

# CS 70: Homework #6

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## Problem 1: Polynomial Practice

- a) If  $f$  and  $g$  are non-zero real polynomials, how many roots do the following polynomials have at least? How many can they have at most? (Your answer may depend on the degrees of  $f$  and  $g$ .)

i.)  $f + g$

**Solution:**  $\max(\text{degree of } f, \text{degree of } g)$ .

Addition cannot change polynomial exponents.

ii.)  $f \cdot g$

**Solution:**  $\text{degree of } f + \text{degree of } g$ .

The highest ordered term in multiplication is a combination of the highest ordered terms of the polynomials.

iii.)  $f/g$ , assuming that  $f/g$  is a polynomial.

**Solution:**  $\text{degree of } f - \text{degree of } g$ .

The highest ordered term in division is a subtraction of the highest ordered terms of the polynomials.

- b) Now let  $f$  and  $g$  be polynomials over  $\text{GF}(p)$ .

i.) If  $f \cdot g = 0$ , is it true that either  $f = 0$  or  $g = 0$ ?

**Solution:** Not necessarily. Consider  $f = x + 1$  and  $g = x + 2$  in  $\text{GF}(2)$ .  $f \cdot g = 0$ , but  $f(0) = 1$  and  $g(1) = 1$ .

ii.) If  $\deg f \geq p$ , show that there exists a polynomial  $h$  with  $\deg h < p$  such that  $f(x) = h(x)$  for all  $x \in \{0, 1, \dots, p-1\}$ .

**Solution:**

*Proof.* Within  $\text{GF}(p)$ , all solutions of  $f(x)$  are cyclical, i.e.  $S_f = \{f(1), f(2), \dots, f(n) : 0 \leq n < p\}$ , giving us  $p$  solutions. From those, we can construct  $p$  pairs of points  $(i, f(i))$ , and use Lagrangian interpolation to find a function of degree  $p-1$  that exactly fits those points.  $\square$

- iii.) How many  $f$  of degree *exactly*  $d < p$  are there such that  $f(0) = a$  for some fixed  $a \in \{0, 1, \dots, p-1\}$ ?

**Solution:**

*Proof.*  $m^d$  polynomials. We are given only 1 point,  $(0, a)$ , and so we have  $d$  points of freedom.  $\square$

- c) Find a polynomial  $f$  over  $\text{GF}(5)$  that satisfies  $f(0) = 1, f(2) = 2, f(4) = 0$ . How many such polynomials are there?

**Solution:**  $f = \frac{-3}{8}x + \frac{5}{4}x + 1$ . We are given three points, and you need five points to describe a degree 4 polynomial, so we have 2 points of freedom, meaning there are  $5^2 = 25$  different polynomials.

## Problem 2: The CRT and Lagrange Interpolation

Let  $n_1, \dots, n_k$  be pairwise coprime, i.e.  $n_i$  and  $n_j$  are coprime for all  $i \neq j$ . The Chinese Remainder Theorem (CRT) tells us that there exist solutions to the following system of congruences:

$$x \equiv a_1 \pmod{n_1} \tag{1}$$

$$x \equiv a_2 \pmod{n_2} \tag{2}$$

$$\vdots \tag{\vdots}$$

$$x \equiv a_k \pmod{n_k} \tag{k}$$

and all solutions are equivalent  $\pmod{n_1 n_2 \cdots n_k}$ . For this problem, parts (a)-(c) will walk us through a proof of the Chinese Remainder Theorem. We will then use the CRT to revisit Lagrange interpolation.

- a) We start by proving the  $k = 2$  case: Prove that we can always find an integer  $x_1$  that solves (1) and (2) with  $a_1 = 1, a_2 = 0$ . Similarly, prove that we can always find an integer  $x_2$  that solves (1) and (2) with  $a_1 = 0, a_2 = 1$ .

### Solution:

*Proof.* Let  $n = 2$ .

- (i)  $a_1 = 1, a_2 = 0$

$$x_1 \equiv 1 \pmod{n_1} \tag{1}$$

$$x_1 \equiv 0 \pmod{n_2} \tag{2}$$

$$\tag{3}$$

$x_1 = n_2 * (n_1 + 1)$  will always satisfy those constraints. ( $x_1 = n_2 \pmod{n_1 n_2}$ )

- (ii)  $a_1 = 0, a_2 = 1$

$$x_2 \equiv 0 \pmod{n_1} \tag{4}$$

$$x_2 \equiv 1 \pmod{n_2} \tag{5}$$

$$\tag{6}$$

$x_2 = n_1 * (n_2 + 1)$  will always satisfy those constraints. It is also unique ( $x_2 = n_1 \pmod{n_1 n_2}$ )

□

- b) Use part (a) to prove that we can always find at least one solution to (1) and (2) for any  $a_1, a_2$ . Furthermore, prove that all possible solutions are equivalent  $(\text{mod } n_1 n_2)$ .

**Solution:**

*Proof.* Any solution of the form  $x = (n_1 + a_1)(n_2 + a_2) \pmod{n_1}$  will work.

□

- c) Now we can tackle the case of arbitrary  $k$ : Use part (b) to prove that there exists a solution  $x$  to (1)-(k) and that this solution is unique  $(\text{mod } n_1 n_2 \cdots n_k)$ .

**Solution:** Using the proof from (b) [if I had one],

- d) For two polynomials  $p(x)$  and  $q(x)$ , mimic the definition of  $a \text{ mod } b$  for integers to define  $p(x) \text{ mod } q(x)$ . Use your definition to find  $p(x) \text{ mod } (x - 1)$ .
- e) Define the polynomials  $x - a$  and  $x - b$  to be coprime if they have no common divisor of degree 1. Assuming that the CRT still holds when replacing  $x, a_i$  and  $n_i$  with polynomials (using the definition of coprime polynomials just given), show that the system of congruences

$$p(x) \equiv y_1 \pmod{(x - x_1)} \quad (1')$$

$$p(x) \equiv y_2 \pmod{(x - x_2)} \quad (2')$$

$$\vdots \quad (\vdots)$$

$$p(x) \equiv y_k \pmod{(x - x_k)} \quad (k')$$

has a unique solution  $(\text{mod } (x - x_1) \cdots (x - x_k))$  whenever the  $x_i$  are pairwise distinct. What is the connection to Lagrange interpolation?

### Problem 3: Old secrets, new secrets

In order to share a secret number  $s$ , Alice distributed the values  $(1, p(1)), (2, p(2)), \dots, (n+1, p(n+1))$  of a degree  $n$  polynomial  $p$  with her friends  $\text{Bob}_1, \dots, \text{Bob}_{n+1}$ . As usual, she chose  $p$  such that  $p(0) = s$ .  $\text{Bob}_1$  through  $\text{Bob}_{n+1}$  now gather to jointly discover the secret. Suppose that for some reason  $\text{Bob}_1$  already knows  $s$ , and wants to play a joke on  $\text{Bob}_2, \dots, \text{Bob}_{n+1}$ , making them believe that the secret is in fact some fixed  $s' \neq s$ . How can he achieve this?

**Solution:** Bob uniquely knows  $p(1)$ , while all others know the  $x_1$  and  $\Delta_1(x)$  which implies  $y_1 = p(1)$  is the only thing he can manipulate. The final solution is the polynomial constructed by  $\sum_i y_i \Delta_i$ , implying he can manipulate the  $y_1 \Delta_1(x)$  term.

We can look at the final solution in term of the deltas, i.e.:

$$\begin{aligned} P(x) &= a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + s \\ &= p(1) \Delta_1(x) + p(2) \Delta_2(x) + \dots + p(n+1) \Delta_{n+1}(x) \\ &= p(1) \Delta_1(x) + \sum_{i \neq 1} p(i) \Delta_i(x) \end{aligned}$$

From here we see how the polynomial is a sum of its Lagrangian parts:

$$P(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + s = p(1) \Delta_1(x) + \sum_{i \neq 1} p(i) \Delta_i(x)$$

However, since we are only concerned with altering the  $P(0)$  term, all we need to do is consider the constants of the sub-polynomials, i.e.:

$$P(0) = s = p(1) \Delta_1^c(x) + \sum_{i \neq 1} p(i) \Delta_i^c(x)$$

We can determine  $\Delta_1^c(x) = \frac{(x-2)(x-3)\dots(x-(n+1))}{(1-2)(1-3)\dots(1-(n+1))} = \frac{(n+1)!}{n!} = n+1$ .

From here we need to construct a  $p'(1)$  value s.t.  $p'(1) \Delta_1^c(x) = p(1) \Delta_1^c(x) + s - s'$  (add  $s'$  and subtract  $s$  from both sides to make the algebra work out).

$$\implies p'(1) = \frac{p(1) \Delta_1^c(x) + s - s'}{\Delta_1^c(x)} = p(1) + \frac{s - s'}{n+1}.$$

We simply provide that  $P'(1)$  value to deceive the others.

## Problem 4: Berlekamp-Welch for General Errors

Suppose that Hector wants to send you a length  $n = 3$  message,  $m_0, m_1, m_2$ , with the possibility for  $k = 1$  error. For all parts of this problem, we will work mod 11, so we can encode 11 letters as shown below:

A	B	C	D	E	F	G	H	I	J	K
0	1	2	3	4	5	6	7	8	9	10

Hector encodes the message by finding the degree  $\leq 2$  polynomial  $P(x)$  that passes through  $(0, m_0)$ ,  $(1, m_1)$ , and  $(2, m_2)$ , and then sends you the five packets  $P(0), P(1), P(2), P(3), P(4)$  over a noisy channel. The message you receive is

$$\text{DHACK} \Rightarrow 3, 7, 0, 2, 10 = r_0, r_1, r_2, r_3, r_4$$

which could have up to 1 error.

- a) First, let's locate the error, using an error-locating polynomial  $E(x)$ . Let  $Q(x) = P(x)E(x)$ . Recall that

$$Q(i) = P(i)E(i) = r_i E(i), \quad \text{for } 0 \leq i < n + 2k.$$

What is the degree of  $E(x)$ ? What is the degree of  $Q(x)$ ? Using the relation above, write out the form of  $E(x)$  and  $Q(x)$  in terms of the unknown coefficients, and then a system of equations to find both these polynomials.

**Solution:**  $E$  is a polynomial of degree 1.  $Q$  is a polynomial of degree  $(3+1-1=3)$ .

$$E(x) = (x - e_1) = x + b_0$$

$$Q(x) = a_3 x^3 + a_2 x^2 + a_1 x + x^1$$

I ran out of time but the rest of the problem is pretty easy. We can construct a system of equations using the  $Q(i) = r_i E(i)$  property, solve the system of equations to get  $Q(x)$  and  $E(x)$ , then we can solve  $P(x) = Q(x)/E(x)$  and recompute the message.

- b) Solve for  $Q(x)$  and  $E(x)$ . Where is the error located?
- c) Finally, what is  $P(x)$ ? Use  $P(x)$  to determine the original message that Hector wanted to send.

## Problem 5: Error-Detecting Codes

Suppose Alice wants to transmit a message of  $n$  symbols, so that Bob is able to *detect* rather than *correct* any errors that have occurred on the way. That is, Alice wants to find an encoding so that Bob, upon receiving the code, is able to either

- i.) tell that there are no errors and decode the message, or
- ii.) realize that the transmitted code contains at least one error, and throw away the message.

Assuming that we are guaranteed a maximum of  $k$  errors, how should Alice extend her message (i.e. by how many symbols should she extend the message, and how should she choose these symbols)? You may assume that we work in  $\text{GF}(p)$  for very large prime  $p$ . Show that your scheme works, and that adding any lesser number of symbols is not good enough.

**Solution:** We want to be able to send a message of length  $n$  with a maximum of  $k$  errors s.t. Bob is able to detect the presence of errors and decode if not. We would need to extend the original message by  $k$  to send a final message of length  $(n + k)$  that satisfies those requirements.

*Proof.* We claim we need to extend a message by at least  $k$  to be able to detect a maximum of  $k$  errors in the original message. The proof continues in two parts:

- i.) Extending the message by  $k$  allows us to detect errors:
- ii.) Extending the message by some  $k' < k$  is insufficient.

For both parts of the proof, let us begin by defining the encoding from Alice. We can denote the message as  $m_1, \dots, m_n : m_i \in \text{GF}(q)$  where  $q$  is some prime number larger than the range of  $m_i$ . From there, we can find the unique polynomial of degree  $n - 1$  s.t.  $P(i) = m_i$  for  $1 \leq i \leq n$ , i.e. it passes through all points  $(i, m_i)$  for  $1 \leq i \leq n$ . Then we set the extended portion of the message to be equivalent to  $(j, P(j))$  for  $n < j \leq (n + k)$ .

For part (i) of the proof, we have to show this encoding allows us to detect if up to  $k$  errors exist in a received message  $M$  (of length  $n + k$ ). As Bob, we construct a polynomial  $P'$  that fits the original message length, i.e. the first  $n$  terms of  $(i, m_i)$ . We are then able to detect if no errors exist by validating that all points  $(j, m_j)$  for  $n < j \leq (n + k)$  lie in  $P'$ . Otherwise we can discard the message.

For part (ii) of the proof, we have to show that an extension of a lesser length would not be sufficient. The logic in its simple form is that if there are  $k$  errors but fewer redundant symbols, there could exist a scenario in which all redundant symbols are modified as well as a symbols in the original message, and those errors 'cancel out' in the detection algorithm. Formally, upon intercepting a message  $M$ , we could corrupt some symbol in  $m_i : i \leq n$ , construct a new polynomial  $P_e(x)$  that fits this corrupted message, mutate each extended bit to fit this new polynomial, i.e.,  $m_{j'} = P_e(j) : n < j < n + k$ . This new message has  $k$  corruptions, yet it would pass the detection algorithm.  $\square$