

Disease detection, severity prediction, and crop loss estimation in MaizeCrop using deep learning



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ABSTRACT

The increasing gap between the demand and productivity of maize crop is a point of concern for the food industry, and farmers. Its' susceptibility to diseases such as Turcicum Leaf Blight, and Rust is a major cause for reducing its production. Manual detection, and classification of these diseases, calculation of disease severity, and crop loss estimation is a time-consuming task. Also, it requires expertise in disease detection. Thus, there is a need to find an alternative for automatic disease detection, severity prediction, and crop loss estimation. The promising results of machine learning, and deep learning algorithms in pattern recognition, object detection, and data analysis motivate researchers to employ these techniques for disease detection, classification, and crop loss estimation in maize crop. The research works available in literature, have proven their potential in automatic disease detection using machine learning, and deep learning models. But, there is a lack none of these works a reliable and real-life labelled dataset for training these models. Also, none of the existing works focus on severity prediction, and crop loss estimation. The authors in this manuscript collect the real-life dataset labelled by plant pathologists. They propose a deep learning-based framework for pre-processing of dataset, automatic disease detection, severity prediction, and crop loss estimation. It uses the K-Means clustering algorithm for extracting the region of interest. Next, they employ the customized deep learning model 'MaizeNet' for disease detection, severity prediction, and crop loss estimation. The model reports the highest accuracy of 98.50%. Also, the authors perform the feature visualization using the Grad-CAM. Now, the proposed model is integrated with a web application to provide a user-friendly interface. The efficacy of the model in extracting the relevant features, a smaller number of parameters, low training time, high accuracy favors its importance as an assisting tool for plant pathology experts. The copyright for the associated web application 'Maize-Disease-Detector' is filed with diary number: 17006/2021-CO/SW.

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1. Introduction

Different varieties of maize crop such as corn, sweet corn, popcorn and baby corn are source of human and poultry feed (Modi, 2014). Maize is a preferred energy cereal, therefore approximately 47% of the maize yield in India is used as poultry feed (Panda et al., 2013), 13% as

livestock feed and 12% to meet the human food demand. Further, 12% of the maize yield is used for industrial purposes. Maize is helpful in improving the digestive health and reducing the risk of chronic diseases such as cardiovascular disease, diabetes and obesity (Sheng et al., 2018). Thus, its' demand is increasing among the populace.

To meet the demands, nearly 1147.7 million Metric Tons (MT) of maize is produced across 170 countries on an area of 193.7 million ha. Its average productivity across the globe is reported as 5.75 t/ha. But, the productivity in India is reported as 3070 kg/ha, which is much lower than the global average productivity of 5920 kg/ha (Alla Singh et al., 2019). The water, and nutrient requirements of maize is 80–90% lesser than widely grown crops such as rice, and wheat (Timsina et al., 2010). This reduces its cost of production. The low cost of production, capacity to adapt in a wide range of environment conditions, and

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myriad uses make the maize a prime driver of the global agricultural economy.

The diseases, such as Turcicum Leaf Blight (TLB) or Northern Corn Leaf Blight (NCLB), Polysora rust, Charcoal rot, Common rust and Sorghum downy mildew, cause a huge loss in the productivity of maize crop (Smith, 1988; Taylor et al., 2008). Therefore, it has become an urgent requirement to detect these diseases at an early stage. Also, there is a strong need to predict the crop loss due to these diseases. The manual disease detection by plant pathologists based on the visual symptoms is not effective before onset of symptoms. Further, there is a requirement of laboratory tests for crop sample analysis and calculation of disease severity. The manual disease detection and laboratory tests are expensive and time-consuming. Also, these methods are less effective in early disease prediction, disease severity prediction, and crop loss estimation. This has raised the demand for automating the disease detection, severity prediction, and crop loss estimation.

The potential of Deep Learning (DL) and Machine learning (ML) techniques in healthcare (Cao et al., 2020; Pradhan et al., 2020; Bedi and Gole, 2021; Kundu et al., 2021), image processing (Wang et al., 2019; Singh et al., 2020; Kundu et al., 2021), data analysis (Agarwal et al., 2020; Rani and Agarwal, 2020), pattern recognition (Hijazi et al., 2015), behavior analysis (Luque et al., 2020), and object detection (Chen et al., 2017; Lee et al., 2020; Oza et al., 2021) etc. motivated us to employ these techniques for early disease prediction, disease severity detection, and crop loss estimation in maize crop.

In this research, we develop a DL based framework "Early Maize Disease Detector and Evaluator" (EMDDE) for early prediction of TLB, Rust, and multiple diseases in the same plant of maize crop. Here, multiple diseases labelled as 'multidisease' represents the occurrence of both TLB, and Rust on the same leaf of the plant. We focus on causing agents of diseases, symptoms in terms of leaf colour and shape of infected regions, stage of infection and favorable conditions for occurrence of these diseases as shown in Table 1.

The proposed architecture has potential to accurately classify the healthy and maize plants infected with TLB, Rust and multiple diseases. It also has competence to detect the severity of identified disease. Further, it is effective in assessing the number of infected plants and area of infection in the diseased plants present in a farmland. Based on this assessment, we can predict the severity of TLB and Rust (Bock et al., 2010) on a 1 to 9 normalized scale designed by pathology scientists of ICAR Ludhiana (Hooda et al., 2018). In this scale, (0) denotes the lowest degree of severity, whereas (9) indicates the highest severity of disease. The crop loss of 100% is assumed if a plant is infected with multiple diseases. The efficacy of the proposed architecture is validated by the plant pathologists from ICAR-Mysore involved in this research. They manually visualized the segmented region of interest, diseased area calculated, and severity predicted on the rating scale. Based on the results, they validated the performance of the model. The overview of the proposed approach is shown in Fig. 1, and its' key contributions are listed below.

- Collection of maize crop dataset in close supervision of the maize pathologist.
- Developing the novel deep learning architecture for disease prediction.
- Minimizing training time for the devised deep learning model.

Table 1
Indicative parameters of Maize Leaf Diseases.

Name of disease	Causing agent	Leaf Colour	Shape of infected region	Stage of infection	Favorable conditions
TLB or NCLB	<i>Exserohilum turcicum</i>	<ul style="list-style-type: none"> Initial stage: Gray-green. Later stage: Tan-brown. 	<ul style="list-style-type: none"> Early stage: Oval. Severe stage: Cigar-shaped. 	Tasseling	Humid weather and moderate temperature of approximately 8 °C to 27 °C. Heavy dew and rainfall with temperature of 18 °C to 27 °C.
Rust	<i>Puccinia sorghi</i>	Dark reddish-brown elongated pustules.	Oval to elongated.	After tasseling	Cold temperature of approximately 16 °C to 23° C,high humidity.

- Calculating the Diseased Leaf Area of the infected plants
- Predicting disease severity using a normalized scale.
- Developing an intelligent system for disease prediction and crop loss estimation.

2. Related works

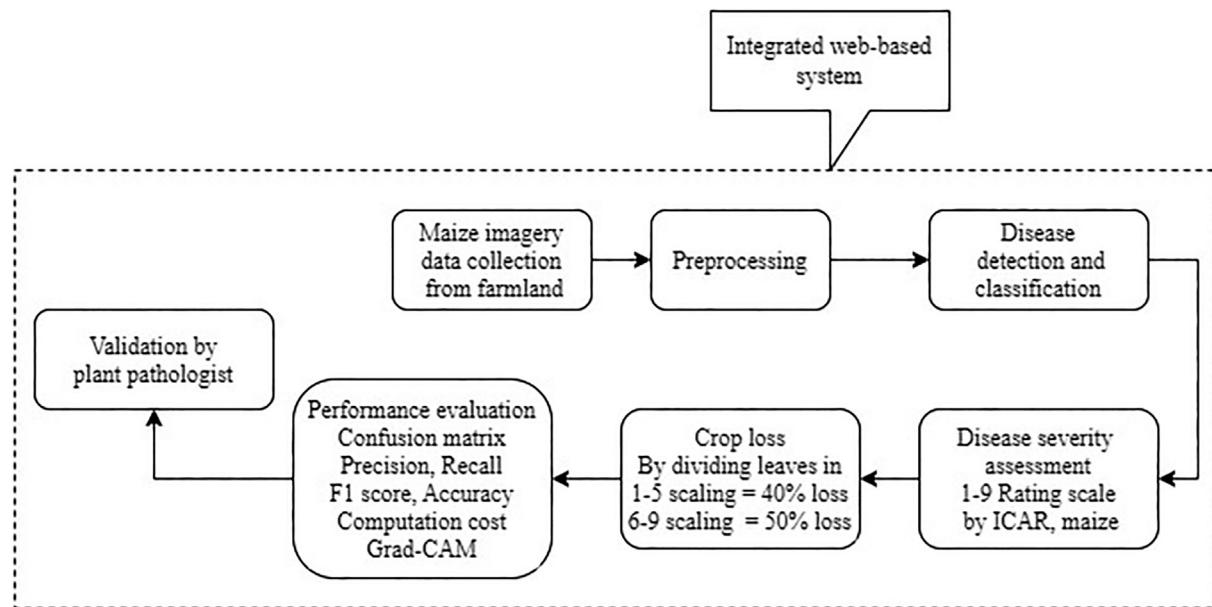
The study of literature related to segmentation, disease recognition, classification, severity prediction, and crop loss estimation is presented in this section.

Segmentation is the first step for disease detection. It is important to segment the Region of Interest (ROI) from the input images. The authors (Ma et al., 2009) reviewed various segmentation techniques based on threshold, pattern recognition and on deformable models. In these techniques, threshold is either decided manually or automatically based on edge, region, and hybrid one. The authors claimed that the Laplacian and canny edge detection techniques are most widely used for image segmentation (Al-amri, et al, 2010). The Laplacian technique is used to find the dark and light side of an edge, whereas canny is used to isolate noise before edges, and determine critical values for threshold.

The authors (Khan et al., 2019) applied the strong correlation-based method for segmentation of apple leaves. They optimized the results by fusion of Expectation Maximization (EM) technique. But this method is suitable only for the segmentation of small spots of infection. Thus, it leaves a scope for improvement. A team of researchers (Usha Kumari et al., 2019) employed K-means algorithm and improved the correctness of segmentation. But, they could not enhance the accuracy of classification.

Next, the authors (Dechant et al., 2017) demonstrated a DL based system for identification of maize plants infected with northern leaf blight. For the experiments, they used a dataset comprising 1796 maize images ([nlb_annotated_public_2016_Maize dataset](#), 2016). They trained three Convolutional Neural Network (CNN) models on collected dataset, classified them into diseased and non-diseased categories, and generated heat maps. Their model achieved the accuracy of 97.8%. But its large memory requirement is a hinderance in its real-life use. For extending the real-life applicability of disease detection, the authors (Mishra et al., 2020) used mobile or drone camera to capture the images and forwarded to Raspberry pi 3b + module. They deployed the Intel Movidius Neural Compute Stick (NCSDK) over Raspberry pi. Then, they applied a pretrained deep CNN model to detect the disease and reported the average accuracy of 98.40%. Following the similar line of research, the authors (Chen et al., 2020) presented a lightweight network for recognizing eight types of diseases in maize crop. They developed a Mobile-DANet based on the DenseNet model (Huang et al., 2017) to minimize the memory requirements. Their model achieved the average accuracy of 98.50%, and 95.86% respectively. But the network is inefficient in classification of images with complex background.

To address the issue of complex background, the authors (Lv et al., 2020) proposed a DL based novel network for maize feature enhancement under complex environment. Its feature enhancement capacity removes the noise from the images using Retinex and wavelet-based method. They developed a DMS-Robust AlexNet model (Krizhevsky,

**Fig. 1.** Overview of proposed approach.

2010) that reported the highest accuracy of 98.62%. Although, this method eliminates the need to select the specific features, it is time consuming process to train the model with such enhancement. The authors (Agarwal and Sharma, 2021) followed the research carried out by (Chen et al., 2020) and proposed a system to identify nine classes of maize diseases. They focused on removal of background noise using Enhanced CNN model. Their model gave the accuracy of 95.69% on the plantvillage dataset (PlantVillage dataset, 2018). Similarly, the authors (Zhang et al., 2018) proposed the improved CNN models for identification of eight types of diseases in maize crop. They employed modified GoogleNet and Cifar10 models, and achieved the accuracy of 98.9%, and 98.8% respectively. Further, a group of researchers (Sun et al., 2020) observed that classification accuracy degrades when DL based classification models are applied on images captured in high light intensity. To resolve this challenge, they employed an improved Retinex algorithm and gave an accuracy of 91.83% for detection of NCLB disease in maize crop. To further improve the classification accuracy on a real-life dataset, the authors (Haque et al., 2022) proposed a DL-based approach for disease detection. They used digital images of maize crop captured from land of Indian Council of Agricultural Research-All India Coordinated Research Project (ICAR (AICRP-Mysore center)), Ludhiana. They reduced the noise of dataset using brightness enhancement techniques. They reported the accuracy of 95.99% using Inception-v3 model. But the increase in training parameters increased the computation time.

The researchers (Ramamurthy, 2019) worked in another dimension of disease detection. They developed an IoT and DL-based system for sensing the environmental parameters such as temperature, humidity, soil moisture, and pH for the rice crop. Based on the collected parameters, and images of crop plants, they predicted the crop disease and notified the farmers. Their tool may prove useful in reducing the crop loss and improving the crop yield. The crop loss is directly determined by the severity of disease. Thus, the authors (Bock et al., 2010) discussed hyperspectral imaging and image analysis techniques for calculating disease severity in plants. They claimed that hyperspectral way is expensive due to need of a large amount of data and storage space. They also highlighted that severity assessment can be less time intensive if it is automated using DL and ML techniques. To work in the same line of research, the authors (Prabhakar et al., 2020) applied ResNet101 model on plantvillage dataset and classified it into mild, moderate and severe classes. The model gave an accuracy of 94.60%. Another team of researchers (Wang et al., 2017) measured the severity of diseases in

apple leaves using pretrained DL models viz. VGG-16, VGG-19 (Simonyan and Zisserman, 2015), Inception-v3 (Szegedy et al., 2016), and ResNet50 (He et al., 2016). They claimed that the VGG-16 model outperformed other models with the accuracy of 90.4%.

It is evident from the above discussion that several researchers focused on segmentation and crop disease identification using deep CNN. But a few of them worked on maize crop for automatic severity measurement and crop loss estimation. Moreover, none of the above-mentioned approaches provide a complete system for data collection, data pre-processing, disease identification, severity prediction, diseased area visualization, and crop loss estimation in maize crop. Also, the models proposed in literature have low accuracy, large number of trainable parameters, high computation cost, and long training time. Moreover, there is a lack of visualization of features involved in disease prediction. These challenges refrain the use of these models for real-life predictions. Thus, none of the existing models have been evaluated on real-life datasets. To fill the above identified gaps, the authors in this manuscript propose a novel framework integrated with a web application for collecting the dataset, labelling and validation of dataset by the plant pathology experts, segmentation of diseased area, classification of dataset into healthy, TLB, Rust, and multiple disease classes. The framework includes a mechanism for severity prediction, and crop loss estimation. Further, it employs GradCam for visualizing the infected regions involved in decision making.

3. Material and methods

In this section, we describe the detailed architecture and working of the proposed framework Early Maize Disease Detector and Evaluator (EMDDE). The architecture of EMDDE is shown in Fig. 2. The framework is involved in image acquisition, pre-processing, classification, and crop loss assessment. The details of these activities are discussed subsequently.

3.1. Dataset acquisition

In the farmland of Indian Council of Agricultural Research- All India Coordinated Research Project (ICAR (AICRP- Mysore center)), the maize crop infected with TLB, and Rust was grown purposefully by the plant pathology scientists involved in this research. Next, the leaf images of the plants infected with TLB, Rust and multidisease were

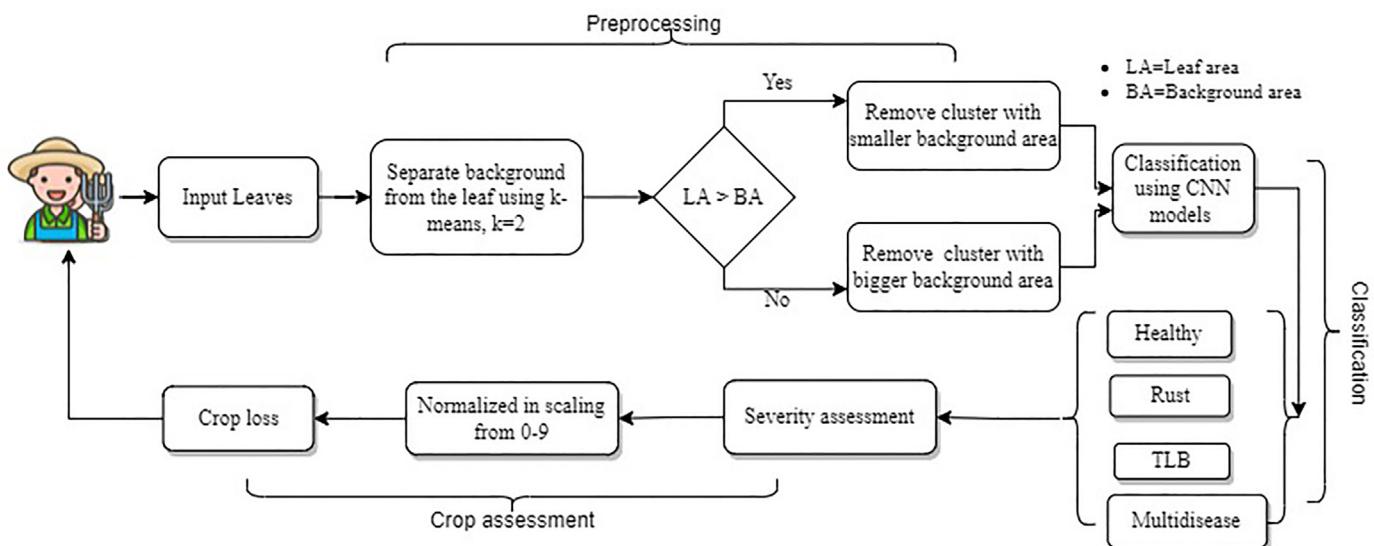


Fig. 2. The architecture of “Early Maize Disease Detector and Evaluator”.

captured in close supervision of the plant pathology expert. Based on the visible symptoms shown in [Table 1](#), the experts identified the diseased plants of maize. Further, the dataset comprising 2996 images was prepared. The sample images of the dataset are shown in [Fig. 3](#).

Next, the collected dataset has been split into training and testing datasets on the basis of trial and error mechanism as discussed in (Xu and Goodacre, 2018). To prevent the problem of data leakage, the images of different classes namely Healthy, TLB, Rust, and Multidisease were distributed in such a way that one image is a part of either training, or test dataset. Also, it is ensured that multiple copies of the same images are not a part of training, and test datasets. The training dataset is used only to train the model while the test dataset is used to evaluate and compare the performance of different model architectures.

Now, the authors of this manuscript experimented with the training and testing datasets in the ratios 70:30, 75:25 and 80:20, respectively. They observed that the model performed the minimum misclassifications when 80% of the total dataset was used for training and 20% for testing. Thus, they considered 80% dataset comprising 2460 images, for training the model and 20% dataset comprising 536 images for testing. The number of images in each class are shown in [Table 2](#).

3.2. Dataset preparation

The leaf images were captured in the high intensity of bright sunlight. Therefore, these images have noisy background, which may affect the performance of the model. We also observed that these leaf images

vary in the Region of Interest (ROI) comprising leaf and the background region. The pixel values for the leaf and background regions of an image are different. In a coloured image, the pixel value is zero for a black pixel, 255 for a white pixel, and between 0 and 255 for any colour other than black and white. These pixels can be easily grouped together based on similarities in their values. To divide an image into its foreground and background, the authors employed an unsupervised clustering approach 'K-Means' with pre-set the value of K as 2. Further, it has been observed that the leaf area is larger than the background region in some samples and vice-versa. Thus, we calculated the number of black and non-black pixels in an image by using inbuilt functions of open CV, and NumPy libraries ([Harris et al., 2020](#)) as shown in eqs. (1), and (2) for calculating the number of white pixels, and black pixels respectively.

$$White_{pixels} \equiv \text{np.sum}(\text{img} == 255) \quad (1)$$

$$Black_{pixels} = np.sum(jmg == 0) \quad (2)$$

Black pixels represent the background region whereas non-black pixels represent the leaf area. Simultaneously, the pixels encountered in region of infection are also transformed to white pixels. This avoids the inclusion of ROI in the background region. Then, we applied K-means ([Usha Kumari et al., 2019](#)) algorithm for segmentation of leaf image into two clusters namely ROI and background region. In case the sample images have Larger Leaf Area (LLA) than their background

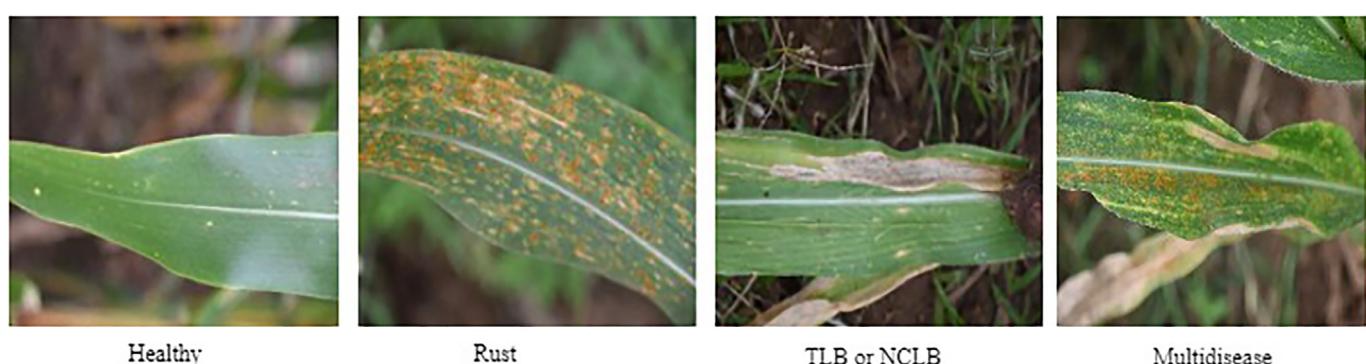


Fig. 3. Sample dataset.

Table 2
Size of training and testing datasets.

Classes	Number of images in the training dataset	Number of images in the testing dataset	Total number of images
Healthy	800	176	976
TLB	800	197	997
Rust	800	138	938
Multidisease	60	25	85
Total	2460	536	2996

region, then the algorithm selects a cluster with LLA. On the contrary, if a sample has Smaller Leaf Area (SLA) than its background region, then the algorithm chooses a cluster with SLA. In both the cases, leaf area constitutes the ROI. Thus, the background region is removed from the sample image. This strategy is demonstrated in Fig. 4.

3.3. Architecture

In this section, the authors demonstrate the architecture of DL based model MaizeNet developed for multi-class classification of dataset comprising images of maize leaves into four classes viz. healthy, TLB, Rust and multidisease. The model comprises of nine convolution layers and three max pooling layers as shown in Fig. 5. The authors applied Batch Normalization (BN) at second, fifth and eighth convolutional layers to standardize the model's deep layers. This reduces Internal Covariate Shift (ICS) (Ioffe and Szegedy, 2015). Also, BN provides the flexibility in choosing the activation function and learning rate. Further, they employed the activation function at third, fourth, sixth and ninth convolutional layers. The last convolution layer is followed by the flatten and dense layer. This combination is important for multidimensional data stacking. Dense layer alone does not support multidimensional data stacking. Therefore, a flatten layer is embedded between convolutional and dense layer to convert multidimensional input in 1-D and to provide correct predictions (Kurtulmuş, 2020).

3.4. Training details

The proposed model MaizeNet is trained on the Kaggle platform which provides 13 GB RAM, 15.9 GB GPU, and 19.6 GB disk space for a continuous session of 30 h per week (Kaggle Server, 2017).

The model MaizeNet is trained using the dataset comprising 2460 images of leaves of maize infected with TLB, Rust or multidisease. Its hyperparameters are fine-tuned as discussed in the subsequent sub-

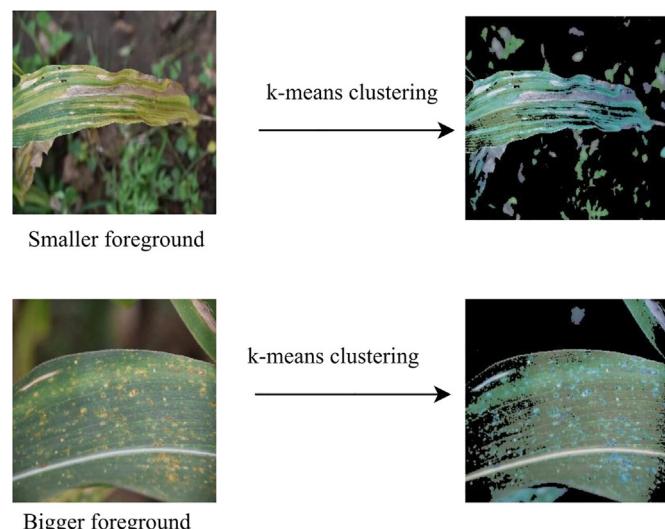


Fig. 4. Dataset preparation strategy.

section. Also, the pre-training of the proposed model is performed using the ImageNet dataset (Lab, 2017) and the impact of transfer learning on its performance is illustrated in the result section. The same dataset was used for training the pre-trained MaizeNet model, non-pre-trained MaizeNet model and state-of-the-art models viz. VGG-16, VGG-19 (Simonyan and Zisserman, 2015), Inception-v3 (Szegedy, et al., 2019). Then, the performance of the proposed model was compared with the above-mentioned state-of-the-art models.

3.5. Fine-tuning of training parameters

The softmax activation function, Adam optimizer and categorical cross entropy loss was employed for training the model MaizeNet. The learning rate of 0.001 was pre-set and it is multiplied with 0.9 after every epoch when model completed its training for ten epochs. The learning rate was decided based on the experiments conducted in reference (Prechelt, 2012), and the set of experiments conducted in this research with varying learning rates of 0.01, 0.001 + 0.0001, 0.001 * 0.9 and 0.001 – 0.0001. The impact of these learning rates on the value of loss function is demonstrated in Fig. 7. It is evident from the results shown in Fig. 6 that among all the above-stated values of learning rate, the loss function decreases more smoothly at the learning rate of 0.001. Thus, the authors used this value of loss function for further experiments.

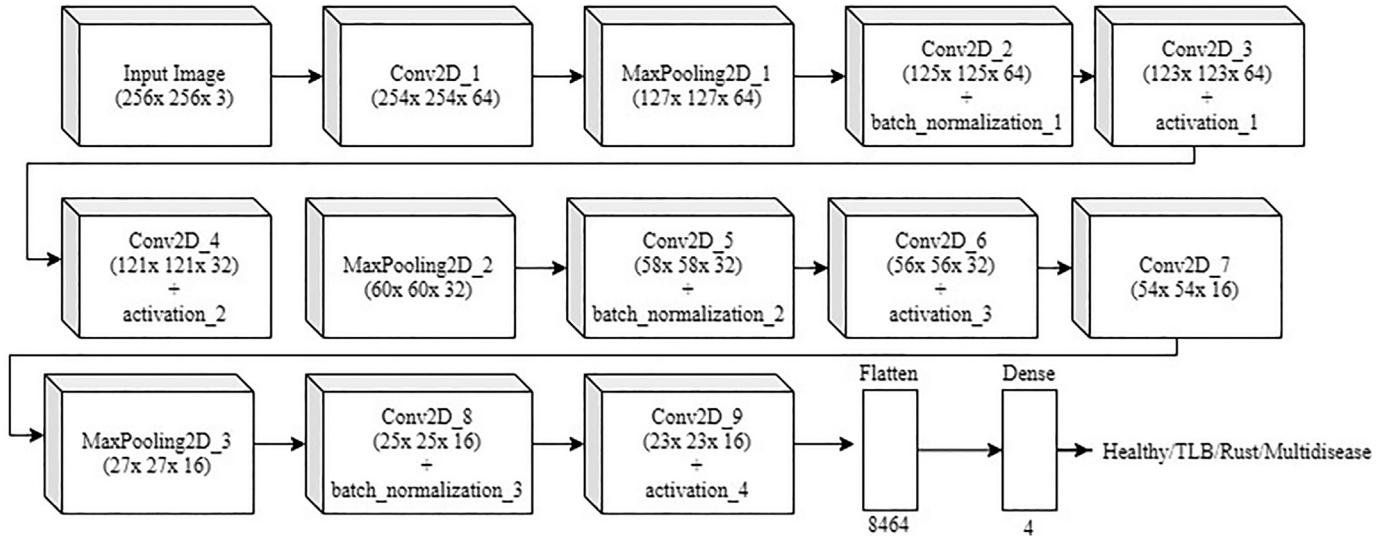
Next, the choice of the optimum loss function is made by employing different loss functions viz. mean squared logarithmic error, mean squared error, Mean absolute error, kullback leibler divergence and binary cross entropy. It is obvious from the results shown in Fig. 7 that the model converges smoothly when binary cross entropy loss function is employed. Therefore, the authors employed this loss function for further experiments.

3.6. Evaluation metrics

The performance of the Early Maize Disease Detector and Evaluator (EMDDE) was evaluated in terms of confusion matrix, precision, recall, F1 score, accuracy and disease severity scale. These metrics are defined from eq. (3) through eq. (15), by taking clues from the reference (Simonyan and Zisserman, 2015).

Confusion Matrix for multiclass classification: This is the tabular representation of the number of correctly and incorrectly classified samples into each labelled class as shown in Table 3. The sample confusion matrix for showing the correct and incorrect classifications to the healthy, TLB, rust and multidisease are shown in Table 3. Here, TP_{HH} , TP_{RR} , TP_{TT} , and TP_{MM} are the number of correctly classified samples of healthy leaves, rust, TLB, and multidisease, respectively. Similarly, F_{HR} , F_{HM} , F_{HT} denotes samples belongs to rust, multidisease and TLB class respectively, but are classified to healthy class. Similarly, F_{RH} , F_{RM} , F_{RT} denotes samples belongs to healthy, multidisease and TLB class respectively, but are classified to rust class. Similarly, F_{MH} , F_{MR} , and F_{MT} denotes samples belongs to healthy, rust and TLB class respectively, but are classified to the multidisease class. Similarly, F_{TH} , F_{TR} , F_{TM} denotes samples belongs to healthy, rust and multidisease class respectively, but are classified to TLB class.

- Precision: This is the measure of correctly predicted samples to a particular class from the total number of samples classified to that class. For example, $Precision_{Healthy}$ is the ratio of number of correctly predicted healthy leaf images to that of total number of images predicted to the healthy class. Its definition is shown in eq. (3). Similarly, $Precision_{Rust}$ is the number of correctly predicted samples of rust to that of total number of samples predicted in the rust class as defined in eq. (4). By following the same notation, $Precision_{TLB}$ is defined as the number of correctly predicted samples of TLB to that of total number of samples predicted to the TLB class, as shown in eq. (5). Similarly, $Precision_{multidisease}$ is the

**Fig. 5.** Architecture of 'MaizeNet' model.

ratio of number of correctly predicted samples of multidisease class to that of total number of samples predicted to the multidisease class, as defined in eq. (6). The average precision as defined in eq. (7) is the average of the precision calculated for each class from eqs. (3) to eq. (6).

Average Precision

$$= \frac{Precision_{Healthy} + Precision_{Rust} + Precision_{TLB} + Precision_{Multidisease}}{4} \quad (7)$$

ii. Recall: This is the ratio of the correctly predicted samples of a class to that of total number of samples of that class. For example, $Recall_{Healthy}$ as defined in eq. (8), is the ratio of correctly classified samples of the healthy class to that of total number of samples of the healthy class. The recall of Rust, TLB and multidisease is also defined on a similar notion from eq. (9) to eq. (11). Average Recall as defined in eq. (12), is the average of the Recall calculated for all the four classes.

$$Precision_{Healthy} = \frac{TP_{HH}}{TP_{HH} + F_{RH} + F_{TH} + F_{MH}} \quad (3)$$

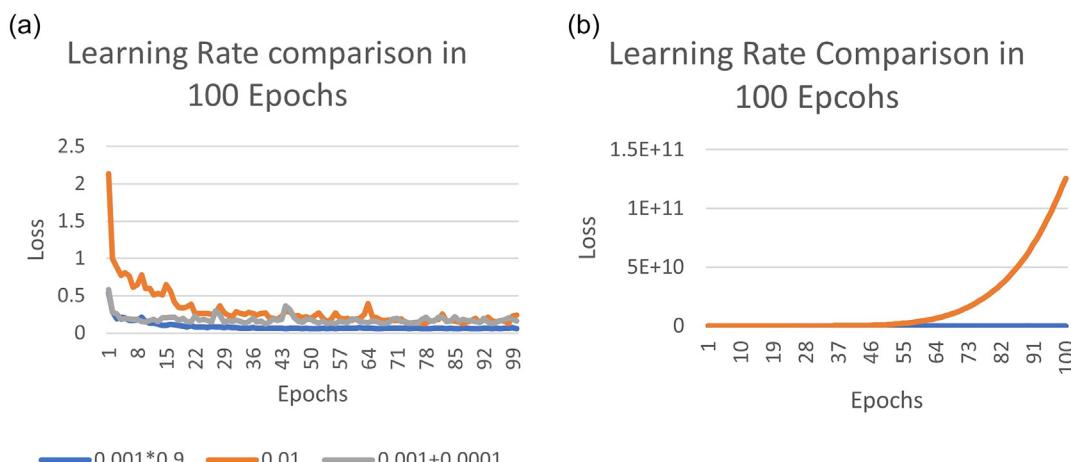
$$Precision_{Rust} = \frac{TP_{RR}}{TP_{RR} + F_{HR} + F_{TR} + F_{MR}} \quad (4)$$

$$Precision_{TLB} = \frac{TP_{TT}}{TP_{TT} + F_{HT} + F_{RT} + F_{MT}} \quad (5)$$

$$Precision_{Multidisease} = \frac{TP_{MM}}{TP_{MM} + F_{HM} + F_{RM} + F_{TM}} \quad (6)$$

$$Recall_{Healthy} = \frac{TP_{HH}}{TP_{HH} + F_{HR} + F_{HT} + F_{HM}} \quad (8)$$

$$Recall_{Rust} = \frac{TP_{RR}}{TP_{RR} + F_{RH} + F_{TR} + F_{RM}} \quad (9)$$

**Fig. 6.** Learning rate comparison (a) Learning rates: 0.01, 0.001+ 0.0001 and 0.001* 0.9; (b) Learning rate: 0.001–0.0001.

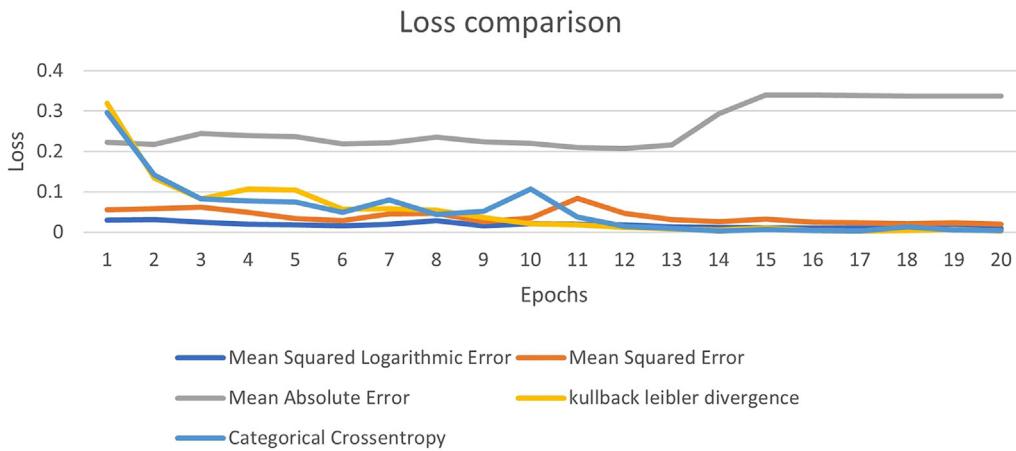


Fig. 7. Comparison of Loss Functions.

$$Recall_{TLB} = \frac{TP_{TT}}{TP_{TT} + F_{TH} + F_{TR} + F_{TM}} \quad (10)$$

$$Recall_{Multidisease} = \frac{TP_{MM}}{TP_{MM} + F_{MH} + F_{MR} + F_{MT}} \quad (11)$$

$$\begin{aligned} & \text{Average Recall} \\ &= \frac{Recall_{Healthy} + Recall_{Rust} + Recall_{TLB} + Recall_{Multidisease}}{4} \end{aligned} \quad (12)$$

iii. F1 score: This is the weighted average of precision and recall. The formula to calculate the F1 score is given in eq. (13).

$$F1 = 2 \cdot \frac{\text{precision} \cdot \text{recall}}{\text{precision} + \text{recall}} \quad (13)$$

iv. Average accuracy: It is the measure of the degree of correctness of the classification. It can be calculated using the formula given in eq. (14).

$$Accuracy = \frac{TP_{HH} + TP_{RR} + TP_{TT} + TP_{MM}}{\text{Total dataset}} \quad (14)$$

v. Degree of Infected Region: The % of infected region is calculated by performing the experiments with the labelled dataset. The dataset of each class is divided into Red [R], Blue [B] and Green [G] channels. The range of values of these channels is observed for each class. Furthermore, using the range, the following mechanism given in Fig. 8 is introduced.

Disease Severity Scale: In this manuscript, the authors used the severity scale designed by the plant pathologist working at ICAR, ([Indian Institute of Maize Research, 2015](#)) and ([Hooda et al., 2018](#)). It is the 0 to 9 rating scale to represent the disease severity of TLB, and rust diseases based on the visual symptoms of the disease. Rating '0' denotes the minimum severity, and rating '9' denotes the maximum severity. The plant pathology experts involved in this research manually analyzed the severity predicted in this research, and validated the level of disease severity according to the severity scale. The ratings are assigned based on the ratio of Diseased Leaf Area (DLA) and Total Leaf Area (TLA). The scales for TLB, and Rust are shown in Figs. 9, and 10 respectively. An image showing <10% infected region, indicates the minimum severity. It may cause the minimum crop loss. Whereas >80% region infected with one disease, indicates the maximum severity. It may cause the maximum crop loss. Also, the leaves infected with multiple diseases are considered highly susceptible irrespective of the infected area. Such leaves are rated with the maximum rating.

Next, referring the formula given by McKinney in 1923, and the severity predicted using the rating scale formulated for TLB and rust, the degree of disease severity is estimated by following the eq. (15). Here, the conversion of rating scale to disease index or percentage is essential for scale 90% or parametric statistics.

$$\begin{aligned} & \text{Disease Severity (\%)} \\ &= \frac{(\sum \text{Sum of all rating})}{(\text{Total number of rating} \times \text{maximum disease rating})} \times 100 \end{aligned} \quad (15)$$

4. Results

In this section, the authors present the experimental results obtained on employing the MaizeNet, VGG-19, VGG-16, Inception ResNet V2, Inception V3, and ResNet-50 models on the dataset collected and prepared as a part of this research. They trained these models on the

Table 3
Sample confusion matrix.

		Actual Label			
Predicted Label	Healthy	Rust	Multidisease	TLB	
	TP _{HH}	F _{HR}	F _{HM}	F _{HT}	
Healthy	TP _{HH}	F _{HR}	F _{HM}	F _{HT}	
Rust	F _{RH}	TP _{RR}	F _{RM}	F _{RT}	
Multidisease	F _{MH}	F _{MR}	TP _{MM}	F _{MT}	
TLB	F _{TH}	F _{TR}	F _{TM}	TP _{TT}	

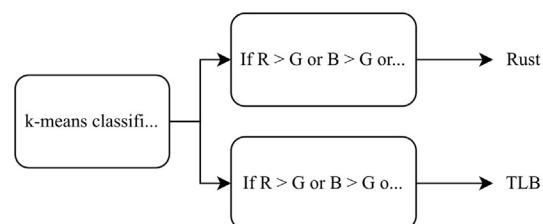


Fig. 8. K-means disease classification.

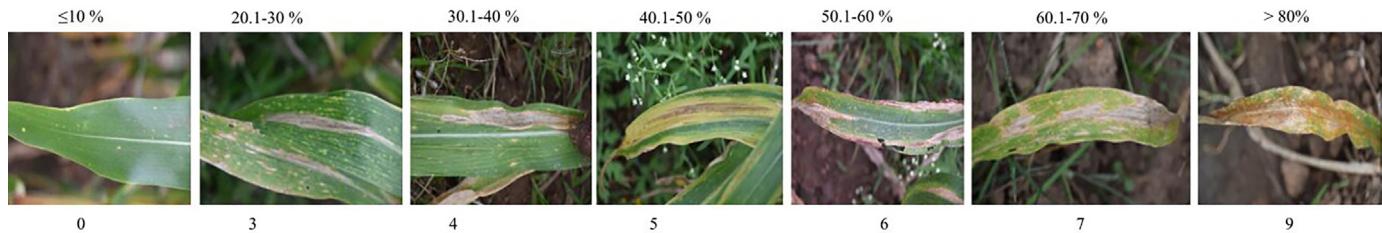


Fig. 9. TLB scale.

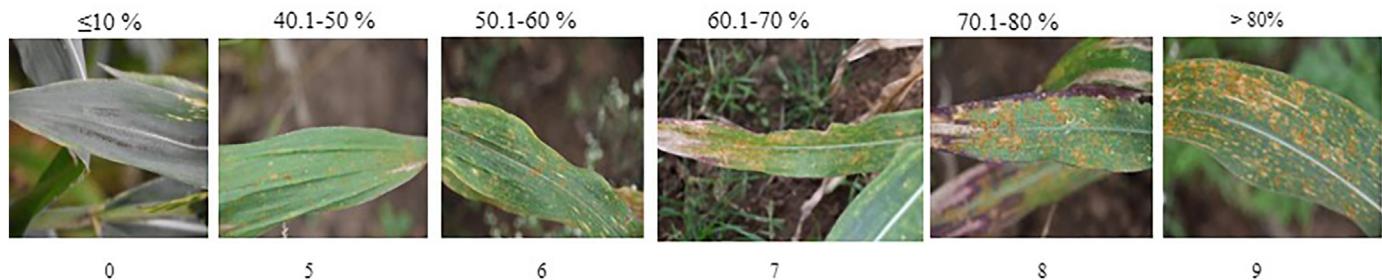


Fig. 10. Rust scale.

2460 images in a batch size of 32 for 100 epochs. The authors employed binary cross entropy loss function based on the analysis presented in Fig. 7. They used the pre-set learning rate of 0.001 as illustrated in Fig. 6.

4.1. Impact of pre-processing on the performance of the classifier

The authors pre-processed the images and segment the ROI from all leaves using K-means. Samples of extracting ROI from leaves infected with TLB and Rust are shown in Figs. 11, and 12 respectively. The region of infection is highlighted with blue colour in the extracted ROI. The segmentation used as a pre-processing technique extracts the region of interest i.e leaf area. Therefore, it allows the DL model to extract features only from the ROI. This minimizes the interference of irrelevant features in decision making. Hence, it improves the accuracy of disease prediction, and reliability of the DL model.

After pre-processing, the performance of MaizeNet was evaluated. The confusion matrices obtained without employing pre-processing, and with pre-processing are shown in Tables 4, and 5 respectively. It is noticeable from the results shown in these tables that the number of false positive decreases when the MaizeNet model is applied on the

ROI extracted by pre-processing. Thus, pre-processing improves the classification accuracy of MaizeNet model.

Further, the trends of loss functions reported by the MaizeNet model with pre-processing, and without pre-processing are demonstrated in Figs. 13. It is observable from the graphs that the MaizeNet model reports lower value of loss function after pre-processing. Also, the decrease in loss function is smoother when the model is applied on ROI extracted by pre-processing.

4.2. Classification and clustering

To further validate the performance of the proposed model MaizeNet, we applied unsupervised K-Means clustering technique on the classified images. The clustering technique recognize the clusters of healthy, TLB, rust and multidisease classes based on the similarity of pixel values. It assigns a label to each image using the function ‘kmeans.labels’ (Khairnar and Goje, 2020). The label is important to identify the cluster to which an image belongs. We considered k as 144 rather than 4 to find the clusters based on multiple orientations of

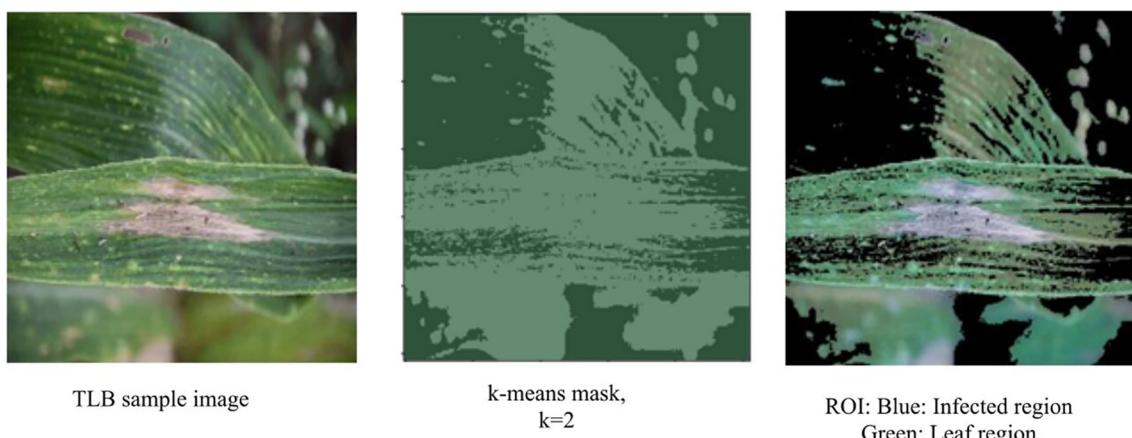


Fig. 11. Extracting ROI from Leaves infected with TLB Disease.

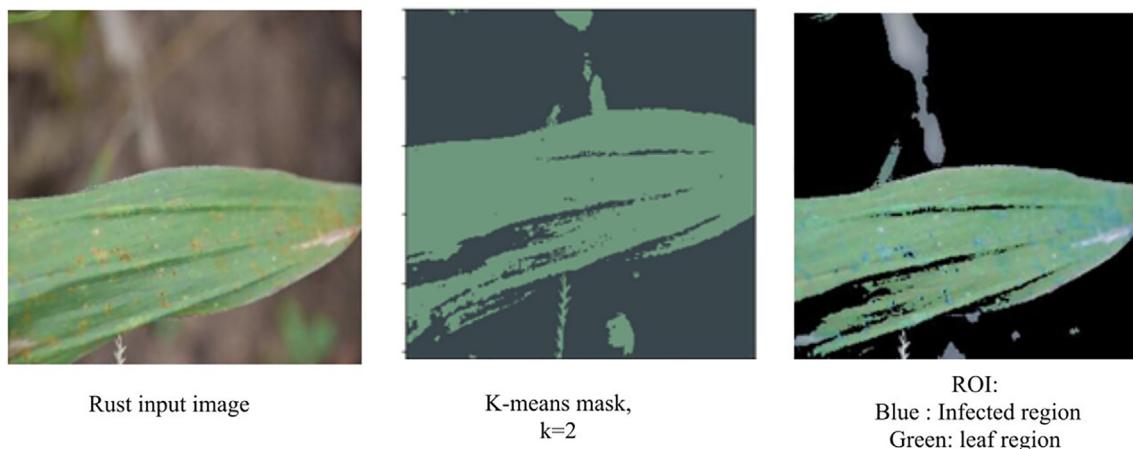


Fig. 12. Extracting ROI from Leaves infected with Rust Disease.

Table 4
Confusion matrix of maizenet' with preprocessing

Predicted Label				
	Healthy	Rust	Multi disease	TLB
Healthy	175	1	0	0
Rust	0	135	0	3
Multidisease	1	1	21	2
TLB	0	0	0	197

an image. Here, the trained model scans an image from various orientations and divide them into clusters.

We also conducted a similar set of experiments by employing MaizeNet, VGG-16, VGG-19, Inception V3, InceptionResNet-v2 and ResNet-50 models as shown in [Table 6](#). The results obtained are demonstrated from [Figs. 14 to 19](#).

4.2.1. Precision

The values of precision reported by MaizeNet, and above-mentioned state-of-the-art models on the dataset comprising 536 images are demonstrated in Fig. 14. It is apparent from the figure that VGG-19 model reported the highest value of average precision as 99.82% on the pre-processed dataset and 99.56% without pre-processing. Pre-processing feebly improved the precision by 0.26%. Next, Inception ResNet-v2 model reported the highest values of precision as 95.30% before pre-processing and 99.82% after pre-processing. Here, an improvement of 04.52% is observed in the value of precision. The proposed MaizeNet model reported the precision of 95.85% without pre-processing, and 98.87% after pre-processing. The precision reported by MaizeNet model is equivalent to ResNet-v2 model after pre-processing. An improvement of 3.02% in the value of average precision is observed on employing pre-processing.

Table 5
Confusion matrix of 'maizennet' without preprocessing

		Actual Label			
Predicted Label		Healthy	Rust	Multi disease	TLB
	Healthy	171	2	0	3
	Rust	1	130	3	1
	Multidisease	3	4	17	1
	TLB	2	2	3	190

4.2.2. Recall

It is evident from the results shown in Fig. 15 that the VGG-19 and Inception ResNet-v2 reported highest recall of 99% after pre-processing. Further, analysis shows an increment of 0.37% and 3.84% in the values of recall reported when these are applied on pre-processed dataset. Whereas, the proposed model MaizeNet gave the average recall of 95.31% and reported no change on the pre-processed dataset.

4.2.3. F1-score

To further validate the quality of classification, we calculated the values of F1 score as demonstrated in Fig. 16. VGG-19 and Inception ResNet-50 reported highest F1-score of 99.40% after pre-processing. It is obvious from the Figure that pre-processing shows an increment in the values of F1 score. The increment reported as 0.31% and 4.18% in the F1-score reported by VGG-19 and Inception ResNet-50 respectively. The proposed model reported the F1 score of 97.13% which is equivalent to VGG-19 model.

4.2.4. Average accuracy

For assessing the correctness of classification, we calculated the values of average accuracy of all the above-mentioned models. These values are demonstrated in Fig. 17. It is evident from the figure that VGG-19 and Inception ResNet-50 reported the highest average accuracy of 99.81%. Whereas, the proposed model MaizeNet reported a slightly lower value of average accuracy as 98.50%.

4.2.5. Computation cost

Although, the values of precision, recall, F1-score and accuracy reported by the model MaizeNet are slightly lower than VGG-19, its training time is much lower than state-of-the-art models mentioned above

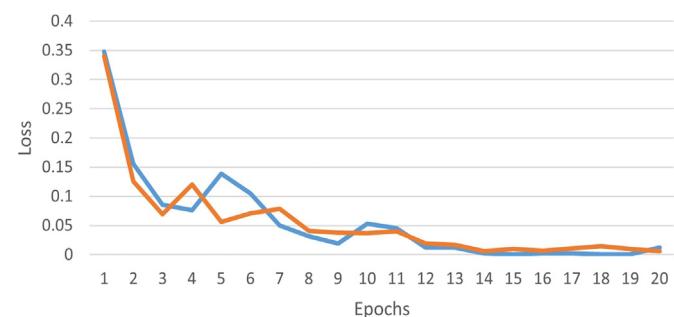


Fig. 13. MaizeNet: trend of loss function with preprocessing.

Table 6

Evaluation metrics with and without preprocessing.

Model	Before preprocessing				After preprocessing			
	Precision	Recall	F1-score	Accuracy (%)	Precision	Recall	F1-score	Accuracy (%)
MaizeNet	95.85	95.31	95.57	98.32	98.87	95.31	97.13	98.50
VGG-16	99.56	98.63	99.09	99.44	99.64	98	98.81	99.62
VGG-19	99.56	98.63	99.09	99.34	99.82	99	99.40	99.81
ResNet-50	99.56	98.63	99.09	99.44	99.34	96.85	98.07	99.25
Inception-v3	99.38	97.63	98.49	99.25	99.51	97.81	98.65	99.44
Inception ResNet-v2	95.30	95.16	95.22	97.38	99.82	99	99.40	99.81
k-means	95.57	94.77	95.17	97.01	93.45	95.56	94.49	95.89

as shown in Fig. 18. It is clear from the results shown in Fig. 19 that MaizeNet has 1,55,956 training parameters that are significantly lesser than 5,43,86,786 parameters extracted by Inception ResNet-v2 model. Therefore, there is a significant decrease of 140 s in training time of MaizeNet.

4.2.6. Grad-Cam

It is difficult for the plant pathologists to rely on the classification results reported by a computer vision based model. Therefore, it is necessary to visualize the features involved in decision making. We employed the Gradient-weighted Class Activation Mapping (Grad-CAM) (Selvaraju et al., 2020) for visualization of features involved in classifying images to four classes viz. healthy, rust, TLB and multidisease on pre-processed as well as non-pre-processed dataset as shown in Figs. 20–21. To plot the Grad-CAM, the model uses the gradients of the last layer of CNN model. It is evident from the Grad-CAM shown in Figs. 20 and 21 that the features are visible with more clear boundaries when the models are employed on pre-processed dataset. Further, it is evident from the figures that the proposed model 'MaizeNet' is efficient in marking the maximum regions of infection in a given sample. It clearly distinguishes the features of healthy, TLB, rust, and multidisease. Hence, it proves the reliability of the model in classification.

4.3. Disease severity

Merely detecting and classifying diseases is not sufficient to prevent and estimate the crop loss. Therefore, we extended the research work and calculated the disease severity in maize crop. For this purpose, we used 1 to 9 rating scale to mark the severity of TLB, rust, and multidisease in maize crop. The scale is designed by plant pathologists, ICAR, maize, Ludhiana (Hooda et al., 2018). Using the scale, we calculated the percentage of disease severity for TLB and rust as shown in Table 7 and 8 respectively. Further, by following the definition of disease severity presented in eq. (13), we calculated the severity of TLB, and rust

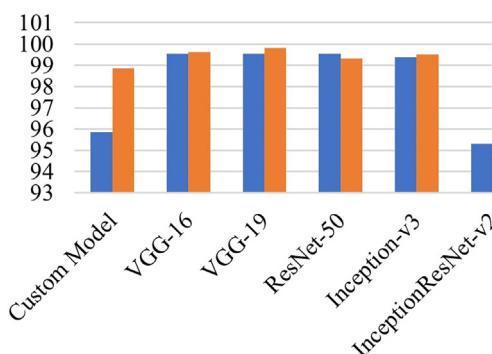
diseases in the dataset prepared in this manuscript. The calculations for severity of TLB, and rust is shown in eqs. (14), and (15) respectively. It is evident from the results calculated in eqs. (16), and (17) that maize crop studied in this manuscript is more affected by rust than TLB. The severity for rust is reported as 82.13%, whereas for TLB, it is reported as 57.48%.

$$\text{Severity of TLB (\%)} = \frac{5158}{997 \times 9} \times 100 = 57.48\% \quad (16)$$

$$\text{Severity of Rust (\%)} = \frac{6934}{8442 \times 9} \times 100 = 82.13\% \quad (17)$$

4.4. Crop loss estimation

Estimation of crop loss is important to maintain a balance between the demand, and supply of a crop. This is also significant in regulating the price of a crop. Thus, we worked for estimating the crop loss in maize crop. In this study, we used the maize dataset comprising 2996 images. This dataset contains 938 images of maize plants infected by rust and 976 infected by TLB. Following the recommendations of plant pathologists, we used rating scale for predicting percentage of crop loss. We recorded the number of leaves with disease severity in the range of 1 to 5 rating, and 6 to 9 rating on the rating scale. Leaves which report the severity in the range of 1 to 5 rating cause the crop loss of approximately 40%. Whereas, the leaves with rating 6 to 9 cause >50% crop loss. The leaves infected with multidisease are considered the cause for 100% crop loss. Further details for the crop loss estimation are illustrated in Table 9. The estimated crop loss is validated by the plant pathologists involved in this research. The estimation of crop loss is validated based on the results reported for the disease detection, classification, visualization of infected regions, and severity calculated.



- Precision without preprocessing
- Precision with preprocessing

Fig. 14. Average Precision of different models.

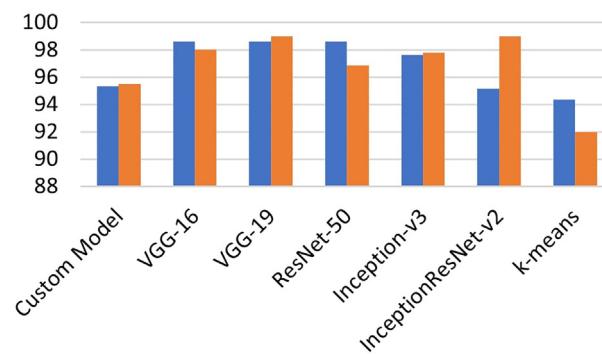
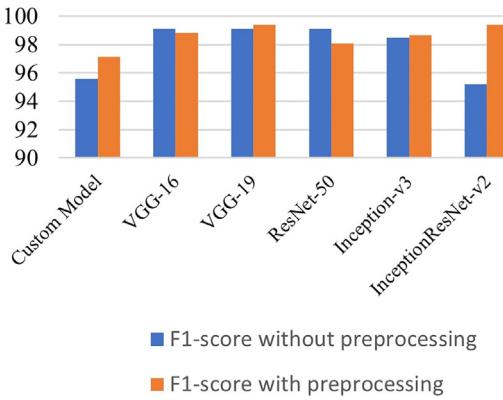
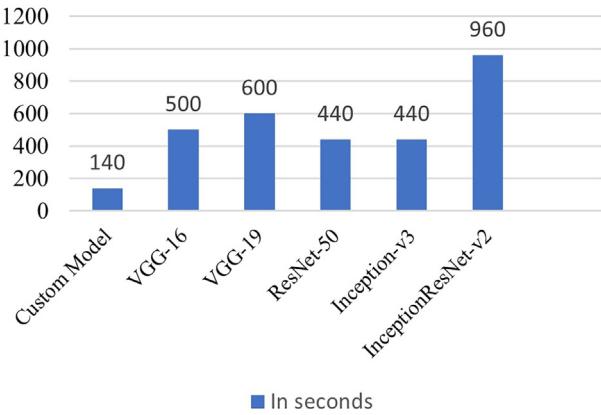


Fig. 15. Average Recall of different models.

**Fig. 16.** F1-score of different models.**Fig. 18.** Training time of different models.

5. Web application

To develop a one-point solution from disease detection to crop loss estimation, we integrated the proposed framework with an interactive and user-friendly web application. The web application provides an option to choose the input image and submit it. The uploaded original image and its corresponding mask generated by K-means mask will be displayed on screen. Next, the image is sent to the proposed DL based model MaizeNet that classifies it to one of the four classes viz. TLB, rust, multidisease or healthy. Next, the model detects the infected region and calculate the area affected. Based on the area of infection, it provides a rating to mark the disease severity and estimates the crop loss. The final white image is the area infected. The work flow of the web application is demonstrated in Fig. 22.

6. Discussion

In this section, the authors present the inferences deduced from the experimental results obtained by employing the MaizeNet, VGG-16, VGG-19, ResNet-50, Inception-v3, and Inception ResNet-v2 models. The number of layers in MaizeNet is decided by conducting the experiments and analyzing the performance of the models with different network depths. It was observed that the model with nine convolution layers outperformed the models with five through eleven convolutions.

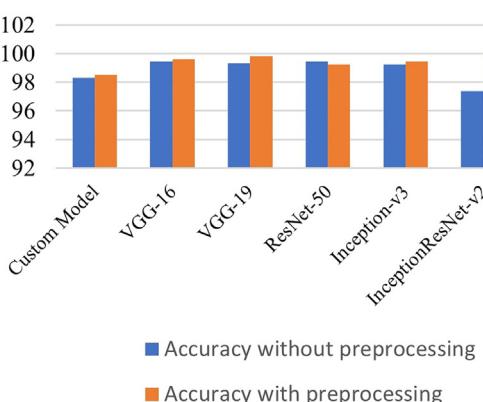
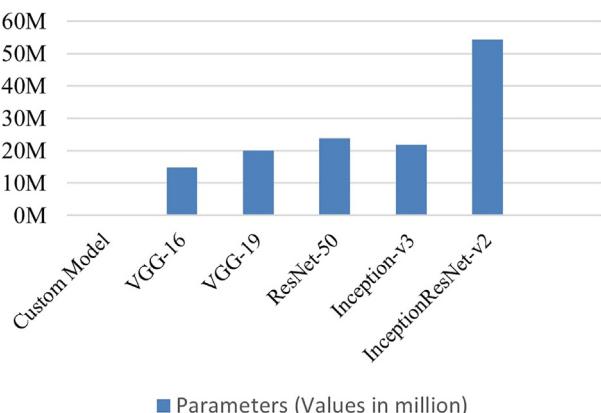
Our study uses a dataset of 2996 images captured from the farmland of ICAR -AICRP, Mysore. The diseased crops were grown intentionally for carrying out the research work and labelled by plant pathologists. The images are labelled as Healthy, TLB, rust, or multidisease.

In this research, the authors worked on the most ubiquitous foliar diseases of maize crop namely TLB and rust. They applied pre-

processing as a proviso, for better recognition of the disease spots using K-means algorithm. Here, k is set to '2' for segmenting the background and region of interest from the images. The authors also applied edge detection for detecting the infected area and separating the leaf and background regions. But, in many cases, it was observed that background had the same colour scheme as of leaf. So, no combination of RGB could distinguish background from leaf. Thus, the authors decided to employ K-means algorithm for distinguishing images based on the difference in area occupied by ROI and background. Then, segmented dataset classified using supervised approach viz. K-means and unsupervised approaches viz. MaizeNet, VGG-16, VGG-19, Resnet-50, Inception-v3 and InceptionResNet-v2. This classified data is clustered in four classes namely TLB, rust, multidisease and healthy.

It is apparent from the results shown in Fig. 17 that the pre-processed versions of VGG-19 and Inception ResNet-v2 give the highest average accuracy. Whereas the non-pre-processed version of Inception ResNet-v2 reported the minimum value of average accuracy. Also, the unsupervised classification using K-means shows the least accuracy. The pre-processing of these models leads to increase of 2.43% in the average accuracy. This proves that the above-mentioned deep networks require pre-processing of vast dataset for training. In contrast with, the models viz. ResNet-50 reports a decrease in accuracy after pre-processing. Similarly, a low impact of 0.18% is observed on the average accuracy MaizeNet by applying pre-processing.

It is inferred from the above discussion that the unsupervised learning shows the least average accuracy and deeper networks show a low impact of pre-processing as compared to shallow neural networks.

**Fig. 17.** Accuracy of different models.**Fig. 19.** Training parameters of different models.

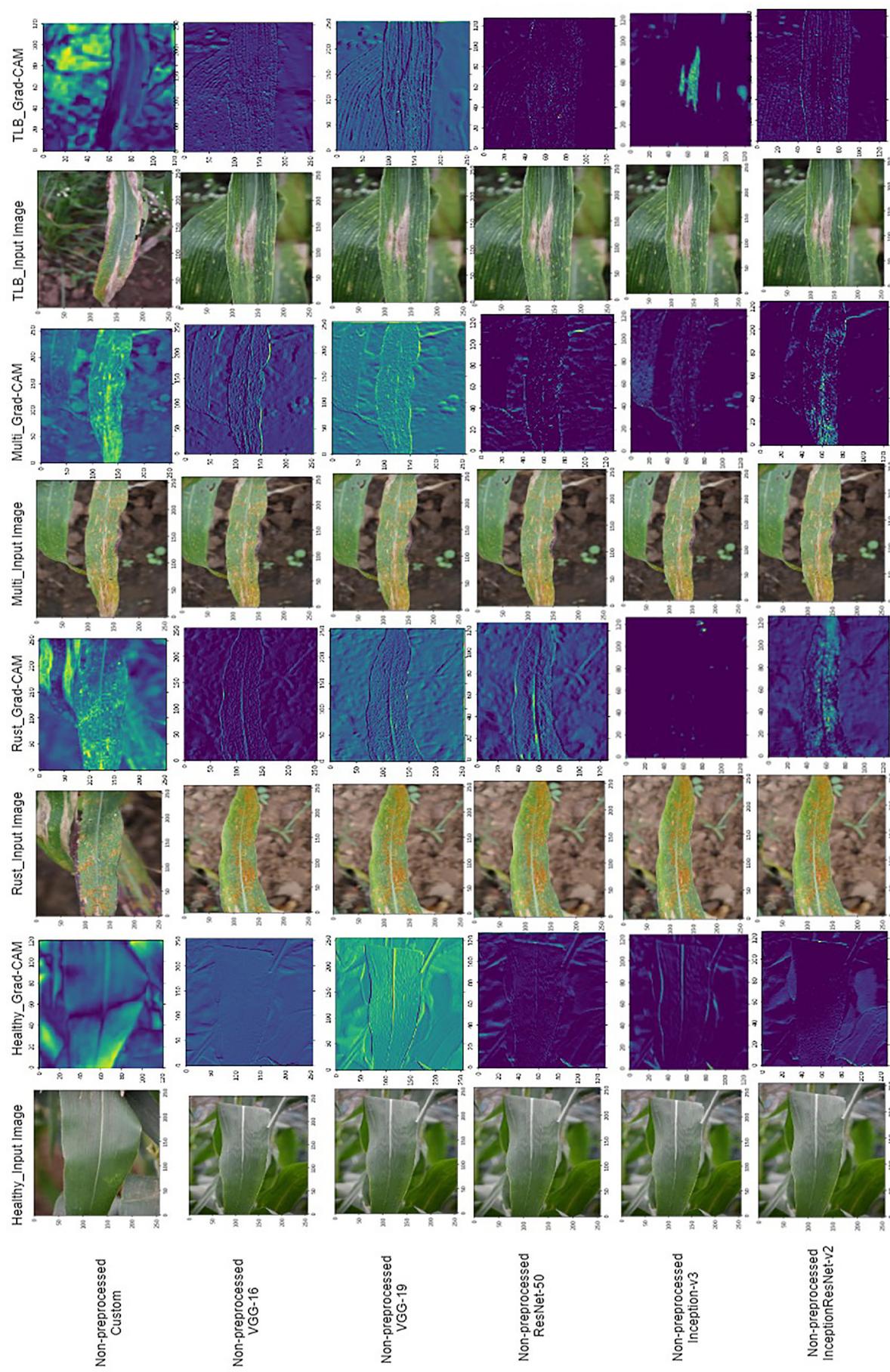


Fig. 20. Grad-Cam to visualize the features of non-pre-processed models.

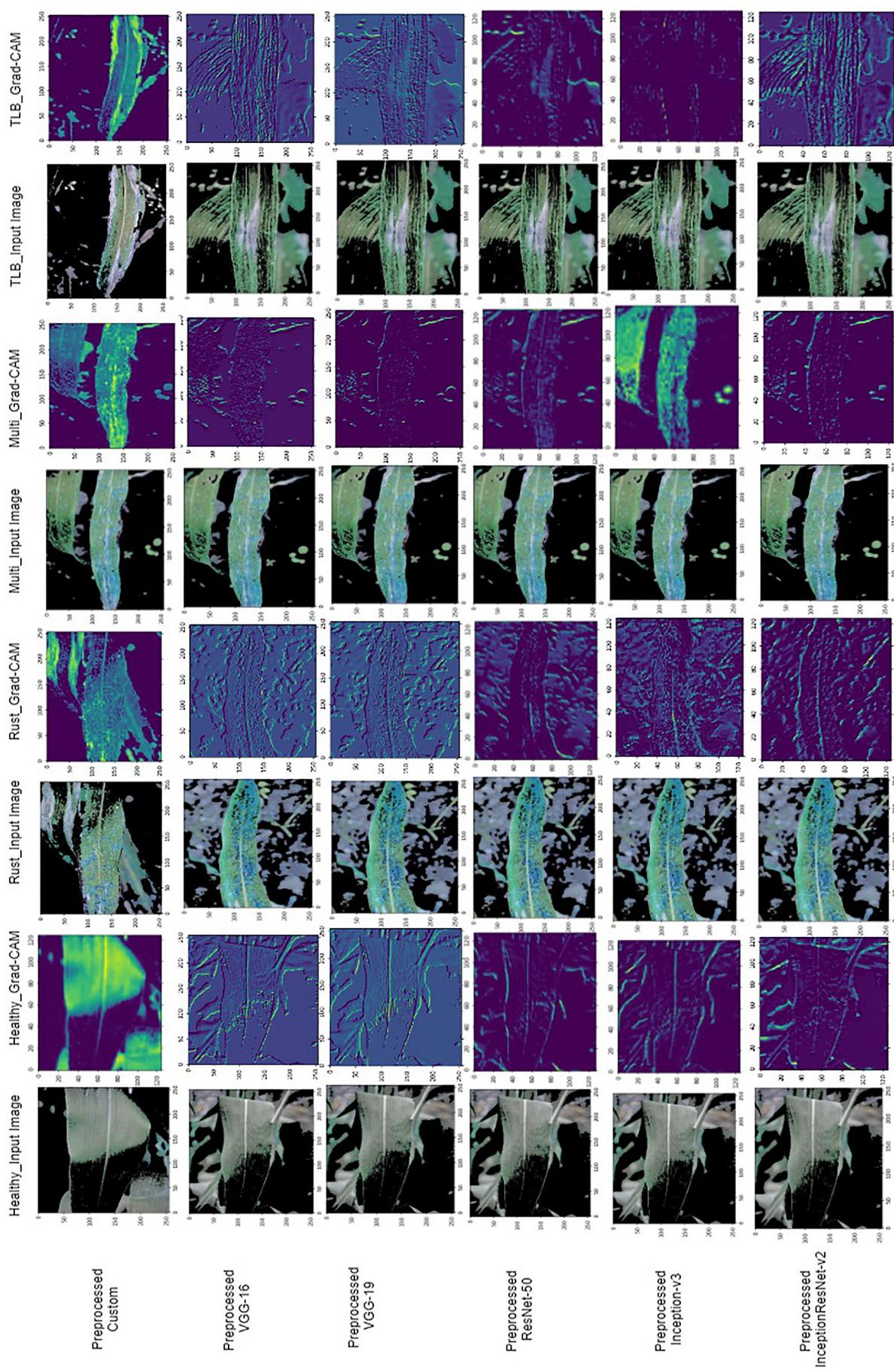


Fig. 21. Grad-Cam to visualize the features of pre-processed models.

Table 7
TLB: disease severity calculation.

Grade	Total rating	Number of ratings
1–2	0	0
3	99	297
4	252	1008
5	203	1015
6	272	1632
7	166	1162
8	1	8
9	4	36
	997	5158

Further, the trends of the precision, recall, and F1 measures of the above-stated models are demonstrated in Figs. 14 to 16. It is evident from Fig. 15 that the pre-processed VGG-19 and Inception ResNet-v2 models reported the highest precision of 99.82%. In contrast, the non-pre-processed VGG-19 and Inception ResNet-v2 reported 0.26% and 4.52% lower precision than pre-processed models. In contrast, ResNet-50 and K-means reported 0.22%, 2.12% decrease in precision after pre-processing. The small variation in the precision of all the pre-processed versions of the above-stated models implies that these models are efficient in recognizing the relevant instances of each class from the input test dataset. The discussion proves that the pre-processing helps to discriminate the irrelevant features and improves the performance.

Further analysis of results shown in Fig. 15 reveals that pre-processed VGG-19 and Inception ResNet-v2 models reported 99% recall. The pre-processed Inception ResNet-v2 reported 4.84% increase in recall than non-pre-processed. The other models viz. ResNet-50, Inception-v3, and MaizeNet reported lower values of recall, as shown in Fig. 15.

Further, it is evident from the F1-score shown in Fig. 16 that the pre-processed VGG-19 and Inception ResNet-v2 models reported the highest F1-score of 99.40%. There is a hike of 2.02% from non-pre-processed Inception ResNet-v2 model. Simultaneously, it is observed that the models ResNet-50, Inception-v3 and MaizeNet reported the decrease of 1.02% and increase of 0.16% and 1.48% respectively in the values after pre-processing.

Furthermore, it is coherent from the Grad-Cam plotted in Figs. 20, and 21 that the pre-processed MaizeNet model is effective in classifying healthy, rust, TLB and multidisease.

However, the MaizeNet model shows the comparable values of average accuracy, precision, recall, and F1 score with the state-of-the-art models, there is a significant decrease in the number of trainable parameters and training time. It is noticeable from Fig. 19 that the MaizeNet model has the minimum number of trainable parameters. Further, it is evident from the training time presented in Fig. 18 that the MaizeNet model requires a minimum training time of 140 s through 20 epochs.

Apart from disease detection in maize, the proposed framework does the assessment of disease severity by predicting Disease Leaf Area (DLA). It also estimates the crop loss based on the severity scale designed by maize plant pathologist, ICAR, Ludhiana, as shown in Figs. 9, and 10. Disease severity of 57.48%, 82.13% was reported for TLB and rust respectively. These results were validated by experts from ICAR.

Table 8
Rust: disease severity calculation.

Grade	Total scaling	Number of scaling
1–4	0	0
5	22	110
6	55	330
7	415	2905
8	425	3400
9	21	189
	938	6934

Table 9
Crop loss prediction.

Scaling	Number of TLB leaves	Number of rust leaves	Multidisease leaves	Total	Crop loss
1–5	554	22	–	576	30–40%
6–9	443	816	–	1259	>50%
=9	–	–	85	85	100%

This is obvious from the above discussion and experimental results reported that the framework reports higher accuracy than approaches proposed in literature. The authors applied state-of-the-art models viz. ResNet-101 (Prabhakar et al., 2020), VGG-16 (Wang et al., 2017), Enhanced CNN (Agarwal and Sharma, 2021) and GoogleNet (Zhang et al., 2018) on the dataset comprising maize crop images and reported the average accuracy of 90.4%, 94.6%, and 95.12%, and 98.9% respectively. Furthermore, the authors in literature calculated severity at an early, middle, and end stage. But, none of them calculated the severity on the normalized scale designed by plant pathologists. Also, they did not predict the percentage of diseased leaf area, and estimated crop loss in maize crop. Moreover, no research work was found in which the results of DL based system were validated by the plant pathology experts and integrated with a user-friendly web application.

7. Conclusions

In this manuscript, the authors achieved the objective of automating the disease detection, classification, severity calculation, and crop loss

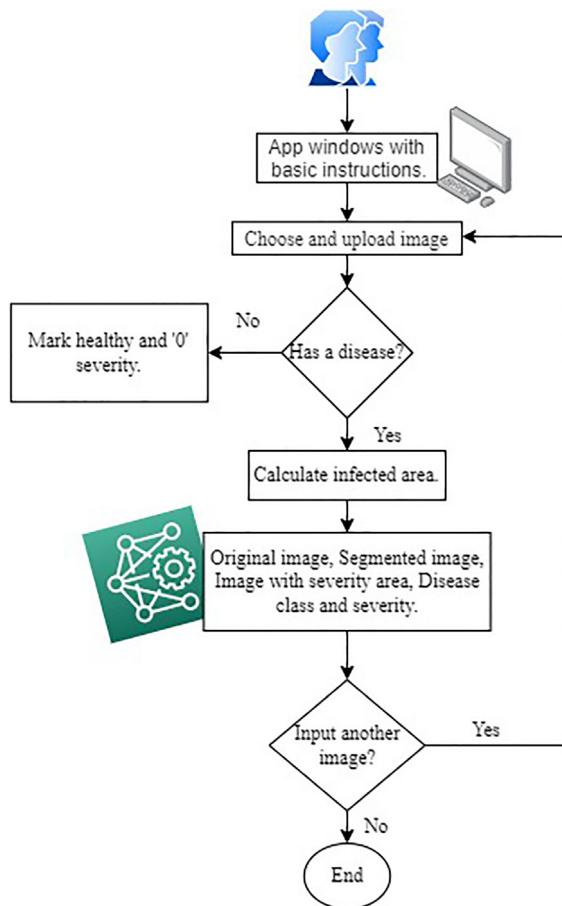


Fig. 22. Workflow of the web application.

estimation for the maize crop. They collected the real-life dataset comprising images of healthy maize crop and crop infected with TLB, rust, and multidisease.

The authors pre-processed the collected dataset by applying K-Means algorithm. Further, they applied both supervised and unsupervised algorithms on pre-processed, and non-pre-processed dataset for classifying images to all the four classes mentioned above. The authors trained K-means (Khairnar and Goje, 2020), VGG-19 (Simonyan and Zisserman, 2015), ResNet-50 (He et al., 2016), Inception-v3 (Szegedy et al., 2016), finetuned VGG-16 models (Wang et al., 2017), and the proposed DL model MaizeNet, on a dataset comprising 2460 images. These images include four classes namely healthy, TLB, rust and multidisease. Among all the above-stated models, MaizeNet reported the highest accuracy of 98.50% on the testing dataset comprising 536 images.

Furthermore, the proposed model has lowest number of parameters as 1,55,956. Therefore, its training time is minimum of all the above-stated models. This model completes 20 epochs of training in merely 140 s. Moreover, its efficacy in calculating the diseased leaf area, severity prediction, and crop loss estimation prove its supremacy over the research works proposed in the literature. Further, the model is integrated with a web-application for its real-life use as a disease prediction assisting tool. Although, the proposed framework is efficient in classifying maize crop into TLB, rust, multidisease, and healthy classes, predicting the disease severity, and estimating the crop loss, there is a scope of making the predictions based on soil parameters, atmospheric conditions, and genomics of plants, and disease-causing agents. Also, the framework can be generalized for crop loss estimation of any crop.

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Author statement

All authors have equally contributed in conducting this research and preparing the manuscript.

Declaration of Competing Interest

The authors declare no conflict of interest.

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