(Downloaded from https://cs.stanford.edu/~knuth/programs.html and typeset on September 17, 2017)

1. Intro. This program is part of a series of "exact cover solvers" that I'm putting together for my own education as I prepare to write Section 7.2.2.1 of *The Art of Computer Programming*. My intent is to have a variety of compatible programs on which I can run experiments, in order to learn how different approaches work in practice.

Instead of actually solving an exact cover problem, DLX-PRE is a *preprocessor*: It converts the problem on *stdin* to an equivalent problem on *stdout*, removing any rows or columns that it finds to be unnecessary.

Here's a description of the input (and output) format, copied from DLX1: We're given a matrix of 0s and 1s, some of whose columns are called "primary" while the other columns are "secondary." Every row contains a 1 in at least one primary column. The problem is to find all subsets of its rows whose sum is (i) exactly 1 in all primary columns; (ii) at most 1 in all secondary columns.

This matrix, which is typically very sparse, is specified on stdin as follows:

- Each column has a symbolic name, from one to eight characters long. Each of those characters can be any nonblank ASCII code except for ':' and '|'.
- The first line of input contains the names of all primary columns, separated by one or more spaces, followed by '|', followed by the names of all other columns. (If all columns are primary, the '|' may be omitted.)
- The remaining lines represent the rows, by listing the columns where 1 appears.
- Additionally, "comment" lines can be interspersed anywhere in the input. Such lines, which begin with '1', are ignored by this program, but they are often useful within stored files.

Later versions of this program solve more general problems by making further use of the reserved characters ':' and '|' to allow additional kinds of input.

For example, if we consider the matrix

$$\begin{pmatrix} 0 & 0 & 1 & 0 & 1 & 1 & 0 \\ 1 & 0 & 0 & 1 & 0 & 0 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 & 0 & 1 \end{pmatrix}$$

which was (3) in my original paper, we can name the columns A, B, C, D, E, F, G. Suppose the first five are primary, and the latter two are secondary. That matrix can be represented by the lines

```
| A simple example
A B C D E | F G
C E F
A D G
B C F
A D
B G
D E G
```

(and also in many other ways, because column names can be given in any order, and so can the individual rows). It has a unique solution, consisting of the three rows A D and E F C and B G.

DLX-PRE will simplify this drastically. First it will observe that every row containing A also contains D; hence column D can be removed from the matrix, as can the row D E G. Similarly we can removed column F; then column C and row B C. Now we can remove G and row A G. The result is a trivial problem, with three primary columns A, B, E, and three singleton rows A, B, E.

2. Furthermore, DLX2 extends DLX1 by allowing "color controls." Any row that specifies a "color" in a nonprimary column will rule out all rows that don't specify the same color in that column. But any number of rows whose nonprimary columns agree in color are allowed. (The previous situation was the special case in which every row corresponds to a distinct color.)

The input format is extended so that, if xx is the name of a nonprimary column, rows can contain entries of the form xx:a, where a is a single character (denoting a color).

Here, for example, is a simple test case:

```
| A simple example of color controls
A B C | X Y
A B X:0 Y:0
A C X:1 Y:1
X:0 Y:1
B X:1
C Y:1
```

The row X:0 Y:1 will be deleted immediately, because it has no primary columns. The preprocessor will delete row A B X:0 Y:0, because that row can't be used without making column C uncoverable. Then column C can be eliminated, and row C Y:1.

3. These examples show that the simplified output may be drastically different from the original. It will have the same number of solutions; but by looking only at the simplified rows in those solutions, you may have no idea how to actually resolve the original problem! (Unless you work backward from the simplifications that were actually performed.)

The preprocessor for my SAT solvers had a counterpart called 'ERP', which converted solutions of the preprocessed problems into solutions of the original problems. DLX-PRE doesn't have that. But if you use the <code>show_orig_nos</code> option below, for example by saying 'v9' when running DLX-PRE, you can figure out which rows of the original are solutions. The sets of rows that solve the simplified problem are the sets of rows that solve the original problem; the numbers given as comments by <code>show_orig_nos</code> provide the mapping between solutions.

For example, the simplified output from the first problem, using 'v9', is:

```
A B C |
A | (from 4)
B | (from 5)
C | (from 1)
```

And from the second problem it is similar, but not quite as simple:

```
A B | X Y
A X:1 Y:1
| (from 2)
B X:1
| (from 3)
```

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4. Most of the code below, like the description above, has been cribbed from DLX2, with minor changes. After this program does its work, it reports its running time in "mems"; one "mem" essentially means a memory access to a 64-bit word. (The given totals don't include the time or space needed to parse the input or to format the output.)

Here is the overall structure:

```
/* count one mem */
#define o mems ++
#define oo mems += 2 /* count two mems */
#define ooo mems += 3
                                   /* count three mems */
#define O "%"
                         /* used for percent signs in format strings */
                         /* used for percent signs denoting remainder in C */
#define mod %
                                 /* at most this many rows in a solution */
#define max_level 500
#define max\_cols 100000
                                   /* at most this many columns */
#define max_nodes 25000000 /* at most this many nonzero elements in the matrix */
#define bufsize (9 * max\_cols + 3) /* a buffer big enough to hold all column names */
#include <stdio.h>
#include <stdlib.h>
#include <string.h>
#include <ctype.h>
#include "gb_flip.h"
  typedef unsigned int uint;
                                        /* a convenient abbreviation */
  typedef unsigned long long ullng;
                                                /* ditto */
  \langle \text{Type definitions } 7 \rangle;
   \langle \text{Global variables 5} \rangle;
  \langle \text{Subroutines } 11 \rangle;
  main(\mathbf{int} \ argc, \mathbf{char} * argv[])
     \textbf{register int}\ c,\ cc,\ dd,\ i,\ j,\ k,\ p,\ pp,\ q,\ qq,\ r,\ rr,\ rrr,\ t,\ uu,\ x,\ cur\_node,\ best\_col;
     \langle \text{Process the command line 6} \rangle;
     \langle \text{Input the column names } 16 \rangle;
     \langle \text{Input the rows } 19 \rangle;
     if (vbose & show_basics) (Report the successful completion of the input phase 23);
     if (vbose \& show\_tots) (Report the column totals 24);
     imems = mems, mems = 0;
     \langle Reduce the problem 29\rangle;
  finish: \langle \text{Output the reduced problem } 42 \rangle;
  done: if (vbose \& show\_tots) \land Report the column totals 24);
  all_done: if (vbose & show_basics) {
       fprintf (stderr,
            "Removed_{\sqcup}" O" d_{\sqcup}row" O" s_{\sqcup}and_{\sqcup}" O" d_{\sqcup}column" O" s_{\sqcup}after_{\sqcup}" O" 11u+" O" 11u_{\sqcup}mems. n",
            rows\_out, rows\_out \equiv 1 ? "": "s", cols\_out, cols\_out \equiv 1 ? "": "s", imems, mems);
  }
```

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5. You can control the amount of output, as well as certain properties of the algorithm, by specifying options on the command line:

- 'v(integer)' enables or disables various kinds of verbose output on stderr, given by binary codes such as show_choices;
- 'd(integer)' to sets *delta*, which causes periodic state reports on *stderr* after the algorithm has performed approximately *delta* mems since the previous report;
- 't' positive integer' 'to specify the maximum number of rounds of row elimination that will be attempted.
- 'T(integer)' sets timeout (which causes abrupt termination if mems > timeout at the beginning of a clause, but doesn't ruin the integrity of the output).

```
/* vbose code for basic stats; this is the default */
#define show_basics 1
#define show_choices 2
                              /* vbose code for general logging */
                              /* vbose code for further commentary */
#define show_details 4
#define show_orig_nos 8
                               /* vbose code to identify sources of output rows */
#define show_tots 512
                             /* vbose code for reporting column totals at start and end */
                                   /* vbose code for reporting rows without primaries */
#define show_warnings 1024
\langle \text{Global variables 5} \rangle \equiv
  int vbose = show\_basics;
                               /* level of verbosity */
  char buf [bufsize];
                        /* input buffer */
  ullng rows;
                  /* rows seen so far */
  ullng imems, mems;
                            /* mem counts */
  ullng thresh = 0:
                        /* report when mems exceeds this, if delta \neq 0 */
                       /* report every delta or so mems */
  ullng delta = 0;
  ullng timeout = #1fffffffffffffff;
                                           /* give up after this many mems */
                               /* maximum number of rounds attempted */
  int rounds = max\_nodes;
                             /* this many reductions made so far */
  int rows_out, cols_out;
See also sections 9 and 30.
This code is used in section 4.
```

6. If an option appears more than once on the command line, the first appearance takes precedence.

This code is used in section 4.

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7. **Data structures.** Each column of the input matrix is represented by a **column** struct, and each row is represented as a list of **node** structs. There's one node for each nonzero entry in the matrix.

More precisely, the nodes of individual rows appear sequentially, with "spacer" nodes between them. The nodes are also linked circularly within each column, in doubly linked lists. The column lists each include a header node, but the row lists do not. Column header nodes are aligned with a **column** struct, which contains further info about the column.

Each node contains four important fields. Two are the pointers up and down of doubly linked lists, already mentioned. A third points directly to the column containing the node. And the last specifies a color, or zero if no color is specified.

A "pointer" is an array index, not a C reference (because the latter would occupy 64 bits and waste cache space). The cl array is for **column** structs, and the nd array is for **nodes**. I assume that both of those arrays are small enough to be allocated statically. (Modifications of this program could do dynamic allocation if needed.) The header node corresponding to cl[c] is nd[c].

Notice that each **node** occupies two octabytes. We count one mem for a simultaneous access to the up and down fields, or for a simultaneous access to the col and color fields.

This program doesn't change the col fields after they've first been set up, except temporarily. But the up and down fields will be changed frequently, although preserving relative order.

Exception: In the node nd[c] that is the header for the list of column c, we use the col field to hold the length of that list (excluding the header node itself). We also might use its color field for special purposes. The alternative names len for col and aux for color are used in the code so that this nonstandard semantics will be more clear.

A spacer node has $col \leq 0$. Its up field points to the start of the preceding row; its down field points to the end of the following row. Thus it's easy to traverse a row circularly, in either direction.

```
#define len
                         /* column list length (used in header nodes only) */
#define aux
                 color
                           /* an auxiliary quantity (used in header nodes only) */
\langle \text{ Type definitions } 7 \rangle \equiv
  typedef struct node_struct {
                         /* predecessor and successor in column */
    int up, down;
    int col:
                  /* the column containing this node */
                    /* the color specified by this node, if any */
    int color:
  } node;
See also section 8.
This code is used in section 4.
```

8. Each **column** struct contains three fields: The *name* is the user-specified identifier; *next* and *prev* point to adjacent columns, when this column is part of a doubly linked list.

We count one mem for a simultaneous access to the *prev* and *next* fields.

 $\langle \text{Type definitions } 7 \rangle + \equiv$

```
typedef struct col_struct {
                        /* symbolic identification of the column, for printing */
    char name[8];
    int prev, next;
                         /* neighbors of this column */
  } column;
9. \langle \text{Global variables 5} \rangle + \equiv
  node nd[max\_nodes];
                             /* the master list of nodes */
                     /* the first node in nd that's not yet used */
  int last_node;
                                 /* the master list of columns */
  column cl[max\_cols + 2];
                               /* boundary between primary and secondary columns */
  int \ second = max\_cols;
  int last_col;
                   /* the first column in cl that's not yet used */
```

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10. One **column** struct is called the root. It serves as the head of the list of columns that need to be covered, and is identifiable by the fact that its *name* is empty.

```
#define root 0 /* cl[root] is the gateway to the unsettled columns */
```

11. A row is identified not by name but by the names of the columns it contains. Here is a routine that prints a row, given a pointer to any of its nodes. It also prints the position of the row in its column.

This procedure differs slightly from its counterpart in DLX2: It uses 'while' where DLX2 had 'if'. The reason is that DLX-PRE sometimes deletes nodes, replacing them by spacers.

```
\langle \text{Subroutines } 11 \rangle \equiv
   void print_row(int p, FILE *stream)
     register int k, q;
     if (p < last\_col \lor p \ge last\_node \lor nd[p].col \le 0) {
        fprintf(stderr, "Illegal_row_"O"d!\n", p);
        return;
     for (q = p; ; ) {
        fprintf(stream, ""O".8s", cl[nd[q].col].name);
        if (nd[q].color) fprintf (stream, ":"O"c", nd[q].color > 0 ? nd[q].color : nd[nd[q].col].color);
        while (nd[q].col \leq 0) q = nd[q].up;
        if (q \equiv p) break;
     for (q = nd[nd[p].col].down, k = 1; q \neq p; k++) {
        if (q \equiv nd[p].col) {
           fprintf(stream, "\(\)(?)\\\n"); return; /* row not in its column! */
        } else q = nd[q].down;
     \textit{fprintf} (\textit{stream}, \texttt{"} \sqcup (\texttt{"}O \texttt{"d} \sqcup \texttt{of} \sqcup \texttt{"}O \texttt{"d}) \\ \texttt{\ n"}, k, nd [nd [p].col].len);
   void prow(\mathbf{int} \ p)
     print\_row(p, stderr);
See also sections 12, 13, 14, 27, and 28.
This code is used in section 4.
```

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12. Another routine to print rows is used for diagnostics. It returns the original number of the row, and displays the not-yet-deleted columns in their original order. That original number (or rather its negative) appears in the spacer at the right of the row.

```
\langle Subroutines 11\rangle + \equiv
      int dprow(int p, FILE *stream)
             register int q, c;
             for (p--; nd[p].col > 0 \lor nd[p].down < p; p--);
             for (q = p + 1; ; q ++) {
                   c = nd[q].col;
                   if (c < 0) return -c;
                   if (c > 0) {
                          fprintf(stream, " \cup "O".8s", cl[c].name);
                          if (nd[q].color) fprintf(stream, ":"O"c", nd[q].color);
             }
      }
13. When I'm debugging, I might want to look at one of the current column lists.
\langle Subroutines 11\rangle + \equiv
       void print_col(int c)
             register int p;
             if (c < root \lor c \ge last\_col) {
                   fprintf(stderr, "Illegal_column_"O"d!\n", c);
                   return;
             if (c < second)
                   fprintf(stderr, "Column_{\sqcup}"O".8s, _ length_{\sqcup}"O"d, _ lneighbors_{\sqcup}"O".8s_ land_{\sqcup}"O".8s: \\ ", _ lneighbors_{\sqcup}"O".8s_ land_{\sqcup}"O".8s_ lneighbors_{\sqcup}"O".8s_ lneighbors_{\sqcup}
                                  cl[c].name, nd[c].len, cl[cl[c].prev].name, cl[cl[c].next].name);
             else fprintf(stderr, "Column_{\square}"O".8s, \_length_{\square}"O"d: \n", cl[c].name, nd[c].len);
             for (p = nd[c].down; p \ge last\_col; p = nd[p].down) prow(p);
14. Speaking of debugging, here's a routine to check if redundant parts of our data structure have gone
awry.
#define sanity_checking 1
                                                                                                    /* set this to 1 if you suspect a bug */
\langle \text{Subroutines } 11 \rangle + \equiv
       void sanity(void)
             register int k, p, q, pp, qq, t;
             for (q = root, p = cl[q].next; ; q = p, p = cl[p].next) {
                   if (cl[p].prev \neq q) fprintf(stderr, "Bad prev field at col "O".8s! n", <math>cl[p].name);
                   if (p \equiv root) break;
                    \langle \text{Check column } p \text{ 15} \rangle;
       }
```

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```
15. \langle Check column p 15\rangle \equiv for (qq = p, pp = nd[qq].down, k = 0; ; qq = pp, pp = nd[pp].down, k++) { if (nd[pp].up \neq qq) fprintf(stderr, "Bad_up_field_at_node_"O"d!\n", pp); if (pp \equiv p) break; if (nd[pp].col \neq p) fprintf(stderr, "Bad_col_field_at_node_"O"d!\n", pp); } if (nd[p].len \neq k) fprintf(stderr, "Bad_len_field_in_column_"O".8s!\n", cl[p].name); This code is used in section 14.
```

16. Inputting the matrix. Brute force is the rule in this part of the code, whose goal is to parse and store the input data and to check its validity.

```
#define panic(m)
          { fprintf(stderr, ""O"s!\n"O"d:_{\!\!\!\perp}"O".99s\n", m, p, buf); exit(-666); }
\langle \text{Input the column names } 16 \rangle \equiv
  if (max\_nodes < 2 * max\_cols) {
     fprintf(stderr, "Recompile_lme:_lmax_nodes_lmust_lexceed_ltwice_lmax_cols!\n");
     exit(-999):
        /* every column will want a header node and at least one other node */
  while (1) {
     if (\neg fgets(buf, bufsize, stdin)) break;
     if (o, buf[p = strlen(buf) - 1] \neq `\n') panic("Input_line_way_too_long");
     for (p = 0; o, isspace(buf[p]); p \leftrightarrow);
     if (buf[p] \equiv ' \mid ' \vee \neg buf[p]) continue;
                                                      /* bypass comment or blank line */
     last\_col = 1;
     break;
  if (\neg last\_col) panic("No\_columns");
  for (; o, buf[p];) {
     for (j = 0; j < 8 \land (o, \neg isspace(buf[p + j])); j \leftrightarrow)  {
       if (buf[p+j] \equiv ":" \lor buf[p+j] \equiv "|") panic("Illegal_character_in_column_name");
       o, cl[last\_col].name[j] = buf[p+j];
     if (j \equiv 8 \land \neg isspace(buf[p+j])) \ panic("Column_name_too_long");
     (Check for duplicate column name 17);
     \langle \text{Initialize } last\_col \text{ to a new column with an empty list } 18 \rangle;
     for (p += j + 1; o, isspace(buf[p]); p++);
     if (buf[p] \equiv '|') {
       if (second \neq max\_cols) panic("Column\_name\_line\_contains\_|_\text{\text{\text{\text{twice}}"}});
        second = last\_col;
       \mathbf{for}\ (p +\!\!\!+\!\!\!+;\ o, is space(\mathit{buf}[p]);\ p +\!\!\!+\!\!\!+)\ ;
     }
  if (second \equiv max\_cols) second = last\_col;
  o, cl[root].prev = second - 1;
                                        /* cl[second - 1].next = root since root = 0 */
                              /* reserve all the header nodes and the first spacer */
  last\_node = last\_col;
  o, nd[last\_node].col = 0;
This code is used in section 4.
17. \langle Check for duplicate column name 17\rangle \equiv
  for (k = 1; o, strncmp(cl[k].name, cl[last\_col].name, 8); k++);
  if (k < last\_col) \ panic("Duplicate\_column\_name");
This code is used in section 16.
     (Initialize last\_col to a new column with an empty list 18) \equiv
  if (last\_col > max\_cols) panic("Too_lmany_lcolumns");
  if (second \equiv max\_cols) oo, cl[last\_col - 1].next = last\_col, cl[last\_col].prev = last\_col - 1;
  else o, cl[last\_col].next = cl[last\_col].prev = last\_col;
                                                                  /* nd[last\_col].len = 0 */
  o, nd[last\_col].up = nd[last\_col].down = last\_col;
  last\_col++;
This code is used in section 16.
```

DLX-PRE §19

10

19. In DLX1 and its descendants, I put the row number into the spacer that follows it, but only because I thought it might be a possible debugging aid. Now, in DLX-PRE, I'm glad I did, because we need this number when the user wants to relate the simplified output to the original unsimplified rows.

```
\langle \text{Input the rows } 19 \rangle \equiv
  while (1) {
     if (\neg fgets(buf, bufsize, stdin)) break;
     if (o, buf[p = strlen(buf) - 1] \neq `\n') panic("Row_line_too_long");
     for (p = 0; o, isspace(buf[p]); p \leftrightarrow);
     if (buf[p] \equiv ', |', \vee \neg buf[p]) continue;
                                                    /* bypass comment or blank line */
                        /* remember the spacer at the left of this row */
     i = last\_node;
     for (pp = 0; buf[p];) {
       for (j = 0; j < 8 \land (o, \neg isspace(buf[p+j])) \land buf[p+j] \neq ":"; j++)
          o, cl[last\_col].name[j] = buf[p+j];
       if (\neg j) panic("Empty_column_name");
       if (j \equiv 8 \land \neg isspace(buf[p+j]) \land buf[p+j] \neq ':') panic("Column_name_itoo_ilong");
       if (j < 8) o, cl[last\_col].name[j] = '\0';
       \langle Create a node for the column named in buf[p] 20\rangle;
       if (buf[p+j] \neq ":") o, nd[last\_node].color = 0;
       else if (k \ge second) {
         if ((o, isspace(buf[p+j+1])) \lor (o, \neg isspace(buf[p+j+2])))
            panic("Color, must, be, a, single, character");
         o, nd[last\_node].color = buf[p + j + 1];
         p += 2;
       } else panic("Primary column must be uncolored");
       for (p += j + 1; o, isspace(buf[p]); p++);
    if (\neg pp) {
       if (vbose & show_warnings) fprintf(stderr, "Row_jignored_(no_primary_columns): "O"s", buf);
       while (last\_node > i) {
          \langle \text{Remove } last\_node \text{ from its column } 22 \rangle;
          last\_node --;
       }
     } else {
       o, nd[i].down = last\_node;
       last\_node ++;
                         /* create the next spacer */
       if (last\_node \equiv max\_nodes) panic("Too_lmany_nodes");
       rows ++;
       o, nd[last\_node].up = i + 1;
       o, nd[last\_node].col = -rows;
```

This code is used in section 4.

```
20. \langle \text{Create a node for the column named in } buf[p] \ 20 \rangle \equiv  for (k=0; \ o, strncmp(cl[k].name, cl[last\_col].name, 8); \ k++) \ ; if (k\equiv last\_col) \ panic("Unknown\_column\_name"); if (o, nd[k].aux \geq i) \ panic("Duplicate\_column\_name\_in\_this\_row"); \ last\_node ++; if (last\_node \equiv max\_nodes) \ panic("Too\_many\_nodes"); \ o, nd[last\_node].col = k; if (k < second) \ pp = 1; \ o, t = nd[k].len + 1; \langle \text{Insert node } last\_node \ \text{into the list for column } k \ 21 \rangle; This code is used in section 19.
```

21. Insertion of a new node is simple. We store the position of the new node into nd[k].aux, so that the test for duplicate columns above will be correct.

```
⟨ Insert node last_node into the list for column k 21⟩ ≡ o, nd[k].len = t; /* store the new length of the list */ nd[k].aux = last\_node; /* no mem charge for aux after len */ o, r = nd[k].up; /* the "bottom" node of the column list */ ooo, nd[r].down = nd[k].up = last\_node, nd[last\_node].up = r, nd[last\_node].down = k; This code is used in section 20.
```

22. $\langle \text{Remove } last_node \text{ from its column } 22 \rangle \equiv o, k = nd [last_node].col; oo, nd [k].len ---, nd [k].aux = i - 1; o, q = nd [last_node].up, r = nd [last_node].down; oo, nd [q].down = r, nd [r].up = q;$

This code is used in section 19.

23. $\langle \text{Report the successful completion of the input phase 23} \rangle \equiv fprintf(stderr, "("O"lld_rows,_"O"d+"O"d_columns,_"O"d_entries_successfully_read)\n", rows, second - 1, last_col - second, last_node - last_col);$ This code is used in section 4.

24. The column lengths after input should agree with the column lengths after this program has finished—unless, of course, we've successfully simplified the input! I print them (on request), in order to provide some reassurance that the algorithm isn't badly screwed up.

```
 \langle \text{ Report the column totals } 24 \rangle \equiv \\ \{ \\ fprintf (stderr, "Column_{\sqcup} totals:"); \\ \textbf{for } (k=1; \ k < last\_col; \ k++) \ \{ \\ \\ \textbf{if } (k \equiv second) \ fprintf (stderr, "_{\sqcup}"O"d", nd[k].len); \\ \\ fprintf (stderr, "_{\square}"O"d", nd[k].len); \\ \} \\ fprintf (stderr, "_{n}"); \\ \} \\ \text{This code is used in section 4}.
```

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25. The dancing. Suppose p is a primary column, and c is an arbitrary column such that every row containing p also contains an uncolored instance of c. Then we can delete column c, and every row that contains c but not p. For we'll need to cover p, and then c will automatically be covered too.

More generally, if p is a primary column and r is a row such that $p \notin r$ but every row containing p is incompatible with r, then we can eliminate row r: That row can't be chosen without making p uncoverable.

This program exploits those two ideas, by systematically looking at all rows in the list for column c, as c runs through all columns.

This algorithm takes "polynomial time," but I don't claim that it is fast. I want to get a straightforward algorithm in place before trying to make it more complicated.

On the other hand, I've tried to use the most efficient and scalable methods that I could think of, consistent with that goal of relative simplicity. There's no point in having a preprocessor unless it works fast enough to speed up the total time of preprocessing plus processing.

26. The basic operation is "hiding a column." This means causing all of the rows in its list to be invisible from outside the column, except for the rows that color this column; they are (temporarily) deleted from all other lists.

As in DLX2, the neat part of this algorithm is the way the lists are maintained. No auxiliary tables are needed when hiding a column, or when unhiding it later. The nodes removed from doubly linked lists remember their former neighbors, because we do no garbage collection.

27. Hiding is much like DLX2's "covering" operation, but it has a new twist: If the process of hiding column c causes one or more other primary columns p, p', \ldots to become empty, we know that c can be eliminated (as mentioned above). Furthermore we know that we can delete every row that contains c but not all of p, p', etc. After those deletions, columns p, p', \ldots will become identical; therefore we can eliminate all but one of them. Therefore the *hide* procedure counts the number of such p, and puts them onto a stack for later processing. The stack is maintained via the aux fields in column headers.

```
\langle \text{Subroutines } 11 \rangle + \equiv
  int hide(int c)
  {
     register int cc, l, r, rr, nn, uu, dd, t, k = 0;
     for (o, rr = nd[c].down; rr \ge last\_col; o, rr = nd[rr].down)
       if (o, \neg nd[rr].color) {
          for (nn = rr + 1; nn \neq rr;) {
            o, uu = nd[nn].up, dd = nd[nn].down;
            o, cc = nd[nn].col;
            if (cc < 0) {
               nn = uu;
               continue;
             oo, nd[uu].down = dd, nd[dd].up = uu;
            o, t = nd[cc].len - 1;
            o, nd[cc].len = t;
            if (t \equiv 0 \land cc < second) k \leftrightarrow nd[cc].aux = stack, stack = cc;
     return k;
```

 $\S28$ DLX-PRE THE DANCING 13

28. Unhiding has yet another twist: We may want to remove rows and/or columns after this operation has occurred. So we do *not* restore primary columns whose *len* is zero, except for the primary column identified by *stack*.

```
\langle \text{Subroutines } 11 \rangle + \equiv
  void unhide(\mathbf{int}\ c)
     register int cc, l, r, rr, nn, uu, dd, t;
     for (o, rr = nd[c].down; rr \ge last\_col; o, rr = nd[rr].down)
        if (o, \neg nd[rr].color) {
          for (nn = rr + 1; nn \neq rr;) {
             o, uu = nd[nn].up, dd = nd[nn].down;
             o, cc = nd[nn].col;
             if (cc \leq 0) {
                nn = uu;
                continue;
             o, t = nd[cc].len;
             if (t \lor cc \ge second \lor (o, cc \equiv stack)) {
                oo, nd[uu].down = nd[dd].up = nn;
                o, nd[cc].len = t + 1;
             }
             nn ++;
        }
  }
29. Here then is the main loop for each round of preprocessing.
\langle \text{ Reduce the problem } 29 \rangle \equiv
  for (c = 1; c < second; c++)
     if (o, nd[c].len \equiv 0) (Terminate with unfeasible column c \mid 31);
  for (rnd = 1; rnd \leq rounds; rnd ++) {
      \textbf{if} \ (\textit{vbose} \ \& \ \textit{show\_choices}) \ \textit{fprintf}(\textit{stderr}, \texttt{"Beginning} \_\texttt{round} \_\texttt{"}O\texttt{"d:} \\ \texttt{n"}, \textit{rnd}); \\
     for (change = 0, c = 1; c < last\_col; c++)
        if (o, nd[c].len) (Try to reduce rows in column c's list 32);
     if (\neg change) break;
This code is used in section 4.
30. \langle \text{Global variables } 5 \rangle + \equiv
                  /* the current round */
  int rnd;
  int stack;
                    /* top of stack of columns that are hard to cover */
                      /* have we removed anything on the current round? */
  int change;
                    /* the number of zeros found by hide */
  int zeros;
```

14 THE DANCING DLX-PRE §31

31. We might find a primary column that appears in no rows. In such a case *all* of the rows can be deleted, and all of the other columns!

```
 \left< \text{Terminate with unfeasible column } c \text{ 31} \right> \equiv \left\{ \\ \text{if } (\textit{vbose \& show\_details}) \ \textit{fprintf} (\textit{stderr}, "Primary\_column\_"O".8s\_is\_in\_no\_rows! \n", \textit{cl}[c].name); \\ \textit{rows\_out} = \textit{rows}; \\ \textit{cols\_out} = \textit{last\_col} - 1; \\ \textit{printf} (""O".8s \n", \textit{cl}[c].name); \\ \text{goto } \textit{all\_done}; \\ \right\}  This code is used in sections 29 and 33.
```

32. In order to avoid testing a row repeatedly, we usually try to remove it only when c is its first element as stored in memory.

```
\langle \text{Try to reduce rows in column } c's list 32 \rangle \equiv
     if (sanity_checking) sanity();
     if (delta \land (mems \ge thresh)) {
       thresh += delta;
       fprintf(stderr, "\_after\_"O"lld\_mems:\_"O"d."O"d,\_"O"d\_cols\_out,\_"O"d\_rows\_out\n",
            mems, rnd, c, cols\_out, rows\_out);
     if (mems \ge timeout) goto finish;
     stack = 0, zeros = hide(c);
    if (zeros) (Remove zeros columns, and maybe some rows 33)
     else {
       for (o, r = nd[c].down; r \ge last\_col; o, r = nd[r].down) {
         for (q = r - 1; o, nd[q].down \equiv q - 1; q - 1); /* bypass null spacers */
         if (o, nd[q].col \le 0) /* r is the first (surviving) node in its row */
            \langle Mark row r for deletion if it leaves some primary column uncoverable 37 \rangle;
       }
       unhide(c);
       for (r = stack; r; r = rr) {
          oo, rr = nd[r].col, nd[r].col = c;
         \langle Actually delete row r 41\rangle;
       }
    }
```

This code is used in section 29.

 $\S 33$ DLX-PRE THE DANCING 15

```
\langle \text{Remove } zeros \text{ columns, and maybe some rows } 33 \rangle \equiv
33.
  {
     unhide(c);
     if (vbose & show_details)
       fprintf(stderr, "Deleting \ column \ "O".8s, \ which \ blocks \ "O".8s, \ "cl[c].name, \ cl[stack].name);
     for (o, p = nd[stack].aux; p; o, p = nd[p].aux) {
       if (vbose & show_details)
          fprintf(stderr, "Column_{\sqcup}"O".8s_{\sqcup}equals_{\sqcup}column_{\sqcup}"O".8s_{\sqcap}", cl[p].name, cl[stack].name);
        cols\_out++;
     for (change = 2, o, r = nd[c].down; r \ge last\_col; r = rrr) {
       o, rrr = nd[r].down;
        \langle \text{ Delete or shorten row } r \mid 34 \rangle;
     if (change \neq 1) {
       c = stack;
                        /* all of c's rows have been deleted */
        \langle Terminate with unfeasible column c 31\rangle;
     o, nd[c].up = nd[c].down = c;
     o, nd[c].len = 0, cols\_out ++;
                                           /* now column c is gone */
This code is used in section 32.
34. We're in the driver's seat here: If row r includes stack and the zeros - 1 columns that are going away,
we keep it, but remove the redundant columns. Otherwise we delete it.
\langle \text{ Delete or shorten row } r \mid 34 \rangle \equiv
     for (o, t = zeros, q = r + 1; q \neq r;) {
       o, cc = nd[q].col;
       if (cc \leq 0) {
          o, q = nd[q].up;
          continue:
        if (cc \geq second) {
          q ++; continue;
       if ((o, cc \equiv stack) \lor (o, \neg nd[cc].len)) {
          if (\neg t) break;
       q++;
     if (q \neq r) (Shorten and retain row r = 35)
     else \langle \text{ Delete row } r \mid 36 \rangle;
This code is used in section 33.
```

16 THE DANCING DLX-PRE $\S 35$

```
\langle Shorten and retain row r 35\rangle \equiv
35.
     change = 1;
    if (vbose & show_details) {
       fprintf(stderr, "\u00edshortening");
       t = dprow(r, stderr), fprintf(stderr, "u(rowu"O"d)\n", t);
                                                /* make node r into a spacer */
    o, nd[r].up = r + 1, nd[r].down = r - 1;
     o, nd[r].col = 0;
     for (o, t = zeros - 1, q = r - 1; t;) {
       o, cc = nd[q].col;
       if (cc \leq 0) {
         o, q = nd[q].down;
         continue;
       if (cc \ge second) {
         q--;
         continue;
       if (o, \neg nd[cc].len) {
         o, nd[q].up = q + 1, nd[q].down = q - 1;
         o, nd[q].col = 0;
         t--;
       q--;
```

This code is used in section 34.

 $\S 36$ DLX-PRE THE DANCING 17

36. Here we must remember that some nodes of row r might already be hidden, because of the (foolish?) exception to the rules that I made when writing unhide.

```
\langle \text{ Delete row } r \text{ 36} \rangle \equiv
     if (vbose & show_details) {
       fprintf(stderr, "\_deleting");
       t = dprow(r, stderr), fprintf(stderr, "_{\sqcup}(row_{\sqcup}"O"d) \setminus n", t);
     }
     rows\_out ++;
     for (o, q = r + 1; q \neq r;) {
       o, cc = nd[q].col;
       if (cc \le 0) {
          o,q=nd\,[q].up\,;
          continue;
       o, t = nd[cc].len - 1;
       if (t \ge 0) {
          o, nd[cc].len = t;
          o, uu = nd[q].up, dd = nd[q].down;
          oo, nd[uu].down = dd, nd[dd].up = uu;
       q++;
     }
  }
```

This code is used in section 34.

18 THE DANCING DLX-PRE §37

37. At this point we've hidden column c. Now we will hide also the other columns in row r; we'll delete r if this leaves some other primary column uncoverable. (As soon as such a column is encountered, we put it in pp and immediately back up.)

But before doing that test, we stamp the aux field of every non-c column of r with the number r. Then we'll know for sure whether or not we've blocked a column not in r.

When cc is a column in row r, with color x, the notion of "hiding column cc" means, more precisely, that we hide every row in cc's column list that clashes with row r. Row rr clashes with r if and only if either x = 0 or rr has cc with a color $\neq x$.

```
\langle Mark row r for deletion if it leaves some primary column uncoverable 37 \rangle \equiv
  {
     for (q = r + 1; ; )  {
       o, cc = nd[q].col;
       if (cc \leq 0) {
          o, q = nd[q].up;
          if (q > r) continue;
                      /* done with row */
          break:
       o, nd[cc].aux = r, q++;
     for (pp = 0, q = r + 1; ; )  {
       o, cc = nd[q].col;
       if (cc \leq 0) {
          o, q = nd[q].up;
          if (q > r) continue;
                       /* done with row */
       for (x = nd[q].color, o, p = nd[cc].down; p \ge last\_col; o, p = nd[p].down) {
          if (x > 0 \land (o, nd[p].color \equiv x)) continue;
          \langle Hide the entries of row p, or goto backup 38\rangle;
       }
       q\!+\!\!+;
     }
  backup: for (q = r - 1; q \neq r;) {
       o, cc = nd[q].col;
       if (cc \leq 0) {
          o, q = nd[q].down;
          continue;
       for (x = nd[q].color, o, p = nd[cc].up; p \ge last\_col; o, p = nd[p].up) {
          if (x > 0 \land (o, nd[p].color \equiv x)) continue;
          \langle \text{Unhide the entries of row } p \text{ 39} \rangle;
       }
       q--;
     if (pp) \langle Mark the unnecessary row r 40\rangle;
```

This code is used in section 32.

§38 DLX-PRE

38. Long ago, in my paper "Structured programming with **go to** statements" [Computing Surveys **6** (December 1974), 261–301], I explained why it's sometimes legitimate to jump out of one loop into the midst of another. Now, after many years, I'm still jumping.

```
\langle Hide the entries of row p, or goto backup 38 \rangle \equiv
  for (qq = p + 1; qq \neq p;)
     o, cc = nd[qq].col;
    if (cc \le 0) {
       o, qq = nd[qq].up;
       continue;
     o, t = nd[cc].len - 1;
     if (\neg t \land cc < second \land nd[cc].aux \neq r) {
       pp = cc;
       \mathbf{goto}\ \mathit{midst};
                          /* with fingers crossed */
     o, nd[cc].len = t;
     o, uu = nd[qq].up, dd = nd[qq].down;
     oo, nd[uu].down = dd, nd[dd].up = uu;
     qq ++;
This code is used in section 37.
39. \langle Unhide the entries of row p 39\rangle \equiv
  for (qq = p - 1; qq \neq p;)
     o, cc = nd[qq].col;
     if (cc \leq 0) {
       o, qq = nd[qq].down;
       continue;
     oo, nd[cc].len ++;
     o, uu = nd[qq].up, dd = nd[qq].down;
     oo, nd[uu].down = nd[dd].up = qq;
  midst: qq --;
This code is used in section 37.
```

20 The dancing DLX-pre $\S40$

40. When I first wrote this program, I reasoned as follows: "Row r has been hidden. So if we remove it from list c, the operation unhide(c) will keep it hidden. (And that's precisely what we want.)"

Boy, was I wrong! This change to list c fouled up the *unhide* routine, because things were not properly restored/undone after the list no longer told us to undo them. (Undeleted rows are mixed with deleted ones.)

The remedy is to mark the row, for deletion *later*. The marked rows are linked together via their *col* fields, which will no longer be needed for their former purpose.

```
\langle Mark the unnecessary row r 40\rangle \equiv
     if (vbose & show_details) {
       fprintf(stderr, " \sqcup "O".8s \sqcup blocked \sqcup by", cl[pp].name);
       t = dprow(r, stderr), fprintf(stderr, "u(rowu"O"d)\n", t);
     rows\_out++, change = 1;
     o, nd[r].col = stack, stack = r;
This code is used in section 37.
41. \langle Actually delete row r 41\rangle \equiv
  for (p = r + 1; ; )  {
     o, cc = nd[p].col;
     if (cc \leq 0) {
       o, p = nd[p].up;
       continue;
     o, uu = nd[p].up, dd = nd[p].down;
     oo, nd[uu].down = dd, nd[dd].up = uu;
     oo, nd[cc].len --;
     if (p \equiv r) break;
     p++;
This code is used in section 32.
```

§42 DLX-PRE

```
21
```

```
The output phase. Okay, we're done!
\langle \text{Output the reduced problem } 42 \rangle \equiv
   \langle \text{Output the column names 43} \rangle;
   \langle \text{ Output the rows 44} \rangle;
This code is used in section 4.
43. \langle Output the column names 43 \rangle \equiv
  for (c = 1; c < last\_col; c++) {
     if (c \equiv second) printf("_{\sqcup}|");
     if (o, nd[c].len) printf("\square"O".8s", cl[c].name);
  printf("\n");
This code is used in section 42.
44. \langle Output the rows 44 \rangle \equiv
  for (c = 1; c < last\_col; c++)
     if(o, nd[c].len) {
        for (o, r = nd[c].down; r \ge last\_col; o, r = nd[r].down) {
          for (q = r - 1; o, nd[q].down \equiv q - 1; q - -);
                                         /* r was the leftmost survivor in its row */
          if (o, nd[q].col \leq 0) {
             t = dprow(r, stdout);
             printf("\n");
             if (vbose \& show\_orig\_nos) printf("|_(from_\"O"d)\n",t);
       }
     }
```

This code is used in section 42.

22 INDEX DLX-PRE $\S45$

45. Index.

 $all_done: \underline{4}, \underline{31}.$ name: 8, 10, 11, 12, 13, 14, 15, 16, 17, 19, 20, argc: 4, 6.31, 33, 40, 43. $argv: \underline{4}, \underline{6}.$ nd: 7, 9, 11, 12, 13, 15, 16, 18, 19, 20, 21, 22, aux: 7, 20, 21, 22, 27, 33, 37, 38. 24, 27, 28, 29, 32, 33, 34, 35, 36, 37, 38, 39, 40, 41, 43, 44. backup: 37. next: 8, 13, 14, 16, 18. $best_col:$ 4. nn: 27, 28.buf: 5, 16, 19. node: $\frac{7}{9}$. bufsize: $\underline{4}$, 5, 16, 19. c: $\underline{4}$, $\underline{12}$, $\underline{13}$, $\underline{27}$, $\underline{28}$. $node_struct: 7.$ $O: \underline{4}.$ *cc*: <u>4</u>, <u>27</u>, <u>28</u>, 34, 35, 36, 37, 38, 39, 41. $o: \underline{4}.$ change: 29, 30, 33, 35, 40. oo: 4, 18, 22, 27, 28, 32, 36, 38, 39, 41. cl: 7, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, *ooo*: 4, 21. 20, 31, 33, 40, 43. p: 4, 11, 12, 13, 14. col: 7, 11, 12, 15, 16, 19, 20, 22, 27, 28, 32, 34, panic: <u>16</u>, 17, 18, 19, 20. 35, 36, 37, 38, 39, 40, 41, 44. $pp: \underline{4}, \underline{14}, 15, 19, 20, 37, 38, 40.$ col_struct: 8. $prev: \underline{8}, 13, 14, 16, 18.$ color: 7, 11, 12, 19, 27, 28, 37. $print_col: \underline{13}.$ $cols_out$: 4, $\underline{5}$, 31, 32, 33. $print_row$: <u>11</u>. column: 8, 9, 10. printf: 31, 43, 44. cur_node : $\underline{4}$. prow: 11, 13. $dd: \underline{4}, \underline{27}, \underline{28}, 36, 38, 39, 41.$ $q: \underline{4}, \underline{11}, \underline{12}, \underline{14}.$ delta: 5, 6, 32. $qq: \underline{4}, \underline{14}, 15, 38, 39.$ $done: \underline{4}.$ $r: \ \underline{4}, \ \underline{27}, \ \underline{28}.$ down: 7, 11, 12, 13, 15, 18, 19, 21, 22, 27, 28, 32, rnd: 29, 30, 32.33, 35, 36, 37, 38, 39, 41, 44. root: 10, 13, 14, 16.dprow: 12, 35, 36, 40, 44. rounds: 5, 6, 29. *exit*: 6, 16. rows: 5, 19, 23, 31. fgets: 16, 19. $rows_out$: 4, $\underline{5}$, 31, 32, 36, 40. finish: $\underline{4}$, $\underline{32}$. $rr: \underline{4}, \underline{27}, \underline{28}, 32, 37.$ fprintf: 4, 6, 11, 12, 13, 14, 15, 16, 19, 23, 24, $rrr: \underline{4}, 33.$ 29, 31, 32, 33, 35, 36, 40. sanity: $\underline{14}$, $\underline{32}$. hide: 27, 30, 32. $sanity_checking: 14, 32.$ *i*: <u>4</u>. second: 9, 13, 16, 18, 19, 20, 23, 24, 27, 28, imems: $4, \underline{5}$. 29, 34, 35, 38, 43. *isspace*: 16, 19. $show_basics: 4, \underline{5}.$ j: 4. $show_choices: \underline{5}, \underline{29}.$ $k: \ \underline{4}, \ \underline{11}, \ \underline{14}, \ \underline{27}.$ show_details: 5, 31, 33, 35, 36, 40. $l: \ \underline{27}, \ \underline{28}.$ $show_orig_nos: 3, 5, 44.$ last_col: 9, 11, 13, 16, 17, 18, 19, 20, 23, 24, 27, $show_tots$: 4, 5. 28, 29, 31, 32, 33, 37, 43, 44. $show_warnings: \underline{5}, \underline{19}.$ last_node: 9, 11, 16, 19, 20, 21, 22, 23. sscanf: 6. len: 7, 11, 13, 15, 18, 20, 21, 22, 24, 27, 28, 29, stack: 27, 28, <u>30,</u> 32, 33, 34, 40. 33, 34, 35, 36, 38, 39, 41, 43, 44. stderr: 4, 5, 6, 11, 13, 14, 15, 16, 19, 23, 24, $main: \underline{4}.$ 29, 31, 32, 33, 35, 36, 40. $max_cols: \underline{4}, 9, 16, 18.$ stdin: 1, 16, 19. max_level : 4. stdout: 1, 44. $max_nodes: \underline{4}, 5, 9, 16, 19, 20.$ stream: $\underline{11}$, $\underline{12}$. mems: $4, \underline{5}, 32$. strlen: 16, 19. midst: 38, 39. strncmp: 17, 20. $t: \ \underline{4}, \ \underline{14}, \ \underline{27}, \ \underline{28}.$ $mod: \underline{4}.$

 $\S45$ DLX-PRE INDEX 23

24 NAMES OF THE SECTIONS DLX-PRE

```
\langle Actually delete row r 41\rangle Used in section 32.
\langle \text{Check column } p \mid 15 \rangle Used in section 14.
(Check for duplicate column name 17) Used in section 16.
 Create a node for the column named in buf[p] 20 \ Used in section 19.
 Delete or shorten row r 34 \ Used in section 33.
(Delete row r 36) Used in section 34.
 Global variables 5, 9, 30 Used in section 4.
(Hide the entries of row p, or goto backup 38) Used in section 37.
\langle \text{Initialize } last\_col \text{ to a new column with an empty list } 18 \rangle Used in section 16.
(Input the column names 16) Used in section 4.
\langle \text{Input the rows 19} \rangle Used in section 4.
(Insert node last\_node into the list for column k 21) Used in section 20.
\langle Mark row r for deletion if it leaves some primary column uncoverable 37\rangle Used in section 32.
\langle Mark the unnecessary row r 40\rangle Used in section 37.
Output the column names 43 Used in section 42.
 Output the reduced problem 42 Vsed in section 4.
Output the rows 44 Used in section 42.
(Process the command line 6) Used in section 4.
\langle \text{ Reduce the problem } 29 \rangle Used in section 4.
 Remove last\_node from its column 22 \ Used in section 19.
\langle \text{Remove } zeros \text{ columns, and maybe some rows } 33 \rangle Used in section 32.
 Report the column totals 24 Used in section 4.
 Report the successful completion of the input phase 23 \ Used in section 4.
Shorten and retain row r 35 \ Used in section 34.
(Subroutines 11, 12, 13, 14, 27, 28) Used in section 4.
Terminate with unfeasible column c 31 Used in sections 29 and 33.
 Try to reduce rows in column c's list 32 \ Used in section 29.
\langle \text{Type definitions 7, 8} \rangle Used in section 4.
\langle \text{Unhide the entries of row } p | 39 \rangle Used in section 37.
```

DLX-PRE

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