Controllable Music Generation

Michael Büttner Johanna Pries David Komnick

1 Introduction

Music is an important topic for most people in their day-to-day life and we are constantly surrounded by it. But composing music is a very complex art which requires a lot of knowledge. So in addition to human compositions which are made by hand, there is also the approach to generate music via algorithms. For this to be well functioning it is critical to make the music generation controllable. In general, it would be really practical if not the user would have to choose which music they want to listen to, but the music would be automatically chosen based on the mood etc. the user is in at the moment.

Our idea is to generate music depending on the environment or mood a person is currently in. Therefore we need some kind of information about the surroundings of the user. This information should be retrieved by using the data an IMU (Inertial Measurement Unit) system on an Arduino Bluetooth board records. More specifically: the acceleration and orientation of the sensor. This way the generated music can be dynamically adapted to the current environment, without the user having to actively change it.

2 Methods

The general idea was to work with successful and promising, already published related work (and file formats) and expand, adapt and improve those approaches for our needs and goals. A substantial part of our work was the modification of FIGARO (FIne-grained music Generation via Attention based, RObust control).

2.1 MIDI

The MIDI (Musical Instrument Digital Interface) data file format has become a widely adopted standard in the music industry due to its flexibility, efficiency, and controllability. Unlike WAV (Waveform Audio File Format), which represents audio waveforms as raw sampled data, MIDI files store musical information in a symbolic format that encompasses note events, timing, pitch, duration, and other relevant musical parameters. This symbolic representation makes MIDI files highly suitable for controllable music generation with AI.

We decided to use the MIDI data file format instead of the WAV format, because of its inherent advantages in controllable music generation with AI. Another advantage of the MIDI file format is that it is extendable to other formats, such as the REMI (REvamped MIDI-derived events) [1] and REMI+ [2] file format.

2.2 REMI

REMI (REvamped MIDI-derived events) was introduced to represent MIDI data following the way humans read them. In addition to the tokens used in the MIDI file format, REMI uses 'Bar' and 'Position' events, indicating the beginning of a bar and the specific position within a bar (quantized to 16 regions), respectively. This structure leads to better rhythmic regularity when modeling music and replaces the need of using a 'Time-Shift' event. In comparison to MIDI, a set of 'Tempo' events was added to REMI to allow for local tempo changes per beat. Additionally, a 'Chord' event was added to make the harmonic structure of music explicit and therefore improve controllability of the chord progression. A chord consists of a root note (C, C#, D, ...) and a chord quality (major, minor, diminished, ...). Other than in the MIDI format, REMI does not use 'Note-Off' events, but instead uses 'Note Duration' events. Hence, each note is represented with the 'Note Velocity', 'Note-On' and 'Note Duration' event. This gives the advantage, that the duration of a note does not have to be inferred from a time gap and a model does not have to learn that 'Note-On' and 'Note-Off' events must appear in pairs [1].

$2.3 \quad REMI+$

The original REMI format does not allow for modelling multiple tracks or multiple time signatures. Therefore, REMI+ was introduced as an extension to REMI. REMI+ is suitable for general multi-track, multi-signature symbolic music sequences. This is done by modifications that add time signature and instrument information, determining a unique order of events and use quantization schemes that allow for accurate representation for a diverse set of music [2].

2.4 FIGARO

We are using a transformer model for music generation called FIGARO [2]. It uses a self-supervised description-to-sequence task to generate symbolic music. There are two kinds of descriptions the model can take as an input. The first one is the expert description (fig. 1), which includes certain features per bar, such as time signature, note density, mean pitch, mean velocity, mean duration, instruments and chords. It serves as a human interpretable feature description but is only able to capture low-fidelity features.

The other possible input type is the learned description. It is gained through the use of a Vector Quantized Variational Autoencoder (VQ-VAE) framework. The framework is trained separately to FIGARO and the latent code is used as the learned description.

The main part of FIGARO is a sequence-to-sequence model which takes either the expert description, the learned description or both as an input and gives music as an output. The authors of FIGARO proposed and used the REMI+ format. Because of this, we have to convert all inputs from MIDI to REMI+ and all outputs from REMI+ to MIDI.

2.5 Training of FIGARO

2.6 Modifications to FIGARO

In order to use FIGARO for our purposes, several parts of the model had to be adapted and extended.

2.6.1 Experiments with FIGARO

Since the expert description includes the chords per bar, one idea is to change all the chords to their relative minor or relative major to manipulate the perceived emotion. For example a 'C Major' chord would be rewritten as an 'A Minor' chord and vice versa. This way the

musical relations between different chords are conserved in contrast to simply changing the chords to their parallel key (i.e. from major to minor, or the other way around), without adapting the tonic note. But still several other problems are encountered. It is for instance unclear what happens with augmented our diminished chords as those types of chords can be assigned to neither major nor minor scales.

This approach was not further pursued in the project.

2.6.2 Transformer in FIGARO

As shown in Figure 1, FIGARO is build out of two transformers using an encoder-decoder architecture. In the forward-path of FIGARO the hidden state is passed from the encoder to the decoder.

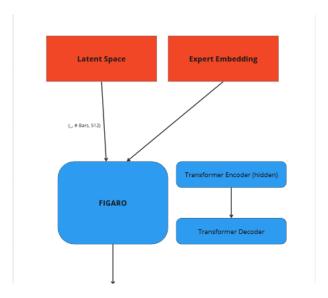


Figure 1: Encoder-decoder architecture of FIGARO (blue) and latent space and expert embedding as input (red).

While the encoder is processing a whole bar, the decoder is representing the output token-wise. The look-back of the decoder is (most likely, unless there are many tokens in one bar) more than one bar. The dimensionality of the hidden-state is (1, #bars, 256).

The entire information about the song is in the hidden state. The idea is to apply a neural network (only containing dense layers as the baseline network) which is tasked to manipulate the hidden state for achieving our conditioned classification goal. We have music of type c_i (in our current case emotions) and we want to change it to music of type c_j . How well the transformation worked is determined by our classifier, applied to the REMI+-tokens after applying FIGARO. This is illustrated in Figure 2.

Now we want to build a loss function for training our network. We fix the weights of FIGARO and train the green part of Figure 2. In the original paper ([2]) a reconstruction loss is used. This is not applicable for us since we want to change the output. Therefore it is important to specify our input: The input is a composition of three components:

- 1. The actual song.
- 2. The class-label c of the song.

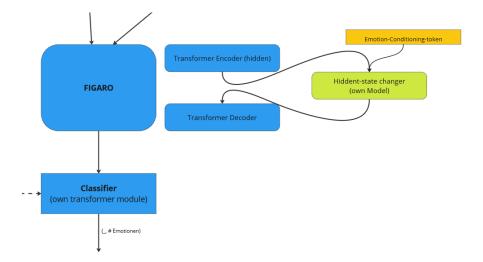


Figure 2: FIGARO (blue) with manipulated hidden state (green). After applying FIGARO a classifier is applied to the respective output.

3. The class-label y we want the output to have.

In general we want to transform the class-label c to y and we can use the categorical cross entropy (assuming an one-hot-vector-encoding). If c == y holds, we want the hidden state to not change at all. In this case we add an identity-loss for the hidden state to our loss function. By this we want to ensure that we do not train n songs, where n is the number of different classes.

Sample from a Conditional Variational Autoencoder (CVAE) which incorporates a conditional variable into both the encoder and the decoder.

2.7 Datasets

In order to train the emotion-classifier, an appropriate dataset is needed. We decided to use the EMOPIA dataset [3] for this process. EMOPIA is a database including MIDI data which focuses on perceived emotion in pop piano music. The dataset contains 1,087 music clips annotated with emotion labels by hand. The perceived emotions are divided into four quadrants (Q1, Q2, Q3, Q4). These quadrants are defined by the level of valence and the level of arousal (low or high respectively) in the music. We decided to use two of the four quadrants for the training. Quadrant Q1 contains 250 music clips described as high arousal and high valence. The corresponding music is perceived as exciting. Quadrant Q3 includes 253 music clips described as low arousal and low valence. The music in this quadrant is perceived as calm. We chose these two quadrants due to their differences in note density, note length and note velocity.

2.8 Classifier

Together with the EMOPIA dataset, several emotion classifiers were introduced and evaluated. The classification task for EMOPIA was defined as classifying a song into one of the four categories (quadrants) described in the section above. For this, the classification results were obtained on symbolic-domain emotion recognition as well as on audio-domain emotion

recognition. The symbolic-domain classification includes using two different symbolic note representation methods: MIDI-like and REMI [3]. For our purposes the symbolic-domain classifier utilizing the REMI input files is suited.

The model is build as a combination of a bidirectional LSTM and a self-attention module. The temporal information of the songs is extracted by the LSTM. The self-attention module on the other hand computes weight vectors over the hidden states of the LSTM with multi-head attention. Eventually, the resulting weighted hidden states are used for the classification [3].

For our work this classifier can be repurposed but first has to be adapted to REMI+ in order to do so.

3 IMU

To generate music based on environment, we have to collect environmental data and transform them into a format that can be used to guide the generation process.

3.1 Collection of Raw Data

We use an Arduino board to collect raw environmental data. Arduino is an open-source electronics platform based on easy-to-use hardware and software. [4] It is compatible with Linux, Mac and Windows system. Arduino boards are hardware that is able to read inputs from various sensors and turn them into outputs. You can upload scripts to the microcontroller to control the behavior of an Arduino board.

As for this project, we use the Arduino Nano 33 BLE Sense Rev2.[5] It is equipped with gyroscope, microphone and sensors for light, barometric pressure and temperature. It can be connected to a PC via Bluetooth Low Energy so that the outputs produced by this board can be read and recorded for further use.

We first configure the Arduino board to enable transmissions between the board and the PC. We use a sketch published by Arduino with modifications for the Rev2 hardware. [6] After uploading the sketch to the Arduino board using Arduino Software IDE, the board will send out sensor data on different channels.

```
BLEService
                                service
   BLE_SENSE_UUID("0000"));
BLEUnsignedIntCharacteristic
                                versionCharacteristic
   BLE_SENSE_UUID("1001"), BLERead);
BLEUnsignedShortCharacteristic ambientLightCharacteristic
   BLE_SENSE_UUID("2001"), BLENotify); // 16-bit
BLECharacteristic
                                colorCharacteristic
   BLE_SENSE_UUID("2002"), BLENotify, 3 * sizeof(unsigned short));
    // Array of 16-bit, RGB
BLEUnsignedCharCharacteristic proximityCharacteristic
   BLE_SENSE_UUID("2003"), BLENotify); // Byte, \theta - 255 \Rightarrow close
   to far
BLEByteCharacteristic
                                gestureCharacteristic
   BLE_SENSE_UUID("2004"), BLENotify); // NONE = -1, UP = 0, DOWN
   = 1, LEFT = 2, RIGHT = 3
BLECharacteristic
                                acceleration Characteristic
   BLE_SENSE_UUID("3001"), BLENotify, 3 * sizeof(float)); // Array
```

```
of 3 floats, G
BLECharacteristic
                                gyroscopeCharacteristic
   BLE_SENSE_UUID("3002"), BLENotify, 3 * sizeof(float)); // Array
    of 3 floats, dps
{\bf BLECharacteristic}
                                magneticFieldCharacteristic
   BLE_SENSE_UUID("3003"), BLENotify, 3 * sizeof(float)); // Array
    of 3 floats, uT
{\bf BLEF loat Characteristic}
                                pressureCharacteristic
   BLE_SENSE_UUID("4001"), BLERead); // Float, kPa
                                temperatureCharacteristic
BLEFloatCharacteristic
   BLE_SENSE_UUID(" 4002") , BLERead) ; // Float , Celcius
                                humidityCharacteristic
BLEFloatCharacteristic
   BLE_SENSE_UUID("4003"), BLERead); // Float, Percentage
                                microphoneLevelCharacteristic (
BLECharacteristic
   BLE_SENSE_UUID("5001"), BLENotify, 32); // Int, RMS of audio
   input
BLECharacteristic
                                rgbLedCharacteristic
   BLE_SENSE_UUID("6001"), BLERead | BLEWrite, 3 * sizeof(byte));
   // Array of 3 bytes, RGB
```

The output of the Arduino board are collected using a Python script. With the async and the bleak library, we can search for Bluetooth devices and read the data transmitted by them using BleakScanner.discover(). After a successful connection, we can search for a specific channel to read out data from a certain sensor. A showcase of this process can be found at our GitHub repository. [7] The received data are written into files at last.

```
async def main():
    devices = await BleakScanner.discover()
    adress = ""
    found = False
    for d in devices:
        if d.name = "IMU1":
            adress = d.address
            found = True
        print (d)
    if found:
        async with BleakClient(adress) as client:
            print("Gyroscope_found!")
            await client.start_notify(uuid_gyro_service,
                notification_handler)
            if(len(sys.argv) > 1 \text{ and } sys.argv[1] == "endless"):
               \mathbf{while}(1):
                   await asyncio.sleep (10.0)
                 await asyncio.sleep(10.0)
            await client.stop_notify(uuid_gyro_service)
    else:
        print("Gyroscope_not_found!")
```

3.2 Processing of the Data

A first concept is to record a specific sensor value, e. g. gyroscope angles for the X, Y and Z axis, for a period of time. The mean and standard deviation values can be used to determine whether the Arduino board is in movement, indicating a higher activity in the environment. Further sensor data can be collected to support or modify the result, like a high velocity detected by the accelerometer indicates high energy, while low volume detected by the microphone suggests a rather quiet and relaxed environment. These values will be calculated together which results in a certain emotion for the generated music.

4 Discussion

4.1 Future Work

Our work does not have to be limited on emotion related tasks. It could be adapted to several other domains. Instead of a dataset classified based on emotion, for example a dataset divided into different genres of music could be used.

Generally, to improve the results, different kinds of music clips could be chosen. It could be potentially beneficial to use simple and not too complex music clips to improve the quality of the generated music.

4.2 Conclusion

References

- [1] Y. Huang and Y. Yang, "Pop music transformer: Generating music with rhythm and harmony," CoRR, vol. abs/2002.00212, 2020.
- [2] D. von Rütte, L. Biggio, Y. Kilcher, and T. Hofmann, "Figaro: Generating symbolic music with fine-grained artistic control," arXiv preprint arXiv:2201.10936, 2022.
- [3] H.-T. Hung, J. Ching, S. Doh, N. Kim, J. Nam, and Y.-H. Yang, "EMOPIA: A multimodal pop piano dataset for emotion recognition and emotion-based music generation," 2021.
- [4] T. A. Team, "What is arduino?." https://www.arduino.cc/en/Guide/Introduction.
- [5] T. A. Team, "Arduino nano 33 ble sense rev2." https://docs.arduino.cc/hardware/nano-33-ble-sense-rev2.
- [6] https://github.com/rotorman/ArduinoAI/blob/SenseRev2/ BLESense-test-dashboard/BLESenseRev2/BLESenseRev2.ino.
- [7] https://github.com/abarch/comp_muse/blob/main/resources/Arduino/getGyro.py.