



Compiler Design

CLR and LALR

Amey Karkare
Department of Computer Science and Engineering
IIT Kanpur
karkare@iitk.ac.in

Canonical LR Parsing

- Carry extra information in the state so that wrong reductions by $A \rightarrow \alpha$ will be ruled out
- Redefine LR items to include a terminal symbol as a second component (look ahead symbol)
- The general form of the item becomes $[A \rightarrow \alpha.\beta, a]$ which is called LR(1) item.
- Item $[A \rightarrow \alpha., a]$ calls for reduction only if next input is a . The set of symbols “ a ”s will be a subset of $\text{Follow}(A)$.

2

Closure(I)

```
repeat
  for each item  $[A \rightarrow \alpha.B\beta, a]$  in  $I$ 
    for each production  $B \rightarrow \gamma$  in  $G'$ 
      and for each terminal  $b$  in  $\text{First}(\beta a)$ 
        add item  $[B \rightarrow \gamma, b]$  to  $I$ 
until no more additions to  $I$ 
```

3

Example

Consider the following grammar

$$\begin{aligned} S' &\rightarrow S \\ S &\rightarrow CC \\ C &\rightarrow cC \mid d \end{aligned}$$

Compute $\text{closure}(I)$ where $I = \{[S' \rightarrow .S, \$]\}$

$S' \rightarrow .S,$	$\$$
$S \rightarrow .CC,$	$\$$
$C \rightarrow .cC,$	c
$C \rightarrow .cC,$	d
$C \rightarrow .d,$	c
$C \rightarrow .d,$	d

4

Example

Construct sets of LR(1) items for the grammar on previous slide

$I_0: S' \rightarrow .S,$	\$	$I_4: \text{goto}(I_0, d)$	
$S \rightarrow .CC,$	\$	$C \rightarrow d.,$	c/d
$C \rightarrow .cC,$	c/d		
$C \rightarrow .d,$	c/d	$I_5: \text{goto}(I_2, C)$	\$
		$S \rightarrow CC.,$	
$I_1: \text{goto}(I_0, S)$	\$	$I_6: \text{goto}(I_2, c)$	\$
$S' \rightarrow S.,$		$C \rightarrow cC,$	\$
		$C \rightarrow .cC,$	\$
$I_2: \text{goto}(I_0, C)$	\$	$C \rightarrow .d,$	\$
$S \rightarrow C.C,$	\$		
$C \rightarrow .cC,$	\$	$I_7: \text{goto}(I_2, d)$	\$
$C \rightarrow .d,$	\$	$C \rightarrow d.,$	
$I_3: \text{goto}(I_0, c)$	c/d	$I_8: \text{goto}(I_3, C)$	c/d
$C \rightarrow c.C,$	c/d	$C \rightarrow cC.,$	
$C \rightarrow .cC,$	c/d		
$C \rightarrow .d,$	c/d	$I_9: \text{goto}(I_6, C)$	\$
		$C \rightarrow cC.,$	

5

Construction of Canonical LR parse table

- Construct $C = \{I_0, \dots, I_n\}$ the sets of LR(1) items.
- If $[A \rightarrow \alpha.a\beta, b]$ is in I_i and $\text{goto}(I_i, a) = I_j$ then $\text{action}[i, a] = \text{shift } j$
- If $[A \rightarrow \alpha., a]$ is in I_i then $\text{action}[i, a] = \text{reduce } A \rightarrow \alpha$
- If $[S' \rightarrow S., \$]$ is in I_i then $\text{action}[i, \$] = \text{accept}$
- If $\text{goto}(I_i, A) = I_j$ then $\text{goto}[i, A] = j$ for all non terminals A

6

Parse table

State	c	d	\$	S	C
0	s3	s4		1	2
1			acc		
2	s6	s7			5
3	s3	s4			8
4	r3	r3			
5			r1		
6	s6	s7			9
7			r3		
8	r2	r2			
9			r2		

7

Notes on Canonical LR Parser

- Consider the grammar discussed in the previous two slides. The language specified by the grammar is c^*dc^*d .
- When reading input $cc\dots dcc\dots d$ the parser shifts cs into stack and then goes into state 4 after reading d . It then calls for reduction by $C \rightarrow d$ if following symbol is c or d .
- If $\$$ follows the first d then input string is c^*d which is not in the language; parser declares an error
- On an error canonical LR parser never makes a wrong shift/reduce move. It immediately declares an error
- Problem:** Canonical LR parse table has a large number of states

8

LALR Parse table

- Look Ahead LR parsers
- Consider a pair of similar looking states (same kernel and different lookaheads) in the set of LR(1) items
 $I_4: C \rightarrow d., c/d$ $I_7: C \rightarrow d., \$$
- Replace I_4 and I_7 by a new state I_{47} consisting of $(C \rightarrow d., c/d/\$)$
- Similarly I_3 & I_6 and I_8 & I_9 form pairs
- Merge LR(1) items having the same core

9

Construct LALR parse table

- Construct $C = \{I_0, \dots, I_n\}$ set of LR(1) items
- For each core present in LR(1) items find all sets having the same core and replace these sets by their union
- Let $C' = \{J_0, \dots, J_m\}$ be the resulting set of items
- Construct action table as was done earlier
- Let $J = I_1 \cup I_2 \dots \cup I_k$
 since I_1, I_2, \dots, I_k have same core, $\text{goto}(J, X)$ will have the same core
 Let $K = \text{goto}(I_1, X) \cup \text{goto}(I_2, X) \dots \text{goto}(I_k, X)$ then $\text{goto}(J, X) = K$

10

LALR parse table ...

State	c	d	\$	S	C
0	s36	s47		1	2
1			acc		
2	s36	s47			5
36	s36	s47			89
47	r3	r3	r3		
5			r1		
89	r2	r2	r2		

11

Notes on LALR parse table

- Modified parser behaves as original except that it will reduce $C \rightarrow d$ on inputs like ccd. The error will eventually be caught before any more symbols are shifted.
- In general core is a set of LR(0) items and LR(1) grammar may produce more than one set of items with the same core.
- Merging items never produces shift/reduce conflicts but may produce reduce/reduce conflicts.
- SLR and LALR parse tables have same number of states.

12

Notes on LALR parse table...

- Merging items may result into conflicts in LALR parsers which did not exist in LR parsers
- New conflicts can not be of shift reduce kind:
 - Assume there is a shift reduce conflict in some state of LALR parser with items $\{[X \rightarrow \alpha., a], [Y \rightarrow \gamma.a\beta, b]\}$
 - Then there must have been a state in the LR parser with the same core
 - Contradiction; because LR parser did not have conflicts
- LALR parser can have new reduce-reduce conflicts
 - Assume states $\{[X \rightarrow \alpha., a], [Y \rightarrow \beta., b]\}$ and $\{[X \rightarrow \alpha., b], [Y \rightarrow \beta., a]\}$
 - Merging the two states produces $\{[X \rightarrow \alpha., a/b], [Y \rightarrow \beta., a/b]\}$

13

Notes on LALR parse table...

- LALR parsers are not built by first making canonical LR parse tables
- There are direct, complicated but efficient algorithms to develop LALR parsers
- Relative power of various classes
 - $SLR(1) \leq LALR(1) \leq LR(1)$
 - $SLR(k) \leq LALR(k) \leq LR(k)$
 - $LL(k) \leq LR(k)$

14

Error Recovery

- An error is detected when an entry in the action table is found to be empty.
- Panic mode error recovery can be implemented as follows:
 - scan down the stack until a state **S** with a goto on a particular nonterminal **A** is found.
 - discard zero or more input symbols until a symbol **a** is found that can legitimately follow **A**.
 - stack the state **goto[S,A]** and resume parsing.
- **Choice of A, a:** Normally **A** is chosen from non terminals representing major program pieces such as an expression, statement or a block. For example if **A** is the nonterminal **stmt**, **a** might be **semicolon** or **end**.

15

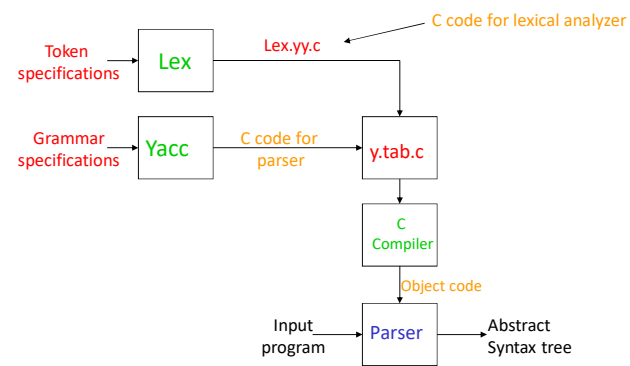
Parser Generator

- Some common parser generators
 - YACC: Yet Another Compiler Compiler
 - Bison: GNU Software
 - ANTLR: ANother Tool for Language Recognition
- Yacc/Bison source program specification (accept LALR grammars)


```
%%
translation rules
%%
supporting C routines
```

16

Yacc and Lex schema



Refer to YACC Manual

17

Bottom up parsing ...

- A more powerful parsing technique
- LR grammars – more expensive than LL
- Can handle left recursive grammars
- Can handle virtually all the programming languages
- Natural expression of programming language syntax
- Automatic generation of parsers (Yacc, Bison etc.)
- Detects errors as soon as possible
- Allows better error recovery

18