotak Mahindra Prime Ltd (ZCB) **	INE916DA7295	CRISIL AA+	430	4,070.57	1.10
0.50% Sundaram Finance Ltd **	INE660A07IJ4	ICRA AA+	400	3,968.10	1.07
0.11% Sundaram Finance Ltd **	INE660A07IV9	CRISIL AA+	350	3,481.45	0.94
.61% Housing Development	INE001A07JA8	CRISIL AAA	3,000	2,991.98	0.81
Finance Corporation Ltd **	INEOUTAU/JA0	CRISIL AAA	3,000	2,771.70	0.01
1.50% Rural Electrification	INE020B07EF6	CRISIL AAA	250	2,502.51	0.68
Corporation Ltd **	II (E020B07EI 0	CROSIL TITLE	250	2,502.51	0.00
1.45% Reliance Industries Ltd **	INE002A07718	CRISIL AAA	250	2,500.65	0.68
0.90% Reliance Gas Transportation	INE657I07019	CRISIL AAA	250	2,498.90	0.68
nfrastructure Ltd **	11(103/10/01)	CROSIL TITLE	250	2,470.70	0.00
0.00% Infrastructure Development	INE043D08AN4	IND AAA	250	2,495.43	0.67
Finance Company Ltd **	I (E043D00) II (4	IIID ALIA	230	2,475.45	0.07
0.77% Cholamandalam Investment	INE121A07FN5	ICRA AA	250	2,492.44	0.67
nd Finance Company Ltd **	11,2121110,1110	101011111	250	2, 1, 2	0.07
.90% Housing Development	INE001A07GJ5	CRISIL AAA	250	2,492.41	0.67
Finance Corporation Ltd **	11120017107633	CROSIL TITLE	230	2,472.41	0.07
0.00% Shriram Transport	INE721A07FP7	CRISIL AA	250	2,490.58	0.67
Finance Company Ltd **	11,272110,117	CHISID: III	250	2,170.50	0.07
0.78% Infrastructure Development	INE043D07AY3	ICRA AAA	250	2,486.76	0.67
Finance Company Ltd **				_,	
0.30% Shriram Transport	INE721A07DD8	CRISIL AA	160	1,597.09	0.43
Finance Company Ltd **			- 30	-,	
1.40% Power Finance Corporation Ltd *	* INE134E08BF3	CRISIL AAA	150	1,500.89	0.41
0.20% Tata Motors Finance Ltd **	INE909H07875	CRISIL AA-	150	1,492.41	0.40
.70% Kotak Mahindra Prime Ltd **	INE916DA7279		150	1,492.09	0.40
			150	,	0.38
ata Motors Finance Ltd (ZCB) ** 0.83% Tata Capital Ltd **	INE909H07768 INE976I07BX3	CARE AA+	100	1,395.58 997.53	0.27
.05% LIC Housing Finance Ltd **	INE976107BA3 INE115A07DF8	CARE AAA	95	997.33	
					0.26
1.39% Aditya Birla Finance Ltd **	INE860H07136	ICRA AA	90	897.83	0.24
Shriram Transport Finance	INE721A07DE6	CRISIL AA	70	785.46	0.21
Company Ltd (ZCB) **	DIETAL CORES	ICD 4 · · ·		400 61	
1.81% Cholamandalam Investment nd Finance Company Ltd **	INE121A07EQ1	ICRA AA	50	499.61	0.13

Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs. in Lacs)	% of Net Assets
9.33% Power Grid Corporation of India Ltd **	INE752E07EV5	CRISIL AAA	40	498.53	0.13
9.80% LIC Housing Finance Ltd **	INE115A07AK4	CRISIL AAA	30	299.48	0.08
8.76% LIC Housing Finance Ltd **	INE115A07DV5	CARE AAA	20	197.15	0.05
9.50% Housing Development Finance Corporation Ltd **	INE001A07KP4	CRISIL AAA	10	99.54	0.03
10.78% HDB Financial Services Ltd **	INE756I07068	CARE AAA	10	49.49	0.01
Sub Total				175,248.98	47.35
(b) Privately placed / Unlisted					
9.96% LIC Housing Finance Ltd ** #	INE115A07EK6	CRISIL AAA	500	4,988.66	1.35
Sub Total				4,988.66	1.35
Total				180,237.64	48.70
Money Market Instruments					
Certificate of Deposit					
State Bank of Travancore ** #	INE654A16CO1	CRISIL A1+	10,000	9,862.35	2.66
United Bank of India ** #	INE695A16GK3	CARE A1+	7,500	7,187.47	1.94
State Bank of Bikaner and Jaipur ** #	INE648A16GI6	CARE A1+	7,500	6,834.11	1.85
Axis Bank Ltd ** #	INE238A16TY6	CRISIL A1+	5,000	4,551.35	1.23
State Bank of Hyderabad ** #	INE649A16DH3	ICRA A1+	3,500	3,355.97	0.91
Bank of Baroda ** #	INE028A16516	ICRA A1+	2,500	2,443.41	0.66
Sub Total				34,234.66	9.25
Commercial Paper					
Shapoorji Pallonji & Co Ltd ** #	INE404K14398	ICRA A1+	2,000	9,899.98	2.67
Sesa Sterlite Ltd ** #	INE205A14234	CRISIL A1+	1,500	6,813.94	1.84
Sesa Sterlite Ltd ** #	INE205A14143	CRISIL A1+	1,000	4,927.82	1.33
Sesa Sterlite Ltd ** #	INE205A14168	CRISIL A1+	1,000	4,780.59	1.29
Vodafone India Ltd ** #	INE705L14362	CRISIL A1+	1,000	4,766.87	1.29
Kotak Mahindra Prime Ltd ** #	INE916D14PZ1	CRISIL A1+	920	4,513.95	1.22
Indian Oil Corporation Ltd ** #	INE242A14DS9	ICRA A1+	640	3,164.16	0.85
Kotak Mahindra Prime Ltd ** #	INE916D14PY4	CRISIL A1+	500	2,393.05	0.65
Piramal Enterprises Ltd ** #	INE140A14498	ICRA A1+	400	1,996.40	0.54
Tata Capital Financial Services Ltd ** #	INE306N14605	ICRA A1+	32	157.23	0.04
Sub Total				43,413.99	11.73
Treasury Bill					
91 Days Tbill (MD 05/12/2013)	IN002013X220	SOV	30,000,000	29,497.81	7.97
182 Days Tbill (MD 30/01/2014)	IN002013Y095	SOV	15,000,000	14,541.02	3.93
91Days TBill (MD 26/12/2013)	IN002013X253	SOV	9,735,750	9,522.60	2.57
Sub Total				53,561.43	14.47
Total				131,210.08	35.45
Others					
Fixed Deposit		Tenure (No. of	Days)		
11.15% Indusind Bank Ltd		91		11,800.00	3.19
11.15% Indusind Bank Ltd		91		11,700.00	3.16
10% Axis Bank Ltd		91		10,000.00	2.70
11.15% Indusind Bank Ltd		91		10,000.00	2.70
11.15% Indusind Bank Ltd		91		2,000.00	0.54
Sub Total				45,500.00	12.29
Total				45,500.00	12.29

Name of the Instrument	ISIN	Rating	Quantity	Market	% of
				Value (Rs.	Net
				in Lacs)	Assets
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				10,060.00	2.72
Clearing Corporation of India Ltd				113.00	0.03
Sub Total				10,173.00	2.75
Total				10,173.00	2.75
Net Receivables / (Payables)				3,006.96	0.81
GRAND TOTAL				370,127.68	100.00

\*\* Thinly Traded / Non Traded Security

Direct Plan - Growth Option Direct Plan - Daily Dividend Option

# Unlisted Security

(1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.

(2) Plan/Option wise Net Asset Values are as follows: As on September 30, 2013 As on March 28, 2013 • Retail Plan - Growth Option ^^ Rs. 226.6792 Rs. 216.8372 Retail Plan - Daily Dividend Option ^^ Rs. 100.2963 Rs. 100.0948 Retail Plan - Weekly Dividend Option^^ Rs. 100.0431 Rs. 100.0884 Regular Plan - Growth Option Rs. 233.9951 Rs. 223.5438 Rs 100 2970 Rs 100 0948 Regular Plan - Daily Dividend Option Regular Plan - Weekly Dividend Option Rs. 100.0459 Rs. 100.0886 Rs. 134.2621 Rs. 128.6037 Discipline Advantage Plan \* Rs. 234.1388 Rs. 223.5964

Direct Plan - Weekly Dividend Option Rs. 100.0459 Rs. 100.0903 NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day

Rs. 100.2972

Rs. 100.0948

+ This option has been suspended for further subscriptions w.e.f. May 21, 2010. ^Plan(s) discontinued from accepting subscriptions w.e.f October 01, 2012.

(3) Dividend in the following plans was declared during the half year ended September 30, 2013.

Per Unit (Rs.) Retail Plan - Daily Dividend Option 4.0453 Retail Plan - Weekly Dividend Option 4.4947 Regular Plan - Daily Dividend Option 4.1762 Regular Plan - Weekly Dividend Option 4.6224 Direct Plan - Daily Dividend Option 4.2135 Direct Plan - Weekly Dividend Option 4.6543

(4) Details of derivatives exposure and transaction:

- (a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired.
- Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended  $September \, 30, 2013 \, there \, were \, no \, non-hedging \, transactions \, through \, futures \, which \, have \, been \, squared \, transactions \, through \, futures \, which have been \, squared \, transactions \, through \, futures \, which have been \, squared \, transactions \, through \, futures \, which have been \, squared \, transactions \, through \, futures \, transactions \, transactions \, through \, futures \, transactions \, transa$ off/expired.
- Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended  $September\ 30,2013\ there\ were\ no\ hedging\ transactions\ through\ options\ which\ have\ exercised/expired.$ Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/
- (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 0.42 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil

#### BIRLA SUN LIFE DYNAMIC BOND FUND (AN OPEN ENDED INCOME SCHEME) $PORTFOLIO\,AS\,ON\,SEPTEMBER\,30, 2013$

Name of the Instrument

Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs. in Lacs)	% of Net Assets
Debt Instruments				III Lacs)	Assets
(a) Listed / awaiting listing on Stock Excha	nge				
8.89% National Bank For Agriculture	INE261F09IA5	CRISIL AAA	5,000	49,725.62	3.52
and Rural Development **					
8.90% Power Finance Corporation Ltd **	INE134E08FA5	CRISIL AAA	5,000	49,519.74	3.51
8.29% Power Finance Corporation Ltd **	INE134E08FU3 INE148I07290	CRISIL AAA CARE AA+	3,600 3,160	35,170.87	2.49
Indiabulls Housing Finance Ltd (ZCB) ** 9.55% Housing Development Finance	INE148107290 INE001A07IX2	CRISIL AAA	3,280	32,896.61 32,726.80	2.33
Corporation Ltd **	INLOUIAU/IA2	CRISIL AAA	3,200	32,720.00	2.32
9.97% Power Finance Corporation Ltd (FRN) **	INE134E08CK1	CRISIL AAA	2,700	27,915.50	1.98
7.00% RKN RETAIL PVT. LTD **	INE270O08017	BWR A-(SO)	270	27,761.23	1.97
9.39% LIC Housing Finance Ltd **	INE115A07DO0		2,500	24,758.24	1.75
8.36% Export Import Bank of India **	INE514E08CS7	CRISIL AAA	2,500	24,714.09	1.75
10.19% Tata Motors Finance Ltd **	INE909H07842	CRISIL AA-	2,500	24,689.85	1.75
9.65% Kotak Mahindra Prime Ltd **	INE916DA7600	CRISIL AA+	2,500	24,402.59	1.73
12.50% DLF Ltd **	INE271C07095	ICRA A	47	23,938.29	1.70
Housing Development Finance Corporation Ltd (ZCB) ***	INE001A07HT2	CRISIL AAA	2,000	22,943.11	1.63
10.07% Shriram Transport Finance Company Ltd **	INE721A08AM3	CARE AA+	2,250	22,470.00	1.59
9.20% Reliance Utilities & Power Pvt Ltd	INE936D08032	CARE AAA(SO)	2,250	22,191.04	1.57
9.64% Kotak Mahindra Prime Ltd ** 9.45% Housing Development	INE916DA7634	CRISIL AA+	2,109	20,585.17	1.46
Finance Corporation Ltd **	INE001A07KE8	CRISIL AAA	2,000	19,792.51	1.40
9.63% Power Finance Corporation Ltd **	INE134E08DY0	CRISIL AAA	1,900	18,906.85	1.34
10.03% Tata Capital Financial Services Ltd ***	INE976I07BI4	CARE AA+	1,500	14,873.32	1.05
9.20% Housing Development Finance Corporation Ltd **	INE001A07JX0	CRISIL AAA	1,500	14,749.73	1.05
10.43% Power Finance Corporation Ltd	INE134E08EP6	CRISIL AAA	1,450	14,414.04	1.02
10.40% Shriram Transport Finance Company Ltd (FRN) **	INE721A08BI9	CRISIL AA	1,350	13,449.17	0.95
10.70% Reliance Capital Ltd **	INE013A07UB8	CARE AAA	1,250	12,458.05	0.88
10.20% Tata Motors Finance Ltd **	INE909H07875	CRISIL AA-	1,250	12,436.75	0.88
12.25% TRIL Infopark Ltd **	INE583J07015	BWR A(SO)	1,166	11,832.27	0.84
Tata Motors Finance Ltd (ZCB) **	INE909H07834	CRISIL AA-	1,158	11,300.04	0.80
9.45% IDBI Bank Ltd **	INE008A08T95	CRISIL AA+	1,150	11,271.89	0.80
Godrej Consumer Products Ltd (ZCB) **	INE102D08106	ICRA AA	1,000	10,780.39	0.76
9.57% Tata Motors Finance Ltd **	INE909H07AO7		1,100	10,711.17	0.76
Indiabulls Financial Services Ltd (ZCB) **	INE894F07477	CARE AA+	856	10,258.02	0.73
8.88% National Bank For Agriculture and Rural Development **	INE261F09IB3	CRISIL AAA	1,000	9,895.37	0.70
9.50% Cholamandalam Investment and Finance Company Ltd **	INE121A07GV6		1,000	9,709.72	0.69
10.70% Reliance Capital Ltd **	INE013A07UA0		950	9,450.79	0.67
11.33% Aditya Birla Finance Ltd **	INE860H07227	ICRA AA	920	9,174.31	0.65
9.80% LIC Housing Finance Ltd **	INE115A07CN4		850	8,475.42	0.60
10.28% Tata Motors Finance Ltd **	INE909H07818	CRISIL AA-	760	7,591.03	0.54
8.78% National Housing Bank ** 8.84% Rural Electrification	INE557F08EL4 INE020B08781	CRISIL AAA CRISIL AAA	750 750	7,461.56 7,439.09	0.53 0.53
Corporation Ltd **					
10.00% Sundaram Finance Ltd **	INE660A07HB3		600 518	5,955.12 5,191.32	0.42 0.37
9.55% Power Finance Corporation Ltd ** 10.25% Sundaram Finance Ltd **	INE134E08EC4 INE660A07GW1		518 500	5,191.32 4,993.26	0.37
10.70% Cholamandalam Investment and Finance Company Ltd **	INE121A07EU3		500	4,986.89	0.35
9.63% Tata Capital Financial Services Ltd **	INE306N07435	CRISIL AA+	500	4,948.33	0.35
10.00% Tata Motors Finance Ltd **	INE909H07933	CRISIL AA-	500	4,946.38	0.35
9.60% Kotak Mahindra Prime Ltd **	INE916DA7626		500	4,908.94	0.35
9.00% Vizag General Cargo	INE905O07010	CRISIL AA+ (SC		4,886.39	0.35
Berth Pvt Ltd ** 9.50% Cholamandalam Investment	INE121A07GR4		500	4,858.68	0.34
and Finance Company Ltd ** 9.41% Mahindra & Mahindra	INE774D07JN7		500	4,841.68	0.34
Financial Services Ltd ** 10.25% Tata Motors Finance Ltd **	INE909H07883	CRISIL AA-	450	4,461.00	0.32
11.39% Aditya Birla Finance Ltd **	INE860H07136	ICRA AA	410	4,090.12	0.29
9.35% Union Bank of India **	INE692A09134	CRISIL AA+	400	3,904.41	0.28
10.25% Reliance Gas Transportation Infrastructure Ltd **	INE657I08017	CARE AAA	300	2,960.35	0.21
10.30% Cholamandalam Investment and Finance Company Ltd **	INE121A07FT2	ICRA AA	250	2,483.57	0.18

INE115A07DE1 CRISIL AAA

INE121A07GM5 IND AA-

2,481.96

2,435.56

250

0.18

0.17

9.37% LIC Housing Finance Ltd \*\*

9.60% Cholamandalam Investment

and Finance Company Ltd

Name of the Instrument	ISIN	Rating	Quantity	Market	% of
				Value (Rs. in Lacs)	Net Assets
Tata Motors Finance Ltd (ZCB) **	INE909H07768	CRISIL AA-	250	2,325.96	0.16
9.75% Housing Development Finance Corporation Ltd **	INE001A07GG1	CRISIL AAA	200	1,996.05	0.14
8.50% Power Finance Corporation Ltd **	INE134E08CL9	CRISIL AAA	150	1,479.04	0.10
10.53% Power Finance Corporation Ltd **	INE134E08ER2	CRISIL AAA	100	997.39	0.10
9.41% National Bank For Agriculture	INE261F09HS9	CRISIL AAA	100	996.61	0.07
and Rural Development **					
9.38% IDBI Bank Ltd **	INE008A08T61	CRISIL AA+	100	963.95	0.07
9.38% National Bank For Agriculture	INE261F09HB5	CRISIL AAA	67	668.47	0.05
and Rural Development **	DIE (55705005	antar	50	525.20	0.04
10.95% Reliance Gas Transportation Infrastructure Ltd **	INE657I07027	CRISIL AAA	50	525.39	0.04
8.75% Export Import Bank of India **	INE514E08BX9	CRISIL AAA	50	498.30	0.04
8.45% Power Finance Corporation Ltd **	INE134E08BS6	CRISIL AAA	50	494.11	0.04
9.27% Indian Railway Finance Corp Ltd **	INE053F09HO9	CRISIL AAA	50	493.35	0.03
9.80% LIC Housing Finance Ltd **	INE115A07452	CRISIL AAA	42	421.15	0.03
Housing Development Finance	INE001A07HP0	CRISIL AAA	30	350.41	0.02
Corporation Ltd (ZCB) **	D.E. 15 1 05 D.V.5	aprar	20	250.50	0.00
9.56% LIC Housing Finance Ltd **	INE115A07BX5		28	278.59	0.02
8.45% Power Finance Corporation Ltd ** Infrastructure Development Finance	INE134E08BR8 INE043D07DT7		17 10	168.11 93.34	0.01
Company Ltd (ZCB) **	INLO43D07D17	ICKA AAA	10	75.54	0.01
Shriram Transport Finance	INE721A08AT8	CRISIL AA	5	46.24	0.00
Company Ltd (ZCB) **					
5.00% Forbes & Company Ltd **	INE518A07028	CRISIL AA-	1	10.12	0.00
Sub Total				808,980.78	57.32
(b) Privately placed / Unlisted	DIETAL A OZUDA	ICD 4 4 4	500	5.045.55	0.26
11.00% Cholamandalam Investment and Finance Company Ltd ** #	INE121A07HB6	ICRA AA	500	5,045.55	0.36
10.75% Floreat Investments Ltd ** #	INE056O07020	ICRA AA+(S	O) 400	3,914.89	0.28
9.68% Tata Sons Ltd ** #	INE895D08394	CRISIL AAA	76	750.13	0.05
Sub Total				9,710.57	0.69
Total				818,691.35	58.00
Government Securities					
8.28% GOI (MD 21/09/2027)	IN0020070069	SOV	122,000,000	113,765.00	8.06
9.49% Kerala SDL (MD 01/08/2023)	IN2020130067 IN0020120054	SOV SOV	17,000,000	16,905.62	1.20 0.84
8.12% GOI (MD 10/12/2020) 7.28% GOI (MD 03/06/2019)	IN0020120034 IN0020130038	SOV	12,500,000 12,000,000	11,876.25 11,100.00	0.84
8.63% Madhya Pradesh SDL	IN2120120042	SOV	8,000,000	7,502.24	0.73
( MD 20/03/2023)			-,,	.,	
9.54% Karnataka SDL (MD 11/09/2023)	IN1920130045	SOV	7,500,000	7,499.05	0.53
8.25% Kerala SDL (MD 25/04/2023)	IN2020130018	SOV	8,000,000	7,304.52	0.52
8.64% Madhya Pradesh SDL	IN2120120034	SOV	7,266,700	6,810.68	0.48
(MD 20/02/2023)	IN12920120051	SOV	5,706,900	5 127 01	0.36
7.98% Punjab SDL (MD 03/07/2023) 8.33% GOI 2026 (M/D 09/07/2026)	IN2820130051 IN0020120039	SOV	5,500,000	5,137.91 5,126.00	0.36
1.44% GOI Inflation Indexed bond	IN0020120039	SOV	5,000,000	4,159.24	0.29
(MD 05/06/2023)			.,,	.,	
8.10% Kerala SDL (MD 08/05/2023)	IN2020130026	SOV	4,500,000	4,084.62	0.29
9.72% Kerala SDL (MD 28/08/2023)	IN2020130075	SOV	4,000,000	4,024.00	0.29
9.65% Karnatak SDL (MD 14/08/2023)	IN1920130037	SOV	3,000,000	3,024.30	0.21
9.77% ANDHRA PRADESH SDL	IN1020130051	SOV	2,500,000	2,525.89	0.18
(MD 28/08/2023) 9.39% Karnataka SDL (MD 01/08/2023)	IN1920130029	SOV	2,500,000	2,473.47	0.18
8.71% Punjab SDL (MD 06/02/2023)	IN2820120151	SOV	2,500,000	2,368.37	0.18
8.59% Andhra pradesh SDL	IN1020120177	SOV	1,500,000	1,409.07	0.10
(MD 23/01/2023)					
8.33% GOI 2036 (07/06/2036)	IN0020060045	SOV	1,529,100	1,385.96	0.10
8.11% Punjab SDL (MD 08/05/2023)	IN2820130028	SOV	1,500,000	1,365.00	0.10
7.98% Kerala SDL (MD 03/07/2023)	IN2020130059	SOV	1,221,500	1,099.01	0.08
8.25% Andhra PradeshSDL (MD 25/04/2023)	IN1020130010	SOV	1,000,000	915.57	0.06
9.84% Andhra Pradesh SDL	IN1020130036	SOV	500,000	507.61	0.04
(MD 01/08/2023)	1111020130030	50,	200,000	507.01	0.01
9.14% Kerala SDL (MD 25/04/2022)	IN2020120019	SOV	500,000	481.85	0.03
9.01% JAMMU AND KASHMIR	IN1820110055	SOV	500,000	478.88	0.03
(MD 07/03/2022)	D110001-0015	2011	<b>*</b> *******	4	
8.86% Andhra pradesh SDL (MD 06/06/2022)	IN1020120045	SOV	500,000	474.74	0.03
(MD 06/06/2022) 8.65% Kerala SDL (MD 09/01/2023)	IN2020120084	SOV	500,000	470.69	0.03
8.28% GOI (MD 15/02/2032)	IN0020060086	SOV	500,000	455.50	0.03
8.63% KERLA SDL - (MD 06/03/2023)	IN2020120100	SOV	189,300	177.93	0.03
8.93% Kerala SDL (MD 21/11/2022)	IN2020120068	SOV	76,400	72.71	0.01
8.68% Gujarat SDL (MD 06/02/2023)	IN1520120149	SOV	32,900	31.14	0.00
Total				225,012.82	15.94

			Value (Rs.	Net
			in Lacs)	Assets
INE608A16FG6	ICRA A1+	7,500	6,826.86	0.48
INE651A16FE1	ICRA A1+	3,500	3,184.76	0.23
INE667A16BH9	CARE A1+	1,500	1,467.99	0.10
			11,479.61	0.81
INE001A14IT6	ICRA A1+	7,700	35,943.86	2.55
INE134E14535	CRISIL A1+	4,300	19,865.71	1.41
INE205A14234	CRISIL A1+	4,000	18,170.50	1.29
INE916D14QP0	CRISIL A1+	4,000	18,148.37	1.29
INE580B14AN7	CRISIL A1+	3,200	14,497.00	1.03
INE909H14DV2	ICRA A1+	3,000	13,554.90	0.96
INE205A14176	CRISIL A1+	2,554	12,176.39	0.86
INE871D14FF1	CARE A1+	800	3,665.95	0.26
INE121A14IT2	ICRA A1+	700	3,179.31	0.23
INE071G14518	ICRA A1+	400	1,863.54	0.13
			141,065.53	9.99
IN002013Y095	SOV	99,510,000	96,465.16	6.83
IN002013Z134	SOV	25,927,750	23,857.08	1.69
IN002013Z100	SOV	330,000	306.23	0.02
			120,628.47	8.55
			273,173.61	19.35
			56,476.00	4.00
			56,476.00	4.00
			56,476.00	4.00
			38,100.47	2.70
			1,411,454.25	100.00
oupon Bond				
	INE651A16FE1 INE667A16BH9 INE001A14IT6 INE134E14535 INE205A14234 INE916D14QP0 INE580B14AN7 INE909H14DV2 INE205A14176 INE871D14FF1 INE121A14IT2 INE071G14518 IN002013Y095 IN002013Z134 IN002013Z100	INE205A14234 CRISIL A1+ INE916D14QP0 CRISIL A1+ INE580B14AN7 CRISIL A1+ INE909H14DV2 ICRA A1+ INE205A14176 CRISIL A1+ INE871D14FF1 CARE A1+ INE121A14IT2 ICRA A1+ INE071G14518 ICRA A1+ IN002013Y095 SOV IN002013Z134 SOV IN002013Z100 SOV	INE651A16FE1 ICRA A1+ 3,500 INE667A16BH9 CARE A1+ 1,500  INE001A14IT6 ICRA A1+ 7,700  INE134E14535 CRISIL A1+ 4,000 INE905A14234 CRISIL A1+ 4,000 INE916D14QP0 CRISIL A1+ 4,000 INE980B14AN7 CRISIL A1+ 3,200 INE909H14DV2 ICRA A1+ 3,000 INE0205A14176 CRISIL A1+ 2,554 INE871D14FF1 CARE A1+ 800 INE121A14IT2 ICRA A1+ 700 INE071G14518 ICRA A1+ 400  IN002013Y095 SOV 99,510,000 IN002013Z134 SOV 25,927,750 IN002013Z100 SOV 330,000	INE608A16FG6   ICRA A1+   7,500   3,184.76   INE651A16FE1   ICRA A1+   3,500   3,184.76   INE667A16BH9   CARE A1+   1,500   1,467.99   11,479.61   INE001A14IT6   ICRA A1+   7,700   35,943.86   INE134E14535   CRISIL A1+   4,000   19,865.71   INE205A14234   CRISIL A1+   4,000   18,170.50   INE916D14QP0   CRISIL A1+   4,000   18,184.37   INE580B14AN7   CRISIL A1+   3,000   13,554.90   INE205A14176   CRISIL A1+   3,000   13,554.90   INE205A14176   CRISIL A1+   3,000   3,665.95   INE121A14IT2   ICRA A1+   700   3,179.31   INE071G14518   ICRA A1+   800   3,665.95   INE121A14IT2   ICRA A1+   700   3,179.31   INE071G14518   ICRA A1+   400   1,863.54   141,065.53   IN002013Z134   SOV   25,927,750   23,857.08   IN002013Z134   SOV   25,927,750   23,857.08   IN002013Z134   SOV   330,000   306.23   120,628.47   273,173.61   120,628.47   273,173.61   120,628.47

# Unlisted Security

\$ Less Than 0.01% of Net Asset Value

The provision made for Non Performing Assets as of September 30, 2013 is Nil. Plan/Option wise Net Asset Values are as follows:

As on September 30, 2013 As on March 28, 2013 • Plan Retail Plan - Growth Option Retail Plan - Monthly Dividend Option Rs. 10.1566 Rs. 10.5594 Retail Plan - Quarterly Dividend Option Rs. 11.3955 Rs. 11.4113 Discipline Advantage Plan +
Direct Plan - Retail Plan - Growth Option Rs. 13.9660 Rs. 13.7238 Rs. 19.8638 Rs. 20.2439 Direct Plan - Retail Plan - Monthly Dividend Option Rs. 10.0840 Rs. 10.5787 Direct Plan - Retail Plan - Quarterly Dividend Option Rs. 11.4389 Rs. 11.4392 • NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.

+ This option has been suspended for further subscriptions w.e.f. May 21, 2010. (3) Dividend in the following plans was declared during the half year ended September 30, 2013.

Per Unit (Rs.) Retail Plan - Monthly Dividend Option Retail Plan - Quarterly Dividend Option 0.7128 Direct Plan - Monthly Dividend Option 0.7011 Direct Plan - Quarterly Dividend Option Details of derivatives exposure and transaction

(a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired. Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared

(c) Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired. Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised

Hedging Positions through swaps as on September 30, 2013 is Nil. Notional amount Long/Short Maturity date Underlying Secur.ity 10.25% Reliance Gas Transportation Infrastructure Ltd. 22Aug21 22-Aug-21 150,000,000.00 22-Aug-21 10.25% Reliance Gas Transportation Infrastructure Ltd. 22Aug21 The Average Maturity Period of the Portfolio is 2.92 years.

Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

One Indiabulls Centre, Tower 1, 17th Floor, Jupiter Mill Compound, 841, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400 013 Call 1-800-270-7000 sms GAIN to 56161 Premium SMS charges apply www.birlasunlife.com

## M Over 2.2 million investor scheme accounts



Quantity Market % of

(AN OPEN ENDED GROWTH SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013

Rating

ISIN

Name of the Instrument

This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September, 2013.

### Half Yearly Portfolio Statement as at September 30, 2013

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996) BIRLA SUN LIFE FLOATING RATE FUND - SHORT TERM PLAN

(AN OPEN ENDED INCOME SCHEME)

	PEN ENDED INCO	OME SCHEME	)		
PORTF Name of the Instrument	SOLIO AS ON SEPT ISIN	EMBER 30, 20 Rating	Quantity	Market Value (Rs.	% of
				in Lacs)	Assets
Debt Instruments					
(a) Listed / awaiting listing on Stock Exch	-				
10.00% Shriram Transport Finance Company Ltd **	INE721A07FP7	CRISIL AA	1,500	14,943.49	9.48
9.48% Infrastructure Development Finance Company Ltd **	INE043D07856	ICRA AAA	1,000	9,986.65	6.34
9.75% Shriram Equipment Finance Company Ltd **	INE468M07146	CRISIL AA	1,000	9,984.69	6.34
9.84% Power Finance Corporation Ltd	INE134E08EV4	CRISIL AAA	800	7,954.82	5.05
9.35% Rural Electrification Corporation Ltd **	INE020B08617	CRISIL AAA	650	6,490.20	4.12
9.20% Housing Development Finance Corporation Ltd **	INE001A07KT6	CRISIL AAA	524	5,207.10	3.30
10.95% Cholamandalam Investment and Finance Company Ltd **	INE121A07DD1	IND AA-	500	4,990.66	3.17
9.35% Rural Electrification Corporation Ltd **	INE020B08625	CRISIL AAA	500	4,988.70	3.17
Shriram Transport Finance Company Ltd (ZCB) **	INE721A07CB4	CRISIL AA	300	2,934.84	1.86
Kotak Mahindra Prime Ltd (ZCB) **	INE916D074V3	CRISII. AA+	250	2,394.43	1.52
8.76% LIC Housing Finance Ltd **	INE115A07DV5		230	2,394.43	1.32
8.75% Export Import Bank of India **	INE514E08CJ6		200	1,984.25	1.44
• •					
Kotak Mahindra Prime Ltd (ZCB) **	INE916D073V5		200	1,914.20	1.21
11.50% Rural Electrification Corporation Ltd **	INE020B07EF6		50	500.50	0.32
8.77% Housing Development Finance Corporation Ltd **	INE001A07LF3	CRISIL AAA	25	247.81	0.16
Sub Total				76,789.57	48.74
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				76,789.57	48.74
Money Market Instruments					
Certificate of Deposit					
ICICI Bank Ltd ** #	INE090A16D76	ICRA A1+	8,260	7,561.07	4.80
ICICI Bank Ltd ** #	INE090A16XJ4	ICRA A1+	5,000	4,893.29	3.11
State Bank of Mysore ** #	INE651A16FD3	ICRA A1+	5,000	4,556.40	2.89
ICICI Bank Ltd ** #	INE090A16YR5	ICRA A1+	200	191.44	0.12
Sub Total				17,202.20	10.92
Commercial Paper					
Small Industries Dev Bank of India ** #	INE556F14759	CARE A1+	2,000	9,597.30	6.09
Sesa Sterlite Ltd **#	INE205A14234	CRISIL A1+	2,000	9,085.25	5.77
Vodafone India Ltd ** #	INE705L14362	CRISIL A1+	500	2,383.44	1.51
Sub Total				21,065.99	13.37
Treasury Bill					
91 Days Tbill (MD 05/12/2013)	IN002013X220	SOV	11,500,000	11,307.49	7.18
Sub Total				11,307.49	7.18
Total				49,575.68	31.46
Others					
Others Fixed Deposit		Tenure (No. of	f Days)		
		Tenure (No. of	f Days)	16,000.00	10.15
Fixed Deposit			f Days)	16,000.00 9,200.00	10.15 5.84
Fixed Deposit 11.15% Indusind Bank Ltd		91	f Days)		
Fixed Deposit 11.15% Indusind Bank Ltd 11.15% Karur Vysya Bank Ltd		91 91	f Days)	9,200.00	5.84 0.95
Fixed Deposit 11.15% Indusind Bank Ltd 11.15% Karur Vysya Bank Ltd 11.99% Karur Vysya Bank Ltd		91 91	f Days)	9,200.00 1,500.00	5.84 0.95 <b>16.95</b>
Fixed Deposit 11.15% Indusind Bank Ltd 11.15% Karur Vysya Bank Ltd 11.99% Karur Vysya Bank Ltd Sub Total		91 91	f Days)	9,200.00 1,500.00 <b>26,700.00</b>	5.84 0.95 <b>16.95</b>
Fixed Deposit 11.15% Indusind Bank Ltd 11.15% Karur Vysya Bank Ltd 11.99% Karur Vysya Bank Ltd Sub Total Total		91 91	f Days)	9,200.00 1,500.00 <b>26,700.00</b>	5.84
Fixed Deposit 11.15% Indusind Bank Ltd 11.15% Karur Vysya Bank Ltd 11.99% Karur Vysya Bank Ltd Sub Total Total CBLO / Reverse Repo		91 91	f Days)	9,200.00 1,500.00 <b>26,700.00</b> <b>26,700.00</b>	5.84 0.95 <b>16.95</b> <b>16.95</b>
Fixed Deposit  11.15% Indusind Bank Ltd  11.15% Karur Vysya Bank Ltd  11.99% Karur Vysya Bank Ltd  Sub Total  Total  CBLO / Reverse Repo  Clearing Corporation of India Ltd		91 91	f Days)	9,200.00 1,500.00 <b>26,700.00</b> <b>26,700.00</b> 440.00	5.84 0.95 <b>16.95</b> <b>16.95</b>
Fixed Deposit  11.15% Indusind Bank Ltd  11.15% Karur Vysya Bank Ltd  11.99% Karur Vysya Bank Ltd  Sub Total  Total  CBLO / Reverse Repo  Clearing Corporation of India Ltd  Clearing Corporation of India Ltd		91 91	f Days)	9,200.00 1,500.00 <b>26,700.00</b> <b>26,700.00</b> 440.00 392.34	5.84 0.95 <b>16.95</b> <b>16.95</b> 0.28
Fixed Deposit  11.15% Indusind Bank Ltd  11.15% Karur Vysya Bank Ltd  11.99% Karur Vysya Bank Ltd  Sub Total  Total  CBLO / Reverse Repo  Clearing Corporation of India Ltd  Clearing Corporation of India Ltd  Sub Total		91 91	f Days)	9,200.00 1,500.00 <b>26,700.00</b> <b>26,700.00</b> 440.00 392.34 <b>832.34</b>	5.84 0.95 16.95 16.95 0.28 0.25 0.53

ZCB - Zero Coupon Bond

\*\* Thinly Traded / Non Traded Security

# Unlisted Security

Notes: (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.

2)	Plan/Option wise Net Asset Values are as	follows:	2012 4 N 1 29 2012
	Plan	As on September 30, 2013	As on March 28, 2013 •
	Retail Plan - Growth Option ^^	Rs. 211.2045	Rs. 201.9029
	Retail Plan - Weekly Dividend Option^^	Rs. 100.2424	Rs. 100.1085
	Regular Plan - Growth Option	Rs. 145.8580	Rs. 139.2970
	Regular Plan - Daily Dividend Option	Rs. 100.3952	Rs. 100.1629
	Regular Plan - Weekly Dividend Option	Rs. 100.2466	Rs. 100.1094
	Direct Plan - Growth Option	Rs. 146.0012	Rs. 139.3294
	Direct Plan - Weekly Dividend Option	Rs. 100.2509	Rs. 100.1099
	Direct Plan - Daily Dividend Option	Rs. 100.3918	Rs. 100.1629

NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.

^Plan(s) discontinued from accepting subscriptions w.e.f October 01, 2012.

Dividend in the following plans was declared during the half year ended September 30, 2013.

	Ter enit (1431)
Retail Plan - Weekly Dividend Option	4.3753
Regular Plan - Daily Dividend Option	4.2185
Regular Plan - Weekly Dividend Option	4.4847
Direct Plan - Weekly Dividend Option	4.5707
Direct Plan - Daily Dividend Option	4.3013

- Details of derivatives exposure and transaction:
  - Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired.
  - $Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30,2013 \ is \ Nil \ and \ for \ the \ half \ year \ ended$ September 30, 2013 there were no non-hedging transactions through futures which have been squared
  - Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired
  - Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended  $September 30, 2013\ there \ were \ no\ non-hedging\ transactions\ through\ options\ which\ have\ been\ exercised/dependent of the property o$
  - Hedging Positions through swaps as on September 30, 2013 is Nil
- The Average Maturity Period of the Portfolio is 2.92 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs. in Lacs)	% o Ne Asset
Debt Instruments					
(a) Listed / awaiting listing on Stock Excha	nge				
9.72% Infrastructure Development Finance Company Ltd **	INE043D07815	ICRA AAA	500	4,994.96	1.5
11.50% Rural Electrification Corporation Ltd **	INE020B07EF6	CRISIL AAA	450	4,504.52	1.3
Shriram Transport Finance	INE721A07CB4	CRISIL AA	450	4,402.26	1.3
Company Ltd (ZCB) ** 10.14% Infrastructure Development	INE043D07BB9	ICRA AAA	250	2,498.49	0.7
Finance Company Ltd **	INIC115 A 07 A D2	CDICII AAA	150	1 406 56	0.4
8.75% LIC Housing Finance Ltd ** 10.28% Tata Motors Finance Ltd **	INE115A07AB3 INE909H07818		150 140	1,496.56 1,398.35	0.4
11.35% IDBI Bank Ltd **	INE909H07818 INE008A08Q64		110	1,100.91	0.4
Sub Total	INE008A08Q04	CRISIL AAT	110	20,396.05	6.2
(b) Privately placed / Unlisted				NIL	NI
Sub Total				NIL	NI
Total				20,396.05	6.2
Money Market Instruments				20,570.05	0.2
Bills Rediscounting					
Axis Bank Ltd #			20,000,000	19,551.12	6.0
AXIS Bank Ltd # HDFC Bank Ltd #			15,000,000	14,861.70	4.5
HDFC Bank Ltd # Kotak Mahindra Bank Ltd #			7,500,000	7,345.41	2.2
Axis Bank Ltd #			7,500,000	7,343.41	2.2
Sub Total			,,500,000	49,088.94	15.1
Certificate of Deposit				47,000.74	15.1
Dena Bank ** #	INE077A16AI1	CRISIL A1+	25,000	24,709.86	7.6
United Bank of India ** #	INE695A16IP8		20,000	19,725.66	6.0
South Indian Bank Ltd **#	INE693A16IF6 INE683A16BQ7		20,000	19,655.36	6.0
Development Credit Bank Ltd ** #	INE503A16BQ7		10,000	9,867.42	3.0
Indian Bank ** #	INE503A16CH4 INE562A16DY3		10,000	9,864.17	3.0
ICICI Bank Ltd **#	INE090A16WU3		10,000	9,861.07	3.0
	INE090A16WU3 INE683A16BX3				3.0
South Indian Bank Ltd ** # ICICI Bank Ltd ** #	INE083A16BX3 INE090A16E00		10,000	9,859.13	3.0
			10,000	9,858.38	2.7
The Jammu & Kashmir Bank Ltd ** #	INE168A16HA0		9,000	8,873.61	
IDBI Bank Ltd ** #	INE008A16RX9 INE434A16EJ4		2,500	2,474.91	0.7
Andhra Bank ** # Central Bank of India ** #			2,500	2,467.07	0.7
Central Bank of India ** #	INE483A16GG2	CRISIL AI+	2,500	2,463.63	0.7
Sub Total Commercial Paper				129,680.27	39.9
Commercial Paper	INE148I14BG0	CRISIL A1+	5 000	24,396.44	7.5
Indiabulls Housing Finance Ltd ** #  Mahindra & Mahindra Financial	INE148114BG0 INE774D14FA8		5,000 4,000		6.0
Mahindra & Mahindra Financial Services Ltd ** #	INE//4D14FA8	CRISIL AI+	4,000	19,722.51	0.0
Chennai Petroleum Corporation Ltd ** #	INE178A14373	ICRA A1+	3,000	14,789.90	4.5
PNB Housing Finance Ltd ** #	INE572E14213	CRISIL A1+	2,000	9,883.21	3.0
Mahindra & Mahindra	INE774D14FC4		2,000	9,837.52	3.0
Financial Services Ltd ** #			,		
Piramal Enterprises Ltd ** #	INE140A14BY7	ICRA A1+	1,500	7,384.00	2.2
Infrastructure Development	INE043D14FJ9	ICRA A1+	1,000	4,930.90	1.5
Finance Company Ltd ** #					
STCI Finance Limited ** # Standard Chartered Invest	INE020E14CH6 INE403G14FS9	CRISIL A1+ CRISIL A1+	1,000 1,000	4,902.87 4,899.08	1.5 1.5
& Loans Ltd. ** #					
JM Financial Products Ltd **#	INE523H14LZ5	CRISIL A1+	500	2,483.85	0.7
Sub Total				103,230.28	31.8
Treasury Bill					
91Days Tbill (MD 15/11/2013)	IN002013X196	SOV	10,000,000	9,882.01	3.0
182 Days TBill (MD 19/12/2013)	IN002013Y061	SOV	2,500,000	2,449.06	0.7
48 Days CMB Tbill (MD 15/10/2013)	IN002013U101	SOV	150,000	149.44	0.0
Sub Total				12,480.51	3.8
Total				294,480.00	90.7
Others		_			
Fixed Deposit		Tenure (No. of	f Days)		
11.99% Karur Vysya Bank Ltd		91		48,500.00	14.9
11.15% Indusind Bank Ltd		91		23,000.00	7.0
10.05% The Jammu & Kashmir Bank Ltd		91		13,500.00	4.1
9.9% Vijaya Bank		91		10,500.00	3.2
9.85% UCO Bank		91		8,500.00	2.6
10% Axis Bank Ltd		91		4,000.00	1.2
Sub Total				108,000.00	33.2
				108,000.00	33.2
Total					
Total CBLO / Reverse Repo					
Total  CBLO / Reverse Repo  Clearing Corporation of India Ltd				2,866.00	0.8
Total  CBLO / Reverse Repo  Clearing Corporation of India Ltd  Sub Total				2,866.00	0.8
Total  CBLO / Reverse Repo  Clearing Corporation of India Ltd					

ZCB - Zero Coupon Bond

\*\* Thinly Traded / Non Traded Security # Unlisted Security

(1) The provision made for Non Performing Assets as of September 30, 2013 is Nil. Plan/Option wise Net Asset Values are as follows

As on March 28, 2013 • As on September 30, 2013 Retail Plan - Growth Option^^ Rs. 201.0649 Rs. 192.4357 Retail Plan - Daily Dividend Option^^ Rs. 100.0150 Rs. 100.0150 Retail Plan - Weekly Dividend Option^^ Rs. 103.8324 Rs. 103.9040 Regular Plan - Growth Option Rs. 162.9367 Rs 155 7189 Regular Plan - Daily Dividend Option Rs. 100.0200 Rs. 100.0200 Regular Plan - Weekly Dividend Option Rs. 100.1620 Rs. 100.2344 Direct Plan - Growth Option Rs. 162.9770 Rs. 155.7337 Rs. 100.0200 Direct Plan - Daily Dividend Option Rs. 100.0200 Direct Plan - Weekly Dividend Option Rs. 100.1625 Rs. 100.2347

Per Unit (Rs.) • NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.

^^Plan(s) discontinued from accepting subscriptions w.e.f October 01, 2012.

 $(3) \qquad \text{Dividend in the following plans was declared during the half year ended September 30, 2013}.$ Retail Plan - Daily Dividend Option 4.3068 Retail Plan - Weekly Dividend Option 4 6269 Regular Plan - Daily Dividend Option 4.4508 Regular Plan - Weekly Dividend Option 4.6114 Direct Plan - Daily Dividend Option 4.4667 4.6287 Direct Plan - Weekly Dividend Option Details of derivatives exposure and transaction:

- Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September  $30,2013\ there\ were\ no\ hedging\ transactions\ through\ futures\ which\ have\ been\ squared\ off/expired.$
- $Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30,2013 \ is \ Nil \ and \ for \ the \ half \ year \ ended$ September 30, 2013 there were no non-hedging transactions through futures which have been squared
- Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired. (6)
- $Other than \ Hedging \ Positions \ through \ Options \ as \ on \ September \ 30,2013 \ is \ Nil \ and \ for \ the \ half \ year \ ended$ September 30, 2013 there were no non-hedging transactions through options which have been exercised/
- (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 0.22 years. Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

			<b>Z</b>	Value (Rs.	Net
Equity & Equity related				in Lacs)	Assets
(a) Listed / awaiting listing on Stock F	Exchange				
ICICI Bank Ltd	INE090A01013	Banks	225.000	1,988.21	7.88
Cummins India Ltd	INE298A01020	Industrial Products	363,350		5.88
Reliance Industries Ltd	INE002A01018	Petroleum Products	180,000		5.87
Wabco India Ltd	INE342J01019	Auto Ancillaries	70,000		4.83
Larsen & Toubro Ltd	INE018A01030	Construction Project	150,000	1,183.13	4.69
Bharti Airtel Ltd	INE397D01024	Telecom - Services	300,000	955.95	3.79
VA Tech Wabag Ltd	INE956G01038	Engineering Services	183,174	857.07	3.40
Indraprastha Gas Ltd	INE203G01019	Gas	280,000	754.18	2.99
Indusind Bank Ltd	INE095A01012	Banks	200,000	737.50	2.92
Oil & Natural Gas Corporation Ltd	INE213A01029	Oil	250,000	669.63	2.66
Crompton Greaves Ltd	INE067A01029	Industrial Capital Good	ls 776,434	668.12	2.65
Tata Motors Ltd DVR Shares	IN9155A01020	Auto	400,000	654.80	2.60
Honeywell Automation India Ltd	INE671A01010	Industrial Capital Good	ls 24,210	615.07	2.44
PTC India Ltd	INE877F01012	Power	1,300,000	603.85	2.39
HDFC Bank Ltd	INE040A01026	Banks	100,000	593.05	2.35
Tata Steel Ltd	INE081A01012	Ferrous Metals	200,000	543.10	2.15
Oil India Ltd	INE274J01014	Oil	115,117	503.52	2.00
The Federal Bank Ltd	INE171A01011	Banks	170,000	483.82	1.92
Sobha Developers Ltd	INE671H01015	Construction	170,000	477.87	1.89
Petronet LNG Ltd	INE347G01014	Gas	400,000	474.80	1.88
Orient Cement Ltd	INE876N01018	Cement	1,252,251	473.35	1.88
FAG Bearings India Ltd	INE513A01014	Industrial Products	37,500	470.48	1.87
ICRA Ltd	INE725G01011	Finance	38,000	443.61	1.76
Reliance Infrastructure Ltd	INE036A01016	Power	115,000		1.69
Kalpataru Power Transmission Ltd	INE220B01022	Power	700,000		1.61
Hindustan Zinc Ltd	INE267A01025	Non - Ferrous Metals	300,000		1.59
ING Vysya Bank Ltd	INE166A01011	Banks	70,000		1.49
Grasim Industries Ltd	INE047A01013	Cement	14,000		1.47
NTPC Ltd	INE733E01010	Power	250,000		1.46
Bajaj Finance Ltd	INE296A01016	Finance	31,422		1.45
KEC International Ltd Century Textiles & Industries Ltd	INE389H01022 INE055A01016	Power Cement	1,350,000		1.45
LIC Housing Finance Ltd	INE115A01026	Finance	150,000		1.15
National Aluminium Company Ltd	INE139A01034	Non - Ferrous Metals	800,000		1.03
Oberoi Realty Ltd	INE093I01010	Construction	153,170		1.03
Tata Motors Ltd	INE155A01022	Auto	75,000		0.99
NMDC Ltd	INE584A01023	Minerals/Mining	200,000		0.95
JSW Energy Ltd	INE121E01018	Power	500,000		0.87
AIA Engineering Ltd	INE212H01026	Industrial Capital Good			0.86
Sesa Sterlite Ltd	INE205A01025	Minerals/Mining	120,000		0.86
Jet Airways (India) Ltd	INE802G01018	Transportation	55,799	200.40	0.79
Sadbhav Engineering Ltd	INE226H01026	Construction Project	300,000	180.00	0.71
Oriental Bank of Commerce	INE141A01014	Banks	120,000	173.70	0.69
Disa India Ltd	INE131C01011	Industrial Capital Good	ls 6,000	162.30	0.64
Jaypee Infratech Ltd	INE099J01015	Transportation	900,000	141.30	0.56
Orient Paper & Industries Ltd	INE592A01026	Cement	1,252,251	100.18	0.40
NCC Ltd	INE868B01028	Construction Project	480,060	85.93	0.34
Canara Bank	INE476A01014	Banks	20,000	44.29	0.18
Sub Total				24,733.18	98.07
(b) Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				24,733.18	98.07
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				463.00	1.84
Sub Total				463.00	1.84
Total				463.00	1.84
Net Receivables / (Payables)				23.39	0.09
GRAND TOTAL				25,219.57	100.00
^Industry classification as recommend	led by AMFI and wh	erever not available, inter	nal classifi	cation has b	een used
Notes:					
(1) The provision made for Non Pe	rforming Assets as o	f September 30, 2013 is I	Nil.		

- (2) Plan/Option wise Net Asset Values are as follows:
- As on September 30, 2013 As on March 28, 2013 • Regular Plan - Dividend Option Rs. 8.78 Rs. 9.74 Rs. 12.75 Rs. 14.13 Regular Plan - Growth Option Direct Plan - Dividend Option Rs. 8.82 Rs. 9.75

Direct Plan - Growth Option Rs. 12.80 Rs. 14.15 • NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.

(3) No dividend was declared during the half year ended September 30, 2013.

(4) Details of derivatives exposure and transaction:

- $(a) \qquad \text{Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and September 3$  $30,2013\ there\ were\ no\ hedging\ transactions\ through\ futures\ which\ have\ been\ squared\ off/expired.$
- Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared
- Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired.
- (d) Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/
- Hedging Positions through swaps as on September 30, 2013 is Nil.
- During the current half year, the portfolio turnover ratio of equity and equity related instruments is 0.37 times Total market value of Foreign Securities/overseas ETFs and its percentage to Net Assets as at September 30, 2013
- The total value of illiquid Equity Securities and its percentage to Net Assets is Nil.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil

## **☑** Over 2.2 million investor scheme accounts



(A OPEN ENDED DIVERSIFIED EOUITY SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013

This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September, 2013.

### Half Yearly Portfolio Statement as at September 30, 2013

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996) BIRLA SUN LIFE INTERNATIONAL EQUITY FUND - PLAN B (Contd.....)

(AN OPEN	ENDED DIVERSII	FIED EQUITY SCHE	CME)		
		PTEMBER 30, 2013	0 11		-
Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs.	% of Net
				in Lacs)	Assets
International Equities					
(a) Listed / awaiting listing on Stock Ex Chubb Corp	US1712321017	Finance	6,700	376.04	4.43
Northrop Grumman Co	US6668071029	Industrial Capital	5,900	355.52	4.43
		Goods	-,		
Exxon Mobil Corporation Ltd	US30231G1022	Oil	6,500	353.62	4.17
Aetna Inc New	US00817Y1082	Healthcare Services	7,500	302.76	3.57
Roche Holdings Ag Genus Bearer Shs	CH0012032048	Pharmaceuticals	1,600	269.85	3.18
PPG Industries	US6935061076	Chemicals	2,600	269.01	3.17
McKesson Corp.	US58155Q1031	Healthcare Services	3,300	267.11	3.15
COACH INC	US1897541041	Consumer Durables	7,600	258.07	3.04
Visa Inc	US92826C8394	Software	2,000	241.72	2.85
Samsung Electr-Gdr Regs	US7960508882	Hardware	600	238.34	2.81
ASML Holding NV	USN070592100	Hardware	3,700	228.05	2.69
Wal Mart Stores Inc.	US9311421039	Retailing	4,700	218.80	2.58
AFLAC INC	US0010551028	Finance	5,600	218.10	2.57
Apple Inc.	US0378331005	Hardware	700	211.56	2.49
Coca Cola Co.	US1912161007	Consumer Non Durables	8,800	211.55	2.49
International Business	US4592001014	Software	1,800	210.64	2.48
Machines Corp. IBM	00.072001011	Bottware	1,000	210.01	2
China Construct BK-H	CNE1000002H1	Diversified Banks	399,500	192.54	2.27
Fedex Corp	US31428X1063	Transportation	2,700	192.31	2.27
Allianz SE	DE0008404005	Finance	1,900	188.97	2.23
Accenture Plc-CL A	IE00B4BNMY34	Software	4,000	185.54	2.19
Cnooc Ltd	HK0883013259	Oil & Gas Exploration	n		
		& Production	141,000	179.62	2.12
Centrica Plc	GB00B033F229	Consumer Non Durables	47,700	177.01	2.09
FANUC LIMITED	JP3802400006	Industrial Machinery	1,700	176.42	2.08
Altria Group Inc	US02209S1033	Consumer Non Dura	bles 8,000	173.84	2.05
T ROWE PRICE GROUP I	US74144T1088	Finance	3,800	172.36	2.03
MARKS & SPENCER GROUP PLC	GB0031274896	Department Stores	34,200	171.62	2.02
Viacom Inc	US92553P1021	Media & Entertainm	ent 3,200	169.58	2.00
AMERISOURCE BERGEN CORP	US03073E1055	Health Care Distribu	tors 4,300	164.97	1.94
Bayerische MotorenWerke Ag - BMW	DE0005190003	Auto	2,400	162.67	1.92
JGC CORP-JPY	JP3667600005	Construction & Engineering	7,000	158.64	1.87
Wharf Holdings Ltd.	HK0004000045	Construction	28,700	155.70	1.84
Bed Bath & Beyond In	US0758961009	Consumer Durables	2,900	140.21	1.65
Vivendi SA	FR0000127771	Telecom - Services	9,100	131.84	1.55
JP Morgan Chase & Co	US46625H1005	Finance	3,800	124.28	1.46
Carlsberg A/S	DK0010181759	Consumer Non Dura	bles 1,900	122.20	1.44
Telenor	NO0010063308	Telecom - Services	8,400	121.68	1.43
ONEOK Inc	US6826801036	Consumer Non Dura	bles 3,600	119.54	1.41
ABERCROMBIE & FITCH	US0028962076	Retailing	4,800	108.09	1.27
CVS Caremark Corporation	US1266501006	Consumer Non Dura	bles 2,900	104.94	1.24
China Life Ins - H	CNE1000002L3	Life & Health Insurance	60,000	97.36	1.15
Apache Corporation	US0374111054	Oil	800	43.20	0.51
Sub Total				7,965.87	93.90
Total				7,965.87	93.90
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				565.00	6.66
Sub Total				565.00	6.66
					6.66
Total Net Receivables / (Payables)				565.00 (47.55)	-0.56

\*\* Thinly Traded / Non Traded Security

^Industry classification as recommended by AMFI and wherever not available, internal classification has been used

The provision made for Non Performing Assets as of September 30, 2013 is Nil. (2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2013	As on March 28, 2013 •
Regular Plan - Dividend Option	Rs. 15.1351	Rs. 12.2480
Regular Plan - Growth Option	Rs. 15.1292	Rs. 12.2480
Direct Plan - Dividend Option	Rs. 15.2189	Rs. 12.2620
Direct Plan - Growth Option	Rs. 15.2021	Rs. 12.2620
AVs at the beginning of the period is as of	28th March 2013, 31st March 2013	being a non-business day.

No dividend was declared during the half year ended September 30, 2013.

Details of derivatives exposure and transaction:

- Is of derivatives exposure and transaction:
  Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September (1)
  (2) 30, 2013 there were no hedging transactions through futures which have been squared off/expired.

  Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared
- Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired. Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/
- Hedging Positions through swaps as on September 30, 2013 is Nil. During the current half year, the portfolio turnover ratio of equity and equity related instruments is 0.14 times
- Total market value of Foreign Securities/overseas ETFs in 79.66 crores and its percentage to Net Assets as at
- The total value of illiquid Equity Securities is Nil and its percentage to Net Assets is Nil. Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

BIRLA SUN LIFI	E INTERNATION	NAL EQUITY FUN	D - PLAN	N B	
		FIED EQUITY SCHE	ME)		
Name of the Instrument	ISIN	EPTEMBER 30, 2013 Rating	Quantity	Market Value (Rs. in Lacs)	% of Net Assets
Equity & Equity related				III Zuco)	110000
(a) Listed / awaiting listing on					
Stock Exchange	1101712221017	Finance	( 700	276.04	4.42
Chubb Corp ITC Ltd	US1712321017 INE154A01025	Consumer Non Dura	6,700	376.04 745.48	4.43 5.40
Infosys Ltd	INE009A01021	Software	24,200	729.15	5.29
Reliance Industries Ltd	INE003A01021 INE002A01018	Petroleum Products	65,000	534.56	3.87
Tata Consultancy Services Ltd	INE467B01029	Software	27,400	528.22	3.83
Housing Development Finance Corporation Ltd	INE001A01036	Finance	54,200	414.22	3.00
ICICI Bank Ltd	INE090A01013	Banks	40,000	353.46	2.56
HDFC Bank Ltd	INE040A01026	Banks	50,000	296.53	2.15
Sun Pharmaceuticals Industries Ltd	INE044A01036	Pharmaceuticals	44,100	261.65	1.90
Sesa Sterlite Ltd	INE205A01025	Minerals/Mining	142,000	256.67	1.86
Cairn India Ltd	INE910H01017	Oil	69,600	221.92	1.61
Hero MotoCorp Ltd Tech Mahindra Ltd	INE158A01026 INE669C01028	Auto Software	11,000 16,000	221.02 213.72	1.60 1.55
Hindustan Zinc Ltd	INE267A01025	Non - Ferrous Metals		200.33	1.33
Kewal Kiran Clothing Limited	INE401H01017	Textile Products	23,902	195.11	1.41
Dr. Reddy'S Laboratories Ltd	INE089A01023	Pharmaceuticals	8,000	190.49	1.38
HCL Technologies Ltd	INE860A01027	Software	17,500	190.26	1.38
Oil & Natural Gas Corporation Ltd	INE213A01029	Oil	70,000	187.50	1.36
Bharti Airtel Ltd	INE397D01024	Telecom - Services	55,000	175.26	1.27
Larsen & Toubro Ltd	INE018A01030	Construction Project	22,000	173.53	1.26
Tata Steel Ltd	INE081A01012	Ferrous Metals	63,500	172.43	1.25
Tata Motors Ltd	INE155A01022	Auto	50,000	166.25	1.21
Akzo Nobel India Ltd	INE133A01011	Consumer Non Durah		164.18	1.19
JSW Steel Ltd	INE019A01020	Ferrous Metals	22,500	164.17	1.19
D.B.Corp Ltd	INE950I01011	Media & Entertainm		161.63 157.47	1.17 1.14
Colgate Palmolive (India) Ltd Maruti Suzuki India Ltd	INE259A01022 INE585B01010	Consumer Non Dura Auto	11,000	149.44	1.14
Indusind Bank Ltd	INE095A01012	Banks	40.000	147.50	1.07
State Bank of India	INE062A01012	Banks	8,400	135.65	0.98
NMDC Ltd	INE584A01023	Minerals/Mining	100,000	120.10	0.87
NTPC Ltd	INE733E01010	Power	80,000	117.96	0.86
ING Vysya Bank Ltd	INE166A01011	Banks	22,000	117.87	0.85
Divi's Laboratories Ltd	INE361B01024	Pharmaceuticals	12,000	116.44	0.84
Lupin Ltd	INE326A01037	Pharmaceuticals	13,000	111.26	0.81
Cummins India Ltd	INE298A01020	Industrial Products	26,305	107.39	0.78
Shriram City Union Finance Ltd	INE722A01011	Finance	10,000	105.81	0.77
Idea Cellular Ltd	INE669E01016	Telecom - Services	60,000	100.95	0.73
Jaiprakash Associates Ltd Reliance Capital Ltd	INE455F01025 INE013A01015	Construction Finance	287,000 30,000	100.16 94.58	0.73
VST Industries Ltd	INE710A01015	Consumer Non Dura		94.38	0.65
Cipla Ltd	INE059A01026	Pharmaceuticals	20,000	86.50	0.63
Radico Khaitan Ltd	INE944F01028	Consumer Non Dura		67.08	0.49
The Great Eastern Shipping Company Ltd	INE017A01032	Transportation	20,000	57.89	0.42
Zee Entertainment Enterprises Ltd	INE256A01028	Media & Entertainm		54.84	0.40
Jet Airways (India) Ltd	INE802G01018	Transportation	14,763	53.02	0.38
Axis Bank Ltd	INE238A01026	Banks	5,000	50.39	0.37
Oil India Ltd	INE274J01014	Oil	9,300	40.68	0.29
Sun TV Network Ltd	INE424H01027	Media & Entertainm		36.57	0.27
Canara Bank	INE476A01014 INE070A01015	Banks Cement	15,000 742	33.22 30.60	0.24
Shree Cements Ltd	INEU/UAUIUIS	Cement	142	30.60	0.22

Name of the Instrument	IFOLIO AS ON SE ISIN		uantity	Market	% of
		g	,	Value (Rs.	Net
es Bank Ltd	INE528G01019	Banks	5,000	in Lacs) 14.37	Assets 0.10
Zydus Wellness Ltd	INE768C01019	Consumer Non Durah		12.90	0.10
Sub Total	IIVE/00C01010	Consumer from Duran	1103 2,420	9,228.52	66.90
b) Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				9,228.52	66.90
nternational Equities					
a) Listed / awaiting listing on					
Stock Exchanges					
Northrop Grumman Co	US6668071029	Industrial Capital Goo		204.87	1.49
Chubb Corp	US1712321017	Finance	3,400	190.83	1.38
PPG Industries Aetna Inc New	US6935061076 US00817Y1082	Chemicals Healthcare Services	1,700	175.89 157.43	1.28
McKesson Corp.	US58155Q1031	Healthcare Services	3,900 1,700	137.43	1.14
Roche Holdings Ag Genus Bearer Shs	CH0012032048	Pharmaceuticals	800	134.93	0.98
AFLAC INC	US0010551028	Finance	3,200	124.63	0.90
ASML Holding NV	USN070592100	Hardware	2,000	123.27	0.89
Fedex Corp	US31428X1063	Transportation	1,700	121.08	0.88
Apple Inc.	US0378331005	Hardware	400	120.89	0.88
Visa Inc	US92826C8394	Software	1,000	120.86	0.88
Samsung Electr-Gdr Regs	US7960508882	Hardware	300	119.17	0.86
Wal Mart Stores Inc.	US9311421039	Retailing	2,500	116.38	0.84
Centrica Plc	GB00B033F229	Consumer Non Durables	30,900	114.66	0.83
Exxon Mobil Corporation Ltd	US30231G1022	Oil	2,100	114.25	0.83
China Construct BK-H	CNE1000002H1	Diversified Banks	231,100	111.38	0.81
FANUC LIMITED	JP3802400006	Industrial Machinery	1,000	103.78	0.75
Cognizant Technology Solutions Corp	US1924461023	Software	2,000	103.55	0.75
MARKS & SPENCER GROUP PLC	GB0031274896	Department Stores	19,800	99.36	0.72
AMERISOURCE BERGEN CORP	US03073E1055	Health Care Distribut	ors 2,500	95.91	0.70
Allianz SE	DE0008404005	Finance	960	95.48	0.69
Accenture Plc-CL A	IE00B4BNMY34	Software	2,000	92.77	0.67
Cnooc Ltd	HK0883013259	Oil & Gas Exploration & Production	1 72,000	91.72	0.66
Viacom Inc	US92553P1021	Media & Entertainme	nt 1,700	90.09	0.65
Wharf Holdings Ltd.	HK0004000045	Construction	16,600	90.06	0.65
Bayerische MotorenWerke Ag - BMW		Auto	1,300	88.11	0.64
Telenor	NO0010063308	Telecom - Services	5,900	85.46	0.62
COACH INC	US1897541041	Consumer Durables	2,400	81.50	0.59
China Life Ins - H	CNE1000002L3	Life & Health Insuran		77.89	0.56
Vivendi SA	FR0000127771	Telecom - Services	5,300	76.78	0.56
Bed Bath & Beyond In	US0758961009	Consumer Durables Finance	1,500	72.52	0.53
P Morgan Chase & Co international Business	US46625H1005 US4592001014	Software	2,200 600	71.95 70.21	0.52 0.51
Machines Corp. IBM ONEOK Inc	US6826801036	Consumer Non Durah	les 2 100	69.73	0.51
Altria Group Inc	US02209S1033	Consumer Non Durat		69.54	0.50
Γ ROWE PRICE GROUP I	US74144T1088	Finance	1,500	68.04	0.49
GC CORP-JPY	JP3667600005	Construction & Engineering	3,000	67.99	0.49
Coca Cola Co.	US1912161007	Consumer Non Durah	les 2 800	67.31	0.49
CVS Caremark Corporation	US1266501006	Consumer Non Durah		57.90	0.42
Carlsberg A/S	DK0010181759	Consumer Non Durah		57.89	0.42
ABERCROMBIE & FITCH	US0028962076	Retailing	2,500	56.30	0.41
Apache Corporation	US0374111054	Oil	400	21.60	0.16
Sub Total				4,211.56	30.53
Cotal				4,211.56	30.53
Cash and Bank				7.52	0.05
Total				7.52	0.05
Margin (Future and Options) Total				95.00 <b>95.00</b>	0.69 <b>0.6</b> 9
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				318.00	2.31
Sub Total Fotal				318.00	2.31
Net Receivables / (Payables)				318.00 (65.15)	2.31 -0.47
GRAND TOTAL				13,795.45	100.00
	y			20,170,70	200.00

The provision made for Non Performing Assets as of September 30, 2013 is Nil. Plan/Option wise Net Asset Values are as follows:
Plan As on S

As on March 28, 2013 • As on September 30, 2013 Regular Plan - Dividend Option Rs. 9.8412 Rs. 9.4582 Regular Plan - Growth Option Rs. 9.8413 Rs. 9.4582 Direct Plan - Dividend Option Direct Plan - Growth Option Rs. 9.8796 Rs. 9.4690 • NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.

(3) No dividend was declared during the half year ended September 30, 2013. (4) Details of derivatives exposure and transaction:

(a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired.

Other than freeging rosition through rutures as on september 30, 2013.					
Underlying	Long/Short	Futures Price when purchased Rs.	Current price of the contract Rs.	Margin maintained in Rs. Lakhs	
Bank Nifty Index Future Oct 13	Long	10,190.28	9,700.60	21.38	

 $Total\ exposure\ due\ to\ futures\ (Other\ than\ hedging\ positions)\ as\ a\ \%age\ of\ net\ assets\ is\ 0.98\%$  For the period\ ended\ September\ 30,\ 2013\ following\ non-hedging\ transactions\ through\ futures\ which

nave been squared on/expired						
Total Number	Total Number	Gross Notional	Gross Notional	Net Profit/Loss		
of contracts	of contracts	Value of contracts	Value of contracts	value on all		
where futures	where futures	where futures	where futures	contracts		
were bought	were sold	were bought Rs.	were sold Rs.	combined Rs.		
261	205	67 538 082 67	53 008 138 36	(259 269 57)		

(c) Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired. Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended

September 30, 2013 there were no non-hedging transactions through options which have been exercised/expired (e) Hedging Positions through swaps as on September 30, 2013 is Nil.

During the current half year, the portfolio turnover ratio of equity and equity related instruments is 0.33 times

Total market value of Foreign Securities/overseas ETFs in 42.12 crores and its percentage to Net Assets as at

September 30, 2013 is 30.53%

The total value of illiquid Equity Securities is Nil and its percentage to Net Assets is Nil. Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

BIRLA SUN	LIFE LONG TI	ERM ADVANTAGE	FUND		
		FIED EQUITY SCHE			
POF	RTFOLIO AS ON SI	EPTEMBER 30, 2013			
Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs. in Lacs)	% of Net Assets
Equity & Equity related					
(a) Listed / awaiting listing on Stock Exchange					
ITC Ltd	INE154A01025	Consumer Non Durables	275,850	938.99	7.67
HDFC Bank Ltd	INE040A01026	Banks	105,420	625.19	5.11
Infosys Ltd	INE009A01021	Software	19,560	589.34	4.81
Housing Development Finance Corporation Ltd	INE001A01036	Finance	61,913	473.17	3.87
Indraprastha Gas Ltd	INE203G01019	Gas	165,500	445.77	3.64
HCL Technologies Ltd	INE860A01027	Software	38,289	416.28	3.40
ICICI Bank Ltd	INE090A01013	Banks	46,750	413.11	3.37
Tech Mahindra Ltd	INE669C01028	Software	29,300	391.37	3.20
Reliance Industries Ltd	INE002A01018	Petroleum Products	43,473	357.52	2.92
Sun Pharmaceuticals Industries Ltd	INE044A01036	Pharmaceuticals	59,000	350.05	2.86
Larsen & Toubro Ltd	INE018A01030	Construction Project	37,317	294.34	2.40
ING Vysya Bank Ltd	INE166A01011	Banks	49,936	267.53	2.19
Tata Consultancy Services Ltd	INE467B01029	Software	13,625	262.66	2.15
Bharat Petroleum Corporation Ltd	INE029A01011	Petroleum Products	78,000	258.38	2.11
Sesa Sterlite Ltd	INE205A01025	Minerals/Mining	140,400	253.77	2.07
Bharti Airtel Ltd	INE397D01024	Telecom - Services	78,700	250.78	2.05
JSW Steel Ltd	INE019A01020	Ferrous Metals	28,800	210.14	1.72
Indusind Bank Ltd	INE095A01012	Banks	54,000	199.13	1.63
Tata Steel Ltd	INE081A01012	Ferrous Metals	72,900	197.96	1.62
Motherson Sumi Systems Ltd	INE775A01035	Auto Ancillaries	80,000	183.96	1.50

Name of the Instrument	ISIN		uantity	Market	% of
				Value (Rs. in Lacs)	Net Assets
Maruti Suzuki India Ltd	INE585B01010	Auto	12,300	167.10	1.37
Lupin Ltd	INE326A01037	Pharmaceuticals	19,050	163.04	1.33
Axis Bank Ltd	INE238A01026	Banks	15,850	159.74	1.30
Hindustan Zinc Ltd	INE267A01025	Non - Ferrous Metals		157.19	1.28
United Spirits Ltd	INE854D01016	Consumer Non Durab		151.99	1.24
Cummins India Ltd	INE298A01020	Industrial Products	35,790	146.11	1.19
Dr. Reddy'S Laboratories Ltd	INE089A01023	Pharmaceuticals	6,100	145.25	1.19
Shriram City Union Finance Ltd	INE722A01011	Finance	13,329	141.03	1.15
LIC Housing Finance Ltd	INE115A01026	Finance	70,000	131.57	1.07
Bajaj Auto Ltd	INE917I01010	Auto	6,587	130.91	1.07
Nestle India Ltd	INE239A01016	Consumer Non Durables	2,500	130.49	1.07
Tata Motors Ltd	INE155A01022	Auto	37,500	124.69	1.02
PTC India Ltd	INE877F01012	Power	260,000	120.77	0.99
Idea Cellular Ltd	INE669E01016	Telecom - Services	71,000	119.46	0.98
City Union Bank Ltd	INE491A01021	Banks	275,000	116.60	0.95
Mahindra & Mahindra Ltd	INE101A01026	Auto	14,000	115.84	0.95
Glenmark Pharmaceuticals Ltd	INE935A01035	Pharmaceuticals	21,500	114.15	0.93
Oil & Natural Gas Corporation Ltd	INE213A01029	Oil	42,000	112.50	0.92
NMDC Ltd	INE584A01023	Minerals/Mining	92,000	110.49	0.90
Bata India Ltd	INE176A01010	Consumer Durables	12,000	102.79	0.84
JSW Energy Ltd	INE121E01018	Power	230,000	100.97	0.82
Jaiprakash Associates Ltd	INE455F01025	Construction	282,000	98.42	0.80
Sun TV Network Ltd	INE424H01027	Media &	25,000	98.30	0.80
Crompton Greaves Ltd	INE067A01029	Entertainment Industrial	105,000	90.35	0.74
		Capital Goods			
Cairn India Ltd	INE910H01017	Oil	28,000	89.28	0.73
Hindustan Petroleum Corporation I Britannia Industries Ltd	INE216A01022	Petroleum Products Consumer	45,000 10,500	86.47 86.31	0.71 0.71
		Non Durables			
Torrent Pharmaceuticals Ltd	INE685A01028	Pharmaceuticals	17,986	78.81	0.64
IPCA Laboratories Ltd	INE571A01020	Pharmaceuticals	10,460	74.83	0.61
Akzo Nobel India Ltd	INE133A01011	Consumer Non Durables	8,720	71.58	0.58
The Phoenix Mills Ltd	INE211B01039	Construction	30,000	69.27	0.57
NTPC Ltd	INE733E01010	Power	46,500	68.56	0.56
United Phosphorus Ltd	INE628A01036	Pesticides	45,000	65.18	0.53
Asian Paints Ltd	INE021A01026	Consumer Non Durables	14,000	64.30	0.53
Yes Bank Ltd	INE528G01019	Banks	20,000	57.47	0.47
Hindalco Industries Ltd	INE038A01020	Non - Ferrous Metals	50,000	55.95	0.46
Mindtree Ltd	INE018I01017	Software	4,500	54.86	0.45
Tata Power Company Ltd	INE245A01021	Power	66,000	53.56	0.44
Adani Ports and Special Economic Zone Ltd	INE742F01042	Transportation	38,000	52.46	0.43
Prestige Estates Projects Ltd	INE811K01011	Construction	40,000	46.98	0.38
Page Industries Ltd	INE761H01022	Textile Products	1,047	46.00	0.38
Jet Airways (India) Ltd	INE802G01018	Transportation	12,545	45.06	0.37
Tata Global Beverages Ltd	INE192A01025	Consumer			0.37
Future Retail Limited	INE192A01023	Non Durables Retailing	31,000 60,800	44.59	0.35
Just Dial Limited	INE599M01018	Software	4,700	39.68	0.33
Ultratech Cement Ltd	INE481G01011	Cement	2,100	38.00	0.32
Grasim Industries Ltd	INE481G01011 INE047A01013	Cement	1,404	37.15	0.30
Astral Poly Technik Ltd	INE006I01038	Industrial Products	14,945	32.12	0.26
Tata Coffee Ltd	INE493A01019	Consumer	3,000	29.74	0.24
KEC International Ltd		Non Durables Power		28.46	0.24
Future Lifestyle Fashions Ltd **#~	INE389H01022 INE452O01016	Miscellaneous	105,000 20,266	23.81	0.23
Credit Analysis and Research Limi		Finance	3,597	19.92	0.19
Sub Total	icu IINE/32ff01013	1 mance	3,39/	19.92	97.48
(b) Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				11,932.64	97.48
Cash and Bank				0.65	0.01
Total				0.65	0.01
Margin (Future and Options)  Total				70.00 <b>70.00</b>	0.57 <b>0.57</b>
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				314.00	2.57
Sub Total				314.00	2.57
Total				314.00	2.57
Net Receivables / (Payables)			_	(75.66)	-0.62
GRAND TOTAL				12,241.63	100.00
** Thinly Traded / Non Traded Sec ^Industry classification as recomme # Unlisted Security ~ Illiquid S	ended by AMFI and wh	erever not available, inte	rnal classi	fication has b	oeen used

(1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.

(2) Plan/Option wise Net Asset Values are as follows:

As on March 28, 2013 • As on September 30, 2013 Regular Plan - Dividend Option Rs. 14.81 Regular Plan - Growth Option Rs. 14.35 Direct Plan - Dividend Option Rs. 13.75 Rs. 13.27 Direct Plan - Growth Option Rs. 14.89 Rs. 14.38

• NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.

 $(3) \ No \ dividend \ was \ declared \ during \ the \ half \ year \ ended \ September \ 30, 2013.$ (4) Details of derivatives exposure and transaction:

 $(a) \qquad \text{Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and September 30, 2013 is Nil and$ 30, 2013 there were no hedging transactions through futures which have been squared off/expired.

(b) Other than Hedging Position through Futures as on September 30, 2013. For the period ended September 30, 2013 following non-hedging transactions through futures which have

been squared off/expired

of contracts where futures were bought	of contracts where futures were sold	Value of contracts where futures were bought Rs.	Value of contracts where futures were sold Rs.	value on all contracts combined Rs.
144	144	35,398,998.17	35,933,047.42	534,049.25

- Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired. Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended  $September\ 30, 2013\ there\ were\ no\ non-hedging\ transactions\ through\ options\ which\ have\ been\ exercised/dependent of the property of$
- (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- (5) During the current half year, the portfolio turnover ratio of equity and equity related instruments is 0.63 times Total market value of Foreign Securities/overseas ETFs and its percentage to Net Assets as at September 30, 2013
- The total value of illiquid Equity Securities is 0.24 crores and its percentage to Net Assets is 0.19%. Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

## **☑** Over 2.2 million investor scheme accounts





Value (Rs. Net

(AN OPEN ENDED INCOME SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013

### Half Yearly Portfolio Statement as at September 30, 2013

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996) BIRLA SUN LIFE BUY INDIA FUND

(AN OPEN ENDED GROWTH SCHEME)

		ED BALANCED SCHEME SON SEPTEMBER 30, 2013	/		
Name of the Instrument	ISIN	Industry #	Quantity	Market Value (Rs.	% o Ne
Debt Instruments				in Lacs)	Asset
(a) Listed / awaiting listing on Sto 9.38% IDBI Bank Ltd **	INE008A08T61	CRISIL AA+	319	3,074.99	5.2
12.50% DLF Ltd ** 9.75% LIC Housing	INE271C07095 INE115A07CO2	ICRA A CRISIL AAA	6 250	3,055.95 2,481.84	5.2 4.2
Finance Ltd ** 9.45% IDBI Bank Ltd **	INE008A08T95	CRISIL AA+	210	2,058.35	3.5
10.43% Power Finance Corporation Ltd	INE134E08EP6	CRISIL AAA	200	1,988.14	3.3
11.35% IDBI Bank Ltd **	INE008A08Q64	CRISIL AA+	20	200.17	0.3
9.85% ICICI Home Finance Company Ltd **	INE071G08296	CARE AAA	20	199.69	0.3
9.75% Housing Development Finance Corporation Ltd **	INE001A07GG1	CRISIL AAA	20	199.60	0.3
5.90% HDFC Bank Ltd ** 9.00% Coromandel	INE040A08112 INE169A08010	CRISIL AAA CRISIL AA+	50,000 75,000	49.01 11.07	0.0
International Ltd ** Sub Total	11.210,1100010	CHISID THAT	75,000	13,318.81	22.7
(b) Privately placed / Unlisted				NIL	NII
Sub Total Total				NIL 13,318.81	NII 22.7
Government Securities 7.16% GOI (MD 20/05/2023)	IN0020130012	SOV	1,000,000	896.80	1.5
7.61% GOI OIL Bond	IN0020050061	SOV	300,000	295.17	0.5
(07/03/2015) <b>Total</b>				1,191.97	2.0
Equity & Equity related (a) Listed / awaiting listing on					
Stock Exchanges ITC Ltd	INE154A01025	Consumer Non Durables	700,000	2,382.80	4.0
HCL Technologies Ltd	INE860A01027	Software	200,000	2,174.40	3.7
Infosys Ltd HDFC Bank Ltd	INE009A01021 INE040A01026	Software Banks	70,000 350,000	2,109.10 2,075.68	3.6
Housing Development Finance Corporation Ltd	INE001A01036	Finance	225,000	1,719.56	2.9
Reliance Industries Ltd	INE002A01018	Petroleum Products	160,000	1,315.84	2.2
Bharti Airtel Ltd ING Vysya Bank Ltd	INE397D01024 INE166A01011	Telecom - Services Banks	400,000 200,000	1,274.60 1,071.50	1.8
Larsen & Toubro Ltd	INE018A01030	Construction Project	125,000	985.94	1.6
Tata Consultancy Services Ltd NTPC Ltd	INE467B01029 INE733E01010	Software Power	50,000 650,000	963.90 958.43	1.6
Tech Mahindra Ltd Hindustan Zinc Ltd	INE669C01028 INE267A01025	Software Non - Ferrous Metals	70,000 700,000	935.03 934.85	1.59
Sun Pharmaceuticals Industries Ltd	INE044A01036	Pharmaceuticals	150,000	889.95	1.5
INGUSTRIES LTG ICICI Bank Ltd	INE090A01013	Banks	100,000	883.65	1.5
Sesa Sterlite Ltd Kotak Mahindra Bank Ltd	INE205A01025 INE237A01028	Minerals/Mining Banks	475,000 125,000	858.56 847.63	1.4 1.4
Indusind Bank Ltd	INE095A01012	Banks	225,000	829.69	1.4
Cummins India Ltd Oil & Natural Gas	INE298A01020 INE213A01029	Industrial Products Oil	200,000 300,000	816.50 803.55	1.3
Corporation Ltd LIC Housing Finance Ltd	INE115A01026	Finance	425,000	798.79	1.3
Cairn India Ltd	INE910H01017	Oil	200,000	637.70	1.0
Sundaram Finance Ltd Mahindra & Mahindra Ltd	INE6660A01013 INE101A01026	Finance Auto	125,000 75,000	633.50 620.59	1.0
Bayer CropScience Ltd Tata Motors Ltd DVR Shares	INE462A01022 IN9155A01020	Pesticides Auto	38,000 325,000	587.48 532.03	1.0
Glenmark Pharmaceuticals Ltd	INE935A01035	Pharmaceuticals	100,000	530.95	0.9
Hero MotoCorp Ltd Bajaj Auto Ltd	INE158A01026 INE917I01010	Auto Auto	25,000 25,000	502.31 496.86	0.8
Nestle India Ltd MRF Ltd	INE239A01016 INE883A01011	Consumer Non Durables Auto Ancillaries	9,000 3,500	469.76 468.48	0.8
Maruti Suzuki India Ltd	INE585B01010	Auto	34,000	461.91	0.7
Zee Entertainment Enterprises Ltd	INE256A01028	Media & Entertainment	200,000	457.00	0.7
Shree Cements Ltd Bosch Ltd	INE070A01015 INE323A01026	Cement Auto Ancillaries	11,000 5,000	453.60 452.43	0.7
Ultratech Cement Ltd	INE481G01011	Cement	25,000	452.33	0.7
Divi's Laboratories Ltd Berger Paints (I) Ltd	INE361B01024 INE463A01020	Pharmaceuticals Consumer Non Durables	45,000 200,000	436.66 434.10	0.7
Cipla Ltd	INE059A01026	Pharmaceuticals Pharmaceuticals	100,000	432.50	0.7
Lupin Ltd Britannia Industries Ltd	INE326A01037 INE216A01022	Consumer Non Durables	50,000 50,000	427.93 411.00	0.7
Balkrishna Industries Ltd PTC India Ltd	INE787D01026 INE877F01012	Auto Ancillaries Power	160,000 775,000	383.76 359.99	0.6
Trent Ltd	INE849A01012	Retailing	38,000	352.94	0.6
Whirlpool of India Ltd ICRA Ltd	INE716A01013 INE725G01011	Consumer Durables Finance	225,000 25,000	343.91 291.85	0.5
Credit Analysis and Research Limited	INE752H01013	Finance	50,000	276.90	0.4
Oracle Financial Services Software Ltd	INE881D01027	Software	9,000	276.65	0.4
Indraprastha Gas Ltd	INE203G01019	Gas	100,000	269.35	0.4
Dish TV India Ltd Tata Coffee Ltd	INE836F01026 INE493A01019	Media & Entertainment Consumer Non Durables	500,000 25,000	252.50 247.80	0.4
NMDC Ltd Sanofi India Ltd	INE584A01023 INE058A01010	Minerals/Mining Pharmaceuticals	200,000 9,000	240.20 238.01	0.4
Prestige Estates Projects Ltd	INE811K01011	Construction	200,000	234.90	0.4
Pfizer Ltd Thermax Ltd	INE182A01018 INE152A01029	Pharmaceuticals Industrial Capital Goods	21,000 38,000	228.01 222.68	0.3
Raymond Ltd	INE301A01014	Textile Products	97,000	221.45	0.3
Sun TV Network Ltd HT Media Ltd	INE424H01027 INE501G01024	Media & Entertainment Media & Entertainment	56,000 250,000	220.19 219.38	0.3
Bajaj Corp Ltd Jet Airways (India) Ltd	INE933K01021 INE802G01018	Consumer Non Durables Transportation	75,000 51,035	196.99 183.29	0.3
Sub Total		*	. ,,,,,,	40,789.82	69.5
	INE671B01018	Miscellaneous	200,000	9.92	0.0
Collabera Solutions ** #~ Magnasound (I) Ltd ** #~	INE763E01017	Miscellaneous Miscellaneous	83,640 125,000	0.00	
Sub Total			.,	9.92	0.0
Total Cash and Bank				40,799.74 0.67	69.5
Total Margin (Future and Options)				0.67 410.00	0.7
Total				410.00	0.7
CBLO / Reverse Repo Clearing Corporation of India Ltd				1,690.00	2.8
Sub Total Total				1,690.00 1,690.00	2.8 2.8
Net Receivables / (Payables)				1,219.40	2.0
GRAND TOTAL				58,630.59	100.0

where futures

were bought

- ^Industry classification as recommended by AMFI and wherever not available, internal classification has been used
- The provision made for Non Performing Assets as of September 30, 2013 is Nil
- Plan/Option wise Net Asset Values are as follow As on March 28, 2013 • As on September 30, 2013 Regular Plan - Dividend Ontion Rs. 104.33 Rs. 335.21 Rs. 103.30 Regular Plan - Growth Option Direct Plan - Dividend Option Rs. 109.65 Rs. 108.25 Direct Plan - Growth Option
- Dividend in the following plans was declared during the half year ended September 30, 2013. Details of derivatives exposure and transaction: Hedging Position through Futures as on September 30, 2013 is Nil.
  For the period ended September 30, 2013 following hedging transactions through futures which have been

NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.

- - of contracts of contracts Value of contracts Value of contracts value on all where futures

where futures

 were bought Rs.
 were sold Rs.
 combined Rs.

 578.825,082.50
 611,918,160.00
 33,093,077.50

contracts

Other than Hedging Position through Futures as on September 30,2013 is Nil For the period ended September 30, 2013 following non-hedging transactions through futures which have

where futures

been squared off	/expired			
Total Number	Total Number	Gross Notional	Gross Notional	Net Profit/Los
of contracts	of contracts	Value of contracts	Value of contracts	value on all
where futures	where futures	where futures	where futures	contracts
were bought	were sold	were bought Rs.	were sold Rs.	combined Rs.
1,261	1,261	336,625,945.80	336,508,201.26	(117,744.54)

Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired

 $Other than \, Hedging \, Positions \, through \, Options \, as \, on \, September \, 30,2013 \, is \, Nil \, and \, for \, the \, half \, year \, ended \, and \, yea$ September 30, 2013 there were no non-hedging transactions through options which have been exercised/

were sold

2,890

- Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 1.20 years. During the current half year, the portfolio turnover ratio of equity and equity related instruments is 0.72 times
- $Total\ market\ value\ of\ Foreign\ Securities/overseas\ ETFs\ and\ its\ percentage\ to\ Net\ Assets\ as\ at\ September\ 30,2013$
- The total value of illiquid Equity Securities is 9.92 lakhs and its percentage to Net Assets is 0.02% Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

- PORTFOLIO AS ON SEPTEMBER 30, 2013 Market Name of the Instrument Net in Lacs) Assets Equity & Equity related (a) Listed / awaiting listing of Stock Exchange Bayer CropScience Ltd INE462A01022 Pesticides 15,750 243.50 7.55 ICRA Ltd INE725G01011 Finance 19.800 231.15 7.16 INE030A01027 Hindustan Unilever Ltd 30,420 190.87 5.91 Consumer Non Durables Housing Development Finance INE001A01036 23,800 181.89 5.64 Finance Corporation Ltd ING Vysya Bank Ltd INE166A01011 32,116 172.06 5.33 Glaxosmithkline Pharmaceuticals Ltd INE159A01016 Pharmaceuticals INF.376G01013 Pharmaceuticals 46,500 157.03 4 87 Biocon Ltd Shopper's Stop Ltd INE498B01024 Retailing 36,237 130.51 4.04 Tata Global Beverages Ltd INE192A01025 Consumer Non Durables 81,000 116.52 3.61 INE154A01025 Consumer Non Durables 32,750 MRF Ltd INE883A01011 Auto Ancillaries 810 108.42 3.36 Pfizer Ltd INE182A01018 Pharmaceuticals 9,909 107.59 3.33 INE105A01035 Sundaram Clayton Ltd Auto Ancillaries 36,093 105.25 3.26 INE256A01028 Zee Entertainment Enterprises Ltd Media & Entertainment Kansai Nerolac Paints Ltd INE531A01016 Consumer Non Durables 8,100 100.24 3.11 INE849A01012 92.88 Trent Ltd Retailing 10,000 2.88 Jet Airways (India) Ltd INE802G01018 Transportation 89.94 Bata India Ltd INE176A01010 Consumer Durables 10,500 ICICI Bank Ltd INE090A01013 Banks 9.100 80.41 2.49 INE155A01022 Auto Tata Motors Ltd 23,490 78.10 2.42 INE378A01012 Pharmaceuticals Wyeth Ltd Mahindra & Mahindra Ltd INE101A01026 67.02 8,100 Axis Bank Ltd INE238A01026 Banks 6,561 66.13 2.05 Maruti Suzuki India Ltd INE585B01010 4,860 66.03 2.05 Auto ndusind Bank Ltd INE095A01012 17,710 65.31 Kotak Mahindra Bank Ltd INE237A01028 Banks 9,450 64.08 1.99 Yes Bank Ltd INE528G01019 Banks 18,990 54.57 1.69 Indraprastha Gas Ltd INE203G01019 18,000 48.48 1.50 United Breweries (Holdings) Ltd INE696A01025 Finance 24.03 Sub Total 3,185.17 98.71 (b) Unlisted Magnasound (I) Ltd \*\* # Miscellaneous Sub Total 0.00 3,185.17 98.71 CBLO / Reverse Repo Clearing Corporation of India Ltd 87.00 Sub Total 87.00 2.70 Total 87.00 Net Receivables / (Pavables) (45.25)GRAND TOTAL
- \*\* Thinly Traded / Non Traded Security # Unlisted Security
- \$ Less Than 0.01% of Net Asset Value
- ended by AMFI and wherever not available, internal classification has been used The provision made for Non Performing Assets as of September 30, 2013 is Nil.
- Plan/Option wise Net Asset Values are as follows:
- As on March 28, 2013 Regular Plan - Dividend Option Rs. 21.42 Rs. 22.57 Regular Plan - Growth Option Rs. 44.82 Rs. 44.73 Direct Plan - Growth Option Rs. 45.00 Rs. 44.79 • NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business
- (3) Dividend in the following plans was declared during the half year ended September 30, 2013. Per Unit (Rs.) Regular Plan - Dividend Option
- Direct Plan Dividend Option 1.2000 Details of derivatives exposure and transaction Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired. Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared
- off/expired. Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired. Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised.
- During the current half year, the portfolio turnover ratio of equity and equity related instruments is 0.03 times Total market value of Foreign Securities/overseas ETFs and its percentage to Net Assets as at September 30, 2013

ISIN

- The total value of illiquid Equity Securities is Nil and its percentage to Net Assets is Nil
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

(e) Hedging Positions through swaps as on September 30, 2013 is Nil.

### BIRLA SUN LIFE CAPITAL PROTECTION ORIENTED FUND - SERIES 14 (A CLOSE ENDED CAPITAL PROTECTION ORIENTED SCHEME)

PORTFOLIO AS ON SEPTEMBER 30, 2013

Rating

Market

Value (Rs. Net in Lacs) Assets Debt Instrument (a) Listed / awaiting listing on ock Exchange 9.32% Export Import Bank of India \*\* INE514E08BC3 CRISIL AAA 497.44 12.53 9.75% LIC Housing Finance Ltd \*\* INE115A07CQ7 CRISIL AAA 496.57 12.51 9.35% National Bank For Agriculture INE261F09HP5 CRISIL AAA 496.51 12.51 and Rural Development \* INE043D07CC5 ICRA AAA 9.35% Infrastructure Development 495.71

CDAND TOTAL				2 069 02	100.00
Net Receivables / (Payables)				541.29	13.64
Total				336.00	8.47
Sub Total				336.00	8.47
Clearing Corporation of India Ltd				336.00	8.47
CBLO / Reverse Repo					
Total				3,091.64	77.90
Sub Total				NIL	NIL
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				3,091.64	77.90
9.40% National Bank For Agriculture and Rural Development **	INE261F09HF6	CRISIL AAA	12	119.37	3.01
8.75% Hindustan Petroleum Corporation Ltd **	INE094A07046	CRISIL AAA	50	490.68	12.36
9.55% Housing Development Finance Corporation Ltd **	INE001A07JH3	CRISIL AAA	50	495.36	12.48
Finance Company Ltd **					

\*\* Thinly Traded / Non Traded Security

(4) Details of derivatives exposure and transaction:

Name of the Instrumen

The provision made for Non Performing Assets as of September 30, 2013 is Nil.

(3) No dividend was declared during the half year ended September 30, 2013.

- Plan/Option wise Net Asset Values are as follows Plan As on September 30, 2013 As on March 28, 2013 • Rs. 10.0178 • NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.
  - $Hedging\ Position\ through\ Futures\ as\ on\ September\ 30,2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 30,2013\ there\ were\ no\ hedging\ transactions\ through\ futures\ which\ have\ been\ squared\ off/expired.$
  - Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared the sequence of the
  - Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired.

    Other than Hedging Positions through Options as on September 30, 2013

)	Other than Hedging Positions through Options as on September 30, 2013						
	Scheme Name	Underlying	Call/Put	contracts	Option Price when	Current Price Rs.	(
					purchased Rs.		
	Birla Sun Life Capital	S&P	Call	1,026	1,129.21	884.40	
	Protection Oriented	CNX NIFTY					
	Fund - Series 14	CE 5900 Jun 15					

- Total exposure due to options (non hedging positions) as a %age of net assets is 11.43% For the half year ended September 30, 2013 there were no non-hedging transactions through options
- which have been exercised/expired. Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 1.47 years.
- During the current half year, the portfolio turnover ratio of equity and equity related instruments is Nil. Total market value of Foreign Securities/overseas ETFs and its percentage to Net Assets as at September 30, 2013
- The total value of illiquid Equity Securities is Nil and its percentage to Net Assets is Nil.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

				in Lacs)	Assets
Debt Instruments (a) Listed / awaiting listing on					
Stock Exchange					
9.84% Power Finance Corporation Ltd		CRISIL AAA	1,250	12,429.41	5.03
9.75% Tata Capital Financial Services Ltd **	INE306N07724	CRISIL AA+	1,000	9,983.80	4.04
8.82% Tata Capital Financial	INE306N07773	CRISIL AA+	800	7,975.33	3.23
Services Ltd **	INE657I07019	CRISIL AAA	750	7 406 60	3.03
10.90% Reliance Gas Transportation Infrastructure Ltd **	INE03/10/019	CRISIL AAA	730	7,496.69	3.03
10.41% Tata Motors Finance Ltd **	INE909H07867	CRISIL AA-	500	4,991.63	2.02
8.90% Tata Capital Housing Finance Ltd **	INE033L07629	CRISIL AA+	500	4,985.24	2.02
8.82% Tata Capital Housing	INE033L07652	CRISIL AA+	500	4,984.82	2.02
Finance Ltd **	DIE115 A 07 A E4	CDICH AAA	400	2.000.42	1.61
9.40% LIC Housing Finance Ltd ** 9.70% Kotak Mahindra Prime Ltd **	INE115A07AF4 INE916DA7279	CRISIL AAA CRISIL AA+	400 350	3,988.42 3,481.54	1.61 1.41
16.31% Tata Capital Financial	INE306N07294	ICRA AA+	311,316	3,090.29	1.25
Services Ltd **					
9.90% Housing Development Finance Corporation Ltd **	INE001A07EL6	CRISIL AAA	300	2,995.53	1.21
11.28% Cholamandalam Investment	INE121A07ER9	ICRA AA	250	2,492.39	1.01
and Finance Company Ltd **	INE721A07FP7	CRISIL AA	250	2 400 59	1.01
10.00% Shriram Transport Finance Company Ltd **	INE/21AU/FF/	CRISIL AA	230	2,490.58	1.01
8.82% Tata Capital Financial	INE306N07781	CRISIL AA+	200	1,993.88	0.81
Services Ltd ** 10.50% Tata Capital Financial	INE306N07286	ICRA AA+	132,551	1,318.80	0.53
Services Ltd **	11123001107200	iciai ilii	132,331	1,510.00	0.55
10.28% Tata Motors Finance Ltd **	INE909H07818	CRISIL AA-	100	998.82	0.40
10.25% Tata Capital Financial Services Ltd **	INE306N07120	ICRA AA+	50	495.93	0.20
Tata Capital Financial	INE976I07CI2	CARE AA+	10	114.79	0.05
Services Ltd (ZCB) **	DIE00011072/2	CDICH AA	10	07.66	0.04
Tata Motors Finance Ltd (ZCB) ** Tata Motors Finance Ltd (ZCB) **	INE909H07362 INE909H07420	CRISIL AA- CRISIL AA-	10 10	97.66 92.16	0.04
10.78% HDB Financial Services Ltd **		CARE AAA	10	49.49	0.02
Kotak Mahindra Prime Ltd (ZCB) **	INE916D070S7	CRISIL AA+	5	46.21	0.02
Shriram Transport Finance Company Ltd (ZCB) **	INE721A08AT8	CRISIL AA	3	27.74	0.01
Sub Total				76,621.15	30.99
(b) Privately placed / Unlisted					
11.90% Prime Publishing Private Ltd ** #	INE413O07023	BWR A-(SO)	40	3,982.39	1.61
11.90% Prime Publishing	INE413O07031	BWR A-(SO)	21	2,090.66	0.85
Private Ltd ** #					
Sub Total Total				6,073.05 82,694.20	2.46 33.45
Money Market Instruments				02,074.20	33,40
Certificate of Deposit					
ICICI Bank Ltd ** #	INE090A16XJ4	ICRA A1+	15,000	14,679.87	5.94
The Jammu & Kashmir Bank Ltd ** # State Bank of Mysore ** #	INE168A16HA0 INE651A16FE1		8,500 7,500	8,380.64 6,824.48	3.39 2.76
State Bank of Bikaner and Jaipur ** #	INE648A16GI6		5,000	4,556.08	1.84
Oriental Bank of Commerce ** #	INE141A16MM2	CRISIL A1+	4,955	4,522.19	1.83
Axis Bank Ltd ** #	INE238A16TK5	CRISIL A1+	150	137.52	0.06
Sub Total Commercial Paper				39,100.78	15.82
Shapoorji Pallonji & Co Ltd ** #	INE404K14547	ICRA A1+	2,200	10,670.37	4.32
Vodafone India Ltd ** #	INE705L14354	CRISIL A1+	1,660	7,932.46	3.21
Shapoorji Pallonji & Co Ltd ** #	INE404K14448	ICRA A1+	1,500	7,439.32	3.01
Kotak Mahindra Prime Ltd ** # Sesa Sterlite Ltd ** #	INE916D14PY4 INE205A14234	CRISIL A1+ CRISIL A1+	1,500 1,500	7,179.16 6,813.94	2.90 2.76
Kotak Mahindra Prime Ltd ** #	INE916D14PZ1	CRISIL A1+	1,080	5,298.99	2.14
Sesa Sterlite Ltd ** #	INE205A14143	CRISIL A1+	1,000	4,927.82	1.99
Standard Chartered	INE403G14FP5	CRISIL A1+	600	2,959.02	1.20
Invest & Loans Ltd. ** # Sesa Sterlite Ltd ** #	INE205A14168	CRISIL A1+	500	2,390.30	0.97
Vodafone India Ltd ** #	INE705L14362	CRISIL A1+	500	2,383.44	0.96
Rural Electrification	INE020B14185	CARE A1+	213	987.83	0.40
Corporation Ltd ** # Sub Total				58,982.65	23.86
Treasury Bill				20,702.02	25.00
182 Days Tbill (MD 30/01/2014)	IN002013Y095	SOV	20,500,000	19,872.73	8.04
91 Days Tbill (MD 05/12/2013)	IN002013X220	SOV	11,309,750	11,120.43	4.50
91 Days Tbill (MD 12/12/2013) Sub Total	IN002013X238	SOV	3,082,250	3,025.22 <b>34,018.38</b>	1.22 <b>13.76</b>
Total				132,101.81	53.43
Others					
Fixed Deposit		Tenure (No. of Days	)		
11.15% Indusind Bank Ltd 11.15% Indusind Bank Ltd		91 91		15,000.00 9,500.00	6.07 3.84
Sub Total		•		24,500.00	9.91
Total				24,500.00	9.91
CBLO / Reverse Repo				0 275 70	2.20
Clearing Corporation of India Ltd Clearing Corporation of India Ltd				8,375.79 407.00	3.39 0.16
Sub Total				8,782.79	3.55
Total				8,782.79	3.55
Net Receivables / (Payables)				(856.16)	-0.35
GRAND TOTAL  ZCB - Zero Coupon Bond				247,222.64	100.00
** Thinly Traded/Non Traded Securi	tv				
# Unlisted Security	•				
Notes:					

 $(1) \qquad \text{The provision made for Non Performing Assets as of September 30, 2013 is Nil.} \\$ 

Plan/Option wise Net Asset Values are as follows As on September 30, 2013 As on March 28, 2013 • Regular Plan - Growth Option Rs. 294.6486 Rs. 282.4841 Regular Plan - Daily Dividend Option Rs. 100.2933 Rs. 100.1158 Regular Plan - Weekly Dividend Option Rs. 100.2132 Rs. 100.0886 Institutional Plan - Growth Option^^ Rs. 205.9481 Rs. 196.7565 Institutional Plan - Daily Dividend Option<sup>a</sup> Rs. 100.2105 Rs. 100.0681 Institutional Plan - Weekly Dividend Option<sup>^</sup> Rs. 100.2249 Rs. 100.0886 Direct Plan - Growth Option Rs. 296.4645 Rs. 283.1226 Direct Plan - Daily Dividend Option Rs. 100.3629 Rs. 100.1550 Direct Plan - Weekly Dividend Option Rs. 100.2250 Rs. 100.0900

 $\bullet \ NAVs \ at the beginning of the period is as of 28th March 2013, 31st March 2013 \ being \ a \ non-business \ day. \\$ 

 ${\ }^{\wedge \wedge} Plan(s)\ discontinued\ from\ accepting\ subscriptions\ w.e.f\ October\ 01,2012.$ Dividend in the following plans was declared during the half year ended September 30, 2013

	Per Unit (Rs.)
Regular Plan - Daily Dividend Option	Rs. 3.8732
Regular Plan - Weekly Dividend Option	Rs. 4.1062
Institutional Plan - Daily Dividend Option	Rs. 4.2689
Institutional Plan - Weekly Dividend Option	Rs. 4.4384
Direct Plan - Daily Dividend Option	Rs. 4.3000
Direct Plan - Weekly Dividend Option	Rs. 4.4908

- Details of derivatives exposure and transaction:  $(a) \qquad \text{Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and September 30, 201$
- 30, 2013 there were no hedging transactions through futures which have been squared off/expired. Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared
- Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired.
- Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/expired.
- (e) Hedging Positions through swaps as on September 30, 2013 is Nil. The Average Maturity Period of the Portfolio is 0.53 years.

off/expired.

Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

# ☑ Over 2.2 million investor scheme accounts



This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September, 2013.

### Half Yearly Portfolio Statement as at September 30, 2013

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996) BIRLA SUN LIFE CAPITAL PROTECTION ORIENTED FUND - SERIES 11

(AN OPEN ENDED DIVERSIFIED EQUITY SCHEME)

non-		FIED EQUITY SCHEM DTEMBED 30, 2013	E)		
Name of the Instrument	ISIN	CPTEMBER 30, 2013 Rating Qua	antity	Market Value (Rs.	% of Net
Debt Instruments				in Lacs)	Assets
(a) Listed / awaiting listing on					
Stock Exchange 9.55% Small Industries	INE556F09387	CARE AAA	100	996.34	17.89
Dev Bank of India ** 9.32% Export Import Bank of India **	INE514E08BC3	CRISIL AAA	100	994.88	17.86
9.46% Power Finance Corporation Ltd **	INE134E08EQ4	ICRA AAA	100	994.86	17.86
9.35% National Bank For Agriculture and Rural Development **	INE261F09HP5	CRISIL AAA	100	993.02	17.83
Sub Total				3,979.10	71.43
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total Equity & Equity related				3,979.10	71.43
(a) Listed / awaiting listing on					
Stock Exchanges					
Tech Mahindra Ltd Repco Home Finance Ltd	INE669C01028	Software Finance	5,000	66.79 64.08	1.20
ICICI Bank Ltd	INE612J01015 INE090A01013	Banks	25,496 6,700	59.20	1.13
Pidilite Industries Ltd	INE318A01026	Chemicals	20,000	50.20	0.90
ITC Ltd	INE154A01025	Consumer Non Durables	12,000	40.85	0.73
Infosys Ltd	INE009A01021	Software	1,250	37.66	0.68
Hindustan Zinc Ltd	INE267A01025	Non - Ferrous Metals	27,800	37.13	0.67
Just Dial Limited	INE599M01018	Software	4,075	34.40	0.62
NIIT Technologies Ltd D.B.Corp Ltd	INE591G01017 INE950I01011	Software Media &	9,000 10,131	25.36 24.61	0.46
D.B.Corp Eta	1112530101011	Entertainment	10,131	24.01	0.44
Whirlpool of India Ltd	INE716A01013	Consumer Durables	16,063	24.55	0.44
Kewal Kiran Clothing Limited	INE401H01017	Textile Products	3,000	24.49	0.44
Tata Consultancy Services Ltd	INE467B01029	Software Oil	1,200	23.13	0.42
Oil & Natural Gas Corporation Ltd Dr. Reddy'S Laboratories Ltd	INE213A01029 INE089A01023	Pharmaceuticals	8,500 850	22.77 20.24	0.41
HCL Technologies Ltd	INE860A01027	Software	1,700	18.48	0.33
Tata Motors Ltd DVR Shares	IN9155A01020	Auto	11,000	18.01	0.32
Tata Steel Ltd	INE081A01012	Ferrous Metals	6,000	16.29	0.29
Akzo Nobel India Ltd	INE133A01011	Consumer Non Durable		14.78	0.27
Sundaram Clayton Ltd	INE105A01035	Auto Ancillaries	5,000	14.58	0.26
Hero MotoCorp Ltd ING Vysya Bank Ltd	INE158A01026 INE166A01011	Auto Banks	650 2,200	13.06 11.79	0.23
Zee Entertainment Enterprises Ltd	INE256A01028	Media & Entertainmen		11.79	0.20
Cadila Healthcare Ltd	INE010B01019	Pharmaceuticals	1,625	11.05	0.20
NMDC Ltd	INE584A01023	Minerals/Mining	8,500	10.21	0.18
VST Industries Ltd	INE710A01016	Consumer Non Durable	es 630	9.46	0.17
Maruti Suzuki India Ltd	INE585B01010	Auto	600	8.15	0.15
Sun Pharmaceuticals Industries Ltd Credit Analysis and Research Limited	INE044A01036 INE752H01013	Pharmaceuticals Finance	1,300 1,389	7.71 7.69	0.14
Canara Bank	INE476A01014	Banks	3,000	6.64	0.14
Yes Bank Ltd	INE528G01019	Banks	2,000	5.75	0.10
National Aluminium Company Ltd	INE139A01034	Non - Ferrous Metals	17,255	5.62	0.10
Grasim Industries Ltd	INE047A01013	Cement	200	5.29	0.10
Lupin Ltd	INE326A01037	Pharmaceuticals	600	5.14	0.09
Castrol India Ltd	INE172A01019	Petroleum Products	1,560	4.83	0.09
Zydus Wellness Ltd Sesa Sterlite Ltd	INE768C01010 INE205A01025	Consumer Non Durable Minerals/Mining	1,200	3.73 2.17	0.07
Sub Total	1112037101023		1,200	767.09	13.77
(b) Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				767.09	13.77
Money Market Instruments					
Certificate of Deposit	INE000 4 1 (3/72)	ICD A A1	200	205 10	E 10
ICICI Bank Ltd ** # Sub Total	INE090A16YZ8	ICKA AI+	300	285.18 285.18	5.12 <b>5.12</b>
Total				285.18	5.12
Cash and Bank				0.55	0.01
Total				0.55	0.01
Margin (Future and Options)				9.00	0.16
Total				9.00	0.16
CBLO / Reverse Repo Clearing Corporation of India Ltd				393.00	7.06
Sub Total				393.00 393.00	7.06
Total				393.00	7.06
				136.32	2.45
Net Receivables / (Payables) GRAND TOTAL				5,570.24	100.00

\*\* Thinly Traded / Non Traded Security

^Industry classification as recommended by AMFI and wherever not available, internal classification has been used Notes:

(1) The provision made for Non Performing Assets as of September 30, 2013 is Nil. (2) Plan/Option wise Net Asset Values are as follows:

As on September 30, 2013 As on March 28, 2013 • Regular Plan - Growth Option Rs. 10.7865 Rs. 10.6203

• NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day

(3) No dividend was declared during the half year ended September 30, 2013.

(4) Details of derivatives exposure and transaction:

(a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September  $30,2013\ there\ were\ no\ hedging\ transactions\ through\ futures\ which\ have\ been\ squared\ off/expired.$ 

Other than Hedging Position through Futures as on September 30, 2013.

Underlying	Long/Short	Futures Price when purchased Rs.	Current price of the contract Rs.	Margin maintained in Rs. Lakhs
Bank Nifty Index Future Oct 13	Long	10,215.08	9,700.60	4.58

Total exposure due to futures (Other than hedging positions) as a %age of net assets is 0.52%  $For the period ended \, September \, 30,2013 \, following \, non-hedging \, transactions \, through \, futures \, which \, have \, 1000 \, following \, respectively. \, The period ended \, September \, 30,2013 \, following \, respectively. \, The period ended \, September \, 30,2013 \, following \, respectively. \, The period ended \, September \, 30,2013 \, following \, respectively. \, The period ended \, September \, 30,2013 \, following \, respectively. \, The period ended \, September \, 30,2013 \, following \, respectively. \, The period ended \, September \, 30,2013 \, following \, respectively. \, The period ended \, September \, 30,2013 \, following \, respectively. \, The period ended \, September \, 30,2013 \, following \, respectively. \, The period ended \, September \, 30,2013 \, following \, respectively. \, The period ended \, September \, 30,2013 \, following \, respectively. \, The period ended \, 30,2013 \, following \, respectively. \, T$ 

ocen squared on	renpired			
Total Number of contracts where futures were bought	Total Number of contracts where futures were sold	Gross Notional Value of contracts where futures were bought Rs.	Gross Notional Value of contracts where futures were sold Rs.	Net Profit/Loss value on all contracts combined Rs.
36	24	8,710,720,47	5,694,446,01	49,169,88

- Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired
- Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised
- (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 1.19 years.
- During the current half year, the portfolio turnover ratio of equity and equity related instruments is 0.07 timesTotal market value of Foreign Securities/overseas ETFs and its percentage to Net Assets as at September 30, 2013
- The total value of illiquid Equity Securities is Nil and its percentage to Net Assets is Nil.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs. in Lacs)	% No
Debt Instruments				III Lacs)	Asse
(a) Listed / awaiting listing on					
Stock Exchange 9.32% Export Import Bank of India **	INE514E08BC3	CRISIL AAA	100	994.88	10.6
9.57% Infrastructure Development	INE043D07BN4	ICRA AAA	100	994.70	10.6
Finance Company Ltd **					
9.60% Housing Development Finance Corporation Ltd **	INE001A07JB6	CRISIL AAA	100	989.26	10.6
8.70% Power Finance	INE134E08CW6	CRISIL AAA	100	984.01	10.5
Corporation Ltd ** 9.35% National Bank For	INE261F09HP5	CRISIL AAA	80	794.42	8.:
Agriculture and Rural Development **	INEZOTI OJITI J	CRISIL AAA	80	774.42	0
9.50% National Bank For Agriculture and Rural Development **	INE261F09HL4	CRISIL AAA	50	497.67	5
9.62% LIC Housing Finance Ltd ** 9.35% Infrastructure Development	INE115A07CV7 INE043D07CC5	CRISIL AAA ICRA AAA	50 33	495.39 327.17	5 3
Finance Company Ltd ** 9.40% National Bank For Agriculture and Rural Development **	INE261F09HF6	CRISIL AAA	20	198.95	2.
Sub Total				6,276.45	67.
(b) Privately placed / Unlisted					
9.67% Tata Sons Ltd ** #	INE895D08527	CRISIL AAA	100	991.11	10.0
Sub Total Total				991.11 7,267.56	10.0 77.9
Equity & Equity related				7,207.50	77.
(a) Listed / awaiting listing on					
Stock Exchanges					
Tech Mahindra Ltd	INE669C01028	Software	8,470	113.14	1.
HCL Technologies Ltd	INE860A01027	Software	6,500	70.67	0.7
Repco Home Finance Ltd ITC Ltd	INE612J01015 INE154A01025	Finance Consumer	26,686 19,500	67.08 66.38	0.
		Non Durables	17,500	00.50	0.
Infosys Ltd	INE009A01021	Software	1,900	57.25	0.
HDFC Bank Ltd	INE040A01026	Banks	9,500	56.34	0.
ICICI Bank Ltd	INE090A01013	Banks	4,500	39.76	0.
Sun Pharmaceuticals Industries Ltd	INE044A01036	Pharmaceuticals Software	6,000	35.60	0.
Just Dial Limited Dr. Reddy'S Laboratories Ltd	INE599M01018 INE089A01023	Pharmaceuticals	3,260 1,150	27.52 27.38	0.
Tata Consultancy Services Ltd	INE467B01029	Software	1,400	26.99	0
Tata Motors Ltd	INE155A01022	Auto	8,100	26.93	0.
Kewal Kiran Clothing Limited	INE401H01017	Textile Products	3,270	26.69	0.
Exide Industries Ltd	INE302A01020	Auto Ancillaries	20,000	25.87	0.3
Zee Entertainment Enterprises Ltd	INE256A01028	Media & Entertainment	10,000	22.85	0.
Shriram City Union Finance Ltd	INE722A01011	Finance	2,100	22.22	0.
Eicher Motors Ltd	INE066A01013	Auto	600	21.36	0.3
Jagran Prakashan Ltd	INE199G01027	Media & Entertainment	26,000	21.29	0.
Akzo Nobel India Ltd Maruti Suzuki India Ltd	INE133A01011 INE585B01010	Consumer Non Durables Auto	2,500	20.52 19.02	0.1
Sesa Sterlite Ltd	INE205A01025	Minerals/Mining	1,400 10,200	18.44	0
Mahindra & Mahindra Ltd	INE101A01026	Auto	2,200	18.20	0.
Larsen & Toubro Ltd	INE018A01030	Construction Project		17.75	0.
Asian Paints Ltd	INE021A01026	Consumer Non Durables	3,700	16.99	0.
LIC Housing Finance Ltd	INE115A01026	Finance	9,000	16.92	0.
Reliance Capital Ltd	INE013A01015	Finance	5,200	16.39	0.
ING Vysya Bank Ltd City Union Bank Ltd	INE166A01011 INE491A01021	Banks Banks	3,000 35,700	16.07 15.14	0. 0.
City Union Bank Ltd Hindustan Zinc Ltd	INE267A01021 INE267A01025	Non - Ferrous Metal		15.14	0.
D.B.Corp Ltd	INE950I01011	Media & Entertainn	,	13.36	0.
NMDC Ltd	INE584A01023	Minerals/Mining	11,000	13.21	0.
MOIL Ltd	INE490G01020	Ferrous Metals	6,000	12.21	0.
State Bank of India	INE062A01012	Banks	750	12.11	0.
Hero MotoCorp Ltd	INE158A01026	Auto	550	11.05	0.
Tata Steel Ltd	INE081A01012	Ferrous Metals	4,000	10.86	0.
Grasim Industries Ltd Thermax Ltd	INE047A01013	Cement	400	10.58	0. 0.
Thermax Ltd Jet Airways (India) Ltd	INE152A01029 INE802G01018	Industrial Capital Go Transportation	2,671	9.96 9.59	0.
Credit Analysis and Research Limited	INE752H01013	Finance	1,389	7.69	0.0
Zydus Wellness Ltd	INE768C01010	Consumer Non Dura		7.46	0.0
Yes Bank Ltd	INE528G01019	Banks	2,000	5.75	0.0
Indusind Bank Ltd	INE095A01012	Banks	1,000	3.69	0.0
Sub Total				1,072.97	11.
(b) Unlisted				NIL	NI NI
Sub Total Total				NIL 1,072.97	NI 11.:
Total  Cash and Bank				0.66	0.0
Total				0.66	0.0
Margin (Future and Options)				14.50	0.1
Total CBLO / Reverse Repo				14.50	0.1
Clearing Corporation of India Ltd				821.00	8.3
Sub Total				821.00	8.8
Total				821.00	8.8

GRAND TOTAL Thinly Traded / Non Traded Security

# Unlisted Security

^Industry classification as recommended by AMFI and wherever not available, internal classification has been used Notes:

(1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.

Plan/Option wise Net Asset Values are as follows:

As on September 30, 2013 As on March 28, 2013 • Regular Plan - Growth Option Rs. 10.4327 Rs. 10.2150 • NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.

(4) Details of derivatives exposure and transaction: Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September

Other than Hedging Position through Futures as on September 30, 2013.

30, 2013 there were no hedging transactions through futures which have been squared off/expired

(3) No dividend was declared during the half year ended September 30, 2013.

Margin maintained Long/Short Futures Price when Current price purchased Rs. of the contract Rs in Rs. Lakhs 10,215.31 Index Future Oct 13

Total exposure due to futures (Other than hedging positions) as a %age of net assets is 0.52% For the period ended September 30, 2013 following non-hedging transactions through futures which have

been squared off	peen squared off/expired								
Total Number	Total Number	Gross Notional	Gross Notional	Net Profit/Loss					
of contracts where futures	of contracts where futures	Value of contracts	Value of contracts	value on all					
were bought	where futures were sold	where futures were bought Rs.	where futures were sold Rs.	contracts combined Rs.					
60	40	14,513,745.20	9,488,827.05	84,267.85					

- Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired.  $Other than \ Hedging \ Positions \ through \ Options \ as \ on \ September \ 30,2013 \ is \ Nil \ and \ for \ the \ half \ year \ ended$ September 30, 2013 there were no non-hedging transactions through options which have been exercised/
- Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 1.39 years.
- During the current half year, the portfolio turnover ratio of equity and equity related instruments is 0.06 times  $Total\ market\ value\ of\ Foreign\ Securities/overseas\ ETFs\ and\ its\ percentage\ to\ Net\ Assets\ as\ at\ September\ 30,2013 \ \ (5)$
- The total value of illiquid Equity Securities is Nil and its percentage to Net Assets is Nil.
  - Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

(A CLOSE ENDED CAPITAL PROTECTION ORIENTED SCHEME)

Name of the Instrument	ISIN	Rating	Quantity	Market	% of
				Value (Rs.	Net
				in Lacs)	Assets
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
9.32% Export Import Bank of India **	INE514E08BC3	CRISIL AAA	100	994.88	11.08
9.57% Infrastructure Development Finance Company Ltd **	INE043D07BN4	ICRA AAA	100	994.70	11.08
9.35% National Bank For Agriculture and Rural Development **	INE261F09HP5	CRISIL AAA	100	993.02	11.06
9.62% LIC Housing Finance Ltd **	INE115A07CV7	CRISIL AAA	100	990.77	11.03
9.46% Power Finance Corporation Ltd **	INE134E08EQ4	ICRA AAA	50	497.43	5.54
8.70% Power Finance Corporation Ltd **	INE134E08CW6	CRISIL AAA	50	492.01	5.48
9.60% Housing Development Finance Corporation Ltd **	INE001A07JB6	CRISIL AAA	40	395.70	4.41
8.64% Power Grid Corporation of India Ltd **	INE752E07HD6	CRISIL AAA	12	147.30	1.64
8.10% Export Import Bank of India **	INE514E08738	CRISIL AAA	10	97.35	1.08
9.40% National Bank For Agriculture and Rural Development **	INE261F09HF6	CRISIL AAA	8	79.58	0.89
Sub Total				5,682.74	63.28
(b) Privately placed / Unlisted					1
9.78% Tata Sons Ltd ** #	INE895D08485	CRISIL AAA	100	999.78	11.13
Sub Total				999.78	11.13
Total				6,682.52	74.42
CBLO / Reverse Repo					1
Clearing Corporation of India Ltd				624.00	6.95
Sub Total				624.00	6.95
Total				624.00	6.95
Net Receivables / (Payables)				1,673.46	18.64
GRAND TOTAL				8,979.98	100.00
** Think To J. J / No. To J. J C		·			

Thinly Traded / Non Traded Security

# Unlisted Security

(1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.

(2) Plan/Option wise Net Asset Values are as follows: As on September 30, 2013 As on March 28, 2013 • Regular Plan - Growth Option Rs. 10.8489

NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.  $(3) \ No \ dividend \ was \ declared \ during \ the \ half \ year \ ended \ September \ 30, 2013.$ (4) Details of derivatives exposure and transaction

- (a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared off/expired.
- Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired.

Other than Hedging Positions through Options as on September 30, 2013 Call/Put Scheme Name Underlying Number of Option Price Current rchased R Birla Sun Life Capital S&P Call 900 1.060.15 1,222,00 CNX NIFTY Protection Oriented Fund - Series 12 CE 5300 Jun 15 Birla Sun Life Capital S&P Call 1,077.60 1,161.60 Protection Oriented CNX NIFT Fund - Series 12 CE 5400 Jun 15 Birla Sun Life Capital 1,098.85 1,484.25 CNX NIFTY Protection Oriented CE 5600 Jun 15 Fund - Series 12

Total exposure due to options (non hedging positions) as a %age of net assets is 17.00%  $For the \ half \ year \ ended \ September \ 30, 2013 \ there \ were \ no \ non-hedging \ transactions \ through \ options$ 

which have been exercised/expired. (e) Hedging Positions through swaps as on September 30, 2013 is Nil.

(5) The Average Maturity Period of the Portfolio is 1.31 years.

- During the current half year, the portfolio turnover ratio of equity and equity related instruments is Nil.  $Total\ market\ value\ of\ Foreign\ Securities/overseas\ ETFs\ and\ its\ percentage\ to\ Net\ Assets\ as\ at\ September\ 30, 2013$
- The total value of illiquid Equity Securities is Nil and its percentage to Net Assets is Nil. Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

### BIRLA SUN LIFE CAPITAL PROTECTION ORIENTED FUND - SERIES 13 (A CLOSE ENDED CAPITAL PROTECTION ORIENTED SCHEME)

PORT	TFOLIO AS ON SE	PTEMBER 30, 20	J13		
Name of the Instrument	ISIN	Rating	Quantity	Market	% of
				Value (Rs.	Net
				in Lacs)	Assets
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
8.45% Export Import Bank of India **	INE514E08811	CRISIL AAA	100	977.78	17.48
9.40% National Bank For Agriculture and Rural Development **	INE261F09HF6	CRISIL AAA	97	964.93	17.25
9.75% LIC Housing Finance Ltd **	INE115A07CQ7	CRISIL AAA	75	744.85	13.32
9.55% Housing Development Finance	INE001A07JH3	CRISIL AAA	75	743.04	13.28
Corporation Ltd **	72 TO 42 DOZGG5	TODA AAA	50	105.71	0.06
9.35% Infrastructure Development Finance Company Ltd **	INE043D07CC5	ICRA AAA	50	495.71	8.86
9.35% Infrastructure Development Finance Company Ltd **	INE043D07CB7	ICRA AAA	20	198.59	3.55
Sub Total				4,124.90	73.74
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				4,124.90	73.74
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				427.00	7.63
Sub Total				427.00	7.63
Total				427.00	7.63
Net Receivables / (Payables)				1,041.78	18.62
GRAND TOTAL				5,593.68	100.00

\*\* Thinly Traded / Non Traded Security

9,329.18 100.00

The provision made for Non Performing Assets as of September 30, 2013 is Nil.

Plan/Option wise Net Asset Values are as follows: As on September 30, 2013 As on March 28, 2013 • Rs. 10.6090 Regular Plan - Growth Option

 NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.
 (3) No dividend was declared during the half year ended September 30, 2013. (4) Details of derivatives exposure and transaction: (a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September

- 30, 2013 there were no hedging transactions through futures which have been squared off/expired. Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared
- Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired. Other than Hedging Positions through Options as on September 30, 2013

Scheme Name	Underlying	Call/Put	Number of contracts	Option Price when purchased Rs.	Current Price Rs.
Birla Sun Life Capital Protection Oriented Fund - Series 13	S&P CNX NIFTY CE 5600 Jun 15	Call	778	1,080.12	1,484.25
Birla Sun Life Capital Protection Oriented Fund - Series 13	S&P CNX NIFTY CE 5700 Jun 15	Call	764	1,106.44	979.00

Total exposure due to options (non hedging positions) as a %age of net assets is 17.01%

For the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/expired.

- (e) Hedging Positions through swaps as on September 30, 2013 is Nil. The Average Maturity Period of the Portfolio is 1.40 years.
- During the current half year, the portfolio turnover ratio of equity and equity related instruments is Nil.

  Total market value of Foreign Securities/overseas ETFs and its percentage to Net Assets as at September 30, 2013
- The total value of illiquid Equity Securities is Nil and its percentage to Net Assets is Nil.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil

## **☑** Over 2.2 million investor scheme accounts



Value (Rs.

in Lacs)

Net

5.37

5.16

3.82

3.68

3.66

3.43

3.27

3.22

3.15

0.00

13.49

0.63 0.63

460.00

5,274.00

5,274.00

5,274.00

(202.30)

60,733.36 100.00

55,201.03

0.02

90.89

8.68

8.68

-0.33

Assets

This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September, 2013.

### Half Yearly Portfolio Statement as at September 30, 2013

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996) BIRLA SUN LIFE ENHANCED ARBITRAGE FUND

(AN OPEN ENDED DIVERSIFIED EQUITY SCHEME)

Name of the Instrument	IFOLIO AS ON SE ISIN		uantity	Market Value (Rs.	% of Net
				in Lacs)	Assets
Debt Instruments (a) Listed / awaiting listing on					
Stock Exchange					
9.38% National Bank For Agriculture and Rural Development **	INE261F09HB5	CRISIL AAA	90	897.94	13.66
9.80% LIC Housing Finance Ltd **	INE115A07BT3	CARE AAA	90	896.29	13.63
9.63% Power Finance Corporation Ltd **	INE134E08DY0	CRISIL AAA	85	845.83	12.86
9.20% Reliance Utilities &	INE936D08032	CARE AAA(SO)	85	838.33	12.75
Power Pvt Ltd	INICESTEDOED1	CDICH AAA	75	746.00	11.26
9.37% National Housing Bank ** 9.55% Small Industries Dev	INE557F08ED1 INE556F09387	CRISIL AAA CARE AAA	75 47	746.89 468.28	11.36 7.12
Bank of India **					
Sub Total (b) Privately placed / Unlisted				4,693.56 NIL	71.38 NIL
Sub Total				NIL	NIL
Total				4,693.56	71.38
Equity & Equity related (a) Listed / awaiting listing on					
Stock Exchanges					
Repco Home Finance Ltd Pidilite Industries Ltd	INE612J01015 INE318A01026	Finance Chemicals	23,477	59.01 50.20	0.90 0.76
ITC Ltd	INE318A01020 INE154A01025	Consumer	20,000 13,900	47.32	0.70
T.C. T.1	DIPOS	Non Durables			
Infosys Ltd Tata Consultancy Services Ltd	INE009A01021 INE467B01029	Software Software	1,550 2,000	46.70 38.56	0.71
Divi's Laboratories Ltd	INE467B01029 INE361B01024	Pharmaceuticals	3,340	32.41	0.39
Cairn India Ltd	INE910H01017	Oil	9,000	28.70	0.44
ICICI Bank Ltd	INE090A01013	Banks	3,160	27.92	0.42
Just Dial Limited Tech Mahindra Ltd	INE599M01018 INE669C01028	Software Software	3,260 2,000	27.52 26.72	0.42
Power Grid Corporation of India Ltd	INE752E01010	Power	24,290	23.82	0.36
HCL Technologies Ltd	INE860A01027	Software	2,000	21.74	0.33
Hero MotoCorp Ltd	INE158A01026	Auto	1,000	20.09	0.31
Tata Motors Ltd DVR Shares Reliance Capital Ltd	IN9155A01020 INE013A01015	Auto Finance	11,390 5,800	18.65 18.28	0.28
Whirlpool of India Ltd	INE716A01013	Consumer Durables	11,540	17.64	0.27
MOIL Ltd	INE490G01020	Ferrous Metals	8,500	17.30	0.26
Carborundum Universal Ltd	INE120A01034 INE101A01026	Industrial Products	16,340	16.99	0.26
Mahindra & Mahindra Ltd LIC Housing Finance Ltd	INE101A01026 INE115A01026	Auto Finance	1,850 7,400	15.31 13.91	0.23
Larsen & Toubro Ltd	INE018A01030	Construction Project	1,500	11.83	0.18
Speciality Restaurants Ltd	INE247M01014	Hotels	9,650	11.81	0.18
ING Vysya Bank Ltd Indusind Bank Ltd	INE166A01011 INE095A01012	Banks Banks	2,200 3,000		0.18
Hindustan Zinc Ltd	INE267A01012	Non - Ferrous Metals			0.17
Maruti Suzuki India Ltd	INE585B01010	Auto	720		0.15
NMDC Ltd	INE584A01023	Minerals/Mining	8,000		0.15
Sun Pharmaceuticals Industries Ltd D.B.Corp Ltd	INE044A01036 INE950I01011	Pharmaceuticals  Media & Entertainm	1,600 ent 3,900		0.14
Jet Airways (India) Ltd	INE802G01018	Transportation	2,564		0.14
VST Industries Ltd	INE710A01016	Consumer Non Dura	bles 600	9.01	0.14
City Union Bank Ltd	INE491A01021	Banks	21,000		0.14
Coal India Ltd Exide Industries Ltd	INE522F01014 INE302A01020	Minerals/Mining Auto Ancillaries	3,000 6,700		0.13
TTK Prestige Ltd	INE690A01010	Consumer Durables	260		0.13
State Bank of India	INE062A01012	Banks	500	8.07	0.12
Credit Analysis and Research Limited		Finance Banks	1,389		0.12
Axis Bank Ltd National Aluminium Company Ltd	INE238A01026 INE139A01034	Non - Ferrous Metals	700		0.11
Grasim Industries Ltd	INE047A01013	Cement	250		0.10
Cummins India Ltd	INE298A01020	Industrial Products	1,400		0.09
Reliance Infrastructure Ltd	INE036A01016	Power	1,500		0.08
Zee Entertainment Enterprises Ltd Yes Bank Ltd	INE256A01028 INE528G01019	Media & Entertainm Banks	ent 2,000 1,500		0.07
Zydus Wellness Ltd	INE768C01010	Consumer Non Dura			0.06
Sub Total				778.09	11.83
(b) Unlisted Sub Total				NIL NIL	NII. NII.
Sub Total Total				778.09	11.83
Money Market Instruments					
Certificate of Deposit	DID(52.1/122	ICD A			
State Bank of Patiala ** # Sub Total	INE652A16GI8	ICRA A1+	500	478.47 <b>478.47</b>	7.28 <b>7.28</b>
Total				478.47	7.28
Cash and Bank				0.48	0.01
Total				0.48	0.01
Margin (Future and Options)  Total				10.50 <b>10.50</b>	0.16 <b>0.16</b>
CBLO / Reverse Repo				10.50	0.10
Clearing Corporation of India Ltd				322.00	4.90
Sub Total				322.00	4.90
Total Net Receivables / (Payables)				322.00 291.99	4.90 4.44
recertables / (1 ajables)				6,575.09	100.00

## \* Thinly Traded / Non Traded Security

# Unlisted Security

^Industry classification as recommended by AMFI and wherever not available, internal classification has been used

(1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.

Plan/Option wise Net Asset Values are as follows: (2) As on September 30, 2013 Plan

As on March 28, 2013 • Regular Plan - Growth Option Rs. 10.8717 Rs. 10.7006 NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day (3) No dividend was declared during the half year ended September 30, 2013.

(4) Details of derivatives exposure and transaction (a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September

 $30,2013 \ there \ were \ no \ hedging \ transactions \ through \ futures \ which \ have \ been \ squared \ off/expired.$ Other than Hedging Position through Futures as on September 30, 2013

,	Other than Hed	ging Position thr	ougn Futures as on Septe	ember 50, 2015.	
	Underlying	Long/Short	Futures Price when	Current price	Margin maintained
			purchased Rs.	of the contract Rs.	in Rs. Lakhs
	Bank Nifty	Long	10,185.05	9,700.60	5.34
	Index Future				
	Oct 13				

Total exposure due to futures (Other than hedging positions) as a %age of net assets is 0.52% For the period ended September 30, 2013 following non-hedging transactions through futures which have

occii squarea ori	гехрией				
Total Number	Total Number	Gross Notional	Gross Notional	Net Profit/Loss	1
of contracts	of contracts	Value of contracts	Value of contracts	value on all	
where futures	where futures	where futures	where futures	contracts	١.
were bought	were sold	were bought Rs.	were sold Rs.	combined Rs.	ļ '
42	28	10,151,817.48	6,633,538.66	47,558.10	

- Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended
- $September \, 30,2013 \, there \, were \, no \, non-hedging \, transactions \, through \, options \, which \, have \, been \, exercised/$
- Hedging Positions through swaps as on September 30, 2013 is Nil
- The Average Maturity Period of the Portfolio is 0.97 years.
- During the current half year, the portfolio turnover ratio of equity and equity related instruments is 0.07 times and equity related instruments in the current half year. The portfolio turnover ratio of equity and equity related instruments in the current half year. The portfolio turnover ratio of equity and equity related instruments in the current half year. The portfolio turnover ratio of equity and equity related instruments in the current half year. The portfolio turnover ratio of equity and equity related instruments in the current half year. The portfolio turnover ratio of equity and equity related instruments in the current half year. The portfolio turnover ratio of equity and equity related instruments in the current half year. The portfolio turnover ratio of equity and equity related instruments in the current half year. The portfolio turnover ratio of equity and equity related in the current half year. The portfolio turnover ratio of equity and equity related in the current half year. The portfolio turnover ratio of equity and equity related in the current half year. The portfolio turnover ratio of equity and equity related in the current half year. The portfolio turnover ratio of equity and equity related in the current half year. The portfolio turnover ratio of equity related in the current half year. The portfolio turnover ratio of equity related in the current half year. The current half year is the current half year. The current half year is the current half year is the current half year. The current half year is the current half year is the current half year. The current half year is the current half year is the current half year is the current half year. The current half year is the current half y
- Total market value of Foreign Securities/overseas ETFs and its percentage to Net Assets as at September 30, 2013
- The total value of illiquid Equity Securities is Nil and its percentage to Net Assets is Nil.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

Name of the Instrument	ISIN	Industry #	Quantity	Market Value (Rs. in Lacs)	% o Ne Assets
Equity & Equity related					
(a) Listed / awaiting listing on St	_		2.500		0.00
Wipro Ltd	INE075A01022	Software	2,500	11.83	8.00
Yes Bank Ltd	INE528G01019	Banks	4,000	11.49	7.77
Tata Steel Ltd	INE081A01012	Ferrous Metals	4,000	10.86	7.3
Cairn India Ltd	INE910H01017	Oil	3,000	9.57	6.4
Punjab National Bank	INE160A01014	Banks	2,000	9.23	6.2
Mahindra & Mahindra Ltd	INE101A01026	Auto	750	6.21	4.20
Indusind Bank Ltd	INE095A01012	Banks	1,500	5.53	3.7
The Karnataka Bank Ltd	INE614B01018	Banks	6,000	5.01	3.39
Housing Development Finance Corporation Ltd	INE001A01036	Finance	500	3.82	2.58
Reliance Infrastructure Ltd	INE036A01016	Power	1,000	3.71	2.5
Crompton Greaves Ltd	INE067A01029	Industrial Capital Goods	4,000	3.44	2.3
Reliance Capital Ltd	INE013A01015	Finance	1,000	3.15	2.1
Oriental Bank of Commerce	INE141A01014	Banks	2,000	2.90	1.9
Power Finance Corporation Ltd	INE134E01011	Finance	2,000	2.62	1.7
Sub Total				89.37	60.4
(b) Unlisted				NIL	NII
Sub Total				NIL	NII
Total				89.37	60.4
Cash and Bank				0.99	0.6
Total				0.99	0.6
Margin (Future and Options)				35.85	24.2
Total				35.85	24.2
CBLO / Reverse Repo					
Clearing Corporation of India Ltd	1			4.00	2.7
Sub Total				4.00	2.7
Total				4.00	2.7
Net Receivables / (Payables)				17.65	11.9
GRAND TOTAL				147.86	100.0

Notes:

- (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil
- (2) Plan/Option wise Net Asset Values are as follows

Plan	As on September 30, 2013	As on March 28, 2013 •
Regular Plan - Dividend Option	Rs. 12.8761	Rs. 12.2094
Regular Plan - Growth Option	Rs. 13.1850	Rs. 12.5024
Direct Plan - Dividend Option	Rs. 12.8920	N.A
Discot Plan. Consult Ontion	P- 12 2200	D- 125115

- NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day
- Dividend in the following plans was declared during the half year ended September 30, 2013
  - Details of derivatives exposure and transaction Hedging Position through Futures as on September 30, 2013

Underlying	Long/ Short	Futures Price when	Current price of the	Margin maintained in
		purchased Rs.	contract Rs.	Rs. Lakhs
Yes Bank Ltd. Future Oct 13	Short	324.65	290.65	4.60
Tata Steel Ltd. Future Oct 13	Short	298.175	275.00	2.48
Punjab National Bank Future Oct 13	Short	491.65	465.00	2.31
Wipro Ltd. Future Oct 13	Short	487.75	479.10	1.87
Cairn India Ltd. Future Oct 13	Short	323.9	321.95	1.51
Indusind Bank Ltd. Future Oct 13	Short	386.4833	371.95	1.36
The Karnataka Bank Ltd. Future Oct 13	Short	88.95	84.15	1.18
Mahindra & Mahindra Ltd. Future Oct 13	Short	864.05	837.25	0.98
Oriental Bank of Commerce Future Oct 13	Short	160.6	146.60	0.84
Reliance Infrastructure Ltd. Future Oct 13	Short	417.05	375.05	0.81
Housing Development Finance Corporation Ltd. Future Oct 13	Short	798.35	771.30	0.75
Reliance Capital Ltd. Future Oct 13	Short	347.4	318.25	0.67
Crompton Greaves Ltd. Future Oct 13	Short	88.9	86.60	0.67
		I	l	I

Total exposure due to futures (hedging positions) as a %age of net assets is 61.07%

Power Finance Corporation Ltd. Future Oct 13 | Short

For the period ended September 30, 2013 following hedging transactions through futures which have

Total Number	Total Number	Gross Notional Value of contracts where futures were bought Rs.	Gross Notional	Net Profit/Loss
of contracts	of contracts		Value of contracts	value on all
where futures	where futures		where futures	contracts
were bought	were sold		were sold Rs.	combined Rs.
167	193	40,509,707.15	45,608,219.50	(185,483.50)

- Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared
- Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired.
- Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended  $September\ 30, 2013\ there\ were\ no\ non-hedging\ transactions\ through\ options\ which\ have\ been\ exercised/dependent of the property of$
- (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- During the current half year, the portfolio turnover ratio of equity and equity related instruments is 5.12 times
- Total market value of Foreign Securities/overseas ETFs and its percentage to Net Assets as at September 30, 2013
- The total value of illiquid Equity Securities is Nil and its percentage to Net Assets is Nil.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

(a) Listed / awaiting listing on Stock Exchange HDFC Bank Ltd INE040A01026 3,261.78 Banks 550,000 INE154A01025 921,000 3,135.08 Non Durables Infosys Ltd INE009A01021 78,800 Software INE669C01028 176,328 Tech Mahindra Ltd 2,355.30 INE095A01012 Indusind Bank Ltd 629,600 2.321.65 Banks INE089A01023 Dr. Reddy'S Laboratories Ltd Pharmaceuticals 93,778 2,232,99 Aurobindo Pharma Ltd INE406A01037 Pharmaceuticals 1,100,000 2,225.85 INE397D01024 Bharti Airtel Ltd 653,800 2,083.33 Telecom - Services Maruti Suzuki India Ltd INE585B01010 146,374 1,988.56 HCL Technologies Ltd INE860A01027 180,000 1,956.96 Software Cairn India Ltd INE910H01017 Oil 600,000 1.913.10 INE267A01025 Non - Ferrous 1,327,901 INE001A01036 201,139 INE176A01010 Consumer Durables 165,250 INE018A01030 Construction Project 175,000 INE935A01035 250,000 Pharmaceuticals INE205A01025 Minerals/Mining 700,000

(AN OPEN ENDED DIVERSIFIED EQUITY SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013

Equity & Equity related

ITC Ltd

Hindustan Zinc Ltd 1,773.41 2.92 1.537.20 2.53 Housing Development Finance Bata India Ltd 1.415.45 2.33 Larsen & Toubro Ltd 1,380.31 2.27 Glenmark Pharmaceuticals Ltd 1,327.38 2.19 Sesa Sterlite Ltd 2.08 INE775A01035 508,835 1,170.07 1.93 Motherson Sumi Systems Ltd Karur Vysya Bank Ltd INE036D01010 Banks 350.708 1.091.75 1.80 Mahindra & Mahindra Ltd INE101A01026 Auto 122,191 1,011.07 1.66 INE917I01010 993.73 Bajaj Auto Ltd 50,000 1.64 Auto Nestle India Ltd INE239A01016 979.76 1.61 Non Durables INE298A01020 211,600 863.86 1.42 Cummins India Ltd Industrial Products Reliance Industries Ltd INE002A01018 Petroleum Products 100,000 LIC Housing Finance Ltd INE115A01026 426,993 802.53 1.32 INE043D01016 Infrastructure Development Finance 900,000 792.45 1.30 inance Company Ltd INE854D01016 United Spirits Ltd 30,000 759.96 1.25 Non Durables PTC India Ltd INE877F01012 1,568,291 1.20 728.47 Kewal Kiran Clothing Limited INE401H01017 Textile Product 86.561 706.60 1.16 Asian Paints Ltd INE021A01026 150,000 688.88 1.13 Non Durables Power Finance Corporation Ltd INE134E01011 Finance 500,000 654.50 1.08 Grasim Industries Ltd INE047A01013 Cement 24,444 646.80 1.06 NIIT Technologies Ltd INE591G01017 221,585 624.32 1.03 Software Adani Ports and Special Economic Zone Ltd INE742F01042 450,000 621.23 1.02 Petronet LNG Ltd INE347G01014 593.50 0.98 500,000 INE044A01036 100,000 593.30 Yes Bank Ltd INE528G01019 Banks 200,000 574.70 0.95 KEC International Ltd INE389H01022 Power 1.966,765 532.99 0.88 INE093A01033 Hexaware Technologies Ltd Software 400,000 514.20 0.85 Indian Bank INE562A01011 675,231 455.11 0.75 Banks INE685A01028 100,000 Forrent Pharmaceuticals Ltd 438.20 0.72 Trading Adani Enterprises Ltd INE423A01024 300,000 422.25 0.70 Mcleod Russel India Ltd INE942G01012 Consumer 150,000 376.35 0.62 Transportation Jet Airways (India) Ltd INE802G01018 83.249 298.99 0.49 Kotak Mahindra Bank Ltd INE237A01028 Banks 41.880 283.99 0.47 Bharat Bijlee Ltd INE464A01028 Industrial Capital Goods 89,542 276.64 0.46 Madras Cements Ltd 150,000 0.44 Cement INE785C01048 4,000,000 anco Infratech Ltd 214.00 0.35 Zee Entertainment Enterprises Ltd INE256A01028 Media & 72,000 164.52 0.27 INE656K01010 Media & 1,232,978 154.74 0.25 DQ Entertainment (International) Ltd Entertainment INE975G01012 IL&FS Transportation Networks Ltd 114,872 117.51 Transportation Megasoft Ltd INE933B01012 Software 1,515,748 107.62 0.18 Future Lifestyle Fashions Ltd \*\*#~ INE452O01016 Miscellaneou 73,616 86.50 0.14 United Breweries (Holdings) Ltd INE696A01025 300,000 80.10 Finance 0.13 Jyoti Structures Ltd INE197A01024 400,000 79.20 0.13 Power iemens Ltd INE003A01024 0.07 Maestros Mediline Systems Limited \*\* INE408E01027 Miscellaneou 50,000 9.82 0.02 Sub Total 55,187,54 90.87 (b) Unlisted Mms Infrastructure Limited \*\* #~ INE317N01013 9.29 0.02 Miscellaneous 100,000 Maestros Electronics And 37,500 4.20 Telecommunications Sys Ltd \*\*

GRAND TOTAL \*\* Thinly Traded / Non Traded Security

Magnasound (I) Ltd \*\* #~

Margin (Future and Options)

Net Receivables / (Payables)

CBLO / Reverse Repo Clearing Corporation of India Ltd

Sub Total

Sub Total

Cash and Bank

# Unlisted Security ~ Illiquid Security \$ Less Than 0.01% of Net Asset Value

been squared off/expired

nded by AMFI and wherever not available, internal classification has been used ^Industry classification as recor

(1) The provision made for Non Performing Assets as of September 30, 2013 is Nil. Plan/Option wise Net Asset Values are as follows:

Plan As on September 30, 2013 As on March 28, 2013 • Regular Plan - Dividend Option Rs. 57.88 Rs. 57.59 Regular Plan - Growth Option Rs. 253.95 Rs. 252.69 Direct Plan - Dividend Option Rs. 61.05 Rs. 60.57 Direct Plan - Growth Option Rs. 255.11 Rs. 253.01

• NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.

(3) No dividend was declared during the half year ended September 30, 2013. (4) Details of derivatives exposure and transaction:

 $(a) \qquad \text{Hedging Position through Futures as on September 30, 2013 is Nil} \\$ 

For the period ended September 30, 2013 following hedging transactions through futures which have been

	Total Number of contracts where futures were bought	Total Number of contracts where futures were sold	Gross No Value of co where fu were boug	ntracts tures	Gross Notic Value of cont where futu were sold	racts res	,	t Profit/Loss value on all contracts ombined Rs.
	360	360	68,746,60	08.00	72,627,171	.00	3	,880,563.00
b)	Other than Hedgi	ng Position throug	h Futures as	on Septem	ber 30, 2013.			
	Underlying			Long/	Futures	Curre	ent	Margin

Underlying	Long/ Short	Futures Price when purchased Rs.	Current price of the contract Rs.	Margin maintained in Rs. Lakhs
Jaiprakash Associates Ltd. Future Oct 13	Long	38.25	35.20	171.45
Jindal Steel & Power Ltd. Future Oct 13	Long	243.85	238.50	96.48

Total exposure due to futures (Non hedging positions) as a %age of net assets is 1.65%For the period ended September 30, 2013 following hedging transactions through futures which have

Gross Notional Total Number Total Number Net Profit/Loss of contracts Value of contracts Value of contracts of contracts where futures where futures where futures where futures contracts were sold were bought Rs. were sold Rs. combined Rs. 2,392 1,817 525,175,758.85 419,830,168.40 831,029.55

- Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through opti Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/
- Hedging Positions through swaps as on September 30, 2013 is Nil. During the current half year, the portfolio turnover ratio of equity and equity related instruments is 1.09 times
- $Total\ market\ value\ of\ Foreign\ Securities/overseas\ ETFs\ and\ its\ percentage\ to\ Net\ Assets\ as\ at\ September\ 30,2013$
- The total value of illiquid Equity Securities is 0.99 crores and its percentage to Net Assets is 0.16%. Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

# ☑ Over 2.2 million investor scheme accounts





### Half Yearly Portfolio Statement as at September 30, 2013

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996)

# BIRLA SUN LIFE FRONTLINE EQUITY FUND

						*		ROWTH SCHEME EPTEMBER 30, 201			
Name of the Instrument	ISIN	Industry^	Quantity	Market Value (Rs.	% of Net	Name of the Instrument	ISIN	Industry^	Quantity	Market Value (Rs.	_
Equity & Equity related				in Lacs)	Assets	Tata Steel Ltd	INE081A01012	Ferrous Metals	922,000	in Lacs) 2,503.69	_
(a) Listed / awaiting listing on						Tata Motors Ltd DVR Shares	IN9155A01020	Auto	1,309,262		
Stock Exchange						Hindustan Zinc Ltd	INE267A01025	Non - Ferrous Meta			
ITC Ltd	INE154A01025	Consumer	6,292,576	21,419.93	6.42	Bharat Petroleum Corporation Ltd	INE029A01011	Petroleum Produc			
		Non Durables				Glenmark Pharmaceuticals Ltd	INE935A01011	Pharmaceuticals	400,000		
Infosys Ltd	INE009A01021	Software	565,850	17,049.06	5.11	Akzo Nobel India Ltd	INE133A01033	Consumer	251,791		
Reliance Industries Ltd	INE002A01018	Petroleum Product	s 1,903,598	15,655.19	4.69	ARZO NODEI IIIdia Eta	INLISSAUIUII	Non Durables	231,771	2,000.73	
HDFC Bank Ltd	INE040A01026	Banks	2,419,246	14,347.34	4.30	State Bank of India	INE062A01012	Banks	114,293	1,845.72	
ICICI Bank Ltd	INE090A01013	Banks	1,510,468	13,347.25	4.00	Hero MotoCorp Ltd	INE158A01026	Auto	82,000	1,647.59	
Bharti Airtel Ltd	INE397D01024	Telecom - Services	3,885,841	12,382.23	3.71	Hindustan Unilever Ltd	INE030A01027	Consumer	251,917		
Housing Development Finance Corporation Ltd	INE001A01036	Finance	1,563,164	11,946.48	3.58	Cool India Ltd		Non Durables			
Tech Mahindra Ltd	INE669C01028	Software	757,754	10,121.70	3.03	Coal India Ltd	INE522F01014	Minerals/Mining	525,507		
HCL Technologies Ltd	INE860A01027	Software	842,810	9,163.03	2.74	Jagran Prakashan Ltd	INE199G01027	Media & Entertainment	1,818,421	1,489.29	
Dr. Reddy'S Laboratories Ltd	INE089A01023	Pharmaceuticals	377,899	8,998.34	2.70	Adani Enterprises Ltd	INE423A01024	Trading	1,030,000	1,449.73	
Tata Consultancy Services Ltd	INE467B01029	Software	431,500	8,318.46	2.49	Infrastructure Development	INE043D01016	Finance	1,645,876		
Larsen & Toubro Ltd	INE018A01030	Construction Proje		8,123.31	2.43	Finance Company Ltd			,,	,	
Indusind Bank Ltd	INE095A01012	Banks	2,081,399	7,675.16	2.30	Reliance Capital Ltd	INE013A01015	Finance	431,264	1,359.56	
Cummins India Ltd	INE298A01020	Industrial Products		6,797.07	2.04	The Federal Bank Ltd	INE171A01011	Banks	464,549	1,322.11	
NTPC Ltd	INE733E01010	Power	4,601,191	6,784.46	2.03	Shree Cements Ltd	INE070A01015	Cement	30,700	1,265.96	
Lupin Ltd	INE326A01037	Pharmaceuticals	778,693	6,664.44	2.00	Jaiprakash Associates Ltd	INE455F01025	Construction	3,600,000	1,256.40	
Sesa Sterlite Ltd	INE205A01025	Minerals/Mining	3,650,660	6,598.57	1.98	Mahindra & Mahindra Ltd	INE101A01026	Auto	133,346	1,103.37	
Grasim Industries Ltd	INE047A01013	Cement	237,282	6,278.60	1.88	Divi's Laboratories Ltd	INE361B01024	Pharmaceuticals	102,800	997.52	
ING Vysya Bank Ltd	INE166A01011	Banks	1,143,182	6,124.60	1.83	Future Lifestyle Fashions Ltd **#~	INE452O01016	Miscellaneous	704,173	827.40	
Bosch Ltd	INE323A01026	Auto Ancillaries	63,180	5,716.94	1.71	IL&FS Transportation Networks Ltd	INE975G01012	Transportation	721,831	738.43	
Sun Pharmaceuticals Industries Ltd	INE044A01036	Pharmaceuticals	953,720	5,658.42	1.69	Tata Motors Ltd	INE155A01022	Auto	211,500	703.24	
Oil & Natural Gas Corporation Ltd	INE213A01029	Oil	2,079,030	5,568.68	1.67	Cadila Healthcare Ltd	INE010B01019	Pharmaceuticals	100,000	679.70	
LIC Housing Finance Ltd	INE115A01026	Finance	2,897,249	5,445.38	1.63	D.B.Corp Ltd	INE950I01011	Media &	244,701	594.38	
Cairn India Ltd	INE910H01017	Oil	1,679,590	5,355.37	1.60			Entertainment			
Maruti Suzuki India Ltd	INE585B01010	Auto	383,804	5,214.17	1.56	Oil India Ltd	INE274J01014	Oil	123,099	538.44	
					1.33	Future Retail Limited	INE623B01027	Retailing	732,520	518.62	
Cipla Ltd	INE059A01026	Pharmaceuticals	1,028,436			Bank of Baroda	INE028A01013	Banks	100,000	493.55	
Godrej Consumer Products Ltd	INE102D01028	Consumer Non Durables	519,982	4,354.07	1.30	Sub Total				325,748.93	
Bajaj Auto Ltd	INE917I01010	Auto	216,488	4,302.59	1.29	(b) Unlisted				NIL	
NMDC Ltd	INE584A01023	Minerals/Mining	3,503,069	4,207.19	1.26	Sub Total				NIL	
Crompton Greaves Ltd	INE067A01029	Industrial Capital	4,814,123	4,142.55	1.24	Total				325,748.93	
		Goods				Margin (Future and Options)					
Motherson Sumi Systems Ltd	INE775A01035	Auto Ancillaries	1,731,513		1.19	Cash				1,822.53	
Axis Bank Ltd	INE238A01026	Banks	375,681	3,786.30	1.13	Fixed Deposit		Tenure (No. of Da	ays)		
United Spirits Ltd	INE854D01016	Consumer Non Durables	144,122	3,650.90	1.09	9.25% Corporation Bank 9.25% Corporation Bank		444 444		500.00 500.00	
Wipro Ltd	INE075A01022	Software	688,433	3,256.63	0.98	Sub Total				1,000.00	
Britannia Industries Ltd	INE216A01022	Consumer	386,882	3,180.17	0.95	Total				2,822.53	
		Non Durables				Cash and Bank				0.72	
Nestle India Ltd	INE239A01016	Consumer Non Durables	59,196	3,089.76	0.93	Total				0.72	
Jet Airways (India) Ltd	INE802G01018	Transportation	794,206	2,852.39	0.85	CBLO / Reverse Repo					
Jindal Steel & Power Ltd	INE749A01030	Ferrous Metals	1,162,573	2,737.86	0.82	Clearing Corporation of India Ltd				1,866.00	
Alstom India Ltd	INE878A01011	Industrial	798,741	2,713.72	0.81	Sub Total				1,866.00	
		Capital Goods				Total				1,866.00	
Marico Ltd	INE196A01026	Consumer Non Durables	1,200,000	2,638.80	0.79	Net Receivables / (Payables)				3,395.21	_

Non Durables

Entertainmen

Entertainment

Media &

Media &

INE256A01028

INE424H01027

Zee Entertainment Enterprises Ltd

Sun TV Network Ltd

1,151,513 2,631.21 0.79

638,000 2,508.62 0.75

333.833.39 100.00

Assets

0.75

0.64

0.64

0.64

0.55

0.49

0.47

0.46

0.45

0.43

0.43

0.41

0.38

0.38

0.33

0.30

0.25

0.22

0.21

0.18

0.16

0.15

97.58

NIL

NIL

97.58

0.55

0.15

0.15

0.30

0.85

0.56

0.56

0.56 1.02 (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.

(2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2013	As on March 28, 2013 •
Regular Plan - Dividend Option	Rs. 20.56	Rs. 21.11
Regular Plan - Growth Option	Rs. 96.90	Rs. 94.67
Direct Plan - Dividend Option	Rs. 21.74	Rs. 21.14
Direct Plan - Growth Option	Rs. 97.45	Rs. 94.81

 NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day. (3) Dividend in the following plans was declared during the half year ended September 30, 2013

Per Unit (Rs.) Regular Plan - Dividend Option Rs. 1.0000

Details of derivatives exposure and transaction:

Birla Sun Life Frontline

Birla Sun Life Frontline

Equity Fund

(a) Hedging Position through Futures as on September 30, 2013

Scheme Name	Underlying	Long/ Short Rs.	Futures Price when purchased Rs.	Current price of the contract	Margin maintained in Rs. Lakhs
Birla Sun Life Frontline Equity Fund	Axis Bank Ltd. Future Oct 13	Short	1049.4187	1,016.00	369.15

Total exposure due to futures (hedging positions) as a %age of net assets is 1.25%

ITC Ltd. Future

Reliance Industries

Ltd. Future Oct 13

Oct 13

For the period ended September 30, 2013 following non-hedging transactions through futures which have been squared off/expired

354.5009

342.95

234.20

199.04

Market

Scheme Name	Total Number of contracts where futures were bought	Total Number of contracts where futures were sold	Gross Notional Value of contracts where futures were bought Rs.	Gross Notional Value of contracts where futures were sold Rs.	Net Profit/ Loss value on all contracts combined Rs.	
Birla Sun Life Frontline Equity Fund	23,435	24,925	6,147,355,501.04	6,671,738,974.76	124,573,124.94	

- Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended  $September\ 30, 2013\ there\ were\ no\ non-hedging\ transactions\ through\ futures\ which\ have\ been\ squared$
- Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended  $September \, 30, 2013 \, there \, were \, no \, hedging \, transactions \, through \, options \, which \, have \, exercised/expired.$
- Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended  $September\ 30, 2013\ there\ were\ no\ non-hedging\ transactions\ through\ options\ which\ have\ been\ exercised and the property of the prop$
- (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- During the current half year, the portfolio turnover ratio of equity and equity related instruments is 0.83 times Total market value of Foreign Securities/overseas ETFs and its percentage to Net Assets as at September 30, 2013
- $The total \ value \ of illiquid \ Equity \ Securities \ is \ 8.27 \ crores \ and \ its \ percentage \ to \ Net \ Assets \ is \ 0.25\%.$ Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil

GRAND TOTAL \*\* Thinly Traded / Non Traded Security

^Industry classification as recommended by AMFI and wherever not available, internal classification has been used # Unlisted Security ~ Illiquid Security

\$ Less Than 0.01% of Net Asset Value

BIRLA SUN LIFE SHORT TERM FUND
(AN OPEN ENDED INCOME SCHEME)
PORTFOLIO AS ON SEPTEMBER 30, 2013

						TOKIT	JLIO AS ONSEI	12MIDEK 30, 20	13			
Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs. in Lacs)	% of Net Assets	Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs. in Lacs)	% of Net Assets	N
Debt Instruments				·		9.64% Infrastructure Development	INE043D07BM6	ICRA AAA	300	2,992.64	0.57	(
(a) Listed / awaiting listing on Stock Excha	nge					Finance Company Ltd **	11/20/02/07/2010	1010111111	300	2,772.01	0.57	s
10.18% LIC Housing Finance Ltd **	INE115A07EJ8	CRISIL AAA	3,350	33,257.33	6.28	10.60% LIC Housing Finance Ltd **	INE115A07EH2	CRISIL AAA	250	2,553.74	0.48	s
10.14% National Housing Bank	INE557F08ET7	CARE AAA	3,000	30,107.76	5.69	10.07% Shriram Transport Finance	INE721A08AM3	CARE AA+	250	2,496.67	0.47	T
8.95% National Housing Bank **	INE557F08EM2	CRISIL AAA	2,550	25,370.98	4.79	Company Ltd **						3
10.24% National Housing Bank **	INE557F08ES9	CARE AAA	1,960	19,720.70	3.73	9.62% LIC Housing Finance Ltd **	INE115A07CF0	CRISIL AAA	250	2,480.25	0.47	4
Housing Development Finance Corporation Ltd (ZCB) **	INE001A07LC0	CRISIL AAA	1,840	19,032.81	3.60	10.00% Mahindra & Mahindra Financial Services Ltd **	INE774D07HO9		250	2,479.98	0.47	S
9.37% LIC Housing Finance Ltd **	INE115A07DE1	CRISIL AAA	1,850	18,366.49	3.47	8.84% Rural Electrification  Corporation Ltd **	INE020B08781	CRISIL AAA	250	2,479.70	0.47	1
8.90% Power Finance Corporation Ltd **	INE134E08FA5	CRISIL AAA	1,500	14,855.92	2.81	8.15% Infrastructure Development	INE043D08DG2	ΙCΡΑ ΑΑΑ	200	1,952.66	0.37	
9.37% Infrastructure Development Finance Company Ltd **	INE043D07CH4	ICRA AAA	1,150	11,436.14	2.16	Finance Company Ltd **			161		0.30	s
8.85% Power Finance Corporation Ltd **	INE134E08EY8	ICRA AAA	1,050	10,425.50	1.97	9.55% Housing Development Finance Corporation Ltd **	INE001A07JH3	CRISIL AAA	101	1,595.07	0.30	Т
8.29% Power Finance Corporation Ltd **	INE134E08FU3	CRISIL AAA	1,036	10,121.39	1.91	11.35% IDBI Bank Ltd **	INE008A08Q64	CRISIL AA+	150	1,501.24	0.28	I
10.07% L&T Finance Holdings Ltd **	INE498L08010	ICRA AA+	1,000	9,998.30	1.89	9.66% Power Finance Corporation Ltd **	INE134E08EN1	CRISIL AAA	150	1,496.48	0.28	
9.55% Housing Development Finance	INE001A07IX2	CRISIL AAA	1,000	9,977.68	1.89	9.80% LIC Housing Finance Ltd **	INE115A07CD5	CRISIL AAA	150	1,491.79	0.28	Z
Corporation Ltd **						9.62% LIC Housing Finance Ltd **	INE115A07CV7	CRISIL AAA	118	1,169.11	0.22	
9.20% Housing Development Finance Corporation Ltd **	INE001A07KT6	CRISIL AAA	950	9,440.35	1.78	10.02% LIC Housing Finance Ltd **	INE115A07BK2	CRISIL AAA	100	998.79	0.19	N N
9.36% Infrastructure Development	INE043D07BV7	ICDA AAA	873	8,707.91	1.65	9.40% National Housing Bank **	INE557F08EB5	FITCH AAA	100	996.26	0.19	(1
Finance Company Ltd **	INLOASBOTBVT	ICKA AAA	675	0,707.51	1.03	9.64% LIC Housing Finance Ltd **	INE115A07CS3	CRISIL AAA	100	995.88	0.19	(2
9.38% National Bank For Agriculture	INE261F09HB5	CRISIL AAA	800	7,981.71	1.51	9.80% LIC Housing Finance Ltd **	INE115A07BT3	CARE AAA	100	995.88	0.19	Ì
and Rural Development **						9.75% Housing Development	INE001A07HN5	CRISIL AAA	100	992.75	0.19	
9.41% National Bank For Agriculture	INE261F09HS9	CRISIL AAA	800	7,972.90	1.51	Finance Corporation Ltd **						
and Rural Development **	DIE042D07GD2	ICD 4 4 4 4	000	7.004.46	1.50	8.64% LIC Housing Finance Ltd **	INE115A07DU7		100	968.65	0.18	
9.20% Infrastructure Development Finance Company Ltd **	INE043D07CR3	ICKA AAA	800	7,924.46	1.50	9.35% LIC Housing Finance Ltd **	INE115A07460		50	496.27	0.09	
9.75% LIC Housing Finance Ltd **	INE115A07CQ7	CRISIL AAA	675	6,703.65	1.27	8.33% LIC Housing Finance Ltd **	INE115A07EA7		50	479.17	0.09	
9.63% Power Finance Corporation Ltd **	INE134E08DY0		665	6,617.40	1.25	9.37% National Housing Bank **	INE557F08ED1		25	248.96	0.05	•
10.53% Power Finance Corporation Ltd **	INE134E08ER2	CRISIL AAA	600	5,984.33	1.13	9.35% Export Import Bank of India **	INE514E08944		12	119.59	0.02	+
9.80% LIC Housing Finance Ltd **	INE115A07CN4	CRISIL AAA	600	5,982.65	1.13	Shriram Transport Finance Company Ltd (ZCB) **	INE721A08AT8	CRISIL AA	10	92.48	0.02	(3
9.35% Infrastructure Development	INE043D07CC5	ICRA AAA	567	5,621.36	1.06	Sub Total				356,378.43	67.34	
Finance Company Ltd **						(b) Privately placed / Unlisted				220,270112	07.0	ĺ
10.28% L&T Finance Holdings Ltd **	INE498L08028	ICRA AA+	500	4,980.61	0.94	10.25% Tata Sons Ltd ** #	INE895D08345	CRISIL AAA	450	4,492.70	0.85	(4
8.14% Indian Oil Corporation Ltd **	INE242A07256	CARE AAA	500	4,918.70	0.93	9.84% Tata Sons Ltd ** #	INE895D08386		250	2,501.61	0.47	
9.35% Housing Development Finance Corporation Ltd **	INE001A07KK5	CRISIL AAA	500	4,916.38	0.93	Sub Total				6,994.31	1.32	
9.80% Housing Development Finance Corporation Ltd **	INE001A07HM7	CRISIL AAA	450	4,501.85	0.85	Total Government Securities				363,372.74	68.67	
8.80% HDB Financial Services Ltd **	INE756I07258	CRISIL AAA	420	4,029.93	0.76	8.58% Karnataka SDL (MD 25/10/2016)	IN1920120038	SOV	37,213,200	36,279.92	6.86	ĺ
10.00% Sundaram Finance Ltd **	INE660A07HB3	ICRA AA+	400	3,970.08	0.75	Total				36,279.92	6.86	
9.60% Housing Development Finance	INE001A07IS2	ICRA AAA	400	3,959.74	0.75	Money Market Instruments						
Corporation Ltd **						Certificate of Deposit						ĺ
8.70% Power Finance Corporation Ltd **	INE134E08CW6		400	3,936.04	0.74	Indian Overseas Bank ** #	INE565A16814	ICRA A1+	20,000	18,220.11	3.44	
9.43% Infrastructure Development Finance Company Ltd **	INE043D07BY1	ICRA AAA	350	3,483.37	0.66	State Bank of Bikaner and Jaipur #	INE648A16GK2	CARE A1+	10,000	9,136.70	1.73	(5
Trinance Company Ltd						Sub Total				27,356.81	5.17	(6

Name of the Instrument	ISIN	Kating	Quantity	Market	% OI
				Value (Rs.	Net
				in Lacs)	Assets
Commercial Paper					
Sesa Sterlite Ltd ** #	INE205A14135	CRISIL A1+	3,500	17,405.26	3.29
Sub Total				17,405.26	3.29
Treasury Bill					
38 Days CMB Tbill (MD 14/10/2013)	IN002013U135	SOV	22,500,000	22,416.06	4.24
48 Days CMB Tbill (MD 15/10/2013)	IN002013U101	SOV	20,000,000	19,924.98	3.77
Sub Total				42,341.04	8.00
Total				87,103.11	16.46
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				29,090.00	5.50
Sub Total				29,090.00	5.50
Total				29,090.00	5.50
Net Receivables / (Payables)				13,346.19	2.52
GRAND TOTAL				529,191.96	100.00

ZCB - Zero Coupon Bond \*\* Thinly Traded / Non Traded Security

# Unlisted Security Notes:

(1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.

(2) Plan/Option wise Net Asset Values are as follows: As on September 30, 2013 As on March 28, 2013 • Regular Plan - Growth Option Rs. 44.8977 Rs. 43.4029 Regular Plan - Monthly Dividend Option Rs. 11.7402 Rs. 11.6932 Discipline Advantage Plan+ Rs. 13.7850 Rs. 13.3260 Rs. 43.4139 Direct Plan - Growth Option Rs. 44.9366

Direct Plan - Monthly Dividend Option Rs. 11.7434 Rs. 11.6946 • NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.

 $+\,This\,option\,has\,been\,suspended\,for\,further\,subscriptions\,w.e.f.\,May\,21,2010.$ 

(3) Dividend in the following plans was declared during the half year ended September 30, 2013. Per Unit (Rs.) Regular Plan - Monthly Dividend Option Rs 0.3496 Direct Plan - Monthly Dividend Option

Details of derivatives exposure and transaction: (a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired.

- $(b) \qquad Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30,2013 \ is \ Nil \ and \ for \ the \ half \ year \ ended$ September 30, 2013 there were no non-hedging transactions through futures which have been squared off/expired.
- (c) Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended  $September 30, 2013 \ there \ were \ no \ hedging \ transactions \ through \ options \ which \ have \ exercised/expired.$ Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/
- (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 1.20 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil

## **☑** Over 2.2 million investor scheme accounts



This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September, 2013.

### Half Yearly Portfolio Statement as at September 30, 2013

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996) BIRLA SUN LIFE FIXED TERM PLAN - SERIES ED

Name of the Instrument	ISIN	Rating	Quantity	Market	% o
				Value (Rs. in Lacs)	Net Assets
Debt Instruments					
(a) Listed / awaiting listing on Stock Exch	nange				
9.40% National Bank For Agriculture and Rural Development **	INE261F09GB7	CRISIL AAA	38	379.04	15.89
9.90% Housing Development Finance Corporation Ltd **	INE001A07GJ5	CRISIL AAA	38	378.85	15.88
9.35% Export Import Bank of India **	INE514E08944	CRISIL AAA	38	378.69	15.87
9.85% LIC Housing Finance Ltd **	INE115A07AN8	CRISIL AAA	38	378.43	15.86
Sub Total				1,515.01	63.49
(b) Privately placed / Unlisted					
10.30% Tata Sons Ltd ** #	INE895D08196	CRISIL AAA	38	380.29	15.94
Sub Total				380.29	15.94
Total				1,895.30	79.43
Money Market Instruments					
Certificate of Deposit					
Oriental Bank of Commerce ** #	INE141A16JX5	CRISIL A1+	200	192.85	8.08
Punjab & Sind Bank ** #	INE608A16EK1		100	97.98	4.11
Oriental Bank of Commerce ** #	INE141A16KD5	CRISIL A1+	50	48.03	2.01
Sub Total				338.86	14.20
Total				338.86	14.20
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				55.00	2.30
Sub Total				55.00	2.30
Total				55.00	2.30
Net Receivables / (Payables)				96.96	4.06
GRAND TOTAL				2,386.12	100.00

<sup>\*\*</sup> Thinly Traded / Non Traded Security

# Unlisted Security

Details	of transa	ctions in	Credit Defa	ult Swaps i	s as follows	:				
Counter Party details		Details of the underlying			Details of the underlying				Credit	
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-

- The provision made for Non Performing Assets as of September 30, 2013 is Nil.
- (2) Plan/Option wise Net Asset Values are as follows:

	1		
Plan		As on September 30, 2013	As on March 28, 2013 •
Regu	lar Plan - Dividend Option	Rs. 12.3284	Rs. 11.8769
Regu	lar Plan - Growth Option	Rs. 12.3284	Rs. 11.8769

- NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day
- No dividend was declared during the half year ended September 30, 2013.
- - Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired.
  - Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared
  - Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired
  - Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/

RIA SUN LIFE FIXED TERM PLAN - SERIES

- Hedging Positions through swaps as on September 30, 2013 is Nil
- The Average Maturity Period of the Portfolio is 0.43 years
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil

Name of the Instrument	ISIN	Rating	Quantity	Market	% of
		· ·		Value (Rs.	Net
				in Lacs)	Assets
Debt Instruments					
(a) Listed / awaiting listing on Stock Exc	hange				
Kotak Mahindra Prime Ltd (ZCB) **	INE916D070S7	CRISIL AA+	425	3,927.76	18.35
Tata Motors Finance Ltd (ZCB) **	INE909H07420	CRISIL AA-	420	3,870.67	18.09
Tata Capital Housing	INE033L07173	CRISIL AA+	300	3,526.30	16.48
Finance Ltd (ZCB) **					
Shriram Transport Finance	INE721A08AT8	CRISIL AA	236	2,182.49	10.20
Company Ltd (ZCB) **					
Tata Capital Financial Services	INE976I07BY1	CARE AA+	185	2,156.28	10.08
Ltd (ZCB) **					
Shriram Transport Finance	INE721A08AU6	CRISIL AA	191	1,766.33	8.25
Company Ltd (ZCB) **					
Sub Total				17,429.83	81.4
(b) Privately placed / Unlisted					
Kotak Mahindra Investments	INE975F07CE9	CRISIL AA+	430	3,969.47	18.55
Ltd (ZCB) ** #					
Sub Total				3,969.47	18.55
Total				21,399.30	99.99
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				4.00	0.03
Sub Total				4.00	0.02
Total				4.00	0.0
Net Receivables / (Payables)				(2.43)	-0.0
GRAND TOTAL				21,400.87	100.0

\*\* Thinly Traded / Non Traded Security # Unlisted Security

Details of transactions in Credit Default Swaps is as follows

Count	er Party	details	Details	Details of the underlying			Details of the underlying			Credit
Name	Rating		Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received
-	-	•	-	-	-	-	•	-	-	-
Notes:										

- The provision made for Non Performing Assets as of September 30, 2013 is Nil
- Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2013	As on March 28, 2013		
Regular Plan - Dividend Option	Rs. 11.5367	Rs. 11.1190		
Regular Plan - Growth Option	Rs. 11.5367	Rs. 11.1190		

- NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.
- (3) No dividend was declared during the half year ended September 30, 2013.
- Details of derivatives exposure and transaction:
  - Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September (4)  $30,2013\ there\ were\ no\ hedging\ transactions\ through\ futures\ which\ have\ been\ squared\ off/expired.$
  - Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended  $September\ 30, 2013\ there\ were\ no\ non-hedging\ transactions\ through\ futures\ which\ have\ been\ squared$
  - Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired.
  - Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/

BIRLA SUN LIFE FIXED TERM PLAN - SERIES EH

(A CLOSE ENDED INCOME SCHEME)

- (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 0.78 years
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs. in Lacs)	% of Net Assets
Debt Instruments					
(a) Listed / awaiting listing on Stock Excha	U				
Housing Development Finance Corporation Ltd (ZCB) **	INE001A07HP0	CRISIL AAA	170	1,985.64	19.70
9.40% Infrastructure Development Finance Company Ltd **	INE043D07948	ICRA AAA	174	1,723.89	17.10
9.56% LIC Housing Finance Ltd **	INE115A07BX5	CRISIL AAA	172	1,711.32	17.0
9.64% Power Finance Corporation Ltd **	INE134E08DZ7	CRISIL AAA	170	1,695.60	16.8
9.64% Power Finance Corporation Ltd **	INE134E08DO1	CRISIL AAA	10	99.81	0.9
Sub Total				7,216.26	71.8
(b) Privately placed / Unlisted					
9.68% Tata Sons Ltd ** #	INE895D08394	CRISIL AAA	174	1,717.41	17.0
Sub Total				1,717.41	17.0
Total				8,933.67	88.9
Money Market Instruments					
Certificate of Deposit					
Punjab & Sind Bank ** #	INE608A16EK1	ICRA A1+	190	186.16	1.8
Vijaya Bank ** #	INE705A16GP9	CARE A1+	160	152.13	1.5
Yes Bank Ltd ** #	INE528G16SO0	CRISIL A1+	100	97.32	0.9
ICICI Bank Ltd ** #	INE090A16XW7	ICRA A1+	100	96.82	0.9
Oriental Bank of Commerce ** #	INE141A16JX5	CRISIL A1+	50	48.21	0.4
Sub Total				580.64	5.7
Total				580.64	5.7
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				51.00	0.5
Sub Total				51.00	0.5
Total				51.00	0.5
Net Receivables / (Payables)				483.52	4.8

GRAND TOTAL ZCB - Zero Coupon Bond

\*\* Thinly Traded / Non Traded Security

# Unlisted Security Details of transactions in Credit Default Swaps is as follows:

Counter Party details			Details of the underlying			Details of the underlying				Credit
Name	Rating	Sector	Name of	Amount	Residual	Amount	Tenor	Price/	Premium	event
			the	(FV)	Maturity			Spread	paid	payments
			reference					(BPS)		received
			entity							
-	-	-	-	-	-	-	-	-	-	-

10,048.83 100.00

Market

in Lacs)

2,859.04

2,855.43

Value (Rs.

500

250

% of

19.63

19.60

- (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.
  - Plan/Option wise Net Asset Values are as follows: As on September 30, 2013

As on March 28, 2013 • Regular Plan - Dividend Option Rs. 11.4513 Rs. 11.2353 Regular Plan - Growth Option Rs. 11.4513 Rs. 11.2353

- NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day. No dividend was declared during the half year ended September 30, 2013.
- Details of derivatives exposure and transaction:
- Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September  $30,2013\ there\ were\ no\ hedging\ transactions\ through\ futures\ which\ have\ been\ squared\ off/expired.$
- Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared off/expired.
- Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended
- September 30, 2013 there were no non-hedging transactions through options which have been exercised/ expired.

BIRLA SUN LIFE FIXED TERM PLAN - SERIES ER

- (e) Hedging Positions through swaps as on September 30, 2013 is Nil. The Average Maturity Period of the Portfolio is 2.91 years
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil

Name of the Instrument	ISIN	Rating	Quantity	Market	% of
				Value (Rs. in Lacs)	Net Assets
Debt Instruments					
(a) Listed / awaiting listing on Stock Exc	change				
Kotak Mahindra Prime Ltd (ZCB) **	INE916D075Q0	CRISIL AA+	159	1,554.11	20.13
Sundaram BNP Paribas Home Fina Ltd (ZCB) **	INE667F07774	CARE AA+	120	1,419.01	18.3
Shriram Transport Finance Company Ltd (ZCB) **	INE721A08AR2	CRISIL AA	145	1,416.61	18.3
Tata Capital Housing Finance Ltd (ZCB) **	INE033L07124	CRISIL AA+	140	1,369.07	17.7
Tata Motors Finance Ltd (ZCB) **	INE909H07362	CRISIL AA-	135	1,318.47	17.0
Tata Capital Financial Services Ltd (ZCB) **	INE976I07BP9	CARE AA+	60	586.46	7.59
Sub Total				7,663.73	99.2
(b) Privately placed / Unlisted				NIL	NII
Sub Total				NIL	NII
Total				7,663.73	99.2
CBLO / Reverse Repo				50.00	
Clearing Corporation of India Ltd Sub Total				59.00 <b>59.00</b>	0.70 <b>0.7</b> 0
Total				59.00 59.00	0.70
Net Receivables / (Payables)				(0.76)	-0.0
GRAND TOTAL				7,721.97	100.00

Details of transactions in Credit Default Swaps is as follows

Counter Party details Details of t				of the unde	rlying	I	Details of	the unde	rlying	Credit	]
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received	
-	-	-	-	-	-	-	•	-	•	-	

- The provision made for Non Performing Assets as of September 30, 2013 is Nil.
- (2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2013	As on March 28, 2013 •
Regular Plan - Dividend Option	Rs. 11.7231	Rs. 11.2395
Regular Plan - Growth Option	Rs. 11.7231	Rs. 11.2395

- NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day. (3) No dividend was declared during the half year ended September 30, 2013.
- Details of derivatives exposure and transaction:
  - Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired.
  - Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared
  - Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exerci
  - Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/
  - Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 0.23 years
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

#### PORTFOLIO AS ON SEPTEMBER 30, 2013 Name of the Instrument Market Value (Rs. in Lacs) Debt Instruments (a) Listed / awaiting listing on Stock Excha Cholamandalam Investment and INE121A07EM0 ICRA AA 300 3,453.04 19.66 Finance Company Ltd (ZCB) \*\* INE909H07503 CRISIL AA-Tata Motors Finance Ltd (ZCB) \*\* Tata Capital Financial Services INE976I07CI2 CARE AA+ 290 3,328.79 18.95 Ltd (ZCB) \*\* INE916D072T1 CRISIL AA+ Kotak Mahindra Prime Ltd (ZCB) \*\* 522.01 Sub Total 13,604.58 77.46 (b) Privately placed / Unlisted Ltd (ZCB) \*\* # INE975F07CJ8 ICRA AA 1,735.20 Ltd (ZCB) \*\* # 3,470.40 19.76 Sub Total 17,074.98 CBLO / Reverse Repo Clearing Corporation of India Ltd 14.00 14.00 Total 14.00 0.08 473.87 2.70 Net Receivables / (Payables) GRAND TOTAL 17,562.85 100.00

ZCB - Zero Coupon Bond

# Unlisted Security

Details of transactions in Credit Default Swaps is as follows:

Count	er Party	details	Details of the underlying Details of the underlying			Details of the underlying				Credit
Name	Rating		Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-

- (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil
- Plan/Option wise Net Asset Values are as follows

Plan	As on September 30, 2013	As on March 28, 2013 •
Regular Plan - Dividend Option	Rs. 11.3743	Rs. 11.0550
Regular Plan - Growth Option	Rs. 11.3743	Rs. 11.0550

- NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day,
- No dividend was declared during the half year ended September 30, 2013. Details of derivatives exposure and transaction
- - Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September (3)  $30,2013\ there\ were\ no\ hedging\ transactions\ through\ futures\ which\ have\ been\ squared\ off/expired.$
  - Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared
  - Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired
  - Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/
- (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 1.32 years
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

#### (A CLOSE ENDED INCOME SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013 Name of the Instrument Debt Instruments (a) Listed / awaiting listing on Stock Exchange HDB Financial Services Ltd (ZCB) \*\* INE756I07084 CARE AAA Bajaj Finance Ltd (ZCB) \*\* INE296A07641 ICRA AA+ Tata Capital Financial Services INE976I07CQ5 CARE AA+ INE721A08BB4 CARE AA+ INE660A07HQ1 ICRA AA+

2,852.23 19.58 250 Ltd (ZCB) \*\* Shriram Transport Finance 16.83 2,451.88 215 Company Ltd (ZCB) \*\* 9.20% Reliance Utilities & Power Pvt Ltd INE936D08032 CARE AAA(SO) 240 2,367.04 16.25 Sundaram Finance Ltd (ZCB) \*\* 685.93 Sub Total 14,071.55 96.59 NIL NIL (b) Privately placed / Unlisted Total 14.071.55 96.59 Certificate of Deposit Oriental Bank of Commerce INE141A16KD5 CRISIL A1+ 1.32 200 192.11 INE667A16CG9 CARE A1+ INE090A16ZM3\_ICRA\_A1+ ICICI Bank Ltd \*\* # 15 14.16 0.10 Yes Bank Ltd \*\* # INE528G16UQ1 ICRA A1+ 14.15 0.10 Sub Total Total 299.05 CBLO / Reverse Repo Clearing Corporation of India Ltd 104.00 0.71 Sub Total 104.00 0.71 104.00 0.71 Net Receivables / (Payables) 93.29 0.64 GRAND TOTAL 14,567.89 100.00

\*\* Thinly Traded / Non Traded Security

# Unlisted Security Details of transactions in Credit Default Swaps is as follows:

Counter Party details			Details	etails of the underlying			Details of the underlying			
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-

(1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.

(2) Plan/Option wise Net Asset Values are as follows: As on March 28, 2013 • As on September 30, 2013 Regular Plan - Dividend Option Rs. 11.3743 Rs. 11.0550 Regular Plan - Growth Option Rs. 11.3743 Rs. 11.0550

• NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.

No dividend was declared during the half year ended September 30, 2013. Details of derivatives exposure and transaction

- Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September  $30,2013\ there\ were\ no\ hedging\ transactions\ through\ futures\ which\ have\ been\ squared\ off/expired.$
- Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared (c) Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended
- September 30, 2013 there were no hedging transactions through options which have exercised/expired. Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended  $September\ 30, 2013\ there\ were\ no\ non-hedging\ transactions\ through\ options\ which\ have\ been\ exercised/$
- Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 1.32 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil

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# ☑ Over 2.2 million investor scheme accounts



This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September, 2013.

### Half Yearly Portfolio Statement as at September 30, 2013

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996) BIRLA SUN LIFE FIXED TERM PLAN - SERIES FE

BIRLA SUN LI	FE FIXED TER	M PLAN - SE	RIES EZ								
	(A CLOSE ENDED INCOME SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013										
Name of the Instrument	ISIN	Rating	Quantity	Market	% of						
		Ü		Value (Rs.	Net						
				in Lacs)	Assets						
Debt Instruments											
(a) Listed / awaiting listing on Stock Excha	inge										
Indiabulls Financial Services Ltd (ZCB) **	INE894F07477	CARE AA+	149	1,785.57	20.01						
Cholamandalam Investment and	INE121A07FC8	ICRA AA	150	1,720.72	19.28						
Finance Company Ltd (ZCB) **											
Bajaj Finance Ltd (ZCB) **	INE296A07708	CRISIL AA+	140	1,605.33	17.99						
9.85% LIC Housing Finance Ltd **	INE115A07AN8	CRISIL AAA	150	1,493.79	16.74						
12.60% Shriram Transport Finance	INE721A08612	CARE AA+	1,400	1,410.97	15.81						
Company Ltd **											
Sub Total				8,016.38	89.81						
(b) Privately placed / Unlisted				NIL	NIL						
Sub Total				NIL	NIL						
Total				8,016.38	89.81						
Money Market Instruments											
Certificate of Deposit											
Punjab & Sind Bank ** #	INE608A16EK1	ICRA A1+	220	215.56	2.42						
Oriental Bank of Commerce ** #	INE141A16KD5	CRISIL A1+	200	192.11	2.15						
Oriental Bank of Commerce ** #	INE141A16JX5	CRISIL A1+	150	144.64	1.62						
Syndicate Bank ** #	INE667A16CG9	CARE A1+	50	49.14	0.55						
Sub Total				601.45	6.74						
Total				601.45	6.74						
CBLO / Reverse Repo											
Clearing Corporation of India Ltd				77.00	0.86						
Sub Total				77.00	0.86						
Total				77.00	0.86						
Net Receivables / (Payables)				230.70	2.58						
GRAND TOTAL				8,925.53	100.00						

ZCB - Zero Coupon Bond

\*\* Thinly Traded / Non Traded Security

# Unlisted Security

Details of transactions in Credit Default Swaps is as follows:

Count	Counter Party details Details of the underlying			I	Details of the underlying						
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received	
-	-	-	-	-	-	-	-	-	-	-	

- (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.
- Plan/Option wise Net Asset Values are as follows: As on September 30, 2013 As on March 28, 2013 • Rs. 11.5232 Regular Plan - Dividend Option Rs. 11.0403 Rs. 11.5232 Rs. 11.0403
- NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.
- No dividend was declared during the half year ended September 30, 2013.
- Details of derivatives exposure and transaction:
  - 30, 2013 there were no hedging transactions through futures which have been squared off/expired.
  - Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared off/expired.
  - Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised

BIRLA SUN LIFE FIXED TERM PLAN - SERIES FB

- Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 0.45 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil

Name of the Instrument	ISIN	Rating	Quantity	Market	% of
				Value (Rs.	Net
				in Lacs)	Assets
Debt Instruments					
(a) Listed / awaiting listing on Stock Excha	inge				
Cholamandalam Investment and Finance Company Ltd (ZCB) **	INE121A07FJ3	ICRA AA	225	2,555.91	20.10
Tata Capital Financial Services Ltd (ZCB) **	INE306N07195	ICRA AA+	225	2,546.37	20.02
Tata Motors Finance Ltd (ZCB) **	INE909H07719	CRISIL AA-	302	2,543.49	20.00
11.61% Aditya Birla Finance Ltd **	INE860H07219	ICRA AA	225	2,225.10	17.50
9.20% Reliance Utilities & Power Pvt Ltd	INE936D08032	CARE AAA(SO)	225	2,219.10	17.45
Sub Total				12,089.97	95.0
(b) Privately placed / Unlisted				NIL	NII
Sub Total				NIL	NII
Total				12,089.97	95.0
Money Market Instruments					
Certificate of Deposit Oriental Bank of Commerce ** #	INE141A16KD5	CDICII A1.	200	192.11	1.5
Sub Total	INEI4IAI0KD3	CRISIL AI+	200	192.11	1.5
Total				192.11	1.5
				.,	110
CBLO / Reverse Repo Clearing Corporation of India Ltd				19.00	0.1
Sub Total				19.00	0.1.
Total				19.00	0.1
Net Receivables / (Payables)				416.02	3.2
GRAND TOTAL				12,717.10	100.00

\*\* Thinly Traded / Non Traded Security

Details of transactions in Credit Default Swaps is as follows:

Counter Party details | Details of the underlying

Cour	iter I arty	uctans	Details	or the unite	ilying		etans of	uic uiiuc	i iyilig	Credit
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-
Notes										

- (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.
- (2) Plan/Option wise Net Asset Values are as follows:

As on September 30, 2013 As on March 28, 2013 • Rs. 11.2230 Rs. 10.9360 Regular Plan - Dividend Option Rs. 11.2230

- $\bullet \ NAVs \ at the beginning of the period is as of 28th March 2013, 31st \ March 2013 \ being \ a \ non-business \ day.$
- (3) No dividend was declared during the half year ended September 30, 2013.
- (4) Details of derivatives exposure and transaction:
  - (a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired.
  - Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared
  - Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired.
  - Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended  $September\ 30, 2013\ there\ were\ no\ non-hedging\ transactions\ through\ options\ which\ have\ been\ exercised/$ expired.

BIRLA SUN LIFE FIXED TERM PLAN - SERIES FF

(A CLOSE ENDED INCOME SCHEME)

PORTFOLIO AS ON SEPTEMBER 30, 2013

- (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 1.49 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

Name of the Instrument	ISIN	Rating	Quantity	Market	% of
Name of the first untent	10111	Kating	Quantity	Value (Rs.	Net
				in Lacs)	Assets
Money Market Instruments					
Certificate of Deposit					
Kotak Mahindra Bank Ltd ** #	INE237A16RY2	CRISIL A1+	6,500	6,390.86	28.83
ICICI Bank Ltd ** #	INE090A16WZ2	ICRA A1+	6,500	6,390.86	28.83
Yes Bank Ltd ** #	INE528G16SA9	ICRA A1+	6,500	6,390.13	28.83
The Jammu & Kashmir Bank Ltd ** #	INE168A16EM2	CRISIL A1+	3,000	2,949.29	13.30
Sub Total				22,121.14	99.79
Total				22,121.14	99.79
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				48.00	0.22
Sub Total				48.00	0.22
Total				48.00	0.22
Net Receivables / (Payables)				(0.74)	\$
GRAND TOTAL				22,168.40	100.00

BIRLA SUN LIFE FIXED TERM PLAN - SERIES FU (366 DA

# Unlisted Security

\$ Less Than 0.01% of Net Asset Value Details of transactions in Credit Default Swaps is as follows:

Coun	ter Party	details	Details of the underlying			Details of the underlying				Credit
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received
-	-	-	•	-	-	-	-	-	-	-

### Notes:

Details of the underlying Cuedit

(1) The provision made for Non Performing Assets as of September 30, 2013 is Nil

(2) Plan/Option wise Net Asset Values are as follows:

As on September 30, 2013 As on March 28, 2013 • Regular Plan - Dividend Option Rs. 10.6809 Rs. 10.2416 Regular Plan - Growth Option Rs. 10.6809 Rs. 10.2416

- NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.
- (3) No dividend was declared during the half year ended September 30, 2013.
- Details of derivatives exposure and transaction
- (a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September  $30,2013 \ there \ were \ no \ hedging \ transactions \ through \ futures \ which \ have \ been \ squared \ off/expired.$
- Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended  $September\ 30, 2013\ there\ were\ no\ non-hedging\ transactions\ through\ futures\ which\ have\ been\ squared$
- Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired
- Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised

BIRLA SUN LIFE FIXED TERM PLAN - SERIES FV  $(367\ DAYS)$ 

(A CLOSE ENDED INCOME SCHEME)

- (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 0.18 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

Name of the Instrument	ISIN	Rating	Quantity	Market	% o
		ŭ.		Value (Rs.	Ne
				in Lacs)	Asset
Debt Instruments					
(a) Listed / awaiting listing on Stock Excha	inge				
Kotak Mahindra Prime Ltd (ZCB) **	INE916D075U2	CRISIL AA+	350	3,002.62	19.6
Bajaj Finance Ltd (ZCB) **	INE296A07716	CRISIL AA+	260	2,957.54	19.3
Tata Motors Finance Ltd (ZCB) **	INE909H07602	CRISIL AA-	344	2,928.97	19.1
10.89% HDB Financial Services Ltd **	INE756I07092	CARE AAA	520	2,565.64	16.7
9.20% Reliance Utilities & Power Pvt Ltd	INE936D08032	CARE AAA(SO)	250	2,465.67	16.1
Sundaram Finance Ltd (ZCB) **	INE660A07HQ1	ICRA AA+	40	342.96	2.2
Sub Total				14,263.40	93.2
(b) Privately placed / Unlisted				NIL	NI
Sub Total				NIL	NI
Total				14,263.40	93.2
Money Market Instruments					
Certificate of Deposit					
Punjab & Sind Bank ** #	INE608A16EK1	ICRA A1+	265	259.65	1.7
Oriental Bank of Commerce ** #	INE141A16KD5	CRISIL A1+	200	192.11	1.2
Sub Total				451.76	2.9
Total				451.76	2.9
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				99.00	0.6
Sub Total				99.00	0.6
Total				99.00	0.6
Net Receivables / (Payables)				485.73	3.1
GRAND TOTAL		·		15,299,89	100.0

- \*\* Thinly Traded / Non Traded Security
- Unlisted Security

# Details of transactions in Credit Default Swaps is as follows

Coun	ter Party	details	Details	of the unde	rlying	I	Details of	the unde	rlying	Credit
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	
Notes:										

- The provision made for Non Performing Assets as of September 30, 2013 is Nil.
- Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2013	As on March 28, 2013 •
Regular Plan - Dividend Option	Rs. 11.3909	Rs. 11.0376
Regular Plan - Growth Option	Rs. 11.3909	Rs. 11.0376

- NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day. No dividend was declared during the half year ended September 30, 2013.
- Details of derivatives exposure and transaction:
- Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September
  - $30,2013\ there\ were\ no\ hedging\ transactions\ through\ futures\ which\ have\ been\ squared\ off/expired.$ Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended
  - September 30, 2013 there were no non-hedging transactions through futures which have been squared Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired.

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Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended

September 30, 2013 there were no non-hedging transactions through options which have been exercised.

Hedging Positions through swaps as on September 30, 2013 is Nil.

- The Average Maturity Period of the Portfolio is 1.39 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

- Name of the Instrument Market Value (Rs. in Lacs) Debt Instruments (a) Listed / awaiting listing on Stock Exchange 10.20% LIC Housing Finance Ltd \*\* INE115A07AU3 CRISIL AAA 1.501.98 17.57 9.70% National Bank For Agriculture INE261F09GH4 CRISIL AAA 150 1,497.47 17.52 and Rural Development \* 9.64% Power Finance Corporation Ltd \*\* INE134E08DO1 CRISIL AAA 1.497.09 17.51 9.71% Infrastructure Development INE043D07BG8 ICRA AAA 150 1,495.34 17.49 7.45% Indian Railway Finance Corp Ltd \*\* INE053F09FX4 CRISIL AAA 1.483.44 17.35 7,475.32 87.44 (b) Privately placed / Unlisted NIL Total 7,475.32 87.44 Money Market Instruments Certificate of Deposit INE077A16AA8 CRISIL A1+ 570.79 6.68 Dena Bank \*\* # 151.87 Punjab & Sind Bank \*\* # INE608A16EK1 ICRA A1+ 155 Sub Total 722.66 8.45 722.66 8.45 CBLO / Reverse Repo Clearing Corporation of India Ltd 129.00 Sub Total 129.00 1.51 129.00 Net Receivables / (Payables 222.10 2.60 GRAND TOTAL 8.549.08 100.00
- \*\* Thinly Traded / Non Traded Security
- # Unlisted Security

Details of transactions in Credit Default Swaps is as follows

cans of a ansactions in electric behavior applies to to to to the second										
Counter Party details Details of the underlying			I	Details of the underlying						
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-

- (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.
- (2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2013	As on March 28, 2013 •
Regular Plan - Dividend Option	Rs. 11.1144	Rs. 10.7095
Regular Plan - Growth Option	Rs. 11.1144	Rs. 10.7095
s at the beginning of the period is as a	of 28th March 2013 31st March 2013	being a non-business day

- (3) No dividend was declared during the half year ended September 30, 2013.
- Details of derivatives exposure and transaction:
- (a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September  $30, 2013\ there\ were\ no\ hedging\ transactions\ through\ futures\ which\ have\ been\ squared\ off/expired.$
- Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended  $September\ 30, 2013\ there\ were\ no\ non-hedging\ transactions\ through\ futures\ which\ have\ been\ squared$ Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended
- September 30, 2013 there were no hedging transactions through options which have exercised/expired.
- Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/
- (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 0.63 years
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs.	% o Ne
				in Lacs)	Asset
Money Market Instruments					
Certificate of Deposit					
Punjab & Sind Bank ** #	INE608A16EK1	ICRA A1+	7,070	6,927.16	29.3
Kotak Mahindra Bank Ltd ** #	INE237A16SD4	CRISIL A1+	7,000	6,857.66	29.0
ICICI Bank Ltd ** #	INE090A16XI6	ICRA A1+	5,000	4,899.59	20.76
Yes Bank Ltd ** #	INE528G16SJ0	ICRA A1+	5,000	4,897.65	20.75
Sub Total				23,582.06	99.91
Total				23,582.06	99.91
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				22.00	0.09
Sub Total				22.00	0.09
Total				22.00	0.09
Net Receivables / (Payables)				(1.09)	\$
GRAND TOTAL				23,602.97	100.00

- $Details \ of \ transactions \ in \ Credit \ Default \ Swaps \ is \ as \ follows:$

Count	er Party (	details	Details	of the under	rlying	1	Details of	the unde	rlying	Credit
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received
- Notes:	-	-	-	-	-	-	-	-	-	-

- (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.
- Plan/Option wise Net Asset Values are as follows:

As on September 30, 2013 As on March 28, 2013 • Rs. 10.6519 Rs. 10.2201 Regular Plan - Dividend Option Regular Plan - Growth Option Rs. 10.6519 Rs. 10.2201

• NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.

- No dividend was declared during the half year ended September 30, 2013.
- Details of derivatives exposure and transaction:
  - (a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30,2013 there were no hedging transactions through futures which have been squared off/expired.
  - Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared
  - Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired.
  - Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended  $September\ 30, 2013\ there\ were\ no\ non-hedging\ transactions\ through\ options\ which\ have\ been\ exercised/dependent options.$
  - (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 0.22 years Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil
- One Indiabulls Centre, Tower 1, 17th Floor, Jupiter Mill Compound, 841, Senapati Bapat Marg, Elphinstone Road, Mumbai 400 013 sms GAIN to 56161 Premium SMS charges apply www.birlasunlife.com

# ☑ Over 2.2 million investor scheme accounts



This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September, 2013.

### Half Yearly Portfolio Statement as at September 30, 2013

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996) BIRLA SUN LIFE FIXED TERM PLAN - SERIES FX (1093 DAYS)

*					(A CLOSE ENDED INCOME SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013										
Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs. in Lacs)	% of Net Assets										
Debt Instruments															
(a) Listed / awaiting listing on Stock Excha	nge														
9.32% Export Import Bank of India **	INE514E08BC3	CRISIL AAA	200	1,989.76	16.86										
9.35% National Bank For Agriculture and Rural Development **	INE261F09HP5	CRISIL AAA	200	1,986.04	16.83										
9.35% Infrastructure Development Finance Company Ltd **	INE043D07CC5	ICRA AAA	200	1,982.84	16.80										
9.62% LIC Housing Finance Ltd **	INE115A07CV7	CRISIL AAA	200	1,981.54	16.79										
9.46% Power Finance Corporation Ltd **	INE134E08EQ4	ICRA AAA	150	1,492.29	12.65										
9.20% Housing Development Finance Corporation Ltd **	INE001A07KT6	CRISIL AAA	81	804.91	6.82										
9.60% Housing Development Finance Corporation Ltd **	INE001A07JB6	CRISIL AAA	10	98.93	0.8										
Sub Total				10,336.31	87.5										
(b) Privately placed / Unlisted															
9.78% Tata Sons Ltd ** #	INE895D08485	CRISIL AAA	100	999.78	8.4										
Sub Total				999.78	8.4										
Total				11,336.09	96.0										
Money Market Instruments Certificate of Deposit															
Oriental Bank of Commerce ** #	INE141A16KD5	CRISIL A1+	100	96.05	0.8										
Sub Total				96.05	0.8										
Total				96.05	0.8										
CBLO / Reverse Repo															
Clearing Corporation of India Ltd				103.00	0.8										
Sub Total				103.00	0.8										
Total				103.00	0.8										
Net Receivables / (Payables)				265.74	2.2										
GRAND TOTAL				11,800.88	100.0										

#### \*\* Thinly Traded / Non Traded Security

Dettills	or truinsu	ctions in	Ci cuit Deiu	uit Swaps i	3 43 10110 113	•						,		uucu seeur			
Count	er Party	details	Details	of the unde	rlying	I	Details of	the unde	rlying	Credit	# Unlis	sted Secur	ity				
Name	Rating	Sector	Name of	Amount	Residual	Amount	Tenor	Price/	Premium	event	Details	of transa	ctions in	Credit Defa	ult Swaps is	s as follows	:
			the	(FV)	Maturity			Spread	paid	payments	Coun	ter Party	details	Details	of the under	dying	
			reference					(BPS)		received	Name	Rating	Sector	Name of	Amount	Residual	
			entity											the	(FV)	Maturity	
-	-	-	-	-	-	-	-	-	-	-				reference		ĺ	

- (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil
- (2) Plan/Option wise Net Asset Values are as follows:

As on September 30, 2013 As on March 28, 2013 • Regular Plan - Dividend Option Rs. 10.9148 Rs. 10.5892 Regular Plan - Growth Option Rs. 10.9148 Rs. 10.5892

- NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day
- No dividend was declared during the half year ended September 30, 2013.
- Details of derivatives exposure and transaction
  - $Hedging\ Position\ through\ Futures\ as\ on\ September\ 30, 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September \qquad (4)$ 30, 2013 there were no hedging transactions through futures which have been squared off/expired.
  - Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared
  - Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired
  - Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised.

BIRLA SUN LIFE FIXED TERM PLAN - SERIES GA (518 DAYS)

- Hedging Positions through swaps as on September 30, 2013 is Nil. The Average Maturity Period of the Portfolio is 1.69 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

#### (A CLOSE ENDED INCOME SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013 Name of the Instrument Market Value (Rs. Net in Lacs) Assets (a) Listed / awaiting listing on Stock Exchange 8.30% Housing Development Final Corporation Ltd \*\* INE001A07FO7 CRISIL AAA 342.01 12.92 9.90% LIC Housing Finance Ltd \*\* 9.46% Power Finance Corporation Ltd \*\* 300.27 298.46 INE115A07CE3 CRISIL AAA INE134E08EQ4 ICRA AAA 8.45% Export Import Bank of India \*\* INE514E08811 CRISIL AAA 293.34 11.08 9.64% Power Grid Corporation of India Ltd \*\* INE752E07IA0 CRISIL AAA 249.35 8.20% Indian Railway Finance Corp Ltd \*\* INE053F09GE2 CRISIL AAA 9.40% National Bank For Agriculture 149.22 and Rural Development \* 8.64% Power Grid Corporation of India Ltd \*\* INE752E07HD6 CRISIL AAA 12 147.30 5.56 7.30% Rural Electrification INE020B07BX5 CRISIL AAA 150,000 144.26 5.45 Corporation Ltd \*\* 8.10% Export Import Bank of India \*\* 8.70% Power Finance Corporation Ltd \*\* INE514E08738 CRISIL AAA 107.08 4.04 INE134E08CW6 CRISIL AAA 2,325.76 87.85 Sub Total (b) Privately placed / Unlisted NII NIL NII Total 2,325.76 87.85 Money Market Instruments Certificate of Deposit Oriental Bank of Commerce \*\* # Syndicate Bank \*\* # INE141A16KD5 CRISIL A1+ INE667A16CG9 CARE A1+ 49.14 1.86 Kotak Mahindra Bank Ltd \*\* # INE237A16WE4 CRISIL A1+ 16.54 Sub Total 161.73 6.11 6.11 Total 161.73 CBLO / Reverse Repo Clearing Corporation of India Ltd Sub Total 88.00 3.32 88.00 3.32 Net Receivables / (Payables) GRAND TOTAL

### \*\* Thinly Traded / Non Traded Security

#### # Unlisted Security

,	Details of the underlying			-y	_	,	une unue		Creare	1	
me	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received	
-	-	-	-	-	-	-	-	-	-	-	

Details of the underlying Credit

#### Notes:

- The provision made for Non Performing Assets as of September 30, 2013 is Nil. (1)
- Plan/Option wise Net Asset Values are as follows:

Plan As on September 30, 2013 As on March 28, 2013 • Regular Plan - Dividend Option Rs. 10.8155 Rs. 10.5031 Regular Plan - Growth Option Rs. 10.8155 Rs. 10.5031 • NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.

- (3) No dividend was declared during the half year ended September 30, 2013.
- Details of derivatives exposure and transaction
  - Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September
  - 30, 2013 there were no hedging transactions through futures which have been squared off/expired.  $Other than\ Hedging\ Position\ through\ Futures\ as\ on\ September\ 30,2013\ is\ Nil\ and\ for\ the\ half\ year\ ended$ September 30, 2013 there were no non-hedging transactions through futures which have been squared
  - Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired
- Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/
- Hedging Positions through swaps as on September 30, 2013 is Nil
- The Average Maturity Period of the Portfolio is 1.52 years Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

# ${\bf BIRLA~SUN~LIFE~FIXED~TERM~PLAN-SERIES~GB~(541~DAYS)}$

*	OSE ENDED INCO DLIO AS ON SEPT				
Name of the Instrument	ISIN	Rating	Quantity	Market	% of
				Value (Rs.	Net
				in Lacs)	Assets
Debt Instruments					
(a) Listed / awaiting listing on Stock Excha	inge				
9.32% Export Import Bank of India **	INE514E08BC3	CRISIL AAA	50	497.44	17.85
9.40% National Bank For Agriculture	INE261F09HF6	CRISIL AAA	50	497.38	17.85
and Rural Development **					
9.57% Infrastructure Development	INE043D07BN4	ICRA AAA	50	497.35	17.85
Finance Company Ltd **					
9.60% Housing Development Finance	INE001A07JB6	CRISIL AAA	50	494.63	17.75
Corporation Ltd **					
8.70% Power Finance Corporation Ltd **	INE134E08CW6	CRISIL AAA	45	442.80	15.89
9.20% Housing Development	INE001A07KT6	CRISIL AAA	5	49.69	1.78
Finance Corporation Ltd **					
Sub Total				2,479.29	88.98
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				2,479.29	88.98
Money Market Instruments					
Certificate of Deposit					
South Indian Bank Ltd ** #	INE683A16BP9	CARE A1+	100	98.48	3.53
Oriental Bank of Commerce ** #	INE141A16JX5	CRISIL A1+	50	48.21	1.73
Sub Total				146.69	5.26
Total				146.69	5.26
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				84.00	3.01
Sub Total				84.00	3.01
Total				84.00	3.01
Net Receivables / (Payables)				76.52	2.75
GRAND TOTAL				2,786.50	100.00

BIRLA SUN LIFE FIXED TERM PLAN - SERIES FZ (1093 DA

\*\* Thinly Traded / Non Traded Security

Details of transactions in Credit Default Swaps is as follows:

Count	er Party	details	Details of the underlying Details of the under				Details of the underlying			Details of the underlying				Credit
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received				
-	-	-	-	-	-	-	-	-	-	-				

- (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.
- (2) Plan/Option wise Net Asset Values are as follows:

As on September 30, 2013 As on March 28, 2013 • Regular Plan - Dividend Option Rs. 10.6523 Rs. 10.3446 Rs. 10.6523 Rs. 10.3446 Regular Plan - Growth Option

- NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.
- No dividend was declared during the half year ended September 30, 2013.
- Details of derivatives exposure and transaction:

  - $Hedging\ Position\ through\ Futures\ as\ on\ September\ 30, 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 20, 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 20, 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 20, 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 20, 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 20, 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 20, 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 20, 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 20, 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 20, 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 20, 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 20, 2013\ is\ Nil\ and\ year\ 2013\$ 30, 2013 there were no hedging transactions through futures which have been squared off/expired.
  - Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared
  - Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired.
  - Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised
- Hedging Positions through swaps as on September 30, 2013 is Nil. The Average Maturity Period of the Portfolio is 1.58 years
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

*	SE ENDED INCO				
	DLIO AS ON SEPT				
Name of the Instrument	ISIN	Rating C	uantity	Market	% of
				Value (Rs.	Net
				in Lacs)	Assets
Debt Instruments					
(a) Listed / awaiting listing on Stock Excha					
Indiabulls Financial Services Ltd (ZCB) **		CARE AA+	940	11,264.65	20.03
Infrastructure Development Finance	INE043D07CX1	ICRA AAA	1,170	11,083.85	19.71
Company Ltd (ZCB) **					
2.00% Tata Motors Ltd **	INE155A07185	CRISIL AAA (SO		11,035.77	19.62
9.90% Housing Development Finance	INE001A07GJ5	CRISIL AAA	550	5,483.31	9.75
Corporation Ltd **					
9.85% LIC Housing Finance Ltd **	INE115A07AN8	CRISIL AAA	462	4,600.87	8.18
Sub Total				43,468.45	77.28
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				43,468.45	77.28
Money Market Instruments					
Certificate of Deposit					
Export Import Bank of India ** #	INE514E16863	CRISIL AAA	10,000	10,617.96	18.88
Punjab National Bank ** #	INE160A16IR9	CARE A1+	400	391.37	0.70
Yes Bank Ltd ** #	INE528G16UA5	ICRA A1+	400	382.15	0.68
Central Bank of India ** #	INE483A16EW4	CARE A1+	350	333.85	0.59
Oriental Bank of Commerce ** #	INE141A16JX5	CRISIL A1+	200	192.85	0.34
Yes Bank Ltd ** #	INE528G16TX9	ICRA A1+	200	191.32	0.34
Oriental Bank of Commerce ** #	INE141A16KK0	CRISIL A1+	45	43.19	0.08
Sub Total				12,152.69	21.61
Total				12,152.69	21.61
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				78.00	0.14
Sub Total				78.00	0.14
Total				78.00	0.14
Net Receivables / (Payables)				549.71	0.98
GRAND TOTAL				56,248.85	100.00
7CR - Zero Coupon Rond					

## ZCB - Zero Coupon Bond

\*\* Thinly Traded / Non Traded Security # Unlisted Security

Details	of transa	ctions in	Credit Defa	ult Swaps i	s as follows	:					
Count	Counter Party details Details of the underlying				rlying	I	rlying	Credit			
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received	
_		_	_	_	_	-		-	_	_	

The provision made for Non Performing Assets as of September 30, 2013 is Nil. Plan/Option wise Net Asset Values are as follows:

(2) As on September 30, 2013 Plan

off/expired.

As on March 28, 2013 • Regular Plan - Dividend Option Rs. 10.7115 Rs. 10.2958 Rs. 10.7115 Rs. 10.2958 Regular Plan - Growth Option NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day

No dividend was declared during the half year ended September 30, 2013.

Details of derivatives exposure and transaction:

- Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30,2013 there were no hedging transactions through futures which have been squared off/expired. Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared
- Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised.
- (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 0.50 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil

### (A CLOSE ENDED INCOME SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013 Name of the Instrument Market Value (Rs.

Debt Instruments					
(a) Listed / awaiting listing on Stock Excl	hange				
Kotak Mahindra Prime Ltd (ZCB) **	INE916D073E1	CRISIL AA+	313	2,915.41	20.00
Infrastructure Development Finance	INE043D07DE9	ICRA AAA	310	2,905.08	19.93
Company Ltd (ZCB) **					
10.05% National Bank For Agriculture	INE261F09CZ5	CRISIL AAA	200	2,000.93	13.73
and Rural Development **					
7.95% Housing Development Finance	INE001A07FK5	CRISIL AAA	100	988.60	6.78
Corporation Ltd **					
9.90% LIC Housing Finance Ltd **	INE115A07CJ2	CRISIL AAA	50	498.03	3.42
Sub Total				9,308.05	63.85
(b) Privately placed / Unlisted					
10.20% Tata Sons Ltd ** #	INE895D08352	CRISIL AAA	100	1,001.07	6.87
Sub Total				1,001.07	6.87
Total				10,309.12	70.72
Money Market Instruments					
Certificate of Deposit					
Punjab & Sind Bank ** #	INE608A16EH7	ICRA A1+	2,500	2,455.48	16.84
Punjab National Bank ** #	INE160A16IR9	CARE A1+	1,100	1,076.28	7.38
South Indian Bank Ltd ** #	INE683A16BP9	CARE A1+	300	295.44	2.03
Oriental Bank of Commerce ** #	INE141A16KD5	CRISIL A1+	100	96.05	0.66
Yes Bank Ltd ** #	INE528G16UA5	ICRA A1+	100	95.54	0.66
Sub Total				4,018.79	27.57
Total				4,018.79	27.57
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				101.00	0.69
Sub Total				101.00	0.69
Total				101.00	0.69
Net Receivables / (Payables)				148.92	1.02
OR LESS MORES				44 00	400.00

GRAND TOTAL ZCB - Zero Coupon Bond

\*\* Thinly Traded / Non Traded Security

# Unlisted Security Details of transactions in Credit Default Swaps is as follows

Details of the underlying Counter Party details Details of the underlying Credit event Name of Residual Tenor | Price/ | Premiu Maturity the Spread received (BPS entity

(1) The provision made for Non Performing Assets as of September 30, 2013 is Nil. Plan/Option wise Net Asset Values are as follows

As on September 30, 2013

(3) No dividend was declared during the half year ended September 30, 2013.

Rs. 10.6544 Rs. 10.2519 Rs. 10.6544 Rs. 10.2519 Regular Plan - Growth Option • NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day

Details of derivatives exposure and transaction:

- Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September  $30,2013 \ there \ were \ no \ hedging \ transactions \ through \ futures \ which \ have \ been \ squared \ off/expired.$
- Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended  $September\ 30, 2013\ there\ were\ no\ non-hedging\ transactions\ through\ futures\ which\ have\ been\ squared$ off/expired. Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended
- September 30, 2013 there were no hedging transactions through options which have exercised/expired.
- Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/
- (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 0.54 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

#### BIRLA SUN LIFE FIXED TERM PLAN - SERIES GC (851 DAYS) (A CLOSE ENDED INCOME SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013

Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs. in Lacs)	% of Net Assets
Debt Instruments					
(a) Listed / awaiting listing on Stock Excha	nge				
9.90% LIC Housing Finance Ltd **	INE115A07CE3	CRISIL AAA	50	500.46	15.92
9.38% National Bank For Agriculture and Rural Development **	INE261F09HB5	CRISIL AAA	50	498.86	15.87
9.51% Power Finance Corporation Ltd **	INE134E08EE0	ICRA AAA	50	497.81	15.84
8.45% Rural Electrification Corporation Ltd **	INE020B07FF3	CRISIL AAA	38	373.51	11.88
8.90% Power Grid Corporation of India Ltd **	INE752E07GF3	CRISIL AAA	30	370.63	11.79
Sub Total				2,241.27	71.30
(b) Privately placed / Unlisted					
9.98% Tata Sons Ltd ** #	INE895D08410	CRISIL AAA	50	500.98	15.94
Sub Total				500.98	15.94
Total				2,742.25	87.24
Money Market Instruments Certificate of Deposit					
Oriental Bank of Commerce ** #	INE141A16KD5	CRISII A1+	150	144.08	4.58
Oriental Bank of Commerce ** #	INE141A16JX5		50	48.21	1.53
Sub Total				192.29	6.12
Total				192.29	6.12
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				66.00	2.10
Sub Total				66.00	2.10
Total				66.00	2.10
Net Receivables / (Payables)				142.81	4.54
GRAND TOTAL				3,143.35	100.00

\*\* Thinly Traded / Non Traded Security

# Unlisted Security

Counter Party details		Details of the underlying			Details of the underlying				Credit	
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-

As on March 28, 2013 •

(1) The provision made for Non Performing Assets as of September 30, 2013 is Nil. Plan/Option wise Net Asset Values are as follows:

As on September 30, 2013 As on March 28, 2013 • Regular Plan - Dividend Option Rs. 10.5851 Rs. 10.2554 Regular Plan - Growth Option Rs. 10.5851 Rs. 10.2554

• NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day

- (3) No dividend was declared during the half year ended September 30, 2013.
  - Details of derivatives exposure and transaction Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September
  - 30, 2013 there were no hedging transactions through futures which have been squared off/expired. Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared
  - Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/
  - (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 1.29 years
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil

# ☑ Over 2.2 million investor scheme accounts



This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September, 2013.

Name of the Instrument

### Half Yearly Portfolio Statement as at September 30, 2013

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996) BIRLA SUN LIFE FIXED TERM PLAN - SERIES GG (368 DAYS)

> (A CLOSE ENDED INCOME SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013

> > Rating

ISIN

Market

Quantity

(A CLC	SE ENDED INCO	OME SCHEME)			
*	DLIO AS ON SEPT		;		
Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs. in Lacs)	% o Ne Asset
Debt Instruments					
(a) Listed / awaiting listing on Stock Excha	nge				
Kotak Mahindra Prime Ltd (ZCB) **	INE916DA7089	CRISIL AA+	353	3,279,41	20.0
Infrastructure Development Finance Company Ltd (ZCB) **	INE043D07DT7	ICRA AAA	340	3,173.59	19.3
9.64% Power Finance Corporation Ltd **	INE134E08DO1	CRISII AAA	290	2,894,37	17.6
10.05% National Bank For Agriculture and Rural Development **	INE261F09CZ5		250	2,501.16	15.2
9.95% LIC Housing Finance Ltd **	INE115A07CK0	CRISIL AAA	250	2,499.34	15.2
2.00% Tata Motors Ltd **	INE155A07185			656.89	4.0
10.35% Power Grid Corporation of	INE752E09252		20,000	201.07	1.2
India Ltd **					
Sub Total				15,205.83	92.7
(b) Privately placed / Unlisted				NIL	NI
Sub Total				NIL	NI
Total				15,205.83	92.7
Money Market Instruments Certificate of Deposit					
UCO Bank ** #	INE691A16GV9	CRISIL A1+	595	571.10	3.4
Indusind Bank Ltd ** #	INE095A16HP3	CRISIL A1+	100	95.63	0.5
Sub Total				666.73	4.0
Treasury Bill					
91Days Tbill (MD 15/11/2013)	IN002013X196	SOV	170,000	167.99	1.0
Sub Total				167.99	1.0
Total				834.72	5.0
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				113.00	0.6
Sub Total				113.00	0.6
Total				113.00	0.6
Net Receivables / (Payables)				237.03	1.4
GRAND TOTAL				16,390.58	100.0

ZCB - Zero Coupon Bond \* Thinly Traded / Non Traded Security

# Unlisted Security

Details	of transa	ctions in	Credit Defa	ult Swaps i	s as follows	:				
Counter Party details		Details of the underlying			Details of the underlying				Credit	
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-

#### Notes:

The provision made for Non Performing Assets as of September 30, 2013 is Nil. Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2013	As on March 28, 2013 •
Regular Plan - Growth Option	Rs. 10.5659	Rs. 10.1759
Regular Plan - Dividend Option	Rs. 10.5659	Rs. 10.1759
Regular Plan - Quarterly Dividend Option	Rs. 10.2176	Rs. 10.0358
Direct Plan - Growth Option	Rs. 10.5692	Rs. 10.1772
Direct Plan - Dividend Option	Rs. 10.5691	Rs. 10.1772
Direct Plan - Quarterly Dividend Option	Rs. 10.2209	Rs. 10.0371
AVs at the beginning of the period is as of 28t	h March 2013, 31st March 2013	being a non-business day.
Dividend in the following plans was decla	red during the half year ended S	eptember 30, 2013.

Per Unit (Rs.) Regular Plan - Quarterly Dividend Ontion Rs. 0.2000 Direct Plan - Quarterly Dividend Option Rs. 0.2000

Details of derivatives exposure and transaction Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired.

- Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared the september 30.
- Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired. Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/
- Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 0.68 years. Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

Value (Rs. Net in Lacs) Assets Money Market Instruments ICICI Bank Ltd \*\* # INE090A16XW7 ICRA A1+ 12,900 12,489,18 30.00 INE528G16ST9 ICRA A1+ Yes Bank Ltd \*\* # 12,900 12,486.18 29.99 27.90 INE171A16EQ7 CRISIL A1+ 12,000 The Federal Bank Ltd \*\* # 11,615.05 ING Vysya Bank Ltd \*\* # INE166A16HP2 CRISIL A1+ 5,033.19 5,200 12.09 41,623.60 99.97 Total 41,623.60 99.97 CBLO / Reverse Repo Clearing Corporation of India Ltd 22.00 0.05 Sub Total 22.00 0.05 22.00 0.05 Net Receivables / (Payables) (10.85)-0.03 GRAND TOTAL 41,634.75 100.00 \*\* Thinly Traded / Non Traded Security

# Unlisted Security

Details of transactions in Credit Default Swaps is as follows

Counter Party details			Details of the underlying			Details of the underlying				Credit	
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received	
-	-	-	-	-	-	-	-	-	-	-	

(1) The provision made for Non Performing Assets as of September 30, 2013 is Nil Plan/Option wise Net Asset Values are as follows

Plan	As on September 30, 2013	As on March 28, 2013 •
Regular Plan - Growth Option	Rs. 10.5672	Rs. 10.1498
Regular Plan - Dividend Option	Rs. 10.5672	Rs. 10.1498
Regular Plan - Quarterly Dividend Option	n Rs. 10.2597	Rs. 10.0497
Direct Plan - Growth Option	Rs. 10.5740	Rs. 10.1517
Direct Plan - Dividend Option	Rs. 10.5740	Rs. 10.1517
Direct Plan - Quarterly Dividend Option	Rs. 10.2665	Rs. 10.0517

- NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-b
- (3) Dividend in the following plans was declared during the half year ended September 30, 2013.

	rei Cint (Ks.)
Regular Plan - Quarterly Dividend Option	Rs. 0.2000
Direct Plan - Quarterly Dividend Option	Rs. 0.2000
Details of derivatives exposure and transaction:	

- (a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September  $30, 2013 \ there \ were \ no \ hedging \ transactions \ through \ futures \ which \ have \ been \ squared \ off/expired.$
- Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended  $September\ 30, 2013\ there\ were\ no\ non-hedging\ transactions\ through\ futures\ which\ have\ been\ squared$
- Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended  $September\ 30, 2013\ there\ were\ no\ hedging\ transactions\ through\ options\ which\ have\ exercised/expired.$
- Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended  $September \, 30,2013 \, there \, were \, no \, non-hedging \, transactions \, through \, options \, which \, have \, been \, exercised/2000 \, the exercised \, and \,$
- (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 0.35 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

*	LOSE ENDED INCO FOLIO AS ON SEPT	,	3		
Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs. in Lacs)	% of Net Assets
Debt Instruments					
(a) Listed / awaiting listing on Stock Exc					
Tata Motors Finance Ltd (ZCB) **	INE909H07990	CRISIL AA-	848	6,445.11	19.71
10.36% Tata Capital Financial	INE033L07546	CRISIL AA+	626	6,150.54	18.81
Services Ltd **					
12.25% TRIL Infopark Ltd **	INE583J07015	BWR A(SO)	604	6,129.24	18.74
Tata Internation1 ltd (ZCB) **	INE751F08014	ICRA A+	708	5,686.29	17.39
5.00% Forbes & Company Ltd **	INE518A07028	CRISIL AA-	399	4,036.42	12.34
Sub Total				28,447.60	86.99
(b) Privately placed / Unlisted					
11.10% Floreat Investments Ltd ** #	INE056O07012	ICRA AA+(SO)	298	2,931,97	8.97
Sub Total		( )		2,931.97	8.97
Total				31,379.57	95.95
Money Market Instruments Certificate of Deposit					
Oriental Bank of Commerce ** #	INE141A16KD5	CRISIL A1+	200	192.11	0.59
ICICI Bank Ltd ** #	INE090A16YU9	ICRA A1+	100	95.62	0.29
ICICI Bank Ltd ** #	INE090A16D50	ICRA A1+	100	91.22	0.28
Sub Total				378.95	1.16
Total				378.95	1.16
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				97.00	0.30
Sub Total				97.00	0.30
Total				97.00	0.30
Net Receivables / (Payables)				847.54	2.59
GRAND TOTAL				32,703.06	100.00

BIRLA SUN LIFE FIXED TERM PLAN - SERIES GI (1185 DA

\*\* Thinly Traded / Non Traded Security

# Unlisted Security

Details of transactions in Credit Default Swaps is as follows

Counter Party details			Details of the underlying			Details of the underlying				Credit	
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received	
-	-	-	-	-	-	-	-	-	-	-	

(1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.

(2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2013	As on March 28, 2013 •
Regular Plan - Growth Option	Rs. 10.3950	Rs. 10.1666
Regular Plan - Dividend Option	Rs. 10.3950	Rs. 10.1666
Regular Plan - Quarterly Dividend Option	n Rs. 10.0825	Rs. 10.0565
Direct Plan - Growth Option	Rs. 10.4213	Rs. 10.1702
Direct Plan - Dividend Option	Rs. 10.4222	Rs. 10.1702
Direct Plan - Quarterly Dividend Option	Rs. 10.1189	Rs. 10.0700

• NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day  $(3) \qquad \text{Dividend in the following plans was declared during the half year ended September 30, 2013}.$ 

Per Unit (Rs.) Regular Plan - Quarterly Dividend Option

Direct Plan - Quarterly Dividend Option Rs. 0.2000

Details of derivatives exposure and transaction:

- Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and September 30, 2013 is Nil a 30, 2013 there were no hedging transactions through futures which have been squared off/expired.
- Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended off/expired.
- Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired.
- $Other than \ Hedging \ Positions \ through \ Options \ as \ on \ September \ 30, 2013 \ is \ Nil \ and \ for \ the \ half \ year \ ended \ September \ 30, 2013 \ there \ were \ no \ non-hedging \ transactions \ through \ options \ which \ have \ been \ exercised/Positions \ which \ exercised/Positions \ exerc$ expired.
- Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 2.04 years Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil

BIRLA SUN LIFE FIXED TERM PLAN - SERIES GJ (367 DAYS)										
(A CLOSE ENDED INCOME SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013										
Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs.	% o Ne					
				in Lacs)	Asset					
Money Market Instruments										
Certificate of Deposit										
ICICI Bank Ltd ** #	INE090A16XX5	ICRA A1+	7,000	6,771.90	29.9					
Yes Bank Ltd ** #	INE528G16SV5	ICRA A1+	6,900	6,673.52	29.5					
The Federal Bank Ltd ** #	INE171A16EP9	CRISIL A1+	6,500	6,286.65	27.8					
ING Vysya Bank Ltd ** #	INE166A16HQ0	CRISIL A1+	2,870	2,775.80	12.2					
Yes Bank Ltd ** #	INE528G16ST9	ICRA A1+	100	96.79	0.4					
Sub Total				22,604.66	99.9					
Total				22,604.66	99.9					
CBLO / Reverse Repo										
Clearing Corporation of India Ltd				5.00	0.0					
Sub Total				5.00	0.0					
Total				5.00	0.0					
Net Receivables / (Payables)				(2.97)	-0.0					
GRAND TOTAL				22,606.69	100.0					

\*\* Thinly Traded / Non Traded Security

# Unlisted Security

Details of transactions in Credit Default Swaps is as follows Counter Party details Details of the underlying

Count	ci i ai ty	actuis	Details of the diderlying			Details of the underlying				Cream	
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity		Tenor	Price/ Spread (BPS)	Premium paid	event payments received	
-	-	-	-	-	-	-	-	-	-	-	
NI-4											

(1) The provision made for Non Performing Assets as of September 30, 2013 is Nil. (2) Plan/Option wise Net Asset Values are as follows:

As on September 30, 2013 As on March 28, 2013 • Regular Plan - Growth Option Rs. 10.5596 Rs. 10.1410 Regular Plan - Dividend Option Rs. 10.5607 Rs. 10.1410 Rs. 10.2625 Rs. 10.0510 Regular Plan - Quarterly Dividend Option Rs. 10.5622 Rs. 10.1418 Direct Plan - Growth Option Direct Plan - Dividend Option Rs. 10.5147 Rs. 10.1418 Direct Plan - Quarterly Dividend Option Rs. 10.2652

NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day Dividend in the following plans was declared during the half year ended September 30, 2013.

Per Unit (Rs.) Regular Plan - Quarterly Dividend Option Rs. 0.2000 Direct Plan - Quarterly Dividend Option Rs. 0.2000

Details of derivatives exposure and transaction

- Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired
- Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared
- Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired.
- Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exe
- (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 0.35 years
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

### BIRLA SUN LIFE FIXED TERM PLAN - SERIES GM (367 DAYS) (A CLOSE ENDED INCOME SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013

Name of the Instrument	ISIN	Rating	Quantity	Market	% of
				Value (Rs.	Net
				in Lacs)	Assets
Money Market Instruments					
Certificate of Deposit					
Yes Bank Ltd ** #	INE528G16SW3	ICRA A1+	5,000	4,831.52	28.86
ICICI Bank Ltd ** #	INE090A16XY3	ICRA A1+	5,000	4,829.69	28.85
ING Vysya Bank Ltd ** #	INE166A16HR8	CRISIL A1+	3,800	3,668.68	21.91
IDBI Bank Ltd ** #	INE008A16NX8	CRISIL A1+	3,275	3,160.61	18.88
ING Vysya Bank Ltd ** #	INE166A16HQ0	CRISIL A1+	130	125.73	0.75
Yes Bank Ltd ** #	INE528G16SV5	ICRA A1+	100	96.72	0.58
Sub Total				16,712.95	99.83
Total				16,712.95	99.83
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				31.00	0.19
Sub Total				31.00	0.19
Total				31.00	0.19
Net Receivables / (Payables)				(1.79)	-0.01
GRAND TOTAL				16,742.16	100.00

\*\* Thinly Traded / Non Traded Security

Details of transactions in Credit Default Swaps is as follows:

	-													
Count	er Party	details	Details of the underlying			Details of the underlying				Credit				
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received				
-	-	-	-	-	-	-	-	-	-	-				

Notes:

Details of the underlying Credit

The provision made for Non Performing Assets as of September 30, 2013 is Nil.

(2) Plan/Option wise Net Asset Values are as follows

As on September 30, 2013	As on March 28, 2013
Rs. 10.5475	Rs. 10.1345
Rs. 10.5475	Rs. 10.1345
on Rs. 10.2714	Rs. 10.0644
Rs. 10.5502	Rs. 10.1351
Rs. 10.5501	Rs. 10.1351
Rs. 10.2740	Rs. 10.0650
	Rs. 10.5475 Rs. 10.5475 on Rs. 10.2714 Rs. 10.5502 Rs. 10.5501

• NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day. (3) Dividend in the following plans was declared during the half year ended September 30, 2013.

Regular Plan - Quarterly Dividend Option Direct Plan - Quarterly Dividend Option

Rs. 0.2000 Details of derivatives exposure and transaction: (a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September

- 30, 2013 there were no hedging transactions through futures which have been squared off/expired

Rs. 0.2000

- Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended  $September\ 30, 2013\ there\ were\ no\ non-hedging\ transactions\ through\ futures\ which\ have\ been\ squared$
- (c) Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired.
- Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/
- (e) Hedging Positions through swaps as on September 30, 2013 is Nil. The Average Maturity Period of the Portfolio is 0.37 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

BIRLA SUN LIFE FIXED TERM PLAN - SERIES GO (369 DAYS) (A CLOSE ENDED INCOME SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013

Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs.	% of Net
				in Lacs)	Assets
Money Market Instruments					
Certificate of Deposit					
ICICI Bank Ltd ** #	INE090A16YC7	ICRA A1+	3,500	3,370.38	28.13
Axis Bank Ltd ** #	INE238A16RK9	CRISIL A1+	3,500	3,364.12	28.08
IDBI Bank Ltd ** #	INE008A16OD8	CRISIL A1+	3,300	3,177.75	26.52
Yes Bank Ltd ** #	INE528G16TA7	ICRA A1+	2,000	1,925.67	16.07
IDBI Bank Ltd ** #	INE008A16NZ3	CRISIL A1+	50	48.20	0.40
Sub Total				11,886.12	99.21
Total				11,886.12	99.21
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				96.00	0.80
Sub Total				96.00	0.80
Total				96.00	0.80
Net Receivables / (Payables)				(1.43)	-0.01
GRAND TOTAL				11,980.69	100.00

\*\* Thinly Traded / Non Traded Security

# Unlisted Security

Details of transactions in Credit Default Swaps is as follows:

Counter Party details			Details	Details of the underlying				Credit		
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received
-	-	-	-		-	-	-	-	-	-

(1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.

(2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2013	As on March 28, 2013 •
Regular Plan - Growth Option	Rs. 10.5244	Rs. 10.1207
Regular Plan - Dividend Option	Rs. 10.5243	Rs. 10.1207
Regular Plan - Quarterly Dividend Option	n Rs. 10.2691	Rs. 10.0707
Direct Plan - Growth Option	Rs. 10.5274	Rs. 10.1212
Direct Plan - Dividend Option	Rs. 10.5277	Rs. 10.1212

• NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day. (3) Dividend in the following plans was declared during the half year ended September 30, 2013

Per Unit (Rs.)

Rs. 0.2000

Regular Plan - Ouarterly Dividend Option Details of derivatives exposure and transaction:

- (a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September  $30,2013\,there\,were\,no\,hedging\,transactions\,through\,futures\,which\,have\,been\,squared\,off/expired.$ Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended
- $September\ 30,2013\ there\ were\ no\ non-hedging\ transactions\ through\ futures\ which\ have\ been\ squared$ (c) Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended
- September 30, 2013 there were no hedging transactions through options which have exercised/expired.
- Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/
- (e) Hedging Positions through swaps as on September 30, 2013 is Nil. The Average Maturity Period of the Portfolio is 0.40 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

# ☑ Over 2.2 million investor scheme accounts



Quantity

696

696

Details of the underlying

Spread

(BPS)

Price/ Premi

As on March 28, 2013 •

Rs. 10.0922

Rs. 10.0922

Rs. 10.0922

Rs. 10.0928

Rs. 10.0928

Rs. 10.0928

Per Unit (Rs.)

Rs. 0.2000

Rs. 0.2000

55.00

55.00

55.00

508.98

34,903.48 100.00

0.16

0.16

0.16

1.46

% of

Net in Lacs) Assets

30.00

29.99

29.98

99.21

99.21

0.76

0.76

0.03

Credit

event

received

Market Value (Rs.

668.39

668.05

667.94

205.84 2,210.22

2,210,22

17.00

17.00

0.60

2.227.82 100.00

(A CLOSE ENDED INCOME SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013

INE238A16RW4 CRISIL A1+

INE691A16GV9 CRISIL A1+

INE705A16FR7 CARE A1+

Rating

ISIN

Name of the Instrument

Money Market Instruments Certificate of Deposi

Axis Bank Ltd \*\* #

UCO Bank \*\* #

Sub Total

Sub Total

Vijaya Bank \*\* #

Indusind Bank Ltd \*\*

CBLO / Reverse Repo

GRAND TOTAL

# Unlisted Security

Counter Party details

Clearing Corporation of India Ltd

Net Receivables / (Payables)

\*\* Thinly Traded / Non Traded Security

Sector

Regular Plan - Growth Ontion

Regular Plan - Dividend Option

Direct Plan - Growth Option

Direct Plan - Dividend Option

Regular Plan - Quarterly Dividend Option

Direct Plan - Quarterly Dividend Option

Regular Plan - Quarterly Dividend Option

Details of derivatives exposure and transaction

Direct Plan - Quarterly Dividend Option

Details of transactions in Credit Default Swaps is as follows

the

eferenc entity

Plan/Option wise Net Asset Values are as follows:

Details of the underlying

Amount

(FV)

(1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.

Residual

Maturity

As on September 30, 2013

Rs. 10.4927

Rs. 10.4927

Rs. 10.2897

Rs. 10.4982

Rs. 10.4982

Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September

Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended

September 30, 2013 there were no non-hedging transactions through futures which have been squared

Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended

September 30, 2013 there were no hedging transactions through options which have exercised/expired

Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended

September 30, 2013 there were no non-hedging transactions through options which have been exercised

30, 2013 there were no hedging transactions through futures which have been squared off/expired.

• NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day

(3) Dividend in the following plans was declared during the half year ended September 30, 2013.

This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September, 2013.

### Half Yearly Portfolio Statement as at September 30, 2013

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996) BIRLA SUN LIFE FIXED TERM PLAN - SERIES GR (399 DAYS)

	CLOSE ENDED INCO TFOLIO AS ON SEPT				
Name of the Instrument	ISIN	Rating	Quantity	Market	% of
				Value (Rs.	Net
				in Lacs)	Assets
Money Market Instruments					
Certificate of Deposit					
ICICI Bank Ltd ** #	INE090A16YH6	ICRA A1+	3,600	3,464.17	26.96
IDBI Bank Ltd ** #	INE008A16NV2	CRISIL A1+	3,500	3,381.26	26.31
Yes Bank Ltd ** #	INE528G16TD1	ICRA A1+	3,500	3,366.99	26.20
Axis Bank Ltd ** #	INE238A16RO1	CRISIL A1+	2,500	2,405.67	18.72
IDBI Bank Ltd ** #	INE008A16OD8	CRISIL A1+	200	192.59	1.50
Sub Total				12,810.68	99.70
Total				12,810.68	99.70
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				40.00	0.31
Sub Total				40.00	0.31
Total				40.00	0.31
Net Receivables / (Payables)				(1.40)	-0.01
GRAND TOTAL				12,849.28	100.00

### \* Thinly Traded / Non Traded Security

#### Unlisted Security

Details of transactions in Credit Default Swaps is as follows

Counter Party details			details	Details of the underlying			Details of the underlying				Credit
	Name	Rating	Sector	Name of the reference entity	(FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received
		-	-	-	-	-	-	-	-	-	-

- The provision made for Non Performing Assets as of September 30, 2013 is Nil
- (2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2013	As on March 28, 2013 •
Regular Plan - Growth Option	Rs. 10.5237	Rs. 10.1146
Regular Plan - Dividend Option	Rs. 10.5237	Rs. 10.1146
Regular Plan - Quarterly Dividend Option	n Rs. 10.2790	Rs. 10.0746
Direct Plan - Growth Option	Rs. 10.5268	Rs. 10.1150
Direct Plan - Dividend Option	Rs. 10.5268	Rs. 10.1150
Direct Plan - Quarterly Dividend Option	Rs. 10.2821	Rs. 10.0750

- NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day
- Dividend in the following plans was declared during the half year ended September 30, 2013

	Per Unit (Rs.)
Regular Plan - Quarterly Dividend Option	Rs. 0.2000
Direct Plan - Quarterly Dividend Option	Rs. 0.2000
B - 9 - 61 - 1 - 2	

- Details of derivatives exposure and transaction
  - Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired.
- $Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30,2013 \ is \ Nil \ and \ for \ the \ half \ year \ ended$ September 30, 2013 there were no non-hedging transactions through futures which have been squared off/expired.
- Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired
- Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/

BIRLA SUN LIFE FIXED TERM PLAN - SERIES GT (366 DAYS)

(A CLOSE ENDED INCOME SCHEME)

PORTFOLIO AS ON SEPTEMBER 30, 2013

INE916DA7410 CRISIL A1+

INE237A16UH1 CRISIL A1+

INE166A16ID6 CRISIL A1+

INE562A16DJ4 FITCH A1+

INE090A16YZ8 ICRA A1+

INE141A16KD5 CRISIL A1+

Market

Value (Rs.

6,342,35

6,342.35

6,342.35

9,981.35

9,975.21

3.802.42

3,041.93

26,872,95

26,872.95

72.04

34.00

34.00

34.00

261.27

33,510.57 100.00

10,500

4.000

3,200

Details of the underlying

Spread

(BPS)

Price/ Premiun

Tenor

NIL

% of

Net Assets

18 93

18.93

NII

18.93

11.35

9.08

80.19

80.19

0.10

0.10

0.78

Credi

payment

received

- Hedging Positions through swaps as on September 30, 2013 is Nil
- The Average Maturity Period of the Portfolio is 0.40 years

Name of the Instrument

(a) Listed / awaiting listing on Stock Exchange

9.20% Kotak Mahindra Prime Ltd \*\*

(b) Privately placed / Unlisted

Money Market Instruments

ING Vysya Bank Ltd \*\* #

Priental Bank of Commerce \*\* #

Clearing Corporation of India Ltd

\*\* Thinly Traded / Non Traded Security

Rating Sector

Net Receivables / (Payables)

Certificate of Deposit Kotak Mahindra Bank Ltd \*\* #

ndian Bank \*\* #

ICICI Bank Ltd \*\* #

CBLO / Reverse Repo

GRAND TOTAL

# Unlisted Security

Debt Instruments

Sub Total

Sub Total

Sub Total

Sub Total

Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs.	% of Net
				in Lacs)	Assets
Debt Instruments					
(a) Listed / awaiting listing on Stock Excl					
9.50% Housing Development Finance	INE001A07KP4	CRISIL AAA	390	3,882.07	18.62
Corporation Ltd **					
Sub Total				3,882.07	18.62
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				3,882.07	18.62
Money Market Instruments					
Certificate of Deposit					
The Federal Bank Ltd ** #	INE171A16EW5	CRISIL A1+	6,000	5,763.77	27.65
Axis Bank Ltd ** #	INE238A16RW4	CRISIL A1+	5,304	5,093.56	24.43
Indusind Bank Ltd ** #	INE095A16HG2	CRISIL A1+	2,285	2,187.63	10.49
Vijaya Bank ** #	INE705A16FQ9	CARE A1+	2,030	1,955.49	9.38
Central Bank of India ** #	INE483A16EW4	CARE A1+	650	620.01	2.97
Yes Bank Ltd ** #	INE528G16UA5	ICRA A1+	350	334.38	1.60
UCO Bank ** #	INE691A16GV9	CRISIL A1+	304	291.79	1.40
Vijaya Bank ** #	INE705A16FR7		304	291.74	1.40
Oriental Bank of Commerce ** #	INE141A16KD5		150	144.08	0.69
Oriental Bank of Commerce ** #	INE141A16JX5	CRISIL A1+	50	48.21	0.23
Sub Total				16,730.66	80.25
Total				16,730.66	80.25
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				31.00	0.15
Sub Total				31.00	0.15
Total				31.00	0.15
Net Receivables / (Payables)				204.15	0.98
GRAND TOTAL				20,847.88	100.00

# Unlisted Security

Details of transactions in Credit Default Swaps is as follows:

Counter Party details			Details of the underlying			Details of the underlying				Credit	(2)
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received	
-	-	-	-	-	-	-	-	-	-	-	1
Notes:											
<ol> <li>T</li> </ol>	The provision made for Non Performing Assets as of September 30, 2013 is Nil										

- Plan/Option wise Net Asset Values are as follows

Plan	As on September 30, 2013	As on March 28, 2013
Regular Plan - Growth Option	Rs. 10.4991	Rs. 10.0887
Regular Plan - Dividend Option	Rs. 10.4990	Rs. 10.0887
Regular Plan - Quarterly Dividend C	Option Rs. 10.2646	Rs. 10.0587
Direct Plan - Growth Option	Rs. 10.5045	Rs. 10.0894
Direct Plan - Dividend Option	Rs. 10.5046	Rs. 10.0894
Direct Plan - Quarterly Dividend Op	tion Rs. 10.2703	Rs. 10.0594
- ,		

- NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day. Dividend in the following plans was declared during the half year ended September 30, 2013
- Per Unit (Rs.) Regular Plan - Quarterly Dividend Option Rs. 0.2000

Direct Plan - Quarterly Dividend Option Details of derivatives exposure and transaction

- $Hedging \ Position through \ Futures \ as \ on \ September\ 30,2013 \ is \ Nil\ and \ for \ the\ half\ year\ ended\ September\ 30,2013 \ there \ were\ no\ hedging\ transactions\ through\ futures\ which\ have\ been\ squared\ off/expired.$
- Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared
- $Hedging\ Position\ through\ Put\ Options\ as\ on\ September\ 30,\ 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 30,\ 2013\ there\ were\ no\ hedging\ transactions\ through\ options\ which\ have\ exercised/expired.$
- $Other than \, Hedging \, Positions \, through \, Options \, as \, on \, September \, 30, 2013 \, is \, Nil \, and \, for \, the \, half \, year \, ended \, and \, ye$ September 30, 2013 there were no non-hedging transactions through options which have been exercised.
- Hedging Positions through swaps as on September 30, 2013 is Nil. The Average Maturity Period of the Portfolio is 0.43 years
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

#### BIRLA SUN LIFE FIXED TERM PLAN - SERIES GU (368 DAYS) (A CLOSE ENDED INCOME SCHEME)

PORTFOLIO AS ON SEPTEMBER 30, 2013

Name of the Instrument	ISIN	Rating	Quantity	Market	% of
		_		Value (Rs.	Net
				in Lacs)	Assets
Debt Instruments					
(a) Listed / awaiting listing on Stock Excha	nge				
Indiabulls Financial Services Ltd (ZCB) **	INE894F07477	CARE AA+	55	659.10	19.72
Sub Total				659.10	19.72
(b) Privately placed / Unlisted					
14.00% RHC Holding Pvt Ltd ** #	INE657K07163	CARE A1(SO)	63	625.20	18.71
Sub Total				625.20	18.71
Total				1,284.30	38.44
Money Market Instruments					
Certificate of Deposit					
Yes Bank Ltd ** #	INE528G16UM0	ICRA A1+	800	756.37	22.64
Sub Total				756.37	22.64
Commercial Paper					
Vodafone India Ltd ** #	INE705L14370	CRISIL A1+	200	947.86	28.37
Sesa Sterlite Ltd ** #	INE205A14176	CRISIL A1+	46	219.31	6.56
Sub Total				1,167.17	34.93
Total				1,923.54	57.57
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				89.00	2.66
Sub Total				89.00	2.66
Total				89.00	2.66
Net Receivables / (Payables)				44.66	1.34
GRAND TOTAL				3,341.50	100.00

# ZCB - Zero Coupon Bond

## # Unlisted Security

Count	er Party (	details	Details	of the under	rlying	I	Oetails of	the unde	rlying	Credit
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-

## Notes:

(1) The provision made for Non Performing Assets as of September 30, 2013 is Nil. (2) Plan/Option wise Net Asset Values are as follows:

Amount

Details of transactions in Credit Default Swaps is as follows

Name of

referenc

entity

Counter Party details Details of the underlying

Plan	As on September 30, 2013	As on March 28, 2013
Regular Plan - Growth Option	Rs. 10.3155	NA
Regular Plan - Dividend Option	Rs. 10.3155	NA
Regular Plan - Quarterly Dividend	Option Rs. 10.3159	NA
Direct Plan - Growth Option	Rs. 10.3203	NA
Direct Plan - Dividend Option	Rs. 10.3203	NA
Direct Plan - Quarterly Dividend O	ption Rs. 10.3173	NA

Residual

## ## Birla Sun Life Fixed Term Plan - Series GT has been launched during the current half year.

- (3) No dividend was declared during the half year ended September 30, 2013.
- Details of derivatives exposure and transaction:
  - Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September (4) 30, 2013 there were no hedging transactions through futures which have been squared off/expired.
  - Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended
  - September 30, 2013 there were no hedging transactions through options which have exercised/expired. Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended
  - September 30, 2013 there were no non-hedging transactions through options which have been exercised/
- Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 0.54 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

Details of transactions in Credit Default Swaps is as follows:

Count	er Party	details	Details	or the under	riying	1	Jetans of	tne unae	riying	Crean	
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received	
-	-	-	-	-	-	-	-	-	-	-	
Notes:											

- The provision made for Non Performing Assets as of September 30, 2013 is Nil. (1)
- Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2013	As on March 28, 2013 ##
Regular Plan - Growth Option	Rs. 10.3002	NA
Regular Plan - Dividend Option	Rs. 10.3002	NA
Regular Plan - Quarterly Dividend Option	Rs. 10.3002	NA
Direct Plan - Growth Option	Rs. 10.3060	NA
Direct Plan - Dividend Option	Rs. 10.3061	NA
irla Sun Life Fixed Term Plan - Series GU h	as been launched during the cu	rrent half year.

- ## Birla
- No dividend was declared during the half year ended September 30, 2013. Details of derivatives exposure and transaction:
- $(a) \qquad \text{Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September} \qquad (4)$
- 30, 2013 there were no hedging transactions through futures which have been squared off/expired. Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended  $September\,30,2013\ there\ were\ no\ non-hedging\ transactions\ through\ futures\ which\ have\ been\ squared$
- Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired.
- Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/
- (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 0.50 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

# Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil BIRLA SUN LIFE FIXED TERM PLAN - SERIES GV (368 DAYS)

(e) Hedging Positions through swaps as on September 30, 2013 is Nil.

The Average Maturity Period of the Portfolio is 0.44 years

#### (A CLOSE ENDED INCOME SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013 Name of the Instrument Market % of Value (Rs. Net Debt Instruments (a) Listed / awaiting listing on Stock Exchange 8.77% Housing Development Finance Corporation Ltd \*\* INE001A07LF3 CRISIL AAA 6,690.75 19.17 9.00% Kotak Mahindra Prime Ltd \*\* 13,369.61 38.30 NIL (b) Privately placed / Unlisted NIL NIL Total 13,369.61 38.30 Money Market Instruments Certificate of Deposit ICICI Bank Ltd \*\* # INF090A16ZE0 ICRA A1+ 10.500 9.951.41 28.51 The Federal Bank Ltd \*\* # INE171A16FI1 CRISIL A1+ 4,735.68 13.57 5,000 INE166A16IM7 CRISIL A1+ ING Vysya Bank Ltd \*\* # 4,262.11 Kotak Mahindra Bank I td. \*\* # INE237A16UH1 CRISIL A1+ 1.000 950.60 ING Vysya Bank Ltd \*\* # INE166A16ID6 CRISIL A1+ 1,000 950.02 INE141A16KD5 CRISIL A1+ 120.07 Oriental Bank of Commerce \*\* # Sub Total 20,969,89 60.08 20,969.89 60.08 CBLO / Reverse Repo

- GRAND TOTAL \*\* Thinly Traded / Non Traded Security
- # Unlisted Security

Clearing Corporation of India Ltd

Net Receivables / (Payables)

Details of transactions in Credit Default Swaps is as follows: Counter Party details Details of the underlying

Count	er Party	details	Details	of the unde	rlying	I	Oetails of	the unde	rlying	Credit
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received
- Notes:	-	-	-	-	-	-	-	-	-	-

Sub Total

- (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil (2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2013	As on March 28, 2013 ##
Regular Plan - Growth Option	Rs. 10.2736	NA
Regular Plan - Dividend Option	Rs. 10.2739	NA
Regular Plan - Quarterly Dividend Option	Rs. 10.2737	NA
Direct Plan - Growth Option	Rs. 10.2782	NA
Direct Plan - Dividend Option	Rs. 10.2709	NA
Direct Plan - Quarterly Dividend Option	Rs. 10.2782	NA
		4 40

## Birla Sun Life Fixed Term Plan - Series GV has been launched during the current half year.

- (3) No dividend was declared during the half year ended September 30, 2013.
  - Details of derivatives exposure and transaction:
  - (a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September  $30,2013\ there\ were\ no\ hedging\ transactions\ through\ futures\ which\ have\ been\ squared\ off/expired.$
  - Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared off/expired.
  - Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended  $September\ 30, 2013\ there\ were\ no\ hedging\ transactions\ through\ options\ which\ have\ exercised/expired.$
  - Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended  $September\ 30, 2013\ there\ were\ no\ non-hedging\ transactions\ through\ options\ which\ have\ been\ exercised/dependent options.$
  - (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- (5) The Average Maturity Period of the Portfolio is 0.56 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil

# ☑ Over 2.2 million investor scheme accounts



This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September, 2013.

### Half Yearly Portfolio Statement as at September 30, 2013

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996) BIRLA SUN LIFE FIXED TERM PLAN - SERIES GY (366 DAYS)

Name of the Instrument	ISIN	Rating	Quantity	Market	% of
				Value (Rs.	Net
				in Lacs)	Assets
Money Market Instruments					
Certificate of Deposit					
ICICI Bank Ltd ** #	INE090A16ZM3	ICRA A1+	885	835.21	29.97
Yes Bank Ltd ** #	INE528G16UQ1	ICRA A1+	885	834.63	29.95
Vijaya Bank ** #	INE705A16GP9	CARE A1+	640	608.54	21.84
The Federal Bank Ltd ** #	INE171A16FJ9	CRISIL A1+	500	472.37	16.95
Sub Total				2,750.75	98.71
Total				2,750.75	98.71
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				69.00	2.48
Sub Total				69.00	2.48
Total				69.00	2.48
Net Receivables / (Payables)				(33.08)	-1.19
GRAND TOTAL				2,786.67	100.00

\*\* Thinly Traded / Non Traded Security

#### # Unlisted Security

Details of transactions in Credit Default Swaps is as follows:

Count	er Party	details	Details	of the unde	rlying	I	Details of	the unde	rlying	Credit
Name	Rating		Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-

- The provision made for Non Performing Assets as of September 30, 2013 is Nil.
- (2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2013	As on March 28, 2013 ##
Regular Plan - Growth Option	Rs. 10.2087	NA
Regular Plan - Dividend Option	Rs. 10.2087	NA
Regular Plan - Quarterly Dividend Option	Rs. 10.2087	NA
Direct Plan - Growth Option	Rs. 10.2117	NA
Direct Plan - Dividend Option	Rs. 10.2118	NA
Direct Plan - Quarterly Dividend Option	Rs. 10.2120	NA

## Birla Sun Life Fixed Term Plan - Series GX has been launched during the current half year.

- (3) No dividend was declared during the half year ended September 30, 2013.
- Details of derivatives exposure and transaction:
  - Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired.
  - Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared
  - Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired.
  - Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/

BIRLA SUN LIFE FIXED TERM PLAN - SERIES HC (618 DAYS)

- Hedging Positions through swaps as on September 30, 2013 is Nil
- The Average Maturity Period of the Portfolio is 0.59 years
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil

Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs. in Lacs)	% of Net Assets
Debt Instruments					
(a) Listed / awaiting listing on Stock Ex	xchange				
8.75% Kotak Mahindra Prime Ltd ** Sub Total	INE916DA7501	CRISIL A1+	100	987.66 <b>987.66</b>	18.61 <b>18.61</b>
(b) Privately placed / Unlisted Sub Total Total				NIL NIL 987.66	NIL NIL 18.61
Money Market Instruments					
Certificate of Deposit					
ICICI Bank Ltd ** #	INE090A16ZI1	ICRA A1+	1,500	1,418.08	26.72
The Federal Bank Ltd ** #	INE171A16FJ9	CRISIL A1+	1,500	1,417.12	26.71
Yes Bank Ltd ** #	INE528G16UN8	ICRA A1+	1,500	1,417.12	26.71
Sub Total				4,252.32	80.14
Total				4,252.32	80.14
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				32.00	0.60
Sub Total				32.00	0.60
Total				32.00	0.60
Net Receivables / (Payables)				34.44	0.65
GRAND TOTAL				5,306.42	100.00

# Unlisted Security

Details of transactions in Credit Default Swaps is as follows:

Count	er Party	details	Details	Details of the underlying				Credit					
lame	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received			
		-		-	_					_			

- The provision made for Non Performing Assets as of September 30, 2013 is Nil
- Plan/Option wise Net Asset Values are as follows

Plan	As on September 30, 2013	As on March 28, 2013 ##
Regular Plan - Growth Option	Rs. 10.2304	NA
Regular Plan - Dividend Option	Rs. 10.2304	NA
Regular Plan - Quarterly Dividend Option	n Rs. 10.2304	NA
Direct Plan - Growth Option	Rs. 10.2337	NA
Direct Plan - Dividend Option	Rs. 10.2339	NA

## Birla Sun Life Fixed Term Plan - Series GY has been launched during the current half year.

- (3) No dividend was declared during the half year ended September 30, 2013.
- Details of derivatives exposure and transaction:
  - (a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30,2013 there were no hedging transactions through futures which have been squared off/expired.
  - Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended (3) September 30, 2013 there were no non-hedging transactions through futures which have been squared (4)
  - Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended  $September\ 30, 2013\ there\ were\ no\ hedging\ transactions\ through\ options\ which\ have\ exercised/expired.$
  - Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/
- (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 0.60 years
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

	CLOSE ENDED INCO TFOLIO AS ON SEPT				
Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs. in Lacs)	% of Net Assets
Debt Instruments					
(a) Listed / awaiting listing on Stock Ex		CDICTI III			10.05
Housing Development Finance Corporation Ltd (ZCB) **	INE001A07LC0	CRISIL AAA	660	6,826.99	18.85
Sub Total				6,826.99	18.85
(b) Privately placed / Unlisted				0,020.99 NIL	NIL
Sub Total				NIL	NIL
Total				6,826.99	18.85
Money Market Instruments				0,020.55	20100
Certificate of Deposit					
Yes Bank Ltd ** #	INE528G16TT7	ICRA A1+	6,300	6,036.36	16.66
Yes Bank Ltd ** #	INE528G16TA7	ICRA A1+	5,000	4,814.17	13.29
Oriental Bank of Commerce ** #	INE141A16MF6	CRISIL A1+	3,500	3,293.03	9.09
Karur Vysya Bank Ltd ** #	INE036D16DH7		2,500	2,396.75	6.62
The Federal Bank Ltd ** #	INE171A16EZ8		2,500	2,389.45	6.60
IDBI Bank Ltd ** #	INE008A16NV2		1,500	1,449.11	4.00
IDBI Bank Ltd ** #	INE008A16NW0	CRISIL A1+	1,000	965.45	2.67
Sub Total				21,344.32	58.92
Commercial Paper	D.IE20444.IE00	CDICHT 11	1.610	5.551.05	20.00
Bajaj Finance Ltd ** # Sub Total	INE296A14FO9	CRISIL AI+	1,640	7,571.85 <b>7,571.85</b>	20.90 20.90
				7,571.85	20.90
Treasury Bill 182 Days Tbill (MD 30/01/2014)	IN002013Y095	SOV	490,000	475.01	1.31
Sub Total	110020131093	301	490,000	475.01 475.01	1.31
Total				29,391.18	81.14
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				7.00	0.02
Sub Total				7.00	0.02
Total				7.00	0.02
Net Receivables / (Payables)				(1.84)	-0.01
GRAND TOTAL				36,223.33	100.00

ZCB - Zero Coupon Bond

\*\* Thinly Traded / Non Traded Security

# Unlisted Security

Details of transactions in Credit Default Swaps is as follows Counter Party details Details of the underlying Details of the underlying Name of Amount Residual Amount Tenor Price/ Pr

			the reference entity	(FV)	Maturity			Spread (BPS)	paid	payments received	
-	-	-	-	-	-	-	-	-	-	-	
Notes:											
	1		C M D	c · .		. 1 2	0.0010	N T * 1			

- The provision made for Non Performing Assets as of September 30, 2013 is Nil.
  - Plan/Option wise Net Asset Values are as follows: As on March 28, 2013 ## Plan As on September 30, 2013 Regular Plan - Growth Option Rs. 10.1638 Regular Plan - Dividend Option
    Regular Plan - Quarterly Dividend Option Direct Plan - Growth Option Rs. 10.1644 NA NA NA

Direct Plan - Quarterly Dividend Option Rs. 10.1643 ## Birla Sun Life Fixed Term Plan - Series HB has been launched during the current half year.

- No dividend was declared during the half year ended September 30, 2013.
- Details of derivatives exposure and transaction:
- Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired.
- Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared off/expired.
- Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired
- Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised

BIRLA SUN LIFE FIXED TERM PLAN - SERIES HE (386 DAYS)

(A CLOSE ENDED INCOME SCHEME)

- Hedging Positions through swaps as on September 30, 2013 is Nil. The Average Maturity Period of the Portfolio is 0.60 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

(A CLOSE ENDED INCOME SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013										
Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs. in Lacs)	% of Net Assets					
Debt Instruments										
(a) Listed / awaiting listing on Stock Excha	ange									
9.20% Housing Development Finance Corporation Ltd **	INE001A07KT6	CRISIL AAA	140	1,391.21	19.58					
9.64% Kotak Mahindra Prime Ltd **	INE916DA7634	CRISIL AA+	141	1,376.25	19.37					
9.38% National Bank For Agriculture and Rural Development **	INE261F09HB5	CRISIL AAA	133	1,326.96	18.68					
9.55% Power Finance Corporation Ltd **	INE134E08EC4	ICRA AAA	132	1,322.88	18.62					
9.36% Infrastructure Development Finance Company Ltd **	INE043D07BV7	ICRA AAA	127	1,266.79	17.83					
Sub Total				6,684.09	94.07					
(b) Privately placed / Unlisted Sub Total Total				NIL NIL 6,684.09	NIL NIL 94.07					
Money Market Instruments										
Certificate of Deposit										
ICICI Bank Ltd ** #	INE090A16D50	ICRA A1+	50	45.61	0.64					
Sub Total				45.61	0.64					
Total				45.61	0.64					
CBLO / Reverse Repo										
Clearing Corporation of India Ltd Sub Total				73.00 <b>73.00</b>	1.03 1.03					
Total				73.00	1.03					
Net Receivables / (Payables)				302.52	4.26					
GRAND TOTAL				7,105.22	100.00					
** Thinly Traded / Non Traded Security										
# Unlisted Security										
Details of transactions in Credit Default 5	Swaps is as follows	:								

Count	Counter Party details Details of the underlying				I	Details of	the unde	rlying	Credit	
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-

(1) The provision made for Non Performing Assets as of September 30, 2013 is Nil. (2) Plan/Option wise Net Asset Values are as follows

Plan	As on September 30, 2013	As on March 28, 2013 ##
Regular Plan - Growth Option	Rs. 10.1786	NA
Regular Plan - Dividend Option	Rs. 10.1786	NA
Regular Plan - Quarterly Dividend	Option Rs. 10.1786	NA
Direct Plan - Growth Option	Rs. 10.1807	NA
Direct Plan - Dividend Option	Rs. 10.1806	NA
Direct Plan - Quarterly Dividend C	Option Rs. 10.1807	NA

## Birla Sun Life Fixed Term Plan - Series HC has been launched during the current half year. No dividend was declared during the half year ended September 30, 2013.

- Details of derivatives exposure and transaction
- - Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired.
  - $Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30,2013 \ is \ Nil \ and \ for \ the \ half \ year \ ended \ the \ year \ ended \ year \ ended \ year \ ye$ September 30, 2013 there were no non-hedging transactions through futures which have been squared off/expired.
  - Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended
  - September 30, 2013 there were no non-hedging transactions through options which have been exercised (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 1.29 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

Value (Rs. Net in Lacs) Assets Money Market Instruments Certificate of Deposit ICICI Bank Ltd \*\* # INE090A16YO2 ICRA A1+ Vijaya Bank \*\* # INE705A16FT3 CARE A1+ 13,500 12,940.74 29.26 Yes Bank Ltd \*\* # INE528G16TT7 ICRA A1+ 13,500 12,935.06 29.25 INE168A16FL1 CRISIL A1+ Oriental Bank of Commerce \*\* # INE141A16KK0 CRISIL A1+ 1,900 1,823.50 4.12 Sub Total 44,190.77 99.93 44,190.77 CBLO / Reverse Repo Clearing Corporation of India Ltd 40.00 0.09 Sub Total 40.00 40.00 Net Receivables / (Payables (11.11)-0.03 GRAND TOTAL 44,219.66 100.00

BIRLA SUN LIFE FIXED TERM PLAN - SERIES HD (366 DAYS

(A CLOSE ENDED INCOME SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013

\*\* Thinly Traded / Non Traded Security

# Unlisted Security

Name of the Instrument

Details of transactions in Credit Default Swaps is as follows:

Counter Party details			Details of the underlying			Details of the underlying				Credit
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-

- (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.
- (2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2013	As on March 28, 2013 •
Regular Plan - Growth Option	Rs. 10.4458	Rs. 10.0338
Regular Plan - Dividend Option	Rs. 10.4458	Rs. 10.0338
Direct Plan - Growth Option	Rs. 10.4516	Rs. 10.0342
Direct Plan - Dividend Option	Rs. 10.4516	Rs. 10.0342

- NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.
- (3) No dividend was declared during the half year ended September 30, 2013.
- (4) Details of derivatives exposure and transaction:
  - (a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired.
  - Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared
  - (c) Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired.
  - Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/
  - (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 0.45 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

f	Name of the Instrument	ISIN	Rating	Quantity	Market	% of
t			, and the second	- •	Value (Rs.	Net
s					in Lacs)	Assets
	Money Market Instruments					
	Certificate of Deposit					
7	Bank of India ** #	INE084A16980	CRISIL A1+	1,000	957.76	26.73
5	Allahabad Bank ** #	INE428A16JG1	ICRA A1+	1,000	957.60	26.72
5	Andhra Bank ** #	INE434A16DP3	CARE A1+	1,000	953.92	26.62
2	Central Bank of India ** #	INE483A16EW4	CARE A1+	700	667.70	18.63
2	Sub Total				3,536.98	98.70
3	Total				3,536.98	98.70
3	CBLO / Reverse Repo					
	Clearing Corporation of India Ltd				47.00	1.31
)	Sub Total				47.00	1.31
,	Total				47.00	1.31
)	Net Receivables / (Payables)				(0.29)	-0.01

\*\* Thinly Traded / Non Traded Security # Unlisted Security

Details of transactions in Credit Default Swaps is as follows

Details of translations in Create Detailed waps to its 1800											
Count	Counter Party details			Details of the underlying			Details of the underlying				
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received	
-	-	-	-	-	-	-	-	-	-	-	

GRAND TOTAL

Market

- (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.
- (2) Plan/Option wise Net Asset Values are as follows:

Plan		As on September 30, 2013	As on March 28, 2013
Regular F	lan - Growth Option	Rs. 10.4161	Rs. 10.0315
Regular P	lan - Dividend Option	Rs. 10.4228	Rs. 10.0315
Direct Pla	nn - Growth Option	Rs. 10.4215	Rs. 10.0319
Direct Pla	nn - Dividend Option	Rs. 10.4053	Rs. 10.0319

- NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.
- (3) No dividend was declared during the half year ended September 30, 2013.
- Details of derivatives exposure and transaction
  - (a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired.
  - Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended  $September\ 30, 2013\ there\ were\ no\ non-hedging\ transactions\ through\ futures\ which\ have\ been\ squared$
  - Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired.
  - Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised
  - (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 0.46 years
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil

3.583.69 100.00

# ☑ Over 2.2 million investor scheme accounts



This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September, 2013.

### Half Yearly Portfolio Statement as at September 30, 2013

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996)

Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs. in Lacs)	% of Net Assets
Money Market Instruments					
Certificate of Deposit					
Bank of India ** #	INE084A16980	CRISIL A1+	1,500	1,436.63	28.08
Allahabad Bank ** #	INE428A16JG1	ICRA A1+	1,500	1,436.40	28.07
Andhra Bank ** #	INE434A16DP3	CARE A1+	1,500	1,430.88	27.97
Central Bank of India ** #	INE483A16EW4	CARE A1+	800	763.08	14.91
Sub Total				5,066.99	99.03
Total				5,066.99	99.03
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				50.00	0.98
Sub Total				50.00	0.98
Total				50.00	0.98
Total Net Receivables / (Payables)				(0.34)	-0.6
` • /				. ,	
GRAND TOTAL				5,116.65	100.0

<sup>\*\*</sup> Thinly Traded / Non Traded Security

#### # Unlisted Security

#### Details of transactions in Credit Default Swaps is as follows:

Counter Party details			Details	of the unde	rlying	Details of the underlying				Credit
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-

- The provision made for Non Performing Assets as of September 30, 2013 is Nil.
- (2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2013	As on March 28, 2013 •
Regular Plan - Growth Option	Rs. 10.4142	Rs. 10.0293
Regular Plan - Dividend Option	Rs. 10.4142	Rs. 10.0293
Regular Plan - Quarterly Dividend Option	Rs. 10.2620	Rs. 10.0293
Direct Plan - Growth Option	Rs. 10.4196	Rs. 10.0296
Direct Plan - Dividend Option	Rs. 10.4195	Rs. 10.0296
Direct Plan - Quarterly Dividend Option	Rs. 10.2675	Rs. 10.0296

### NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.

(3) Dividend in the following plans was declared during the half year ended September 30, 2013.

		Per Unit (Rs.)
	Regular Plan - Quarterly Dividend Option	Rs. 0.1500
	Direct Plan - Quarterly Dividend Option	Rs. 0.1500
45	Details of desired and an address of the second	

- Details of derivatives exposure and transaction
  - $(a) \qquad \text{Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and September 3$ 30, 2013 there were no hedging transactions through futures which have been squared off/expired.
  - Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared
  - Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended  $September\ 30, 2013\ there\ were\ no\ hedging\ transactions\ through\ options\ which\ have\ exercised/expired.$
  - Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised
- Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 0.47 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs. in Lacs)	% of Net Assets
Money Market Instruments					
Certificate of Deposit					
Yes Bank Ltd ** #	INE528G16UA5	ICRA A1+	1,650	1,576.39	30.01
ICICI Bank Ltd ** #	INE090A16YR5	ICRA A1+	1,645	1,574.56	29.98
IDBI Bank Ltd ** #	INE008A16PK0	CRISIL A1+	1,645	1,572.32	29.94
Oriental Bank of Commerce ** #	INE141A16KK0	CRISIL A1+	455	436.68	8.31
Oriental Bank of Commerce ** #	INE141A16KD5	CRISIL A1+	50	48.03	0.91
Sub Total				5,207.98	99.16
Total				5,207.98	99.16
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				44.00	0.84
Sub Total				44.00	0.84
Total				44.00	0.84
Net Receivables / (Payables)				0.29	0.01
GRAND TOTAL				5,252.27	100.00

<sup>\*\*</sup> Thinly Traded / Non Traded Security

# Unlisted Security

Co Na

Notes:

Details of transactions in Credit Default Swaps is as follows:

ounter Party details			Details of the underlying			I	rlying	Credit			
me	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received	
-	-	-	-	-	-	-	-	-	-	-	

- (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.
- (2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2013	As on March 28, 2013 •
Regular Plan - Growth Option	Rs. 10.4152	Rs. 10.0205
Regular Plan - Dividend Option	Rs. 10.4152	Rs. 10.0205
Direct Plan - Growth Option	Rs. 10.4206	Rs. 10.0208
Direct Plan - Dividend Option	Rs. 10.4208	Rs. 10.0208

- · NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day
- No dividend was declared during the half year ended September 30, 2013.
- Details of derivatives exposure and transaction
  - Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired.
  - Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended  $September\ 30, 2013\ there\ were\ no\ non-hedging\ transactions\ through\ futures\ which\ have\ been\ squared$
  - Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired.
  - Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended  $September\ 30, 2013\ there\ were\ no\ non-hedging\ transactions\ through\ options\ which\ have\ been\ exercised/$
  - Hedging Positions through swaps as on September 30, 2013 is Nil
- The Average Maturity Period of the Portfolio is 0.47 years
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

- (A CLOSE ENDED INCOME SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013 Name of the Instrument % of ISIN Rating Quantity Market Value (Rs. Net in Lacs) Assets Money Market Instruments Certificate of Deposit ICICI Bank Ltd \*\* # INE090A16YU9 ICRA A1+ 674.13 29.94 Yes Bank Ltd \*\* # INE528G16TX9 ICRA A1+ 700 669.61 29.74 Indusind Bank Ltd \*\* # INF095A16HP3 CRISH A1+ 700 669.40 29.73 Oriental Bank of Commerce \*\* # INE141A16JX5 CRISIL A1+ 100 96.42 4.28 Oriental Bank of Commerce \*\* # INE141A16KK0 CRISIL A1+ 95.97 4.26 Sub Total 2,205.53 97.95 2,205.53 97.95 CBLO / Reverse Repo 46.00 Clearing Corporation of India Ltd Sub Total 46.00 2.04 46.00 2.04 Net Receivables / (Payables) 0.15 0.01 GRAND TOTAL 2,251.68 100.00
- \*\* Thinly Traded / Non Traded Security
- # Unlisted Security

Details of transactions in Credit Default Swaps is as follows:

	Count	er Party	details	Details	of the unde	rlying	g Details of the underlying			Credit	
N	Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received
	-	-	-	-	-	-	-	-	-	-	-

- (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.
- (2) Plan/Option wise Net Asset Values are as follows

Plan	As on September 30, 2013	As on March 28, 2013 •
Regular Plan - Growth Option	Rs. 10.4043	Rs. 10.0112
Regular Plan - Dividend Option	Rs. 10.4043	Rs. 10.0112
Regular Plan - Quarterly Dividend Option	n Rs. 10.2521	Rs. 10.0112
Direct Plan - Growth Option	Rs. 10.4096	Rs. 10.0114
Direct Plan - Dividend Option	Rs. 10.4094	Rs. 10.0114
Direct Plan - Quarterly Dividend Option	Rs. 10.2573	Rs. 10.0114

- NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.
- (3) Dividend in the following plans was declared during the half year ended September 30, 2013.

	Per Unit (Rs.)
Regular Plan - Quarterly Dividend Option	Rs. 0.1500
Direct Plan - Quarterly Dividend Option	Rs. 0.1500

- Details of derivatives exposure and transaction:
- $(a) \qquad \text{Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and September 30, 2013 is Nil and$  $30,2013\ there\ were\ no\ hedging\ transactions\ through\ futures\ which\ have\ been\ squared\ off/expired.$
- Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared off/expired.
- Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired.
- Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised.
- (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 0.46 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

# BIRLA SUN LIFE FIXED TERM PLAN - SERIES HI (367 DAYS (A CLOSE ENDED INCOME SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013

Name of the Instrument	ISIN	Rating	Quantity	Market	% of
				Value (Rs.	Net
				in Lacs)	Assets
Money Market Instruments					
Certificate of Deposit					
Bank of Maharashtra ** #	INE457A16CW2	CRISIL A1+	3,500	3,237.40	28.44
State Bank of Patiala ** #	INE652A16HB1	ICRA A1+	3,500	3,235.83	28.43
ICICI Bank Ltd ** #	INE090A16B60	ICRA A1+	3,500	3,235.83	28.43
Axis Bank Ltd ** #	INE238A16SN1	CRISIL A1+	1,750	1,617.91	14.21
Sub Total				11,326.97	99.50
Total				11,326.97	99.50
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				56.00	0.49
Sub Total				56.00	0.49
Total				56.00	0.49
Net Receivables / (Payables)				0.47	\$
GRAND TOTAL				11,383.44	100.00

- \*\* Thinly Traded / Non Traded Security
- \$ Less Than 0.01% of Net Asset Value

## Details of transactions in Credit Default Swaps is as follows:

Counter Party details			Details	Details of the underlying			Details of	rlying	Credit	Н	
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received	
- Notes:	-	-	-	-	-	-	-	-	-	-	L

- (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.
- (2) Plan/Option wise Net Asset Values are as follows:

As on September 30, 2013	As on March 28, 2013 #
Rs. 10.1672	NA
Rs. 10.1706	NA
Rs. 10.1672	NA
Rs. 10.1677	NA
Rs. 10.1677	NA
	Rs. 10.1672 Rs. 10.1706 Rs. 10.1672 Rs. 10.1677

- ## Birla Sun Life Fixed Term Plan Series HI has been launched during the current half year.
- (3) No dividend was declared during the half year ended September 30, 2013.
- - Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September  $30, 2013 \ there \ were \ no \ hedging \ transactions \ through \ futures \ which \ have \ been \ squared \ off/expired.$
  - Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared
  - Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired
  - Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended  $September 30, 2013 \ there \ were \ no \ non-hedging \ transactions \ through \ options \ which \ have \ been \ exercised \ decided as \ decided \ dec$
- Hedging Positions through swaps as on September 30, 2013 is Nil
- The Average Maturity Period of the Portfolio is 0.85 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

#### BIRLA SUN LIFE FIXED TERM PLAN - SERIES HJ (369 DAYS (A CLOSE ENDED INCOME SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013

			value (Ks.	Net
			in Lacs)	Assets
INE237A16VX6	CRISIL A1+	2,100	1,939.61	24.50
INE457A16DC2	CRISIL A1+	2,000	1,847.24	23.34
INE090A16B86	ICRA A1+	2,000	1,847.24	23.34
INE238A16SO9	CRISIL A1+	2,000	1,847.24	23.34
			7,481.33	94.51
IN002013Z100	SOV	450,000	417.58	5.28
			417.58	5.28
			7,898.91	99.79
			16.00	0.20
			16.00	0.20
			16.00	0.20
			0.68	0.01
			7,915.59	100.00
	INE457A16DC2 INE090A16B86 INE238A16SO9	INE237A16VX6 CRISIL A1+ INE457A16DC2 CRISIL A1+ INE090A16B86 ICRA A1+ INE238A16SO9 CRISIL A1+ IN002013Z100 SOV	INE457A16DC2 CRISIL A1+ 2,000 INE090A16B86 ICRA A1+ 2,000 INE238A16SO9 CRISIL A1+ 2,000	INE237A16VX6 CRISIL A1+ 2,100 1,939.61 INE457A16DC2 CRISIL A1+ 2,000 1,847.24 INE090A16B86 ICRA A1+ 2,000 1,847.24 INE238A16SO9 CRISIL A1+ 2,000 1,847.24 7,481.33 IN002013Z100 SOV 450,000 417.58 417.58 7,898.91 16.00 16.00 16.00 0.68

- \*\* Thinly Traded / Non Traded Security
- # Unlisted Security

Name of the Instrument

Details of transactions in Credit Default Swaps is as follows:

Counter Party details			Details	Details of the underlying				Credit		
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-
lotes:										

- The provision made for Non Performing Assets as of September 30, 2013 is Nil
- (2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2013	As on March 28, 2013 ##
Regular Plan - Growth Option	Rs. 10.1651	NA
Regular Plan - Dividend Option	Rs. 10.1531	NA
Regular Plan - Quarterly Dividend Option	Rs. 10.1650	NA
Direct Plan - Growth Option	Rs. 10.1655	NA
Direct Plan - Dividend Option	Rs. 10.1655	NA
Direct Plan - Quarterly Dividend Option	Rs. 10.1655	NA

- ## Birla Sun Life Fixed Term Plan Series HJ has been launched during the current half year.
- No dividend was declared during the half year ended September 30, 2013. Details of derivatives exposure and transaction:
  - (a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September (4) 30, 2013 there were no hedging transactions through futures which have been squared off/expired.
  - Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended  $September\ 30, 2013\ there\ were\ no\ non-hedging\ transactions\ through\ futures\ which\ have\ been\ squared$
  - Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired.
  - Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/
  - (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 0.86 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

#### BIRLA SUN LIFE FIXED TERM PLAN - SERIES HK (366 DAYS) (A CLOSE ENDED INCOME SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013

Ouantity

Market

% of

Name of the first differe	BIT	Rating	Quantity	Value (Rs. in Lacs)	Net Assets
Money Market Instruments				III Lacs)	Assets
Certificate of Deposit					
Central Bank of India ** #	INE483A16FV3	CRISIL A1+	5,000	4,619.92	26.73
Indusind Bank Ltd ** #	INE095A16IL0		5,000	4,614.39	26.70
Sub Total				9,234.31	53.44
Commercial Paper					
Rural Electrification Corporation Ltd ** #	INE020B14185	CARE A1+	787	3,649.88	21.12
Sub Total				3,649.88	21.12
Treasury Bill					
364 Days Tbill (MD 07/08/2014)	IN002013Z100	SOV	4,720,000	4,379.98	25.35
Sub Total				4,379.98	25.35
Total				17,264.17	99.91
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				16.00	0.09
Sub Total				16.00	0.09
Total				16.00	0.09
Net Receivables / (Payables)				0.30	\$
GRAND TOTAL		·	·	17,280.47	100.00

- \*\* Thinly Traded / Non Traded Security

% of Name of the Instrument

Market

- \$ Less Than 0.01% of Net Asset Value
- Details of transactions in Credit Default Swaps is as follows:

Count	er Party	details	Details	Details of the underlying				Credit		
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	_	-	-

- Notes:
- (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.
- (2) Plan/Option wise Net Asset Values are as follows:

Plan		As on September 30, 2013	As on March 28, 2013
rian		As on September 50, 2015	AS OH March 20, 2015
Regular	Plan - Growth Option	Rs. 10.1908	NA
Regular	Plan - Dividend Option	Rs. 10.1908	NA
Regular	Plan - Quarterly Dividend Option	n Rs. 10.1908	NA
Direct F	Plan - Growth Option	Rs. 10.1912	NA

## Birla Sun Life Fixed Term Plan - Series HK has been launched during the current half year.

- No dividend was declared during the half year ended September 30, 2013.
- - (a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September  $30,2013\ there\ were\ no\ hedging\ transactions\ through\ futures\ which\ have\ been\ squared\ off/expired.$
  - Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended  $September\ 30,2013\ there\ were\ no\ non-hedging\ transactions\ through\ futures\ which\ have\ been\ squared$
  - Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired.
  - Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/
- (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 0.86 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil

## **☑** Over 2.2 million investor scheme accounts





### Half Yearly Portfolio Statement as at September 30, 2013

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996) BIRLA SUN LIFE FIXED TERM PLAN - SERIES HM (366 DAYS)

(A CLOSE ENDED INCOME SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013										
Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs. in Lacs)	% o					
Debt Instruments				·						
(a) Listed / awaiting listing on Stock Exc										
9.65% Housing Development Finance Corporation Ltd **	INE001A07HD6	CRISIL AAA	900	9,024.15	13.86					
9.60% Housing Development Finance Corporation Ltd **	INE001A07IZ7	CRISIL AAA	385	3,857.81	5.93					
Sub Total				12,881.96	19.79					
(b) Privately placed / Unlisted				NIL	NII					
Sub Total				NIL	NII					
Total				12,881.96	19.79					
Money Market Instruments										
Certificate of Deposit										
Axis Bank Ltd ** #	INE238A16SY8		20,000	18,427.62	28.31					
IDBI Bank Ltd ** #	INE008A16QX1		18,000	16,584.86	25.48					
Kotak Mahindra Bank Ltd ** #	INE237A16WA2		15,600	14,373.54	22.08					
United Bank of India ** #	INE695A16IG7	CARE A1+	2,800	2,576.81	3.96					
Sub Total				51,962.83	79.83					
Total				51,962.83	79.83					
CBLO / Reverse Repo										
Clearing Corporation of India Ltd				88.00	0.14					
Sub Total				88.00	0.14					
Total				88.00	0.14					
Net Receivables / (Payables)				163.08	0.25					
GRAND TOTAL				65,095.87	100.00					

#### \*\* Thinly Traded / Non Traded Security

#### # Unlisted Security

Details of transactions in Credit Default Swaps is as follows:

Counter Party details			Details of the underlying			Details of the underlying				Credit	
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received	
-	-	-	-	-	-	-	-	-	-	-	

- (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil
- (2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2013	As on March 28, 2013 ##
Regular Plan - Growth Option	Rs. 10.1927	NA
Regular Plan - Dividend Option	Rs. 10.1927	NA
Regular Plan - Quarterly Dividend Option	Rs. 10.1927	NA
Direct Plan - Growth Option	Rs. 10.1932	NA
Direct Plan - Dividend Option	Rs. 10.1932	NA
Direct Plan - Quarterly Dividend Option	Rs. 10.1932	NA
D: 1 C T:C E: 1E D1 C : TIT 1	1 1 1 1 1 1 1	.1.10

#### ## Birla Sun Life Fixed Term Plan - Series HL has been launched during the current half year.

- No dividend was declared during the half year ended September 30, 2013.
- Details of derivatives exposure and transaction:
- Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired.
- Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared off/
- Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30,2013 there were no hedging transactions through options which have exercised/expired
- Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/ expired.
- Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 0.88 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

Name of the Instrument	ISIN	Rating	Quantity	Market	% o
				Value (Rs.	Ne
				in Lacs)	Assets
Money Market Instruments					
Certificate of Deposit					
ING Vysya Bank Ltd ** #	INE166A16JY0	CRISIL A1+	7,700	7,107.65	28.41
Kotak Mahindra Bank Ltd ** #	INE237A16WD6	CRISIL A1+	7,700	7,107.65	28.41
IDBI Bank Ltd ** #	INE008A16RK6	CRISIL A1+	7,500	6,912.10	27.63
The Jammu & Kashmir Bank Ltd ** #	INE168A16GS4	CRISIL A1+	4,200	3,858.57	15.42
Sub Total				24,985.97	99.87
Total				24,985.97	99.87
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				42.00	0.17
Sub Total				42.00	0.17
Total				42.00	0.17
Net Receivables / (Payables)				(8.74)	-0.03
GRAND TOTAL				25,019.23	100.00

#### # Unlisted Security

Details of transactions in Credit Default Swaps is as follows:

Counter Party details			Details of the underlying			Details of the underlying				Credit	υ
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received	ľ
_	_	_	_	_	_	_	_	_	_	_	

- The provision made for Non Performing Assets as of September 30, 2013 is Nil.
- Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2013	As on March 28, 2013 ##
Regular Plan - Growth Option	Rs. 10.1991	NA
Regular Plan - Dividend Option	Rs. 10.1993	NA
Regular Plan - Quarterly Dividend Option	Rs. 10.1991	NA
Direct Plan - Growth Option	Rs. 10.2006	NA
Direct Plan - Dividend Option	Rs. 10.2006	NA
Direct Plan - Quarterly Dividend Option	Rs. 10.2006	NA

#### ## Birla Sun Life Fixed Term Plan - Series HM has been launched during the current half year.

- (3) No dividend was declared during the half year ended September 30, 2013.
- Details of derivatives exposure and transaction
  - (a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired.
  - Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended  $September\ 30, 2013\ there\ were\ no\ non-hedging\ transactions\ through\ futures\ which\ have\ been\ squared$
  - Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended  $September\ 30, 2013\ there\ were\ no\ hedging\ transactions\ through\ options\ which\ have\ exercised/expired.$
  - Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended  $September \, 30,2013 \, there \, were \, no \, non-hedging \, transactions \, through \, options \, which \, have \, been \, exercised/$
  - (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 0.91 years
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

(A CLOSE ENDED INCOME SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013						
Name of the Instrument	ISIN	Rating	Quantity	Market	% (	
				Value (Rs.	Ne	
				in Lacs)	Asset	
Money Market Instruments						
Certificate of Deposit						
UCO Bank ** #	INE691A16GV9	CRISIL A1+	1,905	1,828.49	29.9	
Dena Bank ** #	INE077A16AA8	CRISIL A1+	1,905	1,827.47	29.9	
Vijaya Bank ** #	INE705A16FQ9	CARE A1+	1,440	1,387.15	22.7	
ICICI Bank Ltd ** #	INE090A16YK0	ICRA A1+	500	480.16	7.8	
Punjab National Bank ** #	INE160A16JC9	CARE A1+	500	479.56	7.8	
Axis Bank Ltd ** #	INE238A16TS8	CRISIL A1+	45	44.24	0.7	
Sub Total				6,047.07	99.	
Total				6,047.07	99.1	
CBLO / Reverse Repo						
Clearing Corporation of India Ltd				59.00	0.9	
Sub Total				59.00	0.9	
Total				59.00	0.9	
Net Receivables / (Payables)				(4.20)	-0.0	
GRAND TOTAL				6,101.87	100.0	

#### \*\* Thinly Traded / Non Traded Security

Details of transactions in Credit Default Swaps is as follows:

Count	er Party	details	Details of the underlying			Details of the underlying				Credit
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-

- (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.
- (2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2013	As on March 28, 2013 ##
Regular Plan - Growth Option	Rs. 10.1811	NA
Regular Plan - Dividend Option	Rs. 10.1811	NA
Direct Plan - Growth Option	Rs. 10.1820	NA
Direct Plan - Dividend Option	Rs. 10.1820	NA

#### $\hbox{\it \#H Birla Sun Life Fixed Term Plan-Series HN has been launched during the current half year.}$

- (3) No dividend was declared during the half year ended September 30, 2013.
- Details of derivatives exposure and transaction
  - (a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired.
  - Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared
  - Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired.
  - Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised. expired.
  - (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 0.43 years
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs. in Lacs)	% of Net Assets
Money Market Instruments					
Certificate of Deposit					
IDBI Bank Ltd ** #	INE008A16RJ8	CRISIL A1+	19,000	18,714.66	27.34
The Jammu & Kashmir Bank Ltd ** #	INE168A16GU0	CRISIL A1+	18,000	17,726.35	25.90
State Bank of Patiala ** #	INE652A16HK2	ICRA A1+	17,500	17,233.15	25.18
South Indian Bank Ltd ** #	INE683A16BP9	CARE A1+	15,000	14,771.85	21.58
Sub Total				68,446.01	100.00
Total				68,446.01	100.0
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				27.00	0.0
Sub Total				27.00	0.04
Total				27.00	0.04
Net Receivables / (Payables)				(27.81)	-0.04
GRAND TOTAL			•	68,445.20	100.00

## Details of transactions in Credit Default Swaps is as follows:

Count	er Party	details	Details	of the unde	rlying	Details of the underlying			Credit	
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-

## Notes:

- (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.
- (2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2013	As on March 28, 2013 ##
Regular Plan - Growth Option	Rs. 10.1316	NA
Regular Plan - Dividend Option	Rs. 10.1215	NA
Direct Plan - Growth Option	Rs. 10.1320	NA
Direct Plan - Dividend Option	Rs. 10.0570	NA

## ## Birla Sun Life Fixed Term Plan - Series HO has been launched during the current half year

(3) Dividend in the following plans was declared during the half year ended September 30, 2013.

Regular Plan - Dividend Option	0.0100
Direct Plan - Dividend Option	0.0750
Details of derivatives exposure and transaction:	

- - (a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired
  - Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared
  - Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired.
  - Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised.
  - Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 0.16 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

### BIRLA SUN LIFE FIXED TERM PLAN - SERIES HQ (368 DAYS) (A CLOSE ENDED INCOME SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013 Name of the Instrument

			Value (Rs.	Net
			in Lacs)	Assets
Money Market Instruments				
Certificate of Deposit				
IDBI Bank Ltd ** #	INE008A16RO8 CRISIL A1+	3,000	2,756.78	25.90
ICICI Bank Ltd ** #	INE090A16C77 ICRA A1+	3,000	2,756.78	25.90
Bank of Maharashtra ** #	INE457A16DF5 CRISIL A1+	3,000	2,756.78	25.90
State Bank of Hyderabad ** #	INE649A16EA6 ICRA A1+	1,500	1,378.39	12.95
Kotak Mahindra Bank Ltd ** #	INE237A16WE4 CRISIL A1+	1,082	994.28	9.34
Sub Total			10,643.01	99.99
Total			10,643.01	99.99
CBLO / Reverse Repo				
Clearing Corporation of India Ltd			12.00	0.11
Sub Total			12.00	0.11
Total			12.00	0.11
Net Receivables / (Payables)			(10.65)	-0.10
GRAND TOTAL			10,644.36	100.00

\*\* Thinly Traded / Non Traded Security

## # Unlisted Security

## Details of transactions in Credit Default Swaps is as follows:

	<u> </u>										
Count	er Party o	details	Details	Details of the underlying			Details of the underlying			Credit	
lame	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received	
-	-	-	-	-	-	-	•	•	-	-	

- (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.
- (2) Plan/Option wise Net Asset Values are as follows:

1		
Plan	As on September 30, 2013	As on March 28, 2013 ##
Regular Plan - Growth Option	Rs. 10.1876	NA
Regular Plan - Dividend Option	Rs. 10.1876	NA
Regular Plan - Quarterly Dividend Op	tion Rs. 10.1876	NA
Direct Plan - Growth Option	Rs. 10.1889	NA
Direct Plan - Dividend Option	Rs. 10.1890	NA
Direct Plan - Quarterly Dividend Opti	on Rs. 10.1889	NA

- ## Birla Sun Life Fixed Term Plan Series HQ has been launched during the current half year.
- (4) Details of derivatives exposure and transaction:
- - (a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired.
  - Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared
  - (c) Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired.
  - September 30, 2013 there were no non-hedging transactions through options which have been exercised/
- The Average Maturity Period of the Portfolio is 0.92 years.

Market

Plan	As on September 30, 2013	As on March 28, 2013 ##
Regular Plan - Growth Option	Rs. 10.1876	NA
Regular Plan - Dividend Option	Rs. 10.1876	NA
Regular Plan - Quarterly Dividend Option	n Rs. 10.1876	NA
Direct Plan - Growth Option	Rs. 10.1889	NA
Direct Plan - Dividend Option	Rs. 10.1890	NA
Direct Plan - Quarterly Dividend Option	Rs. 10.1889	NA

- (3) No dividend was declared during the half year ended September 30, 2013.

  - Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended
  - (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

BIRLA SUN LIFE FIXED TERM PLAN - SERIES HR (1096 DAYS) (A CLOSE ENDED INCOME SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013

Quantity

Market

% of

				Value (Rs.	Net
				in Lacs)	Assets
Debt Instruments					
(a) Listed / awaiting listing on Stock Excha	ange				
9.40% Tata Motors Finance Ltd **	INE909H07AU4	CRISIL AA-	175	1,694.85	19.37
10.57% LIC Housing Finance Ltd **	INE115A07EG4	CRISIL AAA	150	1,533.97	17.53
9.45% Rural Electrification	INE020B08583	CRISIL AAA	150	1,489.86	17.03
Corporation Ltd **					
9.40% National Bank For Agriculture	INE261F09HN0	CRISIL AAA	150	1,488.15	17.01
and Rural Development **					
8.27% Power Finance Corporation Ltd **	INE134E08FV1	CRISIL AAA	150	1,449.13	16.56
Sub Total				7,655.96	87.49
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				7,655.96	87.49
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				936.00	10.70
Sub Total				936.00	10.70
Total				936.00	10.70
Net Receivables / (Payables)				158.82	1.81
GRAND TOTAL				8,750.78	100.00

\*\* Thinly Traded / Non Traded Security

## Details of transactions in Credit Default Swaps is as follows:

Count	er Party	details	Details	Details of the underlying			Details of the underlying			
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-

% of Name of the Instrument

- (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.
- (2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2013	As on March 28, 2013 ##
Regular Plan - Growth Option	Rs. 10.0726	NA
Regular Plan - Dividend Option	Rs. 10.0726	NA
Regular Plan - Quarterly Dividend Option	n Rs. 10.0726	NA
Direct Plan - Growth Option	Rs. 10.0760	NA
Direct Plan - Dividend Option	Rs. 10.0760	NA
Direct Plan - Quarterly Dividend Option	Rs. 10.0760	NA

## Birla Sun Life Fixed Term Plan - Series HR has been launched during the current half year.

- (3) No dividend was declared during the half year ended September 30, 2013.
- Details of derivatives exposure and transaction:
  - (a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired. (b) Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended
  - September 30, 2013 there were no non-hedging transactions through futures which have been squared Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended
  - $September \, 30, 2013 \, there \, were \, no \, hedging \, transactions \, through \, options \, which \, have \, exercised/expired.$
  - Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/
  - (e) Hedging Positions through swaps as on September 30, 2013 is Nil. The Average Maturity Period of the Portfolio is 2.45 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

# ☑ Over 2.2 million investor scheme accounts



This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September, 2013.

### Half Yearly Portfolio Statement as at September 30, 2013

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996)

Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs. in Lacs)	% of Net Assets
Money Market Instruments					
Certificate of Deposit					
Corporation Bank ** #	INE112A16EA5	CRISIL A1+	8,500	7,812.37	28.37
Indian Bank ** #	INE562A16DU1	IND A1+	8,500	7,805.22	28.34
IDBI Bank Ltd ** #	INE008A16RV3	CRISIL A1+	8,500	7,778.74	28.24
State Bank of Patiala ** #	INE652A16HM8	ICRA A1+	3,000	2,756.29	10.01
United Bank of India ** #	INE695A16II3	CARE A1+	1,400	1,283.98	4.66
Sub Total				27,436.60	99.62
Total				27,436.60	99.62
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				48.00	0.17
Sub Total				48.00	0.17
Total				48.00	0.17
Net Receivables / (Payables)				56.44	0.20
GRAND TOTAL				27,541.04	100.00

<sup>\*\*</sup> Thinly Traded / Non Traded Security

#### # Unlisted Security

Details of transactions in Credit Default Swaps is as follows:

	Counter Party details			Details	Details of the underlying			Details of the underlying			Credit	Details of transaction			Credit Defa	ult Swaps is	s as follows	:
l	Name	Rating	Sector	Name of	Amount	Residual	Amount	Tenor	Price/	Premium	event payments	Cour	iter Party	details	Details	of the under	rlying	Γ
ı				the	(FV)	Maturity			Spread	paid	received	Name	Rating	Sector	Name of	Amount	Residual	1
				reference					(BPS)			1	1444	Sector	the	(FV)	Maturity	1
				entity											reference	( ,		
ı	-	-	-	-	_	-	-	-	-	-	-				entity			

- (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.
- (2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2013	As on March 28, 2013 ##
Regular Plan - Growth Option	Rs. 10.1544	NA
Regular Plan - Dividend Option	Rs. 10.1543	NA
Regular Plan - Quarterly Dividend Option	Rs. 10.1543	NA
Direct Plan - Growth Option	Rs. 10.1554	NA
Direct Plan - Dividend Option	Rs. 10.1554	NA
Direct Plan - Quarterly Dividend Option	Rs. 10.1555	NA

## Birla Sun Life Fixed Term Plan - Series HS has been launched during the current half year.

- (3) No dividend was declared during the half year ended September 30, 2013.
- Details of derivatives exposure and transaction:
  - Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September  $30, 2013\ there\ were\ no\ hedging\ transactions\ through\ futures\ which\ have\ been\ squared\ off/expired.$
  - Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared
  - Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired
  - Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/
  - Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 0.93 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs. in Lacs)	% of Net Assets
Money Market Instruments					
Certificate of Deposit					
Syndicate Bank ** #	INE667A16CG9	CARE A1+	9,820	9,652.04	29.95
Vijaya Bank ** #	INE705A16HR3	CARE A1+	9,500	9,339.42	28.98
Allahabad Bank ** #	INE428A16KR6	ICRA A1+	9,500	9,339.42	28.98
State Bank of Travancore ** #	INE654A16DH3	CRISIL A1+	2,500	2,458.66	7.63
Axis Bank Ltd ** #	INE238A16TS8	CRISIL A1+	1,455	1,430.57	4.44
Sub Total				32,220.11	99.99
Total				32,220.11	99.99
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				4.00	0.01
Sub Total				4.00	0.01
Total				4.00	0.01
Net Receivables / (Payables)				(1.39)	\$
GRAND TOTAL				32,222.72	100.00

\*\* Thinly Traded / Non Traded Security

# Unlisted Security

\$ Less Than 0.01% of Net Asset Value

Count	er Party	details	Details	Details of the underlying			Details of the underlying			
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-

#### Notes:

- (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.
- (2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2013	As on March 28, 2013 ##
Regular Plan - Growth Option	Rs. 10.0859	NA
Regular Plan - Dividend Option	Rs. 10.0859	NA
Direct Plan - Growth Option	Rs. 10.0862	NA
Direct Plan - Dividend Option	Rs. 10.0862	NA

## Birla Sun Life Fixed Term Plan - Series HT has been launched during the current half year.

- No dividend was declared during the half year ended September 30, 2013.
- Details of derivatives exposure and transaction:
  - Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September  $30, 2013\ there\ were\ no\ hedging\ transactions\ through\ futures\ which\ have\ been\ squared\ off/expired.$
  - Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared
  - Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended  $September\ 30, 2013\ there\ were\ no\ hedging\ transactions\ through\ options\ which\ have\ exercised/expired.$
  - Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/
  - (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 0.18 years
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

			*		(A CLOSE ENDED INCOME SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013									
Name of the Instrument	ISIN	Rating	Quantity	Market	% of									
		-		Value (Rs.	Net									
				in Lacs)	Assets									
Money Market Instruments														
Certificate of Deposit														
Bank of Maharashtra ** #	INE457A16CT8	CRISIL A1+	3,000	2,998.21	17.75									
Sub Total				2,998.21	17.75									
Treasury Bill														
91 Days Tbill (MD 03/10/2013)	IN002013X139	SOV	10,400,000	10,394.04	61.55									
Sub Total				10,394.04	61.55									
Total				13,392.25	79.31									
CBLO / Reverse Repo														
Clearing Corporation of India Ltd				3,480.76	20.61									
Clearing Corporation of India Ltd				8.00	0.05									
Sub Total				3,488.76	20.66									
Total				3,488.76	20.66									
Net Receivables / (Payables)				5.67	0.03									
GRAND TOTAL				16,886.68	100.00									

\*\* Thinly Traded / Non Traded Security

# Unlisted Security

Details of transactions in Credit Default Swaps is as follows:

Co	unt	er Party	details	Details of the underlying			I	Credit			
Nai	me	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received
		-	-	-	-	-	-	-	-	-	-

- (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.
- (2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2013	As on March 28, 2013 #
Regular Plan - Growth Option	Rs. 10.0802	NA
Regular Plan - Dividend Option	Rs. 10.0804	NA
Direct Plan - Growth Option	Rs. 10.0809	NA
Direct Plan - Dividend Ontion	Ps 10.0807	NΔ

## Birla Sun Life Fixed Term Plan - Series HU has been launched during the current half year.

- (3) No dividend was declared during the half year ended September 30, 2013.
- Details of derivatives exposure and transaction:
  - (a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and Year  $30,2013\ there\ were\ no\ hedging\ transactions\ through\ futures\ which\ have\ been\ squared\ off/expired.$
  - Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended  $September\ 30, 2013\ there\ were\ no\ non-hedging\ transactions\ through\ futures\ which\ have\ been\ squared$
  - (c) Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended  $September\,30, 2013\,there\,were\,no\,hedging\,transactions\,through\,options\,which\,have\,exercised/expired$
  - Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended  $September\ 30, 2013\ there\ were\ no\ non-hedging\ transactions\ through\ options\ which\ have\ been\ exercised/$
  - (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 0.01 years
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs. in Lacs)	% o Ne Asset
Money Market Instruments				in Lacs)	Asse
Certificate of Deposit					
Corporation Bank ** #	INE112A16EF4	CRISIL A1+	3,400	3,119.07	27.4
State Bank of Patiala ** #	INE652A16HP1	ICRA A1+	3,400	3,119.07	27.4
United Bank of India ** #	INE695A16IJ1	CARE A1+	3,100	2,840.32	25.0
IDBI Bank Ltd ** #	INE008A16SA5	CRISIL A1+	2,420	2,220.05	19.5
Sub Total				11,298.51	99.4
Total				11,298.51	99.4
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				65.00	0.5
Sub Total				65.00	0.5
Total				65.00	0.5
Net Receivables / (Payables)				(0.03)	
GRAND TOTAL				11,363.48	100.0

- # Unlisted Security
- \$ Less Than 0.01% of Net Asset Value

## Details of transactions in Credit Default Swaps is as follows:

Count	er Party	details	Details	of the unde	rlying	I	Details of	the unde	rlying	Credit	Ш
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received	
-	-	-	-	-	-	-	-	-	-	-	
Motors	Notes								. (		

- (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.
- (2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2013	As on March 28, 2013 ##							
Regular Plan - Growth Option	Rs. 10.1086	NA							
Regular Plan - Dividend Option	Rs. 10.1086	NA							
Regular Plan - Quarterly Dividend Option	n Rs. 10.1086	NA							
Direct Plan - Growth Option	Rs. 10.1095	NA							
Direct Plan - Dividend Ontion	Re 10 1005	NΔ							

- ## Birla Sun Life Fixed Term Plan Series HV has been launched during the current half year.
- No dividend was declared during the half year ended September 30, 2013.
- (4)
  - Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September  $30, 2013\ there\ were\ no\ hedging\ transactions\ through\ futures\ which\ have\ been\ squared\ off/expired.$
  - Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared
  - Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired
  - Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended  $September \, 30,2013 \, there \, were \, no \, non-hedging \, transactions \, through \, options \, which \, have \, been \, exercised/2000 \, the exercised \, and \,$
- Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 0.93 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs. in Lacs)	% of Net Assets
Debt Instruments					
(a) Listed / awaiting listing on Stock Excha	inge				
9.55% Housing Development Finance Corporation Ltd **	INE001A07JH3	CRISIL AAA	64	634.06	19.67
8.29% Power Finance Corporation Ltd **	INE134E08FU3	CRISIL AAA	64	625.26	19.39
9.23% National Bank For Agriculture and Rural Development **	INE261F09HT7	CRISIL AAA	50	495.54	15.37
9.62% LIC Housing Finance Ltd **	INE115A07CV7	CRISIL AAA	32	317.05	9.83
Sub Total				2,071.91	64.26
(b) Privately placed / Unlisted Sub Total Total				NIL NIL 2,071.91	NIL NIL 64.26
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				1,121.00	34.77
Sub Total				1,121.00	34.77
Total				1,121.00	34.77
Net Receivables / (Payables)				31.40	0.97
GRAND TOTAL				3,224.31	100.00

BIRLA SUN LIFE FIXED TERM PLAN - SERIES HW (730 DAYS)

(A CLOSE ENDED INCOME SCHEME)

\*\* Thinly Traded / Non Traded Security

Details of transactions in Credit Default Swaps is as follows:

Count	er Party	details	Details	of the unde	rlying	Details of the underlying		Details of the underlying				Credit
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received		
-	-	-	-	-	-	-	-	-	-	-		

- (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.
- (2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2013	As on March 28, 2013 ##
Regular Plan - Growth Option	Rs. 10.0538	NA
Regular Plan - Dividend Option	Rs. 10.0538	NA
Regular Plan - Quarterly Dividend Option	Rs. 10.0538	NA
Direct Plan - Growth Option	Rs. 10.0565	NA
Direct Plan - Dividend Option	Rs. 10.0565	NA

## Birla Sun Life Fixed Term Plan - Series HW has been launched during the current half year.

- (3) No dividend was declared during the half year ended September 30, 2013.
- (4) Details of derivatives exposure and transaction:
  - $(a) \qquad \text{Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September} \qquad (4)$  $30, 2013\ there\ were\ no\ hedging\ transactions\ through\ futures\ which\ have\ been\ squared\ off/expired.$
  - $Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30,2013 \ is \ Nil \ and \ for \ the \ half \ year \ ended$  $September\,30,2013\,there\,were\,no\,non-hedging\,transactions\,through\,futures\,which\,have\,been\,squared$
  - (c) Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired.
  - Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended  $September \, 30,2013 \, there \, were \, no \, non-hedging \, transactions \, through \, options \, which \, have \, been \, exercised/2000 \, the exercised \, and \,$
  - Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 1.18 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

Name of the Instrument	ISIN	Rating	Quantity	Market	% of
				Value (Rs.	Net
				in Lacs)	Assets
Money Market Instruments					
Certificate of Deposit					
Kotak Mahindra Bank Ltd ** #	INE237A16WI5	CRISIL A1+	1,000	981.69	29.07
Axis Bank Ltd ** #	INE238A16TP4	CRISIL A1+	1,000	981.69	29.07
ICICI Bank Ltd ** #	INE090A16E18	ICRA A1+	1,000	981.69	29.07
Indusind Bank Ltd ** #	INE095A16KE1	CRISIL A1+	400	392.63	11.63
Sub Total				3,337.70	98.83
Total				3,337.70	98.83
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				6.00	0.18
Sub Total				6.00	0.18

BIRLA SUN LIFE FIXED TERM PLAN - SERIES HX (91 DAYS)

(A CLOSE ENDED INCOME SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013

GRAND TOTAL \*\* Thinly Traded / Non Traded Security

# Unlisted Security

Details of transactions in Credit Default Swaps is as follows:

Count	er Party	details	Details	of the unde	Details of the underlying				Credit	
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-

- (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.
- (2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2013	As on March 28, 2013
Regular Plan - Growth Option	Rs. 10.0752	NA
Regular Plan - Dividend Option	Rs. 10.0752	NA
Direct Plan - Growth Option	Rs. 10.0754	NA
Direct Plan - Dividend Option	Rs. 10.0754	NA

## Birla Sun Life Fixed Term Plan - Series HX has been launched during the current half year.

- (3) No dividend was declared during the half year ended September 30, 2013.
- Details of derivatives exposure and transaction
- (a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September  $30,2013\ there\ were\ no\ hedging\ transactions\ through\ futures\ which\ have\ been\ squared\ off/expired.$
- Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended

September 30, 2013 there were no hedging transactions through options which have exercised/expired.

Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended

- September 30, 2013 there were no non-hedging transactions through options which have been exercised
- (e) Hedging Positions through swaps as on September 30, 2013 is Nil. The Average Maturity Period of the Portfolio is 0.19 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil

6.00

33.49

3,377.19 100.00

0.18 0.99

# ☑ Over 2.2 million investor scheme accounts



43.00

43.00

43.00

9.94

11,519.29 100.00

0.37

0.37

0.37

This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September, 2013.

### Half Yearly Portfolio Statement as at September 30, 2013

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996) BIRLA SUN LIFE FIXED TERM PLAN - SERIES IA (366 DAYS)

Name of the Instrument	ment ISIN		Quantity	Market	% of
				Value (Rs.	Ne
				in Lacs)	Assets
Money Market Instruments					
Certificate of Deposit					
ICICI Bank Ltd ** #	INE090A16D50	ICRA A1+	6,350	5,792.16	29.90
Oriental Bank of Commerce ** #	INE141A16MK6	CRISIL A1+	5,000	4,589.62	23.69
Axis Bank Ltd ** #	INE238A16TQ2	CRISIL A1+	3,500	3,205.39	16.54
Axis Bank Ltd ** #	INE238A16TK5	CRISIL A1+	2,850	2,612.90	13.49
Andhra Bank ** #	INE434A16EG0	CARE A1+	2,000	1,829.33	9.44
IDBI Bank Ltd ** #	INE008A16SA5	CRISIL A1+	1,280	1,174.24	6.06
Bank of India ** #	INE084A16AO5	CRISIL A1+	80	73.21	0.38
Sub Total				19,276.85	99.49
Total				19,276.85	99.49
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				56.00	0.29
Sub Total				56.00	0.29
Total				56.00	0.29
Net Receivables / (Payables)				41.90	0.22
GRAND TOTAL				19,374,75	100.00

\*\* Thinly Traded / Non Traded Security

# Unlisted Security

Details of transactions in Credit Default Swaps is as follows

	Count	er Party	details	Details	of the unde	rlying	I	Details of	the unde	rlying	Credit
	Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received
İ											

- (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil
- (2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2013	As on March 28, 2013 #
Regular Plan - Growth Option	Rs. 10.0941	NA
Regular Plan - Dividend Option	Rs. 10.0941	NA
Regular Plan - Quarterly Dividend Option	Rs. 10.0941	NA
Direct Plan - Growth Option	Rs. 10.0949	NA
Direct Plan - Dividend Option	Rs. 10.0948	NA

## Birla Sun Life Fixed Term Plan - Series HY has been launched during the current half year.

- (3) No dividend was declared during the half year ended September 30, 2013.
- Details of derivatives exposure and transaction
  - Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired.
  - Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared
  - Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired.
  - Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/
  - Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 0.95 years

HCL Technologies Ltd

Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil

Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs. in Lacs)	% of Net Assets	Name of the Instrument
Money Market Instruments Certificate of Deposit						Money Market Instruments Certificate of Deposit
Oriental Bank of Commerce ** #	INE141A16MM2	CRISIL A1+	3,845	3,509.15	29.95	South Indian Bank Ltd ** #
ICICI Bank Ltd ** #	INE090A16D76	ICRA A1+	3,500	3,203.84	27.34	Kotak Mahindra Bank Ltd ** #
Axis Bank Ltd ** #	INE238A16TV2	CRISIL A1+	3,500	3,187.08	27.20	Yes Bank Ltd ** #
Andhra Bank ** #	INE434A16EH8	CARE A1+	1,500	1,371.33	11.70	Indusind Bank Ltd ** #
Bank of India ** #	INE084A16AO5	CRISIL A1+	420	384.38	3.28	Sub Total
ub Total				11,655.78	99.47	Total
Total				11,655.78	99.47	
CBLO / Reverse Repo						CBLO / Reverse Repo
Clearing Corporation of India Ltd				62.00	0.53	Clearing Corporation of India Ltd
Sub Total				62.00	0.53	Sub Total
Total				62.00	0.53	Total
Net Receivables / (Payables)				0.38	\$	Net Receivables / (Payables)
GRAND TOTAL				11,718.16	100.00	GRAND TOTAL
** Thinly Traded / Non Traded Securi	tv					** Thinly Traded / Non Traded S

# Unlisted Security

\$ Less Than 0.01% of Net Asset Value

Details of transactions in Credit Default Swans is as follows:

Count	Counter Party details			Details of the underlying			Details of the underlying			Credit	Name	3
Name	Rating	Sector	the	Amount (FV)	Residual Maturity	Amount	Tenor	Spread	Premium paid	event payments received		
			reference entity					(BPS)			-	1
-	•	-	-	-	-	-	1	-	-	-	Notes	:

- (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.
- (2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2013	As on March 28, 2013 ##
Regular Plan - Growth Option	Rs. 10.0662	NA
Regular Plan - Dividend Option	Rs. 10.0662	NA
Regular Plan - Quarterly Dividend Option	Rs. 10.0662	NA
Direct Plan - Growth Option	Rs. 10.0669	NA

## Birla Sun Life Fixed Term Plan - Series IA has been launched during the current half year.

- No dividend was declared during the half year ended September 30, 2013.
- Details of derivatives exposure and transaction:
  - Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September  $30, 2013\ there\ were\ no\ hedging\ transactions\ through\ futures\ which\ have\ been\ squared\ off/expired.$
  - Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared
  - Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended  $September\ 30, 2013\ there\ were\ no\ hedging\ transactions\ through\ options\ which\ have\ exercised/expired.$
  - Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/
  - (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 0.96 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

(A CLOSE ENDED INCOME SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013 Name of the Instrument % of ISIN Rating Quantity Market Value (Rs. Net in Lacs) Assets Money Market Instruments Certificate of Deposit South Indian Bank Ltd \*\* # INE683A16BW5 CARE A1+ 3,429.64 3,500 29.77 Kotak Mahindra Bank Ltd \*\* # INE237A16WM7 CRISIL A1+ 3,000 2,940.51 Yes Bank Ltd \*\* # INE528G16SI2 ICRA A1+ 2,940.12 3,000 Indusind Bank Ltd \*\* # INE095A16KG6 CRISIL A1+ 2,156.08 18.72 2,200 Sub Total 11,466.35 99.54 99.54 11,466.35

# Unlisted Security

Details of transactions in Credit Default Swaps is as follows:

Coun	ter Party	details	Details	of the unde	rlying	I	Oetails of	the unde	rlying	Credit
Name	Rating	Sector	Name of the reference entity	Amount (FV)	Residual Maturity	Amount	Tenor	Price/ Spread (BPS)	Premium paid	event payments received
-	-	-	-	-	-	-	-	-	-	-

- (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.
- (2) Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2013	As on March 28, 2013 #
Regular Plan - Growth Option	Rs. 10.0552	NA
Regular Plan - Dividend Option	Rs. 10.0552	NA
Direct Plan - Growth Option	Rs. 10.0553	NA
Direct Plan - Dividend Option	Rs. 10.0553	NA

## Birla Sun Life Fixed Term Plan - Series IB has been launched during the current half year.

- (3) No dividend was declared during the half year ended September 30, 2013.
- Details of derivatives exposure and transaction:
  - (a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September  $30,2013\ there\ were\ no\ hedging\ transactions\ through\ futures\ which\ have\ been\ squared\ off/expired.$
  - Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended  $September\ 30,2013\ there\ were\ no\ non-hedging\ transactions\ through\ futures\ which\ have\ been\ squared$
  - Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended  $September\ 30, 2013\ there\ were\ no\ hedging\ transactions\ through\ options\ which\ have\ exercised/expired.$
  - Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended  $September\ 30, 2013\ there\ were\ no\ non-hedging\ transactions\ through\ options\ which\ have\ been\ exercised/dependent options.$
  - (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 0.21 years
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

#### BIRLA SUN LIFE DIVIDEND YIELD PLUS (AN OPEN ENDED GROWTH SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013

Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs. in Lacs)	% of Net Assets	Name of the Instrument
Equity & Equity related						Wipro Ltd
(a) Listed / awaiting listing on Stock E	xchange					Infrastructure Developme
ITC Ltd	INE154A01025	Consumer Non Durables	2,000,000	6,808.00	6.46	Finance Company Ltd Greaves Cotton Ltd
Infosys Ltd	INE009A01021	Software	200,000	6,026.00	5.72	Engineers India Ltd
ICICI Bank Ltd	INE090A01013	Banks	600,000	5,301.90	5.03	Alstom India Ltd
Tata Consultancy Services Ltd	INE467B01029	Software	200,000	3,855.60	3.66	
NTPC Ltd	INE733E01010	Power	2,300,000	3,391.35	3.22	Orient Cement Ltd
Akzo Nobel India Ltd	INE133A01011	Consumer Non Durables	350,000	2,873.15	2.73	Tata Steel Ltd Procter & Gamble Hygie
Axis Bank Ltd	INE238A01026	Banks	275,000	2,771.59	2.63	and Health Care Ltd
Tata Motors Ltd DVR Shares	IN9155A01020	Auto	1,600,000	2,619.20	2.49	Power Finance Corporation
Hero MotoCorp Ltd	INE158A01026	Auto	125,000	2,511.56	2.38	Hexaware Technologies I
Hindustan Zinc Ltd	INE267A01025	Non - Ferrous Metals	1,864,433	2,489.95	2.36	Gujarat Industries Power Corporation Bank
Bajaj Auto Ltd	INE917I01010	Auto	125,000	2,484.31	2.36	Bajaj Corp Ltd
Cummins India Ltd	INE298A01020	Industrial Products	567,360	2,316.25	2.20	
Cairn India Ltd	INE910H01017	Oil	700,000	2,231.95	2.12	Oriental Bank of Comme
Oil & Natural Gas Corporation Ltd	INE213A01029	Oil	800,000	2,142.80	2.03	Canara Bank
Housing Development Finance Corporation Ltd	INE001A01036	Finance	275,000	2,101.69	2.00	Union Bank of India  Deepak Fertilizers and
Castrol India Ltd	INE172A01019	Petroleum Products	650,000	2,012.40	1.91	Petrochemicals Corporation
Colgate Palmolive (India) Ltd	INE259A01022	Consumer Non Durables	160,000	1,983.84	1.88	Pfizer Ltd Gateway Distriparks Ltd
LIC Housing Finance Ltd	INE115A01026	Finance	1,000,000	1,879.50	1.78	PTC India Ltd
Sun TV Network Ltd	INE424H01027	Media & Entertainment	450,000	1,769.40	1.68	National Aluminium Com
Jagran Prakashan Ltd	INE199G01027	Media & Entertainment	2,100,000	1,719.90	1.63	Bank of Baroda
ACC Ltd	INE012A01025	Cement	150,000	1,667.93	1.58	Bajaj Electricals Ltd
Kewal Kiran Clothing Limited	INE401H01017	Textile Products	200,000	1,632.60	1.55	Punjab National Bank
Glaxosmithkline Pharmaceuticals Ltd	INE159A01016	Pharmaceuticals	65,000	1,604.23	1.52	KEC International Ltd
Zee Entertainment Enterprises Ltd	INE256A01028	Media & Entertainment	650,000	1,485.25	1.41	Chennai Petroleum Corpo Apar Industries Ltd
Coal India Ltd	INE522F01014	Minerals/Mining	500,000	1,472.25	1.40	Voltas Ltd
The Jammu & Kashmir Bank Ltd	INE168A01017	Banks	125,000	1,423.31	1.35	Bharat Bijlee Ltd
Sundaram Finance Ltd	INE660A01013	Finance	275,000	1,393.70	1.32	Orient Paper & Industries
ICRA Ltd	INE725G01011	Finance	115,000	1,342.51	1.27	Hinduja Ventures Ltd
NMDC Ltd	INE584A01023	Minerals/Mining	1,100,000	1,321.10	1.25	Hiliduja veitules Lid
Sesa Sterlite Ltd	INE205A01025	Minerals/Mining	700,000	1,265.25	1.20	Phillips Carbon Black Ltd
NIIT Technologies Ltd	INE591G01017	Software	440,000	1,239.70	1.18	Sub Total
Coromandel International Ltd	INE169A01031	Fertilisers	500,000	1,170.25	1.11	(b) Unlisted
Wyeth Ltd	INE378A01012	Pharmaceuticals	200,000	1,159.70	1.10	Sub Total
Kansai Nerolac Paints Ltd	INE531A01016	Consumer Non Durables	92,000	1,138.55	1.08	Total
VST Industries Ltd	INE710A01016	Consumer Non Durables	75,000	1,126.05	1.07	International Equities (a) Listed / awaiting listin
Oil India Ltd	INE274J01014	Oil	250,000	1,093.50	1.04	MERCK & CO INC SHS
Karur Vysya Bank Ltd	INE036D01010	Banks	350,000	1,089.55	1.03	PFIZER INC
Bharat Petroleum Corporation Ltd	INE029A01011	Petroleum Products	325,000	1,076.56	1.02	Sub Total
I .						Total

INE860A01027 Software

99,000 1,076,33

1.02

				,	
Wipro Ltd	INE075A01022	Software	225,000	1,064.36	1.01
Infrastructure Development Finance Company Ltd	INE043D01016	Finance	1,200,000	1,056.60	1.00
Greaves Cotton Ltd	INE224A01026	Industrial Products	1,800,000	993.60	0.94
Engineers India Ltd	INE510A01028	Construction Project	et 500,000	867.00	0.82
Alstom India Ltd	INE878A01011	Industrial Capital Goods	250,000	849.38	0.81
Orient Cement Ltd	INE876N01018	Cement	2,000,000	756.00	0.72
Tata Steel Ltd	INE081A01012	Ferrous Metals	250,000	678.88	0.64
Procter & Gamble Hygiene and Health Care Ltd	INE179A01014	Consumer Non Durables	25,000	654.71	0.62
Power Finance Corporation Ltd	INE134E01011	Finance	500,000	654.50	0.62
Hexaware Technologies Ltd	INE093A01033	Software	500,000	642.75	0.61
Gujarat Industries Power Company Lt	d INE162A01010	Power	1,000,000	612.50	0.58
Corporation Bank	INE112A01015	Banks	250,000	609.13	0.58
Bajaj Corp Ltd	INE933K01021	Consumer Non Durables	225,000	590.96	0.56
Oriental Bank of Commerce	INE141A01014	Banks	400,000	579.00	0.55
Canara Bank	INE476A01014	Banks	250,000	553.63	0.53
Union Bank of India	INE692A01016	Banks	500,000	548.25	0.52
Deepak Fertilizers and Petrochemicals Corporation Ltd	INE501A01019	Chemicals	500,000	543.00	0.52
Pfizer Ltd	INE182A01018	Pharmaceuticals	50,000	542.88	0.52
Gateway Distriparks Ltd	INE852F01015	Transportation	500,000	520.75	0.49
PTC India Ltd	INE877F01012	Power	1,100,000	510.95	0.49
National Aluminium Company Ltd	INE139A01034	Non - Ferrous Metals	1,544,099	502.60	0.48
Bank of Baroda	INE028A01013	Banks	100,000	493.55	0.47
Bajaj Electricals Ltd	INE193E01025	Consumer Durable	s 300,000	486.00	0.46
Punjab National Bank	INE160A01014	Banks	100,000	461.45	0.44
KEC International Ltd	INE389H01022	Power	1,500,000	406.50	0.39
Chennai Petroleum Corporation Ltd	INE178A01016	Petroleum Products	s 568,000	315.24	0.30
Apar Industries Ltd	INE372A01015	Petroleum Products	s 275,000	238.98	0.23
Voltas Ltd	INE226A01021	Construction Project	et 310,538	229.49	0.22
Bharat Bijlee Ltd	INE464A01028	Industrial Capital Goods	62,929	194.42	0.18
Orient Paper & Industries Ltd	INE592A01026	Cement	2,000,000	160.00	0.15
Hinduja Ventures Ltd	INE353A01023	Media & Entertainment	50,000	142.70	0.14
Phillips Carbon Black Ltd	INE602A01015	Chemicals	374,000	139.69	0.13
Sub Total				101,668.11	96.53
(b) Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				101,668.11	96.53
International Equities					
(a) Listed / awaiting listing on Stock Ex	xchanges				
MERCK & CO INC SHS	US58933Y1055	Pharmaceuticals	34,000	1,017.24	0.97
PFIZER INC	US7170811035	Pharmaceuticals	56,000	1,012.50	0.96
Sub Total				2,029.74	1.93
Total				2,029.74	1.93

Name of the Instrument	ISIN	Rating	Quantity	Market	% of
				Value (Rs.	Net
				in Lacs)	Assets
Cash and Bank				0.07	\$
Total				0.07	\$
Margin (Future and Options)				190.00	0.18
Total				190.00	0.18
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				1,767.00	1.68
Sub Total				1,767.00	1.68
Total				1,767.00	1.68
Net Receivables / (Payables)				(337.02)	-0.32
GRAND TOTAL				105,317.90	100.00
^Industry classification as recommende	d by AMFI and v	vherever not availal	ole, internal class	sification has l	een used

\$ Less Than 0.01% of Net Asset Value

Market

Value (Rs. in Lacs) Assets

(1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.

(2) Plan/Option wise Net Asset Values are as follows:

As on September 30, 2013 As on March 28, 2013 • Regular Plan - Dividend Option Rs. 11.22 Rs. 11.95 Regular Plan - Growth Option Rs. 81.01 Rs. 86.28 Direct Plan - Dividend Option Rs. 11.72 Rs. 12.43 Rs. 81.44 Rs. 86.41 Direct Plan - Growth Option

• NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day. (3) No dividend was declared during the half year ended September 30, 2013.

(a) Hedging Position through Futures as on September 30, 2013 is Nil.

For the half year ended September 30, 2013 there were no hedging transactions through futures which

have been squared off/expired.

Total Number of contracts where futures were bought	Total Number of contracts where futures were sold	Gross Notional Value of contracts where futures were bought Rs.	Gross Notional Value of contracts where futures were sold Rs.	Net Profit/Loss value on all contracts combined Rs.
941	941	291,730,291.00	285,572,502.30	(6,157,788.70)
~				

For the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared off/expired.

Total Number	Total Number	Gross Notional	Gross Notional	Net Profit/Loss
of contracts	of contracts	Value of contracts	Value of contracts	value on all
where futures	where futures	where futures	where futures	contracts
were bought	were sold	were bought Rs.	were sold Rs.	combined Rs.
were bought 506	were sold 506	were bought Rs. 151,868,764.40	were sold Rs. 154,785,835.40	combined Rs. 2,917,071.00

- (c) Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired
- Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended  $September\,30, 2013\ there\ were\ no\ non-hedging\ transactions\ through\ options\ which\ have\ been\ exercised/$ expired.
- (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- $(5) \qquad \text{During the current half year, the portfolio turnover ratio of equity and equity related instruments is } 0.34\,\text{times}$ Total market value of Foreign Securities/overseas ETFs is 20.30 crores and its percentage to Net Assets as at September 30, 2013 is 1.93%.
  - The total value of illiquid Equity Securities and its percentage to Net Assets is Nil.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

## Over 2.2 million investor scheme accounts



This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September, 2013.

Name of the Instrument

### Half Yearly Portfolio Statement as at September 30, 2013

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996) BIRLA SUN LIFE MONTHLY INCOME

(AN OPEN ENDED SCHEME, MONTHLY INCOME IS NOT ASSURED AND IS

 ${\bf SUBJECT\,TO\,AVAILABILITY\,OF\,DISTRIBUTABLE\,SURPLUS)}$ 

PORTFOLIO AS ON SEPTEMBER 30, 2013

Industry^ / Rating

Market

in Lacs)

(A	N OPEN ENDED	INCOME SCHEME	Ε)		
PO	RTFOLIO AS ON	SEPTEMBER 30, 20	13		
Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs. in Lacs)	% o Ne Asset
Debt Instruments				III Dates)	11550
(a) Listed / awaiting listing on Stock	Exchange				
12.50% DLF Ltd **	INE271C07095	ICRA A	21	10,695.83	7.2
12.00% IL&FS Transportation	INE975G08033	ICRA A	1,000	10,016.84	6.7
Networks Ltd **					
Indiabulls Housing Finance	INE148I07290	CARE AA+	950	9,889.80	6.6
Ltd (ZCB) **	INE909H07966	CDICIT AA	1,000	0.775.20	6.5
9.89% Tata Motors Finance Ltd ** 7.00% RKN Retail Pvt. Ltd**	INE270O08017	CRISIL AA- BWR A-(SO)	1,000	9,775.20 8,225.55	5.5
9.25% Peter England Fashions &	INE647O08017	ICRA AA	650	6,165.49	4.1
Retail India Ltd **	11.2017000010	101011111	050	0,100.17	
12.25% TRIL Infopark Ltd **	INE583J07015	BWR A(SO)	530	5,378.31	3.6
9.15% Infrastructure Development	INE043D07EH0	ICRA AAA	400	3,942.76	2.6
Finance Company Ltd **					
10.00% Shriram Transport	INE721A07EM7	CARE AA+	350	3,438.92	2.3
Finance Company Ltd **	INTEGOODIOTATI	CDICIL A A	225	2 1 47 50	
9.40% Tata Motors Finance Ltd ** 10.18% LIC Housing Finance Ltd **	INE909H07AU4 INE115A07EJ8	CRISIL AA- CRISIL AAA	325 250	3,147.59 2,481.89	2.1
10.18% LIC Housing Finance Ltd **	INE113A07EJ8 INE909H07883	CRISIL AAA CRISIL AA-	250	2,481.89	1.6
9.60% Hindalco Industries Ltd **	INE038A07274	CRISIL AA+	250	2,435.93	1.6
9.35% Indian Oil Corporation Ltd **		ICRA AAA	200	1,991.74	1.3
8.95% Power Finance	INE134E08FK4	CRISIL AAA	150	1,455.64	0.9
Corporation Ltd					
10.60% LIC Housing Finance Ltd **	INE115A07EH2	CRISIL AAA	100	1,021.50	0.6
8.70% Power Finance	INE134E08CW6	CRISIL AAA	100	984.01	0.6
Corporation Ltd **				02 525 22	
Sub Total				83,525.33	56.3
(b) Privately placed / Unlisted 14.00% RHC Holding Pvt Ltd ** #	INE657K07163	CARE A1(SO)	1,937	19,222.57	12.9
10.60% Mandava Holdings	INE689L07024	BWR AA+ (SO)	500	4,957.49	3.3
Private Limited ** #	INE009E07024	DWK AAT (30)	300	4,937.49	3
11.90% Prime Publishing	INE413O07056	BWR A-(SO)	40	3,982.84	2.6
Private Ltd ** #		(/		. ,	
11.90% Prime Publishing	INE413O07064	BWR A-(SO)	40	3,982.71	2.6
Private Ltd ** #					
11.90% Prime Publishing	INE413O07049	BWR A-(SO)	40	3,982.65	2.6
Private Ltd ** #	D.IE05 (005010	TGD 1 1 1 (GO)	200	2051.65	
11.10% Floreat Investments Ltd ** #	INE056O07012	ICRA AA+(SO)	300	2,951.65	1.9
10.60% Mandava Holdings	INE 6901 07022	DWD AA (CO)	250	2 470 20	1.4
Private Limited ** #	INE689L07032	BWR AA+(SO)	250	2,478.38	1.6
11.90% Prime Publishing Private Ltd ** #	INE413O07031	BWR A-(SO)	19	1,891.55	1.2
Private Ltd ** # 10.75% Floreat Investments Ltd ** #	INE056007020	ICRA AA+(SO)	100	978.72	0.6
Sub Total	1.2030007020	10.01 /11/(00)	100	44,428.56	29.9
Total				127,953.89	86.3
Government Securities					
8.12% GOI (MD 10/12/2020)	IN0020120054	SOV	500,000	475.05	0.3
7.16% GOI (MD 20/05/2023)	IN0020130012	SOV	500,000	448.40	0.3
Total				923.45	0.6
Money Market Instruments					
Commercial Paper					
Shapoorji Pallonji & Co Ltd ** #	INE404K14604	ICRA A1+	1,000	4,731.98	3.1
S.D. Corporation Pvt. Ltd ** #	INE660N14084	ICRA A1+(SO)	960	4,717.48	3.1
Fullerton India Credit Co Ltd ** # Sub Total	INE535H14EA7	ICKA AI+	1,000	4,534.01	3.0
Sub lotai Total				13,983.47 13,983.47	9.4 9.4
CBLO / Reverse Repo				10,700.77	,,,
Clearing Corporation of India Ltd				399.00	0.2
Sub Total				399.00	0.2
Total				399.00	0.2
Net Receivables / (Payables)				4,973.12	3.3
				148,232.93	100.0

Thinly Traded / Non Traded Security # Unlisted Security

off/expired.

The provision made for Non Performing Assets as of September 30, 2013 is Nil. Plan/Option wise Net Asset Values are as follows

Plan	As on September 30, 2013	As on March 28, 2013 •
Regular Plan - Growth Option	Rs. 14.3887	Rs. 13.7937
Regular Plan - Quarterly Dividend Option	Rs. 10.3486	Rs. 10.2598
Regular Plan - Half Yearly Dividend Option	Rs. 10.5109	Rs. 10.4567
Regular Plan - Dividend Option	Rs. 10.6654	Rs. 10.2241
Institutional Plan - Growth Option^^	Rs. 13.9690	Rs. 13.3911
Institutional Plan - Quarterly Dividend Option^^	Rs. 10.3228	Rs. 10.2340
Institutional Plan - Half Yearly Dividend Option^^	Rs. 10.5122	Rs. 10.4587
Direct Plan - Growth Option	Rs. 14.4324	Rs. 13.8034
Direct Plan - Quarterly Dividend Option	Rs. 10.4156	Rs. 10.3010
Direct Plan - Half Yearly Dividend Option	Rs. 10.7783	Rs. 10.7171
Direct Plan - Dividend Option	Rs. 10.6936	Rs. 10.2319
AT 14 1 1 1 1 Cd 1 1 11 C004 AK 1	2012 21 -34 1 20121 :	1 . 1

NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day ^Plan(s) discontinued from accepting subscriptions w.e.f October 01, 2012.

Dividend in the following plans was declared during the half year ended September 30, 2013.

	Per Unit (Rs.)
Regular Plan - Quarterly Dividend Option	Rs. 0.3490
Regular Plan - Half Yearly Dividend Option	Rs. 0.3921
Institutional Plan - Quarterly Dividend Option	Rs. 0.3479
Institutional Plan - Half Yearly Dividend Option	Rs. 0.3867
Direct Plan - Quarterly Dividend Option	Rs. 0.3617
Direct Plan - Half Yearly Dividend Option	Rs. 0.4168
Details of derivatives exposure and transaction:	

Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired. Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended

Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through option which have exercised/expired. Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised

BIRLA SUN LIFE INTERVAL INCOME FUND - ANNUAL PLAN V (368 DAYS)

Hedging Positions through swaps as on September 30, 2013 is Nil

The Average Maturity Period of the Portfolio is 1.83 years. Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

#### (AN INTERVALINCOME SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 201 Ouantity Name of the Instrument Market in Lacs) Assets Money Market Instruments Certificate of Deposit Indusind Bank Ltd \*\* # INE095A16HP3 CRISIL A1+ 2.200 2,103,83 29.99 ICICI Bank Ltd \*\* # INF090A16YU9 ICRA A1+ 2,195 2,098,90 29.92 Yes Bank Ltd \*\* # INE528G16TX9 ICRA A1+ 2,008.83 2,100 28.63 Oriental Bank of Commerce \*\* # INE141A16JX5 CRISIL A1+ 433.91 6.18 INE008A16PK0 CRISIL A1+ 339.32 IDBI Bank Ltd \*\* # 4.84 Sub Total 6,984,79 99.56 Total 6,984,79 99.56 CBLO / Reverse Repo Clearing Corporation of India Ltd 32.00 Sub Total 32.00 0.46 32.00 0.46

Net Receivables / (Payables) GRAND TOTAL \*\* Thinly Traded/Non Traded Security # Unlisted Security

The provision made for Non Performing Assets as of September 30, 2013 is Nil.

Plan/Option wise Net Asset Values are as follows: As on September 30, 2013 As on March 28, 2013 • Rs. 10.4055 Direct Plan - Growth Option Rs. 10.4107 Rs. 10.0121 NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day

(3) No dividend was declared during the half year ended September 30, 2013. (4) Details of derivatives exposure and transaction:

Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired.

 $Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30,2013 \ is \ Nil \ and \ for \ the \ half \ year \ ended \ and \ for \ the \ half \ year \ ended \ for \ f$ September 30, 2013 there were no non-hedging transactions through futures which have been squared Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended (5)

September 30, 2013 there were no hedging transactions through option which have exercised/expired.  $Other than \, Hedging \, Positions \, through \, Options \, as \, on \, September \, 30, 2013 \, is \, Nil \, and \, for \, the \, half \, year \, ended \, the \, control of the end  September 30, 2013 there were no non-hedging transactions through options which have been exercised/

Hedging Positions through swaps as on September 30, 2013 is Nil The Average Maturity Period of the Portfolio is 0.47 years

Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

				in Lacs)	Assets
Debt Instruments					
(a) Listed / awaiting listing on Stock 9.45% IDBI Bank Ltd **	Exchange INE008A08T95	CRISIL AA+	600	5,880.99	18.10
9.97% Power Finance	INE134E08CK1	CRISIL AAA	450	4,652.58	14.32
Corporation Ltd (FRN) **					
9.20% Reliance Utilities & Power Pvt Ltd	INE936D08032	CARE AAA(SO)	325	3,205.37	9.87
9.38% IDBI Bank Ltd **	INE008A08T61	CRISIL AA+	141	1,359.16	4.18
12.50% DLF Ltd **	INE271C07095	ICRA A	2	1,018.65	3.14
10.14% Infrastructure Development	INE043D07BB9	ICRA AAA	100	999.40	3.08
Finance Company Ltd ** 8.80% Power Grid Corporation of	INE752E07KN9	CRISIL AAA	100	942.30	2.90
India Ltd **					
9.40% Infrastructure Development	INE043D07948	ICRA AAA	26	257.59	0.79
Finance Company Ltd ** 9.25% Power Grid Corporation of	INE752E07JB6	CRISIL AAA	20	247.40	0.76
India Ltd **					
9.25% Power Grid Corporation of	INE752E07JC4	CRISIL AAA	20	246.74	0.76
India Ltd ** 9.25% Power Grid Corporation of	INE752E07JD2	CRISIL AAA	20	246.04	0.76
India Ltd **					
9.25% Power Grid Corporation of	INE752E07JE0	CRISIL AAA	12	147.21	0.45
India Ltd ** 9.65% Housing Development	INE001A07HD6	CRISII AAA	10	100.27	0.31
Finance Corporation Ltd **	11,2001110,1120	CHOIL THE	10	100.27	0.51
9.43% Rural Electrification	INE020B08575	CRISIL AAA	10	99.70	0.31
Corporation Ltd ** 11.00% IDBI Bank Ltd **	INE008A08Q07	CRISIL AA+	9	93.15	0.29
9.61% Power Finance	INE134E08DQ6	CRISIL AAA	7	69.44	0.29
Corporation Ltd **					
9.75% Housing Development Finance Corporation Ltd **	INE001A07GG1	CRISIL AAA	5	49.90	0.15
9.00% Coromandel	INE169A08010	CRISIL AA+	38,243	5.64	0.02
International Ltd **					
Sub Total				19,621.53	60.39
(b) Privately placed / Unlisted	DIEGOS DOGIOS	aprar		120.00	0.05
10.30% Tata Sons Ltd ** # Sub Total	INE895D08196	CRISIL AAA	12	120.09 <b>120.09</b>	0.37 <b>0.37</b>
Total				19,741.62	60.76
Government Securities					
8.6% Kerala SDL (MD 23/01/2023)	IN2020120092	SOV	2,500,000	2,347.74	7.23
8.20% GOI (MD 24/09/2025)	IN0020120047		1,050,000	969.68	2.98
7.16% GOI (MD 20/05/2023) Total	IN0020130012	SOV	1,000,000	896.80 <b>4,214.22</b>	2.76 <b>12.97</b>
Equity & Equity related				4,214.22	12.
(a) Listed / awaiting listing on Stock	Exchanges				
Repco Home Finance Ltd	INE612J01015	Finance	163,828	411.78	1.27
IPCA Laboratories Ltd	INE571A01020	Pharmaceuticals	44,000	314.78	0.97
Hero MotoCorp Ltd	INE158A01026	Auto	11,000	221.02	0.68
ITC Ltd Sun Pharmaceuticals Industries Ltd	INE154A01025 INE044A01036	Consumer Non Durable Pharmaceuticals	36,000	214.45 213.59	0.66 0.66
Tata Consultancy Services Ltd	INE467B01029	Software	10,200	196.64	0.61
Indraprastha Gas Ltd	INE203G01019	Gas	70,500	189.89	0.58
HDFC Bank Ltd	INE040A01026	Banks	30,000	177.92	0.55
Idea Cellular Ltd	INE669E01016	Telecom - Services	105,000	176.66	0.54
Kewal Kiran Clothing Limited	INE401H01017	Textile Products	20,324	165.90	0.51
Tech Mahindra Ltd United Spirits Ltd	INE669C01028	Software	11,647	155.57	0.48
Indusind Bank Ltd	INE854D01016 INE095A01012	Consumer Non Durable Banks	40,000	151.99 147.50	0.47 0.45
V-Mart Retail Limited	INE665J01013	D	40,000	147.50	0.45
Just Dial Limited		Retaining	74,267	145.67	0.45
HCL Technologies Ltd	INE599M01018	Software	74,267 17,099	145.67 144.35	
Infosys Ltd	INE599M01018 INE860A01027		. ,		0.44 0.44
	INE860A01027 INE009A01021	Software Software	17,099 13,000 4,500	144.35 141.34 135.59	0.44 0.44 0.42
ICICI Bank Ltd	INE860A01027 INE009A01021 INE090A01013	Software Software Software Banks	17,099 13,000 4,500 15,000	144.35 141.34 135.59 132.55	0.44 0.44 0.42 0.41
ICICI Bank Ltd PTC India Ltd	INE860A01027 INE009A01021 INE090A01013 INE877F01012	Software Software Software Banks Power	17,099 13,000 4,500 15,000 250,000	144.35 141.34 135.59 132.55 116.13	0.44 0.44 0.42 0.41 0.36
ICICI Bank Ltd PTC India Ltd NIIT Technologies Ltd	INE860A01027 INE009A01021 INE090A01013 INE877F01012 INE591G01017	Software Software Software Banks Power Software	17,099 13,000 4,500 15,000 250,000 37,000	144.35 141.34 135.59 132.55 116.13 104.25	0.44 0.42 0.41 0.36 0.32
ICICI Bank Ltd PTC India Ltd NIIT Technologies Ltd Zee Entertainment Enterprises Ltd	INE860A01027 INE009A01021 INE090A01013 INE877F01012	Software Software Software Banks Power	17,099 13,000 4,500 15,000 250,000 37,000	144.35 141.34 135.59 132.55 116.13	0.44 0.42 0.41 0.36 0.32 0.31
ICICI Bank Ltd PTC India Ltd NIIT Technologies Ltd Zee Entertainment Enterprises Ltd Cummins India Ltd Bayer CropScience Ltd	INE860A01027 INE009A01021 INE090A01013 INE877F01012 INE591G01017 INE256A01028 INE298A01020 INE462A01022	Software Software Software Banks Power Software Media & Entertainmen Industrial Products Pesticides	17,099 13,000 4,500 15,000 250,000 37,000 at 44,000 23,000 6,000	144.35 141.34 135.59 132.55 116.13 104.25 100.54	0.44 0.44 0.42 0.41 0.36 0.32 0.31 0.29
ICICI Bank Ltd PTC India Ltd NIIT Technologies Ltd Zee Entertainment Enterprises Ltd Cummins India Ltd Bayer CropScience Ltd Sesa Sterlite Ltd	INE860A01027 INE009A01021 INE090A01013 INE877F01012 INE591G01017 INE256A01028 INE298A01020 INE462A01022 INE205A01025	Software Software Software Banks Power Software Media & Entertainmen Industrial Products Pesticides Minerals/Mining	17,099 13,000 4,500 15,000 250,000 37,000 at 44,000 23,000 6,000 50,400	144.35 141.34 135.59 132.55 116.13 104.25 100.54 93.90 92.76 91.10	0.44 0.42 0.41 0.36 0.32 0.31 0.29 0.29
ICICI Bank Ltd PTC India Ltd NIIT Technologies Ltd Zee Entertainment Enterprises Ltd Cummins India Ltd Bayer CropScience Ltd Sesa Sterlite Ltd Jyothy Laboratories Ltd	INE860A01027 INE009A01021 INE090A01013 INE877F01012 INE591G01017 INE256A01028 INE462A01022 INE205A01025 INE205A01025 INE668F01031	Software Software Software Banks Power Software Media & Entertainmen Industrial Products Pesticides Minerals/Mining Consumer Non Durabl	17,099 13,000 4,500 15,000 250,000 37,000 at 44,000 23,000 6,000 50,400 es 51,147	144.35 141.34 135.59 132.55 116.13 104.25 100.54 93.90 92.76 91.10 85.98	0.44 0.44 0.42 0.41 0.36 0.32 0.31 0.29 0.29 0.28
ICICI Bank Ltd PTC India Ltd NIIT Technologies Ltd Zee Entertainment Enterprises Ltd Cummins India Ltd Bayer CropScience Ltd Sesa Sterlite Ltd Jyothy Laboratories Ltd Reliance Industries Ltd	INE860A01027 INE009A01021 INE090A01013 INE877F01012 INE591G01017 INE256A01028 INE298A01020 INE462A01022 INE205A01025 INE668F01031 INE002A01018	Software Software Software Banks Power Software Media & Entertainmen Industrial Products Pesticides Minerals/Mining Consumer Non Durabl Petroleum Products	17,099 13,000 4,500 15,000 250,000 37,000 tt 44,000 23,000 6,000 50,400 es 51,147 10,000	144.35 141.34 135.59 132.55 116.13 104.25 100.54 93.90 92.76 91.10 85.98 82.24	0.44 0.42 0.41 0.36 0.32 0.31 0.29 0.29 0.28 0.26
ICICI Bank Ltd PTTC India Ltd NIIT Technologies Ltd Zee Entertainment Enterprises Ltd Cummins India Ltd Bayer CropScience Ltd Sesa Sterlite Ltd Jyothy Laboratories Ltd Reliance Industries Ltd Tata Motors Ltd	INE860A01027 INE009A01021 INE090A01013 INE877F01012 INE591G01017 INE256A01028 INE462A01022 INE205A01025 INE205A01025 INE668F01031	Software Software Software Banks Power Software Media & Entertainmen Industrial Products Pesticides Minerals/Mining Consumer Non Durabl	17,099 13,000 4,500 15,000 250,000 37,000 at 44,000 23,000 6,000 50,400 es 51,147	144.35 141.34 135.59 132.55 116.13 104.25 100.54 93.90 92.76 91.10 85.98	0.44 0.44 0.42 0.41 0.36 0.32 0.31 0.29 0.28 0.26 0.25 0.20
ICICÍ Bank Ltd PTC India Ltd NIIT Technologies Ltd Zee Entertainment Enterprises Ltd Cummins India Ltd Bayer CropScience Ltd Sesa Sterlite Ltd Jyothy Laboratories Ltd Reliance Industries Ltd Tata Motors Ltd Bajaj Finance Ltd ING Vysya Bank Ltd	INE860A01027 INE009A01027 INE009A01013 INE877F01012 INE591G01017 INE256A01028 INE298A01020 INE462A01022 INE205A01025 INE668F01031 INE002A01018 INE02A01018	Software Software Software Banks Power Software Media & Entertainmen Industrial Products Pesticides Minerals/Mining Consumer Non Durabl Petroleum Products Auto	17,099 13,000 4,500 250,000 37,000 it 44,000 23,000 50,400 es 51,147 10,000 20,000 5,359 11,400	144.35 141.34 135.59 132.55 116.13 104.25 100.54 93.90 92.76 91.10 85.98 82.24 66.50	0.44 0.42 0.41 0.36 0.32 0.31 0.29 0.28 0.26 0.25 0.20 0.19
ICICI Bank Ltd PTC India Ltd NIIT Technologies Ltd Zee Entertainment Enterprises Ltd Cummins India Ltd Bayer CropScience Ltd Sesa Sterlite Ltd Jyothy Laboratories Ltd Reliance Industries Ltd Tata Motors Ltd Bajaj Finance Ltd ING Vysya Bank Ltd United Phosphorus Ltd	INE860A01027 INE009A01021 INE090A01013 INE877F01012 INE591G01017 INE256A01028 INE298A01020 INE462A01022 INE205A01025 INE668F01031 INE002A01018 INE155A01022 INE296A01016 INE166A01011 INE1628A01036	Software Software Software Banks Power Software Media & Entertainmen Industrial Products Pesticides Minerals/Mining Consumer Non Durabl Petroleum Products Auto Finance Banks Pesticides	17,099 13,000 4,500 15,000 250,000 37,000 at 44,000 23,000 6,000 50,400 es 51,147 10,000 20,000 5,359 11,400 42,000	144.35 141.34 135.59 132.55 116.13 104.25 100.54 93.90 92.76 91.10 85.98 82.24 66.50 62.46 61.08 60.84	0.44 0.44 0.42 0.41 0.36 0.32 0.31 0.29 0.29 0.26 0.25 0.20 0.19 0.19
ICICÍ Bank Ltd PTCI India Ltd PTCI India Ltd TCI India Ltd TCI India Ltd Zee Entertainment Enterprises Ltd Cummins India Ltd Bayer CropScience Ltd Sesa Sterlite Ltd Jyothy Laboratories Ltd Reliance Industries Ltd Tata Motors Ltd Bajaj Finance Ltd ING Vysya Bank Ltd United Phosphorus Ltd NMDC Ltd	INE860A01027 INE009A01021 INE090A01013 INE877F01012 INE591G01017 INE256A01028 INE298A01020 INE462A01025 INE668F01031 INE002A01018 INE155A01022 INE296A01016 INE166A01011 INE628A01036 INE584A01023	Software Software Software Banks Power Software Media & Entertainmen Industrial Products Pesticides Minerals/Mining Consumer Non Durabl Petroleum Products Auto Finance Banks Pesticides Minerals/Mining	17,099 13,000 4,500 15,000 250,000 37,000 14 44,000 23,000 6,000 50,400 es 51,147 10,000 20,000 5,359 11,400 42,000 50,000	144.35 141.34 135.59 132.55 116.13 104.25 100.54 93.90 92.76 91.10 85.98 82.24 66.50 62.46 61.08 60.84 60.05	0.44 0.42 0.41 0.36 0.32 0.31 0.29 0.28 0.25 0.20 0.19 0.19 0.19
ICICÍ Bank Ltd PTC India Ltd NIIT Technologies Ltd Zee Entertainment Enterprises Ltd Cummins India Ltd Bayer CropScience Ltd Sesa Sterlite Ltd Jyothy Laboratories Ltd Reliance Industries Ltd Tata Motors Ltd Bajaj Finance Ltd ING Vysya Bank Ltd United Phosphorus Ltd NMDC Ltd MMDC Ltd Mddras Cements Ltd	INE860A01027 INE009A01021 INE090A01013 INE877F01012 INE591G01017 INE256A01028 INE298A01020 INE462A01022 INE002A01018 INE002A01018 INE155A01022 INE296A01016 INE166A01011 INE628A01033 INE038A01033 INE038A01033 INE038A01033	Software Software Software Banks Power Software Media & Entertainmen Industrial Products Pesticides Minerals/Mining Consumer Non Durabl Petroleum Products Auto Finance Banks Pesticides Minerals/Mining Cement	17,099 13,000 4,500 15,000 250,000 37,000 11 44,000 50,400 es 51,147 10,000 5,359 11,400 42,000 50,000 32,200	144.35 141.34 135.59 132.55 116.13 104.25 100.54 93.90 92.76 91.10 85.98 82.24 66.50 62.46 61.08 60.84 60.05 57.43	0.44 0.44 0.42 0.41 0.36 0.32 0.31 0.29 0.28 0.26 0.25 0.20 0.19 0.19 0.18
ICICÍ Bank Ltd PTC India Ltd NIIT Technologies Ltd Zee Entertainment Enterprises Ltd Cummins India Ltd Bayer CropScience Ltd Sesa Sterlite Ltd Jyothy Laboratories Ltd Reliance Industries Ltd Tata Motors Ltd Bajaj Finance Ltd ING Vysya Bank Ltd United Phosphorus Ltd NMDC Ltd Maddras Cements Ltd Eicher Motors Ltd Eicher Motors Ltd	INE860A01027 INE009A01021 INE090A01013 INE877F01012 INE591G01017 INE256A01028 INE298A01020 INE462A01022 INE205A01022 INE002A01018 INE002A01018 INE155A01022 INE296A01016 INE166A01011 INE628A01036 INE384A01037 INE31A01037 INE31A01037 INE066A01013	Software Software Software Banks Power Software Media & Entertainmen Industrial Products Pesticides Minerals/Mining Consumer Non Durabl Petroleum Products Auto Finance Banks Pesticides Minerals/Mining Cement Auto	17,099 13,000 4,500 15,000 250,000 37,000 123,000 6,000 50,400 es 51,147 10,000 20,000 5,359 11,400 42,000 50,000 32,200 1,500	144.35 141.34 135.59 132.55 116.13 104.25 100.54 93.90 92.76 91.10 85.98 82.24 66.50 62.46 61.08 60.84 60.05 57.43 53.41	0.44 0.44 0.42 0.41 0.36 0.32 0.29 0.29 0.28 0.26 0.25 0.20 0.19 0.19 0.18 0.18
ICICÍ Bank Ltd PTC India Ltd NIIT Technologies Ltd Zee Entertainment Enterprises Ltd Cummins India Ltd Bayer CropScience Ltd Sesa Sterlite Ltd Jyothy Laboratories Ltd Reliance Industries Ltd Tata Motors Ltd Bajaj Finance Ltd ING Vysya Bank Ltd United Phosphorus Ltd NMDC Ltd Madras Cements Ltd Eicher Motors Ltd Eicher Motors Ltd Shriram City Union Finance Ltd	INE860A01027 INE009A01021 INE090A01013 INE877F01012 INE591G01017 INE256A01028 INE298A01020 INE462A01022 INE002A01018 INE002A01018 INE155A01022 INE296A01016 INE166A01011 INE628A01033 INE038A01033 INE038A01033 INE038A01033	Software Software Software Banks Power Software Media & Entertainmen Industrial Products Pesticides Minerals/Mining Consumer Non Durabl Petroleum Products Auto Finance Banks Pesticides Minerals/Mining Cement	17,099 13,000 4,500 15,000 250,000 37,000 11 44,000 50,400 es 51,147 10,000 5,359 11,400 42,000 50,000 32,200	144.35 141.34 135.59 132.55 116.13 104.25 100.54 93.90 92.76 91.10 85.98 82.24 66.50 62.46 61.08 60.84 60.05 57.43	0.44 0.44 0.42 0.41 0.36 0.32 0.31 0.29 0.29 0.28 0.26 0.19 0.19 0.19 0.18 0.18 0.16
ICICÍ Bank Ltd PTC India Ltd NIIT Technologies Ltd Zee Entertainment Enterprises Ltd Cummins India Ltd Bayer CropScience Ltd Sesa Sterlite Ltd Jyothy Laboratories Ltd Reliance Industries Ltd Tata Motors Ltd Bajaj Finance Ltd ING Vysya Bank Ltd United Phosphorus Ltd NMDC Ltd Madras Cements Ltd Eicher Motors Ltd Shriram City Union Finance Ltd Solar Industries India Ltd Speciality Restaurants Ltd	INE860A01027 INE009A01021 INE090A01013 INE877F01012 INE591G01017 INE256A01028 INE298A01020 INE462A01022 INE205A01025 INE668F01031 INE002A01018 INE155A01022 INE296A01016 INE166A01011 INE628A01033 INE331A01033 INE331A01033 INE331A01033 INE331A01033 INE066A01011 INE66A01011 INE66A01011 INE66A01011	Software Software Software Banks Power Software Media & Entertainmen Industrial Products Pesticides Minerals/Mining Consumer Non Durabl Petroleum Products Auto Finance Banks Pesticides Minerals/Mining Cement Auto Finance Cement Auto Finance Chemicals Hotels	17,099 13,000 4,500 15,000 250,000 37,000 41 44,000 23,000 6,000 50,400 ess 51,147 10,000 20,000 5,359 11,400 42,000 50,000 32,200 1,500 5,000	144.35 141.34 135.59 132.55 116.13 104.25 100.54 93.90 92.76 91.10 85.98 82.24 66.50 62.46 61.08 60.84 60.05 57.43 53.41 52.90	0.45 0.44 0.44 0.42 0.41 0.36 0.32 0.31 0.29 0.29 0.28 0.26 0.19 0.19 0.19 0.18 0.18 0.16 0.16 0.14
ICICÍ Bank Ltd PTC India Ltd NIIT Technologies Ltd Zee Entertainment Enterprises Ltd Cummins India Ltd Bayer CropScience Ltd Sesa Sterlite Ltd Jyothy Laboratories Ltd Reliance Industries Ltd Tata Motors Ltd Bajaj Finance Ltd ING Vysya Bank Ltd United Phosphorus Ltd NMDC Ltd Madras Cements Ltd Eicher Motors Ltd Shriram City Union Finance Ltd Solar Industries India Ltd Speciality Restaurants Ltd Motherson Sumi Systems Ltd	INE860A01027 INE009A01021 INE909A01013 INE877F01012 INE591G01017 INE256A01028 INE298A01020 INE462A01022 INE205A01025 INE688F01031 INE002A01018 INE155A01022 INE296A01016 INE166A01011 INE628A01036 INE331A01037 INE066A01013 INE722A01011 INE343H01011 INE343H01011 INE343H01011 INE343H01011 INE343H01011 INE343H01011 INE343H01011 INE343H01011 INE343H01011	Software Software Software Banks Power Software Media & Entertainmen Industrial Products Pesticides Minerals/Mining Consumer Non Durabl Petroleum Products Auto Finance Banks Pesticides Minerals/Mining Cement Auto Finance Chemicals Hotels Auto Ancillaries	17,099 13,000 4,500 15,000 250,000 37,000 12 44,000 50,400 es 51,147 10,000 20,000 5,359 11,400 42,000 50,000 32,200 5,000 5,000 5,000 5,000 5,000 5,7,600 10,000	144.35 141.34 135.59 132.55 116.13 104.25 100.54 93.90 92.76 91.10 85.98 82.24 66.50 62.46 61.08 60.84 60.05 57.43 53.41 52.90 46.53 33.98 23.00	0.44 0.44 0.42 0.41 0.36 0.32 0.31 0.29 0.28 0.26 0.25 0.20 0.19 0.19 0.18 0.16 0.16 0.14 0.10 0.07
ICICÍ Bank Ltd PTC India Ltd NIIT Technologies Ltd Zee Entertainment Enterprises Ltd Cummins India Ltd Bayer CropScience Ltd Sesa Sterlite Ltd Jyothy Laboratories Ltd Reliance Industries Ltd Tata Motors Ltd Bajaj Finance Ltd ING Vysya Bank Ltd United Phosphorus Ltd NMDC Ltd Madras Cements Ltd Eicher Motors Ltd Shriram City Union Finance Ltd Solar Industries India Ltd Speciality Restaurants Ltd Motherson Sumi Systems Ltd National Aluminium Company Ltd	INE860A01027 INE009A01027 INE009A01013 INE877F01012 INE591G01017 INE256A01028 INE298A01020 INE462A01022 INE205A01025 INE668F01031 INE002A01018 INE155A01022 INE296A01016 INE166A01011 INE628A01036 INE584A01023 INE331A01031 INE722A01011 INE343H01011 INE47FA01035 INE347M01014 INE775A01035 INE139A01034	Software Software Software Software Banks Power Software Media & Entertainmen Industrial Products Pesticides Minerals/Mining Consumer Non Durabl Petroleum Products Auto Finance Banks Pesticides Minerals/Mining Cement Auto Finance Chemicals Hotels Auto Antillaries Non - Ferrous Metals	17,099 13,000 4,500 15,000 250,000 37,000 14 44,000 50,400 6,000 50,400 6,000 50,400 42,000 50,000 32,200 1,500 5,000 5,000 27,760 10,000 63,370	144.35 141.34 135.59 132.55 116.13 104.25 100.54 93.90 92.76 91.10 85.98 82.24 66.50 62.46 61.08 60.84 60.05 57.43 53.41 52.90 46.53 33.98 23.00 20.63	0.44 0.44 0.44 0.41 0.36 0.32 0.31 0.29 0.29 0.28 0.26 0.19 0.19 0.19 0.18 0.16 0.16 0.14 0.10 0.077 0.06
ICICI Bank Ltd PTC India Ltd NIIT Technologies Ltd Zee Entertainment Enterprises Ltd Cummins India Ltd Bayer CropScience Ltd Seas Sterlite Ltd Jyothy Laboratories Ltd Reliance Industries Ltd Tata Motors Ltd Bajaj Finance Ltd ING Vysya Bank Ltd United Phosphorus Ltd NMDC Ltd Madras Cements Ltd Eicher Motors Ltd Shriram City Union Finance Ltd Solar Industries India Ltd Speciality Restaurants Ltd Motherson Sumi Systems Ltd	INE860A01027 INE009A01021 INE909A01013 INE877F01012 INE591G01017 INE256A01028 INE298A01020 INE462A01022 INE205A01025 INE688F01031 INE002A01018 INE155A01022 INE296A01016 INE166A01011 INE628A01036 INE331A01037 INE066A01013 INE722A01011 INE343H01011 INE343H01011 INE343H01011 INE343H01011 INE343H01011 INE343H01011 INE343H01011 INE343H01011 INE343H01011	Software Software Software Banks Power Software Media & Entertainmen Industrial Products Pesticides Minerals/Mining Consumer Non Durabl Petroleum Products Auto Finance Banks Pesticides Minerals/Mining Cement Auto Finance Chemicals Hotels Auto Ancillaries	17,099 13,000 4,500 15,000 250,000 37,000 12 44,000 50,400 es 51,147 10,000 20,000 5,359 11,400 42,000 50,000 32,200 5,000 5,000 5,000 5,000 5,000 5,7,600 10,000	144.35 141.34 135.59 132.55 116.13 104.25 100.54 93.90 92.76 91.10 85.98 82.24 66.50 62.46 61.08 60.84 60.05 57.43 53.41 52.90 46.53 33.98 23.00	0.44 0.44 0.42 0.41 0.36 0.32 0.31 0.29 0.28 0.26 0.25 0.20 0.19 0.19 0.18 0.16 0.16 0.14 0.10 0.07

GRAND TOTAL FRN - Floating Rate Note

of India Ltd

Karur Vysya Bank Ltd

Magnasound (I) Ltd \*\* #

CBLO / Reverse Repo

Net Receivables / (Payables)

Sub Total

Sub Total

Sub Total

Total

(0.82)

7,015.97 100.00

-0.01

Thinly Traded / Non Traded Security # Unlisted Security

^Industry classification as recommended by AMFI and wherever not available, internal classification has been Notes:

2,500

15,000

7.78

0.00

0.00

4,869.27

2.015.00

2,015.00

2.015.00

1,649.19

32,489.30 100.00

4,869.27

0.02

14.99

6.20

6.20

5.08

(1) The provision made for Non Performing Assets as of September 30, 2013 is Nil. (2) Plan/Option wise Net Asset Values are as follows

INE036D01010

INE944F01028

Software

Miscellaneous

Consumer Non Durables

Banks

As on March 28, 2013 • As on September 30, 2013 Regular Plan - Growth Option Rs 42 0288 Rs. 41.8609 Regular Plan - Monthly Dividend Option Rs. 11.3476 Rs. 10.9664 Regular Plan - Quarterly Dividend Option Rs. 11.7465 Rs. 11.8649 Direct Plan - Growth Option Direct Plan - Monthly Dividend Ontion Rs. 11.1992 Rs. 11.3589 Direct Plan - Quarterly Dividend Option Rs. 11.7876

· NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day  $(3) \qquad \text{Dividend in the following plans was declared during the half year ended September 30, 2013}.$ 

Regular Plan - Monthly Dividend Option Regular Plan - Quarterly Dividend Option Direct Plan - Monthly Dividend Option Rs. 0.1468 Direct Plan - Quarterly Dividend Option Details of derivatives exposure and transaction:

Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired.

Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended (3) September 30, 2013 there were no non-hedging transactions through futures which have been squared

Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended

September 30, 2013 there were no hedging transactions through option which have exercised/expired. (4) Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised

(e) Hedging Positions through swaps as on September 30, 2013 is Nil.

The Average Maturity Period of the Portfolio is 4.72 years

During the current half year, the portfolio turnover ratio of equity and equity related instruments is 0.09 times.  $Total\ market\ value\ of\ Foreign\ Securities/overseas\ ETFs\ and\ its\ percentage\ to\ Net\ Assets\ as\ at\ September\ 30, 2013$ 

The total value of illiquid Equity Securities is Nil and its percentage to Net Assets is Nil.

Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

(AN OPEN ENDED EOUITY SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013 Name of the Instrumen Industry^ / Rating Market % of Net Value (Rs. Debt Instruments (a) Listed / awaiting listing on Stock Exchange 9.00% Coromandel International INE169A08010 CRISIL AA+ 60,000 8.85 0.23 Sub Total 8.85 0.23 (b) Privately placed / Unlisted NIL NIL Sub Total NIL NIL 0.23 Equity & Equity related
(a) Listed / awaiting listing on Stock Exchanges
ICICI Bank Ltd INE090A01013 Larsen & Toubro Ltd INE018A01030 Construction Project 30,000 236.63 6.14 VA Tech Wabag Ltd Oil & Natural Gas Corporation Ltd 46,000 70,000 INE956G01038 Engineering Services Oil 215 23 NTPC Ltd PTC India Ltd INE733E01010 Power 100,000 147.45 3.83 3.62 INE877F01012 300,000 139.35 Zee Entertainment Enterprises Ltd INE256A01028 Media & Entertainme Indraprastha Gas Ltd INE203G01019 Gas 50,000 134.68 3.49 Idea Cellular Ltd INE669E01016 Telecom 80.000 134.60 3.49 113.84 The Federal Bank Ltd 40,000 Bharat Petroleum Corporation Ltd INE029A01011 Petroleum Products 34,000 112.63 2.92 Indusind Bank Ltd INE095A01012 Banks 30,000 110.63 INE274J01014 Kalpataru Power Transmission Ltd INE220B01022 Power 180,000 104.31 2.71 2.68 Crompton Greaves Ltd Alstom India Ltd INE067A01029 Industrial Capital Goods 120,000 103.26 Industrial Capital Goods Media & Entertainment Hathway Cable & Datacom Ltd INE982F01028 35,000 100.35 2.60 2.54 Power Finance Power Grid Corporation of India Ltd INE752E01010 100,000 Max India Ltd INE180A01020 INE397D01024 Bharti Airtel Ltd Telecom - Services 30,000 95.60 2.48 2.46 INF040A01026 HDFC Bank Ltd Banks 16.000 94.89 Dish TV India Ltd INE836F01026 Media & Ente 160,000 80.80 2.10 Housing Development Finance INE001A01036 10,000 Corporation Ltd INE389H01022 KEC International Ltd 275,300 74.61 1.94 Power INE849A01012 INE028A01013 Trent Ltd Bank of Baroda 8,000 15,000 74.30 74.03 Shopper's Stop Ltd Petronet LNG Ltd Bajaj Finance Ltd INE498B01024 Retailing 20,000 72.03 1.87 INE347G01014 INE296A01016 Finance LIC Housing Finance Ltd INE115A01026 Finance 35,000 1.71 Sadbhav Engineering Ltd JSW Energy Ltd INE226H01026 INE121E01018 80,000 100,000 Power Jet Airways (India) Ltd INE802G01018 Transportation 11.457 41.15 1.07 NCC Ltd Future Retail Limited Indian Bank INE868B01028 INE623B01027  $0.93 \\ 0.83$ Retailing INE562A01011 Banks 30,000 20.22 0.52 Canara Bank Future Lifestyle Fashions Ltd \*\*# 17.72 17.63 INE476A01014 Banks Miscellaneous 8,000 15,000 0.46 0.46 INE692A01016 Union Bank of India Banks 10,000 10.97 0.28 Sub Total (b) Unlisted NIL NIL NIL Sub Total Total 3,808.97 98.84 CBLO / Reverse Repo Clearing Corporation of India Ltd Sub Total Total 88.00 2.28 88.00 88.00

GRAND TOTAL 3,853.43 100.00 \*\* Thinly Traded / Non Traded Security ^Industry classification as recommended by AMFI and wherever not available, internal classification has been

(52.39)

### # Unlisted Security

Net Receivables / (Payables)

Notes: The provision made for Non Performing Assets as of September 30, 2013 is Nil.

Plan/Option wise Net Asset Values are as follows: As on September 30, 2013 As on March 28, 2013 • Regular Plan - Dividend Option Rs. 8.55 Rs. 8.55 Rs. 7.29 Regular Plan - Growth Option Direct Plan - Dividend Option Rs. 8.56

• NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day

(3) No dividend was declared during the half year ended September 30, 2013. Details of derivatives exposure and transaction:

Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September (a) 30, 2013 there were no hedging transactions through futures which have been squared off/expired. Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared off/expired. Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended

September 30, 2013 there were no hedging transactions through option which have exercised/expired Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised Hedging Positions through swaps as on September 30, 2013 is Nil.

During the current half year, the portfolio turnover ratio of equity and equity related instruments is 0.31 times Total market value of Foreign Securities/overseas ETFs and its percentage to Net Assets as at September 30, 2013

The total value of illiquid Equity Securities is 0.18 crores and its percentage to Net Assets is 0.46%.

BIRLA SUN LIFE QUARTERLY INTERVAL FUND - SERIES 4

(AN INTERVAL INCOME SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013								
Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs. in Lacs)	% of Net Assets			
Money Market Instruments								
Certificate of Deposit								
ICICI Bank Ltd ** #	INE090A16XR7	ICRA A1+	1,600	1,564.65	25.70			
Yes Bank Ltd#	INE528G16VK2	[ICRA]A1+	1,500	1,466.82	24.09			
South Indian Bank Ltd ** #	INE683A16BP9	CARE A1+	100	98.48	1.62			
Sub Total				3,129.95	51.41			
Treasury Bill								
91Days TBill (MD 26/12/2013)	IN002013X253	SOV	2,500,000	2,445.27	40.17			
Sub Total				2,445.27	40.17			
Total				5,575.22	91.58			
Others								
Fixed Deposit		Tenure (No. of Days)						
9.7% Vijaya Bank		90		500.00	8.21			
Sub Total				500.00	8.21			
Total				500.00	8.21			
CBLO / Reverse Repo								
Clearing Corporation of India Ltd				8.00	0.13			
Sub Total				8.00	0.13			
Total				8.00	0.13			
Net Receivables / (Payables)				4.45	0.07			
GRAND TOTAL				6,087.67	100.00			

# Unlisted Security

The provision made for Non Performing Assets as of September 30, 2013 is Nil.

Plan/Option wise Net Asset Values are as follows: As on September 30, 2013 As on March 28, 2013 • Regular Plan - Dividend Option Rs. 10.0127 Rs. 10.0000 Regular Plan - Growth Option Rs. 15.8642 Rs. 15.1216 Rs. 10.0127 N.A Rs. 15.8647 N.A • NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.

Dividend in the following plans was declared during the half year ended September 30, 2013. Per Unit (Rs.)

Details of derivatives exposure and transaction: Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired. Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared

off/expired. Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended  $September \, 30, 2013 \, there \, were \, no \, hedging \, transactions \, through \, options \, which \, have \, exercised/expired.$ Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/

Hedging Positions through swaps as on September 30, 2013 is Nil.

The Average Maturity Period of the Portfolio is 0.24 years

Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil

### **IDENTIFY OF STATE O**



This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September, 2013.

### Half Yearly Portfolio Statement as at September 30, 2013

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996) BIRLA SUN LIFE TAX RELIEF' 96

(AN OPEN ENDED EOUITY LINKED SAVINGS SCHEME (ELSS))

PORTFOLIO AS ON SEPTEMBER 30, 2013

#### (A CLOSE ENDED EQUITY SCHEME INVESTING IN ELIGIBLE SECURITIES AS PER RAJIV GANDHI EQUITY SAVINGS SCHEME, 2012) PORTFOLIO AS ON SEPTEMBER 30, 2013 Name of the Instrument Market % of Value (Rs. Net in Lacs) Assets Equity & Equity related (a) Listed / awaiting listing on Stock Exchanges INE154A01025 ITC Ltd 268.92 Consumer Non Durables 79,000 Software Infosys Ltd INE009A01021 7.000 210.91 Reliance Industries Ltd INE002A01018 Petroleum Products 25,000 205.60 INE040A01026 HDFC Bank Ltd 5.12 34,000 201.64 Housing Development Finance INE001A01036 25,000 191.06 4.85 Corporation Ltd HCL Technologies Ltd INE860A01027 Software 16,000 173.95 4.42 ICICI Bank Ltd INE090A01013 17,500 154.64 3.93 Tata Consultancy Services Ltd INE467B01029 Software 8.000 154.22 3.92 Sun Pharmaceuticals Industries Ltd INE044A01036 3.47 Pharmaceuticals 136.46 23,000 2.71 2.45 Larsen & Toubro Ltd INE018A01030 106.48 13,500 Tata Motors Ltd INE155A01022 Auto 29,000 96.43 93.95 Nestle India Ltd INE239A01016 Cairn India Ltd INE910H01017 Oil 27,000 86.09 2.19 Dr. Reddy'S Laboratories Ltd INE089A01023 Colgate Palmolive (India) Ltd INE259A01022 Consumer Non Durables 6.500 80.59 2.05 Mahindra & Mahindra Ltd INE101A01026 74.47 1.89 9,000 Auto NTPC Ltd INE733E01010 73.73 50,000 Cummins India Ltd INE298A01020 Industrial Products 1.87 18,000 73.49 INE213A01029 Oil & Natural Gas Corporation Ltd Maruti Suzuki India Ltd INE585B01010 4,500 61.13 1.55 Idea Cellular Ltd INE669E01016 34,000 INE047A01013 Grasim Industries Ltd Cement 2,100 55.57 1.41 Power Grid Corporation of India Ltd INE752E01010 54.91 56,000 1.39 Power INF481G01011 Ultratech Cement Ltd 3.000 54.28 1.38 Sesa Sterlite Ltd INE205A01025 Minerals/Mining 54.23 1.38 30,000 Tech Mahindra Lto INE669C01028 Hindustan Zinc Ltd Non - Ferrous Metals INE267A01025 38,000 50.75 1.29 Bajaj Auto Ltd INE917I01010 Cipla Ltd INE059A01026 Pharmaceuticals 11,000 47.58 1.21 LIC Housing Finance Ltd INE115A01026 25,000 46.99 1.19 INF584A01023 NMDC Ltd Minerals/Mining 45.64 38,000 INE323A01026 1.15 Auto Ancillaries 45.24 Bosch Ltd 500 Divi's Laboratories Ltd Pharmaceuticals INE361B01024 4,500 43.67 1.11 Minerals/Mining Coal India Ltd INE522F01014 14,000 41.22 1.05 INE256A01028 INE237A01028 Kotak Mahindra Bank Ltd Banks 6,000 40.69 1.03 40.56 INE095A01012 Indusind Bank Ltd 11,000 1.03 INF062A01012 State Bank of India Banks 2.500 40.37 1.03 Hero MotoCorp Ltd INE158A01026 Auto 2,000 40.19 1.02 Glenmark Pharmaceuticals Ltd INE935A01035 Pharmaceuticals 39.82 1.01 Bharat Petroleum Corporation Ltd INE029A01011 Petroleum Products 12,000 39.75 1.01 INE397D01024 38.24 Tata Steel Ltd INE081A01012 Ferrous Metals 10,000 27.16 0.69 Software Ltd Titan Company Limited INE280A01028 9,000 20.89 Consumer Durables United Phosphorus Ltd INE628A01036 Pesticides 20.28 0.52 14,000 INE238A01026 Axis Bank Ltd Banks 2,000 20.16 0.51 Infrastructure Developmen INE043D01016 17.61 Finance Company Ltd INE028A01013 3,500 17.27 Canara Bank INE476A01014 Banks 6,500 14.39 0.37 Punjab National Bank INE160A01014 13.84 0.35 Sub Total 3,795.23 96.41 (b) Unlisted NIL NIL Sub Total NIL NIL 3,795.23 CBLO / Reverse Repo 155.00 3.94 155.00 155.00 3.94 Net Receivables / (Payables) (13.85)-0.35GRAND TOTAL 3,936.38 100.00

^Industry classification as recommended by AMFI and wherever not available, internal classification has be

Notes: The provision made for Non Performing Assets as of September 30, 2013 is Nil. (2) Plan/Option wise Net Asset Values are as follows

Plan	As on September 30, 2013	As on March 28, 2013 •
Regular Plan - Dividend Option	Rs. 10.11	Rs. 10.04
Regular Plan - Growth Option	Rs. 10.11	Rs. 10.04
Direct Plan - Dividend Option	Rs. 10.22	Rs. 10.04
Direct Plan - Growth Option	Rs. 10.14	Rs. 10.04

- NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.
- No dividend was declared during the half year ended September 30, 2013.
- Details of derivatives exposure and transaction:
- Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired. Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared
- Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended  $Other than \ Hedging \ Positions \ through \ Options \ as \ on \ September \ 30,2013 \ is \ Nil \ and \ for \ the \ half \ year \ ended$ September 30, 2013 there were no non-hedging transactions through options which have been exercised
- expired. Hedging Positions through swaps as on September 30, 2013 is Nil.
- During the current half year, the portfolio turnover ratio of equity and equity related instruments is 0.36 times Total market value of Foreign Securities/overseas ETFs and its percentage to Net Assets as at September 30, 2013
- The total value of illiquid Equity Securities is Nil and its percentage to Net Assets is Nil. Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil

(AN OPEN ENDED GILT SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013								
Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs. in Lacs)	% of Net Assets			
CBLO / Reverse Repo								
Clearing Corporation of India Ltd				390.00	99.97			
Sub Total				390.00	99.97			
Total				390.00	99.97			
Net Receivables / (Payables)				0.10	0.03			
GRAND TOTAL				390.10	100.00			

^Industry classification as recommended by AMFI and wherever not available, internal classification has been used

The provision made for Non Performing Assets as of September 30, 2013 is Nil.

Plan	As on September 30, 2013	As on March 28, 2013 •
Regular Plan - Daily Dividend Option	Rs. 10.0031	Rs. 10.0031
Regular Plan - Growth Option	Rs. 22.9148	Rs. 21.9572
Direct Plan - Growth Option	Rs. 10.3708	Rs. 10.0000

(3) Dividend in the following plans was declared during the half year ended September 30, 2013.

Regular Plan - Daily Dividend Option 0.3619 Details of derivatives exposure and transaction:

 $Hedging\ Position\ through\ Futures\ as\ on\ September\ 30, 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September \ \ \ (4)$ 30, 2013 there were no hedging transactions through futures which have been squared off/expired.  $Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30,2013 \ is \ Nil \ and \ for \ the \ half \ year \ ended \ the \ year \ ended \ year \ ended \ year \ ye$ 

Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended

September 30, 2013 there were no non-hedging transactions through futures which have been squared

September 30, 2013 there were no non-hedging transactions through options which have been exercised/

Hedging Positions through swaps as on September 30, 2013 is Nil.

The Average Maturity Period of the Portfolio is 0.003 years Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

Value (Rs. in Lacs) Assets Equity & Equity related (a) Listed / awaiting listing on Stock Exchanges
Tata Consultancy Services Ltd INE467B01029 6,642.77 Software Petroleum Products Reliance Industries Ltd INE002A01018 805.187 6,621.86 Bayer CropScience Ltd INE462A01022 5,943.84 Pesticides ICICI Bank Ltd INE090A01013 Banks 615,704 5,440.67 4.13 ING Vysya Bank Ltd ICRA Ltd INE166A01011 Banks 925,551 4.958.64 INE725G01011 Tech Mahindra Ltd INE669C01028 Software 348,018 4,648.65 3.53 Industrial Capital Honeywell Automation India Ltd INE671A01010 4,601.85 3.50 Housing Development Finance 4,339.81 3.30 INE001A01036 567,852 Corporation Ltd MRF Ltd Auto Ancillaries INE154A01025 1,161,804 3,954.78 3.00 Durables HCL Technologies Ltd INE860A01027 Software Sun Pharmaceuticals Industries Ltd INE044A01036 Pharmaceuticals 645,964 3,832.50 2.91 NTPC Ltd INE733E01010 2.486.625 3,666,53 1,097,863 HDFC Bank Ltd INE040A01026 Banks 585,010 3,469.40 2.64 Biocon Ltd INE376G01013 Pharmaceuticals 1.019.025 3.441.25 2.61 Larsen & Toubro Ltd INE018A01030 3,420.87 Construction Project Bosch Ltd INE323A01026 Auto Ancillaries 35,138 3,179.51 2.42 2.37 Cummins India Ltd INE298A01020 Industrial Products 765.056 3.123.34 INE256A01028 2.34 Zee Entertainment Enterprises Ltd Media & 1,348,000 3,080.18 Shopper's Stop Ltd INE498B01024 Retailing 2,997.98 INE030A01027 Hindustan Unilever Ltd Consumer Nor 456,334 2,863.27 2.17 Durables 2,702.82 Banks Grasim Industries Ltd INE047A01013 Cement 100,705 2,664.70 2.02 1.73 Dr. Reddy'S Laboratories Ltd INE089A01023 95,600 2.276.38 Glaxosmithkline Pharmaceuticals Ltd Pharmaceuticals LIC Housing Finance Ltd INE115A01026 Finance 1,054,500 1,981.93 Jet Airways (India) Ltd Bata India Ltd INE802G01018 1.819.06 1,788.48 Consumer Durables Century Textiles & Industries Ltd INE055A01016 Cement 734,300 1,770.40 1.34 1.32 INE182A01018 1.733.90

Mahindra & Mahindra Ltd INF101A01026 113.964 943.00 United Breweries (Holdings) Ltd Bharat Bijlee Ltd INE464A01028 Industrial Capital 43,345 133.91 0.10 131,240.42 (b) Unlisted Magnasound (I) Ltd \*\* # Miscellaneous 3,000 0.00 Sub Total 99.69 131,240.42 CBLO / Reverse Repo Clearing Corporation of India Ltd Sub Total 1,953.00

Cement

Ferrous Metals

Minerals/Mining

Auto Ancillaries

Pharmaceuticals

441,524

628.880

99,504

209,342

1,628.12

1.481.01

1,351.81

1.339.81

1,213.87

1.953.00

131,649.28 100.00

(1,544.14)

1.24 1.12

1.03

1.02

0.92

1.48

-1.17

Banks

Auto

INE070A01015

INE095A01012

INE749A01030

INE585B01010

INE205A01025

INE105A01035

INE378A01012

GRAND TOTAL # Unlisted Security

\$ Less Than 0.01% of Net Asset Value

Net Receivables / (Payables)

Shree Cements Ltd

Jindal Steel & Power Ltd

Maruti Suzuki India Ltd

ndaram Clayton Ltd

Indusind Bank Ltd

Sesa Sterlite Ltd

Wyeth Ltd

^Industry classification as recommended by AMFI and wherever not available, internal classification has been

The provision made for Non Performing Assets as of September 30, 2013 is Nil. Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2013	As on March 28, 2013 •
Regular Plan - Dividend Option	Rs. 73.95	Rs. 73.01
Regular Plan - Growth Option	Rs. 11.14	Rs. 11.00
Direct Plan - Dividend Option	Rs. 77.50	Rs. 76.09
Direct Plan - Growth Option	Rs. 11.23	Rs. 11.02

· NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.

No dividend was declared during the half year ended September 30, 2013

Details of derivatives exposure and transaction: Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September

30, 2013 there were no hedging transactions through futures which have been squared off/expired. Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared (2) off/expired.

Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended  $September\ 30, 2013\ there\ were\ no\ hedging\ transactions\ through\ options\ which\ have\ exercised/expired.$ Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/

Hedging Positions through swaps as on September 30, 2013 is Nil.

During the current half year, the portfolio turnover ratio of equity and equity related instruments is 0.08 times Total market value of Foreign Securities/overseas ETFs and its percentage to Net Assets as at September 30, 2013

The total value of illiquid Equity Securities is Nil and its percentage to Net Assets is Nil. (8)

Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

# (AN OPEN ENDED GILT SCHEME)

Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs. in Lacs)	% of Net Assets
Government Securities					
(a) Listed / awaiting listing on Stock Excha	nge				
7.16% GOI (MD 20/05/2023)	IN0020130012	SOV	18,000,000	16,142.40	33.00
8.28% GOI (M/D 21/09/2027)	IN0020070069	SOV	12,000,000	11,190.00	22.88
9.5% Gujrat SDL (MD 11/09/2023)	IN1520130072	SOV	10,000,000	9,982.01	20.41
8.20% GOI (MD 24/09/2025)	IN0020120047	SOV	5,000,000	4,617.50	9.44
8.32% GOI (MD 02/08/2032)	IN0020070044	SOV	4,000,000	3,655.60	7.47
3.92% Tamilnadu SDL (MD 08/08/2022)	IN3120120040	SOV	500,000	479.04	0.98
8.62% Maharashtra SDL (MD 20/02/2023)	IN2220120108	SOV	295,100	278.24	0.57
<b>Total</b>				46,344.79	94.75
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				1,827.00	3.74
Sub Total				1,827.00	3.74
Total				1,827.00	3.74
Net Receivables / (Payables)				740.71	1.51
GRAND TOTAL				48,912.50	100.00

Notes:

(1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.

Plan/Option wise Net Asset Values are as follows

As on September 30, 2013 As on March 28, 2013 • Regular Plan - Dividend Option Rs. 10.5442 Rs. 11.4543 Regular Plan - Growth Option Rs. 33.8221 Rs. 34.4577 Rs. 10.9018 Direct Plan - Growth Option Rs. 33.9080 Rs. 34.4820

• NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day. (3) Dividend in the following plans was declared during the half year ended September 30, 2013.

Per Unit (Rs.) Direct Plan - Dividend Option

Details of derivatives exposure and transaction Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September

30, 2013 there were no hedging transactions through futures which have been squared off/expired. Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared

Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired. (c) Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/

Hedging Positions through swaps as on September 30, 2013 is Nil

The Average Maturity Period of the Portfolio is 11.09 years. Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

(AN INTERVAL INCOME SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013

Name of the Instrument	ISIN	Rating	Quantity	Market	% of
				Value (Rs.	Net
				in Lacs)	Assets
Money Market Instruments					
Certificate of Deposit					
Andhra Bank ** #	INE434A16DL	2 CARE A1+	7,500	7,190.03	20.52
State Bank of Patiala ** #	INE652A16GZ	2 ICRA A1+	7,500	6,944.11	19.82
Bank of India ** #	INE084A16AA	4 CRISIL A1+	5,000	4,795.36	13.69
State Bank of Mysore ** #	INE651A16FB7	ICRA A1+	5,000	4,635.09	13.23
Andhra Bank ** #	INE434A16DK	4 CARE A1+	3,455	3,313.75	9.46
Yes Bank Ltd ** #	INE528G16TT	7 ICRA A1+	2,700	2,587.01	7.38
Bank of India ** #	INE084A16931	CRISIL A1+	2,500	2,401.68	6.86
Dena Bank ** #	INE077A16AB	6 CRISIL A1+	2,500	2,397.68	6.84
Bank of Maharashtra ** #	INE457A16CX	0 CRISIL A1+	800	740.16	2.11
Sub Total				35,004.87	99.92
Total				35,004.87	99.92
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				29.00	0.08
Sub Total				29.00	0.08
Total				29.00	0.08
Net Receivables / (Payables)				(2.33)	-0.01
CDAND TOTAL				35 031 54	100.00

\*\* Thinly Traded/Non Traded Security

The provision made for Non Performing Assets as of September 30, 2013 is Nil.

Plan/Option wise Net Asset Values are as follows: As on September 30, 2013 As on March 28, 2013 • Regular Plan - Growth Option Rs. 10.1808 Regular Plan - Dividend Option Rs. 10.1808 NA Regular Plan - Quarterly Dividend Option Rs. 10.1808 Direct Plan - Growth Option Rs. 10.1813 NA Direct Plan - Dividend Option Direct Plan - Quarterly Dividend Option Rs. 10.1813 NA

•Birla Sun Life Interval Income Fund- Annual Plan 9 has been launched during the current half year. No dividend was declared during the half year ended September 30, 2013.

Details of derivatives exposure and transaction: (a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired.

 $Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30, 2013 \ is \ Nil \ and \ for \ the \ half \ year \ ender \ September \ 30, 2013 \ there \ were \ no \ non-hedging \ transactions \ through \ futures \ which \ have \ been \ squared \ properties \ for \ Nil \ non-hedging \ transactions \ through \ futures \ which \ have \ been \ squared \ properties \ non-hedging \ transactions \ through \ futures \ which \ have \ been \ squared \ properties \ non-hedging \ transactions \ through \ futures \ which \ have \ been \ squared \ properties \ non-hedging \ transactions \ through \ futures \ non-hedging \ non-hedging \ transactions \ through \ futures \ non-hedging  Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/

Hedging Positions through swaps as on September 30, 2013 is Nil. The Average Maturity Period of the Portfolio is 0.59 years.

Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil. DIDI A CUN I IEE EIVED TEDM DI AN CEDIES IC (269 DAVS)

TALL HURAIT HE	III DERIES	IC (500 D)	115)	
ISIN	Rating	Quantity	Market Value (Rs. in Lacs)	% of Net Assets
INE141A16MR1	CRISIL A1+	1,690	1,544.59	30.02
INE695A16IV6	CARE A1+	1,300	1,187.03	23.07
INE562A16EA1	IND A1+	1,200	1,097.14	21.33
INE608A16FG6	ICRA A1+	1,200	1,092.30	21.23
INE090A16D76	ICRA A1+	240	219.69	4.27
			5,140.75	99.93
	OSE ENDED INCO OLIO AS ON SEPT ISIN  INE141A16MR1 INE695A16IV6 INE562A16EA1 INE608A16FG6	OSE ENDED INCOME SCHEME OLIO AS ON SEPTEMBER 30, 20	OSE ENDED INCOME SCHEME) OLIO AS ON SEPTEMBER 30, 2013  ISIN Rating Quantity  INE141A16MR1 CRISIL A1+ 1,690 INE695A16IV6 CARE A1+ 1,300 INE562A16EA1 IND A1+ 1,200 INE608A16FG6 ICRA A1+ 1,200	NE141A16MR1   CRISIL A1+   1,690   1,544.59   INE695A16IV6   CARE A1+   1,200   1,097.14   INE608A16FG6   ICRA A1+   1,200   1,092.30   INE090A16D76   ICRA A1+   240   219.69

5.140.75

13.00

13.00

13.00

99.93

0.25

0.25

0.25

GRAND TOTAL \*\* Thinly Traded / Non Traded Security

# Unlisted Security

Net Receivables / (Payables)

Clearing Corporation of India Ltd

CBLO / Reverse Repo

| Details of transactions in Credit Default Swaps is as follows:
| Counter Party details | Details of the underlying | Details of the underlying |
| Name | Rating | Sector | Name of | Amount | Residual | Amount | Tenor | Price/ | Pre-Details of the underlying | Premium

			the reference entity	(FV)	Maturity			(BPS)	paid	received
-		-	-		-	-	-	-	-	-
ote	s:									
)	The provis	ion made	for Non Per	forming As	sets as of Se	ptember 3	0, 2013 i	s Nil.		
)	Plan/Optio	n wise No	et Asset Valu	es are as fol	llows:					

Plan Regular Plan - Growth Option Regular Plan - Dividend Option Regular Plan - Quarterly Dividend Option As on March 28, 2013## Direct Plan - Growth Option Direct Plan - Dividend Option Rs. 10.0196 ## Birla Sun Life Fixed Term Plan - Series IC has been launched during the current half year

No dividend was declared during the half year ended September 30, 2013.

Details of derivatives exposure and transaction:

Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired. Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been

Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired. Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/

Hedging Positions through swaps as on September 30, 2013 is Nil. The Average Maturity Period of the Portfolio is 0.98 years

Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

*	CLOSE ENDED INCO FFOLIO AS ON SEP		*		
Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs. in Lacs)	% of Ne Assets
Money Market Instruments					
Certificate of Deposit					
United Bank of India #	INE695A16JD2	CARE A1+	1,500	1,369.52	23.62
ICICI Bank Ltd#	INE090A16E83	ICRA A1+	1,500	1,369.02	23.6
Sub Total				2,738.54	47.2
Total				2,738.54	47.2
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				2,952.00	50.9
Sub Total				2,952.00	50.9
Total				2,952.00	50.9
Net Receivables / (Payables)				107.55	1.8
GRAND TOTAL				5,798.09	100.0

			the reference entity	(FV)	Maturity			(BPS)	paid	received	
-	-	-	-	-	-	-	-	-	-	-	
Notes:											
1)	<ol> <li>The provision made for Non Performing Assets as of September 30, 2013 is Nil.</li> </ol>										
2)	Plan/Option wise Net Asset Values are as follows:										

Details of the underlying Credit

Counter Party details | Details of the underlying | Details of the underlying | Name | Rating | Sector | Name of | Amount | Residual | Amount | Tenor | Price/ | Premium |

Regular Plan - Growth Option Rs. 10.0095 Regular Plan - Dividend Option Regular Plan - Ouarterly Dividend Option Rs. 10.0095 NA Direct Plan - Growth Option Direct Plan - Quarterly Dividend Option Rs. 10.0097 NA

## Birla Sun Life Fixed Term Plan - Series IG has been launched during the current half year

No dividend was declared during the half year ended September 30, 2013.

Details of derivatives exposure and transaction:

Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired. Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared off/

expired. Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired. Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 its Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/

Hedging Positions through swaps as on September 30, 2013 is Nil. The Average Maturity Period of the Portfolio is 0.47 years

### **IDENTIFY OF STATE O**





Value (Rs.

Net

### Half Yearly Portfolio Statement as at September 30, 2013

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996) BIRLA SUN LIFE ULTRA SHORT TERM FUND

		SEPTEMBER 30, 2013			
Name of the Instrument	ISIN	Industry^	Quantity	Market Value (Rs. in Lacs)	% of Net Assets
Equity & Equity related					
(a) Listed / awaiting listing on Stock					
Solar Industries India Ltd	INE343H01011	Chemicals	43,769	407.27	5.01
Persistent Systems Ltd	INE262H01013	Software	52,500	332.54	4.09
IPCA Laboratories Ltd City Union Bank Ltd	INE571A01020 INE491A01021	Pharmaceuticals Banks	45,700 747,140	326.94 316.79	4.02
AIA Engineering Ltd	INE212H01026	Industrial Capital Good		314.30	3.8
Emami Ltd	INE548C01032	Consumer Non Durabl		291.00	3.5
The Jammu & Kashmir Bank Ltd	INE168A01017	Banks	25,000	284.66	3.5
Repco Home Finance Ltd	INE612J01015	Finance	106,961	268.85	3.3
Hathway Cable & Datacom Ltd	INE982F01028	Media & Entertainmen	nt 90,000	258.03	3.1
Bata India Ltd	INE176A01010	Consumer Durables	30,000	256.97	3.10
Mindtree Ltd	INE018I01017	Software	20,000	243.82	3.00
ING Vysya Bank Ltd	INE166A01011	Banks	41,340	221.48	2.7
United Phosphorus Ltd	INE628A01036	Pesticides	150,000	217.28	2.6
Wabco India Ltd	INE342J01019	Auto Ancillaries	12,293	213.77	2.6
Zydus Wellness Ltd	INE768C01010	Consumer Non Durable		213.28	2.6
VA Tech Wabag Ltd Jyothy Laboratories Ltd	INE956G01038 INE668F01031	Engineering Services Consumer Non Durable	45,000	210.56 206.76	2.5
PVR Ltd	INE008F01031 INE191H01014	Media & Entertainmen		206.76	2.5
Akzo Nobel India Ltd	INE133A01011	Consumer Non Durabl		205.23	2.5
Biocon Ltd	INE376G01013	Pharmaceuticals	58,596	197.88	2.4
Karur Vysya Bank Ltd	INE036D01010	Banks	60,000	186.78	2.3
Page Industries Ltd	INE761H01022	Textile Products	4,160	182.77	2.2
Bajaj Finance Ltd	INE296A01016	Finance	15,000	174.83	2.1
MT Educare Ltd	INE472M01018	Diversified Consumer Services	175,000	163.80	2.0
Sobha Developers Ltd	INE671H01015	Construction	55,177	155.10	1.9
Kewal Kiran Clothing Limited	INE401H01017	Textile Products	18,995	155.06	1.9
Cholamandalam Investment and Finance Company Ltd	INE121A01016	Finance	68,800	154.73	1.9
Styrolution ABS (India) Ltd	INE189B01011	Chemicals	40,000	150.90	1.8
V-Mart Retail Limited	INE665J01013	Retailing	74,274	145.69	1.7
Bajaj Corp Ltd Eicher Motors Ltd	INE933K01021 INE066A01013	Consumer Non Durabl Auto	4.000	144.46 142.41	1.7
Tata Global Beverages Ltd	INE192A01025	Consumer Non Durabl		138.10	1.7
PTC India Ltd	INE877F01012	Power	283,300	131.59	1.6
Havells India Ltd	INE176B01026	Consumer Durables	20,000	127.17	1.5
Madras Cements Ltd	INE331A01037	Cement	67,700	120.74	1.4
The South Indian Bank Ltd	INE683A01023	Banks	570,750	113.01	1.3
Kalpataru Power Transmission Ltd	INE220B01022	Power	188,400	109.18	1.3
Disa India Ltd	INE131C01011	Industrial Capital Good	ls 4,011	108.50	1.3
Prestige Estates Projects Ltd	INE811K01011	Construction	85,000	99.83	1.2
Balkrishna Industries Ltd	INE787D01026	Auto Ancillaries	18,435	44.22	0.5
Radico Khaitan Ltd	INE944F01028	Consumer Non Durable		42.53	0.5
Eclerx Services Ltd	INE738I01010	Software	2,460	20.81	0.2
Dishman Pharmaceuticals and Chemicals Ltd	INE353G01020	Pharmaceuticals	34,000	17.70	0.2
CMC Ltd Bayer CropScience Ltd	INE314A01017 INE462A01022	Software Pesticides	1,278 151	16.23 2.33	0.20
Sub Total	114E402A01022	1 Collettes	131	8,042.34	98.9
(b) Unlisted Sub Total				NIL NIL	NII NII
Total				8,042.34	98.9
CBLO / Reverse Repo				0,0 12101	70.7
Clearing Corporation of India Ltd				107.00	1.3
Sub Total				107.00	1.3
Total				107.00	1.3
Net Receivables / (Payables)				(18.21)	-0.2

^Industry classification as recommended by AMFI and wherever not available, internal classificati

The provision made for Non Performing Assets as of September 30, 2013 is Nil. Plan/Option wise Net Asset Values are as follows:

off/expired.

GRAND TOTAL

As on March 28, 2013 • Regular Plan - Dividend Option Rs. 10.9173 Rs. 11.4491 Rs. 11.6081 Rs. 11.8122 Direct Plan - Growth Option Rs. 11.6680 AVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day

Dividend in the following plans was declared during the half year ended September 30, 2013. Regular Plan - Dividend Option Details of derivatives exposure and transaction:

Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired. Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared  $\frac{1}{2}$ 

Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired. Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/

Hedging Positions through swaps as on September 30, 2013 is Nil. During the current half year, the portfolio turnover ratio of equity and equity related instruments is 0.56 times

Total market value of Foreign Securities/overseas ETFs and its percentage to Net Assets as at September 30, 2013

The total value of illiquid Equity Securities is Nil and its percentage to Net Assets is Nil. Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil

BIRLA SUN LIFE	INTERVAL IN	COME FUND - A	NNUAL PLAN X	(368 DAYS	)				
(AN INTERVAL INCOME SCHEME)									
PORTFOLIO AS ON SEPTEMBER 30, 2013									
Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs	% ( N				

Name of the Instrument	ISIN	Rating	Quantity	Market	% of
				Value (Rs.	Net
				in Lacs)	Assets
Debt Instruments					
(a) Listed / awaiting listing on Stock	Exchange				
9.55% Housing Development	INE001A07IX2	CRISIL AAA	220	2,195.09	19.59
Finance Corporation Ltd **					
Sub Total				2,195.09	19.59
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				2,195.09	19.59
Money Market Instruments					
Certificate of Deposit					
Kotak Mahindra Bank Ltd ** #	INE237A16WB0	CRISIL A1+	3,500	3,223.33	28.76
IDBI Bank Ltd ** #	INE008A16QZ6	CRISIL A1+	3,500	3,221.71	28.75
The Jammu & Kashmir Bank Ltd ** #	INE168A16GK1	CRISIL A1+	2,700	2,482.34	22.15
Axis Bank Ltd ** #	INE238A16TA6	CRISIL A1+	50	46.06	0.41
Sub Total				8,973.44	80.07
Total				8,973.44	80.07
Net Receivables / (Payables)				39.14	0.35
GRAND TOTAL	•	•		11,207.67	100.00

\*\* Thinly Traded/Non Traded Security # Unlisted Security

Notes:

The provision made for Non Performing Assets as of September 30, 2013 is Nil.

Plan/Option wise Net Asset Values are as follows: As on September 30, 2013 As on March 28, 2013 ## Plan Regular Plan - Growth Option Rs. 10.1781 Regular Plan - Dividend Ontion Rs. 10.1780 NA Regular Plan - Ouarterly Dividend Option Rs. 10.1781 NA Direct Plan - Growth Option Rs. 10.1790 ## Birla Sun Life Interval Income Fund- Annual Plan X has been launched during the current half year

No dividend was declared during the half year ended September 30, 2013.

Details of derivatives exposure and transaction

Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired. Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared

 $Hedging\ Position\ through\ Put\ Options\ as\ on\ September\ 30,\ 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 30,\ 2013\ three\ were\ no\ hedging\ transactions\ through\ options\ which\ have\ exercised/expired.$  $Other than \ Hedging \ Positions \ through \ Options \ as \ on \ September \ 30, 2013 \ is \ Nil \ and \ for \ the \ half \ year ended \ September \ 30, 2013 \ there \ were \ no \ non-hedging \ transactions \ through \ options \ which \ have \ been \ exercised/positions \ which \ have \ been \ exercised/positions \ which \ have \ have \ have \ been \ exercised/positions \ which \ have \ ha$ 

Hedging Positions through swaps as on September 30, 2013 is Nil.

The Average Maturity Period of the Portfolio is 0.88 years.

Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

Name of the Instrument	ISIN	Rating	Quantity	Market	% of
				Value (Rs.	Net
				in Lacs)	Assets
Debt Instruments					
(a) Listed / awaiting listing on Stock		CD TOTT	550	5 445 05	12.10
9.25% IDBI Bank Ltd **	INE008A08R06	CRISIL AA+	550	5,445.27	13.48
9.14% Small Industries Dev Bank of India **	INE556F09395	CARE AAA	250	2,470.02	6.11
9.40% LIC Housing Finance Ltd **	INE115A07AF4	CRISIL AAA	150	1,495.66	3.70
9.90% LIC Housing Finance Ltd **	INE115A07CJ2	CRISIL AAA	150	1,494.10	3.70
8.75% Export Import Bank of India **	INE514E08CJ6	CRISIL AAA	100	992.13	2.46
Sub Total				11,897.18	29.45
(b) Privately placed / Unlisted					
8.85% Tata Sons Ltd #	INE895D07214	CRISIL AAA	250	2,492.82	6.17
Sub Total				2,492.82	6.17
Total				14,390.00	35.62
Money Market Instruments					
Certificate of Deposit					
State Bank of Mysore ** #	INE651A16FE1	ICRA A1+	4,000	3,639.72	9.01
State Bank of Travancore ** #	INE654A16CO1	CRISIL A1+	2,500	2,465.59	6.10
State Bank of Hyderabad ** #	INE649A16DH3	ICRA A1+	1,500	1,438.27	3.56
Sub Total				7,543.58	18.67
Commercial Paper					
Sesa Sterlite Ltd ** #	INE205A14234	CRISIL A1+	1,000	4,542.63	11.24
Vodafone India Ltd ** #	INE705L14354	CRISIL A1+	340	1,624.72	4.02
Sub Total				6,167.35	15.27
Treasury Bill					
91 Days Tbill (MD 05/12/2013)	IN002013X220	SOV	3,500,000	3,441.41	8.52
Sub Total				3,441.41	8.52
Total				17,152.34	42.46
Others					
Fixed Deposit		Tenure (No of Days)			
11.15% Karur Vysya Bank Ltd		91		4,600.00	11.39
11.15% Indusind Bank Ltd		91		4,500.00	11.14
Sub Total				9,100.00	22.53
Total				9,100.00	22.53
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				103.00	0.25
Sub Total				103.00	0.25
Total				103.00	0.25
Net Receivables / (Payables)				(347.79)	-0.86
GRAND TOTAL				40,397,55	100.00

\*\* Thinly Traded / Non Traded Security # Unlisted Security

8.131.13 100.00

(1) The provision made for Non Performing Assets as of September 30, 2013 is Nil

Plan/Option wise Net Asset Values are as follows:

As on March 28, 2013 • As on September 30, 2013 Rs. 220.7498 Retail Plan - Daily Dividend Option^ Rs. 100.2470 Rs. 100.0550 Retail Plan - Fortnightly Dividend Option^^ Rs. 104.1094 Regular Plan - Growth Option Rs. 146.8140 Rs. 140.2256 Regular Plan - Daily Dividend Option Rs. 100.0550 Regular Plan - Weekly Dividend Ontion Rs. 101.8648 Rs. 101.7417 Regular Plan - Fortnightly Dividend Option Rs. 103.7567 Rs. 103.2583 Discipline Advantage Plan + Rs. 136,5035 Rs. 130,7681 Direct Plan - Daily Dividend Option Rs. 100.2481 Rs. 100.0550 Direct Plan - Weekly Dividend Option Rs. 101.8648 Rs. 101.7423 Direct Plan - Fortnightly Dividend Option Direct Plan - Growth Option Rs. 146.8782 Rs. 140.2585

NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day

+ This option has been suspended for further subscriptions w.e.f. May 21, 2010. ^^Plan(s) discontinued from accepting subscriptions w.e.f October 01, 2012.

(3) Dividend in the following plans was declared during the half year ended September 30, 2013.

	Per Unit (Rs.)
Retail Plan - Daily Dividend Option	Rs. 4.1510
Retail Plan - Fortnightly Dividend Option	Rs. 4.3948
Regular Plan - Daily Dividend Option	Rs. 4.2986
Regular Plan - Weekly Dividend Option	Rs. 4.5571
Regular Plan - Fortnightly Dividend Option	Rs. 4.5043
Direct Plan - Daily Dividend Option	Rs. 4.3242
Direct Plan - Weekly Dividend Option	Rs. 4.5813
Direct Plan - Fortnightly Dividend Option	Rs. 4.5377
Datails of darivatives exposure and transaction:	

(a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired.

Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended (3) September 30, 2013 there were no non-hedging transactions through futures which have been squared (4)

Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired.

Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended  $September\,30,2013\,there\,were\,no\,non-hedging\,transactions\,through\,options\,which\,have\,been also considered and the constant of  

Hedging Positions through swaps as on September 30, 2013 is Nil

The Average Maturity Period of the Portfolio is 0.46 years. Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

#### BIRLA SUN LIFE INTERVAL INCOME FUND - ANNUAL PLAN II (368 DAYS) (AN INTERVAL INCOME SCHEME)

PORTFOLIO AS ON SEPTEMBER 30, 2013							
Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs. in Lacs)	% of Net Assets		
Money Market Instruments							
Certificate of Deposit							
Axis Bank Ltd ** #	INE238A16RJ1	CRISIL A1+	7,000	6,752.99	29.27		
Yes Bank Ltd ** #	INE528G16SY9	ICRA A1+	7,000	6,751.19	29.26		
IDBI Bank Ltd ** #	INE008A16NZ3	CRISIL A1+	6,950	6,700.45	29.04		
Corporation Bank ** #	INE112A16DN0	CRISIL A1+	2,500	2,409.84	10.44		
IDBI Bank Ltd ** #	INE008A16NX8	CRISIL A1+	225	217.14	0.94		
Oriental Bank of Commerce ** #	INE141A16JX5	CRISIL A1+	200	192.85	0.84		
Sub Total				23,024.46	99.79		
Total				23,024.46	99.79		
CBLO / Reverse Repo							
Clearing Corporation of India Ltd				54.00	0.23		
Sub Total				54.00	0.23		
Total				54.00	0.23		
Net Receivables / (Payables)				(5.27)	-0.02		
GRAND TOTAL	•	•	•	23,073.19	100.00		

\*\* Thinly Traded/Non Traded Security # Unlisted Security

The provision made for Non Performing Assets as of September 30, 2013 is Nil. Plan/Option wise Net Asset Values are as follows

As on September 30, 2013 As on March 28, 2013 • Rs. 10.5557 Regular Plan - Growth Option Rs. 10.1382 Regular Plan - Dividend Option Rs. 10.5563 Rs. 10.1382 Regular Plan - Quarterly Dividend Option Rs. 10.2795 Rs. 10.0681 Direct Plan - Dividend Option Rs. 10.5612 Rs. 10.1394 Direct Plan - Growth Option Rs. 10.5610 Rs. 10.1394

• NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day. Dividend in the following plans was declared during the half year ended September 30, 2013.

Regular Plan - Quarterly Dividend Option Details of derivatives exposure and transaction:

Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September

30, 2013 there were no hedging transactions through futures which have been squared off/expired. Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared

Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired.

Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/

(e) Hedging Positions through swaps as on September 30, 2013 is Nil

The Average Maturity Period of the Portfolio is 0.38 years. Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil. Tech Mahindra Ltd INE669C01028 Software 52,941 707.16 5.89 INE095A01012 HCL Technologies Ltd INE860A01027 Software 55,000 597.96 4.98 HDFC Bank Ltd INE040A01026 593.05 Minerals/Mining Sesa Sterlite Ltd INE205A01025 300,000 542.25 4.51 INE406A01037 Aurobindo Pharma Ltd Solar Industries India Ltd INE343H01011 Chemicals 49,910 464.41 3.87 INE267A01025 Hindustan Zinc Ltd Sun Pharmaceuticals Industries Ltd INE044A01036 Pharmaceuticals 70,000 415.31 3.46 Dr. Reddy'S Laboratories Ltd INE089A01023 United Spirits Ltd INE854D01016 Consumer Non Durables 15,000 379.98 3.16 INE342J01019 Cairn India Ltd INE910H01017 105,000 334.79 2.79 INE245A01021 Tata Power Company Ltd 324.60 2.39 Motherson Sumi Systems Ltd INE775A01035 Auto Ancillaries 125,000 287.44 PTC India Ltd INE877F01012 Power Century Textiles & Industries Ltd INE055A01016 Cement 100,000 241.10 2.01 NMDC Ltd INE584A01023 Minerals/Mining Construction Project Larsen & Toubro Ltd INE018A01030 30,000 236.63 1.97 LIC Housing Finance Ltd INE115A01026 Strides Arcolab Ltd INE939A01011 Pharmaceuticals 25,000 215.14 1.79 Mahindra & Mahindra Ltd INE101A01026 Baiai Auto Ltd INE917I01010 Auto 10,000 198.75 1.65 INE353A01023 Hinduja Ventures Ltd 67,000 Trading Adani Enterprises Ltd INE423A01024 133,884 188.44 1.57 INE935A01035 Glenmark Pharmaceuticals Ltd 185.83 Infrastructure Development INE043D01016 Finance 200,000 176.10 1.47 nance Company Ltd INE154A01025 170.71 Consumer Non Durables 50,150 1.42 ITC Ltd Idea Cellular Ltd INE669E01016 Yes Bank Ltd INE528G01019 Banks 50,000 143.68 1.20 NIIT Technologies Ltd INE591G01017 Adani Ports and Special INE742F01042 Transportation 100,000 138.05 1.15 INE298A01020 137.22 1.14 Industrial Products 33,611 Cummins India Ltd INE585B01010 Maruti Suzuki India Ltd Hexaware Technologies Ltd INE093A01033 Software 100,000 128.55 1.07 Karur Vysya Bank Ltd INE036D01010 124.52 Torrent Pharmaceuticals Ltd INE685A01028 Pharmaceuticals 27,796 121.80 1.01 INE134E01011 Power Finance Corporation Ltd INE172A01019 Castrol India Ltd Petroleum Products 30,000 92.88 0.77 INE692A01016 INE802G01018 Transportation Jet Airways (India) Ltd 22,812 81.93 0.68 ndraprastha Gas Ltd INE203G01019 Future Lifestyle Fashions Ltd \*\*#~ INE452O01016 Miscellaneous 33,333 39.17 0.33 INE059B01024 36.94 Orient Cement Ltd INE876N01018 53,645 20.28 0.17 95.49 Sub Total 11,469.72 (b) Unlisted NIL NIL Sub Total NIL Total 11,469.72 95.49 CBLO / Reverse Repo Clearing Corporation of India Ltd 297.00 2.47 2.47 297.00 297.00 Sub Total Total Net Receivables / (Payables) 244.30 2.03 GRAND TOTAL 12,011.02 100.00

(AN OPEN ENDED DIVERSIFIED EQUITY SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013

Name of the Instrumer

Equity & Equity related

\*\* Thinly Traded / Non Traded Security ^Industry classification as recommended by AMFI and wherever not available, internal classification has beer

# Unlisted Security ~ Illiquid Security Notes:

The provision made for Non Performing Assets as of September 30, 2013 is Nil. Plan/Option wise Net Asset Values are as follows:

As on September 30, 2013 As on March 28, 2013 • Regular Plan - Dividend Option Rs. 8.9255 Regular Plan - Growth Option Rs. 8.9256 Rs. 9.1946 Direct Plan - Dividend Option Rs. 8.9628 Direct Plan - Growth Option Rs. 8.9623 Rs. 9.2057

• NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.

No dividend was declared during the half year ended September 30, 2013. Details of derivatives exposure and transaction:

(a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September

 $30, 2013 \ there \ were \ no \ hedging \ transactions \ through \ futures \ which \ have \ been \ squared \ off/expired.$ 

Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared off/expired. Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended

September 30, 2013 there were no hedging transactions through options which have exercised/expired

 $Other than \ Hedging \ Positions \ through \ Options \ as \ on \ September \ 30,2013 \ is \ Nil \ and \ for \ the \ half \ year \ ended \ properties of \ Positions \ and \ properties \ and \ proper$ September 30, 2013 there were no non-hedging transactions through options which have been exercised.

During the current half year, the portfolio turnover ratio of equity and equity related instruments is 0.49 times

Total market value of Foreign Securities/overseas ETFs and its percentage to Net Assets as at September 30, 2013 (7) The total value of illiquid Equity Securities is 0.39 crores and its percentage to Net Assets is 0.33%

Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

PO	(AN INTERVALI ORTFOLIO AS ON	NCOME SCHEM SEPTEMBER 30	*		
Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs. in Lacs)	% of Net Assets
Money Market Instruments					
Certificate of Deposit					
Vijaya Bank ** #	INE705A16FQ9	CARE A1+	1,030	992.19	25.3
Axis Bank Ltd ** #	INE238A16RR4	CRISIL A1+	1,000	961.30	24.5
ICICI Bank Ltd ** #	INE090A16YJ2	ICRA A1+	1,000	960.84	24.5
The Federal Bank Ltd ** #	INE171A16EW5	CRISIL A1+	1,000	960.63	24.5
Sub Total				3,874.96	99.1
Total				3,874.96	99.1
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				34.00	0.8
Sub Total				34.00	0.8
Total				34.00	0.8
Net Receivables / (Payables)				(0.40)	-0.0
GRAND TOTAL				3,908,56	100.0

# Unlisted Security

The provision made for Non Performing Assets as of September 30, 2013 is Nil. Plan/Option wise Net Asset Values are as follows:

As on September 30, 2013 As on March 28, 2013 • Regular Plan - Growth Option Rs. 10.5151 Rs. 10.0930 Regular Plan - Quarterly Dividend Option Rs. 10.3120 Rs. 10.0930 Direct Plan - Dividend Option Rs. 10.5177 Rs. 10.0934 Direct Plan - Growth Option Rs. 10.5177 Rs. 10.0934 Per Unit (Rs.) • NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.

 $Rs\,0.2000 \qquad \text{(3)} \qquad \text{Dividend in the following plans was declared during the half year ended September 30, 2013.}$ 

Per Unit (Rs.)

Regular Plan - Quarterly Dividend Option Rs 0.2000 Details of derivatives exposure and transaction:

Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired. Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended

September 30, 2013 there were no non-hedging transactions through futures which have been squared Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended

September 30, 2013 there were no hedging transactions through options which have exercised/expired Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/

Hedging Positions through swaps as on September 30, 2013 is Nil. The Average Maturity Period of the Portfolio is 0.42 years.

Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

# ☑ Over 2.2 million investor scheme accounts



Market Value (Rs.

This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September, 2013.

### Half Yearly Portfolio Statement as at September 30, 2013

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996) BIRLA SUN LIFE CASH PLUS

(AN OPEN ENDED LIQUID SCHEME)

Name of the Instrument	ISIN	SEPTEMBER 30, 201 Industry^	Quantity	Market	% of
Name of the Histianich	15114	muusti y	Quantity	Value (Rs. in Lacs)	Net Assets
Equity & Equity related				III Lacs)	Assets
International Equities					
(a) Listed / awaiting listing on Stock	Exchanges				
Archer-Daniels-Midland Co	US0394831020	Agricultural Products	6,790	157.79	9.80
Syngenta Ltd Reg Shs	CH0011037469	Chemicals	560	145.14	9.01
Monsanto Co	US61166W1018	Chemicals	2,100	138.85	8.62
Deere And Co Ltd	US2441991054	Industrial Capital Goo		122.22	7.59
BRESA	US10552T1079	Packaged Foods & M	eats 7.790	119.97	7.45
Associated British Foods Plc	GB0006731235	Packaged Foods & M		119.31	7.41
Bunge Ltd	BMG169621056	Consumer Non Durah		104.96	6.52
Potash Corp Of Saskatchewan Inc	CA73755L1076	Chemicals	3,840	75.99	4.72
Tyson Foods Inc	US9024941034	Packaged Foods & M	- ,	68.76	4.27
Kubota Corp	JP3266400005	Industrial Capital Goo		63.50	3.94
Wilmar International Ltd	SG1T56930848	Consumer Non Durah		63.19	3.92
The Mosaic Company Ltd	US61945C1036	Chemicals	1.850	50.30	3.12
CF Industries Holdings Inc Ord Shs	US1252691001	Chemicals	360	47.44	2.95
Uralkaliy OJSC SHS GDR	US91688E2063	Fertilizers & Agricultu		44.13	2.74
		Chemicals	-,		
Smithfield Foods Inc	US8322481081	Packaged Foods & M	eats 2.000	42.57	2.64
Agrium Ord	CA0089161081	Chemicals	740	39.34	2.44
Golden Agri-Resources Ltd		Consumer Non Durah		30.59	1.90
Marine Harvest ASA	NO0003054108	Packaged Foods & M		30.32	1.88
Yara International Asa	NO0010208051	Chemicals	1.070	27.86	1.73
Nippon Meat Pack Ord	JP3743000006	Packaged Foods & M		26.98	1.68
Ingredion Inc	US4571871023	Consumer Non Durah		25.61	1.59
Sociedad Quimica yMinera	US8336351056	Chemicals	830	16.11	1.00
de Chile SA	230330331030	Chemicus	0.50	10.11	1.00
Israel Chemicals Ltd	IL0002810146	Chemicals	2,240	12.14	0.75
Sime Darby Berhad		Industrial Products	4,380	7.99	0.50
Chaoda Modern Agriculture	KYG2046Q1073		731,040	0.00	\$
Canada Modern Agriculture	020-10010/3	Durables	751,0-10	0.00	Ψ
Holdings Ltd**					
Sub Total				1,581.06	98.18
Total				1,581.06	98.18
CBLO / Reverse Repo				1,001.00	, 0.10
Clearing Corporation of India Ltd				56.00	3.48
Sub Total				56.00	3.48 3.48
Total				56.00	3.48
Net Receivables / (Payables)				(26.77)	-1.66
GRAND TOTAL					

Regular Plan - Growth Option

Direct Plan - Dividend Option

- $\$  Less Than 0.01% of Net Asset Value ^Industry classification as recommended by AMFI and wherever not available, internal classification has been used.
- The provision made for Non Performing Assets as of September 30, 2013 is Nil.
- Plan/Option wise Net Asset Values are as follows: As on September 30, 2013 As on March 28, 2013 • Regular Plan - Dividend Option Rs. 17.3921 Rs. 16.5547
- Direct Plan Growth Option Rs. 17.7026 Rs. 18.6129 NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day
- No dividend was declared during the half year ended September 30, 2013.
- Details of derivatives exposure and transaction
  - $Hedging\ Position\ through\ Futures\ as\ on\ September\ 30, 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 30, 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 30, 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 30, 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 30, 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 30, 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 30, 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 30, 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 30, 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 30, 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 30, 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 30, 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ Nil\ and\ ye$ 30, 2013 there were no hedging transactions through futures which have been squared off/expired. Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared off/expired.

Rs. 18.5739

Rs. 17.4320

Rs. 17.6798

Rs. 16.5745

- Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired. Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/
- (e) Hedging Positions through swaps as on September 30, 2013 is Nil
- During the current half year, the portfolio turnover ratio of equity and equity related instruments is 0.64 times  $Total\ market\ value\ of\ Foreign\ Securities/overseas\ ETFs\ in\ 15.81\ crores\ and\ its\ percentage\ to\ Net\ Assets\ as\ and\ and\ overseas\ and\ overseas\ and\ overseas\ and\ overseas\ and\ overseas\  September 30, 2013 is 98.18%.

PORTFOLIO AS ON SEPTEMBER 30, 2013

The total value of illiquid Equity Securities is Nil and its percentage to Net Assets is Nil. Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil

## BIRLA SUN LIFE NEW MILLENNIUM FUND (AN OPEN ENDED GROWTH SCHEME)

Name of the Instrument	ISIN	Industry^	Quantity	Market	% of
				Value (Rs.	Net
				in Lacs)	Assets
Equity & Equity related					
(a) Listed / awaiting listing on Stock	Exchanges				
Infosys Ltd	INE009A01021	Software	54,000	1,627.02	30.30
Tata Consultancy Services Ltd	INE467B01029	Software	55,500	1,069.93	19.92
Bharti Airtel Ltd	INE397D01024	Telecom - Services	160,000	509.84	9.49
HCL Technologies Ltd	INE860A01027	Software	44,000	478.37	8.9
Tech Mahindra Ltd	INE669C01028	Software	33,600	448.81	8.30
Idea Cellular Ltd	INE669E01016	Telecom - Services	161,000	270.88	5.0
Zee Entertainment Enterprises Ltd	INE256A01028	Media & Entertainmen	t 90,000	205.65	3.8
NIIT Technologies Ltd	INE591G01017	Software	46,300	130.45	2.4
Dish TV India Ltd	INE836F01026	Media & Entertainmen	t 200,000	101.00	1.8
Mindtree Ltd	INE018I01017	Software	8,000	97.53	1.8
Jagran Prakashan Ltd	INE199G01027	Media & Entertainmen	t 100,000	81.90	1.5
Hexaware Technologies Ltd	INE093A01033	Software	50,000	64.28	1.2
Persistent Systems Ltd	INE262H01013	Software	8,000	50.67	0.9
Info Edge (India) Ltd	INE663F01024	Software	15,000	47.60	0.8
Just Dial Limited	INE599M01018	Software	5,597	47.25	0.8
DQ Entertainment (International) Lt	d INE656K01010	Media & Entertainmen	t 184,760	23.19	0.4
Megasoft Ltd	INE933B01012	Software	253,129	17.97	0.3
HT Media Ltd	INE501G01024	Media & Entertainmen	t 1,200	1.05	0.0
Sub Total				5,273.39	98.2
(b) Unlisted					
Magnasound (I) Ltd ** #		Miscellaneous	150,000	0.00	
Sub Total				0.00	
Total				5,273.39	98.2
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				221.00	4.1
Sub Total				221.00	4.1
Total				221.00	4.1
Net Receivables / (Payables)				(124.43)	-2.3
GRAND TOTAL				5,369,96	100.0

# \*\* Thinly Traded / Non Traded Security

# Unlisted Security \$ Less Than 0.01% of Net Asset Value

^Industry classification as recommended by AMFI and wherever not available, internal classification has been

The provision made for Non Performing Assets as of September 30, 2013 is Nil.

Plan/Option wise Net Asset Values are as follows As on September 30, 2013 As on March 28, 2013 • Regular Plan - Dividend Option Rs. 14.30 Rs. 13.18 Regular Plan - Growth Option Rs 23 19 Rs. 20.19 Direct Plan - Dividend Option Rs. 11.98 Rs. 10.40 Direct Plan - Growth Option Rs. 23.28 Rs. 20.21

 NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day Dividend in the following plans was declared during the half year ended September 30, 2013. Per Unit (Rs.)

Regular Plan - Dividend Option

Details of derivatives exposure and transaction:

- $Hedging\ Position\ through\ Futures\ as\ on\ September\ 30,\ 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 30,\ 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 30,\ 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 30,\ 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 30,\ 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 30,\ 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 30,\ 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 30,\ 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 30,\ 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 30,\ 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 30,\ 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 30,\ 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 30,\ 2013\ is\ Nil\ and\ september\ 30,\ 3013\ is\ Nil\ and\ september\ 3013\ is\ Nil\ and\ se$
- 2013 there were no hedging transactions through futures which have been squared off/expired. Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended  $September\ 30,\ 2013\ there\ were\ no\ non-hedging\ transactions\ through\ futures\ which\ have\ been\ squared\ off/$
- Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September  $30, 2013 \ there \ were \ no \ hedging \ transactions \ through \ option \ which \ have \ exercised/expired.$
- Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/
- Hedging Positions through swaps as on September 30, 2013 is Nil.
- During the current half year, the portfolio turnover ratio of equity and equity related instruments is 0.38 times Total market value of Foreign Securities/overseas ETFs and its percentage to Net Assets as at September 30, 2013
- The total value of illiquid Equity Securities is Nil and its percentage to Net Assets is Nil.

nion Bank of India ** # INE692A16CG ijaya Bank ** # INE705A16HU Ilahabad Bank ** # INE705A16HU INE691A16HG ank of Maharashtra ** # INE428A16KU INE691A16HG ank of Maharashtra ** # INE457A16DN inuth Indian Bank Ltd ** # INE63A16CV ixis Bank Ltd ** # INE503A16CH ixis Bank Ltd ** # INE503A16CH ixis Bank Ltd ** # INE68A16GZ ank Ltd ** # INE68A16GZ ank Ltd ** # INE652A16ER inuth Bank Ctd ** # INE652A16ER inuth Bank of India ** # INE652A16ER inuth Bank of Travancore ** # INE654A16CS inuth Bank Ltd ** # Inuth Ban	5 ICRA A1+ 6 CRISIL AAA 3 CRISIL AAA 5 ICRA A1+ 6 CRISIL A1+ 7 CRISIL A1+ 7 CARE A1+ 8 CRISIL A1+ 9 CRISIL A1+ 4 CRISIL A1+ 4 CRISIL A1+ 4 CRISIL A1+ 5 CRISIL A1+ 6 CRISIL A1+ 6 CRISIL A1+ 6 CRISIL A1+ 6 CRISIL A1+ 7 CRISIL A1+ 8 CRISIL A1+ 9 CRISIL A1+ 1 CRISIL A1+ 1 CRISIL A1+ 1 CRISIL A1+ 2 CRISIL A1+ 3 CRISIL A1+ 1 CRISIL A1+	1,050 750 250 150 150 60,000,000 50,000,000 30,000,000 7,500,000 25,000 20,000 20,000 20,000 20,000 5,000 5,000 5,000 5,000 5,000 5,000 5,000 5,000 5,000 5,000 5,000 5,000 5,000 5,000 5,000	10,487.35 7,492.18 2,502.51 1,496.56 21,978.60 NIL NIL 21,978.60 59,446.79 49,058.05 29,326.68 7,338.09 29,326.68 7,338.09 14,43.57 147,613.18 64,175.97 49,421.38 34,587.55 24,631.69 19,725.47 19,707.62 14,805.30 9,867.42 4,961.01 4,930.99 4,930.99 4,923.04 4,909.70 4,462.22 2,454.85 2,452.95 988.91	1.1: 0.8: 0.2: 1.1: 0.8: 0.2: 1.1: 0.8: 0.2: 1.1: 0.8: 0.2: 1.1: 0.8: 0.2: 1.1: 0.8: 0.2: 1.1: 0.8: 0.2: 1.1: 0.5: 0.5: 0.5: 0.5: 0.5: 0.5: 0.5: 0.5
0.02% LIC Housing Finance Ltd ** 48% Infrastructure Development inance Company Ltd ** 1.50% Rural Electrification orporation Ltd ** 1.50% Rural Electrification Ince0804074B 1.50% Rural Electrification Ince080407B 1.50% Rural Electrification Ince080407B 1.50% Rural Electrification Ince080407B 1.50% Rural Electrification Ince080407B	5 ICRA A1+ 6 CRISIL AAA 3 CRISIL AAA 5 ICRA A1+ 6 CRISIL A1+ 7 CRISIL A1+ 7 CARE A1+ 8 CRISIL A1+ 9 CRISIL A1+ 4 CRISIL A1+ 4 CRISIL A1+ 4 CRISIL A1+ 5 CRISIL A1+ 6 CRISIL A1+ 6 CRISIL A1+ 6 CRISIL A1+ 6 CRISIL A1+ 7 CRISIL A1+ 8 CRISIL A1+ 9 CRISIL A1+ 1 CRISIL A1+ 1 CRISIL A1+ 1 CRISIL A1+ 2 CRISIL A1+ 3 CRISIL A1+ 1 CRISIL A1+	60,000,000 50,000,000 30,000,000 30,000,000 35,000 25,000 20,000 20,000 15,000 50,000	7,492.18 2,502.51 1,496.56 21,978.60 NIL NIL 21,978.60 59,446.79 49,058.05 29,326.68 7,338.09 2,443.57 147,613.18 64,175.97 49,421.38 34,587.55 24,631.69 19,725.47 19,709.78 19,707.62 14,805.30 9,867.42 4,961.01 4,930.99 4,923.04 4,909.70 4,462.22 2,454.85 2,452.95	0.8-0.2-1 0.1' 2.44-1 1111 NIII 2.44-1 6.66-5.5-5.3.2-2 0.2: 5.5-5.3.8-2.7-2.2-2.2-1.6-1.1.1 0.5-0.0.5-5.0.5-0.5-0.5-0.5-0.5-0.5-0.5-
inance Company Ltd ** 1.50% Rural Electrification orporation Ltd ** 75% L1C Housing Finance Ltd ** 1b) Total 1b) Privately placed / Unlisted ub Total 1boney Market Instruments 1ils Rediscounting 1bFC Bank Ltd # 2xis Bank Ltd ** 2xis Bank L	5 CRISIL AAA  3 CRISIL AAA  5 ICRA A1+  6 CRISIL A1+  7 CRISIL A1+  7 CARE A1+  8 CRISIL A1+  9 CRISIL A1+  4 CRISIL A1+  4 CRISIL A1+  4 CRISIL A1+  5 CRISIL A1+  6 CRISIL A1+  7 CRISIL A1+  8 CRISIL A1+  9 CRISIL A1+  10 CRISIL A1+  11 CRA A1+  12 CRISIL A1+  13 CRISIL A1+  14 CRISIL A1+  15 CRISIL A1+  16 CRISIL A1+  17 CRISIL A1+  18 CRISIL A1+  19 CRISIL A1+  10 CRISIL A1+  10 CRISIL A1+  11 CRISIL A1+  11 CRISIL A1+  12 CRISIL A1+  13 CRISIL A1+  14 CRISIL A1+  15 CRISIL A1+  16 CRISIL A1+	60,000,000 50,000,000 30,000,000 7,500,000 2,500,000 25,000 20,000 20,000 20,000 15,000 5,000 5,000 5,000 5,000 5,000 4,500 2,500 2,500 2,500	2,502.51 1,496.56 21,978.60 NIL NII. 21,978.60 59,446.79 49,058.05 29,326.68 7,338.09 2,443.57 147,613.18 64,175.97 49,421.38 34,587.55 24,631.69 19,725.47 19,709.78 19,707.62 14,805.30 9,867.42 4,961.01 4,930.99 4,923.04 4,909.70 4,462.22 2,454.85 2,452.95	0.2:4.4 NIII 2.44 NIII 2.44 6.66 5.50 3.2:2 16.5: 7.2:2 2.2.2 2.2.2 1.1.1 0.5:0 0.5:0 0.5:0 0.5:0
orporation Ltd ** 75% LIC Housing Finance Ltd ** 10 Privately placed / Unlisted ub Total 20 Privately placed / Unlisted ub Total 21 Interval of the light of the	5 ICRA A1+ 6 CRISIL A1+ 7 CRISIL A1+ 7 CRISIL A1+ 8 CRISIL A1+ 9 CRISIL A1+ 4 CRISIL A1+ 4 CRISIL A1+ 5 CRISIL A1+ 6 CRISIL A1+ 7 CRISIL A1+ 7 CRISIL A1+ 8 CRISIL A1+ 9 CRISIL A1+ 9 CRISIL A1+ 10 CRISIL A1+ 11 CRISIL A1+ 12 CRISIL A1+ 13 CRISIL A1+ 14 CRISIL A1+ 15 CRISIL A1+ 16 CRISIL A1+ 17 CRISIL A1+ 18 CRISIL A1+ 18 CRISIL A1+ 19 CRISIL A1+ 19 CRISIL A1+ 10 CRISIL A1+ 10 CRISIL A1+ 11 CRISIL A1+	60,000,000 50,000,000 30,000,000 7,500,000 2,500,000 35,000 20,000 20,000 15,000 5,000 5,000 5,000 5,000 5,000 4,500 2,500 2,500	1,496.56 21,978.60 NII. NIL 21,978.60 59,446.79 49,058.05 29,326.68 7,338.09 2,443.57 147,613.18 64,175.97 49,421.38 34,587.55 24,631.69 19,725.47 19,709,78 19,709,78 19,707.62 14,805.30 9,867.42 4,961.01 4,930.99 4,923.04 4,909.70 4,462.22 2,454.85 2,452.95	0.11 2.44 NIII NIII 2.44 6.66 5.5.5 3.2.2 0.8 0.2.2 5.5.5 3.8.8 2.7 2.2.2 2.2.2 2.1.6 1.1.1 0.5.5 0.5.5 0.5.5
75% LIC Housing Finance Ltd ** ub Total )) Privately placed / Unlisted ub Total otal loney Market Instruments ills Rediscounting DFC Bank Ltd # xis Bank Ltd # xis Bank Ltd # atnakar Bank Ltd # ub Total ertificate of Deposit CICI Bank Ltd ** inon Bank of India ** # InE090A16D3: anara Bank ** # INE476A16KH INE692A16CR ijaya Bank ** # INE457A16IN INE428A16KU INE691A16HG ank of Maharashtra ** # INE691A16HG ink Bank Ltd ** # INE683A16BV INE683A16BV INE683A16BV INE683A16BV INE68A16GZ INE08A16RN INE18A16GZ INE08A16RN INE692A16BR INE665A16CS INE08A16RN INE692A16BR INE692A16BR INE692A16BR INE692A16BR INE692A16BR INE692A16BR INE69A16CS INE08A16RN INE69A16CS INE08A16RN INE08A16RN INE08A16RN INE08A16RN INE08A16RN INE06SA16RN INE08A16RN INEO8A16RN INEO8	5 ICRA A1+ 16 CRISIL A1+ 7 CARE A1+ 10 ICRA A1+ 18 CRISIL A1+ 9 CRISIL A1+ 4 CRISIL A1+ 4 CRISIL A1+ 4 CRISIL A1+ 6 CRISIL A1+ 6 CRISIL A1+ 10 CRISIL A1+ 11 CRA A1+ 12 CRISIL A1+ 13 CRISIL A1+ 14 CRISIL A1+ 15 CRISIL A1+ 16 CRISIL A1+ 17 CRISIL A1+ 18 CRISIL A1+ 19 CRISIL A1+ 19 CRISIL A1+ 10 CRISIL A1+ 11 CRISIL A1+ 12 CRISIL A1+ 13 CRISIL A1+ 14 CRISIL A1+ 15 CRISIL A1+ 16 CRISIL A1+ 17 CRISIL A1+ 18 CRISIL A1+ 18 CRISIL A1+ 19 CRISIL A1+	60,000,000 50,000,000 30,000,000 7,500,000 2,500,000 50,000 25,000 20,000 20,000 20,000 15,000 5,000 5,000 5,000 5,000 4,500 2,500 2,500 2,500 2,500	21,978.60 NII. 21,978.60 59,446.79 49,058.05 29,326.68 7,338.09 4443.57 147,613.18 64,175.97 49,421.38 34,587.55 24,631.69 19,725.47 19,709.78 19,707.62 14,805.30 9,867.42 4,961.01 4,930.99 4,923.04 4,909.70 4,462.22 2,454.85 2,452.95	2.4 NIII NIII 2.4   6.6.6   6.5.5   3.2.2   0.8   0.2   16.5   7.2   2.2   2.2   2.2   2.2   2.2   2.5   0.5
o) Privately placed / Unlisted ub Total otal otal otal otal otal otal otal	6 CRISIL A1+ 7 CRISIL A1+ 7 CRISIL A1+ 10 ICRA A1+ 8 CRISIL A1+ 9 CRISIL A1+ 4 CRISIL A1+ 4 CRISIL A1+ 4 CRISIL A1+ 5 CRISIL A1+ 6 CRISIL A1+ 6 CRISIL A1+ 6 CRISIL A1+ 6 CRISIL A1+ 7 CRA A1+ 8 CRISIL A1+ 8 CRISIL A1+ 9 CRISIL A1+ 10 CRISIL A1+ 11 CRA A1+ 12 CRISIL A1+ 13 CRISIL A1+ 14 CRISIL A1+ 15 CRISIL A1+ 16 CRISIL A1+ 17 CRISIL A1+ 18 CRISIL A1+ 18 CRISIL A1+ 19 CRISIL A1+ 19 CRISIL A1+ 10 CRISIL A1+ 10 CRISIL A1+ 11 CRISIL A1+ 11 CRISIL A1+ 12 CRISIL A1+ 13 CRISIL A1+ 14 CRISIL A1+ 15 CRISIL A1+	50,000,000 30,000,000 7,500,000 2,500,000 50,000 35,000 20,000 20,000 20,000 10,000 5,000 5,000 5,000 5,000 4,500 2,500 2,500	NIL NIIL 21,978.60  59,446.79 49,058.05 29,326.68 7,338.09 2,443.57 147,613.18  64,175.97 49,421.38 34,587.55 24,631.69 19,725.47 19,709.78 19,709.78 19,707.62 14,805.30 9,867.42 4,961.01 4,930.99  4,923.04 4,909.70 4,462.22 2,454.85 2,452.95	NII NIII 2.44 6.66 5.5.3 3.2 0.2 16.5 3.8 2.7 2.2 2.2 1.6 1.1 0.5 0.5 0.5 0.5
ub Total total total total total toney Market Instruments ills Rediscounting DFC Bank Ltd # xis Bank Ltd # xis Bank Ltd # xis Bank Ltd # ub Total  ertificate of Deposit CICI Bank Ltd ** # INE090A16D3: anara Bank ** # INE476A16KH INE705A16HU Inlahabad Bank ** # INE692A16CG ijaya Bank ** # INE705A16HU Inlahabad Bank ** # INE691A16HG ijaya Bank ** # INE691A16HG ijaya Bank ** # INE653A16CV INE653A16CV INE503A16CV INE238A16RD INE168A16GZ INE96G16547 tate Bank Ctd ** # INE976G16547 tate Bank of Travancore ** # INE692A16BR INE652A16ER: INE008A16RN INE652A16ER: INE008A16RN INE65A16CS INE008A16RN INE65A16CS INE008A16RN INE65A16CS INE008A16RN INE65A16CS INE008A16RN INE008A16RN INE008A16RN INE008A16RN INE008A16RN INE008A16MS INE008A16MS INE008A16MS INE00MA16MS INE00MA16MS INE00MA16MS INE00MA16MS INE00MA16MS INE00MA16MS INE00MA16MS INE00MA16MS INE00MA16MS INE013A14NX IN	6 CRISIL A1+ 7 CRISIL A1+ 7 CRISIL A1+ 10 ICRA A1+ 8 CRISIL A1+ 9 CRISIL A1+ 4 CRISIL A1+ 4 CRISIL A1+ 4 CRISIL A1+ 5 CRISIL A1+ 6 CRISIL A1+ 6 CRISIL A1+ 6 CRISIL A1+ 6 CRISIL A1+ 7 CRA A1+ 8 CRISIL A1+ 8 CRISIL A1+ 9 CRISIL A1+ 10 CRISIL A1+ 11 CRA A1+ 12 CRISIL A1+ 13 CRISIL A1+ 14 CRISIL A1+ 15 CRISIL A1+ 16 CRISIL A1+ 17 CRISIL A1+ 18 CRISIL A1+ 18 CRISIL A1+ 19 CRISIL A1+ 19 CRISIL A1+ 10 CRISIL A1+ 10 CRISIL A1+ 11 CRISIL A1+ 11 CRISIL A1+ 12 CRISIL A1+ 13 CRISIL A1+ 14 CRISIL A1+ 15 CRISIL A1+	50,000,000 30,000,000 7,500,000 2,500,000 50,000 35,000 20,000 20,000 20,000 10,000 5,000 5,000 5,000 5,000 4,500 2,500 2,500	59,446.79 49,058.05 29,326.68 7,338.09 2,443.57 147,613.18 64,175.97 49,421.38 34,587.55 24,631.69 19,725.47 19,709.78 19,707.62 14,805.30 9,867.42 4,961.01 4,930.99 4,923.04 4,909.70 4,462.22 2,454.85 2,452.95	NIII 2.4 6.66 5.5.3 3.2 0.2 16.5 7.2 2.5 5.5.5 3.8 2.7 7.2 2.2 2.2 2.2 2.2 1.6 5.0 5.0 5.0 5.0 5.0 5.0 5.0 5.0 5.0 5.0
Interpretation of the properties of the properti	6 CRISIL A1+ 7 CRISIL A1+ 7 CRISIL A1+ 10 ICRA A1+ 8 CRISIL A1+ 9 CRISIL A1+ 4 CRISIL A1+ 4 CRISIL A1+ 4 CRISIL A1+ 5 CRISIL A1+ 6 CRISIL A1+ 6 CRISIL A1+ 6 CRISIL A1+ 6 CRISIL A1+ 7 CRA A1+ 8 CRISIL A1+ 8 CRISIL A1+ 9 CRISIL A1+ 10 CRISIL A1+ 11 CRA A1+ 12 CRISIL A1+ 13 CRISIL A1+ 14 CRISIL A1+ 15 CRISIL A1+ 16 CRISIL A1+ 17 CRISIL A1+ 18 CRISIL A1+ 18 CRISIL A1+ 19 CRISIL A1+ 19 CRISIL A1+ 10 CRISIL A1+ 10 CRISIL A1+ 11 CRISIL A1+ 11 CRISIL A1+ 12 CRISIL A1+ 13 CRISIL A1+ 14 CRISIL A1+ 15 CRISIL A1+	50,000,000 30,000,000 7,500,000 2,500,000 50,000 35,000 20,000 20,000 20,000 10,000 5,000 5,000 5,000 5,000 4,500 2,500 2,500	59,446.79 49,058.05 29,326.68 7,338.09 147,613.18 64,175.97 49,421.38 34,587.55 24,631.69 19,725.47 19,709.78 19,707.62 14,805.30 4,961.01 4,930.99 4,923.04 4,909.70 4,462.22 2,454.85 2,452.95	6.66.5.5.5.3.2.2   0.8.8   0.2.2   16.5   7.2.2   2.2.2   2.2.2   1.6.0.5   0.5.5   0.
DFC Bank Ltd # xis Bank Ltd # xis Bank Ltd # atnakar Bank Ltd # uib Total  ertificate of Deposit  CICI Bank Ltd **  INE090A16D3: anara Bank ** # INE476A16KH INE692A16KG ijaya Bank ** # INE705A16HU Ilahabad Bank ** # INE488A16KU CO Bank ** # INE691A16HG ank of Maharashtra ** # INE693A16FU INE683A16BV evelopment Credit Bank Ltd ** # INE683A16BV evelopment Credit Bank Ltd ** # INE188A16AG INE188A16AG INE188A16GZ INE188A16GZ INE18A16GZ INE18A16GZ INE18A16GZ INE18A16GZ INE18A16GZ INE18A16GZ INE18A16GZ INE68A16GZ INE68A16GZ INE68A16GZ INE68A16GZ INE68A16GN INE692A16BR: INE652A16ER: INE008A16RN INE692A16BR: INE692A16BR: INE692A16BR: INE694A16CS: INE008A16MS INE08A16MS INE08A16MS INE08A16MS INE08A16MS INE08A16MS INE08A16MS INE008A16MS INE008A16MS INE008A16MS INE008A16MS INE008A16MS INE008A16MS INE008A16MS INE008A16MS INE008A16MS INE013A14NX	6 CRISIL A1+ 7 CRISIL A1+ 7 CRISIL A1+ 10 ICRA A1+ 8 CRISIL A1+ 9 CRISIL A1+ 4 CRISIL A1+ 4 CRISIL A1+ 4 CRISIL A1+ 5 CRISIL A1+ 6 CRISIL A1+ 6 CRISIL A1+ 6 CRISIL A1+ 6 CRISIL A1+ 7 CRA A1+ 8 CRISIL A1+ 8 CRISIL A1+ 9 CRISIL A1+ 10 CRISIL A1+ 11 CRA A1+ 12 CRISIL A1+ 13 CRISIL A1+ 14 CRISIL A1+ 15 CRISIL A1+ 16 CRISIL A1+ 17 CRISIL A1+ 18 CRISIL A1+ 18 CRISIL A1+ 19 CRISIL A1+ 19 CRISIL A1+ 10 CRISIL A1+ 10 CRISIL A1+ 11 CRISIL A1+ 11 CRISIL A1+ 12 CRISIL A1+ 13 CRISIL A1+ 14 CRISIL A1+ 15 CRISIL A1+	50,000,000 30,000,000 7,500,000 2,500,000 50,000 35,000 20,000 20,000 20,000 10,000 5,000 5,000 5,000 5,000 4,500 2,500 2,500	49,058.05 29,326.68 7,338.09 2,443.57 147,613.18 64,175.97 49,421.38 34,587.55 24,631.69 19,725.47 19,707.62 14,805.30 9,867.42 4,961.01 4,930.99 4,923.04 4,909.70 4,462.22 2,454.85 2,452.95	5.5 3.2 0.8 0.2 <b>16.5</b> 7.2 5.5 3.8 2.7 2.2 2.2 2.2 2.2 1.6 1.1 0.5 0.5
xis Bank Ltd # xis Bank Ltd # xis Bank Ltd # xis Bank Ltd # zis Bank Ltd # zis Bank Ltd # zis Bank Ltd # zis Bank Ltd ** zic I Bank Ltd ** # zic I Bank Ltd ** # zic I Bank Ltd ** # zic I Bank x* # zic I Bank zic I Ban	6 CRISIL A1+ 7 CRISIL A1+ 7 CRISIL A1+ 10 ICRA A1+ 8 CRISIL A1+ 9 CRISIL A1+ 4 CRISIL A1+ 4 CRISIL A1+ 4 CRISIL A1+ 5 CRISIL A1+ 6 CRISIL A1+ 6 CRISIL A1+ 6 CRISIL A1+ 6 CRISIL A1+ 7 CRA A1+ 8 CRISIL A1+ 8 CRISIL A1+ 9 CRISIL A1+ 10 CRISIL A1+ 11 CRA A1+ 12 CRISIL A1+ 13 CRISIL A1+ 14 CRISIL A1+ 15 CRISIL A1+ 16 CRISIL A1+ 17 CRISIL A1+ 18 CRISIL A1+ 18 CRISIL A1+ 19 CRISIL A1+ 19 CRISIL A1+ 10 CRISIL A1+ 10 CRISIL A1+ 11 CRISIL A1+ 11 CRISIL A1+ 12 CRISIL A1+ 13 CRISIL A1+ 14 CRISIL A1+ 15 CRISIL A1+	50,000,000 30,000,000 7,500,000 2,500,000 50,000 35,000 20,000 20,000 20,000 10,000 5,000 5,000 5,000 5,000 4,500 2,500 2,500	49,058.05 29,326.68 7,338.09 2,443.57 147,613.18 64,175.97 49,421.38 34,587.55 24,631.69 19,725.47 19,707.62 14,805.30 9,867.42 4,961.01 4,930.99 4,923.04 4,909.70 4,462.22 2,454.85 2,452.95	5.5 3.2 0.8 0.2 <b>16.5</b> 7.2 5.5 3.8 2.7 2.2 2.2 2.2 2.2 1.6 1.1 0.5 0.5
atnakar Bank Ltd # xis Bank Ltd # ub Total ertificate of Deposit  CICI Bank Ltd ** # INE090A16D3: anara Bank ** # INE476A16KH Inion Bank of India ** # Ilahabad Bank ** # INE692A16CG ijaya Bank ** # INE705A16HU Ilahabad Bank ** # INE488A16KU CO Bank ** # INE691A16HG ank of Maharashtra ** # INE691A16HG ine633A16BV evelopment Credit Bank Ltd ** # INE503A16CH INE238A16RD he Jammu & Kashmir ank Ltd ** # INE168A16GZ ank Ltd ** # INE976G16547 tate Bank of Patiala ** # INE692A16BR; INE08A16RN inion Bank Ind ** # INE692A16BR; INE08A16RN INE654A16CS; INE08A16RN INE654A16CS; INE08A16MS ub Total ommercial Paper eliance Capital Ltd ** # INE013A14NX	6 CRISIL A1+ 7 CRISIL A1+ 7 CRISIL A1+ 10 ICRA A1+ 8 CRISIL A1+ 9 CRISIL A1+ 4 CRISIL A1+ 4 CRISIL A1+ 4 CRISIL A1+ 5 CRISIL A1+ 6 CRISIL A1+ 6 CRISIL A1+ 6 CRISIL A1+ 6 CRISIL A1+ 7 CRA A1+ 8 CRISIL A1+ 8 CRISIL A1+ 9 CRISIL A1+ 10 CRISIL A1+ 11 CRA A1+ 12 CRISIL A1+ 13 CRISIL A1+ 14 CRISIL A1+ 15 CRISIL A1+ 16 CRISIL A1+ 17 CRISIL A1+ 18 CRISIL A1+ 18 CRISIL A1+ 19 CRISIL A1+ 19 CRISIL A1+ 10 CRISIL A1+ 10 CRISIL A1+ 11 CRISIL A1+ 11 CRISIL A1+ 12 CRISIL A1+ 13 CRISIL A1+ 14 CRISIL A1+ 15 CRISIL A1+	7,500,000 2,500,000 65,000 35,000 25,000 20,000 15,000 5,000 5,000 5,000 4,500 2,500 2,500	7,338.09 2,443.57 147,613.18 64,175.97 49,421.38 34,587.55 24,631.69 19,707.62 14,805.30 9,867.42 4,961.01 4,930.99 4,923.04 4,909.70 4,462.22 2,454.85 2,452.95	0.8 0.2 16.5 7.2 5.5 3.8 2.7 2.2 2.2 2.2 1.6 1.1 0.5 0.5 0.5
ub Total  ertificate of Deposit  CICI Bank Ltd ** # INE090A16D3:  anara Bank ** # INE476A16KH  nion Bank of India ** # INE692A16CG  ijaya Bank ** # INE705A16HU  Ilahabad Bank ** # INE48A16KU  CO Bank ** # INE48A16KU  CO Bank ** # INE457A16DN  outh Indian Bank Ltd ** # INE683A16BV  weelopment Credit Bank Ltd ** # INE33A16CH  ixis Bank Ltd ** # INE33A16CH  ixis Bank Ltd ** # INE33A16CH  ixis Bank Ltd ** # INE68A16GZ  ank Ld ** # INE976G16547  tate Bank of Patiala ** # INE976G16547  tate Bank of Patiala ** # INE652A16ER  nion Bank of India ** # INE652A16BN  nion Bank of India ** # INE692A16BN  ixis Bank Ltd ** # INE65A16CS  ixis Bank Ltd ** # INE	6 CRISIL A1+ 7 CRISIL A1+ 7 CRISIL A1+ 10 ICRA A1+ 8 CRISIL A1+ 9 CRISIL A1+ 4 CRISIL A1+ 4 CRISIL A1+ 4 CRISIL A1+ 5 CRISIL A1+ 6 CRISIL A1+ 6 CRISIL A1+ 6 CRISIL A1+ 6 CRISIL A1+ 7 CRA A1+ 8 CRISIL A1+ 8 CRISIL A1+ 9 CRISIL A1+ 10 CRISIL A1+ 11 CRA A1+ 12 CRISIL A1+ 13 CRISIL A1+ 14 CRISIL A1+ 15 CRISIL A1+ 16 CRISIL A1+ 17 CRISIL A1+ 18 CRISIL A1+ 18 CRISIL A1+ 19 CRISIL A1+ 19 CRISIL A1+ 10 CRISIL A1+ 10 CRISIL A1+ 11 CRISIL A1+ 11 CRISIL A1+ 12 CRISIL A1+ 13 CRISIL A1+ 14 CRISIL A1+ 15 CRISIL A1+	65,000 50,000 35,000 25,000 20,000 20,000 15,000 15,000 5,000 5,000 5,000 4,500 2,500 2,500	147,613.18 64,175.97 49,421.38 34,587.55 24,631.69 19,725.47 19,707.62 14,805.30 9,867.42 4,961.01 4,930.99 4,923.04 4,909.70 4,462.22 2,454.85 2,452.95	7.2 5.5 3.8 2.7 2.2 2.2 2.2 1.6 1.1 0.5 0.5 0.5
ertificate of Deposit  ICI Bank Ltd ** # INE090A16D3:  INE476A16KH  nion Bank of India ** # INE692A16CG  ijaya Bank ** # INE705A16HU  Ilahabad Bank ** # INE48A16KU  CO Bank ** # INE691A16HG  ank of Maharashtra ** # INE691A16HG  ank of Maharashtra ** # INE651A16HU  INE633A16BV  evelopment Credit Bank Ltd ** # INE503A16CH  ixis Bank Ltd ** # INE503A16CH  ixis Bank Ltd ** # INE503A16CH  ixis Bank Ltd ** # INE68A16GZ  ank Ltd ** # INE652A16ER:  INE18A16GZ  INE08A16RN  INE652A16ER:  INE008A16RN  INE652A16ER:  INE008A16RN  INE654A16CS:  INE008A16NS  INE008A16MS	6 CRISIL A1+ 7 CRISIL A1+ 7 CRISIL A1+ 10 ICRA A1+ 8 CRISIL A1+ 9 CRISIL A1+ 4 CRISIL A1+ 4 CRISIL A1+ 4 CRISIL A1+ 5 CRISIL A1+ 6 CRISIL A1+ 6 CRISIL A1+ 6 CRISIL A1+ 6 CRISIL A1+ 7 CRA A1+ 8 CRISIL A1+ 8 CRISIL A1+ 9 CRISIL A1+ 10 CRISIL A1+ 11 CRA A1+ 12 CRISIL A1+ 13 CRISIL A1+ 14 CRISIL A1+ 15 CRISIL A1+ 16 CRISIL A1+ 17 CRISIL A1+ 18 CRISIL A1+ 18 CRISIL A1+ 19 CRISIL A1+ 19 CRISIL A1+ 10 CRISIL A1+ 10 CRISIL A1+ 11 CRISIL A1+ 11 CRISIL A1+ 12 CRISIL A1+ 13 CRISIL A1+ 14 CRISIL A1+ 15 CRISIL A1+	50,000 35,000 25,000 20,000 20,000 15,000 5,000 5,000 5,000 4,500 2,500 2,500	64,175.97 49,421.38 34,587.55 24,631.69 19,725.47 19,709.78 19,707.62 14,805.30 4,961.01 4,930.99 4,923.04 4,909.70 4,462.22 2,454.85 2,452.95	7.2 5.5 3.8 2.7 2.2 2.2 2.2 1.6 1.1 0.5 0.5 0.5
anara Bank ** # INE476A16KH nion Bank of India ** # INE692A16CG ijaya Bank ** # INE705A16HU Ilahabad Bank ** # INE691A16HG Ilahabad Bank ** # INE691A16HG ank of Maharashtra ** # INE457A16DN outh Indian Bank Ltd ** # INE683A16BV evelopment Credit Bank Ltd ** # INE683A16CH xis Bank Ltd ** # INE503A16CH nkis Bank Ltd ** # INE683A16GZ ank Ltd ** # INE68A16GZ ank Ltd ** # INE68A16GZ Bank Ltd ** # INE652A16ER DBI Bank Ltd ** # INE652A16ER DBI Bank Ltd ** # INE652A16ER DBI Bank Ltd ** # INE682A16BR tate Bank of Travancore ** # INE664A16CSZ INE008A16MS ub Total  ommercial Paper eliance Capital Ltd ** # INE013A14NX	6 CRISIL A1+ 7 CRISIL A1+ 7 CRISIL A1+ 10 ICRA A1+ 8 CRISIL A1+ 9 CRISIL A1+ 4 CRISIL A1+ 4 CRISIL A1+ 4 CRISIL A1+ 5 CRISIL A1+ 6 CRISIL A1+ 6 CRISIL A1+ 6 CRISIL A1+ 6 CRISIL A1+ 7 CRA A1+ 8 CRISIL A1+ 8 CRISIL A1+ 9 CRISIL A1+ 10 CRISIL A1+ 11 CRA A1+ 12 CRISIL A1+ 13 CRISIL A1+ 14 CRISIL A1+ 15 CRISIL A1+ 16 CRISIL A1+ 17 CRISIL A1+ 18 CRISIL A1+ 18 CRISIL A1+ 19 CRISIL A1+ 19 CRISIL A1+ 10 CRISIL A1+ 10 CRISIL A1+ 11 CRISIL A1+ 11 CRISIL A1+ 12 CRISIL A1+ 13 CRISIL A1+ 14 CRISIL A1+ 15 CRISIL A1+	50,000 35,000 25,000 20,000 20,000 15,000 5,000 5,000 5,000 4,500 2,500 2,500	49,421.38 34,587.55 24,631.69 19,725.47 19,707.62 14,805.30 9,867.42 4,961.01 4,930.99 4,923.04 4,909.70 4,462.22 2,454.85 2,452.95	5.5 3.8 2.77 2.2 2.2 2.2 1.6 1.1 0.5 0.5 0.5
nion Bank of India ** # ijaya Bank ** # Illahabad Bank ** # Illahabad Bank ** # Illahabad Bank ** # INE691A16HU INE691A16HG ank of Maharashtra ** # INE457A16DN INE683A16BV evelopment Credit Bank Ltd ** # INE683A16BV evelopment Credit Bank Ltd ** # INE188A16GV INE238A16RD INE188A16GZ INE168A16GZ INE976G16547 INE652A16ER INE08A16RN INE652A16ER INE08A16RN INE652A16ER INE08A16RN INE654A16CSZ INE08A16RN INE654A16CSZ INE08A16RN INE654A16CSZ INE08A16RN INE654A16CSZ INE08A16RN INE654A16CSZ INE08A16RN INE654A16CSZ INE08A16MS INE013A14NX	7 CRISIL A1+ 7 CARE A1+ 8 CRISIL A1+ 9 CRISIL A1+ 4 CRISIL A1+ 4 CRISIL A1+ 4 CRISIL A1+ 5 CRISIL A1+ 6 CRISIL A1+ 7 CRISIL A1+ 8 CRISIL A1+ 9 CRISIL A1+ 10 CRISIL A1+ 11 CRISIL A1+ 12 CRISIL A1+ 13 CRISIL A1+ 14 CRISIL A1+ 15 CRISIL A1+ 16 CRISIL A1+ 17 CRISIL A1+ 18 CRISIL A1+ 18 CRISIL A1+ 19 CRISIL A1+ 19 CRISIL A1+	35,000 25,000 20,000 20,000 20,000 15,000 10,000 5,000 5,000 5,000 4,500 2,500 2,500	34,587.55 24,631.69 19,725.47 19,709.78 19,707.62 14,805.30 9,867.42 4,961.01 4,930.99 4,923.04 4,909.70 4,462.22 2,454.85 2,452.95	3.8 2.7 2.2 2.2 2.2 1.6 1.1 0.5 0.5 0.5
İlahabad Bank **#         INE428A16KU           CO Bank **#         INE691A16HG           ank of Maharashtra **#         INE691A16HG           bouth Indian Bank Ltd **#         INE683A16BV           ixis Bank Ltd **#         INE503A16CH           ihe Jammu & Kashmir         INE168A16GZ           ank Ltd **#         INE68A16GZ           atnakar Bank Ltd **#         INE976G16547           late Bank of Patiala **#         INE66S2A16ER.           DBI Bank Ltd **#         INE692A16BR           inion Bank of India **#         INE692A16BR           bBI Bank Ltd **#         INE098A16MS           obl Bank Ltd **#         INE008A16MS           obl Total         ommercial Paper           eliance Capital Ltd **#         INE013A14NX	0 ICRA A1+ 8 CRISIL A1+ 9 CRISIL A1+ 7 CARE A1+ 4 CRISIL A1+ 9 CRISIL A1+ 1 CRA A1+ 1 ICRA A1+ 0 CRISIL A1+ 2 CRISIL A1+ 0 CRISIL A1+ 3 CRISIL A1+ 3 CRISIL A1+ 3 CRISIL A1+ 3 ICRA A1+	20,000 20,000 15,000 10,000 5,000 5,000 5,000 5,000 4,500 2,500 2,500	19,725.47 19,709.78 19,707.62 14,805.30 9,867.42 4,961.01 4,930.99 4,923.04 4,909.70 4,462.22 2,454.85 2,452.95	2.2 2.2 2.2 1.6 1.1 0.5 0.5 0.5 0.5
ank of Maharashtra ** #  outh Indian Bank Ltd ** #  vevelopment Credit Bank Ltd ** #  xis Bank Ltd ** #  NE503A16BV  in NE503A16CH  INE238A16RD  INE168A16GZ  INE168A16GZ  INE168A16GZ  INE168A16GZ  INE168A16GZ  INE168A16GZ  INE008A16RN  INE008A16RN  INE008A16RN  INE052A16ER  INE008A16RN  INE052A16ER  INE008A16RN  INE652A16CS  INE008A16RN  INE65A16CS  INE008A16NS  INE05A16MS	9 CRISIL A1+ 7 CARE A1+ 4 CRISIL A1+ 9 CRISIL A1+ 1 ICRA A1+ 1 ICRA A1+ 6 CRISIL A1+ 6 CRISIL A1+ 6 CRISIL A1+ 7 CRISIL A1+ 7 CRISIL A1+ 8 CRISIL A1+ 9 CRISIL A1+ 1 CRISIL A1+ 1 CRISIL A1+ 1 CRISIL A1+ 3 CRISIL A1+ 1 ICRA A1+	20,000 15,000 10,000 5,000 5,000 5,000 4,500 2,500 2,500	19,707.62 14,805.30 9,867.42 4,961.01 4,930.99 4,923.04 4,909.70 4,462.22 2,454.85 2,452.95	2.2 1.6 1.1 0.5 0.5 0.5
outh Indian Bank Ltd ** #  evelopment Credit Bank Ltd ** #  INE503A16CH  INE503A16CH  INE503A16CH  INE503A16CH  INE238A16RD  INE168A16GZ  INE168A16GZ  INE168A16GZ  INE168A16GZ  INE168A16GZ  INE168A16GZ  INE168A16GZ  INE168A16GZ  INE08A16RN  INE08A16RN  INE08A16RN  INE08A16RN  INE08A16RN  INE08A16RN  INE0654A16CSZ  INE008A16MS  INE08A16MS  INE08A16MS  INE068A16MS  INE068A16MS  INE008A16MS  INE013A14NX	7 CARE A1+ 4 CRISIL A1+ 9 CRISIL A1+ 10 CRISIL A1+ 11 ICRA A1+ 12 CRISIL A1+ 13 CRISIL A1+ 14 CRISIL A1+ 15 CRISIL A1+ 16 CRISIL A1+ 17 CRISIL A1+ 18 CRISIL A1+ 18 CRISIL A1+ 19 CRISIL A1+ 19 CRISIL A1+ 10 CRISIL A1+ 11 CRISIL A1+ 12 CRISIL A1+ 13 CRISIL A1+	15,000 10,000 5,000 5,000 5,000 5,000 4,500 2,500 2,500	14,805.30 9,867.42 4,961.01 4,930.99 4,923.04 4,909.70 4,462.22 2,454.85 2,452.95	1.6 1.1 0.5 0.5 0.5 0.5
xis Bank Ltd ** # INE238A16RD he Jammu & Kashnir ank Ltd ** # INE976G16547 tate Bank of Patiala ** # INE652A16ER. DBI Bank Ltd ** # INE652A16ER. nion Bank of India ** # INE652A16BR. tate Bank of Travancore ** # INE654A16CS2 INE08A16MS ub Total ommercial Paper eliance Capital Ltd ** # INE013A14NX	4 CRISIL A1+ 9 CRISIL A1+ 1 ICRA A1+ 4 ICRA A1+ 6 CRISIL A1+ 6 CRISIL A1+ 7 CRISIL A1+ 7 CRISIL A1+ 8 CRISIL A1+ 8 CRISIL A1+ 9 CRISIL A1+ 9 CRISIL A1+ 9 CRISIL A1+ 9 CRISIL A1+	5,000 5,000 5,000 5,000 4,500 2,500 2,500	4,961.01 4,930.99 4,923.04 4,909.70 4,462.22 2,454.85 2,452.95	0.5 0.5 0.5 0.5 0.5
he Jammu & Kashmir ank Ltd **# tatanakar Bank Ltd **# tata Bank of Patiala **# INE976G16547 late Bank of Patiala **# INE088A16RN nion Bank of Intia **# tate Bank of Travancore **# INE692A16BR: INE692A16BR: INE695A16CS: INE08A16MS ub Total ommercial Paper eliance Capital Ltd **# INE013A14NX	9 CRISIL A1+  I ICRA A1+  4 ICRA A1+  0 CRISIL A1+  6 CRISIL A1+  1 CRISIL A1+  3 CRISIL A1+  GRISIL A1+  1 CRISIL A1+  1 CRISIL A1+	5,000 5,000 5,000 4,500 2,500 2,500	4,930.99 4,923.04 4,909.70 4,462.22 2,454.85 2,452.95	0.5 0.5 0.5
atnakar Bank Ltd ** # INE976G16547 tate Bank of Patiala ** # INE652A16ER. DBI Bank Ltd ** # INE08A16RN nion Bank of India ** # INE654A16CS2 INE08A16RN tate Bank of Travancore ** # INE654A16CS2 INE08A16MS ub Total ommercial Paper eliance Capital Ltd ** # INE013A14NX	4 ICRA A1+ 0 CRISIL A1+ 2 CRISIL A1+ 0 CRISIL A1+ 3 CRISIL A1+ 4 CRISIL A1+ 3 ICRA A1+	5,000 4,500 2,500 2,500	4,909.70 4,462.22 2,454.85 2,452.95	0.5
DBI Bank Ltd ** # INE008A16RN nion Bank of India ** # INE692A16BR tate Bank of Travancore ** # INE654A16CS: DBI Bank Ltd ** # INE008A16MS ub Total ommercial Paper eliance Capital Ltd ** # INE013A14NX	0 CRISIL A1+ 6 CRISIL A1+ 2 CRISIL A1+ 0 CRISIL A1+ 3 CRISIL A1+ 3 ICRA A1+	5,000 4,500 2,500 2,500	4,909.70 4,462.22 2,454.85 2,452.95	0.5
nion Bank of India ** # INE692A16BRı tate Bank of Travancore ** # INE654A16CS: DBI Bank Ltd ** # INE088A16MS ub Total ommercial Paper eliance Capital Ltd ** # INE013A14NX	6 CRISIL A1+ 2 CRISIL A1+ 0 CRISIL A1+ 3 CRISIL A1+ 3 ICRA A1+	2,500 2,500	2,454.85 2,452.95	
DBI Bank Ltd ** # INE008A16MS ub Total ommercial Paper eliance Capital Ltd ** # INE013A14NX	0 CRISIL A1+  (3 CRISIL A1+  (5 CRISIL A1+  (6 CRISIL A1+  (7 ICRA A1+			
ub Total commercial Paper eliance Capital Ltd ** # INE013A14NX	CRISIL A1+ CRISIL A1+ ICRA A1+	,		0.2
eliance Capital Ltd ** # INE013A14NX	CRISIL A1+ 3 ICRA A1+		286,715.85	32.1
	CRISIL A1+ 3 ICRA A1+	5,000	24,382.75	2.7
		4,000 3,000	19,559.90	2.1
iramal Enterprises Ltd ** # INE140A14BQ iramal Enterprises Ltd ** # INE140A14BT		3,000	14,715.53 14,675.77	1.6
L & F S Ltd ** # INE871D14EW eutsche Investments India INE144H14347		2,000 2,000	9,928.59 9,847.34	1.1 1.1
vt Ltd ** #				
Inindra & Mahindra Financial INE774D14FC4 ervices Ltd ** #	4 CRISIL A1+	2,000	9,837.52	1.1
diabulls Housing Finance Ltd ** # INE148I14BG0		1,800	8,782.72	0.9
frastructure Development INE043D14FD2 inance Company Ltd ** #		1,600	7,970.72	0.8
tandard Chartered Invest & INE403G14FQ: oans Ltd. ** #	3 CRISIL A1+	1,600	7,964.30	0.8
hapoorji Pallonji & Co Ltd ** # INE404K14430		1,500	7,461.04	0.8
hapoorji Pallonji & Co Ltd ** # INE404K14406 iramal Enterprises Ltd ** # INE140A14BY		1,500 1,500	7,388.89 7,384.00	0.8
esa Sterlite Ltd ** # INE205A14135 Iahindra & Mahindra Financial INE774D14FB6	CRISIL A1+	1,200	5,967.52	0.6
ervices Ltd ** #		1,000	4,927.85	0.5
esa Sterlite Ltd ** # INE205A14143 tandard Chartered Invest & INE403G14FP5		1,000 800	4,927.82 3,945.36	0.5
oans Ltd. ** #				
vestsmart Financial INE790I14AG1 ervices Ltd ** #		500	2,491.94	0.2
infrastructure Development inance Company Ltd ** #	ICRA A1+	500	2,465.45	0.2
iramal Enterprises Ltd ** # INE140A14BH		500	2,461.91	0.2
tandard Chartered Invest & INE403G14FT7 oans Ltd. ** #	7 CRISIL A1+	500	2,443.13	0.2
vestsmart Financial INE790I14AH9	IND A1+	400	1,987.66	0.2
ervices Ltd ** # ub Total			181,517.71	20.3
reasury Bill	COV	04 600 000		
1Days Tbill (MD 21/11/2013) IN002013X204 8 Days CMB Tbill (MD 22/10/2013) IN002013U127		84,680,000 46,687,750	83,548.15 46,409.22	9.3° 5.20
1Days Tbill (MD 15/11/2013) IN002013X196	SOV	10,000,000	9,882.01	1.1
1Days TBill (MD 26/12/2013) IN002013X253	SOV	10,000,000 7,500,000	9,850.52 7,335.80	0.8
64 Days Treasury Bill IN002012Z029 MD 18/10/2013) IN002012Z029	SOV	2,500,000	2,488.63	0.2
1 Days Tbill (MD 31/10/2013) IN002013X170		2,500,000	2,479.88	0.2
8 Days CMB Tbill (MD 21/10/2013) IN002013U119 ub Total	SOV	865,250	860.60 <b>162,854.81</b>	0.1 <b>18.2</b>
otal			778,701.55	87.3
thers ixed Deposit	Tenure (No. of	Days)		
1.15% Karur Vysya Bank Ltd 1.15% Indusind Bank Ltd	91 91	-	50,000.00 50,000.00	5.6 5.6
0.9% Yes Bank Ltd	91		30,000.00	3.3
1.15% Indusind Bank Ltd 0.05% The Jammu & Kashmir Bank Ltd	91 91		25,500.00 22,500.00	2.8
1.15% Indusind Bank Ltd	91		22,000.00	2.4
1.05% Ratnakar Bank Ltd 0% Axis Bank Ltd	91 91		15,000.00 11,000.00	1.6 1.2
1.15% Indusind Bank Ltd	91		8,000.00	0.9
1.15% Indusind Bank Ltd 1.15% Indusind Bank Ltd	91 91		7,300.00 3,700.00	0.8
1.15% Karur Vysya Bank Ltd ub Total	91		3,700.00 <b>248,700.00</b>	0.4 <b>27.8</b>
otal			248,700.00	27.8
BLO / Reverse Repo learing Corporation of India Ltd			202.00	0.0
ub Total			202.00	0.0
otal			202.00	0.0
et Receivables / (Payables) RAND TOTAL			-157,922.35 891,659.80	-17.7 100.0
* Thinly Traded / Non Traded Security			y	
Unlisted Security lotes:				

)	Plan/Option wise Net Asset Values are as follows:							
	Plan	As on September 30, 2013	As on March 28, 2013 •					
	Regular Plan - Growth Option	Rs. 196.3876	Rs. 187.7528					
	Regular Plan - Daily Dividend Option	Rs. 100.1950	Rs. 100.1950					
	Regular Plan - Weekly Dividend Option	Rs. 100.3596	Rs. 100.3864					
	Retail Plan - Growth Option^^	Rs. 319.6739	Rs. 306.1953					
	Retail Plan - Daily Dividend Option^^	Rs. 163.6940	Rs. 163.6940					
	Institutional Plan - Growth Option^^	Rs. 327.6655	Rs. 313.6566					
	Institutional Plan - Daily Dividend Option^	^ Rs. 108.0230	Rs. 108.0230					
	Institutional Plan - Weekly Dividend Option	n Rs. 108.2081	Rs. 108.2376					
	Discipline Advantage Plan +	Rs. 132.1455	Rs. 127.0045					
	Direct Plan - Growth Option	Rs. 196.4407	Rs. 187.7721					
	Direct Plan - Dividend Option	Rs. 104.8285	Rs. 100.2126					
	Direct Plan - Daily Dividend Option	Rs. 100.1950	Rs. 100.1950					
	Direct Plan - Weekly Dividend Option	Rs. 100.3599	Rs. 100.3864					
_								

• NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.  $\hbox{+ This option has been suspended for further subscriptions w.e.f.\,May\,21,2010.}$ 

Plan(s) discontinued from accepting subscriptions w.e.f October 01, 2012.

Dividend in the following plans was declared during the half year ended September 30, 2013.

Per Unit (Rs.) Rs. 4.6254 Rs. 4.7599 Institutional Plan - Daily Dividend Option Institutional Plan - Weekly Dividend Option Direct Plan - Daily Dividend Option Rs. 4.4371 Direct Plan - Weekly Dividend Option Rs. 4.5551 Retail Plan - Daily Dividend Option Rs. 6.9050 Regular Plan - Daily Dividend Option Regular Plan - Weekly Dividend Option Rs. 4.4173 Rs. 4.5377

- Details of derivatives exposure and transaction Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired.
- Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended  $September\ 30, 2013\ there\ were\ no\ non-hedging\ transactions\ through\ futures\ which\ have\ been\ squared$
- Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired. Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended
- $September\ 30, 2013\ there\ were\ no\ non-hedging\ transactions\ through\ options\ which\ have\ been\ exercised/$
- (e) Hedging Positions through swaps as on September 30, 2013 is Nil. The Average Maturity Period of the Portfolio is 0.18 years.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

				in Lacs)	Assets
Equity & Equity related				•	
(a) Listed / awaiting listing on Sto					
ITC Ltd	INE154A01025	Consumer Non Durables	465,335	1,584.00	5.12
Infosys Ltd	INE009A01021	Software	51,511	1,552.03	5.02
HDFC Bank Ltd	INE040A01026	Banks Patroloum Products	248,529	1,473.90	4.77
Reliance Industries Ltd ICICI Bank Ltd	INE002A01018 INE090A01013	Petroleum Products Banks	167,958 139,607	1,381.29 1,233.64	4.47 3.99
Housing Development	INE090A01013 INE001A01036	Finance	146,566	1,120.13	3.62
Finance Corporation Ltd	11120017101030	1 manec	140,500	1,120.13	3.02
Tech Mahindra Ltd	INE669C01028	Software	78,967	1,054.80	3.41
Bharti Airtel Ltd	INE397D01024	Telecom - Services	320,115	1,020.05	3.30
Tata Consultancy Services Ltd	INE467B01029	Software	50,300	969.68	3.13
Indusind Bank Ltd	INE095A01012	Banks	243,176	896.71	2.90
Larsen & Toubro Ltd	INE018A01030	Construction Project	108,199	853.42	2.76
ING Vysya Bank Ltd	INE166A01011	Banks	149,800	802.55	2.59
HCL Technologies Ltd	INE860A01027	Software	72,585	789.14	2.55
NMDC Ltd NTPC Ltd	INE584A01023	Minerals/Mining	608,939	731.34 719.56	2.36
Grasim Industries Ltd	INE733E01010 INE047A01013	Power Cement	488,000 25,435	673.02	2.33 2.18
Sesa Sterlite Ltd	INE205A01015	Minerals/Mining	369,200	667.33	2.16
Cummins India Ltd	INE298A01020	Industrial Products	158,660	647.73	2.09
Dr. Reddy'S Laboratories Ltd	INE089A01023	Pharmaceuticals	27,064	644.43	2.08
Bata India Ltd	INE176A01010	Consumer Durables	64,673	553.96	1.79
Maruti Suzuki India Ltd	INE585B01010	Auto	39,390	535.13	1.73
Sun Pharmaceuticals	INE044A01036	Pharmaceuticals	89,848	533.07	1.72
Industries Ltd					
Tata Motors Ltd DVR Shares	IN9155A01020	Auto	287,500	470.64	1.52
Oil & Natural Gas Corporation Ltd		Oil	169,402	453.74	1.47
LIC Housing Finance Ltd	INE115A01026	Finance	235,300	442.25	1.43
Cairn India Ltd Crompton Greaves Ltd	INE910H01017	Oil	135,000 487,000	430.45	1.39 1.35
Bajaj Auto Ltd	INE067A01029 INE917I01010	Industrial Capital Goods Auto	20,326	419.06 403.97	1.33
Hindustan Zinc Ltd	INE267A01025	Non - Ferrous Metals	293,200	391.57	1.27
Axis Bank Ltd	INE238A01026	Banks	38,200	385.00	1.24
Bosch Ltd	INE323A01026	Auto Ancillaries	4,141	374.70	1.21
United Spirits Ltd	INE854D01016	Consumer Non Durables	14,000	354.65	1.15
Motherson Sumi Systems Ltd	INE775A01035	Auto Ancillaries	150,000	344.93	1.12
PTC India Ltd	INE877F01012	Power	729,000	338.62	1.09
Petronet LNG Ltd	INE347G01014	Gas	273,432	324.56	1.05
Cadila Healthcare Ltd	INE010B01019	Pharmaceuticals	47,197	320.80	1.04
Wipro Ltd	INE075A01022	Software	67,200	317.89	1.03
Glenmark Pharmaceuticals Ltd Britannia Industries Ltd	INE935A01035 INE216A01022	Pharmaceuticals Consumer Non Durables	59,867 38,235	317.86 314.29	1.03 1.02
Akzo Nobel India Ltd	INE133A01011	Consumer Non Durables	36,000	295.52	0.96
Adani Enterprises Ltd	INE423A01024	Trading	209,000	294.17	0.95
Lupin Ltd	INE326A01037	Pharmaceuticals	34,200	292.70	0.95
Tata Global Beverages Ltd	INE192A01025	Consumer Non Durables	190,000	273.32	0.88
Zee Entertainment Enterprises Ltd	INE256A01028	Media & Entertainment	112,400	256.83	0.83
Bharat Petroleum Corporation Ltd	INE029A01011	Petroleum Products	68,623	227.31	0.73
Tata Motors Ltd	INE155A01022	Auto	53,000	176.23	0.57
Shree Cements Ltd	INE070A01015	Cement	3,900	160.82	0.52
The Federal Bank Ltd	INE171A01011	Banks	55,000	156.53	0.51
Hindustan Unilever Ltd	INE030A01027	Consumer Non Durables Miscellaneous	24,836	155.83	0.50
Future Lifestyle Fashions Ltd **#~ Divi's Laboratories Ltd	INE452001016 INE361B01024	Pharmaceuticals	61,180 6,600	71.89 64.04	0.23
Future Retail Limited	INE623B01027	Retailing	63,541	44.99	0.21
Jaypee Infratech Ltd	INE023B01027	Transportation	217,851	34.20	0.13
Sub Total	11,120,,001015	Transportation	217,001	29,346.27	94.88
(b) Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				29,346.27	94.88
Cash and Bank Total				0.72 0.72	\$ \$
Margin (Future and Options) Total				647.8 647.8	2.09 2.09
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				1,011.00	3.27
Sub Total				1,011.00	3.27
Total				1,011.00	3.27
Net Receivables / (Payables)				(74.73)	-0.24
GRAND TOTAL				30,931.06	100.00
** Thinly Traded / Non Traded S	Security				
^Industry classification as reconused	nmended by AMI	I and wherever not availab	le, internal	classification	has beer

(AN OPEN ENDED GROWTH SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013

Industry #

Name of the Instrument

# Unlisted Security ~ Illiquid Security

\$ Less Than 0.01% of Net Asset Value

(b)

(1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.

(2) Plan/Option wise Net Asset Values are as follows:

As on September 30, 2013 As on March 28, 2013 • Regular Plan - Dividend Option Rs. 12.4953 Rs. 12.9201 Regular Plan - Growth Option Rs. 25.0398 Rs. 24.3146 Direct Plan - Dividend Option Rs. 14.3295 Rs. 25.1540 Direct Plan - Growth Option Rs. 24.3479

• NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day (3) Dividend in the following plans was declared during the half year ended September 30, 2013

Per Unit (Rs.) Regular Plan - Dividend Option

Details of derivatives exposure and transaction:

(a) Hedging Position through Futures as on September 30, 2013 Underlying Long/ Futures Current Margin when of the Rs. Lakhs Rs. Rs. Axis Bank Ltd. Future Oct 13 Short 1049.4438 1,016.00 880.4573 Reliance Industries Ltd. Future Oct 13 Short 832.65 22.08

Total exposure due to futures (hedging positions) as a %age of net assets is 0.93%

For the period ended September 30, 2013 following hedging transactions through futures which have

Total Number of contracts where futures were bought	Total Number of contracts where futures were sold	Gross Notional Value of contracts where futures were bought Rs.	Gross Notional Value of contracts where futures were sold Rs.	Net Profit/Loss value on all contracts combined Rs.
3,203	3,328	869,883,954.22	925,155,033.20	25,360,628.63
Other than Hedgi	ng Position throug	th Futures as on Septem	iber 30, 2013.	

Underlying	Long/ Short	Futures Price when purchased Rs.	Current price of the contract Rs.	Margin maintained in Rs. Lakhs
HCL Technologies Ltd. Future Oct 13	Long	1,062.94	1,087.85	49.92
Dr. Reddy's Laboratories Ltd. Future Oct 13	Long	2,451.27	2,409.10	46.36

Total exposure due to futures (Non hedging positions) as a %age of net assets is 1.94% For the period ended September 30, 2013 following non-hedging transactions through futures which

have been squared off/expired

of contracts where futures were bought	of contracts where futures were sold	Value of contracts where futures were bought Rs.	Value of contracts where futures were sold Rs.	Net Profit/Loss value on all contracts combined Rs.
3,186	3,032	760,530,208.20	719,174,576.97	18,452,787.61

- Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended
- September 30, 2013 there were no hedging transactions through options which have exercised/expired. Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended  $September\ 30, 2013\ there\ were\ no\ non-hedging\ transactions\ through\ options\ which\ have\ been\ exercised/dependent options.$ expired.
- (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- During the current half year, the portfolio turnover ratio of equity and equity related instruments is 1.25 times and equity related instruments in the current half year. The portfolio turnover ratio of equity and equity related instruments in the current half year. The portfolio turnover ratio of equity and equity related instruments in the current half year. The portfolio turnover ratio of equity and equity related instruments in the current half year. The portfolio turnover ratio of equity and equity related instruments in the current half year. The portfolio turnover ratio of equity and equity related instruments in the current half year. The portfolio turnover ratio of equity and equity related instruments in the current half year. The portfolio turnover ratio of equity and equity related instruments in the current half year. The portfolio turnover ratio of equity and equity related in the current half year. The portfolio turnover ratio of equity and equity related in the current half year. The portfolio turnover ratio of equity and equity related in the current half year. The portfolio turnover ratio of equity and equity related in the current half year. The current half year is the current half year. The current half year is the current half year is the current half year. The current half year is the current half year is the current half year. The current half year is the current half yearTotal market value of Foreign Securities/overseas ETFs and its percentage to Net Assets as at September 30, 2013
- The total value of illiquid Equity Securities is 0.72 crores and its percentage to Net Assets is 0.23%
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

## **IDENTIFY OF STATE O**



Market

in Lacs)

Assets

This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September, 2013.

### Half Yearly Portfolio Statement as at September 30, 2013

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996) BIRLA SUN LIFE PURE VALUE FUND

(AN OPEN ENDED DIVERSIFIED EQUITY SCHEME)

		NKED SAVINGS SCHE		5))	
Name of the Instrument	RTFOLIO AS ON ISIN	I SEPTEMBER 30, 2013 Industry^	Quantity	Market Value (Rs. in Lacs)	% of Net Assets
Equity & Equity related					
(a) Listed / awaiting listing on Stock I	Exchanges				
Tata Consultancy Services Ltd	INE467B01029	Software	28,753	554.30	5.06
Reliance Industries Ltd	INE002A01018	Petroleum Products	67,246	553.03	5.04
Bayer CropScience Ltd	INE462A01022	Pesticides	32,039	495.32	4.52
ICICI Bank Ltd	INE090A01013	Banks	51,440	454.55	4.15
ING Vysya Bank Ltd	INE166A01011	Banks	77,216	413.68	3.77
ICRA Ltd	INE725G01011	Finance	34,022	397.17	3.62
Tech Mahindra Ltd	INE669C01028	Software	28,654	382.75	3.49
Honeywell Automation India Ltd	INE671A01010	Industrial Capital Good	s 15,037	382.02	3.48
Housing Development Finance Corporation Ltd	INE001A01036	Finance	47,403	362.28	3.30
MRF Ltd	INE883A01011	Auto Ancillaries	2,541	340.11	3.10
ITC Ltd	INE154A01025	Consumer Non Durabl	es 96,937	329.97	3.01
HCL Technologies Ltd	INE860A01027	Software	29,673	322.60	2.94
Sun Pharmaceuticals Industries Ltd	INE044A01036	Pharmaceuticals	53,842	319.44	2.91
Tata Motors Ltd	INE155A01022	Auto	91,859	305.43	2.79
NTPC Ltd	INE733E01010	Power	207,075	305.33	2.78
HDFC Bank Ltd	INE040A01026	Banks	48,783	289.31	2.64
Biocon Ltd	INE376G01013	Pharmaceuticals	84,874	286.62	2.61
Larsen & Toubro Ltd	INE018A01030	Construction Project	36,176	285.34	2.60
Bosch Ltd	INE323A01026	Auto Ancillaries	2,927	264.85	2.42
Cummins India Ltd	INE298A01020	Industrial Products	63,808	260.50	2.38
Infosys Ltd	INE009A01021	Software	8,596	259.00	2.36
Zee Entertainment Enterprises Ltd	INE256A01028	Media & Entertainmen		256.47	2.34
Shopper's Stop Ltd	INE498B01024	Retailing	69,389	249.90	2.28
Hindustan Unilever Ltd	INE030A01027	Consumer Non Durabl		239.49	2.18
Axis Bank Ltd	INE238A01026	Banks	22,355	225.30	2.06
Grasim Industries Ltd		Cement	8,411	222.56	2.03
Dr. Reddy'S Laboratories Ltd	INE047A01013 INE089A01023	Pharmaceuticals		190.06	1.73
			7,982		
Glaxosmithkline Pharmaceuticals Ltd		Pharmaceuticals	6,954	171.63	1.57
LIC Housing Finance Ltd	INE115A01026	Finance	87,901	165.21	1.51
Jet Airways (India) Ltd	INE802G01018	Transportation	42,617	153.06	1.40
Bata India Ltd	INE176A01010	Consumer Durables	17,446	149.43	1.36
Century Textiles & Industries Ltd	INE055A01016	Cement	61,193	147.54	1.35
Pfizer Ltd	INE182A01018	Pharmaceuticals	13,241	143.76	1.31
Indusind Bank Ltd	INE095A01012	Banks	36,791	135.67	1.24
Shree Cements Ltd	INE070A01015	Cement	3,269	134.80	1.23
Jindal Steel & Power Ltd	INE749A01030	Ferrous Metals	52,454	123.53	1.13
Yes Bank Ltd	INE528G01019	Banks	39,649	113.93	1.04
Maruti Suzuki India Ltd	INE585B01010	Auto	8,353	113.48	1.04
Sesa Sterlite Ltd	INE205A01025	Minerals/Mining	61,666	111.46	1.02
Sundaram Clayton Ltd	INE105A01035	Auto Ancillaries	36,169	105.47	0.96
Wyeth Ltd	INE378A01012	Pharmaceuticals	17,467	101.28	0.92
Mahindra & Mahindra Ltd	INE101A01026	Auto	9,567	79.16	0.72
United Breweries (Holdings) Ltd	INE696A01025	Finance	121,356	32.40	0.30
Bharat Bijlee Ltd	INE464A01028	Industrial Capital Good	s 3,639	11.24	0.10
Sub Total (b) Unlisted				10,940.43	99.79
Magnasound (I) Ltd ** #		Miscellaneous	45,000	0.00	9
Sub Total			,	0.00	\$
Total				10,940.43	99.79
CBLO / Reverse Repo				,	
Clearing Corporation of India Ltd				121.00	1.10
Sub Total				121.00	1.10
Total				121.00	1.10
Net Receivables / (Payables)				(97.89)	-0.89
GRAND TOTAL				10,963.54	100.00

Unlisted Security Less Than 0.01% of Net Asset Value

ended by AMFI and wherever not available, internal classification has been used

The provision made for Non Performing Assets as of September 30, 2013 is Nil. Plan/Option wise Net Asset Values are as follows:

Plan	As on September 30, 2013	As on March 28, 2013 •
Regular Plan - Dividend Option	Rs. 45.80	Rs. 45.34
Regular Plan - Growth Option	Rs. 14.35	Rs. 14.21
Direct Plan - Dividend Option	Rs. 47.65	Rs. 46.93
Direct Plan - Growth Option	Rs. 14.46	Rs. 14.25

NAVs at the beginning of the period is as of 28th March 2013, March 31, 2012 being a non business day. No dividend was declared during the half year ended September 30, 2013.

Details of derivatives exposure and transaction

Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired.

 $Other than \ Hedging \ Position \ through \ Futures \ as \ on \ September \ 30,2013 \ is \ Nil \ and \ for \ the \ half \ year \ ended$ September 30, 2013 there were no non-hedging transactions through futures which have been squared Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended

September 30, 2013 there were no hedging transactions through option which have exercised/expired.  $Other than \ Hedging \ Positions \ through \ Options \ as \ on \ September \ 30,2013 \ is \ Nil \ and \ for \ the \ half \ year \ endec \ September \ 30,2013 \ there \ were \ no \ non-hedging \ transactions \ through \ options \ which \ have \ been \ exercised.$ expired.

Hedging Positions through swaps as on September 30, 2013 is Nil  $\,$ 

During the current half year, the portfolio turnover ratio of equity and equity related instruments is 0.08 times  $Total\ market\ value\ of\ Foreign\ Securities/overseas\ ETFs\ and\ its\ percentage\ to\ Net\ Assets\ as\ at\ September\ 31,2013$ 

The total value of illiquid Equity Securities is Nil and its percentage to Net Assets is Nil. Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil

Name of the Instrument	ISIN	NSEPTEMBER 30, 20 Industry^/Rating	Quantity	Market	% of
		g	<b>C</b>	Value (Rs.	Net
				in Lacs)	Assets
Others					
Exchange Traded Funds					
Birla Sun Life Gold ETF	INF209K01HT2		246,000	7,224.16	99.22
Sub Total				7,224.16	99.22
Total				7,224.16	99.22
CBLO / Reverse Repo					
Clearing Corporation of India Ltd	1			87.00	1.19
Sub Total				87.00	1.19
Total				87.00	1.19
Net Receivables / (Payables)				(30.15)	-0.41
GRAND TOTAL				7,281.01	100.00

ended by AMFI and wherever not available, internal cla

The provision made for Non Performing Assets as of September 30, 2013 is Nil.

Plan/Option wise Net Asset Values are as follows: As on September 30, 2013 · As on March 28. 2013¥ Plan Regular Plan - Dividend Option Rs. 10.3186 Rs. 10.1004 Regular Plan - Growth Option Rs. 10.3190 Rs. 10.1004 Direct Plan - Dividend Option Rs. 10.3255 Rs. 10.1028 Rs. 10.3322 Rs. 10.1028 Direct Plan - Growth Option NAVs at the end of the period is as of 30th September 2013.

¥ NAVs at the beginning of the period is as of 28th March 2013, March 31, 2012 being a non business day

No dividend was declared during the half year ended September 30, 2013.

Details of derivatives exposure and transaction:

off/expired.

Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired. Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended

September 30, 2013 there were no non-hedging transactions through futures which have been squared

 $Hedging\ Position\ through\ Put\ Options\ as\ on\ September\ 30,\ 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 30,\ 2013\ there\ were\ no\ hedging\ transactions\ through\ options\ which\ have\ exercised/expired.$ Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/

Hedging Positions through swaps as on September 30, 2013 is Nil

Total market value of Foreign Securities/overseas ETFs as at September 30, 2013 is Nil.

The total value of illiquid Equity Securities is Nil and its percentage to Net Assets is Nil. Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

Name of the Instrument	ISIN	Industry^ / Rating	Quantity	Market Value (Rs. in Lacs)	% of Ne Assets
Debt Instruments					
(a) Listed / awaiting listing on Stock	Exchange				
9.00% Coromandel International Ltd **	INE169A08010	CRISIL AA+	50,000	7.38	0.19
Sub Total				7.38	0.19
(b) Privately placed / Unlisted				NIL	NII
Sub Total				NIL	NII
Total				7.38	0.19
Equity & Equity related					
(a) Listed / awaiting listing on Stock	Exchanges				
HCL Technologies Ltd	INE860A01027	Software	21,000	228.31	5.8
Torrent Pharmaceuticals Ltd	INE685A01028	Pharmaceuticals	50,000	219.10	5.58
Infosys Ltd	INE009A01021	Software	7,000	210.91	5.37
Hindustan Zinc Ltd	INE267A01025	Non - Ferrous Metals	150,000	200.33	5.10
ING Vysya Bank Ltd	INE166A01011	Banks	36,000	192.87	4.9
Sesa Sterlite Ltd	INE205A01025	Minerals/Mining	100,000	180.75	4.60
Wabco India Ltd	INE342J01019	Auto Ancillaries	10,000	173.90	4.4
Pfizer Ltd	INE182A01018	Pharmaceuticals	15,000	162.86	4.1:
NTPC Ltd	INE733E01010	Power	100,000	147.45	3.7
LIC Housing Finance Ltd	INE115A01026	Finance	75,000	140.96	3.59
FAG Bearings India Ltd	INE513A01014	Industrial Products	11,000	138.01	3.5
Kansai Nerolac Paints Ltd	INE531A01016	Consumer Non Durab	les 11,000	136.13	3.4
Oil & Natural Gas Corporation Ltd	INE213A01029	Oil	50,000	133.93	3.4
Akzo Nobel India Ltd	INE133A01011	Consumer Non Durab	les 16,000	131.34	3.3
City Union Bank Ltd	INE491A01021	Banks	300,000	127.20	3.2
Sundaram Finance Ltd	INE660A01013	Finance	25,000	126.70	3.2
Coromandel International Ltd	INE169A01031	Fertilisers	50,000	117.03	2.9
PTC India Ltd	INE877F01012	Power	250,000	116.13	2.9
Zydus Wellness Ltd	INE768C01010	Consumer Non Durab	les 21,000	111.97	2.83
Cummins India Ltd	INE298A01020	Industrial Products	25,000	102.06	2.60
Bajaj Corp Ltd	INE933K01021	Consumer Non Durab	les 37,500	98.49	2.5
The Federal Bank Ltd	INE171A01011	Banks	29,000	82.53	2.10
Dhanlaxmi Bank Ltd	INE680A01011	Banks	200,000	81.20	2.0
Tech Mahindra Ltd	INE669C01028	Software	6,000	80.15	2.0
The Jammu & Kashmir Bank Ltd	INE168A01017	Banks	7,000	79.71	2.0
Indraprastha Gas Ltd	INE203G01019	Gas	29,000	78.11	1.99
Karur Vysya Bank Ltd	INE036D01010	Banks	25,000	77.83	1.98
Orient Cement Ltd	INE876N01018	Cement	125,000	47.25	1.20
Sundaram Clayton Ltd	INE105A01035	Auto Ancillaries	5,874	17.13	0.4
Jet Airways (India) Ltd	INE802G01018	Transportation	3,267	11.73	0.30
Sub Total				3,752.07	95.5
(b) Unlisted				NIL	NII
Sub Total				NIL	NII
Total				3,752.07	95.5
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				178.00	4.5
Sub Total				178.00	4.53
Total				178.00	4.53
Net Receivables / (Payables)				(9.78)	-0.25
GRAND TOTAL				3,927.67	100.00

^Industry classification as recommended by AMFI and wherever not available, internal classification has been used The provision made for Non Performing Assets as of September 30, 2013 is Nil

Plan/Option wise Net Asset Values are as follows:

As on September 30, 2013 As on March 28, 2013 • Rs. 14.8349 Regular Plan - Dividend Option Rs. 13.3905 Regular Plan - Growth Option Rs. 16.5518 Rs. 16.9705 Direct Plan - Growth Option Rs. 16.6208 Rs. 16.9915 Direct Plan - Dividend Option Rs. 14.5263 Rs. 14.8502 • NAVs at the beginning of the period is as of 28th March 2013, March 31, 2012 being a non business day.

Dividend in the following plans was declared during the half year ended September 30, 2013. Per Unit (Rs.) Rs. 1.0000

Regular Plan - Dividend Option Details of derivatives exposure and transaction:

Thinly Traded / Non Traded Security

Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September (a) 30, 2013 there were no hedging transactions through futures which have been squared off/expired. Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared

Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through option which have exercised/expired.  $Other than \ Hedging \ Positions \ through \ Options \ as \ on \ September \ 30, 2013 \ is \ Nil \ and \ for \ the \ half \ year \ ended \ September \ 30, 2013 \ there \ were \ no \ non-hedging \ transactions \ through \ options \ which \ have \ been \ exercised/$ 

 $Hedging\ Positions\ through\ swaps\ as\ on\ September\ 30,2013\ is\ Nil.$ 

During the current half year, the portfolio turnover ratio of equity and equity related instruments is 0.34 times Total market value of Foreign Securities/overseas ETFs and its percentage to Net Assets as at September 30, 2013

The total value of illiquid Equity Securities is Nil and its percentage to Net Assets is Nil.

Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

### BIRLA SUN LIFE INTERVAL INCOME FUND - QUARTERLY PLAN - SERIES I (AN INTERVAL INCOME SCHEME)

		SEPTEMBERS	,		
Name of the Instrument	ISIN	Rating	Quantity	Market	% of
				Value (Rs.	Net
				in Lacs)	Assets
Money Market Instruments					
Certificate of Deposit					
IDBI Bank Ltd ** #	INE008A16QU7	CRISIL A1+	6,200	6,118.13	26.89
Axis Bank Ltd ** #	INE238A16TD0	CRISIL A1+	6,200	6,118.13	26.89
The Jammu & Kashmir Bank Ltd ** #	INE168A16GP0	CRISIL A1+	3,500	3,453.57	15.18
Sub Total				15,689.83	68.95
Commercial Paper					
Indian Oil Corporation Ltd ** #	INE242A14DS9	ICRA A1+	1,360	6,723.83	29.55
Sub Total				6,723.83	29.55
Freasury Bill					
91Days Tbill (MD 15/11/2013)	IN002013X196	SOV	330,000	326.11	1.43
Sub Total				326.11	1.43
Total				22,739.77	99.94
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				16.00	0.07
Sub Total				16.00	0.07
Total				16.00	0.07
Net Receivables / (Payables)				(1.50)	-0.01
GRAND TOTAL				22,754.27	100.00
** Thinly Traded/Non Traded Securi	tv				
,	•				

(1)

The provision made for Non Performing Assets as of September 30, 2013 is Nil. Plan/Option wise Net Asset Values are as follows:

As on September 30, 2013 As on March 28, 2013  $\bullet$ Regular Plan - Dividend Option Rs. 10.1386 Rs. 10.0915 Regular Plan - Growth Option Rs. 16.1691 Rs. 15.4213 Institutional Plan - Dividend Option^ Rs. 10.1397 Rs. 10.0915 Institutional Plan - Growth Option ^^ Rs. 13.1913 Rs. 12.5787 Direct Plan - Dividend Option Rs. 10.1611 Rs. 10.0915 Rs. 16.1711 Rs. 15.4213 Direct Plan - Growth Option

· NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day ^^Plan(s) discontinued from accepting subscriptions w.e.f October 01, 2012. (3) Dividend in the following plans was declared during the half year ended September 30, 2013

Institutional Plan - Dividend Option

Regular Plan - Dividend Option Rs. 0.4320 Rs. 0.4320 Direct Plan - Dividend Option Rs. 0.2223 Details of derivatives exposure and transaction:

Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired.

Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared

 $Hedging\ Position\ through\ Put\ Options\ as\ on\ September\ 30,\ 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 30,\ 2013\ there\ were\ no\ hedging\ transactions\ through\ option\ which\ have\ exercised/expired.$  $Other than \ Hedging \ Positions \ through \ Options \ as \ on \ September \ 30,2013 \ is \ Nil \ and \ for \ the \ half \ year \ ended$ September 30, 2013 there were no non-hedging transactions through options which have been exercised.

Hedging Positions through swaps as on September 30, 2013 is Nil. The Average Maturity Period of the Portfolio is 0.13 years.

Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

(a) Listed / awaiting listing on Stock Exchange 9.60% Hindalco Industries Ltd \*\* INE038A07274 CRISIL AA+ 19,487.40 2,000 3.16 8.29% Power Finance Corporation Ltd \*\* INE134E08FU3 CRISIL AAA 1,000 9,769.69 8.99% Aditya Birla Nuvo Ltd \*\* 1.57 INE069A08038 9,639.13 9.55% Hindalco Industries Ltd \*\* INE038A07258 CRISIL AA+ 950 9.245.66 1.50 9.55% Hindalco Industries Ltd \*\* INE038A07266 CRISIL AA+ 950 9,221.09 1.50 9.97% Power Finance Corporation Ltd (FRN) \*\* 9.45% IDBI Bank Ltd \*\* INE008A08T95 CRISIL AA+ 2,450.41 10.95% Reliance Gas INE657I07027 CRISIL AAA 100 1,050.79 0.17 INE090A08KY7 CRISIL AAA 9.98% ICICI Bank Ltd \*\* 305.38 0.05 11.35% IDBI Bank Ltd \*\* INE008A08Q64 CRISIL AA+ 210.17 0.03 63,964.49 (b) Privately placed / Unlisted 9.69% Tata Sons Ltd \*\* # INE895D08451 CRISIL AAA 500 4,903.63 0.80 Sub Total 4,903.63 Total 68,868.12 11.18 Government Securities 8.28% GOI (M/D 21/09/2027) IN0020070069 166,500,000 155,261.25 7.16% GOI (MD 20/05/2023) IN0020130012 159,500,000 143,039.60 23.23 6.46 9.49% Kerala SDL (MD 01/08/2023) IN2020130067 40.000.000 39,777.93 IN0020120047 8.20% GOI (MD 24/09/2025) SOV 34,500,000 31,860.75 5.17 8.32% GOI (MD 02/08/2032) IN0020070044 25,000,000 22,847.50 9.51% Maharashtra SDL (MD 11/09/2023) IN2220130073 15,517,500 15,480.65 2.51 8.12% GOI (MD 10/12/2020) IN0020120054 16.000.000 15,201.60 2.47 9.54% Karnataka SDL IN1920130045 14,500,000 14,498.17 2.35 (MD 11/09/2023) 1.44% GOI Inflation Indexed bond 14,185,500 11,800.18 1.92 IN0020130046 (MD 05/06/2023) 9.55% Tamilnadu SDL IN3120130098 6,656,300 6,645.05 1.08 (MD 11/09/2023) 8.68% Gujarat SDL (MD 06/02/2023) IN1520120149 6,914,900 1.06 7.28% GOI (MD 03/06/2019) 7,000,000 IN0020130038 6,475.00 9.5% Gujrat SDL (MD 11/09/2023) IN1520130072 4,000,000 3,992.80 0.65 8.65% Kerala SDL (MD 09/01/2023) IN2020120084 3,765.52 4,000,000 0.61 8.6% Kerala SDL (MD 23/01/2023) IN2020120092 2,000,000 0.30 8.51% Punjab SDL (MD 10/04/2023) IN2820130010 823,700 771.48 0.13 9.65% Karnatak SDL IN1920130037 500,000 504.05 0.08 (MD 14/08/2023) 8.64% Jharkhand SDL IN3720120069 264,400 247.70 0.04 (MD 06/03/2023) 8.90% Maharashtra SDL (MD 21/11/2022) IN2220120066 187.07 0.03 195,500 480,778.78 78.07 Commercial Paper Power Finance Corporation Ltd \*\* # INE134E14535 CRISIL A1+ 18,479.73 4,000 3.00 18,479.73 3.00 Treasury Bill 38 Days CMB Tbill (MD 14/10/2013) IN002013U135 SOV 10,581,750 10,542.27 10,542.27 29,022.00 4.71 CBLO / Reverse Repo Clearing Corporation of India Ltd 35,419.00 35,419.00 Sub Total Total 35,419.00 5.75 Net Receivables / (Payables) 1,759.36 0.29 GRAND TOTAL 615,847.26 100.00

(AN OPEN ENDED INCOME SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013

Rating

Name of the Instrument

FRN - Floating Rate Note
\*\* Thinly Traded / Non Traded Security # Unlisted Security

The provision made for Non Performing Assets as of September 30, 2013 is Nil. (2)

Direct Plan - Quarterly Dividend Option

Plan/Option wise Net Asset Values are as follows: As on March 28, 2013 • Regular Plan - Growth Option Rs. 52.2599 Rs. 53.1973 Rs. 12.5724 Regular Plan - Quarterly Dividend Option Rs. 11.9885 Discipline Advantage Plan + Rs. 53.2844 Direct Plan - Growth Option Rs. 52.5392

• NAVs at the beginning of the period is as of 28th March 2013, March 31, 2012 being a non business day. + This option has been suspended for further subscriptions w.e.f. May 21, 2010.

Dividend in the following plans was declared during the half year ended September 30, 2013

Per Unit (Rs.) Regular Plan - Quarterly Dividend Option Direct Plan - Quarterly Dividend Option Rs. 0.3710 Details of derivatives exposure and transaction:

Rs. 12.1179

Rs. 12.6392

Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired. Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared the september 30, 2013 there were no non-hedging transactions through futures which have been squared to the september 30, 2013 the september

Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired. ions as on September 30, 2013 is Nil and for the half year ended

September 30, 2013 there were no non-hedging transactions through options which have been exercised. expired.

Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil

Hedging Positions through swaps as on September 30, 2013 is Nil. The Average Maturity Period of the Portfolio is 9.81 years

(AN INTERVAL INCOME SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013						
Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs. in Lacs)	% of Net Assets	
Money Market Instruments						
Certificate of Deposit						
Kotak Mahindra Bank Ltd ** #	INE237A16ST0	CRISIL A1+	900	876.03	28.7€	
ICICI Bank Ltd ** #	INE090A16XM8	ICRA A1+	900	875.92	28.7	
The Jammu & Kashmir Bank Ltd ** #	INE168A16FB2	CRISIL A1+	900	875.85	28.7	
Yes Bank Ltd ** #	INE528G16SO0	CRISIL A1+	400	389.27	12.7	
Sub Total				3,017.07	99.0	
Total				3,017.07	99.0	
CBLO / Reverse Repo						
Clearing Corporation of India Ltd				29.00	0.9	
Sub Total				29.00	0.9	
Total				29.00	0.9	
Net Receivables / (Payables)				0.08		
GRAND TOTAL				3,046.15	100.0	

\$ Less Than 0.01% of Net Asset Value

expired.

The provision made for Non Performing Assets as of September 30, 2013 is Nil. Plan/Option wise Net Asset Values are as follows:

As on September 30, 2013 As on March 28, 2013 Plan Regular Plan - Growth Option Regular Plan - Dividend Option Rs. 10.5801 Rs. 10.1575 Regular Plan - Quarterly Dividend Option Rs. 10.2516 Rs. 10.0374 Rs. 10.5836 Direct Plan - Growth Option Rs. 10.1587 NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.

 $(3) \qquad \text{Dividend in the following plans was declared during the half year ended September 30, 2013}.$ 

Regular Plan - Quarterly Dividend Option

Details of derivatives exposure and transaction Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and September 30, 2013 is Nil a

30, 2013 there were no hedging transactions through futures which have been squared off/expired. Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared

Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through option which have exercised/expired. Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/

Hedging Positions through swaps as on September 30, 2013 is Nil.

The Average Maturity Period of the Portfolio is 0.28 years Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil

# ☑ Over 2.2 million investor scheme accounts



Market Value (Rs.

in Lacs)

3,258.72

3.221.28

608,254

208.362

Net

8.20

This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September, 2013.

### Half Yearly Portfolio Statement as at September 30, 2013

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996) BIRLA SUN LIFE INDEX FUND

(AN OPEN ENDED EOUITY LINKED SAVINGS SCHEME (ELSS))

PORTFOLIO AS ON SEPTEMBER 30, 2013

(2) Plan/Option wise Net Asset Values are as follows: Plan As on September 30, 2013 As on Regular Plan - Growth Option Rs. 58.6341	Market Value (Rs. in Lacs)	s. Net
ITC Lid   INE09A01025   Software   288		
Infosys Ltd		
Reliance Industries Ltd   INE002A01018   Petroleum Products   976   Housing Development Finance   1NE001A01036   Finance   908   Corporation Ltd   1NE001A01036   Finance   908   Corporation Ltd   1NE004A010120   Banks   638   1.072   ICCIC I Bank Ltd   1NE003A010127   Consumer Non Durables   608   Lature   1.072   ICCIC I Bank Ltd   1NE030A01027   Consumer Non Durables   608   1.072   ICCIC I Bank Ltd   1NE018A01030   Construction Project   1.044   1.044   ICCIC I Bank Ltd   1NE018A01030   Construction Project   1.044   ICCIC I Bank I Bank of India   1.045   ICCIC I Bank of India   1.045   ICCID I Bank of India   1.045   ICCID I Bank of India   1.045   ICCID I Bank of India   1.045   ICCID I Bank of India   1.045   ICCID I Bank of India   1.045   ICCID I Bank of India   1.045   ICCID I Bank of India   1.045   ICCID I Bank of India   1.045   ICCID I Bank of India   1.045   ICCID I Bank of India   1.045   ICCID I Bank of India   1.045   ICCID I Bank of India   1.045   ICCID		
Housing Development Finance   Optoparation Ltd   INE040A01026   Banks   Optoparation Ltd   INE040A01026   Banks   Optoparation Ltd   INE040A01026   Banks   Optoparation Ltd   INE040A01027   Onsurer Non Durables   Optoparation Ltd   INE030A01027   Construction Project   April Ltda   Optoparation Ltd   INE030A01027   Construction Project   April Ltda   Optoparation Ltd   INE018A01030   Onstruction Project   April Ltda   Optoparation Ltd   INE13A01022   Auto   Optoparation Ltd   INE13A01022   Optoparation Ltd   Optoparation Ltd   INE13A01029   Optoparation Ltd   Optoparation Ltd   INE13A01029   Optoparation Ltd   INE044A01036   Pharmaceuticals   April Ltda   INE03A01020   Auto   Optoparation Ltd   INE03A01020   Optopa		
Corporation Ltd		
CICIC Bank Ltd	0.54	1 0.57
Tatla Consultancy Services Ltd	2 6.36	6 5.83
Hindustan Unilever Ltd	6.02	2 5.52
Larsen & Toubro Ltd         INE018A01030         Construction Project         478           Tata Motors Ltd         INE155A01022         Auto         1,044           Sun Pharmaceuticals Industries Ltd         INE213A01029         Oil         1,045           State Bank of India         INE002A01012         Banks         153           Bharti Airel Ltd         INE39701024         Telecom - Services         707           Mahindra & Mahindra Ltd         INE01A01026         Auto         271           Dr. Reddy'S Laboratories Ltd         INE050A01022         Software         376           HCL Technologies Ltd         INE860A01027         Software         155           Bajaj Auto Ltd         INE917101010         Auto         88           Kotak Mahindra Bank Ltd         INE237A01028         Banks         154           Kotak Mahindra Bank Ltd         INE326A01036         Pharmaceuticals         30           Kotak Mahindra Bank Ltd         INE326A01036         Pharmaceuticals         221           Kotak Mahindra Bank Ltd         INE059A01026         Pharmaceuticals         240           Kotak Mahindra Bank Ltd         INE059A01026         Pharmaceuticals         240           Kotak Mahindra Ltd         INE059A01026         Auto         5		
Tata Motors Ltd		
Oil & Natural Gas Corporation Ltd         INE044A01036         Pharmaceuticals         1,045           Sun Pharmaceuticals Industries Ltd         INE044A01036         Pharmaceuticals         4,44           State Bank of India         INE062A01012         Banks         153           Bharti Airtel Ltd         INE037D01024         Telecom - Services         707           Mahindra & Mahindra Ltd         INE075A01022         Software         370           Wippo Ltd         INE075A01022         Software         376           HCL Technologies Ltd         INE860A01027         Software         376           Baigi Auto Ltd         INE237A01028         Banks         158           Kotak Mahindra Bank Ltd         INE237A01028         Banks         154           Kotak Mahindra Bank Ltd         INE237A01026         Banks         154           Kotak Mahindra Bank Ltd         INE326A01037         Pharmaceuticals         300           Kotak Mahindra Bank Ltd         INE059A01026         Auto         56           Cipla Ltd         INE059A01026         Auto         56           Maruti Suzuki India Ltd         INE325A01026         Auto         56           Call India Ltd         INE058A01012         Perrous Metals         394		
Sun Pharmaceuticals Industries Ltd State Bank of India NE062A01012 Banks 153 Bharri Airet Ltd NE057A010122 Banks 153 Bharri Airet Ltd NE101A01026 Auto 271 Mahindra & Mahindra Ltd NE059A01023 Pharmaceuticals 75 Wipro Ltd NE075A01022 Software 376 HCL Technologies Ltd NE860A01027 Software 155 Bajaj Auto Ltd NE237A01028 Banks 221 Bajaj Auto Ltd NE237A01028 Banks 221 Cipla Ltd NE237A01028 Banks 221 Cipla Ltd NE237A01028 Banks 221 Cipla Ltd NE059A01026 Pharmaceuticals 300 Asia Pants Ltd NE023A01026 Pharmaceuticals 300 Asia Pants Ltd NE023A01026 Consumer Non Durables 270 Lupin Ltd NE032A01037 Pharmaceuticals 300 Asia Pants Ltd NE032A01026 Pharmaceuticals 300 Asia Pants Ltd NE032A01037 Pharmaceuticals 300 NTPC Ltd NE33E01010 Power Non Durables 370 Coal India Ltd NE032E01014 Minerals/Mining 373 Maruti Suzuki India Ltd NE032E01014 Minerals/Mining 373 Maruti Suzuki India Ltd NE032E01014 Minerals/Mining 373 Maruti Suzuki India Ltd NE032E01014 Minerals/Mining 373 Maruti Suzuki India Ltd NE034A01012 Ferrous Metals 394 Ultratech Cement Ltd NE047A01013 Cement 38 Maruti Mustries Ltd NE047A01013 Cement 38 Maruti Mustries Ltd NE047A01013 Cement 38 Maruti Mustries Ltd NE038A01020 Non - Ferrous Metals 755 Cairn India Ltd NE038A01020 Non - Ferrous Metals 755 Cairn India Ltd NE038A01020 Non - Ferrous Metals 755 Cairn India Ltd NE038A01020 Non - Ferrous Metals 755 Cairn India Ltd NE038A01020 Non - Ferrous Metals 756 Maruti Arbuty Cement Ltd NE038A01020 Non - Ferrous Metals 756 Maruti Arbuty Cement Ltd NE038A01020 Non - Ferrous Metals 756 Maruti Arbuty Cement Ltd NE038A01020 Non - Ferrous Metals 756 Maruti Arbuty Cement Ltd NE038A01020 Non - Ferrous Metals 756 Maruti Arbuty Cement Ltd NE038A01020 Non - Ferrous Metals 756 Maruti Arbuty Cement Ltd NE038A01020 Non - Ferrous Metals 756 Maruti Arbuty Cement Ltd NE038A01020 Non - Ferrous Metals 756 Maruti Arbuty Cement 148 Maruti Arbuty Cement 148 Maruti Arbuty Cement 148 Maruti Arbuty Cement 148 Maruti Arbuty Cement 148 Maruti Arbuty Cement 148 Maruti Arbuty Cement 148 Maruti Arbuty Cement		
State Bank of India   INE062A01012   Banks   153		
Bharti Airtel Ltd		
Mahindra & Mahindra Ltd Dr. Reddy'S Laboratories Ltd		
Dr. Reddy'S Laboratories Ltd		
Wipro Ltd		
HCL Technologies Ltd		
Bajaj Auto Ltd         INE917101010         Auto         86           Axis Bank Ltd         INE233A01026         Banks         154           Kotak Mahindra Bank Ltd         INE237A01026         Banks         221           Cipla Ltd         INE059A01026         Pharmaceuticals         300           Asian Paints Ltd         INE059A01026         Consumer Non Durables         270           Lupin Ltd         INE336A01026         Auto         50           NTPC Ltd         INE158A01026         Auto         50           Coal India Ltd         INE522F01014         Minerals/Mining         373           Maruti Suzuki India Ltd         INE528F01010         Auto         75           Tata Steel Ltd         INE081A01012         Ferrous Metals         394           Ultratech Cement Ltd         INE481G01011         Cement         58           Grasim Industries Ltd         INE047A01013         Cement         38           Indusind Bank Ltd         INE095A01012         Banks         24           GAIL (India) Ltd         INE193A01019         Gas         265           Lair India Ltd         INE038A01020         Non - Ferrous Metals         75           Cairri India Ltd         INE038A01020         Non - Ferrous		
Axis Bank Ltd		
Cipla Ltd		
Asian Paints Ltd		
Lupin Ltd	1.30	0 1.19
Hero MotoCorp Ltd	1.24	4 1.14
NTPC Ltd	1.21	1 1.11
Coal India Ltd	5 1.13	3 1.03
Maruti Suzuki India Ltd         INE585B01010         Auto         75           Tata Steel Ltd         INE081A01012         Ferrous Metals         394           Ultratech Cement Ltd         INE481G01011         Cement         58           Grasim Industries Ltd         INE047A01013         Cement         38           Indusind Bank Ltd         INE095A01012         Banks         246           GAIL (India) Ltd         INE129A01019         Gas         266           Hindalco Industries Ltd         INE038A01020         Non - Ferrous Metals         759           Cairn India Ltd         INE1910H01017         Oil         258           Power Grid Corporation of India Ltd         INE752E01010         Power         836           Ambuja Cements Ltd         INE079A01024         Cement         448           Tata Power Company Ltd         INE245A01021         Power         956           Bharat Heavy Electricals Ltd         INE257A01026         Industrial Capital Goods         467           Infrastructure Development         INE043D01016         Finance         728           Finance Company Ltd         INE012A01025         Cement         56           NMDC Ltd         INE584A01023         Minerals/Mining         466           Ji	5 1.11	1 1.02
Tata Steel Ltd		
Ultratech Cement Ltd		
Grasim Industries Ltd		
Indusind Bank Ltd		
GAIL (India) Ltd		
Hindalco Industries Ltd		
Cairn India Ltd		
Power Grid Corporation of India Ltd Ambuja Cements Ltd INE079A01024 Cement Adaptiva Cements Ltd INE079A01024 Cement Adaptiva Cement INE045A01021 Power 956 Bharat Heavy Electricals Ltd INE257A01026 Industrial Capital Goods A67 Infrastructure Development INE043D01016 Infrastructure Development INE043D01016 Finance 728 Finance 728 Finance Company Ltd ACC Ltd INE012A01025 Cement 56 NMDC Ltd INE012A01025 Industrial Capital Goods A67 Infrastructure Development INE043D01016 Finance 728 Fi		
Ambuja Cements Ltd INE079A01024 Cement 448 Tata Power Company Ltd INE245A01021 Power 956 Bharat Heavy Electricals Ltd INE257A01026 Industrial Capital Goods 467 Infrastructure Development INE043D01016 Finance 728 Finance Company Ltd INE043D01016 Finance 728 Finance Company Ltd INE012A01025 Cement 56 NMDC Ltd INE012A01025 Cement 56 NMDC Ltd INE584A01023 Minerals/Mining 460 Jindal Steel & Power Ltd INE749A01030 Ferrous Metals 227 Bharat Petroleum Corporation Ltd INE029A01011 Petroleum Products 153 Bank of Baroda INE028A01013 Banks 100 Sesa Sterlite Ltd INE205A01025 Minerals/Mining 231 Punjab National Bank INE160A01014 Banks 75 Ranbaxy Laboratories Ltd INE205A01028 Pharmaceuticals 91 DLF Ltd INE271C01023 Construction 215 Jaiprakash Associates Ltd INE455F01025 Construction 215 Sub Total Total CBLO / Reverse Repo Clearing Corporation of India Ltd Sub Total Total Net Receivables / (Payables) GRAND TOTAL  Andustry classification as recommended by AMFI and wherever not available, internal cla Notes:  Plan As on September 30, 2013 is Nil. Regular Plan - Growth Option Rs. 58.6341		
Tata Power Company Ltd INE245A01021 Power 956 Bharat Heavy Electricals Ltd INE257A01026 Industrial Capital Goods 467 Infrastructure Development INE043D01016 Finance 728 Finance Company Ltd INE012A01025 Cement 56 NMDC Ltd INE584A01023 Minerals/Mining 466 Infrastructure Development INE043D01016 Finance 728 NMDC Ltd INE584A01023 Minerals/Mining 466 Industrial Capital INE029A01030 Ferrous Metals 227 Bharat Petroleum Corporation Ltd INE029A01011 Petroleum Products 153 Bank of Baroda INE028A01013 Banks 100 Sesa Sterlite Ltd INE205A01025 Minerals/Mining 231 Punjab National Bank INE160A01014 Banks 75 Ranbaxy Laboratories Ltd INE015A01028 Pharmaceuticals 91 DLF Ltd INE271C01023 Construction 215 Jaiprakash Associates Ltd INE455F01025 Construction 669 Sub Total (b) Unlisted Sub Total Total CBLO / Reverse Repo Clearing Corporation of India Ltd Sub Total Total Net Receivables / (Payables) GRAND TOTAL Andustry classification as recommended by AMFI and wherever not available, internal cla Notes:  Plan As on September 30, 2013 is Nil. Regular Plan - Growth Option Rs. 58.6341		
Bharat Heavy Electricals Ltd		
Infrastructure Development Finance Company Ltd ACC Ltd INE012A01025 Cement 56 NMDC Ltd INE584A01023 Minerals/Mining 460 NMDC Ltd INE749A01030 Ferrous Metals 227 Indial Steel & Power Ltd INE749A01030 Ferrous Metals 227 Bharat Petroleum Corporation Ltd INE029A01011 Petroleum Products 153 Bank of Baroda INE028A01013 Banks 100 Sesa Sterlite Ltd INE205A01025 Minerals/Mining 231 Punjab National Bank INE160A01014 Banks 75 Ranbaxy Laboratories Ltd INE015A01028 Pharmaceuticals 91 DLF Ltd INE271C01023 Construction 215 Jaiprakash Associates Ltd INE455F01025 Construction 665 Sub Total (b) Unlisted Sub Total Total Total Total Net Receivables / (Payables) GRAND TOTAL  Andustry classification as recommended by AMFI and wherever not available, internal cla Notes:  (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil. Plan As on September 30, 2013 As on Regular Plan - Growth Option Rs. 58.6341		
Finance Company Ltd  ACC Ltd INE012A01025 Cement 56  NMDC Ltd INE584A01023 Minerals/Mining 466  Jindal Steel & Power Ltd INE049A01030 Ferrous Metals 227  Bharat Petroleum Corporation Ltd INE029A01011 Petroleum Products 153  Bank of Baroda INE028A01013 Banks 100  Seas Sterlite Ltd INE025A01025 Minerals/Mining 231  Punjab National Bank INE160A01014 Banks 75  Ranbaxy Laboratories Ltd INE015A01028 Pharmaceuticals 91  DLF Ltd INE271C01023 Construction 215  Jaiprakash Associates Ltd INE455F01025 Construction 665  Sub Total  (b) Unlisted  Sub Total  Total  CBLO / Reverse Repo  Clearing Corporation of India Ltd  Sub Total  Total  Net Receivables / (Payables)  GRAND TOTAL  Andustry classification as recommended by AMFI and wherever not available, internal cla  Notes:  (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.  (2) Plan/Option wise Net Asset Values are as follows:  Plan As on September 30, 2013 As on Regular Plan - Growth Option Rs. 58.6341		
NMDC Ltd	0.01	. 0.07
Jindal Steel & Power Ltd INE749A01030 Ferrous Metals 227 Bharat Petroleum Corporation Ltd INE029A01011 Petroleum Products 153 Bank of Baroda INE028A01013 Banks 100 Sesa Sterlite Ltd INE205A01025 Minerals/Mining 231 Punjab National Bank INE160A01014 Banks 75 Ranbaxy Laboratories Ltd INE015A01028 Pharmaceuticals 91 DLF Ltd INE271C01023 Construction 215 Jaiprakash Associates Ltd INE455F01025 Construction 665 Sub Total (b) Unlisted Sub Total Total CBLO / Reverse Repo Clearing Corporation of India Ltd Sub Total Total Net Receivables / (Payables) GRAND TOTAL Andustry classification as recommended by AMFI and wherever not available, internal cla Notes:  (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil. (2) Plan/Option wise Net Asset Values are as follows: Plan Regular Plan - Growth Option Rs. 58.6341	0.62	2 0.57
Bharat Petroleum Corporation Ltd INE029A01011 Petroleum Products 153 Bank of Baroda INE028A01013 Banks 100 Sesa Sterlite Ltd INE025A01025 Minerals/Mining 231 Punjab National Bank INE160A01014 Banks 75 Ranbaxy Laboratories Ltd INE015A01028 Pharmaceuticals 91 DLF Ltd INE271C01023 Construction 215 Jaiprakash Associates Ltd INE455F01025 Construction 668 Sub Total (b) Unlisted Sub Total Total CBLO / Reverse Repo Clearing Corporation of India Ltd Sub Total Total Net Receivables / (Payables) GRAND TOTAL  Andustry classification as recommended by AMFI and wherever not available, internal cla Notes:  (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil. (2) Plan/Option wise Net Asset Values are as follows: Plan As on September 30, 2013 As on Regular Plan - Growth Option Rs. 58.6341	0.55	5 0.51
Bank of Baroda INE028A01013 Banks 100 Sesa Sterlite Ltd INE205A01025 Minerals/Mining 231 Punjab National Bank INE160A01014 Banks 75 Ranbaxy Laboratories Ltd INE015A01028 Pharmaceuticals 91 DLF Ltd INE271C01023 Construction 215 Jaiprakash Associates Ltd INE455F01025 Construction 665 Sub Total (b) Unlisted Sub Total Total CBLO / Reverse Repo Clearing Corporation of India Ltd Sub Total Total Total Net Receivables / (Payables) GRAND TOTAL  Andustry classification as recommended by AMFI and wherever not available, internal cla Notes:  (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil. (2) Plan/Option wise Net Asset Values are as follows: Plan As on September 30, 2013 As on Regular Plan - Growth Option Rs. 58.6341	7 0.53	3 0.49
Sesa Sterlite Ltd INE205A01025 Minerals/Mining 231 Punjab National Bank INE160A01014 Banks 75 Ranbaxy Laboratories Ltd INE015A01028 Pharmaceuticals 91 DLF Ltd INE271C01023 Construction 215 Jaiprakash Associates Ltd INE455F01025 Construction 669 Sub Total (b) Unlisted Sub Total Total CBLO / Reverse Repo Clearing Corporation of India Ltd Sub Total Total Net Receivables / (Payables) GRAND TOTAL  Andustry classification as recommended by AMFI and wherever not available, internal cla Notes:  (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil. (2) Plan/Option wise Net Asset Values are as follows: Plan As on September 30, 2013 As on Regular Plan - Growth Option Rs. 58.6341	0.51	1 0.47
Punjab National Bank INE160A01014 Banks 75 Ranbaxy Laboratories Ltd INE015A01028 Pharmaceuticals 91 DLF Ltd INE271C01023 Construction 215 Jaiprakash Associates Ltd INE455F01025 Construction 665 Sub Total (b) Unlisted Sub Total  CBLO / Reverse Repo Clearing Corporation of India Ltd Sub Total Total Net Receivables / (Payables) GRAND TOTAL  Andustry classification as recommended by AMFI and wherever not available, internal cla Notes: (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil. (2) Plan/Option wise Net Asset Values are as follows: Plan As on September 30, 2013 As on Regular Plan - Growth Option Rs. 58.6341		
Ranbaxy Laboratories Ltd INE015A01028 Pharmaceuticals 91 DLF Ltd INE271C01023 Construction 215 Jaiprakash Associates Ltd INE455F01025 Construction 665 Sub Total (b) Unlisted Sub Total  CBLO / Reverse Repo Clearing Corporation of India Ltd Sub Total Total Net Receivables / (Payables) GRAND TOTAL  Andustry classification as recommended by AMFI and wherever not available, internal cla Notes: (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil. (2) Plan/Option wise Net Asset Values are as follows: Plan As on September 30, 2013 As on Regular Plan - Growth Option Rs. 58.6341		
DLF Ltd INE271C01023 Construction 215 Jaiprakash Associates Ltd INE455F01025 Construction 669 Sub Total (b) Unlisted Sub Total Total CBLO / Reverse Repo Clearing Corporation of India Ltd Sub Total Total Net Receivables / (Payables) GRAND TOTAL Andustry classification as recommended by AMFI and wherever not available, internal class Notes:  (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil. (2) Plan/Option wise Net Asset Values are as follows: Plan Regular Plan - Growth Option Rs. 58.6341		
Jaiprakash Associates Ltd INE455F01025 Construction 669 Sub Total (b) Unlisted Sub Total Total CBLO / Reverse Repo Clearing Corporation of India Ltd Sub Total Total Total Net Receivables / (Payables) GRAND TOTAL Andustry classification as recommended by AMFI and wherever not available, internal cla Notes: (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil. (2) Plan/Option wise Net Asset Values are as follows: Plan Regular Plan - Growth Option Rs. 58.6341		
Sub Total (b) Unlisted Sub Total Total CBLO / Reverse Repo Clearing Corporation of India Ltd Sub Total Total Net Receivables / (Payables) GRAND TOTAL Andustry classification as recommended by AMFI and wherever not available, internal cla Notes: (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil. (2) Plan/Option wise Net Asset Values are as follows: Plan As on September 30, 2013 As on		
(b) Unlisted Sub Total Total CBLO / Reverse Repo Clearing Corporation of India Ltd Sub Total Total Total Total Net Receivables / (Payables) GRAND TOTAL Andustry classification as recommended by AMFI and wherever not available, internal cla Notes:  (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil. (2) Plan/Option wise Net Asset Values are as follows: Plan As on September 30, 2013 As on Regular Plan - Growth Option Rs. 58.6341		
Sub Total Total CBLO / Reverse Repo Clearing Corporation of India Ltd Sub Total Total Total Net Receivables / (Payables) GRAND TOTAL Andustry classification as recommended by AMFI and wherever not available, internal cla Notes: (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil. (2) Plan/Option wise Net Asset Values are as follows: Plan As on September 30, 2013 As on Regular Plan - Growth Option Rs. 58.6341	108.65	
Total  CBLO / Reverse Repo  Clearing Corporation of India Ltd  Sub Total  Total  Net Receivables / (Payables)  GRAND TOTAL  Andustry classification as recommended by AMFI and wherever not available, internal cla  Notes:  (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.  (2) Plan/Option wise Net Asset Values are as follows:  Plan  As on September 30, 2013  As on Regular Plan - Growth Option  Rs. 58.6341	NIL NIL	
CBLO / Reverse Repo Clearing Corporation of India Ltd Sub Total Total Net Receivables / (Payables) GRAND TOTAL  Andustry classification as recommended by AMFI and wherever not available, internal cla Notes: (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil. (2) Plan/Option wise Net Asset Values are as follows: Plan As on September 30, 2013 As on Regular Plan - Growth Option Rs. 58.6341	108.65	
Clearing Corporation of India Ltd  Sub Total  Total  Net Receivables / (Payables)  GRAND TOTAL  Andustry classification as recommended by AMFI and wherever not available, internal cla  Notes:  (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.  (2) Plan/Option wise Net Asset Values are as follows:  Plan  As on September 30, 2013  As on Regular Plan - Growth Option  Rs. 58.6341	100.02	, ,,,,0
Sub Total Total Net Receivables / (Payables) GRAND TOTAL ^Industry classification as recommended by AMFI and wherever not available, internal cla Notes: (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil. (2) Plan/Option wise Net Asset Values are as follows: Plan As on September 30, 2013 As on Regular Plan - Growth Option Rs. 58.6341	2.00	0 1.84
Total Net Receivables / (Payables) GRAND TOTAL  ^Industry classification as recommended by AMFI and wherever not available, internal cla Notes: (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil. (2) Plan/Option wise Net Asset Values are as follows: Plan As on September 30, 2013 As on Regular Plan - Growth Option Rs. 58.6341	2.00	
Net Receivables / (Payables)  GRAND TOTAL  *Industry classification as recommended by AMFI and wherever not available, internal class Notes:  (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.  (2) Plan/Option wise Net Asset Values are as follows:  Plan As on September 30, 2013 As on Regular Plan - Growth Option Rs. 58.6341	2.00	
GRAND TOTAL  ^Industry classification as recommended by AMFI and wherever not available, internal cla Notes:  (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.  (2) Plan/Option wise Net Asset Values are as follows:  Plan As on September 30, 2013 As on Regular Plan - Growth Option Rs. 58.6341	(1.68)	
^Industry classification as recommended by AMFI and wherever not available, internal cla Notes: (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil. (2) Plan/Option wise Net Asset Values are as follows: Plan As on September 30, 2013 As on Regular Plan - Growth Option Rs. 58.6341	108.97	
Notes: (1) The provision made for Non Performing Assets as of September 30, 2013 is Nil. (2) Plan/Option wise Net Asset Values are as follows: Plan As on September 30, 2013 As on Regular Plan - Growth Option Rs. 58.6341	ssification ha	s been used
(2) Plan/Option wise Net Asset Values are as follows: Plan As on September 30, 2013 As on Regular Plan - Growth Option Rs. 58.6341		
Plan     As on September 30, 2013     As on Regular Plan - Growth Option       Regular Plan - Growth Option     Rs. 58.6341		
Regular Plan - Growth Option Rs. 58.6341		
	n March 28,	2013 •
<ul> <li>NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a n</li> </ul>	Rs. 57.5359	1
	on-business d	day.
(3) No dividend was declared during the half year ended September 30, 2013.		
(4) Details of derivatives exposure and transaction:		
(a) Hedging Position through Futures as on September 30, 2013 is Nil and for the h		
30, 2013 there were no hedging transactions through futures which have been		
<ul> <li>Other than Hedging Position through Futures as on September 30, 2013 is Nil a September 30, 2013 there were no non-hedging transactions through futures' off/expired.</li> </ul>		

September 30, 2013 there were no hedging transactions through option which have exercised/expired Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/ expired.

Hedging Positions through swaps as on September 30, 2013 is Nil

During the current half year, the portfolio turnover ratio of equity and equity related instruments is 0.02 times Total market value of Foreign Securities/overseas ETFs and its percentage to Net Assets as at September 30, 2013

The total value of illiquid Equity Securities is Nil and its percentage to Net Assets is Nil. Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

BIRLA SUN LIFE GILT PLUS - REGULAR PLAN (AN OPEN ENDED GOVERNMENT SECURITIES SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013 Market Rating Value (Rs. Net Government Securities 7.16% GOI (MD 20/05/2023) IN0020130012 SOV 6,277.60 7,000,000 90.79 6,277.60 90.79 CBLO / Reverse Repo Clearing Corporation of India Ltd 430.00 6.22 Sub Total 430.00 6.22 Total 430.00 6.22 Net Receivables / (Payables) 206.47 GRAND TOTAL 6,914.07 100.00

^Industry classification as recommended by AMFI and wherever not available, internal classifica

The provision made for Non Performing Assets as of September 30, 2013 is Nil

Plan/Option wise Net Asset Values are as follows: As on September 30, 2013 As on March 28, 2013 • Regular Plan - Growth Option Rs. 36.0681 Rs. 36,9914 Regular Plan - Quarterly Dividend Option Rs. 11.8242 Rs. 12.3730 Direct Plan - Growth Option Rs. 36.1379 Rs. 37.0133 Rs. 12.4236 Direct Plan - Quarterly Dividend Option Rs. 11.8618 NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day

Dividend in the following plans was declared during the half year ended September 30, 2013. Per Unit (Rs.) Regular Plan - Quarterly Dividend Option Rs. 0.2570 Direct Plan - Quarterly Dividend Option Rs. 0.2580

Details of derivatives exposure and transaction Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired.

Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended

September 30, 2013 there were no hedging transactions through options which have exercised/expired. Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/

Hedging Positions through swaps as on September 30, 2013 is Nil The Average Maturity Period of the Portfolio is 8.75 years

Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil

Name of the Instrument   ISIN	9.51 7.39 6.87 6.04 5.56 5.19 5.00 3.26 3.02 2.41 2.27 2.26 2.12 1.94 1.93 1.59 1.55 1.54 1.33 1.46 1.36 1.34 1.18	Name of the Instrument   ISIN
Equity & Equity related	9.51 7.39 6.87 6.04 5.56 5.19 5.00 3.26 3.02 2.41 2.27 2.26 2.12 1.94 1.93 1.59 1.55 1.54 1.36 1.36 1.34	(a) Listed / awaiting listing on Stock Exchanges ING Vysya Bank Ltd INE166AC Bayer CropScience Ltd INE462AC ICRA Ltd INE725GC Hindustan Unilever Ltd INE030AC Honeywell Automation India Ltd INE671AC CRISIL Ltd INE007AC Bosch Ltd INE323AC Maruti Suzuki India Ltd INE585BO Oracle Financial Services INE881DC Software Ltd Pfizer Ltd INE159AC Bata India Ltd INE159AC ITC Ltd INE154AC ITC Ltd INE259AC Ambuja Cements Ltd INE259AC Ambuja Cements Ltd INE298AC Wabco India Ltd INE334D Kansai Nerolac Paints Ltd INE339AC Kansai Nerolac Paints Ltd Procter & Gamble Hygiene and INE179AC Health Care Ltd Wyeth Ltd INE351AC Sanofi India Ltd INE59AC Castrol India Ltd INE53AC Castrol India Ltd INE53AC Castrol India Ltd INE53AC Castrol India Ltd INE53AC Castrol India Ltd INE55AC Castrol India Ltd INE55AC Castrol India Ltd INE55AC Alstom India Ltd INE55AC Alstom India Ltd INE55AC INE55AC Alstom India Ltd INE55AC INE55AC Alstom India Ltd INE55AC INE55AC Alstom India Ltd INE55AC
(a) Listed / awaiting listing on Stock Exchanges           ITC Ltd         INE154A01025         Consumer Non Durables 57,667         196.30           Infosys Ltd         INE009A01021         Software         5,065         152.61           Reliance Industries Ltd         INE002A01018         Petroleum Products         17,249         141.86           Housing Development Finance Corporation Ltd         INE001A01036         Finance         16,323         124.75           Corporation Ltd         INE040A01026         Banks         19,363         114.83           ICICI Bank Ltd         INE090A01013         Banks         12,120         107.10           Tata Consultancy Services Ltd         INE467B01029         Software         5,356         103.25           Larsen & Toubro Ltd         INE018A01030         Construction Project         8,537         67.34           Tata Motors Ltd         INE155A01022         Auto         18,777         62,43           Oil & Natural Gas Corporation Ltd         INE213A01029         Oil         18,588         49.79           Sun Pharmaceuticals Industries Ltd         INE044A01036         Pharmaceuticals         7,903         46.89           Hindustan Unilever Ltd         INE030A01027         Consumer Non Durables         7,433 <td< td=""><td>7.39 6.87 6.04 5.56 5.19 5.00 3.26 3.02 2.41 2.27 2.26 2.12 1.94 1.93 1.59 1.55 1.54 1.53 1.46 1.36 1.34 1.18</td><td>ING Vysya Bank Ltd INE16AAC Bayer CropScience Ltd INE462AC ICRA Ltd INE725GC Hindustan Unilever Ltd INE030AC CRISIL Ltd INE030AC Bosch Ltd INE323AC Maruti Suzuki India Ltd INE53BAC Oracle Financial Services INE881DC Software Ltd Pfizer Ltd INE15AAC Glaxosmithkline Pharmaceuticals Ltd INE159AC Bata India Ltd INE159AC Bata India Ltd INE259AC Colgate Palmolive (India) Ltd INE259AC Ambuja Cements Ltd INE29AC Cummins India Ltd INE29AC Wabco India Ltd INE33AAC Waste India Ltd INE33AAC Waste India Ltd INE33AAC Waste India Ltd INE33AAC Waste India Ltd INE33AAC Kansai Nerolac Paints Ltd INE531AC FAG Bearings India Ltd INE33AAC FAG Bearings India Ltd INE53AAC FAG Bearings India Ltd INE53AAC FAG Bearings India Ltd INE53AAC Sanofi India Ltd INE53AAC Castrol India Ltd INE55AAC Alstom India Ltd INE55AAC Alstom India Ltd INE55AAC Alstom India Ltd INE172AC Alstom India Ltd INE878AAC Alstom In</td></td<>	7.39 6.87 6.04 5.56 5.19 5.00 3.26 3.02 2.41 2.27 2.26 2.12 1.94 1.93 1.59 1.55 1.54 1.53 1.46 1.36 1.34 1.18	ING Vysya Bank Ltd INE16AAC Bayer CropScience Ltd INE462AC ICRA Ltd INE725GC Hindustan Unilever Ltd INE030AC CRISIL Ltd INE030AC Bosch Ltd INE323AC Maruti Suzuki India Ltd INE53BAC Oracle Financial Services INE881DC Software Ltd Pfizer Ltd INE15AAC Glaxosmithkline Pharmaceuticals Ltd INE159AC Bata India Ltd INE159AC Bata India Ltd INE259AC Colgate Palmolive (India) Ltd INE259AC Ambuja Cements Ltd INE29AC Cummins India Ltd INE29AC Wabco India Ltd INE33AAC Waste India Ltd INE33AAC Waste India Ltd INE33AAC Waste India Ltd INE33AAC Waste India Ltd INE33AAC Kansai Nerolac Paints Ltd INE531AC FAG Bearings India Ltd INE33AAC FAG Bearings India Ltd INE53AAC FAG Bearings India Ltd INE53AAC FAG Bearings India Ltd INE53AAC Sanofi India Ltd INE53AAC Castrol India Ltd INE55AAC Alstom India Ltd INE55AAC Alstom India Ltd INE55AAC Alstom India Ltd INE172AC Alstom India Ltd INE878AAC Alstom In
ITC Ltd	7.39 6.87 6.04 5.56 5.19 5.00 3.26 3.02 2.41 2.27 2.26 2.12 1.94 1.93 1.59 1.55 1.54 1.53 1.46 1.36 1.34 1.18	Bayer CropScience Ltd INE462A0 ICRA Ltd INE725G0 Hindustan Unilever Ltd INE030A0 Honeywell Automation India Ltd INE671A0 CRISIL Ltd INE32A0 Maruti Suzuki India Ltd INE585B0 Oracle Financial Services INE881D0 Software Ltd INE159A0 Bata India Ltd INE159A0 Bata India Ltd INE159A0 Bata India Ltd INE159A0 Colgate Palmolive (India) Ltd INE259A0 Cummins India Ltd INE298A0 Wabco India Ltd INE39A0 Wabco India Ltd INE39A0 Kansai Nerolac Paints Ltd INE39A0 Kansai Nerolac Paints Ltd INE39A0 Kansai Nerolac Paints Ltd INE39A0 Kansai Nerolac Paints Ltd INE39A0 Kansai Nerolac Paints Ltd INE531A0 FAG Bearings India Ltd INE378A0 FAG Bearings India Ltd INE513A0 Sanofi India Ltd INE59A0 Castrol India Ltd INE59A0 Castrol India Ltd INE59A0 Castrol India Ltd INE59A0 Castrol India Ltd INE59A0 Castrol India Ltd INE59A0 Castrol India Ltd INE59A0 Castrol India Ltd INE59A0 Castrol India Ltd INE59A0 Castrol India Ltd INE59A0 Castrol India Ltd INE59A0 Castrol India Ltd INE59A0 Castrol India Ltd INE172A0 Alstom India Ltd INE172A0 Alstom India Ltd INE172A0 Alstom India Ltd INE878A0
Reliance Industries Ltd         INE002A01018         Petroleum Products         17,249         141.86           Housing Development Finance Corporation Ltd         INE001A01036         Finance         16,323         124.75           HDFC Bank Ltd         INE040A01026         Banks         19,363         114.83           ICICI Bank Ltd         INE090A01013         Banks         12,120         107.10           Tata Consultancy Services Ltd         INE467B01029         Software         5,356         103.25           Larsen & Toubro Ltd         INE155A01022         Auto         18,777         62.43           Oil & Natural Gas Corporation Ltd         INE213A01029         Oil         18,588         49.79           Sun Pharmaceuticals Industries Ltd         INE044A01036         Pharmaceuticals         7,903         46.89           Hindustan Unilever Ltd         INE030A01027         Consumer Non Durables         7,433         46.64           State Bank of India         INE062A01012         Banks         2,707         43.72           Mahindra & Mahindra Ltd         INE397D01024         Telecom - Services         12,522         39.90           Axis Bank Ltd         INE238A01026         Banks         3,258         32.84           NTPC Ltd         INE075A01022 </td <td>6.87 6.04 5.56 5.19 5.00 3.26 3.02 2.41 2.27 2.26 2.12 1.94 1.93 1.59 1.55 1.54 1.53 1.46 1.36 1.34</td> <td>Hindustan Unilever Ltd INE030AC Honeywell Automation India Ltd INE671AC CRISIL Ltd INE007AC Bosch Ltd INE323AC Oracle Financial Services INE881DC Software Ltd Pfizer Ltd INE159AC Bata India Ltd INE159AC Bata India Ltd INE159AC ITC Ltd INE154AC Colgate Palmolive (India) Ltd INE259AC Ambuja Cements Ltd INE298AC Wabco India Ltd INE298AC Wabco India Ltd INE298AC Wabco India Ltd INE34AC Vaste India Ltd INE34AC Vaste India Ltd INE34AC Vaste India Ltd INE34AC Vaste India Ltd INE34AC Vaste India Ltd INE34AC Vaste India Ltd INE34AC Vaste India Ltd INE34AC Vaste India Ltd INE34AC Vaste India Ltd INE34AC Vaste India Ltd INE34AC Vaste India Ltd INE34AC Vaste India Ltd INE34AC Vaste India Ltd INE34AC Vaste India Ltd INE34AC Vaste India Ltd INE34AC Vaste India Ltd INE34AC Vaste India Ltd INE34AC Vaste India Ltd INE34AC Vaste INE34</td>	6.87 6.04 5.56 5.19 5.00 3.26 3.02 2.41 2.27 2.26 2.12 1.94 1.93 1.59 1.55 1.54 1.53 1.46 1.36 1.34	Hindustan Unilever Ltd INE030AC Honeywell Automation India Ltd INE671AC CRISIL Ltd INE007AC Bosch Ltd INE323AC Oracle Financial Services INE881DC Software Ltd Pfizer Ltd INE159AC Bata India Ltd INE159AC Bata India Ltd INE159AC ITC Ltd INE154AC Colgate Palmolive (India) Ltd INE259AC Ambuja Cements Ltd INE298AC Wabco India Ltd INE298AC Wabco India Ltd INE298AC Wabco India Ltd INE34AC Vaste India Ltd INE34AC Vaste India Ltd INE34AC Vaste India Ltd INE34AC Vaste India Ltd INE34AC Vaste India Ltd INE34AC Vaste India Ltd INE34AC Vaste India Ltd INE34AC Vaste India Ltd INE34AC Vaste India Ltd INE34AC Vaste India Ltd INE34AC Vaste India Ltd INE34AC Vaste India Ltd INE34AC Vaste India Ltd INE34AC Vaste India Ltd INE34AC Vaste India Ltd INE34AC Vaste India Ltd INE34AC Vaste India Ltd INE34AC Vaste India Ltd INE34AC Vaste INE34
Housing Development Finance Corporation Ltd	5.56 5.19 5.00 3.26 3.02 2.41 2.27 2.26 2.12 1.94 1.93 1.59 1.55 1.54 1.46 1.36 1.34	Honeywell Automation India Ltd INE671AC CRISIL Ltd INE007AC Bosch Ltd INE323AC Maruti Suzuki India Ltd INE585B0 Oracle Financial Services INE881DC Software Ltd Pfizer Ltd INE182AC Glaxosmithkline Pharmaceuticals Ltd INE159AC Bata India Ltd INE154AC Colgate Palmolive (India) Ltd INE259AC Ambuja Cements Ltd INE079AC Cummins India Ltd INE298AC Wabco India Ltd INE342IO Nestle India Ltd INE342IO Nestle India Ltd INE342IO Washoo India Ltd INE34AC Castrol Edmit INE079AC Edmit Ltd INE34AC FAG Bearings India Ltd INE34AIO Sanofi India Ltd INE31AC Sanofi India Ltd INE51AC Castrol India Ltd INE53AC Castrol India Ltd INE529AC Alstom India Ltd INE529AC Alstom India Ltd INE529AC Alstom India Ltd INE529AC Loastrol India Ltd INE529AC Alstom India Ltd INE172AC Alstom India Ltd INE78AC
Corporation Ltd	5.56 5.19 5.00 3.26 3.02 2.41 2.27 2.26 2.12 1.94 1.93 1.59 1.55 1.54 1.53 1.46 1.36 1.34 1.18	CRISIL Ltd INE007AC Bosch Ltd INE323AC Maruti Suzuki India Ltd INE585BO Oracle Financial Services INE881DC Software Ltd Pfizer Ltd INE182AC Glaxosmithkline Pharmaceuticals Ltd INE159AC Bata India Ltd INE159AC ITC Ltd INE154AC Colgate Palmolive (India) Ltd INE259AC Ambuja Cements Ltd INE259AC Cummins India Ltd INE298AC Wabco India Ltd INE34ALO Nestle India Ltd INE34ALO Kansai Nerolac Paints Ltd INE33AC Kansai Nerolac Paints Ltd INE31AC FAG Bearings India Ltd INE378AC FAG Bearings India Ltd INE513AC Sanofi India Ltd INE513AC Sanofi India Ltd INE554AC Castrol India Ltd INE554AC Castrol India Ltd INE554AC Alstom India Ltd INE554AC
HDFC Bank Ltd	5.19 5.00 3.26 3.02 2.41 2.27 2.26 2.12 1.94 1.93 1.59 1.55 1.54 1.36 1.36 1.34	Maruti Suzuki India Ltd INE585B0 Oracle Financial Services INE881DC Software Ltd Pfizer Ltd INE182AC Glaxosmithkline Pharmaceuticals Ltd INE159AC Bata India Ltd INE176AC ITC Ltd INE154AC Colgate Palmolive (India) Ltd INE259AC Ambuja Cements Ltd INE298AC Cummins India Ltd INE298AC Wabco India Ltd INE298AC Wabco India Ltd INE298AC Wabco India Ltd INE342JO Nestle India Ltd INE343AC Procter & Gamble Hygiene and INE179AC Health Care Ltd Wyeth Ltd INE378AC FAG Bearings India Ltd INE513AC Sanofi India Ltd INE529AC Castrol India Ltd INE529AC Castrol India Ltd INE529AC Castrol India Ltd INE528AC
ICICI Bank Ltd         INE090A01013         Banks         12,120         107.10           Tata Consultancy Services Ltd         INE467B01029         Software         5,356         103.25           Larsen & Toubro Ltd         INE018A01030         Construction Project         8,537         67.34           Tata Motors Ltd         INE155A01022         Auto         18,777         62.43           Oil & Natural Gas Corporation Ltd         INE213A01029         Oil         18,588         49.79           Sun Pharmaceuticals Industries Ltd         INE044A01036         Pharmaceuticals         7,903         46.89           Hindustan Unilever Ltd         INE030A01027         Consumer Non Durables         7,433         46.64           State Bank of India         INE062A01012         Banks         2,707         43.72           Mahindra & Mahindra Ltd         INE101A01026         Auto         4,843         40.07           Bharti Airtel Ltd         INE397D01024         Telecom - Services         12,522         39.90           Axis Bank Ltd         INE238A01026         Banks         3,258         32.84           NTPC Ltd         INE733E01010         Power         21,657         31.93           Wipro Ltd         INE075A01022         Software         6,	5.19 5.00 3.26 3.02 2.41 2.27 2.26 2.12 1.94 1.93 1.59 1.55 1.54 1.36 1.36 1.34	Oracle Financial Services Software Ltd Pfizer Ltd INE182AG Glaxosmithkline Pharmaceuticals Ltd INE159AG Bata India Ltd INE176AG ITC Ltd INE154AG Colgate Palmolive (India) Ltd INE259AG Ambuja Cements Ltd INE298AG Cummins India Ltd INE298AG Wabco India Ltd INE298AG Wabco India Ltd INE394AG Kansai Nerolae Paints Ltd INE394AG Kansai Nerolae Paints Ltd INE531AG Procter & Gamble Hygiene and Health Care Ltd Wyeth Ltd INE378AG FAG Bearings India Ltd INE513AG Sanofi India Ltd INE529AG Castrol India Ltd INE529AG Castrol India Ltd INE529AG Castrol India Ltd INE529AG Castrol India Ltd INE529AG Castrol India Ltd INE528AG
Tata Consultancy Services Ltd         INE467B01029         Software         5,356         103.25           Larsen & Toubro Ltd         INE018A01030         Construction Project         8,537         67.34           Tata Motors Ltd         INE155A01022         Auto         18,777         62.43           Oil & Natural Gas Corporation Ltd         INE213A01029         Oil         18,588         49.79           Sun Pharmaceuticals Industries Ltd         INE044A01036         Pharmaceuticals         7,903         46.89           Hindustan Unilever Ltd         INE030A01027         Consumer Non Durables         7,433         46.64           State Bank of India         INE062A01012         Banks         2,707         43.72           Mahindra & Mahindra Ltd         INE101A01026         Auto         4,843         40.07           Bharti Airtel Ltd         INE397D01024         Telecom - Services         12,522         39.90           Axis Bank Ltd         INE238A01026         Banks         3,258         32.84           NTPC Ltd         INE733E01010         Power         21,657         31.93           Wipro Ltd         INE075A01022         Software         6,700         31.69           Dr. Reddy'S Laboratories Ltd         INE860A01027         Software	5.00 3.26 3.02 2.41 2.27 2.26 2.12 1.94 1.93 1.59 1.55 1.54 1.36 1.36 1.34	Pfizer Ltd INE182AC Glaxosmithkline Pharmaceuticals Ltd INE159AC Bata India Ltd INE176AC ITC Ltd INE154AC Colgate Palmolive (India) Ltd INE259AC Ambuja Cements Ltd INE298AC Cummins India Ltd INE298AC Wabco India Ltd INE342JO Nestle India Ltd INE343AC Kansai Nerolac Paints Ltd INE33AC Frocter & Gamble Hygiene and INE179AC Health Care Ltd Wyeth Ltd INE31AC Sanofi India Ltd INE51AC Sanofi India Ltd INE51AC Castrol India Ltd INE59AC Castrol India Ltd INE529AC Alstom India Ltd INE172AC Alstom India Ltd INE172AC
Larsen & Toubro Ltd         INE018A01030         Construction Project         8,537         67.34           Tata Motors Ltd         INE155A01022         Auto         18,777         62.43           Oil & Natural Gas Corporation Ltd         INE213A01029         Oil         18,588         49.79           Sun Pharmaceuticals Industries Ltd         INE044A01036         Pharmaceuticals         7,903         46.89           Hindustan Unilever Ltd         INE030A01027         Consumer Non Durables         7,433         46.64           State Bank of India         INE062A01012         Banks         2,707         43.72           Mahindra & Mahindra Ltd         INE101A01026         Auto         4,843         40.07           Bharti Airtel Ltd         INE397D01024         Telecom - Services         12,522         39.90           Axis Bank Ltd         INE238A01026         Banks         3,258         32.84           NTPC Ltd         INE733E01010         Power         21,657         31.93           Wipro Ltd         INE075A01022         Software         6,700         31.69           Dr. Reddy'S Laboratories Ltd         INE860A01027         Software         2,781         30.24           Bajaj Auto Ltd         INE917I01010         Auto         1,409 </td <td>3.26 3.02 2.41 2.27 2.26 2.12 1.94 1.93 1.59 1.55 1.54 1.36 1.36 1.34</td> <td>Glaxosmithkline Pharmaceuticals Ltd INE159A0 Bata India Ltd INE176A0 ITC Ltd INE154A0 Colgate Palmolive (India) Ltd INE259A0 Ambuja Cements Ltd INE079A0 Cummins India Ltd INE298A0 Wabco India Ltd INE298A0 Wabco India Ltd INE342J0 Nestle India Ltd INE342J0 Nestle India Ltd INE331A0 Procter &amp; Gamble Hygiene and INE179A0 Health Care Ltd Wyeth Ltd INE31A0 FAG Bearings India Ltd INE513A0 Sanofi India Ltd INE513A0 Castrol India Ltd INE529A0 Castrol India Ltd INE529A0 Castrol India Ltd INE172A0 Alstom India Ltd INE172A0 Alstom India Ltd INE878A0</td>	3.26 3.02 2.41 2.27 2.26 2.12 1.94 1.93 1.59 1.55 1.54 1.36 1.36 1.34	Glaxosmithkline Pharmaceuticals Ltd INE159A0 Bata India Ltd INE176A0 ITC Ltd INE154A0 Colgate Palmolive (India) Ltd INE259A0 Ambuja Cements Ltd INE079A0 Cummins India Ltd INE298A0 Wabco India Ltd INE298A0 Wabco India Ltd INE342J0 Nestle India Ltd INE342J0 Nestle India Ltd INE331A0 Procter & Gamble Hygiene and INE179A0 Health Care Ltd Wyeth Ltd INE31A0 FAG Bearings India Ltd INE513A0 Sanofi India Ltd INE513A0 Castrol India Ltd INE529A0 Castrol India Ltd INE529A0 Castrol India Ltd INE172A0 Alstom India Ltd INE172A0 Alstom India Ltd INE878A0
Tata Motors Ltd         INE155A01022         Auto         18,777         62.43           Oil & Natural Gas Corporation Ltd         INE213A01029         Oil         18,588         49.79           Sun Pharmaceuticals Industries Ltd         INE044A01036         Pharmaceuticals         7,903         46.89           Hindustan Unilever Ltd         INE030A01027         Consumer Non Durables         7,433         46.64           State Bank of India         INE062A01012         Banks         2,707         43.72           Mahindra & Mahindra Ltd         INE101A01026         Auto         4,843         40.07           Bharti Airtel Ltd         INE397D01024         Telecom - Services         12,522         39.90           Axis Bank Ltd         INE238A01026         Banks         3,258         32.84           NTPC Ltd         INE733E01010         Power         21,657         31.93           Wipro Ltd         INE075A01022         Software         6,700         31.69           Dr. Reddy'S Laboratories Ltd         INE089A01023         Pharmaceuticals         1,327         31.60           HCL Technologies Ltd         INE860A01027         Software         2,781         30.24           Bajaj Auto Ltd         INE237A01028         Banks         4,076	3.02 2.41 2.27 2.26 2.12 1.94 1.93 1.59 1.55 1.54 1.36 1.36 1.34	Bata India Ltd INE176AC ITC Ltd INE154AC Colgate Palmolive (India) Ltd INE259AC Ambuja Cements Ltd INE298AC Cummins India Ltd INE298AC Wabco India Ltd INE298AC Wabco India Ltd INE342JO Nestle India Ltd INE342JO Nestle India Ltd INE331AC Procter & Gamble Hygiene and Health Care Ltd Wyeth Ltd INE378AC FAG Bearings India Ltd INE513AC Sanofi India Ltd INE529AC Castrol India Ltd INE529AC Castrol India Ltd INE529AC Alstom India Ltd INE172AC Alstom India Ltd INE878AC
Oil & Natural Gas Corporation Ltd         INE213A01029         Oil         18,588         49.79           Sun Pharmaceuticals Industries Ltd         INE044A01036         Pharmaceuticals         7,903         46.89           Hindustan Unilever Ltd         INE030A01027         Consumer Non Durables         7,433         46.64           State Bank of India         INE062A01012         Banks         2,707         43.72           Mahindra & Mahindra Ltd         INE101A01026         Auto         4,843         40.07           Bharti Airtel Ltd         INE397D01024         Telecom - Services         12,522         39.90           Axis Bank Ltd         INE238A01026         Banks         3,258         32.84           NTPC Ltd         INE733E01010         Power         21,657         31.93           Wipro Ltd         INE075A01022         Software         6,700         31.69           Dr. Reddy'S Laboratories Ltd         INE089A01023         Pharmaceuticals         1,327         31.60           HCL Technologies Ltd         INE860A01027         Software         2,781         30.24           Bajaj Auto Ltd         INE237A01028         Banks         4,076         27.64           Sesa Sterlite Ltd         INE205A01025         Minerals/Mining <t< td=""><td>2.41 2.27 2.26 2.12 1.94 1.93 1.59 1.55 1.54 1.36 1.36 1.34</td><td>Colgate Palmolive (India) Ltd INE259AC Ambuja Cements Ltd INE079AC Cummins India Ltd INE298AC Wabco India Ltd INE342JO Nestle India Ltd INE342JO Nestle India Ltd INE331AC Frocter &amp; Gamble Hygiene and INE179AC Health Care Ltd INE378AC FAG Bearings India Ltd INE513AC Sanofi India Ltd INE58AC Federal-Mogul Goetze (India) Ltd. INE529AC Castrol India Ltd INE172AC Alstom India Ltd INE172AC</td></t<>	2.41 2.27 2.26 2.12 1.94 1.93 1.59 1.55 1.54 1.36 1.36 1.34	Colgate Palmolive (India) Ltd INE259AC Ambuja Cements Ltd INE079AC Cummins India Ltd INE298AC Wabco India Ltd INE342JO Nestle India Ltd INE342JO Nestle India Ltd INE331AC Frocter & Gamble Hygiene and INE179AC Health Care Ltd INE378AC FAG Bearings India Ltd INE513AC Sanofi India Ltd INE58AC Federal-Mogul Goetze (India) Ltd. INE529AC Castrol India Ltd INE172AC Alstom India Ltd INE172AC
Sun Pharmaceuticals Industries Ltd         INE044A01036         Pharmaceuticals         7,903         46.89           Hindustan Unilever Ltd         INE030A01027         Consumer Non Durables         7,433         46.64           State Bank of India         INE062A01012         Banks         2,707         43.72           Mahindra & Mahindra Ltd         INE101A01026         Auto         4,843         40.07           Bharti Airtel Ltd         INE397D01024         Telecom - Services         12,522         39.90           Axis Bank Ltd         INE238A01026         Banks         3,258         32.84           NTPC Ltd         INE733E01010         Power         21,657         31.93           Wipro Ltd         INE075A01022         Software         6,700         31.69           Dr. Reddy'S Laboratories Ltd         INE860A01023         Pharmaceuticals         1,327         31.60           HCL Technologies Ltd         INE860A01027         Software         2,781         30.24           Bajaj Auto Ltd         INE237A01028         Banks         4,076         27.64           Sesa Sterlite Ltd         INE235A01025         Minerals/Mining         13,525         24.45           Cipla Ltd         INE059A01026         Pharmaceuticals         5,332	2.27 2.26 2.12 1.94 1.93 1.59 1.55 1.54 1.33 1.46 1.36	Ambuja Cements Ltd INE079AC Cummins India Ltd INE298AC Wabco India Ltd INE342J0 Nestle India Ltd INE342J0 Nestle India Ltd INE331AC Frocter & Gamble Hygiene and INE179AC Health Care Ltd INE31AC Wyeth Ltd INE378AC FAG Bearings India Ltd INE513AC Sanofi India Ltd INE058AC Castrol India Ltd INE529AC Castrol India Ltd INE172AC Alstom India Ltd INE172AC
Hindustan Unilever Ltd         INE030A01027         Consumer Non Durables         7,433         46.64           State Bank of India         INE062A01012         Banks         2,707         43.72           Mahindra & Mahindra Ltd         INE101A01026         Auto         4,843         40.07           Bharti Airtel Ltd         INE397D01024         Telecom - Services         12,522         39.90           Axis Bank Ltd         INE38A01026         Banks         3,258         32.84           NTPC Ltd         INE733E01010         Power         21,657         31.93           Wipro Ltd         INE075A01022         Software         6,700         31.69           Dr. Reddy'S Laboratories Ltd         INE860A01023         Pharmaceuticals         1,327         31.60           HCL Technologies Ltd         INE860A01027         Software         2,781         30.24           Bajaj Auto Ltd         INE237A01028         Banks         4,076         27.64           Sesa Sterlite Ltd         INE235A01025         Minerals/Mining         13,525         24.45           Cipla Ltd         INE059A01026         Pharmaceuticals         5,332         23.06           Asian Paints Ltd         INE326A01037         Pharmaceuticals         4,758         21.85<	2.26 2.12 1.94 1.93 1.59 1.55 1.54 1.53 1.46 1.36	Cummins India Ltd INE298AC Wabco India Ltd INE342JO: Nestle India Ltd INE339AC Kansai Nerolac Paints Ltd INE531AC Procter & Gamble Hygiene and INE179AC Health Care Ltd Wyeth Ltd INE378AC FAG Bearings India Ltd INE513AC Sanofi India Ltd INE513AC Castrol India Ltd INE529AC Castrol India Ltd INE172AC Alstom India Ltd INE878AC
State Bank of India         INE062A01012         Banks         2,707         43.72           Mahindra & Mahindra Ltd         INE101A01026         Auto         4,843         40.07           Bharti Airtel Ltd         INE397D01024         Telecom - Services         12,522         39.90           Axis Bank Ltd         INE238A01026         Banks         3,258         32.84           NTPC Ltd         INE733E01010         Power         21,657         31.93           Wipro Ltd         INE075A01022         Software         6,700         31.69           Dr. Reddy'S Laboratories Ltd         INE089A01023         Pharmaceuticals         1,327         31.60           HCL Technologies Ltd         INE860A01027         Software         2,781         30.24           Bajaj Auto Ltd         INE917101010         Auto         1,409         28.00           Kotak Mahindra Bank Ltd         INE237A01028         Banks         4,076         27.64           Sesa Sterlite Ltd         INE205A01025         Minerals/Mining         13,525         24.45           Cipla Ltd         INE059A01026         Pharmaceuticals         5,332         23.06           Asian Paints Ltd         INE021A01026         Consumer Non Durables         4,758         21.85	2.12 1.94 1.93 1.59 1.55 1.54 1.53 1.46 1.36	Nestle India Ltd INE239AC Kansai Nerolac Paints Ltd INE531AC Procter & Gamble Hygiene and Health Care Ltd Wyeth Ltd INE513AC FAG Bearings India Ltd INE513AC Sanofi India Ltd INE58AC Federal-Mogul Goetze (India) Ltd. INE529AC Castrol India Ltd INE172AC Alstom India Ltd INE878AC
Mahindra & Mahindra Ltd         INE101A01026         Auto         4,843         40.07           Bharti Airtel Ltd         INE397D01024         Telecom - Services         12,522         39.90           Axis Bank Ltd         INE238A01026         Banks         3,258         32.84           NTPC Ltd         INE733E01010         Power         21,657         31.93           Wipro Ltd         INE075A01022         Software         6,700         31.69           Dr. Reddy'S Laboratories Ltd         INE089A01023         Pharmaceuticals         1,327         31.60           HCL Technologies Ltd         INE860A01027         Software         2,781         30.24           Bajaj Auto Ltd         INE917101010         Auto         1,409         28.00           Kotak Mahindra Bank Ltd         INE237A01028         Banks         4,076         27.64           Sesa Sterlite Ltd         INE205A01025         Minerals/Mining         13,525         24.45           Cipla Ltd         INE059A01026         Pharmaceuticals         5,332         23.06           Asian Paints Ltd         INE021A01026         Consumer Non Durables         4,758         21.85           Lupin Ltd         INE326A01037         Pharmaceuticals         2,499         21.39	1.94 1.93 1.59 1.55 1.54 1.53 1.46 1.36	Kansai Nerolac Paints Ltd INE531AC Procter & Gamble Hygiene and Health Care Ltd Wyeth Ltd INE513AC Sanofi India Ltd INE058AC Federal-Mogul Goetze (India) Ltd. INE529AC Castrol India Ltd INE172AC Alstom India Ltd INE878AC
Bharti Airtel Ltd         INE397D01024         Telecom - Services         12,522         39.90           Axis Bank Ltd         INE238A01026         Banks         3,258         32.84           NTPC Ltd         INE733E01010         Power         21,657         31.93           Wipro Ltd         INE075A01022         Software         6,700         31.69           Dr. Reddy'S Laboratories Ltd         INE089A01023         Pharmaceuticals         1,327         31.60           HCL Technologies Ltd         INE860A01027         Software         2,781         30.24           Bajaj Auto Ltd         INE917I01010         Auto         1,409         28.00           Kotak Mahindra Bank Ltd         INE237A01028         Banks         4,076         27.64           Sesa Sterlite Ltd         INE205A01025         Minerals/Mining         13,525         24.45           Cipla Ltd         INE059A01026         Pharmaceuticals         5,332         23.06           Asian Paints Ltd         INE021A01026         Consumer Non Durables         4,758         21.85           Lupin Ltd         INE326A01037         Pharmaceuticals         2,499         21.39	1.93 1.59 1.55 1.54 1.53 1.46 1.36 1.34	Procter & Gamble Hygiene and Health Care Ltd Wyeth Ltd INE378AC FAG Bearings India Ltd INE058AC Sanofi India Ltd INE058AC Federal-Mogul Goetze (India) Ltd. INE529AC Castrol India Ltd INE172AC Alstom India Ltd INE878AC
Axis Bank Ltd         INE238A01026         Banks         3,258         32.84           NTPC Ltd         INE733E01010         Power         21,657         31.93           Wipro Ltd         INE075A01022         Software         6,700         31.69           Dr. Reddy'S Laboratories Ltd         INE089A01023         Pharmaceuticals         1,327         31.60           HCL Technologies Ltd         INE860A01027         Software         2,781         30.24           Bajaj Auto Ltd         INE917I01010         Auto         1,409         28.00           Kotak Mahindra Bank Ltd         INE237A01028         Banks         4,076         27.64           Sesa Sterlite Ltd         INE205A01025         Minerals/Mining         13,525         24.45           Cipla Ltd         INE059A01026         Pharmaceuticals         5,332         23.06           Asian Paints Ltd         INE021A01026         Consumer Non Durables         4,758         21.85           Lupin Ltd         INE326A01037         Pharmaceuticals         2,499         21.39	1.59 1.55 1.54 1.53 1.46 1.36 1.34	Wyeth Ltd INE378AC FAG Bearings India Ltd INE513AC Sanofi India Ltd INE529AC Federal-Mogul Goetze (India) Ltd. INE529AC Castrol India Ltd INE172AC Alstom India Ltd INE878AC
NTPC Ltd         INE733E01010         Power         21,657         31.93           Wipro Ltd         INE075A01022         Software         6,700         31.69           Dr. Reddy'S Laboratories Ltd         INE089A01023         Pharmaceuticals         1,327         31.60           HCL Technologies Ltd         INE860A01027         Software         2,781         30.24           Bajaj Auto Ltd         INE917I01010         Auto         1,409         28.00           Kotak Mahindra Bank Ltd         INE237A01028         Banks         4,076         27.64           Sesa Sterlite Ltd         INE205A01025         Minerals/Mining         13,525         24.45           Cipla Ltd         INE059A01026         Pharmaceuticals         5,332         23.06           Asian Paints Ltd         INE021A01026         Consumer Non Durables         4,758         21.85           Lupin Ltd         INE326A01037         Pharmaceuticals         2,499         21.39	1.55 1.54 1.53 1.46 1.36 1.34 1.18	FAG Bearings India Ltd INE513AC Sanofi India Ltd INE058AC Federal-Mogul Goetze (India) Ltd. INE529AC Castrol India Ltd INE172AC Alstom India Ltd INE878AC
Wipro Ltd         INE075A01022         Software         6,700         31.69           Dr. Reddy'S Laboratories Ltd         INE089A01023         Pharmaceuticals         1,327         31.60           HCL Technologies Ltd         INE860A01027         Software         2,781         30.24           Bajaj Auto Ltd         INE917I01010         Auto         1,409         28.00           Kotak Mahindra Bank Ltd         INE237A01028         Banks         4,076         27.64           Sesa Sterlite Ltd         INE205A01025         Minerals/Mining         13,525         24.45           Cipla Ltd         INE059A01026         Pharmaceuticals         5,332         23.06           Asian Paints Ltd         INE021A01026         Consumer Non Durables         4,758         21.85           Lupin Ltd         INE326A01037         Pharmaceuticals         2,499         21.39	1.54 1.53 1.46 1.36 1.34 1.18	Federal-Mogul Goetze (India) Ltd. INE529AC Castrol India Ltd INE172AC Alstom India Ltd INE878AC
Dr. Reddy'S Laboratories Ltd         INE089A01023         Pharmaceuticals         1,327         31.60           HCL Technologies Ltd         INE860A01027         Software         2,781         30.24           Bajaj Auto Ltd         INE917I01010         Auto         1,409         28.00           Kotak Mahindra Bank Ltd         INE237A01028         Banks         4,076         27.64           Sesa Sterlite Ltd         INE205A01025         Minerals/Mining         13,525         24.45           Cipla Ltd         INE059A01026         Pharmaceuticals         5,332         23.06           Asian Paints Ltd         INE021A01026         Consumer Non Durables         4,758         21.85           Lupin Ltd         INE326A01037         Pharmaceuticals         2,499         21.39	1.53 1.46 1.36 1.34 1.18	Castrol India Ltd INE172A0 Alstom India Ltd INE878A0
HCL Technologies Ltd         INE860A01027         Software         2,781         30.24           Bajaj Auto Ltd         INE917I01010         Auto         1,409         28.00           Kotak Mahindra Bank Ltd         INE237A01028         Banks         4,076         27.64           Sesa Sterlite Ltd         INE205A01025         Minerals/Mining         13,525         24.45           Cipla Ltd         INE059A01026         Pharmaceuticals         5,332         23.06           Asian Paints Ltd         INE021A01026         Consumer Non Durables         4,758         21.85           Lupin Ltd         INE326A01037         Pharmaceuticals         2,499         21.39	1.46 1.36 1.34 1.18	Alstom India Ltd INE878A0
Bajaj Auto Ltd         INE917I01010         Auto         1,409         28.00           Kotak Mahindra Bank Ltd         INE237A01028         Banks         4,076         27.64           Sesa Sterlite Ltd         INE205A01025         Minerals/Mining         13,525         24.45           Cipla Ltd         INE059A01026         Pharmaceuticals         5,332         23.06           Asian Paints Ltd         INE021A01026         Consumer Non Durables         4,758         21.85           Lupin Ltd         INE326A01037         Pharmaceuticals         2,499         21.39	1.34 1.18	Akzo Nobel India Ltd INE133A0
Kotak Mahindra Bank Ltd         INE237A01028         Banks         4,076         27.64           Sesa Sterlite Ltd         INE205A01025         Minerals/Mining         13,525         24.45           Cipla Ltd         INE059A01026         Pharmaceuticals         5,332         23.06           Asian Paints Ltd         INE021A01026         Consumer Non Durables         4,758         21.85           Lupin Ltd         INE326A01037         Pharmaceuticals         2,499         21.39	1.18	
Sesa Sterlite Ltd         INE205A01025         Minerals/Mining         13,525         24.45           Cipla Ltd         INE059A01026         Pharmaceuticals         5,332         23.06           Asian Paints Ltd         INE021A01026         Consumer Non Durables         4,758         21.85           Lupin Ltd         INE326A01037         Pharmaceuticals         2,499         21.39		Disa India Ltd INE131C0
Asian Paints Ltd INE021A01026 Consumer Non Durables 4,758 21.85 Lupin Ltd INE326A01037 Pharmaceuticals 2,499 21.39	1 12	Blue Dart Express Ltd INE233B0 Siemens Ltd INE003A0
Lupin Ltd INE326A01037 Pharmaceuticals 2,499 21.39		Fulford India Ltd INE521A0
•	1.06	Alstom T&D India Ltd INE200A0
Hero MotoCorn Ltd	1.04	ABB India Ltd INE117A0 Ranbaxy Laboratories Ltd INE015A0
1,002 20.15	0.98	ITD Cementation India Ltd INE686A0
Cairn India Ltd INE910H01017 Oil 6,213 19.81	0.96	Mphasis Ltd INE356A0
Coal India Ltd INE522F01014 Minerals/Mining 6,637 19.54	0.95	Schneider Electric Infrastructure Ltd INE839M0 Sub Total
Ultratech Cement Ltd INE481G01011 Cement 1,068 19.32	0.94	(b) Unlisted
Tata Steel Ltd INE081A01012 Ferrous Metals 7,002 19.01	0.92	Jainpur Straw Brd ** # INE14620
Maruti Suzuki India Ltd INE585B01010 Auto 1,390 18.88	0.91	Maruti Cottex Ltd ** # INE68770 Sree Jayalakshmi ** # INE618F0
Grasim Industries Ltd INE047A01013 Cement 670 17.73	0.86	Sri Venkatesha Mi ** # INE61260
Indusind Bank Ltd INE095A01012 Banks 4,449 16.41	0.79	Visakha Aqua Farm ** # INE30700
GAIL (India) Ltd INE129A01019 Gas 4,716 15.46	0.75	Sub Total Total
Hindalco Industries Ltd INE038A01020 Non - Ferrous Metals 13,513 15.12	0.73	CBLO / Reverse Repo
Ambuja Cements Ltd INE079A01024 Cement 8,015 14.63	0.71	Clearing Corporation of India Ltd
Power Grid Corporation of India Ltd INE752E01010 Power 14,875 14.58	0.71	Sub Total Total
Tata Power Company Ltd INE245A01021 Power 16,834 13.66	0.66	Net Receivables / (Payables)
Infrastructure Development INE043D01016 Finance 12,992 11.44	0.55	GRAND TOTAL
Finance Company Ltd		** Thinly Traded / Non Traded Security # Unlisted Security
Bharat Heavy Electricals Ltd INE257A01026 Industrial Capital Goods 8,301 11.41	0.55	\$ Less Than 0.01% of Net Asset Value
ACC Ltd INE012A01025 Cement 982 10.92	0.53	^Industry classification as recommended by AMI
NMDC Ltd INE584A01023 Minerals/Mining 8,329 10.00	0.48	Notes: (1) The provision made for Non Performing A
Bank of Baroda INE028A01013 Banks 1,975 9.75	0.47	(2) Plan/Option wise Net Asset Values are as for
Jindal Steel & Power Ltd INE749A01030 Ferrous Metals 4,017 9.46	0.46	Plan
Bharat Petroleum Corporation Ltd INE029A01011 Petroleum Products 2,713 8.99	0.44	Regular Plan - Dividend Option Regular Plan - Growth Option
Punjab National Bank INE160A01014 Banks 1,566 7.23	0.35	Direct Plan - Dividend Option
Ranbaxy Laboratories Ltd INE015A01028 Pharmaceuticals 1,620 5.35	0.26	Direct Plan - Growth Option
DLF Ltd INE271C01023 Construction 4,039 5.17	0.25	NAVs at the beginning of the period is as of 28th      Dividend in the following plane was dealer.
Jaiprakash Associates Ltd INE455F01025 Construction 12,879 4.49	0.22	(3) Dividend in the following plans was declar
Sub Total 1,960.66	94.97	Regular Plan - Dividend Option
(b) Unlisted NIL	NIL	(4) Details of derivatives exposure and transac
Sub Total NIL	NIL	(a) Hedging Position through Futures as 30, 2013 there were no hedging tran
Total 1,960.66	94.97	(b) Other than Hedging Position through
Cash and Bank 0.67	0.03	September 30, 2013 there were no n off/expired.
Total 0.67	0.03	(c) Hedging Position through Put Opti
Margin (Future and Options) 46.36	2.25	September 30, 2013 there were no h
Total 46.36	2.25	(d) Other than Hedging Positions through September 30, 2013 there were no no
CBLO / Reverse Repo		expired.
Clearing Corporation of India Ltd 86.00	4.17	(e) Hedging Positions through swaps as     (5) During the current half year, the portfolio to
Sub Total 86.00	4.17	(6) Total market value of Foreign Securities/ove
Total 86.00	4.17	is Nil.
Net Receivables / (Payables) (29.08)	-1.41	<ul> <li>(7) The total value of illiquid Equity Securities</li> <li>(8) Details of Repo in Corporate Debt Securities</li> </ul>
GRAND TOTAL 2,064.61	100.00	

^Industry classification as recommended by AMFI and wherever not available, internal cla

(1) The provision made for Non Performing Assets as of September 30, 2013 is Nil

Plan/Option wise Net Asset Values are as follows

As on Sentember 30, 2013 Plan As on March 28, 2013 • Rs. 19.8523 Rs. 19.5478 Regular Plan - Dividend Option Regular Plan - Growth Option Rs. 56.3340 Rs. 55.4714 Rs. 19.8736 Direct Plan - Dividend Option Rs. 19.5548 Rs. 56.3984

• NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day

(3) No dividend was declared during the half year ended September 30, 2013.

Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired

Other than Hedging Position through Futures as on September 30, 2013 Underlying Long/ Futures Current Margin Price price when of the purchased contract Rs. Lakhs Rs. Rs. Long Nifty Index Future Oct 13 5.938.95 5.791.45 9.74

For the period ended September 30, 2013 following non-hedging transactions through futures which have been squared off/expired

Total Number Total Number Gross Notional Gross Notional Net Profit/Loss of contracts Value of contracts Value of contracts of contracts value on all where future were bought were sold were bought Rs. were sold Rs. combined Rs 933 273,182,038.03 266,197,277.06

Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through option which have exercised/expired.

Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/

Hedging Positions through swaps as on September 30, 2013 is Nil

During the current half year, the portfolio turnover ratio of equity and equity related instruments is 1.29 times Total market value of Foreign Securities/overseas ETFs and its percentage to Net Assets as at September 31, 2013

The total value of illiquid Equity Securities is Nil and its percentage to Net Assets is Nil.

Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

RA Ltd 254.200 2,967,53 7.56 industan Unilever Ltd INE030A01027 Consumer Non Durables 430,279 2,699.79 6.88 INE671A01010 Industrial Capital Goods oneywell Automation India Ltd 2,257.28 5.75 RISIL Ltd INE007A01025 Finance 2,030.65 172,345 5.17 INF323A01026 Auto Ancillaries sch Ltd 21,700 1.963.56 5.00 aruti Suzuki India Ltd INE585B01010 Auto 135,316 1.838.34 4.68 acle Financial Services INE881D01027 Software 45,000 1,383.26 3.52 ftware Ltd INE182A01018 Pharmaceuticals 118,606 1,287.76 izer Ltd 3.28 axosmithkline Pharmaceuticals Ltd INE159A01016 Pharmaceuticals 1,274.55 51,642 3.25 INE176A01010 Consumer Durables 3.20 INE154A01025 Consumer Non Durables 366,750 1.248.42 3.18 C Ltd olgate Palmolive (India) Ltd INE259A01022 Consumer Non Durables 89,506 1.109.78 2.83 INE079A01024 Cement mbuja Cements Ltd 574,200 1,048.20 2.67 ımmins India Ltd INE298A01020 Industrial Products 1,024.17 250,869 2.61 INE342J01019 Auto Ancillaries estle India Ltd INE239A01016 Consumer Non Durables 18,900 986.49 2.51 2.49 ansai Nerolac Paints Ltd INE531A01016 Consumer Non Durables 79,105 978.96 octer & Gamble Hygiene and INE179A01014 Consumer Non Durables 31,900 835.41 2.13 ealth Care Ltd INE378A01012 Pharmaceuticals veth Ltd 130,113 754.46 1.92 INE513A01014 Industrial Products AG Bearings India Ltd 38,329 480.88 1.22 anofi India Ltd INE058A01010 Pharmaceuticals 17,712 468.40 1.19 ederal-Mogul Goetze (India) Ltd. INE529A01010 Auto Ancillaries 1.01 strol India Ltd INE172A01019 Petroleum Products 123,000 380.81 0.97 INE878A01011 Industrial Capital Goods 111.600 lstom India Ltd 379.16 0.97 kzo Nobel India Ltd INE133A01011 Consumer Non Durables 46,000 377.61 0.96 isa India Ltd INE131C01011 Industrial Capital Goods 13,641 368.99 0.94 lue Dart Express Ltd INE233B01017 Transportation 0.85 INE003A01024 Industrial Capital Goods 319.04 0.81 emens Ltd 66,800 ılford India Ltd INE521A01017 Miscellaneous 51.250 246.26 0.63 lstom T&D India Ltd INE200A01026 Industrial Capital Goods 175.000 244.56 0.62 INE117A01022 Industrial Capital Goods 244.10 BB India Ltd 45,000 0.62 INE015A01028 Pharmaceuticals anbaxy Laboratories Ltd 216.68 0.55 INE686A01018 Construction TD Cementation India Ltd 90,500 144.80 0.37 INE356A01018 Software phasis Ltd 24.014 105.36 0.27 chneider Electric Infrastructure Ltd. INE839M01018. Industrial Capital Goods 101.94 175,000 0.26 ıb Total 39,219.54 99.88 ) Unlisted inpur Straw Brd \*\* # INE146201012 Miscellaneous 59,300 0.00 aruti Cottex Ltd \*\* # INE687701016 Miscellaneous 249,800 0.00 ee Jayalakshmi \*\* # INE618F01010 Miscellaneous 37,500 0.00 Venkatesha Mi \*\* # INE612601018 Miscellaneous 18,000 0.00 sakha Aqua Farm \*\* # INE307001011 Miscellaneous 0.00 ıb Total 0.00 39,219,54 99.88 BLO / Reverse Repo earing Corporation of India Ltd 219.00 0.56 219.00 219.00 0.56 et Receivables / (Payables) (173.62)-0.44 RAND TOTAL 39,264.92 100.00 Thinly Traded/Non Traded Security Less Than 0.01% of Net Asset Value ndustry classification as recommended by AMFI and wherever not available, internal classification has been used The provision made for Non Performing Assets as of September 30, 2013 is Nil. Plan/Option wise Net Asset Values are as follows As on September 30, 2013 As on March 28, 2013 • Regular Plan - Dividend Option Rs. 81.58 Rs. 81.58 Regular Plan - Growth Option Rs. 256.67 Rs. 87.35 Direct Plan - Dividend Option Rs. 81.73 Direct Plan - Growth Option Rs. 257.48 Rs. 240.88

(AN OPEN ENDED GROWTH SCHEME)

PORTFOLIO AS ON SEPTEMBER 30, 2013

INE166A01011 Banks

INE462A01022 Pesticides

INE725G01011 Finance

VAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day. Dividend in the following plans was declared during the half year ended September 30, 2013

Regular Plan - Dividend Option Details of derivatives exposure and transaction:

Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired. Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ender September 30, 2013 there were no non-hedging transactions through futures Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ende

September 30, 2013 there were no hedging transactions through option which have exercised/expired Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised Hedging Positions through swaps as on September 30, 2013 is Nil.

During the current half year, the portfolio turnover ratio of equity and equity related instruments is 0.04 time Total market value of Foreign Securities/overseas ETFs and its percentage to Net Assets as at September 31, 2013 The total value of illiquid Equity Securities is Nil and its percentage to Net Assets is Nil

BIRLA SUN LIFE GILT PLUS - LIQUID PLAN

Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

Sub Total

Sub Total

GRAND TOTAL

Net Receivables / (Payables)

Total

#### (AN OPEN ENDED GOVERNMENT SECURITIES SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013 Name of the Instrun Money Market Instruments Treasury Bill IN002013X212 SOV 91 Days Tbill (MD 28/11/2013) 7,387.89 7.387.89 72.17 7,387.89 72.17 CBLO / Reverse Repo Clearing Corporation of India Ltd 390.00 3.81 3.81 390.00 390.00

The provision made for Non Performing Assets as of September 30, 2013 is Nil.

Plan/Option wise Net Asset Values are as follows: As on September 30, 2013. As on March 28, 2013¥ Regular Plan - Growth Option Regular Plan - Daily Dividend Option+ Rs. 27.2824 Rs. 10.0100 Regular Plan - Weekly Dividend Option+ Rs. 10.0181 N.A Regular Plan - Quarterly Dividend Option Rs. 10.6739 Rs. 10.7216 Regular Plan - Annual Dividend Option Rs. 10.7410 Rs. 10.2179 Direct Plan - Growth Option Rs. 27.3290 Rs. 25.9688 Direct Plan - Daily Dividend Option+ Rs. 10.0110 N.A Rs. 10.7223 Direct Plan - Quarterly Dividend Option Direct Plan - Annual Dividend Option Rs. 10.8719 Rs. 10.3240

NAVs at the end of the period is as of 30th September 2013. ¥ NAVs at the beginning of the period is as of 28th March 2013, March 31, 2012 being a non business day.

+ Plans launched during the current half year. Dividend in the following plans was declared during the half year ended September 30, 2013.

Per Unit (Rs.) Regular Plan - Daily Dividend Option Rs. 0.1134 Regular Plan - Weekly Dividend Option Regular Plan - Quarterly Dividend Option Direct Plan - Daily Dividend Option Rs. 0.0869 Rs. 0.4929 Direct Plan - Quarterly Dividend Option Details of derivatives exposure and transaction:

Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired. (a) Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended

September 30, 2013 there were no non-hedging transactions through futures which have been square off/expired. Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired. Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/

Hedging Positions through swaps as on September 30, 2013 is Nil.

The Average Maturity Period of the Portfolio is 0.12 years

2,459.03

10,236.92

24.02

100.00

# ☑ Over 2.2 million investor scheme accounts



(AN OPEN ENDED SCHEME, MONTHLY INCOME IS NOT ASSURED AND IS SUBJECT TO

This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September, 2013.

Mutual Fund

### Half Yearly Portfolio Statement as at September 30, 2013

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996) BIRLA SUN LIFE MIP II - WEALTH 25 PLAN

(AN OPEN ENDED SCHEME, MONTHLY INCOME IS NOT ASSURED AND IS SUBJECT TO

(AN OPEN ENDED SCHEM AVAILABILITY OF DISTRII	E. MONTHLY IN BUTABLE SURP	LUS) PORTFOLIOAS	ED AND IS ON SEPTE	MBER 30, 20	)13	(AN OPEN AVAILAB
Name of the Instrument	ISIN	Industry^ / Rating	Quantity	Market Value (Rs. in Lacs)	% of Net Assets	Name of the Inst
Debt Instruments				III Lacs)	Assets	Debt Instrument
(a) Listed / awaiting listing on Stock 9.97% Power Finance	Exchange INE134E08CK1	CRISIL AAA	500	5,169.54	20.00	(a) Listed / await
Corporation Ltd (FRN) **						9.97% Power Fir
9.45% IDBI Bank Ltd ** 9.20% Reliance Utilities &	INE008A08T95		490 400	4,802.81	18.58 15.26	Corporation Ltd (
Power Pvt Ltd	INE930D08032	CARE AAA(SO)	400	3,945.07	13.20	9.80% LIC Housi
8.80% Food Corporation Of India		CRISIL AAA(SO)	145	1,382.85	5.35	11.35% IDBI Ban 12.50% DLF Ltd
12.50% DLF Ltd **	INE271C07095 INE752E07KN9		2 50	1,018.65 471.15	3.94 1.82	9.90% Yes Bank I
8.80% Power Grid Corporation of India Ltd **	INE/32EU/KN9	CRISIL AAA	30	4/1.13	1.82	9.38% IDBI Bank
9.80% LIC Housing Finance Ltd **	INE115A07BT3		10	99.59	0.39	8.80% Power Gri
9.85% State Bank of India **	INE062A09130		2 2	20.12 19.95	0.08	of India Ltd **
9.40% National Bank For Agriculture and Rural Development **	INE201F09GB7	CRISIL AAA	2	19.93	0.08	9.45% IDBI Bank
9.00% Coromandel	INE169A08010	CRISIL AA+	30,000	4.43	0.02	8.80% Export Imp
International Ltd ** Sub Total				16,934.16	65.52	9.20% Reliance U Power Pvt Ltd
(b) Privately placed / Unlisted				NIL	NIL	10.30% Yes Bank
Sub Total				NIL	NIL	Sub Total
Total Government Securities				16,934.16	65.52	(b) Privately pla
8.20% GOI (MD 24/09/2025)	IN0020120047	SOV	2,200,000	2,031.70	7.86	Sub Total
8.32% GOI (MD 02/08/2032)	IN0020070044		1,500,000	1,370.85	5.30	Total
7.16% GOI (MD 20/05/2023)	IN0020130012	SOV	1,000,000	896.80	3.47	Government Se
Total Fauity & Fauity related				4,299.35	16.63	8.20% GOI (MD
Equity & Equity related (a) Listed / awaiting listing on Stock	Exchanges					7.16% GOI (MD
Repco Home Finance Ltd	INE612J01015	Finance	163,828	411.78	1.59	7.61% GOI OIL
TC Ltd		Consumer Non Durable		170.20	0.66	Total
Infosys Ltd Sun Pharmaceuticals Industries Ltd	INE009A01021		5,000	150.65	0.58	Equity & Equity
Sun Pharmaceuticals Industries Ltd HDFC Bank Ltd	INE044A01036 INE040A01026		25,000 23,000	148.33 136.40	0.57 0.53	(a) Listed / await
City Union Bank Ltd	INE491A01021		306,250	129.85	0.50	Repco Home Fir
Just Dial Limited	INE599M01018	Software	13,497	113.94	0.44	Infosys Ltd Sun Pharmaceuti
Indraprastha Gas Ltd	INE203G01019		39,000 5,200	105.05	0.41 0.40	Eicher Motors Lt
Hero MotoCorp Ltd NIIT Technologies Ltd	INE158A01026 INE591G01017		5,200 37,000	104.48 104.25	0.40	Hero MotoCorp
Indusind Bank Ltd	INE095A01012		26,500	97.72	0.38	Just Dial Limited
Idea Cellular Ltd		Telecom - Services	54,000	90.86	0.35	Oracle Financial
V-Mart Retail Limited  Tata Consultancy Services Ltd	INE665J01013 INE467B01029		44,267	86.83 84.82	0.34 0.33	Software Ltd
Shriram City Union Finance Ltd	INE722A01011		4,400 7,588	80.28	0.33	IPCA Laboratori
United Spirits Ltd		Consumer Non Durable		76.00	0.29	HDFC Bank Ltd
Cummins India Ltd		Industrial Products	18,586	75.88	0.29	Page Industries l
United Phosphorus Ltd	INE628A01036		41,000	59.39	0.23	ITC Ltd
Reliance Industries Ltd Bayer CropScience Ltd	INE002A01018 INE462A01022	Petroleum Products Pesticides	7,000 3,000	57.57 46.38	0.22 0.18	Idea Cellular Ltd
Bharat Petroleum Corporation Ltd		Petroleum Products	10,000	33.13	0.13	Indraprastha Gas V-Mart Retail Li
Dr. Reddy'S Laboratories Ltd		Pharmaceuticals	1,350	32.15	0.12	HCL Technologic
Prestige Estates Projects Ltd NMDC Ltd	INE811K01011	Construction Minerals/Mining	25,000 22,000	29.36 26.42	0.11	Motherson Sumi
Sesa Sterlite Ltd		Minerals/Mining	14,400	26.42	0.10	United Spirits Ltd
Speciality Restaurants Ltd	INE247M01014	U	17,280	21.15	0.08	Dr. Reddy'S Lab
Jyothy Laboratories Ltd		Consumer Non Durable		14.79	0.06	The South Indian
Maruti Suzuki India Ltd Sub Total	INE585B01010	Auto	500	6.79 <b>2,520.48</b>	0.03 <b>9.75</b>	Indusind Bank Lt
(b) Unlisted				2,520.46 NIL	NIL	Zee Entertainme
Sub Total				NIL	NIL	Bayer CropScien
Total				2,520.48	9.75	ING Vysya Bank
CBLO / Reverse Repo Clearing Corporation of India Ltd				1,053.00	4.07	Jyothy Laborato
Sub Total				1,053.00	4.07	Shriram City Un
Total				1,053.00	4.07	Hathway Cable AIA Engineering
Net Receivables / (Payables) GRAND TOTAL				1,039.26	4.02 100.00	Tech Mahindra I
FRN - Floating Rate Note				25,846.25	100.00	Reliance Industr
** Thinly Traded / Non Traded Securi						Sesa Sterlite Ltd
^Industry classification as recommen Notes:	ded by AMF I and	wnerever not available, in	iternai ciass	incation has t	been usea	The Phoenix Mil
The provision made for Non Pe	erforming Assets	as of September 30, 2013	is Nil.			ICICI Bank Ltd
<ol><li>Plan/Option wise Net Asset Va</li></ol>	lues are as follows	:				Tata Consultancy
	1 O+i	As on September 30, 2	013 As or		2013 •	HT Media Ltd
Plan  Regular Plan - Monthly Divide	-	Rs. 11.3496 on Rs. 21.1475		Rs. 11.6630 Rs. 20.8859		United Phosphor
<b>Plan</b> Regular Plan - Monthly Divide Regular Plan - Growth / Month				Rs. 11.7064		Pidilite Industrie
Regular Plan - Monthly Divide		Rs. 11.6952				NMDC Ltd
Regular Plan - Monthly Divide Regular Plan - Growth / Month Direct Plan - Monthly Dividend Direct Plan - Growth / Direct I	l Option	Rs. 11.6952 Rs. 21.2110		Rs. 20.9080		
Regular Plan - Monthly Divide Regular Plan - Growth / Month Direct Plan - Monthly Dividen Direct Plan - Growth / Direct I Monthly Payment Option	l Option Plan -	Rs. 21.2110	being a nor	Rs. 20.9080	v.	PTC India Ltd
Regular Plan - Monthly Divide Regular Plan - Growth / Month Direct Plan - Monthly Dividen Direct Plan - Growth / Direct I Monthly Payment Option NAVs at the beginning of the period	l Option Plan - is as of 28th Marc	Rs. 21.2110 h 2013, 31st March 2013	-	Rs. 20.9080 n-business day	y.	Solar Industries l
Regular Plan - Monthly Divide Regular Plan - Growth / Month Direct Plan - Monthly Dividend Direct Plan - Growth / Direct I Monthly Payment Option NAVs at the beginning of the period 3) Dividend in the following plan	d Option Plan - is as of 28th Marc s was declared du	Rs. 21.2110 h 2013, 31st March 2013	-	Rs. 20.9080 n-business day 0, 2013. <b>Per U</b> i	nit (Rs.)	Solar Industries l Larsen & Toubro
Regular Plan - Monthly Divide Regular Plan - Growth / Month Direct Plan - Monthly Dividend Direct Plan - Growth / Direct I Monthly Payment Option NAVs at the beginning of the period 3) Dividend in the following plan Regular Plan - Monthly Divide	l Option Plan - is as of 28th Marc s was declared du nd Option	Rs. 21.2110 h 2013, 31st March 2013	-	Rs. 20.9080 n-business day 0, 2013. Per Ui	nit (Rs.) s. 0.4627	Solar Industries l Larsen & Toubro The Federal Bank
Regular Plan - Monthly Divide Regular Plan - Growth / Month Direct Plan - Monthly Dividenc Direct Plan - Growth / Direct I Monthly Payment Option NAVs at the beginning of the period 3) Dividend in the following plan Regular Plan - Monthly Dividence	1 Option Plan - is as of 28th Marc s was declared du nd Option 1 Option	Rs. 21.2110 h 2013, 31st March 2013	-	Rs. 20.9080 n-business day 0, 2013. Per Ui	nit (Rs.)	Solar Industries l Larsen & Toubro The Federal Banl Tata Motors Ltd
Regular Plan - Monthly Divide Regular Plan - Growth / Month Direct Plan - Monthly Dividen Direct Plan - Growth / Direct I Monthly Payment Option NAVs at the beginning of the period 3) Dividend in the following plan Regular Plan - Monthly Divide Direct Plan - Monthly Dividend Direct Plan - Monthly Dividend 4) Details of derivatives exposure (a) Hedging Position throug	I Option Plan - is as of 28th Marc s was declared du nd Option I Option and transaction: h Futures as on Sep	Rs. 21.2110 th 2013, 31st March 2013 ring the half year ended S	September 3	Rs. 20.9080 n-business day 0, 2013. Per Un Rs Rs	nit (Rs.) s. 0.4627 s. 0.2138	Solar Industries I Larsen & Toubro The Federal Bani Tata Motors Ltd LIC Housing Fin
Regular Plan - Monthly Divide Regular Plan - Growth / Month Direct Plan - Monthly Dividen Direct Plan - Growth / Direct I Monthly Payment Option NAVs at the beginning of the period 3) Dividend in the following plan Regular Plan - Monthly Dividen Direct Plan - Monthly Dividen 4) Details of derivatives exposure (a) Hedging Position throug 30, 2013 there were no	d Option Plan - is as of 28th Marc s was declared du and Option d Option and transaction: h Futures as on Sepanding transactio	Rs. 21.2110 h 2013, 31st March 2013 ring the half year ended S otember 30, 2013 is Nil an ns through futures which	d for the hal	Rs. 20.9080 n-business day 0, 2013. Per Un Rs Rs f year ended S squared off/ex	nit (Rs.) s. 0.4627 s. 0.2138 September xpired.	Solar Industries I Larsen & Toubro The Federal Banl Tata Motors Ltd LIC Housing Fin Speciality Restau
Regular Plan - Monthly Divide Regular Plan - Growth / Month Direct Plan - Monthly Dividen Direct Plan - Growth / Direct I Monthly Payment Option NAVs at the beginning of the period 3) Dividend in the following plan Regular Plan - Monthly Dividen Direct Plan - Monthly Dividen 4) Details of derivatives exposure (a) Hedging Position throug 30, 2013 there were no I (b) Other than Hedging Posi	d Option Plan - is as of 28th Marc s was declared du nd Option 1 Option and transaction: h Futures as on Sep ledging transactio tion through Futur	Rs. 21.2110 th 2013, 31st March 2013 ring the half year ended S	d for the hal have been s	Rs. 20.9080 n-business day 0, 2013. Per Ui Rs f year ended S squared off/es if for the half y	nit (Rs.) s. 0.4627 s. 0.2138 September xpired.	Solar Industries I Larsen & Toubro The Federal Banl Tata Motors Ltd LIC Housing Fin Speciality Restat Maruti Suzuki Ind
Regular Plan - Monthly Divide Regular Plan - Growth / Month Direct Plan - Monthly Dividen Direct Plan - Growth / Direct I Monthly Payment Option NAVs at the beginning of the period 3) Dividend in the following plan Regular Plan - Monthly Divide Direct Plan - Monthly Dividend Direct Plan - Monthly Dividend 4) Details of derivatives exposure (a) Hedging Position throug 30, 2013 there were no I (b) Other than Hedging Posi September 30, 2013 the off/expired.	I Option Plan - is as of 28th Marc s was declared du ind Option I Option and transaction: h Futures as on Sepending transactio tion through Futur we were no non-hear	Rs. 21.2110 h 2013, 31st March 2013 ring the half year ended S otember 30, 2013 is Nil an ns through futures which es as on September 30, 20 dging transactions throug	d for the hal have been s	Rs. 20.9080 n-business day 0, 2013. Per Un Rs. Rs f year ended S squared off/er af for the half y hich have bee	nit (Rs.) s. 0.4627 s. 0.2138 September xpired. year ended in squared	Solar Industries I Larsen & Toubro The Federal Banl Tata Motors Ltd LIC Housing Fin Speciality Restau Maruti Suzuki Ind DQ Entertainmen Radico Khaitan I
Regular Plan - Monthly Divide Regular Plan - Growth / Month Direct Plan - Monthly Dividen Direct Plan - Growth / Direct I Monthly Payment Option NAVs at the beginning of the period 3) Dividend in the following plan Regular Plan - Monthly Dividen Direct Plan - Monthly Dividen 4) Details of derivatives exposure (a) Hedging Position throug 30, 2013 there were not (b) Other than Hedging Posi September 30, 2013 ther off/expired. (c) Hedging Position throu	I Option Plan - is as of 28th Marc s was declared du nd Option d Option and transaction: h Futures as on Sep nedging transactio tion through Futur we were no non-hee	Rs. 21.2110 h 2013, 31st March 2013 ring the half year ended S otember 30, 2013 is Nil an ns through futures which es as on September 30, 20 lging transactions throug on September 30, 2013	d for the hal have been so 13 is Nil and th futures whi	Rs. 20.9080 n-business day 0, 2013. Per Un Rs fyear ended S squared off/ex d for the half y hich have bee	nit (Rs.) s. 0.4627 s. 0.2138 September xpired. rear ended n squared	Solar Industries I Larsen & Toubro The Federal Banl Tata Motors Ltd LIC Housing Fin Speciality Restau Maruti Suzuki Ind DQ Entertainmen
Regular Plan - Monthly Divide Regular Plan - Growth / Month Direct Plan - Monthly Dividen Direct Plan - Growth / Direct I Monthly Payment Option NAVs at the beginning of the period 3) Dividend in the following plan Regular Plan - Monthly Dividen Direct Plan - Monthly Dividen 4) Details of derivatives exposure (a) Hedging Position throug 30, 2013 there were no 1 (b) Other than Hedging Posi September 30, 2013 there off/expired. (c) Hedging Position throug September 30, 2013 there	d Option Plan -  is as of 28th Marc s was declared du nd Option 1 Option and transaction: h Futures as on Sep ledging transactio tion through Futur we were no non-hea gh Put Options as were no hedging the declaration of the control of the control to the control of the control of the control to the control of the control of the control to the control of the control of the control to the control of the control of the control of the control to the control of the control	Rs. 21.2110 h 2013, 31st March 2013 ring the half year ended S otember 30, 2013 is Nil an ns through futures which es as on September 30, 20 dging transactions throug	d for the hal have been s 113 is Nil and the futures which futures which	Rs. 20.9080 n-business day 0, 2013. Per Un Rs Rs f year ended S squared off/es d for the half y hich have bee for the half y have exercised	nit (Rs.) s. 0.4627 s. 0.2138 September xpired. rear ended n squared ear ended d/expired.	Solar Industries I Larsen & Toubro The Federal Banl Tata Motors Ltd LIC Housing Fin Speciality Restau Maruti Suzuki Ind DQ Entertainmen Radico Khaitan I Sub Total (b) Unlisted
Regular Plan - Monthly Divide Regular Plan - Growth / Month Direct Plan - Monthly Dividen Direct Plan - Growth / Direct I Monthly Payment Option NAVs at the beginning of the period Dividend in the following plan Regular Plan - Monthly Dividen Direct Plan - Monthly Dividen Direct Plan - Monthly Dividen Oberails of derivatives exposure (a) Hedging Position throug 30, 2013 there were no 1 (b) Other than Hedging Position throug September 30, 2013 ther off/expired. (c) Hedging Position throug September 30, 2013 ther Other than Hedging Position September 30, 2013 ther Other than Hedging Position September 30, 2013 ther Other than Hedging Position September 30, 2013 ther	I Option Plan -  is as of 28th Marc s was declared du nd Option I Option and transaction: h Futures as on Sepedging transactio tion through Futur re were no non-hed gh Put Options as re were no hedging tions through Opti	Rs. 21.2110 h 2013, 31st March 2013 ring the half year ended S otember 30, 2013 is Nil an ns through futures which es as on September 30, 20 dging transactions throug on September 30, 2013 g transactions through opt	d for the hal have been s 113 is Nil and th futures whis Nil and is Nil and stion which h	Rs. 20.9080 a-business day 0, 2013. Per Un Rs Rs f year ended S sequared off/ex d for the half y have exercised d for the half y	nit (Rs.) s. 0.4627 s. 0.2138 September xpired. rear ended ear ended d/expired.	Solar Industries I Larsen & Toubro The Federal Banl Tata Motors Ltd LIC Housing Fin Speciality Restau Maruti Suzuki Ind DQ Entertainmen Radico Khaitan I Sub Total (b) Unlisted Sub Total
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Regular Plan - Monthly Divide Regular Plan - Growth / Month Direct Plan - Monthly Dividen Direct Plan - Growth / Direct I Monthly Payment Option  NAVs at the beginning of the period 3) Dividend in the following plan  Regular Plan - Monthly Dividen Direct Plan - Monthly Dividen Direct Plan - Monthly Dividen (a) Hedging Position throug 30, 2013 there were no 1 (b) Other than Hedging Posi September 30, 2013 ther off/expired. (c) Hedging Position throu September 30, 2013 ther (d) Other than Hedging Posi September 30, 2013 ther expired. (e) Hedging Positions throu	d Option Plan -  is as of 28th Marc s was declared du nd Option 1 Option and transaction: h Futures as on Sep nedging transactio tion through Future were no non-hed gh Put Options as we were no hedging tions through Optic e were no non-hed gh swaps as on Se	Rs. 21.2110  th 2013, 31st March 2013  ting the half year ended September 30, 2013 is Nil anns through futures which es as on September 30, 2013 ig transactions through optoms as on September 30, 2013 ig transactions through optoms as on September 30, 2013 ig transactions through optoms as on September 30, 2013 is Nil.	d for the hal have been s 113 is Nil and th futures whis Nil and is Nil and stion which h	Rs. 20.9080 a-business day 0, 2013. Per Un Rs Rs f year ended S sequared off/ex d for the half y have exercised d for the half y	nit (Rs.) s. 0.4627 s. 0.2138 September xpired. rear ended ear ended d/expired.	Solar Industries I Larsen & Toubro The Federal Banl Tata Motors Ltd LIC Housing Fin Speciality Restau Maruti Suzuki Ind DQ Entertainmen Radico Khaitan I Sub Total (b) Unlisted Sub Total Total CBLO / Reverse
Regular Plan - Monthly Dividee Regular Plan - Growth / Month Direct Plan - Monthly Dividen Direct Plan - Growth / Direct I Monthly Payment Option  NAVs at the beginning of the period Dividend in the following plan  Regular Plan - Monthly Dividee Direct Plan - Monthly Dividee Direct Plan - Monthly Dividen Hedging Position throug 30, 2013 there were no I Details of derivatives exposure (a) Hedging Position throug 30, 2013 there were no I Details of Gerivatives (c) Hedging Position throug September 30, 2013 there off/expired. (d) Other than Hedging Position throug September 30, 2013 there expired. (e) Hedging Positions throug The Average Maturity Period of	I Option Plan -  is as of 28th Marc s was declared du nd Option I Option and transaction: h Futures as on Sepedging transactio tion through Futur were no non-hed gh Put Options as were no hedging tions through Optic were no non-hed gh swaps as on Se of the Portfolio is of	Rs. 21.2110  th 2013, 31st March 2013  ting the half year ended S  tember 30, 2013 is Nil an  ns through futures which  es as on September 30, 20  dging transactions through  on September 30, 2013  g transactions through  ons as on September 30, 20  ging transactions through  ptember 30, 2013 is Nil.  5.38 years.	d for the hal have been sold in the hall have been sold in the hall and sold in the is Nil and storm which h 1013 is Nil and options whi	Rs. 20.9080 n-business day 0, 2013. Per Un Rs Rs fyear ended S squared off/es if for the half y hich have been for the half y have exercised	nit (Rs.) s. 0.4627 s. 0.2138 September typired. wear ended on squared ear ended d/expired. wear ended exercised/	Solar Industries I Larsen & Toubro The Federal Bank Tata Motors Ltd LIC Housing Fin. Speciality Restau Maruti Suzuki Inc DQ Entertainmer Radico Khaitan I Sub Total (b) Unlisted Sub Total Total CBLO / Reverse Clearing Corpora
Regular Plan - Monthly Divide Regular Plan - Growth / Month Direct Plan - Monthly Dividen Direct Plan - Growth / Direct I Monthly Payment Option NAVs at the beginning of the period Dividend in the following plan Regular Plan - Monthly Dividen Direct Plan - Monthly Dividen Hedging Position throug Journal Hedging Position throug Journal Hedging Position throug Journal Hedging Position throug Journal Hedging Position throug September 30, 2013 ther Other than Hedging Position throug September 30, 2013 ther Other than Hedging Position throug September 30, 2013 ther Hedging Position throug Hedging Position throug September 30, 2013 ther Hedging Position throug September 30, 2013 ther Other than Hedging Position Hedging Position throug September 30, 2013 ther Hedging Position throug Hedging Position throug Hedging Position throug The Average Maturity Period of During the current half year, the	I Option Plan -  is as of 28th Marc s was declared du and Option I Option and transaction: h Futures as on Sepending transactio tion through Futur re were no non-hed gh Put Options as re were no hedging tions through Optic e were no non-hed gh swaps as on Se of the Portfolio is of the portfolio turnove	Rs. 21.2110  h 2013, 31st March 2013  ring the half year ended S  otember 30, 2013 is Nil an  ns through futures which  es as on September 30, 20  dging transactions throug  on September 30, 2013  g transactions through opt  ons as on September 30, 202  ging transactions through  ptember 30, 2013 is Nil.  5.38 years.  er ratio of equity and equity	d for the hal have been sold is Nil and the futures which futures which futures which futures which futures which the sold is Nil and the future which future whi	Rs. 20.9080 n-business day 0, 2013. Per Un Rs f year ended S squared off/er d for the half y hich have been of or the half y ch have been struments is 0	nit (Rs.) s. 0.4627 s. 0.2138 September typired. sear ended on squared ear ended d/expired. sear ended exercised/	Solar Industries I Larsen & Toubro The Federal Banl Tata Motors Ltd LIC Housing Fin Speciality Restau Maruti Suzuki Ind DQ Entertainmer Radico Khaitan I Sub Total (b) Unlisted Sub Total Total CBLO / Reverse Clearing Corpora Sub Total
Regular Plan - Monthly Dividee Regular Plan - Growth / Month Direct Plan - Monthly Dividen Direct Plan - Growth / Direct I Monthly Payment Option  NAVs at the beginning of the period Dividend in the following plan  Regular Plan - Monthly Dividee Direct Plan - Monthly Dividee Direct Plan - Monthly Dividen (a) Hedging Position throug 30, 2013 there were no I (b) Other than Hedging Posi September 30, 2013 the off/expired. (c) Hedging Position throug September 30, 2013 ther (d) Other than Hedging Posi September 30, 2013 ther expired. (e) Hedging Positions throug The Average Maturity Period of During the current half year, the	is as of 28th Marcs was declared during doption di Option di Option and transaction: h Futures as on Septendiging transactio tion through Future were no non-hed option di Option as we were no hedging transaction; he options as we were no hedging transaction through Options as we were no hedging tions through Option were no non-hedging by waps as on Septendiging transactions of the Portfolio is the portfolio turnove exercities/overseas Exercities/overseas Exercities as a son Septendiging transaction of the portfolio turnove exercities/overseas Exercities as a son Septendiging transaction of the portfolio turnove exercities overseas Exercities as a son Septendiging transaction of the portfolio turnove exercities overseas Exercities as a son Septendiging transaction of the portfolio turnove exercities over the properties of the portfolio turnove exercities over the properties of the p	Rs. 21.2110  th 2013, 31st March 2013  ting the half year ended S  tember 30, 2013 is Nil an  through futures which  es as on September 30, 20  ging transactions through  on September 30, 2013  g transactions through opt  ons as on September 30, 20  ging transactions through  ptember 30, 2013 is Nil.  5.38 years.  er ratio of equity and equit  TFs and its percentage to	d for the hal have been 13 is Nil and the futures which h 013 is Nil and tion which h 013 is Nil and options whi	Rs. 20.9080 n-business da; 0, 2013. Per Ui Rs Rs f year ended S squared off/er d for the half y hich have been for the half y have exercised for the half y have been struments is 0 s at Septembe	nit (Rs.) s. 0.4627 s. 0.2138 September typired. sear ended on squared ear ended d/expired. sear ended exercised/	Solar Industries I Larsen & Toubro The Federal Bank Tata Motors Ltd LIC Housing Fin. Speciality Restau Maruti Suzuki Inc DQ Entertainmer Radico Khaitan I Sub Total (b) Unlisted Sub Total Total CBLO / Reverse Clearing Corpora Sub Total Total
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(AN OPEN ENDED GOV DE	WORLD A DED EVEN	· ·						
(AN OPEN ENDED GOLD EXCHANGE TRADED FUND) PORTFOLIO AS ON SEPTEMBER 30, 2013								
Name of the Instrument	Quantity	Market Value (Rs. in Lacs)	% of Net Assets					
Gold								
Gold (Fineness 0.995) 1KG BAR	409	12,292.60	99.91					
Sub Total		12,292.60	99.91					
Total		12,292.60	99.91					
CBLO / Reverse Repo								
Clearing Corporation of India Ltd		14.00	0.11					
Sub Total		14.00	0.11					
Total		14.00	0.11					
Net Receivables / (Payables)		(2.55)	-0.02					
GRAND TOTAL		12,304.05	100.00					

The provision made for Non Performing Assets as of September 30, 2013 is Nil.

Plan/Option wise Net Asset Values are as follows: As on September 30, 2013 As on March 28, 2013¥ Regular Plan - Growth Option

 NAVs at the end of the period is as of 30th September 2013. ¥ NAVs at the beginning of the period is as of 28th March 2013, March 31, 2012 being a non business day.

No dividend was declared during the half year ended September 30, 2013

Details of derivatives exposure and transaction:

Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired.

Rs. 2942.0147

Rs. 2956.0084

- Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared  $Hedging\ Position\ through\ Put\ Options\ as\ on\ September\ 30,\ 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 30,\ 2013\ there\ were\ no\ hedging\ transactions\ through\ options\ which\ have\ exercised/expired.$
- Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised.
- Hedging Positions through swaps as on September 30, 2013 is Nil. Total market value of Foreign Securities/overseas ETFs as at September 30, 2013 is Nil
- The total value of illiquid Equity Securities is Nil and its percentage to Net Assets is Nil.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil

Name of the Instrument	ISIN	Industry^ / Rating	Quantity	Market Value (Rs.	% of Net Assets
Debt Instruments				in Lacs)	Assets
(a) Listed / awaiting listing on Stock	Exchange				
9.97% Power Finance	INE134E08CK1	CRISIL AAA	200	2,067.81	11.09
Corporation Ltd (FRN) **	INE115A07AK4	CDICH AAA	120	1 107 02	( 12
9.80% LIC Housing Finance Ltd ** 11.35% IDBI Bank Ltd **	INE113A0/AK4 INE008A08Q64		120 110	1,197.92 1,100.91	6.43 5.91
12.50% DLF Ltd **	INE271C07095		2	1,018.65	5.47
9.90% Yes Bank Ltd **	INE528G08246		100	967.50	5.19
9.38% IDBI Bank Ltd **	INE008A08T61	CRISIL AA+	100	963.95	5.17
8.80% Power Grid Corporation	INE752E07KN9	CRISIL AAA	100	942.30	5.06
of India Ltd **					
9.45% IDBI Bank Ltd **	INE008A08T95		50	490.08	2.63
8.80% Export Import Bank of India ** 9.20% Reliance Utilities &		CARE AAA(SO)	50 25	471.14 246.57	2.53 1.32
Power Pvt Ltd	1112/30200032	CARL AAA(50)	23	240.57	1.52
10.30% Yes Bank Ltd **	INE528G08196	ICRA AA	7	69.35	0.37
Sub Total				9,536.18	51.16
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				9,536.18	51.16
Government Securities 8.20% GOI (MD 24/09/2025)	IN0020120047	SOV	1,250,000	1,154.38	6.19
7.16% GOI (MD 20/05/2023)	IN0020120047 IN0020130012	SOV	500,000	448.40	2.41
7.61% GOI (MD 20/03/2023) 7.61% GOI OIL Bond (07/03/2015)	IN0020150012 IN0020050061	SOV	183,000	180.05	0.97
Total			,000	1,782.83	9.57
Equity & Equity related					
(a) Listed / awaiting listing on Stock	Exchanges				
Repco Home Finance Ltd	INE612J01015	Finance	163,828	411.78	2.21
Infosys Ltd	INE009A01021		10,300	310.34	1.66
Sun Pharmaceuticals Industries Ltd		Pharmaceuticals	48,000	284.78	1.53
Eicher Motors Ltd	INE066A01013		7,215	256.88	1.38
Hero MotoCorp Ltd	INE158A01026		10,000	200.93	1.08
Just Dial Limited Oracle Financial Services	INE599M01018 INE881D01027		22,510	190.03	1.02 0.99
Oracle Financial Services Software Ltd	111E001D0102/	Softwale	6,000	184.43	0.99
IPCA Laboratories Ltd	INE571A01020	Pharmaceuticals	25,000	178.85	0.96
HDFC Bank Ltd	INE040A01026	Banks	30,000	177.92	0.95
Page Industries Ltd	INE761H01022	Textile Products	4,000	175.74	0.94
ITC Ltd	INE154A01025	Consumer Non Durables	50,000	170.20	0.91
Idea Cellular Ltd	INE669E01016	Telecom - Services	97,500	164.04	0.88
Indraprastha Gas Ltd	INE203G01019		60,000	161.61	0.87
V-Mart Retail Limited	INE665J01013	Retailing	74,267	145.67	0.78
HCL Technologies Ltd	INE860A01027		13,000	141.34	0.76
Motherson Sumi Systems Ltd		Auto Ancillaries	60,000	137.97	0.74
United Spirits Ltd Dr. Reddy'S Laboratories Ltd		Consumer Non Durables Pharmaceuticals	5,200 5,300	131.73 126.20	0.71
The South Indian Bank Ltd	INE683A01023		600,000	118.80	0.64
Indusind Bank Ltd	INE095A01012		30,500	112.47	0.60
Zee Entertainment Enterprises Ltd		Media & Entertainment		102.83	0.55
Bayer CropScience Ltd	INE462A01022	Pesticides	6,600	102.04	0.55
ING Vysya Bank Ltd	INE166A01011	Banks	18,487	99.04	0.53
Jyothy Laboratories Ltd	INE668F01031	Consumer Non Durables	56,764	95.42	0.51
Shriram City Union Finance Ltd	INE722A01011		9,000	95.22	0.51
Hathway Cable & Datacom Ltd	INE982F01028	Media & Entertainment		91.74	0.49
AIA Engineering Ltd		Industrial Capital Goods	25,000	78.58	0.42
Tech Mahindra Ltd	INE669C01028		5,882	78.57	0.42
Reliance Industries Ltd		Petroleum Products	9,500	78.13	0.42
Sesa Sterlite Ltd The Phoenix Mills Ltd	INE205A01025 INE211B01039	Minerals/Mining Construction	43,200 33,000	78.08 76.20	0.42 0.41
ICICI Bank Ltd	INE090A01013		8,000	70.69	0.41
Tata Consultancy Services Ltd	INE467B01029		3,500	67.47	0.36
HT Media Ltd		Media & Entertainment		65.81	0.35
United Phosphorus Ltd	INE628A01036		36,000	52.15	0.28
Pidilite Industries Ltd	INE318A01026	Chemicals	19,900	49.95	0.27
NMDC Ltd	INE584A01023	Minerals/Mining	40,000	48.04	0.26
PTC India Ltd	INE877F01012	Power	101,400	47.10	0.25
Solar Industries India Ltd	INE343H01011		5,000	46.53	0.25
Larsen & Toubro Ltd		Construction Project	5,000	39.44	0.21
The Federal Bank Ltd	INE171A01011		10,300	29.31	0.16
Tata Motors Ltd DVR Shares	IN9155A01020		17,155	28.08	0.15
LIC Housing Finance Ltd	INE115A01026		12,000	22.55	0.12
Speciality Restaurants Ltd  Maruti Suzuki India Ltd	INE247M01014 INE585B01010		11,851 850	14.51 11.55	0.08
Maruti Suzuki India Ltd  DQ Entertainment (International) Ltd				8.64	0.06
Radico Khaitan Ltd	INE956K01010 INE944F01028			0.67	\$.00
Sub Total		- Januari 11011 Durables	. 200	5,360.05	28.76
(b) Unlisted				3,500.03 NIL	NIL
Sub Total				NIL	NIL
Total				5,360.05	28.76
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				1,131.00	6.07
Sub Total				1,131.00	6.07
Total				1,131.00	6.07
Net Receivables / (Payables)					

RN - Floating Rate Note Thinly Traded/Non Traded Se

\$ Less Than 0.01% of Net Asset Value ended by AMFI and wherever not available, internal classification has been used ^Industry classification as recomn Notes:

(1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.

Plan/Option wise Net Asset Values are as follows

As on September 30, 2013 As on March 28, 2013 • Rs. 11.1361 Rs. 11.2874 Regular Plan - Monthly Dividend Option Regular Plan - Growth / Monthly Payment Option Rs. 20.9755 Rs. 20.4349 Direct Plan - Monthly Dividend Option Rs. 11.8353 Rs. 11.5113 Direct Plan - Growth / Monthly Payment Option Rs. 21.0350 Rs. 20.4593 • NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day

(3) Dividend in the following plans was declared during the half year ended September 30, 2013.

Regular Plan - Monthly Dividend Option Details of derivatives exposure and transaction:

Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September (3) 30, 2013 there were no hedging transactions through futures which have been squared off/expired

- Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared
- Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended  $September\ 30, 2013\ there\ were\ no\ hedging\ transactions\ through\ option\ which\ have\ exercised/expired.$
- Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/ expired.
- (e) Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 3.81 years
- During the current half year, the portfolio turnover ratio of equity and equity related instruments is 0.17 times Total market value of Foreign Securities/overseas ETFs and its percentage to Net Assets as at September 31, 2013
- The total value of illiquid Equity Securities is Nil and its percentage to Net Assets is Nil.
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

AVAILABILITY OF DISTRIBUTABLE SURPLUS) PORTFOLIO AS ON SEPTEMBER 30, 2013 Net Value (Rs. in Lacs) Debt Instruments (a) Listed / awaiting listing on Stock Exchange INE271C07095 ICRA A 2.037.30 12.50% DLF Ltd \*\* 16.33 INE148I07290 CARE AA+ 150 Indiabulls Housing Finance 1,561.55 12.52 Ltd (ZCB) \*\* INE134E08CK1 CRISIL AAA 9.97% Power Finance 1,550.86 12.43 Corporation Ltd (FRN) \*\* 9.20% Reliance Utilities & INE936D08032 CARE AAA(SO) 100 986.27 7.91 Power Pvt Ltd 11.00% IDBI Bank Ltd \*\* INE008A08Q07 CRISIL AA+ 9.38% IDBI Bank Ltd \*\* INE008A08T61 CRISIL AA+ 385.58 3.09 9.38% National Bank For INE261F09HB5 CRISIL AAA 10 99.77 0.80 Agriculture and Rural Development \* 11.25% Power Financ INE134E08BH9 CRISIL AAA 52.84 0.42 Corporation Ltd Sub Total 7,346.95 58.91 (b) Privately placed / Unlisted NIL NIL Sub Total NIL NIL 7,346.95 58.91 Government Securities 8.6% Kerala SDL (MD 23/01/2023) IN2020120092 SOV 1,000,000 939.09 8.28% GOI (M/D 21/09/2027) IN0020070069 1,000,000 932.50 7.48 6.90% GOI (MD 13/07/2019) IN0020090042 SOV 304,600 276.16 2.21 2,147.75 17.22 Equity & Equity related (a) Listed / awaiting listing on Stock Exchanges INE154A01025 Consumer Non Durables ITC Ltd 36,480 124.18 1.00 Infosys Ltd INE009A01021 Software 3,000 90.39 0.72 INE002A01018 Petroleum Products Reliance Industries Ltd 10,480 86.19 0.69 HDFC Bank Ltd INE040A01026 Banks 13,480 79.94 0.64 8,790 ICICI Bank Ltd INE090A01013 Banks 77.67 0.62 Housing Development Finance INE001A01036 Finance 9,120 69.70 0.56 INE397D01024 Telecom - Services 65.80 0.53 Bharti Airtel Ltd 20,650 Tech Mahindra Ltd INE669C01028 Software 56.25 0.45 4,211 Dr. Reddy'S Laboratories Ltd INE089A01023 Pharmaceuticals 2,100 50.00 0.40 NTPC Ltd INE733E01010 Power 32,500 47.92 0.38 INE860A01027 Software 47.84 0.38 HCL Technologies Ltd 4,400 Tata Consultancy Services Ltd INE467B01029 Software 2,400 46.27 0.37 INF018A01030 Construction Project Larsen & Toubro Ltd 5,720 45.12 0.36 Sesa Sterlite Ltd INE205A01025 Minerals/Mining 24,130 43.61 0.35 Cummins India Ltd INE298A01020 Industrial Product 9,900 40.42 0.32 Grasim Industries Ltd INE047A01013 Cement 1.500 39.69 0.32 INE326A01037 Pharmaceuticals 4,500 38.51 0.31 Lupin Ltd INE323A01026 Auto Ancillaries Bosch Ltd 400 36.19 0.29 ING Vysya Bank Ltd INE166A01011 Banks 6,700 35.90 0.29 INE059A01026 Pharmaceuticals 33.30 Cipla Ltd 7,700 0.27 INE722A01011 Finance Shriram City Union Finance Ltd 3,029 32.05 0.26 Bajaj Auto Ltd INE917I01010 Auto 1.580 31.40 0.25 INE095A01012 Banks Indusind Bank Ltd 7,688 28.35 0.23 INE585B01010 Auto Maruti Suzuki India Ltd 1,880 25.54 0.20 Just Dial Limited INE599M01018 Software 3,000 25.33 0.20 Motherson Sumi Systems Ltd INE775A01035 Auto Ancillaries 11,000 25.29 0.20 Crompton Greaves Ltd INE067A01029 Industrial Capital Goods 28,500 24.52 0.20 Cairn India Ltd INE910H01017 Oil 7,500 23.91 0.19 Sun Pharmaceuticals Industries Ltd INE044A01036 Pharmaceuticals 3,800 22.55 0.18 Oil & Natural Gas Corporation Ltd INE213A01029 Oil 8,300 22.23 0.18 United Spirits Ltd INE854D01016 Consumer Non Durables 842 21.33 0.17 LIC Housing Finance Ltd INE115A01026 Finance 11,300 21.24 0.17 Bharat Petroleum Corporation Ltd INE029A01011 Petroleum Products 5,990 19.84 0.16 Alstom India Ltd INE878A01011 Industrial Capital Goods 5,700 19.37 0.16 INE102D01028 Consumer Non Durables Godrej Consumer Products Ltd 2,300 19.26 0.15 Wipro Ltd INE075A01022 Software 3,990 18.87 0.15 Britannia Industries Ltd INE216A01022 Consumer Non Durables 2.240 18.41 0.15 Tata Steel Ltd INE081A01012 Ferrous Metals 18.19 6,700 0.15 INE239A01016 Consumer Non Durables Nestle India Ltd 17.22 0.14 330 NMDC Ltd INE584A01023 Minerals/Mining 14,000 16.81 0.13 Reliance Capital Ltd INE013A01015 Finance 16.71 5,300 0.13 Sun TV Network Ltd INE424H01027 Media & Enterta 3,720 14.63 0.12 Jindal Steel & Power Ltd INE749A01030 Ferrous Metals 6,200 14.60 0.12 Marico Ltd INE196A01026 Consumer Non Durables 6,610 14.54 0.12 INE256A01028 Media & Entertainment Zee Entertain 6,300 14.40 0.12 Jet Airways (India) Ltd INE802G01018 Transportation 3,539 12.71 0.10 Hindustan Zinc Ltd INE267A01025 Non - Ferrous Metals 9,000 0.10 12.02 Tata Motors Ltd DVR Share IN9155A01020 Auto 7,240 11.85 0.10 Coal India Ltd INE522F01014 Minerals/Mining 4,000 11.78 0.09 Akzo Nobel India Ltd INE133A01011 Consumer Non Durables 1,400 11.49 0.09 Shree Cements Ltd INE070A01015 Cement 240 9.90 0.08 INF455F01025 Construction Jaiprakash Associates Ltd 28,000 9.77 0.08 INE062A01012 Banks State Bank of India 9.69 0.08 600 INE623B01027 Retailing Future Retail Limited 13,500 9.56 0.08 Hindustan Unilever Ltd INE030A01027 Consumer Non Durables 1.340 8.41 0.07 INE668F01031 Consumer Non Durables Jyothy Laboratories Ltd 5,000 0.07 8.41 Oil India Ltd INE274J01014 Oil 1,900 8.31 0.07 Kewal Kiran Clothing Limited INE401H01017 Textile Products 832 6.79 0.05 The Federal Bank Ltd INE171A01011 Banks 2,300 6.55 0.05 Bank of India INE084A01016 Banks 3,200 5.05 0.04 4.84 Infrastructure Development INE043D01016 Finance 5,500 0.04 Finance Company Ltd IL&FS Transportation Networks Ltd INE975G01012 Transportation 4,700 4.81 0.04 INE742F01042 Transportation 4.31 Economic Zone Ltd INE155A01022 Auto Tata Motors Ltd 3.99 0.03 Divi's Laboratories Ltd INE361B01024 Pharmaceuticals 400 3.88 0.03 D.B.Corp Ltd INE950I01011 Media & Entertainment 3.64 1,500 0.03 Jagran Prakashan Ltd INE199G01027 Media & Entertainment 4,114 3.37 0.03 Power Grid Corporation of India Ltd INE752E01010 Power 540 0.53 \$.00 INE491A01021 Banks 0.27 City Union Bank Ltd 630 \$.00 ,858.70 14.90 Sub Total (b) Unlisted NIL NIL 4.45 Sub Total NIL NIL 18,639.01 100.00 1,858.70 14.90 CBLO / Reverse Repo Clearing Corporation of India Ltd 726.00 726.00 Total 726.00 5.82 Net Receivables / (Payables) 393.12 3.15

GRAND TOTAL FRN - Floating Rate Note . ZCB - Zero Coupon Bond

\*\* Thinly Traded / Non Traded Security \$ Less Than 0.01% of Net Asset Value

^Industry classification as recommended by AMFI and wherever not available, internal classification has been used # Unlisted Security ~ Illiquid Security

The provision made for Non Performing Assets as of September 30, 2013 is Nil. Plan/Option wise Net Asset Values are as follows:

As on September 30, 2013 As on March 28, 2013 • Regular Plan - Monthly Dividend Option Rs. 11.0946 Rs. 11.3732 Regular Plan - Growth / Monthly Payment Option Rs. 30.9286 Rs. 30.4733 Direct Plan - Monthly Dividend Option Rs. 11.7332 Direct Plan - Growth / Monthly Payment Option Rs. 31.0181 Rs. 30.5079 • NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.

Dividend in the following plans was declared during the half year ended September 30, 2013 Per Unit (Rs.) Direct Plan - Monthly Dividend Option Regular Plan - Monthly Dividend Option Details of derivatives exposure and transaction

- Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired. Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared
- $Hedging\ Position\ through\ Put\ Options\ as\ on\ September\ 30,\ 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 30,\ 2013\ there\ were\ no\ hedging\ transactions\ through\ option\ which\ have\ exercised/expired.$
- Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised expired.
- Hedging Positions through swaps as on September 30, 2013 is Nil.
- The Average Maturity Period of the Portfolio is 4.23 years.
- During the current half year, the portfolio turnover ratio of equity and equity related instruments is 0.13 times Total market value of Foreign Securities/overseas ETFs and its percentage to Net Assets as at September 31, 2013
- The total value of illiquid Equity Securities is 0.05 crores and its percentage to Net Assets is 0.04%
- Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

# ☑ Over 2.2 million investor scheme accounts



This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September, 2013.

Mutual Fund

### Half Yearly Portfolio Statement as at September 30, 2013

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996) BIRLA SUN LIFE INDIA GENNEXT FUND

(AN OPEN ENDED GROWTH SCHEME)

PO Name of the Instrument	RTFOLIO AS OF	N SEPTEMBER 30, 2013 Industry^	Quantity	Market	% of
		v		Value (Rs. in Lacs)	Net Assets
Equity & Equity related				III Dues)	720000
(a) Listed / awaiting listing on Stock	Exchanges				
Motherson Sumi Systems Ltd			1,900,000	4,369.05	4.84
Solar Industries India Ltd  IPCA Laboratories Ltd	INE343H01011		421,000	3,917.41	4.34
Emami Ltd		Pharmaceuticals Consumer Non Durable	535,000	3,827.39 2,910.00	3.23
Bata India Ltd		Consumer Durables	320,200	2,742.67	3.04
Shree Cements Ltd	INE070A01015		64,942	2,677.98	2.97
Glenmark Pharmaceuticals Ltd	INE935A01035	Pharmaceuticals	500,000	2,654.75	2.94
AIA Engineering Ltd	INE212H01026	Industrial Capital Goods	839,092	2,637.27	2.92
Divi's Laboratories Ltd		Pharmaceuticals	260,000	2,522.91	2.80
Britannia Industries Ltd		Consumer Non Durable	s 300,000	2,466.00	2.73
Cummins India Ltd		Industrial Products	600,000	2,449.50	2.72
The Phoenix Mills Ltd	INE211B01039		1,060,000	2,447.54	2.71
ING Vysya Bank Ltd Tech Mahindra Ltd	INE166A01011 INE669C01028		446,314 178,466	2,391.13 2,383.86	2.65
Tata Global Beverages Ltd		Consumer Non Durable		2,253.41	2.50
Karur Vysya Bank Ltd	INE036D01010		687,000	2,138.63	2.37
Indraprastha Gas Ltd	INE203G01019		789,000	2,125.17	2.36
Torrent Pharmaceuticals Ltd		Pharmaceuticals	484,856	2,124.64	2.36
Hathway Cable & Datacom Ltd	INE982F01028	Media & Entertainment	710,000	2,035.57	2.26
Madras Cements Ltd	INE331A01037	Cement	1,120,174	1,997.83	2.21
NIIT Technologies Ltd	INE591G01017	Software	640,000	1,803.20	2.00
LIC Housing Finance Ltd	INE115A01026		950,000	1,785.53	1.98
Eicher Motors Ltd	INE066A01013		50,000	1,780.18	1.97
The Federal Bank Ltd	INE171A01011		625,000	1,778.75	1.97
Supreme Industries Ltd		Industrial Products	500,000	1,690.00	1.87
Blue Dart Express Ltd  Marico Ltd	INE233B01017	Transportation Consumer Non Durable	63,000	1,651.73 1,649.25	1.83
The South Indian Bank Ltd	INE190A01020 INE683A01023		8,100,000	1,603.80	1.78
Shriram City Union Finance Ltd	INE722A01011		150,000	1,587.08	1.76
PTC India Ltd	INE877F01012		2,949,890	1,370.22	1.52
Havells India Ltd	INE176B01026	Consumer Durables	200,000	1,271.70	1.41
Sadbhav Engineering Ltd	INE226H01026	Construction Project	2,117,000	1,270.20	1.41
Just Dial Limited	INE599M01018	Software	148,400	1,252.79	1.39
Thermax Ltd	INE152A01029	Industrial Capital Goods	210,000	1,230.60	1.36
Sun TV Network Ltd	INE424H01027	Media & Entertainment	300,000	1,179.60	1.31
Hexaware Technologies Ltd	INE093A01033		900,000	1,156.95	1.28
FAG Bearings India Ltd		Industrial Products	90,326	1,133.23	1.26
Kalpataru Power Transmission Ltd Coromandel International Ltd	INE220B01022		1,852,110	1,073.30	1.19
Indusind Bank Ltd	INE169A01031 INE095A01012		450,000	1,053.23 1,050.15	1.17
Prestige Estates Projects Ltd	INE093A01012 INE811K01011		284,786 825,000	968.96	1.07
Bajaj Corp Ltd		Consumer Non Durable		929.52	1.03
Akzo Nobel India Ltd		Consumer Non Durable		820.90	0.91
Credit Analysis and Research Limite			124,692	690.54	0.77
City Union Bank Ltd	INE491A01021	Banks	1,500,000	636.00	0.70
Simplex Infrastructures Ltd	INE059B01024	Construction	977,135	554.52	0.61
Gujarat State Fertilizers &	INE026A01025	Fertilisers	1,000,000	527.00	0.58
Chemicals Ltd	INIE010101017	Coftwor-	20 205	467.05	0.50
Mindtree Ltd Jet Airways (India) Ltd	INE018I01017 INE802G01018	Software Transportation	38,385 122,537	467.95 440.09	0.52
Crompton Greaves Ltd		Industrial Capital Goods		403.70	0.45
Future Lifestyle Fashions Ltd **#~	INE007A01029 INE452O01016	•	233,333	274.17	0.30
Wabco India Ltd	INE342J01019		13,700	238.24	0.26
Indian Bank	INE562A01011	Banks	174,411	117.55	0.13
Sub Total				88,513.34	98.11
(b) Unlisted				NIL	NII
Sub Total				NIL	NII
Total				88,513.34	98.11
Cash and Bank				0.47	\$
Total				0.47	
Margin (Future and Options)				263.00	0.29
Total				263.00	0.29
CBLO / Reverse Repo Clearing Corporation of India Ltd				1,330.00	1.47
Sub Total				1,330.00	1.47
Total				1,330.00	1.47
				-,000	
Net Receivables / (Payables)				108.05	0.12

\*\* Thinly Traded/Non Traded Security

Industry classification as recommended by AMFI and wherever not available, internal classification has been used # Unlisted Security ~ Illiquid Security

\$ Less Than 0.01% of Net Asset Value

(1) The provision made for Non Performing Assets as of September 30, 2013 is Nil. (2) Plan/Option wise Net Asset Values are as follows

As on September 30, 2013 As on March 28, 2013 Regular Plan - Dividend Option Rs. 17.43 Rs 20 20 Rs. 107.72 Regular Plan - Growth Option Rs. 100.47 Rs. 20.24 Direct Plan - Dividend Option Rs. 18.95 Direct Plan - Growth Option Rs. 107.86 Rs. 100.93

NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day Dividend in the following plans was declared during the half year ended September 30, 2013

Regular Plan - Dividend Option Rs. 1.5000

Details of derivatives exposure and transaction:

(a) Hedging Position through Futures as on September 30, 2013 is Nil

For the period ended September 30, 2013 following hedging transactions through futures which have been also considered to the period of thesquared off/expired Gross Notional Gross Notional Net Profit/Loss Total Number Total Number

	where futures were bought			contracts combined Rs.					
	1,264	1,264 1,264 275,154,922.80 256,869,686.10		256,869,686.10	(18,285,236.70)				
(b)	Other than Hedging Position through Futures as on September 30, 2013 is Nil.								
	Total Number Total Number of contracts of contracts		Gross Notional	Gross Notional	Net Profit/Loss				

contracts where futures where futures where futures where futures were bought were sold were bought Rs were sold Rs combined Rs. 1,304 260,982,453,20 244,359,290.00 (16,623,163.20) 1.304 Hedging Position through Put Opt ons as on September 30, 2013 is Nil and for the half year ended

September 30, 2013 there were no hedging transactions through options which have exercised/expired. Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/ expired.

Hedging Positions through swaps as on September 30, 2013 is Nil.

During the current half year, the portfolio turnover ratio of equity and equity related instruments is 0.39 times  $Total\ market\ value\ of\ Foreign\ Securities/overseas\ ETFs\ and\ its\ percentage\ to\ Net\ Assets\ as\ at\ September\ 31,2013$ 

The total value of illiquid Equity Securities is  $2.74\,crores$  and its percentage to Net Assets is 0.30%

Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

Name of the Instrument	ISIN	Industry^	Quantity	Market Value (Rs.	% o Ne Asset
Equity & Equity related				in Lacs)	Asset
(a) Listed / awaiting listing on Stock	Exchanges				
ITC Ltd		Consumer Non Durables	260,000	885.04	5.2
Housing Development Finance Corporation Ltd	INE001A01036	Finance	110,000	840.68	4.9
United Spirits Ltd	INE854D01016	Consumer Non Durables	30,000	759.96	4.4
HDFC Bank Ltd	INE040A01026	Banks	100,000	593.05	3.4
IPCA Laboratories Ltd		Pharmaceuticals	80,000	572.32	3.3
PVR Ltd		Media & Entertainment		555.72	3.2
Sun Pharmaceuticals Industries Ltd		Pharmaceuticals	90,000	533.97	3.1
Emami Ltd		Consumer Non Durables		509.25	3.0
Idea Cellular Ltd Zee Entertainment Enterprises Ltd		Telecom - Services Media & Entertainment	300,000	504.75	2.9
ICICI Bank Ltd	INE090A01013		50,000	457.00 441.83	2.6
Glenmark Pharmaceuticals Ltd		Pharmaceuticals	81,000	430.07	2.5
Lupin Ltd		Pharmaceuticals	50,000	427.93	2.5
Maruti Suzuki India Ltd	INE585B01010		30,000	407.57	2.4
Jyothy Laboratories Ltd		Consumer Non Durables		395.04	2.3
Hathway Cable & Datacom Ltd	INE982F01028			394.53	2.3
Bata India Ltd	INE176A01010	Consumer Durables	45,000	385.45	2.2
Pidilite Industries Ltd	INE318A01026	Chemicals	150,000	376.50	2.2
The Phoenix Mills Ltd	INE211B01039		150,000	346.35	2.0
Mahindra & Mahindra Ltd	INE101A01026		40,000	330.98	1.9
Exide Industries Ltd		Auto Ancillaries	250,000	323.38	1.9
Page Industries Ltd		Textile Products	7,326	321.87	1.9
Karur Vysya Bank Ltd	INE036D01010		103,000	320.64	1.8
Zydus Wellness Ltd		Consumer Non Durables		319.92	1.8
ING Vysya Bank Ltd	INE166A01011		57,340	307.20 284.83	1.8
Eicher Motors Ltd The Federal Bank Ltd	INE066A01013 INE171A01011		8,000 100,000	284.60	1.6 1.6
V-Mart Retail Limited	INE665J01013		140,000	274.61	1.6
Bajaj Corp Ltd		Consumer Non Durables		262.65	1.5
Dabur India Ltd		Consumer Non Durables		254.25	1.5
Tata Global Beverages Ltd		Consumer Non Durables		248.14	1.4
Britannia Industries Ltd		Consumer Non Durables		246.60	1.4
D.B.Corp Ltd	INE950I01011	Media & Entertainment	100,000	242.90	1.4
Indusind Bank Ltd	INE095A01012	Banks	65,000	239.69	1.4
Jagran Prakashan Ltd	INE199G01027	Media & Entertainment	291,417	238.67	1.4
Bajaj Auto Ltd	INE917I01010	Auto	12,000	238.49	1.4
Sun TV Network Ltd		Media & Entertainment	60,000	235.92	1.3
The South Indian Bank Ltd MT Educare Ltd	INE683A01023 INE472M01018	Diversified Consumer	209,263	198.00 195.87	1.1 1.1
Dhami Aimal Fad	INE207D01024	Services	60,000	101.10	1.1
Bharti Airtel Ltd Prestige Estates Projects Ltd	INE811K01011	Telecom - Services	60,000 150,000	191.19 176.18	1.1
Kotak Mahindra Bank Ltd	INE237A01028		25,000	169.53	1.0
Asian Paints Ltd		Consumer Non Durables		137.78	0.8
Havells India Ltd		Consumer Durables	20,000	127.17	0.7
HT Media Ltd		Media & Entertainment		89.45	0.5
Jubilant Foodworks Ltd		Consumer Non Durables		86.72	0.5
Just Dial Limited	INE599M01018	Software	8,150	68.80	0.4
Trent Ltd	INE849A01012		5,000	46.44	0.2
Future Lifestyle Fashions Ltd **#~	INE452O01016		33,333	39.17	0.2
Shopper's Stop Ltd	INE498B01024		10,000	36.02	0.2
Oracle Financial Services Software Ltd	INE881D01027	Software	559	17.18	0.1
Sub Total				16,371.85	96.4
(b) Unlisted				NIL	NI
Sub Total Total				NIL 16,371.85	NI 06.4
CBLO / Reverse Repo				10,5/1.85	96.4
Clearing Corporation of India Ltd				622.00	3.6
Sub Total				622.00	3.6
Total				622.00	3.6
Net Receivables / (Payables)				(23.43)	-0.1
GRAND TOTAL				16,970.42	100.0

Thinly Traded / Non Traded Security ^Industry classification as recommended by AMFI and wherever not available, internal classification has been used # Unlisted Security ~ Illiquid Security

Direct Plan - Growth Option

The provision made for Non Performing Assets as of September 30, 2013 is Nil. Plan/Option wise Net Asset Values are as follows: As on September 30, 2013 As on March 28, 2013¥ Regular Plan - Dividend Option Regular Plan - Growth Option Rs. 30.79 Rs. 29.40 Direct Plan - Dividend Option Rs. 16.21 Rs. 15.42

 NAVs at the end of the period is as of 30th September 2013. ¥ NAVs at the beginning of the period is as of 28th March 2012, March 31, 2012 being a non business day.

Dividend in the following plans was declared during the half year ended September 30, 2013. Per Unit (Rs.) Regular Plan - Dividend Option

Details of derivatives exposure and transaction:

Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired.

Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared off/expired.

Hedging Posi September 30, 2013 there were no hedging transactions through options which have exercised/expired. Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/

Hedging Positions through swaps as on September 30, 2013 is Nil.

During the current half year, the portfolio turnover ratio of equity and equity related instruments is 0.64 times Total market value of Foreign Securities/overseas ETFs as at September 30, 2013 is Nil.

The total value of illiquid Equity Securities is 0.39 crores and its percentage to Net Assets is 0.23% Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

BIRLA SUN LIFE INTERVAL INCOME FUND - ANNUAL PLAN VIII (368 DAYS) (AN INTERVAL INCOME SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013 Name of the Instrument in Lacs) Ioney Market Instrument Certificate of Deposit ICICI Bank Ltd \*\* # INE090A16A79 ICRA A1+ 21,300 19,822.62 Yes Bank Ltd \*\* # INE528G16VE5 ICRA A1+ 21,000 19,508,46 ING Vysya Bank Ltd \*\* # INE166A16JG7 CRISIL A1+ 15,000 13,941.20 20.76 INE008A16QF8 CRISIL A1-929.96 Sub Total 54,202.24 80.70 Commercial Paper INE296A14FN1 CRISIL A1+ 12,937.83 Bajaj Finance Ltd \*\* # 2,800 Sub Total 12,937.83 19.26 Total 67,140.07 99.96

31.00

31.00

31.00

(4.00)

67,167.07 100.00

0.05

0.05

0.05

-0.01

Net Receivables / (Payables) GRAND TOTAL \*\* Thinly Traded / Non Traded Security

CBLO / Reverse Repo

# Unlisted Security

Sub Total

Clearing Corporation of India Ltd

The provision made for Non Performing Assets as of September 30, 2013 is Nil.

Plan/Option wise Net Asset Values are as follows As on September 30, 2013 As on March 28, 2013## Regular Plan - Growth Option Rs. 10.0705 NA Regular Plan - Dividend Option Rs. 10.0705 Direct Plan - Growth Option Rs. 10.0711

## Birla Sun Life Interval Income Fund- Annual Plan 8 has been launched during the current half year. No dividend was declared during the half year ended September 30, 2013.

Details of derivatives exposure and transaction

Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired. Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared

off/expired.  $Hedging\ Position\ through\ Put\ Options\ as\ on\ September\ 30,\ 2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 30,\ 2013\ there\ were\ no\ hedging\ transactions\ through\ options\ which\ have\ exercised/expired.$ Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/

Hedging Positions through swaps as on September 30, 2013 is Nil. The Average Maturity Period of the Portfolio is 0.78 years.

(AN OPEN ENDED GROWTH SCHEME) PORTFOLIO AS ON SEPTEMBER 30. 2013 Name of the Instrumen Market % of Net Value (Rs. in Lacs) Equity & Equity related (a) Listed / awaiting listing on Stock Exchanges HCL Technologies Ltd INE860A01027 Software 304.42 28,000 8.00 Cummins India Ltd INE298A01020 Industrial Products 71,515 291.96 7.67 Tech Mahindra Ltd INE669C01028 Software 20,000 267.15 7.02 Infosys Ltd INE009A01021 Software 259.12 6.81 8,600 Tata Consultancy Services Ltd INE467B01029 Software 13,000 250.61 6.59 Honeywell Automation India Ltd INE671A01010 Industrial Capital Goods 7,763 197.22 5.18 INE089A01023 Pharmaceuticals Dr. Reddy'S Laboratories Ltd 190.49 5.01 INE326A01037 Pharmaceuticals 171.17 4.50 Lupin Ltd 20,000 Bajaj Auto Ltd INE917I01010 Auto 8,000 159.00 4.18 AIA Engineering Ltd INE212H01026 Industrial Capital Goods 49,921 156.90 4.12 Hindustan Zinc Ltd INE267A01025 Non - Ferrous Metals 116,000 154.92 4.07 Wabco India Ltd INE342J01019 Auto Ancillaries 8,716 151.57 3.98 INE007A01025 Finance CRISIL Ltd 12,000 141.39 3.72 Cipla Ltd INE059A01026 Pharmaceuticals 30,000 129.75 3.41 Reliance Industries Ltd INE002A01018 Petroleum Products 15,000 123.36 3.24 Sun Pharmaceuticals Industries Ltd INE044A01036 Pharmaceuticals 20,000 118.66 3.12 INE226A01021 Construction Project 140,000 103.46 2.72 Balkrishna Industries Ltd INE787D01026 Auto Ancillaries 2.52 40,000 95.94 KEC International Ltd INE389H01022 Power 310,000 84.01 2.21 NIIT Technologies Ltd INE591G01017 Software 28,000 78.89 2.07 INE571A01020 Pharmaceuticals 10,000 1.88 Mindtree Ltd INE018I01017 Software 48.76 1.28 4,000 Sesa Sterlite Ltd INE205A01025 Minerals/Mining 21,000 37.96 1.00 INE356A01018 Software Mphasis Ltd 8,000 35.10 0.92 Biocon Ltd INE376G01013 Pharmaceuticals 10,000 33.77 0.89 Persistent Systems Ltd INE262H01013 Software 5,133 32.51 0.85 DO Entertainment (International) Ltd INE656K01010 Media & Entertainment 27,517 3.45 0.09 Sub Total 3,693.08 97.07 (b) Unlisted Magnasound (I) Ltd \*\* # Miscellaneous 75,000 0.00 Sree Rama Polymer \*\* # Miscellaneous 100,000 0.00 Oriqua Ltd \*\* # INE226001019 Miscellaneous 0.00 103,100 Collabera Solutions \*\* # INE763E01017 Miscellaneous 83,640 0.00 Jainpur Straw Brd \*\* # INE146201012 Miscellaneous 100,000 0.00 Sub Total 0.00 3,693.08 97.07 CBLO / Reverse Repo Clearing Corporation of India Ltd 99.00 2.60 Sub Total 99.00 2.60 Total 99.00 2.60 Net Receivables / (Payables) 12.42 0.33 GRAND TOTAL 3,804.50

\* Thinly Traded / Non Traded Security

# Unlisted Security

\*\* Unisted Security

\*\* Less Than 0.01% of Net Asset Value

\*\*Industry classification as recommended by AMFI and wherever not available, internal classification has been used

(1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.

Plan/Option wise Net Asset Values are as follows: As on September 30, 2013 As on March 28, 2013¥ Regular Plan - Dividend Option Rs. 17.06 Rs. 15.53 Rs. 51.51 Rs. 17.12 Direct Plan - Dividend Option Rs. 15.55 Direct Plan - Growth Option Rs. 56.76 Rs. 51.56

• NAVs at the end of the period is as of 30th September 2013.

¥ NAVs at the beginning of the period is as of 28th March 2013, March 31, 2012 being a non business day. (3) No dividend was declared during the half year ended September 30, 2013.

Details of derivatives exposure and transaction:

 $(a) \qquad \text{Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and for the half year ended September 30, 2013 is Nil and September 3$ 30, 2013 there were no hedging transactions through futures which have been squared off/expired. Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended

September 30, 2013 there were no non-hedging transactions through futures which have been squared Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended

September 30, 2013 there were no hedging transactions through options which have exercised/expired Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended

September 30, 2013 there were no non-hedging transactions through options which have been exercised. (e) Hedging Positions through swaps as on September 30, 2013 is Nil. During the current half year, the portfolio turnover ratio of equity and equity related instruments is 0.30 times

 $Total\ market\ value\ of\ Foreign\ Securities/overseas\ ETFs\ and\ its\ percentage\ to\ Net\ Assets\ as\ at\ September\ 31,2013$ The total value of illiquid Equity Securities is Nil and its percentage to Net Assets is Nil

(7) Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

#### BIRLA SUN LIFE GILT PLUS - PF PLAN (AN OPEN ENDED GOVERNMENT SECURITIES SCHEME) PORTFOLIO AS ON SEPTEMBER 30, 2013 Name of the Instrur Value (Rs. Net in Lacs) Fovernment Securities 3 20% GOL (MD 24/09/2025) IN0020120047 SOV 1,500,000 1,385,25 44.13 8.62% Tamil Nadu SDL IN3120120149 SOV 712,000 669,77 21.34 MD 20/02/2023) 8.28% GOI (M/D 21/09/2027) IN0020070069 SOV 500,000 466.25 7.16% GOI (MD 20/05/2023) 448.40 14.29 2,969.67 94.61 CBLO / Reverse Repo Clearing Corporation of India Ltd 149.00 4.75 149.00 4.75 Sub Total 149.00 4.75 Total Net Receivables / (Payables) 20.21 0.64 GRAND TOTAL 3,138.88 100.00 ^Industry classification as recommended by AMFI and wherever not available, internal cla

The provision made for Non Performing Assets as of September 30, 2013 is Nil.

Plan/Option wise Net Asset Values are as follows:

As on September 30, 2013 • As on March 28, 2013¥ Rs. 30.3169 Regular Plan - Growth Option Rs. 30.9467 Regular Plan - Quarterly Dividend Option Rs. 10.6160 Rs. 30.3584 Rs. 30.9758 Direct Plan - Quarterly Dividend Option Rs. 11.4370

· NAVs at the end of the period is as of 30th September 2013. ¥ NAVs at the beginning of the period is as of 28th March 2013, March 31, 2012 being a non business day.

Dividend in the following plans was declared during the half year ended September 30, 2013.

Per Unit (Rs.) Regular Plan - Quarterly Dividend Option Rs. 0.5327 Direct Plan - Quarterly Dividend Option

Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired. Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared

Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired. Other than Hedging Positions through Options as on September 30, 2013 is Nil and for the half year ended

September 30, 2013 there were no non-hedging transactions through options which have been exercised/

Hedging Positions through swaps as on September 30, 2013 is Nil. The Average Maturity Period of the Portfolio is 10.75 years

Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil

# ☑ Over 2.2 million investor scheme accounts



This is not an indication of past or future performance of schemes of Birla Sun Life Mutual Fund. The number of investor scheme accounts is as on 30th September, 2013.

### Half Yearly Portfolio Statement as at September 30, 2013

(Pursuant to Regulation 59A of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996) BIRLA SUN LIFE CAPITAL PROTECTION ORIENTED FUND - SERIES 4

Name of the Instrument	ISIN	Rating	Quantity	Market Value (Rs. in Lacs)	% of Ne Assets
Debt Instruments					
(a) Listed / awaiting listing on					
Stock Exchange	DIFOT1 G00204	GIPE III	400	4 000 50	10.10
9.85% ICICI Home Finance Company Ltd **	INE071G08296	CARE AAA	490	4,892.50	18.43
9.75% Housing Development Finance Corporation Ltd **	INE001A07GG1	CRISIL AAA	485	4,840.42	18.23
9.80% LIC Housing Finance Ltd **	INE115A07AK4	CRISIL AAA	390	3,893,23	14.60
8.46% Indian Railway Finance Corp Ltd **	INE053F09FT2	CRISIL AAA	270	2,692.09	10.14
8.40% Indian Railway Finance Corp Ltd **	INE053F09FQ8	CRISIL AAA	255	2,543.10	9.5
11.50% Rural Electrification Corporation Ltd **	INE020B07EF6	CRISIL AAA	14	140.14	0.5
Sub Total				19,001.48	71.50
(b) Privately placed / Unlisted				19,001.46 NIL	NII
Sub Total				NIL	NII
Total				19,001.48	71.5
Money Market Instruments				19,001.40	/1.50
Certificate of Deposit					
State Bank of Travancore ** #	INE654A16CZ7	CRISIL A1+	4,000	3,907.60	14.7
Sub Total	II (LOS-II II OCLI	CRIDIL III	4,000	3,907.60	14.7
Total				3,907.60	14.7
CBLO / Reverse Repo				2,507.00	<b></b>
Clearing Corporation of India Ltd				276.00	1.0
Sub Total				276.00	1.0
Total				276.00	1.0
Net Receivables / (Payables)				3,367.55	12.6
GRAND TOTAL				26,552.63	100.0

The provision made for Non Performing Assets as of September 30, 2013 is Nil Plan/Option wise Net Asset Values are as follows:

As on March 28, 2013 • As on September 30, 2013 Regular Plan - Growth Option

Regular Plan - Growth Option Rs. 10.8463 Rs. 10.6454

NAVs at the beginning of the period is as of 28th March 2013, 31 st March 2013 being a non-business day.

(3) No dividend was declared during the half year ended September 30, 2013.

(4) Details of derivatives exposure and transaction:

(a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired. Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared

Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended Other than Hedging Positions through Options as on September 30, 2013

Scheme Name	Underlying	Call/Put	Number of contracts	Option Price when purchased Rs.	Current Price Rs.
Birla Sun Life Capital Protection Oriented Fund - Series 3	S&P CNX NIFTY CE 5200 Dec 13	Call	1,496	1,119.60	743.40
Birla Sun Life Capital Protection Oriented Fund - Series 3	S&P CNX NIFTY CE 5300 Dec 13	Call	1,496	1,158.50	692.60
Birla Sun Life Capital Protection Oriented Fund - Series 3	S&P CNX NIFTY CE 5400 Dec 13	Call	1,496	1,174.60	624.20
Birla Sun Life Capital Protection Oriented Fund - Series 3	S&P CNX NIFTY CE 5500 Dec 13	Call	3,116	1,169.37	503.60

Total exposure due to options (non hedging positions) as a %age of net assets is 8.76%. For the half year ended September 30, 2013 there were no non-hedging transactions through options

which have been exercised/expired.

(e) Hedging Positions through swaps as on September 30, 2013 is Nil. The Average Maturity Period of the Portfolio is 0.26 years.

During the current half year, the portfolio turnover ratio of equity and equity related instruments is Nil.

Total market value of Foreign Securities/overseas ETFs and its percentage to Net Assets as at September 30, 2013

Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

IRLA SUN LIFE CAPITAL PROTECTION ORIENTED FUND - SERIES 8	

(A CLOSE ENDED CAPITAL PROTECTION ORIENTED SCHEME)							
Name of the Instrument	IFOLIO AS ON SE	PTEMBER 30, 20	Ouantity	Market	% of		
The or the right union	101.1		Quantity	Value (Rs.	Net		
				in Lacs)	Assets		
Debt Instruments							
(a) Listed / awaiting listing on							
Stock Exchange							
11.50% Rural Electrification							
Corporation Ltd **	INE020B07EF6	CRISIL AAA	100	1,001.00	15.79		
9.50% Export Import Bank of India **	INE514E08589	CRISIL AAA	100	997.45	15.73		
10.05% LIC Housing Finance Ltd **	INE115A07BI6	CRISIL AAA	82	819.73	12.93		
9.65% Infrastructure Development Finance Company Ltd **	INE043D07831	ICRA AAA	80	799.52	12.61		
9.25% Housing Development Finance	INE001A07FY6	CRISIL AAA	50	498.82	7.87		
Corporation Ltd **	DIEZGOEOZENG	CDICH AAA	40	400.53	7.00		
9.33% Power Grid Corporation of India Ltd **	INE752E07EV5	CRISIL AAA	40	498.53	7.86		
Sub Total				4,615.05	72,79		
(b) Privately placed / Unlisted				NIL	NIL		
Sub Total				NIL	NIL		
Total				4.615.05	72,79		
CBLO / Reverse Repo				,			
Clearing Corporation of India Ltd				387.00	6.10		
Sub Total				387.00	6.10		
Total				387.00	6.10		
Net Receivables / (Payables)				1,338.05	21.10		
GRAND TOTAL				6,340.10	100.00		

\*\* Thinly Traded / Non Traded Security # Unlisted Security

Notes:

(1) The provision made for Non Performing Assets as of September 30, 2013 is Nil. Plan/Option wise Net Asset Values are as follows:

As on March 28, 2013 • As on September 30, 2013 Regular Plan - Growth Option • NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.

No dividend was declared during the half year ended September 30, 2013. Details of derivatives exposure and transaction:

 $Hedging\ Position\ through\ Futures\ as\ on\ September\ 30,2013\ is\ Nil\ and\ for\ the\ half\ year\ ended\ September\ 30,2013\ there\ were\ no\ hedging\ transactions\ through\ futures\ which\ have\ been\ squared\ off/expired.$ 

Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared

Hedging Position through Put Ontions as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired Other than Hedging Positions through Options as on September 30, 2013  $\,$ 

Scheme Name	Underlying	Call/Put	Number of contracts	Option Price when purchased Rs.	Current Price Rs.
Birla Sun Life Capital Protection Oriented Fund - Series 8	S&P CNX NIFTY CE 4600 Dec 13	Call	594	843.00	1,264.90
Birla Sun Life Capital Protection Oriented Fund - Series 8	S&P CNX NIFTY CE 4800 Dec 13	Call	594	850.05	1,119.00
Birla Sun Life Capital Protection Oriented Fund - Series 8	S&P CNX NIFTY CE 4900 Dec 13	Call	486	843.35	1,002.05

Total exposure due to options (non hedging positions) as a %age of net assets is 15.01%.  $For the half year ended \, September \, 30, 2013 \, there \, were \, no \, non-hedging \, transactions \, through \, options \, the half \, year ended \, September \, 30, 2013 \, there \, were \, no \, non-hedging \, transactions \, through \, options \, 2013 \, there \, were \, no \, non-hedging \, transactions \, through \, options \, 2013 \, there \, were \, no \, non-hedging \, transactions \, through \, options \, 2013 \, there \, were \, no \, non-hedging \, transactions \, through \, options \, 2013 \, there \, were \, no \, non-hedging \, transactions \, through \, options \, 2013 \, there \, were \, no \, non-hedging \, transactions \, 2013 \, there \, 2013 \, the$ 

which have been exercised/expired.  $Hedging\ Positions\ through\ swaps\ as\ on\ September\ 30,2013\ is\ Nil$ 

The Average Maturity Period of the Portfolio is 0.13 years.

During the current half year, the portfolio turnover ratio of equity and equity related instruments is Nil. Total market value of Foreign Securities/overseas ETFs and its percentage to Net Assets as at September 30, 2013

The total value of illiquid Equity Securities is Nil and its percentage to Net Assets is Nil. Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil

Name of the Instrument	RTFOLIO AS ON SE ISIN	Rating	Quantity	Market	% of
Traine of the more union	1011	g	Quantity	Value (Rs.	Net
				in Lacs)	Assets
Debt Instruments					
(a) Listed / awaiting listing on Stock Exchange					
9.85% ICICI Home Finance Company Ltd **	INE071G08296	CARE AAA	140	1,397.86	16.18
9.75% Housing Development Finance Corporation Ltd **	INE001A07GG1	CRISIL AAA	140	1,397.23	16.17
11.50% Rural Electrification Corporation Ltd **	INE020B07EF6	CRISIL AAA	136	1,361.37	15.76
9.80% LIC Housing Finance Ltd **	INE115A07AK4	CRISIL AAA	110	1,098.09	12.71
8.46% Indian Railway Finance Corp Ltd **	INE053F09FT2	CRISIL AAA	80	797.66	9.23
8.40% Indian Railway Finance Corp Ltd **	INE053F09FQ8	CRISIL AAA	45	448.78	5.19
Sub Total				6,500.99	75.24
(b) Privately placed / Unlisted				NIL	NIL
Sub Total				NIL	NIL
Total				6,500.99	75.24
Money Market Instruments					
Certificate of Deposit					
State Bank of Travancore ** #	INE654A16CZ7	CRISIL A1+	1,000	976.90	11.31
Sub Total				976.90	11.31
Total					
CBLO / Reverse Repo					
Clearing Corporation of India Ltd				574.00	6.64
Sub Total				574.00	6.64
Total				574.00	6.64
Net Receivables / (Payables)				588.09	6.81
GRAND TOTAL				8,639.98	100.00

\*\* Thinly Traded / Non Traded Security

#### # Unlisted Security

#### Notes:

(1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.

Plan/Option wise Net Asset Values are as follows:

Plan As on March 28, 2013 • As on September 30, 2013 Rs. 11.8731 Regular Plan - Growth Option Rs. 11.5209

• NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day.

No dividend was declared during the half year ended September 30, 2013.

(4) Details of derivatives exposure and transaction:

(a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through futures which have been squared off/expired.

Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared

Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired.

Other than Hedging Positions through Options as on September 30, 2013

Scheme Name	Underlying	Call/Put	Number of contracts	Option Price when purchased Rs.	Current Price Rs.
Birla Sun Life Capital Protection Oriented Fund - Series 4 5200	S&P CNX NIFTY CE Dec 13	Call	104	1,119.60	743.40
Birla Sun Life Capital Protection Oriented Fund - Series 4	S&P CNX NIFTY CE 5300 Dec 13	Call	104	1,158.50	692.60
Birla Sun Life Capital Protection Oriented Fund - Series 4	S&P CNX NIFTY CE 5400 Dec 13	Call	104	1,174.60	624.20
Birla Sun Life Capital Protection Oriented Fund - Series 4	S&P CNX NIFTY CE 5500 Dec 13	Call	255	1,162.61	503.60

Total exposure due to options (non hedging positions) as a %age of net assets is 1.98%

For the half year ended September 30, 2013 there were no non-hedging transactions through options which have been exercised/expired.

 $(e) \qquad \text{Hedging Positions through swaps as on September 30, 2013 is Nil.} \\$ 

The Average Maturity Period of the Portfolio is 0.24 years.

During the current half year, the portfolio turnover ratio of equity and equity related instruments is Nil.

Total market value of Foreign Securities/overseas ETFs and its percentage to Net Assets as at September 30, 2013

The total value of illiquid Equity Securities is Nil and its percentage to Net Assets is Nil. (9) Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil.

(A CLOSE ENDED CAPITAL PROTECTION ORIENTED SCHEME PORTFOLIO AS ON SEPTEMBER 30, 2013 Value (Rs. in Lacs) Assets **Debt Instruments** (a) Listed / awaiting listing or Stock Exchange INE134F08BR8 CRISIL AAA 8.45% Power Finance 200 1.977.81 17.50 Corporation Ltd \*\* 9.75% LIC Housing Finance Ltd \*\* INE115A07BE5 CRISIL AAA 150 1.499.71 13.27 9.38% National Bank For Agriculture INE261F09GO5 CRISIL AAA 150 1,495,54 13.24 and Rural Development \*\* 9.65% Housing Development Finance INE001A07HD6 CRISIL AAA 140 1,403.76 12.42 Corporation Ltd \*\* 9.43% Rural Electrification INE020B08575 CRISIL AAA 140 1,395.79 12.35 Corporation Ltd \*\* 68.79 ub Total 7,772.61 (b) Privately placed / Unlisted NIL NIL Sub Total NIL NIL 7,772.61 68.79 Money Market Instruments Certificate of Deposit INE652A16GI8 ICRA A1+ State Bank of Patiala \*\* # 478.47 4.23 INE141A16MR1 CRISIL A1+ 100.54 0.89 Sub Total 579.01 5.12 579.01 5.12 CBLO / Reverse Repo Clearing Corporation of India Ltd 765.00 6.77 Sub Total 765.00 6.77 765.00 6.77 Net Receivables / (Payables) 2,182.32 19.31 GRAND TOTAL 11,298,92 100,00 \*\* Thinly Traded / Non Traded Security

### # Unlisted Security

Notes:

(1) The provision made for Non Performing Assets as of September 30, 2013 is Nil.

(2) Plan/Option wise Net Asset Values are as follows:

As on September 30, 2013 As on March 28, 2013 • Rs. 11.6777 Rs. 11.3793 Regular Plan - Growth Option

• NAVs at the beginning of the period is as of 28th March 2013, 31st March 2013 being a non-business day. (3) No dividend was declared during the half year ended September 30, 2013.

Details of derivatives exposure and transaction:

(a) Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September  $30, 2013 \ there \ were \ no \ hedging \ transactions \ through \ futures \ which \ have \ been \ squared \ off/expired.$ 

Other than Hedging Position through Futures as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no non-hedging transactions through futures which have been squared

Hedging Position through Put Options as on September 30, 2013 is Nil and for the half year ended September 30, 2013 there were no hedging transactions through options which have exercised/expired

(d) Other than Hedging Positions through Options as on September 30, 2013

Scheme Name	Underlying	Call/Put	Number of contracts	Option Price when purchased Rs.	Current Price Rs.
Birla Sun Life Capital Protection Oriented Fund - Series 7	S&P CNX NIFTY CE 5000 Jun 14	Call	846	935.90	1,129.80
Birla Sun Life Capital Protection Oriented Fund - Series 7	S&P CNX NIFTY CE 5100 Jun 14	Call	1,080	945.90	1,206.85
Birla Sun Life Capital Protection Oriented Fund - Series 7	S&P CNX NIFTY CE 5200 Jun 14	Call	1,746	909.23	1,141.90

For the half year ended September 30, 2013 there were no non-hedging transactions through options  $\frac{1}{2}$ 

(e) Hedging Positions through swaps as on September 30, 2013 is Nil.

The Average Maturity Period of the Portfolio is 0.66 years During the current half year, the portfolio turnover ratio of equity and equity related instruments is Nil.

(7) Total market value of Foreign Securities/overseas ETFs and its percentage to Net Assets as at September 30, 2013

(8) The total value of illiquid Equity Securities is Nil and its percentage to Net Assets is Nil. Details of Repo in Corporate Debt Securities as on September 30, 2013 is Nil

Further, Investors are hereby informed that pursuant to Sub-regulation (1) of Regulation 59 of SEBI (Mutual Funds) Regulations 1996, half yearly unaudited financial results as on September 30, 2013 of schemes of Birla Sun Life Mutual Fund ('the fund') has been hosted on the website of the fund. Unitholders can refer the same at following link: www.mutualfund.birlasunlife.com

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.