

# IRST Language Modeling Toolkit

## Version 5.20.00

## USER MANUAL

M. Federico, N. Bertoldi, M. Cettolo  
FBK-irst, Trento, Italy

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## 1 Introduction

The IRST Language Modeling Toolkit features algorithms and data structures suitable to estimate, store, and access very large LMs. Our software has been integrated into a popular open source SMT decoder called Moses.<sup>1</sup>

**Acknowledgments.** Users of this toolkit might cite in their publications:

M. Federico, N. Bertoldi, M. Cettolo, *IRSTLM: an Open Source Toolkit for Handling Large Scale Language Models*, Proceedings of Interspeech, Brisbane, Australia, 2008.

References to introductory material on  $n$ -gram LMs are given in the appendix.

## 2 Getting started

**Environment Settings** Commands and scripts described in this manual are installed under the directories `bin` and `bin/$MACHTYPE`, that we assume are included in your `PATH` environment variable. If the environment variable `MACHTYPE` is not already set, it will be set by means of the command `uname -m`. You need also to set the environment variable `IRSTLM` to the path of this package. Data sets used in the examples can be found in the `example` directory.

**Examples** The directory `example` contains two English text files, namely `train.gz` and `test`, which we will use to estimate and evaluate our LM, respectively. In particular, LM evaluation computes both the perplexity and the out-of-vocabulary rate of the test set. Notice that both file are tokenized and contain one sentence per line and sentence boundary symbols. Given a text file, sentence boundary symbols can be added in each line with the script `add-start-end.sh`:

```
$> add-start-end.sh < your-text-file
```

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<sup>1</sup><http://www.statmt.org/moses/>

### 3 Estimating Gigantic LMs

LM estimation starts with the collection of n-grams and their frequency counters. Then, smoothing parameters are estimated for each n-gram level; infrequent n-grams are possibly pruned and, finally, a LM file is created containing n-grams with probabilities and back-off weights. This procedure can be very demanding in terms of memory and time if it applied on huge corpora. We provide here a way to split LM training into smaller and independent steps, that can be easily distributed among independent processes. The procedure relies on a training scripts that makes little use of computer RAM and implements the Witten-Bell smoothing method in an exact way.

Before starting, let us create a working directory under `examples`, as many files will be created:

```
$> mkdir stat
```

The script to generate the LM is:

```
> build-lm.sh -i "gunzip -c train.gz" -n 3 -o train.ilm.gz -k 5
```

where the available options are:

```
-i      Input training file e.g. 'gunzip -c train.gz'
-o      Output gzipped LM, e.g. lm.gz
-k      Number of splits (default 5)
-n      Order of language model (default 3)
-t      Directory for temporary files (default ./stat)
-p      Prune singleton n-grams (default false)
-s      Smoothing methods: witten-bell (default), kneser-ney
-b      Include sentence boundary n-grams (optional)
-d      Define subdictionary for n-grams (optional)
-v      Verbose
```

The script splits the estimation procedure into 5 distinct jobs, that are explained in the following section. There are other options that can be used. We recommend for instance to use pruning of singletons to get smaller LM files. Notice that `build-lm.sh` produces a LM file `train.ilm.gz` that is NOT in the final ARPA format, but in an intermediate format called `iARPA`, that is recognized by the `compile-lm` command and by the Moses SMT decoder running with `IRSTLM`. To convert the file into the standard ARPA format you can use the command:

```
> compile-lm train.ilm.gz --text yes train.lm
```

this will create the proper ARPA file `lm-final`. To create a gzipped file you might also use:

```
> compile-lm train.ilm.gz --text yes /dev/stdout | gzip -c > train.lm.gz
```

In the following sections, we will talk about LM file formats, compiling your LM into a more compact and efficient binary format, and about querying your LM.

### 3.1 Estimating a LM with a Partial Dictionary

We can extract the corpus dictionary sorted by frequency with the command:

```
$> dict -i="gunzip -c train.gz" -o=dict -f=y -sort=no
```

A sub-dictionary can be defined by just taking words occurring at least 5 times:

```
$> (echo DICTIONARY; tail +2 dict | awk '{if ($2>=5) print}')
```

The LM can be restricted to the defined sub-dictionary with the command `build-lm.sh` by using the option `-d`:

```
> build-lm.sh -i "gunzip -c train.gz" -n 3 -o sublm.gz -k 5 -p -d sdict
```

Notice that, all words outside the sub-dictionary will be mapped to the `<unk>` class, the probability of which will be directly estimated from the corpus statistics.

## 4 LM File Formats

This toolkit supports three output format of LMs. These formats have the purpose of permitting the use of LMs by external programs. External programs could in principle estimate the LM from an  $n$ -gram table before using it, but this would take much more time and memory! So the best thing to do is to first estimate the LM, and then compile it into a binary format that is more compact and that can be quickly loaded and queried by the external program.

### 4.1 ARPA Format

This format was introduced in DARPA ASR evaluations to exchange LMs. ARPA format is also supported by the SRI LM Toolkit. It is a text format which is rather costly in terms of memory. There is no limit to the size  $n$  of  $n$ -grams.

### 4.2 qARPA Format

This extends the ARPA format by including codebooks that quantize probabilities and back-off weights of each  $n$ -gram level. This format is created through the command `quantize-lm`.

### 4.3 iARPA Format

This is an intermediate ARPA format in the sense that each entry of the file does not contain in the first position the full  $n$ -gram probability, but just its smoothed frequency, i.e.:

```
...  
f(z|x y) x y z bow(x y)  
...
```

This format is nevertheless properly managed by the `compile-lm` command in order to generate a binary version or a correct ARPA version.

## 4.4 Binary Formats

Both ARPA and qARPA formats can be converted into a binary format that allows for space savings on disk and a much quicker upload of the LM file. Binary versions can be created with the command `compile-lm`, that produces files with headers `blmt` or `Qblmt`.

## 5 LM Pruning

Large LMs files can be pruned in a smart way by means of the command `prune-lm` that removes  $n$ -grams for which resorting to the back-off results in a small loss. The syntax is as follows:

```
> prune-lm --threshold=1e-6,1e-6 train.lm.gz train.plm
```

Thresholds for each  $n$ -gram level, up from 2-grams, are based on empirical evidence. Threshold zero results in no pruning. If less thresholds are specified, the right most is applied to the higher levels. Hence, in the above example we could have just specified one threshold, namely `--threshold=1e-6`. The effect of pruning is shown in the following messages of `prune-lm`:

```
1-grams: reading 15059 entries
2-grams: reading 142684 entries
3-grams: reading 293685 entries
done
OOV code is 15058
OOV code is 15058
pruning LM with thresholds:
  1e-06 1e-06
savetxt: train.lm.plm
save: 15059 1-grams
save: 135967 2-grams
save: 185127 3-grams
```

The saved LM table `train.plm` contains about 5% less bigrams, and 37% less trigrams! Notice that the output of `prune-lm` is an ARPA LM file, while the input can be either an ARPA or binary LM. Notice that quantization must be eventually performed after pruning! In order to measure the loss in accuracy introduced by pruning, perplexity of the resulting LM can be computed (see below).

## 6 LM Quantization and Compilation

A language model file in ARPA format, created with the IRST LM toolkit or with other tools, can be quantized and stored in a compact data structure, called language model table. Quantization can be performed by the command:

```
$> quantize-lm train.lm train.qlm
```

which generates the quantized version `train.qlm` that encodes all probabilities and back-off weights in 8 bits. The output is a modified ARPA format, called qARPA.

LMs in ARPA or qARPA format can be stored in a compact binary table through the command:

```
$> compile-lm train.lm tran.blm
```

which generates the binary file `train.blm` that can be quickly loaded in memory.

If the size of the output file is expected to exceed that of the central memory, it can be created directly on the hard disk via the memory map mechanism:

```
$> compile-lm --memmap 1 train.lm tran.blm
```

In this case it is recommended that the hard disk for the LM storage belongs to the computer on which the compilation is performed.

## 7 LM Interface

LMs are useful when they can be queried through another application in order to compute perplexity scores or n-gram probabilities. IRSTLM provides two possible interfaces:

- at the command level, through `compile-lm`
- at the c++ library level, mainly through methods of the class `lmtable`

In the following, we will only focus on the command level interface. Details about the c++ library interface will be provided in a future version of this manual.

### 7.1 Perplexity Computation

To compute the perplexity directly from the LM on disk, we can use the command:

```
$> compile-lm train.lm --eval=test  
Nw=49984 PP=474.90 PPwp=0.00 Nbo=39847 Noov=2503 OOV=5.01%
```

Notice that `PPwp` reports the contribution of OOV words to the perplexity: each OOV word is penalized by a fixed OOV penalty. By default, OOV penalty is 0. OOV penalty can be modified by setting a dictionary upper-bound with `--dub`. Indeed:

```
$> compile-lm train.lm --eval=test --dub=10000000  
Nw=49984 PP=1064.40 PPwp=589.50 Nbo=39847 Noov=2503 OOV=5.01%
```

The perplexity of the pruned LM can be computed with the command:

```
compile-lm train.plm --eval test --dub 10000000  
Nw=49984 PP=1019.57 PPwp=564.67 Nbo=42671 Noov=2503 OOV=5.01%
```

Interestingly, a slightly better value is obtained which could be explained by the fact that pruning has removed many unfrequent trigrams and has redistributed their probabilities over more frequent bigrams.

## 7.2 Probability Computations

We can compute as well log-probabilities word-by-word from standard input with the command:

```
$> compile-lm train.lm --score yes < test

> </s> 1 p= NULL
> </s> <s> 1 p= NULL
> </s> <s> <unk> 1 p= -6.130331e+00 bo= 2
> <s> <unk> of 1 p= -3.530050e+00 bo= 2
> <unk> of the 1 p= -1.250671e+00 bo= 1
> of the senate 1 p= -8.805695e+00 bo= 0
> the senate ( 1 p= -6.150410e+00 bo= 2
> senate ( <unk> 1 p= -5.547798e+00 bo= 2
....
....
```

the commands reports the currently observed n-gram, including `_unk_` words, a dummy constant frequency 1, the log-probability of the n-gram, and the number of back-offs performed by the LM.

Finally, tracing information with the `--eval` option are shown by setting debug levels from 1 to 4 (`--debug`):

- 1 reports the back-off level for each word
- 2 adds the log-prob
- 3 adds the back-off weight
- 4 check if probabilities sum up to 1.

## 8 Parallel Computation

This package provides facilities to build a gigantic LM in parallel in order to reduce computation time. The script implementing this feature is based on the SUN Grid Engine software<sup>2</sup>.

To apply the parallel computation run the following script (instead of `build-lm.sh`):

```
> build-lm-qsub.sh -i "gunzip -c train.gz" -n 3 -o train.ilm.gz -k 5
```

Besides the options of `build-lm.sh`, parameters for the SGE manager can be provided through the following one:

```
-q parameters for qsub, e.g. "-q <queue>", "-l <resources>"
```

The script performs the same *split-and merge* policy described in Section 3, but some computation is performed in parallel (instead of sequentially) distributing the tasks on several machines.

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<sup>2</sup><http://www.sun.com/software/gridware>

## A Reference Material

The following books contain basic introductions to statistical language modeling:

- *Spoken Dialogues with Computers*, by Renato DeMori, chapter 7.
- *Speech and Language Processing*, by Dan Jurafsky and Jim Martin, chapter 6.
- *Foundations of Statistical Natural Language Processing*, by C. Manning and H. Schuetze.
- *Statistical Methods for Speech Recognition*, by Frederick Jelinek.
- *Spoken Language Processing*, by Huang, Acero and Hon.

## B Release Notes

### B.1 Version 3.2

- Quantization of probabilities
- Efficient run-time data structure for LM querying
- Dismissal of MT output format

### B.2 Version 4.2

- Distinction between open source and internal Irstlm tools
- More memory efficient versions of binarization and quantization commands
- Memory mapping of run-time LM
- Scripts and data structures for the estimation and handling of gigantic LMs
- Integration of IRSTLM into Moses Decoder

### B.3 Version 5.00

- Fixed bug in the documentation
- General script `build-lm.sh` for the estimation of large LMs.
- Management of iARPA file format.
- Bug fixes
- Estimation of LM over a partial dictionary.

#### **B.4 Version 5.04**

- Parallel estimation of gigantic LM through SGE
- Better management of sub dictionary with build-lm.sh
- Minor bug fixes

#### **B.5 Version 5.05**

- (Optional) computation of OOV penalty in terms of single OOV word instead of OOV class
- Extended use of OOV penalty to the standard input LM scores of compile-lm.
- Minor bug fixes

#### **B.6 Version 5.10**

- Extended ngt to compute statistics for approximated Kneser-Ney smoothing
- New implementation of approximated Kneser-Ney smoothing method
- Minor bug fixes
- More to be added here ....

#### **B.7 Version 5.20**

- Improved tracing of back-offs
- Added command prune-lm (thanks to Fabio Brugnara)
- Extended lprob function to supply back-off weight/level information
- Improved back-off handling of OOV words with quantized LM
- Added more debug modalities to compile-lm
- Fixed minor bugs in regression tests
- Updated documentation