

Human Papillomavirus in Head and Neck Cancer: Its Role in Pathogenesis and Clinical Implications

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Abstract Head and neck squamous cell carcinoma (HNSCC) is the sixth most common cancer with an annual incidence of approximately 400,000 worldwide. Although the principal risk factors for head and neck cancer remain tobacco and alcohol use, human papillomavirus (HPV) has recently been found to be etiologically associated with 20 to 25% of HNSCC, mostly in the oropharynx. HPV causes human cancers by expressing two viral oncoproteins, E6 and E7. These oncoproteins degrade and destabilize two major tumor suppressor proteins, p53 and pRb, through ubiquitination. Additional studies have shown that E6 and E7 can directly bind to multiple host proteins other than p53 and pRb (e.g., Bak and p21^{Cip1}), further contributing to genetic instability. However, expression of E6 and E7 alone is not sufficient for cellular transformation, and the additional genetic alterations necessary for malignant progression in the setting of virus-induced genomic instability are unknown. In addition to the etiological differences, HPV-positive cancers are clinically distinct when compared with HPV-negative cancers with regard to treatment response and survival outcome, with tumor HPV-positivity being a favorable prognostic biomarker. Further understanding of carcinogenesis and clinical behavior of HPV-positive cancers will improve disease prevention, patient care, and surveillance strategies for HNSCC patients. (Clin Cancer Res 2009;15(22):6758–62)

Background

Human papillomavirus (HPV) is a circular, double-stranded DNA virus. The viral genome, consisting of approximately 8,000 base pairs in size, encodes two regulatory proteins (from “early” genes E1 and E2), three oncoproteins (E5, E6, and E7), and two structural capsid proteins (from “late” genes L1 and L2; reviewed in ref. 1). More than 100 unique HPV types are known, but these different types are generally divided into those with a predilection to infect the skin versus mucosal surfaces (2). Mucosal HPV infections are well known to associate with a spectrum of human diseases from benign papillomas (or warts) to invasive carcinomas including cervical, vulvar, vaginal, anal, penile, and more recently head and neck squamous cell carcinoma (HNSCC; reviewed in refs. 1, 3, 4). Because carcinomas of the cervix and ano-

genital region have been extensively reviewed in the past, we will focus on the recent data in HNSCC in this review.

HNSCC is the sixth most common cancer with an annual incidence of approximately 400,000 worldwide (5). These cancers arise from five major anatomic sites: oral cavity, oropharynx, nasopharynx, hypopharynx, and larynx. Although Epstein-Barr virus is a long-established cause of nasopharyngeal cancer (6), a causal association between HPV and oropharyngeal cancer has only recently been established (7, 8). Compared with the association of HPV-negative tumors with heavy tobacco and alcohol use, HPV-positive tumors are strongly associated with sexual behavior, which is consistent with the known predominant means of HPV transmission via sexual contact (9). The incidence of HPV-related cancers has been increasing since the early 1990s in the United States and Western Europe, but the underlying reasons for this rapid increase are unclear (10, 11).

The HPV life cycle is complex. During early infection, viral DNA is present as a nuclear episome at low copy number in the basal cell layer of the stratified epithelium. HPV DNA is amplified and encapsidated to progeny virions only in terminally differentiated epithelial cells (reviewed in ref. 4). Recent data indicate that establishment of the initial infection is tightly linked to cell cycle progression through the mitotic phase (12). When human kidney cells (293T) or immortalized keratinocytes (HaCaT) are exposed to HPV in the presence of approximately 5,000 bioactive compounds via high-throughput screening, a subset of cell cycle inhibitors (including etoposide, aphidiocolin, and 5-fluorouracil) blocks HPV infection. This effect is observed even at low concentrations that do not affect

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The diagram illustrates the CCR Molecular Pathways, showing the interplay between the Cytoplasm and Nucleus in the context of cancer progression. The pathways are divided into several sections labeled A through F.

Section A (Nucleus): Shows the RB protein (blue circle) bound to E2F-1 (blue circle) and HDAC (yellow oval). A red T-bar indicates inhibition of E2F-1. A red arrow points to RB-P (phosphorylated RB), which is released from E2F-1.

Section B (Cytoplasm): Shows E7 (red oval) and P21 (yellow oval) binding to CDK2 (green oval) and Cyclin E (blue oval). A red T-bar indicates inhibition of CDK2.

Section C (Cytoplasm): Shows E7 (red oval) and P21 (yellow oval) binding to CDK2 (green oval) and Cyclin E (blue oval). A red T-bar indicates inhibition of CDK2.

Section D (Cytoplasm): Shows E6 (red oval) and E6AP (purple oval) binding to P53 (blue oval). A red T-bar indicates inhibition of P53. A red arrow points to P21 (yellow oval), which leads to Apoptosis resistance. A red arrow points to Genomic instability (yellow box).

Section E (Cytoplasm): Shows P16 (yellow oval) binding to CDK4 (green oval) and CDK6 (green oval), which are bound to Cyclin D (blue oval). A red T-bar indicates inhibition of CDK4/6.

Section F (Nucleus): Shows NF1 (blue oval) and E6 (red oval) binding to E6AP (purple oval). A red T-bar indicates inhibition of E6AP. A red arrow points to hTERT (teal oval), which leads to Telomere erosion. A red arrow points to Cellular immortalization (yellow box).

Central Pathway: A red starburst labeled "Malignant progression" is the central outcome. It is influenced by Genomic instability (yellow box), Additional genetic alterations (?), and Deregulated cell cycle progression (yellow box). Deregulated cell cycle progression is also influenced by S phase gene expressions (yellow box) and Deregulation of DNA damage repair and cellular senescence (yellow box).

Labels: The diagram is divided into "Cytoplasm" (top) and "Nucleus" (bottom) by a curved line. The "CCR Molecular Pathways" label is at the bottom left.

The HPV E6 protein forms a complex with an E3 ubiquitin ligase, E6-associated protein (E6AP), and ubiquitinates the p53 tumor suppressor protein (Fig. 1D; refs. 19–21). The ubiquitination causes rapid degradation of p53, which results in deregulation of both the G1/S and G2/M cell cycle checkpoints upon DNA damage and other cellular stress leading to genomic instability (22). The HPV E7 protein binds to the cullin 2 ubiquitin ligase complex and ubiquitinates the retinoblastoma (pRb) tumor suppressor protein (Fig. 1A; refs. 23–25). Again, the ubiquitination induces degradation of pRb resulting in uncon-

Recent studies indicate that E6 and E7 have multiple binding partners that exert oncogenic effects beyond degradation of p53 and pRb, and have complementary effects in transforming activity. When *Rb* is deleted *in vivo*, loss of pRb recapitulates some of the phenotypes of E7 expression, but not entirely, indicating that E7 may have a Rb-independent function contributing to

tumorigenesis (28). For example, E7 interacts with the pRb-related "pocket proteins" p107 and p130, and the CDK inhibitors p21^{CIP1} and p27^{KIP1} (29–31). Inhibition of these key regulatory proteins for cell cycle arrest contributes to uncontrolled cellular proliferation and carcinogenesis (Fig. 1B and C). E6, in concert with E6AP, induces telomerase activity through activation of hTERT via degradation of NFX1, a transcription repressor of hTERT, thus contributing to cellular immortalization (Fig. 1F; ref. 32). In addition to exerting anti-apoptotic effects by degrading p53, E6 directly binds a pro-apoptotic protein Bak with E6AP, which further contributes to anti-apoptosis (33). These transforming effects work in concert such that E6 prevents E7-induced apoptosis by exerting anti-apoptotic effects degrading p53 and Bak, and that E7 rescues E6 from p16^{INK4A} inhibition by direct activation of cyclins A and E and functional inactivation of p16^{INK4A} bypassing its regulation (33, 34).

However, despite having multiple binding partners with oncogenic effects as well as enabling the cells to acquire genetic alterations that cause genomic instability, expression of E6 and E7 alone is not sufficient to cause malignant progression or oncogenic transformation (reviewed in ref. 1). Currently, the additional genetic events that are required for the development of cancer are unknown. Also unclear is whether these genetic events will be common among specific HPV-associated cancers (for instance, oropharyngeal cancers) or common to all HPV-associated cancers (e.g., cervical, vulvar, vaginal, anal, penile, and oropharyngeal cancers). Compared with HPV-negative head and neck cancers, HPV-positive cancers have fewer genome-wide DNA copy number alterations, less genome-wide hypomethylation, less frequent *TP53* mutations, and lower expression of EGFR (7, 35–38). For instance, HPV-negative tumors have losses at 3p11.2-26.3, 5q11.2-35.2, and 9p21.1-24, and gains or amplifications at 11q12.1-13.4, which are absent in HPV-positive tumors. Interestingly, 18q12.1-23 is gained in HPV-positive tumors and lost in HPV-negative tumors (35). In gene expression analyses, the most prominent differences between HPV-positive and -negative tumors are found in cell cycle regulatory pathways (39, 40). HPV-positive tumors have up-regulation of cyclins E and B as well as multiple S-phase proteins that are responsive to activation by the E2F transcription factors, likely as a consequence to E7-induced pRb loss (41). As a consequence of feedback loops from pRb loss, HPV-positive tumors have up-regulation of *CDKN2A* (*p16INK4a*; Fig. 1E; ref. 42). In addition to the cell cycle-regulated genes, up-regulation of testis-specific genes (e.g., *SYCP2*, *TCAM1*, and *STAG3*) is observed in HPV-positive tumors. Although *SYCP2* and *TCAM1* expression are synergistically up-regulated by E6 and E7, *STAG3* expression increase is not an immediate effect of the viral infection; rather, it is a delayed response that is passage-dependent (40).

Further understanding of these additional genetic alterations leading to malignant progression is critical to future secondary prevention strategies as well as rationally targeted therapeutic interventions for patients with HPV-positive cancers. The prevalence of genital HPV infection among women aged 14 to 59 years in the United States is ~26.8% (43), with HPV16 prevalence being ~1.5%. Among men, initial estimates for genital infection are even higher at ~60% (44, 45). Prevalence estimates for oral HPV infection in the U.S. population are currently unknown, but it is assumed from cervical data that the majority of

individuals with an oral HPV16 infection will not develop cancer. Identification of the additional factors that promote cancer among those with an oral HPV infection may result in novel screening methods or novel therapeutic targets in selected high-risk subpopulations.

Clinical-Translational Advances

To investigate the effects of HPV in human tumors, optimization of HPV detection methods with both high sensitivity and high specificity is crucial. Several well-established methods exist. Although detection of HPV E6 and E7 expression is the gold standard for classifying a tumor as HPV-positive, detecting viral RNA in existing clinical samples (e.g., formalin-fixed paraffin-embedded tumors or cytologic specimens from fine-needle aspiration) is impractical for cancer diagnostics at this time. Therefore, several PCR-based, as well as *in-situ* hybridization (ISH) assays, are currently used to detect HPV DNA in tumors. The p16^{INK4A} immunohistochemical (IHC) staining of tumors has also been used as a surrogate marker for HPV presence (7, 8, 46, 47). Overall, the PCR-based assays have higher sensitivity with lower specificity owing to the presence of transcriptionally inactive viral DNA or cross-contamination of samples that may cause falsely positive results (48). Commercial ISH assays capable of detecting multiple high-risk HPV types have lower sensitivity but can be used to visualize the HPV genome specifically within tumor cell nuclei (49). IHC staining for p16^{INK4A} is an excellent surrogate marker for HPV infection, reflecting the functional effects of E7-induced inactivation of pRb (Fig. 1D; refs. 47, 49, 50). Some investigators have proposed p16^{INK4A} IHC staining as an initial screen, followed by HPV detection with more specific assays in tumors that are p16^{INK4A} IHC positive (51).

When clinical outcomes are evaluated on the basis of tumor HPV status, patients with HPV-positive oropharyngeal cancers have favorable outcomes compared with patients with HPV-negative cancer. In a phase III trial, Radiation Therapy Oncology Group 0129, 64% of 323 oropharyngeal tumors analyzed for HPV were HPV positive using ISH capable of detecting the most common 13 high-risk HPV types. These patients with HPV-positive tumors were younger, had less extensive tobacco exposure, better performance status, and smaller primary tumors compared with HPV-negative patients (49). The clinical outcomes after treatment with cisplatin and radiation therapy were significantly better in patients with HPV-positive compared with HPV-negative tumors (2-year overall survival 87.9% versus 65.8%, *P* value < 0.001; progression-free survival 71.8% versus 50.4%, *P* value < 0.001; and local-regional failure 13.6% versus 24.8%, *P* value 0.004). Favorable outcomes with HPV-positive patients have been observed independent of the treatment modalities used including chemotherapy, radiation, and/or surgery (52–54). However, a subset of the HPV-positive patients experience worse outcomes when compared with the average HPV-positive patient, resembling more of the clinical course in HPV-negative patients. This subset of patients has more extensive smoking histories, *TP53* mutations, and higher EGFR and Bcl-xL expressions suggesting that the HPV status alone is not an adequate prognostic marker to perfectly segregate patients (38, 49, 55).

Because of the distinct biology and clinical behavior within subgroups of oropharyngeal cancer patients, clinician-scientists now propose to do clinical trials separately or at least stratify based on HPV status. For example, when a phase III clinical trial is done to compare drug X versus drug Y, the drug X arm may falsely show survival benefit if there are disproportionately more HPV-positive patients in the drug X arm compared with the drug Y arm. The apparent survival benefit of drug X may be entirely driven by a disproportionate number of patients with good prognosis, which may lead to the erroneous conclusion that drug X is better than drug Y. In addition, the distinct molecular mechanisms underlying HPV-positive and -negative tumors may lead to interaction effects whereby responses to drug X and/or drug Y are influenced by HPV status, which may also give the appearance that drug X and drug Y have different treatment responses. Therefore, the investigators have to ensure that the same number of HPV-positive patients is included in comparison groups by using the recent data for stratification. Cur-

rently, many of the completed clinical trial data that enrolled unselected patients are undergoing retrospective analyses in order to see the effect of HPV status versus treatment variables with respect to their survival outcomes. Furthermore, investigators are reevaluating current approaches for intensification of treatment by stratifying risk groups in order to avoid unnecessary toxicities among patients with favorable prognoses. How best to treat these patients is the subject of ongoing clinical trial design discussions and will best be determined through the cooperative groups. Additional correlative studies are required to further delineate the subset of patients with HPV-positive tumors that have a worse prognosis than would be expected from their HPV status. The role of the currently available HPV vaccines should be also investigated for cancer prevention.

Disclosure of Potential Conflicts of Interest

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