(Downloaded from https://cs.stanford.edu/~knuth/programs.html and typeset on September 17, 2017)

1. Intro. This program is part of a series of "exact cover solvers" that I'm putting together for my own education as I prepare to write Section 7.2.2.1 of *The Art of Computer Programming*. My intent is to have a variety of compatible programs on which I can run experiments, in order to learn how different approaches work in practice.

The basic input format for all of these solvers is described at the beginning of program DLX1, and you should read that description now if you are unfamiliar with it.

DLX2 extends DLX1 by allowing "color controls," which give considerably more flexibility to nonprimary columns: Any row that specifies a "color" in a nonprimary column will rule out all rows that don't specify the same color in that column. But any number of rows whose nonprimary columns agree in color are allowed. (The previous situation was the special case in which every row corresponds to a distinct color.)

The input format is extended so that, if xx is the name of a nonprimary column, rows can contain entries of the form xx:a, where a is a single character (denoting a color).

Here, for example, is a simple test case:

```
| A simple example of color controls
A B C | X Y
A B X:0 Y:0
A C X:1 Y:1
X:0 Y:1
B X:1
C Y:1
```

The row X:0 Y:1 will be deleted, because it has no primary columns. The unique solution consists of rows $A \ C \ X:1 \ Y:1$ and $B \ X:1$.

If the input contains no color specifications, the behavior of DLX2 will almost exactly match that of DLX1, except for having a slightly longer program and taking a bit longer to input the rows.

[Historical note: My first program for color-controlled exact covering was GDANCE, written in November 2000 when I was thinking about two-dimensional de Bruijn sequences. Later I came gradually to realize that the idea has many, many other applications. Indeed, in 2016 I noticed that the general constraint satisfaction problem can actually be regarded as a special case, when the allowable joint constraints are explicitly listed.]

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2. After this program finds all solutions, it normally prints their total number on *stderr*, together with statistics about how many nodes were in the search tree, and how many "updates" and "cleansings" were made. The running time in "mems" is also reported, together with the approximate number of bytes needed for data storage. (An "update" is the removal of a row from its column. A "cleansing" is the removal of a satisfied color constraint from its row. One "mem" essentially means a memory access to a 64-bit word. The reported totals don't include the time or space needed to parse the input or to format the output.)

Here is the overall structure:

```
\#define o mems ++
                            /* count one mem */
#define oo mems += 2 /* count two mems */
                                  /* count three mems */
#define ooo mems += 3
                       /* used for percent signs in format strings */
#define O "%"
#define mod %
                      /* used for percent signs denoting remainder in C */
#define max_level 500
                               /* at most this many rows in a solution */
#define max_cols 100000
                                  /* at most this many columns */
#define max_nodes 10000000
                                    /* at most this many nonzero elements in the matrix */
#define bufsize (9*max\_cols + 3) /* a buffer big enough to hold all column names */
#include <stdio.h>
#include <stdlib.h>
#include <string.h>
#include <ctype.h>
#include "gb_flip.h"
  typedef unsigned int uint;
                                      /* a convenient abbreviation */
  typedef unsigned long long ullng; /* ditto */
  \langle \text{Type definitions 5} \rangle;
  \langle \text{Global variables } 3 \rangle;
  \langle \text{Subroutines 9} \rangle;
  main(\mathbf{int} \ argc, \mathbf{char} * argv[])
    register int cc, i, j, k, p, pp, q, r, t, cur\_node, best\_col;
    \langle \text{Process the command line 4} \rangle;
     \langle \text{Input the column names } 13 \rangle;
     \langle \text{ Input the rows } 16 \rangle;
    if (vbose \& show\_basics) \land Report the successful completion of the input phase 20);
    if (vbose \& show\_tots) (Report the column totals 21);
    imems = mems, mems = 0;
    \langle Solve the problem 22 \rangle;
  done: if (sanity_checking) sanity();
    if (vbose \& show\_tots) \land Report the column totals 21 \rangle;
    if (vbose \& show\_profile) \land Print the profile 35);
    if (vbose & show_basics) {
       fprintf(stderr, "Altogether_{\square}"O"llu_{\square}solution"O"s,_{\square}"O"llu+"O"llu-"O"llu_mems,", count,
            count \equiv 1 ? "" : "s", imems, mems, lmems);
       butes = last\_col * sizeof(column) + last\_node * sizeof(node) + maxl * sizeof(int);
       fprintf(stderr, "
`\"O"llu\"updates, \"O"llu\"cleansings, ", updates, cleansings);
       fprintf(stderr, " " O" llu " bytes, " O" llu " nodes. \n", bytes, nodes);
  }
```

 $\S3$ DLX2 INTRO $\S3$

3. You can control the amount of output, as well as certain properties of the algorithm, by specifying options on the command line:

- 'v(integer)' enables or disables various kinds of verbose output on stderr, given by binary codes such as show_choices;
- 'm(integer)' causes every mth solution to be output (the default is m0, which merely counts them);
- 's(integer)' causes the algorithm to make random choices in key places (thus providing some variety, although the solutions are by no means uniformly random), and it also defines the seed for any random numbers that are used;
- 'd(integer)' to sets delta, which causes periodic state reports on stderr after the algorithm has performed approximately delta mems since the previous report;
- 'c (positive integer)' limits the levels on which choices are shown during verbose tracing;
- 'C' positive integer' limits the levels on which choices are shown in the periodic state reports;
- '1 (nonnegative integer)' gives a *lower* limit, relative to the maximum level so far achieved, to the levels on which choices are shown during verbose tracing;
- 't' (positive integer)' causes the program to stop after this many solutions have been found;
- 'T(integer)' sets timeout (which causes abrupt termination if mems > timeout at the beginning of a level).

```
#define show_basics 1
                            /* vbose code for basic stats; this is the default */
                              /* vbose code for backtrack logging */
#define show_choices 2
                             /* vbose code for further commentary */
#define show_details 4
#define show_profile 128
                               /*\ vbose\ {\rm code}\ {\rm to}\ {\rm show}\ {\rm the}\ {\rm search}\ {\rm tree}\ {\rm profile}\ */
#define show_full_state 256
                                 /* vbose code for complete state reports */
                             /* vbose code for reporting column totals at start and end */
#define show_tots 512
#define show_warnings 1024
                                   /* vbose code for reporting rows without primaries */
\langle \text{Global variables 3} \rangle \equiv
                           /* seed for the random words of gb\_rand */
  int random\_seed = 0;
  int randomizing;
                      /* has 's' been specified? */
  int\ vbose = show\_basics + show\_warnings; /* level of verbosity */
                  /* solution k is output if k is a multiple of spacing */
  int show\_choices\_max = 1000000;
                                      /* above this level, show_choices is ignored */
                                     /* below level maxl - show_choices_gap, show_details is ignored */
  int show\_choices\_gap = 1000000;
                                    /* above this level, state reports stop */
  int show\_levels\_max = 1000000;
                   /* maximum level actually reached */
  int maxl = 0;
  char buf [bufsize];
                        /* input buffer */
  ullng count;
                  /* solutions found so far */
  ullng rows;
                  /* rows seen so far */
  ullng imems, mems, lmems;
                                   /* mem counts */
  ullng updates;
                    /* update counts */
                       /* cleansing counts */
  ullng cleansings:
  ullng bytes;
                  /* memory used by main data structures */
                  /* total number of branch nodes initiated */
  ullng nodes;
                      /* report when mems exceeds this, if delta \neq 0 */
  ullng thresh = 0;
  ullng delta = 0;
                      /* report every delta or so mems */
  /* stop after finding this many solutions */
  /* give up after this many mems */
See also sections 7 and 23.
```

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4. If an option appears more than once on the command line, the first appearance takes precedence.

```
\langle \text{ Process the command line 4} \rangle \equiv
  for (j = argc - 1, k = 0; j; j - -)
    switch (arqv[j][0]) {
    case 'v': k = (sscanf(argv[j] + 1, ""O"d", \&vbose) - 1); break;
    case 'm': k = (sscanf(argv[j] + 1, ""O"d", \& spacing) - 1); break;
    case 's': k = (sscanf(argv[j] + 1, ""O"d", \&random\_seed) - 1), randomizing = 1; break;
    case 'd': k = (sscanf(argv[j] + 1, ""O"11d", \&delta) - 1), thresh = delta; break;
    \mathbf{case} \ \texttt{`c'}: \ k \mid = (sscanf(argv[j] + 1, \texttt{""}O\texttt{"d"}, \&show\_choices\_max) - 1); \ \mathbf{break};
    case 'C': k = (sscanf(argv[j] + 1, ""O"d", \&show\_levels\_max) - 1); break;
    case 'l': k = (sscanf(argv[j] + 1, ""O"d", \&show\_choices\_gap) - 1); break;
    case 't': k = (sscanf(argv[j] + 1, ""O"11d", \& maxcount) - 1); break;
    case 'T': k = (sscanf(argv[j] + 1, ""O"lld", &timeout) - 1); break;
    default: k = 1;
                         /* unrecognized command-line option */
  if (k) {
    fprintf(stderr, "Usage: \_"O"s\_[v<n>]\_[m<n>]\_[s<n>]\_[d<n>] ""\_[c<n>]_[C<n>]_[1<n\]
         >] [t<n>] <math>[T<n>] \cup (t<n) |t<n| |t<n|
    exit(-1);
  if (randomizing) gb_init_rand(random_seed);
This code is used in section 2.
```

 $\S5$ DLX2 DATA STRUCTURES 5

5. Data structures. Each column of the input matrix is represented by a **column** struct, and each row is represented as a list of **node** structs. There's one node for each nonzero entry in the matrix.

More precisely, the nodes of individual rows appear sequentially, with "spacer" nodes between them. The nodes are also linked circularly within each column, in doubly linked lists. The column lists each include a header node, but the row lists do not. Column header nodes are aligned with a **column** struct, which contains further info about the column.

Each node contains four important fields. Two are the pointers up and down of doubly linked lists, already mentioned. A third points directly to the column containing the node. And the last specifies a color, or zero if no color is specified.

A "pointer" is an array index, not a C reference (because the latter would occupy 64 bits and waste cache space). The cl array is for **column** structs, and the nd array is for **nodes**. I assume that both of those arrays are small enough to be allocated statically. (Modifications of this program could do dynamic allocation if needed.) The header node corresponding to cl[c] is nd[c].

Notice that each **node** occupies two octabytes. We count one mem for a simultaneous access to the up and down fields, or for a simultaneous access to the col and color fields.

Although the column-list pointers are called *up* and *down*, they need not correspond to actual positions of matrix entries. The elements of each column list can appear in any order, so that one row needn't be consistently "above" or "below" another. Indeed, when *randomizing* is set, we intentionally scramble each column list.

This program doesn't change the *col* fields after they've first been set up. But the *up* and *down* fields will be changed frequently, although preserving relative order.

Exception: In the node nd[c] that is the header for the list of column c, we use the col field to hold the length of that list (excluding the header node itself). We also might use its color field for special purposes. The alternative names len for col and aux for color are used in the code so that this nonstandard semantics will be more clear.

A spacer node has $col \leq 0$. Its up field points to the start of the preceding row; its down field points to the end of the following row. Thus it's easy to traverse a row circularly, in either direction.

The *color* field of a node is set to -1 when that node has been cleansed. In such cases its original color appears in the column header. (The program uses this fact only for diagnostic outputs.)

6. Each **column** struct contains three fields: The *name* is the user-specified identifier; *next* and *prev* point to adjacent columns, when this column is part of a doubly linked list.

As backtracking proceeds, nodes will be deleted from column lists when their row has been blocked by other rows in the partial solution. But when backtracking is complete, the data structures will be restored to their original state.

We count one mem for a simultaneous access to the *prev* and *next* fields.

```
⟨ Type definitions 5⟩ +≡
typedef struct col_struct {
  char name[8]; /* symbolic identification of the column, for printing */
  int prev, next; /* neighbors of this column */
} column;
```

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```
7. ⟨Global variables 3⟩ +≡ node nd[max_nodes]; /* the master list of nodes */ int last_node; /* the first node in nd that's not yet used */ column cl[max_cols + 2]; /* the master list of columns */ int second = max_cols; /* boundary between primary and secondary columns */ int last_col; /* the first column in cl that's not yet used */
```

8. One **column** struct is called the root. It serves as the head of the list of columns that need to be covered, and is identifiable by the fact that its *name* is empty.

```
#define root 0 /* cl[root] is the gateway to the unsettled columns */
```

9. A row is identified not by name but by the names of the columns it contains. Here is a routine that prints a row, given a pointer to any of its nodes. It also prints the position of the row in its column.

```
\langle \text{Subroutines } 9 \rangle \equiv
  void print_row(int p, FILE *stream)
     register int k, q;
    if (p < last\_col \lor p \ge last\_node \lor nd[p].col \le 0) {
       fprintf(stderr, "Illegal_row_"O"d!\n", p);
       return;
     for (q = p; ; ) {
       fprintf(stream, " \sqcup "O".8s", cl[nd[q].col].name);
       if (nd[q].color) fprintf (stream, ":"O"c", nd[q].color > 0 ? nd[q].color : nd[nd[q].col].color);
       if (nd[q].col \le 0) q = nd[q].up; /* -nd[q].col is actually the row number */
       if (q \equiv p) break;
     for (q = nd[nd[p].col].down, k = 1; q \neq p; k++) {
       if (q \equiv nd[p].col) {
         fprintf(stream, "\(\)(?)\\\n"); return; /* row not in its column! */
       } else q = nd[q].down;
     fprintf(stream, " ("O"d O"d O"d) n", k, nd[nd[p].col].len);
  void prow(\mathbf{int} \ p)
     print\_row(p, stderr);
See also sections 10, 11, 25, 26, 29, 30, 33, and 34.
```

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10. When I'm debugging, I might want to look at one of the current column lists. $\langle \text{Subroutines } 9 \rangle + \equiv$ void print_col(int c) register int p; if $(c < root \lor c > last_col)$ { $fprintf(stderr, "Illegal_column_"O"d!\n", c);$ return: if (c < second) $fprintf(stderr, "Column_{\sqcup}"O".8s,_{\sqcup}length_{\sqcup}"O"d,_{\sqcup}neighbors_{\sqcup}"O".8s,_{\sqcup}and_{\sqcup}"O".8s:\\n",$ cl[c].name, nd[c].len, cl[cl[c].prev].name, cl[cl[c].next].name);else $fprintf(stderr, "Column_{\sqcup}"O".8s, _length_{\sqcup}"O"d: \\n", cl[c].name, nd[c].len);$ for $(p = nd[c].down; p \ge last_col; p = nd[p].down) prow(p);$ 11. Speaking of debugging, here's a routine to check if redundant parts of our data structure have gone #define sanity_checking 0 /* set this to 1 if you suspect a bug */ $\langle \text{Subroutines } 9 \rangle + \equiv$ void sanity(void) register int k, p, q, pp, qq, t; for (q = root, p = cl[q].next; ; q = p, p = cl[p].next) { if $(cl[p].prev \neq q)$ fprintf(stderr, "Bad prev field at col "O".8s! n", <math>cl[p].name);if $(p \equiv root)$ break; $\langle \text{Check column } p \mid 12 \rangle;$ } **12.** $\langle \text{ Check column } p \mid 12 \rangle \equiv$ for (qq = p, pp = nd[qq].down, k = 0; ; qq = pp, pp = nd[pp].down, k++) { if $(nd[pp].up \neq qq)$ fprintf $(stderr, "Bad_up_field_at_node_"O"d! \n", pp);$ if $(pp \equiv p)$ break; if $(nd[pp].col \neq p)$ $fprintf(stderr, "Bad_col_field_at_node_"O"d!\n", pp);$ if $(nd[p].len \neq k)$ fprintf $(stderr, "Bad_{|} len_{|} field_{|} in_{|} column_{|} "O".8s! \n", cl[p].name);$ This code is used in section 11.

INPUTTING THE MATRIX DLX2 §13

13. Inputting the matrix. Brute force is the rule in this part of the code, whose goal is to parse and store the input data and to check its validity.

```
#define panic(m)
           { fprintf(stderr, ""O"s!\n"O"d:_{\!\!\!\perp}"O".99s\n", m, p, buf); exit(-666); }
\langle \text{Input the column names } 13 \rangle \equiv
  if (max\_nodes < 2 * max\_cols) {
     fprintf(stderr, "Recompile\_me: \_max\_nodes\_must\_exceed\_twice\_max\_cols! \n");
     exit(-999):
         /* every column will want a header node and at least one other node */
  while (1) {
     if (\neg fgets(buf, bufsize, stdin)) break;
     \textbf{if } (o, buf[p = strlen(buf) - 1] \neq \verb"`\n") \ panic("Input_{\sqcup}line_{\sqcup}way_{\sqcup}too_{\sqcup}long");
     for (p = 0; o, isspace(buf[p]); p \leftrightarrow);
     if (buf[p] \equiv ' \mid ' \vee \neg buf[p]) continue;
                                                         /* bypass comment or blank line */
     last\_col = 1;
     break;
  if (\neg last\_col) panic("No\_columns");
  for (; o, buf[p];) {
     for (j = 0; j < 8 \land (o, \neg isspace(buf[p + j])); j \leftrightarrow)  {
        if (buf[p+j] \equiv ":" \lor buf[p+j] \equiv "|") panic("Illegal_character_in_column_name");
        o, cl[last\_col].name[j] = buf[p+j];
     if (j \equiv 8 \land \neg isspace(buf[p+j])) \ panic("Column_name_too_long");
     \langle Check for duplicate column name 14 \rangle;
     \langle \text{Initialize } last\_col \text{ to a new column with an empty list } 15 \rangle;
     for (p += j + 1; o, isspace(buf[p]); p++);
     if (buf[p] \equiv '|') {
        if (second \neq max\_cols) panic("Column\_name\_line\_contains\_|_\text{\text{\text{\text{twice}}"}});
        second = last\_col;
        \mathbf{for}\ (p +\!\!\!+\!\!\!+;\ o, is space(\mathit{buf}[p]);\ p +\!\!\!+\!\!\!+)\ ;
     }
  if (second \equiv max\_cols) second = last\_col;
  o, cl[root].prev = second - 1;
                                          /* cl[second - 1].next = root since root = 0 */
                               /* reserve all the header nodes and the first spacer */
  last\_node = last\_col;
  o, nd[last\_node].col = 0;
This code is used in section 2.
14. \langle Check for duplicate column name |14\rangle \equiv
  for (k = 1; o, strncmp(cl[k].name, cl[last\_col].name, 8); k++);
  if (k < last\_col) \ panic("Duplicate\_column\_name");
This code is used in section 13.
      \langle \text{Initialize } last\_col \text{ to a new column with an empty list } 15 \rangle \equiv
  if (last\_col > max\_cols) panic("Too_lmany_lcolumns");
  if (second \equiv max\_cols) oo, cl[last\_col - 1].next = last\_col, cl[last\_col].prev = last\_col - 1;
  else o, cl[last\_col].next = cl[last\_col].prev = last\_col;
                                                                     /* nd[last\_col].len = 0 */
  o, nd[last\_col].up = nd[last\_col].down = last\_col;
  last\_col++;
This code is used in section 13.
```

16. I'm putting the row number into the spacer that follows it, as a possible debugging aid. But the program doesn't currently use that information.

```
\langle \text{Input the rows } \mathbf{16} \rangle \equiv
  while (1) {
    if (\neg fgets(buf, bufsize, stdin)) break;
     if (o, buf[p = strlen(buf) - 1] \neq `\n') panic("Row_line_too_long");
     for (p = 0; o, isspace(buf[p]); p \leftrightarrow);
     if (buf[p] \equiv ' \mid ' \vee \neg buf[p]) continue;
                                                    /* bypass comment or blank line */
     i = last\_node; /* remember the spacer at the left of this row */
     for (pp = 0; buf[p];) {
       for (j = 0; j < 8 \land (o, \neg isspace(buf[p+j])) \land buf[p+j] \neq ":"; j++)
          o, cl[last\_col].name[j] = buf[p+j];
       if (\neg j) panic("Empty_column_name");
       if (j \equiv 8 \land \neg isspace(buf[p+j]) \land buf[p+j] \neq ':') panic("Column_name_ltoo_llong");
       if (j < 8) o, cl[last\_col].name[j] = ``\0';
       \langle Create a node for the column named in buf[p] 17\rangle;
       if (buf[p+j] \neq ":") o, nd[last\_node].color = 0;
       else if (k \ge second) {
         if ((o, isspace(buf[p+j+1])) \lor (o, \neg isspace(buf[p+j+2])))
            panic("Color_must_be_a_single_character");
         o, nd[last\_node].color = buf[p + j + 1];
       } else panic("Primary, column, must, be, uncolored");
       for (p += j + 1; o, isspace(buf[p]); p++);
    if (\neg pp) {
       if (vbose & show_warnings) fprintf(stderr, "Row_jignored_(no_primary_columns): "O"s", buf);
       while (last\_node > i) {
          \langle \text{Remove } last\_node \text{ from its column } 19 \rangle;
          last\_node ---;
       }
     } else {
       o, nd[i].down = last\_node;
       last\_node ++;
                         /* create the next spacer */
       if (last\_node \equiv max\_nodes) \ panic("Too_lmany_nodes");
       rows ++;
       o, nd[last\_node].up = i + 1;
       o, nd[last\_node].col = -rows;
  }
```

```
17. \langle Create a node for the column named in buf[p] 17\rangle \equiv for (k=0; o, strncmp(cl[k].name, cl[last\_col].name, 8); k++); if <math>(k \equiv last\_col) \ panic("Unknown\_column\_name"); if (o, nd[k].aux \geq i) \ panic("Duplicate\_column\_name\_in\_this\_row"); last\_node++; if <math>(last\_node \equiv max\_nodes) \ panic("Too\_many\_nodes"); o, nd[last\_node].col = k; if <math>(k < second) \ pp = 1; o, t = nd[k].len + 1; \langle Insert \ node \ last\_node \ into \ the \ list \ for \ column \ k \ 18 \rangle; This code is used in section 16.
```

18. Insertion of a new node is simple, unless we're randomizing. In the latter case, we want to put the node into a random position of the list.

We store the position of the new node into nd[k]. aux, so that the test for duplicate columns above will be correct.

As in other programs developed for TAOCP, I assume that four mems are consumed when 31 random bits are being generated by any of the GB_FLIP routines.

```
\langle \text{Insert node } last\_node \text{ into the list for column } k \mid 18 \rangle \equiv
  o, nd[k].len = t;
                        /* store the new length of the list */
  nd[k].aux = last\_node;
                              /* no mem charge for aux after len */
  if (\neg randomizing) {
     o, r = nd[k].up;
                          /* the "bottom" node of the column list */
     ooo, nd[r].down = nd[k].up = last\_node, nd[last\_node].up = r, nd[last\_node].down = k;
  } else {
                                             /* choose a random number of nodes to skip past */
     mems += 4, t = qb\_unif\_rand(t);
     for (o, r = k; t; o, r = nd[r].down, t--);
     ooo, q = nd[r].up, nd[q].down = nd[r].up = last\_node;
     o, nd[last\_node].up = q, nd[last\_node].down = r;
This code is used in section 17.
19. \langle \text{Remove } last\_node \text{ from its column } 19 \rangle \equiv
  o, k = nd[last\_node].col;
  oo, nd[k].len--, nd[k].aux = i-1;
  o, q = nd[last\_node].up, r = nd[last\_node].down;
  oo, nd[q].down = r, nd[r].up = q;
This code is used in section 16.
20. (Report the successful completion of the input phase 20) \equiv
  fprintf(stderr, "("O"11d_lrows, | "O"d+"O"d_lcolumns, | "O"d_lentries_lsuccessfully_lread) \n",
       rows, second - 1, last\_col - second, last\_node - last\_col);
```

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21. The column lengths after input should agree with the column lengths after this program has finished. I print them (on request), in order to provide some reassurance that the algorithm isn't badly screwed up.

```
 \begin{split} \langle \operatorname{Report\ the\ column\ totals\ 21} \rangle \equiv \\ \{ \\ fprintf (stderr, "Column_{\sqcup} totals:"); \\ \mathbf{for\ } (k=1;\ k < last\_col;\ k++)\ \{ \\ \quad \mathbf{if\ } (k \equiv second)\ fprintf (stderr, "_{\sqcup}"O"d", nd[k].len); \\ fprintf (stderr, "_{\sqcup}"O"d", nd[k].len); \\ \} \\ fprintf (stderr, "_{n}"); \\ \} \end{split}  This code is used in section 2.
```

12 THE DANCING DLX2 $\S 22$

22. The dancing. Our strategy for generating all exact covers will be to repeatedly choose always the column that appears to be hardest to cover, namely the column with shortest list, from all columns that still need to be covered. And we explore all possibilities via depth-first search.

The neat part of this algorithm is the way the lists are maintained. Depth-first search means last-in-firstout maintenance of data structures; and it turns out that we need no auxiliary tables to undelete elements from lists when backing up. The nodes removed from doubly linked lists remember their former neighbors, because we do no garbage collection.

The basic operation is "covering a column." This means removing it from the list of columns needing to be covered, and "blocking" its rows: removing nodes from other lists whenever they belong to a row of a node in this column's list.

```
\langle Solve the problem 22 \rangle \equiv
  level = 0;
forward: nodes ++;
  if (vbose & show_profile) profile[level]++;
  if (sanity_checking) sanity();
  \langle Do special things if enough mems have accumulated 24\rangle;
  \langle \text{Set } best\_col \text{ to the best column for branching } 31 \rangle;
  cover(best\_col);
  oo, cur\_node = choice[level] = nd[best\_col].down;
advance: if (cur\_node \equiv best\_col) goto backup;
  if ((vbose \& show\_choices) \land level < show\_choices\_max) {
     fprintf(stderr, "L"O"d:", level);
     print_row(cur_node, stderr);
  \langle \text{ Cover all other columns of } cur\_node 27 \rangle;
  if (o, cl[root].next \equiv root) (Record solution and goto recover 32);
  if (++level > maxl) {
     if (level \ge max\_level) {
       fprintf(stderr, "Too_{\square}many_{\square}levels! \n");
        exit(-4);
     }
     maxl = level;
  goto forward;
backup: uncover(best_col);
  if (level \equiv 0) goto done;
  level--;
  oo, cur\_node = choice[level], best\_col = nd[cur\_node].col;
recover: (Uncover all other columns of cur_node 28);
  oo, cur\_node = choice[level] = nd[cur\_node].down; goto advance;
This code is used in section 2.
23. \langle \text{Global variables } 3 \rangle + \equiv
  int level;
                  /* number of choices in current partial solution */
  int choice [max_level];
                                /* the node chosen on each level */
  ullng profile[max_level];
                                   /* number of search tree nodes on each level */
```

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13

```
24. ⟨ Do special things if enough mems have accumulated 24⟩ ≡
if (delta ∧ (mems ≥ thresh)) {
   thresh += delta;
   if (vbose & show_full_state) print_state();
   else print_progress();
  }
if (mems ≥ timeout) {
   fprintf(stderr, "TIMEOUT!\n"); goto done;
  }
This code is used in section 22.
```

25. When a row is blocked, it leaves all lists except the list of the column that is being covered. Thus a node is never removed from a list twice.

We can save time by not removing nodes from secondary columns that have been purified. (Such nodes have color < 0. Note that color and col are stored in the same octabyte; hence we pay only one mem to look at them both.)

We could save even more time by not updating the len fields of secondary columns. Instead of suppressing that calculation, this program calculates how much would be saved.

```
\langle \text{Subroutines } 9 \rangle + \equiv
  void cover(int c)
  {
     register int cc, l, r, rr, nn, uu, dd, t;
     o, l = cl[c].prev, r = cl[c].next;
     oo, cl[l].next = r, cl[r].prev = l;
     updates ++;
     for (o, rr = nd[c].down; rr \ge last\_col; o, rr = nd[rr].down)
       for (nn = rr + 1; nn \neq rr;) {
         if (o, nd[nn].color \ge 0) {
            o, uu = nd[nn].up, dd = nd[nn].down;
            cc = nd[nn].col;
            if (cc \leq 0) {
              nn = uu;
              continue;
            oo, nd[uu].down = dd, nd[dd].up = uu;
            updates ++;
            o, t = nd[cc].len - 1;
            o, nd[cc].len = t;
            if (cc \geq second) lmems += 2;
         nn++;
  }
```

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26. I used to think that it was important to uncover a column by processing its rows from bottom to top, since covering was done from top to bottom. But while writing this program I realized that, amazingly, no harm is done if the rows are processed again in the same order. So I'll go downward again, just to prove the point. Whether we go up or down, the pointers execute an exquisitely choreographed dance that returns them almost magically to their former state.

```
\langle \text{Subroutines } 9 \rangle + \equiv
  void uncover(\mathbf{int}\ c)
     register int cc, l, r, rr, nn, uu, dd, t;
     for (o, rr = nd[c].down; rr \ge last\_col; o, rr = nd[rr].down)
       for (nn = rr + 1; nn \neq rr;)
          if (o, nd[nn].color \ge 0) {
            o, uu = nd[nn].up, dd = nd[nn].down;
            cc = nd[nn].col;
            if (cc \leq 0) {
               nn = uu;
               continue;
            }
            oo, nd[uu].down = nd[dd].up = nn;
            o, t = nd[cc].len + 1;
            o, nd[cc].len = t;
            if (cc \geq second) lmems += 2;
          nn++;
     o, l = cl[c].prev, r = cl[c].next;
     oo, cl[l].next = cl[r].prev = c;
     \langle \text{ Cover all other columns of } cur\_node \ 27 \rangle \equiv
  for (pp = cur\_node + 1; pp \neq cur\_node;)
     o, cc = nd[pp].col;
     if (cc \le 0) o, pp = nd[pp].up;
     else {
       if (\neg nd[pp].color) cover(cc);
       else if (nd[pp].color > 0) purify(pp);
       pp ++;
  }
This code is used in section 22.
```

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28. We must go leftward as we uncover the columns, because we went rightward when covering them.

```
 \langle \text{Uncover all other columns of } \textit{cur\_node } 28 \rangle \equiv \\ \textbf{for } (pp = \textit{cur\_node} - 1; \ pp \neq \textit{cur\_node}; \ ) \ \{ \\ o, \textit{cc} = \textit{nd} [pp].\textit{col}; \\ \textbf{if } (\textit{cc} \leq 0) \ o, \textit{pp} = \textit{nd} [pp].\textit{down}; \\ \textbf{else } \{ \\ \textbf{if } (\neg \textit{nd} [pp].\textit{color}) \ \textit{uncover}(\textit{cc}); \\ \textbf{else if } (\textit{nd} [pp].\textit{color} > 0) \ \textit{unpurify}(\textit{pp}); \\ \textit{pp} = -; \\ \} \\ \}
```

This code is used in section 22.

29. When we choose a row that specifies colors in one or more columns, we "purify" those columns by removing all incompatible rows. All rows that want the chosen color in a purified column are temporarily given the color code -1 so that they won't be purified again.

```
\langle \text{Subroutines } 9 \rangle + \equiv
  void purify(\mathbf{int} \ p)
  {
    register int cc, rr, nn, uu, dd, t, x;
    o, cc = nd[p].col, x = nd[p].color;
    nd[cc].color = x;
                           /* no mem charged, because this is for print_row only */
    cleansings ++;
    for (o, rr = nd[cc].down; rr \ge last\_col; o, rr = nd[rr].down) {
       if (o, nd[rr].color \neq x) {
         for (nn = rr + 1; nn \neq rr;)
            o, uu = nd[nn].up, dd = nd[nn].down;
            o, cc = nd[nn].col;
            if (cc \leq 0) {
              nn = uu; continue;
            if (nd[nn].color \geq 0) {
              oo, nd[uu].down = dd, nd[dd].up = uu;
              updates ++;
              o, t = nd[cc].len - 1;
              o, nd[cc].len = t;
              if (cc > second) lmems += 2;
            nn ++;
       } else if (rr \neq p) cleansings ++, o, nd[rr].color = -1;
  }
```

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30. Just as purify is analogous to cover, the inverse process is analogous to uncover.

```
\langle \text{Subroutines } 9 \rangle + \equiv
  void unpurify(\mathbf{int} \ p)
    register int cc, rr, nn, uu, dd, t, x;
    o, cc = nd[p].col, x = nd[p].color;
                                           /* there's no need to clear nd[cc].color */
    for (o, rr = nd[cc].up; rr \ge last\_col; o, rr = nd[rr].up) {
       if (o, nd[rr].color < 0) o, nd[rr].color = x;
       else if (rr \neq p) {
         for (nn = rr - 1; nn \neq rr;) {
            o, uu = nd[nn].up, dd = nd[nn].down;
            o, cc = nd[nn].col;
           if (cc \leq 0) {
              nn = dd; continue;
           if (nd[nn].color \ge 0) {
              oo, nd[uu].down = nd[dd].up = nn;
              o, t = nd[cc].len + 1;
              o, nd[cc].len = t;
              if (cc \geq second) lmems += 2;
            }
            nn--;
         }
      }
    }
```

31. The "best column" is considered to be a column that minimizes the number of remaining choices. If there are several candidates, we choose the leftmost — unless we're randomizing, in which case we select one of them at random.

```
\langle \text{Set } best\_col \text{ to the best column for branching } 31 \rangle \equiv
  t = max\_nodes;
  if ((vbose \& show\_details) \land level < show\_choices\_max \land level \ge maxl - show\_choices\_gap)
     fprintf(stderr, "Level,"O"d:", level);
  for (o, k = cl[root].next; k \neq root; o, k = cl[k].next) {
     if ((vbose \& show\_details) \land level < show\_choices\_max \land level \ge maxl - show\_choices\_gap)
       fprintf(stderr, ""O".8s("O"d)", cl[k].name, nd[k].len);
    if (o, nd[k].len < t) {
       if (nd[k].len < t) best-col = k, t = nd[k].len, p = 1;
       else {
                    /* this many columns achieve the min */
          if (randomizing \land (mems += 4, \neg gb\_unif\_rand(p))) best\_col = k;
     }
  if ((vbose \& show\_details) \land level < show\_choices\_max \land level \ge maxl - show\_choices\_gap)
     fprintf(stderr, "\_branching\_on\_"O".8s("O"d)\n", cl[best\_col].name, t);
This code is used in section 22.
```

 $\S32$ DLX2 THE DANCING 17

```
32.
       \langle \text{ Record solution and goto } recover | 32 \rangle \equiv
  {
     count ++;
     if (spacing \land (count \bmod spacing \equiv 0)) {
        printf(""O"lld:\n", count);
        for (k = 0; k \le level; k++) print_row(choice[k], stdout);
        fflush(stdout);
     if (count \ge maxcount) goto done;
     goto recover;
This code is used in section 22.
33. \langle \text{Subroutines } 9 \rangle + \equiv
  void print_state(void)
  {
     register int l;
     fprintf(stderr, "Current_state_(level_"O"d): \n", level);
     for (l = 0; l < level; l++) {
        print_row(choice[l], stderr);
        if (l \ge show\_levels\_max) {
           fprintf(stderr, " \sqcup ... \ ");
           break;
        }
     fprintf(stderr, "_{\sqcup}"O"11d_{\sqcup}solutions,_{\sqcup}"O"11d_{\sqcup}mems,_{\sqcup}and_{\sqcup}max_{\sqcup}level_{\sqcup}"O"d_{\sqcup}so_{\sqcup}far.\n", count,
           mems, maxl);
```

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34. During a long run, it's helpful to have some way to measure progress. The following routine prints a string that indicates roughly where we are in the search tree. The string consists of character pairs, separated by blanks, where each character pair represents a branch of the search tree. When a node has d descendants and we are working on the kth, the two characters respectively represent k and d in a simple code; namely, the values $0, 1, \ldots, 61$ are denoted by

```
0, 1, ..., 9, a, b, ..., z, A, B, ..., Z.
```

All values greater than 61 are shown as '*'. Notice that as computation proceeds, this string will increase lexicographically.

Following that string, a fractional estimate of total progress is computed, based on the naïve assumption that the search tree has a uniform branching structure. If the tree consists of a single node, this estimate is .5; otherwise, if the first choice is 'k of d', the estimate is (k-1)/d plus 1/d times the recursively evaluated estimate for the kth subtree. (This estimate might obviously be very misleading, in some cases, but at least it grows monotonically.)

```
\langle \text{Subroutines } 9 \rangle + \equiv
   void print_progress(void)
      register int l, k, d, c, p;
      register double f, fd;
     fprintf(stderr, "\_after\_"O"lld\_mems:\_"O"lld\_sols, ", mems, count);
      for (f = 0.0, fd = 1.0, l = 0; l < level; l++) {
        c = nd[choice[l]].col, d = nd[c].len;
        for (k = 1, p = nd[c].down; p \neq choice[l]; k++, p = nd[p].down);
        \begin{array}{ll} fd \; *= d, f \; += (k-1)/fd; & /* \; \text{choice} \; l \; \text{is} \; k \; \text{of} \; d \; */ \\ fprintf (stderr, " " O " C " O " C ", k < 10 ? ' O ' + k : k < 36 ? ' a ' + k - 10 : k < 62 ? ' A ' + k - 36 : ' * ', \\ \end{array}
              d < 10? '0' + d : d < 36? 'a' + d - 10 : d < 62? 'A' + d - 36 : '*');
        if (l \ge show\_levels\_max) {
           fprintf(stderr, "...");
           break;
        }
      fprintf(stderr, " \Box" O".5f \n", f + 0.5/fd);
       \langle \text{ Print the profile 35} \rangle \equiv
35.
      fprintf(stderr, "Profile:\n");
      for (level = 0; level \le maxl; level ++) fprintf(stderr, ""O"3d: "O"11d\n", level, profile[level]);
```

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36. Index.

advance: 22.level: 22, <u>23</u>, 31, 32, 33, 34, 35. argc: 2, 4.lmems: 2, 3, 25, 26, 29, 30. $argv: \underline{2}, \underline{4}.$ $main: \underline{2}.$ aux: $\underline{5}$, 17, 18, 19. max_cols : 2, 7, 13, 15. backup: $\underline{22}$. max_level : $\underline{2}$, $\underline{22}$, $\underline{23}$. $best_col: \underline{2}, \underline{22}, \underline{31}.$ $max_nodes: \underline{2}, 7, 13, 16, 17, 31.$ maxcount: 3, 4, 32.buf: 3, 13, 16. $maxl: 2, \underline{3}, 22, 31, 33, 35.$ bufsize: 2, 3, 13, 16. bytes: $2, \underline{3}$. mems: $2, \underline{3}, 18, 24, 31, 33, 34.$ $c: \ \underline{10}, \ \underline{25}, \ \underline{26}, \ \underline{34}.$ \mathbf{mod} : $\mathbf{\underline{2}}$, $\mathbf{32}$. cc: 2, 25, 26, 27, 28, 29, 30.name: 6, 8, 9, 10, 11, 12, 13, 14, 16, 17, 31. choice: 22, <u>23</u>, 32, 33, 34. *nd*: 5, 7, 9, 10, 12, 13, 15, 16, 17, 18, 19, 21, 22, cl: 5, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 25, 26, 27, 28, 29, 30, 31, 34. 22, 25, 26, 31. next: 6, 10, 11, 13, 15, 22, 25, 26, 31. cleansings: $2, \underline{3}, 29.$ $nn: \ \underline{25}, \ \underline{26}, \ \underline{29}, \ \underline{30}.$ $col: \underline{5}, 9, 12, 13, 16, 17, 19, 22, 25, 26, 27,$ node: $2, \underline{5}, 7$. $node_struct: \underline{5}.$ 28, 29, 30, 34. nodes: $2, \underline{3}, 22.$ col_struct: 6. $color: \underline{5}, 9, 16, 25, 26, 27, 28, 29, 30.$ $O: \underline{2}.$ column: $2, \underline{6}, 7, 8.$ $o: \underline{2}$. count: $2, \underline{3}, 32, 33, 34.$ oo: $\underline{2}$, 15, 19, 22, 25, 26, 29, 30. cover: 22, 25, 27, 30.*ooo*: $\underline{2}$, 18. $cur_node\colon \ \ \underline{2},\ 22,\ 27,\ 28.$ $p: \quad 2, \ 9, \ 10, \ 11, \ 29, \ 30, \ 34.$ *d*: 34. panic: 13, 14, 15, 16, 17. $dd: \ \underline{25}, \ \underline{26}, \ \underline{29}, \ \underline{30}.$ pp: 2, 11, 12, 16, 17, 27, 28.delta: $\underline{3}$, 4, 24. prev: $\underline{6}$, 10, 11, 13, 15, 25, 26. done: 2, 22, 24, 32. $print_col: \underline{10}.$ $down: \ \underline{5}, \ 9, \ 10, \ 12, \ 15, \ 16, \ 18, \ 19, \ 22, \ 25, \ 26,$ $print_progress$: 24, 34. print_row: 9, 22, 29, 32, 33. 28, 29, 30, 34. exit: 4, 13, 22. $print_state$: 24, 33. printf: 32.f: <u>34</u>. $fd: \underline{34}.$ profile: 22, <u>23</u>, 35. $prow: \underline{9}, \underline{10}.$ fflush: 32.fgets: 13, 16. purify: 27, 29, 30. $q: \ \ \underline{2}, \ \underline{9}, \ \underline{11}.$ forward: 22.fprintf: 2, 4, 9, 10, 11, 12, 13, 16, 20, 21, 22, qq: 11, 12. $r: \ \underline{2}, \ \underline{25}, \ \underline{26}.$ 24, 31, 33, 34, 35. $random_seed: \underline{3}, 4.$ gb_init_rand : 4. gb_rand : 3. randomizing: $\underline{3}$, 4, 5, 18, 31. recover: $\underline{22}$, $\underline{32}$. gb_unif_rand : 18, 31. $i: \underline{2}.$ root: 8, 10, 11, 13, 22, 31.imems: $2, \underline{3}$. rows: 3, 16, 20. *isspace*: 13, 16. $rr: \ \underline{25}, \ \underline{26}, \ \underline{29}, \ \underline{30}.$ j: $\underline{2}$. sanity: 2, 11, 22.k: 2, 9, 11, 34. $sanity_checking: 2, \underline{11}, \underline{22}.$ second: 7, 10, 13, 15, 16, 17, 20, 21, 25, 26, 29, 30. l: 25, 26, 33, 34.last_col: 2, 7, 9, 10, 13, 14, 15, 16, 17, 20, 21, $show_basics: 2, \underline{3}.$ $show_choices: \underline{3}, \underline{22}.$ 25, 26, 29, 30. *last_node*: 2, <u>7</u>, 9, 13, 16, 17, 18, 19, 20. $show_choices_gap: \underline{3}, 4, 31.$ len: $\underline{5}$, 9, 10, 12, 15, 17, 18, 19, 21, 25, 26, $show_choices_max: \underline{3}, 4, 22, 31.$ $show_details: \underline{3}, \underline{31}.$ 29, 30, 31, 34.

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```
show\_full\_state: \underline{3}, \underline{24}.
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show\_profile: 2, \underline{3}, 22.
show\_tots: 2, \underline{3}.
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spacing: \underline{3}, 4, 32.
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       24, 31, 33, 34, 35.
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timeout: \underline{3}, 4, 24.
uint: \underline{2}.
ullng: \frac{\phantom{0}}{2}, 3, 23.
uncover \colon \quad 22, \ \underline{26}, \ 28, \ 30.
unpurify: 28, \underline{30}.
up: \underline{5}, 9, 12, 15, 16, 18, 19, 25, 26, 27, 29, 30.
updates: 2, 3, 25, 29.
uu: \ \underline{25}, \ \underline{26}, \ \underline{29}, \ \underline{30}.
vbose{:}\quad 2,\ \underline{3},\ 4,\ 16,\ 22,\ 24,\ 31.
x: \ \underline{29}, \ \underline{30}.
```

```
\langle \text{ Check column } p \mid 12 \rangle Used in section 11.
(Check for duplicate column name 14) Used in section 13.
\langle \text{ Cover all other columns of } cur\_node 27 \rangle Used in section 22.
 Create a node for the column named in buf[p] 17 Used in section 16.
(Do special things if enough mems have accumulated 24) Used in section 22.
Global variables 3, 7, 23 Used in section 2.
(Initialize last_col to a new column with an empty list 15) Used in section 13.
\langle \text{Input the column names } 13 \rangle Used in section 2.
\langle \text{Input the rows 16} \rangle Used in section 2.
\langle \text{Insert node } last\_node \text{ into the list for column } k \mid 18 \rangle Used in section 17.
\langle \text{ Print the profile } 35 \rangle Used in section 2.
\langle \text{Process the command line 4} \rangle Used in section 2.
\langle \text{ Record solution and goto } recover 32 \rangle Used in section 22.
\langle \text{Remove } last\_node \text{ from its column } 19 \rangle Used in section 16.
(Report the column totals 21) Used in section 2.
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\langle Set best_col to the best column for branching 31\rangle Used in section 22.
\langle Solve the problem 22\rangle Used in section 2.
(Subroutines 9, 10, 11, 25, 26, 29, 30, 33, 34) Used in section 2.
\langle \text{Type definitions 5, 6} \rangle Used in section 2.
\langle Uncover all other columns of cur\_node\ 28\rangle Used in section 22.
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