

## Monthly Statement

Period: OCTOBER - 2024

Cash Summary	This Period	Year to Date
Beginning Balance	\$3.11	\$1.96
Addition	\$86.17	\$871.80
Subtraction	\$1.36	\$1,123.19
Trade Transaction	-\$78.81	\$305.54
Cost and Fees	-\$8.00	-\$55.00
Ending Value	\$1.11	\$1.11

Account Summary	
Long	\$1,844.70
Short	\$ --
Options	\$ --
Total Market Value	\$1,845.81

Income Summary	This Period	Year to Date
Dividend	\$4.70	\$40.81
Interest	\$ --	\$0.06

Realized Gain/Loss from Sales	This Period	Year to Date
<b>Short Term</b>		
Gain	\$12.80	\$229.27
Loss	\$ --	\$24.27
Net	\$12.80	\$205.00
<b>Long Term</b>		
Gain	\$ --	\$ --
Loss	\$ --	\$ --
Net	\$ --	\$ --

FDIC Bank Sweep Program Balances*		
Description	Opening Balance	Ending Balance
No sweep balances found.		

\*FDIC Insured Deposits at each bank are not held in your securities brokerage account and are not covered by SIPC. See disclosures page for additional details.

Holdings							
Symbol	Description	Quantity	Market Price	Market Value	Cost Price	Unrealized	TD Cost Basis
*Cash	USD	\$ --	\$ --	\$1.11	\$ --	\$ --	\$ --
AMZN	AMAZON COM INC COM	0.149979775	\$186.40	\$27.96	\$148.15	\$5.74	\$22.22
APO	APOLLO GLOBAL MGMT INC COM	0.273328857	\$143.26	\$39.16	\$125.12	\$4.96	\$34.20
ARKK	ARK ETF TR INNOVATION ETF	0.59392152	\$45.89	\$27.26	\$48.83	-\$1.74	\$29.00
ARM	ARM HOLDINGS PLC SPONSORED ADS	0.080825933	\$141.30	\$11.42	\$185.58	-\$3.58	\$15.00
BRW	SABA CAPITAL INCOME & OPRNT FD SHS NEW	4.707717967	\$7.50	\$35.31	\$7.43	\$0.31	\$35.00
CEFS	EXCHANGE LISTED FDS TR SABA INT RATE	1.114267148	\$21.71	\$24.19	\$17.95	\$4.19	\$20.00
CLM	CORNERSTONE STRATEGIC VALUE FD COM	2.816941083	\$8.03	\$22.62	\$7.10	\$2.62	\$20.00
GOF	GUGGENHEIM STRATEGIC OPPORTUN COM SBI	3	\$15.68	\$47.04	\$13.42	\$6.77	\$40.27
GSBD	GOLDMAN SACHS BDC INC SHS	2.436460888	\$13.34	\$32.50	\$14.37	-\$2.50	\$35.00
IBB	ISHARES TR ISHARES BIOTECH	0.047021696	\$140.29	\$6.60	\$126.75	\$0.64	\$5.96
IJR	ISHARES TR CORE S&P SCP ETF	0.22721489	\$113.92	\$25.88	\$110.03	\$0.88	\$25.00
INDA	ISHARES TR MSCI INDIA ETF	0.877661151	\$54.82	\$48.11	\$52.79	\$1.78	\$46.33
IPO	RENAISSANCE CAP GREENWICH FDS IPO ETF	0.803650127	\$44.74	\$35.96	\$38.57	\$4.96	\$31.00
JPMB	J P MORGAN EXCHANGE TRADED FD USD EMRNG MKT	1.688699255	\$39.24	\$66.27	\$40.55	-\$2.20	\$68.47
KKR	KKR & CO INC COM	0.211608222	\$138.24	\$29.25	\$132.32	\$1.25	\$28.00
LLY	ELI LILLY & CO COM	0.062060405	\$829.74	\$51.49	\$604.25	\$13.99	\$37.50
LUX	TEMA ETF TRUST LUXURY ETF	2	\$22.51	\$45.03	\$22.73	-\$0.43	\$45.45
META	META PLATFORMS INC CL A	0.138582491	\$567.58	\$78.66	\$305.52	\$36.32	\$42.34
MSFT	MICROSOFT CORP COM	0.255834079	\$406.35	\$103.96	\$378.64	\$7.09	\$96.87
OCSL	OAKTREE SPECIALTY LENDING CORP COM	1.042268639	\$16.22	\$16.91	\$19.53	-\$3.45	\$20.36
RYAAY	RYANAIR HOLDINGS PLC SPONSORED ADR	0.315592808	\$44.26	\$13.97	\$47.53	-\$1.03	\$15.00
SPY	SPDR S&P 500 ETF TR TR UNIT	0.177676073	\$568.64	\$101.03	\$436.36	\$23.50	\$77.53
TPVG	TRIPLEPOINT VENTURE GROWTH BDC COM	4	\$6.66	\$26.64	\$10.23	-\$14.29	\$40.93
USRT	ISHARES TR CRE U S REIT ETF	0.167590075	\$59.80	\$10.02	\$50.36	\$1.58	\$8.44
VOO	VANGUARD INDEX FDS S&P 500 ETF SHS	0.94254725	\$522.67	\$492.64	\$486.10	\$34.47	\$458.17
VOOG	VANGUARD ADMIRAL FDS INC 500 GRTH IDX F	0.346276054	\$342.82	\$118.71	\$267.79	\$25.98	\$92.73
VXUS	VANGUARD STAR FDS VG TL INTL STK F	0.716810028	\$61.85	\$44.33	\$59.99	\$1.33	\$43.00
XLF	SELECT SECTOR SPDR TR FINANCIAL	0.794615566	\$46.48	\$36.93	\$41.51	\$3.95	\$32.98

Holdings							
Symbol	Description	Quantity	Market Price	Market Value	Cost Price	Unrealized	TD Cost Basis
XLK	SELECT SECTOR SPDR TR TECHNOLOGY	1.011764576	\$222.24	\$224.85	\$197.77	\$24.76	\$200.09

Income				
Trade Date	Entry Type	Symbol	Description	Net Amt
10/01/2024	Dividends	VOO	Cash DIV @ 1.6386, Pos QTY: 0.789748942, Rec Date: 2024-09-27	\$1.29
10/01/2024	Div. Adj(NRA Withheld)	VOO	DIV tax withholding on \$1.29 at 30% for tax country ARE; w8w9: w8	-\$0.38
10/01/2024	Div. Adj(NRA Withheld)	VOOG	DIV tax withholding on \$0.18 at 30% for tax country ARE; w8w9: w8	-\$0.05
10/01/2024	Dividends	VOOG	Cash DIV @ 0.521, Pos QTY: 0.346276054, Rec Date: 2024-09-27	\$0.18
10/02/2024	Div. Adj(NRA Withheld)	NOBL	DIV tax withholding on \$0.33 at 30% for tax country ARE; w8w9: w8	-\$0.09
10/02/2024	Dividends	NOBL	Cash DIV @ 0.522315, Pos QTY: 0.622737006, Rec Date: 2024-09-25	\$0.33
10/04/2024	Dividends	STIP	Cash DIV @ 0.139775, Pos QTY: 0.087386131, Rec Date: 2024-10-01	\$0.01
10/04/2024	Dividends	SPHY	Cash DIV @ 0.150403, Pos QTY: 0.374849659, Rec Date: 2024-10-01	\$0.06
10/04/2024	Div. Adj(NRA Withheld)	SPHY	DIV tax withholding on \$0.06 at 30% for tax country ARE; w8w9: w8	-\$0.01
10/25/2024	Dividends	CEFS	Cash DIV @ 0.14, Pos QTY: 1.114267148, Rec Date: 2024-10-24	\$0.16
10/25/2024	Div. Adj(NRA Withheld)	CEFS	DIV tax withholding on \$0.16 at 30% for tax country ARE; w8w9: w8	-\$0.04
10/28/2024	Div. Adj(NRA Withheld)	GSBD	DIV tax withholding on \$1.1 at 30% for tax country ARE; w8w9: w8	-\$0.33
10/28/2024	Dividends	GSBD	Cash DIV @ 0.45, Pos QTY: 2.436460888, Rec Date: 2024-09-30	\$1.10
10/31/2024	Dividends	SPY	Cash DIV @ 1.745531, Pos QTY: 0.177676073, Rec Date: 2024-09-20	\$0.31
10/31/2024	Div. Adj(NRA Withheld)	BRW	DIV tax withholding on \$0.4 at 30% for tax country ARE; w8w9: w8	-\$0.12
10/31/2024	Dividends	CLM	Cash DIV @ 0.1086, Pos QTY: 2.816941083, Rec Date: 2024-10-15	\$0.31
10/31/2024	Div. Adj(NRA Withheld)	CLM	DIV tax withholding on \$0.31 at 30% for tax country ARE; w8w9: w8	-\$0.09
10/31/2024	Dividends	BRW	Cash DIV @ 0.085, Pos QTY: 4.707717967, Rec Date: 2024-10-09	\$0.40
10/31/2024	Div. Adj(NRA Withheld)	GOF	DIV tax withholding on \$0.55 at 30% for tax country ARE; w8w9: w8	-\$0.16
10/31/2024	Div. Adj(NRA Withheld)	SPY	DIV tax withholding on \$0.31 at 30% for tax country ARE; w8w9: w8	-\$0.09
10/31/2024	Dividends	GOF	Cash DIV @ 0.1821, Pos QTY: 3, Rec Date: 2024-10-15	\$0.55

Fees		
Trade Date	Description	Net Amt
No record found.		

Transaction								
Trade Date	Entry Type	Side	Symbol	Description	Quantity	Price	Amount	Commission
10/01/2024	Trade Entry	sell	EWJ		-0.1948214	\$71.34	\$13.90	\$1.00
10/01/2024	Trade Entry	buy	JPMB		1.688699255	\$40.55	-\$68.47	\$1.00
10/01/2024	Trade Entry	buy	MSFT		0.12755685	\$420.05	-\$53.58	\$1.00
10/01/2024	Trade Entry	sell	NOBL		-0.622737006	\$106.50	\$66.32	\$1.00
10/01/2024	Trade Entry	sell	SPHY		-0.374849659	\$23.88	\$8.95	\$1.00
10/01/2024	Trade Entry	sell	STIP		-0.087386131	\$101.39	\$8.86	\$1.00
10/01/2024	Trade Entry	sell	VBK		-0.10193098	\$263.66	\$26.87	\$1.00
10/30/2024	Trade Entry	buy	VOO		0.152798308	\$534.43	-\$81.66	\$1.00

Deposit & Withdrawals				
Trade Date	Entry Type	Description	Net Amt	Account No
10/29/2024	Journal Entry(Cash)	DT24A296242 deposit	\$81.47	987624856