# Adaptive Ant Colony Optimization with Node Clustering for the Multi-Depot Mixed Fleet Capacitated Multiple TSP

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## 1 Variables

•  $V = \{D \cup C\}$ : total vertices, Depots & Customers

 $\bullet$  VT: total Vehicle Types

 $\bullet$  D: total Depots

 $\bullet$  R: solution

 $\bullet$  K: cluster matrix

•  $\tau$ : pheromone matrix

•  $n_{ants}$ : number of ants in colonies

•  $n_{freq}$ : frequency of the local optimization

•  $n_{prim}$ : number of primary clusters

•  $n_{size}$ : number of vertices in clusters

•  $n_{sect}$ : number of sectors

•  $T_{update}$ : temperature udpating coefficient

•  $\alpha_{update}$ : temperature cooling coefficient

•  $\rho_{min}, \rho_{max}$ : minimum and maximum limits of the pheromone evaporation coefficient

•  $\delta$ : pheromone updating coefficient

•  $\alpha$ : distance probability coefficient

•  $\beta$ : pheromone probability coefficient

At the initial phase of the algorithm, the pheromone matrix  $\tau$  is initialized using (1).  $\tau$  is a 4-dimensional matrix.

$$\tau_{ij}^{(k)(h)}=1$$
 for all  $v_i,v_j\in V,\,vt_k\in VT$  and  $d_h\in D$  (6)

Pheromone matrix update, evaporation coefficient  $\rho$  and pheromone evaporation follow the same principles with the original AACO-NC found here.

The node clustering technique also follows the original paper, which can be found here.

Although in this algorithm each vertex has more than one set of clusters, which is equal to the number of different vehicle types. This is because of the restrictions which forbid some vehicles from visiting certain customers.

## 2 Functions

In general, the functions used in this algorithm are slightly altered versions of the ones presented in the AACO-NC for the MDVRP by Stodola, with the purpose of adapting the AACO-NC algorithm to solve the MD-mfcmTSP.

The MD-mfcmTSP differs from the MDVRP in the following ways:

- 1. Supports multiple vehicle types (different capacities and speeds).
- 2. Each vehicle type has an assigned speed.
- Considers realistic scenarios where big vehicles cannot access certain customers and drone safe landing spaces (not all customers can be serviced by drone).
- 4. Minimizes makespan instead of distance.
- 5. Vehicle capacity is dictated by the vehicle type and not by the vehicle's depot (depots are considered always stocked and as a reloading station for their vehicles).
- 6. Not every depot has to have the same number of vehicles or vehicle types assigned (e.g. Depot 1 has 4 trucks, Depot 2 has 10 drones and Depot 3 has 3 trucks, 5 motorcycles and 7 drones).

#### Algorithm 1: AACONC

```
Input: V, n_{\text{ants}}, n_{\text{freq}}, n_{\text{size}}, n_{\text{sect}}, n_{\text{prim}}, T_{\text{update}}, \alpha, \beta, \rho_{\text{min}}, \rho_{\text{max}}, \delta
 1 |R| \leftarrow \infty
 2 iter \leftarrow 0
 3 Initialize pheromone matrices \tau
    for each t \in VT
          for each v_i \in V^{(t)}
              K^{(t)(v_i)} \leftarrow \text{CreateClusters}(V^{(t)}, v_i, n_{size}, n_{sect}, n_{prim})
 7
 8 end for
    while not terminated do
 9
          |R_{\text{best}}| \leftarrow \infty
10
          iter \leftarrow iter + 1
11
          for a = 1 to n_{ants} do
12
                R_a \leftarrow \text{AntSolution}(V, K, \tau, \alpha, \beta)
13
14
                if |R_a| < |R_{best}| then
                     R_{\text{best}} \leftarrow R_a
15
               end if
16
          end for
17
18
          if iter \mod n_{freq} = 0 then
              R_{\text{best}} \leftarrow \text{LocalOptimization}(V, R_{\text{best}})
19
          end if
20
          if |R_{best}| < |R| then
21
            R \leftarrow R_{\text{best}}
22
          end if
23
          Update pheromone matrices \tau
24
          Calculate evaporation coefficient \rho
25
          Evaporate pheromone matrices \tau using \rho
26
27 end while
28 return R
```

## **Algorithm 2:** antSolution

```
1 Function antSolution(V = \{D, C\}, K, \tau, \alpha, \beta)
         V_{free} = C
 2
         while V_{free} \neq \emptyset do
 3
               vt = \text{selectVehicleType}(V_{free}, K, \tau)
 4
              d = \text{selectDepot}(vt, V_{free}, K^{(vt)}, \tau)
              v = \text{selectVehicle}(vt, d, V_{free}, K^{(vt)}, \tau)
              pos \leftarrow vehicle's position
               k = \text{selectCluster}(vt, d, v, V_{free}, K^{(pos)(vt)}, \tau, \alpha, \beta)
 8
               V_{candidates} = V_{\text{free}} \cap K_k^{(pos)(vt)}
 9
               c = \text{selectCustomer}(vt, d, pos, V_{candidates}, \tau, \alpha, \beta)
10
              if v_{load} < c^{(demand)} then  R_d^{(vt)} = R_d^{(vt)} + \{d\} 
11
12
                    v_{load} = vt_{capacity}
13
              end if
14
              R_d^{vt} = R_d^{vt} + \{c\}
15
              v_{load} = v_{load} - c^{(demand)}
16
              V_{\text{free}} = V_{\text{free}} - \{c\}
17
         end while
18
         for each d \in D and vt \in VT //Vehicles return to
19
           their depots
             R_d^{vt} = R_d^{vt} + \{d\}
20
         end for
21
          return R = \{R_1^1, R_2^1, ..., R_2^3, R_3^3, R_D^{VT}\}
22
```

## **Algorithm 3:** selectVehicleType

```
1 Function selectVehicleType(V_{free}, K, \tau)
         for each vehicle type t_i \in VT do
 \mathbf{2}
              V_{\rm cand} = \emptyset
 3
              for each vehicle do
 4
                   pos \leftarrowvehicle's current location
 \mathbf{5}
                   d \leftarrowvehicle's depot
 6
                   for k = 1 to n_{prim} do
                      V_{cand} = V_{cand} + V_{free} \cap K_k^{(pos)(t_i)}
                   end for
 9
              end for
10
             p(t_i) = \sum_{v_j \in V_{cand}} \tau_{v_{pos}v_j}^{(t_i)(d)}
11
         end for
12
         p_{\text{sum}} = \sum_{t_i \in VT} p(t_i)
13
         return rouletteWheel(p(VT), p_{sum})
14
```

## Algorithm 4: selectDepot

```
1 Function selectDepot(vt, V_{free}, K^{(vt)}, \tau)
         for each d_i \in D^{(vt)} do
 2
              V_{cand} = \emptyset
 3
              for each vehicle do
 4
                  pos \leftarrow vehicle's current location
 5
                  for k = 1 to n_{prim} do
 6
                       V_{cand} = V_{cand} + V_{free} \cap K_k^{(pos)(vt)}
                  end for
 8
              end for
 9
             p(d_i) = \sum_{v_j \in V_{cand}} \tau_{v_{pos}v_j}^{(vt)(d_i)}
10
         end for
11
         p_{sum} = \sum_{d_i \in D^{(vt)}} p(d_i)
12
         return rouletteWheel(p(D^{(vt)}), p_{sum})
13
```

## Algorithm 5: selectVehicle

```
1 Function selectVehicle(vt, d, V_{free}, K^{(vt)}, \tau)
         for each v_i \in V^{(vt)(d)} do
              V_{\rm cand} = \emptyset
 3
              pos \leftarrowvehicle's current location
 4
              for k = 1 to n_{prim} do
 5
                   V_{cand} = V_{cand} + V_{free} \cap K_k^{(pos)(vt)}
 6
             p(v_i) = \sum_{v_j \in V_{cand}} \tau_{v_{pos}v_j}^{(vt)(d)}
 8
         end for
         p_{sum} = \sum_{v_i \in V(v^t)(d)} p(v_i)
10
         return rouletteWheel(p(V^{(vt)(d)}), p_{sum})
11
```

## Algorithm 6: selectCluster

```
1 Function selectCluster(vt, d, pos, V_{free}, K, \tau, \alpha, \beta)
           for k = 1 to n_{prim} do
 2
                 V_{cand} = \emptyset
                 V_{cand} = V_{free} \cap K_k^{(pos)(vt)}
 4
                if V_{cand} = \emptyset then
 5
                   \eta_k = \tau_k = 0
  6
                 end if
 7
 8
                      \eta_k = |V_{cand}| \cdot \sum_{v_j \in V_{cand}} |v_{pos} - v_j|^{-1}
  9
                     	au_k = rac{1}{|V_{cand}|} \cdot \sum_{v_j \in V_{cand}} 	au_{v_{pos}v_j}^{(vt)(d)}
10
                end if
11
           end for
\bf 12
          \begin{array}{l} \eta_{sum} \leftarrow \sum_{k=1}^{n_{prim}} \eta_k^{\alpha} \\ \tau_{sum} \leftarrow \sum_{k=1}^{n_{prim}} \tau_k^{\beta} \end{array}
13
14
          if \eta_{sum} = \theta then
15
                 // return first cluster with a free
                       customer
                for k = n_{prim} + 1 to |K^{(pos)(vt)}| do
16
                      V_{cand} = V_{free} \cap K_k^{(pos)(vt)}
17
                      if V_{cand} \neq \emptyset then
18
                       return k
19
                      end if
20
                end for
21
           end if
22
           for k = 1 to n_{prim} do
23
               p(K_k^{(pos)(vt)}) = \frac{\eta_k^{\alpha} \cdot \tau_k^{\beta}}{\eta_{sum} \cdot \tau_{sum}}
24
25
          p_{sum} = \sum_{k \in n_{prim}} p(K_k^{(pos)(vt)})
26
           return rouletteWheel(p(K^{(pos)(vt)}), p_{sum})
27
```

#### Algorithm 7: selectCustomer

```
1 Function selectCustomer(vt, d, pos, V_{candidates}, \tau, \alpha, \beta)
2 | for each \ v_i \in V_{cand} \ do
3 | p(v_i) = |v_{pos} - v_i|^{-\alpha} \cdot (\tau_{v_{pos}v_i}^{(vt)(d)})^{\beta}
4 | end for
5 | p_{sum} = \sum_{v_i \in V_{cand}} p(v_i)
6 | return rouletteWheel(p(v), p_{sum})
```

#### **Algorithm 8:** LocalOptimization

```
1 Function LocalOptimization(V, R_{best})
         for each t_i \in VT do
 2
              for each d_i \in D^{(t_i)} do
 3
                   \begin{aligned} & \text{singleColonyOpt}(R_{best}^{(t_i)(d_i)}, n_{max} = 1) \\ & \text{singleColonyOpt}(R_{best}^{(t_i)(d_i)}, n_{max} = 2) \end{aligned}
 4
 5
                   (Moves n_{max} successive customer node(s) to
 6
                     different positions in the same route)
              end for
 7
              mutualColonyOpt(R_{best}^{(t_i)}, n_{max} = 1)
 8
              if t_i \neq Drone then
 9
                  mutualColonyOpt(R_{best}^{(t_i)}, n_{max} = 2)
10
              end if (Moves n_{max} successive customer node(s
11
              ) from each R_{best}^{(t_i)(d_i)} , d_i \in D^{(t_i)} to different
12
               positions in each R_{best}^{(t_i)(d_j)}, d_j \neq d_i)
         end for
13
14
           return R_{best}
```

#### Algorithm 9: singleColonyOptimization

```
1 Function single Colony Optimization(R_{best}^{(t_i)(d_i)}, n_{max})
       for n = 1 to n_{max} do
 2
          for each combination of n successive nodes in
 3
              move the nodes to a different place on the
 4
               same route
              evaluate the newly-created solution
 5
              if this solution is better than the original and
 6
               all constraints are satisfied then
                  replace the original with the new solution
 7
 8
              continue in point 4 unless all possible places
 9
               in the route have been already evaluated
          end for
10
       end for
11
      return R_{best}
12
```

## Algorithm 10: mutualColonyOptimization

```
1 Function mutualColonyOptimization(R_{best}^{(t_i)}, n_{max})
       for n = 1 to n_{max} do
 \mathbf{2}
          for each possible pair of depots d1 and d2
 3
              for each combination of n successive nodes in
 4
                the route of d1
                  remove the nodes from the route of d1 and
 5
                  insert them into the route of d2
 6
                  evaluate the newly-created solution
 7
                  if this solution is better than the original
 8
                   and all constraints are satisfied then
                      replace the original with the new
 9
                       solution
                  end if
10
                  continue in point 6 unless all possible
11
                   places in the route of d2 have been
                   already evaluated
              end for
12
          end for
13
       end for
14
       return R_{best}
15
```

# 1, 2 and 3

"3" means that the customer can only be served by vehicle type 3

# 3 Other

Because this is a new problem there are no existing instances with which we can test the algorithm, so we will create new random ones with the following structure:

```
 \{ \text{number of customers} \} \quad \{ \text{number of depots} \} \quad \{ \text{number of different vehicle types} \}   \{ \text{capacity}_{vt_1} \}, \{ \text{capacity}_{vt_2} \}, \{ \dots \}, \{ \text{capacity}_{vt_n} \}   \{ \text{speed}_{vt_1} \}, \{ \text{speed}_{vt_2} \}, \{ \dots \}, \{ \text{speed}_{vt_n} \}   \{ \text{customer1}_{id} \} \{ \text{customer1}_{x} \} \{ \text{customer1}_{y} \} \{ \text{customer1}_{demand} \} \{ \text{customer2}_{accessibility} \}   \{ \text{customer2}_{id} \} \{ \text{customer2}_{x} \} \{ \text{customer2}_{y} \} \{ \text{customer2}_{demand} \} \{ \text{customer2}_{accessibility} \}   \{ \text{customerN}_{id} \} \{ \text{customerN}_{x} \} \{ \text{customerN}_{y} \} \{ \text{customerN}_{demand} \} \{ \text{customerN}_{accessibility} \}   \{ \text{depot1}_{id} \}, \{ \text{depot1}_{x} \}, \{ \text{depot1}_{y} \}, \{ \text{number of vehicles of type 1} \}, \{ \text{n of vehicles of type 2} \}, \dots, \{ \text{n of vehicles of type N} \}   \{ \text{depotN}_{id} \}, \{ \text{depotN}_{x} \}, \{ \text{depotN}_{y} \}, \{ \text{number of vehicles of type 1} \}, \{ \text{n of vehicles of type 2} \}, \dots, \{ \text{n of vehicles of type N} \}   \{ \text{depotN}_{id} \}, \{ \text{depotN}_{x} \}, \{ \text{depotN}_{y} \}, \{ \text{number of vehicles of type 1} \}, \{ \text{n of vehicles of type 2} \}, \dots, \{ \text{n of vehicles of type N} \}   \{ \text{customer}_{accessibility} \text{ is an integer } \geq 1 \text{ which shows what vehicle type(s) can serve the customer.}   \{ \text{Examples:} \}   \{ \text{233} \} \text{ means that the customer can be served by vehicle types}
```