

HEAPS

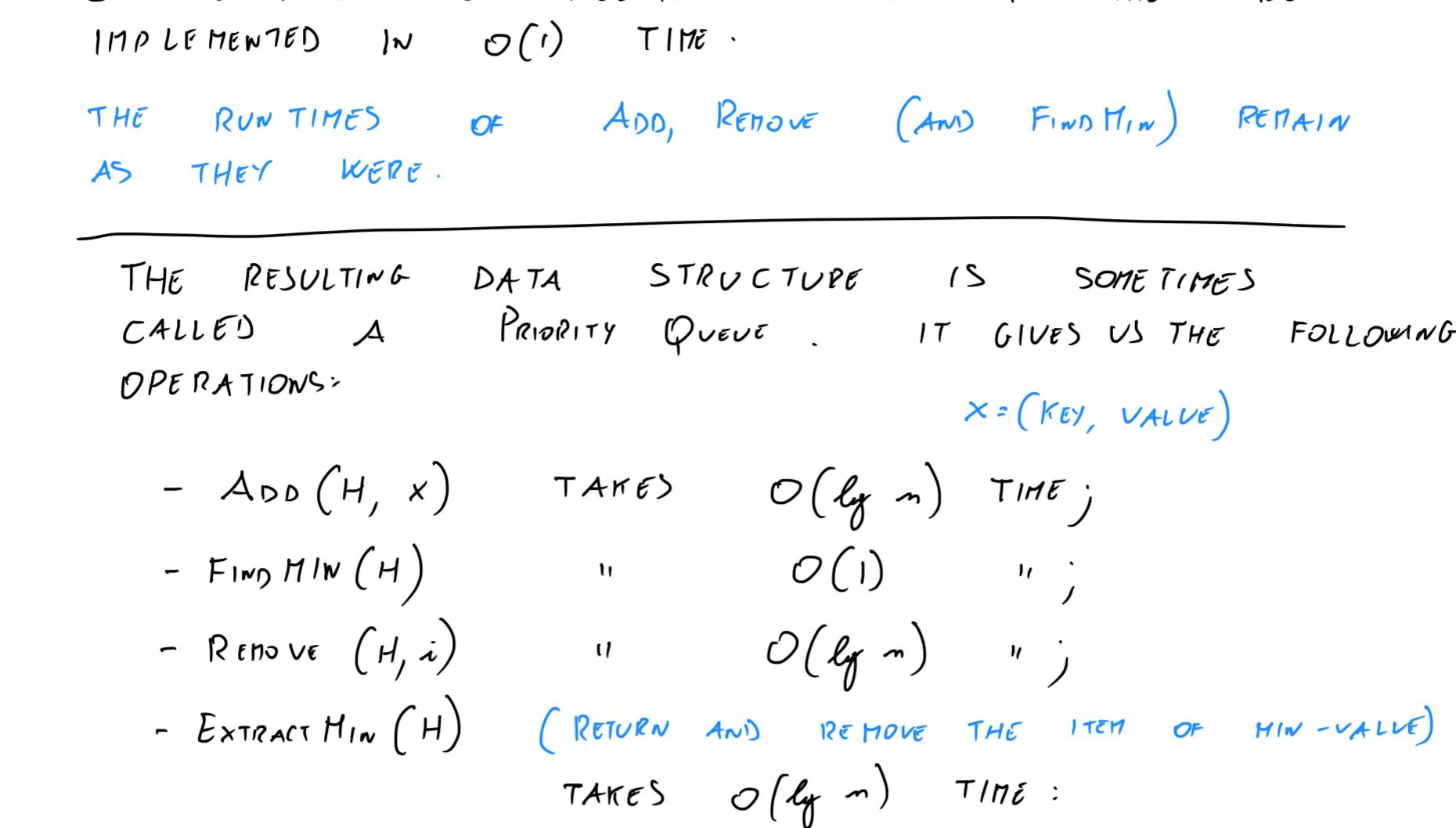
- ADD (H, x) ADDS ITEM x TO THE HEAD IN $O(\lg n)$ TIME;
- REMOVE (H, i) REMOVE THE ITEM IN POSITION i , " $O(\lg n)$ ";
- FIND MIN (H) RETURNS THE ITEM OF MIN-VALUE OF H , IN $O(1)$ TIME.

IN HEAPS, ITEMS HAVE "JUST" A VALUE ($x.\text{value}$).

SOMETIMES, WE NEED ITEMS TO HAVE TWO FEATURES: A VALUE AND A KEY. (KEYS CANNOT BE SHARED BY MULTIPLE ITEMS). (IN MOST TEXTBOOKS VALUES/KEYS ARE SWAPPED; WE FOLLOW, INSTEAD, THE "PYTHON" PERSPECTIVE).

SUPPOSE THAT OUR KEYS ARE FROM THE SET $\{1, 2, \dots, N\}$.

WE ARE GOING TO USE AN ARRAY POSITION THAT ASSIGNS TO THE GENERIC KEY ITS POSITION IN THE HEAP.



FOR POSITION TO KEEP TRACK OF THE POSITIONS OF THE KEYS, WE NEED TO UPDATE IT WHEN WE MODIFY THE HEAP, THAT IS, WHEN

- WE ADD AN ITEM,
- " REMOVE " ,
- " SWAP TWO ITEMS.

EACH OF THESE 3 OPERATIONS REQUIRE AT MOST 2 UPDATES TO POSITION - THEY CAN THEN BE IMPLEMENTED IN $O(1)$ TIME.

THE RUN TIMES OF ADD, REMOVE (AND FINDMIN) REMAIN AS THEY WERE.

THE RESULTING DATA STRUCTURE IS SOMETIMES CALLED A Priority Queue. IT GIVES US THE FOLLOWING OPERATIONS:

- ADD (H, x) TAKES $O(\lg n)$ TIME;
- FIND MIN (H) " $O(1)$ ";
- REMOVE (H, i) " $O(\lg n)$ ";
- EXTRACT MIN (H) (RETURN AND REMOVE THE ITEM OF MIN-VALUE) TAKES $O(\lg n)$ TIME:

$$\left| \begin{array}{l} x = \text{FindMin}(H) \\ \text{Remove}(H, 1) \\ \text{return } x \end{array} \right.$$

- REMOVE $K(H, \text{Key})$ (REMOVES THE ITEM OF KEY KEY, IF IT EXISTS) TAKES $O(\lg n)$ TIME

$$\left| \begin{array}{l} \text{IF } \text{Position}[K] \neq \text{None}: \\ \text{Remove}(H, \text{Position}[K]) \end{array} \right.$$

- UPDATE VALUE ($H, \text{Key}, \text{NewValue}$) (UPDATES TO A KEY) TAKES $O(\lg n)$ TIME

$$\left| \begin{array}{l} \text{Remove}(H, \text{Key}) \\ \text{ADD}(H, (\text{Key}, \text{NewValue})) \end{array} \right.$$

DJIKSTRA'S ALGORITHM ($G(V, E)$, ℓ , s):

// $V = \{1, 2, \dots, N\}$

(A) $O(N)$ - INITIALIZE A Priority Queue H , POSITION OF SIZE N
 $O(\lg N)$ - ADD ($H, (s, 0)$) // KEY == s , VALUE == 0
 $O(N)$ - LET d BE AN ARRAY S.T. $d[i] = \text{None}$ $\forall i \in \{1, \dots, N\}$

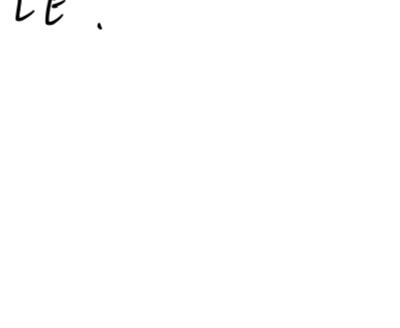
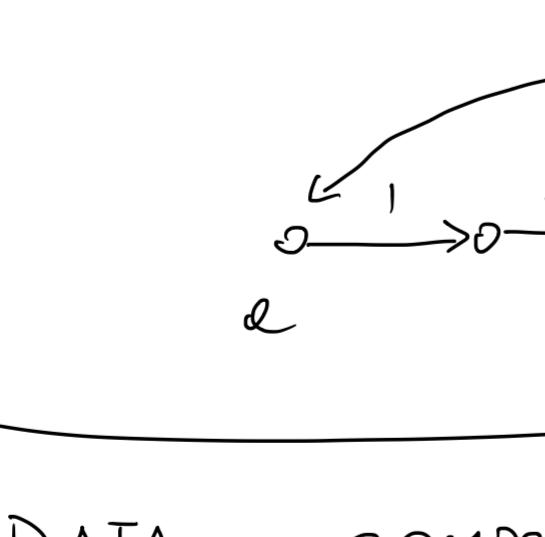
(B) $\left\{ \begin{array}{l} \text{FOR } v=1, 2, \dots, N \\ \text{- NEXT} = \text{EXTRACT MIN}(H) \quad O(\lg N) \end{array} \right.$

$\left. \begin{array}{l} \text{- } v = \text{NEXT. KEY} \\ \text{- } d[v] = \text{NEXT. VALUE} \end{array} \right.$

(C) $\left\{ \begin{array}{l} \text{- FOR EACH OUT-NEIGHBOR } w \text{ OF } v: \\ \text{- IF } d[w] == \text{None}: \\ \quad // \text{WE HAVEN'T YET FOUND A SHORTEST PATH TO } w \\ \quad \text{dist} = d[v] + \ell(v, w) \\ \quad \text{IF } \text{Position}[w] == \text{None}: \\ \quad \quad // \text{WE HADN'T ENCOUNTERED } w \text{ YET} \\ \quad \quad \text{ADD}(H, (w, \text{dist})) \quad O(\lg N) \\ \quad \text{ELIF } H[\text{Position}[w]].\text{value} > \text{dist}: \\ \quad \quad \text{UPDATE VALUE}(H, w, \text{dist}) \quad O(\lg N) \end{array} \right. \quad \left. \begin{array}{l} \text{O}(\deg^+(v) \cdot \lg N) \\ (\text{IF THE GRAPH IS REPRESENTED AS AN ADJS. LIST}) \end{array} \right.$

- RETURN d

ADJACENCY LIST :



$\deg^+(v)$ IS THE NUMBER OF OUTNEIGHBORS OF v .

$$\begin{aligned} \deg^+(1) &= 2 & \deg^+(3) &= 1 \\ \deg^+(2) &= 2 & \deg^+(4) &= 0 \end{aligned}$$

ADJACENCY MATRIX

	1	2	3	4
1	0	1	1	0
2	0	0	1	1
3	0	0	1	0
4	0	0	0	0

THE TOTAL RUNTIME IS THEN

$$\underbrace{O(N)}_{(A)} + \underbrace{O(N \lg N)}_{(B)} + \underbrace{O((\lg N) \cdot \sum_{v \in V} \deg^+(v))}_{(C)} =$$

$$O(N \lg N) + O(M \lg N) \quad (\leq O(N^2 \lg N))$$

L: IF $G(V, E)$ IS A DIRECTED GRAPH,

THEN $\sum_{v \in V} \deg^+(v) = |E| \triangleq M$.

P: RECALL $E \subseteq \{(u, v) \mid u, v \in V \text{ AND } u \neq v\}$.

$\forall w \in V$, LET $E_w \subseteq E$ BE THE SET OF EDGES/ARCS LEAVING w :

$$E_w = \{(u, v) \mid (u, v) \in E\}.$$

THEN, $|E_w| = \deg^+(w)$.

ALSO, FOR EACH $w, v \in V$ S.T. $w \neq v$,

IT HOLDS $E_w \cap E_v = \emptyset$.

MOREOVER $E = \bigcup_{v \in V} E_v$. THUS,

$$M \triangleq |E| = \left| \bigcup_{v \in V} E_v \right| = \sum_{v \in V} |E_v| = \sum_{v \in V} \deg^+(v).$$

THE RUNTIME IS THEN

$$O(N \lg N) + O((\lg N) \cdot \sum_{v \in V} \deg^+(v)) =$$

$$O(N \lg N) + O(M \lg N) =$$

$$O((N+M) \lg N).$$

Q: LET V BE AN ARRAY OF N ELEMENTS; $V[i]$ IS THE SCORE THAT STUDENT i GOT IN AN EXAM.

SUPPOSE THAT THERE ARE 3 POSSIBLE SCORES ("INSUFFICIENT": 0, "PASS": 1, "HONORS": 2)

SORT V AS FAST AS POSSIBLE.

DATA COMPRESSION

$0, n, m, m'$ (RLE)

$\begin{matrix} 0 & 1 & 1 & 1 & 0 & 0 & 0 \\ \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow \\ 0 & 1 & 0 & 1 & 0 & 1 & 0 \end{matrix}$

$01 * m$ (DICTIONARY-BASED COMPRESSION)

HUMAN TEXT COMPRESSION

WE ARE GIVEN A TEXT: A SEQUENCE OF CHARS $'A', 'B', \dots, 'Z'$.

BY USING ASCII, I CAN REPRESENT A n -CHARS STRING WITH $8n$ BITS.

GIVEN THAT I ONLY HAVE 2^8 POSSIBLE CHARS, I CAN USE JUST 5 BITS PER CHAR.

00000 'A'

00001 'B'

00010 'C'

...

11001 'Z'

11010 '

11011 '

THE TOTAL # OF BITS SHRINKS FROM $8n$ TO $5n$ (37.5% IMPROVEMENT!).

Q: LET V BE AN ARRAY OF N ELEMENTS; $V[i]$ IS THE SCORE THAT STUDENT i GOT IN AN EXAM.

SUPPOSE THAT THERE ARE 3 POSSIBLE SCORES ("INSUFFICIENT": 0, "PASS": 1, "HONORS": 2)

SORT V AS FAST AS POSSIBLE.

DATA COMPRESSION

$0, n, m, m'$ (RLE)

$\begin{matrix} 0 & 1 & 1 & 1 & 0 & 0 & 0 \\ \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow \\ 0 & 1 & 0 & 1 & 0 & 1 & 0 \end{matrix}$

$01 * m$ (DICTIONARY-BASED COMPRESSION)

HUMAN TEXT COMPRESSION

WE ARE GIVEN A TEXT: A SEQUENCE OF CHARS $'A', 'B', \dots, 'Z'$.

BY USING ASCII, I CAN REPRESENT A n -CHARS STRING WITH $8n$ BITS.

GIVEN THAT I ONLY HAVE 2^8 POSSIBLE CHARS, I CAN USE JUST 5 BITS PER CHAR.

00000 'A'

00001 'B'

00010 'C'

...

11001 'Z'

11010 '

11011 '

THE TOTAL # OF BITS SHRINKS FROM $8n$ TO $5n$ (37.5% IMPROVEMENT!).