



P.O. Box 6221,  
Hayward, CA 94540



WeWork 16th Floor Hub71, Al Khatem Tower, ADGM Square Island  
Abu Dhabi, ARE

## Monthly Statement

Period: NOVEMBER - 2023

| Cash Summary      | This Period | Year to Date |
|-------------------|-------------|--------------|
| Beginning Balance | \$0.15      | \$ --        |
| Addition          | \$137.09    | \$1,374.94   |
| Subtraction       | \$0.35      | \$14.12      |
| Trade Transaction | -\$135.93   | -\$1,359.86  |
| Cost and Fees     | \$ --       | \$ --        |
| Ending Value      | \$0.96      | \$0.96       |

| Account Summary |            |
|-----------------|------------|
| Long            | \$1,436.13 |
| Short           | \$ --      |
| Equity          | \$1,437.09 |

| Income Summary | This Period | Year to Date |
|----------------|-------------|--------------|
| Dividend       | \$1.31      | \$4.09       |
| Interest       | \$ --       | \$ --        |

| Realized Gain/Loss from Sales | This Period | Year to Date |
|-------------------------------|-------------|--------------|
| Short Term                    |             |              |
| Gain                          | \$0.85      | \$0.97       |
| Loss                          | \$ --       | \$ --        |
| Net                           | \$0.85      | \$0.97       |
| Long Term                     |             |              |
| Gain                          | \$ --       | \$ --        |
| Loss                          | \$ --       | \$ --        |
| Net                           | \$ --       | \$ --        |

| Holdings |  |             |              |              |            |            |               |
|----------|--|-------------|--------------|--------------|------------|------------|---------------|
| Symbol   | Description                            | Quantity    | Market Price | Market Value | Cost Price | Unrealized | TD Cost Basis |
| *Cash    | USD                                    | \$ --       | \$ --        | \$0.96       | \$ --      | \$ --      | \$ --         |
| ADBE     | ADOBE SYSTEMS INCORPORATED COM         | 0.05813379  | \$611.01     | \$35.52      | \$548.22   | \$3.65     | \$31.87       |
| BA       | BOEING CO COM                          | 0.082145456 | \$231.63     | \$19.03      | \$217.05   | \$1.20     | \$17.83       |
| BKNG     | BOOKING HOLDINGS INC COM               | 0.004415749 | \$3,125.70   | \$13.80      | \$3,088.94 | \$0.16     | \$13.64       |
| BRW      | SABA CAPITAL INCOME & OPRNT FD SHS NEW | 4.707717967 | \$7.82       | \$36.81      | \$7.43     | \$1.81     | \$35.00       |

| Holdings |   |             |              |              |            |            |               |
|----------|---|-------------|--------------|--------------|------------|------------|---------------|
| Symbol   | Description                             | Quantity    | Market Price | Market Value | Cost Price | Unrealized | TD Cost Basis |
| CAT      | CATERPILLAR INC COM                     | 0.09455636  | \$250.72     | \$23.71      | \$280.47   | -\$2.81    | \$26.52       |
| CEFS     | EXCHANGE LISTED FDS TR SABA INT RATE    | 0.589553118 | \$18.52      | \$10.92      | \$16.96    | \$0.92     | \$10.00       |
| CLM      | CORNERSTONE STRATEGIC VALUE FD COM      | 2.816941083 | \$7.29       | \$20.54      | \$7.10     | \$0.54     | \$20.00       |
| COIN     | COINBASE GLOBAL INC COM CL A            | 0.176438079 | \$124.72     | \$22.01      | \$75.23    | \$8.73     | \$13.27       |
| DE       | DEERE & CO COM                          | 0.064637486 | \$364.41     | \$23.55      | \$408.12   | -\$2.83    | \$26.38       |
| EL       | LAUDER ESTEE COS INC CL A               | 0.087907327 | \$127.69     | \$11.22      | \$154.14   | -\$2.33    | \$13.55       |
| GOF      | GUGGENHEIM STRATEGIC OPPORTUN COM SBI   | 1           | \$13.33      | \$13.33      | \$12.92    | \$0.41     | \$12.92       |
| GSBD     | GOLDMAN SACHS BDC INC SHS               | 1.774559909 | \$14.73      | \$26.14      | \$14.09    | \$1.14     | \$25.00       |
| IBB      | ISHARES TR ISHARES BIOTECH              | 0.047021696 | \$120.93     | \$5.69       | \$126.75   | -\$0.27    | \$5.96        |
| LLY      | ELI LILLY & CO COM                      | 0.062060405 | \$591.04     | \$36.68      | \$604.25   | -\$0.82    | \$37.50       |
| LUX      | TEMA ETF TRUST LUXURY ETF               | 2           | \$22.79      | \$45.58      | \$22.73    | \$0.13     | \$45.45       |
| META     | META PLATFORMS INC CL A                 | 0.108565262 | \$327.15     | \$35.52      | \$297.89   | \$3.18     | \$32.34       |
| MOAT     | VANECK ETF TRUST MRNGSTR WDE MOAT       | 0.813110922 | \$79.38      | \$64.54      | \$72.39    | \$5.68     | \$58.86       |
| MSFT     | MICROSOFT CORP COM                      | 0.098033348 | \$378.91     | \$37.15      | \$328.56   | \$4.94     | \$32.21       |
| NOBL     | PROSHARES TR S&P 500 DV ARIST           | 0.622737006 | \$91.10      | \$56.73      | \$92.61    | -\$0.94    | \$57.67       |
| NVDA     | NVIDIA CORPORATION COM                  | 0.031545224 | \$467.70     | \$14.75      | \$443.81   | \$0.75     | \$14.00       |
| NVO      | NOVO-NORDISK A S ADR                    | 0.378787878 | \$101.84     | \$38.58      | \$99.00    | \$1.08     | \$37.50       |
| OCSL     | OAKTREE SPECIALTY LENDING CORP COM      | 1.042268639 | \$20.00      | \$20.85      | \$19.53    | \$0.49     | \$20.36       |
| SHOP     | SHOPIFY INC CL A                        | 0.504592472 | \$72.82      | \$36.74      | \$62.03    | \$5.44     | \$31.30       |
| SPHY     | SPDR SER TR PORTFLI HIGH YLD            | 0.374849659 | \$22.91      | \$8.59       | \$22.60    | \$0.12     | \$8.47        |
| SPY      | SPDR S&P 500 ETF TR TR UNIT             | 0.177676073 | \$456.40     | \$81.09      | \$436.36   | \$3.56     | \$77.53       |
| STIP     | ISHARES TR 0-5 YR TIPS ETF              | 0.087386131 | \$97.81      | \$8.55       | \$97.04    | \$0.07     | \$8.48        |
| TPVG     | TRIPLEPOINT VENTURE GROWTH BDC COM      | 3           | \$10.59      | \$31.77      | \$10.17    | \$1.27     | \$30.50       |
| TSLA     | TESLA INC COM                           | 0.388017492 | \$240.08     | \$93.16      | \$224.01   | \$6.24     | \$86.92       |
| UAE      | ISHARES TR MSCI UAE ETF                 | 1.439673308 | \$14.82      | \$21.34      | \$14.84    | -\$0.03    | \$21.37       |
| USRT     | ISHARES TR CRE U S REIT ETF             | 0.167590075 | \$49.88      | \$8.36       | \$50.36    | -\$0.08    | \$8.44        |
| VHT      | VANGUARD WORLD FDS HEALTH CAR ETF       | 0.291420371 | \$238.60     | \$69.53      | \$242.54   | -\$1.15    | \$70.68       |
| VOO      | VANGUARD INDEX FDS S&P 500 ETF SHS      | 0.423378316 | \$419.40     | \$177.56     | \$386.89   | \$13.76    | \$163.80      |
| VOOG     | VANGUARD ADMIRAL FDS INC 500 GRTH IDX F | 0.230854873 | \$261.89     | \$60.46      | \$251.63   | \$2.37     | \$58.09       |
| XLK      | SELECT SECTOR SPDR TR TECHNOLOGY        | 1.222434244 | \$185.16     | \$226.35     | \$169.68   | \$18.93    | \$207.42      |

| Income     |                        |        |  |          |
|------------|------------------------|--------|--|----------|
| Trade Date | Entry Type             | Symbol | Description  | Net Amt  |
| 11/01/2023 | Journal Entry(Cash)    |        | ID: 501c0af0-d740-4f14-9f92-f70d95f1638b - DT23B01316              | \$135.78 |
| 11/07/2023 | Dividends              | STIP   | Cash DIV @ 0.394231, Pos QTY: 0.087386131, Rec Date: 2023-11-02    | \$0.03   |
| 11/07/2023 | Div. Adj(NRA Withheld) | SPHY   | DIV tax withholding on \$0.06 at 30% for tax country ARE; w8w9: w8 | -\$0.01  |
| 11/07/2023 | Dividends              | SPHY   | Cash DIV @ 0.148664, Pos QTY: 0.374849659, Rec Date: 2023-11-02    | \$0.06   |
| 11/08/2023 | Div. Adj(NRA Withheld) | DE     | DIV tax withholding on \$0.09 at 30% for tax country ARE; w8w9: w8 | -\$0.02  |
| 11/08/2023 | Dividends              | DE     | Cash DIV @ 1.35, Pos QTY: 0.064637486, Rec Date: 2023-09-29        | \$0.09   |
| 11/20/2023 | Dividends              | CAT    | Cash DIV @ 1.3, Pos QTY: 0.09455636, Rec Date: 2023-10-23          | \$0.12   |
| 11/20/2023 | Div. Adj(NRA Withheld) | CAT    | DIV tax withholding on \$0.12 at 30% for tax country ARE; w8w9: w8 | -\$0.03  |
| 11/24/2023 | Div. Adj(NRA Withheld) | CEFS   | DIV tax withholding on \$0.08 at 30% for tax country ARE; w8w9: w8 | -\$0.02  |
| 11/24/2023 | Dividends              | CEFS   | Cash DIV @ 0.14, Pos QTY: 0.589553118, Rec Date: 2023-11-21        | \$0.08   |
| 11/30/2023 | Dividends              | GOF    | Cash DIV @ 0.1821, Pos QTY: 1, Rec Date: 2023-11-15                | \$0.18   |
| 11/30/2023 | Div. Adj(NRA Withheld) | GOF    | DIV tax withholding on \$0.18 at 30% for tax country ARE; w8w9: w8 | -\$0.05  |
| 11/30/2023 | Div. Adj(NRA Withheld) | CLM    | DIV tax withholding on \$0.35 at 30% for tax country ARE; w8w9: w8 | -\$0.10  |
| 11/30/2023 | Dividends              | CLM    | Cash DIV @ 0.1228, Pos QTY: 2.816941083, Rec Date: 2023-11-15      | \$0.35   |
| 11/30/2023 | Div. Adj(NRA Withheld) | BRW    | DIV tax withholding on \$0.4 at 30% for tax country ARE; w8w9: w8  | -\$0.12  |
| 11/30/2023 | Dividends              | BRW    | Cash DIV @ 0.085, Pos QTY: 4.707717967, Rec Date: 2023-11-09       | \$0.40   |

| Fees             |             |         |
|------------------|-------------|---------|
| Trade Date       | Description | Net Amt |
| No record found. |             |         |

| Transaction |             |      |        |             |              |         |          |            |
|-------------|-------------|------|--------|-------------|--------------|---------|----------|------------|
| Trade Date  | Entry Type  | Side | Symbol | Description | Quantity     | Price   | Amount   | Commission |
| 11/01/2023  | Trade Entry | buy  | BRW    |             | 3            | \$7.43  | -\$22.30 | \$ --      |
| 11/01/2023  | Trade Entry | buy  | BRW    |             | 1.707717967  | \$7.43  | -\$12.70 | \$ --      |
| 11/01/2023  | Trade Entry | buy  | CEFS   |             | 0.589553118  | \$16.96 | -\$10.00 | \$ --      |
| 11/01/2023  | Trade Entry | buy  | CLM    |             | 1            | \$7.10  | -\$7.10  | \$ --      |
| 11/01/2023  | Trade Entry | buy  | CLM    |             | 1.816941083  | \$7.10  | -\$12.90 | \$ --      |
| 11/01/2023  | Trade Entry | buy  | GOF    |             | 1            | \$12.92 | -\$12.92 | \$ --      |
| 11/01/2023  | Trade Entry | buy  | MOAT   |             | 0.306803023  | \$71.97 | -\$22.08 | \$ --      |
| 11/01/2023  | Trade Entry | buy  | MOAT   |             | 0.280356994  | \$72.16 | -\$20.23 | \$ --      |
| 11/01/2023  | Trade Entry | buy  | SPXL   |             | 0.215014577  | \$74.09 | -\$15.93 | \$ --      |
| 11/01/2023  | Trade Entry | buy  | TQQQ   |             | 0.585171747  | \$34.18 | -\$20.00 | \$ --      |
| 11/01/2023  | Trade Entry | sell | TQQQ   |             | -0.585171747 | \$34.57 | \$20.23  | \$ --      |
| 11/02/2023  | Trade Entry | buy  | MOAT   |             | 0.225950905  | \$73.25 | -\$16.55 | \$ --      |
| 11/02/2023  | Trade Entry | sell | SPXL   |             | -0.215014577 | \$76.97 | \$16.55  | \$ --      |

Deposit & Withdrawals

| Trade Date       | Entry Type | Description | Net Amt | Account No |
|------------------|------------|-------------|---------|------------|
| No record found. |            |             |         |            |