

This print-out should have 12 questions. Multiple-choice questions may continue on the next column or page – find all choices before answering.

001 10.0 points

Locate and classify all the local extrema of

$$f(x, y) = x^3 - y^3 - 3xy - 3.$$

1. local min at $(-1, 1)$,
local max at $(0, 0)$
2. local min at $(0, 0)$,
saddle point at $(-1, 1)$
3. local min at $(-1, 1)$,
saddle point at $(0, 0)$
4. local max at $(-1, 1)$,
saddle point at $(0, 0)$ **correct**
5. local max at $(0, 0)$,
saddle point at $(-1, 1)$

Explanation:

Since f has derivatives everywhere, the critical points occur at the solutions of

$$\nabla f(x, y) = f_x \mathbf{i} + f_y \mathbf{j} = 0.$$

But $f_x = 0$ when

$$\frac{\partial f}{\partial x} = 3x^2 - 3y = 0, \quad \text{i.e., } y = x^2,$$

while $f_y = 0$ when

$$\frac{\partial f}{\partial y} = -3y^2 - 3x = 0, \quad \text{i.e., } x = -y^2.$$

Substituting the first into the second yields

$$x = -(x^2)^2 = -x^4,$$

which can be re-written as

$$x(1 + x^3) = 0, \quad \text{i.e., } x = 0, -1.$$

Thus, the critical points are

$$(0, 0), \quad (-1, 1),$$

and to classify these critical points we use the Second Derivative test. Now

$$f_{xx} = 6x, \quad f_{yy} = -6y, \quad \text{and} \quad f_{xy} = -3.$$

But then, at $(0, 0)$,

$$A = f_{xx}(0, 0) = 0, \quad B = f_{xy}(0, 0) = -3,$$

while

$$C = f_{yy}(0, 0) = 0.$$

Consequently,

$$D = AC - B^2 = -9 < 0,$$

and so there is a

saddle point at $(0, 0)$

On the other hand, at $(-1, 1)$,

$$A = f_{xx}(-1, 1) = -6, \quad B = f_{xy}(-1, 1) = -3,$$

while

$$C = f_{yy}(-1, 1) = -6.$$

Thus

$$D = AC - B^2 = 27 > 0,$$

and so, since $A, C < 0$, there is a

local maximum at $(-1, 1)$

keywords:

002 10.0 points

Which one of the following properties does the function

$$f(x, y) = x^3 + 2xy^2 - 5x - 4y + 20$$

have?

1. local min value 14 at $(1, 1)$ **correct**
2. saddle point at $(1, 1)$

3. local max value 14 at $(-1, 1)$

4. local max value 14 at $(1, 1)$

5. local min value 14 at $(-1, 1)$

6. saddle point at $(-1, 1)$

Explanation:

First we have to locate and classify the critical points of f . Now after differentiation,

$$f_x = 3x^2 + 2y^2 - 5, \quad f_y = 4xy - 4.$$

Thus the critical points of f are the solutions of the equations

$$(\dagger) \quad 3x^2 + 2y^2 = 5, \quad xy = 1.$$

One solution of (\dagger) is $(1, 1)$, while $(1, -1)$ is not a solution, so we can concentrate on the critical point $(1, 1)$. But after differentiating once again, we see that

$$f_{xx} = 6x, \quad f_{yy} = 4x, \quad f_{xy} = 4y.$$

At $(1, 1)$, therefore,

$$A = f_{xx}\Big|_{(1,1)} = 6 > 0, \quad B = f_{xy}\Big|_{(1,1)} = 4,$$

and

$$C = f_{yy}\Big|_{(1,1)} = 4 > 0;$$

in particular,

$$AC - B^2 = 24 - 16 > 0.$$

Thus by the second derivative test, f has a

$$\boxed{\text{local minimum at } (1, 1),}$$

for which

$$\boxed{f(1, 1) = 14.}$$

Locate and classify the local extremum of f when

$$f(x, y) = 3x + \frac{y}{3} + \frac{1}{xy} + 1, \quad (x, y > 0).$$

1. local min at $\left(\frac{1}{3}, 3\right)$ **correct**

2. local min at $(3, 3)$

3. local max at $\left(\frac{1}{3}, 3\right)$

4. saddle at $(3, 3)$

5. saddle at $\left(\frac{1}{3}, 3\right)$

6. local max at $(3, 3)$

Explanation:

Differentiating once we see that

$$f_x = 3 - \frac{1}{x^2y}, \quad f_y = \frac{1}{3} - \frac{1}{xy^2}.$$

At a local extremum these first partial derivatives are zero. Thus f has a local extremum at $\left(\frac{1}{3}, 3\right)$.

To classify the local extremum we use the second derivative test. Now

$$f_{xx} = \frac{2}{x^3y}, \quad f_{xy} = \frac{1}{x^2y^2}, \quad f_{yy} = \frac{2}{xy^3}.$$

But then

$$A = f_{xx}\Big|_{\left(\frac{1}{3}, 3\right)} = 18 > 0,$$

$$C = f_{yy}\Big|_{\left(\frac{1}{3}, 3\right)} = \frac{2}{9} > 0,$$

and

$$B = f_{xy}\Big|_{\left(\frac{1}{3}, 3\right)} = 1.$$

Thus

$$AC - B^2 = 3 > 0.$$

Hence by the second derivative test, f has a

local min at $\left(\frac{1}{3}, 3\right)$.

004 10.0 points

Which of the following most correctly describes the behaviour of the graph of the function

$$f(x, y) = 2(x + y)(xy + 9) + 4.$$

1. saddle-points at $(3, -3)$, $(-3, 3)$ **correct**

2. local max at $(3, -3)$, $(-3, 3)$

3. saddle-points at $(3, 3)$, $(-3, -3)$

4. local max at $(3, 3)$, $(-3, -3)$

5. saddle $(3, -3)$, local max $(-3, 3)$

Explanation:

After expansion

$$f(x, y) = 2x^2y + 2xy^2 + 18x + 18y + 4.$$

Thus

$$\frac{\partial f}{\partial x} = 4xy + 2y^2 + 18,$$

while

$$\frac{\partial f}{\partial y} = 2x^2 + 4xy + 18.$$

Consequently, the critical points of f occur at the solutions of the equations

$$2(2xy + y^2 + 9) = 0, \quad 2(x^2 + 2xy + 9) = 0,$$

i.e., when

$$x^2 + 2xy + 9 = 0,$$

$$y^2 + 2xy + 9 = 0.$$

After subtraction, we obtain $x^2 = y^2$, so $x = \pm y$. Now

$$x = y \implies 3x^2 + 9 = 0,$$

while

$$x = -y \implies -x^2 + 9 = 0.$$

Hence the critical points of f are

$$(3, -3), \quad (-3, 3).$$

To determine the behaviour of f we need to compute the values of the second derivatives at these critical points. But

$$\frac{\partial^2 f}{\partial x^2} = 4y, \quad \frac{\partial^2 f}{\partial y^2} = 4x,$$

and

$$\frac{\partial^2 f}{\partial x \partial y} = 4(x + y).$$

Thus at $(3, -3)$

$$\frac{\partial^2 f}{\partial x^2} \frac{\partial^2 f}{\partial y^2} - \left(\frac{\partial^2 f}{\partial x \partial y} \right)^2 = -144 < 0$$

and at $(-3, 3)$

$$\frac{\partial^2 f}{\partial x^2} \frac{\partial^2 f}{\partial y^2} - \left(\frac{\partial^2 f}{\partial x \partial y} \right)^2 = -144 < 0.$$

Hence the graph of f has

saddle-points at $(3, -3)$, $(-3, 3)$.

005 10.0 points

Locate and classify the critical point of

$$f(x, y) = \ln(xy) + 4y^2 - 2y - 2xy + 4,$$

for $x, y > 0$.

1. saddle-point at $\left(\frac{1}{4}, 2\right)$

2. saddle-point at $\left(2, \frac{1}{4}\right)$ **correct**

3. local minimum at $\left(2, \frac{1}{4}\right)$

4. local maximum at $\left(2, \frac{1}{4}\right)$

5. local maximum at $\left(\frac{1}{4}, 2\right)$

6. local minimum at $\left(\frac{1}{4}, 2\right)$

Explanation:

The critical point of f is the common solution of the equations

$$\frac{\partial f}{\partial x} = \frac{1}{x} - 2y = 0,$$

$$\frac{\partial f}{\partial y} = \frac{1}{y} + 8y - 2 - 2x = 0.$$

By the first equation, $2x = 1/y$. Using this in the second equation, we see that

$$8y - 2 = 0 \quad i.e., \quad y = \frac{1}{4}.$$

So f has a critical point at

$$\left(2, \frac{1}{4}\right).$$

Now after differentiation,

$$f_{xx} = -\frac{1}{x^2}, \quad f_{xy} = -2, \quad f_{yy} = 8 - \frac{1}{y^2}.$$

Thus at the critical point $\left(2, \frac{1}{4}\right)$,

$$A = f_{xx}\bigg|_{\left(2, \frac{1}{4}\right)} = -\frac{1}{4} < 0, \quad B = -2,$$

$$C = f_{yy}\bigg|_{\left(2, \frac{1}{4}\right)} = -8 < 0,$$

in which case

$$AC - B^2 = -2 < 0,$$

Consequently, by the second derivative test f has a

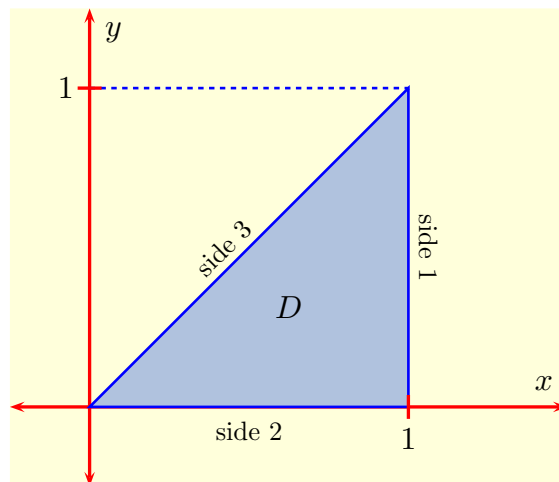
saddle-point at $\left(2, \frac{1}{4}\right)$.

006 10.0 points

Locate the point at which the function

$$f(x, y) = x^2 - 2y^2 - x + y$$

has its absolute maximum on the shaded triangular region D shown in



1. on side 1 but not at an end-point **correct**

2. at a critical point inside D

3. on side 2 but not at an end-point

4. on side 3 but not at an end-point

5. at a vertex of D

Explanation:

Now the absolute maximum of

$$f(x, y) = x^2 - 2y^2 - x + y$$

on D occurs either at a critical point of f inside D or at a point on the sides of D .

But

$$\frac{\partial f}{\partial x} = 2x - 1, \quad \frac{\partial f}{\partial y} = 1 - 4y,$$

so f has only one critical point and it occurs at $(1/2, 1/4)$, a point inside D since the graph shows that

$$D = \{(x, y) : 0 \leq y \leq x, \quad 0 \leq x \leq 1\}.$$

At this critical point

$$f\left(\frac{1}{2}, \frac{1}{4}\right) = -\frac{1}{8}.$$

We next check the maximum value of f on the sides of D . At the vertices of D ,

$$f(0, 0) = 0, \quad f(1, 0) = 0, \quad f(1, 1) = -1,$$

while on

(i) side 1: *here $x = 1$ and*

$$f(1, y) = y - 2y^2 = -2\left(y^2 - \frac{1}{2}y\right)$$

$$= -2\left(\left(y - \frac{1}{4}\right)^2 - \frac{1}{16}\right) = \frac{1}{8} - 2\left(y - \frac{1}{4}\right)^2,$$

in which case

$$\begin{aligned} \max f &= \max_{0 < y < 1} \left(\frac{1}{8} - 2\left(y - \frac{1}{4}\right)^2 \right) \\ &= f\left(1, \frac{1}{4}\right) = \frac{1}{8}, \end{aligned}$$

(ii) side 2: *here $y = 0$ and*

$$f(x, 0) = x^2 - x = \left(x - \frac{1}{2}\right)^2 - \frac{1}{4},$$

in which case

$$\begin{aligned} \max f &= \max_{0 \leq x \leq 1} \left(\left(x - \frac{1}{2}\right)^2 - \frac{1}{4} \right) \\ &= f(0, 0) = f(1, 0) = 0. \end{aligned}$$

(iii) side 3: *here $y = x$ and*

$$f(x, x) = -x^2,$$

in which case

$$\max f = \max_{0 \leq x \leq 1} (-x^2) = f(0, 0) = 0,$$

Consequently, on D

$$\begin{aligned} \max f &= \max \left\{ -1, -\frac{1}{8}, 0, \frac{1}{8} \right\} \\ &= \frac{1}{8} = f\left(1, \frac{1}{4}\right), \end{aligned}$$

and this occurs

on side 1 but not at an end-point

keywords:

007 10.0 points

Determine the absolute maximum of

$$f(x, y) = x^2 + y^2 - x - y + 2$$

$$D = \{(x, y) : x^2 + y^2 \leq 1\}.$$

1. absolute max = 4

2. absolute max = 3

3. absolute max = $3 + \sqrt{2}$ **correct**

4. absolute max = $\frac{3}{2}$

5. absolute max = $3 - \sqrt{2}$

Explanation:

The Absolute Extrema occur either at a critical point inside the disk, or on the boundary of the disk.

1. *Inside D :* when

$$f(x, y) = x^2 + y^2 - x - y + 2,$$

the critical points occur at the solutions to

$$\frac{\partial f}{\partial x} = 2x - 1, \quad \frac{\partial f}{\partial y} = 2y - 1 = 0.$$

So $(1/2, 1/2)$ is the only critical point, and this lies inside the disk. At this critical point

$$f\left(\frac{1}{2}, \frac{1}{2}\right) = \frac{3}{2}.$$

2. On the boundary of D : the boundary of D is the unit circle which can be parametrized by

$$\mathbf{r}(t) = \cos t \mathbf{i} + \sin t \mathbf{j}, \quad 0 \leq t \leq 2\pi.$$

Thus the restriction of f to the boundary is the function

$$f(\mathbf{r}(t)) = 3 - \cos t - \sin t.$$

Finding the absolute extrema of $f(\mathbf{r}(t))$ on $[0, 2\pi]$ is a single variable problem: they will occur either at a critical point in $(0, 2\pi)$, or at the endpoints $t = 0, 2\pi$. But

$$\frac{df}{dt}(\mathbf{r}(t)) = \sin t - \cos t,$$

so the critical points of $f(\mathbf{r}(t))$ on $(0, 2\pi)$ occur when $\tan t = 1$, i.e. at $t = \pi/4, 5\pi/4$. But

$$f\left(\mathbf{r}\left(\frac{\pi}{4}\right)\right) = 3 - \sqrt{2}, \quad f\left(\mathbf{r}\left(\frac{5\pi}{4}\right)\right) = 3 + \sqrt{2},$$

while

$$f(\mathbf{r}(0)) = 2 = f(\mathbf{r}(2\pi)).$$

Consequently, on D , $f(x, y)$ has

$$\boxed{\text{Absolute max} = 3 + \sqrt{2}}.$$

keywords:

008 10.0 points

Determine the absolute maximum value of

$$f(x, y) = -2 \cos x \cos y$$

on the square $0 \leq x, y \leq \pi$.

1. absolute max = 2π

2. absolute max = 2 **correct**

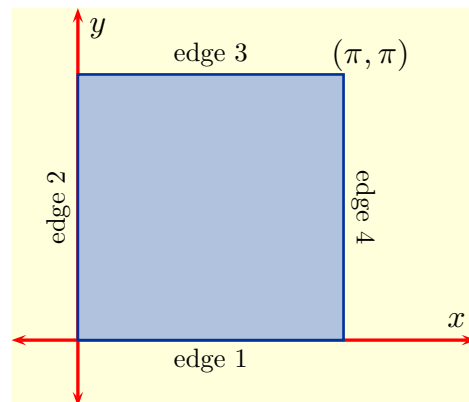
3. absolute max = -2π

4. absolute max = 0

5. absolute max = -2

Explanation:

The absolute extrema of $f(x, y)$ occur either at a critical point inside the square, or on the boundary of the square as shown in



Now

$$\frac{\partial f}{\partial x} = 2 \sin x \cos y, \quad \frac{\partial f}{\partial y} = 2 \cos x \sin y.$$

Thus the critical points are at the solutions of

$$2 \sin x \cos y = 0, \quad 2 \cos x \sin y = 0.$$

Since

$$0 < x, y < \pi \implies \sin x, \sin y > 0,$$

the only critical points inside the square occur when

$$\cos x = 0, \quad \cos y = 0,$$

i.e., at $(\pi/2, \pi/2)$, and at this critical point

$$f\left(\frac{\pi}{2}, \frac{\pi}{2}\right) = 0.$$

We look at the edges separately:

(i) on Edge 1, $f(x, 0) = -2 \cos x$ so

$$\max_{0 \leq x \leq \pi} f(x, 0) = 2.$$

(i) on Edge 2, $f(0, y) = -2 \cos y$ so

$$\max_{0 \leq y \leq \pi} f(0, y) = 2.$$

(i) on Edge 3, $f(x, \pi) = 2 \cos x$ so

$$\max_{0 \leq x \leq \pi} f(x, \pi) = 2.$$

(i) on Edge 4, $f(\pi, y) = 2 \cos x$ so

$$\max_{0 \leq y \leq \pi} f(\pi, y) = 2.$$

Consequently, on the square, f has

absolute maximum = 2

keywords:

009 10.0 points

Use the method of Lagrange multipliers to minimize

$$f(x, y) = \sqrt{3x^2 + y^2}$$

subject to the constraint

$$x + y = 1.$$

1. min value = $\frac{1}{2}\sqrt{3}$ **correct**

2. min value = $\sqrt{3}$

3. min value = 1

4. no min value exists

5. min value = $\frac{1}{2}$

Explanation:

Set

$$g(x, y) = x + y - 1.$$

Then by the method of Lagrange multipliers the extreme values of f under the constraint $g = 0$ occur at the solutions of

$$\nabla f = \lambda \nabla g, \quad g(x, y) = 0.$$

But when

$$f(x, y) = \sqrt{3x^2 + y^2}$$

we see that

$$\nabla f = \frac{3x}{\sqrt{3x^2 + y^2}} \mathbf{i} + \frac{y}{\sqrt{3x^2 + y^2}} \mathbf{j}.$$

Since

$$\nabla g = \mathbf{i} + \mathbf{j},$$

the equation $\nabla f = \lambda \nabla g$ thus becomes

$$\frac{1}{\sqrt{3x^2 + y^2}} (3x \mathbf{i} + y \mathbf{j}) = \lambda (\mathbf{i} + \mathbf{j}).$$

After comparing coefficients this reduces to the pair of equations

$$\lambda = \frac{3x}{\sqrt{3x^2 + y^2}}, \quad \lambda = \frac{y}{\sqrt{3x^2 + y^2}},$$

i.e., $y = 3x$. But we still have the constraint equation

$$g(x, y) = x + y - 1 = 0.$$

Substituting $y = 3x$ gives

$$x + 3x - 1 = 4x - 1 = 0.$$

Consequently, the only solution of

$$\nabla f = \lambda \nabla g, \quad g(x, y) = 0,$$

occurs at

$$(x, y) = \left(\frac{1}{4}, \frac{3}{4}\right),$$

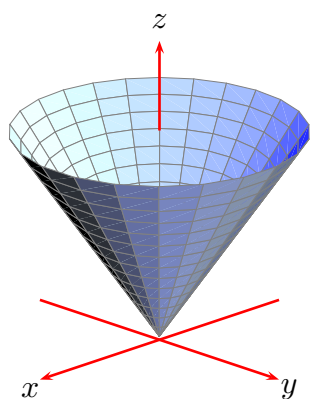
and at this point

$$f\left(\frac{1}{4}, \frac{3}{4}\right) = \frac{1}{2}\sqrt{3}.$$

But is this a maximum or a minimum value? We can decide algebraically or graphically, the best choice depending on f and g . Let's do it graphically because the graphs in 3-space of f and $g = 0$ are easy to describe. Indeed, the graph of

$$z = f(x, y) = \sqrt{3x^2 + y^2}$$

is a cone



while the graph of

$$g(x, y) = x + y - 1 = 0$$

in 3-space is a vertical plane. Minimizing f on $g = 0$ corresponds to finding the height of the lowest point on the *intersection of this vertical plane with the cone*. Since the intersection will be half of a hyperbola opening upwards, we see that f has a minimum on $g = 0$ and this

$$\boxed{\text{min value} = \frac{1}{2}\sqrt{3}}.$$

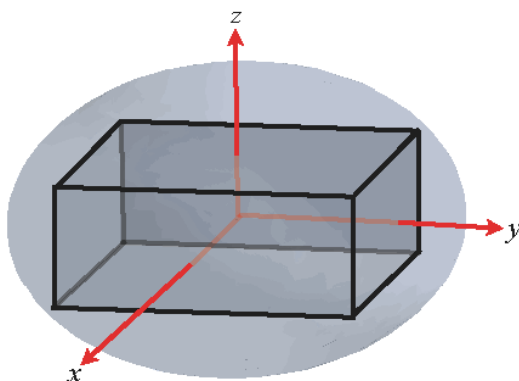
keywords: Lagrange multipliers, optimization, gradient, quadratic function, constraint, constrained optimization,

010 10.0 points

A rectangular box with edges parallel to the axes is inscribed in the ellipsoid

$$3x^2 + y^2 + z^2 = 9$$

similar to the one shown in



Use Lagrange multipliers to determine the maximum volume of this box.

Note: *all 8 vertices of the box will lie on the ellipsoid when the volume is maximized.*

1. volume = 24 cu. units **correct**
2. volume = 72 cu. units
3. volume = 36 cu. units
4. volume = 12 cu. units
5. volume = 18 cu. units

Explanation:

The rectangular box will be centered at the origin, so if the corner lying in the first octant is $P(x, y, z)$, then the box will have sidelengths $2x$, $2y$ and $2z$. Thus the box will have volume

$$V = 8xyz.$$

But P lies on the ellipsoid

$$3x^2 + y^2 + z^2 = 9,$$

so we have to maximize V subject to this last restriction on x , y , and z . To use Lagrange multipliers, set

$$F(x, y, z, \lambda) = 8xyz - \lambda(3x^2 + y^2 + z^2 - 9).$$

Then F will have a critical point when

$$8yz = 6\lambda x, \quad 8zx = 2\lambda y, \quad 8xy = 2\lambda z,$$

and

$$3x^2 + y^2 + z^2 = 9.$$

From the first set of equations we see that

$$8xyz = 6\lambda x^2, \quad 8yzx = 2\lambda y^2, \quad 8zxy = 2\lambda z^2,$$

in which case

$$6x^2 = 2y^2 = 2z^2.$$

From the fact that P lies on the ellipsoid, therefore, it thus follows that F has a critical point at

$$x^2 = 1, \quad y^2 = 3, \quad z^2 = 3.$$

At this critical point V will be maximized. Consequently, the box has

maximum volume = 24 cu. units

011 10.0 points

Use Lagrange multipliers to find the maximum and minimum values of the function $f(x, y) = 2x^2y$, subject to the constraint

$$2x^2 + y^2 = 300.$$

1. $f_{max} = 2000, f_{min} = 0$
2. $f_{max} = 1000, f_{min} = -1000$
3. $f_{max} = 500, f_{min} = -500$
4. $f_{max} = 2000, f_{min} = -2000$ **correct**
5. $f_{max} = 0, f_{min} = -1000$

Explanation:

The Lagrange condition is

$$\langle 4xy, 2x^2 \rangle = \lambda \langle 4x, 2y \rangle$$

This yields

$$4xy = \lambda \cdot 4x, \quad 2x^2 = \lambda \cdot 2y.$$

Solving the first equation implies that either $x = 0$ or $\lambda = y$.

If $x = 0$, then from the constraint equation:

$$\begin{aligned} 2(0)^2 + y^2 &= 300 \\ y &= \pm\sqrt{300} = \pm 10\sqrt{3} \end{aligned}$$

If $\lambda = y$, then from the second Lagrange equation:

$$2x^2 = 2y^2$$

and from the constraint equation:

$$\begin{aligned} 2y^2 + y^2 &= 300 \\ y &= \pm 10 \end{aligned}$$

We also need to find x :

$$\begin{aligned} 2x^2 &= 2y^2 \\ 2x^2 &= 2(10)^2 \\ x &= \pm\sqrt{100} \end{aligned}$$

There are six candidates for the points where the extreme values of f occur: $(0, \pm 10\sqrt{3})$ and $(\pm\sqrt{100}, \pm 10)$. We just need to plug all four into f to check values, and we get maximum and minimum values of 2000 and -2000 .

012 10.0 points

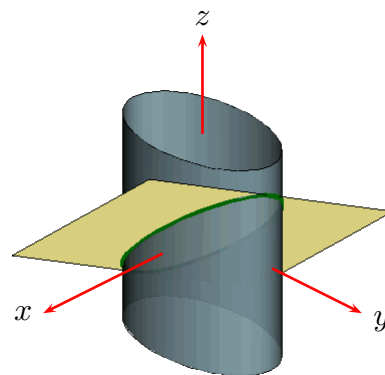
Finding the minimum value of

$$f(x, y) = x + 2y - 1$$

subject to the constraint

$$g(x, y) = 3x^2 + 4y^2 - 3 = 0$$

is equivalent to finding the height of the lowest point on the curve of intersection of the graphs of f and g shown in



Use Lagrange multipliers to determine this minimum value.

1. min value = -4
2. min value = -2

3. min value = -1

4. min value = -3 **correct**

5. min value = -5

Explanation:

The extreme values occur at solutions of

$$(\nabla f)(x, y) = \lambda(\nabla g)(x, y).$$

Now

$$(\nabla f)(x, y) = \langle 1, 2 \rangle,$$

while

$$(\nabla g)(x, y) = \langle 6x, 8y \rangle.$$

Thus

$$1 = 6\lambda x, \quad 2 = 8\lambda y,$$

and so

$$\lambda = \frac{1}{6x} = \frac{1}{4y}, \quad i.e., \quad y = \frac{3}{2}x.$$

But

$$g\left(x, \frac{3}{2}x\right) = 12x^2 - 3 = 0, \quad i.e., \quad x = \pm\frac{1}{2}.$$

Consequently, the extreme points are

$$\left(\frac{1}{2}, \frac{3}{4}\right), \quad \left(-\frac{1}{2}, -\frac{3}{4}\right).$$

Since

$$f\left(\frac{1}{2}, \frac{3}{4}\right) = 1, \quad f\left(-\frac{1}{2}, -\frac{3}{4}\right) = -3,$$

we thus see that

min value = -3

keywords: