

**CHAPTER 13: DISK STORAGE, BASIC FILE STRUCTURES, AND HASHING**

**13.23** Consider a disk with the following characteristics (these are not parameters of any particular disk unit): block size  $B=512$  bytes, interblock gap size  $G=128$  bytes, number of blocks per track= $20$ , number of tracks per surface= $400$ . A disk pack consists of 15 double-sided disks.

- What is the total capacity of a track and what is its useful capacity (excluding interblock gaps)?
- How many cylinders are there?
- What is the total capacity and the useful capacity of a cylinder?
- What is the total capacity and the useful capacity of a disk pack?
- Suppose the disk drive rotates the disk pack at a speed of 2400 rpm (revolutions per minute); what is the transfer rate in bytes/msec and the block transfer time btt in msec? What is the average rotational delay  $rd$  in msec? What is the bulk transfer rate (see Appendix B)?
- Suppose the average seek time is 30 msec. How much time does it take (on the average) in msec to locate and transfer a single block given its block address?
- Calculate the average time it would take to transfer 20 random blocks and compare it with the time it would take to transfer 20 consecutive blocks using double buffering to save seek time and rotational delay.

**13.24** A file has  $r=20000$  STUDENT records of fixed-length. Each record has the following fields: NAME (30 bytes), SSN (9 bytes), ADDRESS (40 bytes), PHONE (9 bytes), BIRTHDATE (8 bytes), SEX (1 byte), MAJORDEPTCODE (4 bytes), MINORDEPTCODE (4 bytes), CLASSCODE (4 bytes, integer), and DEGREEPROGRAM (3 bytes). An additional byte is used as a deletion marker. The file is stored on the disk whose parameters are given in Exercise 4.18.

- Calculate the record size  $R$  in bytes.
- Calculate the blocking factor  $bfr$  and the number of file blocks  $b$  assuming an unspanned organization.
- Calculate the average time it takes to find a record by doing a linear search on the file if (i) the file blocks are stored contiguously and double buffering is used, and (ii) the file blocks are not stored contiguously.
- Assume the file is ordered by SSN; calculate the time it takes to search for a record given its SSN value by doing a binary search.

**13.25** Suppose only 80% of the STUDENT records from Exercise 13.24 have a value for PHONE, 85% for MAJORDEPTCODE, 15% for MINORDEPTCODE, and 90% for DEGREEPROGRAM, and we use a variable-length record file. Each record has a 1-byte field type for each field occurring in the record, plus the 1-byte deletion marker and a 1-byte end-of-record marker. Suppose we use a spanned record organization, where each block has a 5-byte pointer

to the next block (this space is not used for record storage).

(a) Calculate the average record length  $R$  in bytes.

(b) Calculate the number of blocks needed for the file.

**13.26** Suppose that a disk unit has the following parameters: seek time  $s=20$  msec; rotational delay  $rd=10$  msec; block transfer time  $btt=1$  msec; block size  $B=2400$  bytes; interblock gap size  $G=600$  bytes. An EMPLOYEE file has the following fields: SSN, 9 bytes; LASTNAME, 20 bytes; FIRSTNAME, 20 bytes; MIDDLE INIT, 1 byte; BIRTHDATE, 10 bytes; ADDRESS, 35 bytes; PHONE, 12 bytes; SUPERVISORSSN, 9 bytes; DEPARTMENT, 4 bytes; JOBCODE, 4 bytes; deletion marker, 1 byte. The EMPLOYEE file has  $r=30000$  STUDENT records, fixed-length format, and unspanned blocking. Write down appropriate formulas and calculate the following values for the above EMPLOYEE file:

(a) The record size  $R$  (including the deletion marker), the blocking factor  $bfr$ , and the number of disk blocks  $b$ .

(b) Calculate the wasted space in each disk block because of the unspanned organization.

(c) Calculate the transfer rate  $tr$  and the bulk transfer rate  $btr$  for this disk (see Appendix B for definitions of  $tr$  and  $btr$ ).

(d) Calculate the average number of block accesses needed to search for an arbitrary record in the file, using linear search.

(e) Calculate the average time needed in msec to search for an arbitrary record in the file, using linear search, if the file blocks are stored on consecutive disk blocks and double buffering is used.

(f) Calculate the average time needed in msec to search for an arbitrary record in the file, using linear search, if the file blocks are not stored on consecutive disk blocks.

(g) Assume that the records are ordered via some key field. Calculate the average number of block accesses and the average time needed to search for an arbitrary record in the file, using binary search.

**13.27** A PARTS file with Part# as hash key includes records with the following Part# values: 2369, 3760, 4692, 4871, 5659, 1821, 1074, 7115, 1620, 2428, 3943, 4750, 6975, 4981, 9208. The file uses 8 buckets, numbered 0 to 7. Each bucket is one disk block and holds two records. Load these records into the file in the given order using the hash function  $h(K)=K \bmod 8$ . Calculate the average number of block accesses for a random retrieval on Part#.

**13.28** Load the records of Exercise 13.27 into expandable hash files based on extendible hashing. Show the structure of the directory at each step. Show the directory at each step, and the global and local depths. Use the has function  $h(k) = K \bmod 32$ .