

## The problem

**Exercise.** Let  $R = \prod_p \mathbb{F}_p$ , with  $p$  ranging over the set of all prime numbers. Prove that  $R$  has a maximal ideal  $\mathfrak{m}$  for which the field  $R/\mathfrak{m}$  has characteristic zero and contains an algebraic closure of  $\mathbb{Q}$ .

Before attacking it directly, let's develop some theory.

## Filters

Throughout, let  $X$  be a set and  $\mathcal{P}(X)$  denote its powerset.

**Definition.** We say a set  $\mathcal{F} \subseteq \mathcal{P}(X)$  is a filter on  $X$  if

- (a)  $X \in \mathcal{F}$ ,
- (b) If  $A \subseteq B$  and  $A \in \mathcal{F}$ , then  $B \in \mathcal{F}$ , and
- (c) If  $A, B \in \mathcal{F}$ , then  $A \cap B \in \mathcal{F}$ .

We say that  $\mathcal{F}$  is proper if  $\mathcal{F} \neq \mathcal{P}(X)$ . We say that  $\mathcal{F}$  is an ultrafilter if it is maximal among proper filters.

**Lemma.**  $\mathcal{P}(X)$  is a filter on  $X$ .

*Proof.* Obvious. □

**Lemma.** Let  $\{F_i\}_i$  be a collection of filters on  $X$ , indexed by  $i$  in some index set. Then  $\bigcap_i F_i$  is a filter.

*Proof.* Let  $F = \bigcap_i F_i$ . Since  $X \in F_i$  for all  $i$ ,  $X \in F$ . If  $A \subseteq B$  and  $A \in F$ , then  $A \in F_i$  for all  $i$ , so  $B \in F_i$  for all  $i$ , whence  $B \in F$ . Finally, if  $A, B \in F$ , then  $A, B \in F_i$  for all  $i$ , so  $A \cap B \in F_i$  for all  $i$ , whence  $A \cap B \in F$ . □

**Definition.** For  $S \subseteq \mathcal{P}(X)$ , let  $\bar{S}$  denote the intersection of all filters containing  $S$ . We'll call this the filter generated by  $S$ . The previous two lemmas imply that this is indeed a filter.

**Definition.** Let  $S \subseteq \mathcal{P}(X)$ . We'll call  $\hat{S} = \{A \subseteq X \mid \exists B \in S : A \subseteq B\}$  the upward closure of  $S$ . We'll call  $S_\cap = \{A_1 \cap \cdots \cap A_n \mid A_1, \dots, A_n \in S\}$  the finite intersection closure of  $S$ .

**Lemma.** Let  $\emptyset \neq S \subseteq \mathcal{P}(X)$ . Then  $\bar{S} = (\hat{S})_\cap$ .

*Proof.* ( $\subseteq$ ). For this containment, it suffices to show that  $(\hat{S})_\cap$  is a filter containing  $S$ . Clearly it contains  $S$ , so we need to show it is a filter. It is clear that  $X \in (\hat{S})_\cap$  since  $X \in \hat{S}$  since  $S$  is nonempty.

Suppose now that  $A \in (\hat{S})_\cap$  and that  $B \supseteq A$ . Then  $A = A_1 \cap \cdots \cap A_n$  for some  $A_1, \dots, A_n \in \hat{S}$  and  $A_i \supseteq C_i$  for some  $C_1, \dots, C_n \in S$ . Then  $B \cup C_i \in \hat{S}$  for each  $i$  since  $B \cup C_i \supseteq C_i$ , and

$$(B \cup C_1) \cap \cdots \cap (B \cup C_n) = B \cup (C_1 \cap \cdots \cap C_n) = B$$

since  $C_1 \cap \cdots \cap C_n \subseteq A_1 \cap \cdots \cap A_n = A \subseteq B$ . This shows that  $B \in (\hat{S})_\cap$ .

Second, if  $A_1 \cap \cdots \cap A_n, A_{n+1} \cap \cdots \cap A_{n+m} \in (\hat{S})_\cap$ , then it is immediate that their intersection is  $A_1 \cap \cdots \cap A_{n+m} \in (\hat{S})_\cap$ . This completes the argument that  $(\hat{S})_\cap$  is a filter.

( $\supseteq$ ). Let  $A_1 \cap \cdots \cap A_n \in (\hat{S})_\cap$ . As before,  $A_i \supseteq B_i$  for some  $B_1, \dots, B_n \in S$ . Then,  $\bar{S}$  is a filter containing  $S$ , so it contains each  $B_i$ , so it contains each  $A_i$ , and so it contains their intersection. □

**Lemma.** Let  $S \subseteq \mathcal{P}(X)$  such that  $B_1 \cap \cdots \cap B_n \neq \emptyset$  for every  $n$ -tuple  $B_1, \dots, B_n \in S$ . Then the filter generated by  $S$  is proper.

*Proof.* It suffices to show that  $\emptyset \notin \tilde{S}$ . So, it suffices to show that  $A_1 \cap \cdots \cap A_n \neq \emptyset$  for each  $n$ -tuple  $A_1, \dots, A_n \in \hat{S}$ . But each  $A_i$  contains a  $B_i \in S$ , so  $A_1 \cap \cdots \cap A_n \supseteq B_1 \cap \cdots \cap B_n \neq \emptyset$ , so we're done.  $\square$

**Lemma.** Every proper filter is contained in an ultrafilter.

*Proof.* Let  $F$  be a proper filter, and consider the collection of proper filters containing  $F$ . This is clearly nonempty since it contains  $F$  itself, and is partially ordered by inclusion. Suppose  $\{F_i\}$  is a nonempty chain, and let  $F = \bigcup_i F_i$ . Then I claim that  $F$  is a proper filter containing each  $F_i$ . Indeed, since the chain is nonempty,  $X \in F$  since it's in each  $F_i$  (and there's at least one). If  $A \subseteq B$  and  $A \in F$ , then  $A \in F_i$  for some  $i$ , whence  $B \in F_i$ , so  $B \in F$ . If  $A, B \in F$ , then  $A \in F_i$  for some  $i$  and  $B \in F_j$  for some  $j$ . Since this is a chain, we have WLOG that  $F_i \subseteq F_j$ , so  $A, B \in F_j$ , whence  $A \cap B \in F_j$ , so  $A \cap B \in F$  as desired.

So, by Zorn's lemma, this collection has a maximal element  $G$ . That is,  $G$  is maximal among filters containing  $F$ . In fact, this implies that  $G$  is maximal among all filters, for if  $G' \supsetneq G$ , then  $G' \supsetneq F$ , so  $G'$  is in the above collection, and the maximality of  $G$  implies  $G = G'$ . So,  $G$  is in fact an ultrafilter.  $\square$

Combining the previous two lemmas tells us that if  $S$  has nonempty finite intersections, then it's contained in an ultrafilter.

**Lemma.** If  $A \subseteq X$  and  $F$  is an ultrafilter, then exactly one of  $A, X \setminus A \in F$ .

*Proof.* First, it is clear that  $F$  cannot contain both  $A, X \setminus A$ . Indeed, if it did,  $F$  would contain the intersection, which is empty. But then  $F$  contains all of its supersets, so  $F$  is not proper.

If  $A \in F$ , then we're done, so suppose  $A \notin F$ . Let  $S = F \cup \{A\}$ . Then  $\tilde{S}$  is a filter properly containing  $F$ , but  $F$  is an ultrafilter, so  $\tilde{S} = \mathcal{P}(X)$ . In particular,  $\emptyset \in \tilde{S} = (\hat{S})_\cap$ . So, by the above lemma, there exist  $B_1, \dots, B_n \in S$  such that  $B_1 \cap \cdots \cap B_n = \emptyset$ . If all of these were in  $F$ , then  $F$  would contain the empty set and not be proper. So, at least one of these must be  $A$  itself, and after removing redundancies and recognizing that  $F$  is closed under finite intersections, we conclude that  $A \cap B = \emptyset$  for some  $B \in F$ . Hence,  $B \subseteq X \setminus A$ , and since  $F$  is a filter,  $X \setminus A \in F$  as claimed.  $\square$

## Returning to the problem

Let's return to the problem.

**Theorem.** Let  $R = \prod_p \mathbb{F}_p$ , with  $p$  ranging over the set of all prime numbers. Then  $R$  has a maximal ideal  $\mathfrak{m}$  for which the field  $R/\mathfrak{m}$  has characteristic zero and contains an algebraic closure of  $\mathbb{Q}$ .

*Proof.* Let  $X = \text{Spec } \mathbb{Z}$ . For  $f \in \mathbb{Z}[x]$  nonconstant and monic, let

$$Z_f = \{p \in X \mid f \text{ has a root in } \mathbb{F}_p\}$$

Then, take

$$S = \{Z_f \mid f \in \mathbb{Z}[x] \text{ nonconstant and monic.}\}$$

I claim first that for  $A_1, \dots, A_n \in S$ ,  $A_1 \cap \cdots \cap A_n \neq \emptyset$ . In other words,  $Z_{f_1} \cap \cdots \cap Z_{f_n} \neq \emptyset$ . We may assume that each  $f_i$  is irreducible, since a root of an irreducible factor of  $f_i$  is also a root of  $f_i$ . Since we're in characteristic zero, they're each separable. Now, choose  $f$  to be the least common multiple of  $f_1, \dots, f_n$ . Then,  $f$  is also separable, so by Chebotarev,  $f$  splits completely in some  $\mathbb{F}_p[x]$ . I.e.  $f_i$  has a root modulo  $p$  for each  $i$ , so  $p \in Z_{f_1} \cap \cdots \cap Z_{f_n}$ .

So, by the corresponding lemma in the previous section,  $\bar{S}$  is a proper filter, and so  $\bar{S} \subseteq F$  for some ultrafilter  $F$ . An element  $\alpha \in R$  is a sequence  $(\alpha_p)_{p \in X}$  such that  $\alpha_p \in \mathbb{F}_p$ . Define

$$Y_\alpha = \{p \in X \mid \alpha_p = 0\}$$

and

$$\mathfrak{m} = \{\alpha \in R \mid Y_\alpha \in F\}$$

To finish, we should show that  $\mathfrak{m}$  is a maximal ideal and that  $R/\mathfrak{m}$  contains an algebraic closure of  $\mathbb{Q}$ .

First, suppose  $\alpha, \beta \in \mathfrak{m}$ . Then  $Y_{\alpha+\beta} \supseteq Y_\alpha \cap Y_\beta$ , since  $\alpha_p = \beta_p = 0$  means  $(\alpha + \beta)_p = 0$ . But  $F$  is a filter, so  $Y_\alpha, Y_\beta \in F$  means  $Y_\alpha \cap Y_\beta \in F$  and so  $Y_{\alpha+\beta} \in F$ , whence  $\alpha + \beta \in \mathfrak{m}$ . Similarly, if  $\alpha \in \mathfrak{m}$  and  $\beta \in R$ , then  $Y_{\alpha\beta} \supseteq Y_\alpha$  since  $\alpha_p = 0$  means  $(\alpha\beta)_p = 0$ . So, again,  $Y_{\alpha\beta} \in F$ , so  $\alpha\beta \in \mathfrak{m}$ . This shows that  $\mathfrak{m}$  is an ideal.

Now, suppose  $\alpha \notin \mathfrak{m}$ . Then  $Y_\alpha \notin F$ , and since it's an ultrafilter, the complement  $X \setminus Y_\alpha$  is in  $F$ . Define

$$\beta_p = \begin{cases} 1 & \text{if } p \in X \setminus Y_\alpha \\ 0 & \text{otherwise} \end{cases}$$

Then  $Y_\beta = X \setminus Y_\alpha$ , so  $\beta \in \mathfrak{m}$ . Then,  $\alpha_p + \beta_p = (\alpha + \beta)_p \neq 0$  for any  $p$ , since exactly one of the summands is zero and one is nonzero for each  $p$ . Thus,  $\alpha + \beta$  is a unit, showing that  $\mathfrak{m}$  is maximal.

Finally, we should show that  $R/\mathfrak{m}$  contains an algebraic closure of  $\mathbb{Q}$ . It suffices to show that  $R/\mathfrak{m}$  contains all algebraic integers. That is, we should show that if  $f(x) \in \mathbb{Z}[x]$  is monic and irreducible, then  $R/\mathfrak{m}$  contains a root of  $f$ . Now, for each  $p \in Z_f$ ,  $f$  has a root in  $\mathbb{F}_p$ , say  $\alpha_p$ . For the remaining  $p \in X$ , define  $\alpha_p$  arbitrarily. This specifies an element  $\alpha \in R$ . Further, we have that  $Y_{f(\alpha)} \supseteq Z_f$  since

$$f(\alpha)_p = f(\alpha_p) = 0$$

for  $p \in Z_f$ . Hence  $Y_{f(\alpha)} \in F$ , so  $f(\alpha) \in \mathfrak{m}$ . But this shows that  $f(\alpha) = 0$  in  $R/\mathfrak{m}$  as desired, completing the proof.  $\square$