

(more)

# algorithmic analysis

asymptotics, runtime, etc.

slides

[bit.ly/abhi-disc](https://bit.ly/abhi-disc)

attendance

[bit.ly/abhi-attendance](https://bit.ly/abhi-attendance)

# announcements

1. HW 5 due 3/8 (tomorrow)
2. Lab 8 due 3/11 (friday)
3. Weekly survey due tomorrow!

# cost (review)

- **time complexity**
  - time it takes to run the program if we feed it a certain input
- **space complexity**
  - how much space does running the program take up on our computer?

# asymptotics (review)

- evaluate the performance of a program using math
- ignore all constants
- only care about values with reference to the input (denoted as having size 'N')

# bounds (review)

- **big O**: upper bound in terms of the input
  - assume conditional statements evaluate to the worst case
- **big  $\Omega$** : lower bound in terms of the input
  - assume conditional statements evaluate to the best case
- **big  $\Theta$** : the tightest bound, only exists when the upper and lower bounds converge

# useful sums (review)

$1 + 2 + 3 + \dots + N = \Theta(N^2)$  -> “arithmetic” sum

$1 + 2 + 4 + 8 + \dots + N = \Theta(N)$  -> “geometric” sum

$$2^0 + 2^1 + 2^2 + \dots + 2^{\log_2 N}$$

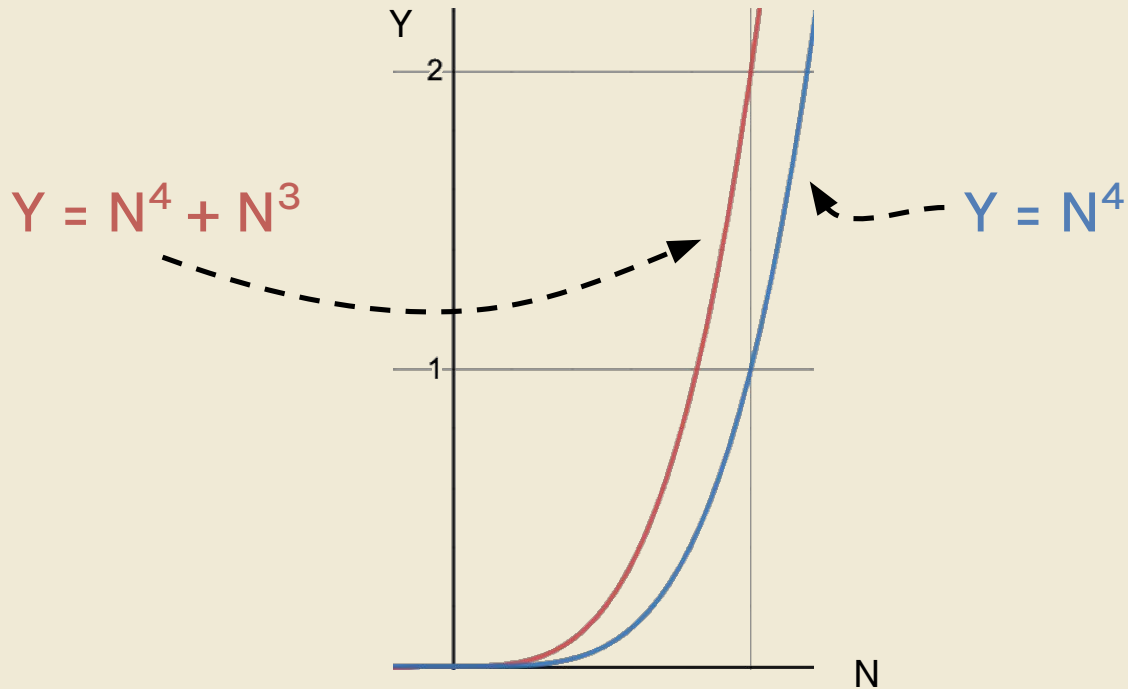
$\downarrow$   
 $\sim$

$$\Theta(N^2 + \log N) = \Theta(N^2)$$

$$\Theta(N^4 + N^3) = \Theta(N^4)$$

$$\Theta(2^N + N^{314159265359}) = \Theta(2^N)$$

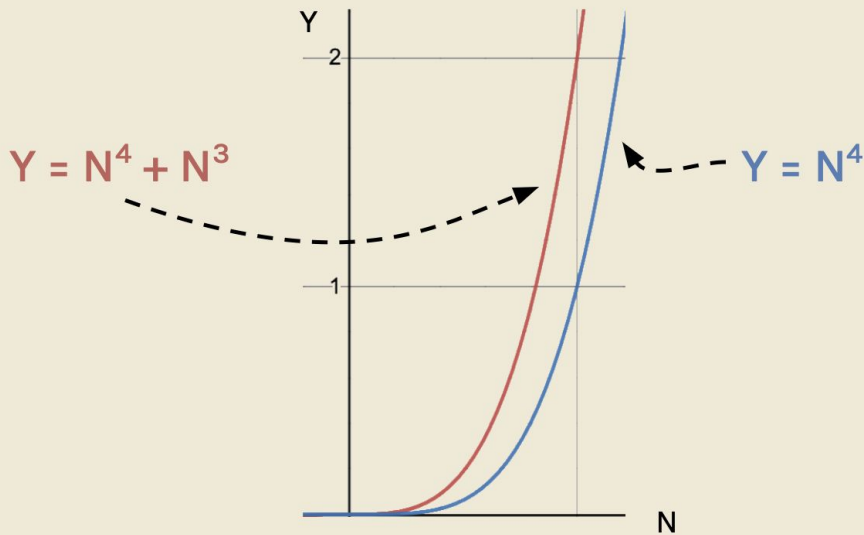
$$\Theta(N^4 + N^3) = \Theta(N^4)?$$





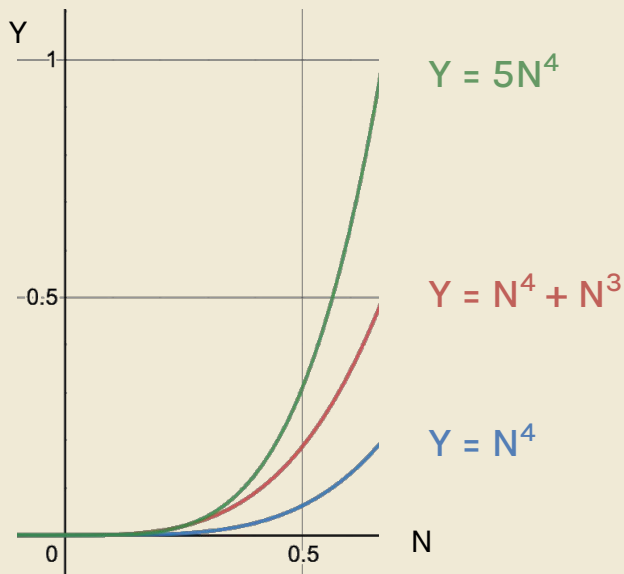
# $\Theta(N^4 + N^3) = \Theta(N^4)$ ? **how?**

- seems to me like  $y = N^4$  strictly  $<$   $y = N^4 + N^3$



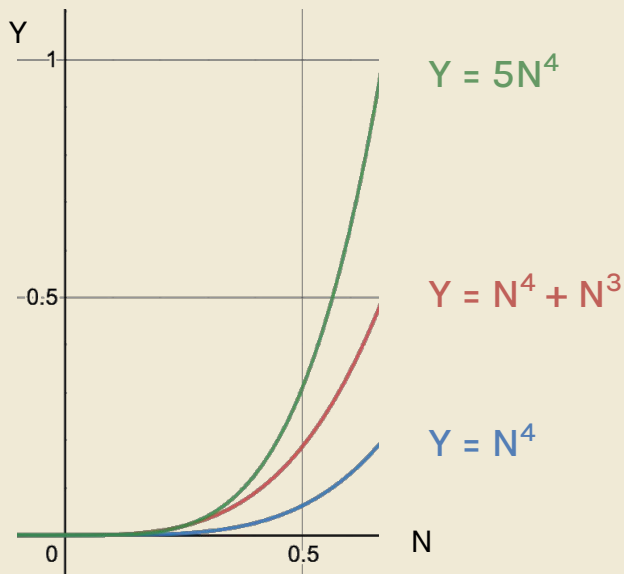
# $\Theta(N^4 + N^3) = \Theta(N^4)$ ? **how?**

- seems to me like  $y = N^4$  strictly  $<$   $y = N^4 + N^3$
- consider  $y = 5N^4$

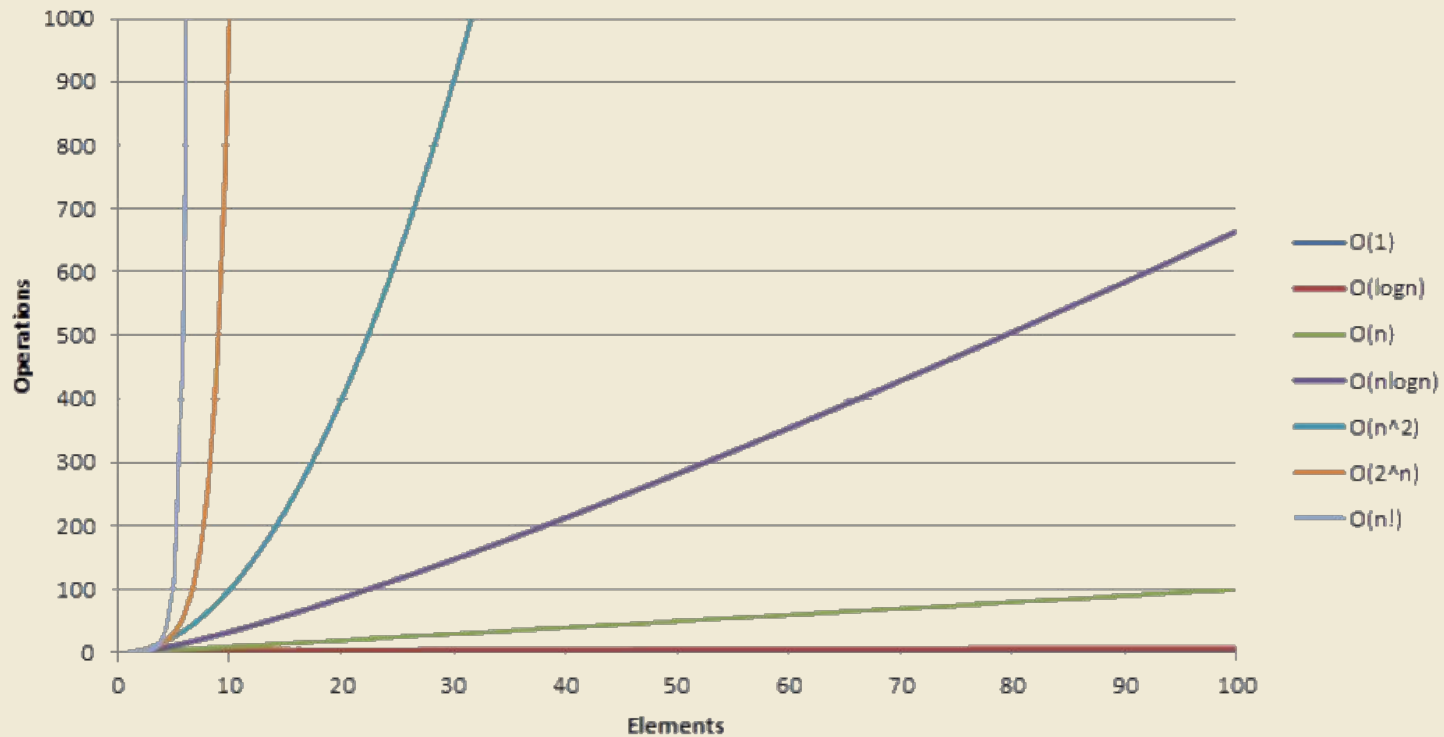


# $\Theta(N^4 + N^3) = \Theta(N^4)$ ? **how?**

- seems to me like  $y = N^4$  strictly  $< y = N^4 + N^3$
- consider  $y = 5N^4$
- $N^4 < N^4 + N^3 < 5N^4$



## Big-O Complexity

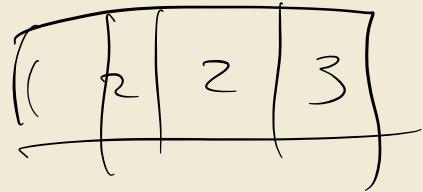


# analyzing a program

- choose an operation to count
- figure out the order of growth of the operation
  - exact counting OR
  - inspection

# analyzing a program - dup

```
private static boolean dup(int[] A) {  
    int N = A.length;  
    for (int i = 0; i < N; i++) {  
        for (int j = i + 1; j < N; j++) {  
            if (A[i] == A[j]) {  
                return true;  
            }  
        }  
    }  
    return false;  
}
```



# dup(int[] A) runtime

```
private static boolean dup(int[] A) {  
    int N = A.length;  
    for (int i = 0; i < N; i++) {  
        for (int j = i + 1; j < N; j++) {  
            if (A[i] == A[j]) {  
                return true;  
            }  
        }  
    }  
    return false;  
}
```

operation to count?

# dup(int[] A) runtime

```
private static boolean dup(int[] A) {  
    int N = A.length;  
    for (int i = 0; i < N; i++) {  
        for (int j = i + 1; j < N; j++) {  
            if (A[i] == A[j]) {  
                return true;  
            }  
        }  
    }  
    return false;  
}
```

operation to count





# dup(int[] A) runtime

```
private static boolean dup(int[] A) {  
    int N = A.length;  
    for (int i = 0; i < N; i++) {  
        for (int j = i + 1; j < N; j++) {  
            if (A[i] == A[j]) {  
                return true;  
            }  
        }  
    }  
    return false;  
}
```

N = 6

0		==	==	==	==	==
1			==	==	==	==
2				==	==	==
3					==	==
4						==
5						
	0	1	2	3	4	5

j

[inspired by josh hug 2019, lec 13](#)

# dup(...) exact runtime $N = 6$

$$C = (N - 1) + (N - 2) + \dots + 2 + 1$$

0		==	==	==	==	==
1			==	==	==	==
2				==	==	==
3					==	==
4						==
5						
	0	1	2	3	4	5

$j$

# dup(...) exact runtime $N = 6$

$$\begin{aligned} C &= (N - 1) + (N - 2) + \dots + 2 + 1 \\ &= 1 + 2 + \dots + (N - 2) + (N - 1) \end{aligned}$$

0		==	==	==	==	==
1			==	==	==	==
2				==	==	==
3					==	==
4						==
5						
	0	1	2	3	4	5

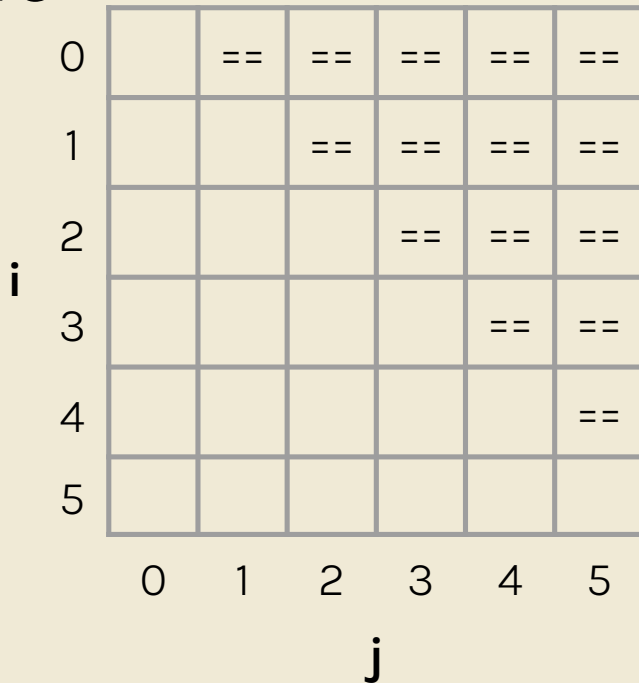
# dup(...) exact runtime $N = 6$

$$\begin{aligned} C &= (N - 1) + (N - 2) + \dots + 2 + 1 \\ &= 1 + 2 + \dots + (N - 2) + (N - 1) \end{aligned}$$

## useful sums

$1 + 2 + 3 + \dots + N = \Theta(N^2)$  -> “arithmetic” sum

$1 + 2 + 4 + 8 + \dots + N = \Theta(N)$  -> “geometric” sum



0		==	==	==	==	==
1			==	==	==	==
2				==	==	==
3					==	==
4						==
5						
	0	1	2	3	4	5

# dup(...) exact runtime $N = 6$

$$C = (N - 1) + (N - 2) + \dots + 2 + 1$$

$N^2$

$$= (1 + 2 + \dots + (N - 2) + (N - 1))$$

$$\Theta(N^2)$$

## useful sums

$1 + 2 + 3 + \dots + N = \Theta(N^2) \rightarrow$  “arithmetic” sum

$1 + 2 + 4 + 8 + \dots + N = \Theta(N) \rightarrow$  “geometric” sum

$i$

0		==	==	==	==	==
1			==	==	==	==
2				==	==	==
3					==	==
4						==
5						
	0	1	2	3	4	5

$j$

# dup(...) geometric

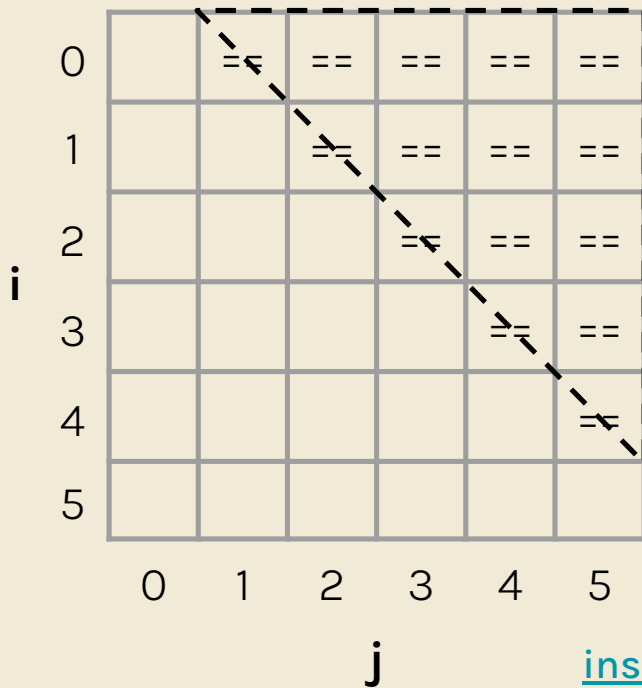
N = 6

i	0		==	==	==	==	==
	1			==	==	==	==
	2				==	==	==
	3					==	==
	4						==
	5						
		0	1	2	3	4	5
		j					

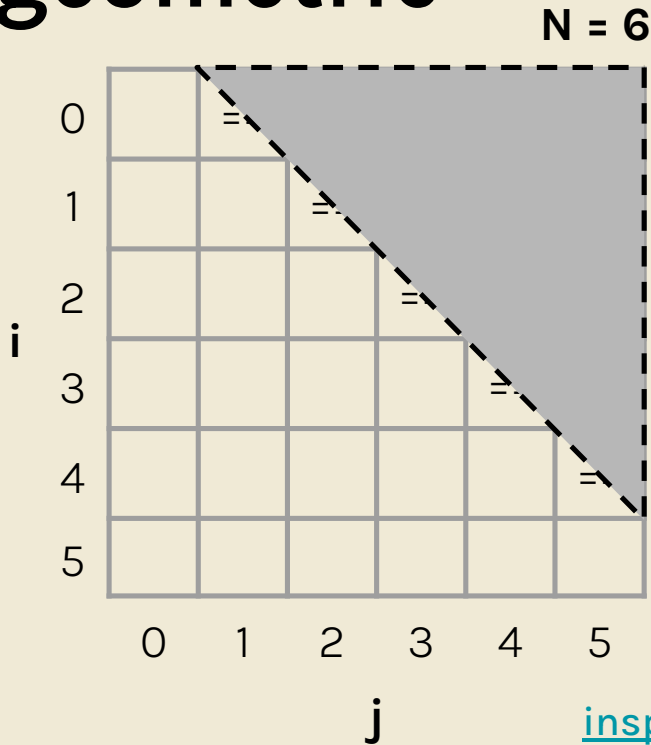
[inspired by josh hug 2019, lec 13](#)

# dup(...) geometric

N = 6



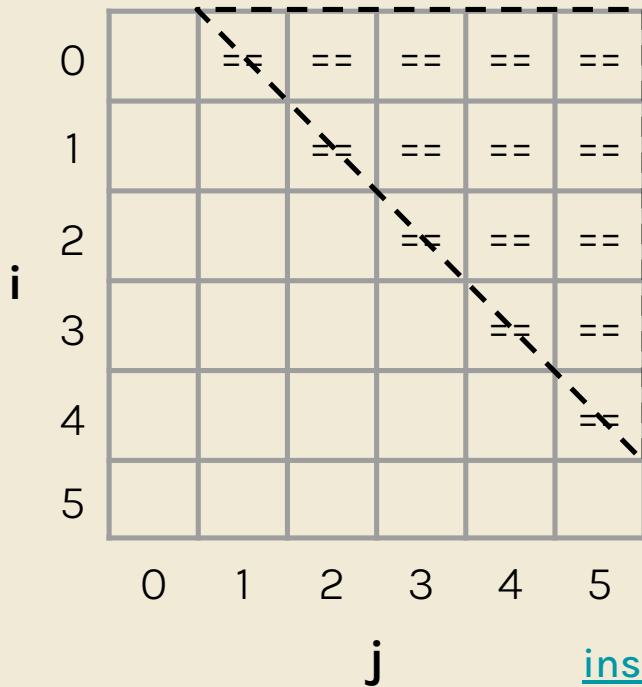
# dup(...) geometric





# dup(...) geometric

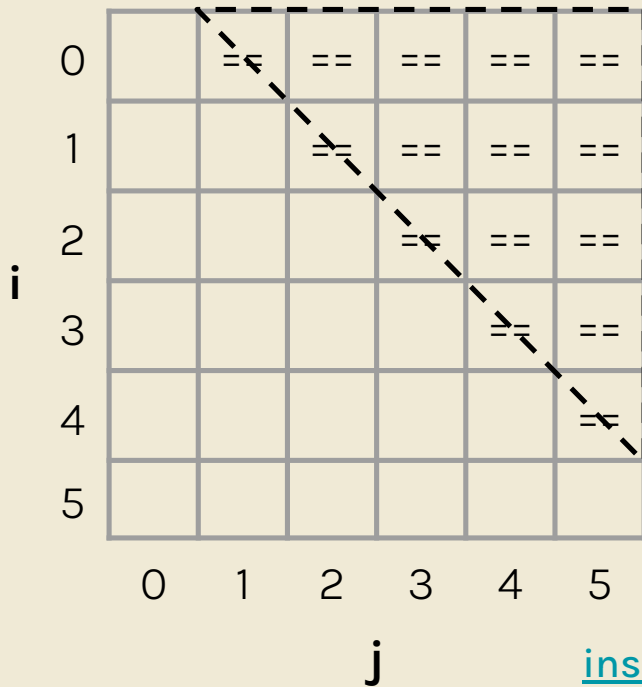
$N = 6$



$$A = \frac{1}{2}bh$$

# dup(...) geometric

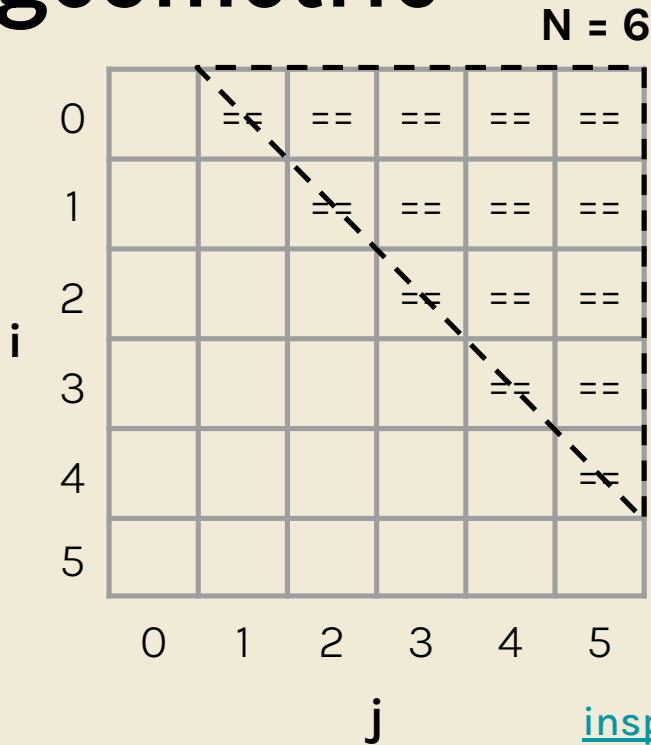
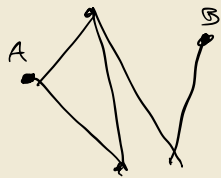
$N = 6$



$$A = \frac{1}{2}bh$$

$$A = \frac{1}{2}(N - 1)(N - 1)$$

# dup(...) geometric

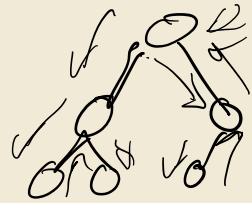


$$A = \frac{1}{2}bh$$

$$A = \frac{1}{2}(N - 1)(N - 1)$$

'==' grows on the  
scale of  $\Theta(N^2)$

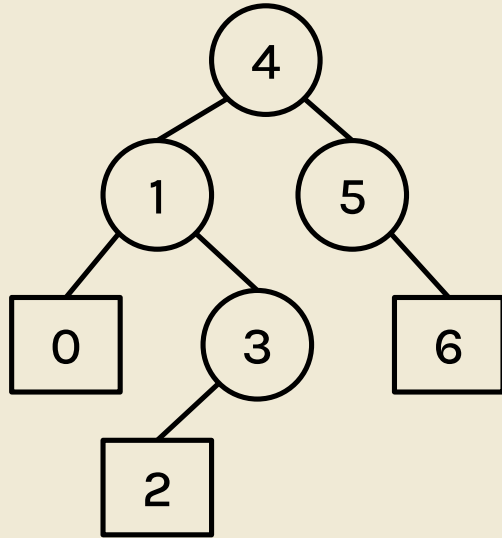
# tree traversals



- **preorder:** visit node, then traverse children
- **inorder:** traverse left child, then node, then traverse right child
- **postorder:** traverse children, then visit node

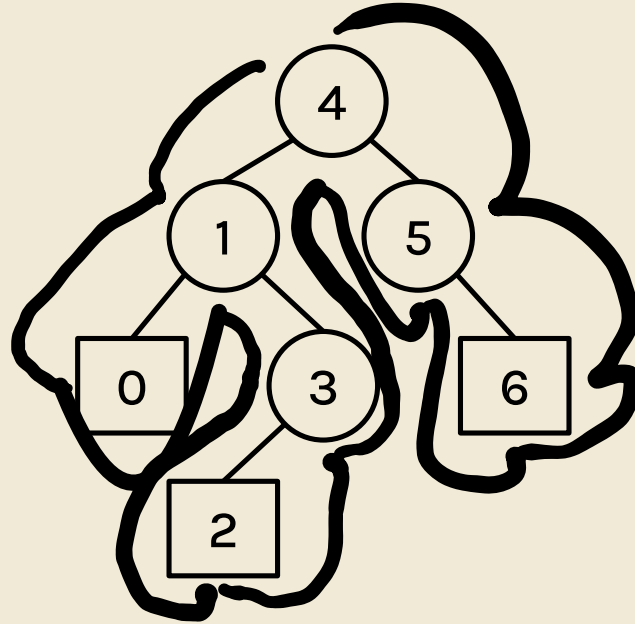
# tree traversals (preorder)

4, 1, 0, 3, 2, 5, 6

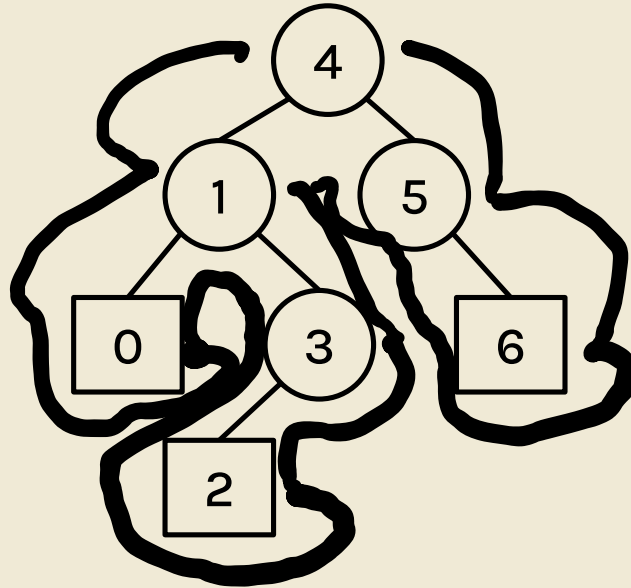


# tree traversals (inorder)

0, 1, 2  
3, 4, 5  
6



# tree traversals (postorder)



0, 2, 3, 1, 5,  
6, 4

# worksheet

(on 61B website)



# 1A Best and Worst Case with Iteration

**Provide asymptotic bounds for the best and worst case runtimes in theta notation.**

```
1  public static void removeIndex(int[] arr, int i) {  
2      // Assume i > 0  
3      int N = arr.length;  
4      for (int j = i; j < N; j += 1) {  
5          arr[j - 1] = arr[j];  
6      }  
7  }
```

# 1A Best and Worst Case with Iteration

Provide asymptotic bounds for the best and worst case runtimes in theta notation.

```
1 public static void removeIndex(int[] arr, int i) { // i is independent of N
2     // Assume i > 0
3     int N = arr.length;
4     for (int j = i; j < N; j += 1) {
5         arr[j - 1] = arr[j];
6     }
7 }
```

# 1A Best and Worst Case with Iteration

Provide asymptotic bounds for the best and worst case runtimes in theta notation.

```
1 public static void removeIndex(int[] arr, int i) {
2     // Assume i > 0
3     int N = arr.length;
4     for (int j = i; j < N; j += 1) { // If i is equal to N, then it'll run once
5         arr[j - 1] = arr[j];
6     }
7 }
```

# 1A Best and Worst Case with Iteration

Provide asymptotic bounds for the best and worst case runtimes in theta notation.

```
1 public static void removeIndex(int[] arr, int i) {  
2     // Assume i > 0  
3     int N = arr.length;  
4     for (int j = i; j < N; j += 1) { // Best Case -  $\Theta(1)$   
5         arr[j - 1] = arr[j];  
6     }  
7 }
```

# 1A Best and Worst Case with Iteration

Provide asymptotic bounds for the best and worst case runtimes in theta notation.

```
1 public static void removeIndex(int[] arr, int i) {  
2     // Assume i > 0  
3     int N = arr.length;  
4     for (int j = i; j < N; j += 1) { // If i is equal to 0, then it'll run N times  
5         arr[j - 1] = arr[j];  
6     }  
7 }
```

# 1A Best and Worst Case with Iteration

Provide asymptotic bounds for the best and worst case runtimes in theta notation.

```
1 public static void removeIndex(int[] arr, int i) {  
2     // Assume i > 0  
3     int N = arr.length;  
4     for (int j = i; j < N; j += 1) { // Worst Case -  $\Theta(N)$   
5         arr[j - 1] = arr[j];  
6     }  
7 }
```

# 1B Best and Worst Case with Iteration

**Provide asymptotic bounds for the best and worst case runtimes in theta notation.**

```
1  public void comeon(int M, int N) {
2      int j = 0;
3      for (int i = 0; i < N; i += 1) {
4          for (; j < M; j += 1) {
5              if (slam(i, j))
6                  break;
7          }
8      }
9
10     for (int k = 0; k < 1000 * N; k += 1) {
11         System.out.println("space jam");
12     }
13 }
```

# 1B Best and Worst Case with Iteration

Provide asymptotic bounds for the best and worst case runtimes in theta notation.

```
1  public void comeon(int M, int N) {
2      int j = 0;
3      for (int i = 0; i < N; i += 1) {
4          for (; j < M; j += 1) {
5              if (slam(i, j)) // For the best case, assume this is always true
6                  break;
7          }
8      }
9
10     for (int k = 0; k < 1000 * N; k += 1) {
11         System.out.println("space jam");
12     }
13 }
```



# 1B Best and Worst Case with Iteration

Provide asymptotic bounds for the best and worst case runtimes in theta notation.

```
1  public void comeon(int M, int N) {
2      int j = 0;
3      for (int i = 0; i < N; i += 1) {
4          for (; j < M; j += 1) { // If we always break, this runs in  $\Theta(1)$ 
5              if (slam(i, j))
6                  break;
7          }
8      }
9
10     for (int k = 0; k < 1000 * N; k += 1) {
11         System.out.println("space jam");
12     }
13 }
```

# 1B Best and Worst Case with Iteration

Provide asymptotic bounds for the best and worst case runtimes in theta notation.

```
1  public void comeon(int M, int N) {
2      int j = 0;
3      for (int i = 0; i < N; i += 1) { // N loops *  $\Theta(1)$  =  $\Theta(N)$ 
4          for (; j < M; j += 1) {
5              if (slam(i, j))
6                  break;
7          }
8      }
9
10     for (int k = 0; k < 1000 * N; k += 1) {
11         System.out.println("space jam");
12     }
13 }
```

# 1B Best and Worst Case with Iteration

Provide asymptotic bounds for the best and worst case runtimes in theta notation.

```
1  public void comeon(int M, int N) {
2      int j = 0;
3      for (int i = 0; i < N; i += 1) { //  $\Theta(N)$ 
4          for (; j < M; j += 1) {
5              if (slam(i, j))
6                  break;
7          }
8      }
9
10     for (int k = 0; k < 1000 * N; k += 1) { // This always takes  $\Theta(N)$ 
11         System.out.println("space jam");
12     }
13 }
```

# 1B Best and Worst Case with Iteration

Provide asymptotic bounds for the best and worst case runtimes in theta notation.

```
1  public void comeon(int M, int N) { // Best Case -  $\Theta(N)$ 
2      int j = 0;
3      for (int i = 0; i < N; i += 1) {
4          for (; j < M; j += 1) {
5              if (slam(i, j))
6                  break;
7          }
8      }
9
10     for (int k = 0; k < 1000 * N; k += 1) {
11         System.out.println("space jam");
12     }
13 }
```

# 1B Best and Worst Case with Iteration

Provide asymptotic bounds for the best and worst case runtimes in theta notation.

```
1  public void comeon(int M, int N) {
2      int j = 0;
3      for (int i = 0; i < N; i += 1) {
4          for (; j < M; j += 1) {
5              if (slam(i, j)) // For worst case, assume this is never true
6                  break;
7          }
8      }
9
10     for (int k = 0; k < 1000 * N; k += 1) {
11         System.out.println("space jam");
12     }
13 }
```

# 1B Best and Worst Case with Iteration

Provide asymptotic bounds for the best and worst case runtimes in theta notation.

```
1  public void comeon(int M, int N) {
2      int j = 0;
3      for (int i = 0; i < N; i += 1) {
4          for (; j < M; j += 1) { // This loop runs M times in TOTAL
5              if (slam(i, j))
6                  break;
7          }
8      }
9
10     for (int k = 0; k < 1000 * N; k += 1) {
11         System.out.println("space jam");
12     }
13 }
```

# 1B Best and Worst Case with Iteration

Provide asymptotic bounds for the best and worst case runtimes in theta notation.

```
1  public void comeon(int M, int N) {
2      int j = 0;
3      for (int i = 0; i < N; i += 1) { // N outer loops + M inner loops =  $\Theta(N + M)$ 
4          for (; j < M; j += 1) {
5              if (slam(i, j))
6                  break;
7          }
8      }
9
10     for (int k = 0; k < 1000 * N; k += 1) {
11         System.out.println("space jam");
12     }
13 }
```

# 1B Best and Worst Case with Iteration

Provide asymptotic bounds for the best and worst case runtimes in theta notation.

```
1  public void comeon(int M, int N) { // Worst Case -  $\Theta(N + M)$ 
2      int j = 0;
3      for (int i = 0; i < N; i += 1) {
4          for (; j < M; j += 1) {
5              if (slam(i, j))
6                  break;
7          }
8      }
9
10     for (int k = 0; k < 1000 * N; k += 1) {
11         System.out.println("space jam");
12     }
13 }
```



## 2A Best and Worst with Recursion

Provide asymptotic bounds for the best and worst case runtimes in theta notation.

```
1  public void andslam(int N) {  
2      if (N > 0) {  
3          for (int i = 0; i < N; i += 1) {  
4              for (int j = 1; j < 1024; j *= 2) {  
5                  System.out.println(i + j);  
6              }  
7          }  
8          andSlam(N/2);  
9      }  
10 }
```

## 2A Best and Worst with Recursion

Provide asymptotic bounds for the best and worst case runtimes in theta notation.

```
1  public void andslam(int N) {  
2      if (N > 0) {  
3          for (int i = 0; i < N; i += 1) {  
4              for (int j = 1; j < 1024; j *= 2) {  
5                  System.out.println(i + j);  
6              }  
7          }  
8          andSlam(N/2);  
9      }  
10 }
```

Best Case:  $\Theta(N)$

Worst Case:  $\Theta(N)$

## 2B Best and Worst with Recursion

Provide asymptotic bounds for the best and worst case runtimes in theta notation.

```
1  public static void andwelcome(int[] arr, int low, int high) {
2      System.out.print("[ ")
3      for (int i = low; i < high; i += 1) {
4          System.out.print("loyal ");
5      }
6      System.out.println("]")
7      if (high - low > 1) {
8          double coin = Math.random();
9          if (coin > 0.5) {
10             andwelcome(arr, low, low + (high - low) / 2);
11         } else {
12             andwelcome(arr, low, low + (high - low) / 2);
13             andwelcome(arr, low + (high - low) / 2, high);
14         }
15     }
16 }
```

## 2B Best and Worst with Recursion

Provide asymptotic bounds for the best and worst case runtimes in theta notation.

```
1  public static void andwelcome(int[] arr, int low, int high) {
2      System.out.print("[ ")
3      for (int i = low; i < high; i += 1) {
4          System.out.print("loyal ");
5      }
6      System.out.println("]")
7      if (high - low > 1) {
8          double coin = Math.random();
9          if (coin > 0.5) {
10             andwelcome(arr, low, low + (high - low) / 2);
11         } else {
12             andwelcome(arr, low, low + (high - low) / 2);
13             andwelcome(arr, low + (high - low) / 2, high);
14         }
15     }
16 }
```

Best Case:  $\Theta(N)$

Worst Case:  $\Theta(N \log N)$

## 2C Best and Worst with Recursion

Provide asymptotic bounds for the best and worst case runtimes in theta notation.

```
1 public int tothe(int N) {  
2     if (N <= 1) {  
3         return N;  
4     }  
5     return tothe(N - 1) + tothe(N - 1) + tothe(N - 1);  
6 }
```

## 2C Best and Worst with Recursion

Provide asymptotic bounds for the best and worst case runtimes in theta notation.

```
1 public int tothe(int N) {  
2     if (N <= 1) {  
3         return N;  
4     }  
5     return tothe(N - 1) + tothe(N - 1) + tothe(N - 1);  
6 }
```

Best Case:  $\Theta(N)$

Worst Case:  $\Theta(3^N)$

## 2D Best and Worst with Recursion

**Provide asymptotic bounds for the best and worst case runtimes in theta notation.**

```
1  public static void recurse(int N) {
2      return helper(N, N/2);
3  }
4  private static int helper(int N, int M) {
5      if (N <= 1) {
6          return N;
7      }
8      for (int i = 1; i < M; i *= 2) {
9          System.out.println(i);
10     }
11     return helper(N - 1, M) + helper(N - 1, M);
12 }
```

## 2D Best and Worst with Recursion

Provide asymptotic bounds for the best and worst case runtimes in theta notation.

```
1 public static void recurse(int N) {
2     return helper(N, N/2);
3 }
4 private static int helper(int N, int M) {
5     if (N <= 1) {
6         return N;
7     }
8     for (int i = 1; i < M; i *= 2) {
9         System.out.println(i);
10    }
11    return helper(N - 1, M) + helper(N - 1, M);
12 }
```

Best Case:  $\Theta(2^N \log N)$   
Worst Case:  $\Theta(2^N \log N)$



## 2E Best and Worst with Recursion *Extra*

**Provide asymptotic bounds for the best and worst case runtimes in theta notation.**

```
1  public static boolean find(int tgt, int[] arr) {
2      int N = arr.length;
3      return find(tgt, arr, 0, N);
4  }
5  private static boolean find(int tgt, int[] arr, int lo, int hi) {
6      if (lo == hi || lo + 1 == hi) {
7          return arr[lo] == tgt;
8      }
9      int mid = (lo + hi) / 2;
10     for (int i = 0; i < mid; i += 1) {
11         System.out.println(arr[i]);
12     }
13     return arr[mid] == tgt || find(tgt, arr, lo, mid)
14                                || find(tgt, arr, mid, hi);
15 }
```

## 2E Best and Worst with Recursion *Extra*

Provide asymptotic bounds for the best and worst case runtimes in theta notation.

```
1  public static boolean find(int tgt, int[] arr) {
2      int N = arr.length;
3      return find(tgt, arr, 0, N);
4  }
5  private static boolean find(int tgt, int[] arr, int lo, int hi) {
6      if (lo == hi || lo + 1 == hi) {
7          return arr[lo] == tgt;
8      }
9      int mid = (lo + hi) / 2;
10     for (int i = 0; i < mid; i += 1) {
11         System.out.println(arr[i]);
12     }
13     return arr[mid] == tgt || find(tgt, arr, lo, mid)
14                                || find(tgt, arr, mid, hi);
15 }
```

Best Case:  $\Theta(N)$

Worst Case:  $\Theta(N^2)$



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