

# भारतीय प्रौद्योगिकी संस्थान मुंबई Indian Institute of Technology Bombay

# CS 6001: Game Theory and Algorithmic Mechanism Design

Week 8

Swaprava Nath

Slide preparation acknowledgments: C. R. Pradhit and Adit Akarsh

ज्ञानम् परमम् ध्येयम् Knowledge is the supreme goal

#### **Contents**



- ► The Social Choice Setup
- ► The Gibbard-Satterthwaite Theorem
- ▶ Proof of Gibbard-Satterthwaite Theorem
- **▶** Domain Restriction
- ► Median Voting Rule
- ▶ Median Voter Theorem: Part 1
- ▶ Median Voter Theorem: Part 2



• It requires a social ordering from a preference profile



- It requires a social ordering from a preference profile
- Arrow's result says that this is impossible subject to *weak Pareto* and *independence of irrelevant alternatives* in a democratic way



- It requires a social ordering from a preference profile
- Arrow's result says that this is impossible subject to *weak Pareto* and *independence of irrelevant alternatives* in a democratic way
- Ways out:



- It requires a social ordering from a preference profile
- Arrow's result says that this is impossible subject to *weak Pareto* and *independence of irrelevant alternatives* in a democratic way
- Ways out:
  - consider a **social choice** setup



- It requires a social ordering from a preference profile
- Arrow's result says that this is impossible subject to *weak Pareto* and *independence of irrelevant alternatives* in a democratic way
- Ways out:
  - consider a social choice setup
  - put restrictions on agent preferences



- It requires a social ordering from a preference profile
- Arrow's result says that this is impossible subject to *weak Pareto* and *independence of irrelevant alternatives* in a democratic way
- Ways out:
  - consider a social choice setup
  - put restrictions on agent preferences
- Social choice function (SCF)

$$f: \mathcal{P}^n \to A$$

$$A = \{a_1, a_2, \dots, a_m\}$$

$$N = \{1, 2, \dots, n\}$$

$$\mathcal{P}$$

Finite set of alternatives
Finite set of players
Set of all **linear** preference ordering



• Most representative: **voting** 

	1	0			
a	а	С	d	f	
b	b	b	С	$\xrightarrow{j}$	$A = \{a, b, c, d\}$
С	С	d	b		
d	d	а	а		



• Most representative: voting

Various voting rules exist



Most representative: voting

- Various voting rules exist
- **scoring rules**: each position of each agent gets a score  $(s_1, s_2, \ldots, s_m), s_i \ge s_{i+1}, i = 1, 2, \ldots, m-1$ , the final ordering is in the decreasing order of the scores, e.g.,



- Various voting rules exist
- **scoring rules**: each position of each agent gets a score  $(s_1, s_2, \ldots, s_m), s_i \ge s_{i+1}, i = 1, 2, \ldots, m-1$ , the final ordering is in the decreasing order of the scores, e.g.,
  - plurality: (1,0,...,0,0)



- Various voting rules exist
- **scoring rules**: each position of each agent gets a score  $(s_1, s_2, \ldots, s_m), s_i \ge s_{i+1}, i = 1, 2, \ldots, m-1$ , the final ordering is in the decreasing order of the scores, e.g.,
  - plurality: (1,0,...,0,0)
  - **veto**:  $(1,1,\ldots,1,0)$



- Various voting rules exist
- **scoring rules**: each position of each agent gets a score  $(s_1, s_2, ..., s_m), s_i \ge s_{i+1}, i = 1, 2, ..., m-1$ , the final ordering is in the decreasing order of the scores, e.g.,
  - plurality: (1,0,...,0,0)
  - **veto**:  $(1,1,\ldots,1,0)$
  - **Borda**: named after French mathematician Jean-Charles de Borda (m-1, m-2, ..., 1, 0)



	1	D			
a	а	С	d	f	
b	b	b	С	$\xrightarrow{f}$	$A = \{a, b, c, d\}$
С	С	d	b		
d	d	а	а		

- Various voting rules exist
- **scoring rules**: each position of each agent gets a score  $(s_1, s_2, \ldots, s_m), s_i \ge s_{i+1}, i = 1, 2, \ldots, m-1$ , the final ordering is in the decreasing order of the scores, e.g.,
  - plurality: (1,0,...,0,0)
  - **veto**:  $(1,1,\ldots,1,0)$
  - **Borda**: named after French mathematician Jean-Charles de Borda  $(m-1, m-2, \ldots, 1, 0)$
  - **harmonic**: (1, 1/2, 1/3, ..., 1/m)



	1	D			
<del>-</del> a	а	С	d	f	
b	b	b	С	$\xrightarrow{j}$	$A = \{a, b, c, d\}$
С	С	d	b		
d	d	а	а		

- Various voting rules exist
- **scoring rules**: each position of each agent gets a score  $(s_1, s_2, \ldots, s_m), s_i \ge s_{i+1}, i = 1, 2, \ldots, m-1$ , the final ordering is in the decreasing order of the scores, e.g.,
  - plurality: (1,0,...,0,0)
  - **veto**:  $(1,1,\ldots,1,0)$
  - Borda: named after French mathematician Jean-Charles de Borda  $(m-1, m-2, \ldots, 1, 0)$
  - harmonic: (1, 1/2, 1/3, ..., 1/m)
  - k-approval:  $(\underbrace{1,1,\ldots,1}_{l},0,0,\ldots,0)$



• plurality with runoff: also called *two round system* (TRS), first round: regular plurality and top two candidates survive, second round: another plurality **only** between the survived two candidates – used in French presidential election



- plurality with runoff: also called *two round system* (TRS), first round: regular plurality and top two candidates survive, second round: another plurality **only** between the survived two candidates used in French presidential election
- maximin: maximizes the minimum lead against other candidates:  $score(a) = min_y |\{i : aP_iy\}|$ , winner is of the highest score



- **plurality with runoff**: also called *two round system* (TRS), first round: regular plurality and top two candidates survive, second round: another plurality **only** between the survived two candidates used in French presidential election
- **maximin**: *maximizes the minimum lead* against other candidates:  $score(a) = min_y |\{i : aP_iy\}|$ , winner is of the highest score

	1	D		
<u> </u>	а		d	$score(a) = min\{2(b), 2(c), 2(d)\} = 2$
	b			$score(b) = min\{2(a), 2(c), 3(d)\} = 2$
С	С	d	b	$score(c) = min\{2(a), 2(b), 3(d)\} = 2$
d	d	а	а	$score(d) = min\{2(a), 1(b), 1(c)\} = 1$



- **plurality with runoff**: also called *two round system* (TRS), first round: regular plurality and top two candidates survive, second round: another plurality **only** between the survived two candidates used in French presidential election
- maximin: maximizes the minimum lead against other candidates:  $score(a) = min_y |\{i : aP_iy\}|$ , winner is of the highest score

P				
<u>а</u>	а	С	d	$score(a) = min\{2(b), 2(c), 2(d)\} = 2$
	b			$score(b) = min\{2(a), 2(c), 3(d)\} = 2$
С	С	d	b	$score(c) = min\{2(a), 2(b), 3(d)\} = 2$
d	d	а	а	$score(d) = min\{2(a), 1(b), 1(c)\} = 1$

• **Copeland**: based on Copeland score = number of wins in pairwise elections



#### Definition



#### Definition

A voting rule is **Condorcet consistent** if it selects *the* Condorcet winner whenever one exists

• Condorcet winner is a candidate who defeats all other candidates in pairwise election



#### Definition

- Condorcet winner is a candidate who defeats all other candidates in pairwise election
- Alas! it may not exist

	P	
а	b	С
b	С	а
С	а	b



#### Definition

- Condorcet winner is a candidate who defeats all other candidates in pairwise election
- Alas! it may not exist

	Р		
а	b	С	the voting rule can choose anything
b	С	а	the voting rule can choose any timig
С	а	b	



#### Definition

- Condorcet winner is a candidate who defeats all other candidates in pairwise election
- Alas! it may not exist

	P		_		P	
a	b	С	the voting rule can choose anything	а	b	C
b	С	а	the voting rule can choose anything	b	а	а
С	а	b		C	C	b



#### Definition

- Condorcet winner is a candidate who defeats all other candidates in pairwise election
- Alas! it may not exist

	P				P		
a	b	С	the voting rule can choose anything	а	b	С	should choose a
b	С	а	the voining rule can choose anything	b	а	а	should choose u
С	а	b		С	С	b	



#### Definition

A voting rule is **Condorcet consistent** if it selects *the* Condorcet winner whenever one exists

- Condorcet winner is a candidate who defeats all other candidates in pairwise election
- Alas! it may not exist

	Р				P		
а	b	С	the voting rule can choose anything	а	b	С	should choose a
b	С	а	the voting rule can choose anything	b	а	а	should choose u
С	а	b		С	С	b	

• Which of the voting rules are Condorcet consistent? plurality, Copeland, maximin?



#### Definition

A voting rule is **Condorcet consistent** if it selects *the* Condorcet winner whenever one exists

- Condorcet winner is a candidate who defeats all other candidates in pairwise election
- Alas! it may not exist

	Р				P		
а	b	С	the voting rule can choose anything	а	b	С	should choose a
b	С	а	the voting rule can choose any timing	b	а	а	should choose u
C	а	b		С	C	b	

• Which of the voting rules are Condorcet consistent? plurality, Copeland, maximin?

30%	30%	40%
а	b	С
b	а	а
С	С	b



#### Definition

A voting rule is **Condorcet consistent** if it selects *the* Condorcet winner whenever one exists

- Condorcet winner is a candidate who defeats all other candidates in pairwise election
- Alas! it may not exist

P					P		
а	b	С	the voting rule can choose anything	а	b	С	should choose a
b	С	а		b	а	а	
С	а	b		С	С	b	

• Which of the voting rules are Condorcet consistent? plurality, Copeland, maximin?

30%   30%	40%
a b	С
b a	а

no **scoring rule** is Condorcet consistent



• Recall, social choice function,  $f: \mathcal{P}^n \to A$ 



- Recall, **social choice function**,  $f: \mathcal{P}^n \to A$
- **Pareto domination**: an alternative a is **Pareto dominated** by b if  $\forall i \in N$ ,  $bP_ia$  (also, a is called Pareto dominated if some such b exists)



- Recall, **social choice function**,  $f: \mathcal{P}^n \to A$
- **Pareto domination**: an alternative a is **Pareto dominated** by b if  $\forall i \in N$ ,  $bP_ia$  (also, a is called Pareto dominated if some such b exists)



- Recall, social choice function,  $f: \mathcal{P}^n \to A$
- Pareto domination: an alternative a is Pareto dominated by b if  $\forall i \in N$ ,  $bP_ia$  (also, a is called Pareto dominated if some such b exists)

#### Definition (Pareto Efficiency)

An SCF f is Pareto efficient (PE) if  $\forall P$  and  $a \in A$ , if a is Pareto dominated, then  $f(P) \neq a$ .



- Recall, social choice function,  $f: \mathcal{P}^n \to A$
- Pareto domination: an alternative a is Pareto dominated by b if  $\forall i \in N$ ,  $bP_ia$  (also, a is called Pareto dominated if some such b exists)

#### Definition (Pareto Efficiency)

An SCF f is Pareto efficient (PE) if  $\forall P$  and  $a \in A$ , if a is Pareto dominated, then  $f(P) \neq a$ .

#### Definition (Unanimity)

An SCF f is unanimous (UN) if  $\forall P$  satisfying  $P_1(1) = P_2(1) = \ldots = P_n(1) = a$  [ $P_i(k)$  is the k-th favorite alternative of i], it holds that f(P) = a.



- Recall, social choice function,  $f: \mathcal{P}^n \to A$
- Pareto domination: an alternative a is Pareto dominated by b if  $\forall i \in N$ ,  $bP_ia$  (also, a is called Pareto dominated if some such b exists)

#### Definition (Pareto Efficiency)

An SCF f is Pareto efficient (PE) if  $\forall P$  and  $a \in A$ , if a is Pareto dominated, then  $f(P) \neq a$ .

#### Definition (Unanimity)

An SCF f is *unanimous* (UN) if  $\forall P$  satisfying  $P_1(1) = P_2(1) = \ldots = P_n(1) = a$  [ $P_i(k)$  is the k-th favorite alternative of i], it holds that f(P) = a.

#### Which implies which?



- Recall, social choice function,  $f: \mathcal{P}^n \to A$
- Pareto domination: an alternative a is Pareto dominated by b if  $\forall i \in N$ ,  $bP_ia$  (also, a is called Pareto dominated if some such b exists)

#### Definition (Pareto Efficiency)

An SCF f is Pareto efficient (PE) if  $\forall P$  and  $a \in A$ , if a is Pareto dominated, then  $f(P) \neq a$ .

#### Definition (Unanimity)

An SCF f is unanimous (UN) if  $\forall P$  satisfying  $P_1(1) = P_2(1) = \ldots = P_n(1) = a$  [ $P_i(k)$  is the k-th favorite alternative of i], it holds that f(P) = a.

Which implies which? if the top choice of all voters is the same, say *a*, all other alternatives are Pareto dominated by *a* 



#### Definition (Onto)

An SCF f is onto (ONTO) if  $\forall a \in A, \exists P^{(a)} \in \mathcal{P}^n \text{ s.t. } f(P^{(a)}) = a.$ 



### Definition (Onto)

An SCF f is onto (ONTO) if  $\forall a \in A$ ,  $\exists P^{(a)} \in \mathcal{P}^n$  s.t.  $f(P^{(a)}) = a$ .

 $UN \Rightarrow ONTO$ 



#### Definition (Onto)

An SCF f is onto (ONTO) if  $\forall a \in A$ ,  $\exists P^{(a)} \in \mathcal{P}^n$  s.t.  $f(P^{(a)}) = a$ .

 $UN \Rightarrow ONTO$ 

**Manipulability**: an SCF f is **manipulable** if  $\exists i \in N$  and a profile P such that,  $f(P'_i, P_{-i})$   $P_i f(P_i, P_{-i})$ , for some  $P'_i$ .



#### Definition (Onto)

An SCF 
$$f$$
 is onto (ONTO) if  $\forall a \in A$ ,  $\exists P^{(a)} \in \mathcal{P}^n$  s.t.  $f(P^{(a)}) = a$ .

 $UN \Rightarrow ONTO$ 

**Manipulability**: an SCF f is **manipulable** if  $\exists i \in N$  and a profile P such that,  $f(P'_i, P_{-i})$   $P_i f(P_i, P_{-i})$ , for some  $P'_i$ . Examples:

 Plurality with fixed tie-breaking a > b > c

$$\begin{array}{c|c|c}
4 & 4 & 1 \\
\hline
a & b & c \\
b & a & b \\
c & c & a
\end{array}$$



#### Definition (Onto)

An SCF 
$$f$$
 is onto (ONTO) if  $\forall a \in A$ ,  $\exists P^{(a)} \in \mathcal{P}^n$  s.t.  $f(P^{(a)}) = a$ .

 $UN \Rightarrow ONTO$ 

**Manipulability**: an SCF f is **manipulable** if  $\exists i \in N$  and a profile P such that,  $f(P'_i, P_{-i})$   $P_i f(P_i, P_{-i})$ , for some  $P'_i$ . Examples:

Plurality with fixed tie-breaking
 a > b > c



#### Definition (Onto)

An SCF f is onto (ONTO) if  $\forall a \in A, \exists P^{(a)} \in \mathcal{P}^n$  s.t.  $f(P^{(a)}) = a$ .

 $UN \Rightarrow ONTO$ 

**Manipulability**: an SCF f is **manipulable** if  $\exists i \in N$  and a profile P such that,  $f(P'_i, P_{-i})$   $P_i$   $f(P_i, P_{-i})$ , for some  $P'_i$ . Examples:

• Plurality with fixed tie-breaking  $a \succ b \succ c$ 

Copeland with fixed tie-breaking

 a > b > c
 Copeland score = number of wins in pairwise elections

1	1	1
а	b	С
b	С	а
С	а	b



#### Definition (Onto)

An SCF f is onto (ONTO) if  $\forall a \in A, \exists P^{(a)} \in \mathcal{P}^n$  s.t.  $f(P^{(a)}) = a$ .

 $UN \Rightarrow ONTO$ 

**Manipulability**: an SCF f is **manipulable** if  $\exists i \in N$  and a profile P such that,  $f(P'_i, P_{-i})$   $P_i$   $f(P_i, P_{-i})$ , for some  $P'_i$ . Examples:

• Plurality with fixed tie-breaking  $a \succ b \succ c$ 

$$\begin{array}{c|ccccc}
4 & 4 & 1 \\
\hline
a & b & c \\
b & a & b \\
c & c & a
\end{array}
\Rightarrow
\begin{array}{c|cccccccc}
4 & 4 & 1 \\
\hline
a & b & b \\
b & a & c \\
c & c & a
\end{array}$$

Copeland with fixed tie-breaking

 a > b > c
 Copeland score = number of wins in pairwise elections

1	1	1			1	
а	b	С	$\Rightarrow$	а	С	С
b	С	а	$\rightarrow$	b	b	а
С	c a	b		С	с в а	b

# Strategyproofness and its implications



#### Definition (Strategyproof)

An SCF is strategyproof (SP) if it is not manipulable by any agent at any profile.

### Implications: monotonicity

• Define **dominated set** of an alternative a at a preference  $P_i$  as

$$D(a, P_i) := \{b \in A : aP_ib\}$$

# Strategyproofness and its implications



#### Definition (Strategyproof)

An SCF is strategyproof (SP) if it is not manipulable by any agent at any profile.

### Implications: monotonicity

• Define **dominated set** of an alternative a at a preference  $P_i$  as

$$D(a, P_i) := \{b \in A : aP_ib\}$$

• The set of alternatives below a in  $P_i$ 

$$P_i = \begin{pmatrix} b \\ a \\ c \\ d \end{pmatrix} \Rightarrow D(a, P_i) = \{c, d\}$$



#### Definition (Monotonicity)

An SCF is *monotone* (MONO) if for every two profiles P and P' that satisfy f(P) = a and  $D(a, P_i) \subseteq D(a, P_i')$ , for all  $i \in N$ , it holds that f(P') = a.



#### Definition (Monotonicity)

An SCF is *monotone* (MONO) if for every two profiles P and P' that satisfy f(P) = a and  $D(a, P_i) \subseteq D(a, P_i')$ , for all  $i \in N$ , it holds that f(P') = a.

• The relative position of *c* has improved from *P* to *P'*; if *c* was the outcome at *P*, it continues to become the outcome at *P'* 



#### Definition (Monotonicity)

An SCF is *monotone* (MONO) if for every two profiles P and P' that satisfy f(P) = a and  $D(a, P_i) \subseteq D(a, P_i')$ , for all  $i \in N$ , it holds that f(P') = a.

• The relative position of *c* has improved from *P* to *P'*; if *c* was the outcome at *P*, it continues to become the outcome at *P'* 

P			P'				
а	а	С	d	С	а	С	d
b	b	b	C	b	С	b	С
С	С	d	b	а	b	d	b
d	d	а	а	d	d	а	а



#### Definition (Monotonicity)

An SCF is *monotone* (MONO) if for every two profiles P and P' that satisfy f(P) = a and  $D(a, P_i) \subseteq D(a, P_i')$ , for all  $i \in N$ , it holds that f(P') = a.

• The relative position of c has improved from P to P'; if c was the outcome at P, it continues to become the outcome at P'

### Theorem

An SCF f is **strategyproof** iff it is **monotone**.

## **Contents**



- ► The Social Choice Setup
- ► The Gibbard-Satterthwaite Theorem
- ▶ Proof of Gibbard-Satterthwaite Theorem
- **▶** Domain Restriction
- ► Median Voting Rule
- ▶ Median Voter Theorem: Part 1
- ▶ Median Voter Theorem: Part 2



#### Theorem

An SCF f is **strategyproof** iff it is **monotone**.



#### Theorem

*An SCF f is* **strategyproof** *iff it is* **monotone**.

**Proof**: (SP  $\implies$  MONO)



#### Theorem

*An SCF f is* **strategyproof** *iff it is* **monotone**.

- Consider the "if" condition of MONO
- P and P' with f(P) = a and  $D(a, P_i) \subseteq D(a, P_i') \ \forall i \in N$



#### Theorem

*An SCF f is* **strategyproof** *iff it is* **monotone**.

- Consider the "if" condition of MONO
- P and P' with f(P) = a and  $D(a, P_i) \subseteq D(a, P'_i) \ \forall i \in N$
- Break the transition from P to P' into n stages:



#### Theorem

*An SCF f is* **strategyproof** *iff it is* **monotone**.

- Consider the "if" condition of MONO
- P and P' with f(P) = a and  $D(a, P_i) \subseteq D(a, P'_i) \ \forall i \in N$
- Break the transition from P to P' into n stages:

$$(P_1, P_2, P_3, \dots, P_n) \rightarrow (P'_1, P_2, P_3, \dots P_n)$$
  
 $P = P^{(0)}$ 



#### Theorem

*An SCF f is* **strategyproof** *iff it is* **monotone**.

- Consider the "if" condition of MONO
- P and P' with f(P) = a and  $D(a, P_i) \subseteq D(a, P'_i) \ \forall i \in N$
- Break the transition from P to P' into n stages:

$$(P_1, P_2, P_3, \dots, P_n) \rightarrow (P'_1, P_2, P_3, \dots P_n) \rightarrow (P'_1, P'_2, P_3, \dots, P_n)$$
  
 $P = P^{(0)}$   $P^{(1)}$ 



#### Theorem

*An SCF f is strategyproof iff it is monotone.* 

- Consider the "if" condition of MONO
- P and P' with f(P) = a and  $D(a, P_i) \subseteq D(a, P'_i) \ \forall i \in N$
- Break the transition from P to P' into n stages:

$$(P_{1}, P_{2}, P_{3}, \dots, P_{n}) \rightarrow (P'_{1}, P_{2}, P_{3}, \dots P_{n}) \rightarrow (P'_{1}, P'_{2}, P_{3}, \dots, P_{n})$$

$$P = P^{(0)} \qquad P^{(1)} \qquad P^{(2)}$$

$$\cdots \rightarrow (P'_{1}, \dots P'_{k}, P_{k+1}, \dots P_{n}) \rightarrow (P'_{1}, \dots P'_{n})$$

$$P^{(k)} \qquad P^{(n)} = P'$$



Claim: 
$$f(P^{(k)}) = a, \forall k = 1, \dots, n.$$



$$(P_{1}, P_{2}, P_{3}, \dots, P_{n}) \rightarrow (P'_{1}, P_{2}, P_{3}, \dots P_{n}) \rightarrow (P'_{1}, P'_{2}, P_{3}, \dots, P_{n})$$

$$P = P^{(0)} \qquad P^{(1)} \qquad P^{(2)}$$

$$\cdots \rightarrow (P'_{1}, \dots P'_{k}, P_{k+1}, \dots P_{n}) \rightarrow (P'_{1} \cdots P'_{n})$$

$$P^{(k)} \qquad P^{(n)} = P'$$

**Claim:** 
$$f(P^{(k)}) = a, \ \forall k = 1, ..., n.$$

• Suppose not, i.e.,  $\exists P^{(k-1)}, P^{(k)}$ , s.t.  $f(P^{(k-1)}) = a, f(P^{(k)}) = b \neq a$ 



$$(P_{1}, P_{2}, P_{3}, \dots, P_{n}) \rightarrow (P'_{1}, P_{2}, P_{3}, \dots P_{n}) \rightarrow (P'_{1}, P'_{2}, P_{3}, \dots, P_{n})$$

$$P = P^{(0)} \qquad P^{(1)} \qquad P^{(2)}$$

$$\cdots \rightarrow (P'_{1}, \dots P'_{k}, P_{k+1}, \dots P_{n}) \rightarrow (P'_{1} \cdots P'_{n})$$

$$P^{(k)} \qquad P^{(n)} = P'$$

**Claim:** 
$$f(P^{(k)}) = a, \ \forall k = 1, ..., n.$$

- Suppose not, i.e.,  $\exists P^{(k-1)}, P^{(k)}$ , s.t.  $f(P^{(k-1)}) = a, f(P^{(k)}) = b \neq a$
- There can be one of the three cases:
  - lacktriangledown  $a P_k b$  and  $a P_k' b o voter k$  misreports  $P_k' o P_k$



$$(P_{1}, P_{2}, P_{3}, \dots, P_{n}) \rightarrow (P'_{1}, P_{2}, P_{3}, \dots P_{n}) \rightarrow (P'_{1}, P'_{2}, P_{3}, \dots, P_{n})$$

$$P = P^{(0)} \qquad P^{(1)} \qquad P^{(2)}$$

$$\cdots \rightarrow (P'_{1}, \dots P'_{k}, P_{k+1}, \dots P_{n}) \rightarrow (P'_{1} \cdots P'_{n})$$

$$P^{(k)} \qquad P^{(n)} = P'$$

**Claim:** 
$$f(P^{(k)}) = a, \ \forall k = 1, ..., n.$$

- Suppose not, i.e.,  $\exists P^{(k-1)}, P^{(k)}$ , s.t.  $f(P^{(k-1)}) = a$ ,  $f(P^{(k)}) = b \neq a$
- There can be one of the three cases:
  - a P<sub>k</sub> b and a P'<sub>k</sub> b → voter k misreports P'<sub>k</sub> → P<sub>k</sub>
    b P<sub>k</sub> a and b P'<sub>k</sub> a → voter k misreports P<sub>k</sub> → P'<sub>k</sub>



**Claim:** 
$$f(P^{(k)}) = a, \ \forall k = 1, ..., n.$$

- Suppose not, i.e.,  $\exists P^{(k-1)}, P^{(k)}$ , s.t.  $f(P^{(k-1)}) = a$ ,  $f(P^{(k)}) = b \neq a$
- There can be one of the three cases:

  - a P<sub>k</sub> b and a P'<sub>k</sub> b → voter k misreports P'<sub>k</sub> → P<sub>k</sub>
    b P<sub>k</sub> a and b P'<sub>k</sub> a → voter k misreports P<sub>k</sub> → P'<sub>k</sub>
  - **1**  $b P_k a$  and  $a P_k b \rightarrow \text{voter } k \text{ misreports in both}$



$$(P_{1}, P_{2}, P_{3}, \dots, P_{n}) \rightarrow (P'_{1}, P_{2}, P_{3}, \dots P_{n}) \rightarrow (P'_{1}, P'_{2}, P_{3}, \dots, P_{n})$$

$$P = P^{(0)} \qquad P^{(1)} \qquad P^{(2)}$$

$$\cdots \rightarrow (P'_{1}, \dots P'_{k}, P_{k+1}, \dots P_{n}) \rightarrow (P'_{1} \cdots P'_{n})$$

$$P^{(k)} \qquad P^{(n)} = P'$$

**Claim:** 
$$f(P^{(k)}) = a, \ \forall k = 1, ..., n.$$

- Suppose not, i.e.,  $\exists P^{(k-1)}, P^{(k)}$ , s.t.  $f(P^{(k-1)}) = a$ ,  $f(P^{(k)}) = b \neq a$
- There can be one of the three cases:
  - a P<sub>k</sub> b and a P'<sub>k</sub> b → voter k misreports P'<sub>k</sub> → P<sub>k</sub>
    b P<sub>k</sub> a and b P'<sub>k</sub> a → voter k misreports P<sub>k</sub> → P'<sub>k</sub>

  - $b P_k a$  and  $a P_k' b \rightarrow \text{voter } k \text{ misreports in both}$
- Contradiction to f being SP

## **Proof of SP** ⇔ **MONO (contd.)**



• For (SP  $\iff$  MONO), we will prove  $\neg$ SP  $\implies$   $\neg$ MONO



- For (SP  $\leftarrow$  MONO), we will prove  $\neg$ SP  $\Longrightarrow \neg$ MONO
- Suppose not, i.e., f is  $\neg SP$  but MONO

## **Proof of SP** ⇔ **MONO** (contd.)



- For (SP  $\Leftarrow$  MONO), we will prove  $\neg$ SP  $\Longrightarrow \neg$ MONO
- Suppose not, i.e., f is  $\neg SP$  but MONO
- ¬SP implies that  $\exists i, P_i, P'_i, P_{-i}$ , s.t.  $\underbrace{f(P'_i, P_{-i})}_{b \text{ (say)}} P_i \underbrace{f(P_i, P_{-i})}_{a \text{ (say)}} = b P_i a$



- For (SP  $\Leftarrow$  MONO), we will prove  $\neg$ SP  $\Longrightarrow \neg$ MONO
- Suppose not, i.e., f is  $\neg SP$  but MONO
- ¬SP implies that  $\exists i, P_i, P'_i, P_{-i}$ , s.t.  $\underbrace{f(P'_i, P_{-i})}_{b \text{ (say)}} P_i \underbrace{f(P_i, P_{-i})}_{a \text{ (say)}} = b P_i a$
- Construct P'' s.t.  $P''_{-i} = P_{-i}, P''_{i}(1) = b, P''_{i}(2) = a$



- For (SP  $\Leftarrow$  MONO), we will prove  $\neg$ SP  $\Longrightarrow \neg$ MONO
- Suppose not, i.e., f is  $\neg SP$  but MONO
- ¬SP implies that  $\exists i, P_i, P'_i, P_{-i}$ , s.t.  $\underbrace{f(P'_i, P_{-i})}_{b \text{ (say)}} P_i \underbrace{f(P_i, P_{-i})}_{a \text{ (say)}} = b P_i a$
- Construct P'' s.t.  $P''_{-i} = P_{-i}, P''_{i}(1) = b, P''_{i}(2) = a$
- Consider two transitions:



- For (SP  $\Leftarrow$  MONO), we will prove  $\neg$ SP  $\Longrightarrow \neg$ MONO
- Suppose not, i.e., f is  $\neg SP$  but MONO
- ¬SP implies that  $\exists i, P_i, P'_i, P_{-i}$ , s.t.  $\underbrace{f(P'_i, P_{-i})}_{b \text{ (say)}} P_i \underbrace{f(P_i, P_{-i})}_{a \text{ (say)}} = b P_i a$
- Construct P'' s.t.  $P''_{-i} = P_{-i}, P''_{i}(1) = b, P''_{i}(2) = a$
- Consider two transitions:

$$(P_i, P_{-i}) \to (P_i'', P_{-i})$$

$$D(a, P_i) \subseteq D(a, P_i'') \xrightarrow{\text{MONO}} f(P_i'', P_{-i}) = a$$

## **Proof of SP** ⇔ **MONO** (contd.)



- For (SP  $\Leftarrow$  MONO), we will prove  $\neg$ SP  $\Longrightarrow \neg$ MONO
- Suppose not, i.e., f is  $\neg SP$  but MONO
- ¬SP implies that  $\exists i, P_i, P'_i, P_{-i}$ , s.t.  $\underbrace{f(P'_i, P_{-i})}_{b \text{ (say)}} P_i \underbrace{f(P_i, P_{-i})}_{a \text{ (say)}} = b P_i a$
- Construct P'' s.t.  $P''_{-i} = P_{-i}, P''_{i}(1) = b, P''_{i}(2) = a$
- Consider two transitions:
  - $(P_i, P_{-i}) \to (P_i'', P_{-i})$   $D(a, P_i) \subseteq D(a, P_i'') \xrightarrow{\text{MONO}} f(P_i'', P_{-i}) = a$
  - $(P'_i, P_{-i}) \to (P''_i, P_{-i})$   $D(b, P'_i) \subseteq D(b, P''_i) \xrightarrow{\text{MONO}} f(P''_i, P_{-i}) = b \text{ (contradiction)}$

## **Proof of SP** ⇔ **MONO** (contd.)



- For (SP  $\Leftarrow$  MONO), we will prove  $\neg$ SP  $\Longrightarrow \neg$ MONO
- Suppose not, i.e., f is  $\neg SP$  but MONO
- ¬SP implies that  $\exists i, P_i, P'_i, P_{-i}$ , s.t.  $\underbrace{f(P'_i, P_{-i})}_{b \text{ (say)}} P_i \underbrace{f(P_i, P_{-i})}_{a \text{ (say)}} = b P_i a$
- Construct P'' s.t.  $P''_{-i} = P_{-i}, P''_{i}(1) = b, P''_{i}(2) = a$
- Consider two transitions:
  - $(P_i, P_{-i}) \to (P_i'', P_{-i})$   $D(a, P_i) \subseteq D(a, P_i'') \xrightarrow{\text{MONO}} f(P_i'', P_{-i}) = a$
  - $(P'_i, P_{-i}) \to (P''_i, P_{-i})$   $D(b, P'_i) \subseteq D(b, P''_i) \xrightarrow{\text{MONO}} f(P''_i, P_{-i}) = b \text{ (contradiction)}$
- This concludes the proof

## Equivalence of PE, UN, ONTO under SP



#### Lemma

If an SCF f is MONO and ONTO, then f is PE.

# Equivalence of PE, UN, ONTO under SP



#### Lemma

*If an SCF f is MONO and ONTO, then f is PE.* 

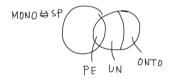


Figure: Relation between SCFs



• Suppose not, i.e. f is MONO and ONTO but not PE then  $\exists a, b, P$  s.t., b  $P_i$  a  $\forall i \in N$  but f(P) = a



- Suppose not, i.e. f is MONO and ONTO but not PE then  $\exists a, b, P$  s.t., b  $P_i$  a  $\forall i \in N$  but f(P) = a
- Construct P'' s.t.  $P''_i(1) = b$ ,  $P''_i(2) = a$ ,  $\forall i \in N$



- Suppose not, i.e. f is MONO and ONTO but not PE then  $\exists a, b, P$  s.t., b  $P_i$  a  $\forall i \in N$  but f(P) = a
- Construct P'' s.t.  $P''_i(1) = b$ ,  $P''_i(2) = a$ ,  $\forall i \in N$
- Since f is ONTO,  $\exists P'$ , s.t., f(P') = b



- Suppose not, i.e. f is MONO and ONTO but not PE then  $\exists a, b, P$  s.t., b  $P_i$  a  $\forall i \in N$  but f(P) = a
- Construct P'' s.t.  $P''_i(1) = b$ ,  $P''_i(2) = a$ ,  $\forall i \in N$
- Since f is ONTO,  $\exists P'$ , s.t., f(P') = b
- Clearly,  $D(b, P_i') \subseteq D(b, P_i'') \ \forall i \in N \xrightarrow{MONO} f(P'') = b$



- Suppose not, i.e. f is MONO and ONTO but not PE then  $\exists a, b, P$  s.t., b  $P_i$  a  $\forall i \in N$  but f(P) = a
- Construct P'' s.t.  $P''_i(1) = b$ ,  $P''_i(2) = a$ ,  $\forall i \in N$
- Since f is ONTO,  $\exists P'$ , s.t., f(P') = b
- Clearly,  $D(b, P'_i) \subseteq D(b, P''_i) \ \forall i \in N \xrightarrow{MONO} f(P'') = b$
- Also  $D(a, P_i) \subseteq D(a, P_i'') \ \forall i \in N \xrightarrow{MONO} f(P'') = a \text{ (contradiction)}$



- Suppose not, i.e. f is MONO and ONTO but not PE then  $\exists a, b, P$  s.t., b  $P_i$  a  $\forall i \in N$  but f(P) = a
- Construct P'' s.t.  $P''_i(1) = b$ ,  $P''_i(2) = a$ ,  $\forall i \in N$
- Since f is ONTO,  $\exists P'$ , s.t., f(P') = b
- Clearly,  $D(b, P'_i) \subseteq D(b, P''_i) \ \forall i \in N \xrightarrow{MONO} f(P'') = b$
- Also  $D(a, P_i) \subseteq D(a, P_i'') \ \forall i \in N \xrightarrow{MONO} f(P'') = a \text{ (contradiction)}$
- Hence proved

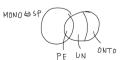


- Suppose not, i.e. f is MONO and ONTO but not PE then  $\exists a, b, P$  s.t., b  $P_i$  a  $\forall i \in N$  but f(P) = a
- Construct P'' s.t.  $P''_i(1) = b$ ,  $P''_i(2) = a$ ,  $\forall i \in N$
- Since f is ONTO,  $\exists P'$ , s.t., f(P') = b
- Clearly,  $D(b, P'_i) \subseteq D(b, P''_i) \ \forall i \in N \xrightarrow{MONO} f(P'') = b$
- Also  $D(a, P_i) \subseteq D(a, P_i'') \ \forall i \in N \xrightarrow{MONO} f(P'') = a \text{ (contradiction)}$
- Hence proved



- Suppose not, i.e. f is MONO and ONTO but not PE then  $\exists a, b, P$  s.t., b  $P_i$  a  $\forall i \in N$  but f(P) = a
- Construct P'' s.t.  $P''_i(1) = b$ ,  $P''_i(2) = a$ ,  $\forall i \in N$
- Since f is ONTO,  $\exists P'$ , s.t., f(P') = b
- Clearly,  $D(b, P'_i) \subseteq D(b, P''_i) \ \forall i \in N \xrightarrow{MONO} f(P'') = b$
- Also  $D(a, P_i) \subseteq D(a, P_i'') \ \forall i \in N \xrightarrow{MONO} f(P'') = a \text{ (contradiction)}$
- Hence proved

 $\textbf{Corollary:} \ f \ \text{is SP+PE} \iff f \ \text{is SP+UN} \iff f \ \text{is SP+ONTO}$ 





Theorem (Gibbard 1973, Satterthwaite 1975)

Suppose  $|A| \ge 3$ , f is ONTO and SP iff f is dictatorial.



#### Theorem (Gibbard 1973, Satterthwaite 1975)

Suppose  $|A| \ge 3$ , f is ONTO and SP iff f is dictatorial.

The statements with f is PE (or UN) and SP are equivalent.



#### Theorem (Gibbard 1973, Satterthwaite 1975)

Suppose  $|A| \ge 3$ , f is ONTO and SP iff f is dictatorial.

The statements with *f* is PE (or UN) and SP are equivalent.

#### So, what did just happen?

• No reasonable voting rule is **truthful** 



#### Theorem (Gibbard 1973, Satterthwaite 1975)

Suppose  $|A| \ge 3$ , f is ONTO and SP iff f is dictatorial.

The statements with *f* is PE (or UN) and SP are equivalent.

#### So, what did just happen?

- No reasonable voting rule is truthful
- Plurality, Borda, Copeland, Maximin, ...



#### Theorem (Gibbard 1973, Satterthwaite 1975)

Suppose  $|A| \ge 3$ , f is ONTO and SP iff f is dictatorial.

The statements with *f* is PE (or UN) and SP are equivalent.

#### So, what did just happen?

- No reasonable voting rule is **truthful**
- Plurality, Borda, Copeland, Maximin, ...
- Crucial: the preferences are unrestricted, i.e., all m! preference profiles are in the domain of the SCF f

### **Contents**



- ► The Social Choice Setup
- ► The Gibbard-Satterthwaite Theorem
- ▶ Proof of Gibbard-Satterthwaite Theorem
- **▶** Domain Restriction
- ► Median Voting Rule
- ▶ Median Voter Theorem: Part 1
- ▶ Median Voter Theorem: Part 2



| A| = 2: GS theorem does not hold. Plurality with a fixed tie breaking rule is SP, ONTO, and non-dictatorial



- |A| = 2: GS theorem does not hold. Plurality with a fixed tie breaking rule is SP, ONTO, and non-dictatorial
- ullet The domain is  $\mathcal{P}$ : all permutations of the alternatives are feasible. Intuitively, every votes has many options to misreport. If the domain was limited, then GS may not hold.



- |A| = 2: GS theorem does not hold. Plurality with a fixed tie breaking rule is SP, ONTO, and non-dictatorial
- ullet The domain is  $\mathcal{P}$ : all permutations of the alternatives are feasible. Intuitively, every votes has many options to misreport. If the domain was limited, then GS may not hold.
- Indifference in preferences: in general, GS theorem does not hold. In the proof, we use some specific constructions. If they are possible, then GS theorem holds.



- |A| = 2: GS theorem does not hold. Plurality with a fixed tie breaking rule is SP, ONTO, and non-dictatorial
- ullet The domain is  $\mathcal{P}$ : all permutations of the alternatives are feasible. Intuitively, every votes has many options to misreport. If the domain was limited, then GS may not hold.
- Indifference in preferences: in general, GS theorem does not hold. In the proof, we use some specific constructions. If they are possible, then GS theorem holds.
- Cardinalization: GS theorem will hold as long as all possible ordinal ranks are feasible in the cardinal preferences.



• For the proof, we will follow a direct approach (Sen 2001)



- For the proof, we will follow a direct approach (Sen 2001)
- First prove for n = 2 and then apply induction on the number of agents



- For the proof, we will follow a direct approach (Sen 2001)
- First prove for n = 2 and then apply induction on the number of agents



- For the proof, we will follow a direct approach (Sen 2001)
- First prove for n = 2 and then apply induction on the number of agents

#### Lemma

Suppose  $|A| \ge 3$ ,  $N = \{1,2\}$ , and f is ONTO and SP, then for every preference profile P,  $f(P) \in \{P_1(1), P_2(1)\}$ 



- For the proof, we will follow a direct approach (Sen 2001)
- First prove for n = 2 and then apply induction on the number of agents

#### Lemma

Suppose  $|A| \ge 3$ ,  $N = \{1,2\}$ , and f is ONTO and SP, then for every preference profile P,  $f(P) \in \{P_1(1), P_2(1)\}$ 

#### **Proof:**

• If  $P_1(1) = P_2(1)$ , then UN implies  $f(P) = P_1(1)$  (ONTO  $\iff$  UN under SP)



- For the proof, we will follow a direct approach (Sen 2001)
- First prove for n = 2 and then apply induction on the number of agents

#### Lemma

Suppose  $|A| \ge 3$ ,  $N = \{1,2\}$ , and f is ONTO and SP, then for every preference profile P,  $f(P) \in \{P_1(1), P_2(1)\}$ 

- If  $P_1(1) = P_2(1)$ , then UN implies  $f(P) = P_1(1)$  (ONTO  $\iff$  UN under SP)
- Say  $P_1(1) = a \neq b = P_2(1)$ . For contradiction assume  $f(P) = c \neq a, b$  (need at least 3 alternatives)



• Now  $f(P_1, P_2') \in \{a, b\}$  [because all alternatives except b are Pareto dominated by a]



$P_1$	$P_2$	$P_1$	$P_2'$	$P_1'$	$P_2'$	$P_1'$	$P_2$	
а	b	а	b	а	b	а	b	$f(P_1, P_2) = c(\neq a,$
	<i>b</i>		а	b	а	b		$f(1,1,2) = c(\gamma - u,$

- Now  $f(P_1, P_2') \in \{a, b\}$  [because all alternatives except b are Pareto dominated by a]
- But if  $f(P_1, P_2') = b$ , then player 2 manipulates from  $P_2$  to  $P_2'$ , hence  $f(P_1, P_2') = a$



$P_1$	$P_2$	$P_1$	$P_2'$	$P_1'$	$P_2'$	$P_1'$	$P_2$	
а	b	а	b	а	b a	а	b	$f(P_1, P_2) = c(\neq a, b)$
			а	b	а	b		f(1,1,2) = c(-u,v)

- Now  $f(P_1, P_2') \in \{a, b\}$  [because all alternatives except b are Pareto dominated by a]
- But if  $f(P_1, P_2') = b$ , then player 2 manipulates from  $P_2$  to  $P_2'$ , hence  $f(P_1, P_2') = a$
- By a similar argument,  $f(P_1', P_2) = b$



- Now  $f(P_1, P_2') \in \{a, b\}$  [because all alternatives except b are Pareto dominated by a]
- But if  $f(P_1, P_2') = b$ , then player 2 manipulates from  $P_2$  to  $P_2'$ , hence  $f(P_1, P_2') = a$
- By a similar argument,  $f(P'_1, P_2) = b$
- Now apply MONO



- Now  $f(P_1, P_2') \in \{a, b\}$  [because all alternatives except b are Pareto dominated by a]
- But if  $f(P_1, P_2') = b$ , then player 2 manipulates from  $P_2$  to  $P_2'$ , hence  $f(P_1, P_2') = a$
- By a similar argument,  $f(P'_1, P_2) = b$
- Now apply MONO
  - $P'_1, P_2 \rightarrow P'_1, P'_2$  outcome should be b



$P_1$	$P_2$	$P_1$	$P_2'$	$P_1'$	$P_2'$	$P_1'$	$P_2$	
а	b	а	b	а	b a	а	b	$f(P_1, P_2) = c(\neq a, b)$
			а	b	а	b		f(1,1,2) = c(-u,v)

- Now  $f(P_1, P_2) \in \{a, b\}$  [because all alternatives except b are Pareto dominated by a]
- But if  $f(P_1, P_2') = b$ , then player 2 manipulates from  $P_2$  to  $P_2'$ , hence  $f(P_1, P_2') = a$
- By a similar argument,  $f(P'_1, P_2) = b$
- Now apply MONO

  - $P_1', P_2 \rightarrow P_1', P_2'$  outcome should be b-  $P_1, P_2' \rightarrow P_1', P_2'$  outcome should be a (contradiction)



#### Lemma (Two player version of GS theorem)

Suppose  $|A| \geqslant 3$ ,  $N = \{1, 2\}$ , and f is ONTO and SP

- Let  $P: P_1(1) = a \neq b = P_2(1), P': P'(1) = c, P'_2(1) = d$
- If f(P) = a, then f(P') = c
- If f(P) = b, then f(P') = d



#### Lemma (Two player version of GS theorem)

Suppose  $|A| \ge 3$ ,  $N = \{1, 2\}$ , and f is ONTO and SP

- Let  $P: P_1(1) = a \neq b = P_2(1), P': P'(1) = c, P'_2(1) = d$
- If f(P) = a, then f(P') = c
- If f(P) = b, then f(P') = d

**Proof:** If c = d, unanimity proved the lemma. Hence consider  $c \neq d$ .

cases ↓	С	d
1	а	b
2	$\neq a, b$	b
3	$\neq a, b$	$\neq b$
4	а	$\neq a, b$
5	b	$\neq a, b$
6	b	a

- Enough to consider the case: if  $f(P) = a \implies f(P') = c$
- The other case is symmetric
- These cases are exhaustive



**Case 1**: c = a, d = b,

• We know (by previous lemma)  $f(P') \in \{a, b\}$ 

$$\begin{array}{ccc} P_1 & P_2 & \xrightarrow{MONO} & \hat{P}_1 & \hat{P}_2 \\ a & & a \end{array}$$

$$\begin{array}{ccc} P_1' & P_2' & \xrightarrow{MONO} & \hat{P}_1 & \hat{P}_2 \\ b & & b \end{array}$$



**Case 1**: c = a, d = b,

- We know (by previous lemma)  $f(P') \in \{a, b\}$
- Say for contradiction f(P') = b

$$\begin{array}{ccc} P_1 P_2 & \xrightarrow{MONO} & \hat{P}_1 \hat{P}_2 \\ a & & a \end{array}$$

$$\begin{array}{ccc} P_1' & P_2' & \xrightarrow{MONO} & \hat{P}_1 & \hat{P}_2 \\ b & & b \end{array}$$



**Case 2**:  $c \neq a, b, d = b$ ,

• We know (by previous lemma)  $f(P') \in \{c, b\}$ 



**Case 2**:  $c \neq a, b, d = b$ ,

- We know (by previous lemma)  $f(P') \in \{c, b\}$
- Say for contradiction f(P') = b



Case 3:  $c \neq a, b$ , and  $d \neq b$ ,

• Say f(P') = d

$$P' \rightarrow \hat{P}$$
  $f(\hat{P}) = b \text{ (case 2)}$   
 $P \rightarrow \hat{P}$   $f(\hat{P}) = d \text{ (case 2)}$ 



**Case 4**: c = a, and  $d \neq b$ , a

• Say f(P') = d

$$P' \rightarrow \hat{P}$$
  $f(\hat{P}) = b \text{ (case 2)}$   
 $P \rightarrow \hat{P}$   $f(\hat{P}) = a \text{ (case 1)}$ 



Case 5: c = b, and  $d \neq b$ , a

• Say f(P') = d

$$P' \rightarrow \hat{P}$$
  $f(\hat{P}) = d \text{ (case 4)}$   $P \rightarrow \hat{P}$   $f(\hat{P}) = a \text{ (case 4)}$ 



Case 6: c = b, and d = a

$$P' \rightarrow (\hat{P}_1 P'_2),$$
  $f(\hat{P}_1 P'_2) = a \text{ (case 1)}$   
 $P' \rightarrow (\tilde{P}_1 P'_2),$   $f(\tilde{P}_1 P'_2) = x \text{ (case 3)}$ 

- Player 1 manipulates from  $\hat{P}_1 P'_1 \rightarrow \tilde{P}_1 P'_2$ , since  $x \hat{P}_1 a$
- This completes the proof of n = 2 agent case
- n ≥ 3 agent case: induction on the number of agents. See Sen (2001): "A direct proof of GS theorem", Economics Letters

#### **Contents**



- ► The Social Choice Setup
- ► The Gibbard-Satterthwaite Theorem
- ▶ Proof of Gibbard-Satterthwaite Theorem
- **▶** Domain Restriction
- ► Median Voting Rule
- ▶ Median Voter Theorem: Part 1
- ▶ Median Voter Theorem: Part 2



$$f:\mathcal{P}^n\to A$$

ullet  ${\cal P}$  contains all strict preferences



$$f:\mathcal{P}^n\to A$$

- $\mathcal{P}$  contains all strict preferences
- One reason for a restrictive result like GS theorem is that the domain of the SCF is large



$$f: \mathcal{P}^n \to A$$

- $\mathcal{P}$  contains all strict preferences
- One reason for a restrictive result like GS theorem is that the domain of the SCF is large
- A potential manipulator has many options to manipulate



$$f: \mathcal{P}^n \to A$$

- $\mathcal{P}$  contains all strict preferences
- One reason for a restrictive result like GS theorem is that the domain of the SCF is large
- A potential manipulator has many options to manipulate
- Strategyproofness (an alternative definition):

$$f(P_i, P_{-i}) \ P_i f(P'_i, P_{-i}) \quad \text{OR} \quad f(P_i, P_{-i}) = f(P'_i, P_{-i}), \forall P_i, P'_i \in \mathcal{P}, \forall i \in \mathbb{N}, \forall P_{-i} \in \mathcal{P}^{n-1}$$



$$f:\mathcal{P}^n\to A$$

- ullet  $\mathcal P$  contains all strict preferences
- One reason for a restrictive result like GS theorem is that the domain of the SCF is large
- A potential manipulator has many options to manipulate
- Strategyproofness (an alternative definition):

$$f(P_i, P_{-i}) \ P_i f(P'_i, P_{-i}) \quad \text{OR} \quad f(P_i, P_{-i}) = f(P'_i, P_{-i}), \forall P_i, P'_i \in \mathcal{P}, \forall i \in \mathbb{N}, \forall P_{-i} \in \mathcal{P}^{n-1}$$

• If we reduce the set of feasible preferences from  $\mathcal P$  to  $\mathcal S\subset \mathcal P$ 



$$f: \mathcal{P}^n \to A$$

- $\mathcal{P}$  contains all strict preferences
- One reason for a restrictive result like GS theorem is that the domain of the SCF is large
- A potential manipulator has many options to manipulate
- Strategyproofness (an alternative definition):

$$f(P_i, P_{-i}) \ P_i f(P'_i, P_{-i}) \quad \text{OR} \quad f(P_i, P_{-i}) = f(P'_i, P_{-i}), \forall P_i, P'_i \in \mathcal{P}, \forall i \in \mathbb{N}, \forall P_{-i} \in \mathcal{P}^{n-1}$$

- If we reduce the set of feasible preferences from  $\mathcal P$  to  $\mathcal S\subset \mathcal P$ 
  - the SCF f strategyproof on  $\mathcal P$  continues to be strategyproof over  $\mathcal S$



$$f: \mathcal{P}^n \to A$$

- $\mathcal{P}$  contains all strict preferences
- One reason for a restrictive result like GS theorem is that the domain of the SCF is large
- A potential manipulator has many options to manipulate
- Strategyproofness (an alternative definition):

$$f(P_i, P_{-i}) P_i f(P'_i, P_{-i}) \quad \text{OR} \quad f(P_i, P_{-i}) = f(P'_i, P_{-i}), \forall P_i, P'_i \in \mathcal{P}, \forall i \in \mathbb{N}, \forall P_{-i} \in \mathcal{P}^{n-1}$$

- If we reduce the set of feasible preferences from  $\mathcal P$  to  $\mathcal S\subset \mathcal P$ 
  - the SCF f strategyproof on  $\mathcal P$  continues to be strategyproof over  $\mathcal S$
  - but there can potentially be more f's that can be strategyproof on the **restricted domain**

#### **Domain restrictions**



- Single peaked preferences
- Divisible goods allocation
- Quasi-linear preferences

Each of these domains have interesting non-dictatorial SCFs that are strategyproof



• Temperature of a room



- Temperature of a room
- For every agent, most comfortable temperature  $t_i^*$



- Temperature of a room
- For every agent, most comfortable temperature  $t_i^*$
- Anything above or below are monotonically less preferred



- Temperature of a room
- For every agent, most comfortable temperature  $t_i^*$
- Anything above or below are monotonically less preferred



- Temperature of a room
- For every agent, most comfortable temperature  $t_i^*$
- · Anything above or below are monotonically less preferred

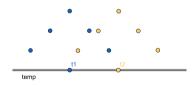


Figure: Single peaked temperature preference



• One **common order** over the alternatives



- One **common order** over the alternatives
- Agent preferences are single peaked w.r.t. that common order



- One **common order** over the alternatives
- Agent preferences are single peaked w.r.t. that common order
- Other examples:



- One **common order** over the alternatives
- Agent preferences are single peaked w.r.t. that common order
- Other examples:
  - Facility location: School/Hospital/Post office



- One **common order** over the alternatives
- Agent preferences are single peaked w.r.t. that common order
- Other examples:
  - Facility location: School/Hospital/Post office
  - Political ideology: Left, Center, Right



- One common order over the alternatives
- Agent preferences are single peaked w.r.t. that common order
- Other examples:
  - Facility location: School/Hospital/Post office
  - Political ideology: Left, Center, Right
- The common ordering of the alternatives is denoted via < [as in real numbers]



- One **common order** over the alternatives
- Agent preferences are single peaked w.r.t. that common order
- Other examples:
  - Facility location: School/Hospital/Post office
  - Political ideology: Left, Center, Right
- The common ordering of the alternatives is denoted via < [as in real numbers]
- Any relation over the alternatives that is transitive and antisymmetric. In this course, we will assume:



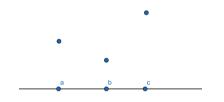
- One common order over the alternatives
- Agent preferences are single peaked w.r.t. that common order
- Other examples:
  - Facility location: School/Hospital/Post office
  - Political ideology: Left, Center, Right
- The common ordering of the alternatives is denoted via < [as in real numbers]
- Any relation over the alternatives that is transitive and antisymmetric. In this course, we will assume:
  - alternatives live on a real line



- One **common order** over the alternatives
- Agent preferences are single peaked w.r.t. that common order
- Other examples:
  - Facility location: School/Hospital/Post office
  - Political ideology: Left, Center, Right
- The common ordering of the alternatives is denoted via < [as in real numbers]</li>
- Any relation over the alternatives that is transitive and antisymmetric. In this course, we will assume:
  - alternatives live on a real line
  - consider only one-dimensional single-peakedness



#### How is it a domain restriction?



Consider a < b < c, all possible orderings:

#### Definition (Single peaked preferences)

A preference ordering  $P_i$  (linear over A) of agent i is single-peaked w.r.t. the common order < of the alternatives if

- $\forall b, c \in A \text{ with } P_i(1) \leq b < c, bP_ic$



• Let  $\mathcal S$  be the set of single peaked preferences. The SCF:  $f:\mathcal S^n\to A$ 



• Let S be the set of single peaked preferences. The SCF:  $f:S^n\to A$ 

#### Question

How does it circumvent GS theorem?



• Let S be the set of single peaked preferences. The SCF:  $f: S^n \to A$ 

#### Question

How does it circumvent GS theorem?

#### Answer

Each player's preference has a peak. Suppose, f picks the leftmost peak. For the agent having the leftmost peak, no reason to misreport. For any other agent, the only way she can change the outcome is by reporting her peak to be left of the leftmost – but that is strictly worse than the current outcome.

Repeat this argument for any fixed  $k^{th}$  peak from left. Even the rightmost peak choosing SCF is also strategyproof, so is the median  $(k = \left[\frac{n}{2}\right])$ 

#### **Contents**



- ► The Social Choice Setup
- ► The Gibbard-Satterthwaite Theorem
- ▶ Proof of Gibbard-Satterthwaite Theorem
- **▶** Domain Restriction
- ► Median Voting Rule
- ▶ Median Voter Theorem: Part 1
- ▶ Median Voter Theorem: Part 2

#### Median voter SCF



#### Definition

An SCF  $f: \mathcal{S}^n \to A$  is a median voter SCF if there exists  $B = \{y_1, y_2, \dots, y_{n-1}\}$  s.t. f(P) = median(B, peaks(P)) for all preference profiles  $P \in \mathcal{S}$ 

#### Median voter SCF



#### Definition

An SCF  $f: S^n \to A$  is a median voter SCF if there exists  $B = \{y_1, y_2, \dots, y_{n-1}\}$  s.t. f(P) = median(B, peaks(P)) for all preference profiles  $P \in S$ 

• Here, the median is w.r.t. the common order <

#### Median voter SCF



#### Definition

An SCF  $f: S^n \to A$  is a median voter SCF if there exists  $B = \{y_1, y_2, \dots, y_{n-1}\}$  s.t. f(P) = median(B, peaks(P)) for all preference profiles  $P \in S$ 

- Here, the median is w.r.t. the common order <
- The points in *B* are called the peaks of **phantom voters**



#### Definition

- Here, the median is w.r.t. the common order <
- The points in *B* are called the peaks of **phantom voters**
- Note: B is fixed for f and does not change with P



#### Definition

- Here, the median is w.r.t. the common order <
- The points in *B* are called the peaks of **phantom voters**
- Note: *B* is fixed for *f* and does not change with *P*
- Why phantom voters?



#### Definition

- Here, the median is w.r.t. the common order <
- The points in *B* are called the peaks of **phantom voters**
- Note: *B* is fixed for *f* and does not change with *P*
- Why phantom voters?
- $f^{\text{leftmost}} \equiv (B_{\text{left}}, peaks(P)); B_{\text{left}} = \{y_L, \dots, y_L\}$ , i.e., if all phantom peaks are on the left, it corresponds to leftmost peak SCF



#### Definition

- Here, the median is w.r.t. the common order <
- The points in *B* are called the peaks of **phantom voters**
- Note: B is fixed for f and does not change with P
- Why phantom voters?
- $f^{\text{leftmost}} \equiv (B_{\text{left}}, peaks(P)); B_{\text{left}} = \{y_L, \dots, y_L\}$ , i.e., if all phantom peaks are on the left, it corresponds to leftmost peak SCF
- Similarly,  $f^{\text{rightmost}}(\cdot)$  can be found in a similar way



#### Definition

- Here, the median is w.r.t. the common order <
- The points in *B* are called the peaks of **phantom voters**
- Note: *B* is fixed for *f* and does not change with *P*
- Why phantom voters?
- $f^{\text{leftmost}} \equiv (B_{\text{left}}, peaks(P)); B_{\text{left}} = \{y_L, \dots, y_L\}$ , i.e., if all phantom peaks are on the left, it corresponds to leftmost peak SCF
- Similarly,  $f^{\text{rightmost}}(\cdot)$  can be found in a similar way
- Phantom voters give a complete spectrum of the median voter SCFs



Theorem (Moulin 1980)

Every median voter SCF is strategyproof.



### Theorem (Moulin 1980)

Every median voter SCF is strategyproof.

#### **Proof Sketch:**

- if f(P) = a and a player has a peak  $P_i(1)$  to the left of a, it has no benefit by misreporting the peak to be on the right of a, which is the only way of changing the outcome of f
- similar for  $P_i(1)$  on the right of a



### Theorem (Moulin 1980)

Every median voter SCF is strategyproof.

#### **Proof Sketch:**

- if f(P) = a and a player has a peak  $P_i(1)$  to the left of a, it has no benefit by misreporting the peak to be on the right of a, which is the only way of changing the outcome of f
- similar for  $P_i(1)$  on the right of a

### Note: mean does not have this property



### Claim

Let  $p_{min}$  and  $p_{max}$  be the leftmost and rightmost peaks of P according to <, then f is PE iff  $f(P) \in [p_{min}, p_{max}]$ 



### Claim

Let  $p_{min}$  and  $p_{max}$  be the leftmost and rightmost peaks of P according to <, then f is PE iff  $f(P) \in [p_{min}, p_{max}]$ 

**Proof:** ( $\Longrightarrow$ ) Suppose  $f(P) \notin [p_{\min}, p_{\max}]$ , WLOG,  $f(P) < p_{\min}$ .



#### Claim

Let  $p_{min}$  and  $p_{max}$  be the leftmost and rightmost peaks of P according to <, then f is PE iff  $f(P) \in [p_{min}, p_{max}]$ 

**Proof:** ( $\Longrightarrow$ ) Suppose  $f(P) \notin [p_{\min}, p_{\max}]$ , WLOG,  $f(P) < p_{\min}$ . Then every agent prefers  $p_{\min}$  over f(P), i.e., f(P) is Pareto dominated. Contradiction.



### Claim

Let  $p_{min}$  and  $p_{max}$  be the leftmost and rightmost peaks of P according to <, then f is PE iff  $f(P) \in [p_{min}, p_{max}]$ 

**Proof:** ( $\Longrightarrow$ ) Suppose  $f(P) \notin [p_{\min}, p_{\max}]$ , WLOG,  $f(P) < p_{\min}$ . Then every agent prefers  $p_{\min}$  over f(P), i.e., f(P) is Pareto dominated. Contradiction.

$$(\longleftarrow)$$
 If  $f(P) \in [p_{\min}, p_{\max}]$ ,



### Claim

Let  $p_{min}$  and  $p_{max}$  be the leftmost and rightmost peaks of P according to <, then f is PE iff  $f(P) \in [p_{min}, p_{max}]$ 

**Proof:** ( $\Longrightarrow$ ) Suppose  $f(P) \notin [p_{\min}, p_{\max}]$ , WLOG,  $f(P) < p_{\min}$ . Then every agent prefers  $p_{\min}$  over f(P), i.e., f(P) is Pareto dominated. Contradiction.

(  $\longleftarrow$  ) If  $f(P) \in [p_{\min}, p_{\max}]$ , then the condition  $bP_if(P)$ ,  $\forall i \in N$  never occurs – there does not exist an alternative b that Pareto dominates f(P).



#### Claim

Let  $p_{min}$  and  $p_{max}$  be the leftmost and rightmost peaks of P according to <, then f is PE iff  $f(P) \in [p_{min}, p_{max}]$ 

**Proof:** ( $\Longrightarrow$ ) Suppose  $f(P) \notin [p_{\min}, p_{\max}]$ , WLOG,  $f(P) < p_{\min}$ . Then every agent prefers  $p_{\min}$  over f(P), i.e., f(P) is Pareto dominated. Contradiction.

(  $\longleftarrow$  ) If  $f(P) \in [p_{\min}, p_{\max}]$ , then the condition  $bP_if(P)$ ,  $\forall i \in N$  never occurs – there does not exist an alternative b that Pareto dominates f(P). Hence f(P) is PE (vacuously true from definition).



### Definition (Monotonicity)

An SCF is *monotone* (MONO) if for every two profiles P and P' that satisfy f(P) = a and  $D(a, P_i) \subseteq D(a, P_i')$ , for all  $i \in N$ , it holds that f(P') = a.



### Definition (Monotonicity)

An SCF is *monotone* (MONO) if for every two profiles P and P' that satisfy f(P) = a and  $D(a, P_i) \subseteq D(a, P_i')$ , for all  $i \in N$ , it holds that f(P') = a.

• The relative position of *c* has improved from *P* to *P'*; if *c* was the outcome at *P*, it continues to become the outcome at *P'* 



### Definition (Monotonicity)

An SCF is *monotone* (MONO) if for every two profiles P and P' that satisfy f(P) = a and  $D(a, P_i) \subseteq D(a, P_i')$ , for all  $i \in N$ , it holds that f(P') = a.

• The relative position of *c* has improved from *P* to *P'*; if *c* was the outcome at *P*, it continues to become the outcome at *P'* 

<i>P</i>				P'			
а	а	С	d	С	а	С	d
b	b	b	C	b	b	b	С
С	С	d	b	а	С	d	b
d	d	а	а	d	d	а	а



The results are similar to unrestricted preferences in this restricted domain of single peaked preferences, but the proofs differ since we cannot construct preferences as freely as before.



The results are similar to unrestricted preferences in this restricted domain of single peaked preferences, but the proofs differ since we cannot construct preferences as freely as before.

Theorem

f is  $SP \implies f$  is MONO



The results are similar to unrestricted preferences in this restricted domain of single peaked preferences, but the proofs differ since we cannot construct preferences as freely as before.

#### Theorem

$$f \text{ is } SP \implies f \text{ is } MONO$$

This proof is similar to the previous one. To prove the reverse implication one needs to argue why the construction is valid in the single peaked domain. **(or provide counterexample)** 



#### Theorem

Let  $f: \mathcal{S}^n \to A$  is a SP SCF. Then, f is ONTO  $\iff f$  is UN  $\iff f$  is PE



#### Theorem

Let  $f: S^n \to A$  is a SP SCF. Then, f is ONTO  $\iff f$  is UN  $\iff f$  is PE

#### **Proof:**

• We know  $PE \implies UN \implies ONTO$ 

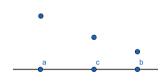


Figure: Arrangement of *a*, *b*, *c* 



#### Theorem

Let  $f: S^n \to A$  is a SP SCF. Then, f is ONTO  $\iff f$  is UN  $\iff f$  is PE

- We know  $PE \implies UN \implies ONTO$
- Need to show: ONTO *implies* PE when *f* is SP

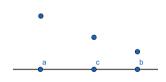


Figure: Arrangement of *a*, *b*, *c* 



#### Theorem

Let  $f: S^n \to A$  is a SP SCF. Then, f is ONTO  $\iff f$  is UN  $\iff f$  is PE

- We know  $PE \implies UN \implies ONTO$
- Need to show: ONTO *implies* PE when *f* is SP
- Suppose, for contradiction, *f* is SP and ONTO, but not PE

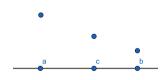


Figure: Arrangement of *a*, *b*, *c* 



#### Theorem

Let  $f: S^n \to A$  is a SP SCF. Then, f is ONTO  $\iff f$  is UN  $\iff f$  is PE

- We know  $PE \implies UN \implies ONTO$
- Need to show: ONTO *implies* PE when *f* is SP
- Suppose, for contradiction, *f* is SP and ONTO, but not PE
- Then  $\exists a, b \in A \text{ s.t. } a P_i b \forall i \in N \text{ but } f(P) = b$

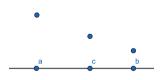


Figure: Arrangement of *a*, *b*, *c* 



#### Theorem

Let  $f: S^n \to A$  is a SP SCF. Then, f is ONTO  $\iff f$  is UN  $\iff f$  is PE

- We know  $PE \implies UN \implies ONTO$
- Need to show: ONTO *implies* PE when *f* is SP
- Suppose, for contradiction, *f* is SP and ONTO, but not PE
- Then  $\exists a, b \in A \text{ s.t. } a P_i b \ \forall i \in N \text{ but } f(P) = b$
- Since preferences are single peaked,  $\exists$  another alternative  $c \in A$ , which is a neighbour of b s.t.  $c P_i b \forall i \in N$  (c can be a itself)

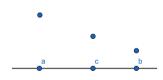


Figure: Arrangement of *a*, *b*, *c* 



• ONTO 
$$\implies \exists P' \text{ s.t. } f(P') = c$$



- ONTO  $\implies \exists P' \text{ s.t. } f(P') = c$
- Construct P'' s.t.  $P''_i(1) = c$ , P''(2) = b,  $\forall i \in N$



- ONTO  $\implies \exists P' \text{ s.t. } f(P') = c$
- Construct P'' s.t.  $P''_i(1) = c$ , P''(2) = b,  $\forall i \in N$
- $P \to P''$ , MONO  $\Longrightarrow f(P'') = b$



- ONTO  $\implies \exists P' \text{ s.t. } f(P') = c$
- Construct P'' s.t.  $P''_i(1) = c$ , P''(2) = b,  $\forall i \in N$
- $P \to P''$ , MONO  $\Longrightarrow f(P'') = b$
- $P' \to P''$ . MONO  $\implies f(P'') = c$



- ONTO  $\implies \exists P' \text{ s.t. } f(P') = c$
- Construct P'' s.t.  $P''_i(1) = c$ , P''(2) = b,  $\forall i \in N$
- $P \to P''$ , MONO  $\implies f(P'') = b$
- $P' \to P''$ . MONO  $\implies f(P'') = c$
- Contradiction, completes the proof



- ONTO  $\implies \exists P' \text{ s.t. } f(P') = c$
- Construct P'' s.t.  $P''_i(1) = c$ , P''(2) = b,  $\forall i \in N$
- $P \to P''$ , MONO  $\implies f(P'') = b$
- $P' \to P''$ . MONO  $\implies f(P'') = c$
- Contradiction, completes the proof



- ONTO  $\implies \exists P' \text{ s.t. } f(P') = c$
- Construct P'' s.t.  $P''_i(1) = c$ , P''(2) = b,  $\forall i \in N$
- $P \to P''$ , MONO  $\implies f(P'') = b$
- $P' \to P''$ . MONO  $\Longrightarrow f(P'') = c$
- Contradiction, completes the proof

Now, we are interested in non-dictatorial SCFs, hence a necessary property is anonymity

# Anonymity



• Anonymity: outcome insensitive to agent identities

# Anonymity



- Anonymity: outcome insensitive to agent identities
- Permutation of agents  $\sigma: N \to N$

# **Anonymity**



- Anonymity: outcome insensitive to agent identities
- Permutation of agents  $\sigma: N \to N$
- We apply a permuation  $\sigma$  to a profile P to construct another profile as: the preference of i goes to agent  $\sigma(i)$  in the new profile

# **Anonymity**



- Anonymity: outcome insensitive to agent identities
- Permutation of agents  $\sigma: N \to N$
- We apply a permuation  $\sigma$  to a profile P to construct another profile as: the preference of i goes to agent  $\sigma(i)$  in the new profile
- Denote this new profile as  $P^{\sigma}$

# **Anonymity**



- Anonymity: outcome insensitive to agent identities
- Permutation of agents  $\sigma: N \to N$
- We apply a permuation  $\sigma$  to a profile P to construct another profile as: the preference of i goes to agent  $\sigma(i)$  in the new profile
- Denote this new profile as  $P^{\sigma}$
- **Example:**  $N = \{1, 2, 3\}, \sigma : \sigma(1) = 2, \ \sigma(2) = 3, \ \sigma(3) = 1$

$P_1$	$P_2$	$P_3$	$P_1^{\sigma}$	$P_2^{\sigma}$	$P_3^{\sigma}$
а	b	b	b	а	b
b	a	С	С	b	a
C	C	а	а	C	C

# Anonymity (contd.)



#### Definition

An SCF  $f: \mathcal{S}^n \to A$  is **anonymous** (ANON) if for every profile P and for **every** permutation of the agents  $\sigma, f(P^{\sigma}) = f(P)$ 

# Anonymity (contd.)



#### Definition

An SCF  $f: S^n \to A$  is **anonymous** (ANON) if for every profile P and for **every** permutation of the agents  $\sigma, f(P^{\sigma}) = f(P)$ 

The social outcome should not alter due to agent renaming.

# Anonymity (contd.)



#### Definition

An SCF  $f: S^n \to A$  is **anonymous** (ANON) if for every profile P and for **every** permutation of the agents  $\sigma$ ,  $f(P^{\sigma}) = f(P)$ 

The social outcome should not alter due to agent renaming.

Dictatorship is not anonymous

#### **Contents**



- ► The Social Choice Setup
- ► The Gibbard-Satterthwaite Theorem
- ▶ Proof of Gibbard-Satterthwaite Theorem
- **▶** Domain Restriction
- ► Median Voting Rule
- ▶ Median Voter Theorem: Part 1
- ▶ Median Voter Theorem: Part 2



Seen the equivalence of SP, ONTO, ANON and median voting rule in single peaked domain

#### Theorem

Let  $f: S^n \to A$  is a SP SCF. Then, f is ONTO  $\iff f$  is UN  $\iff f$  is PE



#### Seen the equivalence of SP, ONTO, ANON and median voting rule in single peaked domain

#### Theorem

Let  $f: S^n \to A$  is a SP SCF. Then, f is ONTO  $\iff f$  is UN  $\iff f$  is PE

#### Theorem (Moulin 1980)

A **strategyproof** SCF f is ONTO and **anonymous** iff it is a median voter SCF.



#### Seen the equivalence of SP, ONTO, ANON and median voting rule in single peaked domain

#### Theorem

Let  $f: S^n \to A$  is a SP SCF. Then, f is ONTO  $\iff f$  is UN  $\iff f$  is PE

#### Theorem (Moulin 1980)

A **strategyproof** SCF f is ONTO and **anonymous** iff it is a median voter SCF.

**Proof:**  $(\Leftarrow=)$ 

Median voter SCF is SP (previous theorem)



#### Seen the equivalence of SP, ONTO, ANON and median voting rule in single peaked domain

#### Theorem

Let  $f: S^n \to A$  is a SP SCF. Then, f is ONTO  $\iff f$  is UN  $\iff f$  is PE

#### Theorem (Moulin 1980)

A **strategyproof** SCF f is ONTO and **anonymous** iff it is a median voter SCF.

Proof:  $(\Leftarrow=)$ 

- Median voter SCF is SP (previous theorem)
- It is **anonymous**: if we permute the agents with peaks unchanged, the outcome does not change



#### Seen the equivalence of SP, ONTO, ANON and median voting rule in single peaked domain

#### Theorem

Let  $f: S^n \to A$  is a SP SCF. Then, f is ONTO  $\iff$  f is UN  $\iff$  f is PE

#### Theorem (Moulin 1980)

A **strategyproof** SCF f is ONTO and **anonymous** iff it is a median voter SCF.

#### **Proof:** ( **⇐** )

- Median voter SCF is SP (previous theorem)
- It is **anonymous**: if we permute the agents with peaks unchanged, the outcome does not change
- It is ONTO, pick any arbitrary alternative a, put peaks of all players at a: the outcome will be a irrespective of the positions of the phantom peaks (since there are (n-1) phantom peaks and n agent peaks)



 $\implies$  Given,  $f: S^n \to A$  is SP, ANON, and ONTO.



- $\implies$  Given,  $f: S^n \to A$  is SP, ANON, and ONTO.
  - define,  $P_i^0$ : agent i's preference with peak at leftmost w.r.t. <
  - $P_i^1$ : agent i's preference with peak at rightmost w.r.t. <



- $\implies$  Given,  $f: S^n \to A$  is SP, ANON, and ONTO.
  - define,  $P_i^0$ : agent i's preference with peak at leftmost w.r.t. <
  - $P_i^1$ : agent i's preference with peak at rightmost w.r.t. <

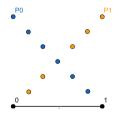


Figure: Two preferences



The proof is constructive, we will construct the median voting rule (which needs the phantom peaks to be defined) s.t. the outcome of an arbitrary f matches the outcome of the median SCF



The proof is constructive, we will construct the median voting rule (which needs the phantom peaks to be defined) s.t. the outcome of an arbitrary f matches the outcome of the median SCF

· First construct phantom peaks

$$y_j = f(\underbrace{P_1^0, P_2^0, \dots, P_{n-j}^0}_{n-j \text{ peaks leftmost}}, \underbrace{P_{n-j+1}^1, \dots, P_n^1}_{j \text{ peaks rightmost}}), j = 1, \dots, n-1$$

Which agents have which peaks does not matter because of anonymity



The proof is constructive, we will construct the median voting rule (which needs the phantom peaks to be defined) s.t. the outcome of an arbitrary f matches the outcome of the median SCF

First construct phantom peaks

$$y_j = f(\underbrace{P_1^0, P_2^0, \dots, P_{n-j}^0}_{n-j \text{ peaks leftmost}}, \underbrace{P_{n-j+1}^1, \dots, P_n^1}_{j \text{ peaks rightmost}}), j = 1, \dots, n-1$$

Which agents have which peaks does not matter because of anonymity

• Claim:  $y_j \le y_{j+1}$ , j = 1, ..., n-2, i.e., peaks are non-decreasing



The proof is constructive, we will construct the median voting rule (which needs the phantom peaks to be defined) s.t. the outcome of an arbitrary f matches the outcome of the median SCF

• First construct phantom peaks

$$y_j = f(\underbrace{P_1^0, P_2^0, \dots, P_{n-j}^0}_{n-j \text{ peaks leftmost}}, \underbrace{P_{n-j+1}^1, \dots, P_n^1}_{j \text{ peaks rightmost}}), j = 1, \dots, n-1$$

Which agents have which peaks does not matter because of anonymity

- Claim:  $y_j \leq y_{j+1}$ , j = 1, ..., n-2, i.e., peaks are non-decreasing
- **Proof:**  $y_{j+1} = f(P_1^0, P_2^0, \dots, P_{n-j-1}^0, P_{n-j}^1, P_{n-j+1}^1, \dots, P_n^1)$ . Due to SP,  $y_j P_{n-j}^0 y_{j+1}$ , or they are same, but  $P_{n-j}^0$  is single peaked with peak at 0, hence  $y_j \leq y_{j+1}$



• Consider an arbitrary profile,  $P = (P_1, P_2, \dots, P_n)$ ,  $P_i(1) = p_i$  (the peaks)



- Consider an arbitrary profile,  $P = (P_1, P_2, ..., P_n), P_i(1) = p_i$  (the peaks)
- Claim: Suppose f satisfies SP, ONTO, ANON, then  $f(P) = \text{median}(p_1, \dots, p_n, y_1, \dots, y_{n-1})$



- Consider an arbitrary profile,  $P = (P_1, P_2, ..., P_n)$ ,  $P_i(1) = p_i$  (the peaks)
- Claim: Suppose f satisfies SP, ONTO, ANON, then  $f(P) = \text{median}(p_1, \dots, p_n, y_1, \dots, y_{n-1})$
- WLOG, can assume  $p_1 \le p_2 \le \cdots \le p_n$  due to ANON



- Consider an arbitrary profile,  $P = (P_1, P_2, ..., P_n), P_i(1) = p_i$  (the peaks)
- Claim: Suppose f satisfies SP, ONTO, ANON, then  $f(P) = \text{median}(p_1, \dots, p_n, y_1, \dots, y_{n-1})$
- WLOG, can assume  $p_1 \le p_2 \le \cdots \le p_n$  due to ANON
- Consider  $a = \text{median}(p_1, \dots, p_n, y_1, \dots, y_{n-1})$



- Consider an arbitrary profile,  $P = (P_1, P_2, ..., P_n), P_i(1) = p_i$  (the peaks)
- Claim: Suppose f satisfies SP, ONTO, ANON, then  $f(P) = \text{median}(p_1, \dots, p_n, y_1, \dots, y_{n-1})$
- WLOG, can assume  $p_1 \le p_2 \le \cdots \le p_n$  due to ANON
- Consider  $a = \text{median}(p_1, ..., p_n, y_1, ..., y_{n-1})$
- Case 1: a is a phantom peak, say  $a = y_j$  for some  $j \in \{1, 2, ..., n-1\}$



- Consider an arbitrary profile,  $P = (P_1, P_2, ..., P_n), P_i(1) = p_i$  (the peaks)
- Claim: Suppose f satisfies SP, ONTO, ANON, then  $f(P) = \text{median}(p_1, \dots, p_n, y_1, \dots, y_{n-1})$
- WLOG, can assume  $p_1 \le p_2 \le \cdots \le p_n$  due to ANON
- Consider  $a = \text{median}(p_1, \ldots, p_n, y_1, \ldots, y_{n-1})$
- Case 1: a is a phantom peak, say  $a = y_j$  for some  $j \in \{1, 2, ..., n-1\}$
- This is a median of 2n-1 points of which (j-1) phantom peaks lie on the left (see the claim before), the rest (n-j) points are agent peaks



- Consider an arbitrary profile,  $P = (P_1, P_2, ..., P_n), P_i(1) = p_i$  (the peaks)
- Claim: Suppose f satisfies SP, ONTO, ANON, then  $f(P) = \text{median}(p_1, \dots, p_n, y_1, \dots, y_{n-1})$
- WLOG, can assume  $p_1 \le p_2 \le \cdots \le p_n$  due to ANON
- Consider  $a = \text{median}(p_1, \ldots, p_n, y_1, \ldots, y_{n-1})$
- Case 1: a is a phantom peak, say  $a = y_j$  for some  $j \in \{1, 2, ..., n-1\}$
- This is a median of 2n-1 points of which (j-1) phantom peaks lie on the left (see the claim before), the rest (n-j) points are agent peaks

• Hence,  $p_1 \leqslant \cdots \leqslant p_{n-j} \leqslant y_j = a \leqslant p_{n-j+1} \leqslant \cdots \leqslant p_n$ 





$$f(P_1^0, P_2^0, \dots, P_{n-j}^0, P_{n-j+1}^1, \dots, P_n^1) = y_j$$
 (definition)



$$\begin{split} f(P_1^0, P_2^0, \dots, P_{n-j}^0, P_{n-j+1}^1, \dots, P_n^1) &= y_j \text{ (definition)} \\ f(P_1, P_2^0, \dots, P_{n-j}^0, P_{n-j+1}^1, \dots, P_n^1) &= b \text{ (say)} \end{split}$$



$$f(P_{1}^{0}, P_{2}^{0}, \dots, P_{n-j}^{0}, P_{n-j+1}^{1}, \dots, P_{n}^{1}) = y_{j} \text{ (definition)}$$

$$f(P_{1}, P_{2}^{0}, \dots, P_{n-j}^{0}, P_{n-j+1}^{1}, \dots, P_{n}^{1}) = b \text{ (say)}$$

$$\text{By SP, } y_{j} P_{1}^{0} b \implies y_{j} \leq b$$



$$\begin{split} f(P_1^0,P_2^0,\ldots,P_{n-j}^0,P_{n-j+1}^1,\ldots,P_n^1) &= y_j \text{ (definition)} \\ f(P_1,P_2^0,\ldots,P_{n-j}^0,P_{n-j+1}^1,\ldots,P_n^1) &= b \text{ (say)} \\ & \text{By SP, } y_j \ P_1^0 \ b \implies y_j \leqslant b \\ & \text{Again by SP, } b \ P_1 \ y_j, \text{ but } p_1 \leqslant y_j \xrightarrow{\text{single peaked}} b \leqslant y_j \end{split}$$



$$\begin{split} f(P_1^0,P_2^0,\dots,P_{n-j}^0,P_{n-j+1}^1,\dots,P_n^1) &= y_j \text{ (definition)} \\ f(P_1,P_2^0,\dots,P_{n-j}^0,P_{n-j+1}^1,\dots,P_n^1) &= b \text{ (say)} \\ & \text{By SP, } y_j \ P_1^0 \ b \implies y_j \leqslant b \\ & \text{Again by SP, } b \ P_1 \ y_j, \text{ but } p_1 \leqslant y_j \xrightarrow{\text{single peaked}} b \leqslant y_j \\ & \text{Hence, } b = y_j \end{split}$$



• Use a similar transformation as we used earlier

$$f(P_1^0, P_2^0, \dots, P_{n-j}^0, P_{n-j+1}^1, \dots, P_n^1) = y_j \text{ (definition)}$$

$$f(P_1, P_2^0, \dots, P_{n-j}^0, P_{n-j+1}^1, \dots, P_n^1) = b \text{ (say)}$$

$$\text{By SP, } y_j \ P_1^0 \ b \implies y_j \leqslant b$$

$$\text{Again by SP, } b \ P_1 \ y_j, \text{ but } p_1 \leqslant y_j \xrightarrow{\text{single peaked}} b \leqslant y_j$$

$$\text{Hence, } b = y_j$$

• repeat this argument for the first (n - j) agents to get

$$f(P_1, P_2, \dots, P_{n-j}, P_{n-j+1}^1, \dots, P_n^1) = y_j$$



• We have

$$f(P_1, P_2, \dots, P_{n-j}, P_{n-j+1}^1, \dots, P_n^1) = y_j$$



• We have

$$f(P_1, P_2, \dots, P_{n-j}, P_{n-j+1}^1, \dots, P_n^1) = y_j$$

Consider

$$f(P_1, P_2, \dots, P_{n-j}, P_{n-j+1}^1, \dots, P_n) = b \text{ (say)}$$



• We have

$$f(P_1, P_2, \dots, P_{n-j}, P_{n-j+1}^1, \dots, P_n^1) = y_j$$

Consider

$$f(P_1, P_2, \dots, P_{n-j}, P_{n-j+1}^1, \dots, P_n) = b \text{ (say)}$$

• Apply very similar argument

$$y_j P_n^1 b \Longrightarrow b \leqslant y_j b P_n y_j \text{ and } y_j \leqslant p_n \Longrightarrow y_j \leqslant b$$
  $b = y_j$ 



• We have

$$f(P_1, P_2, \dots, P_{n-j}, P_{n-j+1}^1, \dots, P_n^1) = y_j$$

Consider

$$f(P_1, P_2, \dots, P_{n-j}, P_{n-j+1}^1, \dots, P_n) = b \text{ (say)}$$

Apply very similar argument

$$\begin{array}{ccc} y_j \: P_n^1 \: b & \Longrightarrow \: b \leqslant y_j \\ b \: P_n \: y_j \: \text{and} \: y_j \leqslant p_n \: \Longrightarrow \: y_j \leqslant b \end{array} \right\} b = y_j$$

• Hence,

$$f(P-1,\ldots,P_n)=y_j$$

#### **Contents**



- ► The Social Choice Setup
- ► The Gibbard-Satterthwaite Theorem
- ▶ Proof of Gibbard-Satterthwaite Theorem
- **▶** Domain Restriction
- ► Median Voting Rule
- ▶ Median Voter Theorem: Part 1
- ► Median Voter Theorem: Part 2



• The claim we are proving



- The claim we are proving
- Claim: Suppose f satisfies SP, ONTO, ANON, then  $f(P) = \text{median}(p_1, \dots, p_n, y_1, \dots, y_{n-1})$



- The claim we are proving
- Claim: Suppose f satisfies SP, ONTO, ANON, then  $f(P) = \text{median}(p_1, \dots, p_n, y_1, \dots, y_{n-1})$
- WLOG, can assume  $p_1 \leqslant p_2 \leqslant \cdots \leqslant p_n$  due to ANON



- The claim we are proving
- Claim: Suppose f satisfies SP, ONTO, ANON, then  $f(P) = \text{median}(p_1, \dots, p_n, y_1, \dots, y_{n-1})$
- WLOG, can assume  $p_1 \leqslant p_2 \leqslant \cdots \leqslant p_n$  due to ANON
- Consider  $a = \text{median}(p_1, \dots, p_n, y_1, \dots, y_{n-1})$



- The claim we are proving
- Claim: Suppose f satisfies SP, ONTO, ANON, then  $f(P) = \text{median}(p_1, \dots, p_n, y_1, \dots, y_{n-1})$
- WLOG, can assume  $p_1 \leqslant p_2 \leqslant \cdots \leqslant p_n$  due to ANON
- Consider  $a = \text{median}(p_1, ..., p_n, y_1, ..., y_{n-1})$
- Case 1: *a* is a phantom peak: proved



- The claim we are proving
- Claim: Suppose f satisfies SP, ONTO, ANON, then  $f(P) = \text{median}(p_1, \dots, p_n, y_1, \dots, y_{n-1})$
- WLOG, can assume  $p_1 \leqslant p_2 \leqslant \cdots \leqslant p_n$  due to ANON
- Consider  $a = \text{median}(p_1, \dots, p_n, y_1, \dots, y_{n-1})$
- Case 1: *a* is a phantom peak: proved
- Case 2: *a* is an agent peak



- The claim we are proving
- Claim: Suppose f satisfies SP, ONTO, ANON, then  $f(P) = \text{median}(p_1, \dots, p_n, y_1, \dots, y_{n-1})$
- WLOG, can assume  $p_1 \leqslant p_2 \leqslant \cdots \leqslant p_n$  due to ANON
- Consider  $a = \text{median}(p_1, \dots, p_n, y_1, \dots, y_{n-1})$
- Case 1: a is a phantom peak: proved
- Case 2: *a* is an agent peak
- We will prove this for 2 players, the general case repeats this argument



- The claim we are proving
- Claim: Suppose f satisfies SP, ONTO, ANON, then  $f(P) = \text{median}(p_1, \dots, p_n, y_1, \dots, y_{n-1})$
- WLOG, can assume  $p_1 \leqslant p_2 \leqslant \cdots \leqslant p_n$  due to ANON
- Consider  $a = \text{median}(p_1, \dots, p_n, y_1, \dots, y_{n-1})$
- Case 1: *a* is a phantom peak: proved
- Case 2: *a* is an agent peak
- We will prove this for 2 players, the general case repeats this argument
- Claim:  $N = \{1, 2\}$ , let P and P' be such that  $P_i(1) = P'_i(1)$ ,  $\forall i \in N$ . Then f(P) = f(P')



- The claim we are proving
- Claim: Suppose f satisfies SP, ONTO, ANON, then  $f(P) = \text{median}(p_1, \dots, p_n, y_1, \dots, y_{n-1})$
- WLOG, can assume  $p_1 \leqslant p_2 \leqslant \cdots \leqslant p_n$  due to ANON
- Consider  $a = \text{median}(p_1, \dots, p_n, y_1, \dots, y_{n-1})$
- Case 1: *a* is a phantom peak: proved
- Case 2: *a* is an agent peak
- We will prove this for 2 players, the general case repeats this argument
- Claim:  $N = \{1, 2\}$ , let P and P' be such that  $P_i(1) = P_i'(1)$ ,  $\forall i \in N$ . Then f(P) = f(P')
- **Proof:** Let  $a = P_1(1) = P'_1(1)$ , and  $P_2(1) = P'_2(1) = b$ . f(P) = x and  $f(P'_1, P_2) = y$



- The claim we are proving
- Claim: Suppose f satisfies SP, ONTO, ANON, then  $f(P) = \text{median}(p_1, \dots, p_n, y_1, \dots, y_{n-1})$
- WLOG, can assume  $p_1 \le p_2 \le \cdots \le p_n$  due to ANON
- Consider  $a = \text{median}(p_1, ..., p_n, y_1, ..., y_{n-1})$
- Case 1: *a* is a phantom peak: proved
- Case 2: *a* is an agent peak
- We will prove this for 2 players, the general case repeats this argument
- Claim:  $N = \{1, 2\}$ , let P and P' be such that  $P_i(1) = P_i'(1)$ ,  $\forall i \in N$ . Then f(P) = f(P')
- **Proof:** Let  $a = P_1(1) = P'_1(1)$ , and  $P_2(1) = P'_2(1) = b$ . f(P) = x and  $f(P'_1, P_2) = y$
- Since f is SP,  $x P_1 y$  and  $y P'_1 x$



- The claim we are proving
- Claim: Suppose f satisfies SP, ONTO, ANON, then  $f(P) = \text{median}(p_1, \dots, p_n, y_1, \dots, y_{n-1})$
- WLOG, can assume  $p_1 \le p_2 \le \cdots \le p_n$  due to ANON
- Consider  $a = \text{median}(p_1, ..., p_n, y_1, ..., y_{n-1})$
- Case 1: *a* is a phantom peak: proved
- Case 2: *a* is an agent peak
- We will prove this for 2 players, the general case repeats this argument
- Claim:  $N = \{1, 2\}$ , let P and P' be such that  $P_i(1) = P_i'(1)$ ,  $\forall i \in N$ . Then f(P) = f(P')
- **Proof:** Let  $a = P_1(1) = P'_1(1)$ , and  $P_2(1) = P'_2(1) = b$ . f(P) = x and  $f(P'_1, P_2) = y$
- Since f is SP,  $x P_1 y$  and  $y P'_1 x$
- Since peaks of  $P_1$  and  $P'_1$  are the same, if x, y are on the same side of the peak, they must be the same, as the domain is single peaked



- The claim we are proving
- Claim: Suppose f satisfies SP, ONTO, ANON, then  $f(P) = \text{median}(p_1, \dots, p_n, y_1, \dots, y_{n-1})$
- WLOG, can assume  $p_1 \leqslant p_2 \leqslant \cdots \leqslant p_n$  due to ANON
- Consider  $a = \text{median}(p_1, ..., p_n, y_1, ..., y_{n-1})$
- Case 1: *a* is a phantom peak: proved
- Case 2: *a* is an agent peak
- We will prove this for 2 players, the general case repeats this argument
- Claim:  $N = \{1, 2\}$ , let P and P' be such that  $P_i(1) = P'_i(1)$ ,  $\forall i \in N$ . Then f(P) = f(P')
- **Proof:** Let  $a = P_1(1) = P'_1(1)$ , and  $P_2(1) = P'_2(1) = b$ . f(P) = x and  $f(P'_1, P_2) = y$
- Since f is SP,  $x P_1 y$  and  $y P'_1 x$
- Since peaks of  $P_1$  and  $P'_1$  are the same, if x, y are on the same side of the peak, they must be the same, as the domain is single peaked
- The only other possibility is that *x* and *y* fall on different sides of the peak: **we show that this is not possible.**



• WLOG x < a < y and a < b



- WLOG x < a < y and a < b
- f is SP+ONTO  $\iff$  f is SP+PE



- WLOG x < a < y and a < b
- f is SP+ONTO  $\iff$  f is SP+PE
- PE requires  $f(P) \in [a, b]$ , but f(P) = x < a, a contradiction



- WLOG x < a < y and a < b
- f is SP+ONTO  $\iff$  f is SP+PE
- PE requires  $f(P) \in [a, b]$ , but f(P) = x < a, a contradiction
- Repeat this argument for  $(P_1', P_2) \rightarrow (P_1', P_2')$



- WLOG x < a < y and a < b
- f is SP+ONTO  $\iff$  f is SP+PE
- PE requires  $f(P) \in [a, b]$ , but f(P) = x < a, a contradiction
- Repeat this argument for  $(P_1', P_2) \rightarrow (P_1', P_2')$



- WLOG x < a < y and a < b
- f is SP+ONTO  $\iff$  f is SP+PE
- PE requires  $f(P) \in [a, b]$ , but f(P) = x < a, a contradiction
- Repeat this argument for  $(P_1', P_2) \rightarrow (P_1', P_2')$   $\square$

**Profile:**  $(P_1, P_2) = P, P_1(1) = a, P_2(1) = b, y_1$  is the phantom peak, and by assumption, median $(a, b, y_1)$  is an agent peak

• WLOG assume that the median is *a* 



- WLOG x < a < y and a < b
- f is SP+ONTO  $\iff$  f is SP+PE
- PE requires  $f(P) \in [a, b]$ , but f(P) = x < a, a contradiction
- Repeat this argument for  $(P_1', P_2) \rightarrow (P_1', P_2')$   $\square$

**Profile:**  $(P_1, P_2) = P, P_1(1) = a, P_2(1) = b, y_1$  is the phantom peak, and by assumption, median $(a, b, y_1)$  is an agent peak

- WLOG assume that the median is *a*
- Assume for contradiction  $f(P) = c \neq a$



- WLOG x < a < y and a < b
- f is SP+ONTO  $\iff$  f is SP+PE
- PE requires  $f(P) \in [a, b]$ , but f(P) = x < a, a contradiction
- Repeat this argument for  $(P_1', P_2) \rightarrow (P_1', P_2')$   $\square$

**Profile:**  $(P_1, P_2) = P, P_1(1) = a, P_2(1) = b, y_1$  is the phantom peak, and by assumption, median $(a, b, y_1)$  is an agent peak

- WLOG assume that the median is *a*
- Assume for contradiction  $f(P) = c \neq a$
- By PE, *c* must be within *a* and *b*



- WLOG x < a < y and a < b
- f is SP+ONTO  $\iff$  f is SP+PE
- PE requires  $f(P) \in [a, b]$ , but f(P) = x < a, a contradiction
- Repeat this argument for  $(P_1', P_2) \rightarrow (P_1', P_2')$   $\square$

**Profile:**  $(P_1, P_2) = P, P_1(1) = a, P_2(1) = b, y_1$  is the phantom peak, and by assumption, median $(a, b, y_1)$  is an agent peak

- WLOG assume that the median is a
- Assume for contradiction  $f(P) = c \neq a$
- By PE, *c* must be within *a* and *b*
- We have two cases to consider:  $b < a < y_1$  and  $y_1 < a < b$



**Case 2.1:**  $b < a < y_1$ , by PE c < a

• Construct  $P'_1$  s.t.  $P'_1(1) = a = P_1(1)$  and  $y P'_1 c$  (possible since they are on different sides of a)



- Construct  $P'_1$  s.t.  $P'_1(1) = a = P_1(1)$  and  $y P'_1 c$  (possible since they are on different sides of a)
- By the earlier claim,  $f(P) = c \implies f(P'_1, P_2) = c$



- Construct  $P'_1$  s.t.  $P'_1(1) = a = P_1(1)$  and  $y P'_1 c$  (possible since they are on different sides of a)
- By the earlier claim,  $f(P) = c \implies f(P'_1, P_2) = c$
- Now consider the profile  $(P_1^1, P_2)$   $(P_1^1)$  has its peak at the rightmost point)



- Construct  $P'_1$  s.t.  $P'_1(1) = a = P_1(1)$  and  $y P'_1 c$  (possible since they are on different sides of a)
- By the earlier claim,  $f(P) = c \implies f(P'_1, P_2) = c$
- Now consider the profile  $(P_1^1, P_2)$   $(P_1^1)$  has its peak at the rightmost point)
- $P_2(1) = b < y \le P_1^1(1)$ , hence the median of  $\{b, y_1, P_1^1(1)\}$  is  $y_1$  (which is a phantom peak, hence case 1 applies)



- Construct  $P'_1$  s.t.  $P'_1(1) = a = P_1(1)$  and  $y P'_1 c$  (possible since they are on different sides of a)
- By the earlier claim,  $f(P) = c \implies f(P'_1, P_2) = c$
- Now consider the profile  $(P_1^1, P_2)$   $(P_1^1)$  has its peak at the rightmost point)
- $P_2(1) = b < y \le P_1^1(1)$ , hence the median of  $\{b, y_1, P_1^1(1)\}$  is  $y_1$  (which is a phantom peak, hence case 1 applies)
- We get  $f(P_1^1, P_2) = y_1$



- Construct  $P'_1$  s.t.  $P'_1(1) = a = P_1(1)$  and  $y P'_1 c$  (possible since they are on different sides of a)
- By the earlier claim,  $f(P) = c \implies f(P'_1, P_2) = c$
- Now consider the profile  $(P_1^1, P_2)$   $(P_1^1)$  has its peak at the rightmost point)
- $P_2(1) = b < y \le P_1^1(1)$ , hence the median of  $\{b, y_1, P_1^1(1)\}$  is  $y_1$  (which is a phantom peak, hence case 1 applies)
- We get  $f(P_1^1, P_2) = y_1$
- But  $y P'_1 c$  (by construction) and  $f(P'_1, P_2) = c$



- Construct  $P'_1$  s.t.  $P'_1(1) = a = P_1(1)$  and  $y P'_1 c$  (possible since they are on different sides of a)
- By the earlier claim,  $f(P) = c \implies f(P'_1, P_2) = c$
- Now consider the profile  $(P_1^1, P_2)$   $(P_1^1)$  has its peak at the rightmost point)
- $P_2(1) = b < y \le P_1^1(1)$ , hence the median of  $\{b, y_1, P_1^1(1)\}$  is  $y_1$  (which is a phantom peak, hence case 1 applies)
- We get  $f(P_1^1, P_2) = y_1$
- But  $y P'_1 c$  (by construction) and  $f(P'_1, P_2) = c$
- Agent 1 manipulates  $P'_1 \rightarrow P^1_1$ , contradiction to f being SP



**Case 2.2:**  $y_1 < a < b$ , by PE a < c

• Construct  $P'_1$  s.t.  $P'_1(1) = a = P_1(1)$  and  $y P'_1 c$ 



- Construct  $P'_1$  s.t.  $P'_1(1) = a = P_1(1)$  and  $y P'_1 c$
- $f(P'_1, P_2) = c$  (by claim)



- Construct  $P'_1$  s.t.  $P'_1(1) = a = P_1(1)$  and  $y P'_1 c$
- $f(P'_1, P_2) = c$  (by claim)
- Consider  $(P_1^0, P_2)$ ,  $P_1^0(1) \leqslant y_1 < b \implies f(P_1^0, P_2) = y_1$  but  $y_1 P_1' c$ , hence manipulable by agent 1



- Construct  $P'_1$  s.t.  $P'_1(1) = a = P_1(1)$  and  $y P'_1 c$
- $f(P'_1, P_2) = c$  (by claim)
- Consider  $(P_1^0,P_2)$ ,  $P_1^0(1) \leqslant y_1 < b \implies f(P_1^0,P_2) = y_1$  but  $y_1 P_1' c$ , hence manipulable by agent 1
- This completes the proof for two agents (case 2)



- Construct  $P'_1$  s.t.  $P'_1(1) = a = P_1(1)$  and  $y P'_1 c$
- $f(P'_1, P_2) = c$  (by claim)
- Consider  $(P_1^0, P_2)$ ,  $P_1^0(1) \leqslant y_1 < b \implies f(P_1^0, P_2) = y_1$  but  $y_1 P_1' c$ , hence manipulable by agent 1
- This completes the proof for two agents (case 2)
- For the generalization to *n* players, see Moulin (1980), "On strategyproofness and single-peakedness"



## भारतीय प्रौद्योगिकी संस्थान मुंबई

# **Indian Institute of Technology Bombay**