MAUT Based Recommendation

A Project Report

submitted by

BHARATH REDDY A

in partial fulfilment of the requirements for the award of the degrees of

MASTER OF TECHNOLOGY
&
BACHELOR OF TECHNOLOGY



DEPARTMENT OF COMPUTER SCIENCE AND ENGINEERING INDIAN INSTITUTE OF TECHNOLOGY MADRAS. April 2014

THESIS CERTIFICATE

This is to certify that the thesis titled MAUT Based Recommendation submit-

ted by Bharath Reddy A, to the Indian Institute of Technology, Madras, for the

award of the degrees of Bachelor of Technology and Master of Technology,

is a bona fide record of the research work done by him under our supervision.

The contents of this thesis, in full or in parts, have not been submitted to any

other Institute or University for the award of any degree or diploma.

Dr. Sutanu Chakraborti

Assistant Professor Dept. of Computer Science and Engineering IIT-Madras, 600 036

Place: Chennai

Date:

ACKNOWLEDGEMENTS

I am very thankful to Dr. Sutanu Chakraborti ¡fill-TODO¿

I am ever grateful to my friends Skanda Raj, Shubranshu Shekar, Saurabh Gupta and Dileep for helping me a lot during the project.

I thank the Department of Computer Science as whole. I am immensely happy to say that I have got such a good experience during the last 5 years. For this, the credit must go to remarkable teachers like Dr. Madhu Mutyam, Dr. Shankar Balachandran, Dr. Sutanu Chakraborti, Dr. Ravindran and Dr. C Pandu Rangan

ABSTRACT

Most commercial recommender systems in practice use collaborative filtering (CF) techniques that rely heavily on user-ratings to make recommendations. However, CF may not perform well in high-risk product domains like cars, cameras, houses etc. where there a low number of ratings.Knowledge based Recommenders are used to provide recommendations in these scenarios. Users often want to define their requirements explicitly - "The maximum price of PC should be *x* and HDD capacity should be atleast 500 GB." and engage in an interaction with the system. Thus, the recommendation process of a knowledge based recommender is highly interactive, and thus they are also characterized as *conversational recommender systems*. Conversational recommender systems mimic the kind of dialog that takes place between a customer and shopkeeper involving multiple interactions and where the user can give feedback at every interaction. *Critiquing* is a popular form of feedback in conversational recommendation systems.

Dynamic generation of appropriate compound critiques in each cycle is a critical issue for critique-based conversational recommender systems. In earlier research, Apriori algorithm and MAUT (Multi Attribute Utility Theory) based generation of compound critiques have been proposed. MAUT based recommendation has been shown to be slightly superior to Apriori Algorithm based recommendation in offline experiments and live user studies. "Average number of interaction cycles per recommendation session" is a measure that is often used to measure the goodness of a recommendation algorithm. Lower the number of cycles, better is the performance of the algorithm. In this project, we propose several modifications to the MAUT based generation of compound critiques and report the improvements in performance caused by each of these modifications.

TABLE OF CONTENTS

A	CKN	OWLE	DGEMEN 15	1
A]	BSTR	ACT		ii
LI	ST O	F TAB	LES	v
LI	ST O	F FIGU	JRES	vi
1	Intr	oductio	on	1
	1.1	Collal	porative Recommender Systems	1
		1.1.1	Memory Based Approaches	2
		1.1.2	Model Based Approaches	2
		1.1.3	Limitations of CF	3
	1.2	Conte	ent based recommender systems	3
		1.2.1	Limitations of Content-based Recommendation	4
	1.3	Know	rledge based recommender systems	5
		1.3.1	Critiquing	6
	1.4		ontribution: Improvements to MAUT based recommenda-	7
	1.5	Orgar	nization of the Thesis	7
2	Bac	kgroun	d & Related Work	8
	2.1	Case-l	based Recommendation	8
	2.2	Single	e-shot Systems	9
	2.3	Conve	ersational Recommender Systems	11
		2.3.1	Navigation by Asking	12
		2.3.2	Navigation by Proposing	13
		2.3.3	Evolution of Critiquing Systems	16
	2.4	Aprio	ri Algorithm Based Generation of Dynamic Critiques	17
		2.4.1	Generate Critique Patterns	18

2.5	Multi Attribute Utility Theory (MAUT) based Generation of Dy-	
	namic Critiques	18

LIST OF TABLES

2.1	Navigation by	Asking.	Reference:Thompson et	al. (2004)	12
	1 101 1 20101011 2)	1 1011110	1101010100111011101110	(=001)	-

LIST OF FIGURES

1.1	Critiquing	6
1.2	RentMe Recommender System: Burke (2000)	6
2.1	Recommender System that uses preference based feedback	13
2.2	Entree Restaurant Recommender System	15
2.3	Screenshot of digital camera recommender system that uses Apriori algorithm to generate compound critiques	17
2.4	Critique Pattern for the product p(Reilly <i>et al.</i> (2004))	18
2.5	Screenshot of user interface of the recommender system that uses MAUT to generate compound critiques	19

CHAPTER 1

Introduction

"Which digital camera should I buy? Which movie should I rent? Which book should I buy for my next vacation?" These are some situations where people have to make decisions about how they are going to spend money, or in a broader level, about their future. Traditionally, people have used a variety of strategies to solve such decision making problems: conversations with friends, obtaining information from a trusted third party, hiring an expert team or simply follow the crowd. In the present age where e-commerce is flourishing, most e-commerce sites have very large number(often in millions) of products in their databases. For a user wanting to purchase a product, examining all the products (eg: books) present in the catalog one after another in the hope of a finding an product that is of interest to him is impractical. We would like to have systems that assist the user to find products of his interest and enable him to efficiently navigate through the complex product space. Recommender systems are constructed for this purpose - assisting a user in his/her (online) decisionmaking. Recommender systems play an extremely important role in matching users to products or items that they might find interesting. They filter out huge amounts of information to give personalized suggestions that its users might be interested in. This reduces the cognitive effort on the users who are spared of the need to examine a large number of irrelevant items before reaching their desired product.

Recommender Systems are broadly classified into three categories: Collaborative, Content Based and Knowledge Based.

1.1 Collaborative Recommender Systems

The main idea in these systems is that if users share the same interests in the past - if they viewed or bought the same books - they will also have similar

tastes in the future. This technique is also called as *Collaborative Filtering*(CF). Pure CF based approaches require only rating data and do not require the additional knowledge about underlying users/items. Hence, the algorithms are usually domain independent. Most commercial recommender systems use collaborative filtering for recommending items. There are two approaches to do CF: Memory Based Approaches and Model Based Approaches

1.1.1 Memory Based Approaches

In this approach, the original rating matrix is held in memory and directly used to generate predicted ratings and recommendations. There are two popular memory based approaches:

User based Nearest Neighbor(NN) Recommendation: Given a user u, the system computes top K similar users to u according to a pre-defined similarity measure. It recommends those items to user that haven't been rated/purchased by u but liked by the top K similar users.

Item based NN Recommendation: Given a user u, the system recommends items that have received similar ratings to the ones that u had previously liked.

1.1.2 Model Based Approaches

As opposed to memory based approaches that use the ratings matrix to directly generate predictions, model based approaches learn models corresponding to each item and each user from ratings matrix and the learned models are used to make predictions at run time. Model based approaches perform well in practice for large datasets. *Matrix factorization* is a popular model based approach. The superiority of matrix factorization techniques over traditional CF in improving prediciton accuracy was clearly seen during *The Netflix prize* competition. Broadly speaking, matrix factorization methods derive a set of latent(hidden) factors from the rating patterns and characterize each item and user as vectors of these factors. In the movie domain, such latent factors can correspond to some aspects of a movie like genre, but most of them are completely uninterpretable (Koren *et al.* (2009))

1.1.3 Limitations of CF

Cold Start Problem: To provide recommendations for a user u, pure CF techniques rely on u's ratings. This means that for a new user who has not yet rated a single item, there is no way of generating personalized recommendations (new-user problem). Similarly, a new item that has been recently added to the catalog and has not been rated by a single user, has no possibility of being recommended to a user (new-item problem)

Sparsity: The relavance and accuracy of CF recommender's predictions is high when the user-item ratings matrix is dense. But in real-world systems, the rating matrices are typically very sparse and thus, the quality of recommendations of pure CF approaches may not be good. For example, a user u whose rating pattern is very different from most of the other users would find it difficult to receive useful recommendations because the number of similar users to u is very less(Balabanović and Shoham (1997)).

1.2 Content based recommender systems

Collaborative Filtering Systems do not require any knowledge about underlying users/items to make recommendations. As opposed to this, content based recommender systems rely on item descriptions and explicit/learned user profiles to recommend items. For example, if the recommender system knows that "Harry Potter" is a fantasy novel and the user *Alice* has always like fantasy novels, the system can recommend the new "Harry Potter" book right away. Content-based recommender systems need not rely on the existence of a large user base to generate recommendations. It overcomes the cold-start problem described in Section 1.1.3. However, item characteristics are hard to acquire normally and hence, they have to be entered manually into the system, which can be potentially expensive for some domains.

Having its roots in *Information Retrieval*(IR), content based recommendation most often focuses on textual products - items which can be described in terms of textual features (Eg: documents, news articles and websites). Most news

recommendation systems use content based recommendation to recommend relevant news articles to the users. A news recommendation system typically recommends news articles by comparing the main keywords of a news article with the keywords that appeared in other articles that the user has rated highly in the past. There are two ways in which content based systems can create user-profiles- by explicitly asking the user to rate a set of items/topics/categories when the user is new to the system or by "learning" the user profile automatically by examining the user's past behavior/ratings. Learning user profiles from user's past behavior can be expensive and sometimes not be accurate because of time-effects(user's interests changing over time) and sparsity of user ratings. But it has the advantage that it requires no effort from the user.

1.2.1 Limitations of Content-based Recommendation

Limited Content Analysis: Content-based recommender systems perform a shallow content analysis which might not be sufficient in many scenarios (Jannach *et al.* (2010)). Particularly for recommending resources such as web pages, aspects other than the keywords like aesthetics, usability and correctness of hyperlinks play a part in establishing the quality of recommendations (Jannach *et al.* (2010)). Also, content based recommender systems using limited content analysis based on just keywords, have no way to distinguish between well written and poorly written articles, both of which use the same set of keywords. Feature extraction techniques for text documents is relatively mature, but the same cannot be said about many multimedia objects like images and videos Hence, the usability of content-based recommender systems is limited in multimedia domain. (Adomavicius and Tuzhilin (2005))

Overspecialization: Another drawback of content based recommender systems is that they often tend to recommend items that the user might have already seen/rated. A general goal therefore is to increase the serendipity of the recommendation lists - that is, to include "unexpected" items in which the user might be interested in. The system described by Billsus and Pazzani (1999) therefore defines a threshold to filter out not only items that are too different

from the profile but also those that are too similar.

New user problem: The cold-start problem discussed in Section 1.1.3 also exists for content based recommender systems. Although content-based techniques do not require a large user community, they require at least an initial set of ratings from the user, typically a set of explicit *like* and *dislike* statements. The prediction accuracy of these systems improves with increase in the number of ratings.

1.3 Knowledge based recommender systems

Collaborative filtering systems, discussed in Section 1.1 suggest items to user based on user's past ratings. Content based recommender systems, discussed in Section 1.2 recommend those items whose features match the user's profile. However there are some domains in which both collaborative and content based methods have limitations. Typically, we do not buy a house, a car or a computer very frequently. In such a scenario, both collaborative-filtering or content-based recommender systems may not be able to generate relavant recommendations because of the low number of available ratings. User-profiles learnt by content-based systems may not be useful for making recommendations due to a heavy influence of time effects(change in user's interests and product catalogs with time). For example, if a user has given a high rating to a 'Pentium III' computer four years ago, we cannot rely on that rating for generating relevant recommendations. In complex and high-risk product domains such as computers, customers often want to define their requirements explicitly - for example, "the maximum price of the computer should be x and the hard-disk capacity should be atleast 500GB". Knowledge based systems are used to provide recommendations in such scenarios. Recommendation process of knowledge-based recommender applications is highly interactive, a foundational property that is a reason for their characterization as conversational systems. The recommender system that we consider in this project is a conversational recommender system.

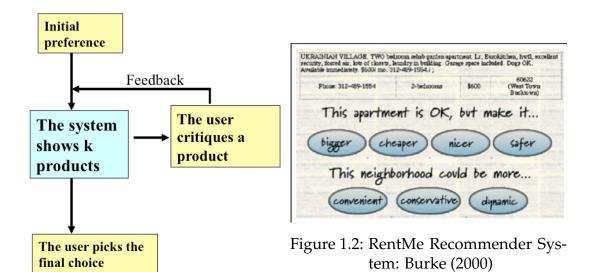


Figure 1.1: Critiquing

Conversational systems assume that a user's initial query is merely a starting point for search, perhaps even an unreliable starting point. The job of a conversational system is to help the user refine his initial preference query as the interactions proceed. Knowledge-based recommender systems can be divided into two classes: constraint-based and case-based recommender systems. Constraint-based recommender systems rely on explicit recommendation rules and case-based recommender systems use similarity/utility measures to generate recommendations. Specifically, constraint-based recommendation is generated by looking at a collection of items satisfying the recommendation rules; case-based recommendation is typically generated based on similarity of the items in the database with the user defined query. MAUT based recommendation falls in the category of case-based recommendation. We discuss case-based recommendation in detail in Chapter 2

1.3.1 Critiquing

Critiquing is one of the most popular forms of feedback in conversational recommender systems. In each interaction cycle, the user is presented with a list of products. User selects a product and expresses directional preference(s) over one or more item feature values. For example, one might indicate that he/she is looking for a less expensive restaurant or a more formal setting(Figure 1.2).

These are two individual critiques, first critique being on the *price* attribute and the second critique on the *setting* attribute. The recommender updates it's user model according to this feedback provides another set of products and proceeds to the next recommendation cycle. This continues till the user finally chooses a product. (Figure 1.1)

Unit critiques allow users to express their preference over one attribute in each interaction cycle. Compound critiques enable users to input their preferences on several attributes at a time. This can potentially shorten the number of interaction cycles in finding a target product. The early FindMe Systems Burke et al. (1996) had static critiques. The critiques wouldn't change when users selected a particular critique. This can lead to some serious limitations. For example, the critique 'cheaper' would continue to be visible, even if there are no cheaper apartments available and when user clicks on 'cheaper', there would be no results displayed at all. Static critiques also do not represent the best set of tweaks that a user will want to make given his preference model. The notion of dynamic critiquing was first proposed by McCarthy et al. (2004) to overcome the limitations of static critiques. Compound critiques are generated on-the-fly for each recommendation cycle. Dynamic critiquing has been shown to improve user-experience and lower the average number of interaction cycles it takes for a customer to find his desired product.

There are two popular approaches to dynamic critiquing: Apriori algorithm based generation of compound critiques (McCarthy *et al.* (2004)) and MAUT based generation of compound critiques (Zhang and Pu (2006*a*)). The algorithm for MAUT based recommendation is discussed in Chapter 2.

1.4 Our contribution: Improvements to MAUT based recommendation

1.5 Organization of the Thesis

CHAPTER 2

Background & Related Work

2.1 Case-based Recommendation

Case-based recommendation traces its roots to case-based reasoning (Aamodt and Plaza (1994)). Case-based reasoning is a problem solving methodology which makes use of a case-base (database) of past problem solving experiences as its source of knowledge. A typical case in a case-base consists of a problem specification outlining the problem and a solution part which describes the solution used to solve the corresponding problem. Given a new problem at hand with a problem specification P_s , a case S is retrieved from the case-base whose problem specification is similar to P_s and then the solution of S is adapted to come up with a solution for P_s . (Smyth (2007)) Case-based recommender systems are particularly suitable for generating recommendations when we are dealing with structured representations of items and there are similarity measures that can be defined across features in the particular domain. Many ecommerce websites deal with products such as cameras, computers etc. which are usually represented in terms of their features in a structured way. Once suitable similarity measures are devised, case-based recommender systems are ready to be taken to the field.

Consider a user who specificies the following query to the system: "I need a camera having a resolution of 8 Megapixels, manufactured by Canon, with a price less than \$500". A case-based recommender might retrieve all cameras that have 'Canon' as their manufacturer and are similar in terms of 'Price' and 'Resolution' as mentioned in user's query and display them as recommendations. The similarity between a query q and a camera C, similarity is estimated according to weighted similarity model as:

$$Similarity(q, C) = \frac{\sum_{i=1}^{n} w_i \times sim_i(q_i, C_i)}{\sum_{i=1}^{n} w_i}$$
 (2.1)

According to equation 2.1, the similarity between a user query q and camera C is estimated as a weighted sum of individual similarities between the corresponding features of q and C. n is the total number of features. The weights associated with each feature reflect the importance of that feature in the overall similarity calculation process and individual feature level similarities are calculated according to the similarity function pertaining to the particular feature i which is denoted by $sim_i(q_i, C_i)$. These feature level similarities are referred to as local similarities, which are defined by domain experts. The similarity between two price values p_i and p_j can be defined as follows:

$$sim_{price}(p_i, p_j) = 1 - \frac{|p_i - p_j|}{max(P) - min(P)}$$
 (2.2)

max(P) and min(P) refer to the maximum and minimum values of price feature in the database respectively. As we can see from Equation 2.2, greater the difference between p_i and p_j , lesser is the similarity between them. To estimate the similarities between the nominal feature (Eg:"manufacturer") values of two cameras, specialized domain knowledge is required. Case-based recommenders can be classified into two categories - 'Single-shot systems' and 'conversational systems'.

2.2 Single-shot Systems

Single shot systems are reactive systems which respond to user's query by showing him a single list of k items in a single interaction(Smyth (2007)). An analog device recommender recommends those op-amps to the user which are most similar to his query according to a pre-defined similarity measure(Wilke et al. (1998)). It is often desirable to have diverse products in recommendation lists. Having diverse items in recommendation list helps the user to develop a better understanding of different parts of the product space. This will also enable him to understand the trade-offs that exist between different product features. There have been several attempts done to achieve diversity in recommendation lists.

Bounded Greedy Selection procedure described in Smyth and McClave (2001)

has been shown to be giving the best results in many recommendation scenarios. In this method, the retrieval set R is iteratively constructed till it contains k (length of recommendation list) items. The set S containing top bk items are considered in the beginning of recommendation procedure. In the first step, the top item in S is added to R and removed from S. In the next step, quality scores of all items in set S are computed using Equation 2.3. Item that has the highest S quality score amongst all items in set S is added to the set S and removed from S. This step is repeated till the size of set S is equal to S. This continues till there are S items in the set S.

$$Quality(q, P, R) = similarity(q, P) * RelDiversity(p, R)$$
 (2.3)

$$RelDiversity(p,R) = \frac{\sum_{i=1}^{|R|} (1.0 - similarity(p,r_i))}{|R|}$$
 (2.4)

In this way, diversity in introduced into the retrieved list without significantly compromising on the similarity to the query. Another method for improving diversity in a recommendation set was proposed in Shimazu (2001), where a set of where a set of 3 recommendations p1, p2 and p3 is presented to the user. p1 is the most similar product to the user query, p2 is maximally dissimilar to p1 and p3 is the most dissimilar product to both p1 and p2. As we can see, the products p2 and p3 may not be very similar to the user query.

All the methods of introducing diversity into the recommendation process were based on the explicit characterization of diversity in being the opposite of similarity. However, there are other approaches where diversity gets introduced as a by-product. In Order based retrieval (OBR) proposed by (Bridge and Ferguson (2002)) constructs an ordering relation based on user preferences revealed through user query. The ordering relation makes use of some basic operators to construct partial orders on the features constituting the user query Compromise driven retrieval (CDR) (McSherry (2003)) is a method where diversity gets introduced without its explicit characterization. The central idea put forth in CDR is - to estimate the utility of a product for a user by taking into account the compromises the product might be making with respect to the user

query along with the weighted similarity model. Compromises can be defined as the preferences of the user that the recommender system failed to satisfy. To identify if a particular feature has been compromised or not, dominance criteria like MIB (More is Better) and LIB (Less is Better) are used. For example, let us consider *price* of a camera to be LIB feature and assume that a user specifies X as her preferred *price*. If a product P in the database has *price* greater than X, then we say that P involves a compromise across the *price* feature with respect to the query. CDR operates according to the following utility estimation principle - a given product is more acceptable than another product if it is more similar to the user query and involves a subset of the compromises that the other item involves.

2.3 Conversational Recommender Systems

Single shot retrieval works well in situations where the user is quite sure of his preferences and can frame a query appropriately. In situations when the user only has a vague idea of what he wants, he might not be able to frame his information need for the system to retrieve interesting products in a single iteration.

So in situations where user has a poor domain knowledge and not clear about his preferences, it might be useful for the system to engage the user in an extended interaction taking his feedback in each cycle. The system can use users feedback at each interaction with the user to revise its model of the user preferences and propose relevant products. Conversational recommender systems are classified into two categories based on the type of feedback they solicit from the user. The recommendation process of conversational systems is classified to be either as *navigation by proposing* or *navigation by asking* (Simazu *et al.* (2001))

Table 2.1: Navigation by Asking. Reference: Thompson et al. (2004)

Inquirer: Where do you think I should eat tonight?

Advisor: What type of food would you like?

Inquirer: What types are there?

Advisor: You can say things like Chinese, Indian, and Mediterranean.

Inquirer: Oh, maybe a cheap Indian place.

Advisor: What kind of parking would you like?

Inquirer: I don't care, as long as it's in Palo Alto.

Advisor: I'm sorry, I don't know of any Indian restaurants like that.

Inquirer: Then I think I'd like Chinese instead.

Advisor: How does Mandarin Gourmet on 420 Ramona sound?

Inquirer: No, what else do you have?

Advisor: How about Jing-Jing Szechwan Hunan Gourmet on 443 Emerson Street?

Inquirer: Sure, that sounds fine.

2.3.1 Navigation by Asking

In this mode of feedback, user is asked questions about his preference for various feature values during interaction. Thus, it proceeds in a question answer interaction where the user may question the response of the system and answer questions that are posed to him. A sample example is shown in Table 2.1 As we can see in Table 2.1, this mode of getting user feedback requires a lot of cognitive effort on the part of the user.

It is generally accepted that the users are not particularly fond of long question answer sessions. Additionally, it is possible that the user might not be able to answer the question that the system might ask him. Oftentimes they will not know the answers to questions that demand a high-level of domain knowledge or they may reject questions that ask for sensitive or personal information Finally, there are a lot of interfacing issues in implementing text based question answer system. For example, expecting the users to answer specific questions in a text based format might not be appropriate in the context of recommendations over devices such as mobile phones (Smyth (2007))



Figure 2.1: Recommender System that uses preference based feedback

2.3.2 Navigation by Proposing

The key feature of navigation by proposing is that the user is presented with one of more recommendation alternatives, rather than a question, during each recommendation cycle. The user is asked to offer feedback in relation to these alternatives. There are three important kinds of feedback: Ratings-based feedback, Preference-based feedback and Critique-based feedback.

Ratings-based feedback: In this kind of feedback, the recommender system provides a list of recommendations and asks the user to provide an explicit rating for each item in the list. One of the most famous systems that used this kind of feedback was the PTV system (Smyth and Cotter (1999)). This system allowed the user the grade the T.V. programs recommended to them into two categories - positive and negative. These ratings then were made part of the user profile which was used to generate further recommendations.

Preference-based feedback: This is the simplest form of feedback which requires the user to indicate a preference for one recommendation over another. It is also particularly well suited to domains where users have very little domain knowledge. Consider the example of the recommender system shown in

Figure 2.1. The system shows 3 different item along with the feature values of each item in each cycle and user can select one of the items as his preference. The system takes user preference into account and generates 3 new recommendations in the next cycle. Bridal wedding dresses is a good example of a domain where average shopper is likely to have a limited knowledge, in terms of the technical feature values of the items (Smyth (2007)) However, most users will be able to select one dress as preference over the other. Unfortunately, while this approach carries very little feedback overhead, from a users perspective, it is ultimately limited in its ability to guide the recommendation process. For example, a user might have chosen a particular item because he likes certain features of the item, but it is always not clear which features compelled the user to select that item. The system will have to then make an assumption that the user likes all of the features of the selected product and consequently, the quality of recommendations may not be very good.

Mc Ginty and Smyth (2002) propose several query revision strategies to address the above problem. The straightforward strategy(*More Like This(MLT)*) simply adopts the preferred case as the new query and proceeds to retrieve the k most similar cases to it for the next cycle. This approach is does not generate very effecient recommendations because it doesn't try to infer user's true preferences. There may be some features of the selected product that the user doesn't like. An alternative approach(Partial More Like This(pMLT)) transfers features from the preferred case only if these features are absent from all of the rejected cases, thus allowing the recommender to focus on those aspects of the preferred cases that are unique in the current cycle. An another strategy(Weighted More Like This(wMLT)) attempts to give weights to each of the features in the updated query according to how confident the recommender can be that these features are responsible for user's preference. For example, in a PC recommender system, if the preferred PC has the manufacturer "Apple" and the other k-1 rejected PCs have different manufacturers, the system can give a high weight to 'manufacturer' attribute, since we can be confident that the particular user under consideration is genuinely interested in "Apple" computers. On the other hand, if the preferred PC has a screen-size of 15 inches and all other k-1 rejected PCs also have the same screen-size, we can give a low



Figure 2.2: Entree Restaurant Recommender System

weight to 'screen-size' attribute, since we can't really infer whether the user is particularly interested in PCs with screen-size 15 inches.

Critique-based feedback: As already discussed in Section 1.3.1, critiquing based recommenders allow users to choose a product and provide directional preference(s) over one or more feature values of the product. In many domains, we cannot assume that users will be able to express their preferences at the beginning of interaction. Most users will not have an idea of the trade-offs/compromises that exist. Instead, as users become more familiar with the domain and the product options available, their preferences often change, becoming more rigid. Critique-based conversational recommenders offer support as users navigate the product space and help them to better understand their preference requirements. Instead of requiring users to specify their preferences from the outset, user preferences are built up over a series of *recommendation cycles*.

The FindMe systems Burke *et al.* (1996) were among the first recommenders to implement critiquing as an effective form of feedback in conversational recommendation systems. The Entree recommender (Figure 2.2) suggests restaurants in Chicago and each recommendation allows the user to select from seven

different critiques. When a user selects a critique such as *cheaper*, Entree eliminates cases (restaurants) that do not satisfy the critique from consideration in the next cycle, and selects that case which is most similar to the current recommendation from those remaining; thus each critique acts as a filter over the cases. Critiquing provides users with a straight-forward mechanism to provide feedback, one that requires limited domain knowledge on the part of the user and it is easy to implement with even the simplest of interfaces.

2.3.3 Evolution of Critiquing Systems

Over the past decade, a variety of critique-based recommendation methodologies have been proposed. Researchers have demonstrated the benefits of employing critiquing as the form of feedback in conversational recommender systems (e.g., Show me more like item A, but cheaper). The primary reason why critiquing has become so popular is that it strikes an acceptable balance between the effort that a user must expend when providing feedback and the information value it provides. In comparison to the standard value elicitation approach, critiquing is a very low-cost form of feedback (in terms of user effort) that provides a relatively unambiguous indication of the user's current requirement. Critiquing is also well-suited to even the most basic interfaces and to users with only a rudimentary understanding of certain recommendation domains.

Feature critiques typically take the form of *directional* or *replacement* critiques. Through replacement critiques, user can request for the substitution of any value (i.e., aside from critiqued value) for a non-numeric feature (e.g., different manufacturer implies [! = manfacturer]). Through directional critiques can express a request to increase or decrease over one or more numeric attribute values (e.g., cheaper implies [<pri>price]).

In Sections 2.4 and 2.5, we discuss the two most popular approaches to generation of dynamic critiques, namely Apriori Algorithm based and Multi-Attribute Utility Theory (MAUT) based generation of compound critiques.



Figure 2.3: Screenshot of digital camera recommender system that uses Apriori algorithm to generate compound critiques

2.4 Apriori Algorithm Based Generation of Dynamic Critiques

The screenshot of a camera recommender system that uses Apriori algorithm to generate compound critiques is shown in Figure 2.5. Each recommendation session will be commenced by an initial user query and this will result in the retrieval of the most similar case available for the first recommendation cycle. In each recommendation cycle, only one product is displayed to the user. The user can choose to either select this product and end the recommendation session, or critique the product. Remaining cases in the case base are filtered using the selected critique and the product that is most similar to previous recommended case is shown as the next recommendation. User is presented with unit critiques for each product feature and a set of compound critiques. There are two steps required to generate compound critiques: *Generating Critique Patterns* and *Mining Compound Critiques*.

	Current Product	Product p	Critique Pattern
Manufacturer	Apple	Sony	!=
Price (Euro)	2450	2039	<
Screen-Size (inches)	17	13.3	<
Operating System	Mac OS X	Windows XP Home	! =
RAM (MB)	2048	1024	<
HardDisk (GB)	100	120	>
Processor Type	Intel Core Duo	Intel Core Duo	=
Speed (GHz)	2.16	1.83	<
Weight (Kgs)	2.5	1.9	<
Battery-Life (Hours)	5.6	6	>

Figure 2.4: Critique Pattern for the product p(Reilly et al. (2004))

2.4.1 Generating Critique Patterns

2.4.2 Mining Compound Critiques

2.5 Multi Attribute Utility Theory (MAUT) based Generation of Dynamic Critiques

2.6 Evaluation

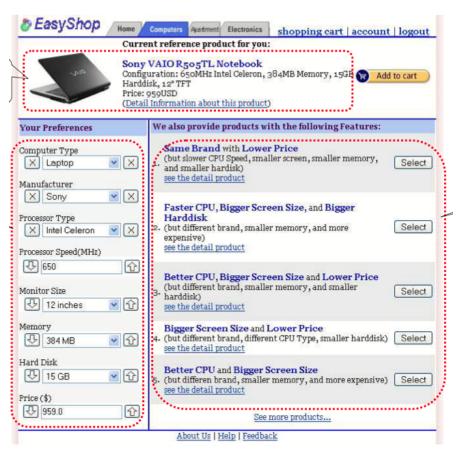


Figure 2.5: Screenshot of user interface of the recommender system that uses MAUT to generate compound critiques

REFERENCES

- 1. **Aamodt, A.** and **E. Plaza** (1994). Case-based reasoning: Foundational issues, methodological variations, and system approaches. *AI communications*, **7**(1), 39–59.
- 2. **Adomavicius, G.** and **A. Tuzhilin** (2005). Toward the next generation of recommender systems: A survey of the state-of-the-art and possible extensions. *Knowledge and Data Engineering, IEEE Transactions on*, **17**(6), 734–749.
- 3. **Balabanović, M.** and **Y. Shoham** (1997). Fab: content-based, collaborative recommendation. *Communications of the ACM*, **40**(3), 66–72.
- 4. **Billsus, D.** and **M. J. Pazzani**, A personal news agent that talks, learns and explains. *In Proceedings of the third annual conference on Autonomous Agents*. ACM, 1999.
- 5. **Bridge, D.** and **A. Ferguson** (2002). An expressive query language for product recommender systems. *Artificial Intelligence Review*, **18**(3-4), 269–307.
- 6. **Burke**, **R.** (2000). Knowledge-based recommender systems. *Encyclopedia of library and information systems*, **69**(Supplement 32), 175–186.
- 7. **Burke, R. D., K. J. Hammond**, and **B. C. Young**, Knowledge-based navigation of complex information spaces. *In Proceedings of the national conference on artificial intelligence*, volume 462. 1996.
- 8. **Jannach, D., M. Zanker, A. Felfernig**, and **G. Friedrich**, *Recommender systems:* an introduction. Cambridge University Press, 2010.
- 9. **Koren, Y., R. Bell**, and **C. Volinsky** (2009). Matrix factorization techniques for recommender systems. *Computer*, **42**(8), 30–37.
- 10. **Mc Ginty, L.** and **B. Smyth**, Comparison-based recommendation. *In Advances in Case-Based Reasoning*. Springer, 2002, 575–589.

- 11. **McCarthy, K., J. Reilly, L. McGinty**, and **B. Smyth**, On the dynamic generation of compound critiques in conversational recommender systems. *In Adaptive Hypermedia and Adaptive Web-Based Systems*. Springer, 2004.
- 12. **McSherry, D.**, Diversity-conscious retrieval. *In Advances in Case-Based Reasoning*. Springer, 2002, 219–233.
- 13. **McSherry, D.**, Similarity and compromise. *In Case-Based Reasoning Research and Development*. Springer, 2003, 291–305.
- 14. **Reilly, J., K. McCarthy, L. McGinty**, and **B. Smyth**, Dynamic critiquing. *In Advances in Case-Based Reasoning*. Springer, 2004, 763–777.
- 15. **Shimazu, H.**, Expertclerk: navigating shoppers' buying process with the combination of asking and proposing. *In Proceedings of the 17th international joint conference on Artificial intelligence-Volume* 2. Morgan Kaufmann Publishers Inc., 2001.
- 16. **Simazu, H., A. Shibata**, and **K. Nihei** (2001). Expertguide: A conversational case-based reasoning tool for developing mentors in knowledge spaces. *Applied Intelligence*, **14**(1), 33–48.
- 17. **Smyth, B.**, Case-based recommendation. *In The adaptive web*. Springer, 2007, 342–376.
- 18. **Smyth, B.** and **P. Cotter**, Surfing the digital wave. *In Case-Based Reasoning Research and Development*. Springer, 1999, 561–571.
- 19. **Smyth, B.** and **P. McClave**, Similarity vs. diversity. *In Case-Based Reasoning Research and Development*. Springer, 2001, 347–361.
- 20. **Thompson, C. A., M. H. Göker**, and **P. Langley** (2004). A personalized system for conversational recommendations. *J. Artif. Intell. Res.(JAIR)*, **21**, 393–428.
- 21. **Wilke, W., M. Lenz**, and **S. Wess**, Intelligent sales support with cbr. *In Case-based reasoning technology*. Springer, 1998, 91–113.
- 22. **Zhang, J.** and **P. Pu**, A comparative study of compound critique generation in conversational recommender systems. *In Adaptive Hypermedia and Adaptive Web-Based Systems*. Springer, 2006a.

23. **Zhang, J.** and **P. Pu**, A comparative study of compound critique generation in conversational recommender systems. *In Adaptive Hypermedia and Adaptive Web-Based Systems*. Springer, 2006b.