

Guiding this document are two problems:

Exercise. Prove quadratic reciprocity by considering the splitting of a prime p in $\mathbb{Q}(\sqrt{\pm q})$.

and

Exercise. Construct an explicit example of a number field K/\mathbb{Q} such that \mathcal{O}_K is not generated by a single element.

First, a warmup: proving the -1 case of quadratic reciprocity:

Theorem. Let p be an odd prime. Then $\left(\frac{-1}{p}\right) = (-1)^{(p-1)/2}$.

Proof. Consider the ideal $p\mathbb{Z}[i] \subseteq \mathbb{Z}[i]$. Since $p \nmid 4 = \text{disc}(\mathbb{Z}[i])$, we have that p is unramified, so p splits as a product

$$p\mathbb{Z}[i] = P_1 \cdots P_r$$

in $\mathbb{Z}[i]$. Then, the sum of the inertial degrees is 2 since we have a degree 2 extension. I.e. we either have $p\mathbb{Z}[i] = P_1 P_2$ with $f(P_1|p) = f(P_2|p) = 1$ or else $P_1 = p\mathbb{Z}[i]$ is itself prime of inertial degree 2. In either case, we compute the inertial degree directly.

Namely, let P be a prime lying over p , and let f be the inertial degree. Then, by definition, $\mathbb{Z}[i]/P$ is a degree f extension of $\mathbb{Z}/p\mathbb{Z} = \mathbb{F}_p$. We thus know that the Galois group is generated by the Frobenius automorphism $x \mapsto x^p$. But any map of $\mathbb{Z}[i]/P$ is determined by the image of i , and $i^p = \pm i$ with the plus sign holding iff $p \equiv 1 \pmod{4}$. Thus, f , the order of the Galois group, is 1 iff $p \equiv 1 \pmod{4}$.

That is, we have $p \equiv 1 \pmod{4}$ iff $p\mathbb{Z}[i]$ is not prime. But we can characterize this as well:

$$\mathbb{Z}[i]/p\mathbb{Z}[i] \cong \mathbb{Z}[x]/(x^2 + 1, p) \cong \mathbb{F}_p[x]/(x^2 + 1)$$

so $p\mathbb{Z}[i]$ is not prime iff $x^2 + 1$ is reducible in \mathbb{F}_p . Since it is of degree 2, this can only happen by having a root, i.e. $p \equiv 1 \pmod{4}$ iff there is some $a \in \mathbb{F}_p$ with $a^2 + 1 = 0$, i.e. $a^2 = -1$, so -1 is a quadratic residue. This gives the result. \square

The general case is similar, except that we don't have the coincidence that $\sqrt{-1} = \zeta_4$. I.e. if we adjoin a square root of $\pm q$, it may not be easy to understand its multiplicative order mod a prime in that ring, whereas understanding powers of $\sqrt{-1}$ is quite easy. This is the additional work, for which we embed in a cyclotomic field.

Theorem. Let p, q be distinct odd primes. Then $\left(\frac{p}{q}\right) \left(\frac{q}{p}\right) = (-1)^{(p-1)(q-1)/4}$.

Proof. Let q^* denote $\pm q$ with the plus sign holding iff $q \equiv 1 \pmod{4}$. Then, note that

$$K = \mathbb{Q}(\sqrt{q^*}) \subseteq \mathbb{Q}(\zeta_q) = L$$

as we've previously shown. We have $\text{disc}(\zeta_q) = \pm q^{q-2}$ is not divisible by p , and so p is unramified in L , whence it is also unramified in K since ramification indices are multiplicative in towers. Since L/\mathbb{Q} is Galois, $p\mathbb{Z}[\zeta_q]$ is the product of $r = (q-1)/f$ distinct primes, where f is the common inertial degree of all primes of $\mathbb{Z}[\zeta_q]$ lying over p . Fix such a prime P .

Now, we consider automorphisms of two related fields. First, we have that $\mathbb{Z}[\zeta_q]/P$ is a degree f extension of \mathbb{F}_p , and so the Galois group is generated again by the Frobenius map $\sigma(x) = x^p$. Second, we have an automorphism τ of L/\mathbb{Q} given by $\zeta_q \mapsto \zeta_q^p$. I claim these maps have the same order in their respective groups. The order of σ is f , and let u be the order of τ .

One direction is clear. Since $\tau^u = 1$,

$$\zeta_q = \tau^u(\zeta_q) = \zeta_q^{p^u}$$

Since σ is a map from $\mathbb{Z}[\zeta_q]/P$, it is determined by the image of (the coset) $\zeta_q + P$. But

$$\sigma^u(\zeta_q + P) = \zeta_q^{p^u} + P = \zeta_q + P$$

so we must have $\sigma^u = 1$ as well. Thus $f \mid u$. Conversely, since $\sigma^f = 1$, we have $\zeta_q + P = \sigma^f(\zeta_q + P) = \zeta_q^{p^f} + P$, so $\zeta_q(\zeta_q^n - 1) \in P$ for $n = p^f - 1$. Since P is prime, one of these factors is in P , but ζ_q is a unit, so it cannot be in P . Thus $\zeta_q^n - 1 \in P$. This only depends on the residue of $n \pmod{q}$, and so $\zeta_q^n - 1$ is one of the terms:

$$\zeta_q^0 - 1, \zeta_q^1 - 1, \dots, \zeta_q^{q-1} - 1$$

If it is not the first of these, then we get that the product of the remaining ones is also in P . I.e., P contains:

$$\prod_{i=1}^{q-1} (\zeta_q^i - 1) = q$$

But then P contains both p and q , and so it contains $\gcd(p, q) = 1$ since the gcd is a \mathbb{Z} -linear combination of its arguments, contradicting the properness of P . Thus we must have that $\zeta_q^n - 1 = \zeta_q^0 - 1 = 0$, so that

$$\tau^f(\zeta_q) = \zeta_q^{p^f} = \zeta_q^{n+1} = \zeta_q$$

so that $\tau^f = 1$. So $u \mid f$ and we get $u = f$ as claimed.

With this established, let us first consider the case that $\left(\frac{p}{q}\right) = 1$. Then τ is contained in the (unique) index 2 subgroup H of the Galois group of L/\mathbb{Q} . So, the fixed fields are contained in the reverse order. The fixed field of τ is the unique subfield of L of degree $(q-1)/f = r$ over \mathbb{Q} , and the fixed field of H is the unique subfield of L of degree 2 over \mathbb{Q} . The former field must be L_D , the decomposition field of P over p , and the latter must be K . So, we get that $K \subseteq L_D$. Since inertial degrees are multiplicative in towers and each prime of L_D lying over p has inertial degree 1, this gives that each prime lying over p in K also has inertial degree 1. Thus, there must be two primes there, i.e. $p\mathcal{O}_K = P_1 P_2$. Then $p\mathcal{O}_K$ is not prime, and so

$$\mathcal{O}_K/p\mathcal{O}_K = \mathbb{Z} \left[\frac{1 + \sqrt{q^*}}{2} \right] / (p) \cong \mathbb{Z}[x] / \left(x^2 - x + \frac{1 - q^*}{4}, p \right) \cong \mathbb{F}_p[x] / \left(x^2 - x + \frac{1 - q^*}{4} \right)$$

is not a domain, i.e. the last polynomial has a root $a \in \mathbb{F}_p$. Then,

$$(2a - 1)^2 = 4a^2 - 4a + 1 = (q^* - 1) + 1 = q^*$$

so that $\left(\frac{q^*}{p}\right) = 1$.

But this proof essentially also works in reverse. Indeed, if $\left(\frac{q^*}{p}\right) = 1$, then there is some $b \in \mathbb{F}_p$ with $b^2 = q^*$, whence

$$\left(\frac{1+b}{2}\right)^2 - \left(\frac{1+b}{2}\right) + \frac{1-q^*}{4} = \frac{1+2b+b^2}{4} - \frac{2+2b}{4} + \frac{1-q^*}{4} = 0$$

so that $x^2 - x + (1 - q^*)/4$ is reducible. Thus $\mathcal{O}_K/p\mathcal{O}_K$ is not a domain, so $p\mathcal{O}_K$ is not prime, and since p is unramified, the only possibility is that $p\mathcal{O}_K = P_1 P_2$ for some distinct primes P_1, P_2 of \mathcal{O}_K . But L_D is the largest subfield of L with ramification index and inertial degree 1, so this gives that $K \subseteq L_D$, and so $H \supseteq D$, where D is the decomposition group. But the order of τ is $f = |D|$, and since there is a unique subgroup of this order, we must have τ generates D . In particular, it is an element of D , and so $\tau \in H$. But the elements of H are precisely the squares, so $\tau = \gamma^2$ for some automorphism γ . Every automorphism of L/\mathbb{Q} is of the form $\zeta_q \mapsto \zeta_q^t$, and so for these to be the same automorphism we must have $p \equiv t^2 \pmod{q}$, so that $\left(\frac{p}{q}\right) = 1$.

So, we've shown $\left(\frac{p}{q}\right) = \left(\frac{q^*}{p}\right)$. This completes the proof. Indeed, if $q \equiv 1 \pmod{4}$, then $q^* = q$, and so

$$\left(\frac{p}{q}\right) \left(\frac{q}{p}\right) = \left(\frac{p}{q}\right) \left(\frac{q^*}{p}\right) = 1 = (-1)^{(p-1)(q-1)/4}$$

since p is odd. On the other hand, if $q \equiv 3 \pmod{4}$, then $q^* = -q$ and we know $\left(\frac{-1}{p}\right) = (-1)^{(p-1)/2}$. So,

$$\left(\frac{p}{q}\right) \left(\frac{q}{p}\right) = \left(\frac{p}{q}\right) \left(\frac{q^*}{p}\right) \left(\frac{-1}{p}\right) = (-1)^{(p-1)/2} = (-1)^{(p-1)(q-1)/4}$$

since $(q-1)/2$ is odd. □

Note that in the proof we've shown that for p, q distinct primes (and p not necessarily odd) that if $P \subseteq \mathbb{Z}[\zeta_q]$ lies over p , then $f(P|p)$ is the multiplicative order of p modulo q , since this is the common value f of the orders of σ, τ above. This will be useful for the other stated exercise: namely, the existence of non-monogenic rings of integers.

First, note that Marcus' Number Fields gives such an example explicitly (with no mention on how to find such examples for oneself):

Exercise (2.30). Let $K = \mathbb{Q}[\sqrt{7}, \sqrt{10}]$ and fix any $\alpha \in \mathbb{A} \cap K$. We will show that $\mathbb{A} \cap K \neq \mathbb{Z}[\alpha]$. Let f denote the monic irreducible polynomial for α over \mathbb{Z} and for each $g \in \mathbb{Z}[x]$ let \bar{g} denote the polynomial in $\mathbb{Z}_3[x]$ obtained by reducing coefficients (mod 3).

- (a) Show that $g(\alpha)$ is divisible by 3 in $\mathbb{Z}[\alpha]$ iff \bar{g} is divisible by \bar{f} in $\mathbb{Z}_3[x]$.
(b) Now suppose $\mathbb{Z} \cap K = \mathbb{Z}[\alpha]$. Consider the four algebraic integers

$$\begin{aligned}\alpha_1 &= (1 + \sqrt{7})(1 + \sqrt{10}) \\ \alpha_2 &= (1 + \sqrt{7})(1 - \sqrt{10}) \\ \alpha_3 &= (1 - \sqrt{7})(1 + \sqrt{10}) \\ \alpha_4 &= (1 - \sqrt{7})(1 - \sqrt{10})\end{aligned}$$

Show that all products $\alpha_i \alpha_j$ ($i \neq j$) are divisible by 3 in $\mathbb{Z}[\alpha]$, but that 3 does not divide any power of any α_i .

- (c) Let $\alpha_i = f_i(\alpha)$, $f_i \in \mathbb{Z}[x]$ for each $i = 1, 2, 3, 4$. Show that $\bar{f} \mid \overline{f_i f_j}$ ($i \neq j$) in $\mathbb{Z}_3[x]$ but $\bar{f} \nmid \bar{f}_i^n$. Conclude that for each i , \bar{f} has an irreducible factor (over \mathbb{Z}_3) which does not divide \bar{f}_i but which does divide all \bar{f}_j , $j \neq i$.
(d) This shows that \bar{f} has at least four distinct irreducible factors over \mathbb{Z}_3 . On the other hand f has degree at most 4. Why is that a contradiction?

Proof. We show the first claim. Suppose $g(\alpha)$ is divisible by 3. Then there is some $\beta \in \mathbb{Z}[\alpha]$ with $g(\alpha) = 3\beta$, and $\beta = h(\alpha)$ for some $h \in \mathbb{Z}[x]$. Then α is a root of $g(x) - 3h(x)$, so $f \mid g - 3h$. Reducing mod 3 gives $\bar{f} \mid \bar{g}$ as claimed. Each of these steps is reversible: if $\bar{f} \mid \bar{g}$, then $f \mid g + 3h$ for some h , whence $g(\alpha) + 3h(\alpha) = 0$, i.e. $g(\alpha) = -3h(\alpha)$ is a multiple of 3.

For the second, note that $(1 + \sqrt{7})(1 - \sqrt{7}) = -6$ and $(1 + \sqrt{10})(1 - \sqrt{10}) = -9$ are both multiples of 3, so each product $\alpha_i \alpha_j$ is a multiple of 3 since each product consists of at least one of these pairs of terms. On the other hand, we do have that the α_i are a full set of conjugates, so the trace of α_i^n is

$$\alpha_1^n + \alpha_2^n + \alpha_3^n + \alpha_4^n$$

On the other hand, we have

$$4^n = (\alpha_1 + \alpha_2 + \alpha_3 + \alpha_4)^n = \alpha_1^n + \alpha_2^n + \alpha_3^n + \alpha_4^n + \dots$$

where the excluded terms, from the binomial theorem, each include the product of two different terms. In other words, each term in the “ \dots ” is a multiple of 3 in $\mathbb{Z}[\alpha]$, so we can write the trace as $1 + 3\beta$ for some $\beta \in \mathbb{Z}[\alpha]$. By unique representation of numbers in $\mathbb{Q}(\alpha)$, we thus have that $\beta \in \mathbb{Z}$, since the trace is an integer. I.e. the trace is 1 mod 3 and therefore not a multiple of 3. So, α_i^n is not a multiple of 3 in $\mathbb{Z}[\alpha]$.

The next result is immediate by combining these two: for $\alpha_i = f_i(\alpha)$, we have that 3 divides $\alpha_i \alpha_j$, so \bar{f} divides $\overline{f_i f_j}$ in $\mathbb{F}_3[x]$, and 3 does not divide α_i^n , so \bar{f} does not divide \bar{f}_i^n . Since $\mathbb{F}_3[x]$ is a UFD (even a PID), we have that this latter statement implies that there is a prime π_i that divides \bar{f} but not \bar{f}_i . But then π_i divides $\overline{f_i f_j}$, so it divides \bar{f}_j for all $j \neq i$.

Finally, we have that \bar{f} is divisible by the four (distinct) primes π_1, \dots, π_4 and hence their product. These cannot all be degree 1, since there are only three degree 1 monic polynomials in $\mathbb{F}_3[x]$: $x, x + 1, x + 2$. So, at least one has degree 2, whence the product has degree at least $1 + 1 + 1 + 2 = 5$. But f is the minimal polynomial of α , and so has degree 4. This gives the contradiction. Thus the ring of integers is not monogenic. \square

This exercise is relatively unenlightening at first, but let us recast it in the language of factorization of ideals: we’ve shown that for $K = \mathbb{Q}(\sqrt{7}, \sqrt{10})$, that 3 splits as the product of at least four different primes. Namely, for each i , there is a prime P_i lying over 3 that contains α_j for $j \neq i$ but doesn’t contain α_i . Indeed, if α_i were in each prime lying over 3, and there are r of them (counting multiplicities), then α_i^r would be in their product, which is (3) , contrary to assumption. So, there is a P_i lying over (3) with $\alpha_i \notin P_i$ and then for each j , $\alpha_i \alpha_j \in (3) \subseteq P_i$ implies that $\alpha_j \in P_i$.

Further, we have in the above case that 3 is unramified. One way to see this is to compute

$$\text{disc}(1, \sqrt{7}, \sqrt{10}, \sqrt{70}) = 4^4 \cdot 7 \cdot 10 \cdot 70$$

which is not divisible by 3. Thus, $\text{disc}(\mathcal{O}_K)$ is also not divisible by 3 (though it might not equal the above). Hence, the factorization is into at least 4 distinct primes. But because this is a degree four extension, there are exactly 4, each of inertial degree 1. That is,

$$3\mathcal{O}_K = P_1 P_2 P_3 P_4$$

By the Chinese Remainder Theorem, this gives:

$$\mathcal{O}_K/3\mathcal{O}_K \cong \bigoplus_{i=1}^4 \mathcal{O}_K/P_i \cong (\mathbb{F}_3)^4$$

Finally, assume that $\mathcal{O}_K = \mathbb{Z}[\alpha]$ is monogenic. Then, we get a surjection $\mathbb{Z}[x] \rightarrow \mathcal{O}_K \rightarrow \mathcal{O}_K/3$ by mapping x to α and composing with the quotient map. But clearly 3 is in the kernel of this map, and from the above, we also see that $x^3 - x$ is in the kernel, since each element of \mathbb{F}_3 satisfies $t^3 - t$. So, the map factors as a surjection:

$$\mathbb{Z}[x]/(3, x^3 - x) \rightarrow (\mathbb{F}_3)^4$$

but the former has 3^3 elements while the latter has 3^4 . This gives the contradiction.

More generally, if we can find a number field K such that $[K : \mathbb{Q}] > p$ for some prime p that splits completely, we'll have the same contradiction. Indeed, the above map will instead become a surjection:

$$\mathbb{Z}[x]/(p, x^p - x) \rightarrow (\mathbb{F}_p)^{[K:\mathbb{Q}]}$$

which is impossible. We'll use this to construct our own example for $p = 2$.

Theorem. *There exists (another) number field K with \mathcal{O}_K not monogenic.*

Proof. Consider $L = \mathbb{Q}(\zeta_p)$ for an unspecified odd prime p . We'll construct K as an appropriate subfield of L . First, note that $\text{disc}(\zeta_p) = p^{p-2}$, so that 2 is unramified in L and so will also be unramified in K . We'd also like the inertial degree to be 1 for any prime lying over 2, so we fix a prime Q of L lying over 2 and let K be the decomposition field of Q . Then, it is a standard result that $[K : \mathbb{Q}]$ is the number of prime factors appearing in the factorization of 2 in L , which equals $(p-1)/f$ for f the inertial degree of Q over 2. But f is also the multiplicative degree of 2 modulo p by our work above.

So, overall, we'd like 2 to have order f modulo p for some prime satisfying $f \mid p-1$. Testing some primes directly, we see that $2^5 \equiv 1 \pmod{31}$, and $5 \mid 31-1 = 30$. So, we let $p = 5$.

In other words, let K be the unique subgroup of $\mathbb{Q}(\zeta_{31})$ of degree 6 over \mathbb{Q} . Then 2 splits completely in K and the remarks preceding the proof show that \mathcal{O}_K is not monogenic. \square