



# The Relative Neighborhood Graph, with an Application to Minimum Spanning Trees

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**Abstract** The relative neighborhood graph (RNG) of a set  $V$  of points in Euclidean space is the graph  $(V, E)$ , where  $(p, q) \in E$  iff there is no point  $z \in V$  such that  $d(p, z) < d(p, q)$  and  $d(q, z) < d(p, q)$ . It is shown that (1) the RNG of  $n$  points in the plane can be found in  $O(n \log n)$  time, which is optimal to within a multiplicative constant. (2) The RNG, as well as the minimum spanning tree, of the vertices of a convex,  $n$ -vertex polygon can be found in  $O(n)$  time, given the vertices in sorted clockwise order. (3) Under the assumption that no three input points form an isosceles triangle, the RNG of  $n$  points in  $r$ -dimensional space can be found in  $O(n^2)$  time for fixed  $r \geq 3$ .

**Categories and Subject Descriptors:** F.2.2 [Analysis of Algorithms and Problem Complexity]: Nonnumerical Algorithms and Problems—*geometrical problems and computations*; G.2.2 [Discrete Mathematics]: Graph Theory—*graph algorithms*; I.5.3 [Pattern Recognition]: Clustering—*algorithms*

**General Terms:** Algorithms

**Additional Key Words and Phrases:** Relative neighborhood graph, minimum spanning tree

## 1. Introduction

If  $r$  is an integer and  $p, q$  are two points in  $r$ -dimensional Euclidean space, then define the *lune* of  $p$  and  $q$  (denoted  $\text{lun}(p, q)$  or  $\text{lun}(pq)$ ) to be the set of points

$$\{z \in R^r : d(p, z) < d(p, q) \text{ and } d(q, z) < d(p, q)\},$$

where  $d$  is the Euclidean distance. In other words,  $\text{lun}(p, q)$  is the interior of the region formed by the intersection of two  $r$ -dimensional hyperspheres of radius  $d(p, q)$ , one of the hyperspheres being centered at  $p$  and the other at  $q$ . The lune of two points  $p, q$  in the plane is pictured in Figure 1. If  $V$  is a set of  $n$  points in  $r$ -space, then define the *relative neighborhood graph* of  $V$  (denoted  $\text{RNG}(V)$  or simply RNG when  $V$  is understood) to be the undirected graph with vertices  $V$  such that for each pair  $p, q \in V$ ,

$$pq \text{ is an edge of } \text{RNG}(V) \quad \text{iff} \quad \text{lun}(p, q) \cap V = \emptyset.$$

Figure 2a shows a set  $V$  of points in the plane; Figure 2b shows  $\text{RNG}(V)$ . The *RNG problem* is: Given a set  $V$ , find  $\text{RNG}(V)$ .

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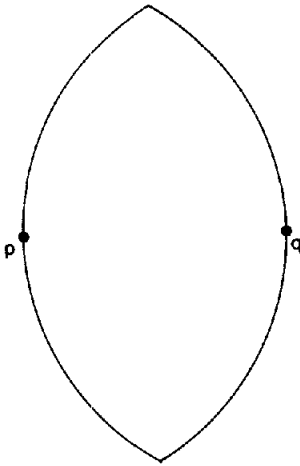
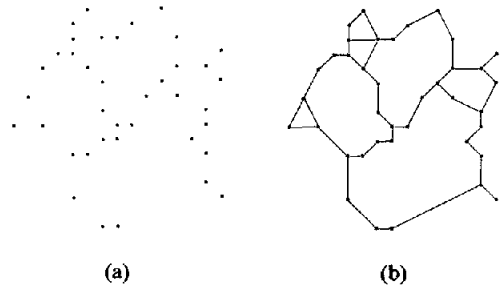


FIG. 1. The lune of  $p$  and  $q$  is the region between the two arcs, not including the boundary.

FIG. 2 (a) A set of points in the plane (b) The RNG of the set of points in (a)



Applications of RNGs for pattern recognition are discussed in [6]. Also in [6], the following results are shown:

- (i) the edges of  $\text{RNG}(V)$  contain a minimum spanning tree of  $V$  (denoted  $\text{MST}(V)$ ), and
- (ii) the edges of  $\text{RNG}(V)$  are contained in the edges of the Delauney Triangulation of  $V$  (denoted  $\text{DT}(V)$ ).

Furthermore, two algorithms for the RNG problem are given in [6]: a  $\Theta(n^2)$  algorithm for the plane, and a brute force  $\Theta(n^3)$  algorithm for fixed  $r$ -dimensional space, where  $r \geq 3$ .

In [8], Urquhart presents an  $O(n \log n)$  algorithm which he claims solves the RNG problem for the plane. However, his algorithm is incorrect, as is shown in [7].

Section 2 of this paper is an informal, high-level overview of the RNG algorithms presented here. Section 3 contains some definitions and some lemmas about RNGs that are used in later sections. In Section 4 an  $O(n \log n)$  algorithm for the plane is given. This algorithm is optimal to within a multiplicative constant, since, as shown in Section 5,  $\Omega(n \log n)$  is also a lower bound for the problem. In Section 6 we present an  $O(n)$  algorithm for the RNG problem where the input points are the vertices of a convex polygon, given in sorted clockwise order. As a consequence of this result, we show that the minimum spanning tree of the  $n$  vertices of a convex polygon can be found in  $O(n)$  time. The complexity of the MST problem for convex polygons was posed as an open problem in [5]. In Section 7 an  $O(n^2)$  algorithm is given for arbitrary fixed dimensions, under the assumption that no three input points form an

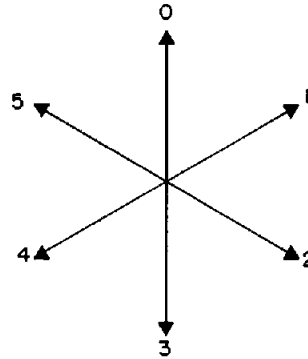


FIG. 3. The six fixed directions.

isosceles triangle. Section 8 contains a few general remarks about the RNG, and some open problems.

## 2. Overview of the Algorithms

Three RNG algorithms are presented in this paper: one for the plane, one for convex polygons, and one for fixed dimensions  $\geq 3$ . Each of these algorithms consists of two phases: the first phase constructs a sparse (i.e., having  $O(n)$  edges) set  $E$  of edges that is a superset of the RNG edges. The second phase cleans up  $E$  by deleting from it all those edges not in the RNG.

The first phase for the plane simply constructs the Delauney Triangulation, which is known to have  $O(n)$  elements [5] and to contain the RNG edges [6]. For convex polygons, the first phase exploits convexity, as well as Lemma 2 (see Section 3), which enables us to neglect all those edges  $pq$  such that the rectangle whose diagonal is  $pq$  contains another point of  $V$ . For higher dimensions, the first phase uses the fact that RNG edges do not form very sharp angles; in particular, if two edges form an angle of degree less than 60, then the longer of those two edges is not in the RNG.

The second phase for the plane is based on the following idea: In order to delete from  $E$  those edges not in RNG, we can look at each point  $v \in V$  and delete each edge  $pq \in E$  whose lune contains  $v$ . This can be simplified by the observation (Lemma 1) that if  $v \in \text{lun}(p, q)$ , then the degree of the angle  $pvq$  is greater than 60. Therefore we can consider six rays emanating from  $v$ , forming  $60^\circ$  angles, as in Figure 3; for each  $e \in E$  such that one of these rays intersects  $e$ , we delete  $e$  from  $E$  if  $v \in \text{lun}(e)$ . This algorithm correctly computes the RNG but, unfortunately, may require  $\Theta(n^2)$  time. Hence we used a modified version of this algorithm, in which we make six scans, one for each of the six directions, through all the points of  $V$  and edges of  $E$  sorted according to that direction. On each scan we do essentially the following: for each edge  $e$  still in  $E$ , for each point  $v$  that is still active and whose ray in that direction intersects  $e$ , we check whether  $v \in \text{lun}(e)$ . If  $v \in \text{lun}(e)$ , then we delete  $e$  from  $E$ ; otherwise we deactivate  $v$ , that is, we delete  $v$  from future consideration on this scan. The proof of correctness of this modified algorithm depends heavily on Lemma 3, from which it is derived that for each  $e \in E$ , if  $e$  is not an RNG edge, then there exists at least one active point  $v \in \text{lun}(e)$  at the time that  $e$  is encountered during the scan.

The second phase for the convex polygons is quite similar to that for the plane, the salient differences being (1) two scans suffice (rather than six), and (2) a simpler data structure suffices to represent the active points, so as to permit searches, insertions, and deletions in  $O(1)$  (rather than  $\Theta(\log n)$ ) time.

The second phase for higher dimensions is a brute force checking of each point for inclusion in the lune of each edge.

For the plane, for each of the two phases, our algorithm requires  $\Theta(n \log n)$  time. For the convex polygons, they both require  $\Theta(n)$  time; for higher dimensions, they both require  $\Theta(n^2)$  time.

### 3. Some Preliminary Lemmas

First, we give some notation used throughout this paper: Let  $p, q, v$  be points in the plane. Then  $pq$  denotes the edge (i.e. the line segment) joining  $p$  to  $q$ , and  $d(p, q)$  denotes the Euclidean distance from  $p$  to  $q$ . Define  $\text{degree}(\text{angle } pvq)$  as 180 if  $v$  lies on  $pq$ , and as the degree of the smaller of the two angles formed by  $pv$  and  $vq$  otherwise.

LEMMA 1. Assume  $v \in \text{lun}(p, q)$ . Then  $\text{degree}(\text{angle } pvq) > 60$ .

PROOF. Since  $v \in \text{lun}(p, q)$ , we have  $d(p, v) < d(p, q)$  and  $d(q, v) < d(p, q)$ . Therefore  $pq$  is strictly longer than each of the other sides of triangle  $pvq$ . Therefore  $\text{degree}(\text{angle } pvq) > 60$ . Q.E.D. Lemma 1

Throughout Sections 4 and 6, we consider six fixed directions in the plane. Fix some arbitrary direction as direction 0. Direction  $k + 1$  will form a  $60^\circ$  angle with direction  $k$ , for all  $k \in \{0, 1, 2, 3, 4\}$ , as shown in Figure 3.

Some more notation: For each  $k \in \{0, 1, 2, 3, 4, 5\}$ , let  $\text{ray}(v, k)$  denote the ray whose endpoint is  $v$  and which is infinite in direction  $k$ . Now by Lemma 1, if  $v \in \text{lun}(p, q)$ , then there exists some  $k \in \{0, 1, 2, 3, 4, 5\}$  such that the ray from  $v$  in direction  $k$  intersects the interior of  $pq$  (by the *interior* of an edge  $e$  we mean the set of points lying on  $e$  but not at an endpoint of  $e$ ). This is a key fact in the proofs of correctness in Sections 4 and 6.

LEMMA 2. Assume that  $p, v, q$  are distinct, and that  $\text{degree}(\text{angle } pvq) \geq 90$ . Then  $v \in \text{lun}(p, q)$ .

PROOF.  $pq$  is strictly longer than each of the other sides of triangle  $pvq$ . Q.E.D. Lemma 2

We shall make much use of the following definitions: Let  $a, b$  be points in the plane. Assume that  $v$  does not lie on edge  $ab$ , and that there is some  $k \in \{0, 1, 2, 3, 4, 5\}$  such that  $\text{ray}(v, k)$  intersects the interior of  $pq$  at some point  $p'$  such that  $\text{ray}(p', k)$  intersects  $ab$ . Then we say that  $pq$  blocks  $v$  from  $ab$  in direction  $k$ . In Figure 4,  $pq$  blocks  $v$  from  $ab$  in direction  $k$ , where direction  $k$  is pictured as pointing to the left. If there exists some  $k \in \{0, 1, 2, 3, 4, 5\}$  such that  $pq$  blocks  $ab$  from  $v$  in direction  $k$ , then we say that  $pq$  blocks  $v$  from  $ab$ .

We give two more notational shorthands:

- (1) If  $v$  is a point and  $e$  an edge in the plane, then let  $d(v, e)$  denote the Euclidean distance from  $v$  to the line containing  $e$ .
- (2) If  $G$  is a graph, then  $e \in G$  means that  $e$  is an edge of the graph  $G$ . Similarly, if  $G, G'$  are both graphs with the same set of vertices, then we say  $G \subset G'$  if the edges of  $G$  are a subset of the edges of  $G'$ ; thus (ii) above can be written  $\text{RNG}(V) \subset \text{DT}(V)$ .

LEMMA 3. Let  $V$  be a set of points in the plane, and  $E$  a set of edges whose endpoints are in  $V$ . Assume that no two edges of  $E$  intersect, except perhaps at endpoints.

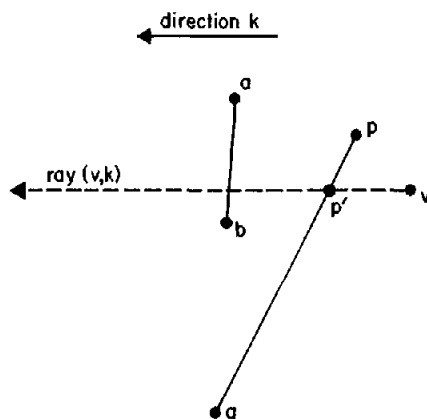


FIG. 4  $pq$  blocks  $v$  from  $ab$  in direction  $k$ .

Let  $e = ab$  be an edge such that  $e \in E - \text{RNG}(V)$ . Then there exists a point  $v \in \text{lun}(e) \cap V$  such that for each edge  $e_1 \in E$ , if  $e_1$  blocks  $v$  from  $e$ , then  $v \in \text{lun}(e_1)$ .

PROOF. Since  $e$  is not in  $\text{RNG}(V)$ ,  $\text{lun}(e) \cap V$  is nonempty. Let  $v$  be some point in  $\text{lun}(e) \cap V$  which is closest to the line containing  $e$ ; that is

$$d(v, e) = \min\{d(w, e) : w \in \text{lun}(e) \cap V\}.$$

We shall show that  $v$  satisfies the property stated in the lemma. If every edge  $e_1 \in E$  which blocks  $v$  from  $e$  were to satisfy  $v \in \text{lun}(e_1)$ , then we would have Q.E.D. So assume for a contradiction that there is an edge  $e_1 = pq \in E$  such that  $e_1$  blocks  $v$  from  $e$  and such that  $v$  is not in  $\text{lun}(e_1)$ .

Assume, for ease of explanation, that  $e$  lies along the  $x$ -axis, that  $x(b) > x(a)$ , and that  $y(v) > 0$  (if  $w$  is a point then we use  $x(w)$  and  $y(w)$  to denote the  $x$ -value and  $y$ -value of  $w$ , respectively). Assume, without loss of generality, that  $y(p) \geq y(q)$  and that  $x(q) \geq x(p)$ . Consider the triangle  $avb$  (see Figure 6 or 7). If  $p$  were strictly interior to triangle  $avb$  (that is, if  $p$  were inside, but not on the border of triangle  $avb$ ), then we would have  $p \in \text{lun}(e) \cap V$  and  $d(p, e) < d(v, e)$ , contradicting the choice of  $v$ . Therefore  $p$  is not strictly interior to triangle  $avb$ ; similarly,  $q$  also is not strictly interior to triangle  $avb$ . Therefore, since  $e$  and  $e_1$  are both in  $E$  and hence do not cross, and since  $e_1$  blocks  $v$  from  $e$ , we have that  $pq$  intersects both  $av$  and  $bv$ .

We consider three cases, depending on the relative  $y$ -values of  $p$ ,  $v$ , and  $q$ .

Case 1:  $y(p) \geq y(q) \geq y(v)$  (Figure 5). This contradicts the fact that  $e_1$  blocks  $v$  from  $e$ .

Case 2:  $y(v) > y(p) \geq y(q)$  (Figure 6). As shown above,  $pq$  intersects both  $av$  and  $bv$ . Therefore, since  $y(p) \geq y(q)$  and  $x(q) \geq x(p)$  and  $x(b) > x(a)$ , we have that there exists a point  $a'$  on the circle of radius  $d(a, b)$  centered at  $b$  such that  $ba'$  is parallel to  $pq$ ; and that ray  $(v, k)$  intersects  $a'b$ . Note that  $d(a', b) = d(a, b)$ . We claim that  $d(v, a) \geq d(v, a')$ . To see this, note that if  $pq$  is parallel to  $ab$  (i.e.,  $y(p) = y(q)$ ), then  $a = a'$ . On the other hand, if  $pq$  is not parallel to  $ab$ , then  $a \neq a'$ , in which case we let  $l_1$  denote the perpendicular bisecting line of  $aa'$ . Then  $v$  and  $a'$  both lie on the same side of  $l_1$ ; thus  $d(v, a) \geq d(v, a')$ .

Note that  $pq$  intersects  $va'$ , since otherwise  $p$  would lie inside triangle  $a'vb$ , and hence  $p \in \text{lun}(a, b)$  and  $d(p, ab) < d(v, ab)$ , contradicting the choice of  $v$ . By similar reasoning,  $pq$  intersects  $vb$ . Let  $z_1$  be the point of intersection of  $pq$  with  $va'$ . Let  $z_2$  be the point of intersection of  $pq$  with  $vb$ . Since  $v \in \text{lun}(ab)$ , we have that

$$d(a, b) > d(a, v) \quad \text{and} \quad d(a, b) > d(b, v);$$



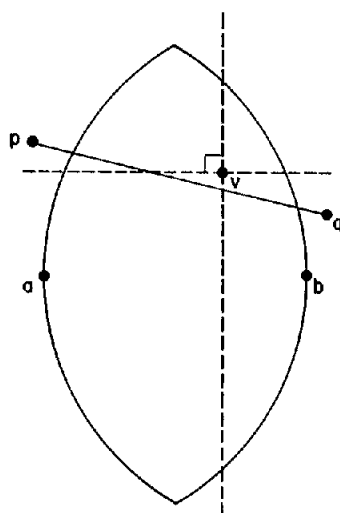


FIG. 7. Lemma 3, case 3 The two broken lines, one parallel to  $ab$ , the other perpendicular to  $ab$ , illustrate that  $\text{degree}(\text{angle } pvq) \geq 90$ .

#### 4. The $O(n \log n)$ Algorithm for the Plane

Given a set  $V$  of points in the plane, we wish to compute  $\text{RNG}(V)$ . First, we compute  $\text{DT}(V)$ . Recall that  $\text{RNG} \subset \text{DT}$ . Initially, set  $E = \text{DT}$ . Our strategy is to throw out certain edges from  $E$  in order to obtain  $\text{RNG}$ . For each of the directions  $k \in \{0, 1, 2, 3, 4, 5\}$ , we do the following: Assume, for illustrative purposes, that direction  $k$  points to the left. Sort the points of  $V$  from right to left. Denote the points of  $V$  by  $p_1, p_2, \dots, p_n$  in sorted order from right to left (i.e., if  $j < i$ , then  $p_j$  is to the right of  $p_i$ ); ties are broken arbitrarily. We will scan through the points from right to left. During the scan, we will be maintaining a balanced binary search tree  $T$  that contains certain of the points of  $V$ .  $T$  is ordered by the  $y$ -coordinates of the points (that is, an inorder traversal of  $T$  lists the elements of  $T$  by order of their  $y$ -value, from smallest to largest). When the point  $p_i$  is encountered during the scan:

1. We insert  $p_i$  into  $T$ .
2. For each edge  $p_i p_j \in \text{DT}$  whose leftmost endpoint is  $p_i$ , we do the following: if there is a point  $v$  in  $T$  whose  $y$ -value is between that of  $p_i$  and that of  $p_j$ , then if  $v \in \text{lun}(p_i, p_j)$ , remove  $p_i p_j$  from  $E$  (and never look at  $p_i p_j$  again); otherwise remove  $v$  from  $T$  (and never look at  $v$  again).

Figure 8 shows an edge  $p_i p_j$ , and a point  $v$  that is in  $T$  when  $p_i p_j$  is scanned and whose  $y$ -value is between that of  $p_i$  and  $p_j$ . Note that  $v$  must lie within the unbounded rectangle bounded by  $p_i p_j$  on one side and by the dotted lines on two sides.

We have not yet said how we use the search tree  $T$ . The purpose of  $T$  is to enable us to locate such points  $v$ . This is made more precise in the more formal description of the algorithm in Figure 9 (Algorithm A). This right-to-left scan using a balanced tree is a variant of Shamos' "sweeping line" algorithm (see [5, Chap. 5]).

There are two minor details of Algorithm A that we have omitted from Figure 9;

- (1) For each  $k \in \{0, 1, 2, 3, 4, 5\}$ , during the  $k$ th iteration of the main loop, after sorting the points of  $V$  from right to left, we do the following: for each edge  $e = ab \in \text{DT}$ , we define  $\text{proj}(e)$  as the projection of  $e$  onto the vertical line passing through  $e$ 's leftmost endpoint. Figure 10a shows  $\text{DT}(V)$  for some  $V$ ; Figure 10b shows the set of edges  $\{\text{proj}(e) : e \in \text{DT}(V)\}$ . Now for each edge  $e \in \text{DT}$  such that there is a point  $v \in V$  that is contained in the interior of  $\text{proj}(e)$ , we remove

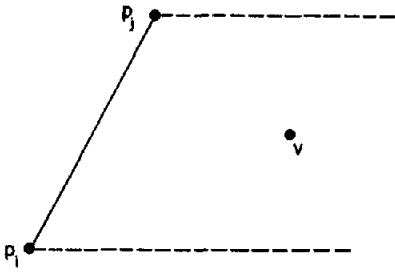


FIG. 8 A point  $v$  that is in  $T$  while  $p_i p_j$  is being scanned, such that the  $y$ -value of  $v$  is between that of  $p_i$  and  $p_j$ .

INPUT a set  $V$  of  $n$  points in the plane

OUTPUT:  $\text{RNG}(V)$ , represented as an adjacency structure (i.e., for each  $v \in V$ , the output contains a list of edges incident on  $v$ ).

METHOD:

```

 $E := DT$ ,
for  $k := 0$  to 5 do
  begin
    /* call direction  $k$  "left", for ease of explanation */
     $T :=$  the empty tree; /*  $T$  will be a balanced tree sorted in the vertical direction */
    sort the points of  $V$  from right to left,
    /* denote the points of  $V$  by  $p_1, p_2, \dots, p_n$  from right to left */
    for  $i := 1$  to  $n$  do
      begin
        insert  $p_i$  into  $T$ ;
        for each edge  $p_i p_j \in DT$  such that  $j < i$  do
          begin /*  $p_i$  is the leftmost endpoint of  $p_i p_j$  */
             $v := \text{next}(p_i)$ ,
            /* if  $y(p_j) > y(p_i)$ , then  $\text{next}(v)$  denotes the successor of  $v$  in  $T$ . Otherwise,
             $\text{next}(v)$  denotes the predecessor of  $v$  in  $T$  */
            while  $(y(p_i) \leq y(v) < y(p_j))$  or  $(y(p_j) < y(v) \leq y(p_i))$  do
              if  $v \in \text{lun}(p_i, p_j)$ 
                then begin
                   $E := E - \{p_i p_j\}$ ;
                  goto 1
                end
              else begin
                delete  $v$  from  $T$ ,
                 $v := \text{next}(v)$ 
              end
            end,
            1.;
          end,
        end, /* for  $i := 1$  to  $n$  */
      end, /* for  $k := 0$  to 5 */
    /* here  $E = \text{RNG}$  */
    output  $(V, E)$ ,
  halt.

```

FIG. 9. Algorithm A

$e$  from  $E$ . Note that if  $v \in V$  is contained in the interior of  $\text{proj}(e)$ , then  $\text{degree}(\text{angle } avb) \geq 90$ , and hence, by Lemma 2,  $v \in \text{lun}(a, b)$ . This detail is necessary in order to handle certain possible collinearities in the input points.

- (2) During the  $k$ th scan, when scanning a point  $p_i$ , we process the edges  $\{p_i p_j \in DT : j < i\}$  not in arbitrary order as suggested by the statement "for each  $p_i p_j \in DT$  such that  $j < i$  do", but rather by the order of the angle size that the edges form with the  $x$ -axis, from smallest to largest. For example, Figure 11



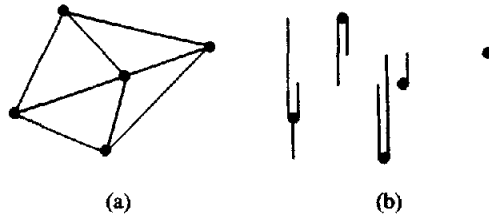
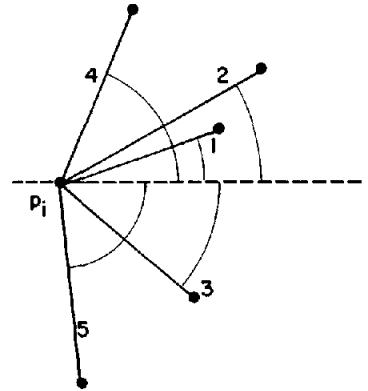


FIG. 10. (a) The DT of a set of points (b) The projections of the edges of DT, for the set of points in (a)

FIG. 11. The angular ordering of the edges having  $p_i$  as their leftmost endpoint.



shows five edges whose leftmost endpoint is  $p_i$ . The edges are labeled with numbers 1 through 5 indicating their position according to this ordering.

It is highly unlikely that at this point the reader understands why these two details are necessary. Their purpose is explained during the proof of correctness below.

**PROOF OF CORRECTNESS.** Fix some input set  $V$ . Let  $E_f$  denote the set  $E$  at the end of the execution of the algorithm; that is, the graph  $(V, E_f)$  is the output of the algorithm on  $V$ . We need to show that  $E_f = \text{RNG}$ .

Consider some edge  $e \in \text{RNG}$ . Since  $\text{RNG} \subset \text{DT}$ , we have  $e \in \text{DT}$ . Since initially  $E = \text{DT}$ , and since the algorithm throws an edge out of  $E$  only when it is found to have a point of  $V$  in its lune, we have that  $e \in E_f$ . Thus  $\text{RNG} \subset E_f$ .

To show the converse, assume  $e$  is not in  $\text{RNG}$ . We shall show  $e$  is not in  $E_f$ . If  $e$  is not in  $\text{DT}$ , then it is obvious that  $e$  is not in  $E_f$ . So assume that  $e \in \text{DT} - \text{RNG}$ .

No two edges of  $\text{DT}$  intersect except at endpoints [5]; hence by Lemma 3, there is a point  $v \in \text{lun}(e) \cap V$  such that for each edge  $e_1 \in \text{DT}$ , if  $e_1$  blocks  $v$  from  $e$ , then  $v \in \text{lun}(e_1)$ . By Lemma 1,  $\text{degree}(\text{angle } avb) > 60$ . Therefore, there exists some  $k \in \{0, 1, 2, 3, 4, 5\}$  such that  $\text{ray}(v, k)$  intersects the interior of  $e$ . For the sake of illustration, assume from here on that direction  $k$  points to the left.

Recall that we wish to show  $e$  is not in  $E_f$ . Assume for a contradiction that  $e \in E_f$ . Then since  $\text{ray}(v, k)$  intersects the interior of  $e$ , the point  $v$  must have been deleted from the tree  $T$  before the leftmost endpoint of  $e$  was scanned. Let  $e_1$  denote the edge that was being processed when  $v$  was deleted from  $T$ . Then  $v$  is not in  $\text{lun}(e_1)$ .

Before proceeding, we need some notation. Let  $f$  be an edge, and  $p$  a point. We say that  $f$  is to the *left* of  $p$  if  $\text{ray}(p, k)$  intersects the interior of  $f$ . Similarly, say  $f$  is to the *right* of  $p$  if  $\text{ray}(p, (k+3) \bmod 6)$  intersects the interior of  $f$ . Finally, recall that  $\text{proj}(f)$  denotes the projection of  $f$  on the vertical line passing through  $f$ 's

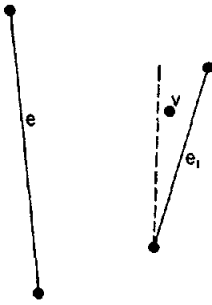


FIG. 12. Proof of correctness of Algorithm A, case 1.

FIG. 13. Proof of correctness of Algorithm A, case 2.1.

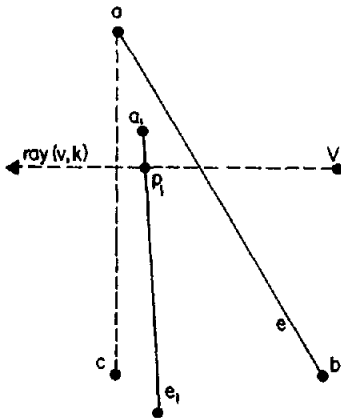
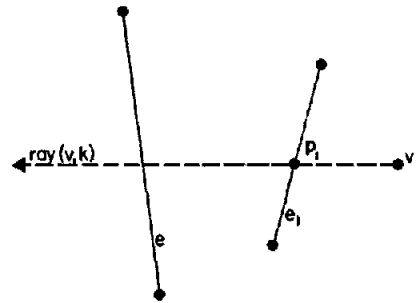


FIG. 14. Proof of correctness of Algorithm A, case 2.2.

leftmost endpoint. Thus, by the above remarks, we know that  $e$ ,  $\text{proj}(e)$ , and  $\text{proj}(e_1)$  all are to the left of  $v$ .

We consider cases, depending on the relative positions of  $v$ ,  $e$ , and  $e_1$ .

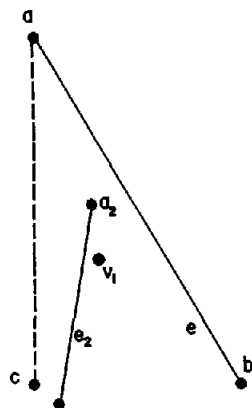
*Case 1:*  $e_1$  is to the right of  $v$  (Figure 12). Since  $\text{proj}(e_1)$  is to the left of  $v$ , we have that  $v$  is inside a right triangle whose hypotenuse is  $e_1$ . Therefore Lemma 2 tells us that  $v \in \text{lun}(e_1)$ , a contradiction.

*Case 2:*  $e_1$  is to the left of  $v$ . Let  $p_1$  denote the intersection point of  $e_1$  with  $\text{ray}(v, k)$ .

*Case 2.1:*  $e$  is to the left of  $p_1$  (Figure 13). Then  $e_1$  blocks  $v$  from  $e$  in direction  $k$ . But since  $v$  satisfies the property stated in Lemma 3, we have that  $v \in \text{lun}(e_1)$ , a contradiction.

*Case 2.2:*  $e$  is to the right of  $p_1$  (Figure 14). Let  $a, b$  denote the endpoints of  $e$ . Assume, without loss of generality, that  $x(a) \leq x(b)$ . Assume also that  $y(a) \geq y(b)$

FIG. 15. Proof of correctness of Algorithm A, end of case 2.2



(as in Figure 14); the case in which  $y(a) \leq y(b)$  is handled very similarly. Let  $c$  denote the lower endpoint of  $\text{proj}(e)$ ; that is,  $\text{proj}(e) = ac$ . We claim that at least one endpoint of  $e_1$  is inside triangle  $abc$ . To show this, first note that one endpoint  $a_1$  of  $e_1$  satisfies  $y(a_1) \geq y(p_1)$ . Furthermore, since the algorithm processes edge  $e_1$  before  $e$ , we have  $x(a_1) \geq x(a)$ . Furthermore, since Delaunay edges do not cross,  $a_1$  must lie on the same side of  $e$  as does  $p_1$ . Therefore  $a_1$  is contained inside triangle  $abc$ , as claimed.

Furthermore, we claim that  $a_1$  is strictly interior to triangle  $abc$ .  $a_1$  does not lie on edge  $cb$ , since  $y(a_1) \geq y(p_1) = y(v) > y(b) = y(c)$  (we know  $y(v) > y(b)$  since  $\text{ray}(v, k)$  intersects the interior of  $e$ ).  $a_1$  does not lie on the interior of edge  $ab$  since  $a_1 \in V$  and since  $ab \in \text{DT}$ .  $a_1$  is not in the interior of edge  $ac$  since if it were, then during the step of the algorithm that checks for such collinearities (see detail (1)), the algorithm would detect that  $a_1$  is in the interior of  $\text{proj}(e)$  and hence would have removed  $e$  from  $E$ , contradicting our assumption that  $e$  is not in  $E_f$ . Finally,  $a_1 \neq a$ , since if  $a_1 = a$ , then  $e$  would form a smaller angle with the  $x$ -axis than does  $e_1$ , and hence  $e$  would have been processed during the right-to-left scan before  $e_1$  (see detail (2)), another contradiction. Thus  $a_1$  is strictly interior to triangle  $abc$ .

Incidentally, we do not know whether details (1) and (2) are necessary. That is, we leave as an open question whether the algorithm without them correctly computes the RNG.

Let  $W$  denote the set of points

$$\{w \in V : w \text{ is strictly interior to triangle } abc\}.$$

We have just shown that  $W$  is nonempty. Let  $v_1$  be a point in  $W$  with least  $x$ -coordinate; that is,

$$d(v_1, \text{proj}(e)) = \min\{d(w, \text{proj}(e)) : w \in W\}.$$

By Lemma 2,  $v_1 \in \text{lun}(e)$ . Also, since  $v_1 \in W$ , we have  $x(a) < x(v_1)$ ; hence  $v_1$  is scanned before  $a$ . Hence, by our assumption that  $e \in E_f$ , there must be some edge  $e_2 \in \text{DT}$  such that  $e_2$  was scanned before  $e$  and such that  $v_1$  was deleted while  $e_2$  was being scanned (see Figure 15). Using the same argument as in case 1 above, it must be that  $e_2$  is to the left of  $v_1$ . Using the same argument as was used in the preceding paragraph, we have that there is an endpoint  $a_2$  of  $e_2$  such that

- (i)  $y(a_2) > y(v_1)$ ,
- (ii)  $x(a_2) > x(a)$ ,

and

- (iii)  $a_2$  is on the same side of  $e$  as is  $v_1$ .



FIG. 16. The RNG of a set of collinear points.

Now by our choice of  $v_1$ , it must be that  $x(a_2) \geq x(v_1)$ . Also, since  $e_2$  is to the left of  $v_1$ , we have that the other endpoint  $b_2$  of  $e_2$  satisfies  $y(b_2) \leq y(v_1)$  and  $x(b_2) \leq x(v_1)$ . Therefore  $\text{degree}(\angle a_2 v_1 b_2) \geq 90$ . Hence, by Lemma 2, we have  $v_1 \in \text{lun}(e_2)$ , a contradiction. This concludes case 2.2 and case 2.

Both cases lead to a contradiction. Thus we have shown  $e$  is not in  $E_f$ , which concludes the proof that  $E_f = \text{RNG}$ .

**ANALYSIS OF THE TIME COMPLEXITY.** The set of Delaunay edges can be found in  $O(n \log n)$  time [5].

We claim that each of the six iterations of the main loop can be performed in  $O(n \log n)$  time.  $O(n \log n)$  time suffices to sort  $V$  from right to left. Detail (1)—the special handling of the possible collinearities (i.e., checking, for each  $e \in \text{DT}$ , whether the interior of  $\text{proj}(e)$  contains a point of  $V$ )—can be performed in  $O(n \log n)$  time, as follows: First sort the  $n$  points of  $V$  by the ordering  $(x_1, y_1) < (x_2, y_2)$  if

$$x_1 < x_2 \quad \text{or} \quad (x_1 = x_2 \text{ and } y_1 < y_2).$$

Next, for each edge  $e \in \text{DT}$  (of which there are  $O(n)$ , as shown in [5]), check whether there is some point of  $V$  strictly between the two endpoints of  $\text{proj}(e)$  by this ordering;  $\text{proj}(e)$  contains a point of  $V$  if and only if this is the case. Each of these  $O(n)$  checks can be made in  $O(\log n)$  time, by means of two binary searches. Also, detail (2)—sorting the edges  $\{p_i p_j \in \text{DT} : j < i\}$ , for each  $p_i \in V$ , according to the angular ordering—can be done in  $O(n \log n)$  time, since  $|\text{DT}| = O(n)$ . During the right-to-left scan, the body of the loop that starts “for each  $p_i p_j \in \text{DT}$  such that  $j < i$  do” is executed  $O(n)$  times, since  $|\text{DT}| = O(n)$ . Now consider the total number of executions of the body of the loop that starts “while  $(y(p_i) \leq y(v) < y(p_j))$  or  $(y(p_j) < y(v) \leq y(p_i))$  do”. At each iteration of this while loop, an element is deleted either from  $E$  or from  $T$ . Since initially  $|E| = |\text{DT}| = O(n)$ , and since each of the  $n$  points of  $V$  is inserted into  $T$  exactly once, we have that the total number of iterations of this while loop is  $O(n)$ . Finally, each insert, delete, successor, or predecessor operation on  $T$  can be performed in  $O(\log n)$  time, since  $T$  is balanced (we can implement  $T$  as, for example, an AVL tree [4]). Thus the time needed for one iteration of a main loop is  $O(n \log n)$ .

Thus Algorithm A runs in time  $O(n \log n)$ .

### 5. The $\Omega(n \log n)$ Lower Bound

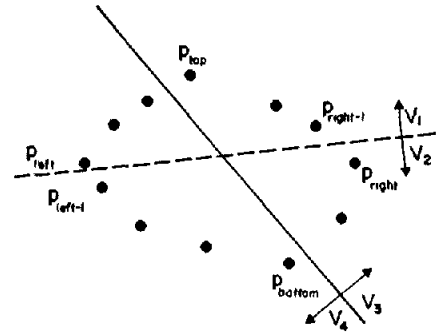
We shall give a very simple linear time reduction from sorting to the RNG problem in 1-dimensional Euclidean space and thus derive an  $\Omega(n \log n)$  lower bound for the RNG problem in  $r$ -dimensional space for all  $r \geq 1$ .

Let  $S = \{x_1, x_2, \dots, x_n\}$  be a set of  $n$  distinct real numbers that we wish to sort. It is easy to show that for each pair  $x_i, x_j$  of elements of  $S$  such that  $x_i < x_j$ ,  $x_i x_j \in \text{RNG}$  iff no other point  $x_k \in S$  satisfies  $x_i < x_k < x_j$  (Figure 16 shows a set of collinear points and its RNG.) Therefore, to sort  $S$ , compute  $\text{RNG}(S)$  and then simply read off the order of the elements of  $S$ .

We reduce sorting to the arbitrary  $r$ -dimensional space problem by embedding the 1-dimensional reduction in  $r$ -space; that is, we can sort by finding the RNG of the set

$$\{(x_1, 0, \dots, 0), (x_2, 0, \dots, 0), \dots, (x_n, 0, \dots, 0)\}.$$

FIG. 17. Partitioning  $V$  into  $\{V_1, V_2\}$  and into  $\{V_3, V_4\}$ .



Combining results of Sections 4 and 5 yields

**THEOREM 1.** *The RNG problem for the plane can be solved in  $O(n \log n)$  time, which is optimal to within a multiplicative constant.*

#### 6. The $O(n)$ Algorithm for Convex Polygons

The RNG problem for convex polygons is as follows: The input is a set of vertices  $V = \{p_0, p_1, \dots, p_{n-1}\}$  of a convex polygon. The points are given in sorted clockwise order around the polygon. We wish to find  $\text{RNG}(V)$ .

Note that this is a subproblem of the RNG problem for the plane, and therefore can be solved in  $O(n \log n)$  time using Algorithm A. However, the proof of an  $\Omega(n \log n)$  lower bound for the general plane problem does not apply to the convex polygon problem, since in the latter problem the input points are already sorted. This leaves open the possibility of an  $o(n \log n)$  algorithm for convex polygons. Such a situation exists for the all nearest-neighbor problem:  $\Theta(n \log n)$  is necessary and sufficient for the general plane problem [5], but  $O(n)$  suffices for convex polygons (given vertices in sorted order) [3]. Indeed, we give an  $O(n)$  algorithm for our RNG problem for convex polygons.

We define two partitions of  $V$ :  $\{V_1, V_2\}$  and  $\{V_3, V_4\}$  (see Figure 17). These partitions are very similar to those used in [9]. Let  $p_{\text{top}}$  be a point of  $V$  with greatest  $y$ -value,  $p_{\text{bottom}}$  be that with least  $y$ -value,  $p_{\text{left}}$  be that with least  $x$ -value, and  $p_{\text{right}}$  be that with greatest  $x$ -value. Assume, without loss of generality, that  $\text{left} = 0$ . Let  $V_1 = \{p_{\text{left}}, p_{\text{left}+1}, \dots, p_{\text{right}-1}\}$ ,  $V_2 = V - V_1$ ,  $V_3 = \{p_{\text{top}}, p_{\text{top}+1}, \dots, p_{\text{bottom}-1}\}$  and  $V_4 = V - V_3$ .

The points  $p_{\text{top}}$ ,  $p_{\text{bottom}}$ ,  $p_{\text{left}}$ ,  $p_{\text{right}}$  can easily be found in  $O(n)$  time. The key fact (as pointed out in [9]) about the partition is: Let  $p_i, p_j, p_k \in V$  be such that for some  $\alpha \in \{1, 2\}$ , some  $\beta \in \{3, 4\}$ , the points  $\{p_i, p_{i+1}, \dots, p_j, p_{j+1}, \dots, p_k\}$  are all in  $V_\alpha \cap V_\beta$  (all indices are taken modulo  $n$ ). Thus  $p_i, p_j, p_k$  are all in the same "quarter," as illustrated in Figure 18. Then  $\text{degree}(\text{angle } p_i p_j p_k) \geq 90$ .

Now this fact is important for our purposes since it, together with Lemma 2, implies that for all  $\alpha \in \{1, 2\}$ ,  $\beta \in \{3, 4\}$ , if  $e = (p, q) \in \text{RNG}$  and if both  $p$  and  $q$  are elements of  $V_\alpha \cap V_\beta$ , then  $e$  is a convex hull edge. Therefore it suffices for our algorithm to

- (1) find all edges  $e \in \text{RNG}$  joining  $V_1$  to  $V_2$  (i.e., one endpoint of  $e$  is in  $V_1$ , the other is in  $V_2$ ),
- (2) find all edges  $e \in \text{RNG}$  joining  $V_3$  to  $V_4$ , and
- (3) find all convex hull edges  $e \in \text{RNG}$ .

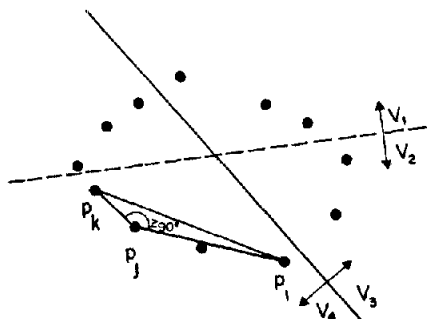


FIG 18  $\{p_i, p_{i+1}, \dots, p_j, p_{j+1}, \dots, p_k\} \subset V_2 \cap V_4$ .  
Therefore  $\text{degree}(\text{angle } p_i p_j p_k) \geq 90$ .

/\* the first phase starts here \*/

$a := \text{left}, b := \text{right} - 1,$

$c := \text{right}, d := \text{left} - 1;$

$E = \emptyset,$

**while**  $a \leq b$  and  $c \leq d$  **do**

**begin**

$q :=$  some vertex such that the interior angle of the quadrilateral  $(p_a, p_b, p_c, p_d)$   
      at  $q$  has degree  $\geq 90$ ,

**case**  $q$  of

$p_a$ : **begin**  $E := E \cup \{p_a p_d\}, d := d - 1$  **end;**

$p_b$ : **begin**  $E := E \cup \{p_b p_c\}, c := c + 1$  **end;**

$p_c$ : **begin**  $E := E \cup \{p_b p_c\}, b := b - 1$  **end;**

$p_d$ : **begin**  $E := E \cup \{p_a p_d\}, a := a + 1$  **end**

**end case**

**end,**

FIG 19 The first phase of Algorithm B

**PERFORMING STEPS (1) AND (2).** We use the same algorithm, which we call Algorithm B, to perform each of the steps (1) and (2). We choose to describe Algorithm B in terms of step (1); step (2) is handled very similarly.

Algorithm B (shown in Figures 19 and 20) has two main phases. The first phase (Figure 19) constructs a set  $E$  of edges joining  $V_1$  to  $V_2$ , such that  $T \subset E$ , where

$$T = \{e: e \in \text{RNG and } e \text{ joins } V_1 \text{ to } V_2\}.$$

The second phase deletes those elements from  $E$  that are not in RNG. (Algorithm A of Section 4 has this same two-phase structure. It first constructs DT, which is a rather sparse superset of RNG, and then deletes those edges of DT that are not in RNG.)

Consider the quadrilateral  $(p_{\text{left}}, p_{\text{right}-1}, p_{\text{right}}, p_{\text{left}-1})$  (by this notation, we mean the quadrilateral whose vertices are, in clockwise order,  $p_{\text{left}}, p_{\text{right}-1}, p_{\text{right}}, p_{\text{left}-1}$ ). The quadrilateral must have at least one interior angle  $\geq 90^\circ$ . Assume for illustration that  $\text{degree}(\text{angle } p_{\text{left}} p_{\text{left}-1} p_{\text{right}}) \geq 90$  (as pictured in Figure 17). Then, by convexity, for all  $j$  such that  $\text{right} \leq j \leq \text{left} - 2$ , we have  $\text{degree}(\text{angle } p_{\text{left}} p_{\text{left}-1} p_j) \geq 90$ ; hence, by Lemma 2,  $p_{\text{left}} p_j$  is not in RNG.

The above observation is the basis for the first phase. At each iteration of the while loop, the points of  $V_1$  that still are under consideration are  $\{p_a, p_{a+1}, \dots, p_b\}$ , and those in  $V_2$  still under consideration are  $\{p_c, p_{c+1}, \dots, p_d\}$ . Initially,  $a = \text{left}, b = \text{right} - 1, c = \text{right}, d = \text{left} - 1$ . At this iteration, we consider the quadrilateral  $(p_a, p_b, p_c, p_d)$ . Choose some interior angle  $pqr$  of the quadrilateral that has degree  $\geq 90$ . Either  $pq$  or  $qr$  joins  $V_1$  to  $V_2$ ; say that  $pq$  does. Then we add  $pq$  to  $E$  and delete

```

/* the second phase starts here */
for i := 1 to (right - 1) do begin
    high(i) := max{j: pipj ∈ E};
    low(i) := min{j: pipj ∈ E};
end;

/* perform the first scan */
W := ∅;
for i := (right - 1) downto 1 do
begin
    for j := low(i) to high(i) do
    begin
        for each w ∈ W do if w ∈ lun(pi, pj)
            then begin
                E := E - {pipj};
                goto 1
            end
        else W := W - {w};
        1: W := W ∪ {pj};
    end;
    W := W ∪ {pi};
end;

/* perform the second scan */
W := ∅;
for i := 1 to (right - 1) do
begin
    for j := high(i) downto low(i) do
    begin
        for each w ∈ W do if w ∈ lun(pi, pj)
            then begin
                E := E - {pipj};
                goto 1
            end
        else W := W - {w};
        1: W := W ∪ {pj};
    end;
    W := W ∪ {pi};
end;
halt.

```

FIG. 20. The second phase of Algorithm B.

$p$  from future consideration. As mentioned in the preceding paragraph, we can do this (while still ensuring that ultimately  $T \subset E$ ) since, for each edge  $e = pz$  that joins  $V_1$  to  $V_2$ , if  $z \neq q$ , then  $e$  is not in RNG. We delete  $p$  from future consideration by incrementing or decrementing the appropriate member of  $\{a, b, c, b\}$ . In Figure 21, an example of the construction of set  $E$  for the sets  $V_1$  and  $V_2$  of Figure 17; the numbers by the edges indicate the order in which they might be added to  $E$  by the algorithm.

We claim that  $T \subset E$  at the termination of the first phase. To show this, we prove by induction on the number of points deleted from consideration that, for each point  $p$  deleted from consideration so far, for each edge  $e \in T$  incident on  $p$ ,  $e$  has already been included in  $E$ . Assume the claim is true after  $k$  points have been removed from consideration. Let  $p$  denote the  $(k + 1)$ st point to be removed. Assume  $e = pq \in T$ . If  $q$  has already been removed, then by the inductive assumption,  $pq$  is already in  $E$ . If  $q$  has not yet been removed, then (by the remarks of the preceding paragraph)  $pq$  is added to  $E$  on the iteration at which  $p$  is removed; this proves the claim.

Another easily proved property of  $E$  is that no two edges of  $E$  intersect, except at endpoints; we leave the proof to the reader.

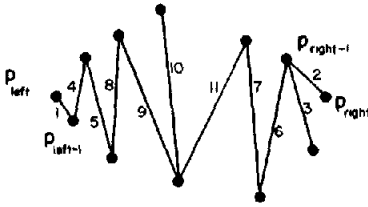


FIG. 21. Construction of the set  $E$  during the first phase of Algorithm B.

The second phase of Algorithm B is shown in Figure 20, and is very similar to the second phase of Algorithm A. We first compute, for each point  $p_i \in V_1$ , the least index and the greatest index  $j$  such that  $p_j \in V_2$  and  $p_i p_j \in E$ ; these indices are denoted  $\text{low}(i)$  and  $\text{high}(i)$ , respectively. Note that the  $\text{low}(i)$  and  $\text{high}(i)$  can easily be recorded while constructing the set  $E$  during the first phase of Algorithm B, in a total of  $O(n)$  time. We perform two scans through the edges of  $E$ . The first scan visits the edges according to the order

$$p_i p_j < p_{i'} p_{j'},$$

if and only if  $(i > i' \text{ or } (i = i' \text{ and } j < j'))$ . For example, in Figure 21, the first scan visits the edges in the order 2, 3, 6, 7, 11, 10, 9, 8, 5, 4, 1. The second scan visits those edges still in  $E$  according to the reverse of the order used in the first scan. During each scan, we maintain a set of points  $W$  ( $W$  is analogous to the tree  $T$  used in the scans of Algorithm A). Initially,  $W$  is empty. When the edge  $p_i p_j$  is encountered during the scan, we do the following:

- (i) For each point  $w \in W$ , if  $w \in \text{lun}(p_i, p_j)$ , then remove  $p_i p_j$  from  $E$  and never look at  $p_i p_j$  again; otherwise remove  $w$  from  $W$  and never look at  $w$  again.
- (ii) If  $p_i p_j$  is the last edge in the ordering having  $p_i$  as an endpoint (i.e.  $j = \text{high}(i)$  if this is the first scan,  $j = \text{low}(i)$  if this is the second scan), then insert  $p_i$  into  $W$ . Otherwise,  $p_i p_j$  is the last edge in the ordering having  $p_j$  as an endpoint (since no two edges of  $E$  intersect, except at endpoints), in which case we insert  $p_j$  into  $W$ .

Let  $E_f$  denote the set  $E$  at the termination of Algorithm B. We must show that  $E_f = T$ . Since  $T \subset E$  at the start of the second phase, and since an element is removed from  $E$  only when it is found to contain a point in its lune, we have that  $T \subset E_f$ . To show  $E_f \subset T$ , assume for a contradiction that there exists an edge  $e = p_i p_j \in E_f - T$ . Since  $e$  is not in  $T$ , there exists, by Lemma 3, a point  $p_r \in \text{lun}(e)$  such that for each edge  $e_1 \in E$ , if  $e_1$  blocks  $p_r$  from  $e$ , then  $p_r \in \text{lun}(e_1)$ . Note that  $e$  partitions the polygon into two polygons, namely,

$$P' = (p_i, p_{i+1}, \dots, p_j)$$

and

$$P'' = (p_j, p_{j+1}, \dots, p_{n-1}, p_0, p_1, \dots, p_i).$$

Assume that  $p_r \in P'$ , that is, that  $i < r < j$ . On the first scan, when processing edge  $e$ , the point  $p_r$  must have already been deleted from  $W$ . Let  $p_{i'} p_{j'}$  denote the edge that was being processed at the time that  $p_r$  was deleted from  $W$ . Since  $p_{i'} p_{j'}$  must have been processed before  $e$  was, we have

$$i \leq i' \leq r \leq j' \leq j.$$

Therefore  $p_i p_{i'} p_r p_{j'} p_j$  are the vertices of a convex polygon  $Q$ . Now since  $p_r \in \text{lun}(e)$ , there exists, by Lemma 1, some  $k \in \{0, 1, 2, 3, 4, 5\}$  such that  $\text{ray}(p_r, k)$  intersects the interior of  $e$ . By the convexity of  $Q$ , we have that  $p_{i'} p_{j'}$  blocks  $p_r$  from  $e$  in direction  $k$ . Since  $p_r$  is not in  $\text{lun}(p_{i'} p_{j'})$ , this contradicts the choice of  $p_r$ ; thus  $T = E_f$ .



```

 $E := CH;$ 
for  $k := 0$  to 5 do
  begin
    sort  $V$  in the direction perpendicular to direction  $k$ ;
    /* assume for illustration that direction  $k$  points to the left */
    /* Let  $q_1, q_2, \dots, q_n$  denote the points of  $V$  in bottom-to-top order */
     $p := q_1$ ;
     $q :=$  the vertex to the left of  $q_1q_n$  such that  $pq \in CH$ ;
    for  $i := 2$  to  $n - 1$  do
      begin
        if  $q_i$  is to the left of  $q_1q_n$ 
          then begin
             $p := q_i$ ;
             $q :=$  the vertex above  $p$  such that  $pq \in CH$ ;
          end
        else
          if  $q_i \in \text{lun}(p, q)$ 
            then  $E := E - \{pq\}$ ;
        end
      end
    end;
  end;
end;

```

FIG. 22. Algorithm C.

The case in which  $p_r \in P''$  (i.e.,  $r > k$  or  $r < i$ ) is handled analogously, considering the second scan instead of the first.

The time required by the first phase of Algorithm B is proportional to the number of executions of the body of the while loop, which is at most  $n - 1$ ; hence  $|E| = O(n)$  at the start of the second phase. In the second phase, in each of the two scans, the total number of executions of the body of the loop that starts "for each  $w \in W$  do" is executed  $O(n)$  times, since at each execution, an element is deleted either from  $E$  or from  $W$ , and since each of the  $n$  points of  $V$  is inserted into  $W$  exactly once. (This is the same argument as was used in the time analysis of Algorithm A.) The operations that are performed on  $W$ —choosing and perhaps deleting an arbitrary element, inserting an element—can each be performed in  $O(1)$  time; we can implement  $W$  as a linear list (compare  $T$  of Algorithm A, which had to be implemented as a balanced tree and hence operations on  $T$  require  $\Theta(\log n)$  time). Thus the total time required by Algorithm B is  $O(n)$ .

We have shown how to perform step (1) in  $O(n)$  time. We can use the same Algorithm B also to perform step (2) (i.e., to find the set of edges of RNG that join  $V_3$  to  $V_4$ ) in  $O(n)$  time.

Thus both steps (1) and (2) can be performed in  $O(n)$  time.

**PERFORMING STEP (3).** Our algorithm (denoted Algorithm C in Figure 22) for finding the set of convex hull edges in RNG is a simple application of Lemma 1. Let  $CH$  denote the set of convex hull edges for  $V$ . Note that if  $e \in CH - RNG$ , then there is a point  $v \in V \cap \text{lun}(e)$  such that for some  $k \in \{0, 1, 2, 3, 4, 5\}$ ,  $\text{ray}(v, k)$  intersects the interior of  $e$ . Therefore, for each  $k \in \{0, 1, 2, 3, 4, 5\}$ , Algorithm C does the following: Assume, for illustrative purposes, that direction  $k$  points to the left. Sort the points of  $V$  along the  $y$ -coordinate (i.e., perpendicular to direction  $k$ ). Denote the points of  $V$  by  $q_1, q_2, \dots, q_n$  in sorted order from bottom to top (see Figure 23). For each point  $v$  to the right of  $q_1q_n$  (i.e., points  $q_3, q_4$ , and  $q_6$  in Figure 23), we check whether  $v \in \text{lun}(e)$ , where  $e \in CH$  is the edge (to the left of  $q_1q_n$ ) such that  $\text{ray}(v, k)$  intersects  $e$ . Thus for the example pictured in Figure 23, during the  $k$ th scan, the algorithm checks whether  $q_3 \in \text{lun}(q_2, q_5)$ , whether  $q_4 \in \text{lun}(q_2, q_5)$ , and whether  $q_6 \in \text{lun}(q_5, q_7)$ . As we have noted, Lemma 1 implies the correctness of Algorithm C,

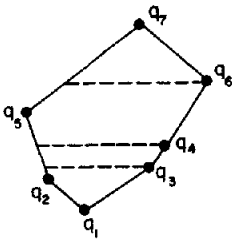


FIG. 23. Illustration for Algorithm C.

that is, that  $E = \text{RNG} \cap \text{CH}$  at the termination of Algorithm C. Algorithm C obviously runs in  $O(n)$  time.

In summary, the complete algorithm for computing  $\text{RNG}(V)$  first decomposes  $V$  into  $V_1$  and  $V_2$  and uses Algorithm B to perform step (1). Then it decomposes  $V$  into  $V_3$  and  $V_4$  and uses Algorithm B to perform step (2). Then it uses Algorithm C to perform step (3), and finally outputs the union of the three sets of edges found in steps (1), (2), and (3). Since, as we have shown, each of these can be performed in  $O(n)$  time, we have

**THEOREM 2.** *The RNG problem for convex polygons can be solved in  $O(n)$  time, which is (of course) optimal to within a multiplicative constant.*

**COROLLARY.** *The minimum spanning tree of the vertices of a convex polygon (given the vertices in sorted clockwise order) can be found in  $O(n)$  time.*

**PROOF.** The RNG is a planar graph, since  $\text{RNG} \subset \text{DT}$  and since DT is planar [5]. The MST of a planar graph can be found in  $O(n)$  time [1]. Therefore, given a set  $V$  of vertices of a convex polygon, we first find  $\text{RNG}(V)$  by the above algorithm, and then find the MST of the planar graph  $\text{RNG}(V)$ ; this gives us  $\text{MST}(V)$ , since  $\text{MST}(V) \subset \text{RNG}(V)$ . Q.E.D. Corollary

### 7. The Algorithm for Higher Dimensions

Let  $r \geq 1$ , and  $V$  a set of  $n$  points in  $r$ -dimensional space. Algorithm D, shown in Figure 24, finds  $\text{RNG}(V)$  by first finding a set  $E$  of  $\Theta(n)$  edges which is a superset of the edges of RNG. Then it checks each edge of  $E$  for inclusion in RNG.

To construct the set  $E$ , we make use of a finite set  $S = \{s_1, s_2, \dots, s_{|S|}\}$  of points such that for each point  $z$  such that  $\|z\| = 1$ , there exists a point  $s \in S$  such that  $d(z, s) < 1/2$  (where  $\|\cdot\|$  denotes the  $L_2$  norm). Such a set  $S$  depends only on  $r$ , and hence can be precomputed; thus  $|S|$  is independent of  $n$ . We can obtain a (rather crude) upper bound on  $|S|$  as follows: Let  $g = 1/\sqrt{r}$ . Let

$$S = \{-1, -1 + g, -1 + 2g, \dots, -1 + [2/g]g\}^r.$$

Thus  $|S| \leq (2\sqrt{r} + 2)^r$ . Note that each point  $p \in [-1, 1]$  is contained within an  $r$ -dimensional cube of volume  $g^r$ , all of whose vertices are elements of  $S$ . Therefore at least one element of  $S$  is at most  $(g\sqrt{r})/2 = 1/2$  units away from  $p$ . Now for each  $v \in V$ , the algorithm partitions  $V - \{v\}$  into subsets  $W_{v,1}, W_{v,2}, \dots, W_{v,|S|}$  such that for all  $k$ ,  $1 \leq k \leq |S|$ ,

$$W_{v,k} = \left\{ w \in V - \{v\} : d(s_k + v, u(w)) = \min_{1 \leq j \leq |S|} \{d(s_j + v, u(w))\} \right\},$$

where  $u(w) = v + w/\|w\|$ . A more intuitive definition of the set  $W_{v,k}$  is as follows: Let  $H$  denote the boundary of the unit hypersphere centered at  $v$ . Partition  $H$  into subsets  $H_1, H_2, \dots, H_{|S|}$  such that  $H_k$  is the set of all points of  $H$  whose nearest neighbor in

```

 $E := \emptyset;$ 
for each  $v \in V$  do
  begin
    partition  $V - \{v\}$  into  $W_{v,1}, W_{v,2}, \dots, W_{v,|S|}$  (as described);
    for  $k := 1$  to  $|S|$  do
      if  $W_{v,k} \neq \emptyset$  then
        begin
           $w_{v,k} :=$  the element of  $W_{v,k}$  which is closest to  $v$ ;
           $E := E \cup \{vw_{v,k}\}$ 
        end
      end
    end;
  /* here  $E = E_f$  */
  for each  $e \in E$  do
    for each  $v \in V$  do
      if  $v \in \text{lun}(e)$ 
        then  $E := E - \{e\}$ ;
    output  $E$ ;
  halt.

```

FIG. 24. Algorithm D.

$S$  is  $s_k$ . Then

$$W_{v,k} = \{w \in V - \{v\} : u(w) \in H_k\}.$$

Furthermore, for each  $k$ ,  $1 \leq k \leq |S|$ , we let  $w_{v,k}$  denote a point in  $W_{v,k}$  which is closest to  $v$ ; that is,

$$d(v, w_{v,k}) = \min\{d(v, w) : w \in W_{v,k}\}.$$

Then the edge  $vw_{v,k}$  is added to  $E$ .

Having constructed  $E$  in this way, the algorithm then finds the edges of  $E \subset \text{RNG}$  by brute force, that is, by checking, for each  $e \in E$  and  $v \in V$ , whether  $v \in \text{lun}(e)$ .

To show the correctness of Algorithm D, we need to show that  $\text{RNG} \subset E_f$ , where  $E_f$  is the set  $E$  immediately before we start to remove edges from it. Assume for a contradiction that there is some edge  $vw \in \text{RNG} - E_f$ . There is some  $k$  such that  $w \in W_{v,k}$ . We know that  $w \neq w_{v,k}$ , for otherwise  $vw$  would be in  $E_f$ . By the definition of  $w_{v,k}$ , we have  $d(v, w_{v,k}) \leq d(v, w)$ . Under the assumption that no three points of  $V$  are degenerate in the sense that they form an isosceles triangle, we have  $d(v, w_{v,k}) < d(v, w)$ . Now since  $w, w_{v,k} \in W_{v,k}$ , we have by the property of the set  $S$  that

$$d(s_k + v, u(w)) < \frac{1}{2} \quad \text{and} \quad d(s_k + v, u(w_{v,k})) < \frac{1}{2},$$

which implies, by the triangle inequality, that  $d(u(w), u(w_{v,k})) < 1$ . Hence, since

$$d(v, u(w)) = d(v, u(w_{v,k})) = 1,$$

we have that  $u(w)u(w_{v,k})$  is the shortest side of triangle  $vu(w)u(w_{v,k})$ . Therefore

$$\text{degree}(\text{angle } vww_{v,k}) = \text{degree}(\text{angle } u(w)vu(w_{v,k})) < 60$$

(Figure 25 illustrates this for  $r = 2$ ). Therefore since  $d(v, w_{v,k}) < d(v, w)$ , we have that  $vw$  is strictly longer than each of the other two sides of triangle  $vww_{v,k}$ , which says that  $w_{v,k} \in \text{lun}(v, w)$ , a contradiction.

For each  $v \in V$ , we can easily partition the points  $V - \{v\}$  into  $W_{v,1}, W_{v,2}, \dots, W_{v,|S|}$  in  $O(r|S|n)$  time; also, we can find all the points  $w_{v,k}$  in total  $O(rn)$  time. Therefore  $E_f$  can be constructed in  $O(r|S|n^2)$  time. The final step which removes edges from  $E$  can be performed in  $O(r|S|n^2)$  time, since  $|E_f| = O(|S|n)$ .

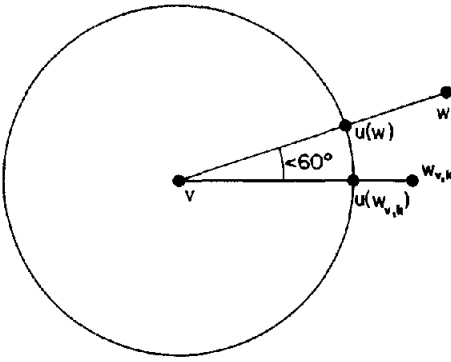


FIG. 25 Illustration for proof of correctness of Algorithm D.

Thus Algorithm D can be performed in  $O(n^2)$  time for fixed  $r$ , since  $|S|$  depends only on  $r$ .

Note that if isosceles triangles were permitted, then some points might have  $\Theta(n)$  RNG edges incident on them; hence the above argument would break down. However, it may still be that the total number of edges is  $O(n)$  for fixed dimensions, in which case there would be an  $O(n^2)$  algorithm. We leave as an open question the worst-case number of RNG edges for  $n$  points in  $r$  dimensions.

## 8. Conclusions

The RNG is a proximity graph, as are the MST and the DT. We use “proximity graph” informally to mean a (rather sparse) set of edges which contains, for each of the input points, certain information about the near neighbors of that point. As shown in [6] and mentioned in our introduction,

$$\text{MST} \subset \text{RNG} \subset \text{DT}.$$

Thus, the RNG contains more detailed proximity information than does the MST, but less than does the DT. The relative advantages for pattern recognition applications of these three graphs are discussed in [6].

As for the role of the RNG in computational geometry, it may be that the RNG is more useful than the DT in certain applications for which the DT traditionally has been used. For example, the only known optimal method for finding an MST in the plane is first to compute the DT and then find its MST. However, for convex polygons, this is not such a good method for computing the MST, since there is no known  $o(n \log n)$  algorithm for finding the DT. Furthermore, for dimensions  $r \geq 3$ , the DT is of little use, since it can have  $\Omega(n^{\lceil r/2 \rceil})$  edges [2]. On the other hand, the RNG can be computed in  $O(n)$  time for convex polygons, and hence so can the MST. For fixed higher dimensions, the RNG still has only  $O(n)$  edges (assuming no three input points form an isosceles triangle), as shown in Section 7. Therefore the possibility exists that it can be computed in (say)  $O(n \log n)$  time, which would lead to an  $O(n \log n)$  time MST algorithm for higher dimensions.

The results of this paper are summarized in Table I. For the reasons mentioned in the preceding paragraph, we consider the most important open problem about the RNG to be the complexity of the problem for dimensions three and higher. The RNG “arbitrary graph” problem is: Given a finite, undirected, weighted, complete graph  $G = (V, E)$ ,  $|V| = n$ , with the weight function

$$w: V^2 \rightarrow R^+,$$

TABLE I. SUMMARY OF RESULTS ON THE RNG PROBLEM  
(NEGLECTING CONSTANT MULTIPLICATIVE FACTORS)

Problem	Greatest known lower bound	Fastest known algorithm
Convex polygon	$n$	$n$
Plane	$n \log n$	$n \log n$
Fixed dimension $\geq 3^a$	$n \log n$	$n^2$
Arbitrary graph	$n^2$	$n^3$

<sup>a</sup> Recall that the  $O(n^2)$  algorithm for fixed dimensions assumes that no three input points form an isosceles triangle.

find the set of edges  $e = (p, q) \in E$  such that for each  $z \in V$ ,

$$w(p, z) \geq w(p, q) \quad \text{or} \quad w(q, z) \geq w(p, q).$$

Currently, the best algorithm which we know for this arbitrary graph problem is the brute force  $\Theta(n^3)$  algorithm which examines each edge separately for inclusion in RNG. To get the  $\Omega(n^2)$  lower bound for this problem, consider the graph  $(V, E)$  with weight function  $w$  such that for all  $p, q \in V$ ,  $w(p, q) = 1$ . Then each edge of  $E$  is in RNG. Therefore  $\Omega(n^2)$  is a trivial lower bound.

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