Evaluation of spell correction on noisy OCR data

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Abstract

Optical Character Recognition (OCR) of historical text often leads to several kinds of spelling errors. Existing spell correction algorithms do not present a rigorous performance evaluation of the spell correction process. In this paper, we present a novel N-gram based algorithm for checking the accuracy of spell correction which can handle noisy and cleaned text of different lengths. The algorithm relies on appropriately choosing a window of N-words and aligning them in three parallel corpora - noisy OCR, corrected and manually cleaned text (ground truth). Empirical results of spell correction on 50 news articles from the "The Sun" newspaper, Nov- Dec 1894 are presented and the Spell Correction Evaluation (SCE) algorithm evaluates its accuracy to be 73.1%. We posit that this novel algorithm for spell correction evaluation has a wide applicability and can play a crucial role in analyzing large volumes of digitized OCR text.

Keywords: OCR, Spell Correction, Spell Correction Evaluation, Historical Newspaper Archives

1. Introduction

OCR of typed, handwritten or printed text is widely used to obtain digitized text which can be edited, searched, stored and displayed efficiently([1, 2]). It is used in various applications such as banking, digital libraries [3] and repositories, number plate recognition, and handwriting recognition [4]. However, the OCR scanning of printed text generates a lot of garbled text which renders them inadequate for any such tasks. Refinement of such noisy OCR text through spell correction can make them useful for text mining tasks ([5], [6]).

Most spell correction algorithms have focused on improving the correction model and either do not give a detailed performance evaluation of the algorithm post spell correction or the evaluation measures used are not able

to completely analyze the performance of such algorithms. A major problem that surfaces when evaluating a spell corrector is that the text has to be verified against the original text (ground truth) to estimate its performance. This one-to-one verification may lead to word alignment problems, since the corrected and original text can be of different lengths. In this paper, we describe the development of an N-word grams Spell Correction Evaluation (SCE) algorithm that can automatically evaluate a spell correction algorithm by using an N-word window to align three parallel corpora - the noisy OCR, corrected and original/ manually cleaned text.

Organization: This paper is organized as follows: related work is described in Section 2; characteristics of the OCR data in Section 3; Spelling Correction and Evaluation algorithms in Section 4; empirical evaluation in Section 5 followed by discussion and future work in Section 7.

2. Related Work

Kukich[7] comprehensively discusses various spelling correction techniques based on non word, isolated word and real word spelling errors. N-gram analysis, dictionary lookup and probabilistic techniques ([8], [9]) are used for correcting isolated and nonword errors while context-dependent techniques are used mostly for correcting real word errors including the correction of word split and join errors [10]. N-gram techniques work by examining each n-gram in the text string and comparing against a pre-compiled table of n-gram statistics to retrieve the correct word while dictionary look up techniques directly check whether the text string appears in the dictionary using string matching algorithms. Both techniques require a dictionary or a large text corpus and take frequency of n-grams or word occurrence into account in order to find the correct spelling . Probabilistic techniques use transition and word confusion probabilities to estimate likelihood of the correction in order to rank and retrieve correct word spelling. On the other hand, Context-dependent techniques require contextual information and use either extensive NLP techniques or Statistical Language Modeling (SLM) for spelling correction. Bassil and Alwani[11] use Google 1-5 gram word dataset to gain context information in order to determine the correct words sequence in the text for correction. Tong and Evans[12] use Statistical Language Modeling (SLM) approach involving information from letter n-grams, character confusion and word bi-gram probabilities to perform context sensitive spelling correction obtaining a 60 percent error reduction rate. All these spelling correction techniques have developed over time and have been used in combination to achieve improved accuracy [13]. Agarwal et al.[14] use a

combination of Google suggestions, LCS and character confusion probabilities for choosing the correct spelling on a small set of historical newspaper data and achieve recall and precision of 51% and 100% respectively.

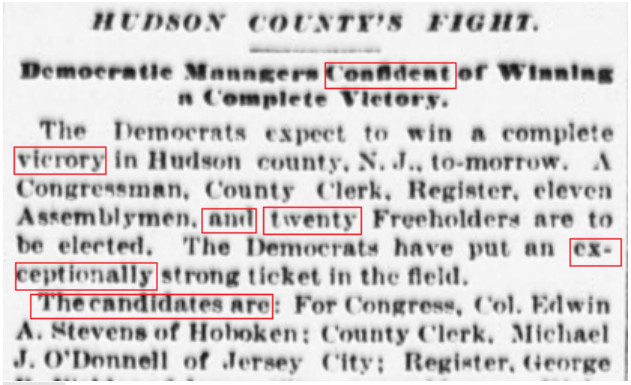
The edit distance approach, suggested initially by Wagner and Fischer[15], is a dictionary lookup approach commonly used for OCR data correction because of the large number of substitution errors in OCR data [7][16] which can be corrected using this technique. String edit distance approaches with faster correction are discussed in [17],[18] with variants like Levenshtein automata and normalized edit distance. All of the above algorithms are evaluated based on the percentage of spelling errors corrected or reduction in the word error rate and do not consider the word alignment problem arising due to word split and join errors in the OCR text.

Semi-automatic spelling correction systems [19] require user interaction in order to perform complete correction and system evaluation. Rice[20] discusses OCR errors similar to the ones in our dataset. Their algorithm evaluates edit distance spelling correction by estimating word accuracy; the length of LCS between correct and incorrect strings on a page-by-page level is used as the relevant metric. The evaluation strategy works correctly but the definition of accuracy does not give a complete coverage of the spell correction as it does not provide any information on the errors missed by the spelling corrector due to lack of word by word comparison/alignment during the evaluation procedure.

3. Data

An individual OCR text article has at least one or more of the following types of spelling errors: (1) **Real word errors:** include words that are spelled correctly in the OCR text but still incorrect when compared to the original newspaper article image. Example[1]: "coil" has been correctly spelled in the OCR text but should have been "and" according to the original newspaper article. (2) **Non-real word errors:** include words that have been misspelled due to some insertion, deletion, substitution or transposition of characters from a word. Example: "tnenty" in the OCR text has a substitution error ('n' should have been 'w') which is actually "twenty" according to the original newspaper article. (3) **Non-word errors:** include words that have been spelled incorrectly and are a combination of alphabets and numerical characters. Example: "4anrliteii" which is a combination of al-

[1] All the examples are illustrated in Figure 1



HUDSON COUNTY'S FIGHT.

Democratic Managers Confident of Winning a Complete Victory.

The Democrats expect to win a complete victory in Hudson county, N. J., to-morrow. A Congressman, County Clerk, Register, eleven Assemblymen, and twenty Freeholders are to be elected. The Democrats have put an exceptionally strong ticket in the field.

The candidates are: For Congress, Col. Edwin A. Stevens of Hoboken; County Clerk, Michael J. O'Donnell of Jersey City; Register, George

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Figure 1: Scanned Image of a Newspaper article (left) and its OCR raw text (right)

phabets and number and should have been "confident" as per the original newspaper article. (4) **New Line errors:** include words that are separated by hyphens where part of a word is written on one text line and remaining part in the next line. Example: "ex-ceptionally" where "ex" occurs on one line while "ceptionally" in the next and due to no punctuation in the text, they are treated as separate words in OCR text. (5) **Word Split and Join errors:** include words that either get split into one of more parts or some words in a sentence get joined to a make a single word. Example: "Th-ernndldntesnra" in the OCR text is actually a combination of three words "The candidates are" while the words "v Icrory" are actually equivalent to a single word "victory" when compared with the original news article.

4. Theory

The Algorithm The Edit Distance algorithm based on Levenshtein distance[21] has been used for spelling correction. It is an isolated word

correction technique that uses dictionary based-look up and the distance between strings for matching the text and correcting it. An "edit distance"[2] corresponds to the minimum number of insertions, deletions, and substitutions required to transform one string into another.

Spelling Correction Algorithm Evaluation For evaluating the performance of spell correction, the raw OCR text and OCR text after application of spelling correction algorithm (corrected text) needs to be compared with the original newspaper text. The OCR text is extremely garbled with Word Split and Join errors due to which word-to-word alignment with the original newspaper text is impossible,i.e., the raw OCR and original newspaper text are of different lengths. A novel algorithm,Spelling Correction Evaluation (SCE) based on N-gram approach is proposed for automatic evaluation of the corrected text. The SCE algorithm can be used for evaluation of any type of spelling correction algorithm - dictionary look up, context sensitive or probabilistic spell correction algorithms. The following metrics are used for estimating the performance: (1) **Accuracy** This requires calculation of the number of OCR errors that got corrected when compared to the original newspaper text. Specifically, $Accuracy = \frac{TP+TN}{TP+FP+TN+FN}$ where, TP=Number of True Positives, TN=Number of True Negatives, FP=Number of False Positives, FN=Number of False Negatives. Reynaert and Martin[22] suggest a way to define these terms by distinguishing between correct words and incorrect words in the text through the set of non-target, target and selected words and use Precision and Recall evaluation measures for measuring performance of spelling correction which we adapt for this work. (2) **Time taken for Spelling Correction** The time for correcting the text is also noted for benchmarking correction of large datasets.

N-Word Grams Spelling Correction Evaluation(SCE) Algorithm To make the correspondence between corrected and original OCR text, a window of N-word grams in the original newspaper text is considered which can be seen in a diagrammatic representation in Figure 2. For each token in the spell corrected text, the corresponding token in the original text article along with 2 tokens before and 2 tokens after it are considered for alignment[3]. If the token being considered matches with any word in the

[2]Our edit distance algorithm corrects non-real word spelling errors by making at most 2 operations of insertion, deletion and substitution of letters in the word. The choice of 2 is governed by the trade off between algorithm runtime and quality of spelling correction. The spelling corrector has been designed as suggested by Peter Norvig `http://norvig.com/spell-correct.html`.

[3]The choice of N=2 is based on the Word Split and Join errors in the dataset. This

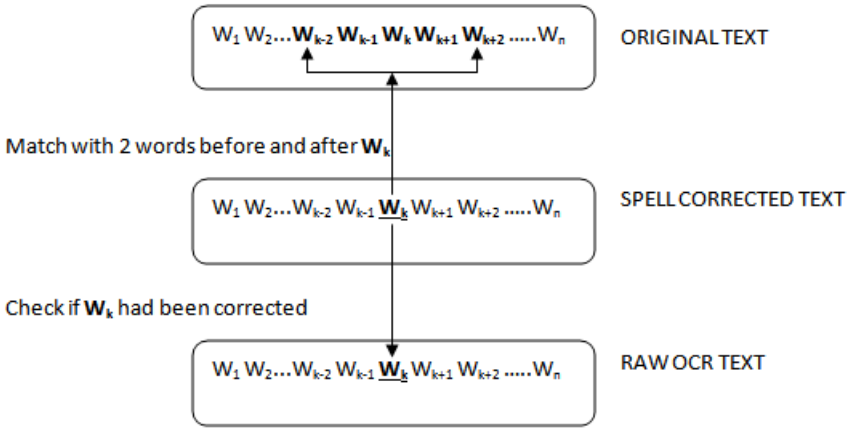


Figure 2: Schematic diagram for alignment of spell corrected article text with original article text for a word W_k

original text article word's window and its spelling has been corrected when compared to the corresponding token in raw OCR text, then it is marked as a "True Positive" which is actually rewarding the Spell corrector for making the correct spelling change. A "False Positive" is marked if it does not match any of the words despite its spelling being corrected. Table 1 describes the the process of marking each token in the corrected text as a TP, TN, FP or FN in each text article for calculation of accuracy. The final values of TP, TN, FP and FN are accumulated throughout the dataset to calculate accuracy.

Table 1: Calculation of accuracy in SCE algorithm

Evaluation Metric / Criteria to be checked	TP	TN	FP	FN
Match found in N-word window?	Yes	Yes	No	No
Spell correction was done?	Yes	No	Yes	No

Several scenarios could arise during the word alignment process due to difference in the lengths of text between OCR and original text. All such cases are depicted in Table 2 which describes the window size of tokens to match

value can be set appropriately by considering the maximum difference of lengths in each line of OCR and original text in the dataset.

in the original text (from j=starting index to ending index) for every token i of the corrected text.

Table 2: Different cases for word alignment

Token index of OriginalLine / Token index of CorrectedLine(i)	Starting index (j)	Ending index (j)
Length[CorrectedLine] <4 or Length[OriginalLine]<4	0	Length[OriginalLine]
i=0	0	3
i=1	0	4
i=Length[CorrectedLine]-2	i-2	Length[OriginalLine]
i=Length[CorrectedLine]-1	i-2	Length[OriginalLine]
i=Length[CorrectedLine]	i-2	Length[OriginalLine]
i=Length[CorrectedLine+1]	i-2	Length[OriginalLine]
i>=Length[CorrectedLine]+2	Length[OriginalLine]-3	Length[OriginalLine]
Any other value of i	i-2	i+3

A limitation of the SCE algorithm is that it requires all 3 versions of a newspaper article (Original, Corrected and OCR) to have the same number of lines as alignment of line texts is performed. In case of difference in the number of lines of text due to some Word Split and Join errors, the word's window needs to be extended so as to cover previous and next line texts also for alignment.

An Illustrative Example The execution of the SCE algorithm can be demonstrated with the help of the following example: Consider 3 versions of a scanned image of a newspaper article – the original text of the scanned image, the raw OCR text and the text after spell correction. Assume, the texts are:

OcrLine= *by tltn rejmrt of th cepert aocountauts who*

CorrectedLine= *by than report of the expert accountants who*

OriginalLine= *by the report of the expert accountants who*

Here, for each token of CorrectedLine, we find its index and call the Match-WordGrams function accordingly. For the first token 'by' at index i=0 in CorrectedLine, we consider the word window to be "by the report" (index j=0 to 2) in OriginalLine by matching iteratively with each token to see if there is a match and also if there has been a spelling correction by comparing with the corresponding token in OcrLine. Here, no change was made to the spelling of 'by' and it matches with a word in words window, so it is marked as a FN. For the second token 'than' at index i=1, we consider the word window to be "by the report of" (index j=0 to 3) for which there is no

match in the window but there has been a spelling correction from 'tltn' to 'than', which implies the correction was wrong and the token is marked as a FP. For the third token 'report' at index i=2, we consider the window as "by the report of the" (index j=0 to 4) in Original Line and find that there is a match in the word window and there has been a spelling correction too from 'rejmrt' to 'report' which makes this token a TP. Similarly, rest of the tokens get marked for each line in the Corrected.txt.

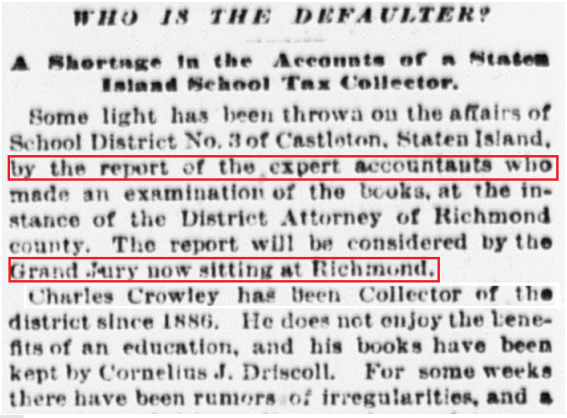
Another example can be considered from Line 10 in Figure 3 and Figure 4 where the number of tokens is different in CorrectedLine and OriginalLine. In such a case, direct alignment between tokens is not possible because of which the words window becomes useful. Here, when the last token 'Richmond' of CorrectedLine is considered at index i=3, the corresponding words window becomes "Jury now sitting at Richmond" (index j=1 to 5) for which there is a match in the words windows and corresponding spelling has also been changed from 'tilchmond' to 'Richmond' which makes it a TP. Had the word window not been considered, the corresponding token at index j=3 in OriginalLine would have been chosen as 'sitting' which would have resulted in a FP.

5. Empirical Evaluation and Results

Data Source The dataset used for empirical evaluation of the algorithm has been obtained from the Chronicling America[4] website. It contains scanned newspaper pages published in New York between1890 to 1920. OCR software is run over high resolution images to create searchable full text of the newspaper articles.

In order to make a newspaper available for searching on the Internet, the following processes used in [5] must take place: (1) the microfilm copy or paper original is scanned; (2) master and Web image files are generated; (3) metadata is assigned for each page to improve the search capability of the newspaper; (4) OCR software is run over high resolution images to create searchable full text and (5) OCR text, images, and metadata are imported into a digital library software program. The scanned newspaper holdings of the NYPL offers a wealth of data and opinion for researchers and historians.The newspaper titles and digitized pages available through the Chronicling America website can be searched using the OpenSearch protocol[5].

[4] `http://chroniclingamerica.loc.gov/`
[5] `http://www.opensearch.org/Home`



WHO IS THE DEFAULTER?

A Shortage in the Accounts of a Staten Island School Tax Collector.

Some light has been throwa on the affairs of School District No. 3 of Castleton, Staten Island, by the report of the expert accountants who made an examination of the books, at the instance of the District Attorney of Richmond county. The report will be considered by the Grand Jury now sitting at Richmond.

Charles Crowley has been Collector of the district since 1886. He does not enjoy the benefits of an education, and his books have been kept by Cornelius J. Driscoll. For some weeks there have been rumors of irregularities, and a

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14 kept by Cornelins J Driscoll For some weeks
15 there have been rumors of irregularities and a
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Figure 3: Scanned image of a newspaper article (left) along with its original text (right)

Unfortunately, the current search facilities are rudimentary and irrelevant documents are often more highly ranked than relevant ones. The newspapers are scanned on a page-by-page basis and article level segmentation is poor or non-existent; the OCR scanning process is far from perfect and the documents generated from it contains a large amount of garbled text. In a bid to serve its patrons better, the New York Public Library employed human annotators to clean headlines of articles and text, but the process of manually reading all the old newspapers article-by-article and cleaning them soon became very expensive.

Data Statistics Two months of articles of "The Sun" newspaper from November-December 1894 consisting of 14020 news articles with a total of 8,403,844 tokens are used for empirical evaluation. The text does not have any punctuation and contains a large amount of garbled text containing

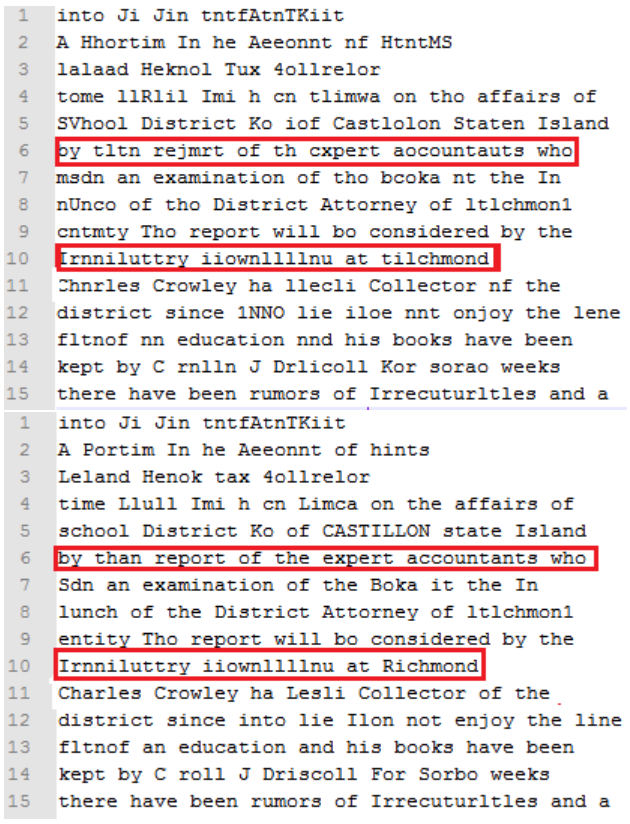

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Figure 4: OCR raw text (left) and Spell corrected text (right) of the article

OCR errors mentioned in Section 3.

Experimental Procedure and Results

Aim: The aim of our experiments is to answer the following question: How good is the spell corrector? The metrics for evaluation are accuracy and time taken to correct the text.

Materials: The spelling correction algorithm is used to correct all the 14020 OCR raw text articles in the dataset. The dictionary used for look-up is a concatenation of several public domain books from Project Gutenberg and lists of most frequent words from Wiktionary and the British National Corpus[6]. This is augmented with a large people names list which is obtained by running Stanford NER-CRF parser on subsets of the ClueWeb12 dataset

[6]http://norvig.com/big.txt

made available in the TREC 2013 Crowdsourcing Track[7]. This enhanced dictionary has been used to give special consideration to correction of person names in the dataset.

Methods: In order to answer our research question for checking the performance of spell corrector, we do the following – 3 versions of each newspaper article are required: OCR raw text, spelling corrector corrected text and the original scanned newspaper article text. Since the dataset is quite large (14020) and it is not possible to get original text of each of these newspaper images, a smaller sample of articles is chosen to study the results of spelling correction. 50 scanned newspaper images are taken and an online OCR[8] is run on them followed by some manual correction to get the original articles text. Accuracy can then be calculated for all 3 versions of 50 newspaper articles using the SCE algorithm.

Results: The spell corrector takes 9 seconds on an average to correct the newspaper OCR articles. It takes a total of 36 hours to run on 14020 articles. The spell corrector also shows an Accuracy of 73.1% when corrected text is compared to OCR text and original article text using our SCE algorithm. We believe that the results are less accurate due to the presence of a large number of non-word, new line, word split and join errors in the OCR data which can not be corrected by the edit distance spelling corrector used for this research.

6. Discussion

The edit distance based spell corrector used in this work corrects non-real word errors by focusing on isolated words in the dataset. We believe a better accuracy of spell correction can be obtained by correcting the new line errors in the articles. This can be done by checking for if the word at last index of a linetext or the word at first index of the next linetext is a word not present in the dictionary and combining the two and checking again in the dictionary for a valid word. The new word, if present in the dictionary can be replaced by the two words from which it is formed thereby removing the New Line error. Similar approach can be applied for word split and join errors but would require each word of an article not present in the dictionary to be analyzed along with some window of words before and after it to make a correction. Since edit distance algorithm is governed by

[7] http://boston.lti.cs.cmu.edu/clueweb12/TRECcrowdsourcing2013/

[8] www.onlineocr.net

the dictionary choice, using a dictionary with historical terms, places and people names can also help perform spelling correction better and improve its accuracy. We compared our N-gram based SCE algorithm with the LCS (Longest Common Subsequence) algorithm[9]. The LCS of corrected and original text gives a list of matching corrected words found in the original text. Following the similar evaluation procedure of calculating accuracy as in the N-word gram approach, it was found that there is no statistically significant difference in accuracy when using either of the two algorithms. We posit that LCS is a special case of the N-word gram algorithm when the window size N is set to the complete text in a line.

7. Conclusion and Future Work

In this paper, we presented a novel approach for automatic performance evaluation of spell correction on noisy OCR text through N-word grams alignment of the OCR, corrected and manually cleaned text. Preliminary results of application of our algorithm on an Edit distance based spell corrector evaluate its accuracy to be 73.1%. In future, we plan to use other spelling correction algorithms like context dependent spelling correction to correct the OCR text and measure the accuracy using our SCE algorithm.

8. Acknowledgement

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