

# Parallel and Distributed Databases

# Parallel vs. Distributed

## **Parallel DBMSs:**

- Nodes are physically close to each other.
- Nodes connected with high-speed LAN.
- Communication cost is assumed to be small.

## **Distributed DBMSs:**

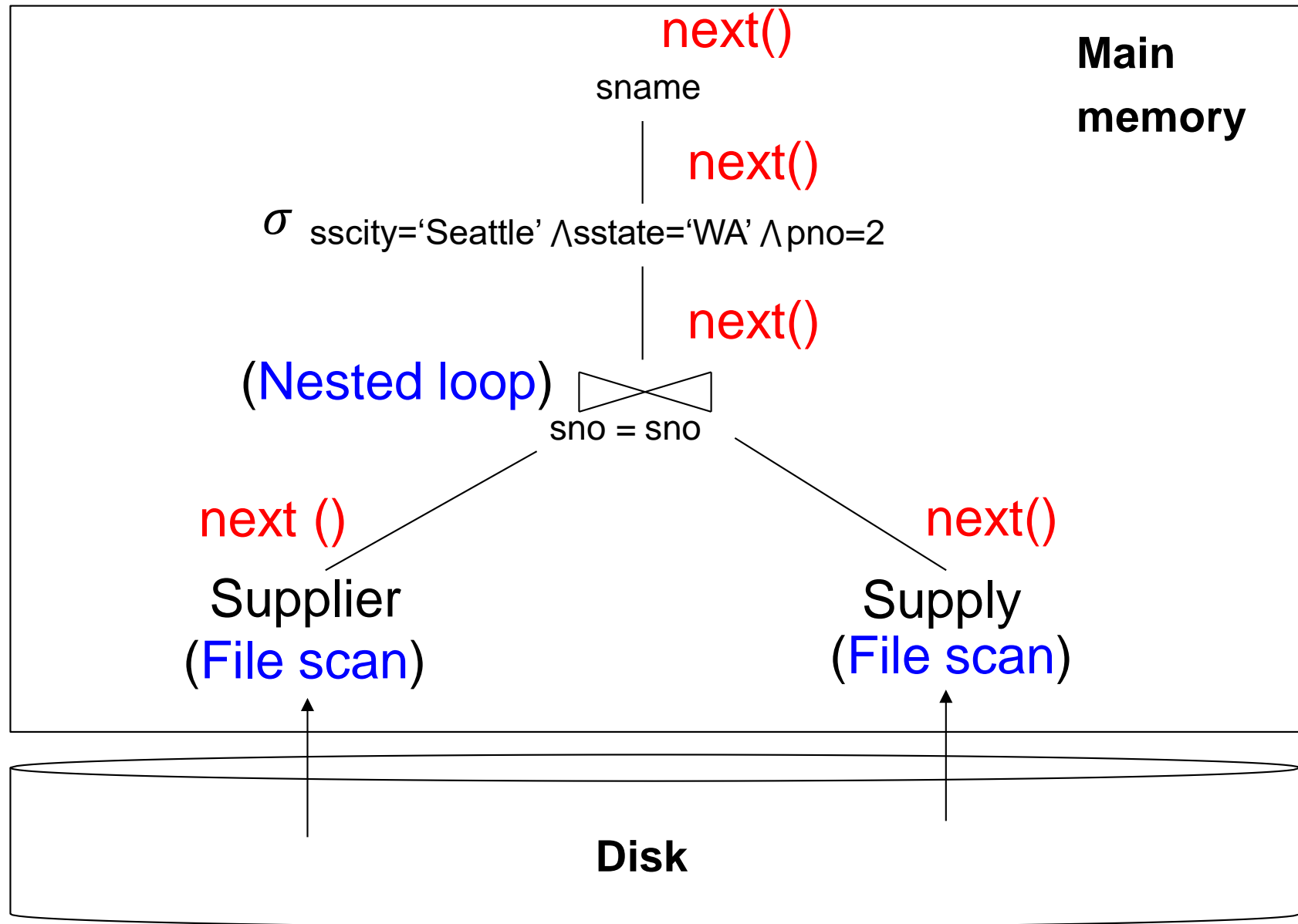
- Nodes can be far from each other.
- Nodes connected using public network.
- Communication cost and problems cannot be ignored.

# Parallel and Distributed DBMS

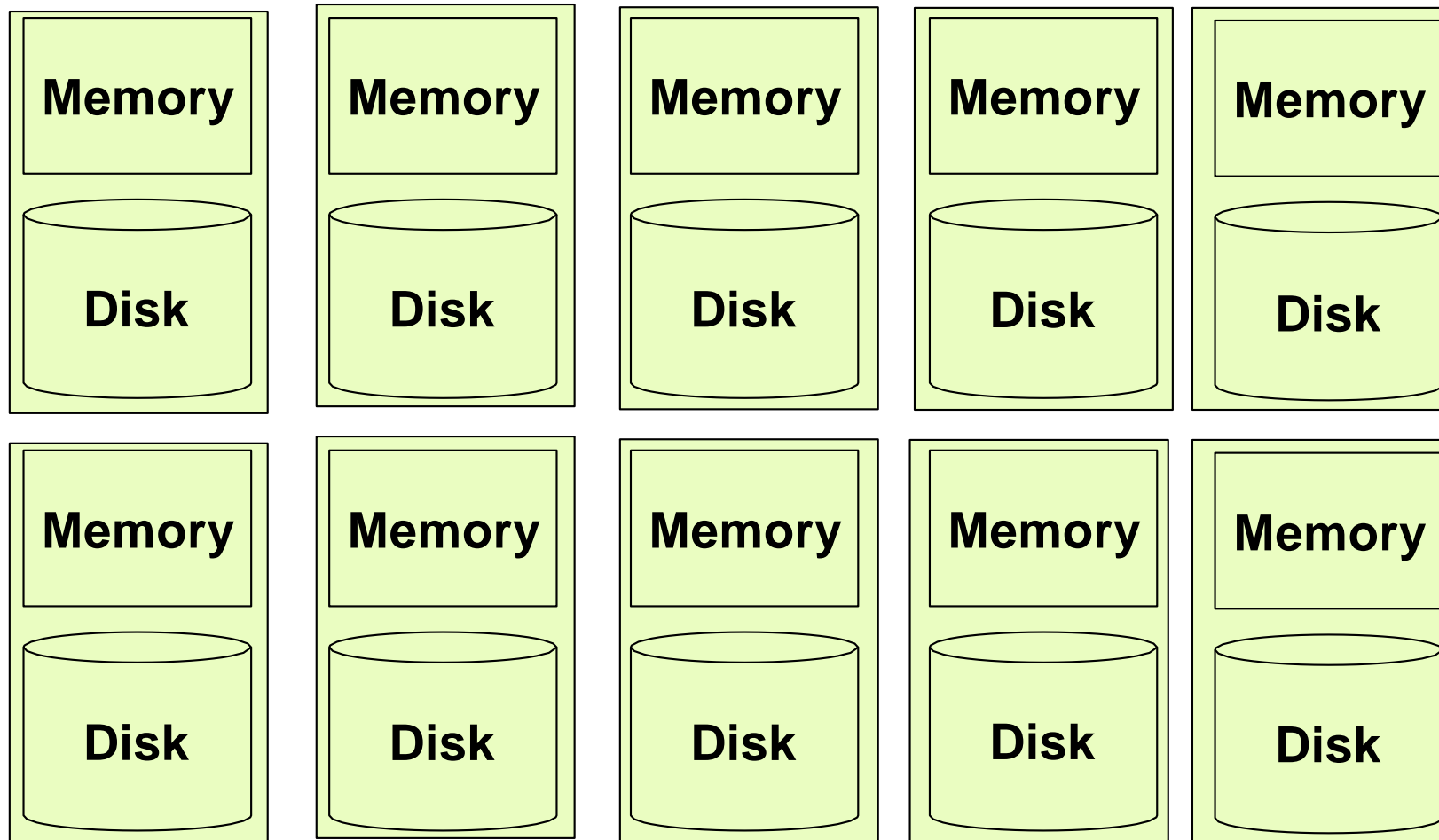
Use the building blocks that we covered in single- node DBMSs to now support transaction processing and query execution in parallel and distributed environments.

- Optimization & Planning
- Concurrency Control
- Logging & Recovery

# Serial Query Execution



# What if we Have a Cluster and a Large Amount of Data?



# Serial Query Execution Algorithms

Basic query processing **on one node** (one node = one process)

- Serial execution: One machine with one process and one thread

Given relations  $R(A,B)$  and  $S(B, C)$ , **no indexes**, how do we compute:

- **Selection:**  $\sigma_{A=123}(R)$ 
  - Scan file R, select records with  $A=123$
- **Group-by:**  $\gamma_{A, \text{sum}(B)}(R)$ 
  - Scan file R, insert into a hash table using attribute A as key
  - When a new key is equal to an existing one, add B to the value
- **Join:**  $R \bowtie S$ 
  - Scan file S, insert into a hash table using join attribute as key
  - Scan file R, probe the hash table using join attribute to look up matches

# Parallel Query Execution Algorithms?

How do we **compute** these operations on a shared-nothing parallel db?

- **Selection**:  $\sigma_{A=123}(R)$
- **Group-by**:  $\gamma_{A, \text{sum}(B)}(R)$
- **Join**:  $R \bowtie S$

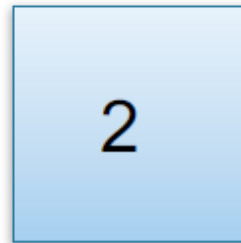
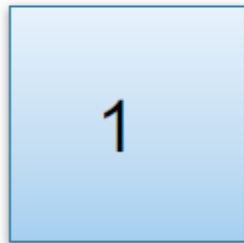
Before we answer that: how do we **store** R (and S) on a shared-nothing parallel db?

# Horizontal Data Partitioning

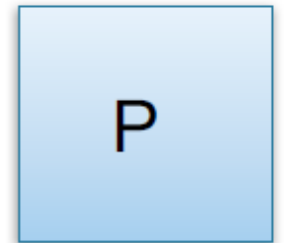
Data:

<u>K</u>	A	B
...	...	

Servers:



. . .



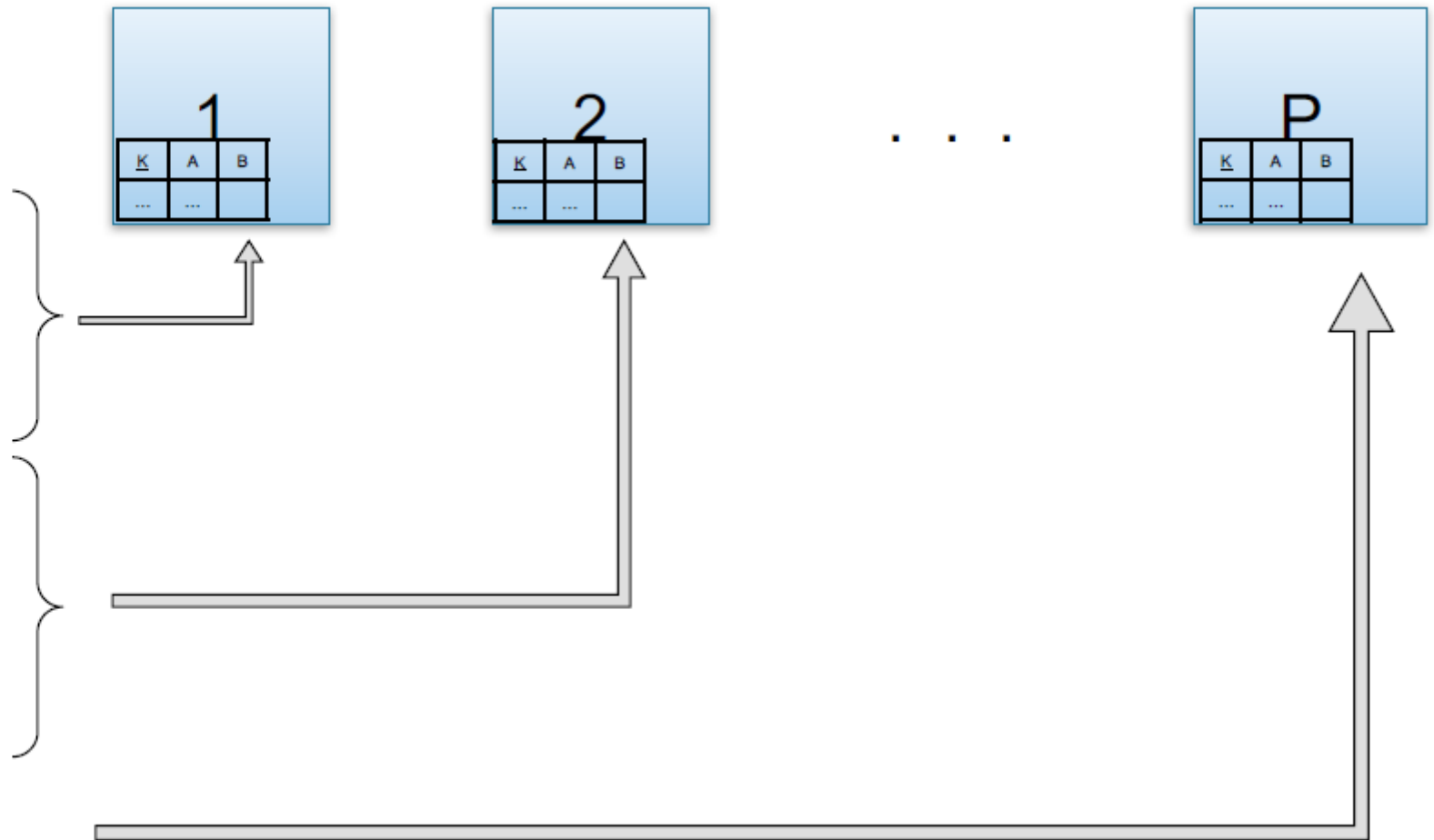


# Horizontal Data Partitioning

Data:

<u>K</u>	A	B
...	...	

Servers:

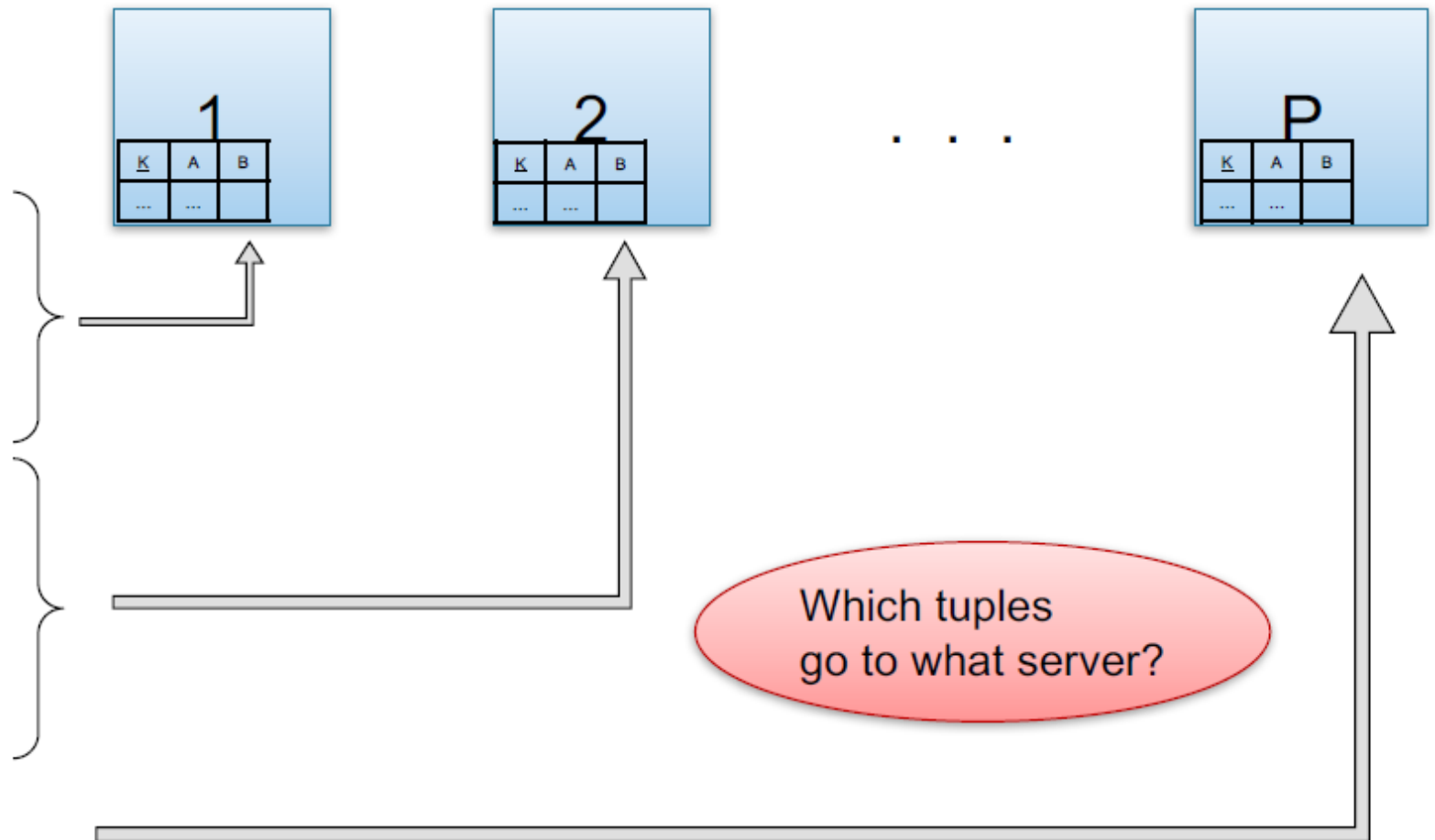


# Horizontal Data Partitioning

Data:

<u>K</u>	A	B
...	...	

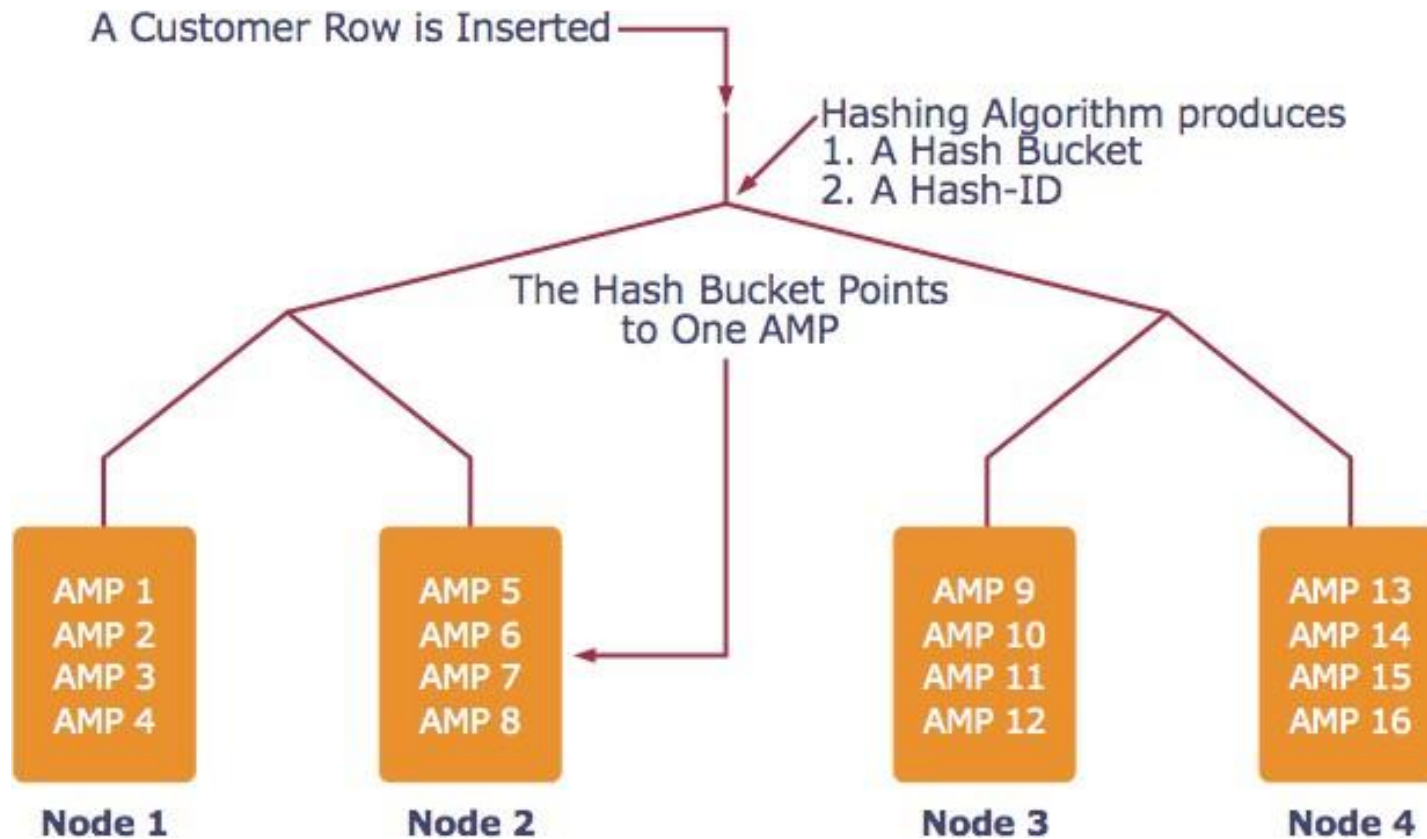
Servers:



# Horizontal Data Partitioning

- **Block Partition:**
  - Partition tuples arbitrarily s.t.  $\text{size}(R_1) \approx \dots \approx \text{size}(R_P)$
- **Hash partitioned on attribute A:**
  - Tuple  $t$  goes to chunk  $i$ , where  $i = h(t.A) \bmod P + 1$
- **Range partitioned on attribute A:**
  - Partition the range of  $A$  into  $-\infty = v_0 < v_1 < \dots < v_P = \infty$
  - Tuple  $t$  goes to chunk  $i$ , if  $v_{i-1} < t.A < v_i$

# Ingesting Data – Teradata Example



*AMP = “Access Module Processor” = unit of parallelism*

# Parallel Selection

Compute  $\sigma_{A=v}(R)$ , or  $\sigma_{v1 < A < v2}(R)$

- Block partitioned:
  - All servers must scan and filter the data
- Hash partitioned:
  - Can have all servers scan and filter the data
  - Or can optimize and only have some servers do work
- Range partitioned
  - Also only some servers need to do the work

# Parallel GroupBy

**Data:**  $R(\underline{K}, A, B, C)$

**Query:**  $\gamma_{A, \text{sum}(C)}(R)$

Discuss in class how to compute in each case:

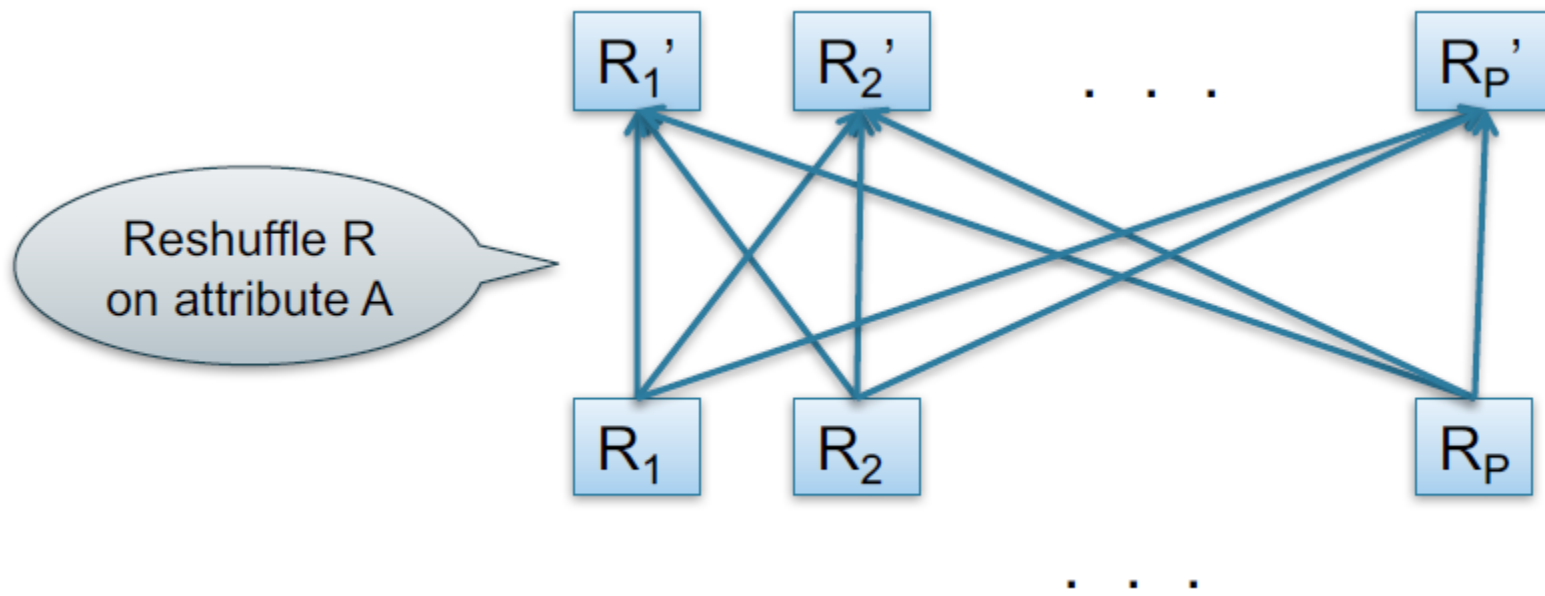
- $R$  is hash-partitioned on  $A$
- $R$  is block-partitioned
- $R$  is hash-partitioned on  $K$

# Basic Parallel GroupBy

**Data:**  $R(\underline{K}, A, B, C)$

**Query:**  $\gamma_{A, \text{sum}(C)}(R)$

- $R$  is block-partitioned or hash-partitioned on  $K$



# Basic Parallel GroupBy

- Step 1: each server  $i$  partitions tuples in its chunk  $R_i$  using a hash function  $h(t.A) \bmod P$ :  $R_{i,0}, R_{i,1}, \dots, R_{i,P-1}$
- Step 2: server  $j$  computes  $\gamma_{A, \text{sum}(B)}$  on  $R_{0,j}, R_{1,j}, \dots, R_{P-1,j}$



# Speedup and Scaleup

- Consider:
  - Query:  $\gamma_{A, \text{sum}(C)}(R)$
  - Runtime: dominated by reading chunks from disk
- If we double the number of nodes  $P$ , what is the new running time?
- If we double both  $P$  and the size of  $R$ , what is the new running time?

# Speedup and Scaleup

- Consider:
  - Query:  $\gamma_{A, \text{sum}(C)}(R)$
  - Runtime: dominated by reading chunks from disk
- If we double the number of nodes  $P$ , what is the new running time?
  - Half (each server holds  $\frac{1}{2}$  as many chunks)
- If we double both  $P$  and the size of  $R$ , what is the new running time?
  - Same (each server holds the same # of chunks)

# Basic Parallel GroupBy

Can we do better?

- Sum?
- Count?
- Avg?
- Max?
- Median?

# Basic Parallel GroupBy

Can we do better?

- Sum?
- Count?
- Avg?
- Max?
- Median?

Distributive	Algebraic	Holistic
$\text{sum}(a_1+a_2+\dots+a_9)=$ $\text{sum}(\text{sum}(a_1+a_2+a_3)+$ $\text{sum}(a_4+a_5+a_6)+$ $\text{sum}(a_7+a_8+a_9))$	$\text{avg}(B) =$ $\text{sum}(B)/\text{count}(B)$	$\text{median}(B)$

YES

- Compute partial aggregates before shuffling

# Example Query with Group By

```
SELECT a, max(b) as topb  
FROM R WHERE a > 0  
GROUP BY a
```

Machine 1

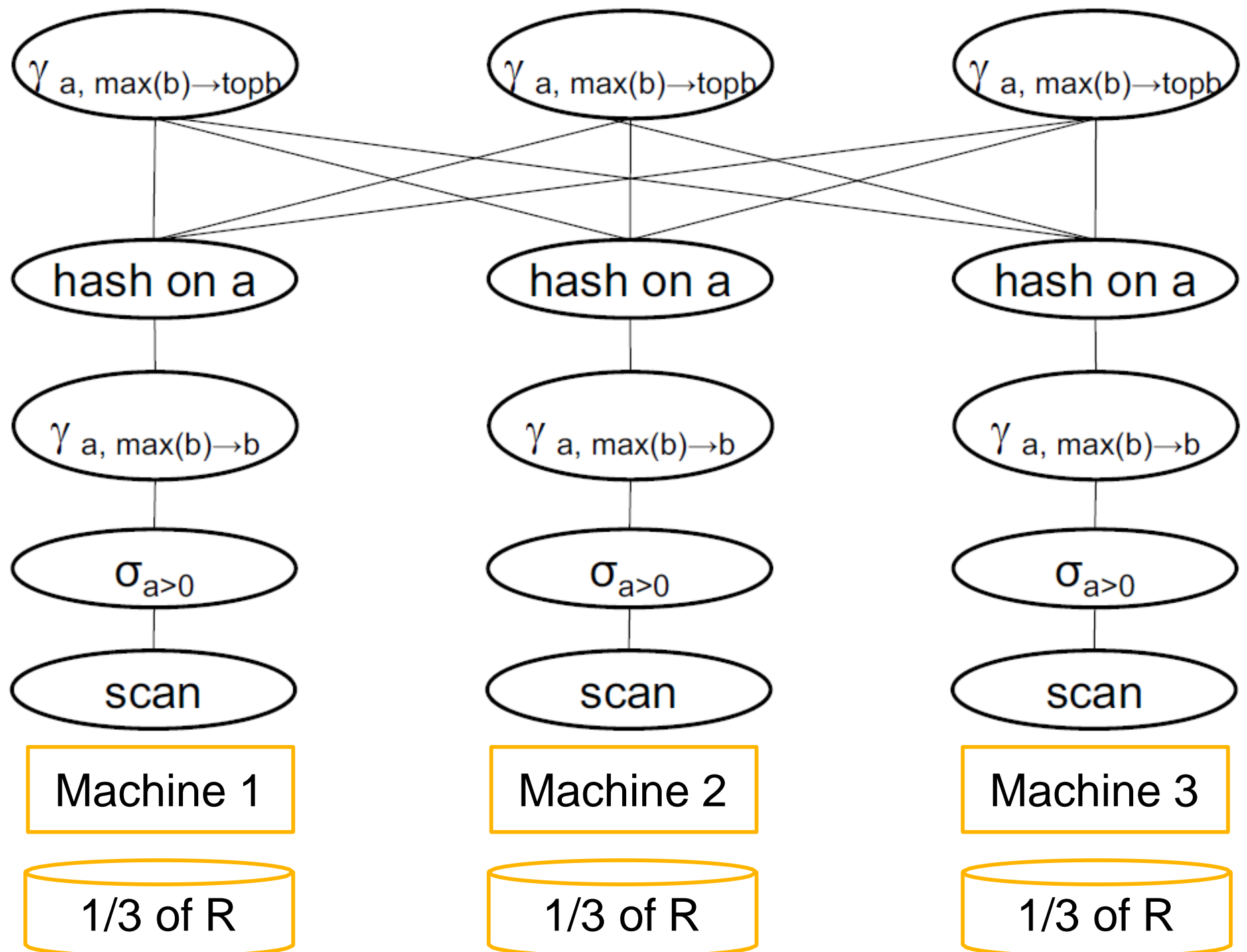
1/3 of R

Machine 2

1/3 of R

Machine 3

1/3 of R

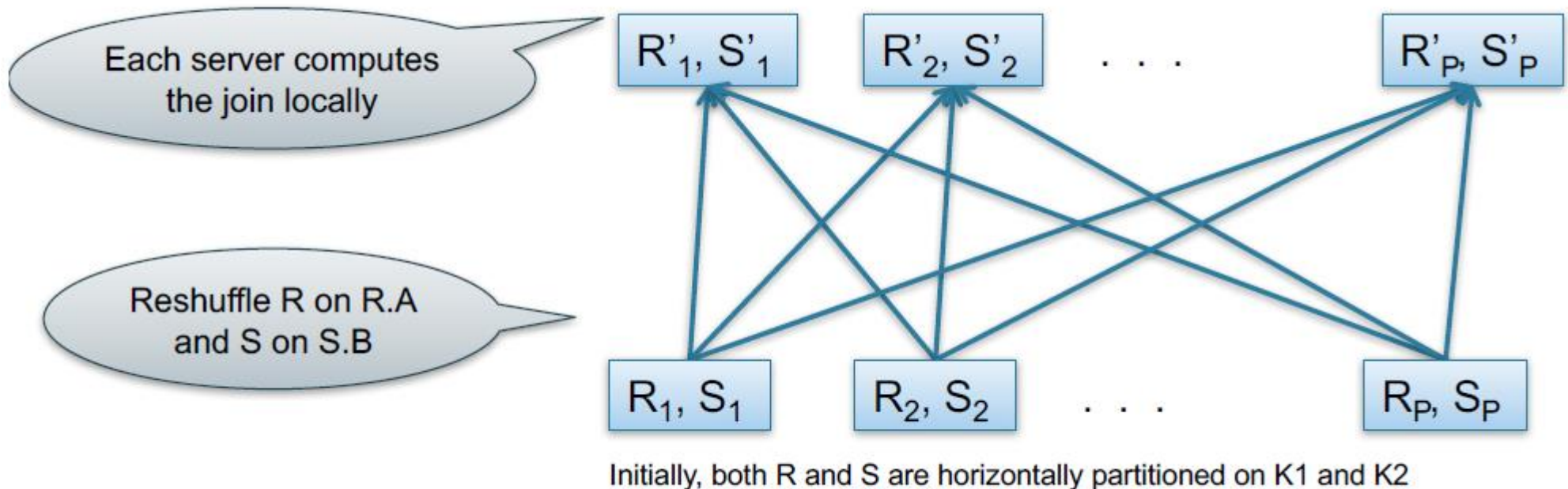


# Parallel Join: $R \bowtie_{A=B} S$

- **Data:**  $R(\underline{K1}, A, C), S(\underline{K2}, B, D)$
- **Query:**  $R(\underline{K1}, A, C) \bowtie S(\underline{K2}, B, D)$

# Parallel Join: $R \bowtie_{A=B} S$

- **Data:**  $R(\underline{K1}, A, C)$ ,  $S(\underline{K2}, B, D)$
- **Query:**  $R(\underline{K1}, A, C) \bowtie S(\underline{K2}, B, D)$





# Parallel Join: $R \bowtie_{A=B} S$

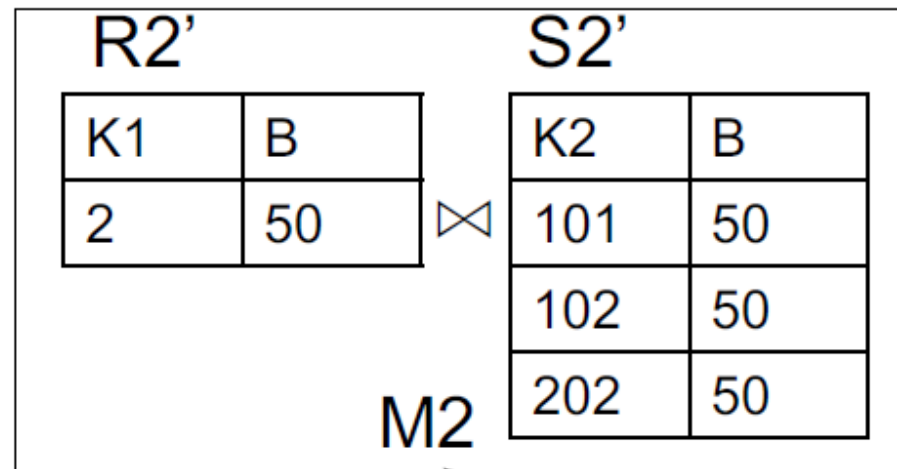
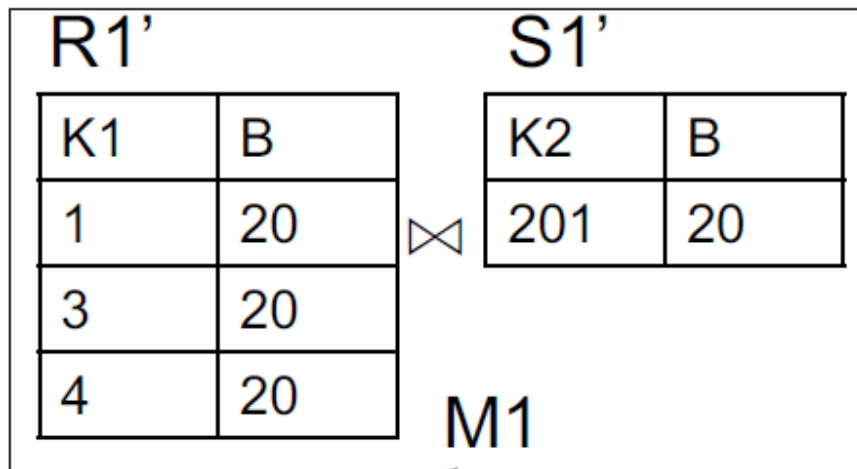
- Step 1
  - Every server holding any chunk of R partitions its chunk using a hash function  $h(t.A) \bmod P$
  - Every server holding any chunk of S partitions its chunk using a hash function  $h(t.B) \bmod P$
- Step 2:
  - Each server computes the join of its local fragment of R with its local fragment of S

Data: R(K1, A, B), S(K2, B, C)

Query: R(K1, A, B) ⋈ S(K2, B, C)

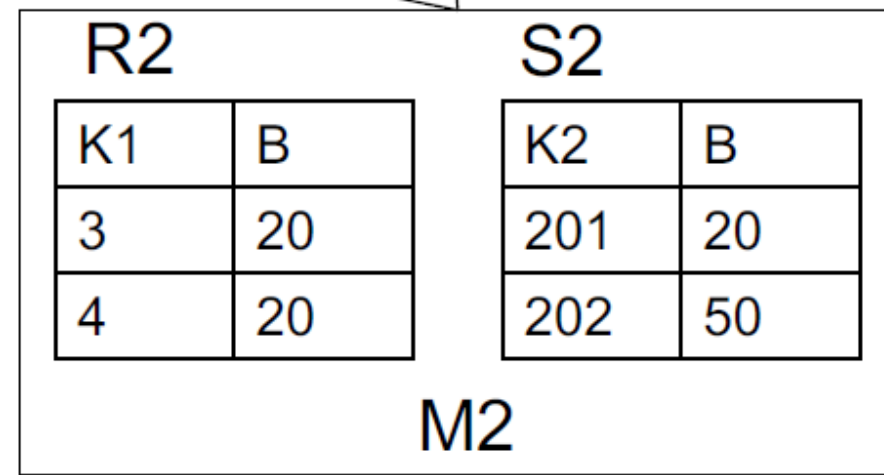
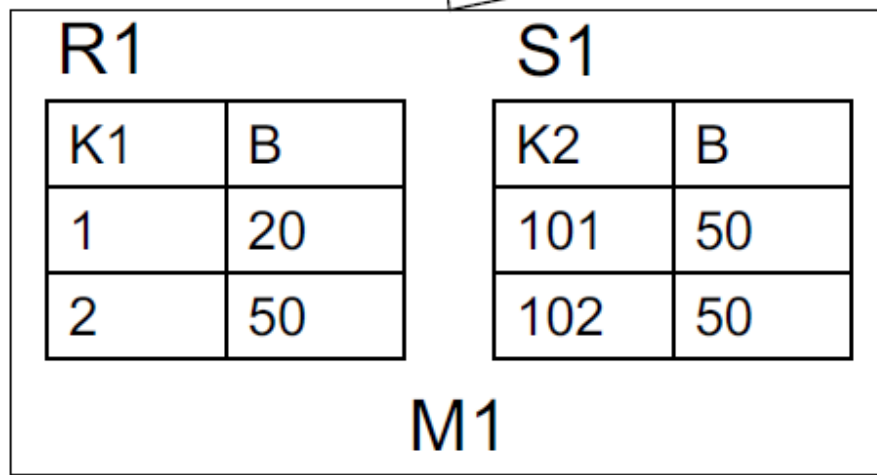
Join on R.B = S.B

Local  
Join



Shuffle

Partition



# Optimization for Small Relations

When joining R and S

- If  $|R| \gg |S|$ 
  - Leave R where it is
  - Replicate entire S relation across nodes
- Also called a **small join** or a **broadcast join**

# Other Interesting Parallel Join Implementation

Skew:

- Some partitions get more **input** tuples than others

Reasons:

- Range-partition instead of hash
  - Some values are very popular:
    - Heavy hitters values
  - Selection before join with different selectivities
- 
- Some partitions generate more **output** tuples than others

# Some Skew Handling Techniques

If using range partition:

- Ensure each range gets same number of tuples
- E.g.:  $\{1, 1, 1, 2, 3, 4, 5, 6\} \rightarrow [1,2]$  and  $[3,6]$
- Eq-depth v.s. eq-width histograms

# Some Skew Handling Techniques

Create more partitions than nodes

- And be smart about scheduling the partitions
- Note: MapReduce uses this technique
  - We will talk about MapReduce later

# Some Skew Handling Techniques

Use subset-replicate (a.k.a. “skewedJoin”)

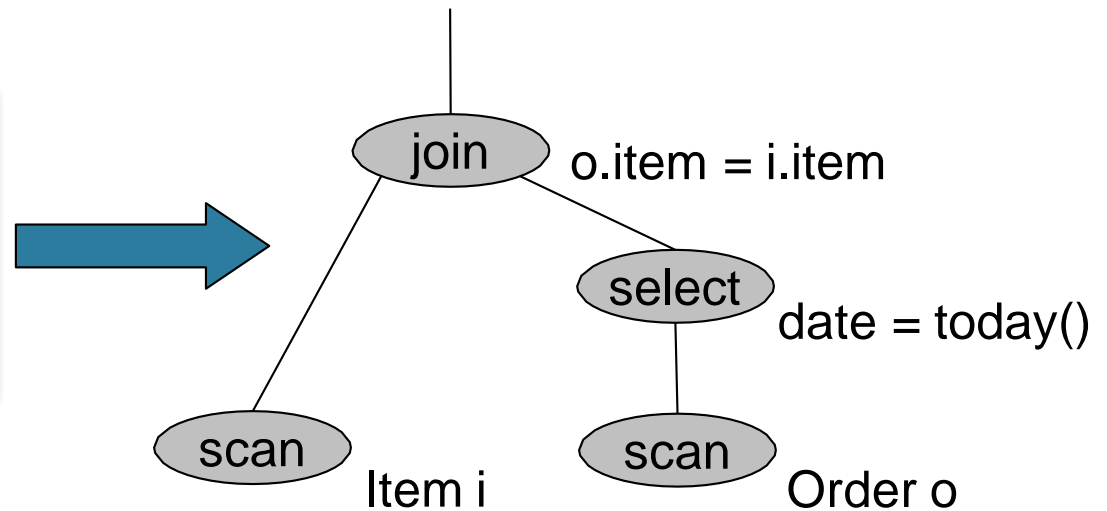
- Given  $R \bowtie_{A=B} S$
- Given a heavy hitter value  $R.A = 'v'$   
(i.e.  $'v'$  occurs very many times in  $R$ )
- Partition  $R$  tuples with value  $'v'$  across all nodes  
e.g. block-partition, or hash on other attributes
- Replicate  $S$  tuples with value  $'v'$  to all nodes
- $R$  = the build relation
- $S$  = the probe relation

Order(oid, item, date), Line(item, ...)

## Example Query Execution

*Find all orders from today, along with the items ordered*

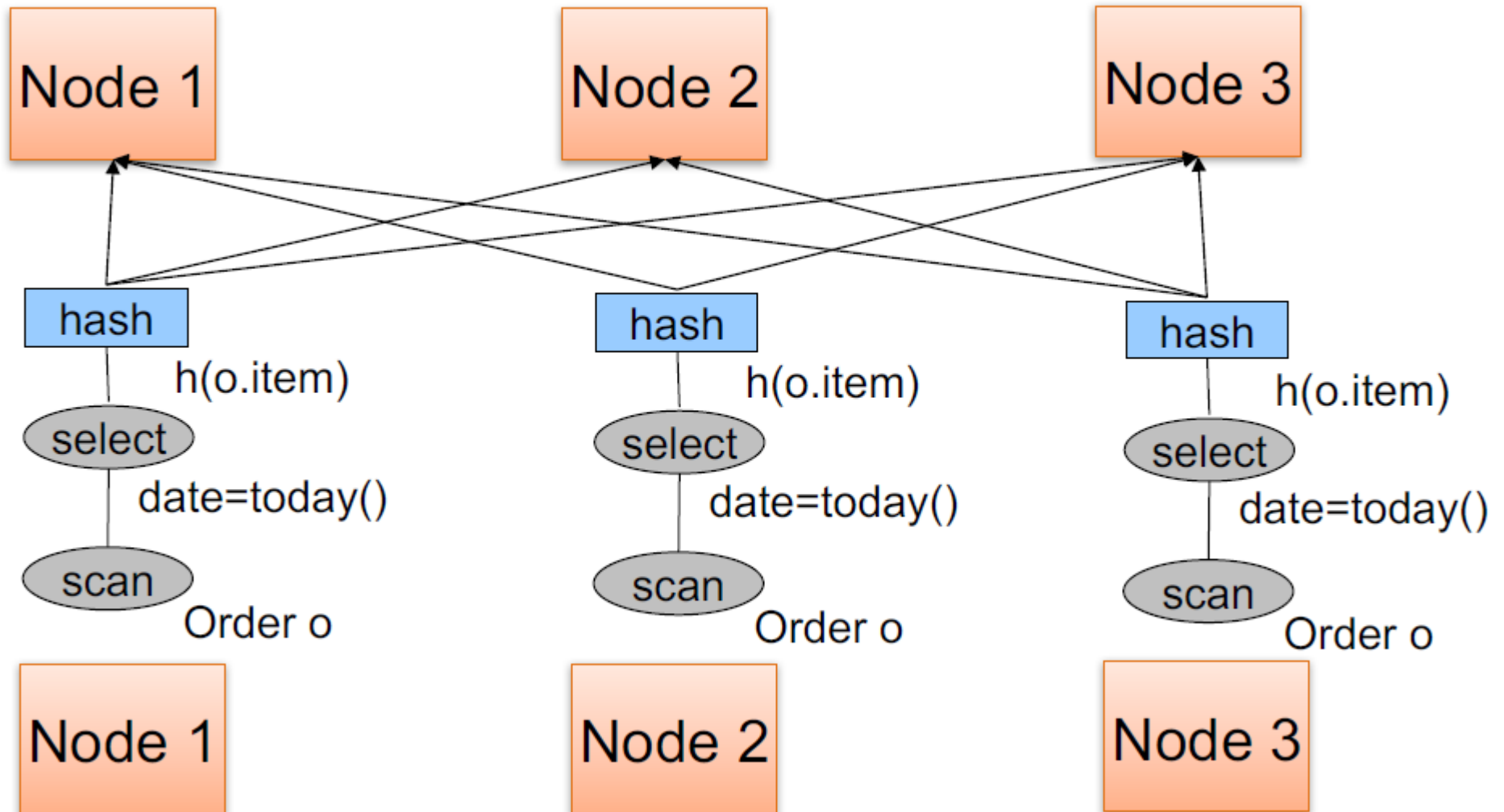
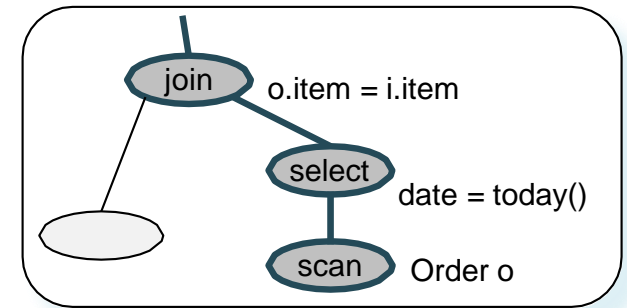
```
SELECT *  
  FROM Order o, Line i  
 WHERE o.item = i.item  
    AND o.date = today()
```





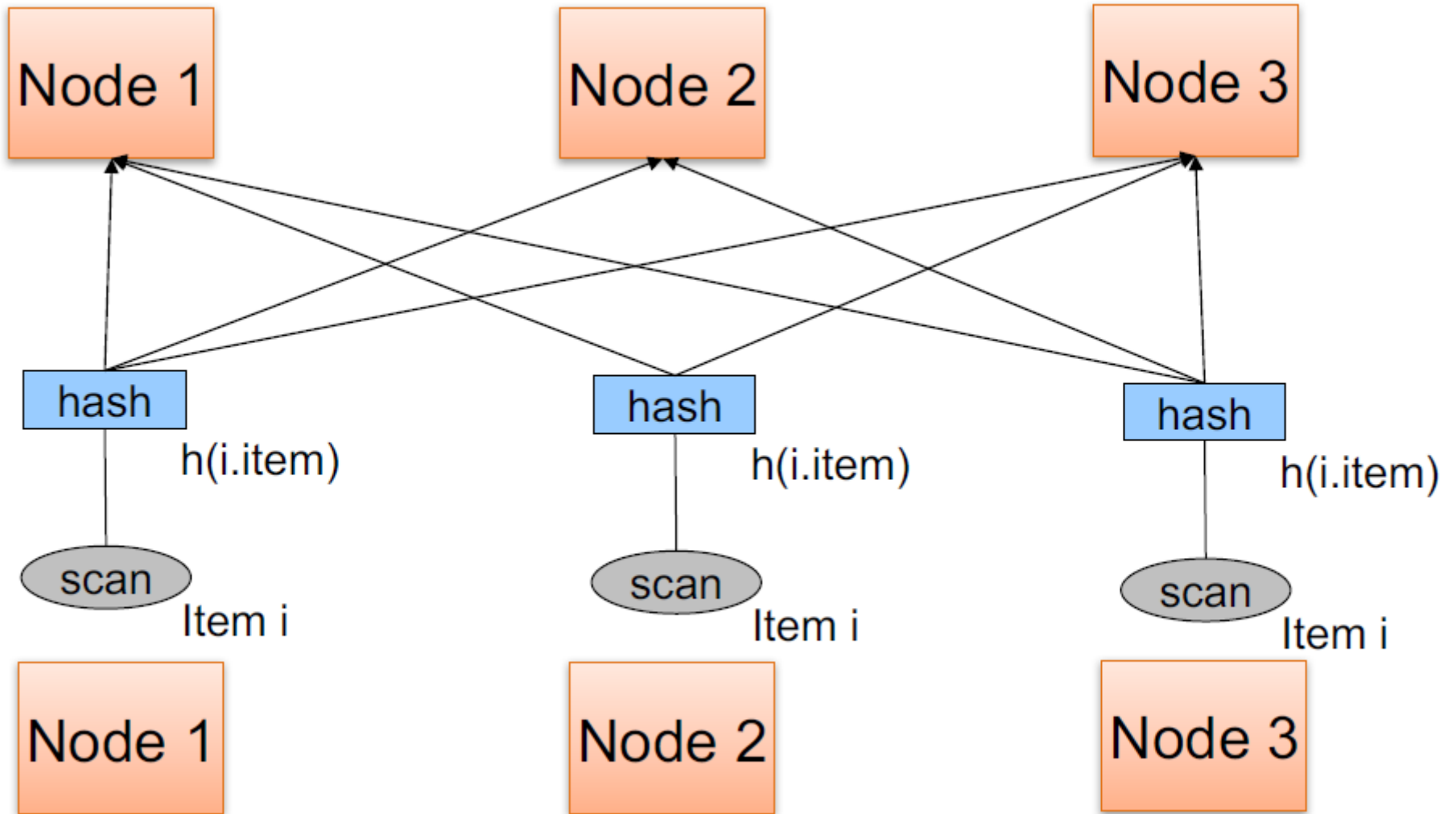
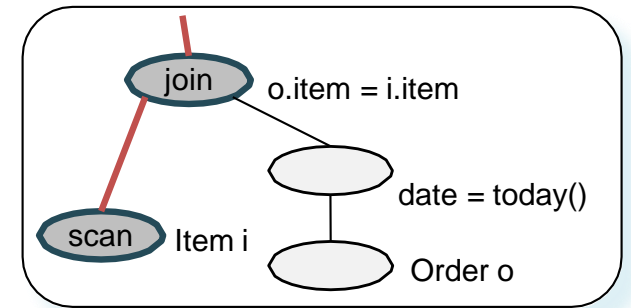
Order(oid, item, date), Line(item, ...)

# Query Execution



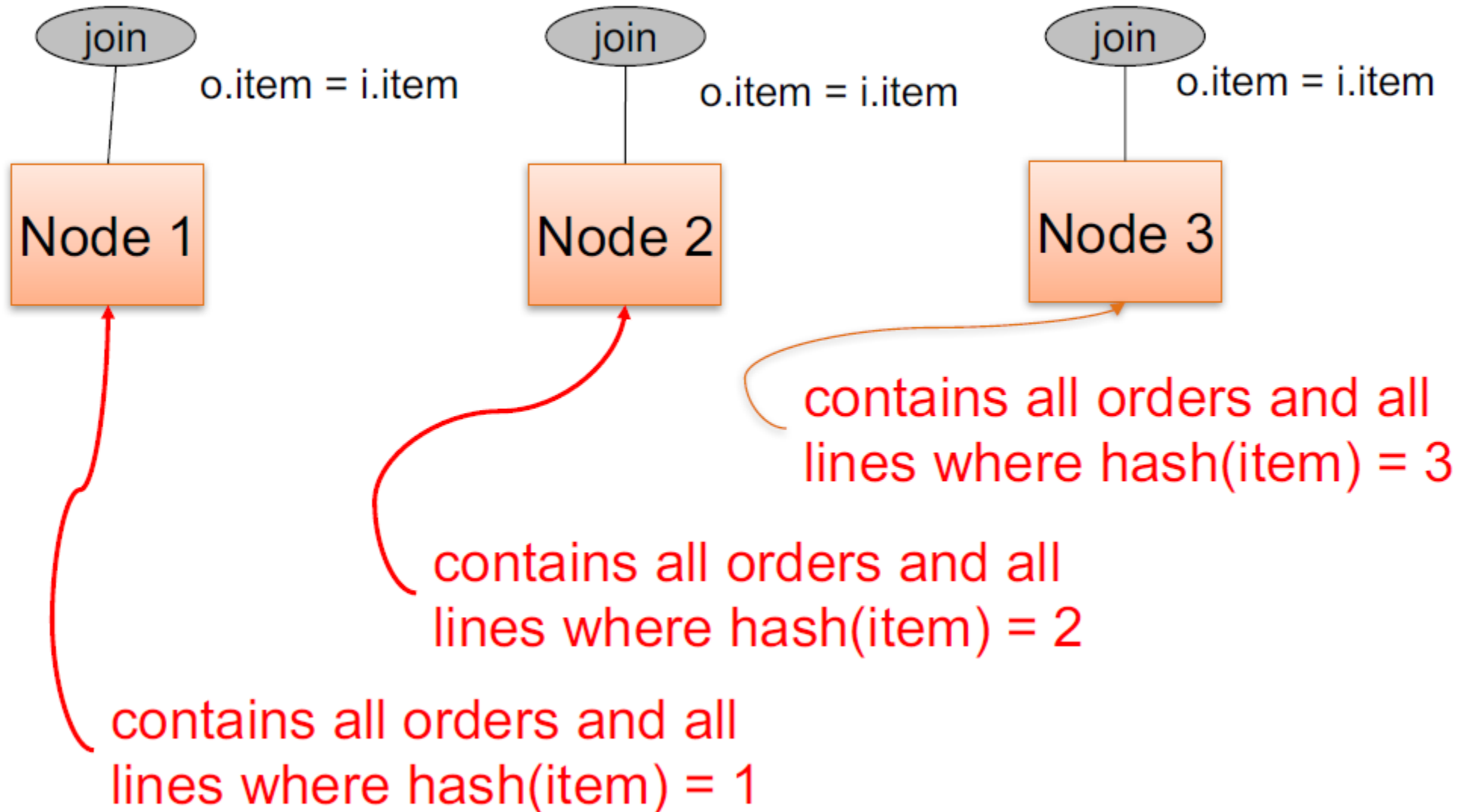
Order(oid, item, date), Line(item, ...)

# Query Execution



Order(oid, item, date), Line(item, ...)

# Query Execution



## Example 2

```
SELECT *  
FROM R, S, T  
WHERE R.b = S.c AND S.d = T.e AND (R.a - T.f) > 100
```

Machine 1

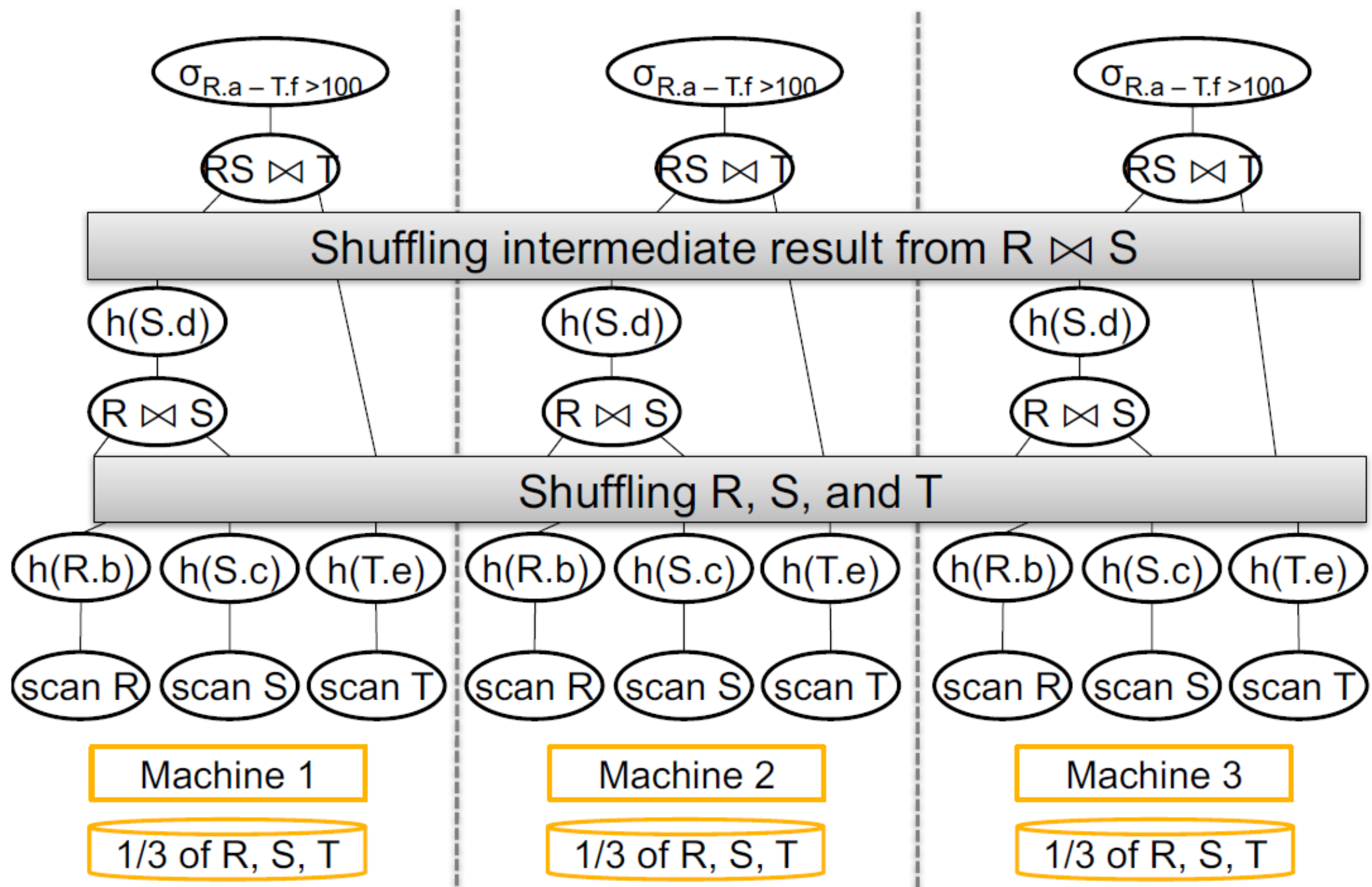
1/3 of R, S, T

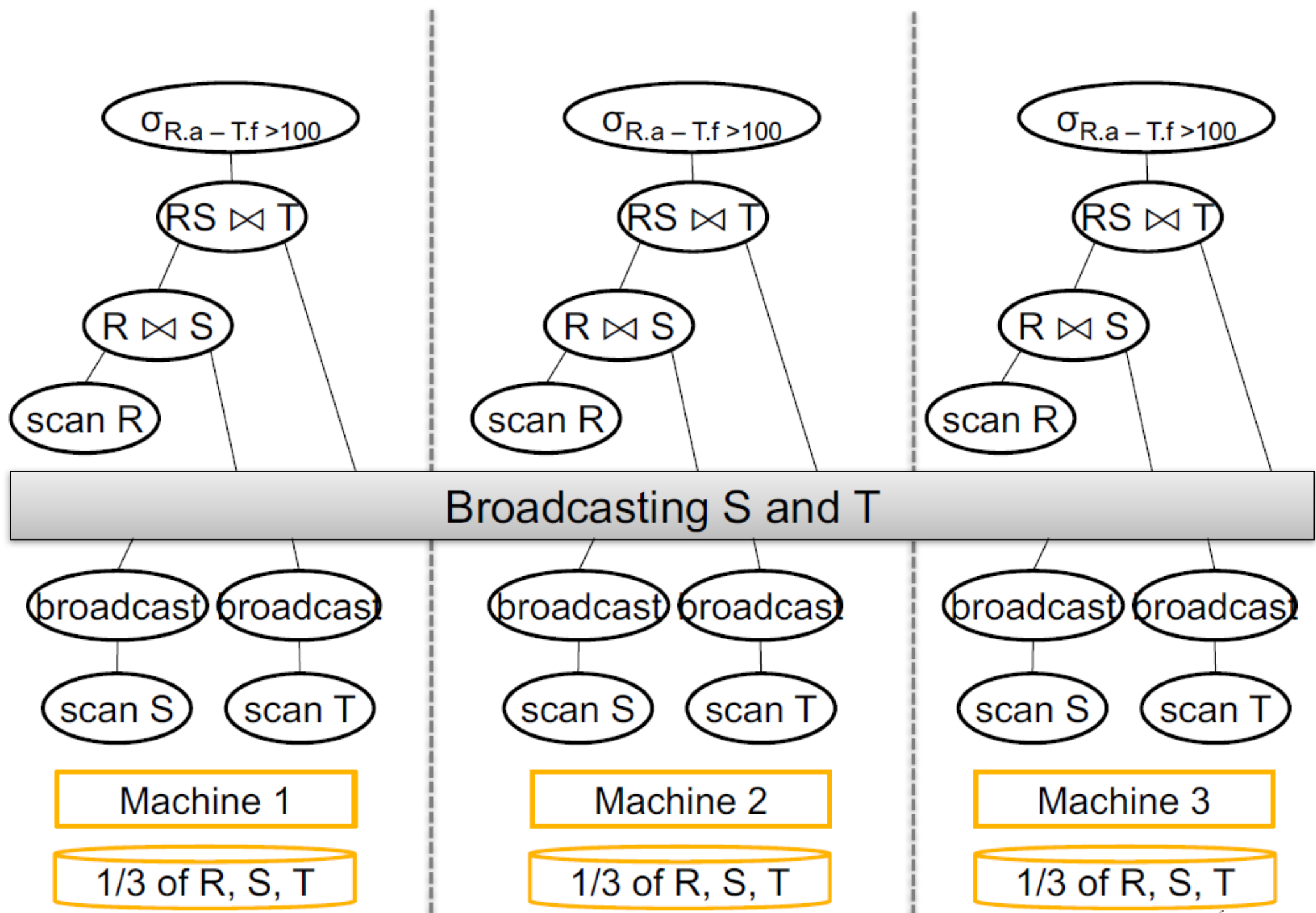
Machine 2

1/3 of R, S, T

Machine 3

1/3 of R, S, T





# Atomic Commitment

Informally: either all participants commit a transaction, or none do

“participants” = partitions involved in a given transaction

# So, What's Hard?

All the problems of consensus...

...plus, if *any* node votes to *abort*, all must decide to *abort*

- » In consensus, simply need agreement on “some” value



# Two-Phase Commit

Canonical protocol for atomic commitment  
(developed 1976-1978)

Basis for most fancier protocols

Widely used in practice

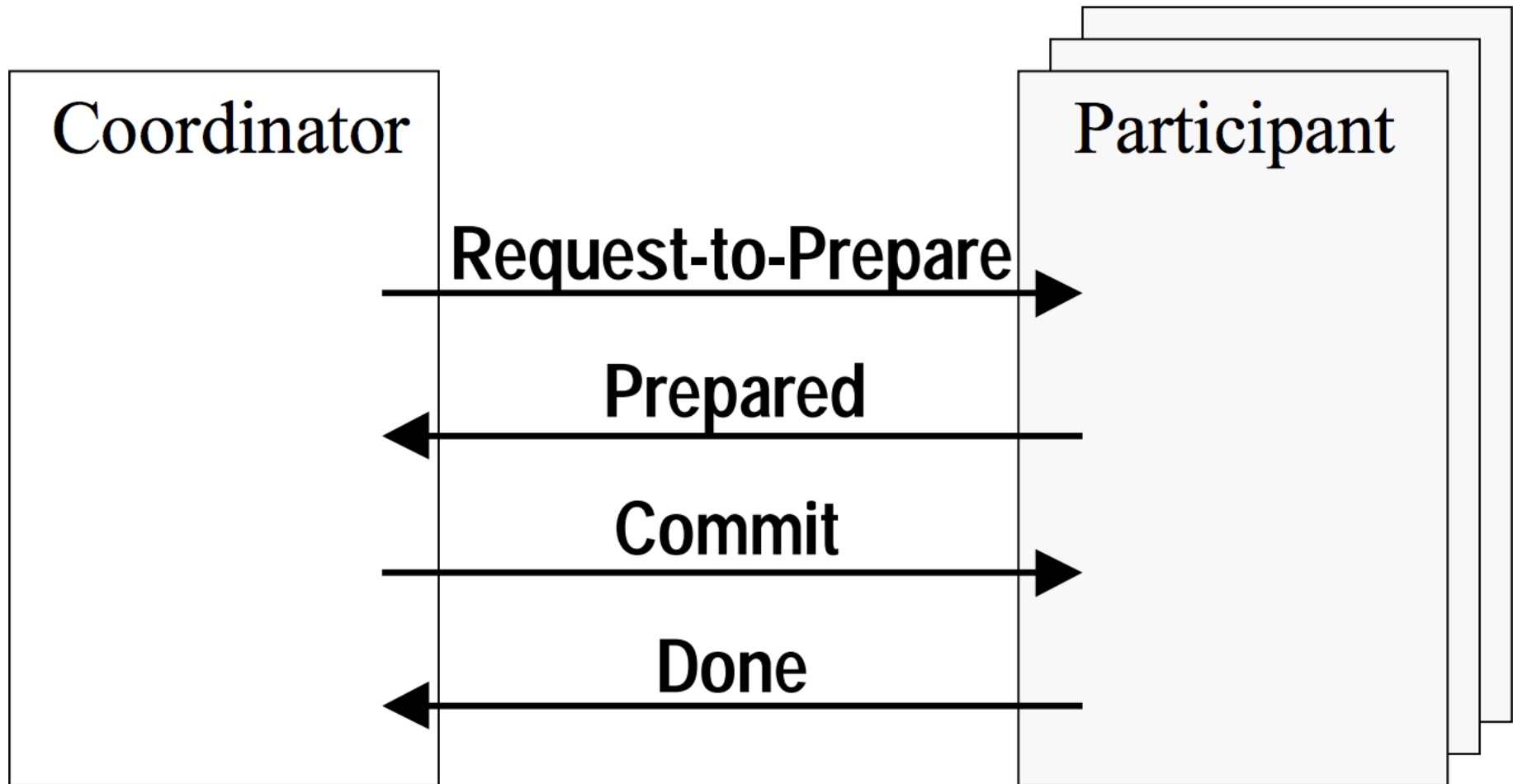
Use a transaction *coordinator*

» Usually client – not always!

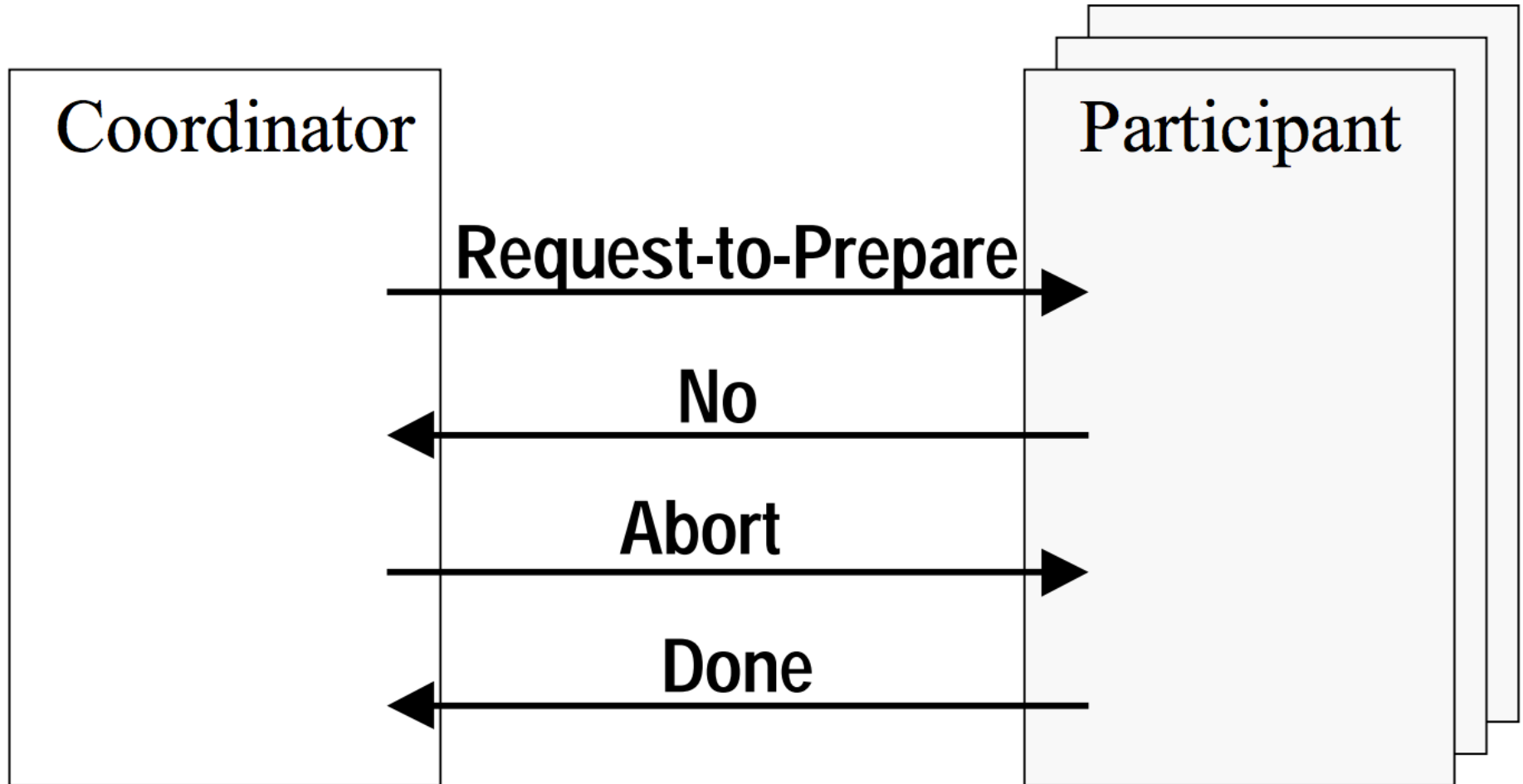
# Two Phase Commit (2PC)

1. Transaction coordinator sends *prepare* message to each participating node
2. Each participating node responds to coordinator with *prepared* or *no*
3. If coordinator receives all *prepared*:
  - » Broadcast *commit*
4. If coordinator receives any *no*:
  - » Broadcast *abort*

# Case 1: Commit



# Case 2: Abort



# 2PC + 2PL

Traditionally: run 2PC at commit time

- » i.e., perform locking as usual, then run 2PC to have all participants agree that the transaction will commit

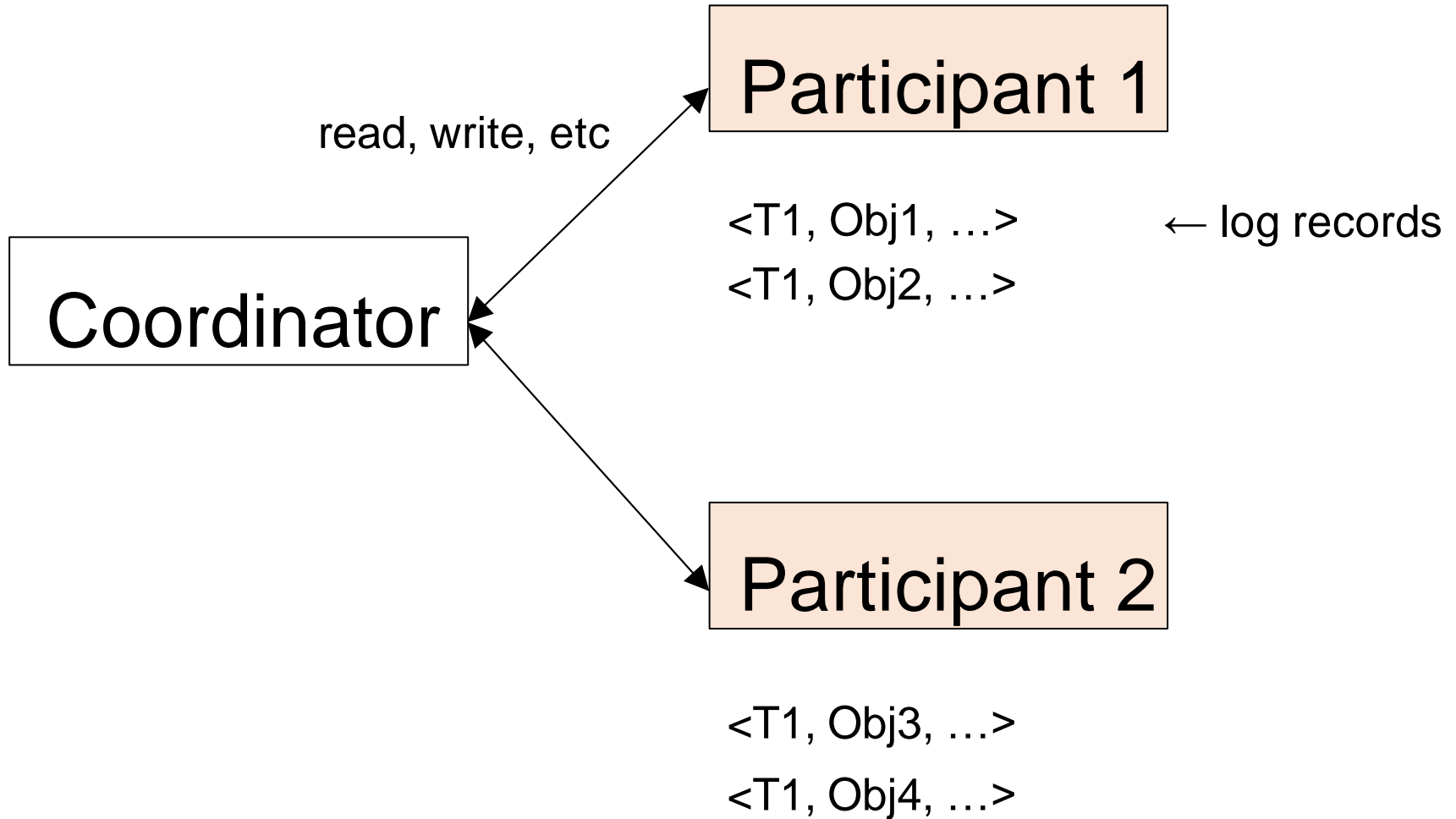
Under strict 2PL, run 2PC before unlocking the write locks

# 2PC + Logging

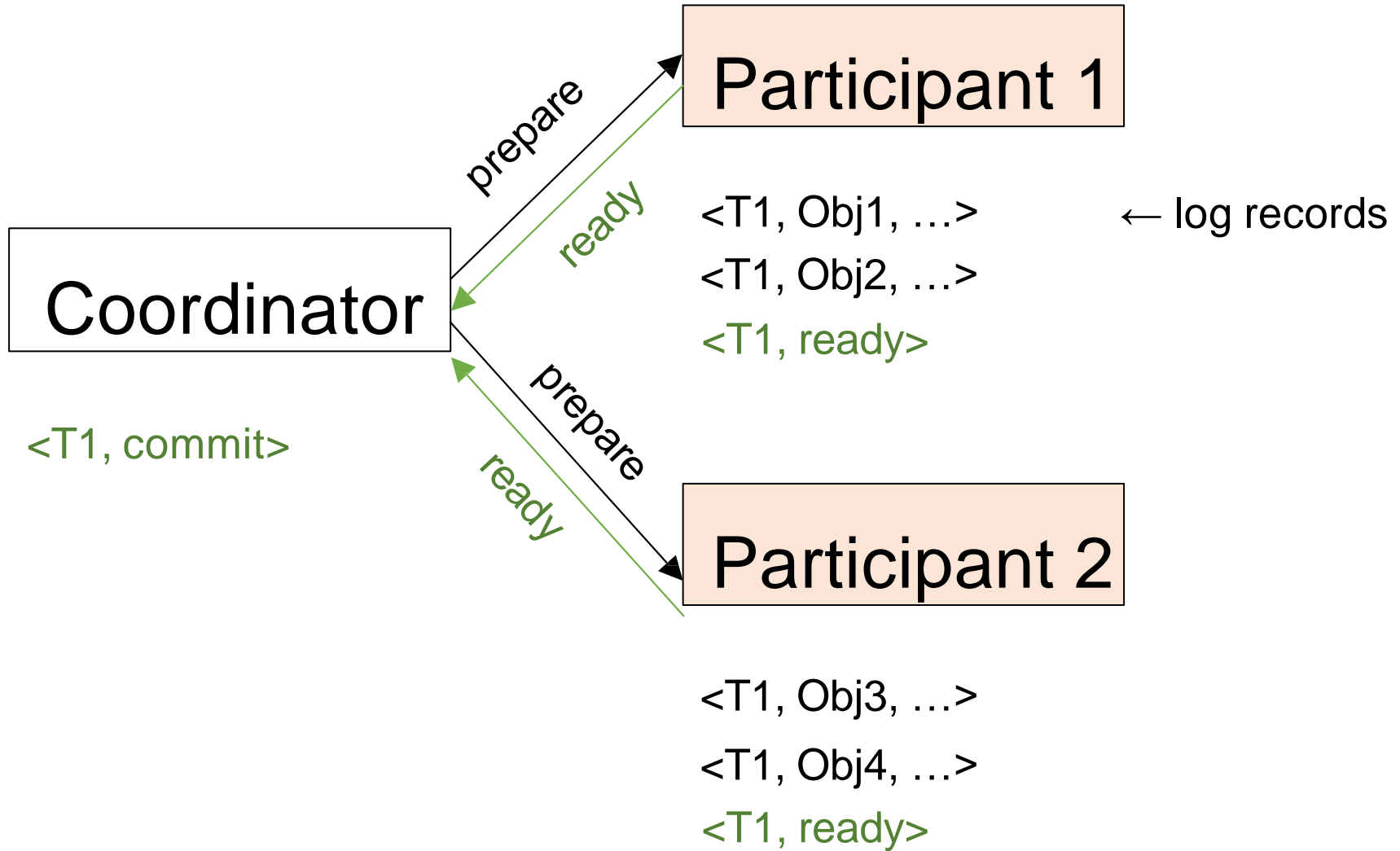
Log records must be flushed to disk on each participant before it replies to *prepare*

- » The participant should log how it wants to respond + data needed if it wants to commit

# 2PC + Logging Example

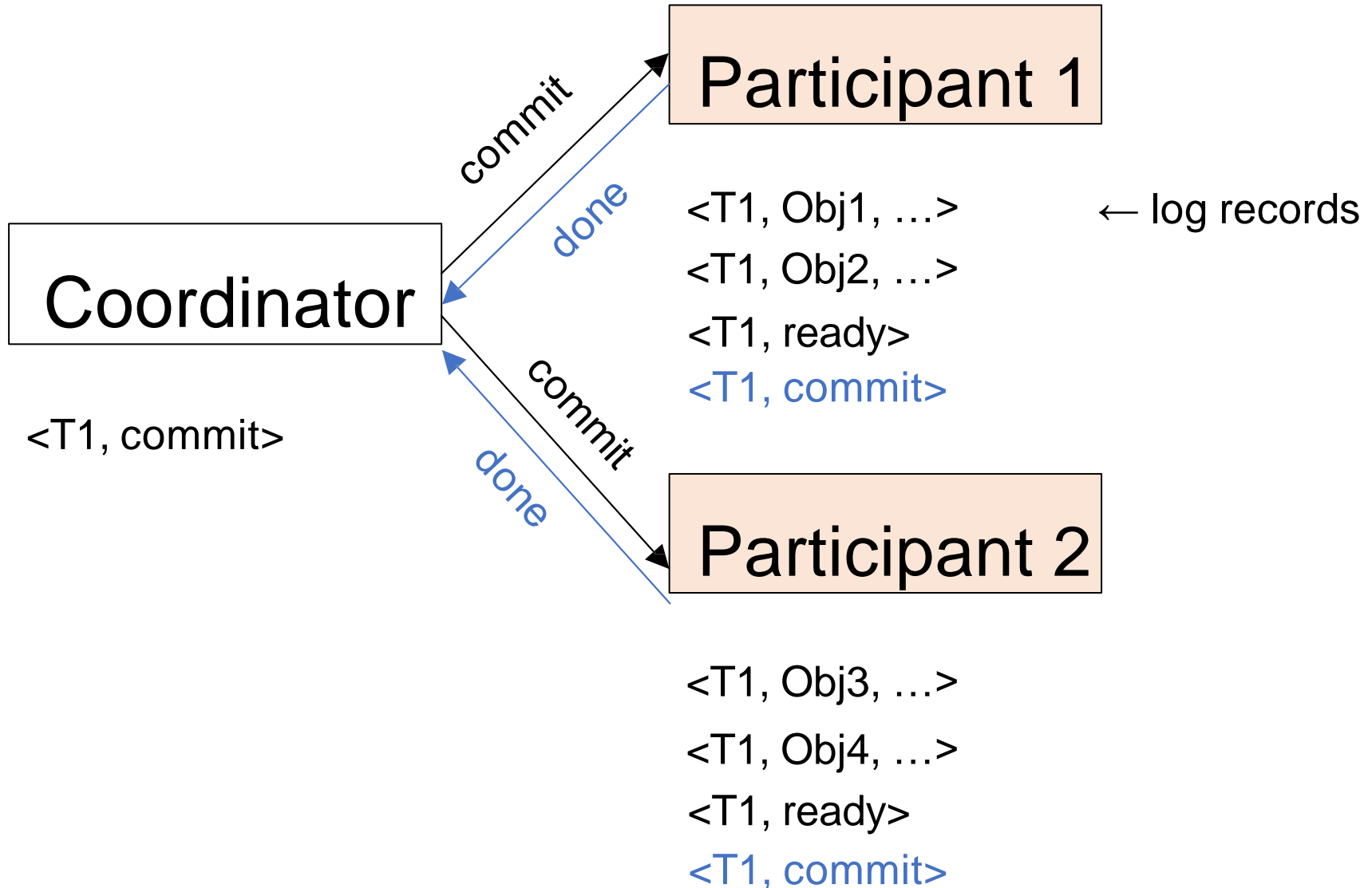


# 2PC + Logging Example





# 2PC + Logging Example



# Optimizations Galore

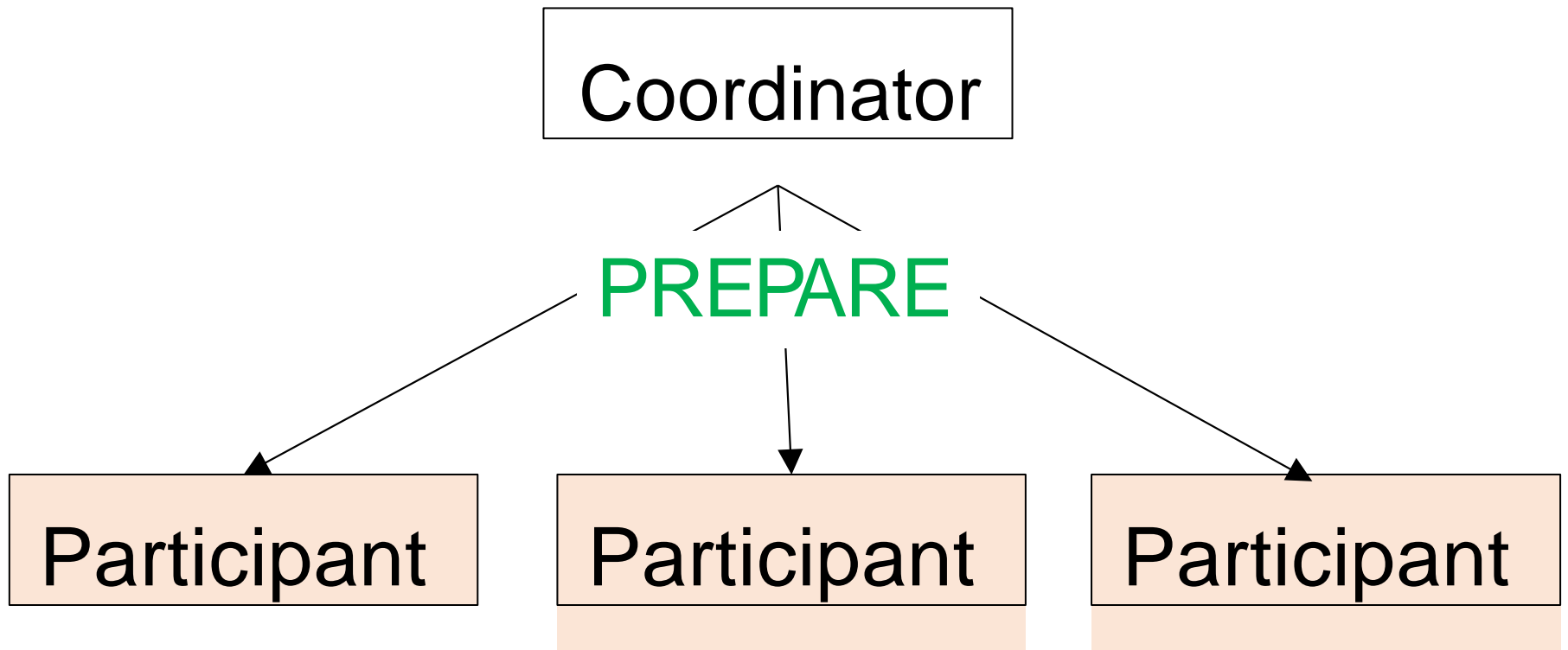
Participants can send *prepared* messages to each other:

- » Can commit without the client
- » Requires  $O(P^2)$  messages

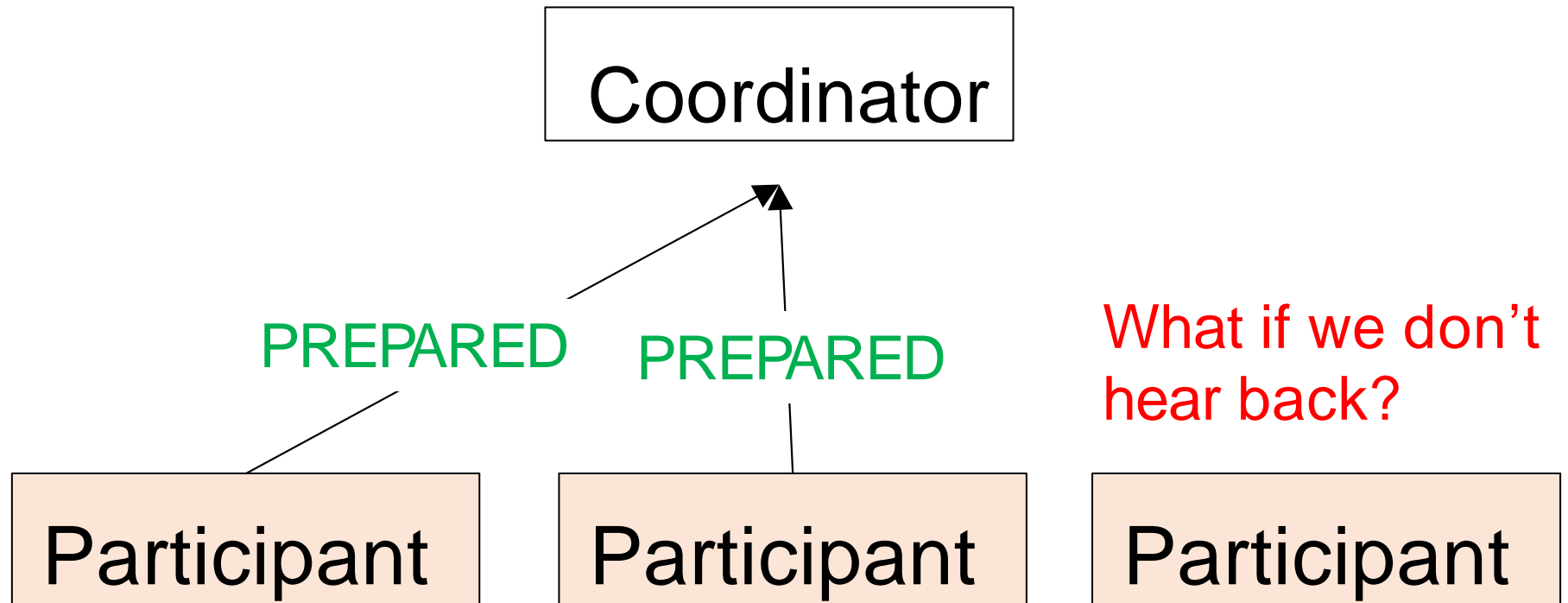
Piggyback transaction's last command on *prepare* message

2PL: piggyback lock “unlock” commands on *commit/abort* message

# What Could Go Wrong?



# What Could Go Wrong?



# Case 1: Participant Unavailable

We don't hear back from a participant

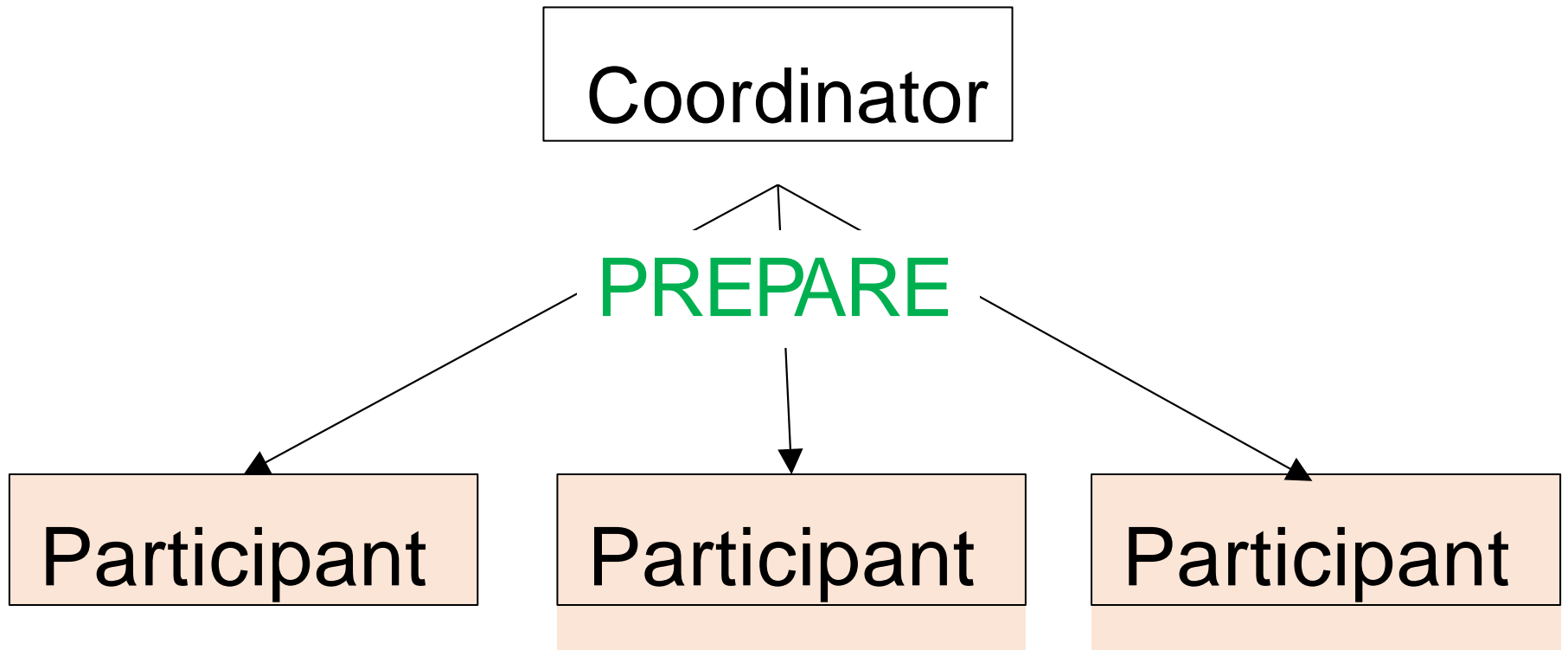
Coordinator can still decide to *abort*

- » Coordinator makes the final call!

Participant comes back online?

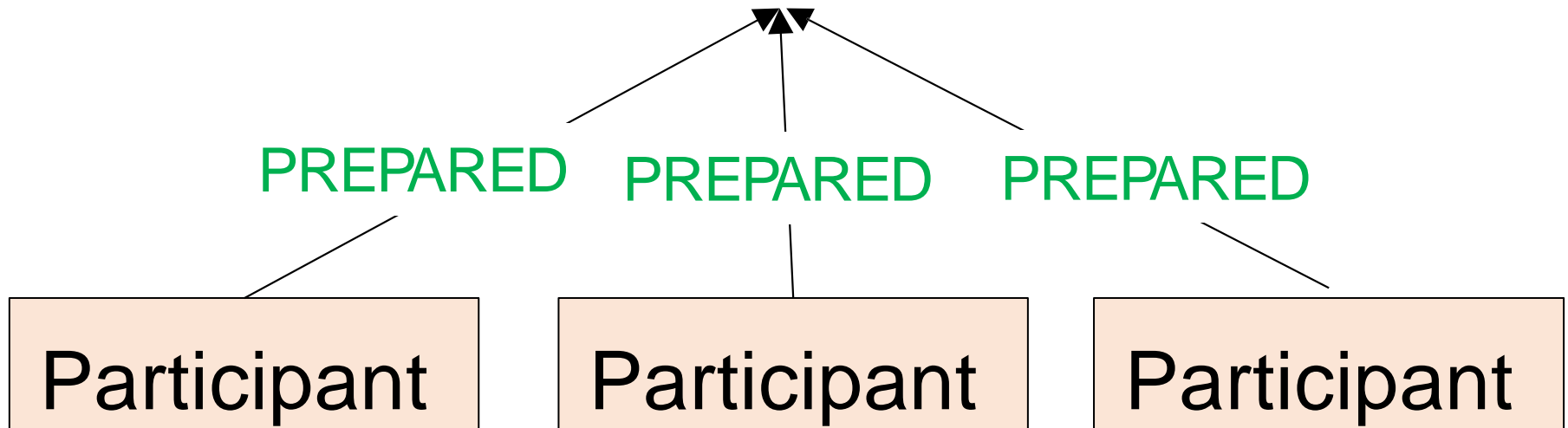
- » Will receive the *abort* message

# What Could Go Wrong?



# What Could Go Wrong?

Coordinator does not reply!



# Case 2: Coordinator Unavailable

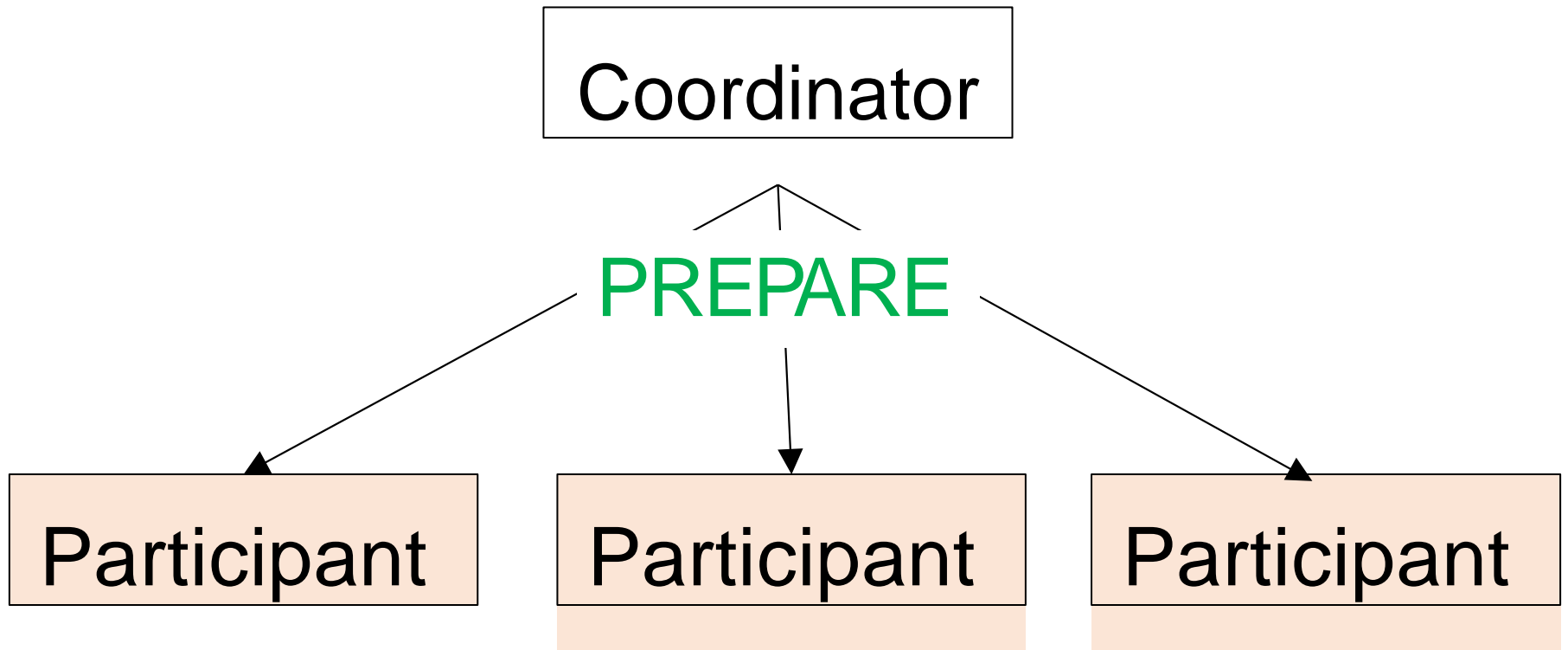
Participants cannot make progress

But: can agree to elect a *new* coordinator,  
never listen to the old one (using consensus)

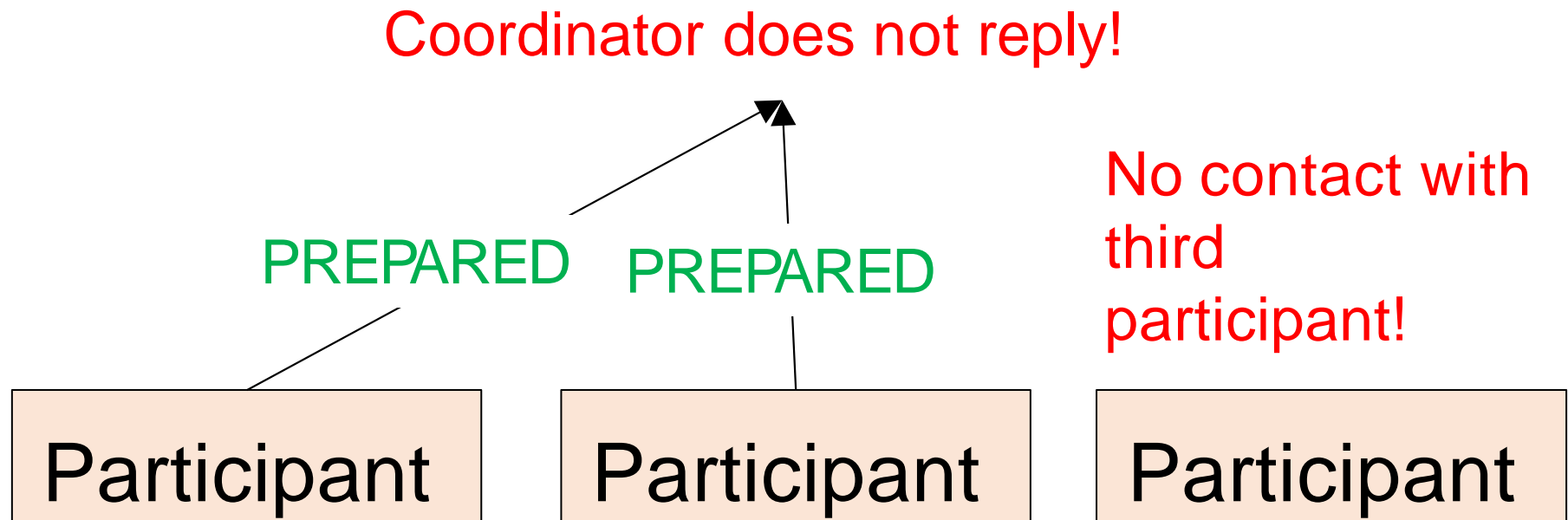
» Old coordinator comes back? Overruled by  
participants, who reject its messages



# What Could Go Wrong?



# What Could Go Wrong?



# Case 3: Coordinator and Participant Unavailable

Worst-case scenario:

- » Unavailable/unreachable participant voted to *prepare*
- » Coordinator hears back all *prepare*, broadcasts *commit*
- » Unavailable/unreachable participant *commits*

Rest of participants *must* wait!!!

# Other Applications of 2PC

The “participants” can be any entities with distinct failure modes; for example:

- » Add a new user to database and queue a request to validate their email
- » Book a flight from SFO -> JFK on United and a flight from JFK -> LON on British Airways
- » Check whether Bob is in town, cancel my hotel room, and ask Bob to stay at his place

# Coordination is Bad News

Every atomic commitment protocol is *blocking* (i.e., may stall) in the presence of:

- » Asynchronous network behavior (e.g., unbounded delays)
  - Cannot distinguish between delay and failure
- » Failing nodes
  - If nodes never failed, could just wait

# CAP Theorem

In an asynchronous network, a distributed database can either:

- » guarantee a response from any replica in a finite amount of time (“availability”) **OR**
- » guarantee arbitrary “consistency” criteria/constraints about data

but not both

# CAP Theorem

Choose either:

- » Consistency and “Partition tolerance” (CP)
- » Availability and “Partition tolerance” (AP)

Example consistency criteria:

- » Exactly one key can have value “Matei”

CAP is a reminder: no free lunch for distributed systems

# Let's Talk About Coordination

If we're "AP", then we don't have to talk even when we can!

If we're "CP", then we have to talk all the time



# Avoiding Coordination

Serializability has a provable cost to latency, availability, scalability (if there are conflicts)

“coordination-free execution”:

- » Must look at application semantics
- » Can be hard to get right!
- » Strategy: start coordinated, then relax

# Avoiding Coordination

Several techniques, e.g. the “BASE” ideas

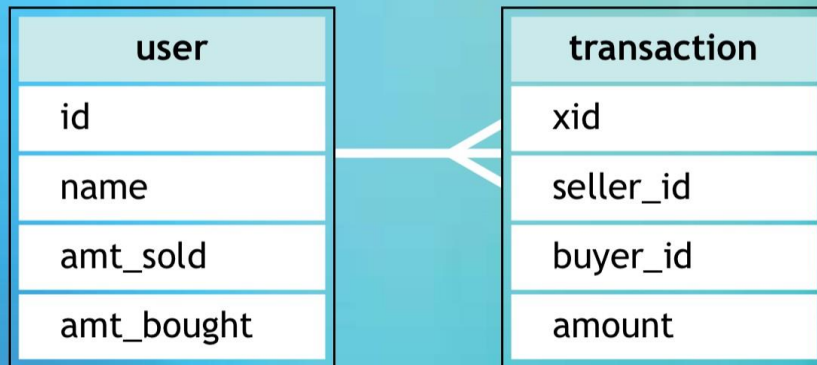
- » BASE = “Basically Available, Soft State, Eventual Consistency”

Key techniques for BASE:

- » Partition data so that most transactions are local to one partition
- » Tolerate stale data (eventual consistency):
  - Caches
  - Weaker isolation levels
  - Helpful ideas: idempotence, commutativity

# BASE Example

## Sample Schema



**Constraint:** each user's amt\_sold and amt\_bought is sum of their transactions

ACID Approach: to add a transaction, use 2PC to update transactions table + records for buyer, seller

One BASE approach: write new transactions to the transactions table and use a periodic batch job to fill in the users table