

## 0.1 Definition

Cyclic codes form an important type of codes for several theoretical as well as practical reasons. From theoretical perspective, they can be expressed as a rich algebraic structure, while practically they can be efficiently implemented. Furthermore, various important codes such as binary Hamming codes and BCH codes, are equivalent to cyclic codes.

**Definition 0.1.** A code  $C$  is **cyclic** if (i)  $C$  is a linear code; and (ii) any cyclic shift of a codeword is also a codeword, i.e. whenever  $a_0a_1 \cdots a_{n-1}$  is in  $C$ , then so is  $a_{n-1}a_0a_1 \cdots a_{n-2}$ .

**Example:**

The linear code  $\{0000, 1001, 0110, 1111\}$  is not cyclic, but it is *equivalent* to a cyclic code; interchanging the third and fourth coordinates gives the cyclic code  $\{0000, 1010, 0101, 1111\}$ .

When considering cyclic codes we number the coordinate positions  $0, 1, \dots, n-1$ . This is because then a vector  $a_0a_1 \cdots a_{n-1}$  in  $V(n, q)$  correspond to the polynomial  $a_0 + a_1x + \cdots + a_{n-1}x^{n-1}$ .

## 0.2 Polynomials over finite fields

We denote by  $F[x]$  the set of polynomials in  $x$  with coefficients in  $F_q$  (or simply  $F$  with  $q$  understood).

*Degree* of a polynomial in  $F[x]$  is defined as usual. These polynomials can be added, subtracted and multiplied in the usual way, thus forming the algebraic structure, *ring*, not a field as they do not have multiplicative inverses.

*Division algorithm* states the same as in with the polynomials over  $\mathbb{R}$ , i.e. for every pair of polynomials  $a(x)$  and  $b(x) \neq 0$  in  $F[x]$ , there exists a unique polynomials  $q(x)$ , and  $r(x)$ , such that

$$a(x) = q(x)b(x) + r(x),$$

where  $\deg r(x) < \deg b(x)$ .

Now we will establish similarities between the ring  $F[x]$  of polynomials and the ring  $\mathbb{Z}$  of integers.

Just as the ring  $\mathbb{Z}_m$  is obtained after modulo  $m$ , we can consider polynomials in  $F[x]$  modulo some  $f(x)$ . It is natural to define  $g(x)$  and  $h(x)$  as *congruent modulo*  $f(x)$ , symbolized by

$$g(x) \equiv h(x) \pmod{f(x)}$$

if  $g(x) - h(x)$  is divisible by  $f(x)$ .

We denote by  $F[x]/f(x)$  the set of polynomials in  $F[x]$  of degree less than  $\deg f(x)$ , with addition defined as normal addition as adding two polynomials in  $F[x]/f(x)$  will not increase the degree; while multiplication is defined congruent modulo  $f(x)$ , i.e for any two polynomials in  $F[x]/f(x)$ , a unique polynomial of degree less than  $\deg f(x)$ .

Finally, the set  $F[x]/f(x)$  is called a *ring of polynomials(over  $F$ ) modulo  $f(x)$*  and it is quite obvious that

$$|F_q[x]/f(x)| = q^n.$$

Now the next logical step is to find out when this ring forms a field, i.e. when each of the polynomials in  $F[x]/f(x)$  have a multiplicative inverse.

For that we will first define *reducibility* of polynomials.

**Definition 0.2.** A polynomial  $f(x)$  is called **reducible** if  $f(x) = a(x)b(x)$ , where  $a(x), b(x) \in F[x]$  and  $\deg a(x), \deg b(x)$  are both smaller than  $\deg f(x)$ . If  $f(x)$  is not *reducible*, it is called **irreducible**.

**Theorem 0.3.** The ring  $F[x]/f(x)$  is a field if and only if  $f(x)$  is irreducible in  $F[x]$ .

*Proof.* The proof follows the same line as followed by the proof of Theorem ??, with prime  $m$  being replaced by  $f(x)$ .  $\square$

### 0.3 Cyclic codes expressed as polynomials

From now on, we will fix  $f(x) = x^n - 1$ , as the ring  $F[x]/(x^n - 1)$  of the polynomials modulo  $x^n - 1$  is the natural one to consider in the context of cyclic codes. We will now denote  $F[x]/(x^n - 1)$  as  $R_n$ , where the field  $F = F_q$  will be understood.

Since  $x^n \equiv 1 \pmod{x^n - 1}$ , we can reduce any polynomial modulo  $x^n - 1$  simply by replacing  $x^k$  by  $x^{k \pmod n}$ , without any long division.

We will now denote a vector  $a_0a_1 \cdots a_{n-1}$  in  $V(n, q)$  with the polynomial

$$a(x) = a_0 + a_1x + \cdots + a_{n-1}x^{n-1}$$

in  $R_n$ , that is, now a code  $C$  is subset of both  $V(n, q)$  and  $R_n$ . Note that addition of vectors and multiplication of a vector by a scalar in  $R_n$  corresponds exactly to those operations in  $V(n, q)$ .

Now, it must be clear that *multiplying* by  $x^m$  to  $a(x)$  corresponds to a cyclic shift through  $m$  positions. We can model cyclic codes in a way, given by the following theorem.

**Theorem 0.4.** A code  $C$  in  $R_n$  is a cyclic code if and only if  $C$  satisfies the following two conditions:

- (i)  $a(x), b(x) \in C \implies a(x) + b(x) \in C$ ,
- (ii)  $a(x) \in C$  and  $r(x) \in R_n \implies r(x)a(x) \in C$ .

*Proof.* Suppose  $C$  is a cyclic code in  $R_n$ . Then  $C$  is linear and so (i) holds. Now suppose  $a(x) \in C$  and  $r(x) = r_0 + r_1x + \cdots + r_{n-1}x^{n-1} \in R_n$ . Since,  $x^m a(x) \in C \forall m$  (cyclic shifts). Hence,

$$r(x)a(x) = r_0a(x) + r_1xa(x) + \cdots + r_{n-1}x^{n-1}a(x)$$

is also in  $C$  since each summand is in  $C$ . Thus, (ii) also holds.

Now suppose (i) and (ii) hold. Taking  $r(x)$  as a scalar, the conditions imply that  $C$  is linear. Taking  $r(x) = x$  in (ii) shows that  $C$  is cyclic.  $\square$

Now we have a easy way of constructing cyclic codes. Let  $f(x)$  be any polynomial in  $R_n$ , then we define

$$\langle f(x) \rangle = \{r(x)f(x) | r(x) \in R_n\}$$

$\langle f(x) \rangle$  is a cyclic code for all  $f(x)$  in  $R_n$ , as it satisfies the conditions of Theorem 0.4.

**Theorem 0.5.** Let  $C$  be a non-zero cyclic code in  $R_n$ . Then

- (i) there exists a unique monic (coefficient of highest degree term 1) polynomial  $g(x)$  of smallest degree in  $C$ ,
- (ii)  $C = \langle g(x) \rangle$ ,
- (iii)  $g(x)$  is a factor of  $x^n - 1$ .

*Proof.* (i) Suppose  $g(x)$  and  $h(x)$  are both monic polynomials in  $C$  of the smallest degree. Then  $g(x) - h(x) \in C$  and has smaller degree. This gives a contradiction if  $g(x) \neq h(x)$ , for then a suitable scalar multiple of  $g(x) - h(x)$  is monic.

(ii) Suppose  $a(x) \in C$ . By the division algorithm for  $F[x]$ ,  $a(x) = q(x)g(x) + r(x)$ , where  $\deg r(x) < \deg g(x)$ . But  $r(x)$ , belongs to  $C$ , as  $C$  is linear. By minimality of  $\deg g(x)$ , we must have  $r(x) = 0$  and so  $a(x) \in \langle g(x) \rangle$ .

(iii) Again by division algorithm,  $x^n - 1 = q(x)g(x) + r(x)$  where  $\deg r(x) < \deg g(x)$ . But then  $r(x) \equiv -q(x)g(x) \pmod{x^n - 1}$ , and so  $r(x) \in \langle g(x) \rangle$ . By minimality again,  $r(x) = 0$ , which implies  $g(x)$  is a factor of  $x^n - 1$ .  $\square$

**Definition 0.6.** In a non-zero cyclic code  $C$  the monic polynomial of least degree, given by theorem 0.5, is called the **generator polynomial** of  $C$ .

The third part of Theorem 0.5 gives us method of finding all the cyclic codes of length  $n$ . All we need are the factors of  $x^n - 1$ .

#### Example:

Finding all the binary cyclic codes of length 3. We have  $x^3 - 1 = (x + 1)(x^2 + x + 1)$ , where  $x + 1$  and  $x^2 + x + 1$  are irreducible over  $GF(2)$ . So the codes are

Generator ploynomial	Code in $R_3$	Corresponding code in $V(3, 2)$
1	all of $R_3$	all of $V(3, 2)$
$x + 1$	$\{0, 1 + x, x + x^2, 1 + x^2\}$	$\{000, 110, 011, 101\}$
$x^2 + x + 1$	$\{0, 1 + x + x^2\}$	$\{000, 111\}$
$x^3 - 1 = 0$	$\{0\}$	$\{000\}$

**Lemma 0.7.** Let  $g(x) = g_0 + g_1x + \cdots + g_rx^r$  be the generator polynomial of a cyclic code, then  $g_0$  is non-zero.

*Proof.* Suppose  $g_0 = 0$ . Then  $x^{n-1}g(x) = x^{-1}g(x)$  is a codeword of  $C$  of degree  $r - 1$ , contradicting the minimality of  $\deg g(x)$ .  $\square$

Now, we are going to define generator matrix (thus, dimensions of  $C$ ) directly from generator polynomial.

**Theorem 0.8.** Suppose  $C$  is a cyclic code with generator polynomial

$$g(x) = g_0 + g_1x + \cdots + g_rx^r$$

of degree  $r$ . Then  $\dim(C) = n - r$  and a generator matrix  $C$  is

$$G = \begin{bmatrix} g_0 & g_1 & g_2 & \cdots & & g_r & 0 & 0 & \cdots & 0 \\ 0 & g_0 & g_1 & g_2 & \cdots & & g_r & 0 & \cdots & 0 \\ 0 & 0 & g_0 & g_1 & g_2 & \cdots & & g_r & & \vdots \\ \vdots & \vdots & & \ddots & \ddots & \ddots & & & \ddots & 0 \\ 0 & 0 & \cdots & 0 & g_0 & g_1 & g_2 & \cdots & & g_r \end{bmatrix}$$

*Proof.* The  $n - r$  rows of the above matrix  $G$  are certainly linearly independent due echelon of non-zero  $g_0$ s. The  $n - r$  rows represent the cyclic permutations of codeword  $g(x)$ . The proof of Theorem 0.5(ii) shows that if  $a(x)$  is a codeword of  $C$ , then

$$a(x) = q(x)g(x)$$

for some polynomial  $q(x)$ , and that this is an equality of polynomials within  $F[x]$ , i.e. without any modulo. Since  $\deg a(x) < n$ , it follows that  $\deg q(x) < n - r$ . Hence,

$$\begin{aligned} q(x)g(x) &= (q_0 + q_1x + \cdots + q_{n-r-1}x^{n-r-1})g(x) \\ &= q_0g(x) + q_1xg(x) + \cdots + q_{n-r-1}x^{n-r-1}g(x), \end{aligned}$$

which shows that every codeword can be written as a linear combination of those  $n - r$  rows.  $\square$

**Definition 0.9.** Let  $C$  be a cyclic  $[n, k]$ -code with generator polynomial  $g(x)$ . By Theorem 0.5  $g(x)$  is a factor of  $x^n - 1$  and so

$$x^n - 1 = g(x)h(x),$$

for some polynomial  $h(x)$ .  $h(x)$  is of degree  $k$ , and is called the **check-polynomial** of  $C$ .

**Theorem 0.10.** Suppose  $C$  is a cyclic code in  $R_n$  with generator polynomial  $g(x)$  and check polynomial  $h(x)$ . Then  $c(x) \in R_n$  is a codeword in  $C$  if and only if  $c(x)h(x) = 0$ .

*Proof.* The forward implication is trivial as  $g(x)h(x) = 0$ . On the other hand, suppose  $c(x)$  satisfies  $c(x)h(x) = 0$ . If  $r(x)$  is the remainder of  $c(x)$  with  $g(x)$  then  $r(x)h(x) = 0 \pmod{x^n - 1}$ . But  $\deg(r(x)h(x)) < n - k + k = n$ , so  $r(x) = 0$ , then  $c(x) = q(x)g(x) \in C$ .  $\square$

**Theorem 0.11.** Suppose  $C$  is a cyclic  $[n, k]$ -code with check polynomial

$$h(x) = h_0 + h_1x + \cdots + h_kx^k$$

Then a parity check matrix for  $C$  is

$$H = \begin{bmatrix} h_k & h_{k-1} & \cdots & h_0 & 0 & 0 & \cdots & 0 \\ 0 & h_k & h_{k-1} & \cdots & h_0 & 0 & \cdots & 0 \\ & & \ddots & \ddots & & \ddots & & 0 \\ 0 & \cdots & 0 & h_k & h_{k-1} & \cdots & & h_0 \end{bmatrix}$$

*Proof.* By Theorem 0.10,  $c(x) = c_0 + c_1x + \cdots + c_{n-1}x^{n-1}$  is codeword if and only if  $c(x)h(x) = 0$ . Thus any codeword  $c_0c_1 \cdots c_{n-1}$  of  $C$  is orthogonal to the vector  $h_k h_{k-1} \cdots h_0 \cdots 0$  and to its cyclic shifts (this results from equating coefficients of  $x^k, x^{k+1}, \dots, x^{n-1}$  must all be zero in  $c(x)h(x)$ ). Thus all the  $n - k$  rows are orthogonal to all the codewords and are linearly independent (because echelon of  $h_k = 1$  in  $H$ ), and the dimension of  $C^\perp$  is also  $n - k$ . Hence,  $H$  is a generator matrix of  $C^\perp$ .  $\square$