# CS 228 : Logic in Computer Science

Krishna. S

• Given a propositional logic formula  $\varphi$ , is it unsatisfiable?

- ▶ Given a propositional logic formula  $\varphi$ , is it unsatisfiable?
- ► How does a solver do it?
- Assume it is in CNF

▶ Let  $C_1$ ,  $C_2$  be two clauses. Assume  $p \in C_1$  and  $\neg p \in C_2$  for some literal p.

- ▶ Let  $C_1$ ,  $C_2$  be two clauses. Assume  $p \in C_1$  and  $\neg p \in C_2$  for some literal p. Then the clause  $R = (C_1 \{p\}) \cup (C_2 \{\neg p\})$  is a resolvent of  $C_1$  and  $C_2$ .
- ▶ Let  $C_1 = \{p_1, \neg p_2, p_3\}$  and  $C_2 = \{p_2, \neg p_3, p_4\}$ . As  $p_3 \in C_1$  and  $\neg p_3 \in C_2$ , we can find the resolvent. The resolvent is  $\{p_1, p_2, \neg p_2, p_4\}$ .
- ▶ Resolvent not unique :  $\{p_1, p_3, \neg p_3, p_4\}$  is also a resolvent.

### 3 rules in Resolution

Let G be any formula. Let F be the CNF formula resulting from the CNF algorithm applied to G. Then G ⊢ F (Prove!)

### 3 rules in Resolution

- Let G be any formula. Let F be the CNF formula resulting from the CNF algorithm applied to G. Then G ⊢ F (Prove!)
- Let F be a formula in CNF, and let C be a clause in F. Then F ⊢ C (Prove!)

### 3 rules in Resolution

- Let G be any formula. Let F be the CNF formula resulting from the CNF algorithm applied to G. Then G ⊢ F (Prove!)
- Let F be a formula in CNF, and let C be a clause in F. Then F ⊢ C (Prove!)
- Let F be a formula in CNF. Let R be a resolvent of two clauses of F. Then F ⊢ R (Prove!)

Show that resolution can be used to determine whether any given formula is unsatisfiable.

▶ Given F in CNF, let  $Res^0(F) = \{C \mid C \text{ is a clause in } F\}$ .

Show that resolution can be used to determine whether any given formula is unsatisfiable.

- ▶ Given F in CNF, let  $Res^0(F) = \{C \mid C \text{ is a clause in } F\}$ .
- ▶  $Res^n(F) = Res^{n-1}(F) \cup \{R \mid R \text{ is a resolvent of two clauses in } Res^{n-1}(F)\}$

Show that resolution can be used to determine whether any given formula is unsatisfiable.

- ▶ Given F in CNF, let  $Res^0(F) = \{C \mid C \text{ is a clause in } F\}$ .
- ►  $Res^n(F) = Res^{n-1}(F) \cup \{R \mid R \text{ is a resolvent of two clauses in } Res^{n-1}(F)\}$
- Res<sup>0</sup>(F) = F, there are finitely many clauses that can be derived from F.

Show that resolution can be used to determine whether any given formula is unsatisfiable.

- ▶ Given F in CNF, let  $Res^0(F) = \{C \mid C \text{ is a clause in } F\}$ .
- ►  $Res^n(F) = Res^{n-1}(F) \cup \{R \mid R \text{ is a resolvent of two clauses in } Res^{n-1}(F)\}$
- Res<sup>0</sup>(F) = F, there are finitely many clauses that can be derived from F.
- ▶ There is some  $m \ge 0$  such that  $Res^m(F) = Res^{m+1}(F)$ . Denote it by  $Res^*(F)$ .

# **Example**

Let 
$$F = \{\{p_1, p_2, \neg p_3\}, \{\neg p_2, p_3\}\}.$$

► *Res*<sup>0</sup>(*F*) = *F* 

# **Example**

Let 
$$F = \{\{p_1, p_2, \neg p_3\}, \{\neg p_2, p_3\}\}.$$

- ► *Res*<sup>0</sup>(*F*) = *F*
- $Res^1(F) = F \cup \{p_1, p_2, \neg p_2\} \cup \{p_1, \neg p_3, p_3\}.$

# **Example**

Let  $F = \{\{p_1, p_2, \neg p_3\}, \{\neg p_2, p_3\}\}.$ 

- ► *Res*<sup>0</sup>(*F*) = *F*
- $Res^1(F) = F \cup \{p_1, p_2, \neg p_2\} \cup \{p_1, \neg p_3, p_3\}.$
- ▶  $Res^2(F) = Res^1(F) \cup \{p_1, p_2, \neg p_3\} \cup \{p_1, p_3, \neg p_2\}$

Let F be a formula in CNF. If  $\emptyset \in Res^*(F)$ , then F is unsatisfiable.

▶ If  $\emptyset \in Res^*(F)$ . Then  $\emptyset \in Res^n(F)$  for some n.

Let F be a formula in CNF. If  $\emptyset \in Res^*(F)$ , then F is unsatisfiable.

- ▶ If  $\emptyset \in Res^*(F)$ . Then  $\emptyset \in Res^n(F)$  for some n.
- ▶ Since  $\emptyset \notin Res^0(F)$  ( $\emptyset$  is not a clause), there is an m > 0 such that  $\emptyset \notin Res^m(F)$  and  $\emptyset \in Res^{m+1}(F)$ .

#### Let F be a formula in CNF. If $\emptyset \in Res^*(F)$ , then F is unsatisfiable.

- ▶ If  $\emptyset \in Res^*(F)$ . Then  $\emptyset \in Res^n(F)$  for some n.
- ▶ Since  $\emptyset \notin Res^0(F)$  ( $\emptyset$  is not a clause), there is an m > 0 such that  $\emptyset \notin Res^m(F)$  and  $\emptyset \in Res^{m+1}(F)$ .
- ▶ Then  $\{p\}, \{\neg p\} \in Res^m(F)$ . By the rules of resolution, we have  $F \vdash p, \neg p$ , and thus  $F \vdash \bot$ . Hence, F is unsatisfiable.

Prove the converse: F is unsatisfiable implies  $\emptyset \in Res^*(F)$ .

(Discuss substitution before the proof)

8/1;

If *F* in CNF is unsatisfiable, then  $\emptyset \in Res^*(F)$ .

▶ Let F have k clauses  $C_1, \ldots, C_k$ .

#### If *F* in CNF is unsatisfiable, then $\emptyset \in Res^*(F)$ .

- ▶ Let F have k clauses  $C_1, \ldots, C_k$ .
- ▶ wlg, assume that no  $C_i$  has both p and  $\neg p$

#### If *F* in CNF is unsatisfiable, then $\emptyset \in Res^*(F)$ .

- ▶ Let F have k clauses  $C_1, \ldots, C_k$ .
- ▶ wlg, assume that no  $C_i$  has both p and  $\neg p$
- ▶ Induct on the number *n* of propositional variables that occur in *F*.

9/1;

#### If *F* in CNF is unsatisfiable, then $\emptyset \in Res^*(F)$ .

- ▶ Let F have k clauses  $C_1, \ldots, C_k$ .
- ▶ wlg, assume that no  $C_i$  has both p and  $\neg p$
- ▶ Induct on the number *n* of propositional variables that occur in *F*.
- ▶ If n = 1, then the possible clauses are p,  $\neg p$  and  $p \lor \neg p$ . The third one is ruled out, by assumption.

#### If *F* in CNF is unsatisfiable, then $\emptyset \in Res^*(F)$ .

- ▶ Let F have k clauses  $C_1, \ldots, C_k$ .
- ▶ wlg, assume that no  $C_i$  has both p and  $\neg p$
- ▶ Induct on the number *n* of propositional variables that occur in *F*.
- ▶ If n = 1, then the possible clauses are p,  $\neg p$  and  $p \lor \neg p$ . The third one is ruled out, by assumption.
- ▶ If  $F = \{\{p\}\}$  or  $F = \{\{\neg p\}\}$ , F is satisfiable.

#### If *F* in CNF is unsatisfiable, then $\emptyset \in Res^*(F)$ .

- ▶ Let F have k clauses  $C_1, \ldots, C_k$ .
- ▶ wlg, assume that no  $C_i$  has both p and  $\neg p$
- ▶ Induct on the number *n* of propositional variables that occur in *F*.
- ▶ If n = 1, then the possible clauses are p,  $\neg p$  and  $p \lor \neg p$ . The third one is ruled out, by assumption.
- ▶ If  $F = \{\{p\}\}$  or  $F = \{\{\neg p\}\}$ , F is satisfiable.
- ▶ Hence,  $F = \{\{p\}, \{\neg p\}\}$ . Clearly,  $\emptyset \in Res(F)$ .

▶ Inductive hypothesis : If F has  $\leq n$  variables and is unsat, then  $\emptyset \in Res^*(F)$ .

- ▶ Inductive hypothesis : If F has  $\leq n$  variables and is unsat, then  $\emptyset \in Res^*(F)$ .
- ▶ Let F have n + 1 variables  $p_1, \ldots, p_{n+1}$ .

- Inductive hypothesis : If F has ≤ n variables and is unsat, then ∅ ∈ Res\*(F).
- ▶ Let *F* have n + 1 variables  $p_1, \ldots, p_{n+1}$ .
  - ▶ Let  $G_0$  be the conjunction of all  $C_i$  in F such that  $\neg p_{n+1} \notin C_i$ .
  - ▶ Let  $G_1$  be the conjunction of all  $C_i$  in F such that  $p_{n+1} \notin C_i$ .

- Inductive hypothesis : If F has ≤ n variables and is unsat, then ∅ ∈ Res\*(F).
- ▶ Let *F* have n + 1 variables  $p_1, \ldots, p_{n+1}$ .
  - ▶ Let  $G_0$  be the conjunction of all  $C_i$  in F such that  $\neg p_{n+1} \notin C_i$ .
  - ▶ Let  $G_1$  be the conjunction of all  $C_i$  in F such that  $p_{n+1} \notin C_i$ .
- ▶ Clauses in F= Clauses in G0  $\cup$  Clauses in G1

- Inductive hypothesis : If F has ≤ n variables and is unsat, then ∅ ∈ Res\*(F).
- ▶ Let *F* have n + 1 variables  $p_1, \ldots, p_{n+1}$ .
  - ▶ Let  $G_0$  be the conjunction of all  $C_i$  in F such that  $\neg p_{n+1} \notin C_i$ .
  - ▶ Let  $G_1$  be the conjunction of all  $C_i$  in F such that  $p_{n+1} \notin C_i$ .
- ▶ Clauses in F= Clauses in G0  $\cup$  Clauses in G1

- ▶ Let  $F_0 = \{C_i \{p_{n+1}\} \mid C_i \in G_0\}$
- ▶ Let  $F_1 = \{C_i \{\neg p_{n+1}\} \mid C_i \in G_1\}$

Let  $F = \{\{p_1, p_3\}, \{p_2\}, \{\neg p_1, \neg p_2, p_3\}, \{\neg p_2, \neg p_3\}\}$  and n = 2.

- $\qquad \bullet \quad G_0 = \{\{p_1, p_3\}, \{p_2\}, \{\neg p_1, \neg p_2, p_3\}\}, \ G_1 = \{\{p_2\}, \{\neg p_2, \neg p_3\}\}.$
- $ightharpoonup F_0 = \{\{p_1\}, \{p_2\}, \{\neg p_1, \neg p_2\}\} \text{ and } F_1 = \{\{p_2\}, \{\neg p_2\}\}$
- ▶ If  $p_{n+1} = false$  in F, then F is equisatisfiable with  $F_0$

Let  $F = \{\{p_1, p_3\}, \{p_2\}, \{\neg p_1, \neg p_2, p_3\}, \{\neg p_2, \neg p_3\}\}$  and n = 2.

- $G_0 = \{\{p_1, p_3\}, \{p_2\}, \{\neg p_1, \neg p_2, p_3\}\}, G_1 = \{\{p_2\}, \{\neg p_2, \neg p_3\}\}.$
- $ightharpoonup F_0 = \{\{p_1\}, \{p_2\}, \{\neg p_1, \neg p_2\}\} \text{ and } F_1 = \{\{p_2\}, \{\neg p_2\}\}$
- ▶ If  $p_{n+1} = false$  in F, then F is equisatisfiable with  $F_0$
- ▶ If  $p_{n+1} = true$  in F, then F is equisatisfiable with  $F_1$

Let  $F = \{\{p_1, p_3\}, \{p_2\}, \{\neg p_1, \neg p_2, p_3\}, \{\neg p_2, \neg p_3\}\}$  and n = 2.

- $G_0 = \{\{p_1, p_3\}, \{p_2\}, \{\neg p_1, \neg p_2, p_3\}\}, G_1 = \{\{p_2\}, \{\neg p_2, \neg p_3\}\}.$
- $ightharpoonup F_0 = \{\{p_1\}, \{p_2\}, \{\neg p_1, \neg p_2\}\} \text{ and } F_1 = \{\{p_2\}, \{\neg p_2\}\}$
- ▶ If  $p_{n+1} = false$  in F, then F is equisatisfiable with  $F_0$
- ▶ If  $p_{n+1} = true$  in F, then F is equisatisfiable with  $F_1$
- ▶ Hence F is satisfiable iff  $F_0 \vee F_1$  is.

Let  $F = \{\{p_1, p_3\}, \{p_2\}, \{\neg p_1, \neg p_2, p_3\}, \{\neg p_2, \neg p_3\}\}$  and n = 2.

- $G_0 = \{\{p_1, p_3\}, \{p_2\}, \{\neg p_1, \neg p_2, p_3\}\}, G_1 = \{\{p_2\}, \{\neg p_2, \neg p_3\}\}.$
- $ightharpoonup F_0 = \{\{p_1\}, \{p_2\}, \{\neg p_1, \neg p_2\}\} \text{ and } F_1 = \{\{p_2\}, \{\neg p_2\}\}$
- ▶ If  $p_{n+1} = false$  in F, then F is equisatisfiable with  $F_0$
- ▶ If  $p_{n+1} = true$  in F, then F is equisatisfiable with  $F_1$
- ▶ Hence F is satisfiable iff  $F_0 \vee F_1$  is.
- ▶ As F is unsatisfiable,  $F_0$  and  $F_1$  are both unsatisfiable.

▶ By induction hypothesis,  $\emptyset \in Res^*(F_0)$  and  $\emptyset \in Res^*(F_1)$ .

- ▶ By induction hypothesis,  $\emptyset \in Res^*(F_0)$  and  $\emptyset \in Res^*(F_1)$ .
- ▶ Hence,  $\emptyset \in Res^*(G_0)$  or  $\{p_{n+1}\} \in Res^*(G_0)$ , and  $\emptyset \in Res^*(G_1)$  or  $\{\neg p_{n+1}\} \in Res^*(G_1)$ .

- ▶ By induction hypothesis,  $\emptyset \in Res^*(F_0)$  and  $\emptyset \in Res^*(F_1)$ .
- ▶ Hence,  $\emptyset \in Res^*(G_0)$  or  $\{p_{n+1}\} \in Res^*(G_0)$ , and  $\emptyset \in Res^*(G_1)$  or  $\{\neg p_{n+1}\} \in Res^*(G_1)$ .
- ▶ If  $\emptyset \in Res^*(G_0)$  or  $\emptyset \in Res^*(G_1)$ , then  $\emptyset \in Res^*(F)$ .

- ▶ By induction hypothesis,  $\emptyset \in Res^*(F_0)$  and  $\emptyset \in Res^*(F_1)$ .
- ▶ Hence,  $\emptyset \in Res^*(G_0)$  or  $\{p_{n+1}\} \in Res^*(G_0)$ , and  $\emptyset \in Res^*(G_1)$  or  $\{\neg p_{n+1}\} \in Res^*(G_1)$ .
- ▶ If  $\emptyset \in Res^*(G_0)$  or  $\emptyset \in Res^*(G_1)$ , then  $\emptyset \in Res^*(F)$ .
- ▶ Else,  $\{p_{n+1}\} \in Res^*(G_0)$  and  $\{\neg p_{n+1}\} \in Res^*(G_1)$ .

- ▶ By induction hypothesis,  $\emptyset \in Res^*(F_0)$  and  $\emptyset \in Res^*(F_1)$ .
- ▶ Hence,  $\emptyset \in Res^*(G_0)$  or  $\{p_{n+1}\} \in Res^*(G_0)$ , and  $\emptyset \in Res^*(G_1)$  or  $\{\neg p_{n+1}\} \in Res^*(G_1)$ .
- ▶ If  $\emptyset \in Res^*(G_0)$  or  $\emptyset \in Res^*(G_1)$ , then  $\emptyset \in Res^*(F)$ .
- ▶ Else,  $\{p_{n+1}\} \in Res^*(G_0)$  and  $\{\neg p_{n+1}\} \in Res^*(G_1)$ .
- ▶ Hence  $\emptyset \in Res^*(F)$ .

# **Resolution Summary**

Given a formula  $\psi$ , convert it into CNF, say  $\zeta$ .  $\psi$  is satisfiable iff  $\emptyset \notin Res^*(\zeta)$ .

- ▶ If  $\psi$  is unsat, we might get  $\emptyset$  before reaching  $Res^*(\zeta)$ .
- If  $\psi$  is sat, then truth tables are faster : stop when some row evaluates to 1.