

## Q5 & Q6 File System Management

① h Semester

A file is a collection of related information defined by its creator. Files represent programs and data. Data files may be numeric, alphabetic or alphanumeric. File is a sequence of bits, byte, lines or record whose meaning is defined by its user. A file is named and addressed by its name. It has certain other properties such as its type, time of its creation, its length etc.

### File Attributes :-

- ① Name :- It is a name given to a file by user.
- ② Identifier :- This unique tag, usually a number, identifies the file within the file system; it is the non-human-readable name for the file.
- ③ Type :- It is one of the most important file attributes because this attribute specifies which kind of file is this e.g:- Ms-word file, power point file etc.
- ④ Location :- Location is the addressable space, which directs the system or user to a file where it gets placed.
- ⑤ Size :- The current size of the file (in bytes, words or blocks) are included in this attribute.
- ⑥ Protection :- Protection attributes about permission that are granted to user with respect to operations performed on that file. e.g:- Read only permission, Read-write permission etc. because of this a file can be protected by unauthorized access of a file.
- ⑦ Time, date and user identification :- These set of attributes are used together for protection, security and record keeping purpose because whenever the information is required about a particular file such as when it gets created etc.

- Element of Computer
- ① Created: At what time, when created, modified, and deleted that will be.
- File operation :- Following operations are performed on a file.
- ① Creating a file :- A new file is formed, definition is written and obtained file placed within the system hierarchy or system structure.
  - ② Delete :- It removes a file from the system structure, it's allocated memory and associated relationship such as dependencies will be destroyed.
  - ③ Open :- When a file gets opened it means that it is available for user i.e. for performing different operation on the data contained within the file for a process.  
Close :- A file is closed means, it is not available for performing any operation. This will be present on the disk only, but cannot be used until an open operation is performed.
  - ④ Read :- A process inside the system either need whole data inside the file or a ~~part~~ part of data.
  - ⑤ Write :- A write operation can be performed on file by adding new data elements to a file which increases the size of a file.
  - ⑥ Append :- When a record or data is added at the end of file then it is called as append. Actually it is a write operation but with condition write at the end.
  - ⑦ Truncate :- With the help of truncate option only file contents get deleted but the structure of file remains there.
  - ⑧ Rename :- It will provide a new name to a file. There is a system call which changes old name of file with a new name.

File Types is part of file name. Implementing file type is to include the file as part of file name. The name is split into two parts i.e. name and extension separated by a period character. In this way user and operating system can tell from the name alone what type of a file is.

<u>file type</u>	<u>extension</u>	<u>function</u>
executable	.exe, .com	read to run machine
text	.txt, .bat, .doc	language program
object	.obj	textual data, documents
etc.		compiled, machine language

### Access Method:

- ① Sequential Access: In this information stored in a file are accessed in an order such that one record is processed after the other. This method is based on the tape model of a file and works well on sequential access devices.
- ② Direct Access: It is based on the disk model of a file, since disks allow random access to any block or record of a file. File operations in this method uses block number as a parameter which is provided by the user to the OS.
- ③ Indexed Access: In this method a index is created which contains a key field and pointers to the various blocks. To find an entry in the file for a key value, we first search

the index and then use the pointer to directly access a file to find the desired entry.

With large files, the index file itself may become too large to be kept in memory. One solution is to create an index file to the index file. The primary index file would contain pointers to secondary files, which would point to the actual items.

Lastname Record no.

Lastname	Record no.
Sharma	
abc	
xyz	
l	
Kumar	

Keyno, last	emp. ID	Age
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Relative file

### File System Structure

The file system composed of memory

different levels.

application Programs



logical file system



file-organization module



basic file system

— Tissue Committee



I/O control



devices

layered file system

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The lowest level, the I/O control, consist of device drivers and interrupt handlers to transfer information b/w the main memory and the disks system. A device drivers can be thought of as a translator. Its input consists of high level commands and its output consists of low level, H/W-specific instructions that are used by the hardware controller, which interfaces the I/O device to the system.

⇒ The basic file system needs only to issue commands to the device drivers to read and write physical blocks on the disks. Each physical block is identified by its numeric address.

⇒ The file-organization module knows about files and their logical blocks as well as physical blocks. By knowing the type of file allocation used and location of the file, the file-organization module can translate logical blocks addresses to physical blocks addresses. The file-organization module also includes the free-space manager, which tracks unallocated blocks and provides these blocks to the file-organization module when requested.

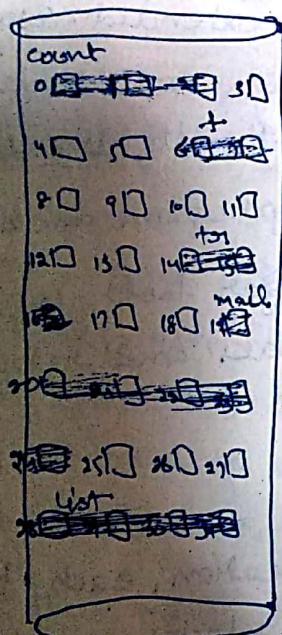
⇒ logical file system manages metadata information. Metadata includes all of the file-system structure, excluding the actual data. The logical file system manages the directory structure to provide the file-organization module with the information the latter needs, given a symbolic file name. It maintains file structure via file control blocks. A file control block contains information about the file, including ownership, permission, and location of the file contents. The logical file system is also responsible for protection and security.

Three major methods of allocating disk space are in use:

- ① Contiguous
- ② Linked
- ③ Indexed.

### ① Contiguous Allocation:

This method requires each file to occupy a set of contiguous blocks on the disk. The directory entry for each file indicates the address of the starting ~~blocks~~ blocks and length of the area allocated for this file.



file	start	length
count	0	2
tr	14	3
mall	19	6
list	28	4
?	6	3

### Contiguous Allocation of disk space

Contiguous allocation of a file is defined by the disk address and length of the first block. If the file is  $n$  blocks long and starts at location  $b$ , then it occupies blocks  $b, b+1, b+2, \dots, b+n-1$ .

Accessing a file that has been allocated contiguously is easy. For sequential access, the file system remembers the disk address of the last block referenced and when necessary, reads the next block. For direct access to block  $i$  of a file that starts at block  $b$ , we can access block  $b+i$ .

Both sequential and direct access can be supported.  
Contiguous allocation.

→ One difficulty is finding space for a new file.

⇒ First fit and

⇒ Best fit

These algorithms suffer from external fragmentation  
and are solved by compaction.

Another problem with contiguous allocation is determining  
how much space is needed for a file. When a file is created,  
the total amount of space it will need must be found and  
allocated.

If we allocate too little space to a file, we  
may find that the file cannot be extended. Especially  
with a best-fit allocation, the space immediately after the  
file may be in use. We cannot make the file larger in place.

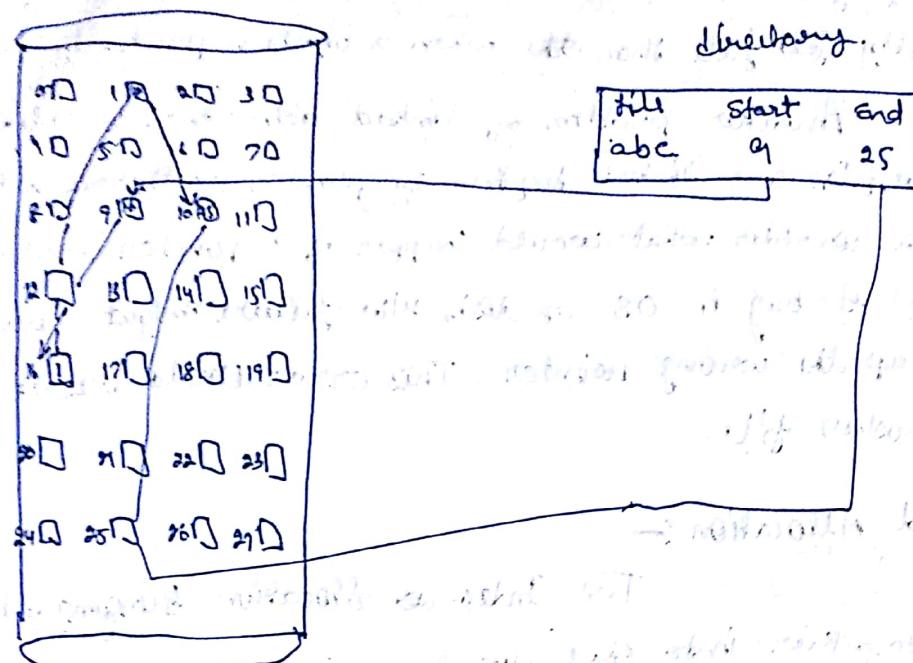
Two possibilities exist: First, the user program can be terminated.  
The other possibility is to find a larger hole, copy the  
contents of the file to the new space and release the  
previous space.

### Linked Allocation:

With linked allocation, each file is a  
linked list of data blocks; the data blocks may be scattered  
anywhere on the disk. The directory contains a pointer to the  
first and last blocks of the file.

e.g. → a file of five blocks might start at block 9,  
continue at block 16, then block 10 and finally block 25.  
Each block contains a pointer to the next block.

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To create a new file, we create a new entry in the directory. With linked allocation, each directory entry has a pointer to the first block of the file. This pointer is initialized to null to signify an empty file. The size field is also set to 0. A write to the file causes a free block to be found via the free-space-management system and this new block is written to and linked to end of the file. There is no external fragmentation with linked allocation and any free blocks on the free space list can be used to satisfy a request. The size of a file does not need to be declared when it is created. A file can continue to grow as long as free blocks are available.

The major problem is that it can be used only for sequential access files. To find the  $i$ th block of the file, we must start at the beginning of that file and follow the pointers until we get the  $i$ th block. It is inefficient to support a direct-access capability for linked allocation files.

Another disadvantage to linked allocation is space required for pointer. If pointer requires 4 bytes of out of 512 bytes then ~78% of the disk is being used for pointers rather than information.

The solution to this problem is to collect blocks into multiples called clusters and allocate clusters.

rather than blocks. The cost of this approach is an increase in internal fragmentation, because more space is wasted if a file is partially full than when a block is partially full.

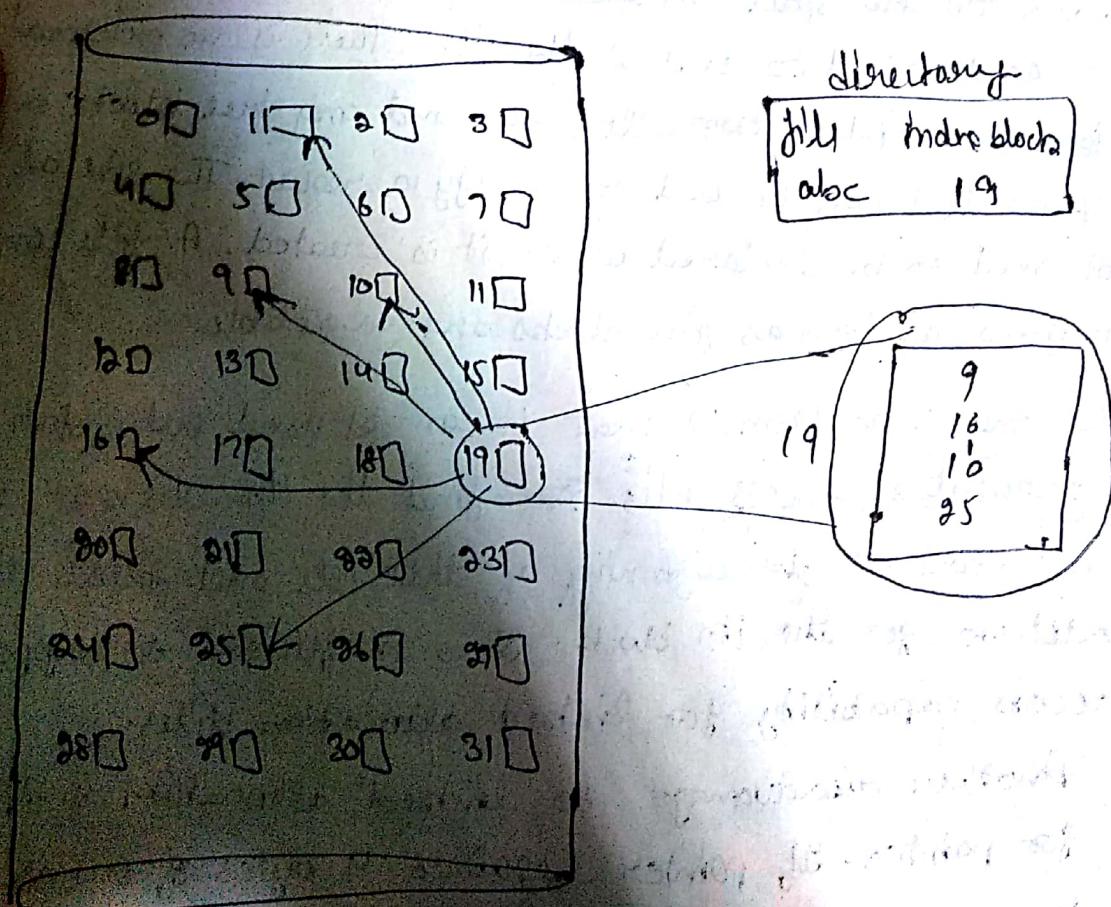
Another problem of linked allocation is reliability.

Since the files are linked together by pointer scattered all over the disk, consider what would happen if a pointer were lost or damaged. A bug in OS or disk H/w failure might result in picking up the wrong pointer. This error could result in linking into another file.

### Indexed Allocation:

The Index allocation brings all the pointers together into one location: index block.

Each file has its own index block, which is an array of disk block address. The  $i$ th entry in the index block points to the  $i$ th block of the file. The directory contains the address of the index table.



When the file is created, all pointers in the index blocks extra are set to null. When the  $i$ th block is first written, a block is obtained from the free space manager and its address is put in the  $i$ th index-block entry.

Index allocation supports direct access, without suffering external fragmentation. The pointer overhead of the index block is greater than the pointer overhead of linked allocation.

### Free Space Management

To keep track of free disk space, the system maintains a free-space list. The free space list records all the free disk blocks - those not allocated to some file or directory. To create a file, we search the free-space list for required amount of space and allocate that space to the new file. This space is removed from the free space list. When a file is deleted, its disk space is added to the free-space list.

#### ① Bit Vector:

The free-space list is implemented as a bit map or bit vector. Each block is represented by 1 bit. If the block is free, the bit is 1; if the block is allocated, the bit is 0.

e.g. consider a disk where blocks 2, 3, 4, 5, 8, 9, 10, 11, 12, 13, 17, 18, 25, 26 and 27 are full and rest of the blocks are allocated. The free space bit map would be

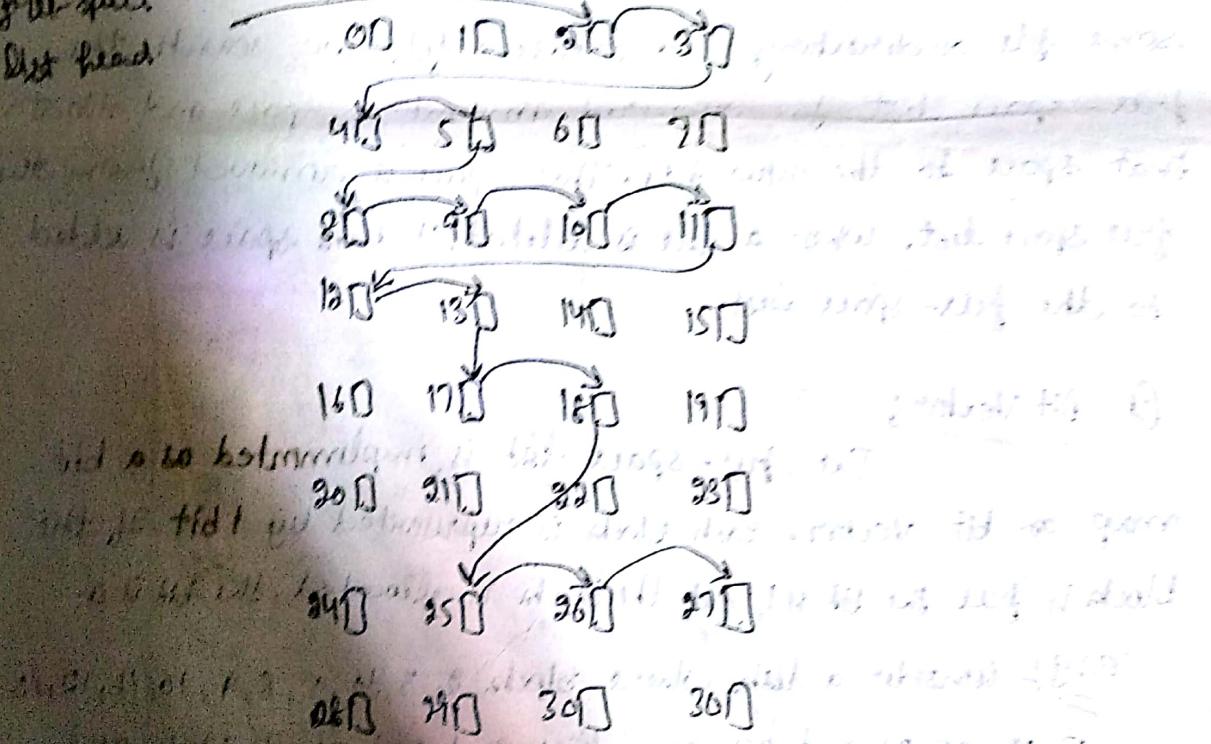
0011110011111000000110000011100000-

## Unlinked List (11)

Another approach, groups together all free disk blocks, keeping a pointer to the first block in a special location on the disk and caching it in memory. The first block contains a pointer to the next free disk block, and so on, forming a linked list which

will be shown. In our example, we keep a pointer to block 2 as the first free block. Block 2 contains a pointer to block 3, which would point to block 4, which would point to block 5 which would point to block 6, and so on. This scheme is not efficient; to traverse the list we must read each blocks, which requires I/O time.

Free space list: It is not a linked list but a linked list of all the blocks.



Unlinked free space list on disk

Grouping: A modification of the free-list approach is to store the addresses of  $n$  free blocks in the first free block. The importance of this implementation is that, the addresses of a large number of successive free blocks can be found quickly.

The processes in an operating system must be protected from one another's activities. Protection refers to a mechanism for controlling the access of programs, processes or users to the resources defined by a computer system.

Goals of Protection :- Access restriction by a user.

Domain of Protection :-

A computer system is a collection of processes and objects. By objects, we mean both hardware objects (CPU, memory segments, printers, disks and tape drives) and software objects (such as files, programs). Each object has a unique name that differentiates it from all other objects in the system and each can be accessed only through operations.

The operations that are possible may depend on the object. e.g:- CPU can only be executed on. Memory can be read & written, CD-ROM, DVD-ROM can only be read. Data files can be created, opened, read, written, closed and deleted; program files can be read, written, executed and deleted. The process should be allowed to access only those resources for which it has authorization.

Domain structure :-

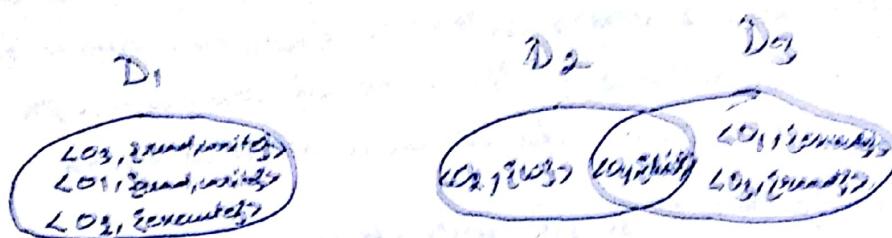
A process operates ~~and~~ within a protection domain, which specifies the resources that the process may access. Each domain defines set of objects and types of operations that may be invoked on each object.

\* The ability to execute an operation on object is an access right.

\* A domain is ~~an~~ a collection of access rights, each of which is an ordered pair (objectname, rights-set).

e.g:- If domain D has the access right (fileF, {read, write}) then a process executing in domain D can both read and write file F; it cannot perform any other operation on the object.

\* Domains may share access rights (13)



e.g. we have three domains: D<sub>1</sub>, D<sub>2</sub>, and D<sub>3</sub>. The access right {O<sub>4</sub>, Print} is shared by both D<sub>2</sub> and D<sub>3</sub>, implying that a process executing in either of these two domains can print object O<sub>4</sub>. A process must be executing in domain D<sub>1</sub> to read and write object O<sub>1</sub>. On the other hand, only processes in domain D<sub>3</sub> may execute object O<sub>1</sub>.

### Access Matrix:

\* The protection can be viewed as a matrix, called an access matrix.

\* The rows of the access matrix represent domains and the columns represent objects.

\* There are four domains and four objects, three files (F<sub>1</sub>, F<sub>2</sub>, F<sub>3</sub>) and one printer. When a process executes in Domain D<sub>1</sub>, it can read files F<sub>1</sub> and F<sub>3</sub>. A process executing in Domain D<sub>4</sub> has the same privileges as it does in domain D<sub>1</sub>, but in addition, it can also write onto files F<sub>1</sub> and F<sub>3</sub>. The printer can be accessed only by a process executing in domain D<sub>2</sub>.

Object Domain	F <sub>1</sub>	F <sub>2</sub>	F <sub>3</sub>	Printer
D <sub>1</sub>	read		read	
D <sub>2</sub>				Print
D <sub>3</sub>		read	execute	
D <sub>4</sub>	read write		read write	

Access Matrix

Processes should be able to switch from one domain to another. Domain switching from domain D<sub>i</sub> to domain D<sub>j</sub> is allowed to occur if and only if the user right to do so is available.

In the following fig. a process executing in Domain D<sub>2</sub> can switch to domain D<sub>3</sub> or D<sub>4</sub>. A process in domain D<sub>4</sub> can switch to D<sub>1</sub> and a process in domain D<sub>1</sub> can switch to D<sub>2</sub>.

Object Domain \ User Domain	F <sub>1</sub>	F <sub>2</sub>	F <sub>3</sub>	Author	D <sub>1</sub>	D <sub>2</sub>	D <sub>3</sub>	D <sub>4</sub>
D <sub>1</sub>	read		read			Switch		
D <sub>2</sub>				Print			Switch	Switch
D <sub>3</sub>		read	execute					
D <sub>4</sub>	read write		read write		switch			

The contents of the access matrix entries requires three additional operations: copy, owner and control.

The ability to copy an access right from one domain of the access matrix to another is denoted by asterisk(\*) appended to the access right. The copy right allows the copying of the ~~auto~~ right only within the columns for which the right is defined.

Object Domain	F <sub>1</sub>	F <sub>2</sub>	F <sub>3</sub>
D <sub>1</sub>	Ex		write*
D <sub>2</sub>	Ex	Read*	Ex
D <sub>3</sub>	Ex		

Object Domain	F <sub>1</sub>	F <sub>2</sub>	F <sub>3</sub>
D <sub>1</sub>	Ex		write*
D <sub>2</sub>	Ex	read*	Ex
D <sub>3</sub>	Ex	read	

(a)

(b)

Access Matrix with Copy ~~auto~~ right

This scheme has two variants :

(15)

1. A right is copied from  $\text{access}(i,j)$  to  $\text{access}(k,j)$ ; it is removed from the  $\text{access}(i,j)$ ; this action is a transfer of right, rather than a copy.
2. Propagation of the copy right may be limited. When the right  $R^*$  is copied from  $\text{access}(i,j)$  to  $\text{access}(k,j)$ , only the right  $R$  is created. A process executing in domain  $D_k$  cannot further copy the right  $R$ .

If we also need a mechanism to allow addition of new rights and removal of some rights.

- \* The owner right controls these operations. If  $\text{access}(i,j)$  includes the owner right, then a process executing in domain  $D_i$  can add and remove any right in any entry in column  $j$ .

e.g:-

Obj. Domain	F1	F2	F3
D1	owner $B^*$		write
D2	read*	read*, owner write*	
D3	$B^*$		

(a)

Obj. Domain	F1	F2	F3
D1	owner $B^*$		
D2		owner read*, write*	read*, owner write*
		write	write

(b)

Access Matrix with owner right.

- \* Domain  $D_1$  is the owner of  $F_1$  and can add and delete any valid right in column  $F_1$ . Only domain  $D_2$  is the owner of  $F_2$  and  $F_3$  and can add and remove any valid right within these two columns.

to copy and owner right allow a process to change its entries in a column.

A mechanism is also needed to change the entries in a row. The control right is applicable only to domain objects. If  $\text{access}(i, j)$  includes the control right then a process executing in domain  $D_i$  can remove any access right from row  $j$ .

e.g. - We include <sup>control</sup> right in  $\text{access}(D_2, D_4)$ . Then a process executing in  $D_2$  could modify domain  $D_4$

Object Domain \	$f_1$	$f_2$	$f_3$	Printer	$D_1$	$D_2$	$D_3$	$D_4$
$D_1$	read		read			Switch		
$D_2$				Print			Switch	Switch
$D_3$		read	execute					control
$D_4$	write		write		Switch			