MAC5725- Linguística Computacional EP 1

Andre Barbosa - NUSP7971751 andre.barbosa@ime.usp.br 25 de Setembro de 2020

1. Mostre que a perda/custo naive-softmax dada na Equação (2) é a mesma que a perda de entropia cruzada entre y e \hat{y} ;ou seja, mostre que:

$$-\sum_{w \in Vocab} y_w log(\hat{y}_w) = -log(\hat{y}_o) \tag{1}$$

Considerando que a representação de palavras é um one hot encoding/bag of words, para uma determinada palavra o, ela será 1 quando w = o e 0 para as demais palavras. Logo, teremos o seguinte:

Demonstração.

$$-\sum_{w \in Vocab} y_w log(\hat{y}_w) =$$

$$= -(y_o * log(\hat{y}_o) + \sum_{w \in Vocab - \{o\}} y_w log(\hat{y}_w)) =$$

$$= -(1 * log(\hat{y}_o) + \sum_{w \in Vocab - \{o\}} 0 * log(\hat{y}_w)) = -log(\hat{y}_o)$$
(2)

2. Calcule a derivada parcial de $J_{naive-softmax}(v_c,o,U)$ em relação a v_c . Por favor escreva a resposta em termos de $y,\ \hat{y}$ e U.

Por questões de simplificação, usaremos J para denotar $J_{naive-softmax}(v_c, o, U)$. Além disso, da equação (2) temos que:

$$J_{naive-softmax}(v_c, o, U) = -log(P(O = o|C = c)) = -\frac{exp(u_o^T \cdot v_c)}{\sum_{w \in Vocab} exp(u_w^T \cdot v_c)}$$

Disso, temos que:

$$(\frac{\partial}{\partial v_c} - log \left[\frac{exp(u_o^T \cdot v_c)}{\sum_{w \in \text{Vocab}} exp(u_w^T \cdot v_c)} \right]) =$$

$$= -(\frac{\partial}{\partial v_c} [log(exp(u_o^T \cdot v_c)) - log(\sum_{w \in \text{Vocab}} exp(u_w^T \cdot v_c))])$$

$$= -(\frac{\partial}{\partial v_c} log(exp(u_o^T \cdot v_c)) - \frac{\partial}{\partial v_c} log(\sum_{w \in \text{Vocab}} exp(u_w^T \cdot v_c)))$$

$$= -(u_o - \frac{\partial}{\partial v_c} log(\sum_{w \in \text{Vocab}} exp(u_w^T \cdot v_c)))$$

$$= -(u_o - \frac{1}{\sum_{w \in \text{Vocab}} exp(u_w^T \cdot v_c)} \frac{\partial}{\partial v_c} \sum_{k \in \text{Vocab}} exp(u_k^T \cdot v_c))$$

$$= -(u_o - \frac{1}{\sum_{w \in \text{Vocab}} exp(u_w^T \cdot v_c)} \sum_{k \in \text{Vocab}} \frac{\partial}{\partial v_c} exp(u_k^T \cdot v_c))$$

$$= -(u_o - \frac{1}{\sum_{w \in \text{Vocab}} exp(u_w^T \cdot v_c)} \sum_{k \in \text{Vocab}} exp(u_k^T \cdot v_c) \frac{\partial}{\partial v_c} u_k^T \cdot v_c)$$

$$= -(u_o - \sum_{k \in \text{Vocab}} \frac{exp(u_k^T \cdot v_c)}{\sum_{w \in \text{Vocab}} exp(u_w^T \cdot v_c)} u_k)$$

E, segundo a equação 1, podemos reescrever da seguinte forma:

$$= -(u_o - \sum_{k \in \text{Vocab}} p(O = k | C = c)u_k)$$

Em que u_k é a palavra de índice k em U. Aplicando o somatório, então, temos que:

$$\frac{\partial J}{\partial v_c} = U^T(\hat{y} - y)$$

3. Calcule as derivadas parciais de $J_{naive-softmax}(v_c,o,U)$ em relação a cada um dos vetores de palavras "externas", u_w 's. Há dois casos: quando w=o, o verdadeiro vetor de palavras "externas" e $w\neq o$, para todas as outras palavras. Escreva a sua resposta em termos de $y,\,\hat{y}$ e v_c .

$$\begin{split} &(\frac{\partial}{\partial u_w} - log \Bigg[\frac{exp(u_o^T \cdot v_c)}{\sum_{w \in \text{Vocab}} exp(u_w^T \cdot v_c)} \Bigg]) = \\ &= -(\frac{\partial}{\partial u_w} [log(exp(u_o^T \cdot v_c)) - log(\sum_{w \in \text{Vocab}} exp(u_w^T \cdot v_c))]) \\ &= -(\frac{\partial}{\partial u_w} log(exp(u_o^T \cdot v_c)) - \frac{\partial}{\partial u_w} log(\sum_{w \in \text{Vocab}} exp(u_w^T \cdot v_c))) \end{split}$$

Disso temos os dois casos:

1. w = 0

$$= -\left(\frac{\partial}{\partial u_o}log(exp(u_o^T \cdot v_c)) - \frac{\partial}{\partial u_o}log(\sum_{w \in Vocab} exp(u_w^T \cdot v_c))\right)$$

$$= -\left(v_c - \frac{\partial}{\partial u_o}log(\sum_{w \in Vocab} exp(u_w^T \cdot v_c))\right)$$

$$= -\left(v_c - \frac{1}{\sum_{w \in Vocab} exp(u_w^T \cdot v_c)} \left(\frac{\partial}{\partial u_o} \sum_{w \in Vocab} exp(u_w^T \cdot v_c)\right)\right)$$

$$= -\left(v_c - \frac{1}{\sum_{w \in Vocab} exp(u_w^T \cdot v_c)} \left(\frac{\partial}{\partial u_o} exp(u_o^T \cdot v_c)\right)\right)$$

$$= -\left(v_c - \frac{1}{\sum_{w \in Vocab} exp(u_w^T \cdot v_c)} (exp(u_o^T \cdot v_c)v_c)\right)$$

$$= -\left(v_c - \frac{(exp(u_o^T \cdot v_c)}{\sum_{w \in Vocab} exp(u_w^T \cdot v_c)} v_c\right)$$

$$= -\left(v_c - p(O = o|C = c)v_c\right)$$

$$= (p(O = o|C = c) - 1)v_c$$

 $2. \ w \neq o$

$$\begin{split} &= -(\frac{\partial}{\partial u_w} log(exp(u_o^T \cdot v_c)) - \frac{\partial}{\partial u_w} log(\sum_{w \in \text{Vocab}} exp(u_w^T \cdot v_c))) \\ &= -(0 - \frac{\partial}{\partial u_w} log(\sum_{w \in \text{Vocab}} exp(u_w^T \cdot v_c))) \\ &= -(0 - \frac{1}{\sum_{w \in \text{Vocab}} exp(u_w^T \cdot v_c)} (\frac{\partial}{\partial u_w} \sum_{w \in \text{Vocab}} exp(u_w^T \cdot v_c))) \\ &= -(0 - \frac{1}{\sum_{w \in \text{Vocab}} exp(u_w^T \cdot v_c)} (\frac{\partial}{\partial u_w} exp(u_w^T \cdot v_c))) \\ &= -(0 - \frac{1}{\sum_{w \in \text{Vocab}} exp(u_w^T \cdot v_c)} (exp(u_o^T \cdot v_c)v_c)) \\ &= -(0 - \frac{(exp(u_w^T \cdot v_c)}{\sum_{w \in \text{Vocab}} exp(u_w^T \cdot v_c)} v_c) \\ &= -(-p(O = w | C = c)v_c) \\ &= p(O = w | C = c)v_c \end{split}$$

De (1) e (2), então, temos que

$$\frac{\partial J}{\partial u_w} = (\hat{y} - y)v_c$$

4. A função sigmóide é dada pela Equação:

$$\sigma(x) = \frac{1}{1 + e^{-x}} = \frac{e^x}{e^x + 1} \tag{3}$$

Calcule a derivada de $\sigma(x)$ em relação a x, onde x é um escalar

Podemos dar o resultado por:

$$\frac{\partial}{\partial x}\sigma(x) =$$

$$= \frac{\partial}{\partial x} \frac{e^x}{e^x + 1}$$

$$= \frac{e^x(e^x + 1) - e^x e^x}{(e^x + 1)^2}$$

$$= \frac{e^x e^x + e^x - e^x e^x}{(e^x + 1)^2}$$

$$= \frac{e^x}{(e^x + 1)^2}$$

$$= \frac{e^x}{(e^x + 1)}$$

$$= \sigma(x) \frac{1}{(e^x + 1)}$$

$$= \sigma(x)(1 - \sigma(x))$$

5. Repita as partes (b) e (c), calculando as derivadas parciais de $J_{neg-sample}$ em relação a v_c , em relação a u_o , e em relação a uma amostra negativa u_k :

Derivada Parcial de $J_{neg-sample}$ em relação a v_c

$$\begin{split} \frac{\partial}{\partial v_c} \left[-log(\sigma(u_o^T v_c)) - \sum_{k=1}^K log(\sigma(-u_k^T v_c)) \right] = \\ &= -\frac{\partial}{\partial v_c} log(\sigma(u_o^T v_c)) - \frac{\partial}{\partial v_c} \sum_{k=1}^K log(\sigma(-u_k^T v_c)) \\ &= -\frac{\partial}{\partial v_c} log(\sigma(u_o^T v_c)) - \sum_{k=1}^K \frac{\partial}{\partial v_c} log(\sigma(-u_k^T v_c)) \\ &= -\frac{\sigma(u_o^T v_c)(1 - \sigma(u_o^T v_c))}{\sigma(u_o^T v_c)} \frac{\partial}{\partial v_c} u_o^T v_c - \sum_{k=1}^K \frac{\sigma(-u_k^T v_c)(1 - \sigma(-u_k^T v_c))}{\sigma(-u_k^T v_c)} \frac{\partial}{\partial v_c} - u_k^T v_c \\ &= -(1 - \sigma(u_o^T v_c)u_o - \sum_{k=1}^K (1 - \sigma(-u_k^T v_c))u_k \\ &= -(1 - \sigma(u_o^T v_c))u_o + \sum_{k=1}^K (1 - \sigma(-u_k^T v_c))u_k \end{split}$$

Derivada Parcial de $J_{neg-sample}$ em relação a u_o

$$\begin{split} \frac{\partial}{\partial u_o} \left[-log(\sigma(u_o^T v_c)) - \sum_{k=1}^K log(\sigma(-u_k^T v_c)) \right] = \\ &= -\frac{\partial}{\partial u_o} log(\sigma(u_o^T v_c)) - \frac{\partial}{\partial u_o} \sum_{k=1}^K log(\sigma(-u_k^T v_c)) \\ &= -\frac{\partial}{\partial u_o} log(\sigma(u_o^T v_c)) - \sum_{k=1}^K \frac{\partial}{\partial u_o} log(\sigma(-u_k^T v_c)) \\ &= -\frac{\sigma(u_o^T v_c)(1 - \sigma(u_o^T v_c))}{\sigma(u_o^T v_c)} \frac{\partial}{\partial u_o} u_o^T v_c - \sum_{k=1}^K \frac{\sigma(-u_k^T v_c)(1 - \sigma(-u_k^T v_c))}{\sigma(-u_k^T v_c)} \frac{\partial}{\partial u_o} - u_k^T v_c \\ &= -(1 - \sigma(u_o^T v_c)) v_c - \sum_{k=1}^K (1 - \sigma(-u_k^T v_c)) 0 \\ &= -(1 - \sigma(u_o^T v_c)) v_c \end{split}$$

Derivada Parcial de $J_{neg-sample}$ em relação a uma amostra negativa u_k

$$\begin{split} \frac{\partial}{\partial u_k} [-log(\sigma(u_o^T v_c)) - \sum_{k=1}^K log(\sigma(-u_k^T v_c))] = \\ = -\frac{\partial}{\partial u_k} log(\sigma(u_o^T v_c)) - \frac{\partial}{\partial u_k} \sum_{k=1}^K log(\sigma(-u_k^T v_c)) \\ = -\frac{\partial}{\partial u_k} log(\sigma(u_o^T v_c)) - \sum_{k=1}^K \frac{\partial}{\partial u_k} log(\sigma(-u_k^T v_c)) \\ = -\frac{\sigma(u_o^T v_c)(1 - \sigma(u_o^T v_c))}{\sigma(u_o^T v_c)} \frac{\partial}{\partial u_k} u_o^T v_c - \sum_{k=1}^K \frac{\sigma(-u_k^T v_c)(1 - \sigma(-u_k^T v_c))}{\sigma(-u_k^T v_c)} \frac{\partial}{\partial u_k} - u_k^T v_c \\ = -(1 - \sigma(u_o^T v_c)0 - \sum_{k=1}^K (1 - \sigma(-u_k^T v_c))(-v_c) \\ = + \sum_{k=1}^K (1 - \sigma(-u_k^T v_c))v_c \end{split}$$

Então, no caso de uma amostra negativa u_k , então: $\frac{J_{\text{neg-sample}}}{\partial u_k} = (1 - \sigma(-u_k^T v_c))v_c$

Esta função de custo é mais eficente proquê ela não precisa carregar todo o vocabulário de palavras em memória, levando em conta apenas a palavra observada, a central e as K amostras selecionadas

6. Escreva três derivadas parciais, em que:

$$J_{\mathbf{skip-gram}}(v_c, w_{t-m}, \cdots, w_{t+m}, U) = \sum_{\substack{-m \leq j \leq m \\ j \neq 0}} J(v_c, w_{t+j}, U)$$

$$i \frac{\partial J_{\text{skip-gram}}(v_c, w_{t-m}, \cdots, w_{t+m}, U)}{\partial U}$$

$$\frac{\partial \sum_{\substack{-m \leq j \leq m \ j \neq 0}} J(v_c, w_{t+j}, U)}{\partial U}$$
$$= \sum_{\substack{-m \leq j \leq m \ j \neq 0}} \frac{\partial U}{\partial J(v_c, w_{t+j}, U)}$$

ii
$$\frac{\partial J_{\text{skip-gram}}(v_c, w_{t-m}, \cdots, w_{t+m}, U)}{\partial v_c}$$

$$\frac{\partial \sum_{\substack{-m \leq j \leq m \\ j \neq 0}} J(v_c, w_{t+j}, U)}{\partial v_c}$$

$$= \sum_{\substack{-m \leq j \leq m \\ j \neq 0}} \frac{\partial J(v_c, w_{t+j}, U)}{\partial v_c}$$

iii
$$\frac{\partial J_{\text{skip-gram}}(v_c, w_{t-m}, \cdots, w_{t+m}, U)}{\partial v_w}$$
, com $w \neq c$

$$\frac{\partial \sum_{\substack{-m \leq j \leq m \\ j \neq 0}} J(v_c, w_{t+j}, U)}{\partial v_w}$$

$$= \sum_{\substack{-m \leq j \leq m \\ j \neq 0}} \frac{\partial J(v_c, w_{t+j}, U)}{\partial v_w} = 0$$