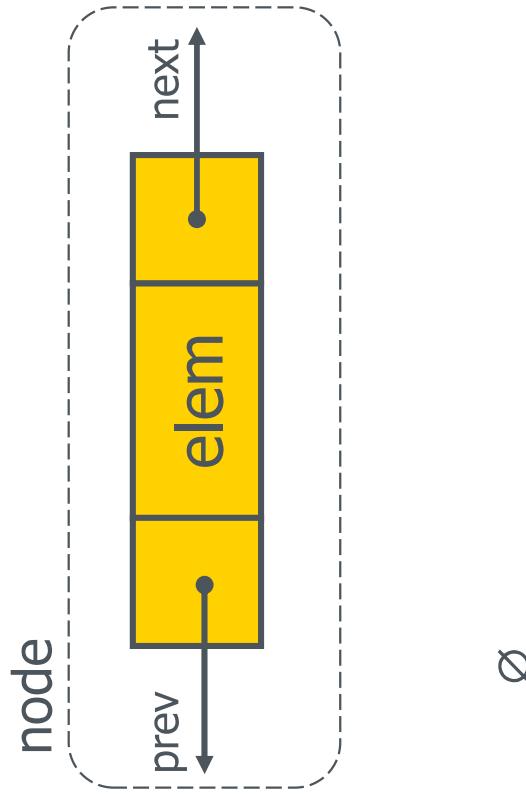


Doubly Linked Lists

- Allows traversing in both directions (forward and reverse)
- Each node stores
 - element
 - link to the next node
 - link to the previous node
- Sentinel nodes
 - Dummy **header** node
 - Dummy **trailer** node



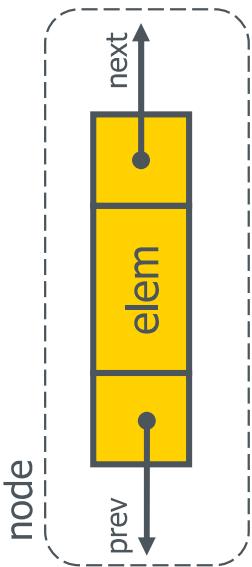
Doubly Linked List C++ Classes Declaration

- Notice **typedef!**

- Generic, like templates

```
typedef string Elem;           // list element type
class DNode {                  // doubly linked list node
private:
    Elem elem;                // node element value
    DNode* prev;               // previous node in list
    DNode* next;               // next node in list
    friend class DLinkedList;  // allow DLinkedList access
};

class DLinkedList {            // doubly linked list
public:
    DLinkedList();             // constructor
    ~DLinkedList();            // destructor
    bool empty() const;        // is list empty?
    const Elem& front() const; // get front element
    const Elem& back() const;  // get back element
    void addFront(const Elem& e); // add to front of list
    void addBack(const Elem& e); // add to back of list
    void removeFront();         // remove from front
    void removeBack();          // remove from back
private:
    DNode* header;             // local type definitions
    DNode* trailer;            // list sentinels
protected:
    void add(DNode* v, const Elem& e); // insert new node before v
    void remove(DNode* v);           // remove node v
};
```



Doubly Linked List Definitions

- Constructor
- isEmpty?
 - header and trailer pointing each other
- Return front and back elements
- Dynamic memory allocation
 - We need destructor
- Destructor
 - remove nodes until list is empty

```
DLINKEDLIST::DLINKEDLIST() {  
    header = new DNode; // constructor  
    trailer = new DNode; // create sentinels  
    header->next = trailer;  
    trailer->prev = header;  
}  
  
bool DLINKEDLIST::empty() const // is list empty?  
{  
    return (header->next == trailer);  
}  
  
const Elem& DLINKEDLIST::front() const // get front element  
{  
    return header->next->elem;  
}  
  
const Elem& DLINKEDLIST::back() const // get back element  
{  
    return trailer->prev->elem;  
}  
  
DLINKEDLIST::~DLINKEDLIST() {  
    while (!empty()) removeFront();  
    delete header;  
    delete trailer;  
}
```



Doubly Linked List - Add Element

- add() is protected
 - Utility function, why?

```
void DLinkedList::add(DNode* v, const Elem& e) {  
    DNode* u = new DNode; u->elem = e; // create a new node for e  
    u->next = v;  
    u->prev = v->prev;  
    v->prev->next = v->prev = u;  
}  
  
void DLinkedList::addFront(const Elem& e) // add to front of list  
{ add(header->next, e); }  
  
void DLinkedList::addBack(const Elem& e) // add to back of list  
{ add(trailer, e); }
```



Doubly Linked List - Remove Element

- `remove()` is protected
 - Utility function

```
void DLinkedList::remove(DNode* v) {  
    // remove node v  
    // predecessor  
    // successor  
    // unlink v from list  
  
    DNode* u = v->prev;  
    DNode* w = v->next;  
    u->next = w;  
    w->prev = u;  
    delete v;  
}  
  
void DLinkedList::removeFront() // remove from front  
{ remove(header->next); }  
  
void DLinkedList::removeBack() // remove from back  
{ remove(trailer->prev); }
```



Questions?

MECHTRON 2MD3

Data Structures and Algorithms for Mechatronics

Winter 2022

12 Linked Lists - Continued

Department of Computing and Software

Instructor:

Omid Isfahani Alamdari

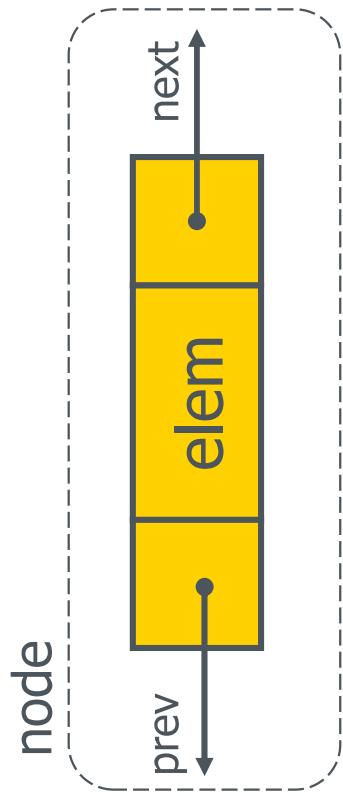
February 9, 2022

Administration

- The midterm will be in-person
 - Location: PGCLL B138
 - Date: Monday, February 14, 2022
 - Time: 1:30 PM - 2:30 PM **Mid-Term 1's duration: ONE HOUR**
- The university policy is that we are back fully in person as of Feb 7th. If someone feels unsafe coming to campus to take a test, they can apply for an exemption for in person learning through student accessibility services, though these will only be approved if appropriate medical documentation is provided.
- Read the announcement about resources that you can study for the mid-term.
- My Office Hour:
 - Today at 15:00 in ITB-159 in-person (or virtually using teams as usual)

Doubly Linked Lists

- Allows traversing in both directions (forward and reverse)
- Each node stores
 - element
 - link to the next node
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- Sentinel nodes
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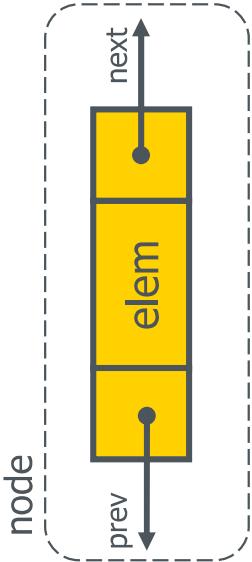
Doubly Linked List C++ Classes Declaration

- Notice **typedef!**

- Generic, like templates

```
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class DNode {                  // doubly linked list node
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    friend class DLinkedList;  // allow DLinkedList access
};

class DLinkedList {             // doubly linked list
public:
    DLinkedList();              // constructor
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    bool empty() const;        // is list empty?
    const Elem& front() const; // get front element
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    void addFront(const Elem& e); // add to front of list
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protected:
    void add(DNode* v, const Elem& e); // insert new node before v
    void remove(DNode* v);           // remove node v
};
```



Doubly Linked List Definitions

- Constructor
- isEmpty?
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- Dynamic memory allocation
 - We need destructor
- Destructor
 - remove nodes until list is empty

```
DLinkedList::DLinkedList() {  
    header = new DNode; // constructor  
    trailer = new DNode; // create sentinels  
    header->next = trailer;  
    trailer->prev = header;  
}  
  
bool DLinkedList::empty() const // is list empty?  
{  
    return (header->next == trailer);  
}  
  
const Elem& DLinkedList::front() const // get front element  
{  
    return header->next->elem;  
}  
  
const Elem& DLinkedList::back() const // get back element  
{  
    return trailer->prev->elem;  
}  
  
DLinkedList::~DLinkedList()  
{  
    while (!empty()) removeFront();  
    delete header;  
    delete trailer;  
}
```



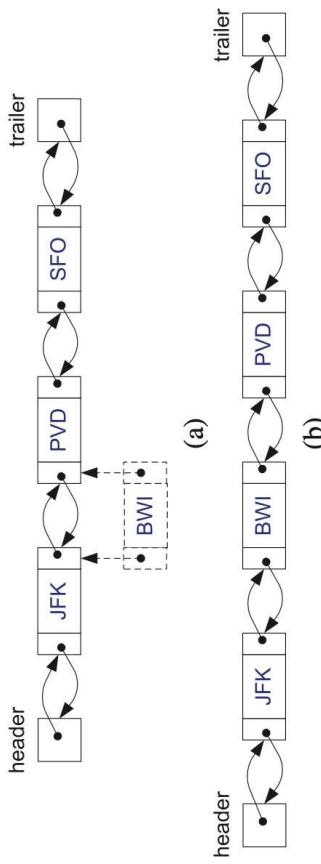
Doubly Linked List - Add Element

- add() is protected
 - Utility function, why?

```
// insert new node before v
void DLinkedList::add(DNode* v, const Elem& e) {
    DNode* u = new DNode; u->elem = e; // create a new node for e
    u->next = v;
    u->prev = v->prev;
    v->prev->next = v->prev = u;
}

void DLinkedList::addFront(const Elem& e) // add to front of list
{
    add(header->next, e);
}

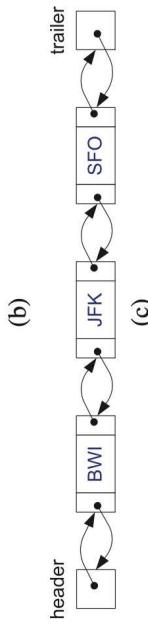
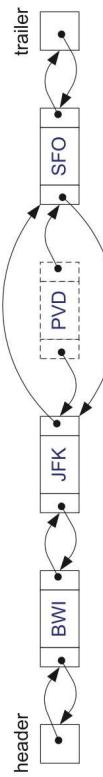
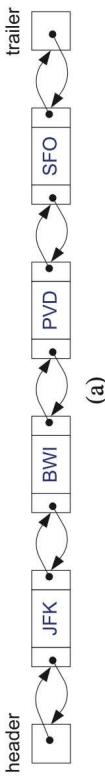
void DLinkedList::addBack(const Elem& e) // add to back of list
{
    add(trailer, e);
}
```



Doubly Linked List - Remove Element

- `remove()` is protected
 - Utility function

```
void DLinkedList::remove(DNode* v) {  
    // remove node v  
    // predecessor  
    // successor  
    // unlink v from list  
  
    DNode* u = v->prev;  
    DNode* w = v->next;  
    u->next = w;  
    w->prev = u;  
    delete v;  
}  
  
void DLinkedList::removeFront() // remove from front  
{ remove(header->next); }  
  
void DLinkedList::removeBack() // remove from back  
{ remove(trailer->prev); }
```



Doubly Linked List - Reverse

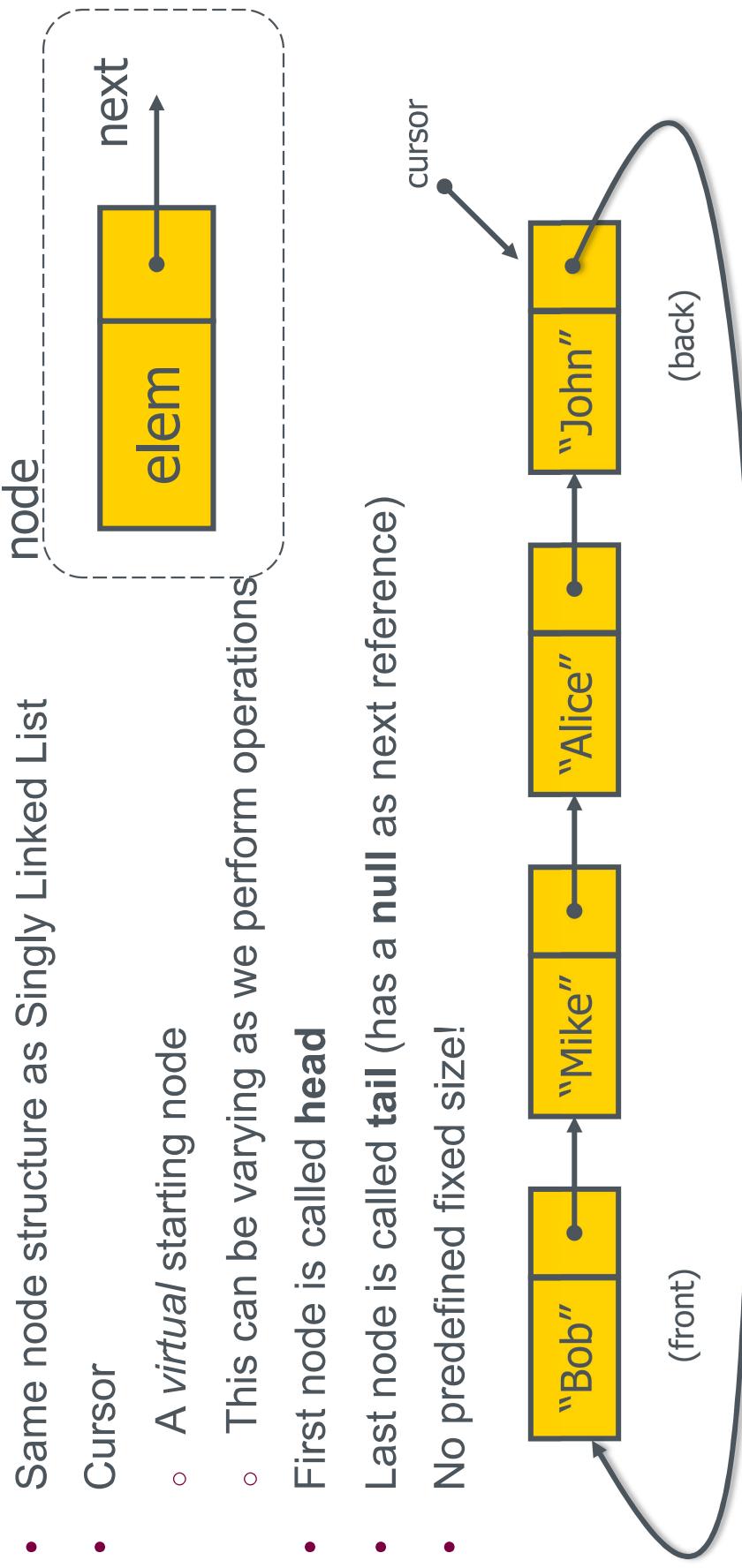
- This is not a function declared in the class
- See the tutorial of week 4 for a possible implementation in the class

```
void listReverse(DLinkedList& L) {           // reverse a list
    DLinkedList T;                         // temporary list
    while (!L.empty()) {                   // reverse L into T
        string s = L.front();             L.removeFront();
        T.addFront(s);
    }
    while (!T.empty()) {                   // copy T back to L
        string s = T.front();             T.removeFront();
        L.addBack(s);
    }
}
```



Circularly Linked List

- A variant of Singly Linked List
 - Rather than having a **head** or a **tail**, it forms a cycle
- Same node structure as Singly Linked List
- Cursor
 - A *virtual* starting node
 - This can be varying as we perform operations
- First node is called **head**
- Last node is called **tail** (has a null as next reference)
- No predefined fixed size!



Circularly Linked List C++ Classes Declaration

- Notice `typedef!`

- Generic, like templates

- Notice **typedef**!
 - Generic, like templates

```
typedef string Elem;
class CNode {
private:
    Elem elem;
    CNode* next;
};

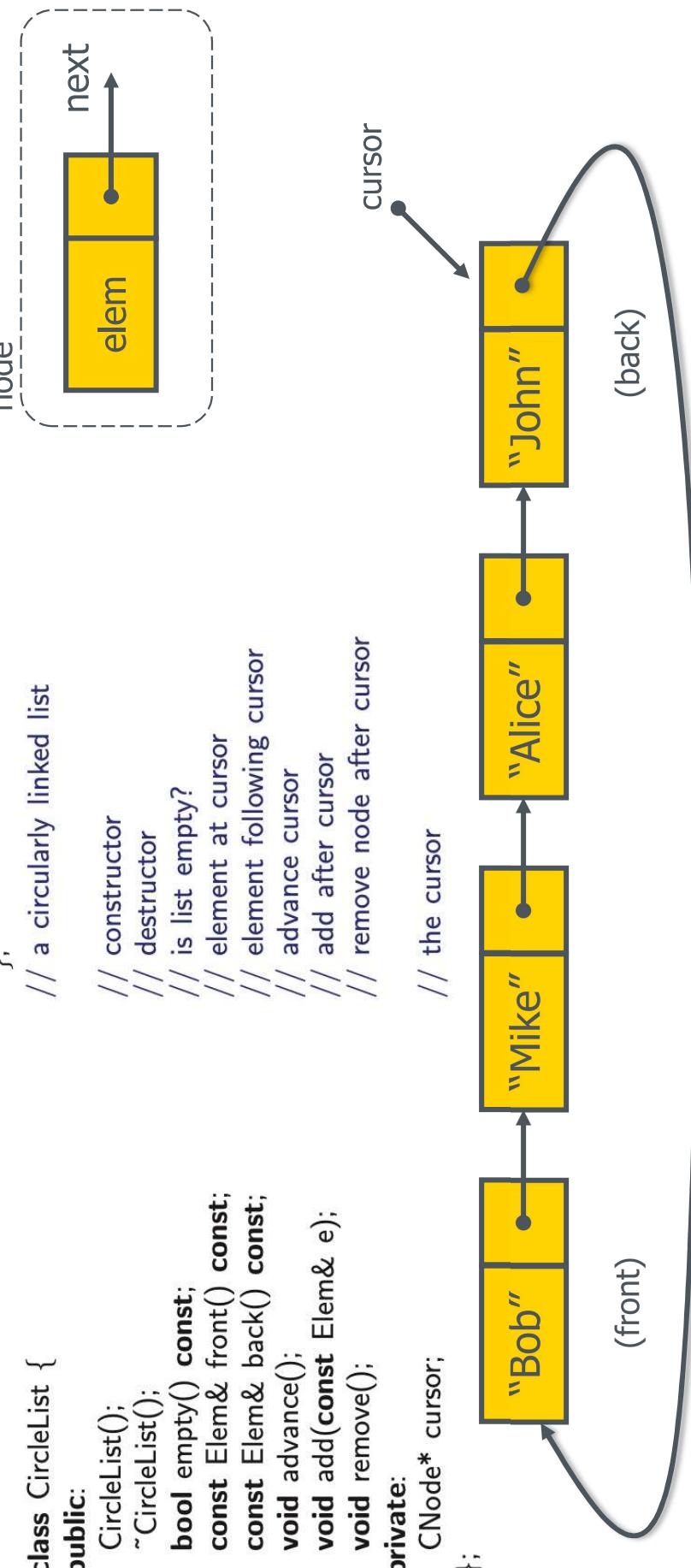
friend class CircleList;
};

// a circularly linked list

class CircleList {
public:
    CircleList();
    ~CircleList();
    bool empty() const;
    const Elem& front() const;
    const Elem& back() const;
    void advance();
    void add(const Elem& e);
    void remove();
};

// element type
// circularly linked list node
// linked list element value
// next item in the list
// provide CircleList access
// node
// constructor
// destructor
// is list empty?
// element at cursor
// element following cursor
// advance cursor
// add after cursor
// remove node after cursor
```

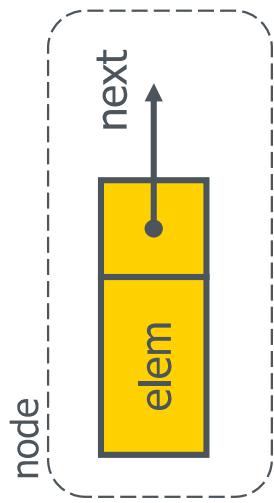




Circular Linked List Definitions

- Constructor
 - cursor → \emptyset
 - Set cursor to Null
- Destructor
 - remove nodes until list is empty

```
CircleList::CircleList()
: cursor(NULL) {}  
CircleList::~CircleList()
{ while (!empty()) remove(); }
```

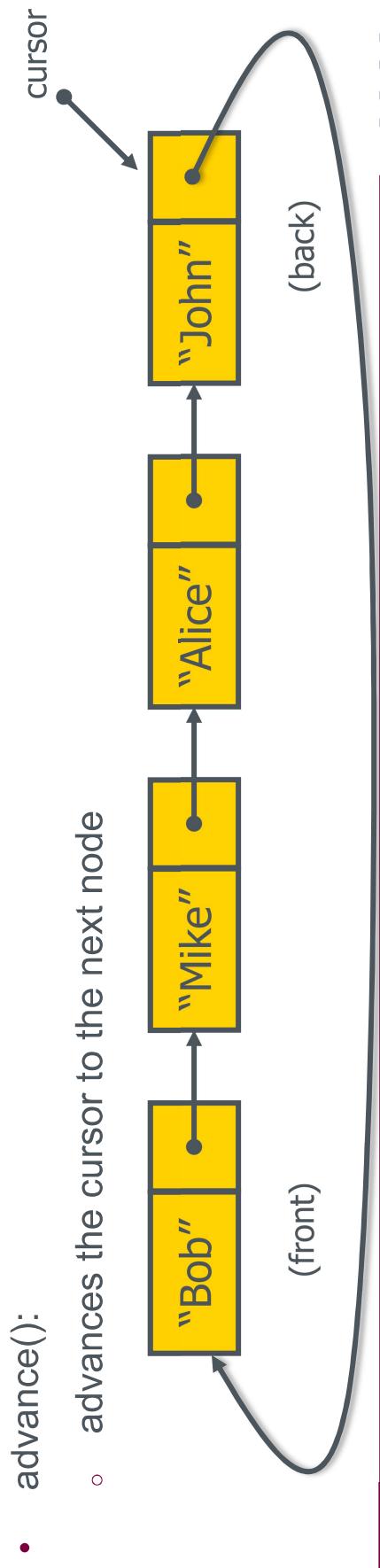


Circular Linked List Definitions

- Constructor
 - Set cursor to Null
- Destructor
 - remove nodes until list is empty
 - is Empty?
 - check if cursor is Null
 - Return **front** element
 - return element immediately after cursor
 - return **back** element
 - return element referenced by cursor
- **advance()**:
 - advances the cursor to the next node
-

```
CircleList::CircleList()
: cursor(NULL) {}
CircleList::~CircleList()
{ while (!empty()) remove(); }

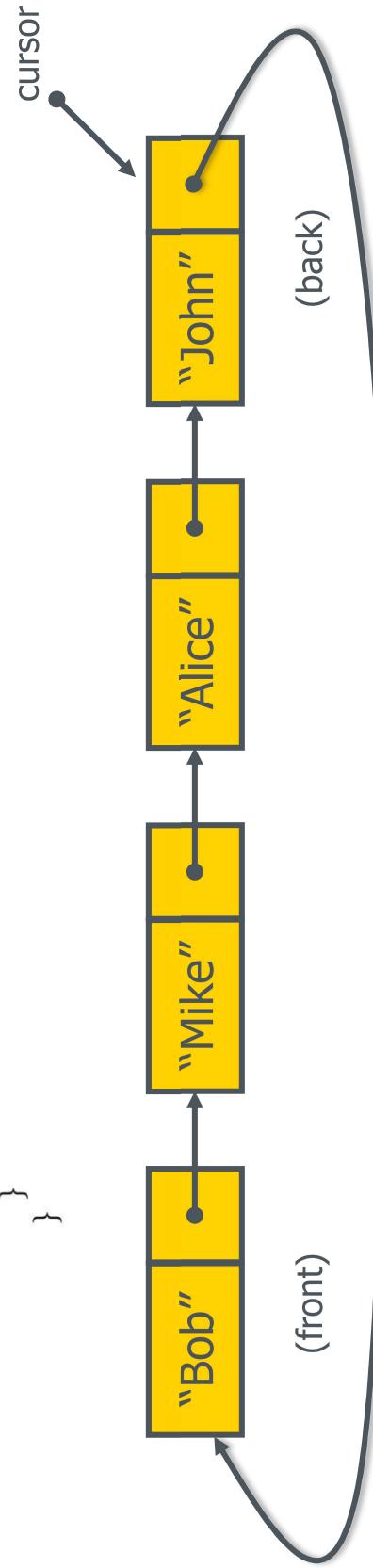
bool CircleList::empty() const
{ return cursor == NULL; }
const Elem& CircleList::back() const
{ return cursor->element; }
const Elem& CircleList::front() const
{ return cursor->next->element; }
void CircleList::advance()
{ cursor = cursor->next; }
```



Circular Linked List - add

- add an element
 - Insert a new node with element **e** immediately after the cursor; if the list is empty, then this node becomes the cursor and its next pointer points to itself.

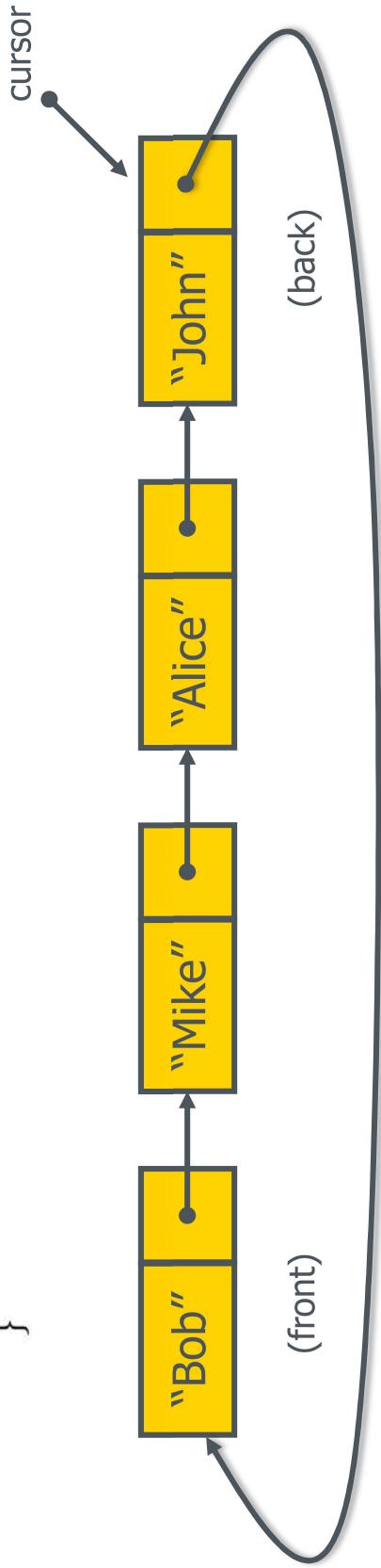
```
void CircleList::add(const Elem& e) {           // add after cursor
    CNode* v = new CNode;                         // create a new node
    v->elem = e;
    if (cursor == NULL) {                          // list is empty?
        v->next = v;                            // v points to itself
        cursor = v;                            // cursor points to v
    } else {                                       // list is nonempty?
        v->next = cursor->next;                  // link in v after cursor
        cursor->next = v;
    }
}
```



Circular Linked List - remove

- remove the element after cursor
 - Remove the node immediately after the cursor (not the cursor itself, unless it is the only node); if the list becomes empty, the cursor is set to null.

```
void CircleList::remove() {  
    CNode* old = cursor->next;  
    if (old == cursor)  
        cursor = NULL;  
    else  
        cursor->next = old->next;  
    delete old;  
}
```



Circularly Linked List - An Example

- A music playlist!

```
int main() {
    CircleList playList;
    playList.add("Stayin Alive");
    playList.add("Le Freak");
    playList.add("Jive Talkin");

    playList.advance();
    playList.advance();
    playList.remove();
    playList.add("Disco Inferno");
    return EXIT_SUCCESS;
}
```

Questions?

MECHTRON 2MD3

Data Structures and Algorithms for Mechatronics

Winter 2022

13 Recursion

Department of Computing and Software

Instructor:

Omid Isfahani Alamdari

February 10, 2022

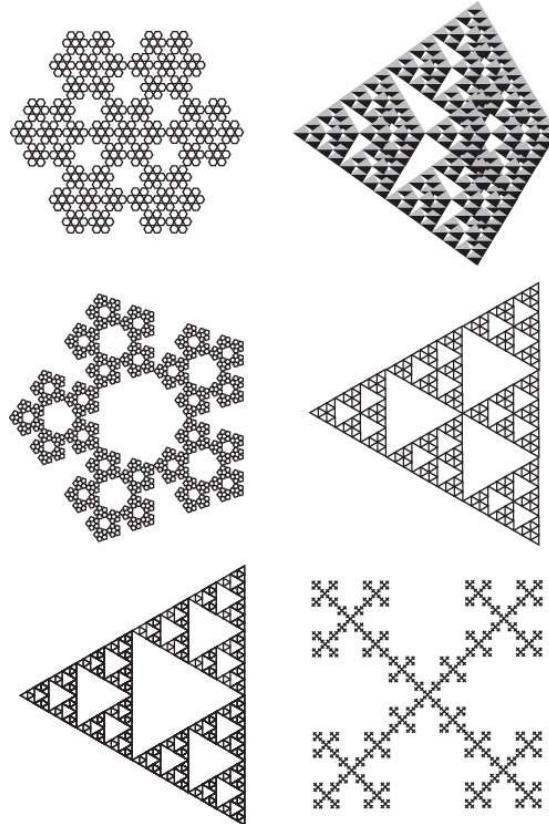
Administration

- Today's lecture is shorter
 - I will stay until 2:30 to answer questions of students who can not attend my office hour.



What is Recursion

- **Recursion** is the concept of defining a function that makes a call to itself.
- We call a function **Recursive** if it calls itself.
- When a function calls itself, we refer to this as a **Recursive Call**.
 - if function M calls another function that ultimately leads to a call back to M, this is also a recursive call and function M is recursive.



- A lot of use-cases in real-life:
 - Nature : fractals
 - Mathematics: recursive functions

Recursive Algorithms

- The idea is to avoid loops!
- A recursive implementation can be significantly simpler and easier to understand than an iterative implementation.
- Types of Recursion:
 - Linear Recursion
 - Binary Recursion
 - Tail Recursion
 - Multiple Recursion



Recursive Algorithms - Example

- Factorial of a whole number n is defined as:

$$n! = \begin{cases} 1 & \text{if } n = 0 \\ n \cdot (n-1) \cdot (n-2) \cdots 3 \cdot 2 \cdot 1 & \text{if } n \geq 1. \end{cases}$$

- factorial(4) = 4 * (3 * 2 * 1) = 4 * factorial(3)
- factorial(4) can be defined in terms of factorial(3)
- Recursive definition of the factorial function is:

$$\text{factorial}(n) = \begin{cases} 1 & \text{if } n = 0 \\ n \cdot \text{factorial}(n-1) & \text{if } n \geq 1. \end{cases}$$

- base case
 - are non-recursive
- recursive case
 - no circularity (function terminates)

Recursive Algorithms - Example

- Iterative Factorial

```
int factorial_iter(int n){  
    int factorial = 1;  
    for (int i = 2; i <= n; i++){  
        factorial = factorial * i;  
    }  
    return factorial;  
}
```

- Recursive Factorial:

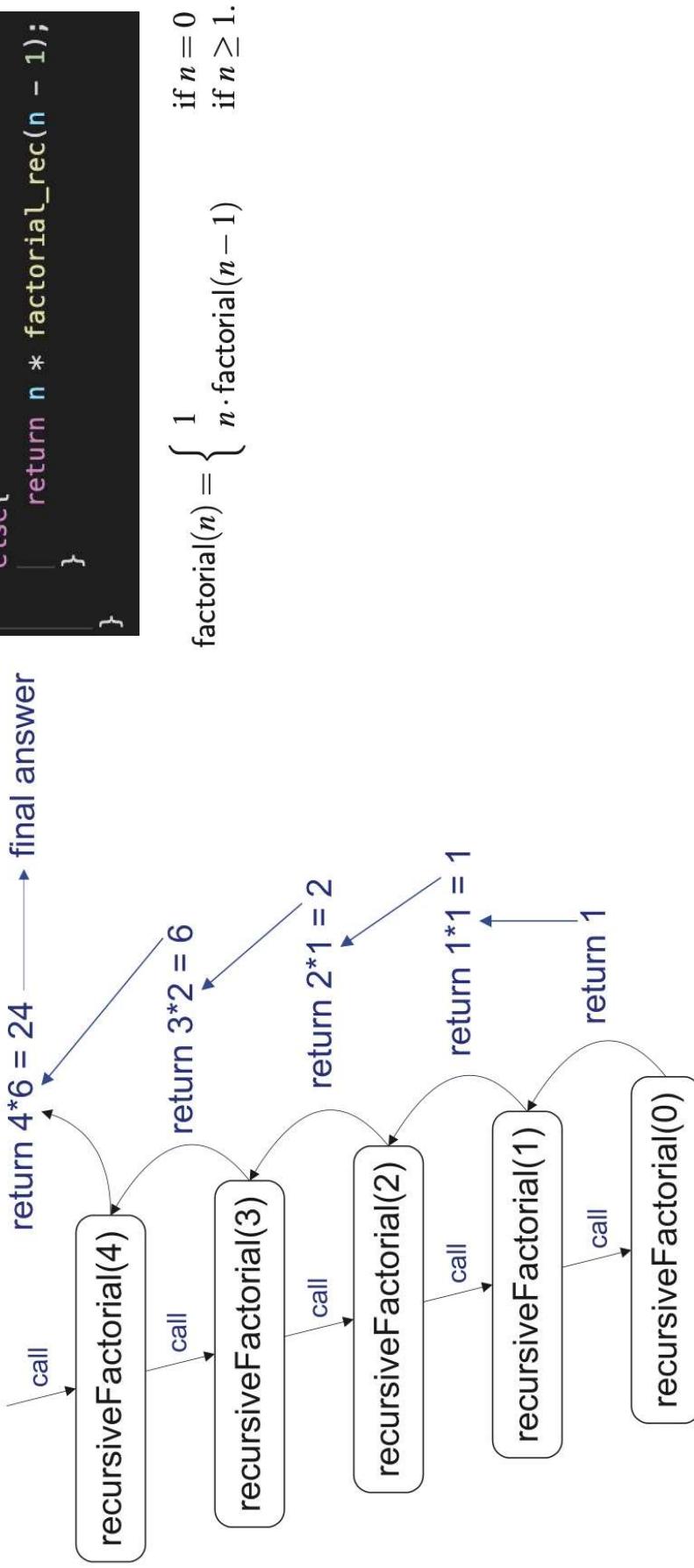
```
int factorial_rec(int n){  
    if (n==0){  
        return 1;  
    }  
    else{  
        return n * factorial_rec(n - 1);  
    }  
}
```

$$factorial(n) = \begin{cases} 1 & \text{if } n = 0 \\ n \cdot factorial(n-1) & \text{if } n \geq 1. \end{cases}$$

Recursive Algorithms - Example

- Recursive Factorial
- Recursion Trace:

```
int factorial_rec(int n){  
    if (n==0){  
        return 1;  
    }  
    else{  
        return n * factorial_rec(n - 1);  
    }  
}
```



$$\text{factorial}(n) = \begin{cases} 1 & \text{if } n = 0 \\ n \cdot \text{factorial}(n-1) & \text{if } n \geq 1. \end{cases}$$

Linear Recursion

- The simplest form of recursion
- function makes at most one recursive call each time it is invoked
- When we have a sequence, we can view some problems in terms of:
 - a first or last element
 - a remaining sequence that has the same structure as the original sequence

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- The simplest form of recursion
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Algorithm LinearSum(A, n):

Input: A integer array A and an integer $n \geq 1$, such that A has at least n elements

Output: The sum of the first n integers in A

```
if  $n = 1$  then  
    return  $A[0]$   
else  
    return LinearSum( $A, n - 1$ ) +  $A[n - 1]$ 
```

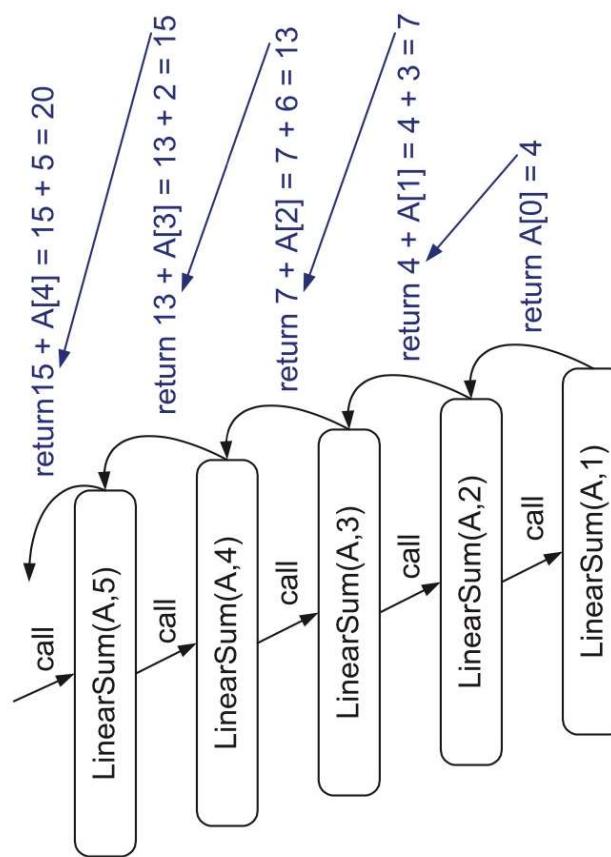
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if  $n = 1$  then  
    return  $A[0]$   
else  
    return LinearSum( $A, n - 1$ ) +  $A[n - 1]$ 
```



```
int linearSum_iter(int data[], int n){  
    int sum = 0;  
    for (int i = 0; i < n; i++) {  
        sum += data[i];  
    }  
    return sum;  
}
```

```
int linearSum(int data[], int n){  
    if (n == 0)  
        return 0;  
    return (linearSum(data, n - 1) + data[n - 1]);  
}
```

Recursion in Computer Science

- Recursion is heavily used in Functional Programming
 - In Pure Functional Languages it is the only way to iterate!
 - Like Haskell
 - Non-pure programming languages allow iteration
 - Like Scala
 - Recursion has overheads in Imperative Programming Languages
 - Like in C++ and Java
 - Pure Functional Languages use tail recursion conversions to reduce significant impact on memory consumption of heavy recursive calls
 - It has many applications in Big Data Tools
 - Laziness!

Questions?

Those who can not attend the office hours can stay and ask questions for mid-term
If you don't have questions, feel free to leave 😊

MECHTRON 2MD3

Data Structures and Algorithms for Mechatronics

Winter 2022

14 Recursion - continued

Department of Computing and Software

Instructor:

Omid Isfahani Alamdari

February 16, 2022

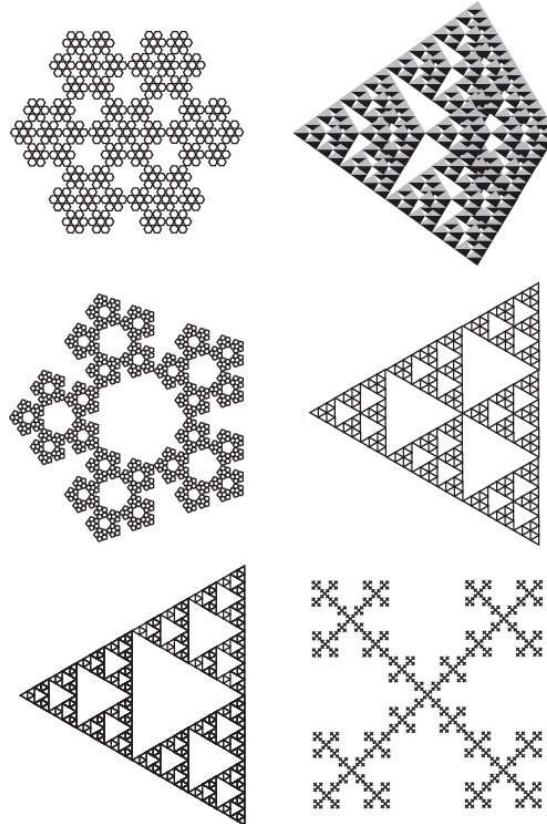


Administration

- I will return assignments today! finally!
 - Sorry about the delay
 - TAs did great, I delayed
- My Office Hour:
 - Today at 15:00 in **ITB-159** in-person (or virtually using teams as usual)
 - We review the recursion quickly

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```
int factorial_iter(int n){  
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    for (int i = 2; i <= n; i++){  
        factorial = factorial * i;  
    }  
    return factorial;  
}
```

- Recursive Factorial:

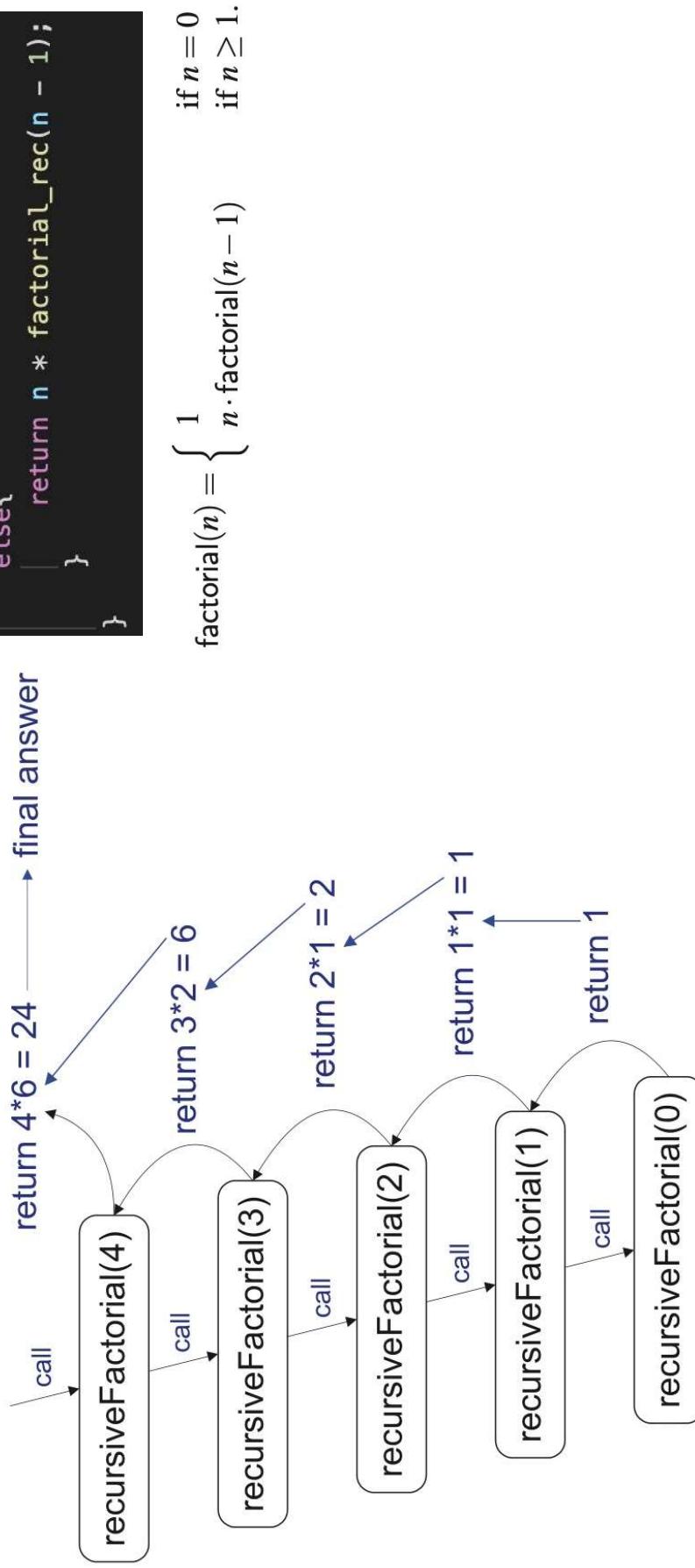
```
int factorial_rec(int n){  
    if (n==0){  
        return 1;  
    }  
    else{  
        return n * factorial_rec(n - 1);  
    }  
}
```

$$factorial(n) = \begin{cases} 1 & \text{if } n = 0 \\ n \cdot factorial(n-1) & \text{if } n \geq 1. \end{cases}$$

Recursive Algorithms - Example

- Recursive Factorial
- Recursion Trace:

```
int factorial_rec(int n){  
    if (n==0){  
        return 1;  
    }  
    else{  
        return n * factorial_rec(n - 1);  
    }  
}
```



$$\text{factorial}(n) = \begin{cases} 1 & \text{if } n = 0 \\ n \cdot \text{factorial}(n-1) & \text{if } n \geq 1. \end{cases}$$

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```

Linear Recursion

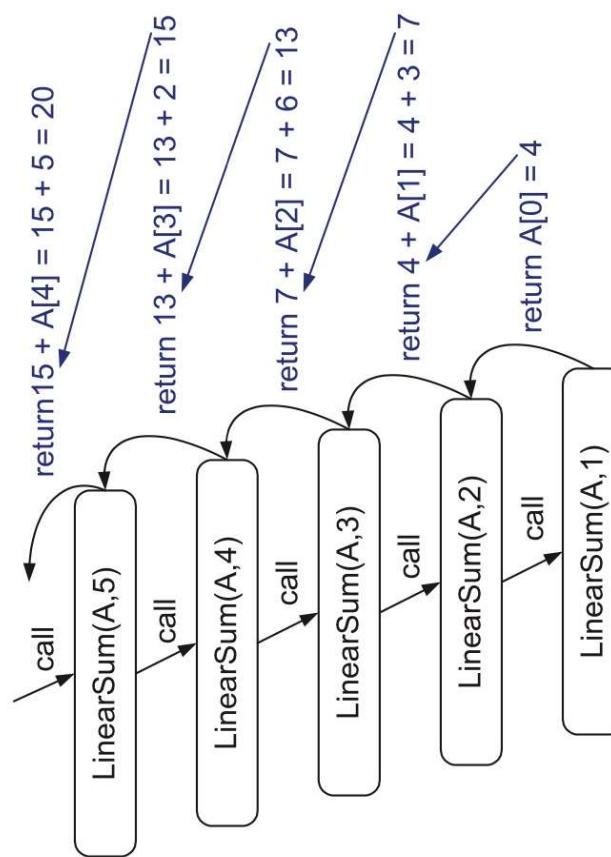
Algorithm LinearSum(A, n):

Input: A integer array A and an integer $n \geq 1$, such that A has at least n elements

Output: The sum of the first n integers in A

```
if  $n = 1$  then  
    return  $A[0]$   
else  
    return LinearSum( $A, n - 1$ ) +  $A[n - 1]$ 
```

$$A = \{4, 3, 6, 2, 5\}$$



```
int linearSum_iter(int data[], int n){  
    int sum = 0;  
    for (int i = 0; i < n; i++) {  
        sum += data[i];  
    }  
    return sum;  
}
```

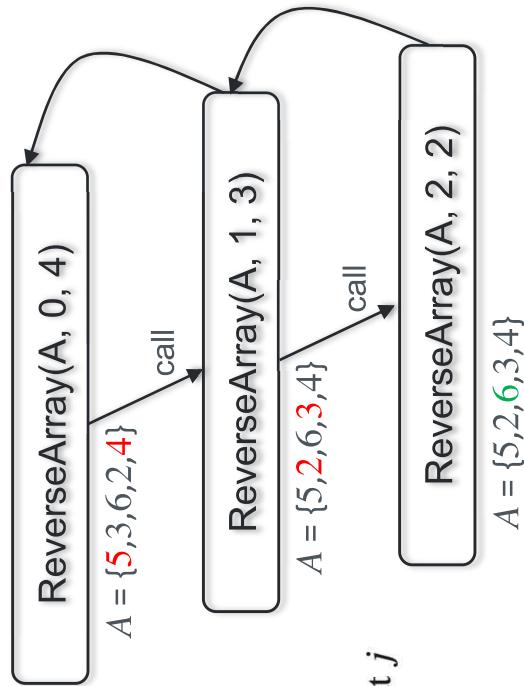
```
int linearSum(int data[], int n){  
    if (n == 0)  
        return 0;  
    return (linearSum(data, n - 1) + data[n - 1]);  
}
```

Recursion in Computer Science

- Recursion is heavily used in Functional Programming
 - In Pure Functional Languages it is the only way to iterate!
 - Like Haskell
 - Non-pure programming languages allow iteration
 - Like Scala
 - Recursion has overheads in Imperative Programming Languages
 - Like in C++ and Java
 - Pure Functional Languages use tail recursion conversions to reduce significant impact on memory consumption of heavy recursive calls
 - It has many applications in Big Data Tools
 - Laziness!

Linear Recursion - Reversing an Array

- Reversing the n elements of an array
- Reversal: by swapping the first and last elements and then recursively reversing the remaining elements in the array.
 - Note how subproblems are defined! (initial call $\text{ReverseArray}(A, 0, n-1)$
 - other than array, we have two other index inputs
 - Base cases?



Algorithm `ReverseArray(A, i, j):`

Input: An array A and nonnegative integer indices i and j

Output: The reversal of the elements in A starting at index i and ending at j

```
if  $i < j$  then
    Swap  $A[i]$  and  $A[j]$ 
    ReverseArray( $A, i+1, j-1$ )
return
```

Linear Recursion - Reversing an Array

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- in either of cases algorithm terminates

Algorithm ReverseArray(A, i, j):

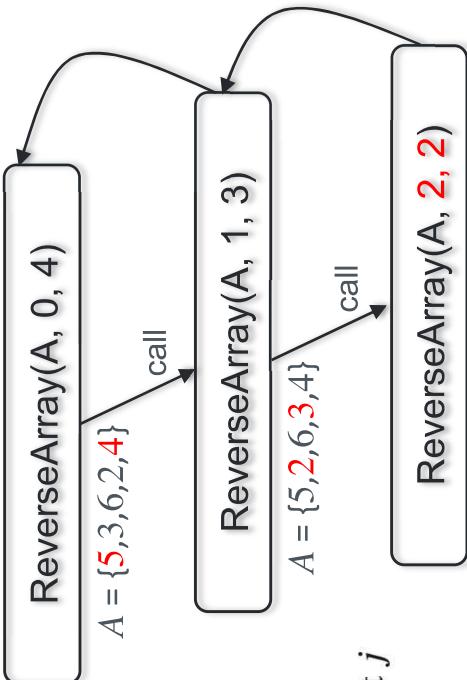
Input: An array A and nonnegative integer indices i and j

Output: The reversal of the elements in A starting at index i and ending at j

ReverseArray(A 2)

Levensayı $(\Lambda, \angle, \angle)$

$$A = \{5, 2, \textcolor{red}{6}, 3, 4\}$$

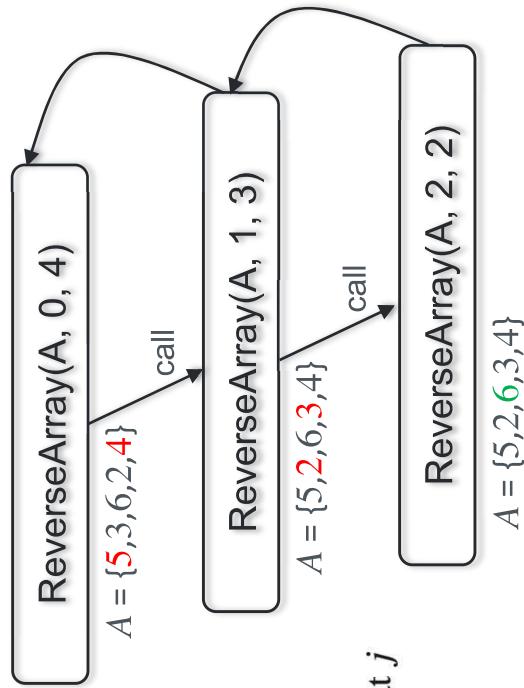


return

113

Linear Recursion - Reversing an Array

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 - if $i = j$ or $i > j$
 - in either of cases algorithm terminates
 - Does algorithm terminate?



Algorithm `ReverseArray(A, i, j):`

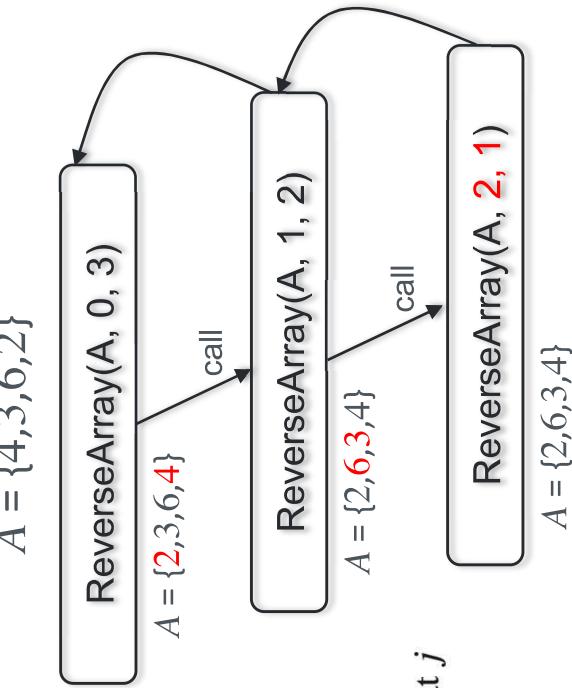
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```
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return
```

Tail Recursion

- Recursive calls are costly
 - Stack memory keeps track of the state of each active recursive call
- We can convert some recursive algorithms into non-recursive version
 - Suitable for imperative languages like C++
- There are two conditions:
 - The recursion is Linear
 - The recursive call is the last thing that function does

Algorithm ReverseArray(A, i, j):

Input: An array A and nonnegative integer indices i and j

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if  $i < j$  then
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return
```

Recursive call is the last thing!

Tail Recursion

- Recursive calls are costly
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- We can convert some recursive algorithms into non-recursive version
 - Suitable for imperative languages like C++
- There are two conditions:
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Algorithm LinearSum(A, n):

Input: A integer array A and an integer $n \geq 1$, such that A has at least n elements

Output: The sum of the first n integers in A

```
if  $n = 1$  then  
    return  $A[0]$   
else
```

```
    return LinearSum( $A, n - 1$ ) +  $A[n - 1]$ 
```

Recursive call is NOT the last thing!

Tail Recursion

- There are two conditions:
 - The recursion is Linear
 - The recursive call is the **last** thing that function does
- **Iterate** through the recursive calls rather than calling them explicitly

- Linear Recursive version:

```
Algorithm ReverseArray(A, i, j):
  Input: An array A and nonnegative integer indices i and j
  Output: The reversal of the elements in A starting at index i and ending at j
  if i < j then
    Swap A[i] and A[j]
    ReverseArray(A, i+1, j-1)
  return
```

- Tail recursion conversion:
 - (iterative)

```
Algorithm IterativeReverseArray(A, i, j):
  Input: An array A and nonnegative integer indices i and j
  Output: The reversal of the elements in A starting at index i and ending at j
  while i < j do
    Swap A[i] and A[j]
    i ← i + 1
    j ← j - 1
  return
```

Binary Recursion

- When an algorithm makes **two** recursive calls
 - Useful to solve two similar halves of a problem
- Again! summing the **n** elements of an integer array A:
 - recursively
 - summing first half
 - summing second half
 - adding the two

Algorithm BinarySum(A, i, n):

Input: An array A and integers i and n

Output: The sum of the n integers in A starting at index i

```
if  $n = 1$  then  
    return  $A[i]$   
return BinarySum( $A, i, \lceil n/2 \rceil$ ) + BinarySum( $A, i + \lceil n/2 \rceil, \lfloor n/2 \rfloor$ )
```

Binary Recursion

- Again! summing the n elements of an integer array \mathbf{A} :
 - recursively
 - summing first half
 - summing second half
 - adding the two
 - Analysis of the algorithm:
 - We assume n is a power of 2
 - $\text{BinarySum}(\mathbf{A}, 0, n)$
 - $\text{BinarySum}(\mathbf{A}, 0, 8)$
 - n is halved at each recursive call

```
Algorithm BinarySum( $A, i, n$ ):  
    Input: An array  $A$  and integers  $i$  and  $n$   
    Output: The sum of the  $n$  integers in  $A$  starting at index  $i$   
    if  $n = 1$  then  
        return  $A[i]$   
    return  $\text{BinarySum}(A, i, \lceil n/2 \rceil) + \text{BinarySum}(A, i + \lceil n/2 \rceil, \lfloor n/2 \rfloor)$ 
```

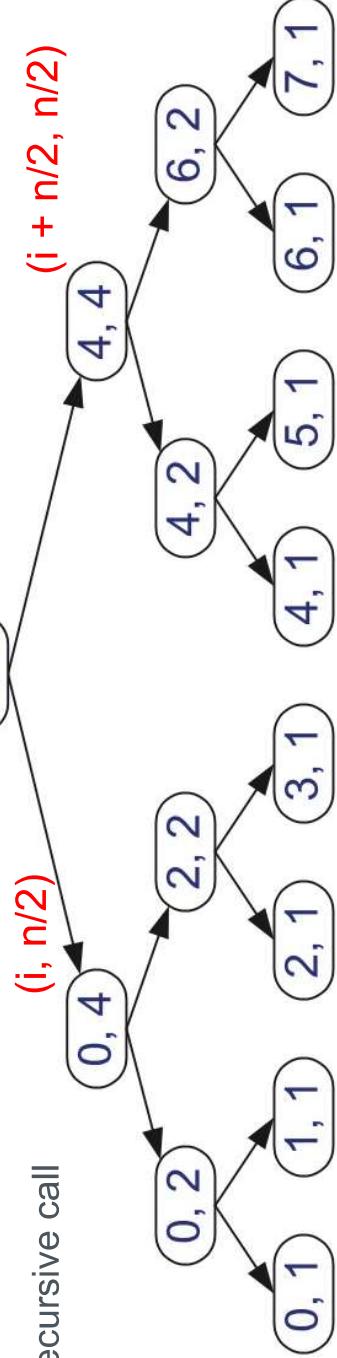
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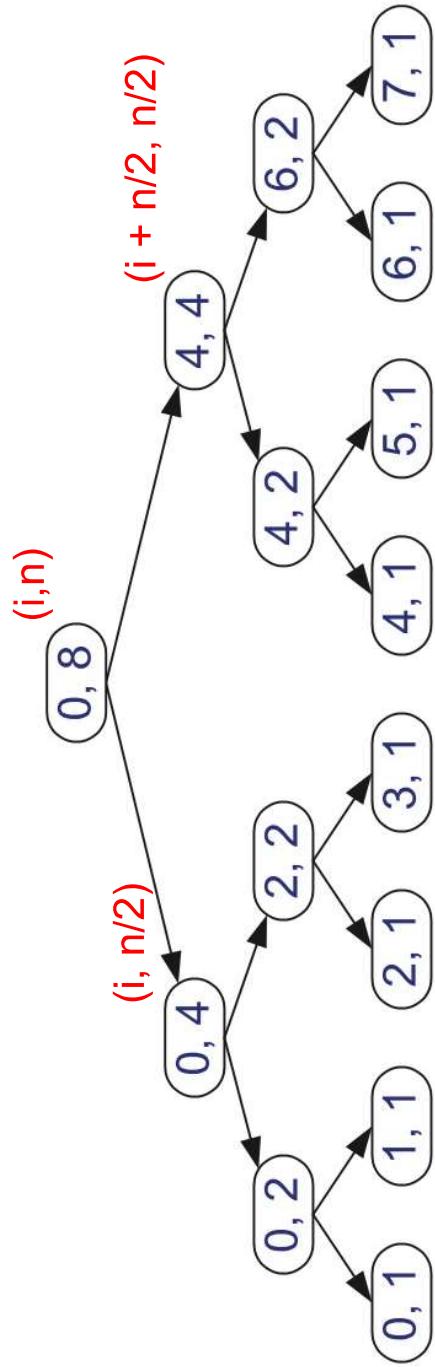
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Binary Recursion

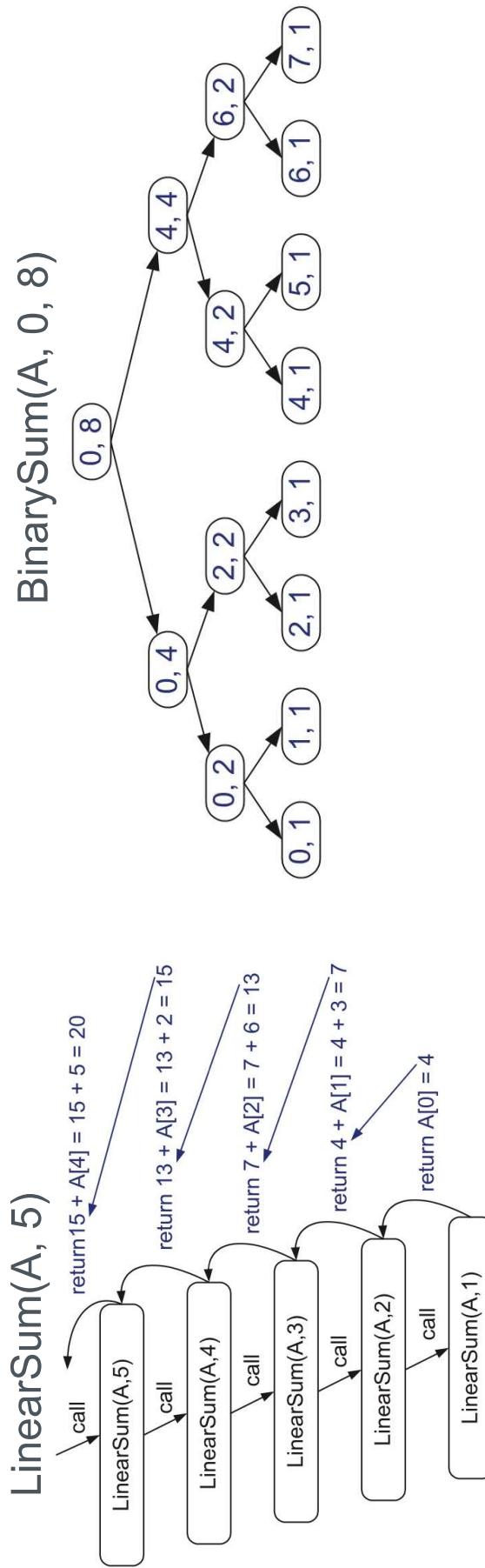
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Algorithm BinarySum(A, i, n):
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    Output: The sum of the n integers in A starting at index i
    if n = 1 then
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```



Binary Recursion

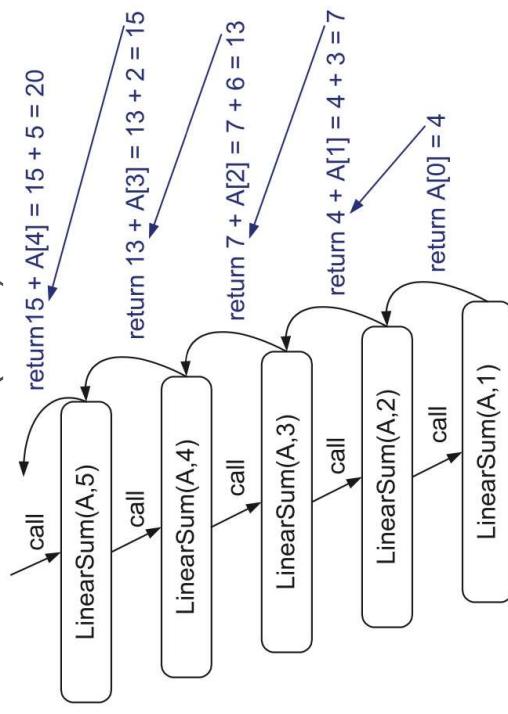
- Analysis of the algorithm:
 - We assume n is a power of 2
 - **Memory consumption** (Space complexity)
 - The depth of the recursion, that is, the maximum number of function instances that are active at the same time, is $1 + \log_2 n$
 - Remember that this depth was n for **LinearSum**



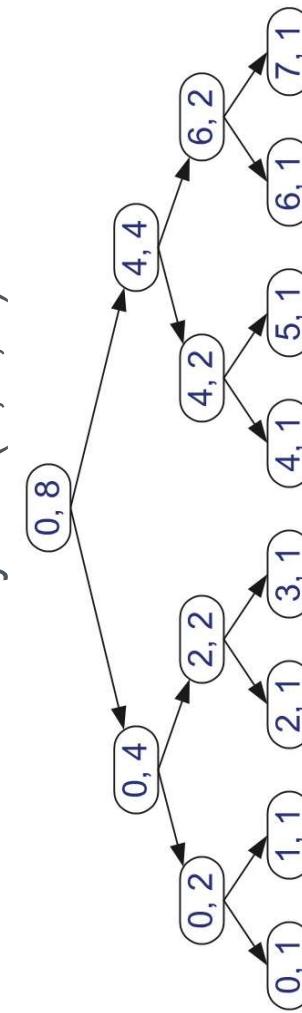
Binary Recursion

- Analysis of the algorithm:
 - We assume n is a power of 2
- **Runtime** (Time complexity)
 - There are $2n-1$ boxes (calls) in **BinarySum**
 - There are n boxes (calls) in **LinearSum**
- Assume each call is visited in a constant time

LinearSum(A, 5)



BinarySum(A, 0, 8)



Questions?

MECHTRON 2MD3

Data Structures and Algorithms for Mechatronics

Winter 2022

15 Algorithms Analysis

Department of Computing and Software

Instructor:

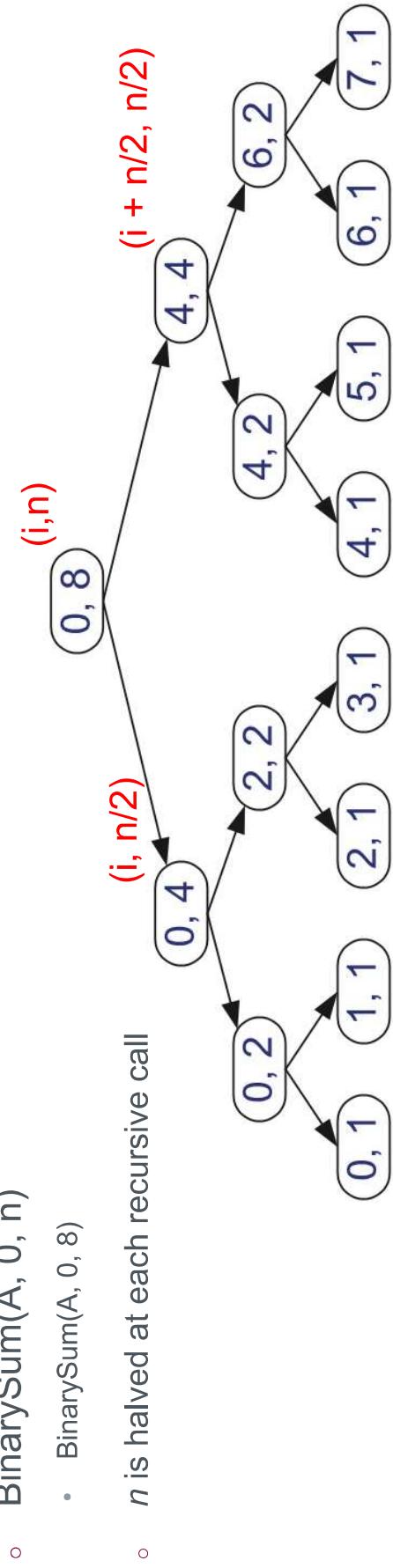
Omid Isfahani Alamdari

February 17, 2022

Binary Recursion (from last lecture)

- Again! summing the n elements of an integer array A :
 - recursively
 - summing first half
 - summing second half
 - adding the two
 - Analysis of the algorithm:
 - We assume n is a power of 2
 - $\text{BinarySum}(A, 0, n)$
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```
Algorithm BinarySum( $A, i, n$ ):  
    Input: An array  $A$  and integers  $i$  and  $n$   
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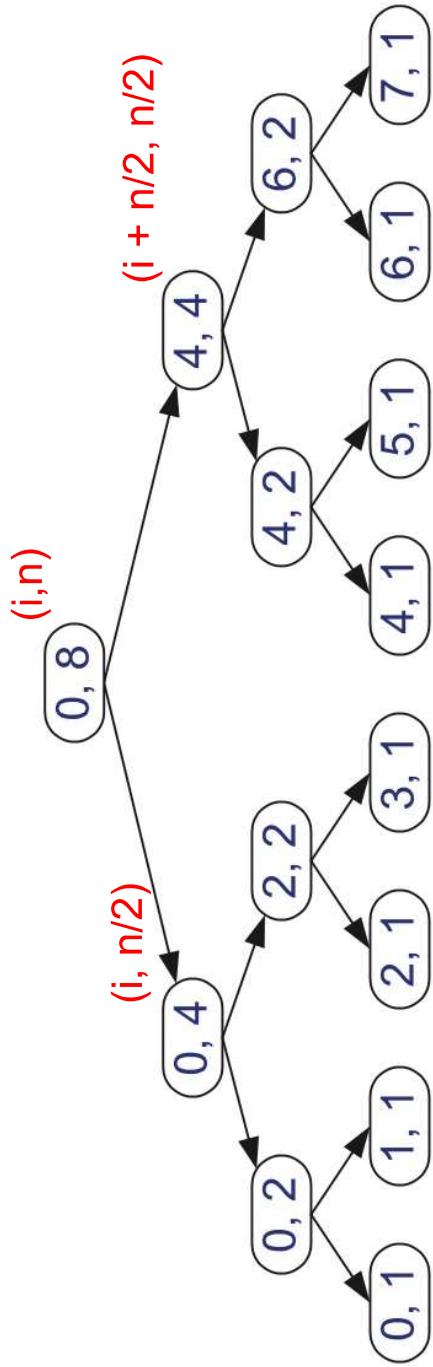


Binary Recursion (from last lecture)

- Analysis of the algorithm:

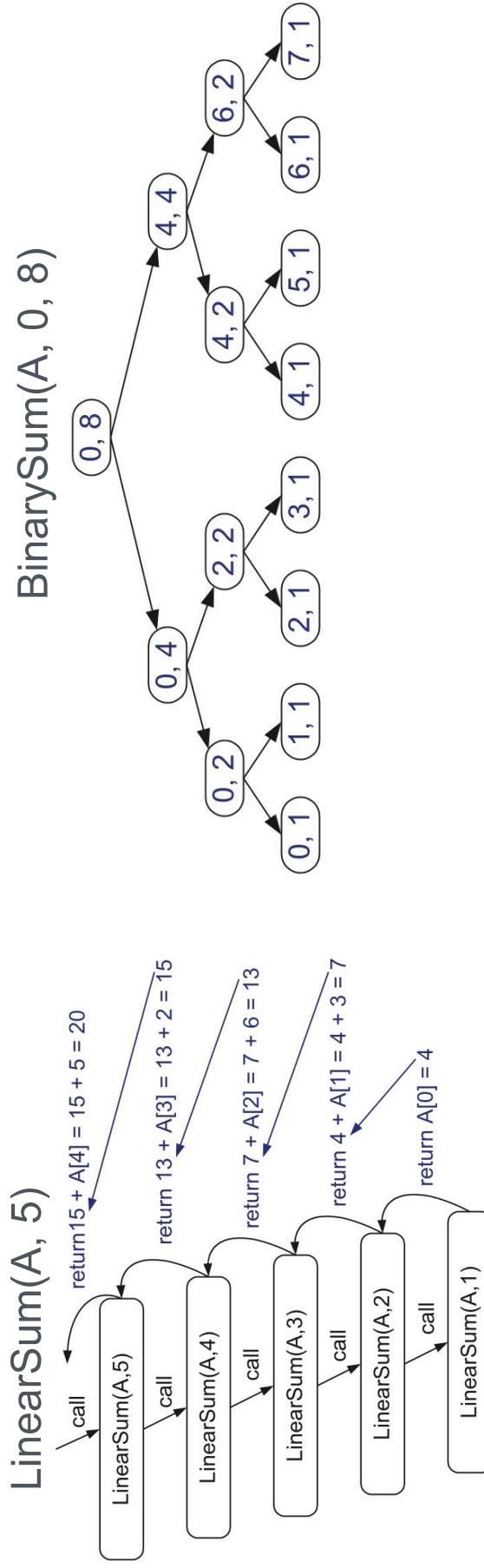
- We assume n is a power of 2
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- Algorithm BinarySum(A, i, n):**
- Input:** An array A and integers i and n
 - Output:** The sum of the n integers in A starting at index i
- ```
if $n = 1$ then
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return $\text{BinarySum}(A, i, \lceil n/2 \rceil) + \text{BinarySum}(A, i + \lceil n/2 \rceil, \lfloor n/2 \rfloor)$
```

- The depth of the recursion, that is, the maximum number of function instances that are active at the same time, is  $1 + \log_2 n$



# Binary Recursion (from last lecture)

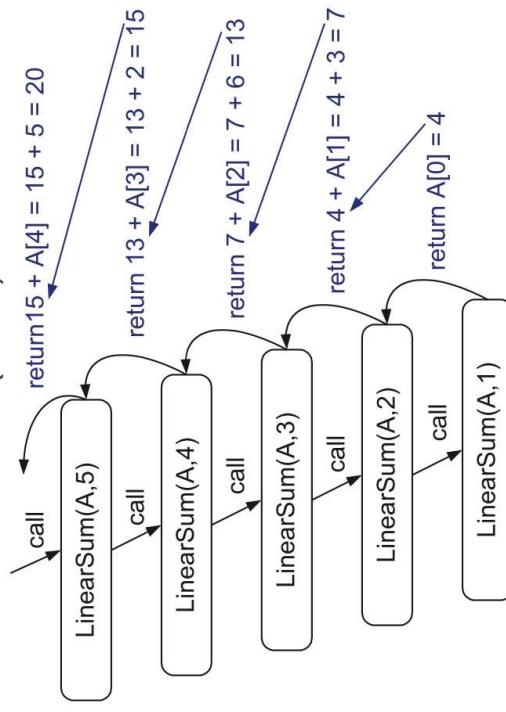
- Analysis of the algorithm:
  - We assume  $n$  is a power of 2
- **Memory consumption** (Space complexity)
  - The depth of the recursion, that is, the maximum number of function instances that are active at the same time, is  $1 + \log_2 n$
  - Remember that this depth was  $n$  for LinearSum



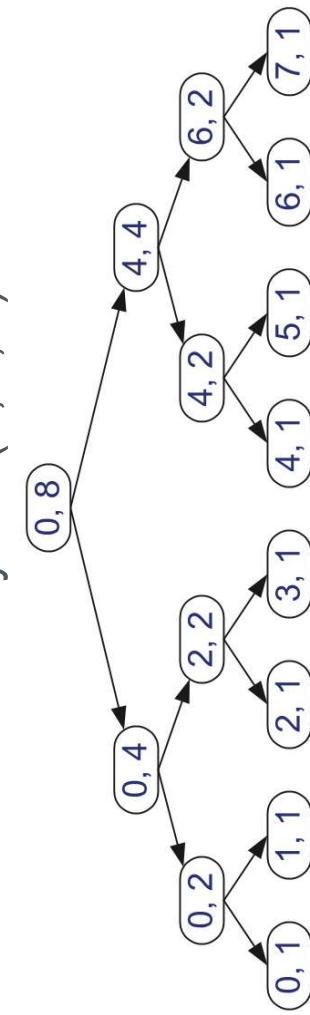
# Binary Recursion (from last lecture)

- Analysis of the algorithm:
  - We assume  $n$  is a power of 2
- **Runtime** (Time complexity)
  - There are  $2n-1$  boxes (calls) in **BinarySum**
  - There are  $n$  boxes (calls) in **LinearSum**
- Assume each call is visited in a constant time

LinearSum( $A, 5$ )



BinarySum( $A, 0, 8$ )

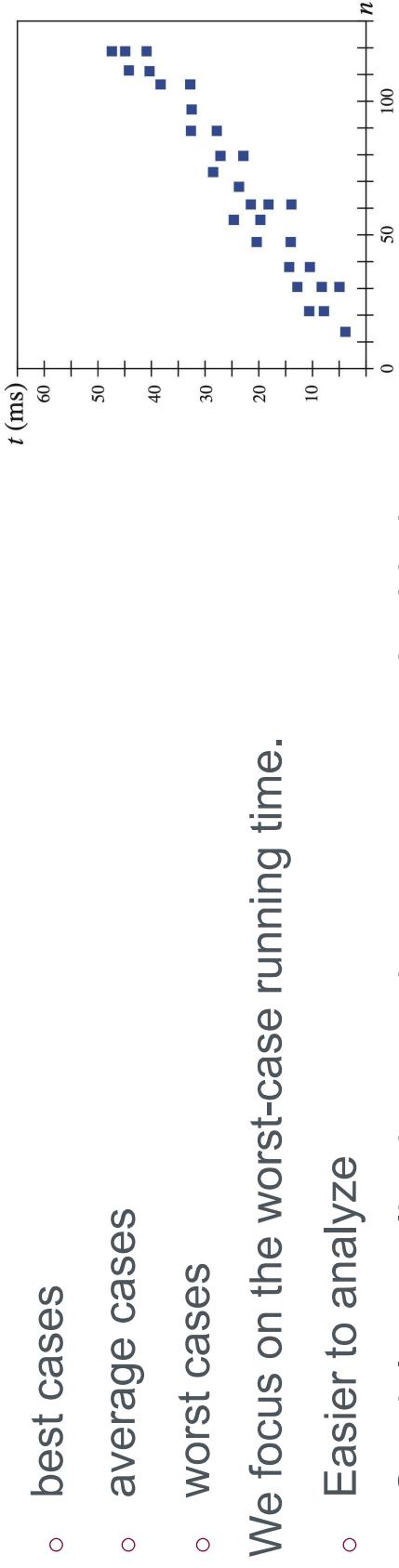


# Comparing Algorithms

- Algorithm: An algorithm is a step-by-step procedure for solving a problem in a finite amount of time.
- There are different solutions for a problem
  - We need to identify which solution is **better** than the other.
  - Better in the sense of:
    - Running time
    - Memory space required
    - structure of programs (readability, simplicity, design)

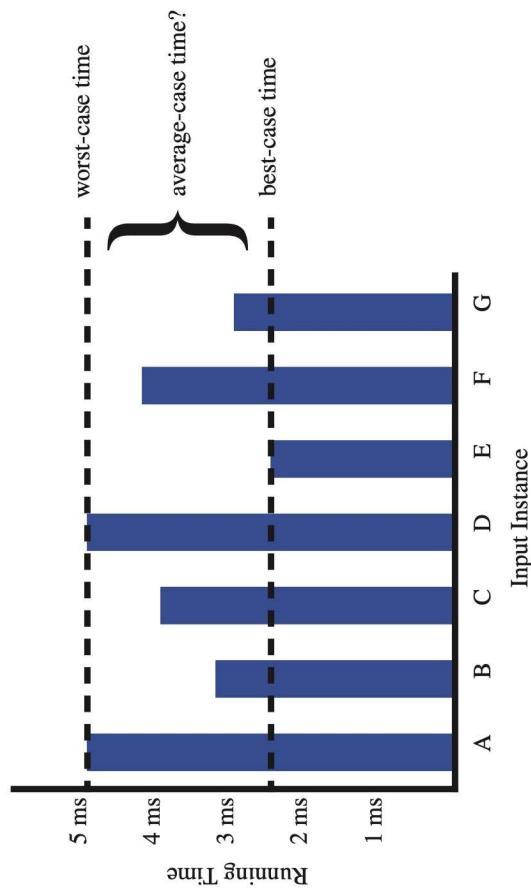
# Running Time

- Most algorithms transform input objects into output objects.
  - We need to think about input
- Insertion sort: array of size  $n$ 
  - recursive factorial:  $n$
- The running time of an algorithm typically grows with the input size.
  - best cases
  - average cases
  - worst cases
- We focus on the worst-case running time.
  - Easier to analyze
  - Crucial to applications such as games, embedded systems
- Average-case running time is often difficult to determine.
  - Why?



# Average vs. Worst Case

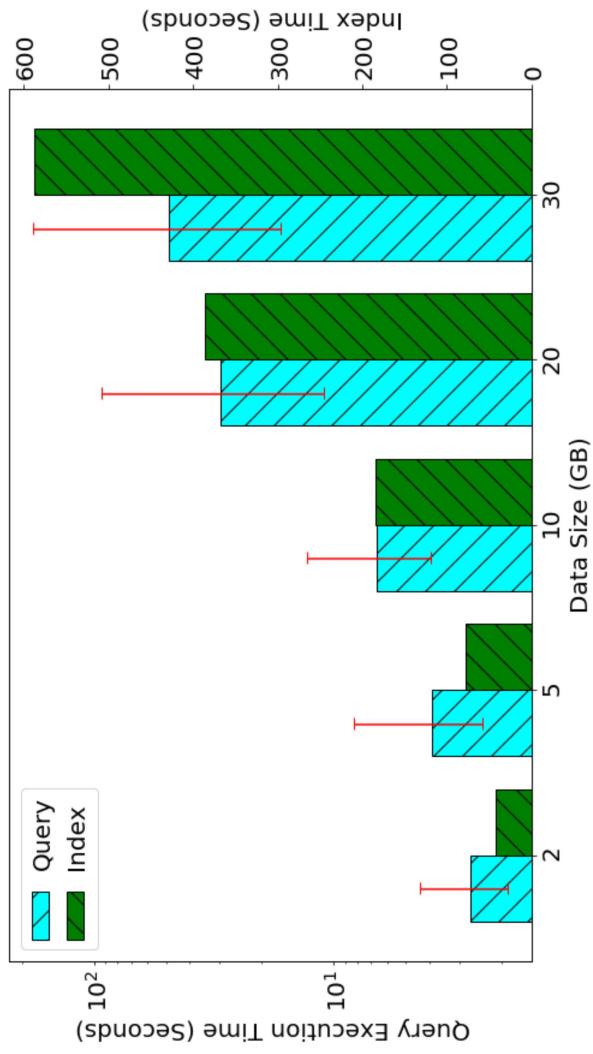
- The average case running time is harder to analyze because you need to know the probability distribution of the input.



- knowing the worst-case time is important in many applications
  - real-time systems
  - nuclear reactor
  - air traffic control

# Experimental Approach

- Implement your algorithm
- Run the program with inputs of varying size and composition
- Use a wall clock to get an accurate measure of the actual running time
- Plot the results



# Experimental Approach - Limitations

- It is necessary to implement your algorithm, which may be difficult and often time-consuming
  - Sometimes you just ideate!
- Results may not be indicative of the running time on other inputs not included in the experiment.
  - Your input may not be inclusive enough of all possible inputs
- Competing algorithms!
  - In order to compare your algorithm with a competing algorithm, the same hardware and software environments must be used
    - Your competitor may have ran on a high-end machine to which you don't have access
      - You need to implement it!
      - Or buy the same machine

# Theoretical Analysis

- Uses a high-level description of the algorithm instead of an implementation
  - The algorithm receives a worth of data
  - Performs a few operations on the data
- Characterizes running time as a function of the input
  - Size of input: array of length  $n$
  - input:  $n$  for factorial
  - Considers all possible inputs
- Allows us to evaluate the **relative efficiency** of any two algorithms  
**independent of** the hardware/software environment
- For each algorithm, we will end up with a function  $f(n)$  that characterizes the running time of the algorithm as a function of the input size  $n$

# Primitive Operations

- Primitive operation corresponds to a low-level instruction with an execution time that is constant
  - Basic computations performed by an algorithm
  - Identifiable in pseudocode
  - Largely independent of the programming language
  - Exact definition is not important
- We define a set of primitive operations such as the following:
  - Assigning a value to a variable
  - Calling a function
  - Performing an arithmetic operation
  - Comparing two numbers
  - Indexing into an array
  - Following an object reference
  - Returning from a function

```
Algorithm arrayMax(A, n):
 Input: An array A storing $n \geq 1$ integers.
 Output: The maximum element in A .

 currMax $\leftarrow A[0]$
 for $i \leftarrow 1$ to $n - 1$ do
 if $currMax < A[i]$ then
 $currMax \leftarrow A[i]$
 return $currMax$
```

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**Algorithm** `arrayMax(A, n)`:

*Input:* An array  $A$  storing  $n \geq 1$  integers.

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currMax ← A[0]
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**Algorithm** `arrayMax(A, n):`

*Input:* An array  $A$  storing  $n \geq 1$  integers.

*Output:* The maximum element in  $A$ .

```
currMax ← A[0] <----- 2 operations
for i ← 1 to n - 1 do
 if currMax < A[i] then
 currMax ← A[i]
return currMax
```

1. accessing  $A[0]$  (indexing in array)
2. assigning  $A[0]$  to  $currMax$

# Primitive Operations

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```

The `for` loop repeats  $n$  times, why?  
Each time it has 2 operations, why?

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- We define a set of primitive operations such as the following:
  - Assigning a value to a variable
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  - Performing an arithmetic operation
  - Comparing two numbers
  - Indexing into an array
  - Following an object reference
  - Returning from a function

```
Algorithm arrayMax(A,n):
 Input: An array A storing n ≥ 1 integers.
 Output: The maximum element in A.

 currMax ← A[0] <----- 2 operations
 for i ← 1 to n - 1 do
 if currMax < A[i] then
 currMax ← A[i]
 return currMax
```

The **for** loop repeats **n** times, why?  
Each time it has **2** operations, why?  
**each time it involves an assignment and a comparison**

# Primitive Operations

- We define a set of primitive operations such as the following:
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for i ← 1 to n - 1 do
 if currMax < A[i] then
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return currMax
```

The **for** loop will repeat **n** times, why?

We account for the last increment to **n** in which the **for** loop identifies it should exit before entering next iteration

for example:  $n = 4$   
**for**  $i$  from **1** to **3** → in the last iteration  $i$  becomes **4** and will be compared to **3**

# Primitive Operations

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*Input:* An array  $A$  storing  $n \geq 1$  integers.

*Output:* The maximum element in  $A$ .

```
currMax ← A[0] <----- 2 operations
for i ← 1 to n - 1 do <----- 2n operations
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Algorithm arrayMax(A,n):
    Input: An array A storing n ≥ 1 integers.
    Output: The maximum element in A.

    currMax ← A[0]           <----- 2 operations
    for i ← 1 to n - 1 do    <----- 2n operations
        if currMax < A[i] then
            currMax ← A[i]
        i++                     <-- 2(n-1) operations
    return currMax             <-- 2(n-1) operations
                                <-- 2(n-1) operations
```

The body of for loop will repeat n-1 times

The increment of *i* is performed at the end of each iteration

Primitive Operations

- We define a set of primitive operations such as the following:
 - Assigning a value to a variable
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- ```
Algorithm arrayMax(A,n):
 Input: An array A storing n ≥ 1 integers.
 Output: The maximum element in A.

 currMax ← A[0] <---- 2 operations
 for i ← 1 to n - 1 do <---- 2n operations
 if currMax < A[i] then
 currMax ← A[i]
 i++ <-- 2(n-1) operations
 return currMax <-- 1 operation
```

We will have a total of  $8n - 2$  operations  
 $n$  is the input size!

# Estimating Runtime

- Algorithm **arrayMax** executes  $8n - 2$  primitive operations in total
- Suppose:
  - $a$  = Time taken by the fastest primitive operation
  - $b$  = Time taken by the slowest primitive operation
- The running time of **arrayMax** is bounded by two linear functions
  - $a(8n - 2) \leq T(n) \leq b(8n - 2)$
- Changing the hardware / software environment
  - Affects  $T(n)$  by a constant factor, but does not alter the growth rate of  $T(n)$
- The linear growth rate of the running time  $T(n)$  is an **intrinsic property** of algorithm **arrayMax**

**Algorithm** arrayMax( $A, n$ ):

**Input:** An array  $A$  storing  $n \geq 1$  integers.

**Output:** The maximum element in  $A$ .

```
currMax ← $A[0]$
for $i \leftarrow 1$ to $n - 1$ do
 if $currMax < A[i]$ then
 $currMax \leftarrow A[i]$
return $currMax$
```

# Questions?

MECHTRON 2MD3

Data Structures and Algorithms for Mechatronics

Winter 2022

# 16 Algorithms Analysis (cont.)

Department of Computing and Software

Instructor:

Omid Isfahani Alamdari

February 28, 2022

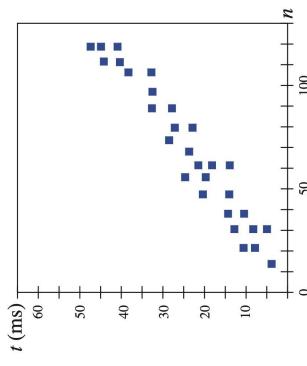


# Administration

- Please pay special attention to this week's tutorial
- Start the assignment as early as possible. I will not be able to answer questions during coming weekend!
  - If you have questions, ask early.

# Review

- Runtime Complexity Analysis
  - Experimental approach
  - Implement, run with varying input sizes, and measure run-times



- Theoretical Approach

- Allows us to evaluate the **relative efficiency** of any two algorithms **independent of the hardware/software environment**
- For each algorithm, we will end up with a function  $f(n)$  that characterizes the running time of the algorithm as a function of the input size  $n$ .
- We started by looking at primitive operations to get an idea of what operations an algorithm perform on input

# Primitive Operations

- We define a set of primitive operations such as the following:
  - Assigning a value to a variable
  - Calling a function
  - Performing an arithmetic operation
  - Comparing two numbers
  - Indexing into an array
  - Following an object reference
  - Returning from a function

**Algorithm** `arrayMax(A, n)`:

*Input:* An array  $A$  storing  $n \geq 1$  integers.

*Output:* The maximum element in  $A$ .

```
currMax ← A[0]
for i ← 1 to n − 1 do
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**Algorithm** `arrayMax(A, n):`

*Input:* An array  $A$  storing  $n \geq 1$  integers.

*Output:* The maximum element in  $A$ .

```
currMax ← A[0] <----- 2 operations
for i ← 1 to n - 1 do
 if currMax < A[i] then
 currMax ← A[i]
return currMax
```

1. accessing  $A[0]$  (indexing in array)
2. assigning  $A[0]$  to  $currMax$

# Primitive Operations

- We define a set of primitive operations such as the following:
  - Assigning a value to a variable
  - Calling a function
  - Performing an arithmetic operation
  - Comparing two numbers
  - Indexing into an array
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The `for` loop repeats  $n$  times, why?  
Each time it has 2 operations, why?

# Primitive Operations

- We define a set of primitive operations such as the following:
  - Assigning a value to a variable
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**Algorithm** `arrayMax(A, n):`

*Input:* An array  $A$  storing  $n \geq 1$  integers.

*Output:* The maximum element in  $A$ .

```
currMax ← $A[0]$ ----- 2 operations
for $i \leftarrow 1$ to $n - 1$ do
 if $currMax < A[i]$ then
 currMax ← $A[i]$
return currMax
```

The **for** loop repeats  $n$  times, why?  
Each time it has **2** operations, why?  
**each time it involves an assignment and a comparison**

# Primitive Operations

- We define a set of primitive operations such as the following:
  - Assigning a value to a variable
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  - Indexing into an array
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**Algorithm** `arrayMax(A, n):`  
*Input:* An array  $A$  storing  $n \geq 1$  integers.  
*Output:* The maximum element in  $A$ .

```
currMax ← A[0] <----- 2 operations
for i ← 1 to n - 1 do
 if currMax < A[i] then
 currMax ← A[i]
return currMax
```

The **for** loop will repeat **n** times, why?

We account for the last increment to **n** in which the **for** loop identifies it should exit before entering next iteration  
for example:  $n = 4$   
**for**  $i$  from **1** to **3** → in the last iteration  $i$  becomes **4** and will be compared to **3**

# Primitive Operations

- We define a set of primitive operations such as the following:
  - Assigning a value to a variable
  - Calling a function
  - Performing an arithmetic operation
  - Comparing two numbers
  - Indexing into an array
  - Following an object reference
  - Returning from a function

**Algorithm** `arrayMax(A, n):`

*Input:* An array  $A$  storing  $n \geq 1$  integers.

*Output:* The maximum element in  $A$ .

```
currMax ← A[0] <----- 2 operations
for i ← 1 to n - 1 do <----- 2n operations
 if currMax < A[i] then
 currMax ← A[i]
return currMax
```

The **for** loop will repeat  $n$  times, why?

We account for the last increment to  $n$  in which the **for** loop identifies it should exit before entering next iteration

for example:  $n = 4$   
**for**  $i$  from 1 to 3 → in the last iteration  $i$  becomes 4 and will be compared to 3

# Primitive Operations

- We define a set of primitive operations such as the following:
    - Assigning a value to a variable
    - Calling a function
    - Performing an arithmetic operation
    - Comparing two numbers
    - Indexing into an array
    - Following an object reference
    - Returning from a function
- ```
Algorithm arrayMax(A,n):
    Input: An array A storing n ≥ 1 integers.
    Output: The maximum element in A.

    currMax ← A[0]           <----- 2 operations
    for i ← 1 to n - 1 do    <----- 2n operations
        if currMax < A[i] then
            currMax ← A[i]
        i++                      <-- 2(n-1) operations
    return currMax             <-- 2(n-1) operations
                                <-- 2(n-1) operations
```

The body of for loop will repeat n-1 times

The increment of *i* is performed at the end of each iteration

Primitive Operations

- We define a set of primitive operations such as the following:
 - Assigning a value to a variable
 - Calling a function
 - Performing an arithmetic operation
 - Comparing two numbers
 - Indexing into an array
 - Following an object reference
 - Returning from a function

Algorithm `arrayMax(A, n)`:

Input: An array A storing $n \geq 1$ integers.

Output: The maximum element in A .

```
currMax ← A[0]           <---- 2 operations
for i ← 1 to n - 1 do    <---- 2n operations
    if currMax < A[i] then
        currMax ← A[i]
    i++                      <-- 2(n-1) operations
return currMax <-- 1 operation
```

We will have a total of $8n - 3$ operations
 n is the input size!

Estimating Runtime

- Algorithm **arrayMax** executes $8n - 3$ primitive operations in total
- Suppose:
 - a = Time taken by the fastest primitive operation
 - b = Time taken by the slowest primitive operation
- The running time of **arrayMax** is bounded by two linear functions
 - $a(8n - 3) \leq T(n) \leq b(8n - 3)$
- Changing the hardware / software environment
 - Affects $T(n)$ by a constant factor, but does not alter the growth rate of $T(n)$
- The linear growth rate of the running time $T(n)$ is an **intrinsic property** of algorithm **arrayMax**

Algorithm arrayMax(A, n):

Input: An array A storing $n \geq 1$ integers.
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```
currMax ←  $A[0]$ 
for  $i \leftarrow 1$  to  $n - 1$  do
    if  $currMax < A[i]$  then
        currMax ←  $A[i]$ 
return currMax
```

Asymptotic Notation

- Big-picture approach
 - In algorithm analysis, we focus on the growth rate of the running time as a function of the input size n .
 - It is often enough just to know that the running time of an algorithm such as `arrayMax`, grows proportionally to n , with its true running time being n times a **constant factor** that depends on the specific computer. (was $8n - 3$)
 - We characterize the running times of algorithms by using functions that map the size of the input, n , to values that correspond to the main factor that determines the growth rate in terms of n .

| n | $\log n$ | n | $n \log n$ | n^2 | n^3 | 2^n |
|-----|----------|-----|------------|---------|-------------|------------------------|
| 8 | 3 | 8 | 24 | 64 | 512 | 256 |
| 16 | 4 | 16 | 64 | 256 | 4,096 | 65,536 |
| 32 | 5 | 32 | 160 | 1,024 | 32,768 | 4,294,967,296 |
| 64 | 6 | 64 | 384 | 4,096 | 262,144 | 1.84×10^{19} |
| 128 | 7 | 128 | 896 | 16,384 | 2,097,152 | 3.40×10^{38} |
| 256 | 8 | 256 | 2,048 | 65,536 | 16,777,216 | 1.15×10^{77} |
| 512 | 9 | 512 | 4,608 | 262,144 | 134,217,728 | 1.34×10^{154} |

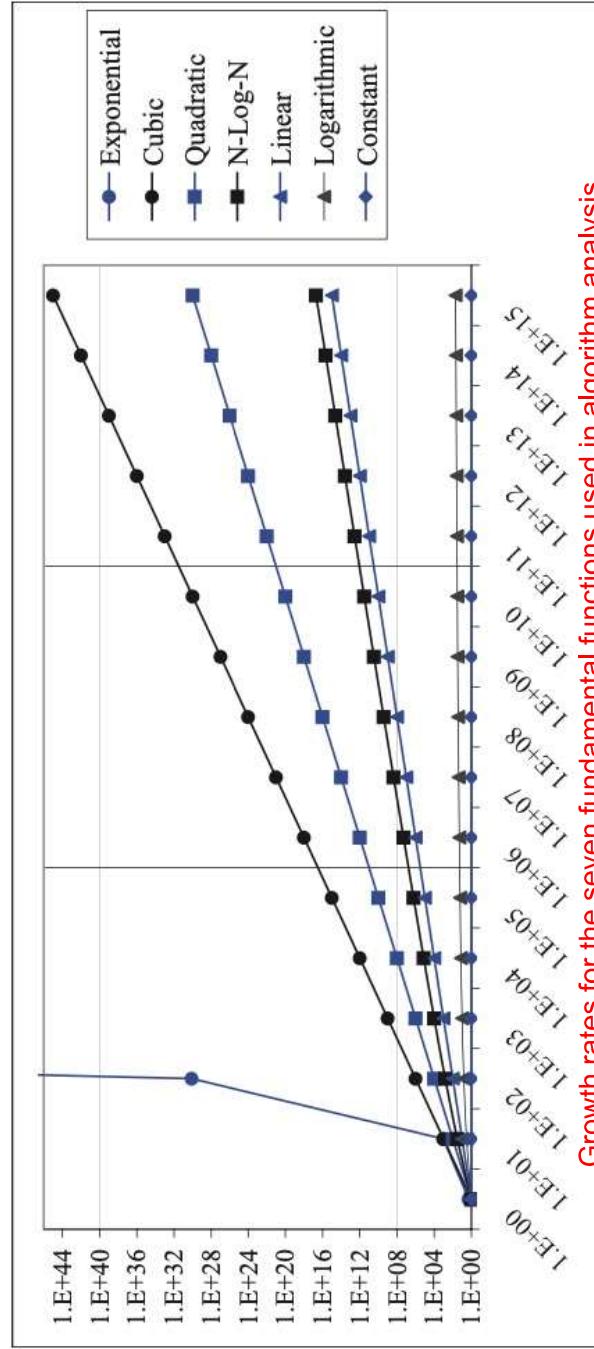


Why Growth Rate Matters

- **Classes of functions**
- Ideally, we would like:

- data structure operations to run in times proportional to the **constant** or **logarithm** function.
- algorithms to run in linear or $n\log n$ time.
- Algorithms with quadratic or cubic running times are **less practical**, but algorithms with **exponential** running times are **infeasible** for all but the small-sized inputs.

| <i>constant</i> | <i>logarithm</i> | <i>linear</i> | <i>n-log-n</i> | <i>quadratic</i> | <i>cubic</i> | <i>exponential</i> |
|-----------------|------------------|---------------|----------------|------------------|--------------|--------------------|
| 1 | $\log n$ | n | $n \log n$ | n^2 | n^3 | a^n |

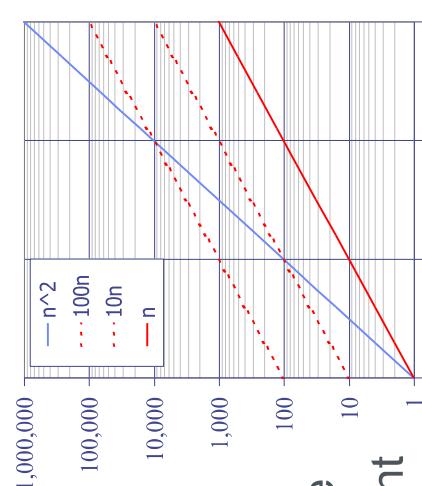
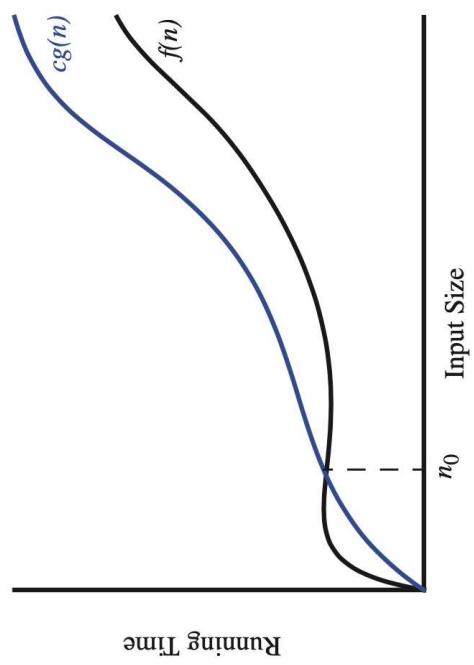


log-log chart

Growth rates for the seven fundamental functions used in algorithm analysis

Big-Oh Notation

- Given functions $f(n)$ and $g(n)$, we say that $f(n)$ is $O(g(n))$ if there are positive constants c and n_0 such that: $f(n) \leq cg(n)$ for $n \geq n_0$
- Example: $2n + 10$ is $O(n)$
 - $2n + 10 \leq cn$
 - $(c - 2)n \geq 10$
 - $n \geq 10/(c - 2)$
 - Pick $c = 3$ and $n_0 = 10$
- Example: ArrayMax: $8n - 3$ is $O(n)$
 - $8n - 3 \leq cn$
 - Pick $c = 8$, and $n_0 = 1$
- Example: n^2 is not $O(n)$
 - $n^2 \leq cn$
 - $n \leq c$



- The above inequality cannot be satisfied since c must be a constant

Asymptotic Analysis of Algorithms

- Now we can write the following mathematically precise statement on the running time of algorithm arrayMax for **any** computer:
 - The Algorithm **arrayMax**, for computing the maximum element in an array of n integers, runs in $O(n)$ time.
 - proof: The number of primitive operations executed by algorithm **arrayMax** in each iteration is a constant. Hence, since each primitive operation runs in constant time, we can say that the running time of algorithm **arrayMax** on an input of size n is **at most a constant times n** , that is, we may conclude that the **running time of algorithm arrayMax is $O(n)$** .

• The asymptotic analysis

- identify the running time in Big-Oh notation
 - We find the worst-case number of primitive operations executed as a function of the input size
- We express this function with Big-Oh notation

Algorithm **arrayMax(A, n):**

Input: An array A storing $n \geq 1$ integers.

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         $currMax \leftarrow A[i]$ 
return  $currMax$ 
```

Big-Oh Notation Rules

- If is $f(n)$ a polynomial of degree d , then $f(n)$ is $O(n^d)$:
 - Drop lower-order terms
 - Drop constant factors
 - $3n^3 + 20n^2 + 5$ is $O(n^3)$
 - need $c > 0$ and $n_0 \geq 1$ such that $3n^3 + 20n^2 + 5 \leq c \cdot n^3$ for $n \geq n_0$
this is true for $c = 4$ and $n_0 = 21$
 - Use the **smallest possible class** of functions
 - Say “ $2n$ is $O(n)$ ” instead of “ $2n$ is $O(n^2)$ ”
 - Use the **simplest expression of the class**
 - Say “ $3n + 5$ is $O(n)$ ” instead of “ $3n + 5$ is $O(3n)$ ”
 - Think about hidden constant factors!

The big-Oh notation gives an upper bound
on the growth rate of a function

Asymptotic Analysis - Example

- Prefix Averages
- The i -th prefix average of an array X is average of the first $(i + 1)$ elements of X :
$$A[i] = (X[0] + X[1] + \dots + X[i])/(i+1)$$

$$A[i] = \frac{\sum_{j=0}^i X[j]}{i+1}.$$

Algorithm prefixAverages1(X):

Input: An n -element array X of numbers.

Output: An n -element array A of numbers such that $A[i]$ is the average of elements $X[0], \dots, X[i]$.

Let A be an array of n numbers.

```
for i ← 0 to n − 1 do
    a ← 0
    for j ← 0 to i do
        a ← a + X[j]
    A[i] ← a/(i + 1)
return array A
```

Algorithm prefixAverages2(X):

Input: An n -element array X of numbers.

Output: An n -element array A of numbers such that $A[i]$ is the average of elements $X[0], \dots, X[i]$.

Let A be an array of n numbers.

```
s ← 0
for i ← 0 to n − 1 do
    s ← s + X[i]
    A[i] ← s/(i + 1)
return array A
```

Questions?

MECHTRON 2MD3

Data Structures and Algorithms for Mechatronics

Winter 2022

17 Algorithms Analysis (cont.2)

Department of Computing and Software

Instructor:

Omid Isfahani Alamdari

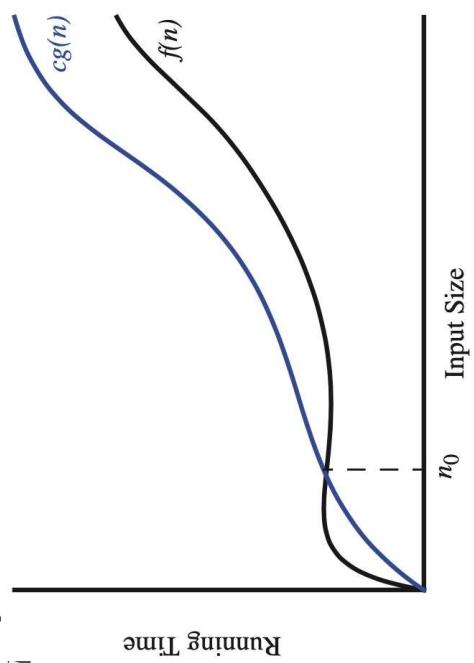
March 2, 2022

Administration

- My Office Hour:
 - Today at 15:00 in **ITB-159** in-person (or virtually using teams)
- Please **read the questions carefully** and watch the video if needed for the assignment 2

Review

- Given functions $f(n)$ and $g(n)$, we say that $f(n)$ is $O(g(n))$ if there are positive constants c and n_0 such that: $f(n) \leq cg(n)$ for $i > n_0$
- Example: $2n + 10$ is $O(n)$
- Example: ArrayMax: $8n - 3$ is $O(n)$
- Example: n^2 is not $O(n)$



- Known class of functions:

| constant | logarithm | linear | $n\text{-log-}n$ | quadratic | cubic | exponential |
|----------|------------|--------|------------------|-----------|---------|-------------|
| 1 | ✓ $\log n$ | ✓ n | ✓ $n \log n$ | ✓ n^2 | ✓ n^3 | ✓ d^n |

- Desired complexities:
 - Linear or $n\text{-log-}n$ for algorithms
 - sort, search
 - Constant or Logarithm operations for DS
 - add, remove, indexing

Asymptotic Analysis of Algorithms

- Now we can write the following mathematically precise statement on the running time of algorithm arrayMax for **any** computer:
 - The Algorithm **arrayMax**, for computing the maximum element in an array of n integers, runs in $O(n)$ time.
 - proof: The number of primitive operations executed by algorithm **arrayMax** in each iteration is a constant. Hence, since each primitive operation runs in constant time, we can say that the running time of algorithm **arrayMax** on an input of size n is **at most a constant times n** , that is, we may conclude that the **running time of algorithm arrayMax is $O(n)$** .

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- identify the running time in Big-Oh notation
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```

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this is true for $c = 4$ and $n_0 = 21$

```
for (i = 0; i < n; i++) {  
    sequence of statements  
}
```

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        sequence of statements  
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}
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```
for (i = 0; i < n; i++) {  
    for (j = 0; j < i; j++) {  
        sequence of statements  
    }  
}
```

- The outer loops is $O(n)$
 - The statements in the inner loop executed $i+1$ times: i.e.: $1 + 2 + 3 + \dots + n$ times which is $n(n+1)/2$ which is $O(n^2)$
- So, this is $O(n^2)$

Big-Oh Notation Rules

- If is $f(n)$ a polynomial of degree d , then $f(n)$ is $O(n^d)$:
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 - this is true for $c = 4$ and $n_0 = 21$

```
for (i = 0; i < n; i++) {  
    for (j = 0; j < n; j++) {  
        sequence of statements  
    }  
}  
for (k = 0; k < n; k++) {  
    sequence of statements  
}
```

is $O(n^2+n)$ which is $O(n^2)$
we select the main component that affects the growth



Big-Oh Notation Rules

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this is true for $c = 4$ and $n_0 = 21$

- ```
for (j = 0; j < n; j++)
 f(j);
```

 is  $O(n)$
- function  $f$  takes a constant time
- ```
for (j = 0; j < n; j++)  
    g(j);
```

 is $O(n^2)$
- function g takes a linear time proportional to its parameter
- ```
for (j = 0; j < n; j++)
 g(k);
```

 is  $O(k \cdot n)$   
or  $O(n)$ , if  $K$  is not very large or has a relative size to  $n$



# Big-Oh Notation Rules

- Use the **smallest possible class** of functions
  - Say “ $2n$  is  $O(n)$ ” instead of “ $2n$  is  $O(n^2)$ ”
- Use the **simplest expression of the class**
  - Say “ $3n + 5$  is  $O(n)$ ” instead of “ $3n + 5$  is  $O(3n)$ ”
- Think about hidden constant factors!

The big-Oh notation gives an upper bound  
on the growth rate of a function

# Complex Examples on Growth Rates

- You need some math to reason about some functions
- math you need to review
  - properties of logarithms:
    - $\log_b(xy) = \log_b x + \log_b y$
    - $\log_b(x/y) = \log_b x - \log_b y$
    - $\log_b x^a = a \log_b x$
    - $\log_b a = \log_x a / \log_x b$
  - properties of exponentials:
    - $a^{(b+c)} = a^b a^c$
    - $a^{bc} = (a^b)^c$
    - $a^b / a^c = a^{(b-c)}$

for example:

$$(\sqrt{2})^{\log n} = (2^{0.5})^{\log n} = (2^{\log n})^{0.5} = \sqrt{n}$$

- $2^{100\log n} = 2^{\log n^{100}} = n^{100}$
- $\log(n!) = \log(n(n-1)(n-2)\dots) = \log(n) + \log(n-1) + \dots = O(\log(n))$
- $b = a^{\log_a b}$
- $b^c = a^{c \log_a b}$

# Asymptotic Analysis - Example

- Prefix Averages
- The  $i$ -th prefix average of an array  $X$  is average of the first  $(i + 1)$  elements of  $X$ :  
$$A[i] = (X[0] + X[1] + \dots + X[i]) / (i+1)$$

$$A[i] = \frac{\sum_{j=0}^i X[j]}{i+1}.$$

Input Array  $X$ :

|   |   |   |    |   |
|---|---|---|----|---|
| 0 | 1 | 2 | 3  | 4 |
| 2 | 8 | 5 | 13 | 7 |

Prefix Average Array  $A$ :

|   |   |   |   |   |
|---|---|---|---|---|
| 0 | 1 | 2 | 3 | 4 |
| 2 | 5 | 5 | 7 | 7 |

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$$A[i] = \frac{\sum_{j=0}^i X[j]}{i+1}.$$

**Algorithm** prefixAverages1( $X$ ):

**Input:** An  $n$ -element array  $X$  of numbers.

**Output:** An  $n$ -element array  $A$  of numbers such that  $A[i]$  is the average of elements  $X[0], \dots, X[i]$ .

Let  $A$  be an array of  $n$  numbers.

```
for i ← 0 to n – 1 do
 a ← 0
 for j ← 0 to i do
 a ← a + X[j]
 A[i] ← a / (i + 1)
return array A
```



```
i = 0
a = 0
after inner for loop (i + 1 times)
a = 2
A[0] = 2 / 1 = 2
```

# Asymptotic Analysis - Example

- Prefix Averages
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for i ← 0 to n – 1 do
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 a ← a + X[j]
 A[i] ← a / (i + 1)
return array A
```



i = 1  
a = 0  
after inner for loop (i + 1 times)  
a = 10  
A[0] = 10 / 2 = 5

# Asymptotic Analysis - Example

- Prefix Averages
- The  $i$ -th prefix average of an array  $X$  is average of the first  $(i + 1)$  elements of  $X$ :  
$$A[i] = (X[0] + X[1] + \dots + X[i]) / (i+1)$$

$$A[i] = \frac{\sum_{j=0}^i X[j]}{i+1}.$$

**Algorithm** prefixAverages1( $X$ ):

**Input:** An  $n$ -element array  $X$  of numbers.

**Output:** An  $n$ -element array  $A$  of numbers such that  $A[i]$  is the average of elements  $X[0], \dots, X[i]$ .

Let  $A$  be an array of  $n$  numbers.

```
for i ← 0 to n – 1 do
 a ← 0
 for j ← 0 to i do
 a ← a + X[j]
 A[i] ← a / (i + 1)
return array A
```



i = 2  
a = 0  
after inner for loop (i + 1 times)  
a = 15  
 $A[0] = 15 / 3 = 5$

# Asymptotic Analysis - Example

- Prefix Averages
- The  $i$ -th prefix average of an array  $X$  is average of the first  $(i + 1)$  elements of  $X$ :  
$$A[i] = (X[0] + X[1] + \dots + X[i]) / (i+1)$$

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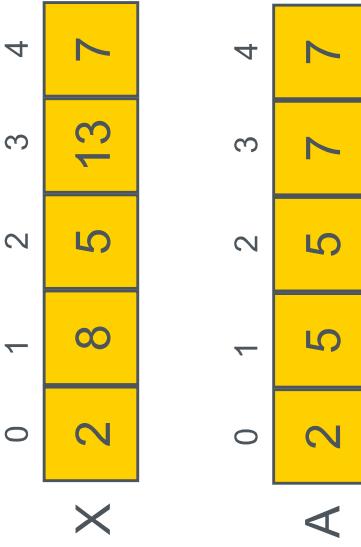
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Let  $A$  be an array of  $n$  numbers.

```
for i ← 0 to n − 1 do
 a ← 0
 for j ← 0 to i do
 a ← a + X[j]
 A[i] ← a/(i + 1)
return array A
```



# Asymptotic Analysis - Example

- Prefix Averages
  - The  $i$ -th prefix average of an array  $X$  is average of the first  $(i + 1)$  elements of  $X$ :  

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### Algorithm prefixAverages1( $X$ ):

**Input:** An  $n$ -element array  $X$  of numbers.

**Output:** An  $n$ -element array  $A$  of numbers such that  $A[i]$  is the average of elements  $X[0], \dots, X[i]$ .

```

Let A be an array of n numbers. <----- O(n)
for $i \leftarrow 0$ to $n - 1$ do <----- O(n) operations
 $a \leftarrow 0$ <----- O(n) operations

 for $j \leftarrow 0$ to i do <-- O(n^2) operations
 $a \leftarrow a + X[j]$ <-- O(n^2) operations
 $A[i] \leftarrow a / (i + 1)$ <----- O(n) operations

return array A <-- O(1) operation (in the textbook it is O(n) con-

```

so prefixAverages1 is  $O(n^2)$

# Asymptotic Analysis - Example

- Prefix Averages
- The  $i$ -th prefix average of an array  $X$  is average of the first  $(i + 1)$  elements of  $X$ :  
$$A[i] = (X[0] + X[1] + \dots + X[i]) / (i+1)$$

$$A[i] = \frac{\sum_{j=0}^i X[j]}{i+1}.$$

**Algorithm** prefixAverages1( $X$ ):

**Input:** An  $n$ -element array  $X$  of numbers.

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Let  $A$  be an array of  $n$  numbers.

```
for i ← 0 to n − 1 do
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return array A
```



# Asymptotic Analysis - Example

- Prefix Averages
- The  $i$ -th prefix average of an array  $X$  is average of the first  $(i + 1)$  elements of  $X$ :  
$$A[i] = (X[0] + X[1] + \dots + X[i]) / (i+1)$$

$$A[i] = \frac{\sum_{j=0}^i X[j]}{i+1}.$$

$$\boxed{\begin{aligned} A[i-1] &= (X[0]+X[1]+\dots+X[i-1]) / i \\ A[i] &= (X[0]+X[1]+\dots+X[i-1]+\textcolor{red}{X[i]}) / (i+1) \end{aligned}}$$

**Algorithm** prefixAverages2( $X$ ):

**Input:** An  $n$ -element array  $X$  of numbers.

**Output:** An  $n$ -element array  $A$  of numbers such that  $A[i]$  is the average of elements  $X[0], \dots, X[i]$ .

Let  $A$  be an array of  $n$  numbers.  $\leftarrow \text{----- } O(n)$

$s \leftarrow 0 \quad \leftarrow \text{----- } O(1) \text{ operations}$

**for**  $i \leftarrow 0$  **to**  $n - 1$  **do**  $\leftarrow \text{----- } O(n) \text{ operations}$

$s \leftarrow s + X[i] \quad \leftarrow \text{----- } O(n) \text{ operations}$

$A[i] \leftarrow s/(i+1) \quad \leftarrow \text{----- } O(n) \text{ operations}$

**return** array  $A \quad \leftarrow \text{----- } O(1) \text{ operation (in the textbook it is } O(n) \text{ considering return by value)}$

$\boxed{\text{so prefixAverages2 is } O(n)}$

# Using Sorting as a Problem-Solving Tool

- Sometimes we can use sorting as a tool to ease our problem-solving and even make the algorithm faster
- Element uniqueness problem:
  - Given an array, identify if all elements are unique (there are no duplicates)
  - Naïve algorithm:
    - compare each pair of elements in the array
      - $O(n^2)$
    - Better algorithm
      - sort the array first, then traverse the array once, look for duplicates among consecutive elements.
        - Best sorting algorithm runs in  $O(n\log n)$
        - The algorithm will be  $O(n\log n + n)$  which is  $(n\log n)$

# Final Remarks

- Given an algorithm
  - What is the order of this algorithm? (runtime complexity)
- Try to reduce the running time as much as possible
  - Sometimes based on some observations that we already had we can easily reduce the complexity (like previous slide)
- This analysis technique is not the whole story!
  - There are situations where a worst-case  $O(n^2)$  is faster than  $O(n\log n)$
  - If you want, you can study more.
- There are some problems for which there is **NO** polynomial-time algorithm found (up until now)
  - We say that they “NP-hard” or “NP-complete”
  - If someone can find a polynomial-time solution one of them, that solution may work for many others as well.

# Questions?

MECHTRON 2MD3

Data Structures and Algorithms for Mechatronics

Winter 2022

## 18 Stacks

Department of Computing and Software

Instructor:

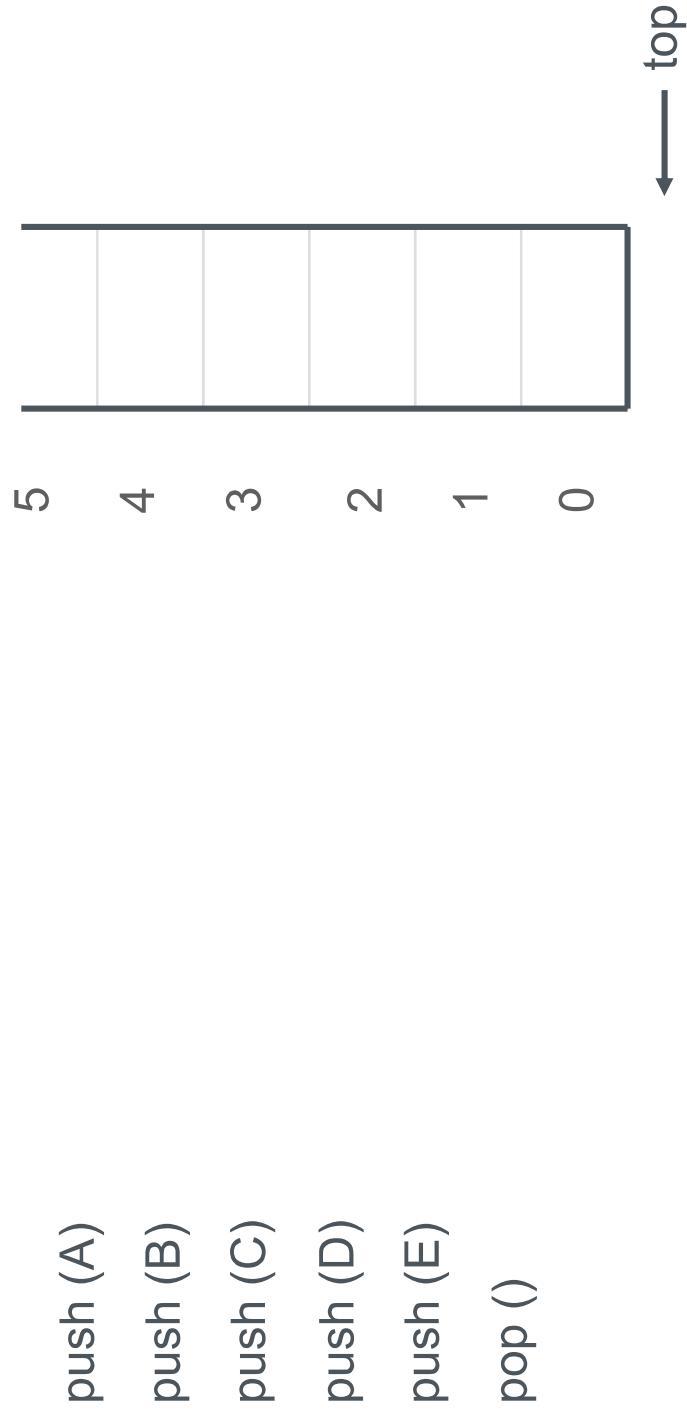
Omid Isfahani Alamdari

March 3, 2022

# Stack

- A stack is a container of objects that are inserted and removed according to the Last-In First-Out (LIFO) principle.

“push” adds a new item on top of the stack.



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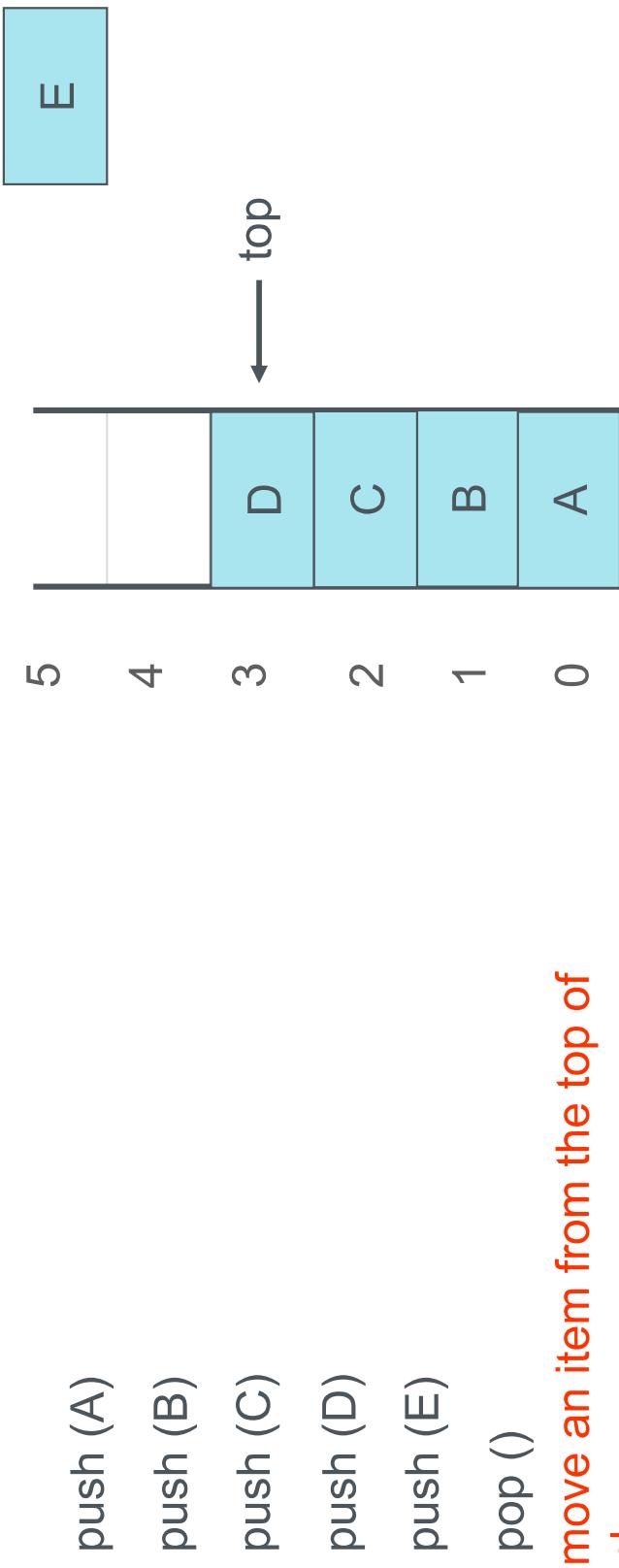
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# Stack

- A stack is a container of objects that are inserted and removed according to the Last-In First-Out (LIFO) principle.

“push” adds a new item on top of the stack.



# Stack and its Applications

- Applications
  - History of visited pages in a web browser
  - Sequence of Undo operations in a text editor
  - Keep track of function calls in the C++ run-time system (System Stack)
  - As a data structure for algorithms to solve problems
    - Example: Reversing a list
  - Component of other data structures



# Stack and its Applications

- System Stack
  - The C++ run-time system keeps track of the chain of active function calls with a stack.
- When a function is called, the system pushes a “**stack frame**” onto the stack which contains:
  - Local variables and return value
  - Program counter, keeping track of the statement being executed
- When the function ends, its frame is popped from the stack and control is passed to the function on top of the stack
- Allows for **recursion**

# Stack and its Applications

- System Stack
    - “**stack frame**” contains:
      - Local variables and return value
      - Program counter, keeping track of the statement being executed

```
void func1()
{
 int j = 4;
 ...
 return;
}

int main ()
{
 int i = 7;
 func1();

 return 0;
}
```

fp

program counter

return address

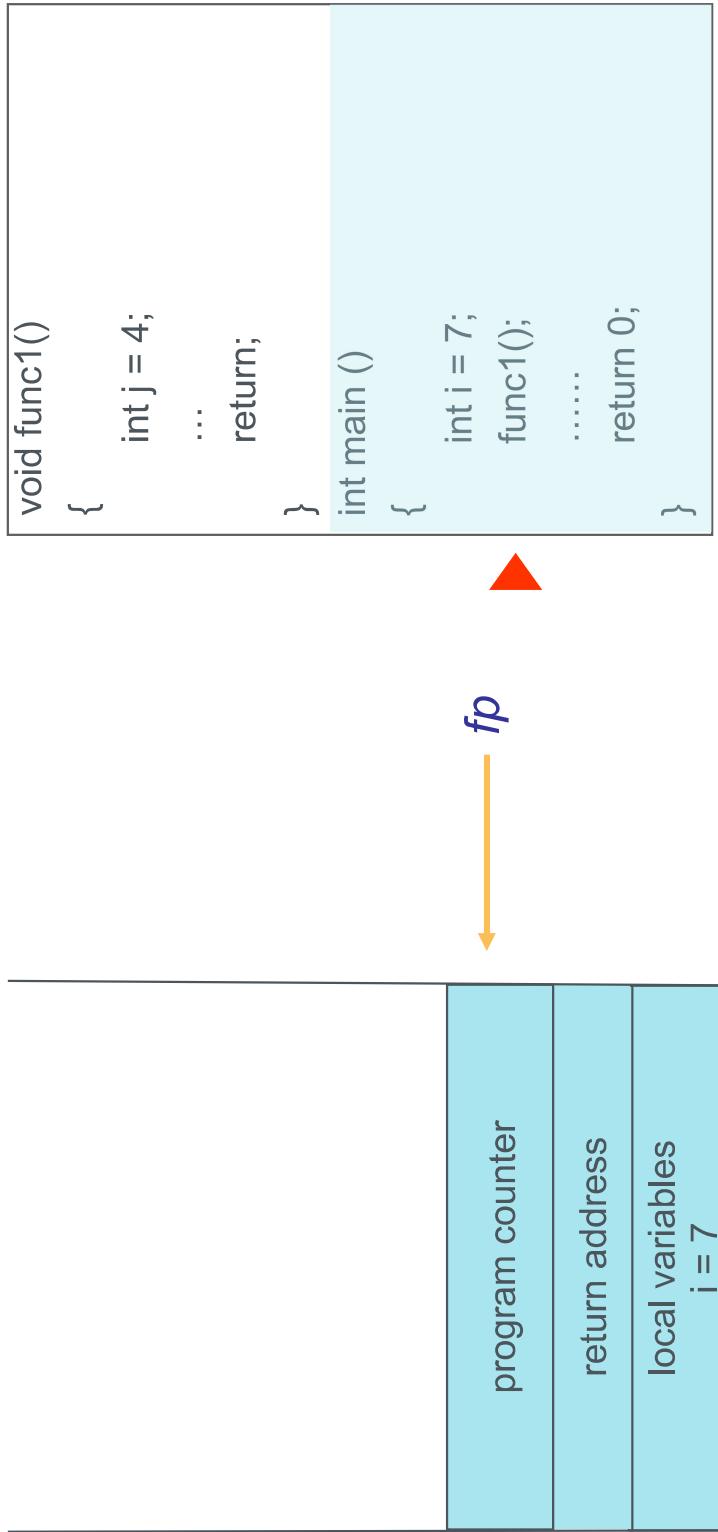
local variables

i = 7

main:

# Stack and its Applications

- System Stack
  - “**stack frame**” contains:
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main:



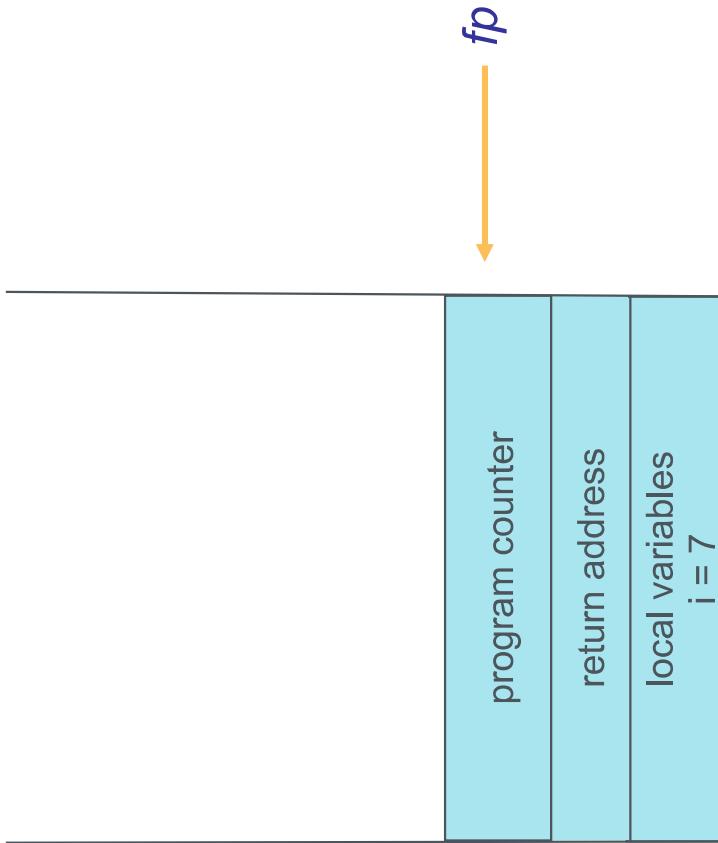
# Stack and its Applications

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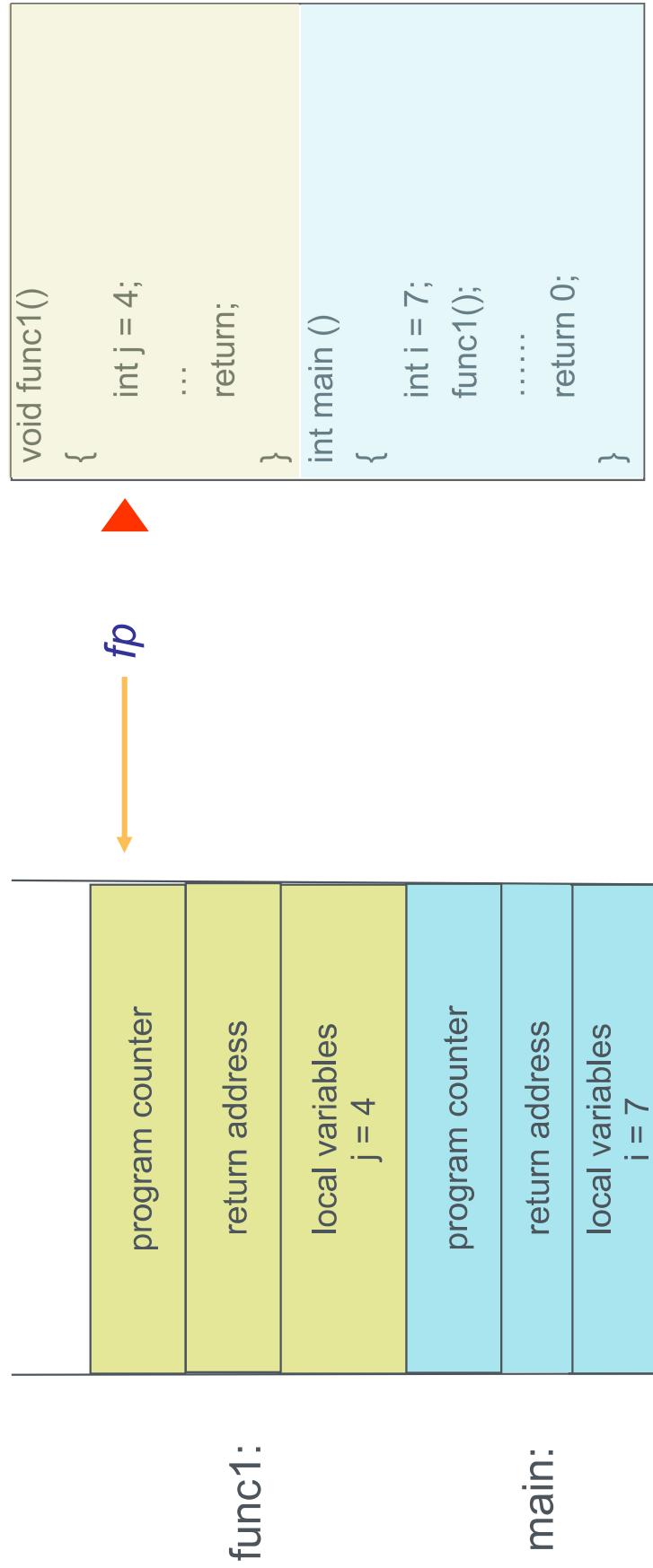


main:



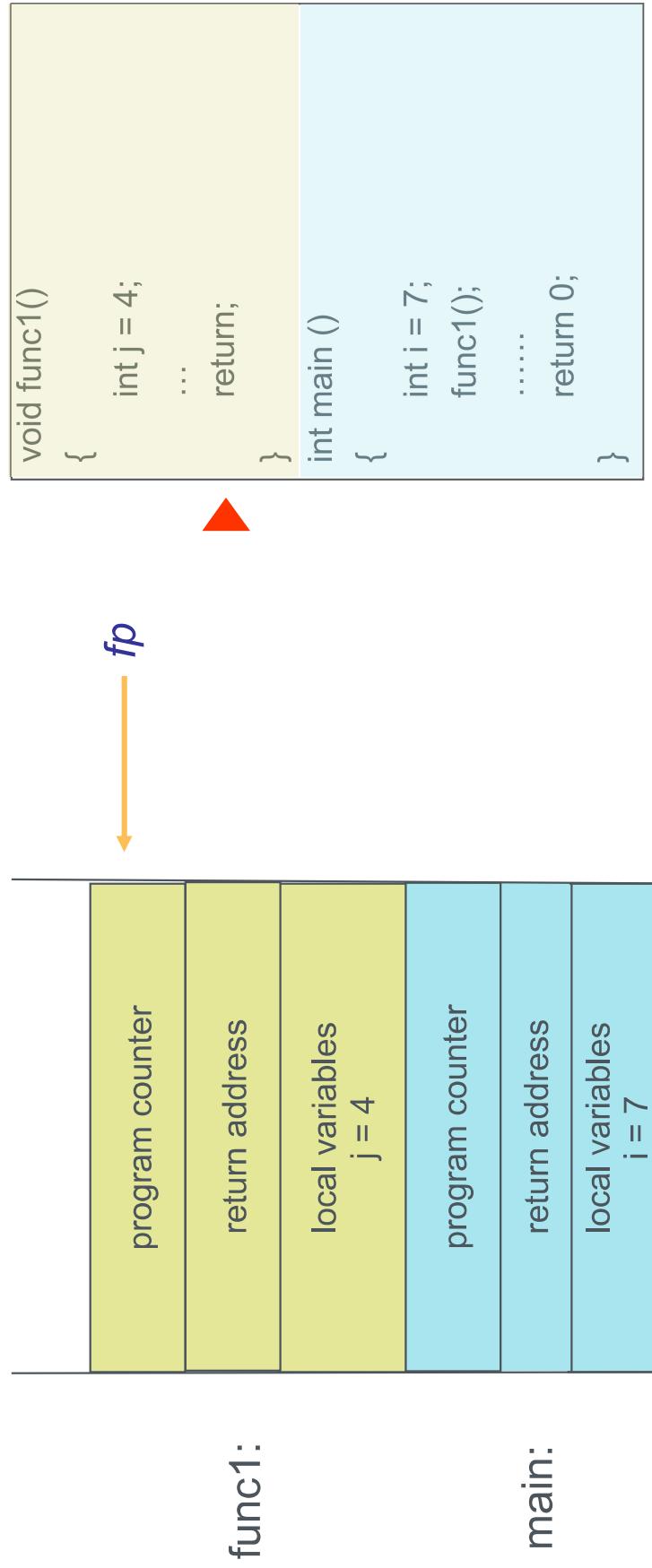
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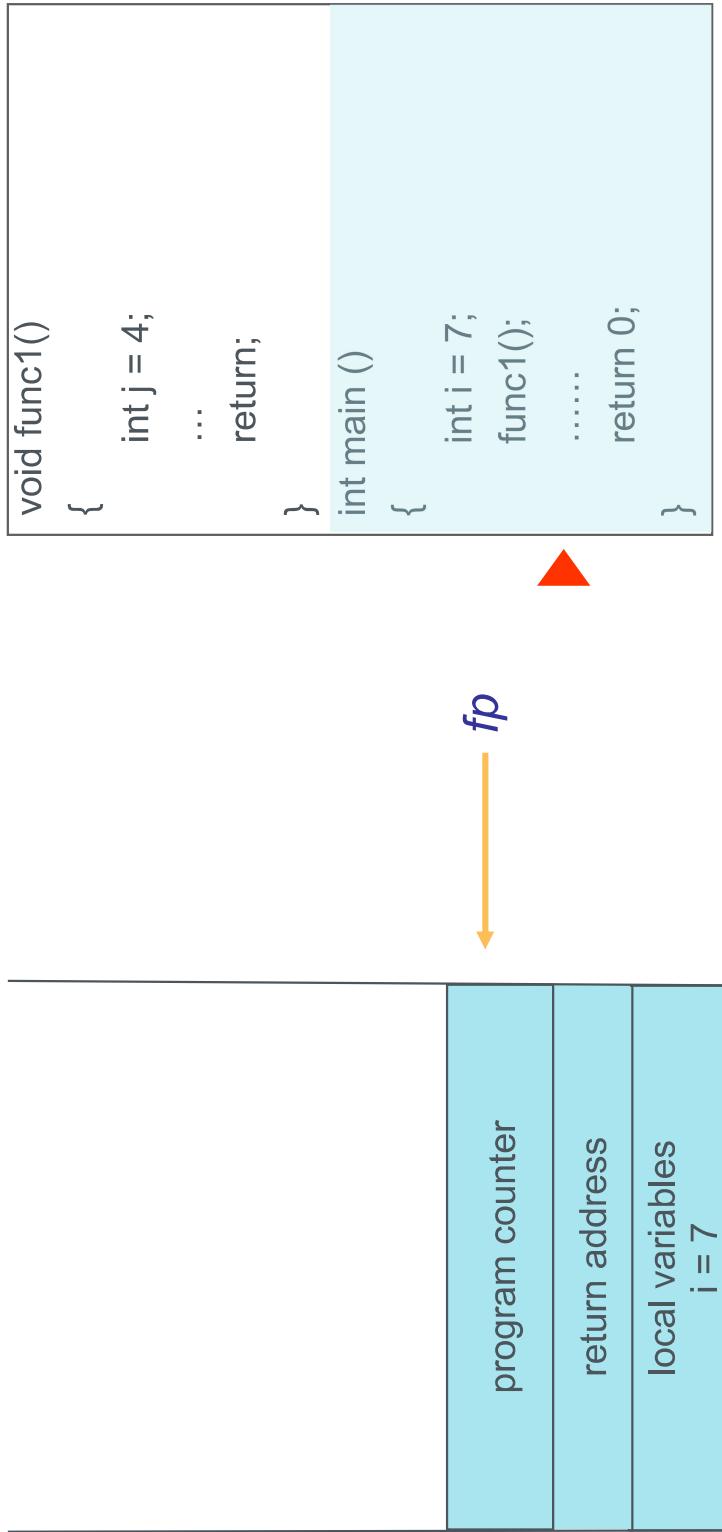
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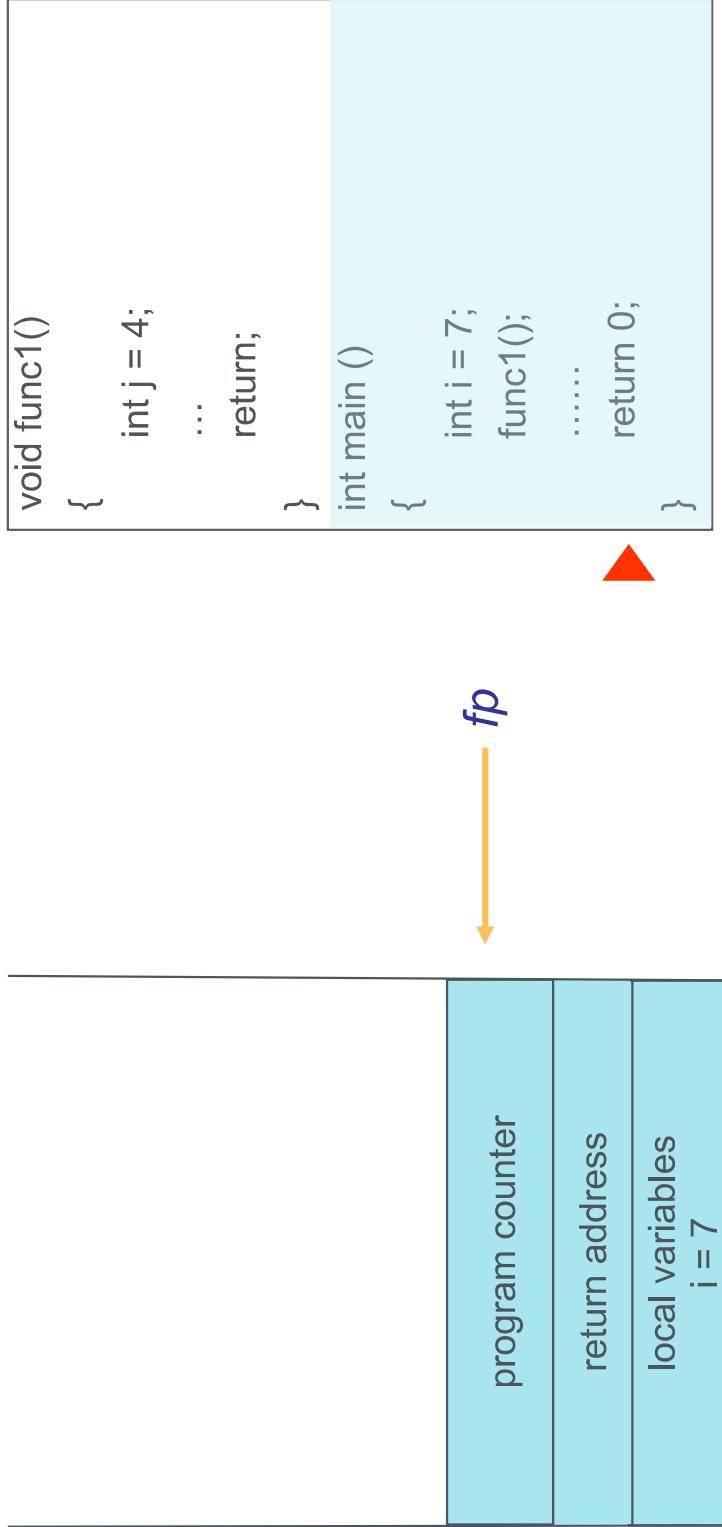
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main:

# Stack and its Applications

- System Stack
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    - Program counter, keeping track of the statement being executed



main:



# Stack and its Applications

- System Stack
  - “**stack frame**” contains:
    - Local variables and return value
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Void func1()
{
 int j = 4;
 ...
 return;
}

int main ()
{
 int i = 7;
 func1();

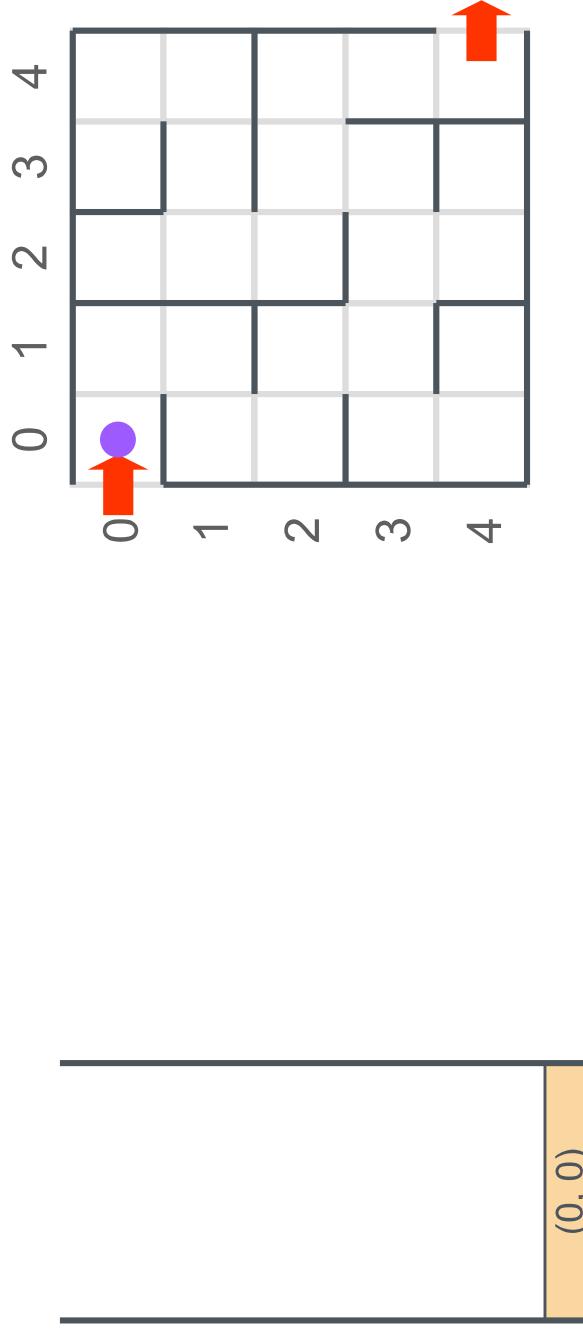
 return 0;
}
```

fp



# Stack and its Applications

- Path Planning for a Robot

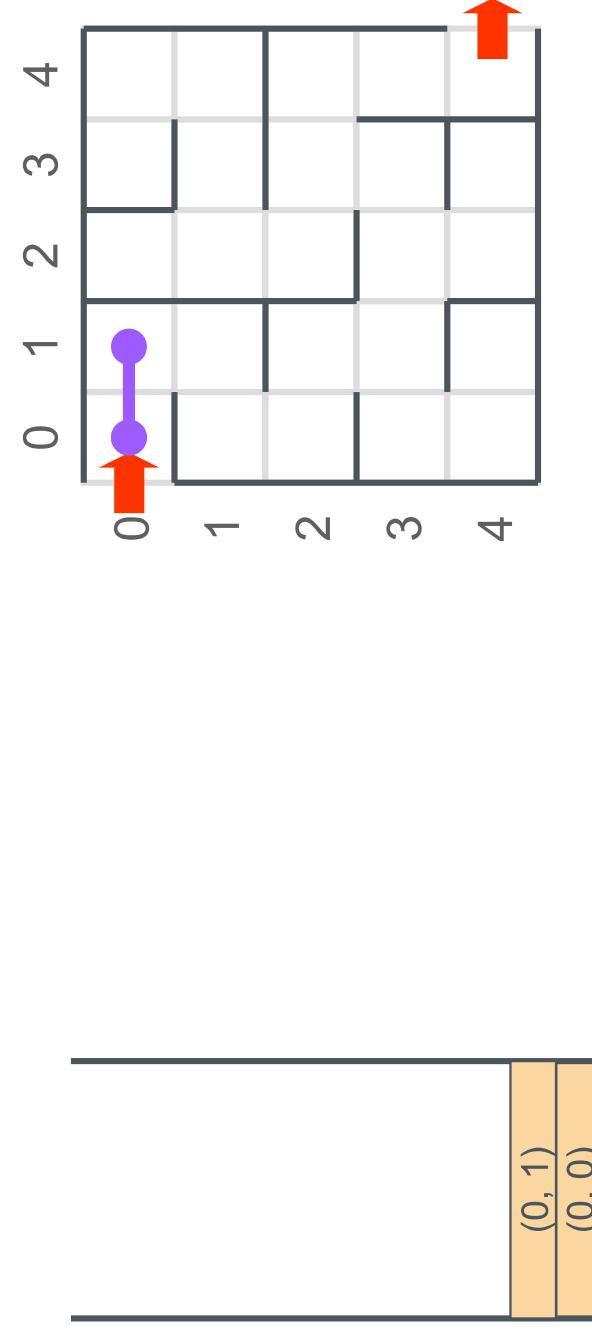


Stack



# Stack and its Applications

- Path Planning for a Robot

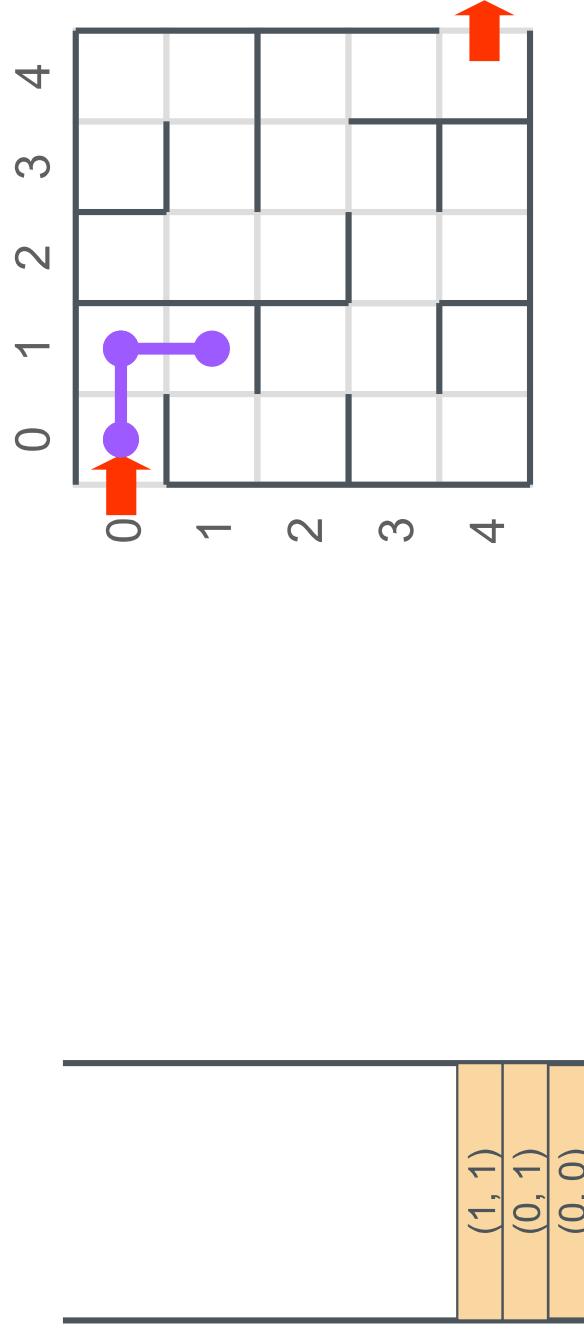


Stack



# Stack and its Applications

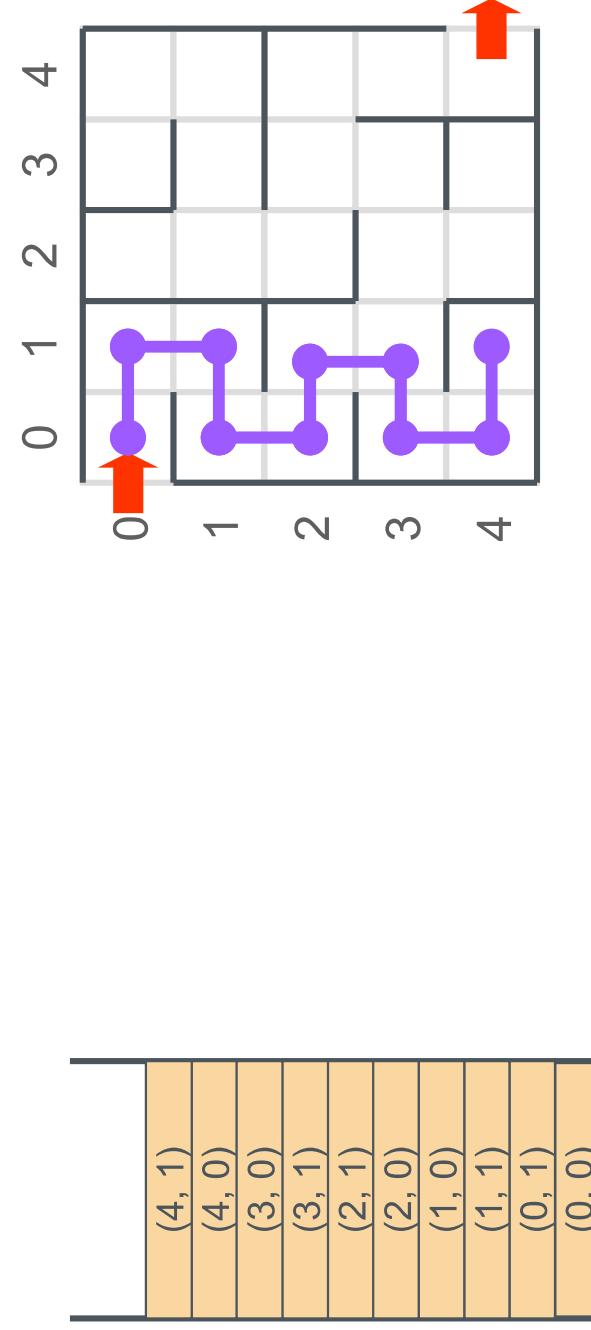
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Stack

# Stack and its Applications

- Path Planning for a Robot

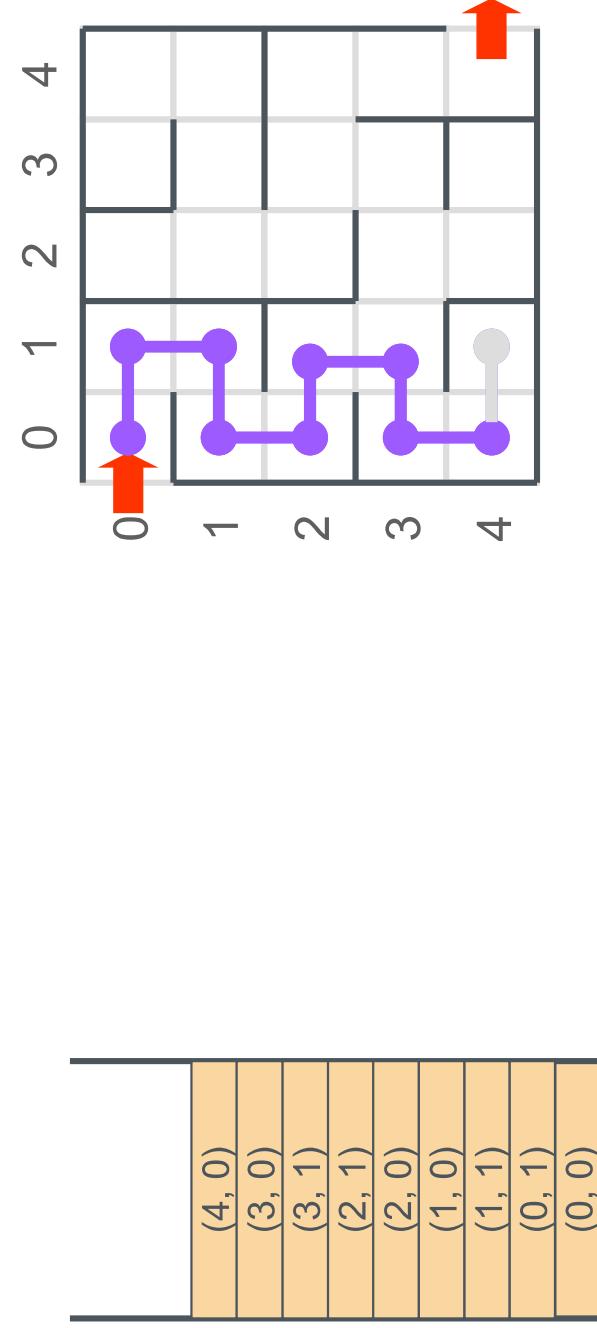


Stack



# Stack and its Applications

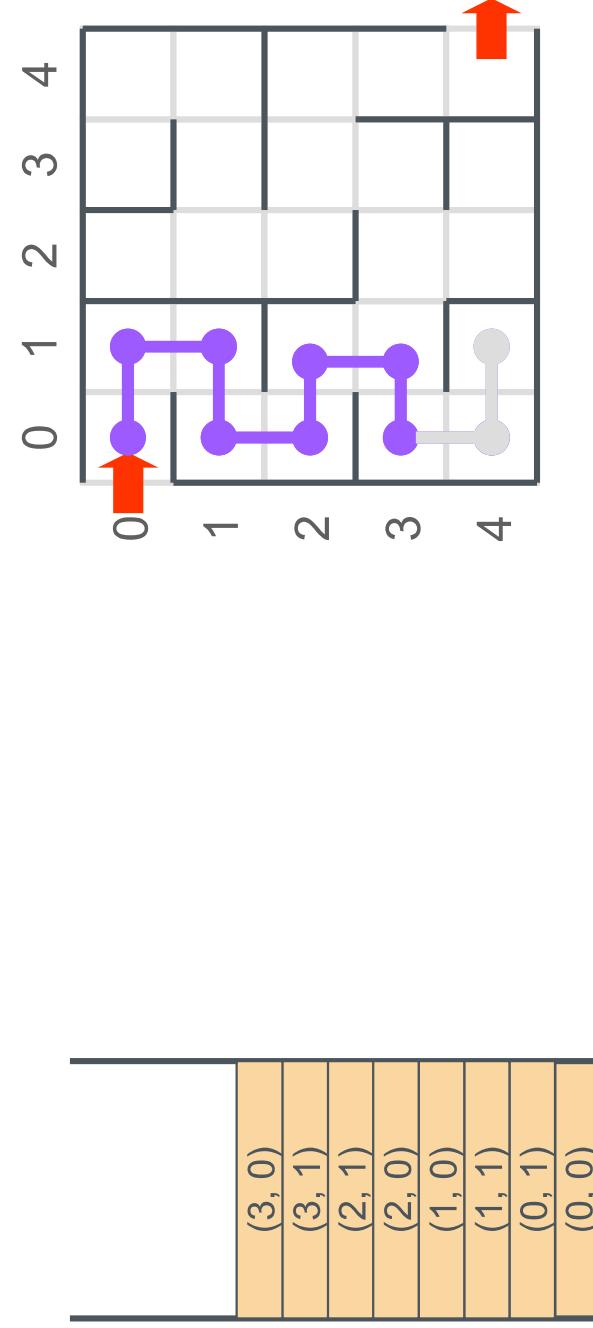
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Stack

# Stack and its Applications

- Path Planning for a Robot

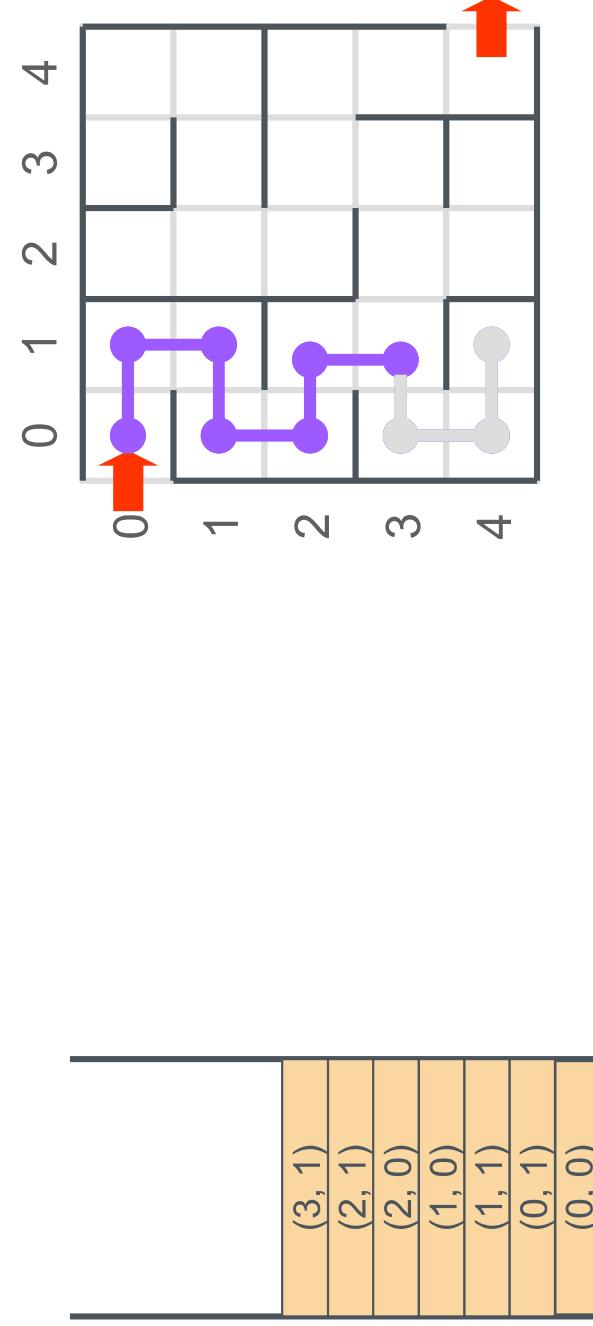


Stack



# Stack and its Applications

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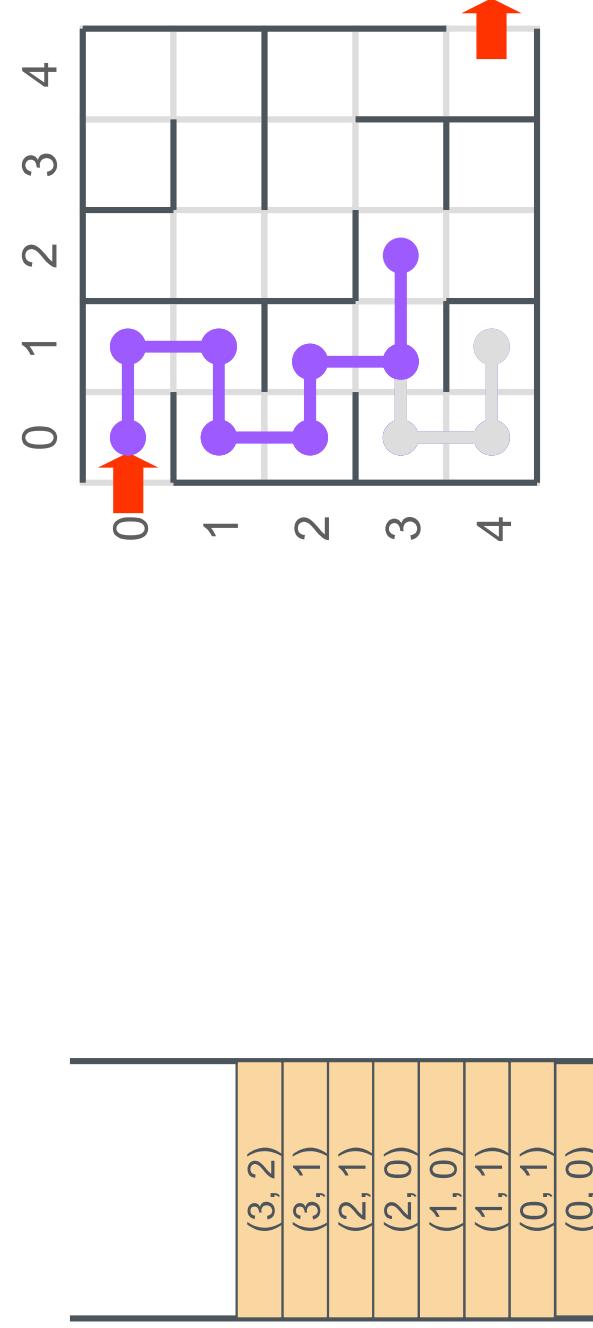


Stack



# Stack and its Applications

- Path Planning for a Robot



Stack



# ADT

- An ADT is a mathematical model (*abstraction*) of a data structure that specifies
  - the type of the data stored
  - the operations supported on them
  - the types of the parameters of the operations.
  - Error conditions associated with operations
- **An ADT specifies what each operation does, but not how it does it.**



# The Stack ADT

- The Stack ADT stores arbitrary objects
- Insertions and deletions follow the last-in first-out scheme
- Main stack operations:
  - push(object): inserts an element object
  - pop(): removes the top element
  - object top(): returns the last inserted element without removing it
  - integer size(): returns the number of elements stored in stack
  - Boolean empty(): indicates whether no elements are stored

```
template <typename E>
class Stack {
public:
 int size() const;
 bool empty() const;
 const E& top() const throw(StackEmpty);
 void push(const E& e);
 void pop() throw(StackEmpty);
};
```

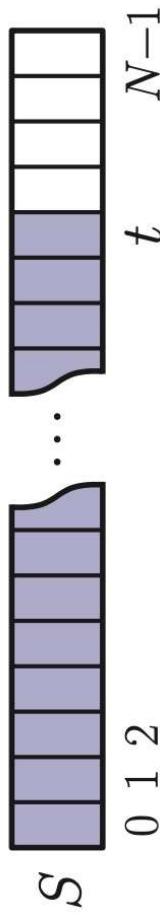
# Exceptions for an ADT

- Attempting the execution of an operation of ADT may sometimes cause an error condition, called an exception
- Exceptions are said to be “**thrown**” by an operation that cannot be executed
- In the Stack ADT, operations **pop** and **top** cannot be performed if the stack is empty
- Attempting **pop** or **top** on an empty stack throws a **StackEmpty** exception

```
// Exception thrown on performing top or pop of an empty stack.
class StackEmpty : public RuntimeException {
public:
 StackEmpty(const string& err) : RuntimeException(err) {}
};
```

# Array-Based Stack

- A simple way of implementing the Stack ADT uses an array
- We add elements from left to right
- A variable keeps track of the index of the top element



- The array storing the stack elements may become **full**
- A push operation will then throw a **StackFull** exception:
  - Limitation of the array-based implementation
  - Not intrinsic to the Stack ADT

```
Algorithm size():
 return $t + 1$
Algorithm empty():
 return ($t < 0$)
Algorithm top():
 if empty() then
 throw StackEmpty exception
 return $S[t]$
Algorithm push(e):
 if size() = N then
 throw StackFull exception
 $t \leftarrow t + 1$
 $S[t] \leftarrow e$
Algorithm pop():
 if empty() then
 throw StackEmpty exception
 $t \leftarrow t - 1$
```

# Array-Based Stack - C++ Implementation

```
template <typename E>
class ArrayStack {
 enum { DEF_CAPACITY = 100 }; // default stack capacity
public:
 ArrayStack(int cap = DEF_CAPACITY); // constructor from capacity
 int size() const; // number of items in the stack
 bool empty() const; // is the stack empty?
 const E& top() const throw(StackEmpty); // get the top element
 void push(const E& e) throw(StackFull); // push element onto stack
 void pop() throw(StackEmpty); // pop the stack
 // ...housekeeping functions omitted
private:
 E* S; // member data
 int capacity; // array of stack elements
 int t; // stack capacity
}; // index of the top of the stack
```

# Array-Based Stack - C++ Implementation

```
template <typename E> ArrayStack<E>::ArrayStack(int cap)
: S(new E[cap]), capacity(cap), t(-1) {} // constructor from capacity

template <typename E> int ArrayStack<E>::size() const
{ return (t + 1); } // number of items in the stack

template <typename E> bool ArrayStack<E>::empty() const
{ return (t < 0); } // is the stack empty?

template <typename E> // return top of stack
const E& ArrayStack<E>::top() const throw(StackEmpty) {
 if (empty()) throw StackEmpty("Top of empty stack");
 return S[t];
}

template <typename E> // push element onto the stack
void ArrayStack<E>::push(const E& e) throw(StackFull) {
 if (size() == capacity) throw StackFull("Push to full stack");
 S[++t] = e;
}

template <typename E> // pop the stack
void ArrayStack<E>::pop() throw(StackEmpty) {
 if (empty()) throw StackEmpty("Pop from empty stack");
 --t;
}
```

# Array-Based Stack - C++ Implementation

- Example use:

```
ArrayStack<int> A;
A.push(7);
A.push(13);
cout << A.top() << endl; A.pop();
A.push(9);
cout << A.top() << endl;
cout << A.top() << endl; A.pop();
ArrayStack<string> B(10);
B.push("Bob");
B.push("Alice");
cout << B.top() << endl; B.pop();
B.push("Eve");
```

// A = [], size = 0  
// A = [7\*], size = 1  
// A = [7, 13\*], size = 2  
// A = [7\*], outputs: 13  
// A = [7, 9\*], size = 2  
// A = [7, 9\*], outputs: 9  
// A = [7\*], outputs: 9  
// B = [], size = 0  
// B = [Bob\*], size = 1  
// B = [Bob, Alice\*], size = 2  
// B = [Bob\*], outputs: Alice  
// B = [Bob, Eve\*], size = 2

# Array-Based Stack - Performance

- Performance:
  - Let  $n$  be the number of elements in the stack
  - The space used is  $O(n)$
  - Each operation runs in time  $O(1)$
- Limitations:
  - The maximum size of the stack must be defined a priori and cannot be changed
  - Trying to push a new element into a full stack causes an implementation-specific exception

| <i>Operation</i> | <i>Time</i> |
|------------------|-------------|
| size             | $O(1)$      |
| empty            | $O(1)$      |
| top              | $O(1)$      |
| push             | $O(1)$      |
| pop              | $O(1)$      |

# Generic Linked List-Based Implementation

- We have already developed:

- Generic Singly Linked List (Lecture of Feb 7)
- We use that SLinkedList class
  - S stores the stack values
  - n stores the number of elements on stack

```
typedef string Elem;
class LinkedStack {
public:
 LinkedStack();
 int size() const;
 bool empty() const;
 const Elem& top() const throw(StackEmpty); // the top element
 void push(const Elem& e);
 void pop() throw(StackEmpty);
private:
 SLinkedList<Elem> S;
 int n;
};
```

- We assumed elements were strings, now we want arbitrary element types
- It is easy using C++'s Template mechanism

```
class SinglyNode {
 friend class StringLinkedList;
};

template <typename E>
class Node {
 friend class SLinkedList<E>;
};
```

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```
// singly linked list node
class Node {
public:
 Node* next;
 E elem;
};

template <typename E>
class SLinkedList {
public:
 SLinkedList();
 int size();
 bool empty() const;
 const E& top() const throw(StackEmpty);
 void push(E elem);
 void pop() throw(StackEmpty);
private:
 Node* head;
 int n;
};
```

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# Generic Linked List-Based Implementation

```
LinkedStack::LinkedStack()
: S(), n(0) {} // constructor

int LinkedStack::size() const // number of items in the stack
{ return n; }

bool LinkedStack::empty() const // is the stack empty?
{ return n == 0; }

const Elem& LinkedStack::top() const throw(StackEmpty) {
 if (empty()) throw StackEmpty("Top of empty stack");
 return S.front();
}

void LinkedStack::push(const Elem& e) { // push element onto stack
 ++n;
 S.addFront(e);
}

void LinkedStack::pop() throw(StackEmpty) { // pop the stack
 if (empty()) throw StackEmpty("Pop from empty stack");
 --n;
 S.removeFront();
}
```

# Parentheses Matching Problem

- Each "(", "{", or "[" must be paired with a matching ")", "}", or "[
  - correct: ()(( )){{( ( ))}}
  - correct: ((( ( ) ( )){{( ( ))}})
  - **incorrect:** )(( )){{( ( ))}}
  - **incorrect:** ({{[ ]}})
  - **incorrect:** (

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  - **incorrect:** )( ( )){{[ [ ]]})
  - **incorrect:** ({{[ ]]})
  - **incorrect:** (

**Algorithm** ParenMatch( $X, n$ ):

**Input:** An array  $X$  of  $n$  tokens, each of which is either a grouping symbol, a variable, an arithmetic operator, or a number  
**Output:** true if and only if all the grouping symbols in  $X$  match

Let  $S$  be an empty stack

for  $i \leftarrow 0$  to  $n - 1$  do

- if  $X[i]$  is an opening grouping symbol then
  - $S.push(X[i])$
- else if  $X[i]$  is a closing grouping symbol then
  - if  $S.empty()$  then
    - return false
  - {nothing to match with}
  - if  $S.top()$  does not match the type of  $X[i]$  then
    - return false
  - {wrong type}
- $S.pop()$

if  $S.empty()$  then

- return true

else

- return false

{some symbols were never matched}



# Questions?

MECHTRON 2MD3

Data Structures and Algorithms for Mechatronics

Winter 2022

# 19 Queues

Department of Computing and Software

Instructor:

Omid Isfahani Alamdari

March 7, 2022

# Stack Example - Parentheses Matching Problem

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# Stack Example - Parentheses Matching Problem

- Each "(", "{", or "[" must be paired with a matching ")", "}", or "[", respectively
  - correct: ( )(( )){{( )}}{}
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**Algorithm** ParenMatch( $X, n$ ):

**Input:** An array  $X$  of  $n$  tokens, each of which is either a grouping symbol, a variable, an arithmetic operator, or a number  
**Output:** true if and only if all the grouping symbols in  $X$  match

Let  $S$  be an empty stack

for  $i \leftarrow 0$  to  $n - 1$  do

- if  $X[i]$  is an opening grouping symbol then
  - $S.push(X[i])$
- else if  $X[i]$  is a closing grouping symbol then
  - if  $S.empty()$  then
    - return false
  - {nothing to match with}
  - if  $S.top()$  does not match the type of  $X[i]$  then
    - return false
  - {wrong type}
- $S.pop()$

if  $S.empty()$  then

- return true

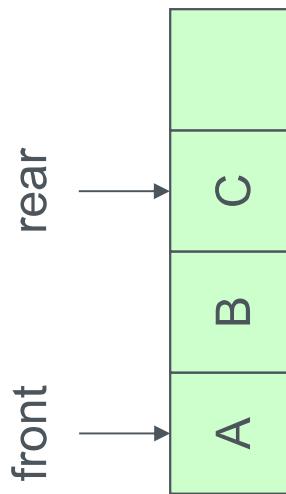
else

- return false

{some symbols were never matched}

# Queue

- A queue is a container of elements that are inserted and removed according to the First-In First-Out (FIFO) principle.
  - Elements enter queue at the **rear** and are removed from the **front**
- “push” adds a new item on top of the stack.



# Queue and its Applications

- Applications
  - Waiting lists, bureaucracy
  - Access to shared resources (e.g., printer)
  - Multiprogramming
  - As a data structure for algorithms to solve problems
    - BFS(Breadth First Search) for graphs
  - Component of other data structures

# The Queue ADT

- The Queue ADT stores arbitrary objects
- Main stack operations:
  - enqueue(e): Insert element **e** at the rear of the queue
  - dequeue(): Remove element at the front of the queue; an error occurs if the queue is empty.
  - front(): Return, **but do not remove**, a reference to the front element in the queue; an error occurs if the queue is empty.
  - size(): Return the number of elements in the queue
  - empty(): Return **true** if the queue is empty and **false** otherwise.

```
template <typename E>
class Queue {
public:
 int size() const;
 bool empty() const;
 const E& front() const throw(QueueEmpty);
 void enqueue (const E& e);
 void dequeue() throw(QueueEmpty);
};
```

# Exceptions for Queue ADT

- In the Queue ADT, operations **dequeue** and **front** cannot be performed if the Queue is empty
- Attempting **dequeue** or **front** on an empty queue throws a **QueueEmpty** exception

```
class QueueEmpty : public RuntimeException {
public:
 QueueEmpty(const string& err) : RuntimeException(err) {}
};
```

```
class RuntimeException { // generic run-time exception
private:
 string errorMsg;
public:
 RuntimeException(const string& err) { errorMsg = err; }
 string getMessage() const { return errorMsg; }
};
```

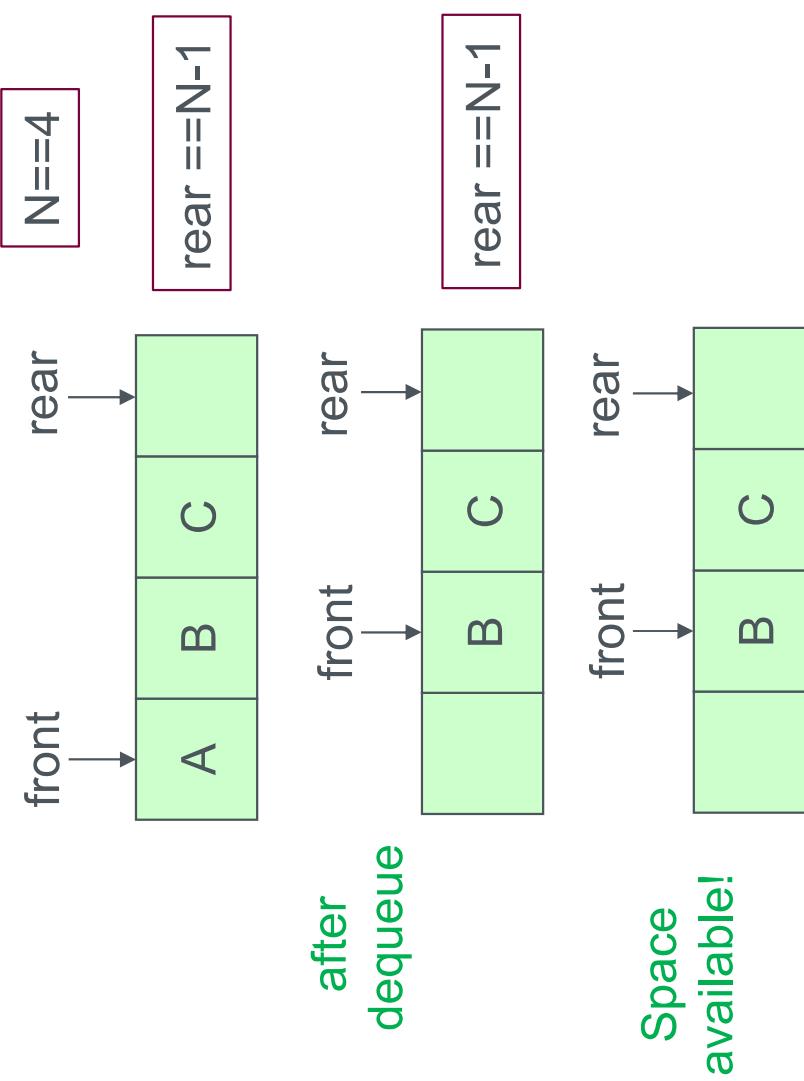
# Array-Based Queue

- An array with capacity  $N$  stores elements
- Two variables keeps track of the index of the front and rear element;
- $f$ : index of front element
- $r$ : index of element following rear element

- The  $0 \ 1 \ 2 \ , \ f \ , \ \dots \ , \ r \ N-1$  s may become **full**
- A enqueue operation will then throw a **QueueFull** exception

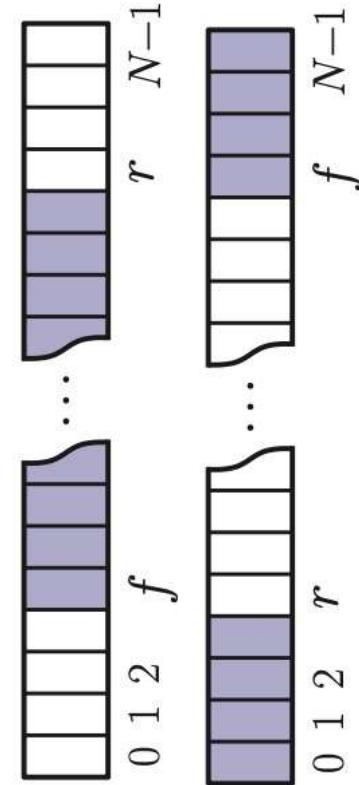
# Array-Based Queue - An Issue

- **f:** index of front element
- **r:** index of element following rear element
- We can run into problem



# Array-Based Queue - Circular Array

- An array with capacity  $N$  stores elements
- **f:** index of front element
- **r:** index of element following rear element
- Each time we increment **f** or **r**, we simply need to compute this increment as:
  - $(f + 1) \bmod N$
  - $(r + 1) \bmod N$
- modulo operator in C++ is %



**Algorithm** size():

    return  $n$

**Algorithm** empty():

    return ( $n = 0$ )

**Algorithm** front():

    if empty() **then**

        throw QueueEmpty exception

    return  $Q[f]$

**Algorithm** dequeue():

    if empty() **then**

        throw QueueEmpty exception

$f \leftarrow (f + 1) \bmod N$

$n = n - 1$

**Algorithm** enqueue( $e$ ):

    if size() =  $N$  **then**

        throw QueueFull exception

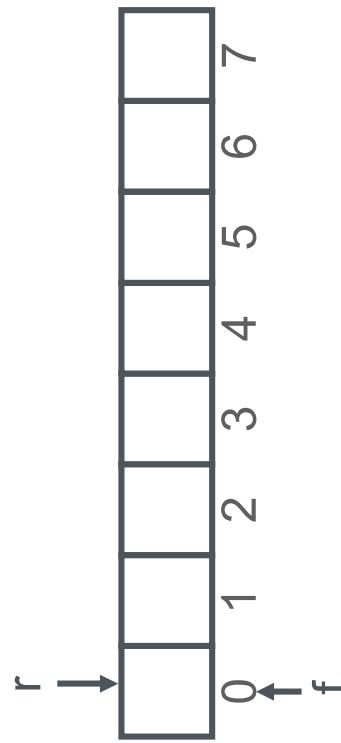
$Q[r] \leftarrow e$

$r \leftarrow (r + 1) \bmod N$

$n = n + 1$

# Array-Based Queue - Circular Array

```
Enq (A)
Enq (B)
Enq (C)
Enq (D)
Deq ()
Deq ()
Deq ()
Enq (E)
Enq (F)
Enq (G)
Enq (H)
Enq (I)
```



# Array-Based Queue - Circular Array

► Enq (A)

Enq (B)

Enq (C)

Enq (D)

Deq ()

Deq ()

Deq ()

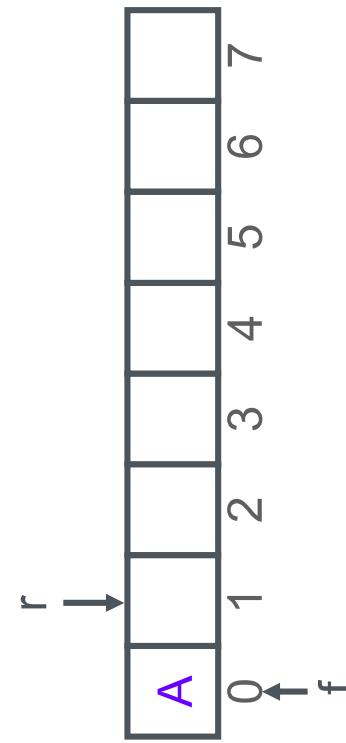
Enq (E)

Enq (F)

Enq (G)

Enq (H)

Enq (I)



# Array-Based Queue - Circular Array

Enq (A)

► Enq (B)

Enq (C)

Enq (D)

Deq ()

Deq ()

Deq ()

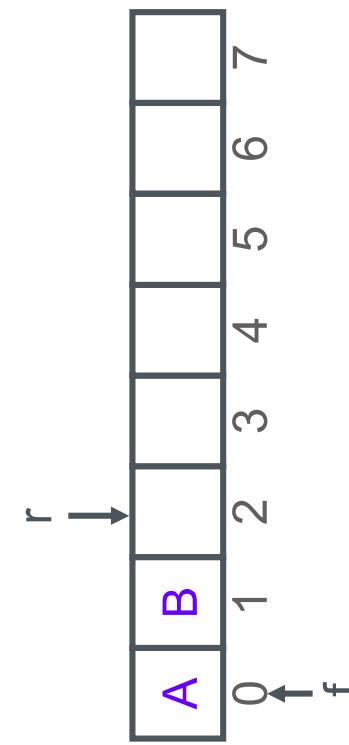
Enq (E)

Enq (F)

Enq (G)

Enq (H)

Enq (I)



# Array-Based Queue - Circular Array

Enq (A)

Enq (B)

► Enq (C)

Enq (D)

Deq ()

Deq ()

Deq ()

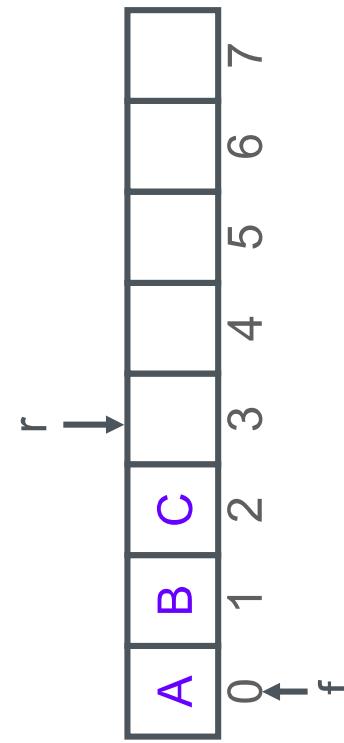
Enq (E)

Enq (F)

Enq (G)

Enq (H)

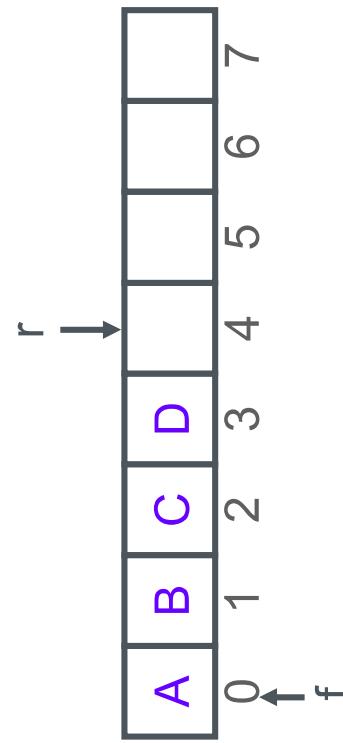
Enq (I)



# Array-Based Queue - Circular Array

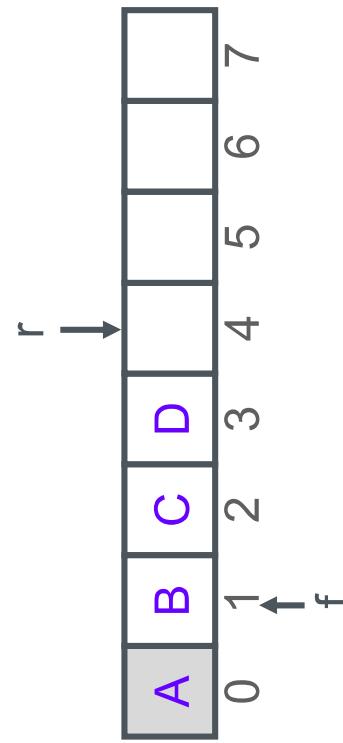
Enq (A)  
Enq (B)  
Enq (C)  
► Enq (D)

Deq ()  
Deq ()  
Deq ()  
Enq (E)  
Enq (F)  
Enq (G)  
Enq (H)  
Enq (I)



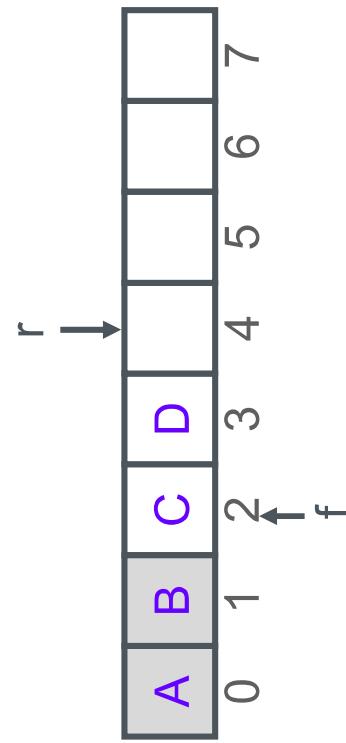
# Array-Based Queue - Circular Array

```
Enq (A)
Enq (B)
Enq (C)
Enq (D)
► Deq ()
Deq ()
Deq ()
Enq (E)
Enq (F)
Enq (G)
Enq (H)
Enq (I)
```



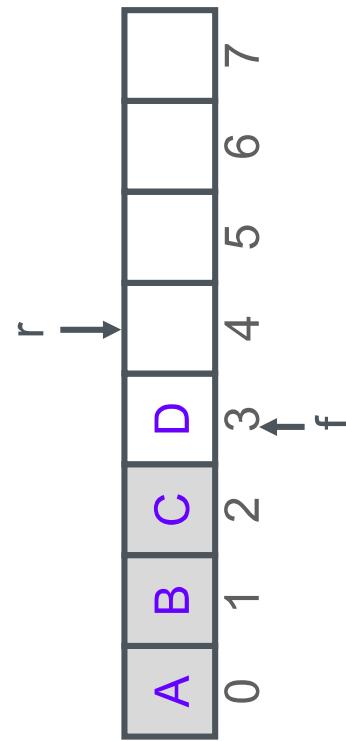
# Array-Based Queue - Circular Array

```
Enq (A)
Enq (B)
Enq (C)
Enq (D)
Deq ()
► Deq ()
Deq ()
Enq (E)
Enq (F)
Enq (G)
Enq (H)
Enq (I)
```



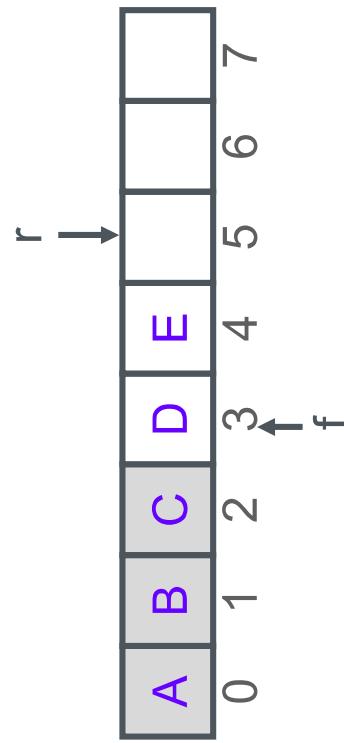
# Array-Based Queue - Circular Array

```
Enq (A)
Enq (B)
Enq (C)
Enq (D)
Deq ()
Deq ()
► Deq ()
Enq (E)
Enq (F)
Enq (G)
Enq (H)
Enq (I)
```



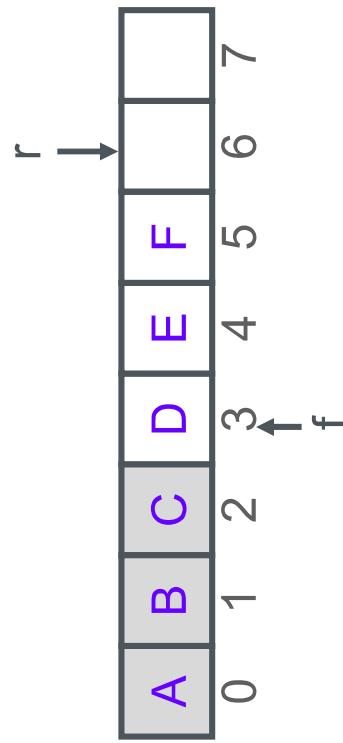
# Array-Based Queue - Circular Array

```
Enq (A)
Enq (B)
Enq (C)
Enq (D)
Deq ()
Deq ()
Deq ()
► Enq (E)
Enq (F)
Enq (G)
Enq (H)
Enq (I)
```



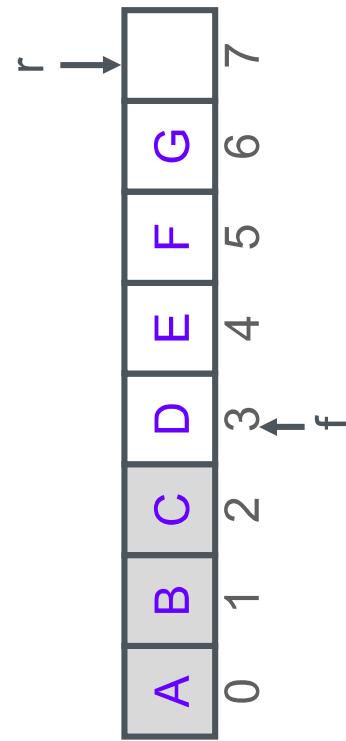
# Array-Based Queue - Circular Array

```
Enq (A)
Enq (B)
Enq (C)
Enq (D)
Deq ()
Deq ()
Deq ()
Enq (E)
► Enq (F)
Enq (G)
Enq (H)
Enq (I)
```



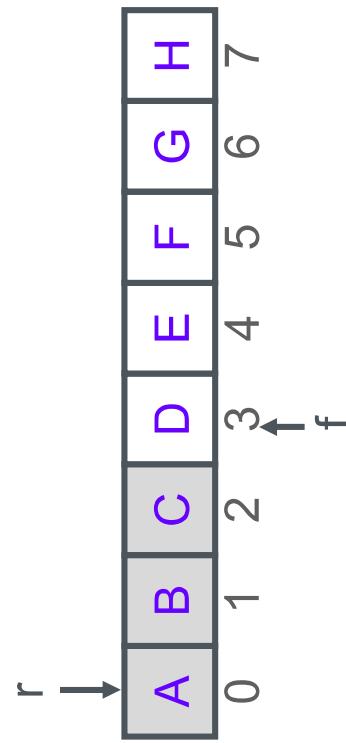
# Array-Based Queue - Circular Array

```
Enq (A)
Enq (B)
Enq (C)
Enq (D)
Deq ()
Deq ()
Deq ()
Enq (E)
Enq (F)
Enq (G)
Enq (H)
Enq (I)
```



# Array-Based Queue - Circular Array

```
Enq (A)
Enq (B)
Enq (C)
Enq (D)
Deq ()
Deq ()
Deq ()
Enq (E)
Enq (F)
Enq (G)
► Enq (H)
Enq (I)
```



# Array-Based Queue - Circular Array

Enq (A)

Enq (B)

Enq (C)

Enq (D)

Deq ()

Deq ()

Deq ()

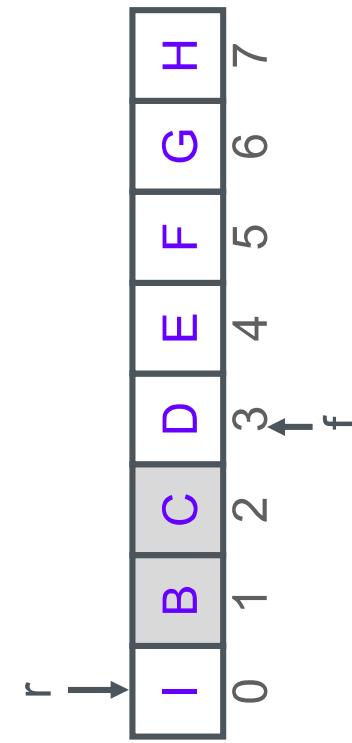
Enq (E)

Enq (F)

Enq (G)

Enq (H)

▶ Enq (I)



# Array-Based Queue - Performance

- Performance:
  - Let  $n$  be the number of elements in the Queue
  - The space used is  $O(N)$ 
    - this is independent of the number of elements  $n$
  - Each operation runs in time  $O(1)$
- Limitations:
  - The maximum size of the Queue must be defined beforehand and cannot be changed
    - Trying to enqueue a new element into a full queue causes an implementation-specific exception (QueueFull Exception)

# Circularly Linked List-Based Implementation

- We use that CircleList class

- C stores the Queue elements
  - n stores the number of elements on Queue

```
typedef string Elem; // queue element type
class LinkedQueue { // queue as doubly linked list

public:
 LinkedQueue(); // constructor
 int size() const; // number of items in the queue
 bool empty() const; // is the queue empty?
 const Elem& front() const throw(QueueEmpty); // the front element
 void enqueue(const Elem& e); // enqueue element at rear
 void dequeue() throw(QueueEmpty); // dequeue element at front
private:
 CircleList C; // member data
 int n; // circular list of elements
};
```

# Circularly Linked List-Based Implementation

```
LinkedQueue::LinkedQueue() // constructor
: C(), n(0) { }

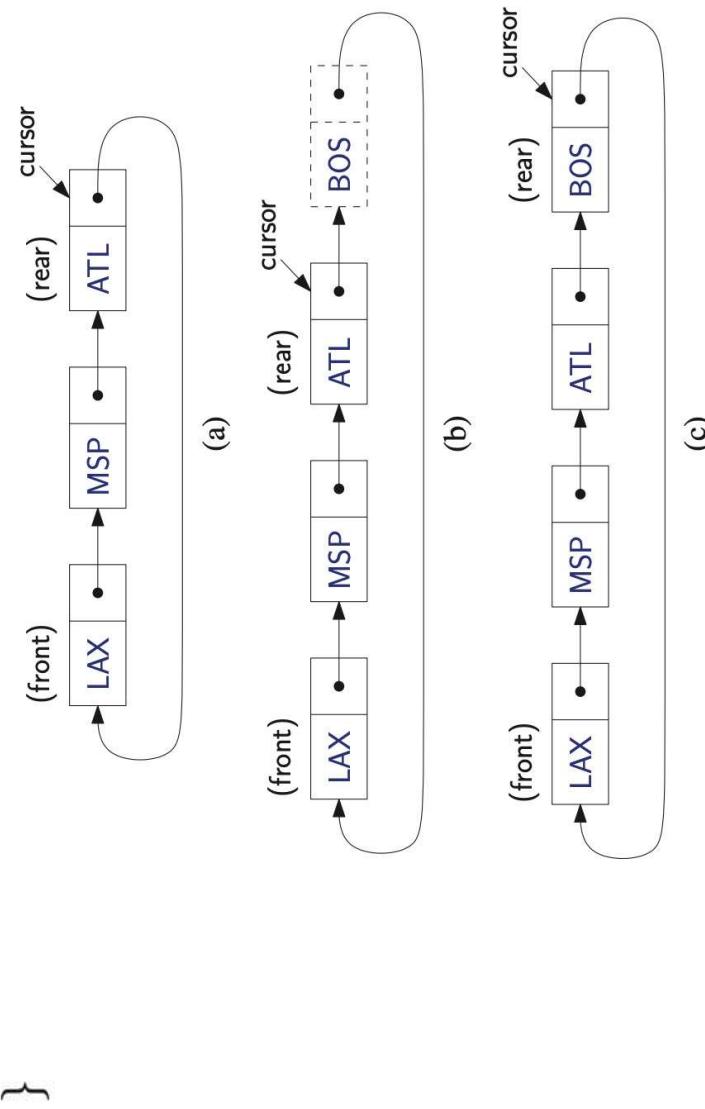
int LinkedQueue::size() const // number of items in the queue
{ return n; }

bool LinkedQueue::empty() const // is the queue empty?
{ return n == 0; }

const Elem& LinkedQueue::front() const throw(QueueEmpty) {
 if (empty())
 throw QueueEmpty("front of empty queue");
 return C.front(); // list front is queue front
}
```

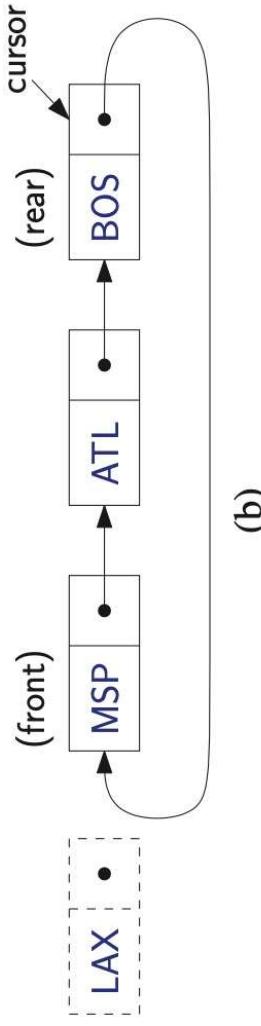
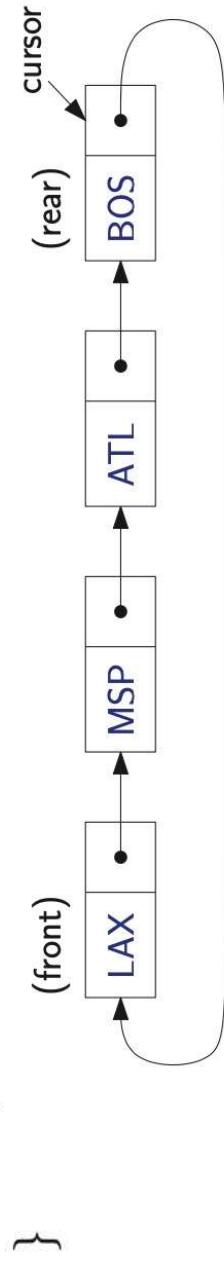
# Circularly Linked List-Based Implementation

```
void LinkedQueue::enqueue(const Elem& e) {
 // enqueue element at rear
 C.add(e);
 C.advance();
 // insert after cursor
 // ...and advance
 n++;
}
```



# Circularly Linked List-Based Implementation

```
// dequeue element at front
void LinkedQueue::dequeue() throw(QueueEmpty) {
 if (empty())
 throw QueueEmpty("dequeue of empty queue");
 C.remove();
 n--;
}
```



# Questions?

MECHTRON 2MD3

Data Structures and Algorithms for Mechatronics

Winter 2022

# 19 Queues

Department of Computing and Software

Instructor:

Omid Isfahani Alamdari

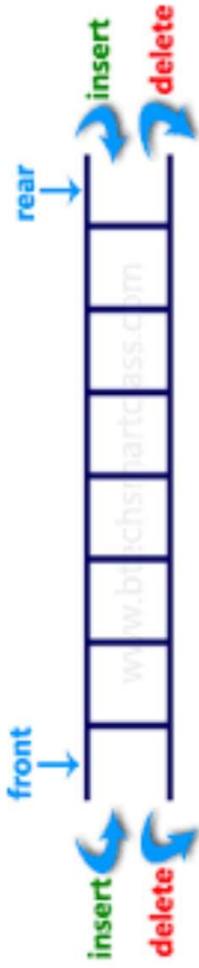
March 7, 2022

# *Admin.*

- One more day for the assignment 2!

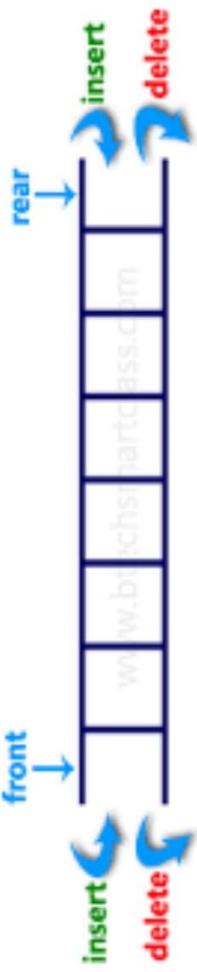
# Double-Ended Queues

- Double-Ended Queues (sometimes pronounced like "deck")
  - supports insertion and deletion at both the front and the rear of the queue
- **insertFront(e):** Insert a new element e at the beginning of the deque.
- **insertBack(e):** Insert a new element e at the end of the deque.
- **eraseFront():** Remove the first element of the deque; an error occurs if the deque is empty.
- **eraseBack():** Remove the last element of the deque; an error occurs if the deque is empty.
- **front():** Return the first element of the deque; an error occurs if the deque is empty.
- **back():** Return the last element of the deque; an error occurs if the deque is empty.
- **size():** Return the number of elements of the deque.
- **empty():** Return true if the deque is empty and false otherwise.



# Double-Ended Queues

- Double-Ended Queues (sometimes pronounced like "deck")
  - supports insertion and deletion at both the front and the rear of the queue



- A running example:

| Operation      | Output | D     |
|----------------|--------|-------|
| insertFront(3) | -      | (3)   |
| insertFront(5) | -      | (5,3) |
| front()        | 5      | (5,3) |
| eraseFront()   | -      | (3)   |
| insertBack(7)  | -      | (3,7) |
| back()         | 7      | (3,7) |
| eraseFront()   | -      | (7)   |
| eraseBack()    | -      | ()    |

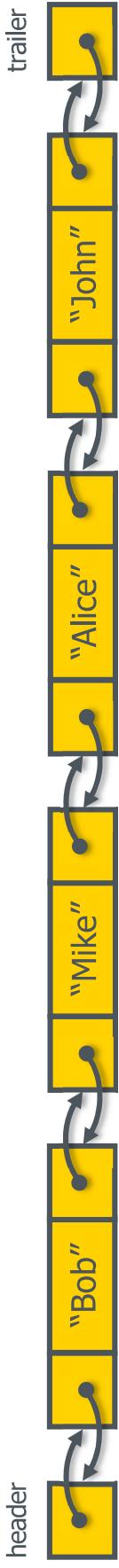
# Implementation with Doubly Linked List



- We will use the functionalities provided by the DLL to implement `LinkedDeque`'s functions. We have seen this pattern a few times before.

```
typedef string Elem;
class LinkedDeque {
public:
 LinkedDeque(); // constructor
 int size() const; // number of items in the deque
 bool empty() const; // is the deque empty?
 const Elem& front() const throw(DequeEmpty); // the first element
 const Elem& back() const throw(DequeEmpty); // the last element
 void insertFront(const Elem& e); // insert new first element
 void insertBack(const Elem& e); // insert new last element
 void removeFront() throw(DequeEmpty); // remove first element
 void removeBack() throw(DequeEmpty); // remove last element
private:
 DLinkedList D; // member data
 int n; // linked list of elements
};
```

# Implementation with Doubly Linked List



- We will use the functionalities provided by the DLL to implement `LinkedDeque`'s functions. We have seen this pattern a few times before.
- Performance of a deque realized by a doubly linked list.

```
void LinkedDeque::insertFront(const Elem& e) {
 D.addFront(e);
 n++;
}

void LinkedDeque::insertBack(const Elem& e) {
 D.addBack(e);
 n++;
}

void LinkedDeque::removeFront() throw(DequeueEmpty) {
 if (empty())
 throw DequeueEmpty("removeFront of empty deque");
 D.removeFront();
 n--;
}

void LinkedDeque::removeBack() throw(DequeueEmpty) {
 if (empty())
 throw DequeueEmpty("removeBack of empty deque");
 D.removeBack();
 n--;
}
```

| Operation               | Time   |
|-------------------------|--------|
| size                    | $O(1)$ |
| empty                   | $O(1)$ |
| front, back             | $O(1)$ |
| insertFront, insertBack | $O(1)$ |
| eraseFront, eraseBack   | $O(1)$ |

- The space used usage is  $O(n)$

# Adapter Design Pattern

- Design pattern: which describes a solution to a “typical” software design problem.
  - provides a general template for a solution that can be applied in many different situations.
  - describes the main elements of a solution in an abstract way that can be specialized for a specific problem at hand.
- In Algorithms:
  - Recursion
  - Using Stack to solve problems
- In Software Engineering
  - Adapter pattern
  - Iterator pattern
- You remember from previous `LinkedDeque`, and also `Circular Linked List-based implementation of Queue`

# Adapter Design Pattern

- You remember from previous `LinkedDeque`, and also Circular Linked List-based implementation of Queue that we took an existing data structure and **adapted** it
  - E.g. we added size `n`
  - We added operations that are meaningful for the new data structure
  - For the operations, we have simply **mapped** each deque operation to the corresponding operation of `DLinkedList`.
  - An adapter (also called a wrapper) is a data structure that translates one interface to another.
  - e.g.: In the `LinkedDeque` implementation:
    - deque operation `insertFront` is mapped to the corresponding operation of `DLinkedList addFront`

# Adapter Design Pattern

- Implementing a Stack using Deque:

```
typedef string Elem;
class DequeStack {
public:
 DequeStack();
 int size() const; // element type
 bool empty() const; // stack as a deque
 const Elem& top() const throw(StackEmpty); // number of elements
 void push(const Elem& e); // is the stack empty?
 void pop() throw(StackEmpty); // the top element
private:
 LinkedDeque D; // push element onto stack
}; // pop the stack
// deque of elements
```

```
DequeStack::DequeStack()
: D() {} // constructor
// number of elements
```

```
int DequeStack::size() const
{ return D.size(); } // is the stack empty?
```

```
bool DequeStack::empty() const
{ return D.empty(); } // is the stack empty?
```

```
// the top element
const Elem& DequeStack::top() const throw(StackEmpty) {
 if (empty())
 throw StackEmpty("top of empty stack");
 return D.front();
}
```

```
void DequeStack::push(const Elem& e) // push element onto stack
{ D.insertFront(e); } // push element onto stack
```

```
void DequeStack::pop() throw(StackEmpty) // pop the stack
{
 if (empty())
 throw StackEmpty("pop of empty stack");
 D.removeFront(); // pop the stack
}
```

## Stack Method      Deque Implementation

|         |                |
|---------|----------------|
| size()  | size()         |
| empty() | empty()        |
| top()   | front()        |
| push(o) | insertFront(o) |
| pop()   | eraseFront()   |

# Adapter Design Pattern

- Implementing a Queue using Deque:

| <i>Queue Method</i> | <i>Deque Implementation</i> |
|---------------------|-----------------------------|
| size()              | size()                      |
| empty()             | empty()                     |
| front()             | front()                     |
| enqueue( <i>e</i> ) | insertBack( <i>e</i> )      |
| dequeue()           | eraseFront()                |

- The operations are equally efficient.
- We have used and will use this design pattern many times.

# Standard Template Library (STL)

- The Standard Template Library (STL) is a collection of classes for common data structures. In addition to the string class, which we have seen many times, it also provides data structures for the following standard containers.
  - string (String class with all operations)
  - stack (Container with last-in, first-out access)
  - queue (Container with first-in, first-out access)
  - deque (Double-ended queue)
  - vector (Resizable array)
  - list (Doubly linked list)
  - priority queue (Queue ordered by value)
  - set (Set)
  - map Associative array (dictionary)

# Standard Template Library (STL)

- The Standard Template Library (STL) is a collection of classes for common data structures. In addition to the string class, which we have seen many times, it also provides data structures for the following standard containers.

- string:

|                    |                                                                                                      |
|--------------------|------------------------------------------------------------------------------------------------------|
| s.find(p)          | Return the index of first occurrence of string <i>p</i> in <i>s</i>                                  |
| s.find(p, i)       | Return the index of first occurrence of string <i>p</i> in <i>s</i> on or after position <i>i</i>    |
| s.substr(i,m)      | Return the substring starting at position <i>i</i> of <i>s</i> and consisting of <i>m</i> characters |
| s.insert(i, p)     | Insert string <i>p</i> just prior to index <i>i</i> in <i>s</i>                                      |
| s.erase(i, m)      | Remove the substring of length <i>m</i> starting at index <i>i</i>                                   |
| s.replace(i, m, p) | Replace the substring of length <i>m</i> starting at index <i>i</i> with <i>p</i>                    |
| getline(is, s)     | Read a single line from the input stream <i>is</i> and store the result in <i>s</i>                  |

```
#include <string>
using std::string;
// ...
string s = "to be";
string t = "not " + s;
string u = s + " or " + t;
if (s > t)
 cout << u;
// t = "not to be"
// u = "to be or not to be"
// true: "to be" > "not to be"
// outputs "to be or not to be"

string s = "John";
int i = s.size();
char c = s[3];
s += " Smith";
// now s = "John Smith"
```

# Standard Template Library (STL)

- The Standard Template Library (STL) is a collection of classes for common data structures. In addition to the string class, which we have seen many times, it also provides data structures for the following standard containers.

- vector:

```
#include <vector>
using namespace std; // make std accessible

vector<int> scores(100); // 100 integer scores
vector<char> buffer(500); // buffer of 500 characters
vector<Passenger> passenList(20); // list of 20 Passengers
```

# Standard Template Library (STL)

- The Standard Template Library (STL) is a collection of classes for common data structures. In addition to the string class, which we have seen many times, it also provides data structures for the following standard containers.

- stack:

```
#include <stack>
using std::stack;
stack<int> myStack;
```

|                   |                                                            |
|-------------------|------------------------------------------------------------|
| size():           | Return the number of elements in the stack.                |
| empty():          | Return true if the stack is empty and false otherwise.     |
| push( <i>e</i> ): | Push <i>e</i> onto the top of the stack.                   |
| pop():            | Pop the element at the top of the stack.                   |
| top():            | Return a reference to the element at the top of the stack. |

# Standard Template Library (STL)

- The Standard Template Library (STL) is a collection of classes for common data structures. In addition to the string class, which we have seen many times, it also provides data structures for the following standard containers.

- queue:

```
#include <queue>
using std::queue;
queue<float> myQueue;
```

- size()**: Return the number of elements in the queue.
- empty()**: Return true if the queue is empty and false otherwise.
- push(*e*)**: Enqueue *e* at the rear of the queue.
- pop()**: Dequeue the element at the front of the queue.
- front()**: Return a reference to the element at the queue's front.
- back()**: Return a reference to the element at the queue's rear.

# Standard Template Library (STL)

- The Standard Template Library (STL) is a collection of classes for common data structures. In addition to the string class, which we have seen many times, it also provides data structures for the following standard containers.

- deque:

```
#include <deque>
using std::deque;
deque<string> myDeque;
```

|                               |                                                        |
|-------------------------------|--------------------------------------------------------|
| <b>size()</b> :               | Return the number of elements in the deque.            |
| <b>empty()</b> :              | Return true if the deque is empty and false otherwise. |
| <b>push_front(<i>e</i>)</b> : | Insert <i>e</i> at the beginning the deque.            |
| <b>push_back(<i>e</i>)</b> :  | Insert <i>e</i> at the end of the deque.               |
| <b>pop_front()</b> :          | Remove the first element of the deque.                 |
| <b>pop_back()</b> :           | Remove the last element of the deque.                  |
| <b>front()</b> :              | Return a reference to the deque's first element.       |
| <b>back()</b> :               | Return a reference to the deque's last element.        |

# List and Sequence Containers

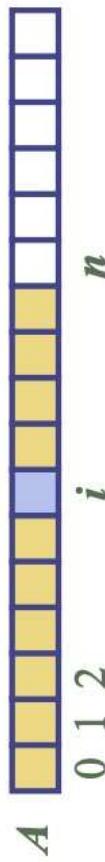
- Vector (also called Array List)
  - Access each element using a notion of index in  $[0, n-1]$
  - Index of element e: the number of elements that are before e
  - Typically we use the “index” (e.g., `[]`)
  - A more general ADT than “array”
- List
  - Not using an index to access, but use a node to access
  - Insert a new element e before some “position” p
  - A more general ADT than “linked list”
- Sequence
  - Can access an element as vector and list (using both index and position)

# The Vector ADT

- The Vector or Array List ADT extends the notion of array by storing a sequence of objects
- An element can be accessed, inserted or removed by specifying its index (number of elements preceding it)
- An exception is thrown if an incorrect index is given (e.g., a negative index)
  - Main methods:
    - $\text{at}(i)$ : Return the element of V with index  $i$ ; an error condition occurs if  $i$  is out of range.
    - $\text{set}(i, e)$ : Replace the element at index  $i$  with  $e$ ; an error condition occurs if  $i$  is out of range.
    - $\text{insert}(i, e)$ : Insert a new element  $e$  into V to have index  $i$ ; an error condition occurs if  $i$  is out of range.
    - $\text{erase}(i)$ : Remove from V the element at index  $i$ ; an error condition occurs if  $i$  is out of range.

# Array-based Implementation of Vector

- Use an array  $A$  of size  $N$
- A variable  $n$  keeps track of the size of the array list (number of elements stored)
  - Operation  $\text{at}(i)$  is implemented in  $O(1)$  time by returning  $A[i]$
  - Operation  $\text{set}(i, o)$  is implemented in  $O(1)$  time by performing  $A[i] = o$



$\text{at}(i)$ : Return the element of  $V$  with index  $i$ ; an error condition occurs if  $i$  is out of range.

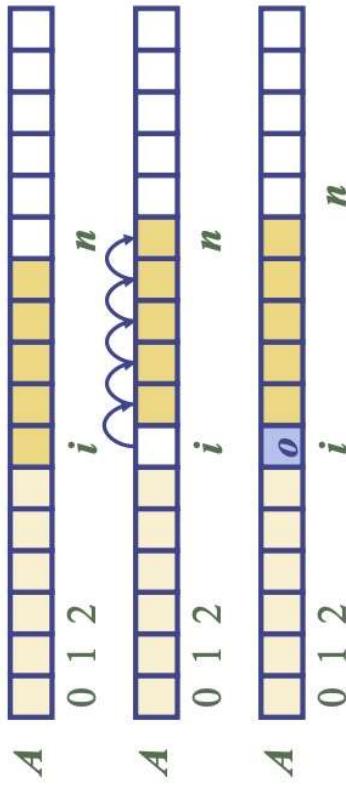
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# Array-based Implementation of Vector - Insertion

- In operation `insert(i, o)`, we need to make room for the new element by shifting forward the  $n - i$  elements  $A[i], \dots, A[n - 1]$ 
  - In the worst case ( $i = 0$ ), this takes  $O(n)$  time



`at(i)`: Return the element of  $V$  with index  $i$ ; an error condition occurs if  $i$  is out of range.

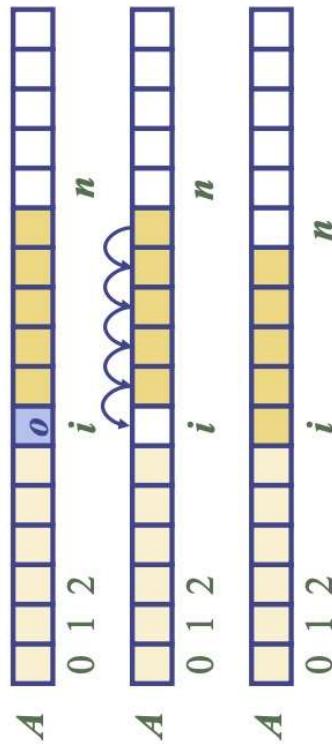
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# Array-based Implementation of Vector - Removal

- In operation `erase(i)`, we need to fill the hole left by the removed element by shifting backward the  $n - i - 1$  elements  $A[i + 1], \dots, A[n - 1]$ 
  - In the worst case ( $i = 0$ ), this takes  $O(n)$  time



`at(i)`: Return the element of  $V$  with index  $i$ ; an error condition occurs if  $i$  is out of range.

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# Array-based Implementation of Vector - Performance

- In the array-based implementation of an array list:
  - The space used by the data structure is  $O(n)$
  - `size`, `empty`, `at` and `set` run in  $O(1)$  time
  - `insert` and `erase` run in  $O(n)$  time in worst case
- If we use the array in a circular fashion, operations `insert(0, x)` and `erase(0, x)` run in  $O(1)$  time
- In an insert operation, when the array is full, instead of throwing an exception, we can replace the array with a larger one

| Operation                 | Time   |
|---------------------------|--------|
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| <code>empty()</code>      | $O(1)$ |
| <code>at(i)</code>        | $O(1)$ |
| <code>set(i, e)</code>    | $O(1)$ |
| <code>insert(i, e)</code> | $O(n)$ |
| <code>erase(i)</code>     | $O(n)$ |

# Comparison of the Strategies

- We compare the incremental strategy and the doubling strategy by analyzing the total time  $T(n)$  needed to perform a series of  $n$  insert( $\Theta$ ) operations
- We assume that we start with an empty stack represented by an array of size 1
- We call amortized time of an insert operation the average time taken by an insert over the series of operations, i.e.,  $T(n)/n$

# Incremental Strategy Analysis

- We replace the array  $k = n/c$  times
- The total time  $T(n)$  of a series of  $n$  insert operations is proportional to

$$n + c + 2c + 3c + 4c + \dots + kc =$$

$$n + c(1 + 2 + 3 + \dots + k) =$$

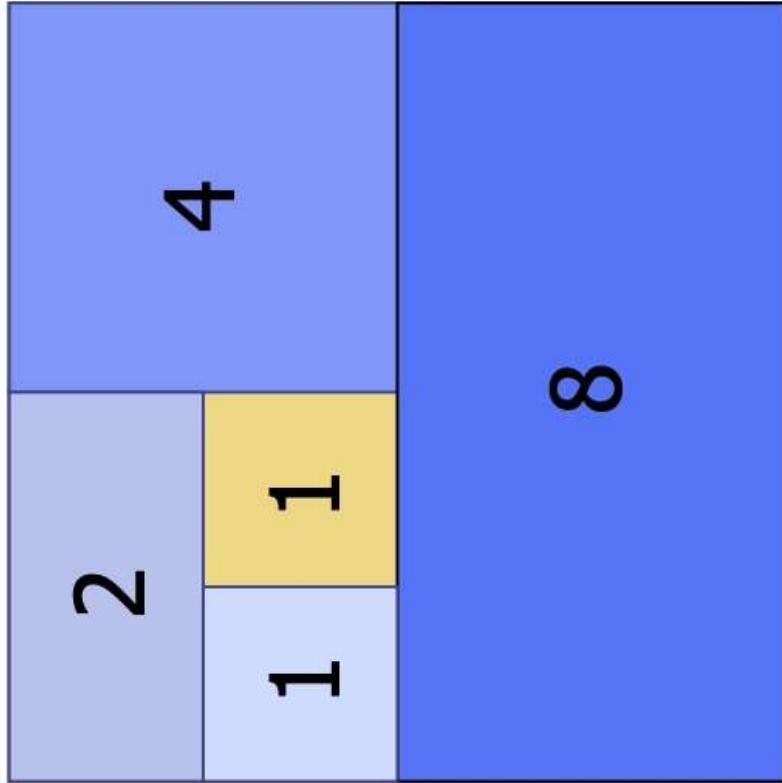
$$n + ck(k+1)/2$$

- Since  $c$  is a constant,  $T(n)$  is  $O(n + k^2)$ , i.e.,  $O(n^2)$
- The amortized time of an insert operation is  $O(n)$

# Doubling Strategy Analysis

- We replace the array  $k = \log_2 n$  times
- The total time  $T(n)$  of a series of  $n$  insert operations is proportional to
$$n + 1 + 2 + 4 + 8 + \dots + 2^k = \\ n + 2^{k+1} - 1 = \\ 3n - 1$$
- $T(n)$  is  $O(n)$
- The amortized time of an insert operation is  $O(1)$

## geometric series



# Questions?

MECHTRON 2MD3

Data Structures and Algorithms for Mechatronics

Winter 2022

# 21 Containers

Department of Computing and Software

Instructor:

Omid Isfahani Alamdari

March 10, 2022

# List and Sequence Containers

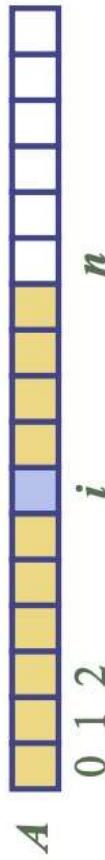
- Vector (also called Array List)
  - Access each element using a notion of index in  $[0, n-1]$
  - Index of element e: the number of elements that are before e
  - Typically we use the “index” (e.g., `[]`)
  - A more general ADT than “array”
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  - Can access an element as vector and list (using both index and position)

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# Array-based Implementation of Vector

- Use an array  $A$  of size  $N$
- A variable  $n$  keeps track of the size of the array list (number of elements stored)
  - Operation  $\text{at}(i)$  is implemented in  $O(1)$  time by returning  $A[i]$
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$\text{at}(i)$ : Return the element of  $V$  with index  $i$ ; an error condition occurs if  $i$  is out of range.

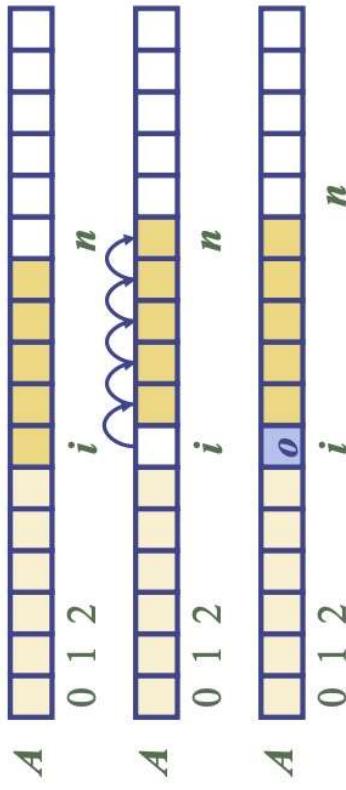
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- In operation `insert(i, o)`, we need to make room for the new element by shifting forward the  $n - i$  elements  $A[i], \dots, A[n - 1]$ 
  - In the worst case ( $i = 0$ ), this takes  $O(n)$  time



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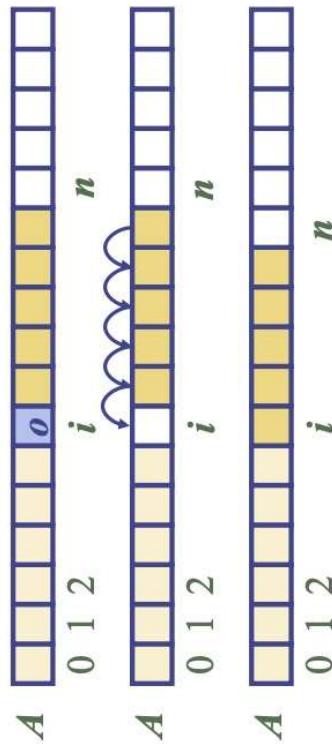
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# Array-based Implementation of Vector - Removal

- In operation `erase(i)`, we need to fill the hole left by the removed element by shifting backward the  $n - i - 1$  elements  $A[i + 1], \dots, A[n - 1]$ 
  - In the worst case ( $i = 0$ ), this takes  $O(n)$  time



`at( $i$ )`: Return the element of  $V$  with index  $i$ ; an error condition occurs if  $i$  is out of range.

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`erase( $i$ )`: Remove from  $V$  the element at index  $i$ ; an error condition occurs if  $i$  is out of range.

# Array-based Implementation of Vector in C++

```
typedef int Elem; // base element type
class ArrayVector {
public:
 ArrayVector(); // constructor
 int size() const; // number of elements
 bool empty() const; // is vector empty?
 Elem& operator[](int i); // element at index
 Elem& at(int i) throw(IndexOutOfBoundsException); // element at index
 void erase(int i); // remove element at index
 void insert(int i, const Elem& e); // insert element at index
 void reserve(int N); // reserve at least N spots
 // ... (housekeeping functions omitted)
private:
 int capacity; // current array size
 int n; // number of elements in vector
 Elem* A; // array storing the elements
};
```

# Array-based Implementation of Vector in C++

```
ArrayVector::ArrayVector() // constructor
: capacity(0), n(0), A(NULL) { }

int ArrayVector::size() const
{ return n; } // number of elements

bool ArrayVector::empty() const
{ return size() == 0; } // is vector empty?

Elem& ArrayVector::operator[](int i) // element at index
{ return A[i]; } // element at index (safe)

Elem& ArrayVector::at(int i) throw(IndexOutOfBoundsException) {
if (i < 0 || i >= n)
throw IndexOutOfBoundsException("illegal index in function at()");
return A[i];
}

void ArrayVector::erase(int i) {
for (int j = i+1; j < n; j++)
A[j - 1] = A[j];
n--;
} // remove element at index
 // shift elements down
 // one fewer element
```

# Array-based Implementation of Vector in C++

- The reserve function first checks whether the capacity already exceeds  $n$ , in which case nothing needs to be done.
- The insert function first checks whether there is sufficient capacity for one more element. If not, it sets the capacity to the maximum of 1 and twice the current capacity.

```
void ArrayVector::reserve(int N) {
 // reserve at least N spots
 // already big enough
 // allocate bigger array
 // copy contents to new array

 if (capacity >= N) return;
 Elem* B = new Elem[N];
 for (int j = 0; j < n; j++)
 B[j] = A[j];
 if (A != NULL) delete [] A;
 A = B;
 capacity = N;
}

void ArrayVector::insert(int i, const Elem& e) {
 // overflow?
 // double array size
 // shift elements up

 if (n >= capacity)
 reserve(max(1, 2 * capacity));
 for (int j = n - 1; j >= i; j--)
 A[j + 1] = A[j];
 A[i] = e;
 n++;
}
```

# Array-based Implementation of Vector - Performance

- In the array-based implementation of an array list:
  - The space used by the data structure is  $O(n)$
  - `size`, `empty`, `at` and `set` run in  $O(1)$  time
  - `insert` and `erase` run in  $O(n)$  time in worst case
- If we use the array in a circular fashion, operations `insert(0, x)` and `erase(0, x)` run in  $O(1)$  time
- In an insert operation, when the array is full, instead of throwing an exception, we can replace the array with a larger one

| Operation                 | Time   |
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| <code>size()</code>       | $O(1)$ |
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# Comparison of the Strategies to Resize Array

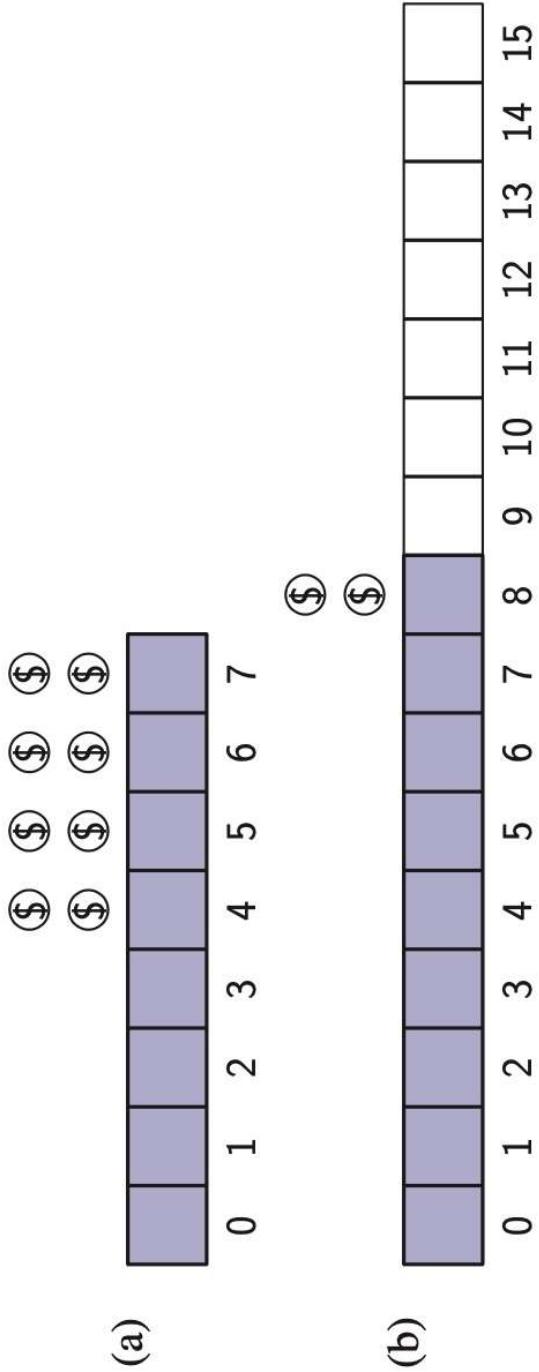
- Suppose we are doing only push operations
- We compare the incremental strategy and the doubling strategy by analyzing the total time  $T(n)$  needed to perform a series of  $n$  insert( $o$ ) operations
- How large should the new array be?
  - Incremental strategy: increase the size by a constant  $c$
  - Doubling strategy: double the size

```
Algorithm insert(o)
 if $t = S.length - 1$ then
 $A \leftarrow$ new array of
 size ...
 for $i \leftarrow 0$ to $n-1$ do
 $A[i] \leftarrow S[i]$
 $S \leftarrow A$
 $n \leftarrow n + 1$
 $S[n-1] \leftarrow o$
```

- We assume that we start with an empty array of size 2
- We call **amortized time** of an insert operation the average time taken by an insert over the series of operations, i.e.,  $T(n)/n$

# Comparison of the Strategies to Resize Array

- Amortizations:
  - Certain operations may be extremely costly
  - But they cannot occur frequently enough to slow down the entire program
- The less costly operations far outnumber the costly one
  - Thus, over the long term they are “paying back”



# Comparison of the Strategies to Resize Array

- Amortizations:
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    - The less costly operations far outnumber the costly one
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- The idea:
  - The worst-case operation can alter the state in such a way that “the worst case cannot occur again for a long time.”
    - Thus, amortizing its cost

# Comparison of the Strategies to Resize Array

- Suppose we are doing only push operations
- total time  $T(n)$ : to perform a series of  $n$  insert( $o$ ) operations
- Remember that every push (storing an element) takes 1 unit of time.  
After all we will have  $n$  pushes.
- Each array resize needs a time proportional to the size of the old array
- What is the time for  $n$  push operation
- For simplicity, we start with an array of capacity 2 and size zero and grow it dynamically
- We have to identify how many times the array resizes
- We call amortized time of an insert operation the average time taken by an insert over the series of operations, i.e.,  $T(n)/n$

# Incremental Strategy Analysis

- We replace the array  $k = n/c$  times
  - Suppose if you want to increment its size by 2, adding two more spaces.
- We suppose  $c = 2$ . There will be  $k=n/2$  array resizes.
- For the incremental approach, each time we go past our capacity ( $k=(n/c)=(n/2)$ ) times, we will increase capacity by  $c=2$  and we will have to copy the stuff already in the array into the new array.
- Assuming each item we copy requires 1 time unit.
- For 2 items: 2 units of time
- For 4 items: 4 units of time
- For 6 items: 6 units of time
- We the have need  $2 + 4 + 6 + 8 + \dots + 2^*k$  units of time
- Total time =  $n + 2 + 4 + 6 + 8 + \dots + 2^*k = n + c(1+2+\dots+k)$ .
- Since  $c$  is a constant,  $T(n)$  is  $O(n + k^2)$ , i.e.,  $O(n^2)$
- The amortized time of an insert operation is  $O(n^2)$  /n which is  $O(n)$

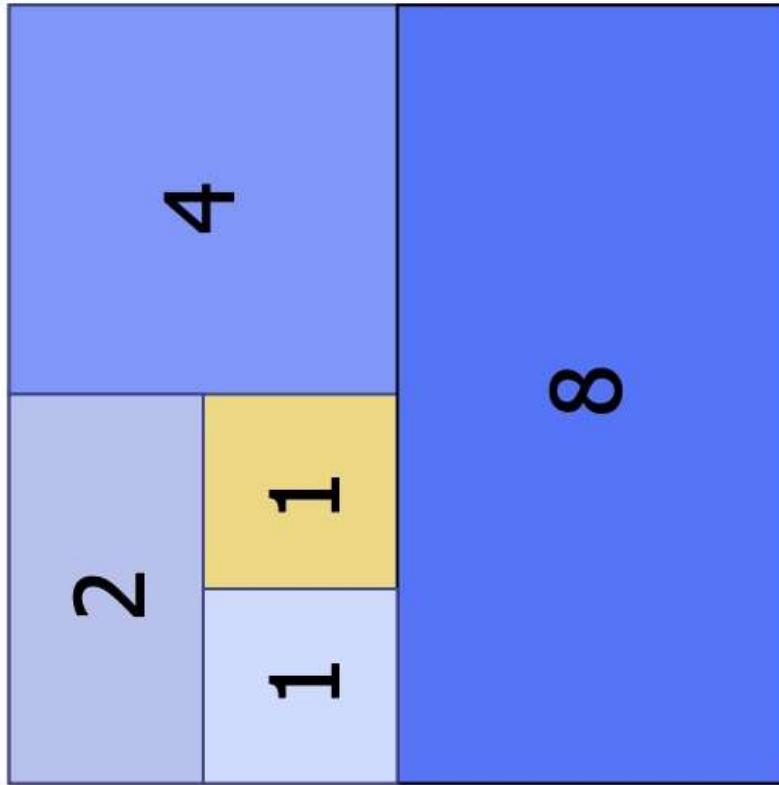
# Incremental Strategy Analysis

- We replace the array  $k = n/c$  times
  - Suppose if you want to increment its size by 2, adding two more spaces. There will be  $k=n/2$  array resizes.
- The total time  $T(n)$  of a series of  $n$  insert operations is proportional to
$$n + c + 2c + 3c + 4c + \dots + kc =$$
$$n + c(1 + 2 + 3 + \dots + k) =$$
$$n + ck(k + 1)/2$$
- Since  $c$  is a constant,  $T(n)$  is  $O(n + k^2)$ , i.e.,  $O(n^2)$
- The amortized time of an insert operation is  $O(n)$

## Doubling Strategy Analysis

- We replace the array  $k = \log_2 n$  times
- The total time  $T(n)$  of a series of  $n$  insert operations is proportional to
$$n + 2 + 4 + 8 + \dots + 2^k = \\ n + 2^{k+1} - 1 = \\ 3n - 1$$
- $T(n)$  is  $O(n)$
- The amortized time of an insert operation is  $O(1)$

## geometric series

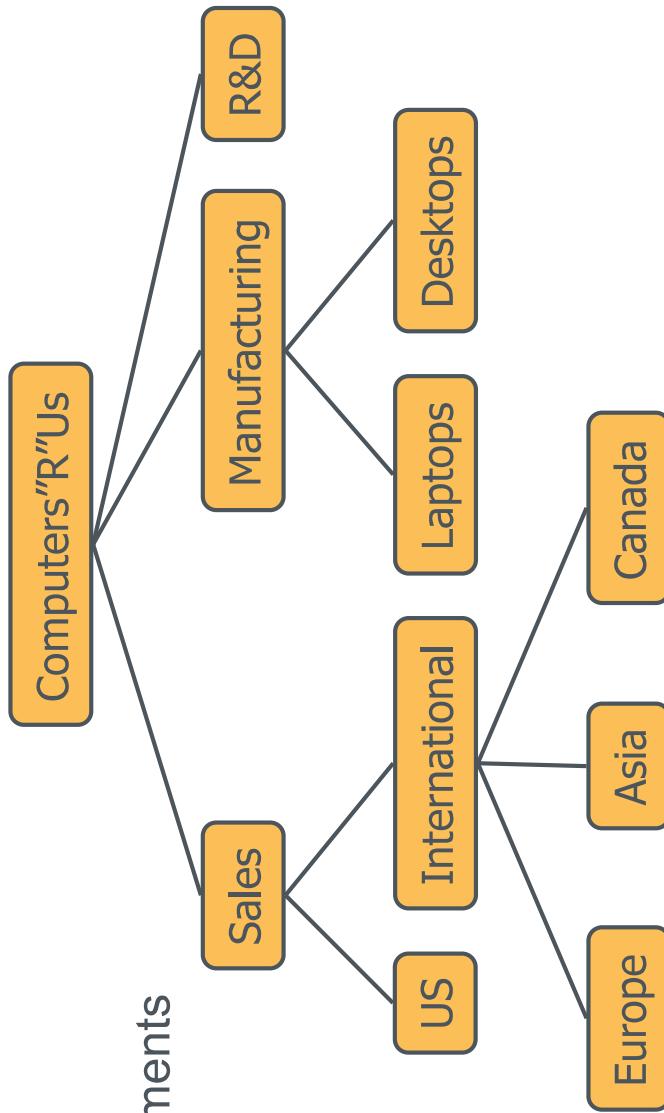


# Trees

- In computer science, a tree is an abstract model of a hierarchical structure
- A tree consists of nodes with a parent-child relation

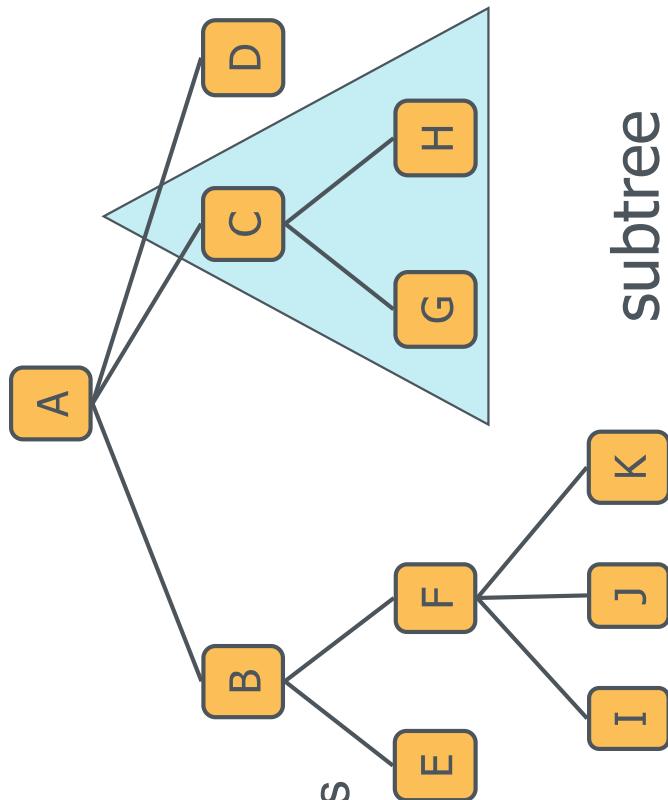
- Applications:

- Organization charts
- File systems
- Programming environments



# Trees

- Root: node without parent (A)
- Internal node: node with at least one child (A, B, C, F)
- External node (a.k.a. leaf): node without children (E, I, J, K, G, H, D)
- Ancestors of a node: parent, grandparent, grand-grandparent, etc.
- Depth of a node: number of ancestors
- Height of a tree: maximum depth of any node (3)
- Descendant of a node: child, grandchild, grand-grandchild, etc.
- Subtree: tree consisting of a node and its descendants



# Questions?

MECHTRON 2MD3

Data Structures and Algorithms for Mechatronics

Winter 2022

# 22 Lists, Positions, and Trees

Department of Computing and Software

Instructor:

Omid Isfahani Alamdari

March 14, 2022

# Admin

- Mid-Term 2:
  - Wednesday 23 March 2022
  - Duration: **1 hour**
  - Location: TBA
  - Covers: Topics from “Doubly Linked Lists” until the lecture of Wednesday 16 March 2022 (inclusive)

# Array-based Implementation of Vector in C++ - Review

- The reserve function first checks whether the capacity already exceeds  $n$ , in which case nothing needs to be done.
- The insert function first checks whether there is sufficient capacity for one more element. If not, it sets the capacity to the maximum of 1 and twice the current capacity.

```
void ArrayVector::reserve(int N) {
 if (capacity >= N) return;
 Elem* B = new Elem[N];
 for (int j = 0; j < n; j++)
 B[j] = A[j];
 if (A != NULL) delete [] A;
 A = B;
 capacity = N;
}

void ArrayVector::insert(int i, const Elem& e) {
 if (n >= capacity)
 reserve(max(1, 2 * capacity));
 for (int j = n - 1; j >= i; j--)
 A[j + 1] = A[j];
 A[i] = e;
 n++;
}
```

# Comparison of the Strategies to Resize Array

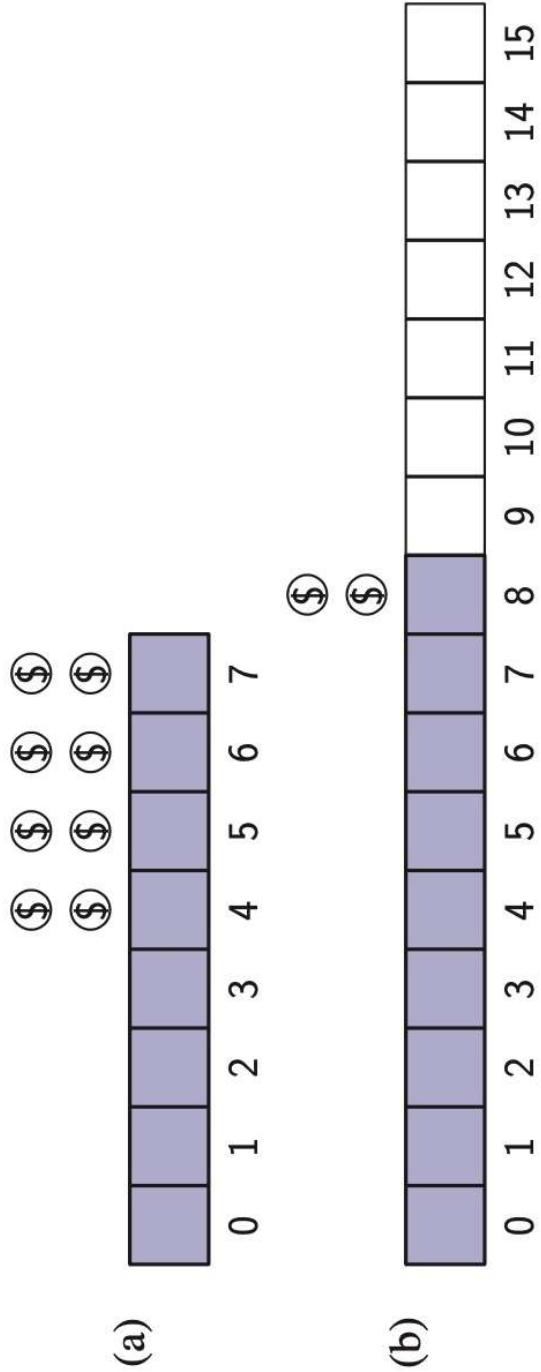
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Algorithm insert(o)
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- We assume that we start with an empty array of size 2
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- total time  $T(n)$ : to perform a series of  $n$  insert( $o$ ) operations
- Remember that every push (storing an element) takes 1 unit of time.  
After all we will have  $n$  pushes.
- Each array resize needs a time proportional to the size of the old array
- What is the time for  $n$  push operation
- For simplicity, we start with an array of capacity 2 and size zero and grow it dynamically
- We have to identify how many times the array resizes
- We call amortized time of an insert operation the average time taken by an insert over the series of operations, i.e.,  $T(n)/n$

# Incremental Strategy Analysis

- We replace the array  $k = n/c$  times
  - Suppose if you want to increment its size by 2, adding two more spaces.
- We suppose  $c = 2$ . There will be  $k=n/2$  array resizes.
- For the incremental approach, each time we go past our capacity ( $k=(n/c)=(n/2)$ ) times, we will increase capacity by  $c=2$  and we will have to copy the stuff already in the array into the new array.
- Assuming each item we copy requires 1 time unit.
- For 2 items: 2 units of time
- For 4 items: 4 units of time
- For 6 items: 6 units of time
- We the have need  $2 + 4 + 6 + 8 + \dots + 2^*k$  units of time
- Total time =  $n + 2 + 4 + 6 + 8 + \dots + 2^*k = n + c(1+2+\dots+k)$ .
- Since  $c$  is a constant,  $T(n)$  is  $O(n + k^2)$ , i.e.,  $O(n^2)$
- The amortized time of an insert operation is  $O(n^2)$  /n which is  $O(n)$

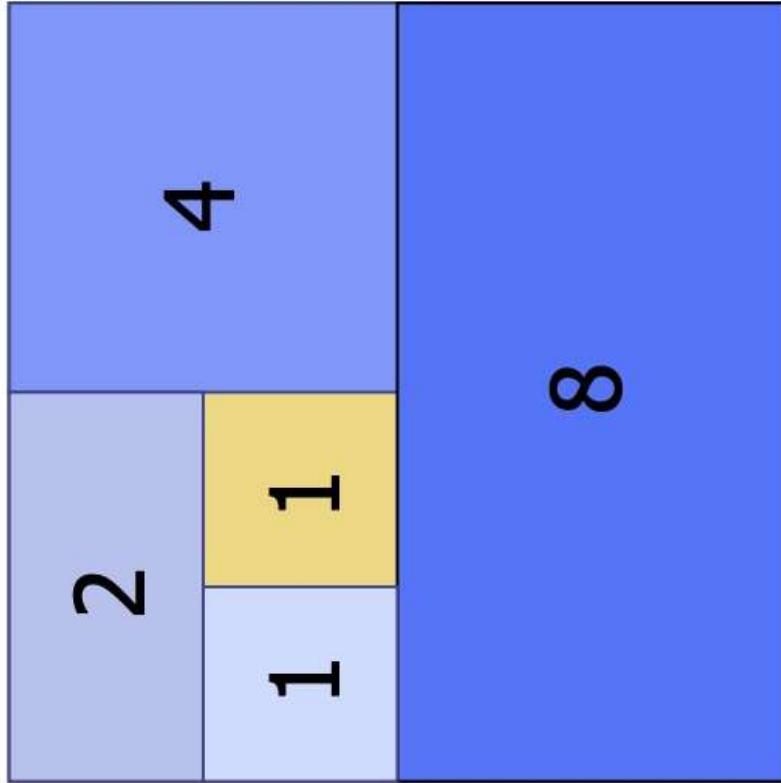
# Incremental Strategy Analysis

- We replace the array  $k = n/c$  times
  - Suppose if you want to increment its size by 2, adding two more spaces. There will be  $k=n/2$  array resizes.
- The total time  $T(n)$  of a series of  $n$  insert operations is proportional to
$$n + c + 2c + 3c + 4c + \dots + kc =$$
$$n + c(1 + 2 + 3 + \dots + k) =$$
$$n + ck(k + 1)/2$$
- Since  $c$  is a constant,  $T(n)$  is  $O(n + k^2)$ , i.e.,  $O(n^2)$
- The amortized time of an insert operation is  $O(n)$

# Doubling Strategy Analysis

- We replace the array  $k = \log_2 n$  times
- The total time  $T(n)$  of a series of  $n$  insert operations is proportional to
$$n + 2 + 4 + 8 + \dots + 2^k = \\ n + 2^{k+1} - 1 = \\ 3n - 1$$
- $T(n)$  is  $O(n)$
- The amortized time of an insert operation is  $O(1)$

## geometric series



# Linear Data Structures and Positions

- We have seen many data structures
  - Vector
  - Linked-Lists
- Position: Where a data element is stored
  - The **Position ADT** models the notion of place within a data structure where a single object is stored
    - abstracts the notion of the relative position or place of an element within a list
  - It gives a unified view of diverse ways of storing data, such as:
    - a cell of an array
    - a node of a linked list
  - Just one method:
    - *object p.element(): returns the element at position*
  - In C++ it is convenient to implement this as `*p`



# (Node) List ADT

- The **Node** List ADT models
  - a sequence of positions storing objects
  - It establishes a **before/after** relation between positions
  - Generic methods:
    - `size()`, `empty()`
  - Iterators:
    - `begin()`, `end()`
  - Update methods:
    - `insertFront(e)`, `insertBack(e)`, `removeFront()`, `removeBack()`
  - Iterator-based update:
    - `insert(p, e)`, `remove(p)`

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  - Iterator-based update:
    - `insert(p, e)`, `remove(p)`
- **No method for accessing a specific node?**

# (Node) List ADT

- This implementation is basically a Doubly Linked-List
- **n:**
  - The number of data elements
  - **Iterator** is an implementation of the Position ADT
    - We use iterator instead of a pointer to a specific node in this implementation

```
typedef int Elem; // list base element type
class NodeList { // node-based list
private:
 // insert Node declaration here...
public:
 // insert Iterator declaration here...
public:
 NodeList(); // default constructor
 int size() const; // list size
 bool empty() const; // is the list empty?
 Iterator begin() const; // beginning position
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 void insertFront(const Elem& e); // insert at front
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 void insert(const Iterator& p, const Elem& e); // insert e before p
 void eraseFront(); // remove first
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 void erase(const Iterator& p); // remove p
 // housekeeping functions omitted... // data members
}; // number of items
 // head-of-list sentinel
 // tail-of-list sentinel
```

# Containers and Iterators

- An **iterator** abstracts the process of scanning through a collection of elements
- A **container** is an abstract data structure that supports element access through iterators
  - Examples include Stack, Queue, Vector, List
  - **begin()**: returns an iterator to the first element
  - **end()**: return an iterator to an imaginary position just after the last element
- An iterator behaves like a pointer to an element
  - **\*p**: returns the element referenced by this iterator
  - **+p**: advances to the next element
- **Iterator extends** the concept of **position** by adding a traversal capability

# Iterating through a Container

- Let **C** be a **container** and **p** be an **iterator** for **C**

```
for (p = C.begin(); p != C.end(); ++p)
 loop_body
```

- Example: (with an STL vector)

- Notice how for loop is

implemented

- Notice how we access the element of iterator

```
#include <iostream>
#include <vector>
using namespace std;

int main(){
 vector<int> v1;
 for (int i = 1; i <= 5; i++)
 v1.push_back(i);

 typedef vector<int>::iterator Iterator;
 int sum = 0;
 for (Iterator p = v1.begin(); p != v1.end(); ++p){
 cout << "Iterator is on data " << *p << endl;
 sum += *p;
 }
 cout << "The sum is :" << sum << endl;
}
```

Output:

```
Iterator is on data 1
Iterator is on data 2
Iterator is on data 3
Iterator is on data 4
Iterator is on data 5
The sum is :15
```



# Implementing Iterators

- Array-based
  - **Array A of the n elements**
  - **index i** that keeps track of the cursor
  - **begin() = 0**
  - **end() = n** (index following the last element)
- Linked list-based
  - For example: A doubly linked-list L storing the elements, with sentinels for header and trailer
  - **pointer to node** containing the current element
  - **begin()** = front node (immediately after the header)
  - **end()** = trailer node (immediately after last node)

# Implementation of Iterator for (Node) List

- This is an implementation of the iterator for our **NodeList** (aka DLL)

```
class Iterator { // an iterator for the list
public:
 Elem& operator*(); // reference to the element
 bool operator==(const Iterator& p) const; // compare positions
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 Iterator& operator++(); // move to previous position
 Iterator& operator--(); // give NodeList access
friend class NodeList;
private:
 Node*& v; // pointer to the node
 Iterator(Node*& u); // create from node
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Elem& NodeList::Iterator::operator*() // reference to the element
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NodeList::Iterator& NodeList::operator++()
{ v = v->next; return *this; } // move to previous position

NodeList::Iterator& NodeList::operator--()
{ v = v->prev; return *this; }

struct Node {
 Elem elem;
 Node* prev;
 Node* next;
};
```

# (Node) List ADT (duplicate slide)

- This implementation is basically a Doubly Linked-List

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- **Iterator** is an implementation of the Position ADT

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# STL Iterators in C++

- Each STL container type **C** supports iterators:
  - **C::iterator** – read/write iterator type
  - **C::const\_iterator** – read-only iterator type
  - **C.begin(), C.end()** – return start/end iterators
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  - **C.assign(p, q)**: replace C with contents referenced by the iterator range [p, q) (from p up to, but not including, q)
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# STL List in C++

```
#include <list>
using std::list;
list<float> myList;
```

**list(*n*):** Construct a list with *n* elements; if no argument list is given, an empty list is created.

**size():** Return the number of elements in *L*.

**empty():** Return true if *L* is empty and false otherwise.

**front():** Return a reference to the first element of *L*.

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**push\_front(*e*):** Insert a copy of *e* at the beginning of *L*.

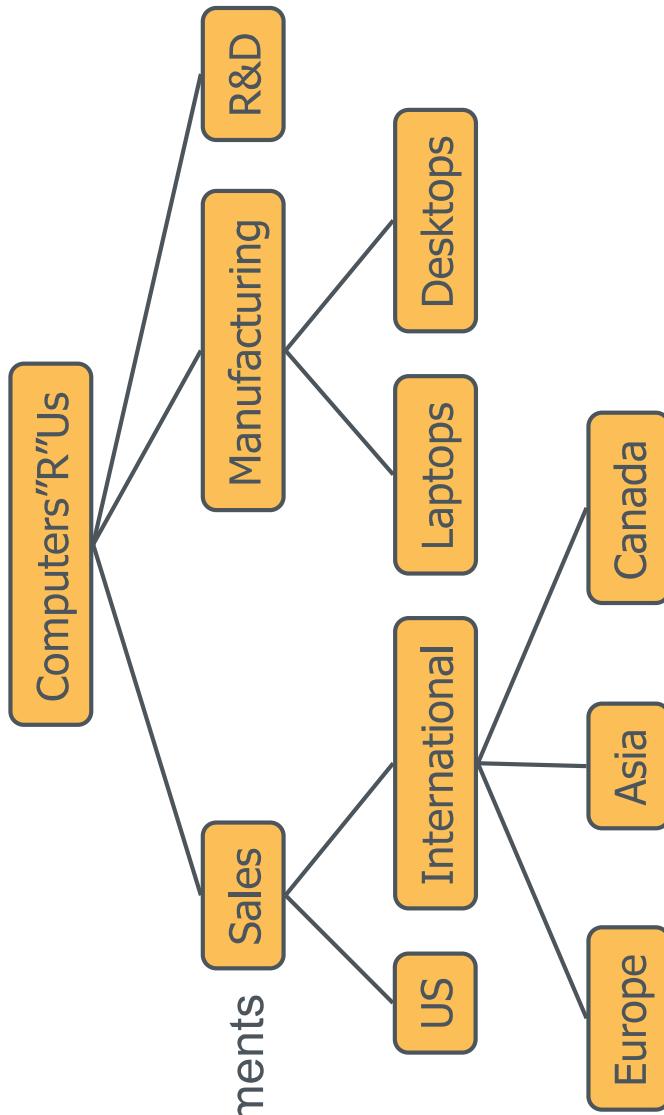
**push\_back(*e*):** Insert a copy of *e* at the end of *L*.

**pop\_front():** Remove the first element of *L*.

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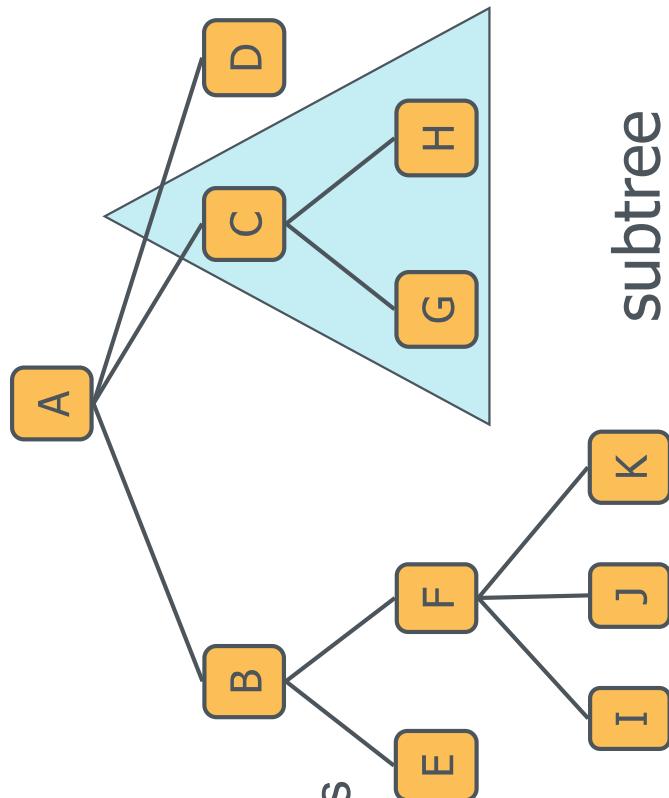
# Trees

- In computer science, a tree is an abstract model of a hierarchical structure
- The relation between elements is non-linear
- A tree consists of nodes with a parent-child relation
- Applications:
  - Organization charts
  - File systems
  - Programming environments



# Trees

- Root: node without parent (*A*)
- Internal node: node with at least one child (*A, B, C, F*)
- External node (a.k.a. leaf): node without children (*E, I, J, K, G, H, D*)
- Ancestors of a node: parent, grandparent, grand-grandparent, etc.
- Depth of a node: number of ancestors
- Height of a tree: maximum depth of any node (3)
- Descendant of a node: child, grandchild, grand-grandchild, etc.
- Subtree: tree consisting of a node and its descendants



# Questions?

MECHTRON 2MD3

Data Structures and Algorithms for Mechatronics

Winter 2022

## 23 Trees

Department of Computing and Software

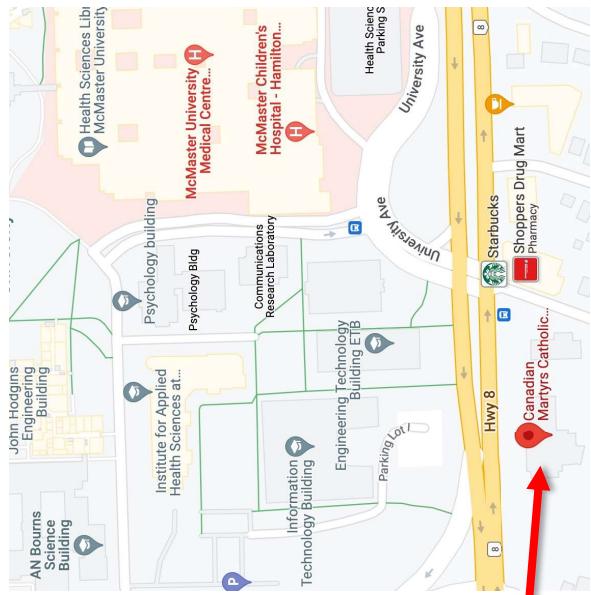
Instructor:

Omid Isfahani Alamdari

March 16, 2022

# Admin

- Mid-Term 2:
  - Wednesday 23 March 2022
  - Duration: **1 hour**
  - Location: **MCMST CDN\_MARTYRS**
    - Seems to be here, I am not sure



- Covers: Topics from “Doubly Linked Lists” until the lecture of Wednesday 16 March 2022 (inclusive)



# Iterating through a Container (Review)

- Let **C** be a **container** and **p** be an **iterator** for **C**

```
for (p = C.begin(); p != C.end(); ++p)
 loop_body
```
- Example: (with an STL vector)
  - Notice how for loop is implemented

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#include <iostream>
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int main(){
 vector<int> v1;
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```

Output:

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Iterator is on data 2  
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# Implementing Iterators (remained from pre. lecture)

- Array-based
  - **Array A of the n elements**
  - **index i** that keeps track of the cursor
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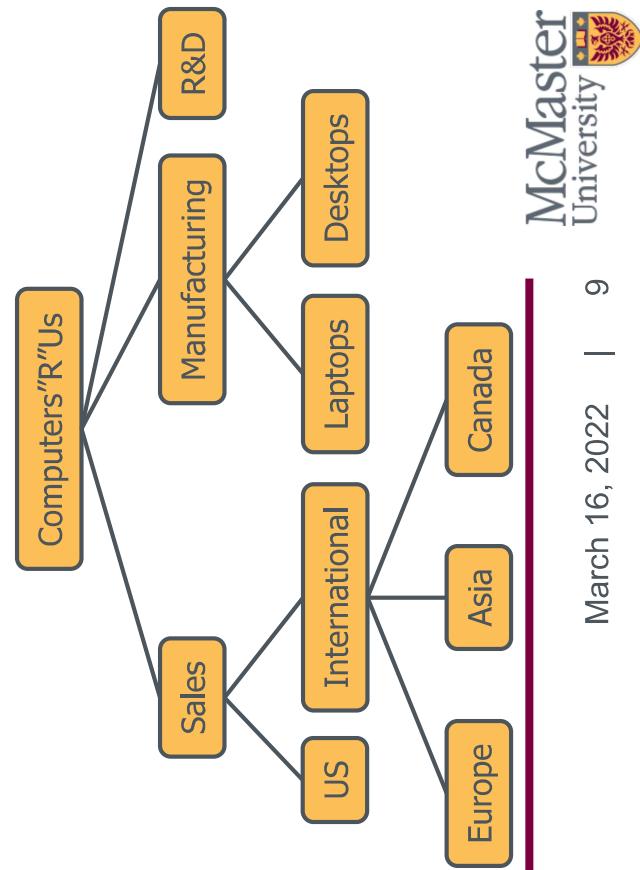
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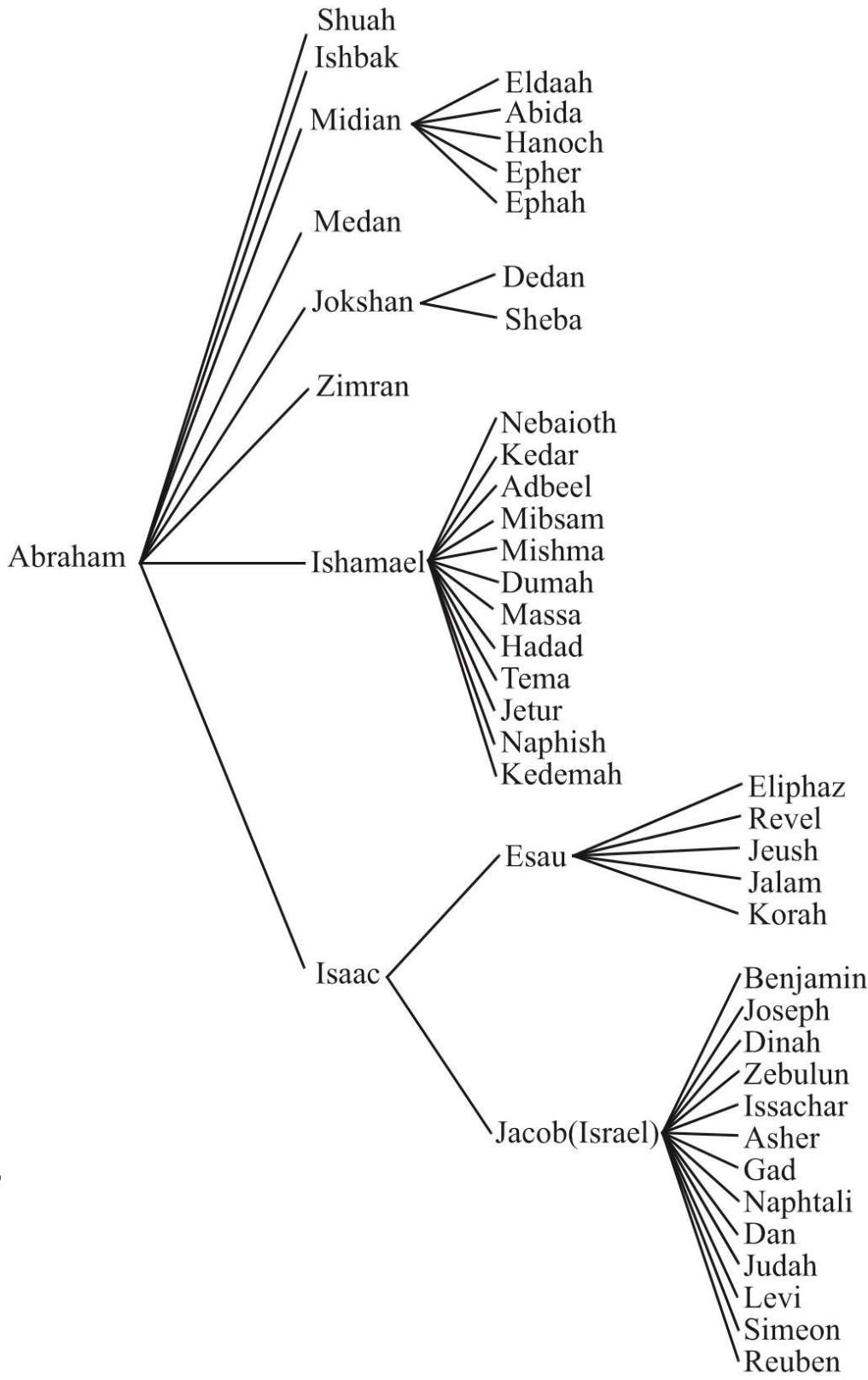
# Trees

- So far you are familiar with:
  - Storing elements in a linear fashion
  - Containers and iterators
- In computer science, a tree is an abstract model of a hierarchical structure
  - The relation between elements is non-linear
  - A tree consists of nodes with a parent-child relation
- Applications:
  - Organization charts
  - File systems
  - Programming environments



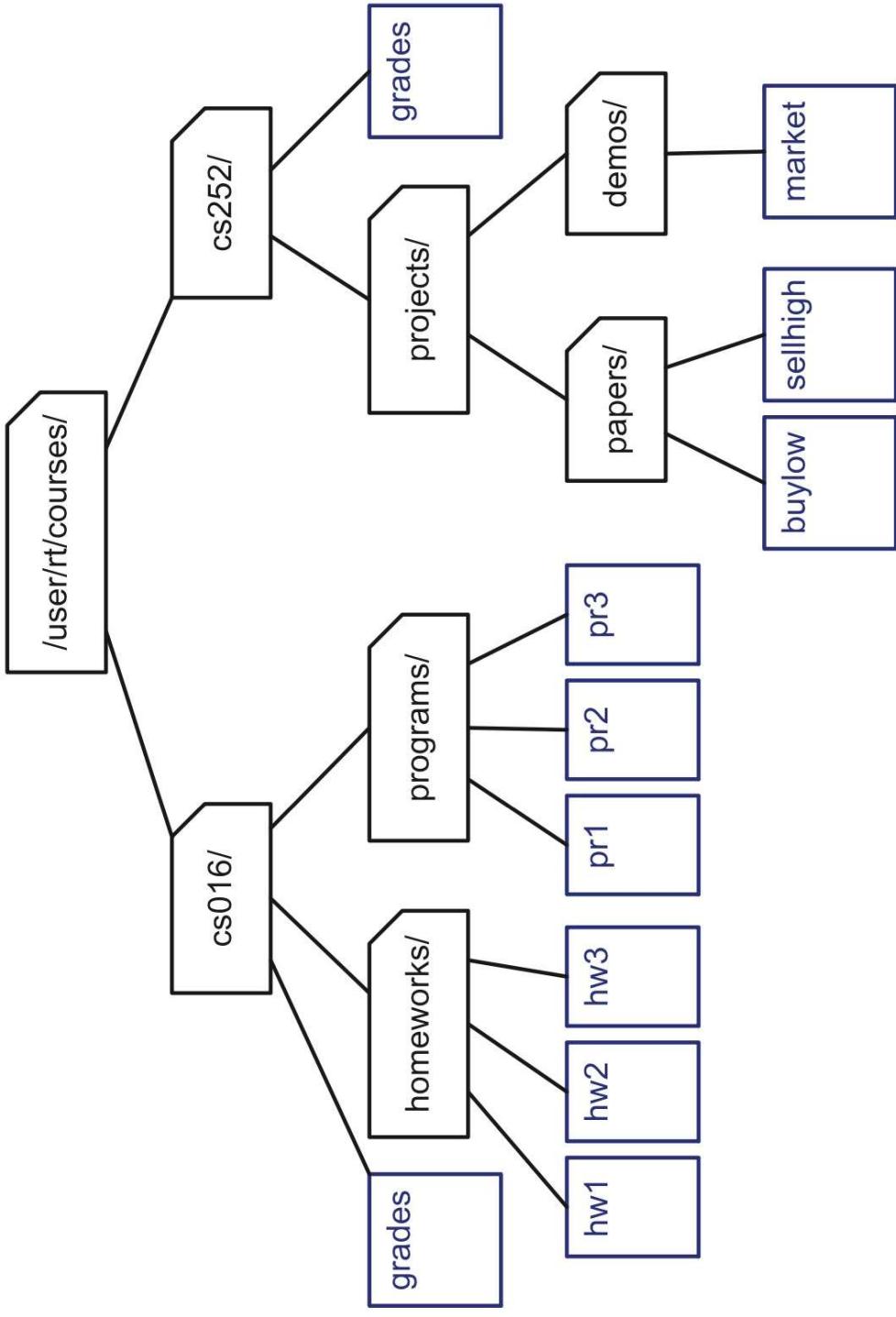
# Tree Examples

- Family Tree



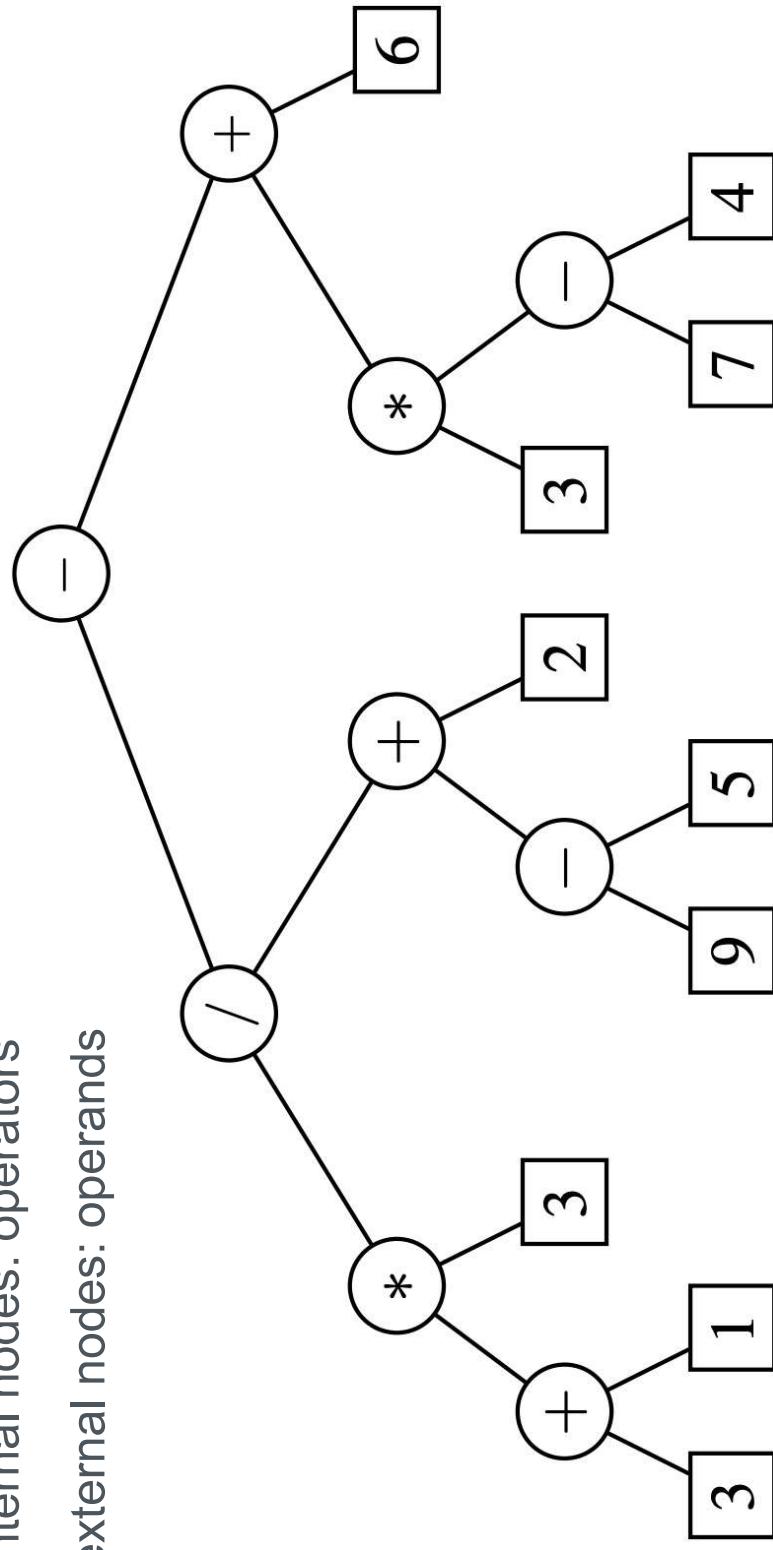
# Tree Examples

- File System Tree



# Tree Examples

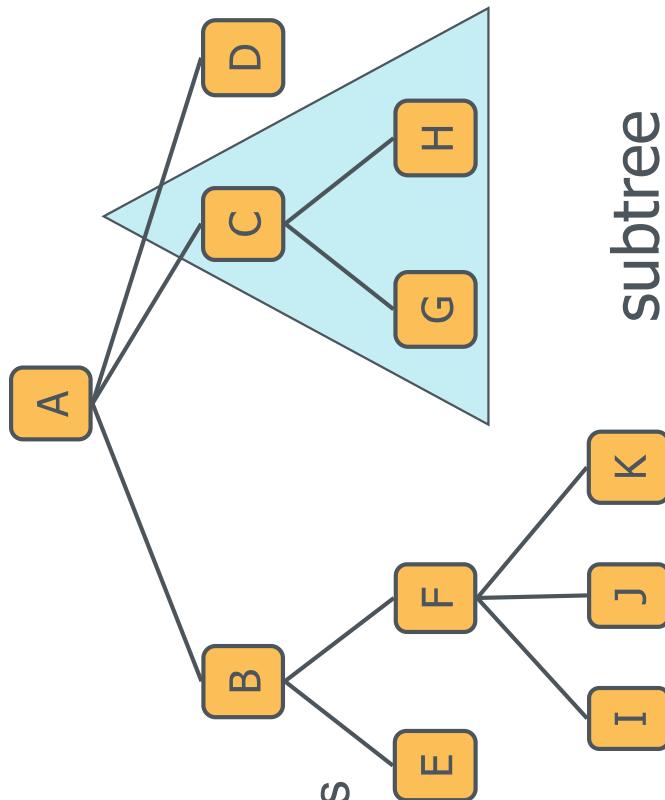
- Expression Tree
  - Binary tree associated with an arithmetic expression
    - internal nodes: operators
    - external nodes: operands



Tree represents:  $((((3+1)*3)/((9-5)+2))-((3*(7-4))+6))$

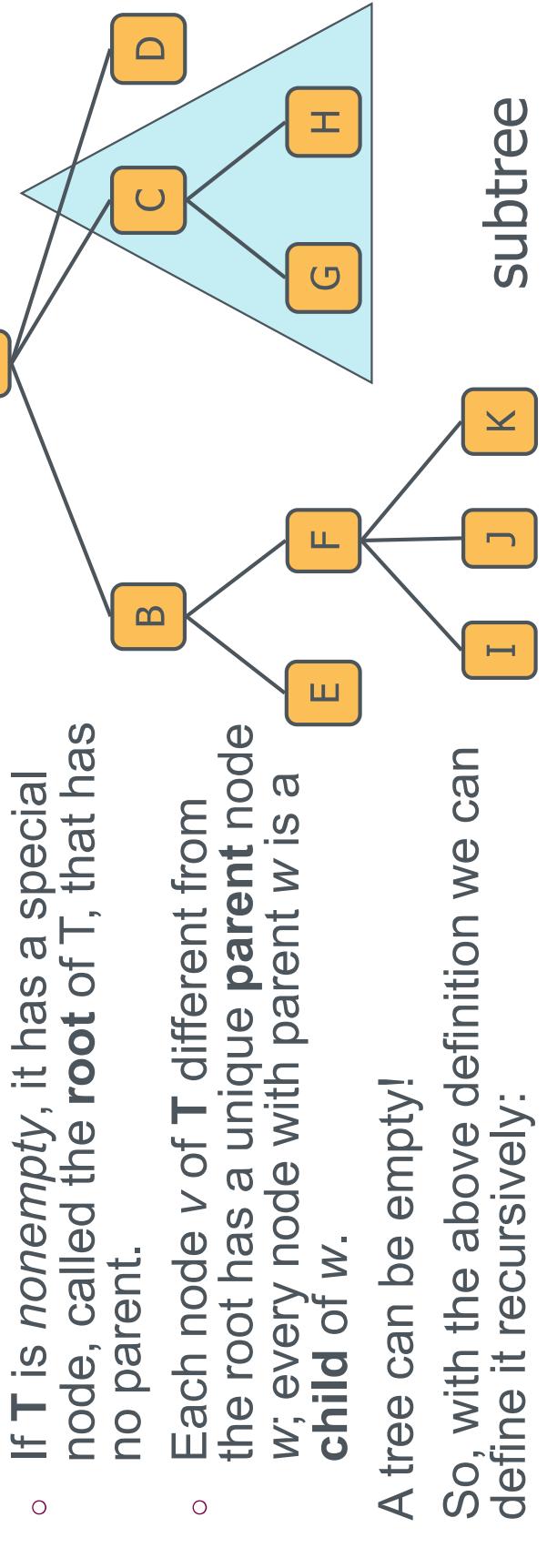
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- Height of a tree: maximum depth of any node (3)
- Descendant of a node: child, grandchild, grand-grandchild, etc.
- Subtree: tree consisting of a node and its descendants



## Formal Definition of Tree

- we define tree  $T$  to be a **set of nodes** storing elements in a **parent-child** relationship with the following properties:

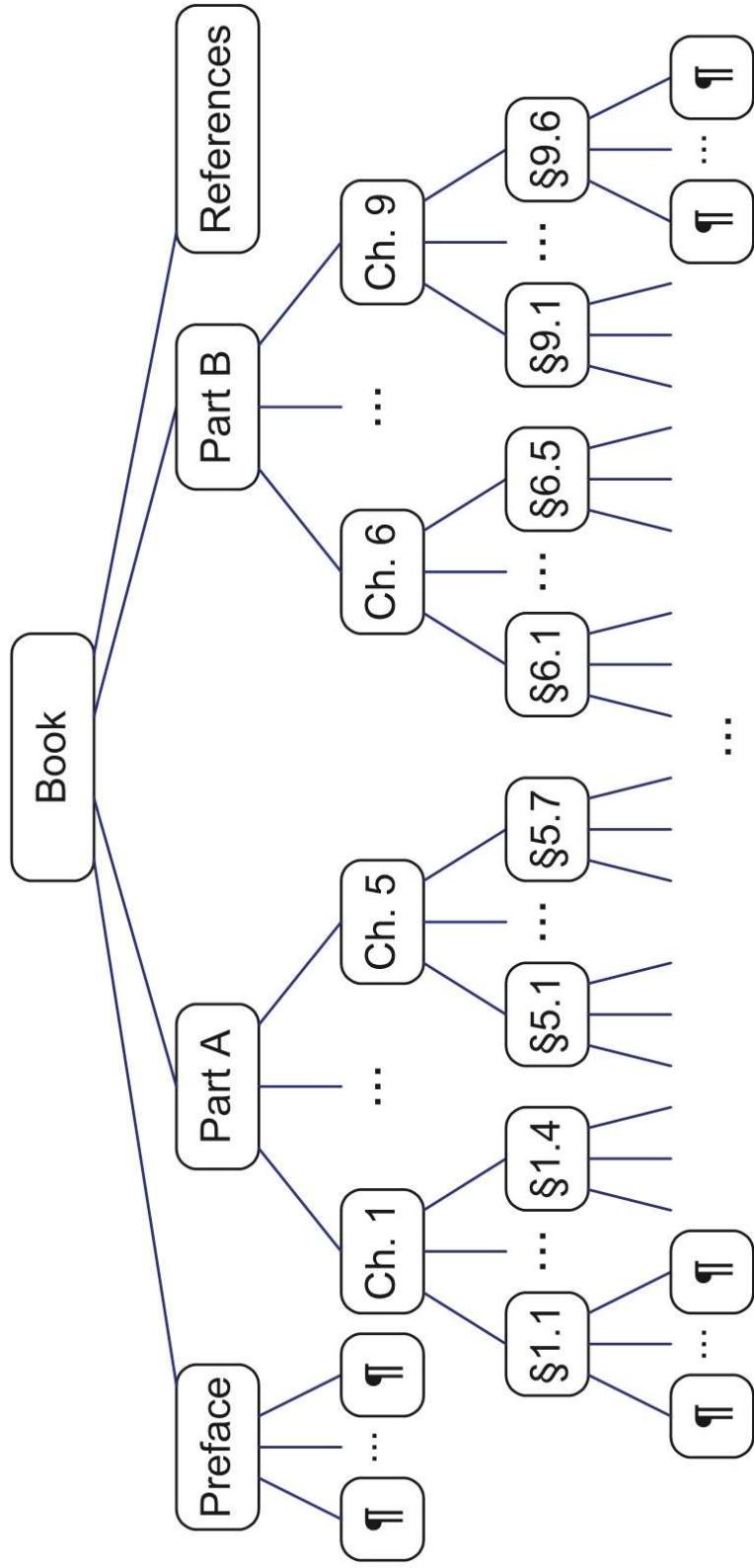


subtree

- If  $T$  is nonempty, it has a special node, called the **root** of  $T$ , that has no parent.
- Each node  $v$  of  $T$  different from the root has a unique **parent** node  $w$ ; every node with parent  $w$  is a **child** of  $w$ .
- A tree can be empty!
- So, with the above definition we can define it recursively:
  - a tree  $T$  is either empty or consists of a node  $r$ , called the root of  $T$ , and a (possibly empty) set of trees whose roots are the children of  $r$

# Ordered Tree Examples

- Ordered Tree: A tree is ordered if there is a linear ordering defined for the children of each node
  - linear order relationship existing between siblings
- Book Organization Tree



# Tree ADT

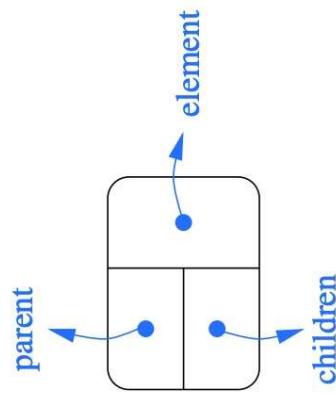
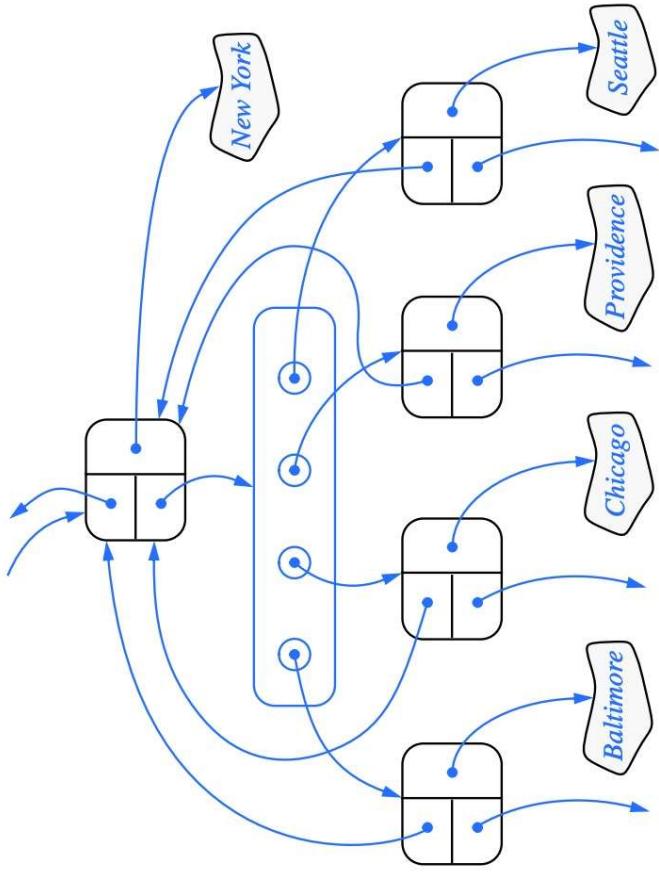
- We use **positions** to abstract nodes
- Generic methods:
  - integer **size()**
  - boolean **empty()**
- Accessor methods:
  - position **root()**
  - list<position> **positions()**
- Position-based methods:
  - position p.**parent()**
  - list<position> p.**children()**
- Query methods:
  - boolean p.**isRoot()**
  - boolean p.**isExternal()**
- Additional update methods may be defined by data structures implementing the Tree ADT

# Tree ADT

- General Tree Implementation

```
template <typename E>
class Position<E> {
public:
 E& operator*();
 Position parent() const;
 PositionList children() const;
 bool isRoot() const;
 bool isExternal() const;
};
```

// base element type  
// a node position  
// get element  
// get parent  
// get node's children  
// root node?  
// external node?



# Tree Traversals

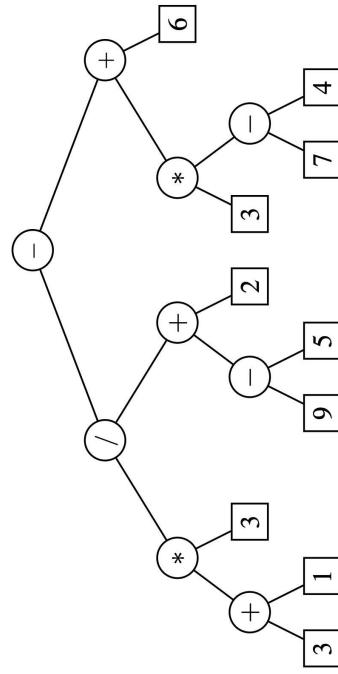
- We were able to easily traverse a linear data structure
  - The traversal of a tree is not trivial
- A **traversal** of a tree T is a systematic way of accessing or visiting all the nodes of T.

- Preorder traversal

- Inorder traversal

- Postorder traversal

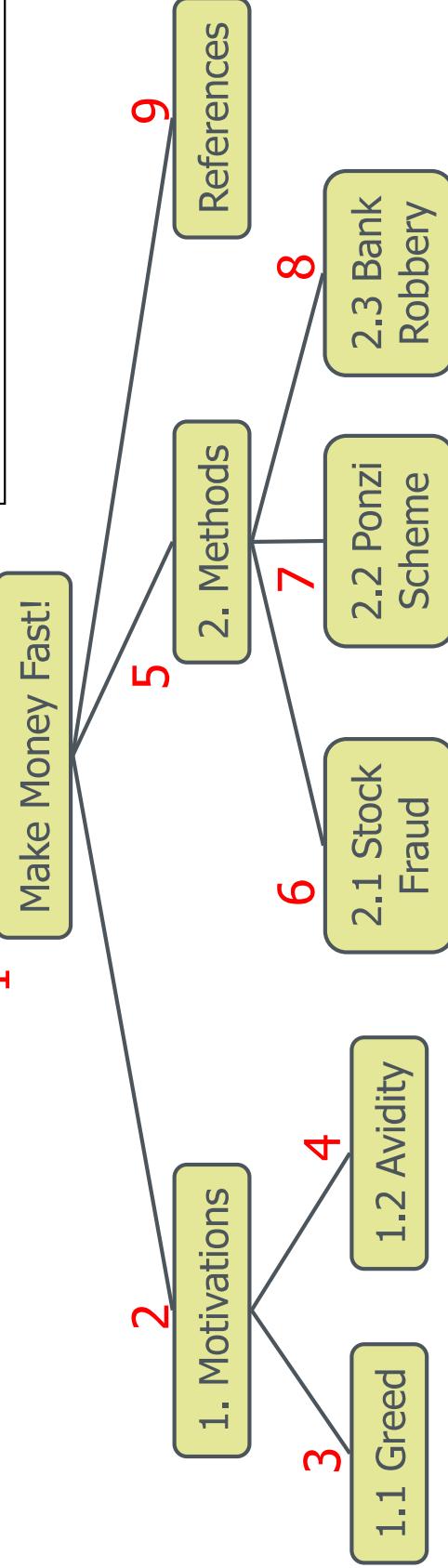
- Breadth-first traversal



# Preorder Traversal

- A traversal visits the nodes of a tree in a systematic manner
- In a preorder traversal, a node is visited before its descendants
- Application: print a structured document

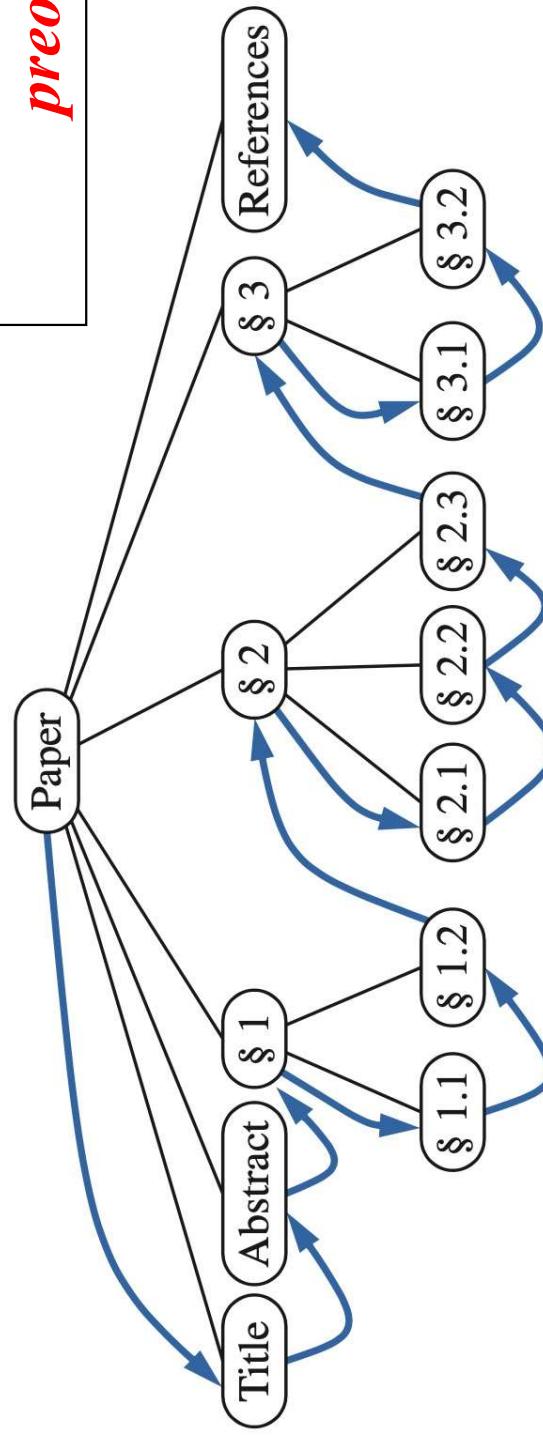
```
Algorithm preOrder(v)
 visit(v)
 for each child w of v
 preorder(w)
```



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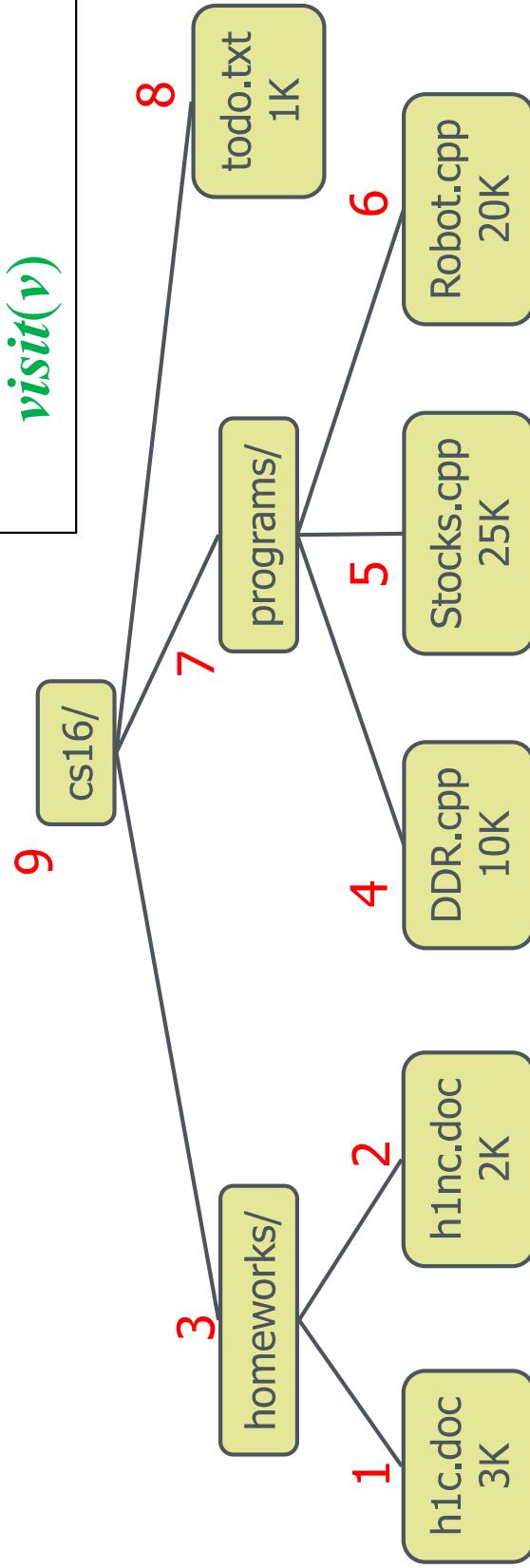
```
Algorithm preOrder(v)
 visit(v)
 for each child w of v
 preorder(w)
```

```
void preorderPrint(const Tree& T, const Position& p) {
 cout << *p;
 // print element
 PositionList ch = p.children();
 // list of children
 for (Iterator q = ch.begin(); q != ch.end(); ++q) {
 cout << " ";
 preorderPrint(T, *q);
 }
}
```

# Postorder Traversal

- In a postorder traversal, a node is visited after its descendants
- Application: compute space used by files in a directory and its subdirectories

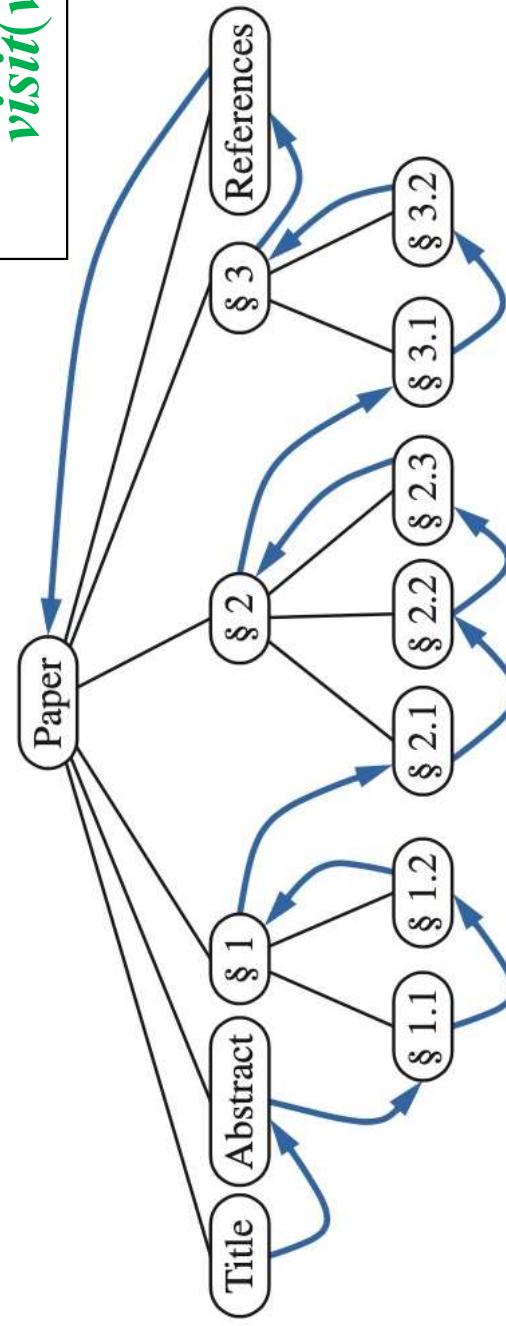
```
Algorithm postOrder(v)
 for each child w of v
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 visit(v)
```



# Postorder Traversal

- In a postorder traversal, a node is visited after its descendants
- Application: compute space used by files in a directory and its subdirectories

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Algorithm postOrder(v)
 for each child w of v
 postorder (w)
 visit(v)
```



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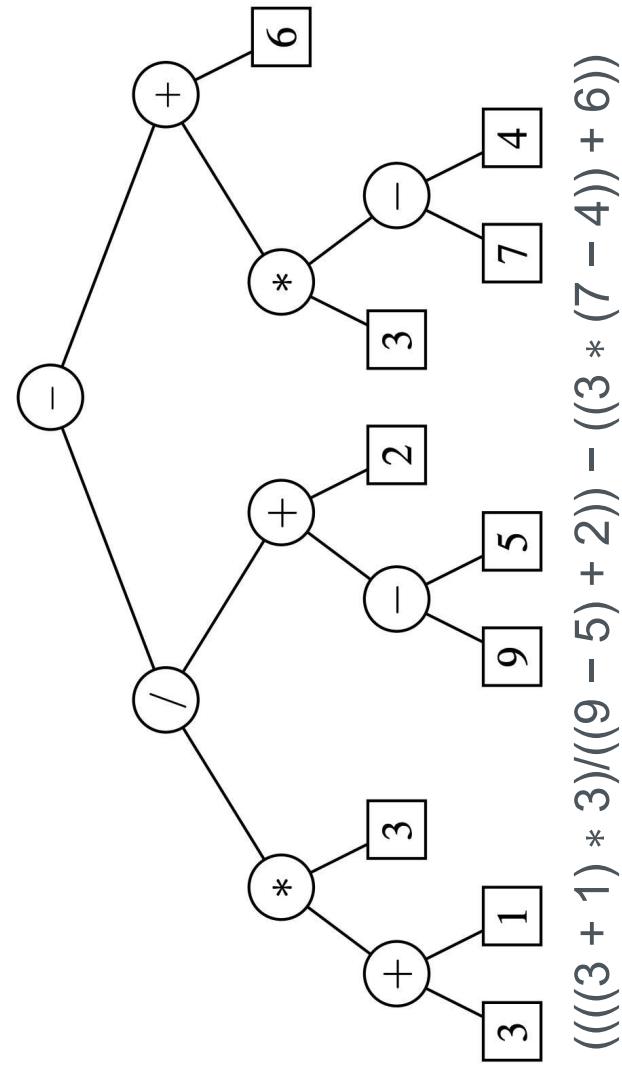
```
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```
void postorderPrint(const Tree& T, const Position& p) {
 PositionList ch = p.children(); // list of children
 for (Iterator q = ch.begin(); q != ch.end(); ++q) {
 postorderPrint(T, *q);
 cout << " ";
 }
 cout << *p;
}
```

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Postorder?

# Questions?