

# CS 78 Computer Networks

## Applications

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2: Application Layer 1

## Chapter 2: Application layer

- r 2.1 Principles of network applications
- r 2.2 Web and HTTP
- r 2.3 FTP
- r 2.4 Electronic Mail
  - ❖ SMTP, POP3, IMAP
- r 2.5 DNS
- r 2.6 P2P file sharing
- r 2.7 Socket programming with TCP
- r 2.8 Socket programming with UDP
- r 2.9 Building a Web server

2: Application Layer 2

## Chapter 2: Application Layer

### Our goals:

- r conceptual, implementation aspects of network application protocols
  - ❖ transport-layer service models
  - ❖ client-server paradigm
  - ❖ peer-to-peer paradigm
- r learn about protocols by examining popular application-level protocols
  - ❖ HTTP
  - ❖ FTP
  - ❖ SMTP / POP3 / IMAP
  - ❖ DNS
- r programming network applications
  - ❖ socket API

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## Some network apps

- r E-mail
- r Web
- r Instant messaging
- r Remote login
- r P2P file sharing
- r Multi-user network games
- r Streaming stored video clips
- r Internet telephone
- r Real-time video conference
- r Massive parallel computing

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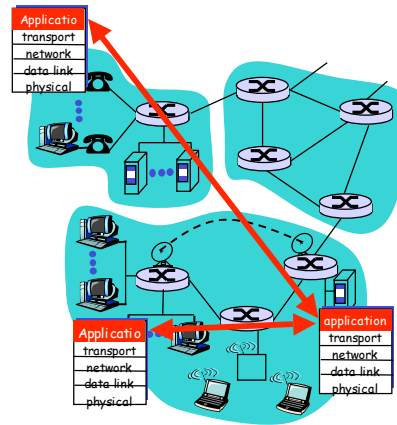
## Creating a network app

### Write programs that

- ❖ run on different end systems and
- ❖ communicate over a network.
- ❖ e.g., Web: Web server software communicates with browser software

### little software written for devices in network core

- ❖ network core devices do not run user application code
- ❖ application on end systems allows for rapid app development, propagation



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## Chapter 2: Application layer

### r 2.1 Principles of network applications

### r 2.2 Web and HTTP

### r 2.3 FTP

### r 2.4 Electronic Mail

- ❖ SMTP, POP3, IMAP

### r 2.5 DNS

### r 2.6 P2P file sharing

### r 2.7 Socket

programming with TCP

### r 2.8 Socket

programming with UDP

### r 2.9 Building a Web server

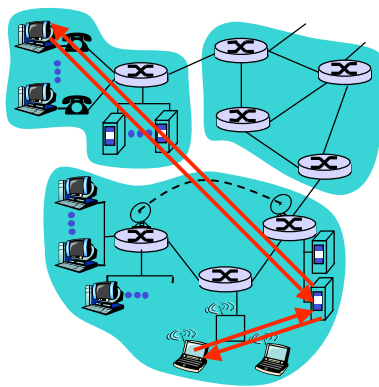
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## Application architectures

- r Client-server
- r Peer-to-peer (P2P)
- r Hybrid of client-server and P2P

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## Client-server architecture



### server:

- ❖ always-on host
- ❖ permanent IP address
- ❖ server farms for scaling

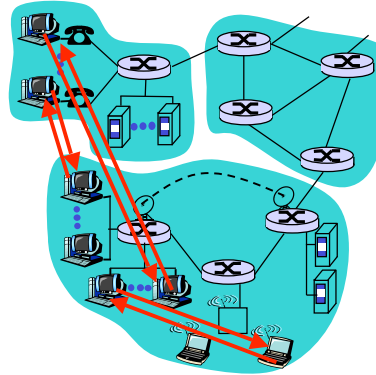
### clients:

- ❖ communicate with server
- ❖ may be intermittently connected
- ❖ may have dynamic IP addresses
- ❖ do not communicate directly with each other

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## Pure P2P architecture

- r no always-on server
- r arbitrary end systems directly communicate
- r peers are intermittently connected and change IP addresses
- r example: Gnutella



Highly scalable but  
difficult to manage

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## Hybrid of client-server and P2P

### Skype

- ❖ Internet telephony app
- ❖ Finding address of remote party: centralized server(s)
- ❖ Client-client connection is direct (not through server)

### Instant messaging

- ❖ Chatting between two users is P2P
- ❖ Presence detection/location centralized:
  - User registers its IP address with central server when it comes online
  - User contacts central server to find IP addresses of buddies

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## Processes communicating

**Process:** program running within a host.

- r within same host, two processes communicate using **inter-process communication** (defined by OS).
- r processes in different hosts communicate by exchanging **messages**

**Client process:** process that initiates communication

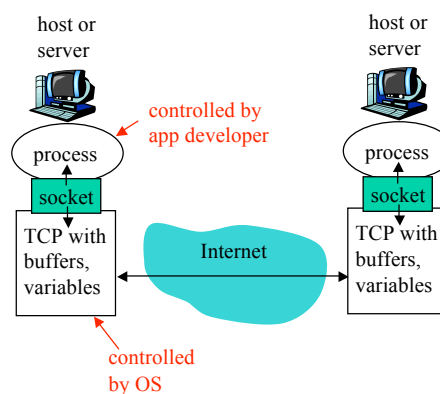
**Server process:** process that waits to be contacted

- r Note: applications with P2P architectures have client processes & server processes

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## Sockets

- r process sends/receives messages to/from its **socket**
- r socket analogous to door
  - ❖ sending process shoves message out door
  - ❖ sending process relies on transport infrastructure on other side of door which brings message to socket at receiving process
- r API: (1) choice of transport protocol; (2) ability to fix a few parameters (lots more on this later)



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## Addressing processes

- r to receive messages, process must have *identifier*
- r host device has unique 32-bit IP address
- r **Q:** does IP address of host on which process runs suffice for identifying the process?

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## Addressing processes

- r to receive messages, process must have *identifier*
- r host device has unique 32-bit IP address
- r **Q:** does IP address of host on which process runs suffice for identifying the process?
  - ❖ **Answer:** NO, many processes can be running on same host
- r *identifier* includes both **IP address** and **port numbers** associated with process on host.
- r Example port numbers:
  - ❖ HTTP server: 80
  - ❖ Mail server: 25
- r to send HTTP message to [gaia.cs.umass.edu](http://gaia.cs.umass.edu) web server:
  - ❖ IP address: 128.119.245.12
  - ❖ Port number: 80
- r more shortly...

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## App-layer protocol defines

- r Types of messages exchanged,
  - ❖ e.g., request, response
- r Message syntax:
  - ❖ what fields in messages & how fields are delineated
- r Message semantics
  - ❖ meaning of information in fields
  - ❖ Rules for when and how processes send & respond to messages

### Public-domain protocols:

- r defined in RFCs
- r allows for interoperability
- r e.g., HTTP, SMTP

### Proprietary protocols:

- r e.g., KaZaA

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## What transport service does an app need?

### Data loss

- r some apps (e.g., audio) can tolerate some loss
- r other apps (e.g., file transfer, telnet) require 100% reliable data transfer

### Timing

- r some apps (e.g., Internet telephony, interactive games) require low delay to be "effective"

### Bandwidth

- r some apps (e.g., multimedia) require minimum amount of bandwidth to be "effective"
- r other apps ("elastic apps") make use of whatever bandwidth they get

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## Transport service requirements of common apps

Application	Data loss	Bandwidth	Time Sensitive
file transfer	no loss	elastic	no
e-mail	no loss	elastic	no
Web documents	no loss	elastic	no
real-time audio/video	loss-tolerant	audio: 5kbps-1Mbps video: 10kbps-5Mbps	yes, 100's msec
stored audio/video	loss-tolerant	same as above	yes, few secs
interactive games	loss-tolerant	few kbps up	yes, 100's msec
instant messaging	no loss	elastic	yes and no

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## Internet transport protocols services

### TCP service:

- r *connection-oriented*: setup required between client and server processes
- r *reliable transport* between sending and receiving process
- r *flow control*: sender won't overwhelm receiver
- r *congestion control*: throttle sender when network overloaded
- r *does not provide*: timing, minimum bandwidth guarantees

### UDP service:

- r unreliable data transfer between sending and receiving process
  - r does not provide: connection setup, reliability, flow control, congestion control, timing, or bandwidth guarantee
- Q:** why bother? Why is there a UDP?

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## Internet apps: application, transport protocols

<u>Application</u>	<u>Application layer protocol</u>	<u>Underlying transport protocol</u>
e-mail	SMTP [RFC 2821]	TCP
remote terminal access	Telnet [RFC 854]	TCP
Web	HTTP [RFC 2616]	TCP
file transfer	FTP [RFC 959]	TCP
streaming multimedia	proprietary (e.g. RealNetworks)	TCP or UDP
Internet telephony	proprietary (e.g., Vonage, Dialpad)	typically UDP

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## Chapter 2: Application layer

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  - ❖ app architectures
  - ❖ app requirements
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## Web and HTTP

### First some jargon

- r Web page consists of objects
- r Object can be HTML file, JPEG image, Java applet, audio file,...
- r Web page consists of base HTML-file which includes several referenced objects
- r Each object is addressable by a URL
- r Example URL:

www.someschool.edu / someDept/pic.gif

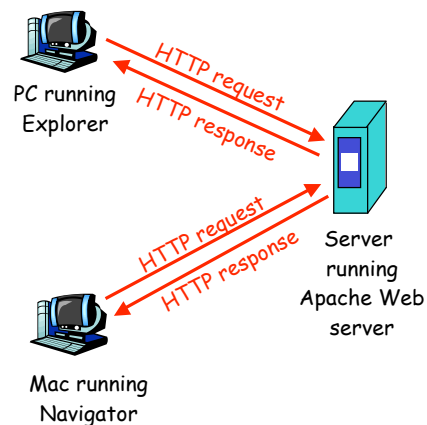
host name                      path name

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## HTTP overview

### HTTP: hypertext transfer protocol

- r Web's application layer protocol
- r client/server model
  - ❖ *client*: browser that requests, receives, "displays" Web objects
  - ❖ *server*: Web server sends objects in response to requests
- r HTTP 1.0: RFC 1945
- r HTTP 1.1: RFC 2068



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## HTTP overview (continued)

### Uses TCP:

- r client initiates TCP connection (creates socket) to server, port 80
- r server accepts TCP connection from client
- r HTTP messages (application-layer protocol messages) exchanged between browser (HTTP client) and Web server (HTTP server)
- r TCP connection closed

### HTTP is "stateless"

- r server maintains no information about past client requests

Protocols that maintain "state" are complex! aside

- r past history (state) must be maintained
- r if server/client crashes, their views of "state" may be inconsistent, must be reconciled

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## HTTP connections

### Nonpersistent HTTP

- r At most one object is sent over a TCP connection.
- r HTTP/1.0 uses nonpersistent HTTP

### Persistent HTTP

- r Multiple objects can be sent over single TCP connection between client and server.
- r HTTP/1.1 uses persistent connections in default mode

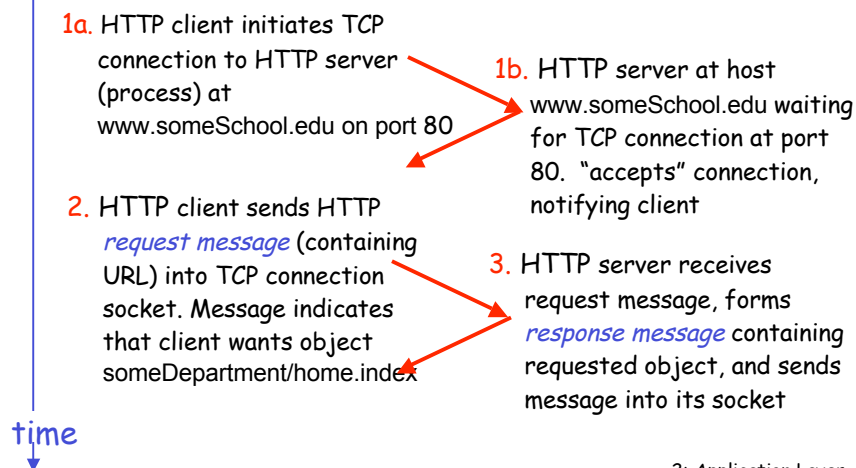
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## Nonpersistent HTTP

Suppose user enters URL

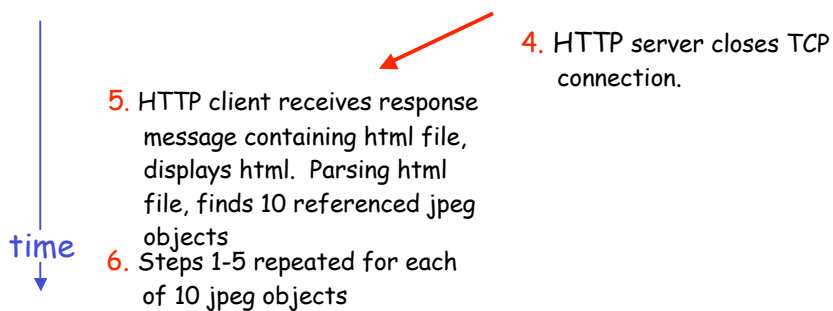
`www.someSchool.edu/someDepartment/home.index`

(contains text,  
references to 10  
jpeg images)



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## Nonpersistent HTTP (cont.)



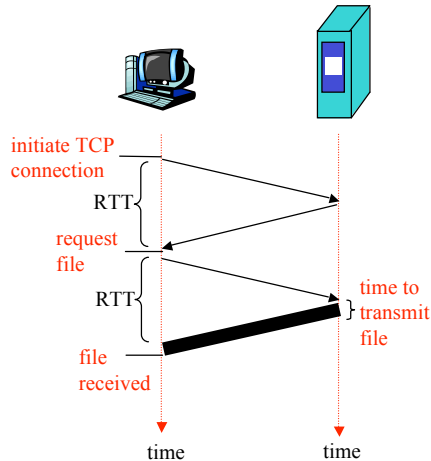
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## Non-Persistent HTTP: Response time

**Definition of RTT:** time to send a small packet to travel from client to server and back.

### Response time:

- one RTT to initiate TCP connection
  - one RTT for HTTP request and first few bytes of HTTP response to return
  - file transmission time
- total = 2RTT + transmit time**



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## Persistent HTTP

### Nonpersistent HTTP issues:

- requires 2 RTTs per object
- OS overhead for *each* TCP connection
- browsers often open parallel TCP connections to fetch referenced objects

### Persistent HTTP

- server leaves connection open after sending response
- subsequent HTTP messages between same client/server sent over open connection

### Persistent *without* pipelining:

- client issues new request only when previous response has been received
- one RTT for each referenced object

### Persistent *with* pipelining:

- default in HTTP/1.1
- client sends requests as soon as it encounters a referenced object
- as little as one RTT for all the referenced objects

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## HTTP request message

r two types of HTTP messages: *request, response*

r **HTTP request message:**

❖ ASCII (human-readable format)

request line  
(GET, POST,  
HEAD commands)

header  
lines

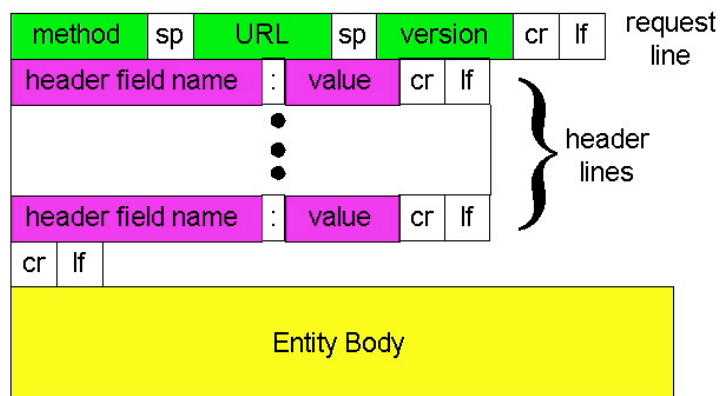
```
GET /somedir/page.html HTTP/1.1
Host: www.someschool.edu
User-agent: Mozilla/4.0
Connection: close
Accept-language: fr
```

Carriage return,  
line feed  
indicates end  
of message

(extra carriage return, line feed)

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## HTTP request message: general format



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## Uploading form input

### Post method:

- r Web page often includes form input
- r Input is uploaded to server in entity body

### URL method:

- r Uses GET method
- r Input is uploaded in URL field of request line:  
www.somesite.com/animalsearch?monkeys&banana

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## Method types

### HTTP/1.0

- r GET
- r POST
- r HEAD
  - ❖ asks server to leave requested object out of response

### HTTP/1.1

- r GET, POST, HEAD
- r PUT
  - ❖ uploads file in entity body to path specified in URL field
- r DELETE
  - ❖ deletes file specified in the URL field

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## HTTP response message

The diagram illustrates the structure of an HTTP response message. It consists of three main parts: a status line, header lines, and data. The status line is labeled with 'status line (protocol status code status phrase)' and points to the first line of the message. The header lines are labeled 'header lines' and point to the subsequent lines. The data is labeled 'data, e.g., requested HTML file' and points to the final line of the message.

```
status line  
(protocol  
status code  
status phrase) → HTTP/1.1 200 OK  
header lines → Connection close  
Date: Thu, 06 Aug 1998 12:00:15 GMT  
Server: Apache/1.3.0 (Unix)  
Last-Modified: Mon, 22 Jun 1998 .....  
Content-Length: 6821  
Content-Type: text/html  
data, e.g., requested HTML file → data data data data data ...
```

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## HTTP response status codes

In first line in server→client response message.

A few sample codes:

**200 OK**

- ❖ request succeeded, requested object later in this message

**301 Moved Permanently**

- ❖ requested object moved, new location specified later in this message (Location:)

**400 Bad Request**

- ❖ request message not understood by server

**404 Not Found**

- ❖ requested document not found on this server

**505 HTTP Version Not Supported**

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## Trying out HTTP (client side) for yourself

1. Telnet to your favorite Web server:

```
telnet cis.poly.edu 80
```

Opens TCP connection to port 80  
(default HTTP server port) at cis.poly.edu.  
Anything typed in sent  
to port 80 at cis.poly.edu

2. Type in a GET HTTP request:

```
GET /~ross/ HTTP/1.1  
Host: cis.poly.edu
```

By typing this in (hit carriage  
return twice), you send  
this minimal (but complete)  
GET request to HTTP server

3. Look at response message sent by HTTP server!

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## Let's look at HTTP in action

- r telnet example
- r Ethereal example

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## User-server state: cookies

Many major Web sites  
use cookies

### Four components:

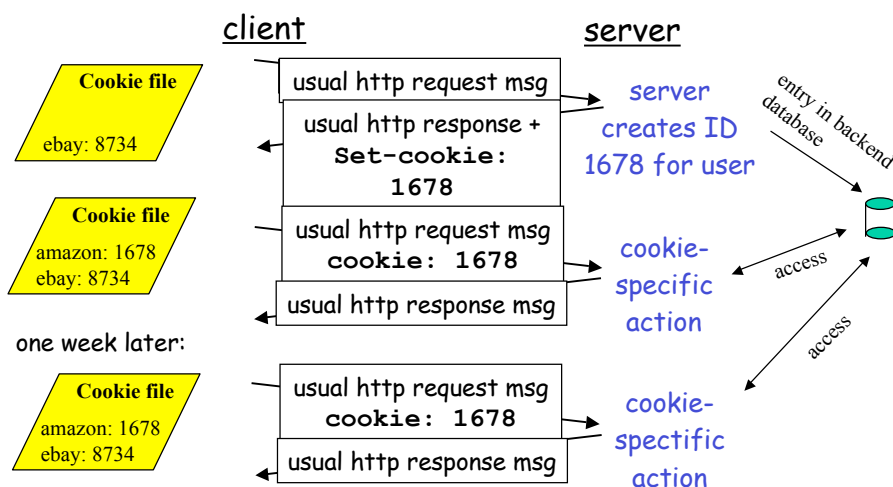
- 1) cookie header line of HTTP *response* message
- 2) cookie header line in HTTP *request* message
- 3) cookie file kept on user's host, managed by user's browser
- 4) back-end database at Web site

### Example:

- ❖ Susan access Internet always from same PC
- ❖ She visits a specific e-commerce site for first time
- ❖ When initial HTTP requests arrives at site, site creates a unique ID and creates an entry in backend database for ID

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## Cookies: keeping "state" (cont.)



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## Cookies (continued)

### What cookies can bring:

- r authorization
- r shopping carts
- r recommendations
- r user session state (Web e-mail)

### How to keep "state":

- r Protocol endpoints: maintain state at sender/receiver over multiple transactions
- r cookies: http messages carry state

aside

### Cookies and privacy:

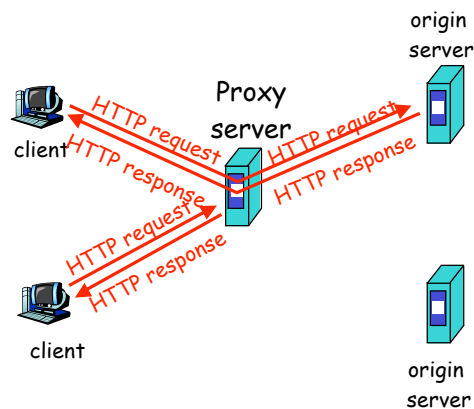
- r cookies permit sites to learn a lot about you
- r you may supply name and e-mail to sites

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## Web caches (proxy server)

**Goal:** satisfy client request without involving origin server

- r user sets browser: Web accesses via cache
- r browser sends all HTTP requests to cache
  - ❖ object in cache: cache returns object
  - ❖ else cache requests object from origin server, then returns object to client



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## More about Web caching

- r Cache acts as both client and server
- r Typically cache is installed by ISP (university, company, residential ISP)

### Why Web caching?

- r Reduce response time for client request.
- r Reduce traffic on an institution's access link.
- r Internet dense with caches: enables "poor" content providers to effectively deliver content (but so does P2P file sharing)

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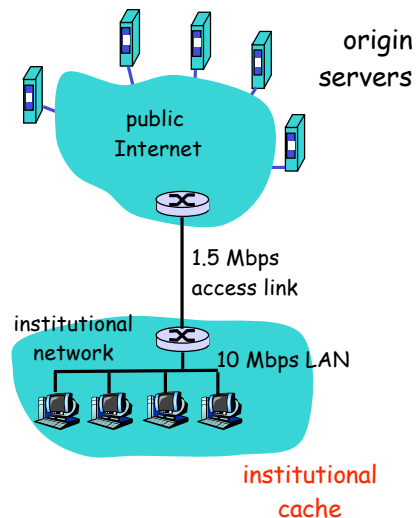
## Caching example

### Assumptions

- r average object size = 100,000 bits
- r avg. request rate from institution's browsers to origin servers = 15/sec
- r delay from institutional router to any origin server and back to router = 2 sec

### Consequences

- r utilization on LAN = 15%
- r utilization on access link = 100%
- r total delay = Internet delay + access delay + LAN delay  
= 2 sec + minutes + milliseconds



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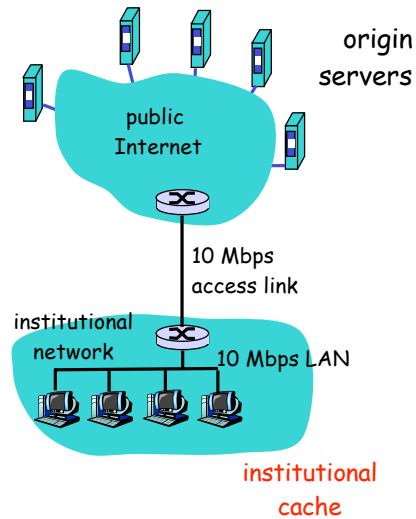
## Caching example (cont)

### Possible solution

- increase bandwidth of access link to, say, 10 Mbps

### Consequences

- utilization on LAN = 15%
- utilization on access link = 15%
- Total delay = Internet delay + access delay + LAN delay  
= 2 sec + msec + msec
- often a costly upgrade



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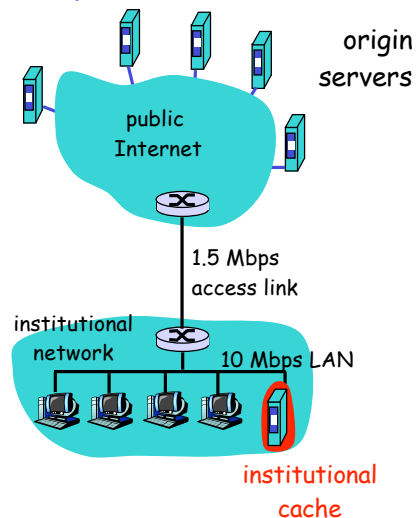
## Caching example (cont)

### Install cache

- suppose hit rate is .4

### Consequence

- 40% requests will be satisfied almost immediately
- 60% requests satisfied by origin server
- utilization of access link reduced to 60%, resulting in negligible delays (say 10 msec)
- total avg delay = Internet delay + access delay + LAN delay  
=  $.6 \cdot (2.01 \text{ secs}) + .4 \cdot \text{milliseconds} < 1.4 \text{ secs}$



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## Conditional GET

r **Goal:** don't send object if cache has up-to-date cached version

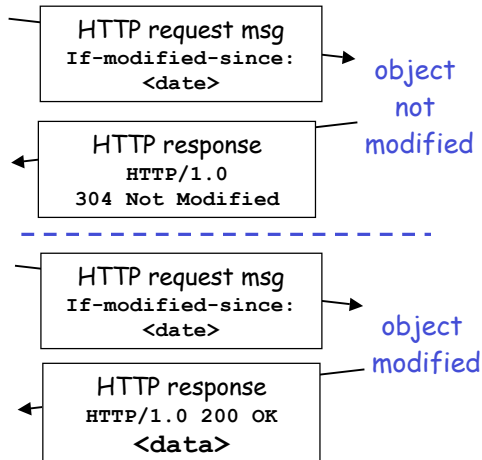
r cache: specify date of cached copy in HTTP request  
If-modified-since:  
<date>

r server: response contains no object if cached copy is up-to-date:

HTTP/1.0 304 Not Modified

cache

server



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## Chapter 2: Application layer

r 2.1 Principles of network applications

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r **2.3 FTP**

r 2.4 Electronic Mail

❖ SMTP, POP3, IMAP

r 2.5 DNS

r 2.6 P2P file sharing

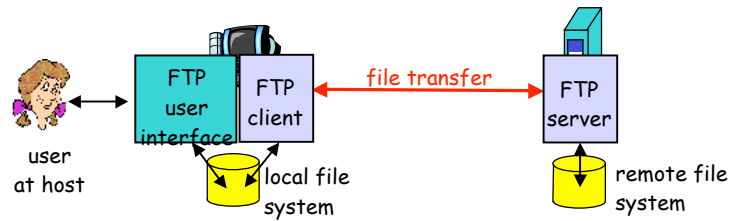
r 2.7 Socket programming with TCP

r 2.8 Socket programming with UDP

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## FTP: the file transfer protocol

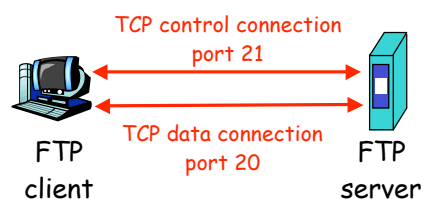


- r transfer file to/from remote host
- r client/server model
  - ❖ *client*: side that initiates transfer (either to/from remote)
  - ❖ *server*: remote host
- r ftp: RFC 959
- r ftp server: port 21

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## FTP: separate control, data connections

- r FTP client contacts FTP server at port 21, specifying TCP as transport protocol
- r Client obtains authorization over control connection
- r Client browses remote directory by sending commands over control connection.
- r When server receives file transfer command, server opens 2<sup>nd</sup> TCP connection (for file) to client
- r After transferring one file, server closes data connection.



- r Server opens another TCP data connection to transfer another file.
- r Control connection: "out of band"
- r FTP server maintains "state": current directory, earlier authentication

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## FTP commands, responses

### Sample commands:

- r sent as ASCII text over control channel
- r **USER *username***
- r **PASS *password***
- r **LIST** return list of file in current directory
- r **RETR *filename*** retrieves (gets) file
- r **STOR *filename*** stores (puts) file onto remote host

### Sample return codes

- r status code and phrase (as in HTTP)
- r **331 Username OK, password required**
- r **125 data connection already open; transfer starting**
- r **425 Can't open data connection**
- r **452 Error writing file**

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## Chapter 2: Application layer

- r 2.1 Principles of network applications
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- r **2.4 Electronic Mail**
  - ❖ **SMTP, POP3, IMAP**
- r 2.5 DNS
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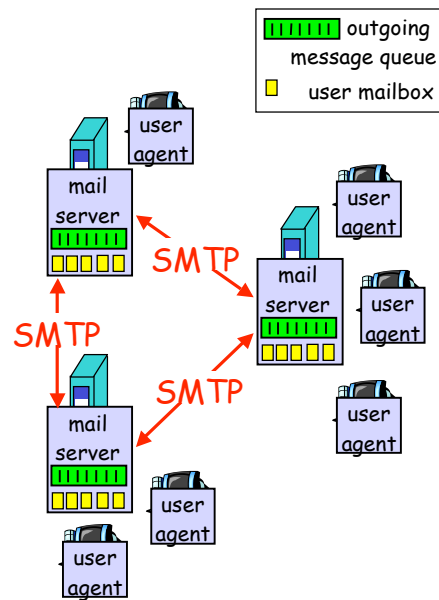
## Electronic Mail

### Three major components:

- r user agents
- r mail servers
- r simple mail transfer protocol: SMTP

### User Agent

- r a.k.a. "mail reader"
- r composing, editing, reading mail messages
- r e.g., Eudora, Outlook, elm, Netscape Messenger
- r outgoing, incoming messages stored on server

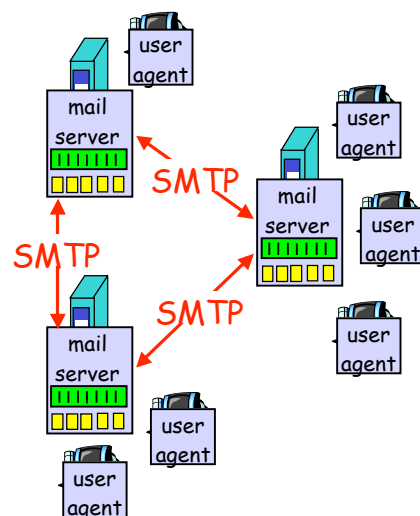


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## Electronic Mail: mail servers

### Mail Servers

- r **mailbox** contains incoming messages for user
- r **message queue** of outgoing (to be sent) mail messages
- r **SMTP protocol** between mail servers to send email messages
  - ❖ client: sending mail server
  - ❖ "server": receiving mail server



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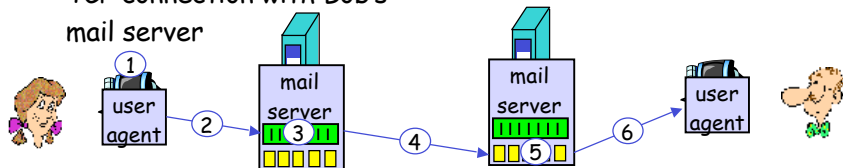
## Electronic Mail: SMTP [RFC 2821]

- r uses TCP to reliably transfer email message from client to server, port 25
- r direct transfer: sending server to receiving server
- r three phases of transfer
  - ❖ handshaking (greeting)
  - ❖ transfer of messages
  - ❖ closure
- r command/response interaction
  - ❖ **commands**: ASCII text
  - ❖ **response**: status code and phrase
- r messages must be in 7-bit ASCII

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## Scenario: Alice sends message to Bob

- 1) Alice uses UA to compose message and "to" bob@some school.edu
- 2) Alice's UA sends message to her mail server; message placed in message queue
- 3) Client side of SMTP opens TCP connection with Bob's mail server
- 4) SMTP client sends Alice's message over the TCP connection
- 5) Bob's mail server places the message in Bob's mailbox
- 6) Bob invokes his user agent to read message



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## Sample SMTP interaction

```
S: 220 hamburger.edu
C: HELO crepes.fr
S: 250 Hello crepes.fr, pleased to meet you
C: MAIL FROM: <alice@crepes.fr>
S: 250 alice@crepes.fr... Sender ok
C: RCPT TO: <bob@hamburger.edu>
S: 250 bob@hamburger.edu ... Recipient ok
C: DATA
S: 354 Enter mail, end with "." on a line by itself
C: Do you like ketchup?
C: How about pickles?
C: .
S: 250 Message accepted for delivery
C: QUIT
S: 221 hamburger.edu closing connection
```

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## Try SMTP interaction for yourself:

```
r telnet servername 25
r see 220 reply from server
r enter HELO, MAIL FROM, RCPT TO, DATA,
  QUIT commands
above lets you send email without using email client
(reader)
```

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## SMTP: final words

- r SMTP uses persistent connections
- r SMTP requires message (header & body) to be in 7-bit ASCII
- r SMTP server uses CRLF.CRLF to determine end of message

### Comparison with HTTP:

- r HTTP: pull
- r SMTP: push
- r both have ASCII command/response interaction, status codes
- r HTTP: each object encapsulated in its own response msg
- r SMTP: multiple objects sent in multipart msg

2: Application Layer 57

## Mail message format

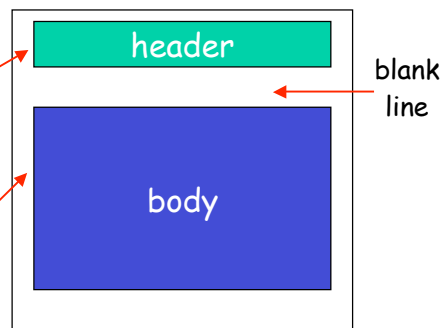
SMTP: protocol for exchanging email msgs  
RFC 822: standard for text message format:

- r header lines, e.g.,

- ❖ To:
- ❖ From:
- ❖ Subject:

*different from SMTP commands!*

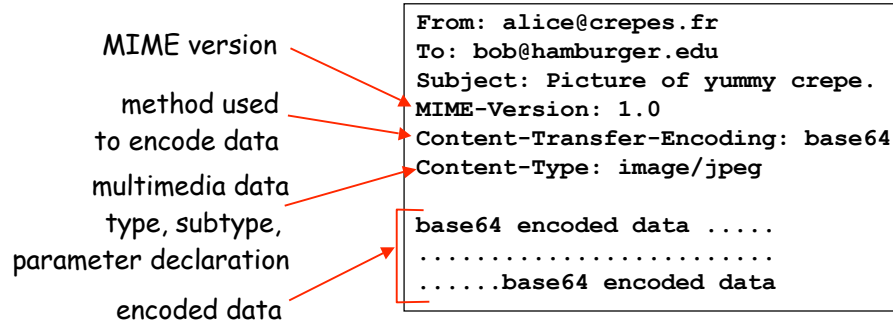
- r body
  - ❖ the "message", ASCII characters only



2: Application Layer 58

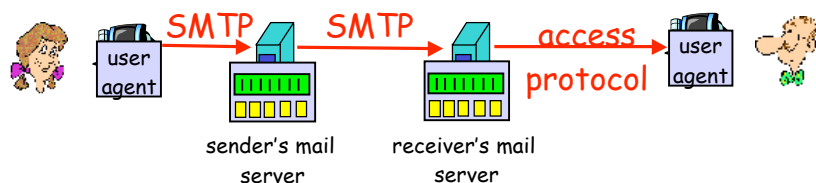
## Message format: multimedia extensions

- r MIME: multimedia mail extension, RFC 2045, 2056
- r additional lines in msg header declare MIME content type



2: Application Layer 59

## Mail access protocols



- r SMTP: delivery/storage to receiver's server
- r Mail access protocol: retrieval from server
  - ❖ POP: Post Office Protocol [RFC 1939]
    - authorization (agent <-->server) and download
  - ❖ IMAP: Internet Mail Access Protocol [RFC 1730]
    - more features (more complex)
    - manipulation of stored msgs on server
  - ❖ HTTP: Hotmail , Yahoo! Mail, etc.

2: Application Layer 60

## POP3 protocol

### authorization phase

#### r client commands:

- ❖ user: declare username
- ❖ pass: password

#### r server responses

- ❖ +OK
- ❖ -ERR

### transaction phase, client:

- r list: list message numbers
- r retr: retrieve message by number
- r dele: delete
- r quit

```
S: +OK POP3 server ready
C: user bob
S: +OK
C: pass hungry
S: +OK user successfully logged on

C: list
S: 1 498
S: 2 912
S: .
C: retr 1
S: <message 1 contents>
S: .
C: dele 1
C: retr 2
S: <message 1 contents>
S: .
C: dele 2
C: quit
S: +OK POP3 server signing off
```

2: Application Layer 61

## POP3 (more) and IMAP

### More about POP3

- r Previous example uses "download and delete" mode.
- r Bob cannot re-read e-mail if he changes client
- r "Download-and-keep": copies of messages on different clients
- r POP3 is stateless across sessions

### IMAP

- r Keep all messages in one place: the server
- r Allows user to organize messages in folders
- r IMAP keeps user state across sessions:
  - ❖ names of folders and mappings between message IDs and folder name

2: Application Layer 62

## Chapter 2: Application layer

- r 2.1 Principles of network applications
- r 2.2 Web and HTTP
- r 2.3 FTP
- r 2.4 Electronic Mail
  - ❖ SMTP, POP3, IMAP
- r 2.5 DNS
- r 2.6 P2P file sharing
- r 2.7 Socket programming with TCP
- r 2.8 Socket programming with UDP
- r 2.9 Building a Web server

2: Application Layer 63

## DNS: Domain Name System

**People:** many identifiers:

- ❖ SSN, name, passport #

**Internet hosts, routers:**

- ❖ IP address (32 bit) - used for addressing datagrams
- ❖ "name", e.g.,  
ww.yahoo.com - used by humans

**Q:** map between IP addresses and name ?

**Domain Name System:**

- r *distributed database*  
implemented in hierarchy of many *name servers*
- r *application-layer protocol*  
host, routers, name servers to communicate to *resolve* names (address/name translation)
  - ❖ note: core Internet function, implemented as application-layer protocol
  - ❖ complexity at network's "edge"

2: Application Layer 64



## DNS

### DNS services

- r Hostname to IP address translation
- r Host aliasing
  - ❖ Canonical and alias names
- r Mail server aliasing
- r Load distribution
  - ❖ Replicated Web servers: set of IP addresses for one canonical name

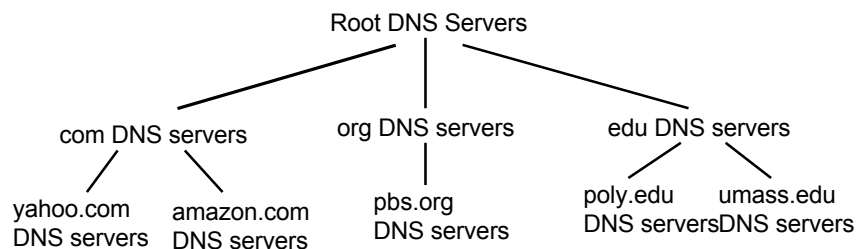
### Why not centralize DNS?

- r single point of failure
- r traffic volume
- r distant centralized database
- r maintenance

doesn't *scale*!

2: Application Layer 65

## Distributed, Hierarchical Database



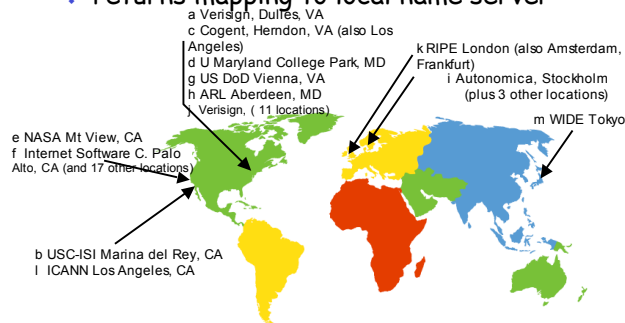
### Client wants IP for www.amazon.com; 1<sup>st</sup> approx:

- r Client queries a root server to find com DNS server
- r Client queries com DNS server to get amazon.com DNS server
- r Client queries amazon.com DNS server to get IP address for www.amazon.com

2: Application Layer 66

## DNS: Root name servers

- r contacted by local name server that can not resolve name
- r root name server:
  - ❖ contacts authoritative name server if name mapping not known
  - ❖ gets mapping
  - ❖ returns mapping to local name server



13 root name  
servers worldwide

2: Application Layer 67

## TLD and Authoritative Servers

- r **Top-level domain (TLD) servers:** responsible for com, org, net, edu, etc, and all top-level country domains uk, fr, ca, jp.
  - ❖ Network solutions maintains servers for com TLD
  - ❖ Educause for edu TLD
- r **Authoritative DNS servers:** organization's DNS servers, providing authoritative hostname to IP mappings for organization's servers (e.g., Web and mail).
  - ❖ Can be maintained by organization or service provider

2: Application Layer 68

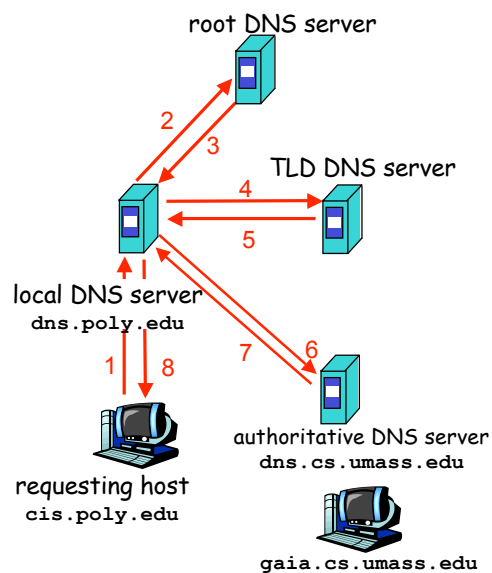
## Local Name Server

- r Does not strictly belong to hierarchy
- r Each ISP (residential ISP, company, university) has one.
  - ❖ Also called "default name server"
- r When a host makes a DNS query, query is sent to its local DNS server
  - ❖ Acts as a proxy, forwards query into hierarchy.

2: Application Layer 69

## Example

- r Host at cis.poly.edu wants IP address for gaia.cs.umass.edu



2: Application Layer 70

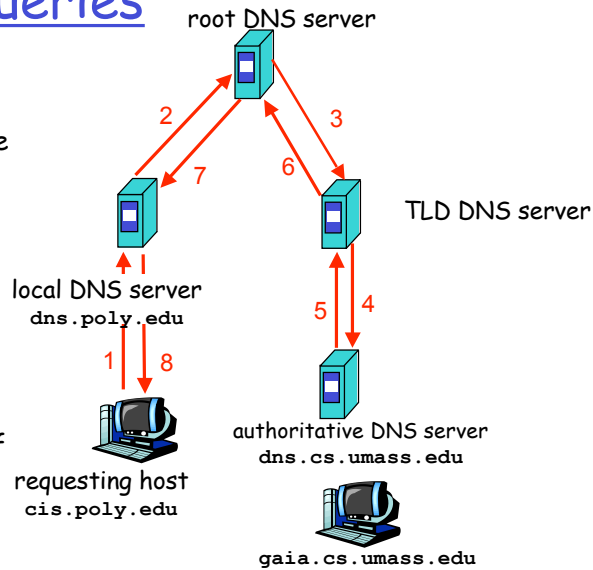
## Recursive queries

### recursive query:

- r puts burden of name resolution on contacted name server
- r heavy load?

### iterated query:

- r contacted server replies with name of server to contact
- r "I don't know this name, but ask this server"



2: Application Layer 71

## DNS: caching and updating records

- r once (any) name server learns mapping, it *caches* mapping
  - ❖ cache entries timeout (disappear) after some time
  - ❖ TLD servers typically cached in local name servers
    - Thus root name servers not often visited
- r update/notify mechanisms under design by IETF
  - ❖ RFC 2136
  - ❖ <http://www.ietf.org/html.charters/dnsind-charter.html>

2: Application Layer 72

## DNS records

DNS: distributed db storing resource records (RR)

RR format: (name, value, type, ttl)

r Type=A

- ❖ name is hostname
- ❖ value is IP address

r Type=NS

- ❖ name is domain (e.g. foo.com)
- ❖ value is hostname of authoritative name server for this domain

r Type=CNAME

- ❖ name is alias name for some "canonical" (the real) name  
www.ibm.com is really servereast.backup2.ibm.com
- ❖ value is canonical name

r Type=MX

- ❖ value is name of mailserver associated with name

2: Application Layer 73

## DNS protocol, messages

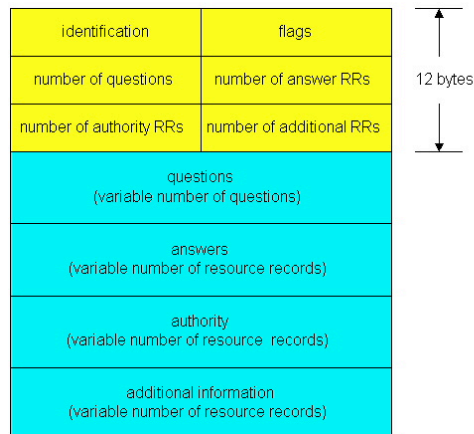
DNS protocol : *query* and *reply* messages, both with same *message format*

msg header

- r identification: 16 bit #  
for query, reply to query uses same #

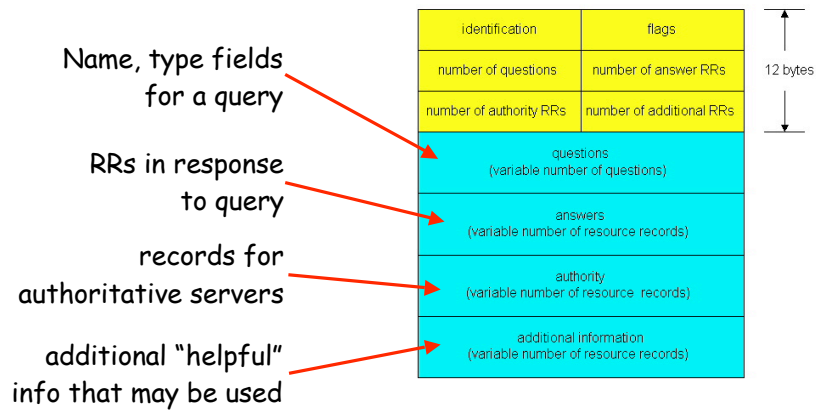
r flags:

- ❖ query or reply
- ❖ recursion desired
- ❖ recursion available
- ❖ reply is authoritative



2: Application Layer 74

## DNS protocol, messages



2: Application Layer 75

## Inserting records into DNS

- r Example: just created startup "Network Utopia"
- r Register name networkutopia.com at a **registrar** (e.g., Network Solutions)
  - ❖ Need to provide registrar with names and IP addresses of your authoritative name server (primary and secondary)
  - ❖ Registrar inserts two RRs into the com TLD server:
 

```
(networkutopia.com, dns1.networkutopia.com, NS)
(dns1.networkutopia.com, 212.212.212.1, A)
```
- r Put in authoritative server Type A record for www.networkutopia.com and Type MX record for networkutopia.com
- r **How do people get the IP address of your Web site?**

2: Application Layer 76

## Chapter 2: Application layer

- r 2.1 Principles of network applications
  - ❖ app architectures
  - ❖ app requirements
- r 2.2 Web and HTTP
- r 2.4 Electronic Mail
  - ❖ SMTP, POP3, IMAP
- r 2.5 DNS
- r 2.6 P2P file sharing
- r 2.7 Socket programming with TCP
- r 2.8 Socket programming with UDP
- r 2.9 Building a Web server

2: Application Layer 77

## P2P file sharing

### Example

- r Alice runs P2P client application on her notebook computer
  - r Intermittently connects to Internet; gets new IP address for each connection
  - r Asks for "Hey Jude"
  - r Application displays other peers that have copy of Hey Jude.
  - r Alice chooses one of the peers, Bob.
  - r File is copied from Bob's PC to Alice's notebook: HTTP
  - r While Alice downloads, other users uploading from Alice.
  - r Alice's peer is both a Web client and a transient Web server.
- All peers are servers = highly scalable!

2: Application Layer 78

## P2P: centralized directory

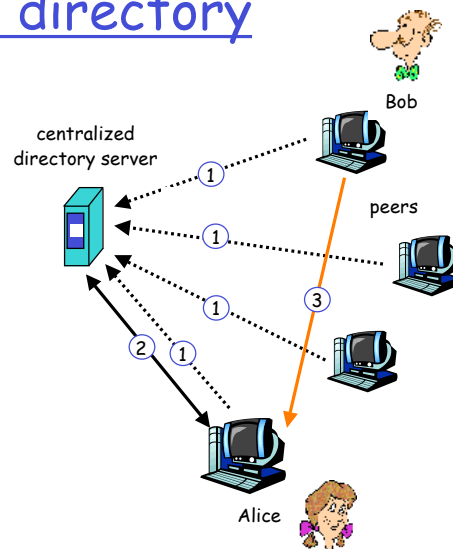
original "Napster" design

1) when peer connects, it informs central server:

- ❖ IP address
- ❖ content

2) Alice queries for "Hey Jude"

3) Alice requests file from Bob



2: Application Layer 79

## P2P: problems with centralized directory

- r Single point of failure
- r Performance bottleneck
- r Copyright infringement

file transfer is decentralized, but locating content is highly centralized

2: Application Layer 80



## Query flooding: Gnutella

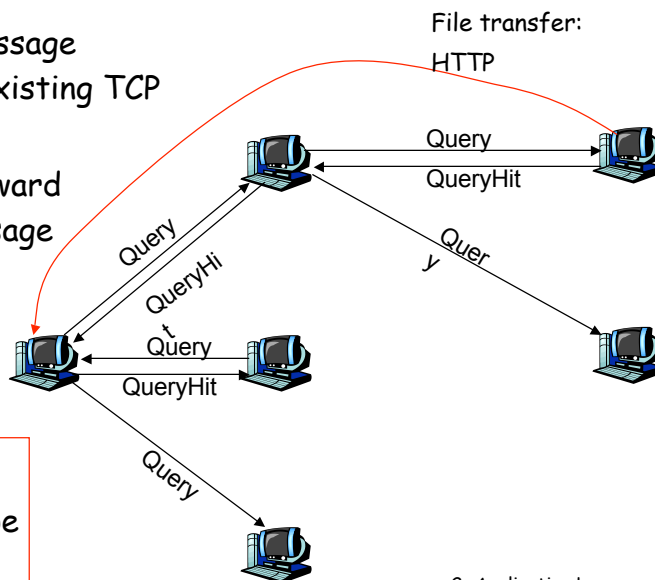
- r fully distributed
  - ❖ no central server
- r public domain protocol
- r many Gnutella clients implementing protocol
- overlay network: graph
  - r edge between peer X and Y if there's a TCP connection
  - r all active peers and edges is overlay net
  - r Edge is not a physical link
  - r Given peer will typically be connected with < 10 overlay neighbors

2: Application Layer 81

## Gnutella: protocol

- r Query message sent over existing TCP connections
- r peers forward Query message
- r QueryHit sent over reverse path

Scalability:  
limited scope  
flooding



2: Application Layer 82

## Gnutella: Peer joining

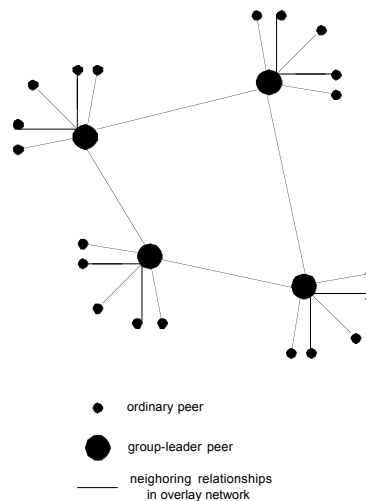
1. Joining peer X must find some other peer in Gnutella network: use list of candidate peers
2. X sequentially attempts to make TCP with peers on list until connection setup with Y
3. X sends Ping message to Y; Y forwards Ping message.
4. All peers receiving Ping message respond with Pong message
5. X receives many Pong messages. It can then setup additional TCP connections

Peer leaving: see homework problem!

2: Application Layer 83

## Exploiting heterogeneity: KaZaA

- r Each peer is either a group leader or assigned to a group leader.
  - ❖ TCP connection between peer and its group leader.
  - ❖ TCP connections between some pairs of group leaders.
- r Group leader tracks the content in all its children.



2: Application Layer 84

## KaZaA: Querying

- r Each file has a hash and a descriptor
- r Client sends keyword query to its group leader
- r Group leader responds with matches:
  - ❖ For each match: metadata, hash, IP address
- r If group leader forwards query to other group leaders, they respond with matches
- r Client then selects files for downloading
  - ❖ HTTP requests using hash as identifier sent to peers holding desired file

2: Application Layer 85

## KaZaA tricks

- r Limitations on simultaneous uploads
- r Request queuing
- r Incentive priorities
- r Parallel downloading

For more info:

- r J. Liang, R. Kumar, K. Ross, "Understanding KaZaA," (available via [cis.poly.edu/~ross](http://cis.poly.edu/~ross))

2: Application Layer 86

## Chapter 2: Application layer

- r 2.1 Principles of network applications
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- r 2.4 Electronic Mail
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- r 2.6 P2P file sharing
- r 2.7 **Socket programming with TCP**
- r 2.8 Socket programming with UDP
- r 2.9 Building a Web server

2: Application Layer 87

## Socket programming

**Goal:** learn how to build client/server application that communicate using sockets

### **Socket API**

- r introduced in BSD4.1 UNIX, 1981
- r explicitly created, used, released by apps
- r client/server paradigm
- r two types of transport service via socket API:
  - ❖ unreliable datagram
  - ❖ reliable, byte stream-oriented

### **socket**

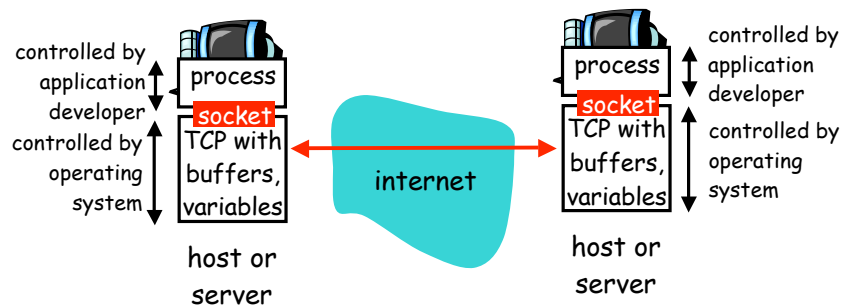
a *host-local, application-created, OS-controlled* interface (a "door") into which application process can **both send and receive** messages to/from another application process

2: Application Layer 88

## Socket-programming using TCP

**Socket:** a door between application process and end-transport protocol (UCP or TCP)

**TCP service:** reliable transfer of **bytes** from one process to another



2: Application Layer 89

## Socket programming with TCP

**Client must contact server**

- r server process must first be running
- r server must have created socket (door) that welcomes client's contact

**Client contacts server by:**

- r creating client-local TCP socket
- r specifying IP address, port number of server process
- r When **client creates socket**: client TCP establishes connection to server TCP

- r When contacted by client, **server TCP creates new socket** for server process to communicate with client

❖ allows server to talk with multiple clients

❖ source port numbers used to distinguish

clients (*more in Chap 3*)

**application viewpoint**

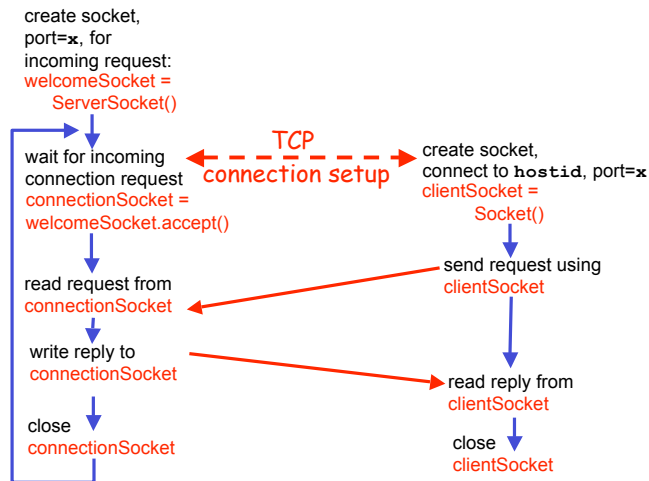
*TCP provides reliable, in-order transfer of bytes ("pipe") between client and server*

2: Application Layer 90

## Client/server socket interaction: TCP

Server (running on `hostid`)

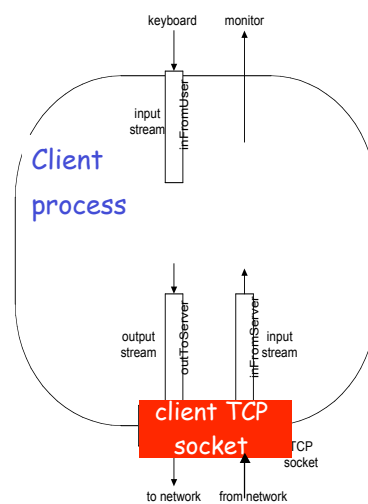
Client



2: Application Layer 91

## Stream jargon

- r A **stream** is a sequence of characters that flow into or out of a process.
- r An **input stream** is attached to some input source for the process, e.g., keyboard or socket.
- r An **output stream** is attached to an output source, e.g., monitor or socket.



2: Application Layer 92

## Socket programming with TCP

### Example client-server app:

- 1) client reads line from standard input (`inFromUser` stream) , sends to server via socket (`outToServer` stream)
- 2) server reads line from socket
- 3) server converts line to uppercase, sends back to client
- 4) client reads, prints modified line from socket (`inFromServer` stream)

2: Application Layer 93

## Example: Java client (TCP)

```
import java.io.*;
import java.net.*;
class TCPClient {

    public static void main(String argv[]) throws Exception
    {
        String sentence;
        String modifiedSentence;

        Create input stream → BufferedReader inFromUser =
                                new BufferedReader(new InputStreamReader(System.in));
        Create client socket, connect to server → Socket clientSocket = new Socket("hostname", 6789);
        Create output stream attached to socket → DataOutputStream outToServer =
                                                  new DataOutputStream(clientSocket.getOutputStream());
```

2: Application Layer 94

## Example: Java client (TCP), cont.

```
        Create  
input stream ] → BufferedReader inFromServer =  
attached to socket ]   new BufferedReader(new  
                        ]   InputStreamReader(clientSocket.getInputStream()));  
  
        Send line ] → sentence = inFromUser.readLine();  
to server ]  
  
        Read line ] → modifiedSentence = inFromServer.readLine();  
from server ]  
            System.out.println("FROM SERVER: " + modifiedSentence);  
            clientSocket.close();  
        }  
    }
```

2: Application Layer 95

## Example: Java server (TCP)

```
import java.io.*;  
import java.net.*;  
  
class TCPServer {  
  
    public static void main(String argv[]) throws Exception  
    {  
        String clientSentence;  
        String capitalizedSentence;  
  
        Create  
welcoming socket ] → ServerSocket welcomeSocket = new ServerSocket(6789);  
at port 6789 ]  
  
        Wait, on welcoming ]  
socket for contact ]  
by client ]  
  
        Create input ]  
stream, attached ]  
to socket ] → BufferedReader inFromClient =  
            new BufferedReader(new  
            InputStreamReader(connectionSocket.getInputStream()));  
  
        while(true) {  
            Socket connectionSocket = welcomeSocket.accept();  
  
            BufferedReader inFromClient =  
            new BufferedReader(new  
            InputStreamReader(connectionSocket.getInputStream()));  
        }  
    }  
}
```

2: Application Layer 96



## Example: Java server (TCP), cont

```

Create output stream, attached to socket → DataOutputStream outToClient =
                                             new DataOutputStream(connectionSocket.getOutputStream());
Read in line from socket → clientSentence = inFromClient.readLine();

                                capitalizedSentence = clientSentence.toUpperCase() + '\n';
Write out line to socket → outToClient.writeBytes(capitalizedSentence);
                        }
                    }
                }
            }
        }
    }
}

```

End of while loop,  
loop back and wait for  
another client connection

2: Application Layer 97

## Chapter 2: Application layer

- r 2.1 Principles of network applications
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- r 2.6 P2P file sharing
- r 2.7 Socket programming with TCP
- r **2.8 Socket programming with UDP**
- r 2.9 Building a Web server

2: Application Layer 98

## Socket programming *with UDP*

UDP: no "connection" between client and server

- r no handshaking
- r sender explicitly attaches IP address and port of destination to each packet
- r server must extract IP address, port of sender from received packet

UDP: transmitted data may be received out of order, or lost

application viewpoint

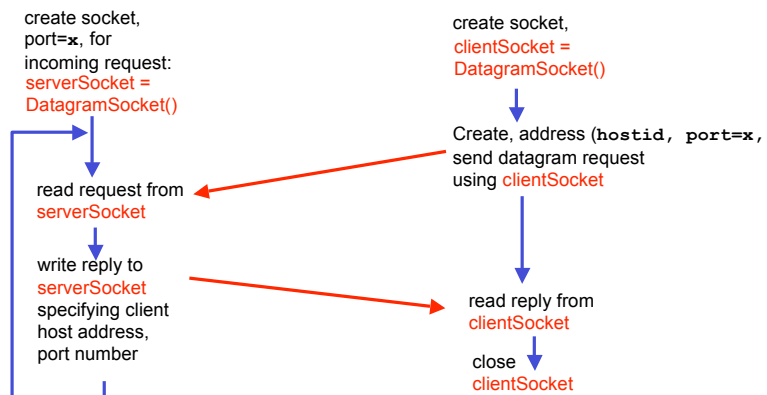
UDP provides unreliable transfer of groups of bytes ("datagrams") between client and server

2: Application Layer 99

## Client/server socket interaction: UDP

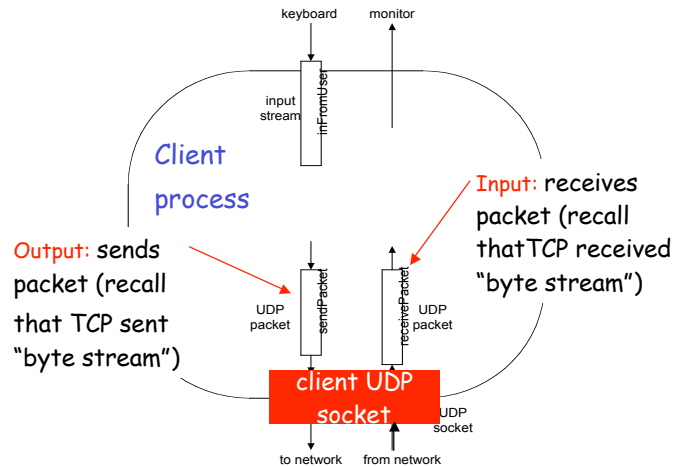
Server (running on `hostid`)

Client



2: Application Layer 100

## Example: Java client (UDP)



2: Application Layer 101

## Example: Java client (UDP)

```
import java.io.*;
import java.net.*;

class UDPClient {
    public static void main(String args[]) throws Exception
    {
        Create input stream → BufferedReader inFromUser =
                               new BufferedReader(new InputStreamReader(System.in));
        Create client socket → DatagramSocket clientSocket = new DatagramSocket();
        Translate hostname to IP address using DNS → InetAddress IPAddress = InetAddress.getByName("hostname");

        byte[] sendData = new byte[1024];
        byte[] receiveData = new byte[1024];

        String sentence = inFromUser.readLine();
        sendData = sentence.getBytes();
    }
}
```

2: Application Layer 102

## Example: Java client (UDP), cont.

```

Create datagram
with data-to-send,
length, IP addr, port → DatagramPacket sendPacket =
                        new DatagramPacket(sendData, sendData.length, IPAddress, 9876);

Send datagram
to server → clientSocket.send(sendPacket);

Read datagram
from server → DatagramPacket receivePacket =
              new DatagramPacket(receiveData, receiveData.length);
              clientSocket.receive(receivePacket);

String modifiedSentence =
    new String(receivePacket.getData());

System.out.println("FROM SERVER:" + modifiedSentence);
clientSocket.close();
}

```

2: Application Layer 103

## Example: Java server (UDP)

```

import java.io.*;
import java.net.*;

class UDPServer {
    public static void main(String args[]) throws Exception
    {
        Create
        datagram socket
        at port 9876 → DatagramSocket serverSocket = new DatagramSocket(9876);

        byte[] receiveData = new byte[1024];
        byte[] sendData = new byte[1024];

        while(true)
        {
            Create space for
            received datagram → DatagramPacket receivePacket =
                               new DatagramPacket(receiveData, receiveData.length);

            Receive
            datagram → serverSocket.receive(receivePacket);
        }
    }
}

```

2: Application Layer 104

## Example: Java server (UDP), cont

```
String sentence = new String(receivePacket.getData());

Get IP addr port #, of sender → InetAddress IPAddress = receivePacket.getAddress();
                                → int port = receivePacket.getPort();

String capitalizedSentence = sentence.toUpperCase();

sendData = capitalizedSentence.getBytes();

Create datagram to send to client → DatagramPacket sendPacket =
                                   new DatagramPacket(sendData, sendData.length, IPAddress,
                                                       port);

Write out datagram to socket → serverSocket.send(sendPacket);
                              }
                              }
                              }

                              End of while loop,
                              loop back and wait for
                              another datagram
```

2: Application Layer 105

## Chapter 2: Application layer

- r 2.1 Principles of network applications
  - ❖ app architectures
  - ❖ app requirements
- r 2.2 Web and HTTP
- r 2.4 Electronic Mail
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- r 2.5 DNS
- r 2.6 P2P file sharing
- r 2.7 Socket programming with TCP
- r 2.8 Socket programming with UDP
- r 2.9 Building a Web server

## Building a simple Web server

- r handles one HTTP request
  - r accepts the request
  - r parses header
  - r obtains requested file from server's file system
  - r creates HTTP response message:
    - ❖ header lines + file
  - r sends response to client
- r after creating server, you can request file using a browser (e.g., IE explorer)
  - r see text for details

2: Application Layer 107

## Chapter 2: Summary

### Our study of network apps now complete!

- r Application architectures
  - ❖ client-server
  - ❖ P2P
  - ❖ hybrid
- r application service requirements:
  - ❖ reliability, bandwidth, delay
- r Internet transport service model
  - ❖ connection-oriented, reliable: TCP
  - ❖ unreliable, datagrams: UDP
- r specific protocols:
  - ❖ HTTP
  - ❖ FTP
  - ❖ SMTP, POP, IMAP
  - ❖ DNS
- r socket programming

2: Application Layer 108

## Chapter 2: Summary

### Most importantly: learned about *protocols*

- r typical request/reply message exchange:
  - ❖ client requests info or service
  - ❖ server responds with data, status code
- r message formats:
  - ❖ headers: fields giving info about data
  - ❖ data: info being communicated
- r control vs. data msgs
  - ❖ in-band, out-of-band
- r centralized vs. decentralized
- r stateless vs. stateful
- r reliable vs. unreliable msg transfer
- r "complexity at network edge"

2: Application Layer 109