

# Transcriptomic similarity in the mouse and human brain

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(Make front matter)

## Abstract

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## Introduction

Animal models play an indispensable role in neuroscience research, both in the context of preclinical translation and in that of basic science. While numerous species have been used to model the human brain, the mouse has emerged as the most prominent of these, due to its rapid life cycle, straightforward husbandry, and amenability to genetic engineering (Hedrich, Mossman, and Nicklas 2004; Houdebine 2004; Dietrich, Ankeny, and Chen 2014; Ellenbroek and Youn 2016). Mouse models have proven to be extremely useful for understanding diverse features of the brain, from its molecular neurobiological properties to its large-scale network properties. While studying the mouse brain is a worthwhile endeavour in its own right, the primary purpose of using the mouse as a model system is to make inferences about the human brain. Consequently it is crucial that neuroscientific findings discovered using mouse models be translatable to humans. In order to accomplish this translation, a correspondence must be established between the brains of the two species. This is not trivial, given that the mouse and human are separated by approximately 80 million years of divergent evolution. Still, their brains (and all mammalian brains) exhibit a number of architectonic and functional similarities at present day. These features, which are assumed to have been inherited from the last common ancestor, can be used to identify neuroanatomical regions that are deemed homologous (Kaas 2005). Examples of such features include cytoarchitecture, myeloarchitecture, inter-regional connectivity, response to sensory input, and neurodevelopmental origin (Kaas 1983; Rosa and Krubitzer 1999; Bronchti et al. 2002; Krubitzer and Kaas 2005). This approach has worked well to identify general correspondences between mouse and human brains, particularly in the subcortex and in primary isocortical areas, but it is not without limitations. Establishing neuroanatomical homologues in this way is an exercise that is primarily qualitative in nature: Regional definitions from one species, e.g. Brodmann's areas (Zilles 2018), are matched to regional definitions in the other species. This qualitative approach makes it difficult to assess the degree of similarity between regions that are thought to be homologous. Additionally, such semantic matches might obscure nuances in the ways that the brains of different species relate to one another. While the mammalian brain follows a basic organizational blueprint, the brains of different species have evolved in unique ways to

adapt to their specific ecological niches. These adaptations often lead to re-organization of brain regions in diverse ways that are not easily captured by one-to-one semantic matches (Krubitzer and Kaas 2005). A striking example is the blind mole rat, whose brain features an occipital area that can be identified as the primary visual area on the basis of architectonic analyses. Interestingly, a significant portion of this region receives auditory input from the inferior colliculus (relayed via the dorsal lateral geniculate nucleus) and is thus activated by auditory stimuli (Bronchti et al. 2002). A specialized region such as this one would be better described by a many-to-one or many-to-many relationship compared with the mouse or human brain. These limitations highlight the need for novel rigorous ways of comparing brains across species.

Over the last decade, researchers in the field of comparative neuroanatomy have begun to explore ways to make more formal comparisons between the brains of different species. This has been made possible by advances in data acquisition technologies, particularly magnetic resonance imaging (MRI), as well as advances in computing power and data analytic methodology. Thus far, this line of research has primarily explored similarities between the brains of humans and non-human primates. In 2013, Mars et al. first used Passingham's notion of a connectivity fingerprint to identify the macaque homologue of the human temporoparietal junction (Passingham, Stephan, and Kötter 2002; Mars et al. 2013). The connectivity fingerprint is a signature that characterizes how a given region of interest is connected to a set of pre-specified target regions. The targets chosen are areas for which there is strong evidence for homology between the species of interest. Since each region can be uniquely identified by its connectivity fingerprint, it is possible to match fingerprints across species to examine novel patterns of similarity. Mars et al. generated their connectivity fingerprints using resting-state functional MRI (rs-fMRI) data. By building these fingerprints for voxels in the human temporoparietal junction and matching them to the fingerprints of voxels in the macaque brain, they were able to determine which macaque region was most similar. Since then it has been shown that connectivity fingerprints can be used to perform a number of different cross-species comparisons, including matching the fingerprints for a set of pre-specific regions of interest in one species to a template of the brain in the other species, and matching a connectivity fingerprint across a specific region of the brain (e.g. the cortex) in order to identify the closest cross-species match (Mars et al. 2016). In 2018, Mars et al. extended the idea of a connectivity fingerprint to a whole-brain connectivity blueprint, in which a connectivity fingerprint is generated for every region in the brain (Rogier B Mars et al. 2018). In this case, rather than building the connectivity fingerprints using functional connectivity to pre-specified homologous target regions, they used a given region's connection to white matter tracts common among all higher primates. While this line of research has been focused primarily on cross-species comparisons between humans and non-human primates, the development of novel methods has encouraged neuroscientists to think in new ways about exploring cross-species homologies. More recently, Balsters et al. applied the concept of connectivity fingerprints to probe the degree of similarity between the striata of mice, macaques and humans. Their findings suggest that the nucleus accumbens is well conserved across the three species, but that the human striatum contains a large number of voxels with no obvious match in the mouse (Balsters et al. 2020).

Together these results highlight a framework for making formal comparisons between the brains of different species (Rogier B. Mars, Passingham, and Jbabdi 2018; Mars, Jbabdi, and Rushworth 2021). Rather than relying on the definition of homologous neuroanatomical pairs, the degree of similarity between voxels or regions in the brain is quantified directly using an intermediate common space. In order to serve as a bridge between the different species, the common space is constructed using quantitative maps of some underlying homologous biological feature. For instance, in the case of rs-fMRI data (Mars et al. 2013), the space is built using a seed region's functional connections to a set of homologous target regions. With white

matter tractography data (Rogier B Mars et al. 2018), the space is built using a region's connections to homologous white matter tracts. While cross-species comparisons can be accomplished using connectivity profiles, connectivity maps are by no means the only kind of data that can be used to define a common space. In particular, the availability of whole-brain spatial transcriptomic data sets provides an opportunity to build such a space using the expression patterns of homologous genes (Lein et al. 2007; Hawrylycz et al. 2012). This is advantageous for mouse-human comparisons, since the absence of large white matter renders it difficult to make comparisons using structural connectivity. Spatial transcriptomic data contains a wealth of information about neuroanatomical organization, as evidenced by a recent study detailing a novel molecular atlas of the mouse brain (Ortiz et al. 2020). Additionally, past studies have demonstrated that certain broadly defined regions in the mouse and human brains exhibit similarity on the basis of their gene expression profiles (Strand et al. 2007; Myers 2017).

Here we examine the patterns of similarity between the mouse and human brain using a common space constructed from spatial transcriptomic data sets (**Yohan comment to resolve: motivate gene expression**). We begin with an initial set of 2624 homologous genes. Subsequently, we present and evaluate a novel method for improving the resolution of mouse-human neuroanatomical correspondences using supervised machine learning. (**Finish this. Cortex stuff. Striatum stuff. Many to many maps**)

## Results

### Homologous genes capture broad similarities in the mouse and human brains

We first examined the pattern of similarities that emerged when comparing mouse and human brain regions on the basis of their gene expression profiles. We constructed a gene expression common space using widely available data sets from the Allen Institute for Brain Science: the Allen Mouse Brain Atlas (AMBA) and the Allen Human Brain Atlas (AHBA) (Lein et al. 2007; Hawrylycz et al. 2012). These data sets provide whole-brain coverage of expression intensity for thousands of genes in the mouse and human genomes. For our purposes we filtered these gene sets to retain only mouse-human homologous genes using a list of orthologues obtained from the NCBI HomoloGene system (NCBI 2018) (**Tried regenerating this list using Armin's code but the database was outdated and data unavailable**). Prior to analysis, we ran both data sets through a pre-processing pipeline that included quality control checks, normalization procedures, and aggregation of the expression values under a set of atlas labels. The result was a gene-by-region matrix in either species, describing the normalized expression of 2624 homologous genes across 67 mouse regions and 88 human regions (see Materials and methods). We quantified the degree of similarity between all pairs of mouse and human regions using the Pearson correlation coefficient, resulting in a mouse-human similarity matrix (Figure 1, panel A).

We find that the similarity matrix exhibits broad patterns of positive correlation values between the mouse and human brains. These clusters of similarity correspond to coarse neuroanatomical regions that are generally well-defined in both species. For instance, we observe that, overall, the mouse isocortex is similar to the human cerebral cortex, with the exception of the hippocampal formation, which forms a unique cluster. Similarly the mouse and human cerebellar hemispheres cluster together, while the cerebellar nuclei are highly correlated to each other ( $r = 0.404$ ) and also to hindbrain structures like the pons ( $r = 0.359$  and  $r = 0.371$  for the mouse and human nuclei respectively) and myelencephalon ( $r = 0.318$  and  $r = 0.374$ ).

The associations between broad regions such as these are self-evident in the correlation matrix. However the ability to resolve regional matches on a finer scale is limited when using all homologous genes in this way. This is especially true for regions within the cerebral and cerebellar cortices, which exhibit a high degree of internal homogeneity. For example, the variance of the correlations across cortical regions is  $\sigma^2 = 0.0052$  while that across cerebellar hemispheric regions is  $\sigma^2 = 0.0017$ , compared with a total variation of  $\sigma^2 = 0.0416$  across all entries in the matrix. This homogeneity is apparent in the similarity profiles (defined here as the set of correlation values between a given seed region and all target regions in the other species) of the human precentral gyrus and cuneus, both of which exhibit a plateau of similarity to the mouse isocortex (Figure 1, panels B and C). While the brain maps feature a rostral-caudal gradient, the profiles of the two seeds are highly similar despite the regions having vastly different functions. Indeed, the correlation between the similarity profiles of the precentral gyrus and cuneus is  $r = 0.980$ . The similarity profile of human crus 1 highlights another example of this homogeneity. The crus 1 is equivalently similar to all regions of the mouse cerebellum, with an average correlation of  $r = 0.269$  and a standard deviation of  $\sigma = 0.041$ . These findings are in line with what has previously been reported by Myers (Myers 2017) using the same data sets. Examining the correlation between microarray samples in the AHBA and voxels in the AMBA, Myers reported homogeneous patterns of similarity between 11 broadly defined regions of the mouse and human brains. In particular, voxels and samples from the cerebral cortex, the cerebellar hemispheres, and the striatum showed a large degree of internal homogeneity. Importantly, her use of these data at the highest available resolution, i.e. samples and voxels, did not improve the granularity of the neuroanatomical matches beyond what we were able to capture in our regional analysis. This suggests that the regional expression patterns of mouse-human homologous genes can be used to identify general similarities between the brains of the two species even using a simple correlation measure, but the ability to identify finer scale matches might require a more subtle approach.

(Armin suggestion: Include a Sankey diagram for top matches in Figure 1)

(Rogier suggestion: Corresponding mouse and human regions have different colours in Figure 1)

## Classifying mouse neuroanatomy using homologous genes improves the resolution of mouse-human associations

Using the spatial expression patterns of homologous genes, we observed clusters of similarity between broadly defined regions of the mouse and human brains. While the distribution of correlation values within these broad regions is mostly homogeneous, the data show hints of local, i.e. sub-regional, variation, suggesting that the set of homologous genes contains information about mouse-human matches on a finer scale (Figure 1, panel C). Previously it was shown that unsupervised data-driven (e.g. weighted gene co-expression network analysis) or annotation-based (e.g. neuron markers) gene set selection improves the correspondence between established mouse-human regional pairs, but only for broadly defined regions (e.g. cortex-cortex) (Myers 2017). Thus rather than attempting to identify gene subsets to improve the resolution of mouse-human matches, we investigated whether we could accomplish this by constructing a new set of variables from combinations of the homologous genes. Our primary goal here was to transform the initial gene space into a new common space that would improve the locality of the matches. However while we sought a transformation that would allow us to recapitulate known mouse-human neuroanatomical homologues, we

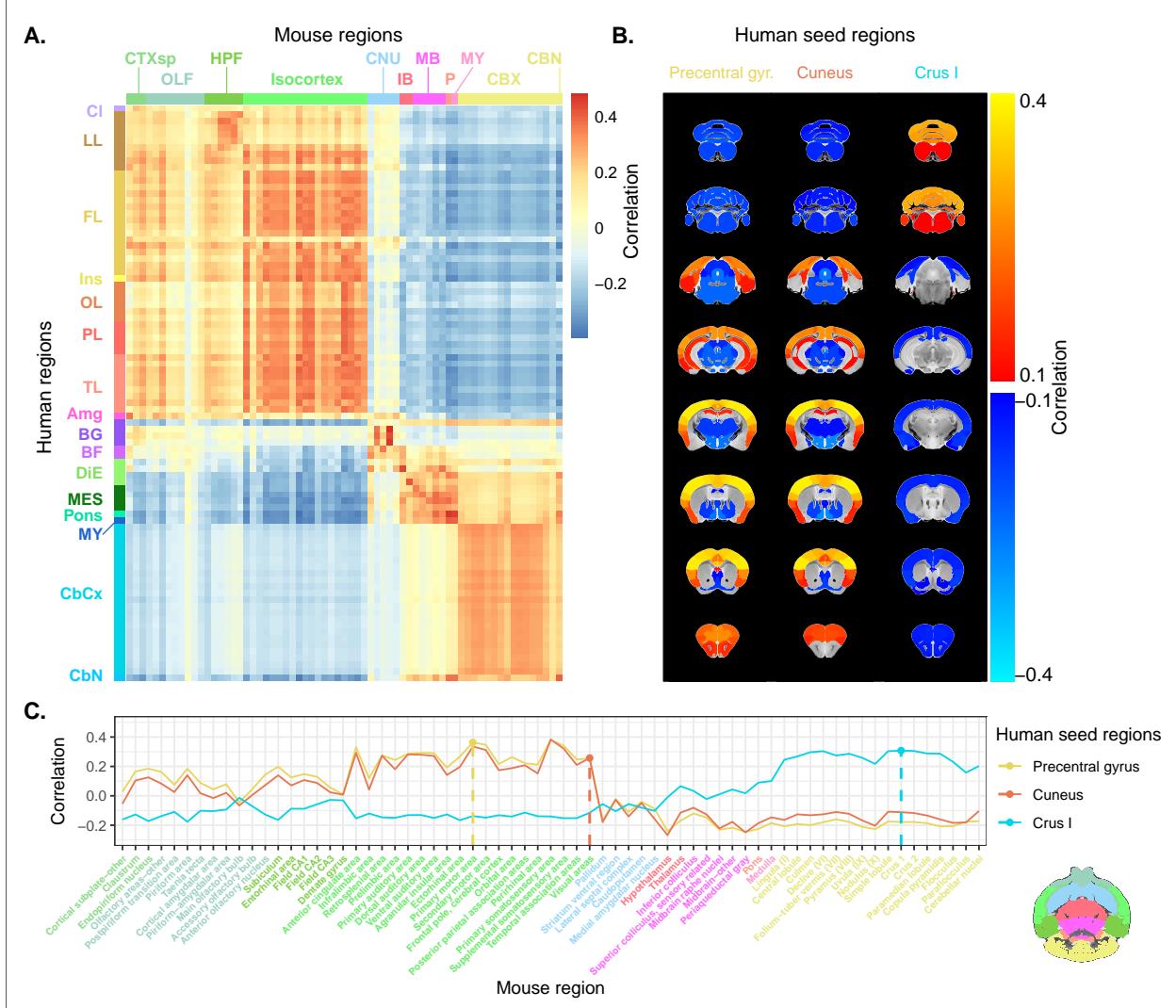


Figure 1: Transcriptomic similarity in the mouse and human brains. (A) Similarity matrix displaying the correlation between 67 mouse regions and 88 human regions based on the expression of 2624 homologous genes. Columns annotated with 11 broad mouse regions: Cortical subplate (CTXsp), olfactory areas (OLF), hippocampal formation (HPF), isocortex, cerebral nuclei (CNU), interbrain (IB), midbrain (MB), pons (P), medulla (MY), cerebellar cortex (CBX), cerebellar nuclei (CBN). Rows annotated with 16 broad human regions: Claustrum (Cl), limbic lobe (LL), frontal lobe (FL), insula (Ins), occipital lobe (OL), parietal lobe (PL), temporal lobe (TL), amygdala (Amg), basal ganglia (BG), basal forebrain (BF), diencephalon (DIE), mesencephalon (MES), pons, myelencephalon (MY), cerebellar cortex (CbCx), cerebellar nuclei (CbN). Broad patterns of similarity are evident between coarsely defined brain regions, while correlation patterns are mostly homogeneous within these regions. (B) Mouse brain slices showing similarity profiles for the human precentral gyrus, cuneus and crus I. Correlation patterns for the precentral gyrus and cuneus are highly similar to one another and broadly similar to most isocortical regions. The crus I is homogeneously similar to the mouse cerebellum. (C) Anatomically-ordered line charts displaying the similarity profiles for the seed regions in (B). Dashed vertical lines indicate the canonical mouse homologue for each human seed. Annotation colours correspond to atlas colours from the AMBA and AHBA for mouse and human regions respectively.

also wanted to avoid directly encoding such correspondences in the transformation. Using this information as part of the optimization process for the transformation would run the risk of driving the transformation towards mouse-human pairs that are already known. While we are interested in being able to recover such matches, we are equally interested in identifying novel and unexpected associations between neuroanatomical regions in the mouse and human brains, e.g. one-to-many correspondences. Given these criteria, our approach to identifying an appropriate transformation was to train a multi-layer perceptron (MLP) classifier on the data from the AMBA. The classifier was tasked with predicting the 67 labels in our mouse atlas from the voxel-wise expression of the homologous genes (Figure 2, panel A). While the model could have been trained using the data from either species, we chose to use the AMBA because it provides continuous coverage of the entire brain and is thus better suited to this purpose. In training the MLP to perform this classification task, we effectively optimize the network architecture to identify a transformation from the input gene space to a space that encodes information about the delineation between mouse brain regions. To extract this transformation, we removed the output layer from the trained neural network. The resulting architecture defines a transformation from the input space to a lower-dimensional gene expression latent space. We then applied this transformation to the mouse and human gene-by-region expression matrices to obtain representations of the data in the latent space (Figure 2, panel B). Finally, we used these gene expression latent space matrices to compute the new similarity matrix (Figure 2, panel C). Since the optimization algorithm used to train the MLP features an inherent degree of stochasticity, we repeated this training and transformation process 500 times to generate a distribution of latent spaces and similarity matrices over training runs.

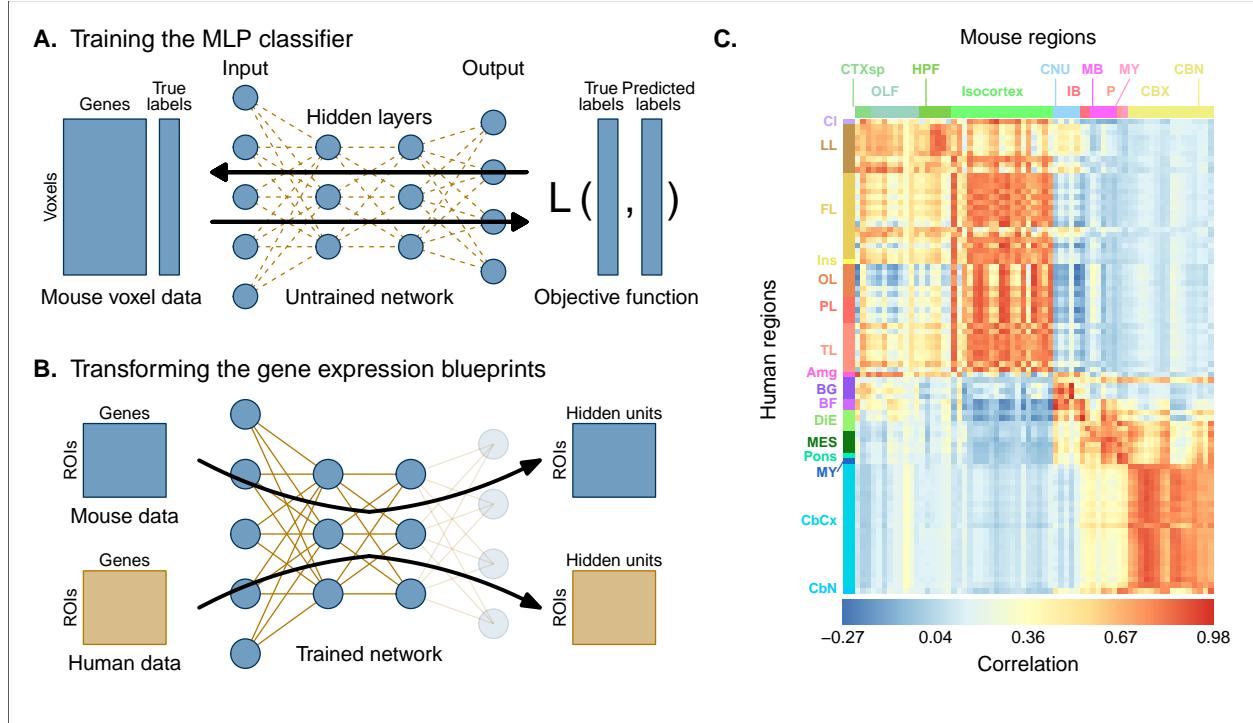


Figure 2: Transforming the common space. (A) Schematic of the neural network training process. Voxel-wise expression maps from 2624 homologous genes in the AMBA were used to train the neural network to classify each mouse voxel into one of 67 atlas regions. (B) Schematic illustrating the transformation from the input space to the gene expression latent space. Once the network is trained, the output layer is removed. The mouse and human regional gene expression matrices are fed through the network, resulting in latent space representations of the data. The training and transformation process was repeated 500 times. (C) Similarity matrix displaying the gene expression latent space correlation between mouse and human regions, averaged over 500 MLP training runs. Similar brain regions exhibit very high correlation values.

To assess whether the latent space representations of the data improved the resolution of the mouse-human matches, we considered two criteria. The first was whether the similarity profiles of the mouse atlas regions were more localized within the corresponding broad regions of interest (e.g. primary motor area within isocortex), compared with their similarity profiles in the original gene space. The second criterion was whether the degree of similarity between canonical neuroanatomical homologues improved in this new common space. The former tells us about our ability to extract finer-scale signal in these profiles, while the latter informs us about our ability to recover expected matches in this finer-scale signal. To evaluate these criteria, we computed ranked similarity profiles for every region in the mouse brain, ordered such that a rank of 1 indicates the most similar human region. In addition, given the difference in absolute value between the input gene space and gene expression latent space correlations, we scaled the similarity profiles to the interval [0, 1] in order to make comparisons between the spaces.

We evaluated the locality criterion by examining the decay rate of the head of the similarity profiles. We reasoned that the plateau of similarity to a broad brain region, as seen in the anatomically-ordered similarity matrices and profiles (Figure 1, panels A and C; Figure 2, panel C), would correspond to a similar plateau at the head of the rank-ordered profiles. Moreover, the emergence of local signal would manifest as an increase in the range between the peaks and troughs within the broad region. In the rank-ordered profiles, this would correspond to a faster rate of decay at the head of the profile. In order to quantify this decay, we computed the rank at which each region's similarity profile decreased to a scaled value of 0.75 (**Yohan comment: How was this chosen? Are the results stable with respect to this parameter?**). This was calculated for every mouse region in the initial gene space, as well as in each of the 500 gene expression latent spaces. As a measurement of performance between the two representations of the data, we then took the difference in this rank between each of the latent spaces and the original gene space (Figure 3, panel A). A negative rank difference indicates an improvement in the latent space.

#### (Armin comment 1: Possible to extract gene weights per ROI?)

Examining the structure-wise distributions of these rank differences, we found that for the majority of regions in our mouse atlas, the classification approach resulted in either an improvement in the amount of locality within a broad region, or no difference from the original gene space (Figure 3, panels B and C). Specifically, 47 regions (70.1%) had a mean rank difference less than or equal to zero. Additionally, the same number of regions returned non-positive rank differences in at least 80% of latent spaces. A few regions performed considerably worse in the latent spaces, notably the main olfactory bulb ( $\mu = 18.4$ ;  $\sigma = 12.7$ ), the accessory olfactory bulb ( $\mu = 8.7$ ;  $\sigma = 11.6$ ), and the cerebellar nuclei ( $\mu = 9.1$ ;  $\sigma = 8.5$ ) (**Armin comment 2, Yohan comment 4: Why?**). In particular, the main olfactory bulb performed worse in 96.6% of latent spaces. Regions within the cortical subplate and olfactory areas (e.g. endopiriform nucleus, postpiriform transition area) benefited the most from the classification approach, with many regions showing improvements in all latent spaces. While the effects are smaller, the similarity profiles of regions belonging to the isocortex and cerebellar cortex also saw an improvement in locality. In the isocortex, 16 out of 19 regions (84.2%) improved in at least 96% of latent spaces. In the cerebellar cortex, 73.3% of regions saw a similar improvement. In contrast, regions belonging to the cerebral nuclei, the diencephalon, midbrain and hindbrain did not see much improvement in this new common space. For instance, only 13.2% of latent spaces returned a non-positive rank difference in the thalamus. For many such regions the degree of locality appears to be worse in this space, though only by a small number of ranks (e.g. striatum ventral region, thalamus, midbrain raphe nuclei). Indeed, the mean rank difference and standard deviation over these regions and all latent spaces

are  $\mu = 1.4$  and  $\sigma = 3.6$ . These results demonstrate that the supervised learning approach used here can improve the resolution of neuroanatomical correspondences between the mouse and human brains, though the amount of improvement varies over the brain. Regions that were already well-characterized using the initial set of homologous genes (e.g. subcortical regions) did not benefit tremendously, but numerous regions in the cortical plate and subplate, as well as the cerebellum, saw an improvement in locality in this new common space.

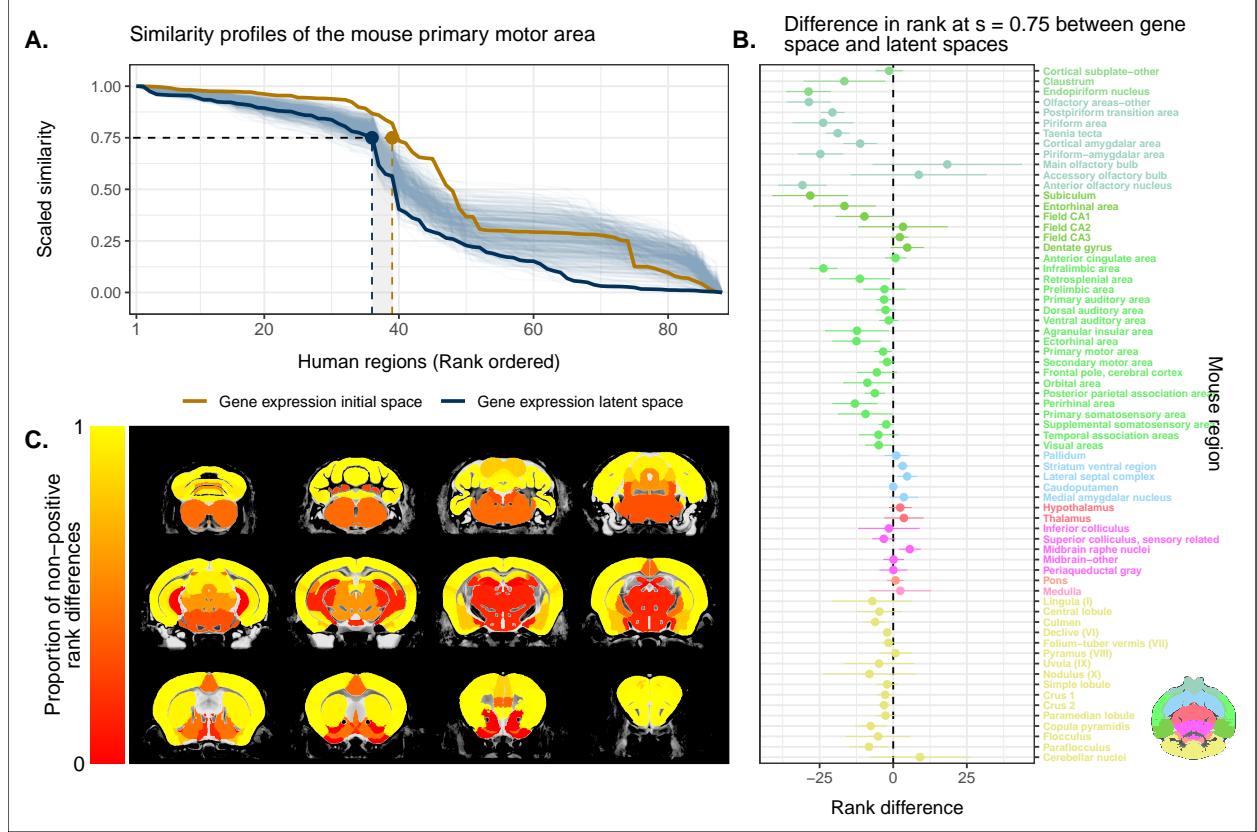


Figure 3: Quantifying improvement in locality in gene expression latent space. (A) The amount of local signal within a broadly similar region of the brain for a finer seed region's (e.g. primary motor area) similarity profile can be quantified by the decay rate of the head of the rank-ordered profile. Decay rate was quantified by computing the rank at a similarity of 0.75. This metric was compared between the initial gene expression space (orange line) and every gene expression latent space resulting from repeated training of the MLP (every blue line is a training outcome, heavy blue line serves as an example). A negative difference between these rank metrics indicates an improvement in locality in the latent space. (B) Structure-wise distributions of differences in rank at a similarity of 0.75 between the initial gene expression space and the gene expression latent spaces. Points and error bars represent mean and 95% confidence interval. Dashed black line at 0 indicates the threshold for improvement in one space over the other. Colours correspond to AMBA annotations as in Figures 1 and 2. (C) Proportion of MLP training runs resulting in an improvement or null difference in the gene expression latent space compared with the initial space. Cortical and cerebellar regions exhibit high proportions of improvement, while subcortical regions are less likely to be improved by the classification process.

While the supervised learning approach improved our ability to identify matches on a finer scale for a number of brain regions, this does not necessarily mean that those improved matches are biologically meaningful. Our second criterion for evaluating the performance of the neural network addresses whether this improvement in locality captures what we would expect in terms of known mouse-human homologies. To this end, we examined the degree of similarity between established mouse-human neuroanatomical matches, both in the initial gene expression space and in the set of latent spaces. We began by establishing a list of 37 canonical mouse-human homologous pairs. For each of these regions in the mouse brain, we evaluated how the rank of the canonical human match changed in the rank-ordered similarity profiles between the latent spaces and

the original gene space (Figure 4, panel A). The lower the rank, the more similar the canonical pair, with a rank of 1 indicating maximal similarity. We additionally calculated the proportion of latent spaces in which each mouse region was more similar or as similar to its canonical human match compared with the initial gene space (Figure 4, panel B). We find that for most regions in the mouse brain, the classification approach either improves the correspondence or performs as well as the full set of homologous genes. For example, 73% of regions exhibit improved similarity in at least 80% of latent spaces. The improvement is most pronounced for regions in the cortical subplate and isocortex. In particular, the frontal pole improves from a rank of 33 to an average rank of 3. Similarly, the visual areas improve from a rank of 32 to an average of 10, though the variance is much higher in this case. Many regions in the sub-cortex don't benefit from the gene expression latent spaces since the initial gene set was already recapitulating the appropriate match with maximal similarity. Apart from the pallidum and the medulla, each of these regions is maximally similar to its canonical match in at least 90% of latent spaces. In such cases, the classification approach performs as well as the original approach. Finally, while many regions in the cerebellum feature some improvement in the latent spaces, the variation in the rank of the standard human pair is often quite large, indicating some instability in the neural network's ability to recover these matches. To understand this pattern, we examined the latent space distributions of the top 24 matches for cerebellar cortical regions such as the crus 1 and copula pyramidis (Figure 4, panel C). We find that these matches are always cerebellar regions. Thus the high degree of variance observed in the ranks of the canonical cerebellar pairs corresponds to a shuffling of the cerebellar targets on the human side. For instance, when the mouse crus 1 is used as the seed region, the human crus 1 is most often assigned a rank between 6 and 9. However, similar proportions in that range occur for the crus 2 and lobules V, VI and VIIIB, indicating that these cerebellar regions are swapping ranks in the different latent spaces. Thus while cerebellar regions are reliably associated with other cerebellar regions in the gene expression latent spaces, these associations are not stable over multiple training runs.

(**Elaborate on how cerebellar regions are hard to distinguish using gene expression**)

(**Finish organizing Figure 4**)

These results demonstrate that the MLP classification approach improves our ability to resolve finer scale mouse-human neuroanatomical matches within the broadly similar regions obtained using the initial gene expression space. By training a classifier to predict the atlas labels in one species, we were able to generate a new common space that amplified the amount of local signal within broadly similar regions while also improving our ability to recover known neuroanatomical homologues.

## Sensorimotor areas of the mouse isocortex are more strongly conserved than association areas

Having validated our ability to resolve finer scale matches using the gene expression latent space, we proceeded to investigate hypotheses about conservation of brain areas between the mouse and human. We hypothesized that sensorimotor areas of the cortex would be more conserved than association areas (**Need some literature justification and contextualization here**). We quantified the degree of conservation for a given cortical seed region in one species as the maximal correlation value across all target regions in the other species. We evaluated this quantity for every region in the mouse isocortex in each of the 500 gene expression latent spaces (Figure 5, panel A). We further probed the association between cortex type and conservation by computing the average maximal correlation per cortex type in each of the gene expression la-

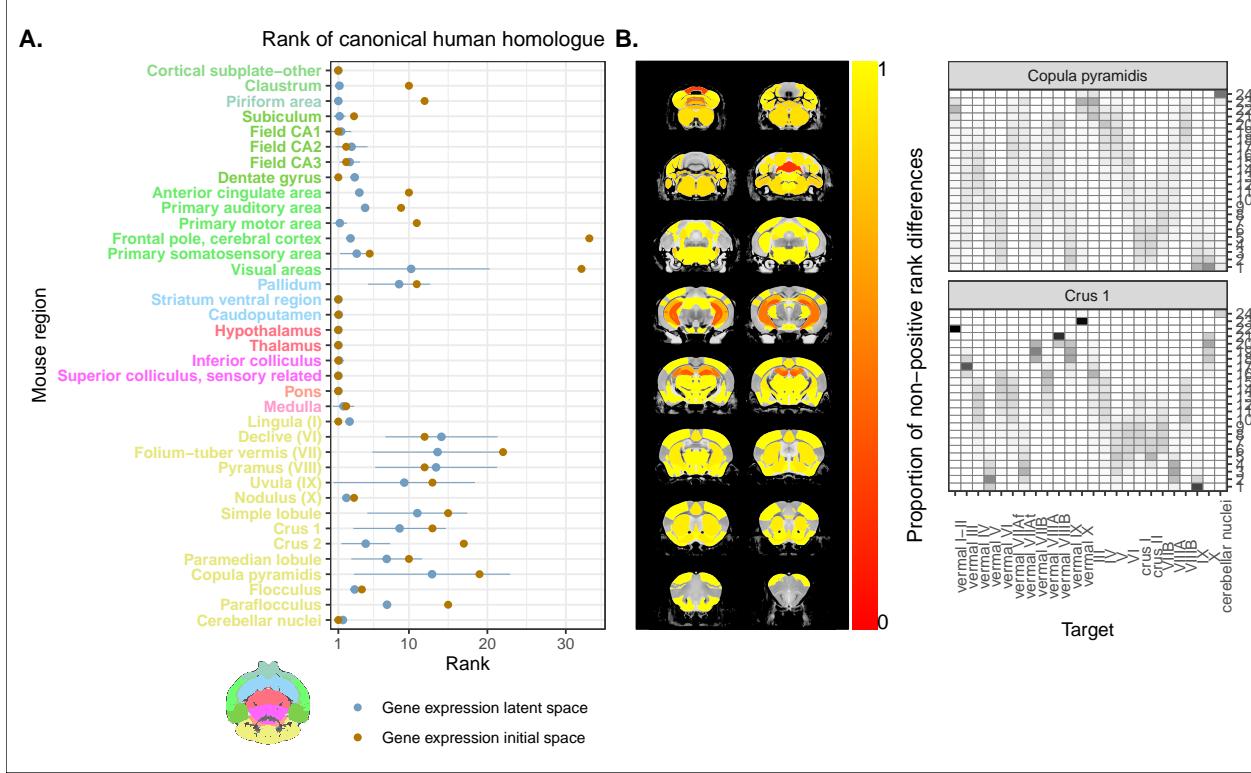


Figure 4: Recovering canonical neuroanatomical pairs in gene expression space. (A) Comparison between the ranks of canonical human matches for mouse seed regions between the initial gene expression space and gene expression latent spaces. Points and error bars represent mean and 95% confidence interval. Axis colours correspond to AMBA annotations. (B) Proportion of latent spaces resulting in an improvement or null difference compared with the initial gene space. Uncoloured areas correspond to regions with no established canonical human match. (C)

tent spaces (Figure 5, panel B). On average, we find that mouse sensorimotor cortical areas exhibit a greater degree of conservation than association areas, with the primary motor and somatosensory areas being the most conserved. Despite the stochasticity that emerges due to the training process of the MLP classifier, mouse sensorimotor cortical areas consistently exhibit larger maximal correlation values than association areas.

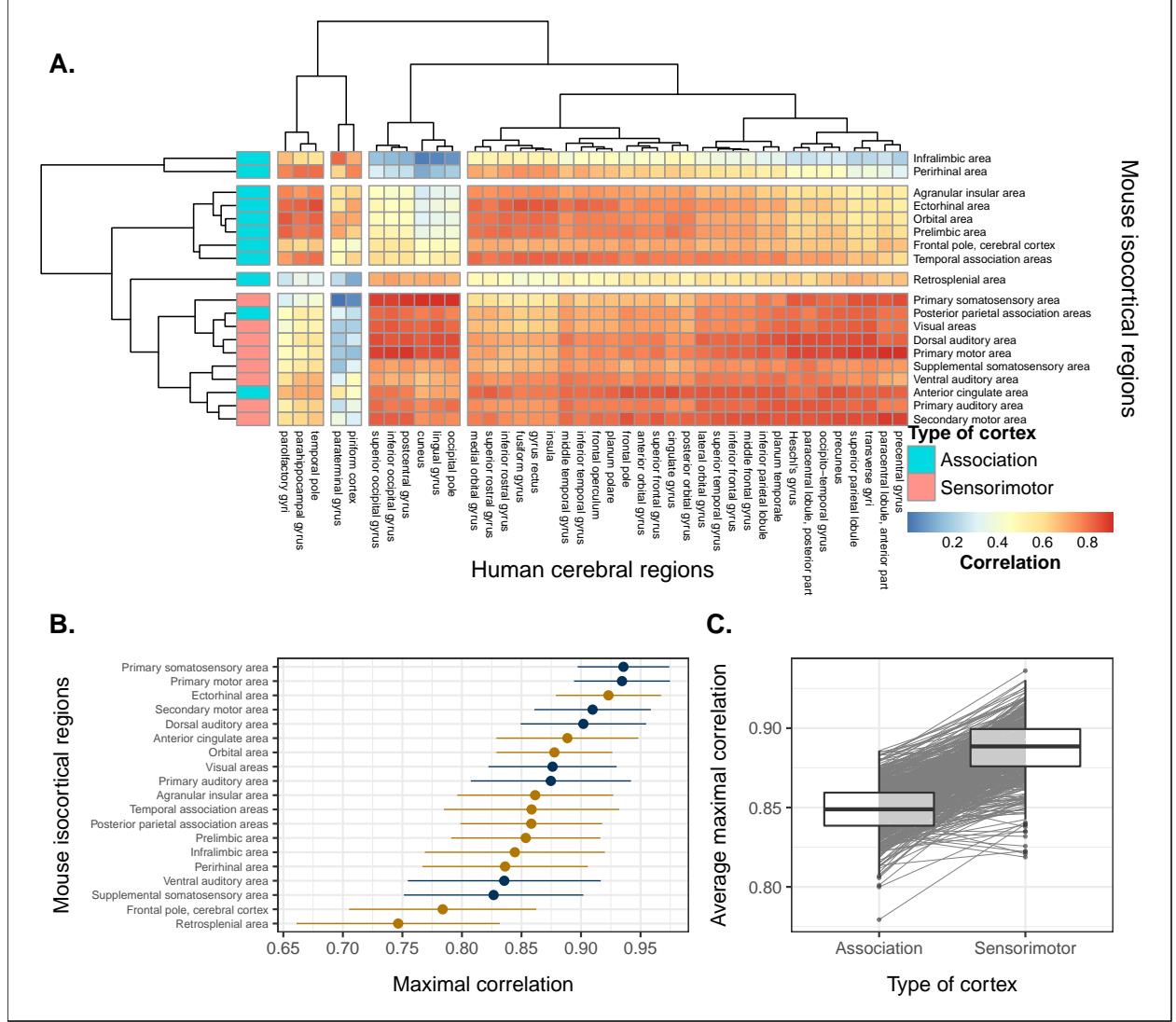


Figure 5: Conservation of mouse cortical areas. The gene expression latent space can be used to evaluate evolutionary hypotheses such as whether sensorimotor or association cortical areas are more conserved between the mouse and human. (A) Conservation was evaluated using the maximal correlation value of seed region. Points and error bars represent mean and 95% confidence interval over latent space samples. Sensorimotor areas tend to have higher maximal correlation values on average. (B) Average maximal similarity per cortex type in each gene expression latent space.

## Transcriptomic similarity reveals complex relationships between the mouse and human striatum

An advantage of the common space approach is that it allows for the quantitative comparison of brain organization across related species. This gives rise to the possibility of exploring more nuanced relationships

between neuroanatomical regions. Moreover, common spaces built using diverse features of the brain provide information about multiple facets of brain organization. Given that the majority of studies using the common space approach have focused on comparing primate brains, Balsters et al. (Balsters et al. 2020) were among the first to apply it to mice and humans. Using rs-fMRI, they evaluated the similarity of voxels in the striatum across species. They found that the nucleus accumbens was highly conserved across mice and humans, and that voxels in the posterior part of the human putamen were significantly similar to the lateral portion of their mouse caudoputamen parcellation. Additionally, they report that 85% of voxels in the human striatum were not significantly similar to any of their mouse striatal seeds, and that 25% of human striatal voxels were significantly *dissimilar* compared with the mouse. Motivated by these results, we investigated the patterns of similarity between the mouse and human striatum (**striata?**) on the basis of gene expression, specifically using the MLP latent space representations.

We first identified the striatal regions present in the AHBA; these were the caudate nucleus, the putamen, and the nucleus accumbens. We evaluated the correlation between the microarray samples in these regions and every region in the mouse atlas. Based on these correlation values, we focused our analysis on the four mouse regions that were consistently the most similar across all latent spaces: the caudoputamen, the nucleus accumbens, the fundus of striatum, and the olfactory tubercle. For each of the human striatal regions, we then calculated the average correlation over the samples to each of the mouse targets. We examined the distribution of these average correlation values over the latent spaces (Figure 6, panel A). We find that the human caudate and putamen consistently exhibit the strongest degree of similarity to the mouse caudoputamen. The median of the distribution for the caudate-caudoputamen pairs is 0.95 and 0.97 for the putamen-caudoputamen pairs, with modes at 0.94 and 0.97, respectively. All latent spaces return correlations greater than 0.9 for caudate-caudoputamen and putamen-caudoputamen pairs. Beyond this expected top match, the caudate and putamen both exhibit high similarity to the nucleus accumbens and the fundus of striatum, with mean correlation values of about 0.80. Neither of these target regions is consistently more similar to the mouse caudoputamen over all latent spaces. While the similarity of the caudate and the putamen to the caudoputamen is unsurprising, the story isn't as clear for the human nucleus accumbens. We find that the variance pooled over all mouse targets is much lower ( $\sigma = 0.04$ ) compared with the pooled variances for the caudate ( $\sigma = 0.09$ ) and putamen ( $\sigma = 0.10$ ), indicating less specificity to any one mouse striatal target. In particular, the human nucleus accumbens isn't as specifically similar to the mouse nucleus accumbens in the way that the caudate and putamen are similar to the caudoputamen. The mouse target distributions are right-shifted compared with those for the caudate and putamen, with mean values of 0.90, 0.89, and 0.89 for the mouse nucleus accumbens, caudoputamen, and fundus of striatum, respectively. The human accumbens also exhibits a high degree of similarity to the mouse olfactory tubercle, whose distribution is also right-shifted compare with the caudate and putamen.

Given the high correlation of the human caudate and putamen to the mouse caudoputamen, as well as the finding reported by Balsters et al. about the similarity of the lateral caudoputamen to the putamen, we were curious as to whether we could identify sub-regional patterns of similarity in the caudoputamen using these gene expression data (**Make sentence more general to striatum rather than just CP**). To probe this question, we calculated the proportion of latent spaces in which each voxel in the mouse striatum was maximally similar to all regions in the human atlas. We created brain maps for the set of human regions that exhibited the highest proportions over mouse striatal voxels (Figure 6, panel B). We find that voxels in the caudoputamen are most often maximally similar to the human caudate and putamen, with 75% of voxels in the caudoputamen being maximally similar to the caudate or putamen in at least 95%

of latent spaces, and 62% of voxels being maximally similar to one of those targets in *all* latent spaces. Interestingly, we observe the emergence of a continuous bilateral pattern of specificity to the caudate and putamen, with voxels in the rostral and lateral-caudal parts of the caudoputamen being more specific to the caudate, and voxels in the medial-rostral part being more specific to the putamen. This is in contrast to the findings reported by Balsters et al., in which voxels in the human posterior putamen were statistically similar to the lateral mouse caudoputamen (**Discussion to be had here, but I don't know why this is pattern is the way it is. Number of possible points to touch upon:** 1. Results are kind of backwards compared with the orientation in the human, in which the head and body of the caudate is anterior/rostral, medial, and superior while the putamen is inferior and lateral. This is also the approximate positioning of Balsters' mouse connectivity parcellation into a medial and lateral CP. 2. Balsters study doesn't compare voxels in the mouse CP to the human caudate and putamen, only an aggregate regional parcellation into medial and lateral CP. There is no cross-species comparison within these regional definitions. Moreover they are comparing voxels in the HUMAN striatum to their three mouse caudoputamen parcels. They perform NHST from human striatal voxels to each of their three mouse targets. 3. Maps are not directly comparable between the studies. Their results are based on statistical significance from resampling, ours are from the proportion of spaces in which voxels are maximally similar. They also find that 85 percent of voxels in the caudate and 77 percent of voxels in the putamen are not statistically similar to any of their three mouse striatal parcels. 4. Examining the actual correlation values to caudate/putamen, we find no patterning in the CP, but homogeneous very high similarity values to the caudate and putamen (Supplemental figure?). Patterning only emerges in proportion of top matches. A big component of it then is probably the resampling of the MLP. Unclear what would emerge if we had proper proportions over gene map samples instead. Might be informative to look at maximal similarity in the original gene space. Won't have proportions in that case, only one top match per voxel. Is there still a pattern? Is the top match randomly distributed among the CP voxels?). Additionally, a small number of voxels (9%) in the ventral portion of the caudoputamen are maximally similar to the nucleus accumbens in at least 80% of latent spaces. Beyond the caudoputamen, we find that the accumbens and olfactory tubercle in the mouse are consistently similar to the human nucleus accumbens, with 80% of mouse accumbens voxels and 65% of olfactory tubercle voxels having the human accumbens as their top match in at least 80% of latent spaces. For those voxels below this threshold, the human regions that are most often the top match are the amygdala, the septal nuclei, and the substantia innominata. Finally, we also find that the set of striatal voxels most similar to the septal nuclei belong to the lateral septal complex.

(Finish off this section by discussing why this is interesting. What are the key outcomes? Four parts: A. Method works, as we find caudoputamen to caudate/putamen. B. Method works, as we find accumbens to accumbens. C. We find interesting results beyond these simple matches. Pattern in the CP. Does this make sense? D. We find interesting match between olfactory tubercle and accumbens. Also include references to literature. Balsters, obviously. But Myers also looked at voxelwise similarity within the CP using Allen gene data. Fairly homogeneous if I remember? Also touch on other definitions of striatum?)

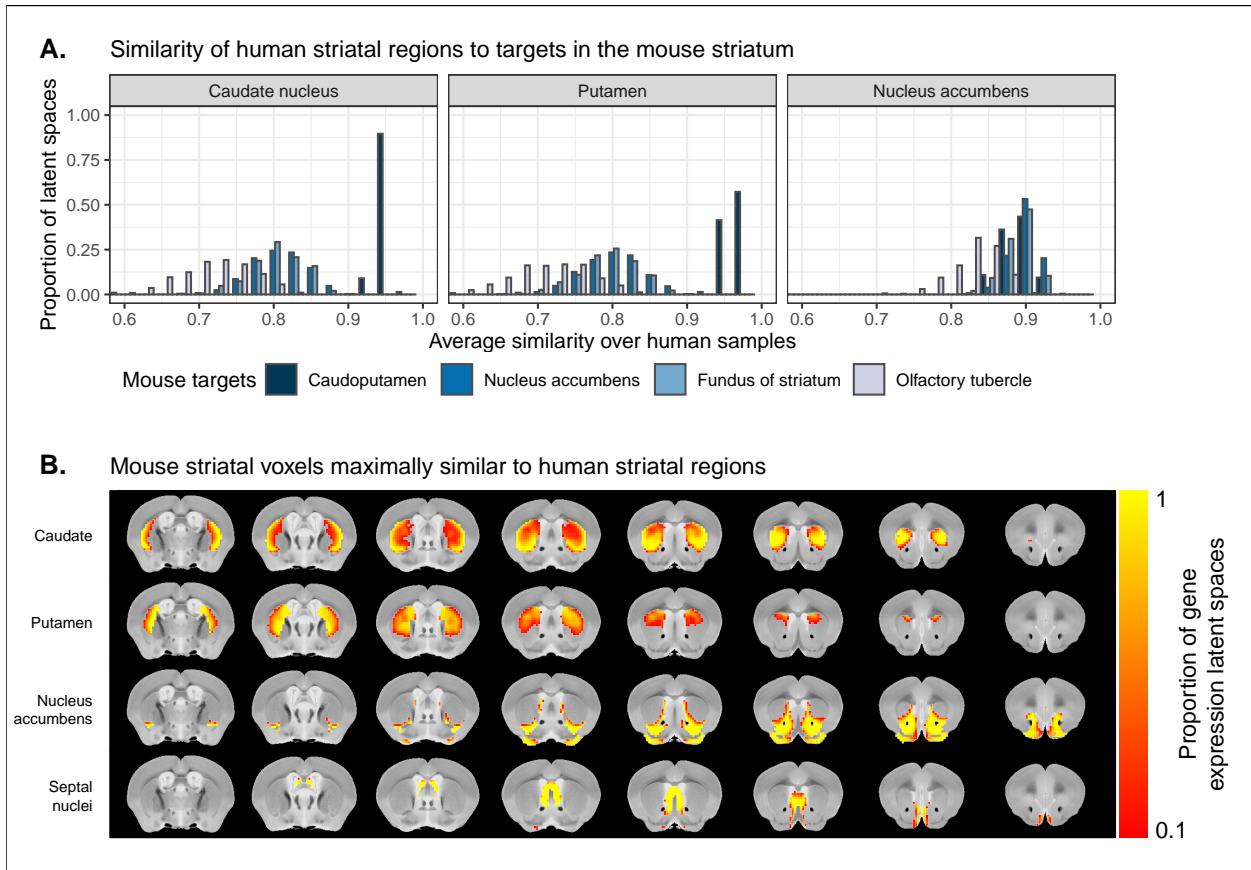


Figure 6: Patterns of similarity between mouse and human striatal regions. (A) Distributions over gene expression latent spaces of region-wise average correlation values for mouse and human striatal pairs. (B) Proportions of latent spaces in which mouse striatal voxels are maximally similar to human target regions.

## Discussion

We have demonstrated how the spatial transcriptomic patterns of homologous genes can be used to make quantitative comparisons between the mouse and human brain. Using the expression of 2624 homologous genes, we identified broad patterns of similarity between the brains of the two species. We presented a novel approach using supervised learning in which a lower dimensional representation of the data was used to extract mouse-human neuroanatomical correspondences on a finer scale. We found that this approach both enhanced the locality of matches within broadly similar regions, and improved our ability to recapitulate expected mouse-human homologues. Using this method, we confirmed that sensorimotor areas of the mouse isocortex exhibit a greater degree of conservation compared with association areas (**Needs revision**). We also demonstrated that regions in the mouse and human striata exhibit patterns of correspondence that go beyond one-to-one matches.

The abundance of neuroscience research performed using mice has resulted in a wealth of knowledge about the mouse brain. In the preclinical setting, mouse models are utilized with the intention of better understanding human neuropathology. For instance, in the context of autism spectrum disorders, a plethora of studies using mouse models have reported on the neurobiological and neuroanatomical phenotypes that arise from mutations at specific genetic loci (Horev et al. 2011; Gompers et al. 2017; Pagani et al. 2021). It is common for researchers involved in translational neuroscience to rely on findings of this kind to make inferences about the human disorder. The typical approach, which is to identify rough post-hoc correspondences between neuroanatomical ontologies, is not particularly comprehensive and is subject to confirmation bias. While it may be a reasonable starting point for comparison, the true correspondence between the mouse and human brain is likely more complicated given the evolutionary distance between the two species. We expect that coarsely defined neuroanatomical regions will be conserved to a large extent (**why?**), but many finer scale regions are likely to have diverged and specialized over time as the two species adapted to their specific ecological niches (Kaas 2005; Krubitzer and Kaas 2005). A consequence of this divergence may be the re-organization of brain regions. It is unlikely that every brain region has a singular correspondence between the two species, suggesting that a qualitative one-to-one approach does not capture the entire story. A prime example of this is the separation of the caudoputamen into anatomically distinct nuclei, the caudate and the putamen (**Not sure where I'm going with this.**). Novel quantitative methods, such as the common space approach, are needed to improve the robustness of cross-species comparisons and to ameliorate the evaluation of face validity for animal models used in translational research.

The expression of homologous genes provides an elegant way to define a common space for quantitative cross-species comparisons since it relies on homology at a deep molecular biological level. The approach is not without limitations however. The acquisition of whole-brain transcriptomic data is labour-intensive, time consuming, and invasive. These data sets cannot be generated easily, especially in the human, in which the process depends on the availability of post-mortem samples. As a result, the effective sample sizes are extremely limited in this domain. For instance, in the AMBA coronal data set used here, the brain-wide expression of each gene is sampled only once (barring a few exceptions). This constrains the types of analyses that are possible (e.g. null-hypothesis significance testing) and largely limits the availability of replication data sets. That being said, new technologies, such as spatial transcriptomics, are gradually making it easier to acquire brain-wide gene expression data in less time and at lower cost (Stahl et al. 2016; Vickovic et al. 2019; Ortiz et al. 2020). In the meantime, other modalities such as rs-fMRI have an advantage. Larger samples can be generated with relative ease in a variety of species. The caveat here is that the resulting common space

relies on a definition of homology at a higher level, i.e. a priori definitions of homologous neuroanatomical targets. Realistically, both of these modalities are useful in their own right and likely provide complementary information about mouse-human brain correspondences. For instance, we have shown that neuroanatomical organization within the cerebellar cortex is poorly captured by the expression of mouse-human homologous genes. This is not limited to cross-species comparisons: It has been shown both in the mouse and the human separately that gene expression similarity within the cerebellar cortex is incredibly homogeneous (Lein et al. 2007; Hawrylycz et al. 2012; Myers 2017). Thus transcriptomic data is not well-suited to investigating cerebellar organization. In contrast, modalities such as functional connectivity and structural covariance have proven useful for elucidating sub-regional organization in the cerebellum (Yee et al. 2018; Ren, Guo, and Guo 2019). Moving forward, the availability of similar data sets in mice and humans over a range of modalities opens the door to the possibility of multi-modal cross-species comparisons. One possible approach is the application of data integration strategies such as similarity network fusion (Wang et al. 2014) to generate a multi-modal similarity network between mouse and human brain regions or voxels. Strategies like this one may work better down the road, as any one modality may not be well-suited to the investigation of specific brain regions.

The common space framework is a powerful way to explore and evaluate relationships between the brains of different organisms. This is especially true for species as distantly related as the mouse and human, where methods such as cross-species image registration or cortical expansion maps are not feasible. While the use of this framework for mouse-human brain comparisons is still in its infancy, it shows promise for elucidating the relationship between mouse and human brains and improving our understanding of the translational potential between these two species. Importantly, the framework allows for the quantification of cross-species comparisons, which is needed to reduce bias in interpreting translational results. Additionally, common spaces open the door to new ways of translating results between species, such as the direct transformation of brain-wide maps from one species to the other (Rogier B Mars et al. 2018; Mandino et al. 2021). (**Closing statement**)

## Materials and methods

### Mouse gene expression data

We used the whole-brain in-situ hybridization (ISH) coronal data set from the Allen Mouse Brain Atlas (Lein et al. 2007). Specifically, we used gridded gene expression data, i.e. expression data aligned to the Allen Mouse Brain Common Coordinate Framework (CCFv3)(Wang et al. 2020) and summarized under a lower resolution grid (200 $\mu$ m). We used gene expression “energy” values, which are defined by the Allen Institute at each voxel as the product of the expression density (“sum of expressing pixels / sum of all pixels in division”) and expression intensity (“sum of expressing pixel intensity / sum of expressing pixels”) (see <http://help.brain-map.org/display/mousebrain/API> for more details). Mouse gene expression data were obtained from the Allen Institute’s API ([http://api.brain-map.org/grid\\_data/download/%5Bgene\\_id%5D](http://api.brain-map.org/grid_data/download/%5Bgene_id%5D), see <https://help.brain-map.org/display/api/Downloading+3-D+Expression+Grid+Data> for more details) as a raw sequence of float (32-bit) values and subsequently reshaped into 3D images in the MINC format using the `rawtominc` utility. Origin, extents, and spacing were defined such that the image was RAS-oriented, with the origin at the point where the anterior commissure crosses the midline. The MINC images for 4346

ISH experiments were imported into the Python programming language using the `pyminc` library ([ref?](#)). The image arrays were masked and reshaped to form an experiment-by-voxel expression matrix. We pre-processed this data by first applying a `log2` transformation for consistency with the human data set. For those genes associated with more than one ISH experiment, we averaged the expression of each voxel across the experiments. We subsequently filtered out genes for which more than 20% of voxels contained missing values. Finally we applied a K-nearest neighbours algorithm to impute the remaining missing values. This was done using the genes as the variables and voxels as the observations. The result of this pre-processing pipeline was a gene-by-voxel expression matrix with 3958 genes and 61316 voxels.

## Human gene expression data

Human gene expression data was obtained from the Allen Human Brain Atlas (Hawrylycz et al. 2012). We used the microarray data from the brains of all six donors, each of which contains `log2` expression values for 58692 gene probes across numerous tissue samples. The data were pre-processed using a custom pipeline built following the recommendations from Arnatkeviciūtė et al. (Arnatkeviciūtė, Fulcher, and Fornito 2019). The pipeline was implemented using the R programming language. Specifically, once imported, we passed the data from individual donors through a set of filters. The first filter removed gene probes that were not associated with an existing Entrez gene ID. The second filtering step used the probe intensity filter provided by the AHBA. For each donor, we only retained the probes for which more than 50% of samples passed the intensity filter. After filtering, we aggregated the expression values for probes that corresponded to the same gene. To do so, we computed the average expression per sample for probes corresponding to a given gene. This was done separately for each donor, and the averages were computed in linear space rather than `log2` space. Once the average gene expression values were obtained, we transformed the data back to `log2` space. Finally, we combined the gene-by-sample expression matrices across the different donors. In doing so, we retained only those genes present in the data sets from all six donors. The result was a gene-by-sample expression matrix with 15125 genes and 3703 samples.

## Mouse atlases

We used a modified version of the DSURQE atlas from the Mouse Imaging Centre (Dorr et al. 2008; Richards et al. 2011; Ullmann et al. 2013; Steadman et al. 2014; Qiu et al. 2018). The labels of the DSURQE atlas correspond to the leaf node regions in the AMBA ontology, which allowed us to use the hierarchical neuroanatomical tree to aggregate and prune the atlas labels to the desired level of granularity. For the purposes of our analyses, we removed white matter and ventricular regions entirely. The remaining grey matter regions were aggregated up the hierarchy so that the majority of resulting labels contained enough voxels to be classified appropriately by the multi-layer perceptron. In doing so, we maintained approximately the same level of tree depth within a broad region (e.g. cerebellar regions were chosen at the same level of granularity). This resulted in a mouse atlas with 67 grey matter regions. We additionally generated an atlas with 11 broader regions for visualization and annotation purposes.

## Human atlases

For our human atlas we used the ontology from the AHBA. We aggregated and pruned the neuroanatomical hierarchy to correspond roughly to the level of granularity obtained in our mouse atlas, resulting in 88 human brain regions. We additionally generated a set of 16 broad regions for visualization and annotation. White matter and ventricular regions were omitted entirely.

## Expression and similarity matrices

We created the mouse and human gene-by-region expression matrices from the human gene-by-sample expression matrix and the mouse gene-by-voxel expression matrix. We intersected these gene sets with a list of 3331 homologous genes obtained from the NCBI HomoloGene database (NCBI 2018) (**Yohan: What is considered a homologous gene?**), resulting in 2624 genes present in both the mouse and human data sets. We then annotated each of the human samples with one of the 88 human atlas regions, and each of the mouse voxels with one of the 67 mouse atlas regions, discarding white matter and ventricular entries in the process. These labelled expression matrices were subsequently normalized. For each matrix, we first standardized every gene across all voxels or samples using a z-scoring procedure. We then centered every voxel or sample by subtracting the average expression over all homologous genes. Finally, we generated the gene-by-region matrices by averaging the expression of every gene over the voxels or samples corresponding to each atlas region. Using these expression matrices, we generated the mouse-human similarity matrix by computing the Pearson correlation coefficient between all pairs of mouse and human regions.

## Multi-layer perceptron classification and transformation

To improve the resolution of mouse-human neuroanatomical matches, we performed a supervised learning approach, wherein we trained a MLP neural network to classify 67 mouse atlas regions from the expression values of 2624 homologous genes. We chose a model architecture in which each layer of the network was fully connected to previous and subsequent layers. To optimize the hyperparameters, we implemented an ad hoc cross-validation procedure that took into account the fact that the majority of genes in the coronal AMBA data set are sampled only once over the entire mouse brain. The procedure involved a combination of the coronal data set and the sagittal AMBA data set. The genes from the sagittal experiments were filtered to match the genes in the coronal set. We imported and processed both the sagittal and coronal data sets using a version of the pipeline described above with the following modifications: 1. We applied a *unilateral* brain mask to both the coronal and sagittal data sets since the sagittal data is unilateral by construction, and 2. we did not aggregate the expression of multiple ISH experiments for those genes in the coronal set featuring more than one experiment. Once these experiment-by-voxel expression matrices were built, we filtered each of them according to the list of mouse-human homologous genes and the human sample expression matrix. We also annotated the voxels in each of the expression matrices with one of the 67 regions in the atlas. Our validation procedure then involved iterative construction of training and validation sets by sampling gene experiments from either the coronal or sagittal data sets: For every gene in the homologous set, we first determined whether that gene was associated with more than one experiment in the coronal data set. If this was the case, we randomly chose one of those samples for the training set and one of the remaining samples for the validation set. If the gene was associated with only one experiment in the coronal set, we

randomly chose either the coronal or sagittal experiment for the training set and the other for the validation set. Once the training and validation sets were generated, they were normalized as described above. We then trained the MLP using the training set and evaluated its performance on the validation set. Given that the construction of the training and validation sets involved some randomness, we repeated this construction, training, and validation procedure 10 times for every hyperparameter combination.

The hyperparameters that we optimized using this method were the number of hidden layers in the network, the number of hidden units (i.e. neurons) per hidden layer, the dropout rate, and the amount weight decay. The values we optimized over were as follows. We varied the number of hidden layers between 3 and 5. We varied the number of hidden units between 100 and 1000 in increments of 100. For the dropout rate, we examined values of 0, 0.25 and 0.50. For the amount of weight decay, we examined values of  $10^{-6}$  and  $10^{-5}$ . We found that the best-performing model had 3 hidden layers, 200 neurons per layer, a dropout rate of 0, and a weight decay value of  $10^{-6}$ . This model returned an average classification accuracy of 0.926 on the training sets and of 0.526 on the validation sets. Following validation, we used the optimal hyperparameters to train the MLP on the full bilateral coronal voxel-wise expression matrix. These models were implemented in Python using `NeuralNetClassifier` class from the `skorch` API (see <https://skorch.readthedocs.io/en/stable/#>). For both validation and training, the models were trained over 200 epochs using a maximum learning rate of  $10^{-5}$ . We used the `AdamW` optimization algorithm (Loshchilov and Hutter 2019) and `OneCycleLR` learning rate scheduler policy from PyTorch. The activation function used in the forward pass was the rectified linear unit (ReLU) and the loss function was the negative log-likelihood loss, which is the default for the `NeuralNetClassifier` class.

We used the trained MLP to generate the new gene expression latent space. To extract the appropriate transformation, we removed the predictive output layer and softmax transformation from the network architecture. The resulting architecture returns the 200 hidden units in the third hidden layer as the output of the network. To create the latent space data representations, we applied this network to the mouse and human gene-by-region expression matrices, transposed so that the genes were the input variables. The resulting matrices have 200 columns corresponding to the hidden units and 67 (88) rows corresponding to the mouse (human) regions. They describe each brain region's weight over the hidden units. To create the similarity matrix, we calculated the Pearson correlation coefficient between all pairs of mouse and human regions.

Given the stochasticity inherent in training the MLP (e.g. random weight initialization and stochastic optimization), we repeated the training and transformation process 500 times using the same network architecture and input data.

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