Python in a Functional Style: Closures, Generators, and Coroutines

Jifeng Wu

2022-10-28

Contents

- Closures
- Generators
- Coroutines

All Python functions are closures.

- Function code.
- Execution environment of function code (variables it depend on).

A nested function can be returned. This is a common design pattern for creating **tailored functions**.

```
def get_greeting_function(name):
    def greeting_function():
        print(f'Hello, {name}')
    return greeting_function
```

All Python functions are **closures**.

- Function code.
- Execution environment of function code (variables it depend on).

A nested function can be returned. This is a common design pattern for creating **tailored functions**.

```
>>> function_greeting_a = get_greeting_function('A')
>>> function_greeting_a()
Hello, A
>>>
>>> function_greeting_b = get_greeting_function('B')
>>> function_greeting_b()
Hello, B
```

Look into a closure's cell_contents:

```
>>> function_greeting_a.__closure__
(<cell at 0x7f3c81849ca8: str object at 0x7f3c8185ac70>,)
>>> function_greeting_a.__closure__[0]
<cell at 0x7f3c81849ca8: str object at 0x7f3c8185ac70>
>>> function_greeting_a.__closure__[0].cell_contents
' A '
>>>
>>> function_greeting_b.__closure___
(<cell at 0x7f3c81849c18: str object at 0x7f3c82f18e30>,)
>>> function_greeting_b.__closure__[0]
<cell at 0x7f3c81849c18: str object at 0x7f3c82f18e30>
>>> function_greeting_b.__closure__[0].cell_contents
'B'
```

Should an inner function **use an outer function's local variable** (instead of **shadowing it**), that local variable should be declared nonlocal within the inner function. Not using nonlocal:

```
def outer_function():
    string = 'Hello'
    def inner_function():
        # Shadows the local variable `string` of `outer_function`
        string = 'World'
    inner_function()
    return string
```

```
>>> outer_function()
'Hello'
```

Should an inner function **use an outer function's local variable** (instead of **shadowing it**), that local variable should be declared nonlocal within the inner function. Using nonlocal:

```
def outer_function():
    string = 'Hello'
    def inner_function():
        # Uses the local variable `string` of `outer_function`
        nonlocal string
        string = 'World'
    inner_function()
    return string
```

```
>>> outer_function()
'World'
```

Creating and returning a nested function based on a function argument is widely used in Python, called decorating a function.

```
def cached(function):
    cache = {}
    def cached_function(*args):
        nonlocal function, cache
        if args in cache:
            print(f'Cache hit with args: {args}')
            return cache[args]
        else:
            print(f'Cache miss with args: {args}')
            result = function(*args)
            print(f'Writing f({args}) => {result} to cache')
            cache[args] = result
            return result
    return cached_function
```

Python even has special syntatical support for this.

```
@cached
def fib(n):
    if n < 1:
        return 0
    elif n < 2:
        return 1
    else:
        return fib(n - 1) + fib(n - 2)</pre>
```

```
In [4]: fib(5)
Cache miss with args: (5,)
Cache miss with args: (4,)
Cache miss with args: (3,)
Cache miss with args: (2,)
Cache miss with args: (1,)
Writing f((1,)) \Rightarrow 1 to cache
Cache miss with args: (0,)
Writing f((0,)) \Rightarrow 0 to cache
Writing f((2,)) \Rightarrow 1 to cache
Cache hit with args: (1,)
Writing f((3,)) \Rightarrow 2 to cache
Cache hit with args: (2,)
Writing f((4,)) \Rightarrow 3 to cache
Cache hit with args: (3,)
Writing f((5,)) \Rightarrow 5 to cache
Out[4]: 5
```

O(n) time complexity.

LeetCode problem: Given n pairs of parentheses, write a function to generate all combinations of well-formed parentheses.

Example 1:

```
Input: n = 3
Output: ["((()))","(()())","()(())","()()()"]
```

Example 2:

```
Input: n = 1
Output: ["()"]
```



Analyze

Type a grammar here:

S -> S S' | S' .

Transform

Analysis

Sanity Checks

- · All nonterminals are reachable.
- · All nonterminals are realizable.
- · The grammar contains no cycles.
- · The grammar is null unambiguous.

Example Sentences

- ()
- (())
- ()()
- ((()))
- ()(())
- (()())
- (())()
- () () ()
- (((())))
- ()((()))

More example sentences

Nonterminals

Symbol	Nullable?	Endable?	First set	Follow set
S		Endable	((,),\$
S'		Endable	((,), \$

Parsing Algorithms

LL(1)	Not LL(1) — it contains a first set clash.	Parsing table
LR(0)	Not LR(0) — it contains a shift-reduce conflict.	Automaton, Parsing table
SLR(1)	The grammar is SLR(1).	Parsing table
LR(1)	The grammar is LR(1).	Automaton, Parsing table
LALR(1)	The grammar is LALR(1).	Automaton, Parsing table

Closures

We write a Context Free Grammar and analyze it:

```
S -> S S' | S' .
S' -> ( S ) | ( ) .
```

https://mdaines.github.io/grammophone/#

```
@cached
def s_generator(number_of_parenthesis):
    print(f's_generator({number_of_parenthesis})')
    return_value = []
    \# s \rightarrow ss.
    if number_of_parenthesis >= 1:
        for ss_string in ss_generator(number_of_parenthesis):
            return_value.append(ss_string)
    # s -> s ss .
    if number_of_parenthesis >= 2:
        for i in range(1, number_of_parenthesis):
            for s_string, ss_string in itertools.product(
                s_generator(i),
                ss_generator(number_of_parenthesis - i)
            ):
                return_value.append(s_string + ss_string)
    return return_value
```

```
@cached
def ss_generator(number_of_parenthesis):
    print(f'ss_generator({number_of_parenthesis})')
    return_value = []
    # ss -> ( ) .
    if number_of_parenthesis == 1:
        return_value.append('()')
    # ss -> ( s ) .
    if number_of_parenthesis > 1:
        for s_string in s_generator(number_of_parenthesis - 1):
            return_value.append('(' + s_string + ')')
    return return_value
```

```
Input: n = 3
Output: ["((()))","(()())","()(())","()()()"]
```

```
In [4]: s_generator(3)
s_generator(3)
ss_generator(3)
s_generator(2)
ss_generator(2)
s_generator(1)
ss_generator(1)
Out[4]: ['((()))', '(()())', '()(())', '(())()', '()()()']
In [5]: s_generator.cache_info()
Out[5]: CacheInfo(hits=3, misses=3, maxsize=None, currsize=3)
In [6]: ss_generator.cache_info()
Out[6]: CacheInfo(hits=3, misses=3, maxsize=None, currsize=3)
```

Closures also provide an efficient mechanism for maintaining state between several calls. Traditional (OOP) approach:

```
class Countdown:
    def __init__(self, n):
        self.n = n

def next_value(self):
    old_value = self.n
        self.n -= 1
        return old_value
```

Closure-based approach:

```
def countdown(n):
    def get_next_value():
        nonlocal n
        old_value = n
        n -= 1
        return old_value

    return get_next_value
```

This is not only clean but also **fast**.

```
def test_object_oriented_approach():
    c = Countdown(1_000_000)
    while True:
        value = c.next_value()
        if value == 0:
            break
def test_functional_approach():
    get_next_value = countdown(1_000_000)
    while True:
        value = get_next_value()
        if value == 0:
            break
```

```
In [5]: %timeit test_object_oriented_approach()
182 ms ± 2.61 ms per loop (mean ± std. dev. of 7 runs, 1 loop each)
In [6]: %timeit test_functional_approach()
96.8 ms ± 1.18 ms per loop (mean ± std. dev. of 7 runs, 10 loops each)
```

Why?

```
In [9]: c = Countdown(1_{000}_{000})
In [10]: dis(c.next_value)
              0 LOAD_FAST
                                           (self)
  6
              2 LOAD_ATTR
                                           0 (n)
                                           1 (old_value)
              4 STORE_FAST
              6 LOAD_FAST
                                           0 (self)
              8 DUP_TOP
             10 LOAD_ATTR
                                           0 (n)
                                           1 (1)
                LOAD_CONST
             14 INPLACE_SUBTRACT
             16 ROT_TWO
             18 STORE_ATTR
                                           0 (n)
             20 LOAD_FAST
                                           1 (old_value)
  8
             22 RETURN VALUE
```

12 instructions, 2 LOAD_ATTR instructions, 1 STORE_ATTR instruction.

```
In [11]: get_next_value = countdown(1_000_000)
In [12]: dis(get_next_value)
              0 LOAD_DEREF
                                        0 (n)
              2 STORE_FAST
                                       0 (old_value)
                                         0 (n)
              4 LOAD_DEREF
              6 LOAD_CONST
                                         1 (1)
              8 INPLACE_SUBTRACT
             10 STORE_DEREF
                                           (n)
                                           (old_value)
  6
             12 LOAD_FAST
             14 RETURN_VALUE
```

8 instructions, NO LOAD_ATTR , STORE_ATTR instructions.

Contents

- Closures
- Generators
- Coroutines

When we define a function containing the yield keyword, we define a generator. Defining a generator allows the user to define a **custom iterator** in the style of defining a function.

```
def countdown(n):
    while n > 0:
        yield n
        n -= 1
```

We create a **generator object** when we call a generator definition. The generator object can be used like any iterator:

```
In [2]: c = countdown(5)
In [3]: next(c)
Out[3]: 5
In [4]: next(c)
Out[4]: 4
In [5]: for value in c:
   ...: print(value)
```

When we call next() on a generator object, it will execute code, until it encounters a yield statement. The yield statement tells the generator object to return a value, and continue execution from here when next() is called again.

```
In [2]: c = countdown(5)
In [3]: next(c)
Out[3]: 5
```

This executes:

```
while n > 0:
   yield n
```

When we call next() on a generator object, it will execute code, until it encounters a yield statement. The yield statement tells the generator object to return a value, and continue execution from here when next() is called again.

```
In [4]: next(c)
Out[4]: 4
```

This executes:

```
n -= 1
while n > 0:
   yield n
```

This is called **lazy evaluation**. This can dramatically boost performance and reduce memory usage in some applications. For example:

```
def get_comments_from_file(file):
    with open(file, 'r') as fp:
        for line in fp:
            # strip whitespace
            stripped_line = line.strip()
            # check if the line is empty after stripping whitespace
            if stripped_line:
                # check if the line is a comment
                if stripped_line[0] == '#':
                    # if it is, yield it
                    yield stripped_line
```

This will **NOT** read the whole file into memory. Only when the user calls next() on the generator object, will the generator read the file **LINE BY LINE** (with only **ONE LINE** of the file in memory at once), and return the next comment line.

This is an efficient way of extracting comments from GB-sized files (such as logs).

itertools

Python provides many functions for creating an iterator from another iterator. For example:

- itertools.permutations(iterable [, r])
- itertools.combinations(iterable, r)
- itertools.product(iter1, iter2, iterN, [repeat=1])

Widely used in algorithms: itertools.permutations(iterable [,r])

```
In [1]: import itertools
In [2]: numbers = range(4)
In [3]: permutations_of_two_numbers_iterator = itertools.permutations(numbers, r=2)
In [4]: next(permutations_of_two_numbers_iterator)
Out[4]: (0, 1)
In [5]: next(permutations_of_two_numbers_iterator)
Out[5]: (0, 2)
In [6]: next(permutations_of_two_numbers_iterator)
0ut[6]: (0, 3)
```

Widely used in algorithms: itertools.combinations(iterable ,r)

```
In [1]: import itertools
In [2]: numbers = range(4)
In [3]: for first, second in itertools.combinations(numbers, 2):
   ...: print(first, second)
   . . . :
```

Widely used in algorithms:

```
itertools.product(iter1, iter2, iterN, [repeat=1])
```

```
In [1]: import itertools
In [2]: first_list = [1,2,3]
In [3]: second_list = ['a','b','c']
In [4]: third_list = [True,False]
In [5]: it = itertools.product(first_list, second_list, third_list)
In [6]: next(it)
Out[6]: (1, 'a', True)
In [7]: next(it)
Out[7]: (1, 'a', False)
In [8]: next(it)
Out[8]: (1, 'b', True)
```

Contents

- Closures
- Generators
- Coroutines

Starting from Python 2.5, the yield statement can be used as an right value:

```
captured_input = yield value_to_yield
```

Generators defined like this can **accept sent input** while providing output. These generators are called **coroutines**.

The concept of coroutines was proposed in the 60s, but only gained traction in recent years.

Coroutines can be seen as a combination of **subroutines** and **threads**.

- Can pause and restart during execution.
- Controlled by itself instead of the operating system.
- Different coroutines run within a thread are concurrent instead of parallel.

Simple example:

```
import math
def update_mean():
    current_input = yield
    sum = current_input
    count = 1
    while True:
        current_input = yield sum / count
        sum += current_input
        count += 1
```

Simple example:

```
In [3]: updater = update_mean()
In [4]: next(updater)
```

This executes:

```
current_input = yield
```

And the coroutine waits for an input to be sent.

Send an input:

```
In [5]: updater.send(2)
Out[5]: 2.0
```

The coroutine receives the input, and executes:

```
sum = current_input
count = 1
while True:
   current_input = yield sum / count
```

And the coroutine waits for an input to be sent.

Send an input:

```
In [6]: updater.send(4)
Out[6]: 3.0
```

The coroutine receives the input, and executes:

```
sum += current_input
count += 1
while True:
   current_input = yield sum / count
```

And the coroutine waits again for an input to be sent.

More complicated example: set-associative cache simulation

- number_of_cache_sets * Set
 - o number_of_ways_of_associativity * Block
 - block_size_in_bytes * Byte
- The whole set-associative cache is a coroutine receiving (address, is_write) tuples as input, and calculating (cache_hit, writeback_address) tuples as output.
 - It models **each set** as a coroutine receiving (tag, is_write) tuples as input, and calculating (cache_hit, writeback_address) tuples as output.
 - Different coroutine definitions for round-robin, LRU, etc.

The whole set-associative cache

```
def cache_coroutine(cache_set_coroutine_function, block_size_in_bytes, number_of_ways_of_associativity, number_of_cache_sets):
    # create cache_set_coroutine_list and activate each cache_set_coroutine
    cache_set_coroutine_list = [ cache_set_coroutine_function(number_of_ways_of_associativity) for _ in range(number_of_cache_sets) ]
    for cache_set_coroutine in cache_set_coroutine_list:
        next(cache_set_coroutine)
    # get function_to_split_address and function_to_merge_address
    function_to_split_address, function_to_merge_address = get_functions_to_split_and_merge_address(
        block_size_in_bytes,
        number_of_cache_sets
    # receive address, is_write
    address, is_write = yield
    while True:
        # splits address
        tag, cache_set_index, offset = function_to_split_address(address)
        # send (tag, is_write) to the appropriate cache_set_coroutine
        cache_hit, victim_tag, writeback_required = cache_set_coroutine_list[cache_set_index].send((tag, is_write))
        # create writeback_address if (victim_tag is not None) and writeback_required
        if (victim_tag is not None) and writeback_required:
            writeback_address = function_to_merge_address(victim_tag, cache_set_index, 0)
        else:
            writeback_address = None
        # receive address, is_write
        # yield cache_hit, writeback_address
        address, is_write = yield cache_hit, writeback_address
```

Cache Set with LRU replacement policy

```
tag_list = [ None for _ in range(associativity) ]
dirty_bit_list = [ False for _ in range(associativity) ]
indices_in_lru_order = OrderedDict()
for index in range(associativity - 1, -1, -1):
    indices_in_lru_order[index] = None
# receive first tag and is_write
tag, is_write = yield
while True:
   cache_hit = False
    victim_tag = None
    writeback required = False
       # find tag_index
       tag_index = tag_list.index(tag)
        cache_hit = True
        if is_write:
           dirty_bit_list[tag_index] = True
        indices_in_lru_order.move_to_end(tag_index)
   except ValueError:
        index_of_victim, _ = indices_in_lru_order.popitem(last=False)
        victim_tag = tag_list[index_of_victim]
       if dirty_bit_list[index_of_victim]:
            writeback_required = True
        tag_list[index_of_victim] = tag
        if is write:
           dirty_bit_list[index_of_victim] = True
           dirty_bit_list[index_of_victim] = False
        indices_in_lru_order[index_of_victim] = None
    # receive tag and is_write
    tag, is_write = yield (cache_hit, victim_tag, writeback_required)
```

- Suppose our cache has only eight blocks and each block contains four words.
- The cache is 2-way set associative, so there are four sets of two blocks.
- The write policy is *write-back* and write-allocate.
- *LRU replacement* is used.

```
In [3]: cache = cache_coroutine(lru_cache_set_coroutine, block_size_in_bytes=4 *
   ...: 2, number_of_ways_of_associativity=2, number_of_cache_sets=4)
In [4]: next(cache)
In [5]: cache.send((0, True))
Out[5]: (False, None)
In [6]: cache.send((64, False))
Out[6]: (False, None)
In [7]: cache.send((4, True))
Out[7]: (True, None)
In [8]: cache.send((40, True))
Out[8]: (False, None)
In [9]: cache.send((68, False))
Out[9]: (True, None)
In [10]: cache.send((128, True))
Out[10]: (False, 0)
In [11]: cache.send((0, False))
Out[11]: (False, None)
```