

# Non-comparison Sorting

We have learned that the best worst case for a comparison sort is  $N \log N$ . No comparison sort can perform better than that.

Assume that we have an array of  $N$  unsorted number.

- There are  $N!$  ( $N$  factorial) different ways of arranging the numbers in the array.

4	1	7
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How many different ways can we arrange the array above?

$\underline{3} \cdot \underline{2} \cdot \underline{1} \Rightarrow N!$  where  $N$  is the # of elements

$\textcircled{4} 1 7$      $\textcircled{4} 7 1$      $\textcircled{1} 4 7$      $\textcircled{1} 7 4$      $\textcircled{7} 1 4$      $\textcircled{7} 4 1$

There are 2 case, where 4 is the first #, 2 cases where 7 is the first number, 2 cases where 1 is the first number. But only one has the correct arrangement.

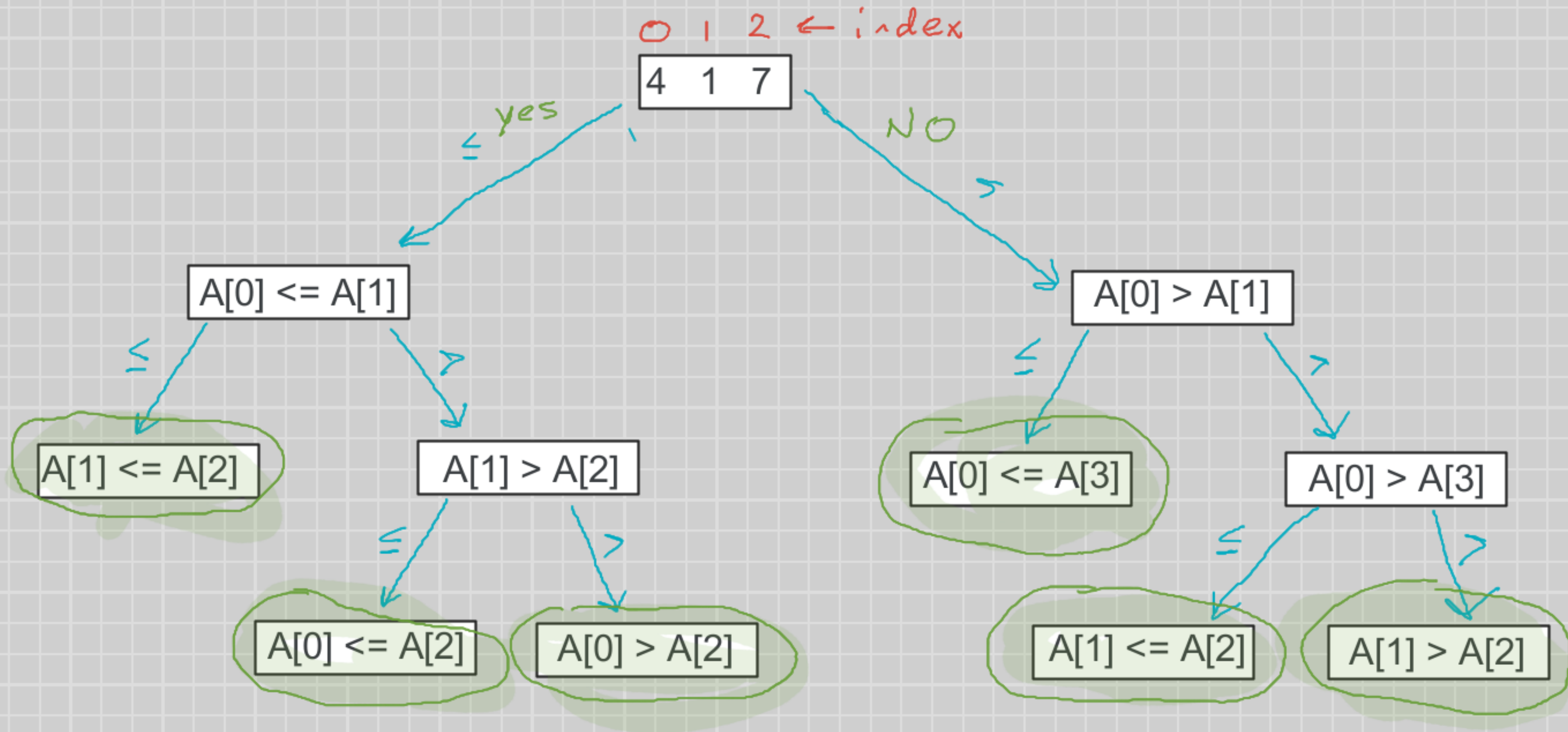
What is the maximum number of comparisons that a comparison algo must do to find the correct arrangement?

We can use a decision tree to illustrate our answer:

A decision tree is a full binary tree that represents comparisons between elements, performed by a particular sorting algo.

In a decision tree we ignore all control, data movement, and all other aspects of the algo. We are only concerned with the comparison taking place.

Given two elements  $a_i$  &  $a_j$ , we will use the comparison  $a_i \leq a_j$ .



1. At every step we must make a yes/no decision. It's a tree because every choice branches out.
2. There are exactly  $N!$  leaves (the total possible number of arrangements). Each arrangement is at the end of every possible path. A terminal node is called a leaf.
3. The maximum # of comparisons is equal to the length of the longest path in the decision tree, ( $L$ ).
4. If the decision tree were a complete binary tree, all paths would be the same length, there would be  $2^L$  leaves. This gives us an upper bound to the number of leaves.

We know that the actual number of leaves ( $N!$ ) cannot exceed the maximum possible number of leaves  $2^L$ . From this we can write:

$N!$  is at most  $2^L$

$$N! \leq 2^L$$

$L$  is the length of the longest path.

$$\log_2 8^k = k \cdot \log_2 8$$

Apply Log to Both sides

$$\log_2(N!) \leq \log_2(2^L)$$

Apply Log to Both sides

$$\frac{\log_2(N!)}{\log_2 2} \leq \frac{\log_2(2^L)}{\log_2 2}$$

$$\log_2 2^L \rightarrow L \cdot \log_2 2$$

$$L \cdot 1 = \underline{\underline{L}}$$

$$\frac{\log(N!)}{\log 2} \leq L$$

$$\log N! \leq L$$

$L$  is  $\Omega(\log N!)$ , applying asymptotic notation.

We know from Stirling's approximation that  $N!$  can be asymptotically approximate as  $N^N$ .

$$N! \approx N^N$$

$$L \text{ is } \Omega(\log N^N)$$

$$L \text{ is } \Omega(N \cdot \log N) \quad \text{Apply log rule}$$

$$\begin{array}{l} \log_2 8 \\ \downarrow \\ \log_2 2^3 \\ \downarrow \\ 3 \cdot \log_2 2 \\ \downarrow \\ 3 \cdot 1 \\ \downarrow \\ 3 \end{array}$$



# Counting sort

1) Counting sort is a non-comparison sorting algo, with a worst case running time of  $N$  (linear).

Imagine you're given a set of numbers sorted in a specific way:

Frequency array  $C =$ 

1	2	0	0	0	1	3	1	1	0
---	---	---	---	---	---	---	---	---	---

  
frequency of each number,  $k$ .

From the frequency array, we know that there is 1 zero, 2 ones, 1 five, 3 sixes, 1 seven, 1 eight. The frequency tells how many times the number  $k$  appears in the set.

What would the sorted array (R) look like, given the frequency array above, in which the number represented by k, is already sorted.

$R =$ 

0	1	1	5	6	6	6	7	8
---	---	---	---	---	---	---	---	---

$R =$ 

0	1	1	5	6	6	6	7	8
---	---	---	---	---	---	---	---	---

To get the sorted array  $R$ , all we had to do was visit every element of  $C$  and copy the corresponding number,  $k$ , into  $R$  as many times as shown.

## Steps to complete counting sort:

1. Take an input argument,  $A$ , an unsorted array: 

8	5	1	6	1	6	0	7	6
---	---	---	---	---	---	---	---	---
2. Create an array  $C$  (with the counts), that stores the frequency in which #'s in array  $A$  appear:
  - a) Find the max value,  $k$ , in array  $A$ . 8 is the max value. So  $k = 8$ .
  - b) Create  $C$  with the size of  $(k+1)$ , where  $k$  is the max value found in  $A$ . Filled with 0s.
  - c) Traverse the array  $A$ , and update array  $C$  with frequencies.

2. Create an array C (with the counts), that stores the frequency in which #'s in array A appear:

A = 

0	1	2	3	4	5	6	7	8
8	5	1	6	1	6	0	7	6

a) Find the max value, k, in array A. 8 is the max value. So  $k = 8$ .

b) Create C with the size of  $(k+1)$ , where k is the max value found in A. Filled with 0s.

c) Traverse the array A, and update array C with frequencies.

b)  $C = [0, 0, 0, 0, 0, 0, 0, 0, 0]$  → array of size  $k+1$

c)  $C = [1, 2, 0, 0, 0, 1, 3, 1, 1]$  → The frequency that each # in unsorted array A contains.

3. Create another array R (contain the sorted array), using info from array C.

a) Array R, must be of size  $\text{LENGTH}[A]$ , and filled with 0s.

b) Traverse array C, and update the corresponding elements as many times as shown in C.

a)  $R = [0, 0, 0, 0, 0, 0, 0, 0, 0]$  → array of size  $\text{LENGTH}[A]$

b)  $R = [0, 1, 1, 5, 6, 6, 6, 7, 8]$  → our sorted array

# Counting sort pseudocode

A: 1D unsorted array of integers (must be integers)

k: maximum value of A

```
function countingSort(A, k)
```

```
  C <-- new Array(k+1) of 0s
```

```
  R <-- new Array(LENGTH[A]) of 0s
```

```
  pos <-- 0
```

```
  for 0 <= j < LENGTH[A] do           // populates array C with frequencies
```

```
    C[A[j]] <-- C[A[j]] + 1
```

```
  end for
```

```
  for 0 <= i < k+1 do                 // checks every element of array C
```

```
    for pos <= r < pos + C[i] do       // checks number of frequencies of each element
```

```
      R[r] <-- i                       // writes the number to the new array a certain # of times
```

```
    end for
```

```
    pos <-- r                          //updates position with the next element of array R to be filled
```

```
  end for
```

```
  return R                            // return a sorted array, R.
```

```
end function
```



1	<b>function</b> counting-sort(A,k)	
2	C ← new array(k+1) of zeros	—— C <sub>0</sub>
3	R ← new array(length(A)) of zeros	—— C <sub>1</sub>
4	pos ← 0	—— C <sub>2</sub>
5	<b>for</b> 0 ≤ j < length(A) <b>do</b>	—— C <sub>3</sub> N + C <sub>4</sub>
6	C[A[j]] ← C[A[j]] + 1	—— C <sub>5</sub> N
7	<b>end for</b>	
8	<b>for</b> 0 < i < (k+1) <b>do</b>	—— C <sub>6</sub> k + C <sub>7</sub> + C <sub>8</sub> = k + 2
9	<b>for</b> pos ≤ r < pos+C[i] <b>do</b> -	C <sub>9</sub> N + 8C <sub>10</sub>
10	R[r]=i	—— C <sub>11</sub> N
11	<b>end for</b>	
12	pos=r	—— C <sub>12</sub> N + C <sub>13</sub> , k+1
13	<b>end for</b>	
14	<b>return</b> R	—— C <sub>14</sub>
15	<b>end function</b>	

8+1+1

0 1 2

C = [1, 2, 3]

$$(C_0 + C_1 + C_2 + C_4 + C_7 + C_8 + 8C_{10} + C_{13} + C_{14}) + (C_3 + C_5 + C_9 + C_{11} + C_{12})N + C_6K.$$

$$C_{15} + C_{16}N + C_6K.$$

$$A = S$$

$$[1, 1, 2, 3, 6]$$

$$C: \begin{bmatrix} 0 & 1 & 2 & 3 & 4 & 5 & 6 \\ 0 & 2 & 1 & 1 & 0 & 0 & 1 \end{bmatrix}$$

$$1 = 0$$

line - 8 = 0 1 1 1 2 3 4 5 6

Inc: 4 -

$$C_6N + 7C_8$$