THEOREM 2

Existence and Uniqueness Theorem

A linear system is consistent if and only if the rightmost column of the augmented matrix is not a pivot column-that is, if and only if an echelon form of the augmented matrix has no row of the form

$$[0 \cdots 0 b]$$
 with b nonzero

If a linear system is consistent, then the solution set contains either (i) a unique solution, when there are no free variables, or (ii) infinitely many solutions, when there is at least one free variable.

The following procedure outlines how to find and describe all solutions of a linear system.

USING ROW REDUCTION TO SOLVE A LINEAR SYSTEM

- 1. Write the augmented matrix of the system.
- 2. Use the row reduction algorithm to obtain an equivalent augmented matrix in echelon form. Decide whether the system is consistent. If there is no solution, stop; otherwise, go to the next step.
- 3. Continue row reduction to obtain the reduced echelon form.
- **4.** Write the system of equations corresponding to the matrix obtained in step 3.
- 5. Rewrite each nonzero equation from step 4 so that its one basic variable is expressed in terms of any free variables appearing in the equation.

PRACTICE PROBLEMS

1. Find the general solution of the linear system whose augmented matrix is

$$\begin{bmatrix} 1 & -3 & -5 & 0 \\ 0 & 1 & -1 & -1 \end{bmatrix}$$

2. Find the general solution of the system

$$x_1 - 2x_2 - x_3 + 3x_4 = 0$$

$$-2x_1 + 4x_2 + 5x_3 - 5x_4 = 3$$

$$3x_1 - 6x_2 - 6x_3 + 8x_4 = 2$$

3. Suppose a 4×7 coefficient matrix for a system of equations has 4 pivots. Is the system consistent? If the system is consistent, how many solutions are there?

1.2 EXERCISES

In Exercises 1 and 2, determine which matrices are in reduced echelon form and which others are only in echelon form.

1. a.
$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \end{bmatrix}$$
 b.
$$\begin{bmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

echelon form and which others are only in echelon form.

1. a.
$$\begin{bmatrix}
1 & 0 & 0 & 0 \\
0 & 1 & 0 & 0 \\
0 & 0 & 1 & 1
\end{bmatrix}$$
b.
$$\begin{bmatrix}
1 & 0 & 1 & 0 \\
0 & 1 & 1 & 0 \\
0 & 0 & 0 & 1
\end{bmatrix}$$
c.
$$\begin{bmatrix}
1 & 0 & 0 & 0 \\
0 & 1 & 1 & 0 \\
0 & 0 & 0 & 0 \\
0 & 0 & 0 & 1
\end{bmatrix}$$
d.
$$\begin{bmatrix}
1 & 1 & 0 & 1 & 1 \\
0 & 2 & 0 & 2 & 2 \\
0 & 0 & 0 & 3 & 3 \\
0 & 0 & 0 & 0 & 4
\end{bmatrix}$$

Row reduce the matrices in Exercises 3 and 4 to reduced echelon form. Circle the pivot positions in the final matrix and in the original matrix, and list the pivot columns.

3.
$$\begin{bmatrix} 1 & 2 & 3 & 4 \\ 4 & 5 & 6 & 7 \\ 6 & 7 & 8 & 9 \end{bmatrix}$$
 4.
$$\begin{bmatrix} 1 & 3 & 5 & 7 \\ 3 & 5 & 7 & 9 \\ 5 & 7 & 9 & 1 \end{bmatrix}$$

- **5.** Describe the possible echelon forms of a nonzero 2×2 matrix. Use the symbols ■, *, and 0, as in the first part of Example 1.
- **6.** Repeat Exercise 5 for a nonzero 3×2 matrix.

Find the general solutions of the systems whose augmented matrices are given in Exercises 7–14.

7.
$$\begin{bmatrix} 1 & 3 & 4 & 7 \\ 3 & 9 & 7 & 6 \end{bmatrix}$$

7.
$$\begin{bmatrix} 1 & 3 & 4 & 7 \\ 3 & 9 & 7 & 6 \end{bmatrix}$$
 8. $\begin{bmatrix} 1 & 4 & 0 & 7 \\ 2 & 7 & 0 & 10 \end{bmatrix}$

9.
$$\begin{bmatrix} 0 & 1 & -6 & 5 \\ 1 & -2 & 7 & -6 \end{bmatrix}$$

9.
$$\begin{bmatrix} 0 & 1 & -6 & 5 \\ 1 & -2 & 7 & -6 \end{bmatrix}$$
 10. $\begin{bmatrix} 1 & -2 & -1 & 3 \\ 3 & -6 & -2 & 2 \end{bmatrix}$

11.
$$\begin{bmatrix} 3 & -4 & 2 & 0 \\ -9 & 12 & -6 & 0 \\ -6 & 8 & -4 & 0 \end{bmatrix}$$
 12.
$$\begin{bmatrix} 1 & -7 & 0 & 6 & 5 \\ 0 & 0 & 1 & -2 & -3 \\ -1 & 7 & -4 & 2 & 7 \end{bmatrix}$$

13.
$$\begin{bmatrix} 1 & -3 & 0 & -1 & 0 & -2 \\ 0 & 1 & 0 & 0 & -4 & 1 \\ 0 & 0 & 0 & 1 & 9 & 4 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

14.
$$\begin{bmatrix} 1 & 2 & -5 & -6 & 0 & -5 \\ 0 & 1 & -6 & -3 & 0 & 2 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

Exercises 15 and 16 use the notation of Example 1 for matrices in echelon form. Suppose each matrix represents the augmented matrix for a system of linear equations. In each case, determine if the system is consistent. If the system is consistent, determine if the solution is unique.

15. a.
$$\begin{bmatrix} \bullet & * & * & * \\ 0 & \bullet & * & * \\ 0 & 0 & \bullet & 0 \end{bmatrix}$$
b.
$$\begin{bmatrix} 0 & \bullet & * & * \\ 0 & 0 & \bullet & * \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

16. a.
$$\begin{bmatrix} \blacksquare & * & * \\ 0 & \blacksquare & * \\ 0 & 0 & 0 \end{bmatrix}$$
b.
$$\begin{bmatrix} \blacksquare & * & * & * \\ 0 & 0 & \blacksquare & * \\ 0 & 0 & 0 & \blacksquare & * \end{bmatrix}$$

In Exercises 17 and 18, determine the value(s) of h such that the matrix is the augmented matrix of a consistent linear system.

17.
$$\begin{bmatrix} 2 & 3 & h \\ 4 & 6 & 7 \end{bmatrix}$$

17.
$$\begin{bmatrix} 2 & 3 & h \\ 4 & 6 & 7 \end{bmatrix}$$
 18. $\begin{bmatrix} 1 & -3 & -2 \\ 5 & h & -7 \end{bmatrix}$

In Exercises 19 and 20, choose h and k such that the system has (a) no solution, (b) a unique solution, and (c) many solutions. Give separate answers for each part.

19.
$$x_1 + hx_2 = 2$$

20.
$$x_1 + 3x_2 = 2$$

$$4x_1 + 8x_2 = k$$

$$3x_1 + hx_2 = k$$

In Exercises 21 and 22, mark each statement True or False. Justify each answer.4

- 21. a. In some cases, a matrix may be row reduced to more than one matrix in reduced echelon form, using different sequences of row operations.
 - b. The row reduction algorithm applies only to augmented matrices for a linear system.
 - c. A basic variable in a linear system is a variable that corresponds to a pivot column in the coefficient matrix.
 - d. Finding a parametric description of the solution set of a linear system is the same as solving the system.
 - e. If one row in an echelon form of an augmented matrix is $\begin{bmatrix} 0 & 0 & 0 & 5 & 0 \end{bmatrix}$, then the associated linear system is inconsistent.
- 22. a. The echelon form of a matrix is unique.
 - b. The pivot positions in a matrix depend on whether row interchanges are used in the row reduction process.
 - c. Reducing a matrix to echelon form is called the forward phase of the row reduction process.
 - d. Whenever a system has free variables, the solution set contains many solutions.
 - e. A general solution of a system is an explicit description of all solutions of the system.
- 23. Suppose a 3×5 coefficient matrix for a system has three pivot columns. Is the system consistent? Why or why not?
- **24.** Suppose a system of linear equations has a 3×5 augmented matrix whose fifth column is a pivot column. Is the system consistent? Why (or why not)?

⁴ True/false questions of this type will appear in many sections. Methods for justifying your answers were described before Exercises 23 and 24 in Section 1.1.

- 25. Suppose the coefficient matrix of a system of linear equations has a pivot position in every row. Explain why the system is consistent.
- 26. Suppose the coefficient matrix of a linear system of three equations in three variables has a pivot in each column. Explain why the system has a unique solution.
- 27. Restate the last sentence in Theorem 2 using the concept of pivot columns: "If a linear system is consistent, then the solution is unique if and only if __
- 28. What would you have to know about the pivot columns in an augmented matrix in order to know that the linear system is consistent and has a unique solution?
- 29. A system of linear equations with fewer equations than unknowns is sometimes called an underdetermined system. Suppose that such a system happens to be consistent. Explain why there must be an infinite number of solutions.
- 30. Give an example of an inconsistent underdetermined system of two equations in three unknowns.
- 31. A system of linear equations with more equations than unknowns is sometimes called an overdetermined system. Can such a system be consistent? Illustrate your answer with a specific system of three equations in two unknowns.
- **32.** Suppose an $n \times (n+1)$ matrix is row reduced to reduced echelon form. Approximately what fraction of the total number of operations (flops) is involved in the backward phase of the reduction when n = 30? when n = 300?

Suppose experimental data are represented by a set of points in the plane. An interpolating polynomial for the data is a polynomial whose graph passes through every point. In scientific work, such a polynomial can be used, for example, to estimate values between the known data points. Another use is to create curves for graphical images on a computer screen. One method for finding an interpolating polynomial is to solve a system of linear equations.

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33. Find the interpolating polynomial $p(t) = a_0 + a_1t + a_2t^2$ for the data (1, 12), (2, 15), (3, 16). That is, find a_0, a_1 , and a_2 such that

$$a_0 + a_1(1) + a_2(1)^2 = 12$$

$$a_0 + a_1(2) + a_2(2)^2 = 15$$

$$a_0 + a_1(3) + a_2(3)^2 = 16$$

34. [M] In a wind tunnel experiment, the force on a projectile due to air resistance was measured at different velocities:

4

14.8 39.6 74.3 119

Find an interpolating polynomial for these data and estimate the force on the projectile when the projectile is traveling at 750 ft/sec. Use $p(t) = a_0 + a_1t + a_2t^2 + a_3t^3 + a_4t^4$ $+ a_5 t^5$. What happens if you try to use a polynomial of degree less than 5? (Try a cubic polynomial, for instance.)⁵

SOLUTIONS TO PRACTICE PROBLEMS

1. The reduced echelon form of the augmented matrix and the corresponding system

$$\begin{bmatrix} 1 & 0 & -8 & -3 \\ 0 & 1 & -1 & -1 \end{bmatrix} \quad \text{and} \quad \begin{aligned} x_1 & -8x_3 &= -3 \\ x_2 - x_3 &= -1 \end{aligned}$$

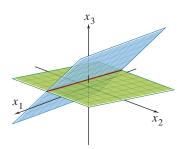
The basic variables are x_1 and x_2 , and the general solution is

$$\begin{cases} x_1 = -3 + 8x_3 \\ x_2 = -1 + x_3 \\ x_3 \text{ is free} \end{cases}$$

Note: It is essential that the general solution describe each variable, with any parameters clearly identified. The following statement does *not* describe the solution:

$$\begin{cases} x_1 = -3 + 8x_3 \\ x_2 = -1 + x_3 \\ x_3 = 1 + x_2 & \text{Incorrect solution} \end{cases}$$

This description implies that x_2 and x_3 are *both* free, which certainly is not the case.



The general solution of the system of equations is the line of intersection of the two planes.

⁵ Exercises marked with the symbol [M] are designed to be worked with the aid of a "Matrix program" (a computer program, such as MATLAB, Maple, Mathematica, MathCad, or Derive, or a programmable calculator with matrix capabilities, such as those manufactured by Texas Instruments or Hewlett-Packard).