

# INTRODUCTION

- Start Well - Know the assumptions / limitations
- Understand the obstacles - The right adversary and interference
- Flow Well - Techniques
- End Well - Be proactive

## Assumptions:

- ① (Machines are not omniscient)  
In finite amount of space, only finite amount of information can be stored
- ② (Machines are not omnipresent)  
Information travels at a finite speed.
- ③ (Machines are not omnipotent)  
In finite length of programme, only finite control instructions can be written.

## Turing Machine

Turing Machine is a 7 tuple  $\langle Q, \Sigma, \Gamma, \delta, q_{start}, q_{acc}, q_{rej} \rangle$

$Q$ : finite set of states

$\Sigma$ : finite alphabet set (for input)

$\Gamma$ : finite

$\delta: Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$

$q_{start} \in Q$ : Initial state of the machine.

$q_{acc} \in Q$ :

$q_{rej} \in Q$ :

## Church-Turing Hypothesis:

An algorithm is a Turing Machine

- There are problems for which programs do not exist. So, we prove  $\underbrace{\# \text{ programs}}_{\text{countable}} < \underbrace{\# \text{ problems}}_{\text{uncountable}}$ .

Bijection:  $f: \mathbb{N} \rightarrow A$  then  $A$  is countable

A program is a finite length binary string  $\Rightarrow$  countable

$$A = \{0, 1\}^*$$

$$A = \{\epsilon, 0, 1, 00, 01, 10, 000, \dots, 111, \dots\} \Rightarrow \text{countable.}$$

$f(1)$  : first c program

$f(2)$  : second c program

## • Diagonalization Technique

Theorem:  $(0, 1)$  is uncountable.

$\therefore$  We need to show that a bijection does not exist.

Proof: Suppose the contrary

Let  $f: \mathbb{N} \rightarrow (0, 1)$  be a bijection

$$\left. \begin{array}{l} f(1) = 0.d_{11}d_{12}d_{13} \dots \\ f(2) = 0.d_{21}d_{22}d_{23} \dots \\ f(3) = 0.d_{31}d_{32}d_{33} \dots \end{array} \right\} \text{will differ in at least one location.}$$

Aim:  $\exists x \in (0, 1)$  such that  $\forall i \in \mathbb{N}, f(i) \neq x$ .

So we want to prove that this is into. Thus, it cannot be a bijection.

$$x = 0.x_1x_2x_3 \dots$$

$$x_1 \neq d_{11} \quad (\neq 0, 9)$$

$$x_2 \neq d_{22} \quad (\neq 0, 9)$$

$$x_3 \neq d_{33} \quad "$$

$$\forall j \in \mathbb{N} \quad x_j \neq d_{jj}$$

$\therefore \forall i, x$  differs from  $f(i)$  in the  $i$ th position.

$$\therefore f(i) \neq x$$

$\therefore$  This isn't onto.

$\therefore f$  is not a bijection.

$\therefore$  Real Numbers are uncountable.

- We now prove that  $\# \text{ problems}$  is uncountable.

Consider: Input - Natural no  $n$       Output - Boolean

Given  $n$ , is  $n$  even?  $\Rightarrow$  Does  $n \in \{2, 4, 6, 8, \dots\}$

Given  $n$ , is it a power of 2?  $\Rightarrow$  Does  $n \in \{2, 4, 8, \dots\}$

Given  $n$ , is  $n$  a prime?  $\Rightarrow$  Does  $n \in \{2, 3, 5, 7, \dots\}$

So, we are looking at subsets of  $\mathbb{N}$ .

Theorem:  $P(N)$  is uncountable [  $P(N)$  is power set ]

Proof: Suppose not. Let  $f: N \rightarrow P(N)$

lets consider each subset to be a binary string

$E: 010101010 \dots$

$2^*: 010100010 \dots$

Prime:  $01101010 \dots$

$f(1) = b_{11}b_{12}b_{13}b_{14} \dots$

$f(2) = b_{21}b_{22} \dots$

Using Diagonalization Technique,

$S \subseteq N$

$S = p_1 p_2 p_3 \dots$        $p_j = \overline{b_{jj}}$

$\forall j \in N, S \neq f(j)$

$\therefore$  Bijection does not exist

$\Rightarrow$  Contradiction

$\Rightarrow P(N)$  is uncountable

$\Rightarrow$  #problems is uncountable.

- Types of problems -

decidable - solved (finite steps)

undecidable - program

unrecognizable

### Problem of YES

WAP to input a C program  $M$  and its input  $w$ , and decide if the answer is yes.

Theorem: Problem YES is undecidable.

Proof: Suppose some code  $H$  solves YES problem.

$$H(M, w) = \begin{cases} \text{Yes} & \text{if } M(w) = \text{yes} \\ \text{No} & \text{otherwise} \end{cases}$$

$D$ : on input  $M$

- Runs  $H(M, \langle M \rangle)$

- If  $H$  says yes, says No

- Else if  $H$  says no, says YES

What's  $D(D)$ ?

Yes

$H(D, \langle D \rangle) = \text{No}$

$\downarrow$

$D(D) \neq \text{Yes}$

No

$H(D, \langle D \rangle) = \text{Yes}$

$\downarrow$

$D(D) \neq \text{Yes}$

$\therefore D$  can neither give Yes nor No  
 $D$  never halts.



# DIVIDE & CONQUER

## ① Merge Sort

## ② Multiplication

- Two complex nos -

$$(a+ib)(c+id) = \underbrace{(ac-bd)}_{P_1-P_2} + i \underbrace{(ad+bc)}_{P_3-P_1-P_2}$$
$$P_1 = ac \quad P_2 = bd \quad P_3 = (a+b)(c+d)$$

(4 multiplications)

- Integer -

$$D = d_{n-1}d_{n-2} \dots d_2d_1d_0$$

$$E = e_{n-1}e_{n-2} \dots e_2e_1e_0$$

$O(n^2)$  multiplication

$$D = D_L(B)^{n/2} + D_R$$

$$1539 = (15)(10)^2 + 39$$

$$E = E_L(B)^{n/2} + E_R$$

$$D * E = (B)^{n/2} D_L E_L + (B)^{n/2} (D_L E_R + D_R E_L) + D_R E_R$$

$$P_1 = D_L E_L \quad P_2 = D_R E_R \quad P_3 = (D_L + D_R)(E_L + E_R)$$

$$DE = P_1(B^n) + P_2 + (B)^{n/2} (P_3 - P_1 - P_2)$$

$$T(n) = 3T(n/2) + O(n)$$

$$O(n^{\log_2 3}) \quad \text{from } O(n^{\log_2 4})$$

- Two polynomials

$$p(x) = \sum_{i=0}^{n-1} p_i x^i$$

$$q(x) = \sum_{i=0}^{n-1} q_i x^i$$

$$p(x) \cdot q(x) = \sum_{i=0}^{2n-1} r_i x^i$$

$$r_i = \sum_{k=0}^i p_k q_{i-k}$$

$$p(x) = p_e(x)^2 + x p_o(x)^2$$

$$q(x) = q_e(x)^2 + x q_o(x)^2$$

$$\begin{array}{c|c|c} p(1) & q(1) & r(1) \\ p(2) & q(2) & r(2) \\ \vdots & \vdots & \vdots \\ p(n) & q(n) & r(n) \\ \vdots & \vdots & \vdots \\ p(2n+1) & q(2n+1) & r(2n+1) \end{array}$$

$$\text{Coeff of } p(x) \xrightarrow{\text{Eval}} [p(1) \ p(2) \ \dots \ p(2n+1)]$$

$$\text{" " } q(x) \xrightarrow{\text{Eval}} [q(1) \ q(2) \ \dots \ q(2n+1)]$$

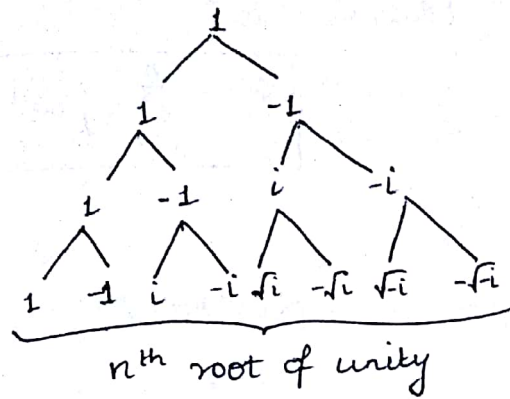
$$\text{" " } r(x) \xleftarrow{\text{Interpolate}} [r(1) \ r(2) \ \dots \ r(2n+1)]$$

$$O(\text{Evaluation} + \text{Interpolation}) = O(n^2)$$

$$p(x) = p_e(x^2) + x p_o(x^2)$$

$$p_e(x) = p_{ee}(x^2) + x p_{eo}(x^2)$$

Evaluate on 1 and -1.



$$[a_n a_{n-1} a_{n-2} \dots a_0] \rightarrow [p(\omega^0) p(\omega^1) p(\omega^2) \dots p(\omega^n)]$$

$\omega$  is  $n^{\text{th}}$  root of unity

### Discrete Fourier Transform

$$\begin{bmatrix} 1 & 1 & 1 & \dots & 1 \\ 1 & \omega & \omega^2 & \dots & \omega^n \\ 1 & \omega^2 & \omega^4 & \dots & \omega^{2n} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & \omega^{n-1} & \omega^{2(n-1)} & \dots & \omega^{(n-1)n} \end{bmatrix} \begin{bmatrix} a_0 \\ a_1 \\ \vdots \\ a_n \end{bmatrix} = \begin{bmatrix} p(1) \\ p(\omega) \\ \vdots \\ p(\omega^n) \end{bmatrix}$$

$M_{ij} = \omega^{ij}$

$$M^{-1} = M^* \lambda$$

Inverse of Fast Fourier Transform = Fast Fourier Transform

Product of 2 numbers in  $O(n)$ ?

$n$  = product of primes

$$a = p_1^{\alpha_1} p_2^{\alpha_2} \dots p_k^{\alpha_k}$$

$$b = p_1^{\beta_1} p_2^{\beta_2} \dots p_k^{\beta_k}$$

$$a \cdot b = p_1^{\alpha_1 + \beta_1} p_2^{\alpha_2 + \beta_2} \dots p_k^{\alpha_k + \beta_k}$$

### ③ Fast Fourier Transform

Input: coeff array  $A = [a_0, a_1, a_2, \dots, a_n]$

Output: evaluated array  $E = [e_0, e_1, e_2, \dots, e_n]$

$$e_i = \sum_{j=0}^n a_j \omega^j$$

$$p(x), q(x)$$

$\xrightarrow{n \log n}$

$$p(x) = p(\omega^0), p(\omega^1), \dots, p(\omega^n)$$

$$q(x) = q(\omega^0), q(\omega^1), \dots, q(\omega^n)$$

$$p(x)q(x) = p(\omega^0)q(\omega^0), p(\omega^1)q(\omega^1), \dots, p(\omega^n)q(\omega^n)$$

FFT  $([a_0, a_1, \dots, a_n], \omega)$   
 if  $n \Rightarrow 0$ , return  $a$ .  
 else { ①  $[s_0, s_1, \dots, s_{n/2}] = \text{FFT}[A_e, \omega^2]$

②  $[t_0, t_1, \dots, t_{n/2}] = \text{FFT}[A_o, \omega^2]$

③  $[e_0, e_1, \dots, e_n] =$

for  $j = 0$  to  $n \rightarrow e_j = s_j + \omega^j t_j$

output:  $e_0, e_1, \dots, e_n$

$$A_e = [a_0, a_2, \dots, a_n]$$

$$A_o = [a_1, a_3, \dots, a_{n-1}]$$

$$T(n) = 2T(n/2) + O(n)$$

$$T(n) = O(n \log n)$$

### ④ Median / Selection of $k^{\text{th}}$ ranked element

select  $(A, k)$

$$A = \begin{bmatrix} ? \\ \downarrow \\ [A_L][A_V][A_R] \end{bmatrix}$$

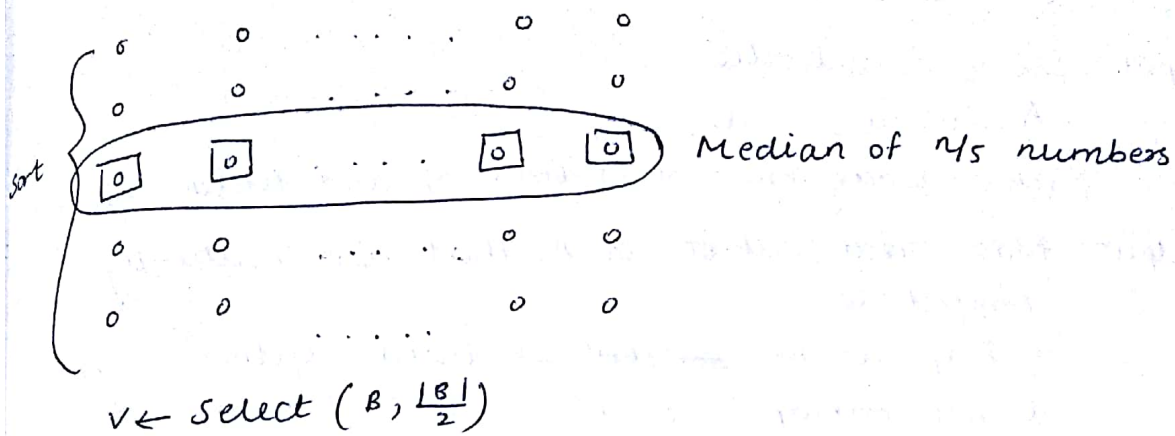
$$\text{Select}(A, k) = \begin{cases} \text{select}(A_L, k) & \text{if } |A_L| \geq k \\ \text{select}(A_V, k - |A_L|) & \text{if } |A_L| < k \leq |A_L| + |A_V| \\ \text{select}(A_R, k - |A_L| - |A_V|) & \text{if } k > |A_L| + |A_V| \end{cases}$$

Worst case:  $T(n) = T(n-1) + O(n)$

$$T(n) = O(n^2)$$

## Median of Medians

$n/5$  partitions



Run-time :  $T(n) = T(\frac{n}{5}) + T(\frac{7n}{10}) + O(n)$

Suppose  $T(n) = cO(n)$

Let some  $\epsilon$

such that,  $T(n) \leq T(\frac{n}{5}) + T(\frac{7n}{10}) + \epsilon N$

Then for some  $c$ ,

$$c \cdot T(n) \leq c \left( T(\frac{n}{5}) + T(\frac{7n}{10}) + \epsilon N \right)$$

$$cn = \frac{cn}{5} + c \left( \frac{7n}{10} \right) + \epsilon N$$

$$c = 10\epsilon$$

$v \leftarrow$  a random element of  $A$

If  $\frac{n}{4} \leq \text{rank}(v) \leq \frac{3n}{4}$  then  $T(n) = T(\frac{3n}{4}) + O(n)$

## Fingerprinting Algo

$$C = AB$$

$$C\bar{r} = \underbrace{A\bar{B}\bar{r}}$$

$$\bar{r} = n \times 1$$



Activity Selection Problem

Input: Set of  $n$  activities

$$A = \{a_1, a_2, \dots, a_n\}$$

Each activity has a start time  $s_i$  and finish time  $f_i$

Output: Max sized subset of  $A$  that are mutually compatible

$a_i$  &  $a_j$  can be selected scheduled together iff they do not overlap i.e.  $f_i \leq s_j$

Ordered an ascending order of  $f_i$

$$f_1 < f_2 < f_3 \dots < f_n$$

$$S \leftarrow \{a_1\}$$

$$i \leftarrow 1$$

for  $i = 2$  to  $n$

{

if ( $s_i \geq f_i$ )

{

$$S \leftarrow S \cup \{a_i\}$$

$$i \leftarrow i$$

}

}

output  $S$

Theorem- Algo has 'Greedy choice Property'

Proof: Suppose not

$$B = \{a_{i1}, a_{i2}, \dots, a_{ik}\}$$

$$B' = \{B \setminus \{a_{i1}\}\} \cup \{a_i\}$$

$$f_1 \leq f_{i1}$$

$$f_{i1} \leq s_{i2}$$

$$f_1 \leq s_{i2}$$

Theorem Algo has 'Optimum Substructure Property'

$$A' = \{a_i \mid a_i \text{ doesn't overlap with } a_i\}$$

↓

$$S = S' - \{a_i\}$$

(maximum sized activities)

$$\text{if } S = \{a_i \boxed{\phantom{000}}\}$$

- Greedy can always be made into iterative code (It has failed recursion)
- If recursion works  $\Rightarrow$  dynamic programming



# Huffman Codes

A string - abbaabbcbdd

a - 00

b - 01

c - 10

d - 11

30 bits

a = 10

b = 0

c = 110

d = 111

Can it be stored in less than 30 bits in any type of encoding?

Encoding should be prefix free.

Get the best encoding

Let  $s_1, s_2, \dots, s_n$  be the unique characters

$s_1 \rightarrow f_1$

$s_2 \rightarrow f_2$

$\vdots$

$s_n \rightarrow f_n$

$f[a] = 4$

$f[b] = 8$

$f[c] = 1$

$f[d] = 2$

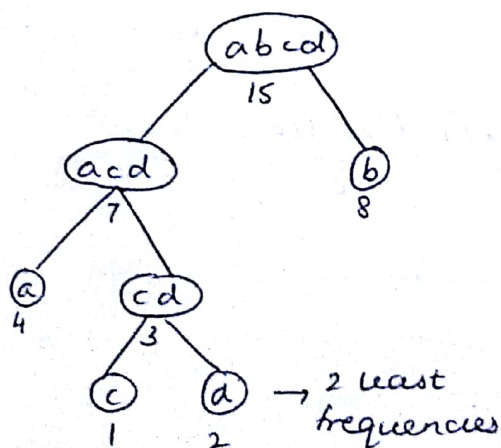
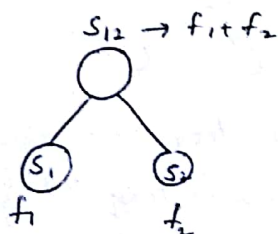
- Sort the frequencies in ascending order

c d a b

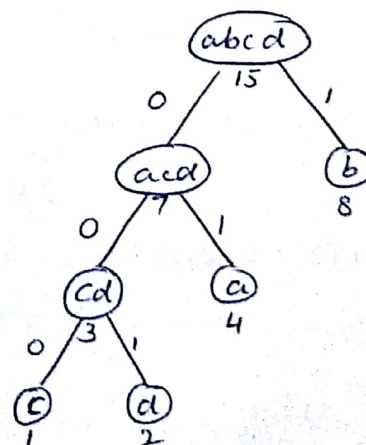
such that  $f_1 \leq f_2 \leq \dots \leq f_n$

- Build Huffman Tree

$s_1 \rightarrow f_1$  and  $s_2 \rightarrow f_2$



$\Rightarrow$



Huffman Tree

Encode left as 1, right as 0. Then the shortest path from root to each leaf is the required code.

$c \rightarrow 000$

$b \rightarrow 1$

$a \rightarrow 01$

$d \rightarrow 001$

suffix freeness in a tree is implicit

Proof:  $\text{cost} = \sum_{x: \text{leaf}} \underbrace{f(x)}_{\text{freq}} \underbrace{d(x)}_{\text{depth of } x}$

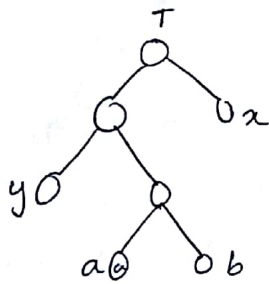
We have to minimise this cost

The 2 least frequently occurring leaves are of same length and differ in LSB.

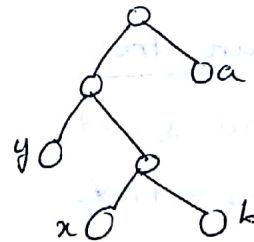
Theorem: Huffman codes have the 'Greedy Choice Property' i.e among all possible trees  $\exists$  an optimal tree with  $x$  and  $y$  as max depth siblings where  $x$  and  $y$  are of least frequency.

$f(x) \leq f(y)$  (let)

$f(a) \leq f(b)$



$T'$  (swap  $a$  &  $x$ )



$\text{cost}(T') = \text{depth}_2(x) + \text{freq}(x) + \text{depth}_2(a) + \text{freq}(a) + \dots$

$\text{cost}(T) = \text{depth}_1(a) + \text{freq}(a) + \text{depth}_1(x) + \text{freq}(x) + \dots$

$\text{depth}_2(x) = \text{depth}_1(a) = d_T(a)$

$\text{depth}_2(a) = \text{depth}_1(x) = d_T(x)$

$\text{cost}(T') - \text{cost}(T) = \underbrace{(d_T(a) - d_T(x))}_{\geq 0} \underbrace{(f(x) - f(a))}_{\leq 0}$

$\text{cost}(T') \leq \text{cost}(T)$

## Optimum Substructure

remove last 2, their parent is  $(xy)$   
 $x, y$  removed  $\rightarrow (xy)$

$$S' = [S \setminus \{f(x, y)\}] \cup \{xy\}$$

$$f(xy) = f(x) + f(y)$$

$$\text{cost}(T) = \sum_{x=\text{leaf}} f(x) dx$$

$$\text{cost}(T') = \sum_{x=\text{leaf}} f(x) dx$$

$$\begin{aligned}\text{cost}(T) - \text{cost}(T') &= dx \cdot f(x) + dy \cdot f(y) - d(xy) \cdot f(xy) \\ &= dx [f(x) + f(y)] - (dx-1) \underbrace{f(xy)}_{f(x)+f(y)}\end{aligned}$$

$$\text{cost}(T) - \text{cost}(T') = f(x) + f(y)$$

$T$  was not optimum  $\rightarrow T'$  was not optimum  $\rightarrow T''$  not optimum

## Approximate Algorithm

### Min Set-Cover Problem

Set  $S$

Family  $F = \{S_1, S_2, \dots, S_n\}$

$$S_i \subseteq S$$

Output: Indices  $i_1, i_2, \dots, i_k$

$$\text{such that } \bigcup_j S_{j_i} = S$$

### Greedy Algo:

$$I = \phi$$

$$u = S$$

Repeat

- Choose a set  $S_j$  from  $F$  that ~~comes~~ covers the maximum number of elements in  $u$

$$I \leftarrow I \cup \{i_j\}$$

$$u \leftarrow u \setminus S_j$$

However, Greedy doesn't give the right answer in this case.

But it gives an answer very close to the actual answer.



## MATROID THEORY :

$$M = \langle S, F \rangle$$

a)  $S \neq \emptyset$

b) Hereditary Property:

$$\text{if } A \in F, \forall B \subseteq A \Rightarrow B \in F$$

c) Exchange Property:

$$\text{if } A \in F, B \in F$$

$$\exists x \in B \setminus A \text{ such that } A \cup \{x\} \in F$$

Every element of  $F$  is called independent set.

Finding a max weighted independent set:

$$S = \{s_1, s_2, \dots, s_n\}$$

$s_i$  has a weight  $w_i > 0$

### Minimum spanning Tree

Input: Undirected graph  $G = (V, E)$ . Edges have weights

Output: MST of  $G$ .

$$M_G = \langle E, F_G \rangle$$

$$F_G = \{A \subseteq E \mid A \text{ is acyclic}\}$$

a)  $E \neq \emptyset$

b) Hereditary Property holds.

c)  $A \in F_G, B \in F_G$

$$|A| < |B|$$

$$(n - |A|) > (n - |B|)$$

Graph(acyclic)-forest

Theorem: Any forest with  $n$  nodes and  $t$  trees has exactly  $(n - t)$  edges

$$M_G = \langle E, F_G \rangle \text{ is a matroid}$$

use greedy algorithm

$$w_i' = W - w_i$$

$$F_G = \{A \subseteq E \mid A \text{ is acyclic}\}$$

$$M = \langle S, F \rangle$$

$w_i = \text{weight of } s_i \in S, w_i > 0$  ( $A$  is maximal)

$\nexists x$  such that  $A \cup \{x\} \in F$

Sort the elements of  $S$  in non-decreasing order of weights.

$$A = \emptyset$$

Choose the next  $s_i$  (in that order)

if  $A \cup \{s_i\} \in F$

$$A \leftarrow A \cup \{s_i\}$$

output  $A$

$\exists A$  such that  $x \in A$

Let  $B$  be an optimal solution,  $x \in B$  ( $B \in F$ )

$$S = \{y \mid y \cup \{x\} \in F\}$$

$$F' = \{A \in F \mid A \cap \{x\} \neq \emptyset\}$$