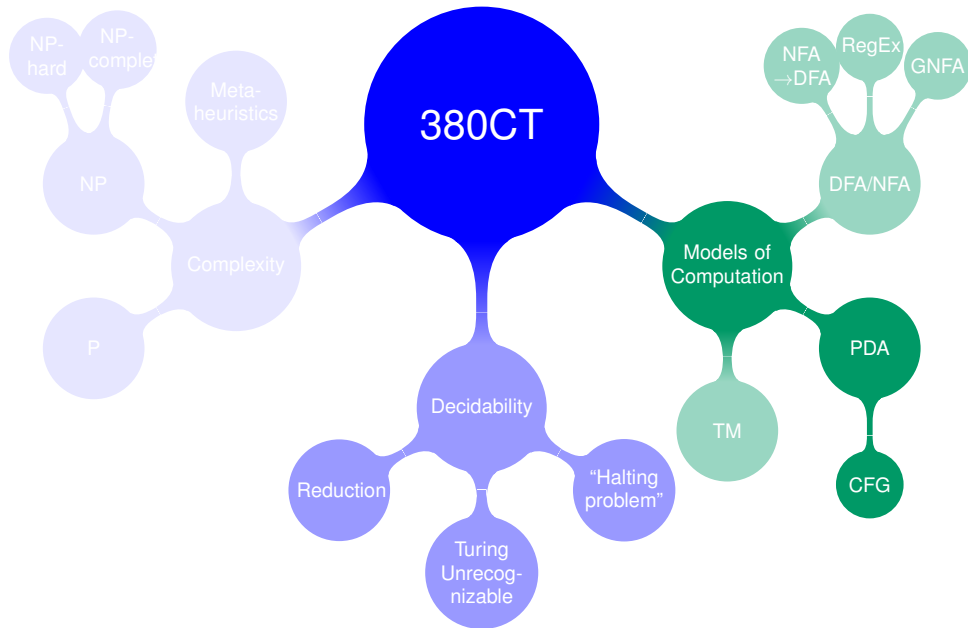


# Context-Free Languages (CFLs)

Dr Kamal Bentahar

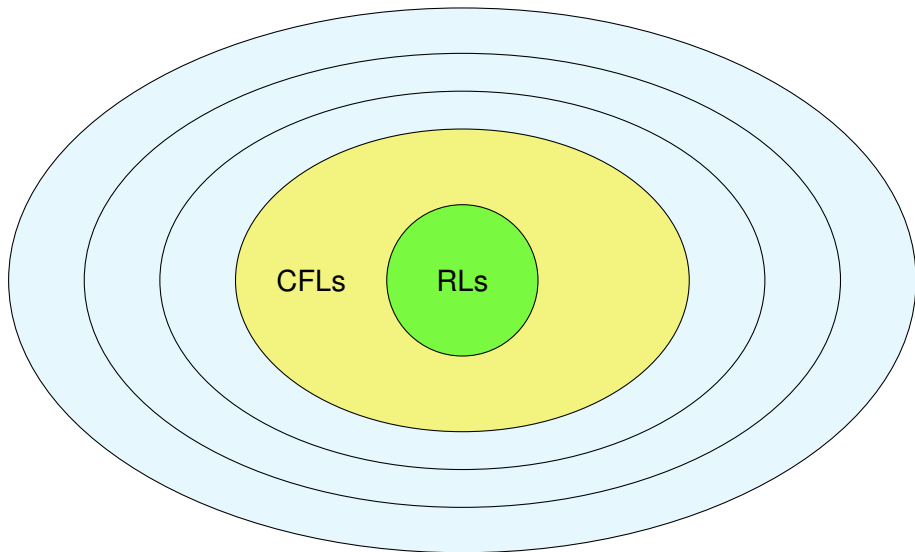
School of Engineering, Environment and Computing  
Coventry University

31/10/2016



# Language types... Chomsky Hierarchy

Context-Free  
Languages (CFLs)



Review

Mindmap

**Chomsky  
Hierarchy**

NFA & Stack

PDA's

Example

Nondeterminism

Review

Generation

Derivation

Parse trees

# Making NFAs more powerful...

We have seen that  $\{a^n b^n \mid n \geq 0\}$  is **not regular**. (Pumping Lemma)

What can we add to NFAs to enable them to recognize this language?

## Idea!

- ▶ Need to keep track of how many **a**'s have been seen.
- ▶ We can use a **stack**!



# Push-Down Automata (PDAs)

- ▶ Largely the same as NFAs but with the addition of a **stack memory**
  - ▶ LIFO memory (Last-In First Out).
  - ▶ Can **push** & **pop**.
  - ▶ Infinite (structured) memory! (Arbitrarily large  $n$ )
- ▶ More powerful than NFAs: can recognize some non-regular languages.
- ▶ On transition, the machine does not just change state: it also pushes and/or pops an item on/off the stack.

Review

Mindmap

Chomsky  
Hierarchy

NFA & Stack

PDAs

Example

Nondeterminism

Review

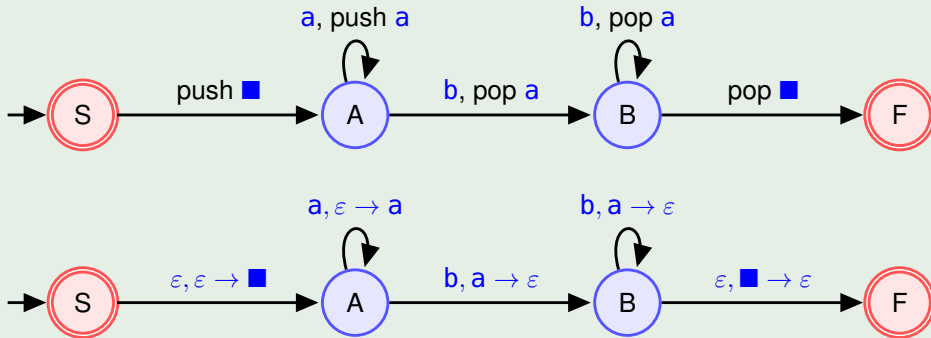
Generation

Derivation

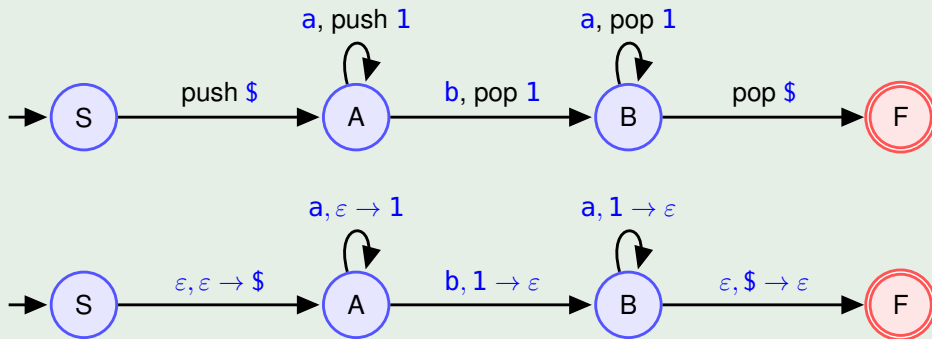
Parse trees

## Example ( $\{w \mid w = a^n b^n \text{ for } n \geq 0\}$ )

Push all the **a**'s onto the stack, and then pop one off each time a **b** is read. If the stack is empty at the end then the machine accepts.

[Review](#)[Mindmap](#)[Chomsky  
Hierarchy](#)[NFA & Stack](#)[PDAs](#)[Example](#)[Nondeterminism](#)[Review](#)[Generation](#)[Derivation](#)[Parse trees](#)

Example  $\{w \mid w = a^n b a^n, \quad n \geq 0\}$

[Review](#)[Mindmap](#)[Chomsky  
Hierarchy](#)[NFA & Stack](#)[PDAs](#)[Example](#)[Nondeterminism](#)[Review](#)[Generation](#)[Derivation](#)[Parse trees](#)

- ▶ We label transitions with:  $a, b \rightarrow c$ 
  - ▶  $a$ : input symbol read from the input string
  - ▶  $b$ : symbol popped off the stack
  - ▶  $c$ : symbol which replaces it
  - ▶ Either  $a$ ,  $b$  or  $c$  may be  $\epsilon$
- ▶ PDAs have no special feature for checking if the stack is empty.  
Counter this by pushing a delimiting character (in this case:  $\blacksquare$  or  $\$$ ) onto the stack at the beginning, then test for this character to see if it is empty.
- ▶ Also there is no specific way to test for the end of the input. This is dealt with in the example by having no transitions out of the accept state (i.e. only the last character can reach it).

Review

Mindmap

Chomsky  
Hierarchy

NFA & Stack

PDAs

Example

Nondeterminism

Review

Generation

Derivation

Parse trees



- ▶ Like NFAs, closed under union, concatenation, and star operations.
- ▶ Like NFAs, non-determinism, and each branch of the computation gets its **own stack**!
- ▶ Unlike DFAs vs NFAs, deterministic PDAs are **less powerful** than non-deterministic PDAs. (i.e., they recognize less languages)

Some CFLs can only be recognized by PDAs using nondeterminism.

Review

Mindmap

Chomsky  
Hierarchy

NFA & Stack

PDAs

Example

Nondeterminism

Review

Generation

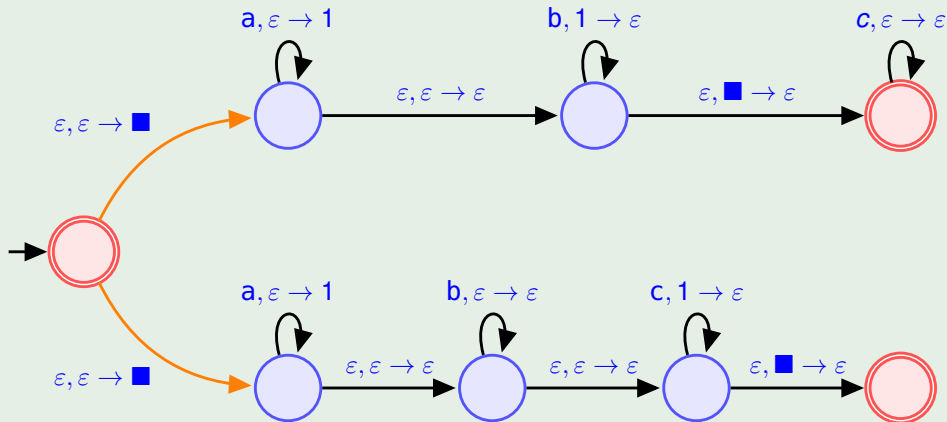
Derivation

Parse trees

## Example ( $\{w \mid w = a^i b^j c^k \text{ where } i = j \text{ or } i = k\}$ )

Rewrite as:

$$\{a^i b^j c^k \mid i = j\} \cup \{a^i b^j c^k \mid i = k\}$$



# Formal definition of PDAs & CFLs

## Push-Down Automata (PDAs)

A PDA is a 6-tuple  $\{Q, \Sigma, \Gamma, \delta, q_{\text{start}}, F\}$  where

- ▶  $Q$  is the set of states
- ▶  $\Sigma$  is the input alphabet
- ▶  $\Gamma$  is the stack alphabet
- ▶  $\delta : Q \times \Sigma_{\epsilon} \times \Gamma_{\epsilon} \rightarrow 2^{Q \times \Gamma_{\epsilon}}$  is the transition function
- ▶  $q_{\text{start}}$  is the start state
- ▶  $F$  is the set of accept states

## Context-Free Languages (CFLs)

A language is Context-Free **iff** it is recognized by a non-deterministic PDA.

[Review](#)[Mindmap](#)[Chomsky  
Hierarchy](#)[NFA & Stack](#)[PDAs](#)[Example](#)[Nondeterminism](#)[Review](#)[Generation](#)[Derivation](#)[Parse trees](#)

# “Language recognition” and “Language generation”

	Regular Languages	Context-Free Languages
<b>Recognizer:</b>	NFA/DFA	PDA
<b>Generator:</b>	RegEx / Regular Grammar	Context-Free Grammar

## Context-Free Grammars (CFGs):

- ▶ more powerful at describing languages than RegEx's.  
Can be used to describe all RLs, as well as some non regular ones
- ▶ first used in the study of natural languages.

Review

Mindmap

Chomsky  
Hierarchy

NFA & Stack

PDA's

Example

Nondeterminism

Review

Generation

Derivation

Parse trees

# CFGs: Context-Free Grammars

Context-Free Grammars (CFGs) are defined by **production rules** such as

$$A \rightarrow aAb$$

$$A \rightarrow B$$

$$B \rightarrow \epsilon$$

The rules of the grammar represent possible *replacements*

e.g.  $A \rightarrow aAb$  means the variable  $A$  may be replaced with the string  $aAb$ .

- ▶ **Lower case symbols**  $a$  and  $b$  are **terminals** (like symbols for NFAs). They constitute the alphabet for the grammar.
- ▶ **Upper case symbols**  $A$  and  $B$  are **variables** (or **non-terminals**). They are to be replaced by terminals or strings.
- ▶  $A$  is the **start variable**.

[Review](#)[Mindmap](#)[Chomsky  
Hierarchy](#)[NFA & Stack](#)[PDAs](#)[Example](#)[Nondeterminism](#)[Review](#)[Generation](#)[Derivation](#)[Parse trees](#)

# Derivation of strings – generation of a language

$$A \rightarrow aAb$$

$$A \rightarrow B$$

$$B \rightarrow \varepsilon$$

Commencing with the start variable, these replacements can be used iteratively to produce strings e.g.

$$A \rightarrow aAb \rightarrow aaAbb \rightarrow aaBbb \rightarrow aa\varepsilon bb = aabb$$

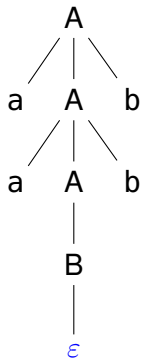
This is called a **derivation** of the string **aabb**.

[Review](#)[Mindmap](#)[Chomsky  
Hierarchy](#)[NFA & Stack](#)[PDAs](#)[Example](#)[Nondeterminism](#)[Review](#)[Generation](#)[Derivation](#)[Parse trees](#)

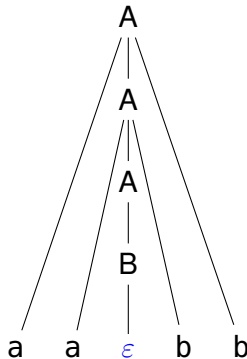
# Parse Trees

Diagrammatic way of representing the derivation process.

$A \rightarrow aAb \rightarrow aaAbb \rightarrow aaBbb \rightarrow aa\epsilon bb = aabb$



or



Review

Mindmap

Chomsky  
Hierarchy

NFA & Stack

PDA's

Example

Nondeterminism

Review

Generation

Derivation

Parse trees

# RL $\leftrightarrow$ DFA/NFA/RegEx $\leftrightarrow$ Regular Grammar

- ▶ Make a variable  $R_i$  for each state  $q_i$
- ▶ Add a rule  $R_i \rightarrow aR_j$  for each transition from  $q_i$  to  $q_j$  on symbol  $a$ .
- ▶ Add a rule  $R_i \rightarrow \varepsilon$  if  $q_i$  is an accepting state

## Example

$A, B$

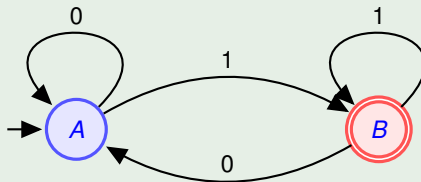
$A \rightarrow 0A$

$A \rightarrow 1B$

$B \rightarrow 1B$

$B \rightarrow 0A$

$B \rightarrow \varepsilon$

[Review](#)[Mindmap](#)[Chomsky  
Hierarchy](#)[NFA & Stack](#)[PDAs](#)[Example](#)[Nondeterminism](#)[Review](#)[Generation](#)[Derivation](#)[Parse trees](#)



To make writing CFGs more compact, we can combine rules starting with the same variable:

$$\left\{ \begin{array}{l} A \rightarrow aAb \\ A \rightarrow B \\ B \rightarrow \varepsilon \end{array} \right. \quad \text{becomes} \quad \left\{ \begin{array}{l} A \rightarrow aAb \\ B \rightarrow \varepsilon \end{array} \right. \mid B$$

Here the  $|$  symbol means “or” or “union”.

We have combined the first two rules into a single line.

[Review](#)[Mindmap](#)[Chomsky  
Hierarchy](#)[NFA & Stack](#)[PDAs](#)[Example](#)[Nondeterminism](#)[Review](#)[Generation](#)[Derivation](#)[Parse trees](#)

# Design of CFGs: look for **recursive structures**

## Example

Design a CFG to represent the language  $L$  over  $\Sigma = \{a, b\}$ , given by

$$L = \{w \mid w = a^n b a^n, \quad n \geq 0\}$$

We note that

$$a^n b a^n = \begin{cases} a(a^{n-1} b a^{n-1})a & \text{for } n \geq 1 \\ b & \text{for } n = 0 \end{cases} \quad (\text{Note that } a^{n-1} b a^{n-1} \in L)$$

CFG:

$$\begin{aligned} A &\rightarrow a A a \\ A &\rightarrow b \end{aligned}$$

[Review](#)[Mindmap](#)[Chomsky  
Hierarchy](#)[NFA & Stack](#)[PDAs](#)[Example](#)[Nondeterminism](#)[Review](#)[Generation](#)[Derivation](#)[Parse trees](#)

# Equivalence of PDAs and CFGs

## → Context-Free Languages (CFLs)

- ▶ Class of languages recognized by PDAs is the same as the one generated by CFGs.  
Can be shown by providing methods to convert one to the other – refer to the textbook for a demonstration.
- ▶ We call this class: **Context-Free Languages** (CFLs).

[Review](#)[Mindmap](#)[Chomsky  
Hierarchy](#)[NFA & Stack](#)[PDAs](#)[Example](#)[Nondeterminism](#)[Review](#)[Generation](#)[Derivation](#)[Parse trees](#)

# Pumping Lemma for CFLs

*For the curious – not examinable!*

## Pumping Lemma for CFLs

If  $L$  is a CFL then there is a number  $p$  where: if  $w$  is any string in  $L$  of length at least  $p$  then  $w$  may be divided into **five** pieces  $w = uxyzv$  satisfying the conditions

1. for each  $k \geq 0$ :  $ux^kyz^kv \in L$
2.  $|xz| > 0$
3.  $|xyz| \leq p$

[Review](#)[Mindmap](#)[Chomsky  
Hierarchy](#)[NFA & Stack](#)[PDAs](#)[Example](#)[Nondeterminism](#)[Review](#)[Generation](#)[Derivation](#)[Parse trees](#)

## Chomsky Hierarchy

Grammar	Languages	Automaton	Production rules
Type-0	Recursively Enumerable	Turing Machine (TM)	$\alpha \rightarrow \beta$ (no restrictions)
Type-1	Context Sensitive	Linear-bounded TM	$\alpha A \beta \rightarrow \alpha \gamma \beta$
Type-2	Context Free	PDA	$A \rightarrow \gamma$
Type-3	Regular	NFA	$A \rightarrow aB \mid a$

## Context-Free Grammars (CFGs)

A Context Free Grammar (CFG) is a 4-tuple  $\{V, \Sigma, R, S\}$  where

- ▶  $V$  is the set of variables
- ▶  $\Sigma$  is the set of terminals
- ▶  $R$  is the set of production rules
- ▶  $S$  is the start variable

[Review](#)[Mindmap](#)[Chomsky  
Hierarchy](#)[NFA & Stack](#)[PDAs](#)[Example](#)[Nondeterminism](#)[Review](#)[Generation](#)[Derivation](#)[Parse trees](#)