### **ENDTERM PAPER CONCISE DMBD 2024**

**PYQ PAPER SOLUTION (Formatted with Tables & Enhanced Readability - No Leading Spaces)** 

**Question 1 (Compulsory)** 

- a) Describe the components of database systems. [PYQ Q1a from problem, implies general importance] (3 Marks)
  - **Definition:** A database system is an integrated set of components for defining, creating, managing, and using databases.
  - Main Components:

Component	Description
1. Hardware	Physical devices supporting the database system (e.g., servers, storage disks, network devices, memory).
2. Software	Programs governing database operation and management.
	<b>Database Management System (DBMS):</b> Core software for database interaction.
	Query Processor: Parses, optimizes, executes queries (includes DDL interpreter, DML compiler, query evaluation engine).
	Storage Manager: Manages physical data storage (buffer, file, transaction management - concurrency/recovery).
	<b>Application Programs &amp; Utilities:</b> Software to access/manipulate data, generate reports, backups (e.g., report generators, import/export tools).
3. Data	Collection of facts stored in the database.
	Operational Data: Day-to-day operational information.
	<b>Metadata (Data about Data):</b> Describes structure, constraints of operational data (stored in data dictionary/repository).
4. Users	Individuals interacting with the database.
	<b>Database Administrators (DBAs):</b> Manage overall system (security, backup, performance).
	Database Designers: Define database structure (schema).
	Application Programmers: Develop applications interfacing with the database.
	End Users: Access database for tasks (querying, reporting, updating).
5. Procedures	Instructions and rules for database design and use (e.g., login, backup/recovery methods, standards).

### b) Distinguish between primary key, candidate key and super key. [PYQ Q1b from problem, and in notes] (3 Marks)

Feature	Superkey	Candidate Key	Primary Key
Definition	Any attribute or set of attributes that uniquely identifies a tuple (row) within a relation.	A minimal superkey. It is a superkey from which no attribute can be removed without losing its uniqueness property.	The candidate key chosen by the database designer to uniquely identify tuples in a relation.
Minimality	Not necessarily minimal. It may contain redundant attributes.	Must be minimal.	Must be minimal (as it's a chosen candidate key).
Uniqueness	Guarantees uniqueness for each tuple.	Guarantees uniqueness for each tuple.	Guarantees uniqueness for each tuple.
Number	A relation can have many superkeys.	A relation can have one or more candidate keys.	A relation has only one primary key.
Null Values	Generally, attributes part of a superkey (if chosen as CK/PK) should not be null.	Attributes forming a candidate key should ideally not accept null values.	Cannot contain null values (Entity Integrity Constraint).
Example	If {SID, CID} is CK, then {SID, CID, SName} is a superkey.	In Employee, {EmpID} and {SSN} could be candidate keys.	From CKs {EmpID}, {SSN}, DBA might choose {EmpID} as PK.

### c) Explain the advanced data manipulation using SQL. [PYQ - from problem and in notes] (3 Marks)

- **Definition:** Advanced DML in SQL extends basic operations for complex data retrieval, analysis, and modification.
- Key Features:
- 1. Complex Queries:
- Joins: Combining data from multiple tables (INNER, LEFT, RIGHT, FULL, CROSS JOIN).
- Subqueries (Nested Queries): Queries within other SQL statements (WHERE, SELECT, FROM, HAVING), including correlated subqueries.
- Aggregate Functions with Grouping: COUNT(), SUM(), AVG(), MAX(), MIN() with GROUP BY (calculations on groups) and HAVING (filtering groups).
- 2. Set Operations: Combining SELECT results using UNION [ALL], INTERSECT, EXCEPT/MINUS.
- **3. Window Functions:** Calculations across related rows without collapsing them (e.g., ranking, moving averages).

- 4. Common Table Expressions (CTEs): WITH clause for temporary, named result sets, improving readability/modularity.
- 5. Bulk Operations: Efficient large-volume data operations (e.g., INSERT INTO ... SELECT ...).
- d) Explain the Data Control Language (DCL) commands. [PYQ implied in notes under DBMS components/security] (3 Marks)
  - **Definition:** DCL commands in SQL manage permissions and control access to database objects.
  - Primary DCL Commands:
  - 1. GRANT:
  - Purpose: Gives specific privileges (e.g., SELECT, INSERT, UPDATE) on objects (tables, views) to
    users/roles.
  - Syntax (Simplified): GRANT privilege\_list ON object\_name TO user\_list [WITH GRANT OPTION];
  - WITH GRANT OPTION: Allows grantee to further grant received privileges.
  - 2. REVOKE:
  - Purpose: Removes previously granted privileges from users/roles.
  - Syntax (Simplified): REVOKE [GRANT OPTION FOR] privilege\_list ON object\_name FROM user\_list [CASCADE | RESTRICT];
  - **GRANT OPTION FOR**: Revokes only the grant ability.
  - **CASCADE**: Propagates revocation to users granted by this user.
  - **RESTRICT**: Fails if privilege was passed on (often default).
- e) Find the minimum number of tables required to represent the given ER diagram in the relational model. [PYQ related to ER to Relational Mapping rules] (3 Marks)
  - Analysis:
  - Entity M (Strong): 1 table: Table M (M1 PK, M2, M3)
  - Entity P (Strong): 1 table: Table\_P (P1\_PK, P2)
  - Entity N (Weak, identifying R2 with P): 1 table: Table\_N (P1\_FK\_PKpart, N1\_PKpart, N2)
  - Relationship R1 (M to P, 1:N): PK of M (M1) becomes FK in Table\_P. No new table. Table\_P becomes (P1\_PK, P2, M1\_FK).
  - Relationship R2 (P to N, M:1, Identifying): Handled by Table\_N's structure. No additional table.
  - Resulting Tables:
  - 1. Table\_M (M1\_PK, M2, M3)
  - 2. Table\_P (P1\_PK, P2, M1\_FK) (references Table\_M)
  - Table\_N (P1\_FK\_PKpart, N1\_PKpart, N2) (references Table\_P)
  - Conclusion: Minimum number of tables required is 3.

#### **UNIT-I**

#### Question 2:

- a) Explain the database systems architecture with a suitable diagram. [PYQ Q2a from problem, related to ANSI/SPARC] (8 Marks)
  - ANSI/SPARC Three-Schema Architecture: Standard architecture for data independence.

Level	Description	Content	Purpose
1. External Level	Highest level, user/application specific views (Subschemas).	Multiple external schemas, each showing a relevant portion of the DB.	Customized views, security, simplified interaction.
2. Conceptual Level	Unified, comprehensive logical view of the entire database (Global View / Schema).	All entities, attributes, relationships, constraints; technology-independent.	Stable DB description, basis for views, hides physical details.
3. Internal Level	Lowest level, physical storage details (Physical View / Schema).	Data structures, file organizations (B+-trees, hashing), access paths, storage allocation; technology-dependent.	Efficient data storage and retrieval.

- Data Independence:
- **Logical Data Independence:** Modify conceptual schema without changing external schemas/apps (e.g., add attribute).
- **Physical Data Independence:** Modify internal schema without changing conceptual/external schemas (e.g., add index).
- b) Describe the database design life cycle. [PYQ Q2b from problem, and in notes] (7 Marks)
  - **Definition:** DDLC is a systematic, phased approach for designing, implementing, and maintaining a database.
  - Phases:

Phase	Objective	Key Activities	Output
1. Requirements Specification & Planning	Understand & document complete user data needs.	User interviews, review documents, identify business rules, define scope.	Detailed requirements specification.
2. Conceptual Data Modeling	Create high-level, technology- independent data model.	Use ERD/EERD, define entities, attributes, relationships, constraints. Presentation/Design-Specific layers.	Conceptual schema (e.g., ER diagram). Validation.

Phase	Objective	Key Activities	Output
3. Logical Data Modeling	Transform conceptual model to a chosen data model (typically relational).	Map ER/EER to tables, columns, PKs, FKs. Apply normalization.	Logical schema (e.g., normalized relational tables).
4. Physical Data Modeling	Design DBMS- specific internal storage and access methods.	Define data types, create indexes, plan file organization, clustering.	Physical schema (DDL statements).
5. Implementation & Testing	Create & test the database and its functionality.	Execute DDL, populate data, develop/test applications.	Operational database, tested applications.
6. Deployment, Operation & Maintenance	Put DB into production and ensure its continued smooth operation.	Monitor, tune, manage security, backups/recovery, apply updates.	Functioning and maintained database system.

#### **UNIT-II**

#### Question 4:

- a) Explain the ER model and EER Model to map with logical schema. [PYQ Q4a from problem, and in notes] (8 Marks)
  - Mapping ER Model to Relational Schema:
  - Strong Entity: New table; attributes become columns; choose PK.
  - Weak Entity: New table; PK = PK of owner (as FK) + partial key.
  - 1:1 Relationship:
  - Option 1 (Foreign Key): PK of one entity as unique FK in the other.
  - Option 2 (Merged Relation): If both total participation, merge tables.
  - Option 3 (Relationship Relation): New table with PKs from both entities.
  - 1:N Relationship: PK of '1'-side as FK in 'N'-side table. Relationship attributes on 'N'-side.
  - **M:N Relationship:** New junction table; PK = composite of PKs of both entities (as FKs). Relationship attributes here.
  - Multi-valued Attribute: New table; PK = PK of original entity (as FK) + multi-valued attribute.
  - Mapping EER Model to Relational Schema:
  - Specialization/Generalization (SC/sc):
  - Option 1 (SC + sc's tables): Table for SC, table for each sc (PK of SC is PK & FK in sc).
  - Option 2 (SC table only): One table for SC, includes all sc attributes (use NULLs, type attribute).
  - Option 3 (sc's tables only): Table for each sc, includes inherited SC attributes (SC total & disjoint).

- **Specialization Hierarchy/Lattice:** Apply chosen SC/sc mapping recursively. Shared subclass (lattice) may have multiple FKs if Option 1.
- Categorization (Union Type): Table for category (surrogate PK). Tables for superclasses. Category PK is FK in each superclass table.

#### Question 5:

- a) Define Normalization. Explain the types of Normalization. [PYQ Q5a from problem, and in notes] (8 Marks)
  - **Normalization Definition:** Process of organizing database data to reduce redundancy and improve integrity by decomposing tables.
  - **Goals:** Minimize redundancy, eliminate anomalies (insertion, update, deletion), ensure logical dependencies.
  - Normal Forms (Types):

Normal Form	Rule
1NF	All attribute values are atomic (no repeating groups/multi-valued attributes in a cell).
2NF	1NF + No partial dependencies (non-key attributes fully depend on the entire PK).
3NF	2NF + No transitive dependencies (non-key attributes don't depend on other non-key attributes).
BCNF	Stricter 3NF. Every determinant (X in X -> Y) must be a superkey.
4NF	BCNF + No non-trivial multi-valued dependencies (MVDs: X ->> Y) unless X is a superkey.
5NF (PJ/NF)	4NF + No join dependencies (JDs) not implied by candidate keys (ensures lossless decomposition).

## b) Explain the Mapping of higher degree relationships. [PYQ Q5b from problem, and in notes] (7 Marks)

- **Definition:** Higher-degree relationships (ternary, n-ary) involve >=3 entity types.
- Mapping Approach (Associative Entity / Junction Table):
- 1. Create New Relation (S): For the n-ary relationship R.
- 2. Include Foreign Keys: Add PK of each participating entity [Ei] as an FK in [S].
- 3. Determine PK of S: Typically, composite of all included FKs: {PK(E1), ..., PK(En)}.
- 4. Map Relationship Attributes: Attributes of R become columns in S.
- Conceptual Model First (Alternative): Decompose n-ary into a gerund (associative) entity in ERD first, then map this entity and its binary relationships.

- Cardinality Constraints: Complex for n-ary; often need application logic/triggers.
- **Decomposition Consideration:** Evaluate if n-ary can be meaningfully decomposed into several binary relationships.

#### UNIT-III

#### Question 6:

- a) Describe the database creation using SQL with help of suitable examples. [PYQ Q6a from problem, and in notes] (8 Marks)
  - SQL DDL for Database Creation: Primarily uses CREATE TABLE.
  - **CREATE TABLE Syntax (Simplified):** CREATE TABLE table\_name (col1\_def, col2\_def, ..., [table\_constraints]);
  - Key Components:

Component	Description	Examples
table_name	Name of the table.	Employees, Products
Column Definition	<pre>column_name data_type [column_constraints]</pre>	EmpID INT PRIMARY KEY, ProductName VARCHAR(100) NOT NULL
data_type	Specifies data type.	<pre>INTEGER, (VARCHAR(n), (DATE), (DECIMAL(p,s))</pre>
Column Constraints	Apply to individual columns.	NOT NULL, UNIQUE, PRIMARY KEY, CHECK (Salary > 0), DEFAULT 'Active', REFERENCES Departments(DeptID)
Table Constraints	Apply to one or more columns.	PRIMARY KEY (OrderID, ItemID), UNIQUE (Email), FOREIGN KEY (DeptID) REFERENCES Departments(DeptID) ON DELETE SET NULL, CHECK (StartDate < EndDate)
Referential Actions	Part of FOREIGN KEY.	ON DELETE CASCADE, ON UPDATE RESTRICT

#### Question 7:

- a) Describe the cursor and type of cursor. What is the need of cursor in database programming? [PYQ Q7a from problem, and in notes] (8 Marks)
  - Cursor: A database object for row-by-row processing of a query's result set within an application.
  - Need (Impedance Mismatch): Bridges set-oriented SQL and row-oriented host languages.
  - Operations: DECLARE (defines), OPEN (executes query), FETCH (retrieves row), UPDATE WHERE CURRENT OF, DELETE WHERE CURRENT OF, CLOSE (releases).
  - Types of Cursors:

Cursor Type	Description	Key Syntax/Behavior
Read-Only	Allows only fetching data.	Default or FOR READ ONLY.
Updatable	Allows fetching, modifying, deleting rows.	FOR UPDATE [OF columns]. Query must be simple.
Forward- Only	Default. Rows fetched sequentially (first to last).	-
Scrollable	Allows flexible movement (NEXT), PRIOR, FIRST, LAST, ABSOLUTE n, RELATIVE n).	SCROLL CURSOR.
Insensitive	Operates on a snapshot of data at open time; changes by others not visible.	INSENSITIVE CURSOR.
Sensitive	Attempts to reflect underlying data changes made after cursor open.	Behavior varies by DBMS.
Keyset- Driven	Keys fixed at open; non-key changes visible. Deleted rows are "holes"; new qualifying rows usually not seen.	A type of sensitive cursor.

# b) What is database trigger? Explain the types of Trigger. [PYQ Q7b from problem, and in notes] (7 Marks)

- **Database Trigger:** Procedural code automatically executed by DBMS on DML events (INSERT, UPDATE), DELETE) or DDL events on a specified table/view.
- **ECA Model:** Event (DML/DDL op), Condition (optional Boolean), Action (SQL block/procedure).
- Types of Triggers:

Trigger Type	Description	Key Characteristics
Row-Level	Fires once for each affected row.	FOR EACH ROW. Can access :OLD and :NEW row values.
Statement-Level	Default. Fires once per DML statement.	-
Timing (BEFORE/AFTER)		
BEFORE	Action executes <i>before</i> the DML event.	For validation, modification of new data.
AFTER	Action executes after the DML event.	For auditing, complex integrity, propagating changes.
INSTEAD OF	Used with views (esp. non-updatable). Fires <i>instead</i> of DML on view; trigger code acts on base tables.	Enables DML operations on complex views.
DDL Triggers	Fire on DDL events (CREATE TABLE), etc.).	DBMS-specific. For auditing schema changes,

Trigger Type	Description	Key Characteristics
		enforcing conventions.
Logon/Logoff	System-Level. Fire on user session connect/disconnect.	DBMS-specific. For auditing sessions.
Database Event	Server-Level. Fire on DB events (startup, shutdown, errors).	DBMS-specific. For admin tasks.
Compound (Oracle)	Defines actions for multiple timing points (BEFORE STMT, BEFORE ROW, AFTER ROW, AFTER STMT) for one DML event.	Simplifies logic and variable sharing.

#### **UNIT-IV**

#### Question 8:

- a) Explain the Indexing and its methods with the help of suitable examples. [PYQ Q8a from problem, and in notes] (8 Marks)
  - **Indexing:** DB performance optimization technique creating separate data structure (index key + pointers) for faster data retrieval by avoiding full table scans.
  - Methods/Types of Indexing:

Index Type	Description	Example
Primary	Index on ordering key; data file physically ordered by this key. At most one.	Index on EmployeeID if table is sorted by EmployeeID.
Clustered	Physical order of data rows matches index key order. At most one per table.	CREATE CLUSTERED INDEX IX_Orders_Date ON Orders(OrderDate);
Secondary	Non-Clustered. Index order differs from physical row order. Multiple per table.	<pre>CREATE INDEX IX_Emp_LName ON Employees(LastName);</pre>
B+-Tree	Most common. Balanced tree; leaf nodes linked for efficient range/equality searches.	Default for most CREATE INDEX statements.
Hash	Uses hash function for direct address. Fast for exact equality; not for range.	<pre>Index on CustomerEmail for WHERE Email = ''</pre>
Unique	Enforces uniqueness on indexed column(s). PKs are always unique.	<pre>CREATE UNIQUE INDEX UQ_Emp_Email ON Employees(Email);</pre>
Composite	Multi-column. Index on >=2 columns. Column order matters.	<pre>CREATE INDEX IX_Orders_CustDate ON Orders(CustomerID, OrderDate);</pre>
Covering	Non-clustered. Contains all columns for a query, allowing index-only scan.	<pre>Index on (CustID, OrderDate, Amount) for SELECT OrderDate, Amount WHERE CustID=</pre>

## b) Discuss about the database security used in the database modelling. [PYQ Q8b from problem, and in notes] (7 Marks)

- Integrating Security in Database Modeling: Security considerations throughout all design phases.
- Phases & Considerations:

Modeling Phase	Security Considerations
1. Conceptual	Identify Sensitive Data. Define Access Privileges Conceptually (User Roles & permissions). Consider Views for Abstraction. Clarify Data Ownership.
2. Logical	Design Views for column-level & row-level security. Plan Granular SQL Privileges (SELECT, INSERT). Design for Separation of Duties.
3. Physical (Informed by Modeling)	Implement using GRANT/REVOKE (DAC), Role-Based Access Control (RBAC), Stored Procedures, Triggers for auditing, Encryption.

#### Question 9:

#### a) Clustering [PYQ Q9a from problem, and in notes]

- **Definition:** Physically storing related data records close together on disk (same/adjacent blocks) to minimize Disk I/O for related accesses.
- How it Works: Organizing rows by values of a clustering key.
- Types:
- Intra-Table Clustering (Clustered Index): Physical row order in a table matches its clustered index order. (One per table).
- Inter-Table Clustering (Co-clustering): Physically interleaving rows from >=2 related tables based on join key (PK-FK).
- Benefits: Reduced Disk I/O, faster joins (co-clustering), efficient range queries (clustered index).
- Drawbacks: DML overhead, only one clustered index/table.

#### b) De-normalization [PYQ from problem, and in notes]

- **Definition:** Intentionally adding controlled redundancy to a normalized schema to improve read performance (query speed) for specific critical queries by reducing joins or pre-calculating values.
- Rationale: To optimize performance when normalization leads to too many joins for critical queries.
- Techniques:
- Pre-joining Tables: Adding attributes from "one-side" to "many-side" table.
- Storing Derived/Calculated Values: E.g., storing OrderTotal instead of summing items.
- **Combining Tables:** Merging tables with 1:1 or tight 1:N relationships.
- Trade-offs: Faster reads vs. increased storage, inconsistency risk, complex DML.

### c) Database Tuning [PYQ Q9c from problem, and in notes]

- **Definition:** Systematic process of optimizing database system performance. Iterative: Monitor -> Identify Bottlenecks -> Diagnose -> Implement Changes -> Measure.
- Areas of Tuning:

Tuning Area	Key Activities
Conceptual Schema	Normalization/Denormalization choices, partitioning (vertical/horizontal).
Queries & Views	Rewriting SQL, optimizing view definitions, influencing query optimizer (hints, statistics).
Physical Design	Index selection/management, clustering, file organization.
Application Code	Optimizing DB interaction (batching, connection pooling).
DBMS Parameters	Adjusting configuration (memory, I/O, concurrency).
Hardware/OS	Ensuring sufficient resources, OS optimization.

• Workload Analysis: Critical first step: understand query/update types, frequencies, goals, data access patterns.