#### **Neural Networks**

#### **Assignment 3**

#### Question 1

Α

The images from the file were loaded and grayscale images were generated using the model: Y = 0.2126 \* R + 0.7152 \* G + 0.0722 \* B

The mean was removed and the data was clipped at +-3 standard deviations after which it was mapped to the range [0.1 0.9]. Following are the 200 RGB images with their corresponding normalized grayscale images.

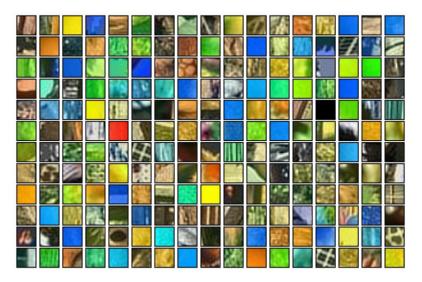


Figure 1: RGB images

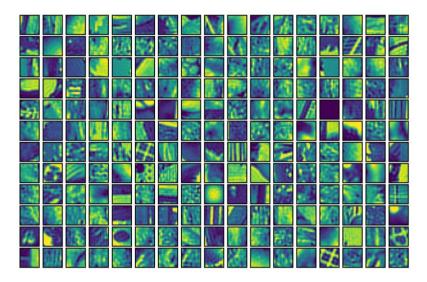


Figure 2: Normalized grayscale images

First of all, converting to grayscale shrinks our data size by 3. Moreover, with proper scaling of each channel, all the channels represent equal amount of information and patterns in the image are much more visible.

#### B and C

The loss function is defined as:

$$J_{ae} = \frac{1}{2N} \sum_{i=1}^{N} \|d(m) - o(m)\|^2 + \frac{\lambda}{2} \left[ \sum_{b=1}^{L_{hid}} \sum_{a=1}^{L_{in}} (W_{a,b}^{(1)})^2 + \sum_{c=1}^{L_{out}} \sum_{b=1}^{L_{hid}} (W_{b,c}^{(2)})^2 \right] + \beta \sum_{b=1}^{L_{hid}} KL(\rho|\hat{\rho}_b)$$

$$I = I_1 + I_2 + KL$$

The partial derivatives of the loss function of the KL term are as follows

 $\frac{dKL}{dw_2} = 0$  Since hidden layer activations don't depend on them.

$$\begin{aligned} \text{KL} &= \beta. \sum \text{KL}(\delta | \hat{\delta}_{j}) \\ \frac{\text{dKL}(\delta | \hat{\delta}_{j})}{\text{dw}_{1}} &= \frac{\text{dKL}(\delta | \hat{\delta}_{j})}{\text{d}\hat{\delta}_{j}}.\frac{\text{d}\hat{\delta}_{j}}{\text{dw}_{1}} \\ \frac{\text{dKL}(\delta | \hat{\delta}_{j})}{\text{d}\hat{\delta}_{j}} &= \frac{1 - \delta}{\hat{\delta}_{i}} - \frac{\delta}{\hat{\delta}_{i}} \end{aligned}$$

 $\hat{\delta}_j = average$  of the outputs for all inputs in the batch for the jth hidden layer neuron

Let 
$$\underline{\hat{\delta}} = vector\ of\ all\ \hat{\delta}_j$$
 
$$\frac{dKL}{d\underline{\hat{\delta}}} = Vector\ of\ \frac{dKL(\delta|\hat{\delta}_j)}{d\hat{\delta}_j} \text{for all}\ j$$
 
$$\frac{dKL}{d\underline{\hat{\delta}}} = \frac{1-\delta}{\underline{\hat{\delta}}} - \frac{\delta}{\underline{\hat{\delta}}}$$
 
$$\underline{\hat{\delta}} = \frac{\sum \varphi(x^i@w_1 + b_1)}{batch\ size}$$
 
$$\frac{d\underline{\hat{\delta}}}{dw_1} = \frac{\sum x^i@\ \varphi'(x^i@w_1 + b_1)}{batch\ size}$$

Let  $\frac{d\widehat{\underline{\delta}}}{dw_1}$  (k) denote the kth row of  $\frac{d\widehat{\underline{\delta}}}{dw_1}$ 

Let j denote the jth row of  $\boldsymbol{w}_1$ 

$$\frac{\mathrm{d}\hat{\delta}_j}{\mathrm{d}w_1} = 0 \ if \ j \ \neq k$$

$$\frac{\mathrm{d}\hat{\delta}_{j}}{\mathrm{d}w_{1}} = \frac{\mathrm{d}\hat{\underline{\delta}}}{\mathrm{d}w_{1}}(\mathbf{k}) \ if \ j = k$$

$$\frac{d \widehat{\delta}_j}{d w_1} = 0 \; \text{everywhere except the jth row where} \; \frac{d \widehat{\delta}_j}{d w_1} = \frac{d \underline{\widehat{\delta}}}{d w_1} (j)$$

$$\sum \frac{d\hat{\delta}_j}{dw_1} = \frac{d\hat{\underline{\delta}}}{dw_1} \text{ since all } \frac{d\hat{\delta}_j}{dw_1} \text{ are linearly independent}$$

$$\sum \frac{dKL(\delta|\hat{\delta}_j)}{dw_1} = \sum \frac{dKL(\delta|\hat{\delta}_j)}{d\hat{\delta}_j} \cdot \frac{d\hat{\delta}_j}{dw_1}$$

This can be written as

$$\sum \frac{\mathrm{dKL}(\delta|\hat{\delta}_j)}{\mathrm{dw}_1} = \frac{\mathrm{dKL}}{\mathrm{d}\underline{\hat{\delta}}} \cdot \frac{\mathrm{d}\underline{\hat{\delta}}}{\mathrm{dw}_1} \text{ where the (.) is element wise multiplication}$$

This will be used as the partial derivative ok KL divergence term for gradient descent.

For the Tykhonov regularization term, the partial derivatives are as follows.

$$J_2 = \frac{\lambda}{2} (w_1 @ w_1^T + w_2 @ w_2^T)$$
$$\frac{\mathrm{d}J_2}{\mathrm{d}w_1} = \lambda. w_1$$
$$\frac{\mathrm{d}J_2}{\mathrm{d}w_2} = \lambda. w_2$$

The derivatives of the mean squared error loss is trivial as in the previous assignments and is:

$$J_1 = \frac{1}{2N}(x - Y)^T(x - Y) = \frac{1}{2N}(\|x - Y\|^2)$$

$$Y = \varphi(\varphi(x@w_1 + b_1) + b2)$$

$$O_1 = \varphi(x@w_1 + b_1)$$

$$delta2 = -\frac{1}{N}.(x - Y).\varphi'(\varphi(x@w_1 + b_1) + b2)$$

$$\frac{dJ_2}{dw_2} = O_1@delta2$$

$$delta1 = -(delta2@w_2).\varphi'(x@w_1 + b_1)$$

$$\frac{dJ_2}{dw_1} = x@delta1$$
Similarly for biases
$$\frac{dJ_2}{db_2} = \sum delta2$$

$$\frac{dJ_2}{db_1} = \sum delta1$$

The gradient descent is as follows:

The weights are initialized in the range [-w<sub>0</sub> w<sub>0</sub>], where w<sub>0</sub> = 
$$w_0 = \sqrt{\frac{6}{L_{pre} + L_{post}}} = 0.13693$$

Lambda was chosen as 0.0005. After experimentation, Beta was chosen as 0.008 and learning rate of 0.01 gives the best loss curve. Initially beta was chosen as 10 which was causing all sigmoid outputs to go towards 0 or infinity. However, after choosing a significantly smaller beta, the network performed well. Batch size of 128 was used and the network was trained for a 1000 epochs

Following are the features extracted by the network by the first layer weights. i.e w<sub>1</sub>.

C)

Rho = 0.2, 0.5 and 0.8 were tried and the following weights were obtained. (with beta 0.008)

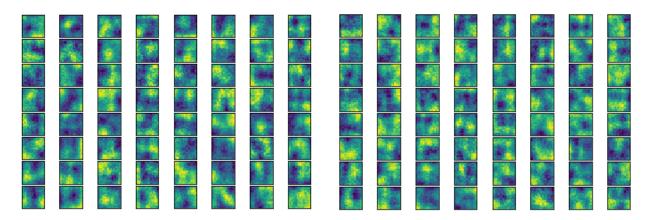


Figure 3: Rho = 0.25 Rho = 0.5

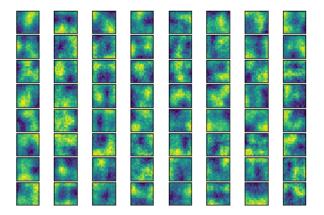


Figure 4: Rho = 0.8

Rho = 0.25 was chosen since it gives more sparse features.

The features almost look like natural images. However, it can be seen that the features are not able to capture the high frequency information of the images but represent a compressed low frequency blurry representation of those images. The combination of several features is however able to reproduce the input image back to some extent as can be seen below.

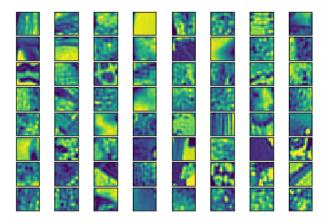


Figure 5: Input Images

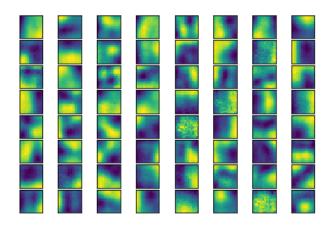
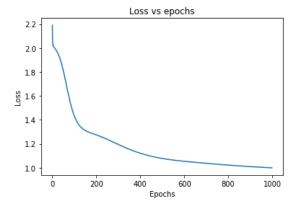


Figure 6: Decoded images after encoding

The loss goes down as follows:



D

 $\lambda=0.001$  was chosen . A lower  $\lambda$  increases regularization to the extent that the model does not learn.

Beta = 0.008 and rho = 0.25

Three different values of encoding neurons were tried as L\_hid = [25, 64, 100]

Following are the features extracted using the three different values:

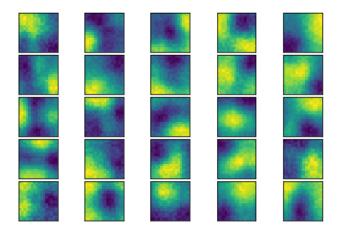


Figure 7: features for L\_hid = 25

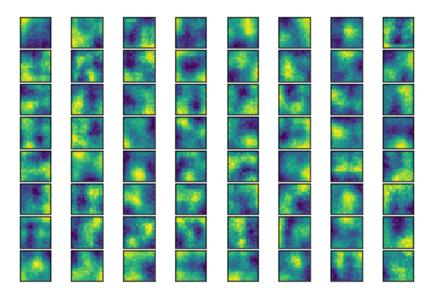


Figure 8: features for L\_hid = 64

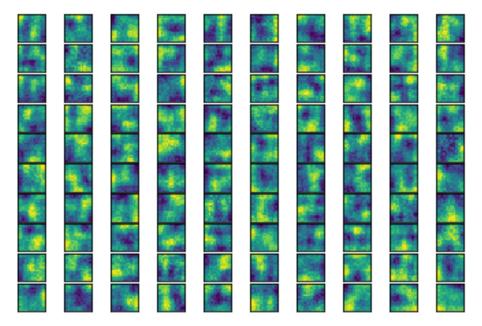


Figure 9: features for L\_hid = 100

It can be seen that higher neurons lead to learning of more features that are also sparser. Lower number of neurons also decrease the scarcity of the features so as to generalize to the data better.

Then the decoded outputs are displayed corresponding to the following input:

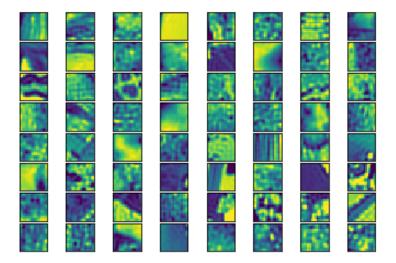


Figure 10: Input images

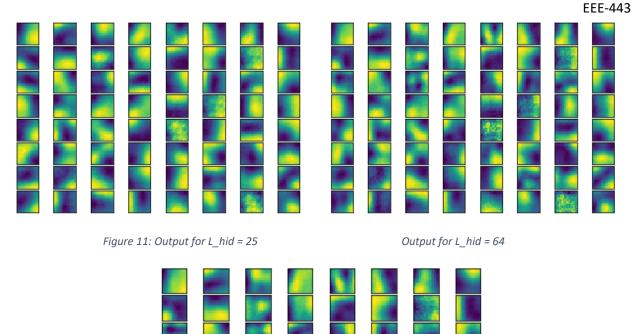


Figure 12: Output for L\_hid = 100

In case of higher number of neurons, we can see that many of the generated outputs are sharper and closer to the actual image. This is because it has more feature detectors that can detect individual features different from the case of less neurons where many different features are generalized to a single neuron which causes the blurriness. This can also be seen from the feature weights displayed previously.

Then the loss across epochs is seen as:

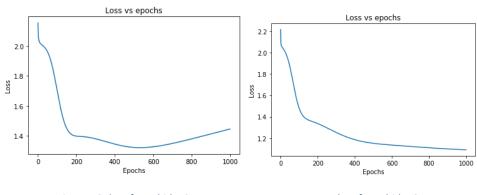


Figure 13: loss for L\_hid = 25

 $loss for L_hid = 64$ 

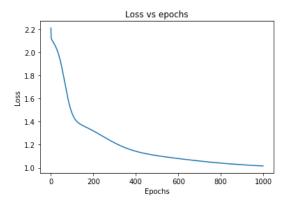


Figure 14: Loss for L\_hid = 100

It can be seen that in case of lower number of neurons, the model trains quickly and then due to the comparatively higher learning rate for the model for 25 neurons, the error starts increasing again.

### **Question 2**

Jupyter notebook attached at the end.

#### **Convolutional Networks**

In [1]: # As usual, a bit of setup

So far we have worked with deep fully-connected networks, using them to explore different optimization strategies and network architectures. Fully-connected networks are a good testbed for experimentation because they are very computationally efficient, but in practice all state-of-the-art results use convolutional networks instead.

First you will implement several layer types that are used in convolutional networks. You will then use these layers to train a convolutional network on the CIFAR-10 dataset.

```
import numpy as np
        import matplotlib.pyplot as plt
        from cs231n.classifiers.cnn import *
        from cs231n.data_utils import get_CIFAR10_data
        from cs231n.gradient check import eval numerical gradient array, eval numerica
        1 gradient
        from cs231n.layers import *
        from cs231n.fast layers import *
        from cs231n.solver import Solver
        %matplotlib inline
        plt.rcParams['figure.figsize'] = (10.0, 8.0) # set default size of plots
        plt.rcParams['image.interpolation'] = 'nearest'
        plt.rcParams['image.cmap'] = 'gray'
        # for auto-reloading external modules
        # see http://stackoverflow.com/questions/1907993/autoreload-of-modules-in-ipyt
        %load ext autoreload
        %autoreload 2
        def rel error(x, y):
          """ returns relative error """
          return np.max(np.abs(x - y) / (np.maximum(1e-8, np.abs(x) + np.abs(y))))
In [2]: # Load the (preprocessed) CIFAR10 data.
        data = get CIFAR10 data()
        for k, v in data.items():
          print('%s: ' % k, v.shape)
        X train: (49000, 3, 32, 32)
        y train: (49000,)
        X_val: (1000, 3, 32, 32)
        y val: (1000,)
        X_test: (1000, 3, 32, 32)
        y test: (1000,)
```

## **Convolution: Naive forward pass**

The core of a convolutional network is the convolution operation. In the file cs231n/layers.py , implement the forward pass for the convolution layer in the function conv\_forward\_naive .

You don't have to worry too much about efficiency at this point; just write the code in whatever way you find most clear.

You can test your implementation by running the following:

```
In [3]: x_{shape} = (2, 3, 4, 4)
        w \text{ shape} = (3, 3, 4, 4)
        x = np.linspace(-0.1, 0.5, num=np.prod(x shape)).reshape(x shape)
        w = np.linspace(-0.2, 0.3, num=np.prod(w_shape)).reshape(w_shape)
        b = np.linspace(-0.1, 0.2, num=3)
        conv_param = {'stride': 2, 'pad': 1}
        out, = conv forward naive(x, w, b, conv param)
        correct_out = np.array([[[[-0.08759809, -0.10987781],
                                    [-0.18387192, -0.2109216]],
                                   [[ 0.21027089, 0.21661097],
                                    [ 0.22847626, 0.23004637]],
                                   [[ 0.50813986, 0.54309974],
                                    [ 0.64082444, 0.67101435]]],
                                  [[-0.98053589, -1.03143541],
                                    [-1.19128892, -1.24695841]],
                                   [[0.69108355, 0.66880383],
                                    [ 0.59480972, 0.56776003]],
                                   [[ 2.36270298, 2.36904306],
                                    [ 2.38090835, 2.38247847]]]])
        # Compare your output to ours; difference should be around e-8
        print('Testing conv forward naive')
        print('difference: ', rel error(out, correct out))
        Testing conv forward naive
        difference: 2.2121476417505994e-08
In [ ]: | naive cnn
```

# Aside: Image processing via convolutions

As fun way to both check your implementation and gain a better understanding of the type of operation that convolutional layers can perform, we will set up an input containing two images and manually set up filters that perform common image processing operations (grayscale conversion and edge detection). The convolution forward pass will apply these operations to each of the input images. We can then visualize the results as a sanity check.

```
In [4]: from scipy.misc import imread, imresize
        kitten, puppy = imread('kitten.jpg'), imread('puppy.jpg')
        # kitten is wide, and puppy is already square
        d = kitten.shape[1] - kitten.shape[0]
        kitten_cropped = kitten[:, d//2:-d//2, :]
        img size = 200 # Make this smaller if it runs too slow
        x = np.zeros((2, 3, img size, img size))
        x[0, :, :] = imresize(puppy, (img_size, img_size)).transpose((2, 0, 1))
        x[1, :, :, :] = imresize(kitten cropped, (img size, img size)).transpose((2, 0))
        , 1))
        # Set up a convolutional weights holding 2 filters, each 3x3
        w = np.zeros((2, 3, 3, 3))
        # The first filter converts the image to grayscale.
        # Set up the red, green, and blue channels of the filter.
        w[0, 0, :, :] = [[0, 0, 0], [0, 0.3, 0], [0, 0, 0]]
        w[0, 1, :, :] = [[0, 0, 0], [0, 0.6, 0], [0, 0, 0]]
        W[0, 2, :, :] = [[0, 0, 0], [0, 0.1, 0], [0, 0, 0]]
        # Second filter detects horizontal edges in the blue channel.
        W[1, 2, :, :] = [[1, 2, 1], [0, 0, 0], [-1, -2, -1]]
        # Vector of biases. We don't need any bias for the grayscale
        # filter, but for the edge detection filter we want to add 128
        # to each output so that nothing is negative.
        b = np.array([0, 128])
        # Compute the result of convolving each input in x with each filter in w,
        # offsetting by b, and storing the results in out.
        out, = conv forward naive(x, w, b, {'stride': 1, 'pad': 1})
        def imshow_noax(img, normalize=True):
             """ Tiny helper to show images as uint8 and remove axis labels """
            if normalize:
                 img_max, img_min = np.max(img), np.min(img)
                 img = 255.0 * (img - img min) / (img max - img min)
            plt.imshow(img.astype('uint8'))
            plt.gca().axis('off')
        # Show the original images and the results of the conv operation
        plt.subplot(2, 3, 1)
        imshow noax(puppy, normalize=False)
        plt.title('Original image')
        plt.subplot(2, 3, 2)
        imshow noax(out[0, 0])
        plt.title('Grayscale')
        plt.subplot(2, 3, 3)
        imshow noax(out[0, 1])
        plt.title('Edges')
        plt.subplot(2, 3, 4)
        imshow noax(kitten cropped, normalize=False)
        plt.subplot(2, 3, 5)
        imshow noax(out[1, 0])
```

plt.subplot(2, 3, 6)
imshow\_noax(out[1, 1])
plt.show()

/home/umair/.conda/envs/cs231n/lib/python3.7/site-packages/ipykernel\_launche r.py:3: DeprecationWarning: `imread` is deprecated!
`imread` is deprecated in SciPy 1.0.0, and will be removed in 1.2.0.

Use ``imageio.imread`` instead.

This is separate from the ipykernel package so we can avoid doing imports until

/home/umair/.conda/envs/cs231n/lib/python3.7/site-packages/ipykernel\_launcher.py:10: DeprecationWarning: `imresize` is deprecated!

`imresize` is deprecated in SciPy 1.0.0, and will be removed in 1.2.0. Use ``skimage.transform.resize`` instead.

# Remove the CWD from sys.path while we load stuff.

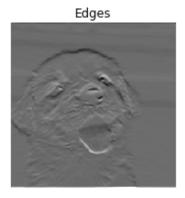
/home/umair/.conda/envs/cs231n/lib/python3.7/site-packages/ipykernel\_launche
r.py:11: DeprecationWarning: `imresize` is deprecated!

`imresize` is deprecated in SciPy 1.0.0, and will be removed in 1.2.0. Use ``skimage.transform.resize`` instead.

# This is added back by InteractiveShellApp.init path()

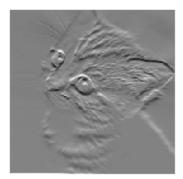
Original image











# **Convolution: Naive backward pass**

Implement the backward pass for the convolution operation in the function <code>conv\_backward\_naive</code> in the file <code>cs231n/layers.py</code> . Again, you don't need to worry too much about computational efficiency.

When you are done, run the following to check your backward pass with a numeric gradient check.

```
In [5]: np.random.seed(231)
        x = np.random.randn(4, 3, 5, 5)
        w = np.random.randn(2, 3, 3, 3)
        b = np.random.randn(2,)
        dout = np.random.randn(4, 2, 5, 5)
        conv_param = {'stride': 1, 'pad': 1}
        dx num = eval numerical gradient array(lambda x: conv forward naive(x, w, b, c
        onv param)[0], x, dout)
        dw_num = eval_numerical_gradient_array(lambda w: conv_forward_naive(x, w, b, c
        onv param)[0], w, dout)
        db_num = eval_numerical_gradient_array(lambda b: conv_forward_naive(x, w, b, c
        onv_param)[0], b, dout)
        out, cache = conv forward naive(x, w, b, conv param)
        dx, dw, db = conv_backward_naive(dout, cache)
        # Your errors should be around e-8 or less.
        print('Testing conv_backward_naive function')
        print('dx error: ', rel_error(dx, dx_num))
        print('dw error: ', rel_error(dw, dw_num))
        print('db error: ', rel_error(db, db_num))
```

Testing conv\_backward\_naive function dx error: 1.159803161159293e-08 dw error: 2.2471264748452487e-10 db error: 3.37264006649648e-11

# Max-Pooling: Naive forward

Implement the forward pass for the max-pooling operation in the function <code>max\_pool\_forward\_naive</code> in the file <code>cs231n/layers.py</code> . Again, don't worry too much about computational efficiency.

Check your implementation by running the following:

```
In [6]: x shape = (2, 3, 4, 4)
        x = np.linspace(-0.3, 0.4, num=np.prod(x_shape)).reshape(x_shape)
        pool param = {'pool width': 2, 'pool height': 2, 'stride': 2}
        out, = max pool forward naive(x, pool param)
        correct_out = np.array([[[[-0.26315789, -0.24842105],
                                  [-0.20421053, -0.18947368]],
                                 [[-0.14526316, -0.13052632],
                                  [-0.08631579, -0.07157895]],
                                 [[-0.02736842, -0.01263158],
                                  [ 0.03157895, 0.04631579]]],
                                 [[[ 0.09052632, 0.10526316],
                                  [ 0.14947368, 0.16421053]],
                                 [[0.20842105, 0.22315789],
                                  [ 0.26736842, 0.28210526]],
                                 [[0.32631579, 0.34105263],
                                  [ 0.38526316, 0.4
                                                           1111)
        # Compare your output with ours. Difference should be on the order of e-8.
        print('Testing max pool forward naive function:')
        print('difference: ', rel_error(out, correct_out))
```

Testing max\_pool\_forward\_naive function: difference: 4.1666665157267834e-08

# Max-Pooling: Naive backward

Implement the backward pass for the max-pooling operation in the function <code>max\_pool\_backward\_naive</code> in the file <code>cs231n/layers.py</code> . You don't need to worry about computational efficiency.

Check your implementation with numeric gradient checking by running the following:

```
In [7]: np.random.seed(231)
    x = np.random.randn(3, 2, 8, 8)
    dout = np.random.randn(3, 2, 4, 4)
    pool_param = {'pool_height': 2, 'pool_width': 2, 'stride': 2}

    dx_num = eval_numerical_gradient_array(lambda x: max_pool_forward_naive(x, pool_param)[0], x, dout)

    out, cache = max_pool_forward_naive(x, pool_param)
    dx = max_pool_backward_naive(dout, cache)

# Your error should be on the order of e-12
    print('Testing max_pool_backward_naive function:')
    print('dx error: ', rel_error(dx, dx_num))
```

Testing max\_pool\_backward\_naive function: dx error: 3.27562514223145e-12

# **Fast layers**

Making convolution and pooling layers fast can be challenging. To spare you the pain, we've provided fast implementations of the forward and backward passes for convolution and pooling layers in the file cs231n/fast\_layers.py.

The fast convolution implementation depends on a Cython extension; to compile it you need to run the following from the cs231n directory:

```
python setup.py build_ext --inplace
```

The API for the fast versions of the convolution and pooling layers is exactly the same as the naive versions that you implemented above: the forward pass receives data, weights, and parameters and produces outputs and a cache object; the backward pass recieves upstream derivatives and the cache object and produces gradients with respect to the data and weights.

**NOTE:** The fast implementation for pooling will only perform optimally if the pooling regions are non-overlapping and tile the input. If these conditions are not met then the fast pooling implementation will not be much faster than the naive implementation.

You can compare the performance of the naive and fast versions of these layers by running the following:

```
In [8]: # Rel errors should be around e-9 or less
        from cs231n.fast layers import conv forward fast, conv backward fast
        from time import time
        np.random.seed(231)
        x = np.random.randn(100, 3, 31, 31)
        w = np.random.randn(25, 3, 3, 3)
        b = np.random.randn(25,)
        dout = np.random.randn(100, 25, 16, 16)
        conv_param = {'stride': 2, 'pad': 1}
        t0 = time()
        out_naive, cache_naive = conv_forward_naive(x, w, b, conv_param)
        t1 = time()
        out fast, cache fast = conv forward fast(x, w, b, conv param)
        t2 = time()
        print('Testing conv_forward_fast:')
        print('Naive: %fs' % (t1 - t0))
        print('Fast: %fs' % (t2 - t1))
        print('Speedup: %fx' % ((t1 - t0) / (t2 - t1)))
        print('Difference: ', rel error(out naive, out fast))
        t0 = time()
        dx naive, dw naive, db naive = conv backward naive(dout, cache naive)
        t1 = time()
        dx fast, dw fast, db fast = conv backward fast(dout, cache fast)
        t2 = time()
        print('\nTesting conv backward fast:')
        print('Naive: %fs' % (t1 - t0))
        print('Fast: %fs' % (t2 - t1))
        print('Speedup: %fx' % ((t1 - t0) / (t2 - t1)))
        print('dx difference: ', rel_error(dx_naive, dx_fast))
        print('dw difference: ', rel_error(dw_naive, dw_fast))
        print('db difference: ', rel_error(db_naive, db_fast))
```

```
Testing conv_forward_fast:
Naive: 6.384810s
Fast: 0.010600s
Speedup: 602.335425x
Difference: 4.926407851494105e-11

Testing conv_backward_fast:
Naive: 8.422491s
Fast: 0.016960s
Speedup: 496.597959x
dx difference: 1.949764775345631e-11
dw difference: 5.684079808685177e-13
```

db difference: 0.0

```
In [9]: # Relative errors should be close to 0.0
        from cs231n.fast layers import max pool forward fast, max pool backward fast
        np.random.seed(231)
        x = np.random.randn(100, 3, 32, 32)
        dout = np.random.randn(100, 3, 16, 16)
        pool_param = {'pool_height': 2, 'pool_width': 2, 'stride': 2}
        t0 = time()
        out naive, cache naive = max pool forward naive(x, pool param)
        t1 = time()
        out fast, cache fast = max pool forward fast(x, pool param)
        t2 = time()
        print('Testing pool forward fast:')
        print('Naive: %fs' % (t1 - t0))
        print('fast: %fs' % (t2 - t1))
        print('speedup: %fx' % ((t1 - t0) / (t2 - t1)))
        print('difference: ', rel_error(out_naive, out_fast))
        t0 = time()
        dx naive = max pool backward naive(dout, cache naive)
        t1 = time()
        dx fast = max pool backward fast(dout, cache fast)
        t2 = time()
        print('\nTesting pool backward fast:')
        print('Naive: %fs' % (t1 - t0))
        print('fast: %fs' % (t2 - t1))
        print('speedup: %fx' % ((t1 - t0) / (t2 - t1)))
        print('dx difference: ', rel_error(dx_naive, dx_fast))
        Testing pool_forward_fast:
        Naive: 0.168703s
```

```
Testing pool_forward_fast:
Naive: 0.168703s
fast: 0.002104s
speedup: 80.180397x
difference: 0.0

Testing pool_backward_fast:
Naive: 0.376410s
fast: 0.012205s
speedup: 30.840307x
dx difference: 0.0
```

## **Convolutional "sandwich" layers**

Previously we introduced the concept of "sandwich" layers that combine multiple operations into commonly used patterns. In the file cs231n/layer\_utils.py you will find sandwich layers that implement a few commonly used patterns for convolutional networks.

```
In [9]: from cs231n.layer utils import conv relu pool forward, conv relu pool backward
        np.random.seed(231)
        x = np.random.randn(2, 3, 16, 16)
        w = np.random.randn(3, 3, 3, 3)
        b = np.random.randn(3,)
        dout = np.random.randn(2, 3, 8, 8)
        conv_param = {'stride': 1, 'pad': 1}
        pool_param = {'pool_height': 2, 'pool_width': 2, 'stride': 2}
        out, cache = conv_relu_pool_forward(x, w, b, conv_param, pool_param)
        dx, dw, db = conv relu pool backward(dout, cache)
        dx_num = eval_numerical_gradient_array(lambda x: conv_relu_pool_forward(x, w,
        b, conv param, pool param)[0], x, dout)
        dw num = eval numerical gradient array(lambda w: conv relu pool forward(x, w,
        b, conv_param, pool_param)[0], w, dout)
        db num = eval numerical gradient array(lambda b: conv relu pool forward(x, w,
        b, conv_param, pool_param)[0], b, dout)
        # Relative errors should be around e-8 or less
        print('Testing conv relu pool')
        print('dx error: ', rel_error(dx_num, dx))
        print('dw error: ', rel_error(dw_num, dw))
        print('db error: ', rel_error(db_num, db))
```

Testing conv relu pool

dx error: 6.514336569263308e-09 dw error: 1.490843753539445e-08 db error: 2.037390356217257e-09 12/15/2019 ConvolutionalNetworks

```
In [10]: from cs231n.layer utils import conv_relu_forward, conv_relu_backward
         np.random.seed(231)
         x = np.random.randn(2, 3, 8, 8)
         w = np.random.randn(3, 3, 3, 3)
         b = np.random.randn(3,)
         dout = np.random.randn(2, 3, 8, 8)
         conv param = {'stride': 1, 'pad': 1}
         out, cache = conv relu forward(x, w, b, conv param)
         dx, dw, db = conv_relu_backward(dout, cache)
         dx num = eval numerical gradient array(lambda x: conv relu forward(x, w, b, co
         nv_param)[0], x, dout)
         dw num = eval numerical gradient array(lambda w: conv relu forward(x, w, b, co
         nv param)[0], w, dout)
         db num = eval numerical gradient array(lambda b: conv relu forward(x, w, b, co
         nv param)[0], b, dout)
         # Relative errors should be around e-8 or less
         print('Testing conv relu:')
         print('dx error: ', rel_error(dx_num, dx))
         print('dw error: ', rel_error(dw_num, dw))
         print('db error: ', rel_error(db_num, db))
```

Testing conv\_relu:

dx error: 3.5600610115232832e-09
dw error: 2.2497700915729298e-10
db error: 1.3087619975802167e-10

## Three-layer ConvNet

Now that you have implemented all the necessary layers, we can put them together into a simple convolutional network.

Open the file cs231n/classifiers/cnn.py and complete the implementation of the ThreeLayerConvNet class. Remember you can use the fast/sandwich layers (already imported for you) in your implementation. Run the following cells to help you debug:

#### Sanity check loss

After you build a new network, one of the first things you should do is sanity check the loss. When we use the softmax loss, we expect the loss for random weights (and no regularization) to be about log(C) for C classes. When we add regularization this should go up.

```
In [11]: model = ThreeLayerConvNet()

N = 50
X = np.random.randn(N, 3, 32, 32)
y = np.random.randint(10, size=N)

loss, grads = model.loss(X, y)
print('Initial loss (no regularization): ', loss)

model.reg = 0.5
loss, grads = model.loss(X, y)
print('Initial loss (with regularization): ', loss)

Initial loss (no regularization): 2.302586071243987
Initial loss (with regularization): 2.508255638232932
```

#### **Gradient check**

After the loss looks reasonable, use numeric gradient checking to make sure that your backward pass is correct. When you use numeric gradient checking you should use a small amount of artifical data and a small number of neurons at each layer. Note: correct implementations may still have relative errors up to the order of e-2.

```
In [12]: | num inputs = 2
         input dim = (3, 16, 16)
         reg = 0.0
         num classes = 10
         np.random.seed(231)
         X = np.random.randn(num inputs, *input dim)
         y = np.random.randint(num_classes, size=num_inputs)
         model = ThreeLayerConvNet(num filters=3, filter size=3,
                                    input dim=input dim, hidden dim=7,
                                    dtype=np.float64)
         loss, grads = model.loss(X, y)
         # Errors should be small, but correct implementations may have
         # relative errors up to the order of e-2
         for param name in sorted(grads):
             f = lambda : model.loss(X, y)[0]
             param_grad_num = eval_numerical_gradient(f, model.params[param_name], verb
         ose=False, h=1e-6)
             e = rel_error(param_grad_num, grads[param_name])
             print('%s max relative error: %e' % (param_name, rel_error(param_grad_num,
         grads[param name])))
         W1 max relative error: 1.380104e-04
         W2 max relative error: 1.822723e-02
         W3 max relative error: 3.064049e-04
         b1 max relative error: 3.477652e-05
         b2 max relative error: 2.516375e-03
         b3 max relative error: 7.945660e-10
```

### Overfit small data

A nice trick is to train your model with just a few training samples. You should be able to overfit small datasets, which will result in very high training accuracy and comparatively low validation accuracy.

```
(Iteration 1 / 30) loss: 2.414060
(Epoch 0 / 15) train acc: 0.200000; val acc: 0.137000
(Iteration 2 / 30) loss: 3.102925
(Epoch 1 / 15) train acc: 0.140000; val acc: 0.087000
(Iteration 3 / 30) loss: 2.270330
(Iteration 4 / 30) loss: 2.096705
(Epoch 2 / 15) train acc: 0.240000; val acc: 0.094000
(Iteration 5 / 30) loss: 1.838880
(Iteration 6 / 30) loss: 1.934188
(Epoch 3 / 15) train acc: 0.510000; val acc: 0.173000
(Iteration 7 / 30) loss: 1.827912
(Iteration 8 / 30) loss: 1.639574
(Epoch 4 / 15) train acc: 0.520000; val acc: 0.188000
(Iteration 9 / 30) loss: 1.330082
(Iteration 10 / 30) loss: 1.756115
(Epoch 5 / 15) train acc: 0.630000; val acc: 0.167000
(Iteration 11 / 30) loss: 1.024162
(Iteration 12 / 30) loss: 1.041826
(Epoch 6 / 15) train acc: 0.750000; val acc: 0.229000
(Iteration 13 / 30) loss: 1.142777
(Iteration 14 / 30) loss: 0.835706
(Epoch 7 / 15) train acc: 0.790000; val acc: 0.247000
(Iteration 15 / 30) loss: 0.587786
(Iteration 16 / 30) loss: 0.645509
(Epoch 8 / 15) train acc: 0.820000; val_acc: 0.252000
(Iteration 17 / 30) loss: 0.786844
(Iteration 18 / 30) loss: 0.467054
(Epoch 9 / 15) train acc: 0.820000; val acc: 0.178000
(Iteration 19 / 30) loss: 0.429880
(Iteration 20 / 30) loss: 0.635498
(Epoch 10 / 15) train acc: 0.900000; val_acc: 0.206000
(Iteration 21 / 30) loss: 0.365807
(Iteration 22 / 30) loss: 0.284220
(Epoch 11 / 15) train acc: 0.820000; val acc: 0.201000
(Iteration 23 / 30) loss: 0.469343
(Iteration 24 / 30) loss: 0.509369
(Epoch 12 / 15) train acc: 0.920000; val acc: 0.211000
(Iteration 25 / 30) loss: 0.111638
(Iteration 26 / 30) loss: 0.145388
(Epoch 13 / 15) train acc: 0.930000; val acc: 0.213000
(Iteration 27 / 30) loss: 0.155575
(Iteration 28 / 30) loss: 0.143398
(Epoch 14 / 15) train acc: 0.960000; val acc: 0.212000
(Iteration 29 / 30) loss: 0.158160
(Iteration 30 / 30) loss: 0.118934
(Epoch 15 / 15) train acc: 0.990000; val acc: 0.220000
```

Plotting the loss, training accuracy, and validation accuracy should show clear overfitting:

12/15/2019 ConvolutionalNetworks

```
In [14]:
          plt.subplot(2, 1, 1)
          plt.plot(solver.loss_history, 'o')
          plt.xlabel('iteration')
          plt.ylabel('loss')
          plt.subplot(2, 1, 2)
          plt.plot(solver.train_acc_history, '-o')
          plt.plot(solver.val_acc_history, '-o')
          plt.legend(['train', 'val'], loc='upper left')
          plt.xlabel('epoch')
          plt.ylabel('accuracy')
          plt.show()
             3.0
             2.5
             2.0
           %
15
             1.0
             0.5
             0.0
                                 5
                                             10
                                                         15
                                                                      20
                                                                                               30
                                                      iteration
             1.0
                      train
             0.8
           accuracy
             0.6
             0.4
```

### Train the net

By training the three-layer convolutional network for one epoch, you should achieve greater than 40% accuracy on the training set:

10

epoch

12

14

0.2

```
(Iteration 1 / 980) loss: 2.304740
(Epoch 0 / 1) train acc: 0.103000; val acc: 0.107000
(Iteration 21 / 980) loss: 2.098229
(Iteration 41 / 980) loss: 1.949788
(Iteration 61 / 980) loss: 1.888398
(Iteration 81 / 980) loss: 1.877093
(Iteration 101 / 980) loss: 1.851877
(Iteration 121 / 980) loss: 1.859353
(Iteration 141 / 980) loss: 1.800181
(Iteration 161 / 980) loss: 2.143292
(Iteration 181 / 980) loss: 1.830573
(Iteration 201 / 980) loss: 2.037280
(Iteration 221 / 980) loss: 2.020304
(Iteration 241 / 980) loss: 1.823728
(Iteration 261 / 980) loss: 1.692679
(Iteration 281 / 980) loss: 1.882594
(Iteration 301 / 980) loss: 1.798261
(Iteration 321 / 980) loss: 1.851960
(Iteration 341 / 980) loss: 1.716323
(Iteration 361 / 980) loss: 1.897655
(Iteration 381 / 980) loss: 1.319744
(Iteration 401 / 980) loss: 1.738790
(Iteration 421 / 980) loss: 1.488866
(Iteration 441 / 980) loss: 1.718409
(Iteration 461 / 980) loss: 1.744440
(Iteration 481 / 980) loss: 1.605460
(Iteration 501 / 980) loss: 1.494847
(Iteration 521 / 980) loss: 1.835179
(Iteration 541 / 980) loss: 1.483923
(Iteration 561 / 980) loss: 1.676871
(Iteration 581 / 980) loss: 1.438325
(Iteration 601 / 980) loss: 1.443469
(Iteration 621 / 980) loss: 1.529369
(Iteration 641 / 980) loss: 1.763475
(Iteration 661 / 980) loss: 1.790329
(Iteration 681 / 980) loss: 1.693343
(Iteration 701 / 980) loss: 1.637078
(Iteration 721 / 980) loss: 1.644564
(Iteration 741 / 980) loss: 1.708919
(Iteration 761 / 980) loss: 1.494252
(Iteration 781 / 980) loss: 1.901751
(Iteration 801 / 980) loss: 1.898991
(Iteration 821 / 980) loss: 1.489988
(Iteration 841 / 980) loss: 1.377615
(Iteration 861 / 980) loss: 1.763751
(Iteration 881 / 980) loss: 1.540284
(Iteration 901 / 980) loss: 1.525582
(Iteration 921 / 980) loss: 1.674166
(Iteration 941 / 980) loss: 1.714316
(Iteration 961 / 980) loss: 1.534668
(Epoch 1 / 1) train acc: 0.504000; val acc: 0.499000
```

#### **Visualize Filters**

You can visualize the first-layer convolutional filters from the trained network by running the following:

```
In [16]: from cs231n.vis_utils import visualize_grid

grid = visualize_grid(model.params['W1'].transpose(0, 2, 3, 1))
 plt.imshow(grid.astype('uint8'))
 plt.axis('off')
 plt.gcf().set_size_inches(5, 5)
 plt.show()
```



# **Spatial Batch Normalization**

We already saw that batch normalization is a very useful technique for training deep fully-connected networks. As proposed in the original paper [3], batch normalization can also be used for convolutional networks, but we need to tweak it a bit; the modification will be called "spatial batch normalization."

Normally batch-normalization accepts inputs of shape (N, D) and produces outputs of shape (N, D), where we normalize across the minibatch dimension N. For data coming from convolutional layers, batch normalization needs to accept inputs of shape (N, C, H, W) and produce outputs of shape (N, C, H, W) where the N dimension gives the minibatch size and the (H, W) dimensions give the spatial size of the feature map.

If the feature map was produced using convolutions, then we expect the statistics of each feature channel to be relatively consistent both between different images and different locations within the same image. Therefore spatial batch normalization computes a mean and variance for each of the C feature channels by computing statistics over both the minibatch dimension N and the spatial dimensions H and W.

[3] <u>Sergey Ioffe and Christian Szegedy, "Batch Normalization: Accelerating Deep Network Training by Reducing Internal Covariate Shift", ICML 2015. (https://arxiv.org/abs/1502.03167)</u>

#### Spatial batch normalization: forward

In the file cs231n/layers.py , implement the forward pass for spatial batch normalization in the function spatial\_batchnorm\_forward . Check your implementation by running the following:

```
In [17]: | np.random.seed(231)
         # Check the training-time forward pass by checking means and variances
         # of features both before and after spatial batch normalization
         N, C, H, W = 2, 3, 4, 5
         x = 4 * np.random.randn(N, C, H, W) + 10
         print('Before spatial batch normalization:')
         print(' Shape: ', x.shape)
         print(' Means: ', x.mean(axis=(0, 2, 3)))
         print(' Stds: ', x.std(axis=(0, 2, 3)))
         # Means should be close to zero and stds close to one
         gamma, beta = np.ones(C), np.zeros(C)
         bn param = {'mode': 'train'}
         out, _ = spatial_batchnorm_forward(x, gamma, beta, bn_param)
         print('After spatial batch normalization:')
         print(' Shape: ', out.shape)
         print(' Means: ', out.mean(axis=(0, 2, 3)))
         print(' Stds: ', out.std(axis=(0, 2, 3)))
         # Means should be close to beta and stds close to gamma
         gamma, beta = np.asarray([3, 4, 5]), np.asarray([6, 7, 8])
         out, _ = spatial_batchnorm_forward(x, gamma, beta, bn_param)
         print('After spatial batch normalization (nontrivial gamma, beta):')
         print(' Shape: ', out.shape)
         print(' Means: ', out.mean(axis=(0, 2, 3)))
         print(' Stds: ', out.std(axis=(0, 2, 3)))
         Before spatial batch normalization:
           Shape: (2, 3, 4, 5)
           Means: [9.33463814 8.90909116 9.11056338]
           Stds: [3.61447857 3.19347686 3.5168142 ]
         After spatial batch normalization:
           Shape: (2, 3, 4, 5)
           Means: [ 5.85642645e-16 5.93969318e-16 -8.88178420e-17]
           Stds: [0.99999962 0.99999951 0.9999996 ]
         After spatial batch normalization (nontrivial gamma, beta):
           Shape: (2, 3, 4, 5)
```

Means: [6. 7. 8.]

Stds: [2.99999885 3.99999804 4.99999798]

```
In [18]: | np.random.seed(231)
         # Check the test-time forward pass by running the training-time
         # forward pass many times to warm up the running averages, and then
         # checking the means and variances of activations after a test-time
         # forward pass.
         N, C, H, W = 10, 4, 11, 12
         bn param = {'mode': 'train'}
         gamma = np.ones(C)
         beta = np.zeros(C)
         for t in range(50):
           x = 2.3 * np.random.randn(N, C, H, W) + 13
           spatial_batchnorm_forward(x, gamma, beta, bn_param)
         bn param['mode'] = 'test'
         x = 2.3 * np.random.randn(N, C, H, W) + 13
         a_norm, _ = spatial_batchnorm_forward(x, gamma, beta, bn_param)
         # Means should be close to zero and stds close to one, but will be
         # noisier than training-time forward passes.
         print('After spatial batch normalization (test-time):')
         print(' means: ', a_norm.mean(axis=(0, 2, 3)))
         print(' stds: ', a_norm.std(axis=(0, 2, 3)))
```

After spatial batch normalization (test-time):
means: [-0.08034406 0.07562881 0.05716371 0.04378383]
stds: [0.96718744 1.0299714 1.02887624 1.00585577]

#### Spatial batch normalization: backward

In the file cs231n/layers.py , implement the backward pass for spatial batch normalization in the function spatial\_batchnorm\_backward . Run the following to check your implementation using a numeric gradient check:

```
In [19]: | np.random.seed(231)
         N, C, H, W = 2, 3, 4, 5
         x = 5 * np.random.randn(N, C, H, W) + 12
         gamma = np.random.randn(C)
         beta = np.random.randn(C)
         dout = np.random.randn(N, C, H, W)
         bn param = {'mode': 'train'}
         fx = lambda x: spatial_batchnorm_forward(x, gamma, beta, bn_param)[0]
         fg = lambda a: spatial_batchnorm_forward(x, gamma, beta, bn_param)[0]
         fb = lambda b: spatial batchnorm forward(x, gamma, beta, bn param)[0]
         dx_num = eval_numerical_gradient_array(fx, x, dout)
         da num = eval numerical gradient array(fg, gamma, dout)
         db num = eval numerical gradient array(fb, beta, dout)
         #You should expect errors of magnitudes between 1e-12~1e-06
         _, cache = spatial_batchnorm_forward(x, gamma, beta, bn_param)
         dx, dgamma, dbeta = spatial_batchnorm_backward(dout, cache)
         print('dx error: ', rel error(dx num, dx))
         print('dgamma error: ', rel_error(da_num, dgamma))
         print('dbeta error: ', rel_error(db_num, dbeta))
```

dx error: 3.083846820796372e-07
dgamma error: 7.09738489671469e-12
dbeta error: 3.275608725278405e-12

### **Group Normalization**

In the previous notebook, we mentioned that Layer Normalization is an alternative normalization technique that mitigates the batch size limitations of Batch Normalization. However, as the authors of [4] observed, Layer Normalization does not perform as well as Batch Normalization when used with Convolutional Layers:

With fully connected layers, all the hidden units in a layer tend to make similar contributions to the final prediction, and re-centering and rescaling the summed inputs to a layer works well. However, the assumption of similar contributions is no longer true for convolutional neural networks. The large number of the hidden units whose receptive fields lie near the boundary of the image are rarely turned on and thus have very different statistics from the rest of the hidden units within the same layer.

The authors of [5] propose an intermediary technique. In contrast to Layer Normalization, where you normalize over the entire feature per-datapoint, they suggest a consistent splitting of each per-datapoint feature into G groups, and a per-group per-datapoint normalization instead.

Comparison of normalization techniques discussed so far

\*\*Visual comparison of the normalization techniques discussed so far (image edited from [5])\*\*

Even though an assumption of equal contribution is still being made within each group, the authors hypothesize that this is not as problematic, as innate grouping arises within features for visual recognition. One example they use to illustrate this is that many high-performance handcrafted features in traditional Computer Vision have terms that are explicitly grouped together. Take for example Histogram of Oriented Gradients [6]-- after computing histograms per spatially local block, each per-block histogram is normalized before being concatenated together to form the final feature vector.

You will now implement Group Normalization. Note that this normalization technique that you are to implement in the following cells was introduced and published to arXiv *less than a month ago* -- this truly is still an ongoing and excitingly active field of research!

[4] <u>Ba, Jimmy Lei, Jamie Ryan Kiros, and Geoffrey E. Hinton. "Layer Normalization." stat 1050 (2016): 21. (https://arxiv.org/pdf/1607.06450.pdf)</u>

[5] Wu, Yuxin, and Kaiming He. "Group Normalization." arXiv preprint arXiv:1803.08494 (2018). (https://arxiv.org/abs/1803.08494)

[6] N. Dalal and B. Triggs. Histograms of oriented gradients for human detection. In Computer Vision and Pattern Recognition (CVPR), 2005. (https://ieeexplore.ieee.org/abstract/document/1467360/)

### **Group normalization: forward**

In the file cs231n/layers.py , implement the forward pass for group normalization in the function spatial\_groupnorm\_forward . Check your implementation by running the following:

```
In [20]: | np.random.seed(231)
         # Check the training-time forward pass by checking means and variances
         # of features both before and after spatial batch normalization
         N, C, H, W = 2, 6, 4, 5
         G = 2
         x = 4 * np.random.randn(N, C, H, W) + 10
         x g = x.reshape((N*G, -1))
         print('Before spatial group normalization:')
         print(' Shape: ', x.shape)
         print(' Means: ', x_g.mean(axis=1))
         print(' Stds: ', x_g.std(axis=1))
         # Means should be close to zero and stds close to one
         gamma, beta = np.ones((1,C,1,1)), np.zeros((1,C,1,1))
         bn_param = {'mode': 'train'}
         out, _ = spatial_groupnorm_forward(x, gamma, beta, G, bn_param)
         out_g = out.reshape((N*G,-1))
         print('After spatial group normalization:')
         print(' Shape: ', out.shape)
                  Means: ', out_g.mean(axis=1))
         print('
         print(' Stds: ', out g.std(axis=1))
         Before spatial group normalization:
           Shape: (2, 6, 4, 5)
           Means: [9.72505327 8.51114185 8.9147544 9.43448077]
           Stds: [3.67070958 3.09892597 4.27043622 3.97521327]
         After spatial group normalization:
           Shape: (1, 1, 1, 2, 6, 4, 5)
           Means: [-2.14643118e-16 5.25505565e-16 2.58126853e-16 -3.62672855e-16]
           Stds: [0.99999963 0.999999948 0.999999973 0.999999968]
```

#### Spatial group normalization: backward

In the file cs231n/layers.py , implement the backward pass for spatial batch normalization in the function spatial\_groupnorm\_backward . Run the following to check your implementation using a numeric gradient check:

```
In [21]: | np.random.seed(231)
         N, C, H, W = 2, 6, 4, 5
         G = 2
         x = 5 * np.random.randn(N, C, H, W) + 12
         gamma = np.random.randn(1,C,1,1)
         beta = np.random.randn(1,C,1,1)
         dout = np.random.randn(N, C, H, W)
         gn param = \{\}
         fx = lambda x: spatial_groupnorm_forward(x, gamma, beta, G, gn_param)[0]
         fg = lambda a: spatial groupnorm forward(x, gamma, beta, G, gn param)[0]
         fb = lambda b: spatial_groupnorm_forward(x, gamma, beta, G, gn_param)[∅]
         dx num = eval numerical gradient array(fx, x, dout)
         da num = eval numerical gradient array(fg, gamma, dout)
         db_num = eval_numerical_gradient_array(fb, beta, dout)
         _, cache = spatial_groupnorm_forward(x, gamma, beta, G, gn_param)
         dx, dgamma, dbeta = spatial_groupnorm_backward(dout, cache)
         #You should expect errors of magnitudes between 1e-12~1e-07
         print('dx error: ', rel_error(dx_num, dx))
         print('dgamma error: ', rel_error(da_num, dgamma))
         print('dbeta error: ', rel error(db num, dbeta))
```

dx error: 6.34590431845254e-08 dgamma error: 1.0546047434202244e-11 dbeta error: 3.810857316122484e-12

#### **Comments**

I created a separate environment for cs231n. After that I installed several requirements from the requirements.txt file. Then the Cython was built which is used for fast layers implementation of conv NNs. After that the code was ready to run. I ran the code and made observations along the way as can be seen in the output of each cell.

- Naive forward pass
- Filters for grayscale and edge detection are created and their outputs are visualized. As the name implies
  the grayscale filter converts the image to grayscale and the edge detection filters gives highlights the edges.
- · Naive backward
- · Max pooling naive forward
- · Max pooling naive backward
- Fast layers implementation:
  - Without max pool: It can be seen the fast layers implementation is significantly faster than the naive one.
    - Testing conv forward fast: Speedup : 602.335425x
    - Testing conv\_backward\_fast: Speedup: 496.597959x
  - With max pool: Again faster
    - Testing pool forward fast: speedup: 80.180397x
    - Testing pool\_backward\_fast: speedup: 30.840307x
- · Sandwich layers implementation
- · Three layer conv net:
  - Sanity check loss: Loss is almost equal to In(10) for 10 classes without regularization and increases with regularization
- Gradient check: The gradients are small and in the order of 10<sup>^</sup>-2 which is fine
- Overfit small data: Shows that the network works fine with 99% accuracy on training and 22% on validation data which shows overfitting which can be verified by the graphs too
- Train the network: The network achieves 50.2% accuracy on the training and 49.9% on the validation data after one epoch of training
- Visualize filters: It can be seen that the learnt filters have some patterns and can detect those in real iamge data
- Spatial batch normalization:
  - Before spatial batch normalization: (Arbitrary means and std)

```
Means: [9.33463814 8.90909116 9.11056338]
Stds: [3.61447857 3.19347686 3.5168142 ]
```

After spatial batch normalization: (0 mean and 1 std)

```
Means: [ 5.85642645e-16 5.93969318e-16 -8.88178420e-17] Stds: [0.99999962 0.999999951 0.9999996 ]
```

 After spatial batch normalization forward (nontrivial gamma, beta): Desired means and stds are obtained

```
Means: [6. 7. 8.]
Stds: [2.99999885 3.99999804 4.99999798]
```

After spatial batch normalization forward (test-time): (means close to 0 and std close to 1)

```
means: [-0.08034406 0.07562881 0.05716371 0.04378383] stds: [0.96718744 1.0299714 1.02887624 1.00585577]
```

In I I	•	
[ ]·	•	

# What's this PyTorch business?

You've written a lot of code in this assignment to provide a whole host of neural network functionality. Dropout, Batch Norm, and 2D convolutions are some of the workhorses of deep learning in computer vision. You've also worked hard to make your code efficient and vectorized.

For the last part of this assignment, though, we're going to leave behind your beautiful codebase and instead migrate to one of two popular deep learning frameworks: in this instance, PyTorch (or TensorFlow, if you switch over to that notebook).

### What is PyTorch?

PyTorch is a system for executing dynamic computational graphs over Tensor objects that behave similarly as numpy ndarray. It comes with a powerful automatic differentiation engine that removes the need for manual back-propagation.

#### Why?

- Our code will now run on GPUs! Much faster training. When using a framework like PyTorch or TensorFlow
  you can harness the power of the GPU for your own custom neural network architectures without having to
  write CUDA code directly (which is beyond the scope of this class).
- We want you to be ready to use one of these frameworks for your project so you can experiment more
  efficiently than if you were writing every feature you want to use by hand.
- We want you to stand on the shoulders of giants! TensorFlow and PyTorch are both excellent frameworks that will make your lives a lot easier, and now that you understand their guts, you are free to use them:)
- We want you to be exposed to the sort of deep learning code you might run into in academia or industry.

# **PyTorch versions**

This notebook assumes that you are using **PyTorch version 0.4**. Prior to this version, Tensors had to be wrapped in Variable objects to be used in autograd; however Variables have now been deprecated. In addition 0.4 also separates a Tensor's datatype from its device, and uses numpy-style factories for constructing Tensors rather than directly invoking Tensor constructors.

# **How will I learn PyTorch?**

Justin Johnson has made an excellent tutorial (https://github.com/jcjohnson/pytorch-examples) for PyTorch.

You can also find the detailed <u>API doc (http://pytorch.org/docs/stable/index.html)</u> here. If you have other questions that are not addressed by the API docs, the <u>PyTorch forum (https://discuss.pytorch.org/)</u> is a much better place to ask than StackOverflow.

# **Table of Contents**

This assignment has 5 parts. You will learn PyTorch on different levels of abstractions, which will help you understand it better and prepare you for the final project.

- 1. Preparation: we will use CIFAR-10 dataset.
- 2. Barebones PyTorch: we will work directly with the lowest-level PyTorch Tensors.
- 3. PyTorch Module API: we will use nn.Module to define arbitrary neural network architecture.
- 4. PyTorch Sequential API: we will use nn.Sequential to define a linear feed-forward network very conveniently.
- 5. CIFAR-10 open-ended challenge: please implement your own network to get as high accuracy as possible on CIFAR-10. You can experiment with any layer, optimizer, hyperparameters or other advanced features.

Here is a table of comparison:

	API	Flexibility	Convenience
b	one	High	Low
ı	ule	High	Medium
- :	ial	Low	Hiah

# Part I. Preparation

First, we load the CIFAR-10 dataset. This might take a couple minutes the first time you do it, but the files should stay cached after that.

In previous parts of the assignment we had to write our own code to download the CIFAR-10 dataset, preprocess it, and iterate through it in minibatches; PyTorch provides convenient tools to automate this process for us.

```
In [1]: import torch
import torch.nn as nn
import torch.optim as optim
from torch.utils.data import DataLoader
from torch.utils.data import sampler

import torchvision.datasets as dset
import torchvision.transforms as T
import numpy as np
```

```
In [2]:
        NUM TRAIN = 49000
        # The torchvision.transforms package provides tools for preprocessing data
        # and for performing data augmentation; here we set up a transform to
        # preprocess the data by subtracting the mean RGB value and dividing by the
        # standard deviation of each RGB value; we've hardcoded the mean and std.
        transform = T.Compose([
                        T.ToTensor(),
                        T.Normalize((0.4914, 0.4822, 0.4465), (0.2023, 0.1994, 0.2010
        ))
                    ])
        # We set up a Dataset object for each split (train / val / test); Datasets loa
        # training examples one at a time, so we wrap each Dataset in a DataLoader whi
        ch
        # iterates through the Dataset and forms minibatches. We divide the CIFAR-10
        # training set into train and val sets by passing a Sampler object to the
        # DataLoader telling how it should sample from the underlying Dataset.
        cifar10_train = dset.CIFAR10('./cs231n/datasets', train=True, download=True,
                                      transform=transform)
        loader train = DataLoader(cifar10 train, batch size=64,
                                   sampler=sampler.SubsetRandomSampler(range(NUM TRAIN
        )))
        cifar10_val = dset.CIFAR10('./cs231n/datasets', train=True, download=True,
                                    transform=transform)
        loader_val = DataLoader(cifar10_val, batch_size=64,
                                 sampler=sampler.SubsetRandomSampler(range(NUM TRAIN, 5
        0000)))
        cifar10_test = dset.CIFAR10('./cs231n/datasets', train=False, download=True,
                                     transform=transform)
        loader test = DataLoader(cifar10 test, batch size=64)
```

Files already downloaded and verified Files already downloaded and verified Files already downloaded and verified

You have an option to **use GPU by setting the flag to True below**. It is not necessary to use GPU for this assignment. Note that if your computer does not have CUDA enabled, torch.cuda.is\_available() will return False and this notebook will fallback to CPU mode.

The global variables dtype and device will control the data types throughout this assignment.

```
In [3]: USE_GPU = True

dtype = torch.float32 # we will be using float throughout this tutorial

if USE_GPU and torch.cuda.is_available():
    device = torch.device('cuda')

else:
    device = torch.device('cpu')

# Constant to control how frequently we print train loss
print_every = 100

print('using device:', device)
```

using device: cuda

# Part II. Barebones PyTorch

PyTorch ships with high-level APIs to help us define model architectures conveniently, which we will cover in Part II of this tutorial. In this section, we will start with the barebone PyTorch elements to understand the autograd engine better. After this exercise, you will come to appreciate the high-level model API more.

We will start with a simple fully-connected ReLU network with two hidden layers and no biases for CIFAR classification. This implementation computes the forward pass using operations on PyTorch Tensors, and uses PyTorch autograd to compute gradients. It is important that you understand every line, because you will write a harder version after the example.

When we create a PyTorch Tensor with requires\_grad=True, then operations involving that Tensor will not just compute values; they will also build up a computational graph in the background, allowing us to easily backpropagate through the graph to compute gradients of some Tensors with respect to a downstream loss. Concretely if x is a Tensor with x.requires\_grad == True then after backpropagation x.grad will be another Tensor holding the gradient of x with respect to the scalar loss at the end.

### **PyTorch Tensors: Flatten Function**

A PyTorch Tensor is conceptionally similar to a numpy array: it is an n-dimensional grid of numbers, and like numpy PyTorch provides many functions to efficiently operate on Tensors. As a simple example, we provide a flatten function below which reshapes image data for use in a fully-connected neural network.

Recall that image data is typically stored in a Tensor of shape N x C x H x W, where:

- · N is the number of datapoints
- · C is the number of channels
- · H is the height of the intermediate feature map in pixels
- · W is the height of the intermediate feature map in pixels

This is the right way to represent the data when we are doing something like a 2D convolution, that needs spatial understanding of where the intermediate features are relative to each other. When we use fully connected affine layers to process the image, however, we want each datapoint to be represented by a single vector -- it's no longer useful to segregate the different channels, rows, and columns of the data. So, we use a "flatten" operation to collapse the C x H x W values per representation into a single long vector. The flatten function below first reads in the N, C, H, and W values from a given batch of data, and then returns a "view" of that data. "View" is analogous to numpy's "reshape" method: it reshapes x's dimensions to be N x ??, where ?? is allowed to be anything (in this case, it will be C x H x W, but we don't need to specify that explicitly).

```
In [4]: def flatten(x):
            N = x.shape[0] # read in N, C, H, W
            return x.view(N, -1) # "flatten" the C * H * W values into a single vecto
        r per image
        def test flatten():
            x = torch.arange(12).view(2, 1, 3, 2)
            print('Before flattening: ', x)
            print('After flattening: ', flatten(x))
        test flatten()
        Before flattening: tensor([[[[ 0, 1],
                  [ 2, 3],
                  [4, 5]]],
                [[[6, 7],
                  [8, 9],
                  [10, 11]]])
        After flattening: tensor([[0, 1, 2, 3, 4, 5],
                [ 6, 7, 8, 9, 10, 11]])
```

### **Barebones PyTorch: Two-Layer Network**

Here we define a function two\_layer\_fc which performs the forward pass of a two-layer fully-connected ReLU network on a batch of image data. After defining the forward pass we check that it doesn't crash and that it produces outputs of the right shape by running zeros through the network.

You don't have to write any code here, but it's important that you read and understand the implementation.

```
In [5]: import torch.nn.functional as F # useful stateless functions
        def two_layer_fc(x, params):
            A fully-connected neural networks; the architecture is:
            NN is fully connected -> ReLU -> fully connected layer.
            Note that this function only defines the forward pass;
            PyTorch will take care of the backward pass for us.
            The input to the network will be a minibatch of data, of shape
            (N, d1, \ldots, dM) where d1 * \ldots * dM = D. The hidden layer will have H uni
        ts,
            and the output layer will produce scores for C classes.
            Inputs:
            - x: A PyTorch Tensor of shape (N, d1, ..., dM) giving a minibatch of
              input data.
            - params: A list [w1, w2] of PyTorch Tensors giving weights for the networ
        k;
              w1 has shape (D, H) and w2 has shape (H, C).
            Returns:
            - scores: A PyTorch Tensor of shape (N, C) giving classification scores fo
              the input data x.
            # first we flatten the image
            x = flatten(x) # shape: [batch_size, C x H x W]
            w1, w2 = params
            # Forward pass: compute predicted y using operations on Tensors. Since w1
         and
            # w2 have requires grad=True, operations involving these Tensors will caus
            # PyTorch to build a computational graph, allowing automatic computation o
            # gradients. Since we are no longer implementing the backward pass by hand
        we
            # don't need to keep references to intermediate values.
            # you can also use `.clamp(min=0)`, equivalent to F.relu()
            x = F.relu(x.mm(w1))
            x = x.mm(w2)
            return x
        def two_layer_fc_test():
            hidden layer size = 42
            x = torch.zeros((64, 50), dtype=dtype) # minibatch size 64, feature dimen
        sion 50
            w1 = torch.zeros((50, hidden layer size), dtype=dtype)
            w2 = torch.zeros((hidden layer size, 10), dtype=dtype)
            scores = two_layer_fc(x, [w1, w2])
            print(scores.size()) # you should see [64, 10]
        two layer fc test()
```

torch.Size([64, 10])

### **Barebones PyTorch: Three-Layer ConvNet**

Here you will complete the implementation of the function <code>three\_layer\_convnet</code>, which will perform the forward pass of a three-layer convolutional network. Like above, we can immediately test our implementation by passing zeros through the network. The network should have the following architecture:

- 1. A convolutional layer (with bias) with channel\_1 filters, each with shape KW1 x KH1, and zero-padding of two
- 2. ReLU nonlinearity
- 3. A convolutional layer (with bias) with channel\_2 filters, each with shape KW2 x KH2, and zero-padding of one
- 4. ReLU nonlinearity
- 5. Fully-connected layer with bias, producing scores for C classes.

**HINT**: For convolutions: <a href="http://pytorch.org/docs/stable/nn.html#torch.nn.functional.conv2d">http://pytorch.org/docs/stable/nn.html#torch.org/docs/stable/nn.html#torch.nn.functional.conv2d</a>); pay attention to the shapes of convolutional filters!

```
In [6]:
      def three layer convnet(x, params):
          Performs the forward pass of a three-layer convolutional network with the
          architecture defined above.
          Inputs:
          - x: A PyTorch Tensor of shape (N, 3, H, W) giving a minibatch of images
          - params: A list of PyTorch Tensors giving the weights and biases for the
            network; should contain the following:
            - conv_w1: PyTorch Tensor of shape (channel_1, 3, KH1, KW1) giving weigh
       ts
             for the first convolutional layer
            - conv_b1: PyTorch Tensor of shape (channel_1,) giving biases for the fi
       rst
             convolutional layer
            - conv_w2: PyTorch Tensor of shape (channel_2, channel_1, KH2, KW2) givi
       ng
             weights for the second convolutional layer
            - conv_b2: PyTorch Tensor of shape (channel_2,) giving biases for the se
       cond
             convolutional layer
            - fc_w: PyTorch Tensor giving weights for the fully-connected layer. Can
       you
             figure out what the shape should be?
            - fc b: PyTorch Tensor giving biases for the fully-connected layer. Can
        you
             figure out what the shape should be?
          Returns:
          - scores: PyTorch Tensor of shape (N, C) giving classification scores for
        X
          conv w1, conv b1, conv w2, conv b2, fc w, fc b = params
          scores = None
          ######
          # TODO: Implement the forward pass for the three-layer ConvNet.
          ######
          conv1 = F.conv2d(x, weight=conv w1, bias=conv b1, padding=2)
          relu1 = F.relu(conv1)
          conv2 = F.conv2d(relu1, weight=conv w2, bias=conv b2, padding=1)
          relu2 = F.relu(conv2)
          relu2 flat = flatten(relu2)
          scores = relu2_flat.mm(fc_w) + fc_b
          ######
          #
                                       END OF YOUR CODE
          ######
          return scores
```

After defining the forward pass of the ConvNet above, run the following cell to test your implementation.

When you run this function, scores should have shape (64, 10).

```
In [7]: def three layer convnet test():
             x = \text{torch.zeros}((64, 3, 32, 32), \text{ dtype=dtype}) \# \text{minibatch size 64, image}
         size [3, 32, 32]
             conv_w1 = torch.zeros((6, 3, 5, 5), dtype=dtype) # [out_channel, in_chann
         el, kernel H, kernel W]
             conv_b1 = torch.zeros((6,)) # out_channel
             conv_w2 = torch.zeros((9, 6, 3, 3), dtype=dtype) # [out_channel, in_chann
         el, kernel H, kernel W]
             conv b2 = torch.zeros((9,)) # out channel
             # you must calculate the shape of the tensor after two conv layers, before
         the fully-connected layer
             fc_w = torch.zeros((9 * 32 * 32, 10))
             fc b = torch.zeros(10)
             scores = three_layer_convnet(x, [conv_w1, conv_b1, conv_w2, conv_b2, fc_w,
             print(scores.size()) # you should see [64, 10]
         three_layer_convnet_test()
        torch.Size([64, 10])
```

## **Barebones PyTorch: Initialization**

Let's write a couple utility methods to initialize the weight matrices for our models.

- random\_weight(shape) initializes a weight tensor with the Kaiming normalization method.
- zero\_weight(shape) initializes a weight tensor with all zeros. Useful for instantiating bias parameters.

The random weight function uses the Kaiming normal initialization method, described in:

He et al, *Delving Deep into Rectifiers: Surpassing Human-Level Performance on ImageNet Classification*, ICCV 2015, https://arxiv.org/abs/1502.01852 (https://arxiv.org/abs/1502.01852)

```
In [8]:
        def random weight(shape):
            Create random Tensors for weights; setting requires grad=True means that w
            want to compute gradients for these Tensors during the backward pass.
            We use Kaiming normalization: sqrt(2 / fan_in)
            if len(shape) == 2: # FC weight
                fan in = shape[0]
            else:
                fan in = np.prod(shape[1:]) # conv weight [out channel, in channel, k
        H, kW1
            # randn is standard normal distribution generator.
            w = torch.randn(shape, device=device, dtype=dtype) * np.sqrt(2. / fan in)
            w.requires grad = True
            return w
        def zero weight(shape):
            return torch.zeros(shape, device=device, dtype=dtype, requires grad=True)
        # create a weight of shape [3 \times 5]
        # you should see the type `torch.cuda.FloatTensor` if you use GPU.
        # Otherwise it should be `torch.FloatTensor`
        random_weight((3, 5))
Out[8]: tensor([[ 0.0569, -1.0300, 0.0631, 0.7757, -0.4663],
                                    0.6148, -0.4764, -0.0597,
                [-0.1739, 0.0430,
                [-0.4246, -0.5188,
                                    1.7372, 0.1569, 0.4756]], device='cuda:0',
               requires grad=True)
```

# **Barebones PyTorch: Check Accuracy**

When training the model we will use the following function to check the accuracy of our model on the training or validation sets.

When checking accuracy we don't need to compute any gradients; as a result we don't need PyTorch to build a computational graph for us when we compute scores. To prevent a graph from being built we scope our computation under a torch.no grad() context manager.

```
In [9]:
        def check accuracy part2(loader, model fn, params):
            Check the accuracy of a classification model.
            Inputs:
            - loader: A DataLoader for the data split we want to check
            - model fn: A function that performs the forward pass of the model,
              with the signature scores = model fn(x, params)
            - params: List of PyTorch Tensors giving parameters of the model
            Returns: Nothing, but prints the accuracy of the model
            split = 'val' if loader.dataset.train else 'test'
            print('Checking accuracy on the %s set' % split)
            num correct, num samples = 0, 0
            with torch.no_grad():
                for x, y in loader:
                     x = x.to(device=device, dtype=dtype) # move to device, e.g. GPU
                     y = y.to(device=device, dtype=torch.int64)
                     scores = model fn(x, params)
                     _, preds = scores.max(1)
                     num_correct += (preds == y).sum()
                    num samples += preds.size(0)
                 acc = float(num correct) / num samples
                 print('Got %d / %d correct (%.2f%%)' % (num_correct, num_samples, 100
        * acc))
```

### **BareBones PyTorch: Training Loop**

We can now set up a basic training loop to train our network. We will train the model using stochastic gradient descent without momentum. We will use torch.functional.cross\_entropy to compute the loss; you can read about it here (http://pytorch.org/docs/stable/nn.html#cross-entropy).

The training loop takes as input the neural network function, a list of initialized parameters ( [w1, w2] in our example), and learning rate.

```
In [10]:
         def train part2(model fn, params, learning rate):
             Train a model on CIFAR-10.
             Inputs:
             - model_fn: A Python function that performs the forward pass of the model.
               It should have the signature scores = model fn(x, params) where x is a
               PyTorch Tensor of image data, params is a list of PyTorch Tensors giving
               model weights, and scores is a PyTorch Tensor of shape (N, C) giving
               scores for the elements in x.
             - params: List of PyTorch Tensors giving weights for the model
             - learning_rate: Python scalar giving the learning rate to use for SGD
             Returns: Nothing
             for t, (x, y) in enumerate(loader_train):
                 # Move the data to the proper device (GPU or CPU)
                 x = x.to(device=device, dtype=dtype)
                 y = y.to(device=device, dtype=torch.long)
                 # Forward pass: compute scores and loss
                 scores = model_fn(x, params)
                 loss = F.cross entropy(scores, y)
                 # Backward pass: PyTorch figures out which Tensors in the computationa
                 # graph has requires grad=True and uses backpropagation to compute the
                 # gradient of the loss with respect to these Tensors, and stores the
                 # gradients in the .grad attribute of each Tensor.
                 loss.backward()
                 # Update parameters. We don't want to backpropagate through the
                 # parameter updates, so we scope the updates under a torch.no grad()
                 # context manager to prevent a computational graph from being built.
                 with torch.no_grad():
                     for w in params:
                         w -= learning rate * w.grad
                         # Manually zero the gradients after running the backward pass
                         w.grad.zero ()
                 if t % print every == 0:
                     print('Iteration %d, loss = %.4f' % (t, loss.item()))
                     check accuracy part2(loader val, model fn, params)
                     print()
```

### **BareBones PyTorch: Train a Two-Layer Network**

Now we are ready to run the training loop. We need to explicitly allocate tensors for the fully connected weights, w1 and w2.

Each minibatch of CIFAR has 64 examples, so the tensor shape is [64, 3, 32, 32].

After flattening, x shape should be [64, 3 \* 32 \* 32]. This will be the size of the first dimension of w1. The second dimension of w1 is the hidden layer size, which will also be the first dimension of w2.

Finally, the output of the network is a 10-dimensional vector that represents the probability distribution over 10 classes.

You don't need to tune any hyperparameters but you should see accuracies above 40% after training for one epoch.

```
In [11]:
         hidden layer size = 4000
         learning_rate = 1e-2
         w1 = random \ weight((3 * 32 * 32, \ hidden \ layer \ size))
         w2 = random_weight((hidden_layer_size, 10))
         train_part2(two_layer_fc, [w1, w2], learning_rate)
         Iteration 0, loss = 3.0076
         Checking accuracy on the val set
         Got 182 / 1000 correct (18.20%)
         Iteration 100, loss = 2.3763
         Checking accuracy on the val set
         Got 308 / 1000 correct (30.80%)
         Iteration 200, loss = 1.7362
         Checking accuracy on the val set
         Got 370 / 1000 correct (37.00%)
         Iteration 300, loss = 2.1364
         Checking accuracy on the val set
         Got 366 / 1000 correct (36.60%)
         Iteration 400, loss = 1.7328
         Checking accuracy on the val set
         Got 391 / 1000 correct (39.10%)
         Iteration 500, loss = 1.5986
         Checking accuracy on the val set
         Got 413 / 1000 correct (41.30%)
         Iteration 600, loss = 1.8272
         Checking accuracy on the val set
         Got 420 / 1000 correct (42.00%)
         Iteration 700, loss = 1.5197
         Checking accuracy on the val set
```

Got 472 / 1000 correct (47.20%)

### **BareBones PyTorch: Training a ConvNet**

In the below you should use the functions defined above to train a three-layer convolutional network on CIFAR. The network should have the following architecture:

- 1. Convolutional layer (with bias) with 32 5x5 filters, with zero-padding of 2
- ReLl
- 3. Convolutional layer (with bias) with 16 3x3 filters, with zero-padding of 1
- 4. ReLU
- 5. Fully-connected layer (with bias) to compute scores for 10 classes

You should initialize your weight matrices using the random\_weight function defined above, and you should initialize your bias vectors using the zero weight function above.

You don't need to tune any hyperparameters, but if everything works correctly you should achieve an accuracy above 42% after one epoch.

```
In [12]: learning rate = 3e-3
      channel 1 = 32
      channel 2 = 16
      conv_w1 = None
      conv b1 = None
      conv w2 = None
      conv b2 = None
      fc_w = None
      fc b = None
      # TODO: Initialize the parameters of a three-layer ConvNet.
      ##
      conv w1 = random weight((channel 1, 3, 5, 5))
      conv b1 = zero weight((channel 1,))
      conv_w2 = random_weight((channel_2, 32, 3, 3))
      conv b2 = zero weight((channel 2,))
      fc_w = random_weight((channel_2*32*32, 10))
      fc_b = zero_weight((10,))
      ##
      #
                            END OF YOUR CODE
      #
      ##
      params = [conv_w1, conv_b1, conv_w2, conv_b2, fc_w, fc_b]
      train_part2(three_layer_convnet, params, learning_rate)
```

Iteration 0, loss = 2.9398
Checking accuracy on the val set
Got 118 / 1000 correct (11.80%)

Iteration 100, loss = 1.8403
Checking accuracy on the val set
Got 318 / 1000 correct (31.80%)

Iteration 200, loss = 1.4652
Checking accuracy on the val set
Got 418 / 1000 correct (41.80%)

Iteration 300, loss = 1.6245
Checking accuracy on the val set
Got 401 / 1000 correct (40.10%)

Iteration 400, loss = 1.5689 Checking accuracy on the val set Got 429 / 1000 correct (42.90%)

Iteration 500, loss = 1.7237 Checking accuracy on the val set Got 441 / 1000 correct (44.10%)

Iteration 600, loss = 1.7108 Checking accuracy on the val set Got 468 / 1000 correct (46.80%)

Iteration 700, loss = 1.6144 Checking accuracy on the val set Got 452 / 1000 correct (45.20%)

# Part III. PyTorch Module API

Barebone PyTorch requires that we track all the parameter tensors by hand. This is fine for small networks with a few tensors, but it would be extremely inconvenient and error-prone to track tens or hundreds of tensors in larger networks.

To use the Module API, follow the steps below:

- 1. Subclass nn.Module . Give your network class an intuitive name like TwoLayerFC .
- 2. In the constructor \_\_init\_\_() , define all the layers you need as class attributes. Layer objects like nn.Linear and nn.Conv2d are themselves nn.Module subclasses and contain learnable parameters, so that you don't have to instantiate the raw tensors yourself. nn.Module will track these internal parameters for you. Refer to the <a href="doc(http://pytorch.org/docs/master/nn.html">doc (http://pytorch.org/docs/master/nn.html</a>) to learn more about the dozens of builtin layers. Warning: don't forget to call the <a href="super().\_\_init\_\_()">super().\_\_init\_\_()</a> first!
- 3. In the forward() method, define the *connectivity* of your network. You should use the attributes defined in \_\_init\_\_ as function calls that take tensor as input and output the "transformed" tensor. Do *not* create any new layers with learnable parameters in forward()! All of them must be declared upfront in \_\_init\_\_.

After you define your Module subclass, you can instantiate it as an object and call it just like the NN forward function in part II.

# Module API: Two-Layer Network

Here is a concrete example of a 2-layer fully connected network:

```
In [11]: | class TwoLayerFC(nn.Module):
             def __init__(self, input_size, hidden_size, num_classes):
                 super(). init ()
                 # assign layer objects to class attributes
                 self.fc1 = nn.Linear(input size, hidden size)
                 # nn.init package contains convenient initialization methods
                 # http://pytorch.org/docs/master/nn.html#torch-nn-init
                 nn.init.kaiming normal (self.fc1.weight)
                 self.fc2 = nn.Linear(hidden size, num classes)
                 nn.init.kaiming_normal_(self.fc2.weight)
             def forward(self, x):
                 # forward always defines connectivity
                 x = flatten(x)
                 scores = self.fc2(F.relu(self.fc1(x)))
                 return scores
         def test TwoLayerFC():
             input size = 50
             x = torch.zeros((64, input size), dtype=dtype) # minibatch size 64, featu
         re dimension 50
             model = TwoLayerFC(input_size, 42, 10)
             scores = model(x)
             print(scores.size()) # you should see [64, 10]
         test TwoLayerFC()
```

torch.Size([64, 10])

### Module API: Three-Layer ConvNet

It's your turn to implement a 3-layer ConvNet followed by a fully connected layer. The network architecture should be the same as in Part II:

- 1. Convolutional layer with channel 1 5x5 filters with zero-padding of 2
- 2. ReLU
- 3. Convolutional layer with channel 2 3x3 filters with zero-padding of 1
- 4. ReLU
- 5. Fully-connected layer to num\_classes classes

You should initialize the weight matrices of the model using the Kaiming normal initialization method.

HINT: http://pytorch.org/docs/stable/nn.html#conv2d (http://pytorch.org/docs/stable/nn.html#conv2d)

After you implement the three-layer ConvNet, the test\_ThreeLayerConvNet function will run your implementation; it should print (64, 10) for the shape of the output scores.

```
In [12]:
      class ThreeLayerConvNet(nn.Module):
        def __init__(self, in_channel, channel_1, channel_2, num_classes):
           super(). init ()
           ##
           # TODO: Set up the layers you need for a three-layer ConvNet with the
           # architecture defined above.
           ##
           self.conv1 = nn.Conv2d(in_channel, channel_1, kernel_size=5, padding=2
       bias=True)
           nn.init.kaiming normal (self.conv1.weight)
           nn.init.constant_(self.conv1.bias, 0)
           self.conv2 = nn.Conv2d(channel 1, channel 2, kernel size=3, padding=1,
      bias=True)
           nn.init.kaiming normal (self.conv2.weight)
           nn.init.constant (self.conv2.bias, 0)
           self.fc = nn.Linear(channel 2*32*32, num classes)
           nn.init.kaiming_normal_(self.fc.weight)
           nn.init.constant (self.fc.bias, 0)
           ##
                             END OF YOUR CODE
           ##
        def forward(self, x):
           scores = None
           ##
           # TODO: Implement the forward function for a 3-layer ConvNet. you
           # should use the layers you defined in init and specify the
           # connectivity of those layers in forward()
           ##
           relu1 = F.relu(self.conv1(x))
           relu2 = F.relu(self.conv2(relu1))
           scores = self.fc(flatten(relu2))
           ##
                               END OF YOUR CODE
           ##
```

```
return scores

def test_ThreeLayerConvNet():
    x = torch.zeros((64, 3, 32, 32), dtype=dtype) # minibatch size 64, image
    size [3, 32, 32]
    model = ThreeLayerConvNet(in_channel=3, channel_1=12, channel_2=8, num_cla
    sses=10)
    scores = model(x)
    print(scores.size()) # you should see [64, 10]
    test_ThreeLayerConvNet()
```

torch.Size([64, 10])

#### **Module API: Check Accuracy**

Given the validation or test set, we can check the classification accuracy of a neural network.

This version is slightly different from the one in part II. You don't manually pass in the parameters anymore.

```
In [13]:
         def check accuracy part34(loader, model):
             if loader.dataset.train:
                  print('Checking accuracy on validation set')
             else:
                  print('Checking accuracy on test set')
             num correct = 0
             num samples = 0
             model.eval() # set model to evaluation mode
             with torch.no grad():
                 for x, y in loader:
                     x = x.to(device=device, dtype=dtype) # move to device, e.g. GPU
                     y = y.to(device=device, dtype=torch.long)
                     scores = model(x)
                      _, preds = scores.max(1)
                     num correct += (preds == y).sum()
                     num samples += preds.size(0)
                  acc = float(num correct) / num samples
                  print('Got %d / %d correct (%.2f)' % (num correct, num samples, 100 *
         acc))
```

# Module API: Training Loop

We also use a slightly different training loop. Rather than updating the values of the weights ourselves, we use an Optimizer object from the torch.optim package, which abstract the notion of an optimization algorithm and provides implementations of most of the algorithms commonly used to optimize neural networks.

```
In [14]:
         def train part34(model, optimizer, epochs=1):
             Train a model on CIFAR-10 using the PyTorch Module API.
             Inputs:
             - model: A PyTorch Module giving the model to train.
             - optimizer: An Optimizer object we will use to train the model
             - epochs: (Optional) A Python integer giving the number of epochs to train
         for
             Returns: Nothing, but prints model accuracies during training.
             model = model.to(device=device) # move the model parameters to CPU/GPU
             for e in range(epochs):
                 for t, (x, y) in enumerate(loader train):
                     model.train() # put model to training mode
                     x = x.to(device=device, dtype=dtype) # move to device, e.g. GPU
                     y = y.to(device=device, dtype=torch.long)
                     scores = model(x)
                     loss = F.cross entropy(scores, y)
                     # Zero out all of the gradients for the variables which the optimi
         zer
                     # will update.
                     optimizer.zero grad()
                     # This is the backwards pass: compute the gradient of the loss wit
         h
                     # respect to each parameter of the model.
                     loss.backward()
                     # Actually update the parameters of the model using the gradients
                     # computed by the backwards pass.
                     optimizer.step()
                     if t % print every == 0:
                         print('Iteration %d, loss = %.4f' % (t, loss.item()))
                         check accuracy part34(loader val, model)
                         print()
```

#### Module API: Train a Two-Layer Network

Now we are ready to run the training loop. In contrast to part II, we don't explicitly allocate parameter tensors anymore.

Simply pass the input size, hidden layer size, and number of classes (i.e. output size) to the constructor of TwoLayerFC.

You also need to define an optimizer that tracks all the learnable parameters inside TwoLayerFC.

You don't need to tune any hyperparameters, but you should see model accuracies above 40% after training for one epoch.

```
In [17]:
         hidden_layer_size = 4000
         learning_rate = 1e-2
         model = TwoLayerFC(3 * 32 * 32, hidden layer size, 10)
         optimizer = optim.SGD(model.parameters(), lr=learning rate)
         train part34(model, optimizer)
         Iteration 0, loss = 3.1124
         Checking accuracy on validation set
         Got 135 / 1000 correct (13.50)
         Iteration 100, loss = 2.2389
         Checking accuracy on validation set
         Got 300 / 1000 correct (30.00)
         Iteration 200, loss = 1.8365
         Checking accuracy on validation set
         Got 369 / 1000 correct (36.90)
         Iteration 300, loss = 2.0818
         Checking accuracy on validation set
         Got 381 / 1000 correct (38.10)
         Iteration 400, loss = 1.4971
         Checking accuracy on validation set
         Got 410 / 1000 correct (41.00)
         Iteration 500, loss = 1.6927
         Checking accuracy on validation set
         Got 431 / 1000 correct (43.10)
         Iteration 600, loss = 1.3435
         Checking accuracy on validation set
         Got 429 / 1000 correct (42.90)
         Iteration 700, loss = 1.9418
         Checking accuracy on validation set
         Got 418 / 1000 correct (41.80)
```

### Module API: Train a Three-Layer ConvNet

You should now use the Module API to train a three-layer ConvNet on CIFAR. This should look very similar to training the two-layer network! You don't need to tune any hyperparameters, but you should achieve above above 45% after training for one epoch.

You should train the model using stochastic gradient descent without momentum.

```
In [18]:
    learning rate = 3e-3
     channel_1 = 32
     channel 2 = 16
    model = None
     optimizer = None
     # TODO: Instantiate your ThreeLayerConvNet model and a corresponding optimizer
     model = ThreeLayerConvNet(3, channel_1, channel_2, 10)
     optimizer = optim.SGD(model.parameters(), lr=learning_rate)
     ##
                      END OF YOUR CODE
     ##
     train_part34(model, optimizer)
```

Iteration 0, loss = 3.8775
Checking accuracy on validation set
Got 118 / 1000 correct (11.80)

Iteration 100, loss = 1.7695
Checking accuracy on validation set
Got 345 / 1000 correct (34.50)

Iteration 200, loss = 1.6995
Checking accuracy on validation set
Got 393 / 1000 correct (39.30)

Iteration 300, loss = 1.8308
Checking accuracy on validation set
Got 425 / 1000 correct (42.50)

Iteration 400, loss = 1.6003
Checking accuracy on validation set
Got 441 / 1000 correct (44.10)

Iteration 500, loss = 1.4502 Checking accuracy on validation set Got 479 / 1000 correct (47.90)

Iteration 600, loss = 1.4112
Checking accuracy on validation set
Got 483 / 1000 correct (48.30)

Iteration 700, loss = 1.3603
Checking accuracy on validation set
Got 503 / 1000 correct (50.30)

# Part IV. PyTorch Sequential API

Part III introduced the PyTorch Module API, which allows you to define arbitrary learnable layers and their connectivity.

For simple models like a stack of feed forward layers, you still need to go through 3 steps: subclass nn.Module, assign layers to class attributes in \_\_init\_\_, and call each layer one by one in forward() . Is there a more convenient way?

Fortunately, PyTorch provides a container Module called nn.Sequential, which merges the above steps into one. It is not as flexible as nn.Module, because you cannot specify more complex topology than a feed-forward stack, but it's good enough for many use cases.

### **Sequential API: Two-Layer Network**

Let's see how to rewrite our two-layer fully connected network example with nn.Sequential, and train it using the training loop defined above.

Again, you don't need to tune any hyperparameters here, but you should achieve above 40% accuracy after one epoch of training.

```
In [15]: # We need to wrap `flatten` function in a module in order to stack it
         # in nn.Sequential
         class Flatten(nn.Module):
             def forward(self, x):
                  return flatten(x)
         hidden layer size = 4000
         learning rate = 1e-2
         model = nn.Sequential(
             Flatten(),
             nn.Linear(3 * 32 * 32, hidden_layer_size),
             nn.ReLU(),
             nn.Linear(hidden layer size, 10),
         )
         # you can use Nesterov momentum in optim.SGD
         optimizer = optim.SGD(model.parameters(), lr=learning_rate,
                               momentum=0.9, nesterov=True)
         train part34(model, optimizer)
         Iteration 0, loss = 2.2830
         Checking accuracy on validation set
         Got 170 / 1000 correct (17.00)
         Iteration 100, loss = 1.4929
         Checking accuracy on validation set
         Got 393 / 1000 correct (39.30)
         Iteration 200, loss = 1.7012
         Checking accuracy on validation set
         Got 403 / 1000 correct (40.30)
         Iteration 300, loss = 1.8348
         Checking accuracy on validation set
         Got 414 / 1000 correct (41.40)
         Iteration 400, loss = 1.8167
         Checking accuracy on validation set
         Got 422 / 1000 correct (42.20)
         Iteration 500, loss = 1.8693
         Checking accuracy on validation set
         Got 444 / 1000 correct (44.40)
         Iteration 600, loss = 1.6211
         Checking accuracy on validation set
         Got 441 / 1000 correct (44.10)
         Iteration 700, loss = 1.5587
         Checking accuracy on validation set
         Got 410 / 1000 correct (41.00)
```

### Sequential API: Three-Layer ConvNet

Here you should use nn.Sequential to define and train a three-layer ConvNet with the same architecture we used in Part III:

- 1. Convolutional layer (with bias) with 32 5x5 filters, with zero-padding of 2
- 2. ReLU
- 3. Convolutional layer (with bias) with 16 3x3 filters, with zero-padding of 1
- 4. ReLU
- 5. Fully-connected layer (with bias) to compute scores for 10 classes

You should initialize your weight matrices using the random\_weight function defined above, and you should initialize your bias vectors using the zero weight function above.

You should optimize your model using stochastic gradient descent with Nesterov momentum 0.9.

Again, you don't need to tune any hyperparameters but you should see accuracy above 55% after one epoch of training.

```
In [16]:
      channel 1 = 32
      channel 2 = 16
      learning rate = 1e-2
      model = None
      optimizer = None
      # TODO: Rewrite the 3-layer ConvNet with bias from Part III with the
      # Sequential API.
      model = nn.Sequential(
         nn.Conv2d(3, channel_1, kernel_size=5, padding=2),
         nn.ReLU(),
         nn.Conv2d(channel 1, channel 2, kernel size=3, padding=1),
         nn.ReLU(),
         Flatten(),
         nn.Linear(channel 2*32*32, 10),
      )
      optimizer = optim.SGD(model.parameters(), lr=learning rate,
                      momentum=0.9, nesterov=True)
      # Weight initialization
      # Ref: http://pytorch.org/docs/stable/nn.html#torch.nn.Module.apply
      def init_weights(m):
         # print(m)
         if type(m) == nn.Conv2d or type(m) == nn.Linear:
            random weight(m.weight.size())
            zero_weight(m.bias.size())
      model.apply(init weights)
      ##
      #
                               END OF YOUR CODE
      train part34(model, optimizer)
```

Iteration 0, loss = 2.3069
Checking accuracy on validation set
Got 152 / 1000 correct (15.20)

Iteration 100, loss = 1.5757
Checking accuracy on validation set
Got 438 / 1000 correct (43.80)

Iteration 200, loss = 1.4451 Checking accuracy on validation set Got 485 / 1000 correct (48.50)

Iteration 300, loss = 1.3991
Checking accuracy on validation set
Got 541 / 1000 correct (54.10)

Iteration 400, loss = 1.5362
Checking accuracy on validation set
Got 541 / 1000 correct (54.10)

Iteration 500, loss = 1.1519
Checking accuracy on validation set
Got 581 / 1000 correct (58.10)

Iteration 600, loss = 1.1274
Checking accuracy on validation set
Got 573 / 1000 correct (57.30)

Iteration 700, loss = 1.1996
Checking accuracy on validation set
Got 589 / 1000 correct (58.90)

# Part V. CIFAR-10 open-ended challenge

In this section, you can experiment with whatever ConvNet architecture you'd like on CIFAR-10.

Now it's your job to experiment with architectures, hyperparameters, loss functions, and optimizers to train a model that achieves **at least 70%** accuracy on the CIFAR-10 **validation** set within 10 epochs. You can use the check accuracy and train functions from above. You can use either nn.Module or nn.Sequential API.

Describe what you did at the end of this notebook.

Here are the official API documentation for each component. One note: what we call in the class "spatial batch norm" is called "BatchNorm2D" in PyTorch.

- Layers in torch.nn package: <a href="http://pytorch.org/docs/stable/nn.html">http://pytorch.org/docs/stable/nn.html</a>)
- Activations: <a href="http://pytorch.org/docs/stable/nn.html#non-linear-activations">http://pytorch.org/docs/stable/nn.html#non-linear-activations</a>)
   (http://pytorch.org/docs/stable/nn.html#non-linear-activations)
- Loss functions: <a href="http://pytorch.org/docs/stable/nn.html#loss-functions">http://pytorch.org/docs/stable/nn.html#loss-functions</a>
   (<a href="http://pytorch.org/docs/stable/nn.html#loss-functions">http://pytorch.org/docs/stable/nn.html#loss-functions</a>
- Optimizers: <a href="http://pytorch.org/docs/stable/optim.html">http://pytorch.org/docs/stable/optim.html</a>)

### Things you might try:

- Filter size: Above we used 5x5; would smaller filters be more efficient?
- Number of filters: Above we used 32 filters. Do more or fewer do better?
- Pooling vs Strided Convolution: Do you use max pooling or just stride convolutions?
- **Batch normalization**: Try adding spatial batch normalization after convolution layers and vanilla batch normalization after affine layers. Do your networks train faster?
- **Network architecture**: The network above has two layers of trainable parameters. Can you do better with a deep network? Good architectures to try include:
  - [conv-relu-pool]xN -> [affine]xM -> [softmax or SVM]
  - [conv-relu-conv-relu-pool]xN -> [affine]xM -> [softmax or SVM]
  - [batchnorm-relu-conv]xN -> [affine]xM -> [softmax or SVM]
- Global Average Pooling: Instead of flattening and then having multiple affine layers, perform convolutions until your image gets small (7x7 or so) and then perform an average pooling operation to get to a 1x1 image picture (1, 1, Filter#), which is then reshaped into a (Filter#) vector. This is used in <a href="Google's Inception">Google's Inception</a>
  <a href="Metwork (https://arxiv.org/abs/1512.00567">Network (https://arxiv.org/abs/1512.00567</a>) (See Table 1 for their architecture).
- Regularization: Add I2 weight regularization, or perhaps use Dropout.

# Tips for training

For each network architecture that you try, you should tune the learning rate and other hyperparameters. When doing this there are a couple important things to keep in mind:

- If the parameters are working well, you should see improvement within a few hundred iterations
- Remember the coarse-to-fine approach for hyperparameter tuning: start by testing a large range of
  hyperparameters for just a few training iterations to find the combinations of parameters that are working at
  all.

Once you have found some sets of parameters that seem to work, search more finely around these
parameters. You may need to train for more epochs.

You should use the validation set for hyperparameter search, and save your test set for evaluating your
architecture on the best parameters as selected by the validation set.

### Going above and beyond

If you are feeling adventurous there are many other features you can implement to try and improve your performance. You are **not required** to implement any of these, but don't miss the fun if you have time!

- Alternative optimizers: you can try Adam, Adagrad, RMSprop, etc.
- Alternative activation functions such as leaky ReLU, parametric ReLU, ELU, or MaxOut.
- · Model ensembles
- Data augmentation
- New Architectures
  - ResNets (https://arxiv.org/abs/1512.03385) where the input from the previous layer is added to the output.
  - <u>DenseNets (https://arxiv.org/abs/1608.06993)</u> where inputs into previous layers are concatenated together.
  - This blog has an in-depth overview (https://chatbotslife.com/resnets-highwaynets-and-densenets-oh-my-9bb15918ee32)

```
In [21]:
        ##
        # TODO:
        #
        # Experiment with any architectures, optimizers, and hyperparameters.
        # Achieve AT LEAST 70% accuracy on the *validation set* within 10 epochs.
        #
        #
        # Note that you can use the check accuracy function to evaluate on either
        # the test set or the validation set, by passing either loader test or
        # loader val as the second argument to check accuracy. You should not touch
        # the test set until you have finished your architecture and hyperparameter
        # tuning, and only run the test set once at the end to report a final value.
        ##
        model = None
        optimizer = None
        # A 4-layer convolutional network
        # (conv -> batchnorm -> relu -> maxpool) * 3 -> fc
        layer1 = nn.Sequential(
            nn.Conv2d(3, 16, kernel size=5, padding=2),
            nn.BatchNorm2d(16),
            nn.ReLU(),
            nn.MaxPool2d(2)
        )
        layer2 = nn.Sequential(
            nn.Conv2d(16, 32, kernel size=3, padding=1),
            nn.BatchNorm2d(32),
            nn.ReLU(),
            nn.MaxPool2d(2)
        )
        layer3 = nn.Sequential(
            nn.Conv2d(32, 64, kernel size=3, padding=1),
            nn.BatchNorm2d(64),
            nn.ReLU(),
            nn.MaxPool2d(2)
        )
        fc = nn.Linear(64*4*4, 10)
        model = nn.Sequential(
            layer1,
            layer2,
            layer3,
            Flatten(),
            fc
```

Iteration 0, loss = 2.6101
Checking accuracy on validation set
Got 154 / 1000 correct (15.40)

Iteration 0, loss = 0.7711
Checking accuracy on validation set
Got 645 / 1000 correct (64.50)

Iteration 0, loss = 0.8233 Checking accuracy on validation set Got 689 / 1000 correct (68.90)

Iteration 0, loss = 0.8043
Checking accuracy on validation set
Got 725 / 1000 correct (72.50)

Iteration 0, loss = 0.6599
Checking accuracy on validation set
Got 739 / 1000 correct (73.90)

Iteration 0, loss = 0.6278
Checking accuracy on validation set
Got 743 / 1000 correct (74.30)

Iteration 0, loss = 0.6053
Checking accuracy on validation set
Got 735 / 1000 correct (73.50)

Iteration 0, loss = 0.4958 Checking accuracy on validation set Got 747 / 1000 correct (74.70)

Iteration 0, loss = 0.7287
Checking accuracy on validation set
Got 740 / 1000 correct (74.00)

Iteration 0, loss = 0.4133
Checking accuracy on validation set
Got 732 / 1000 correct (73.20)

### Describe what you did

In the cell below you should write an explanation of what you did, any additional features that you implemented, and/or any graphs that you made in the process of training and evaluating your network.

Firstly, I installed cuda for gpu computing for pytorch. Then I created a separate environment for cs231n. After that I installed several requirements from the requirements.txt file. After that the code was ready to run. I ran the code and made observations along the way as can be seen in the output of each cell.

- Downloaded CIFAR10 dataset inputting the data to a data loader which will load the data in batches instead
  of using them all at once.
- Flatten tensors Barebones NN:
- · Write fuctions for fowards pass for 2 layers and conv net for 3 layers
- · Write function for training and accuracy
- Train and test 2 layer network: Accuracy passses the required threshold: Val Accuracy= 47.2%
- Train and test 3 layer conv net: Accuracy passses the required threshold: Val Accuracy= 45.2%

#### Module API:

- Write fuctions for fowards pass for 2 layers and conv net for 3 layers
- · Write function for training and accuracy
- Train and test 2 layer network: Accuracy passes the required threshold: Val Accuracy= 41.8%
- Train and test 3 layer conv net: Accuracy passses the required threshold: Val Accuracy= 50.3%

### Sequential API:

- Write fuctions for fowards pass for 2 layers and conv net for 3 layers
- · Write function for training and accuracy
- Train and test 2 layer network: Accuracy passes the required threshold: Val Accuracy= 43.9%
- Train and test 3 layer conv net: Accuracy passses the required threshold: Val Accuracy= 57.6%

Sequential Conv net performs best, then Module Conv net and barebones convnet the worst

CIFAR 10 Challenge: Val accuracy of 73.9% is achieved.

- We use small (3,3) filters and it can be seen that they are more efficient than (5,5) filters
- We use lesser filters (16) in the first layer and keep increasing number of filters (32, 64) until the final layer and they perform better
- Max pooling works better for generalizing the data better so it perform better on the test data and also reduces the data.
- A deeper network will be able to learn the model better but will require more training time

# Test set -- run this only once

Now that we've gotten a result we're happy with, we test our final model on the test set (which you should store in best model). Think about how this compares to your validation set accuracy.

In [ ]:

# **Appendix**

```
# -*- coding: utf-8 -*-
.....
Created on Sun Nov 17 21:56:22 2019
@author: basit
.....
import sys
import numpy as np
import matplotlib.pyplot as plt
import matplotlib.image as mpimg
import scipy.io
import pylab as py
import h5py
import random
question = '1'
def AbdulBasit_Anees_21600659_hw1(question):
  if question == '1':
    print(question)
    return Q2()
def Q2():
  print("Running Q2a")
  data_norm = Q2a()
  print('Running Q2 b and c')
  Q2bc(data_norm)
```

```
print("Running Q2d")
  Q2d(data_norm)
def Q2d(data_norm):
  x_tr1 = data_norm.reshape((data_norm.shape[0], 16 * 16))
  #Shuffle data
  arr = np.arange(x_tr1.shape[0])
  np.random.shuffle(arr)
  \#x_tr = x_tr[arr]
  x_tr1 = x_tr1[arr]
  #Initialize network parameters
  lambd
          = 0.001 #0:0.001
  beta
          = 0.008
  rho
         = 0.25
  epochs = 1000
  batch_size = 128
  rate
         = 0.01
  nBatches = int(np.ceil(x_tr1.shape[0]/batch_size))
  J_train = np.zeros((epochs, 1))
  mse_tr = {}
  weights = {}
  N = [25, 64, 100]
  nrows = [5, 8, 10]
  for L hid in N:
            = np.sqrt(6/(L_hid+256))
    w0
            = np.random.uniform(-w0, w0, (256, L_hid))
    w1
            = np.random.uniform(-w0, w0, (L_hid, 256))
    w2
```

```
b1
         = np.random.uniform(-w0, w0, (1, L_hid))
  b2
         = np.random.uniform(-w0, w0, (1, 256))
  for nEpoch in range(epochs):
    w1, w2, b1, b2 = train (w1, w2, b1, b2, x_tr1, x_tr1, batch_size, rate, nBatches, rho, lambd, beta)
                = forward(w1, w2, b1, b2, x tr1)
    yp_tr
    mse_cost = (0.5 / x_tr1.shape[0]) * np.sum((yp_tr-x_tr1)**2)
    tykhonov = (lambd / 2) * (np.sum(w1**2) + np.sum(w2**2))
    rhos = (1 / x_tr1.shape[0]) * np.sum(sigmoid(x_tr1 @ w1), axis = 0)
    kl_diver = beta * KL_div(rho, rhos)
    J_train[nEpoch] = mse_cost + tykhonov + kl_diver
    if nEpoch\%50 == 0:
      print(nEpoch)
    if nEpoch%100 == 0:
      print(J_train[nEpoch])
  mse_tr[str(L_hid)] = np.copy(J_train)
  weights[str(L_hid)] = {'w1': np.copy(w1), 'w2': np.copy(w2), 'b1': np.copy(b1), 'b2': np.copy(b2)}
for i in range(len(N)):
  weights1 = ((weights[str(N[i])])['w1']).reshape((16,16,N[i]))
  make_grid1(weights1, nrows[i], nrows[i])
make grid1(data norm[:64].transpose((1,2,0)), 8, 8)
wn1 = weights[str(N[0])]
wn2 = weights[str(N[1])]
wn3 = weights[str(N[2])]
wn = [wn1, wn2, wn3]
#wn = [wn1]
```

```
for i in range(len(N)):
    out = forward((wn[i])['w1'], (wn[i])['w2'], (wn[i])['b1'], (wn[i])['b2'], \\ data\_norm[:64].reshape((64,256)))
    make_grid1(out.reshape(64,16,16).transpose((1,2,0)), 8, 8)
  for i in range(len(N)):
    loss = mse_tr[str(N[i])]
    plt.figure()
    plt.plot(np.arange(len(loss)), loss)
    plt.xlabel('Epochs')
    plt.ylabel('Loss')
    plt.title('Loss vs epochs')
def Q2bc(data_norm):
  x_tr1 = data_norm.reshape((data_norm.shape[0], 16 * 16))
  #Shuffle data
  arr = np.arange(x_tr1.shape[0])
  np.random.shuffle(arr)
  x_tr1 = x_tr1[arr]
  #Initialize network parameters
  L hid
         = 64 #10:100
           = 0.0005 #0:0.001
  lambd
  beta
          = 0.0002
  rho
          = 0.8
  epochs = 1000
  batch size = 128
          = 0.01
  rate
  w0
          = np.sqrt(6/(L_hid+256))
  nBatches = int(np.ceil(x_tr1.shape[0]/batch_size))
```

```
w1
         = np.random.uniform(-w0, w0, (256, L_hid))
  w2
         = np.random.uniform(-w0, w0, (L_hid, 256))
  b1
         = np.random.uniform(-w0, w0, (1, L_hid))
  b2
         = np.random.uniform(-w0, w0, (1, 256))
 J_train = np.zeros((epochs, 1))
\# mse_cost = (0.5 / x_tr1.shape[0]) * np.sum((forward(w1, w2, b1, b2, x_tr1)-x_tr1)**2)
# tykhonov = (lambd / 2) * (np.sum(w1**2) + np.sum(w2**2))
# rhos = (1 / x_tr1.shape[0]) * np.sum(sigmoid(x_tr1 @ w1), axis = 0)
# kl_diver = beta * KL_div(rho, rhos)
# cost = mse_cost + tykhonov + kl_diver
  for nEpoch in range(epochs):
    w1, w2, b1, b2 = train (w1, w2, b1, b2, x_tr1, x_tr1, batch_size, rate, nBatches, rho, lambd, beta)
                = forward(w1, w2, b1, b2, x_tr1)
    yp_tr
    mse\_cost = (0.5 / x\_tr1.shape[0]) * np.sum((yp\_tr-x\_tr1)**2)
    tykhonov = (lambd / 2) * (np.sum(w1**2) + np.sum(w2**2))
    rhos = (1/x tr1.shape[0]) * np.sum(sigmoid(x tr1 @ w1), axis = 0)
    kl diver = beta * KL div(rho, rhos)
    J train[nEpoch] = mse cost + tykhonov + kl diver
    if nEpoch\%50 == 0:
      print(nEpoch)
    if nEpoch\%25 == 0:
      print(J_train[nEpoch])
  weights1 = w1.reshape((16,16,64))
  def make_grid1(weights, rows, col):
  # col = 8
  \# rows = 8
```

```
_, grid = plt.subplots(nrows=rows,ncols=col)
    plt.subplots_adjust(left=None, bottom=None, right=None, top=None, wspace= None, hspace=0.08)
    for p in range(rows*col):
      grid[int(p/col),p%col].imshow(weights[:,:,p]) #, cmap = 'gray'
      grid[int(p/col),p%col].axes.get_xaxis().set_visible(False)
      grid[int(p/col),p%col].axes.get_yaxis().set_visible(False)
  make_grid1(weights1, 8, 8)
  plt.figure()
  make_grid1(data_norm[:64].transpose((1,2,0)), 8, 8)
  out = forward(w1, w2, b1, b2, data_norm[:64].reshape((64,256)))
  plt.figure()
  make_grid1(out.reshape(64,16,16).transpose((1,2,0)), 8, 8)
  plt.figure()
  plt.plot(np.arange(len(J_train)), J_train)
  plt.xlabel('Epochs')
  plt.ylabel('Loss')
  plt.title('Loss vs epochs')
def Q2a():
  #Load data
  with h5py.File("C:/4th Year/EEE443/HW3/assign3_data1.h5", 'r') as f:
    keys = list(f.keys())
    data = f[keys[0]].value
     invXForm = f[keys[1]].value
     xForm = f[keys[2]].value
    f.close()
```

```
# Y = 0.2126* R + 0.7152*G + 0.0722*B
scale = np.array((0.2126, 0.7152, 0.0722)).reshape((3,1,1))
data_norm = np.zeros((len(data),16,16))
for i in range(len(data)):
  iData = np.sum(data[i]*scale, axis = 0)
  iData -= iData.mean()
  data_norm[i] = iData
clip = 3 * data_norm.std()
data_norm[(data_norm > clip)] = clip
data_norm[(data_norm < -clip)] = -clip
data_norm = ((data_norm + clip) * (0.8 / (2 * clip))) + 0.1
def make_grid(images):
  col = 17
  rows = 12
  for i in range(1):
    _, grid = plt.subplots(nrows=rows,ncols=col)
    plt.subplots adjust(left=None, bottom=None, right=None, top=None, wspace= None, hspace=0.08)
    plt.tight_layout()
    for p in range(rows*col):
      if(len(images.shape) == 4):
         image = images[p+(i*col*rows)]
         image = image - image.min()
         image = (image / image.max())
         grid[int(p/col),p%col].imshow(image.transpose((1,2,0)).reshape((16,16,3)))
         save = "fig"+ str(i)
       else:
         grid[int(p/col),p%col].imshow(images[p+(i*col*rows)])
```

```
save = "fig"+ str(i+1)
        grid[int(p/col),p%col].axes.get_xaxis().set_visible(False)
        grid[int(p/col),p%col].axes.get_yaxis().set_visible(False)
      plt.savefig(save + ".png", dpi = 600)
  index = np.arange(10240)
  random.shuffle(index)
  make_grid(data[index])
  make_grid(data_norm[index])
  return data_norm
#Plotting functions
def make_grid1(weights, rows, col):
\# col = 8
# rows = 8
  plt.figure()
  _, grid = plt.subplots(nrows=rows,ncols=col)
  plt.subplots adjust(left=None, bottom=None, right=None, top=None, wspace= None, hspace=0.08)
  for p in range(rows*col):
    grid[int(p/col),p%col].imshow(weights[:,:,p]) #, cmap = 'gray'
    grid[int(p/col),p%col].axes.get_xaxis().set_visible(False)
    grid[int(p/col),p%col].axes.get_yaxis().set_visible(False)
#Functions
def tanh(x):
  return (np.exp(2*x) - 1) / (np.exp(2*x) + 1)
def sigmoid(x):
  return 1/(1 + np.exp(-x))
```

```
def sigmoidGradient(x):
  return sigmoid(x)*(1-sigmoid(x))
def Relu(x):
  return x*(x>0)
def ReluGradient(x):
  return x>0
def forward(w_1, w_2, b_1, b_2, x_tr):
  o_1 = sigmoid((x_tr @ w_1) + b_1)
  y_p = sigmoid((o_1 @ w_2) + b_2)
  return y_p
# calculate the kl divergence
def KL_div(rho, q):
  kl = rho*np.log(rho/q) + (1-rho)*np.log((1-rho)/(1-q))
  kl[np.isnan(kl)] = 0
  return ((1 / kl.shape[0]) * np.sum(kl))
def KL_der(rho, q):
  return ((1-rho)/(1-q)) - (rho/q)
#Training including forward and backward pass for one epoch
def train(w_1, w_2, b_1, b_2, x, y, batch_size, rate, nBatches, rho, lambd, beta):
  for i in range(nBatches):
    #Load batch
    batch_x = x[i*batch_size:(i+1)*batch_size]
    batch_y = y[i*batch_size:(i+1)*batch_size]
    #Forward pass
```

```
o_1 = sigmoid((batch_x @ w_1) + b_1)
 o_11 = np.hstack((np.ones((o_1.shape[0],1)), o_1))
 y_p = sigmoid((o_1 @ w_2) + b_2)
 #Backward pass
  delta_2 = - (1 / batch_size) * (batch_y - y_p) * sigmoidGradient(y_p)
 grad_w2 = o_1.T @ delta_2 + (lambd * w_2)
 grad_b2 = np.sum(delta_2, axis = 0) #/ delta_2.shape[0]
  rhos = (1 / o_1.shape[0]) * np.sum(o_1, axis = 0)
 rho_der = KL_der(rho, rhos).reshape((1,rhos.shape[0]))
  rho_der_w1 = (1/batch_x.shape[0]) * (batch_x.T @ sigmoidGradient(o_1))
  rho_der_w = rho_der_w1 * rho_der
  rho_der_b1 = (1/batch_x.shape[0]) * (np.sum(sigmoidGradient(o_1), axis = 0))
  rho_der_b = rho_der_b1 * rho_der
  delta_1 = (delta_2 @ w_2.T) * sigmoidGradient(o_1)
  grad_w1 = batch_x.T @ delta_1 + (lambd * w_1) + (beta * rho_der_w)
  grad b1 = (np.sum(delta 1, axis = 0)) + (beta * rho der b)
 #Gradient descent
 w_1 = w_1 - rate * grad_w1
 w_2 = w_2 - rate * grad_w2
 b_1 = b_1 - rate * grad_b1
 b_2 = b_2 - rate * grad_b2
return w_1, w_2, b_1, b_2
```

output = AbdulBasit Anees 21600659 hw1(question)